

1       **Simulation of ozone-vegetation coupling and feedback in**  
2                   **China using multiple ozone damage schemes**

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## Abstract

As a phytotoxic pollutant, surface ozone ( $O_3$ ) not only affects plant physiology but also influences meteorological fields and air quality by altering leaf stomatal functions. Previous studies revealed strong feedbacks of  $O_3$ -vegetation coupling in China but with large uncertainties due to the applications of varied  $O_3$  damage schemes and chemistry-vegetation models. In this study, we quantify the  $O_3$  vegetation damage and the consequent feedbacks to surface meteorology and air quality in China by coupling two  $O_3$  damage schemes (S2007 vs. L2013) into a fully coupled regional meteorology-chemistry model. With different schemes and damaging sensitivities, surface  $O_3$  is predicted to decrease summertime gross primary productivity by 5.5%-21.4% and transpiration by 5.4%-23.2% in China, in which the L2013 scheme yields 2.5-4 times of losses relative to the S2007 scheme. The damages to photosynthesis of sunlit leaves are  $\sim 2.6$  times that of shaded leaves in the S2007 scheme but show limited differences in the L2013 scheme. Though with large discrepancies in offline responses, the two schemes yield similar magnitude of feedback to surface meteorology and  $O_3$  air quality. The  $O_3$ -induced damage to transpiration increases national sensible heat by 3.2-6.0 W  $m^{-2}$  (8.9% to 16.2%) while reduces latent heat by 3.3-6.4 W  $m^{-2}$  (-5.6% to -17.4%), leading to a 0.2-0.51  $^{\circ}C$  increase in surface air temperature and a 2.2-3.9% reduction in relative humidity. Meanwhile, surface  $O_3$  concentrations on average increase by 2.6-4.4  $\mu g m^{-3}$  due to the inhibitions of stomatal uptake and the anomalous enhancement in isoprene emissions, the latter of which is attributed to the surface warming by  $O_3$ -vegetation coupling. Our results highlight the importance of  $O_3$  control in China due to its adverse effects on ecosystem functions, global warming, and  $O_3$  pollution through the  $O_3$ -vegetation coupling.

**Keywords:** Ozone, vegetation, feedback, meteorology, air quality, regional model

## 52 **1 Introduction**

53 Surface ozone ( $O_3$ ) is one of the most enduring air pollutants affecting air quality  
54 in China, with detrimental effects on human health and ecosystem functions (Monk et  
55 al., 2015). Long-term observations and numerical simulations have shown that  $O_3$   
56 affects stomatal conductance (Li et al., 2017), accelerates vegetation aging (Feng et al.,  
57 2015), and reduces photosynthesis (Wittig et al., 2007). These negative effects altered  
58 carbon allocation (Yue and Unger, 2014; Lombardozzi et al., 2015) and inhibited plant  
59 growth (Li et al., 2016), suppressing ecosystem carbon uptake (Ainsworth, 2012).  
60 Moreover, these effects have profound implications for global/regional climate and  
61 atmospheric environment. Given the significant ecological impacts, a systematic  
62 quantification of the  $O_3$  vegetation damage effect in China is of great importance for  
63 the better understanding of the side effects of  $O_3$  pollution on both regional carbon  
64 uptake and climate change.

65 At present, field experiments on  $O_3$ -induced vegetation damage have been  
66 conducted in China but were mostly confined to individual monitoring sites. For  
67 instance, Su et al. (2017) conducted experiments on grassland in Inner Mongolia and  
68 found that elevated  $O_3$  concentrations resulted in a decrease of approximately 20% in  
69 the photosynthetic rate of herbaceous plants. Meta-analysis of tropical, subtropical, and  
70 temperate tree species in China found that increased  $O_3$  concentrations reduced net  
71 photosynthesis and total biomass of Chinese woody plants by 28% and 14%,  
72 respectively (Li et al., 2017). However, most of these experiments were conducted  
73 using open-top chambers with artificially controlled  $O_3$  concentrations, rather than  
74 actual surface  $O_3$  concentrations, making it difficult to quantitatively estimate the  
75 impact of ambient  $O_3$  on vegetation productivity. Furthermore, the spatial coverage of  
76 field experiments is limited, which hinders the direct use of observational data for  
77 assessing  $O_3$  vegetation damage in different regions of China.

78 Alternatively, numerical models provide a more feasible approach to quantify the  
79  $O_3$ -induced vegetation damage from the regional to global scales. Currently, there are  
80 three main parameterizations for the calculation of ozone vegetation damage. Felzer et

81 al. (2004) established an empirical scheme based on the Accumulated Ozone exposure  
82 over a Threshold of 40 ppb (AOT40) within the framework of a terrestrial ecosystem  
83 model. They further estimated that O<sub>3</sub> pollution in the United States led to a decrease  
84 in net primary productivity (NPP) by 2.6% to 6.8% during the period of 1980-1990.  
85 However, the AOT40 is related to O<sub>3</sub> concentrations alone and ignores the biological  
86 regulations on the O<sub>3</sub> stomatal uptake, leading to inconsistent tendencies between O<sub>3</sub>  
87 pollution level and plant damage at the drought conditions (Gong et al., 2021). In  
88 acknowledge of such deficit, Sitch et al. (2007) proposed a semi-mechanistic scheme  
89 calculating O<sub>3</sub> vegetation damage based on the stomatal uptake of O<sub>3</sub> fluxes and the  
90 coupling between stomatal conductance and leaf photosynthesis. Yue and Unger (2014)  
91 implemented this scheme into the Yale Interactive terrestrial Biosphere (YIBs) model.  
92 Taking into account varied O<sub>3</sub> sensitivities of different vegetation types, they estimated  
93 that surface O<sub>3</sub> led to reductions of 2-5% in the summer gross primary productivity  
94 (GPP) in eastern U.S. from 1998 to 2007. Later, Lombardozzi et al. (2013) conducted  
95 a meta-analysis using published chamber data and found different levels of responses  
96 to O<sub>3</sub> exposure between stomatal conductance and photosynthesis. They further  
97 implemented the independent response relationships into the Community Land Model  
98 (CLM) and estimated that current ozone levels led to a reduction in global GPP by 8%-  
99 12% (Lombardozzi et al., 2015).

100 The O<sub>3</sub> stress on vegetation physiology can feed back to affect regional climate.  
101 Lombardozzi et al. (2015) employed the CLM model and found that current O<sub>3</sub>  
102 exposure reduced transpiration by 2%-2.4% globally and up to 15% regionally over  
103 eastern U.S., Europe, and Southeast Asia, leading to further perturbations in surface  
104 energy balance. In U.S., Li et al. (2016) found that the O<sub>3</sub> vegetation damage reduced  
105 latent heat (LH) flux, precipitation, and runoff by 10-27 W m<sup>-2</sup>, 0.9-1.4 mm d<sup>-1</sup>, and  
106 0.1-0.17 mm d<sup>-1</sup>, respectively, and increased surface air temperature by 0.6-2.0 °C  
107 during the summer of 2007-2012. In China, Zhu et al. (2022) performed simulations  
108 and found that the inclusion of O<sub>3</sub>-vegetation interaction caused a 5-30 W m<sup>-2</sup> decrease  
109 in LH, 0.2-0.8 °C increase in surface air temperature, and 3% reduction in relative

110 humidity during summers of 2014-2017. Recently, Jin et al. (2023) applied a different  
111 regional model and estimated that O<sub>3</sub> exposure weakened plant transpiration and altered  
112 surface heat flux in China, resulting in significant increase of up to 0.16 °C in maximum  
113 daytime temperature and decrease of -0.74% in relative humidity. However, all these  
114 previous estimates of O<sub>3</sub>-induced feedback to climate were derived using the empirical  
115 O<sub>3</sub> damage scheme proposed by Lombardozzi et al. (2013), which assumed fixed  
116 damage ratios independent of O<sub>3</sub> dose for some vegetation species and as a result may  
117 have biases in the further estimated feedback to climate.

118 The O<sub>3</sub>-vegetation coupling also has intricate implications for air quality. On one  
119 hand, O<sub>3</sub>-vegetation coupling can influence meteorological conditions that affect O<sub>3</sub>  
120 generation, ultimately influencing the O<sub>3</sub> level (Sadiq et al., 2017). On the other hand,  
121 it can also influence biogenic emissions and dry deposition, thereby affecting O<sub>3</sub>  
122 concentrations (Gong et al., 2020). Sadiq et al. (2017) implemented O<sub>3</sub>-vegetation  
123 coupling in the Community Earth System Model (CESM) and estimated that surface  
124 O<sub>3</sub> concentrations increased 4-6 ppb in Europe, North America, and China due to O<sub>3</sub>-  
125 vegetation coupling. By using the CLM model with the empirical scheme of  
126 Lombardozzi et al. (2013), Zhou et al. (2018) found that O<sub>3</sub>-induced damage on leaf  
127 area index (LAI) could lead to changes in global O<sub>3</sub> concentrations by -1.8 to +3 ppb  
128 in boreal summer. Gong et al., (2020) used the O<sub>3</sub> damage scheme from Sitch et al.  
129 (2007) embedded in a global climate-chemistry-carbon coupled model and estimated  
130 that O<sub>3</sub>-induced stomatal inhibition led to an average surface O<sub>3</sub> increase of 1.2-2.1 ppb  
131 in eastern China and 1.0-1.3 ppb in western Europe. Different from the above global  
132 simulations with coarse resolutions, regional modeling with fine resolution can reveal  
133 more details about O<sub>3</sub>-vegetation coupling and feedback to surface O<sub>3</sub> concentrations  
134 in China (Zhu et al., 2022; Jin et al., 2023). However, all these regional simulations  
135 were carried out using O<sub>3</sub> damage scheme of Lombardozzi et al. (2013), limiting the  
136 exploration of model uncertainties due to varied O<sub>3</sub> vegetation damage schemes.

137 In this study, we implemented O<sub>3</sub> vegetation damage schemes from both Sitch et  
138 al. (2007) and Lombardozzi et al. (2013) into the widely-used regional meteorology-

139 chemistry model WRF-Chem. We validated the simulated meteorology and O<sub>3</sub>  
140 concentrations, and performed sensitivity experiments to explore the O<sub>3</sub> damage to GPP  
141 and consequent feedbacks to regional climate and air quality in China. Within the same  
142 framework, we compared the differences of O<sub>3</sub>-vegetation coupling from two schemes  
143 and explored the causes for the discrepancies. We aimed to quantify the modeling  
144 uncertainties in the up-to-date estimates of O<sub>3</sub> impact on regional carbon fluxes and its  
145 feedback to regional climate and air quality in China.

146

## 147 **2 Method**

### 148 **2.1 WRF-Chem model**

149 We used WRF-Chem model version 3.9.1 to simulate meteorological fields and  
150 O<sub>3</sub> concentration in China. The model includes atmospheric physics and dynamical  
151 processes, atmospheric chemistry, and biophysical and biochemical processes (Grell et  
152 al., 2005, Skamarock et al., 2008). The model domain is configured with 196×160 grid  
153 cells at 27 km horizontal resolution on the Lambert conformal projection, and covers  
154 the entire mainland China. In the vertical direction, 28 layers are set extending from  
155 surface to 50 hPa. The meteorological initial and boundary conditions were adopted  
156 from ERA5 reanalysis produced by the European Centre for Medium-Range Weather  
157 Forecasts (ECMWF) at a horizontal resolution of 0.25°×0.25° (Hersbach et al., 2020).  
158 The chemical initial and boundary conditions were generated from the Model for Ozone  
159 and Related Chemical Tracer version 4 (MOZART-4), which is available at a horizontal  
160 resolution of 1.9°×2.5° with 56 vertical layers (Emmons et al., 2010).

161 Anthropogenic emissions are adopted from the 0.25° Multi-resolution Emission  
162 Inventory for China (MEIC) and MIX Asian emission inventory for the other regions  
163 (available at <http://meicmodel.org>). Biogenic emissions are calculated online using the  
164 Model of Emissions of Gases and Aerosols from Nature (Guenther et al., 2006), which  
165 considers the impacts of plant types, weather conditions, and leaf area on vegetation  
166 emissions. Atmospheric chemistry is simulated using the Carbon Bond Mechanism  
167 version Z (CBMZ) (Zaveri and Peters, 1999) gas-phase chemistry module coupled with

168 a four-bin sectional Model for Simulating Aerosol Interactions and Chemistry  
 169 (MOSAIC) (Zaveri et al., 2008). The photolysis scheme is based on the Madronich  
 170 Fast-TUV photolysis module (Tie et al., 2003). The physical configurations include the  
 171 Morrison double-moment microphysics scheme (Morrison et al., 2009), the Grell-3  
 172 cumulus scheme (Grell et al., 2002), the Rapid Radiative Transfer Model longwave  
 173 radiation scheme (Mlawer et al., 1997), the Goddard short-wave radiation scheme  
 174 (Chou and Suarez, 1994), the Yonsei University planetary boundary layer scheme  
 175 (Hong et al., 2006), and the revised MM5 (Fifth generation Mesoscale Model) Monin–  
 176 Obukhov surface layer scheme.

177

## 178 **2.2 Noah-MP model**

179 Noah-MP is a land surface model coupled to WRF-Chem with multiple options  
 180 for key land-atmosphere interaction processes (Niu et al., 2011). Noah-MP considers  
 181 canopy structure with canopy height and crown radius, and depicts leaves with  
 182 prescribed dimensions, orientation, density, and radiometric properties. The model  
 183 employs a two-stream radiative transfer approach for surface energy and water transfer  
 184 processes (Dickinson, 1983). Noah-MP is capable of distinguishing photosynthesis  
 185 pathways between C<sub>3</sub> and C<sub>4</sub> plants, and defines vegetation-specific parameters for leaf  
 186 photosynthesis and respiration.

187 Noah-MP considers prognostic vegetation growth through the coupling between  
 188 photosynthesis and stomatal conductance (Farquhar et al., 1980; Ball et al., 1987). The  
 189 photosynthesis rate,  $A$  ( $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ), is calculated as one of three limiting factors  
 190 as follows:

$$191 \quad A_{tot} = \min(W_c, W_j, W_e)I_{gs} \quad (1)$$

192 where  $W_c$  is the RuBisco-limited photosynthesis rate,  $W_j$  is the light-limited  
 193 photosynthesis rate, and  $W_e$  is the export-limited photosynthesis rate.  $I_{gs}$  is the  
 194 growing season index with values ranging from 0 to 1. Stomatal conductance ( $g_s$ ) is  
 195 computed based on photosynthetic rate as follows:

$$196 \quad g_s = \frac{1}{r_s} = m \frac{A_{net}}{C_s} RH + b \quad (2)$$

197 where  $b$  is the minimum stomatal conductance;  $m$  is the Ball-Berry slope of the  
 198 conductance-photosynthesis relationship;  $A_{net}$  is the net photosynthesis by subtracting  
 199 dark respiration from  $A_{tot}$ ;  $C_s$  is the ambient CO<sub>2</sub> concentration at the leaf surface. The  
 200 assimilated carbon is allocated to various parts of vegetation (leaf, stem, wood, and root)  
 201 and soil carbon pools (fast and slow), which determines the variations of LAI and  
 202 canopy height. Plant transpiration rate is then estimated using the dynamic LAI and  
 203 stomatal conductance. Noah-MP also distinguishes the photosynthesis of sunlit and  
 204 shaded leaves. Sunlit leaves are more limited by CO<sub>2</sub> concentration while shaded leaves  
 205 are more constrained by insolation, leading to varied responses to O<sub>3</sub> damage.

206

### 207 **2.3 Scheme for ozone damage on vegetation**

208 We implemented the O<sub>3</sub> vegetation damage schemes proposed by Sitch et al. (2007)  
 209 (thereafter S2007) and Lombardozzi et al. (2013) (thereafter L2013) into the Noah-MP.  
 210 In S2007 scheme, the undamaged fraction  $F$  for net photosynthesis is dependent on the  
 211 sensitivity parameter  $a_{PFT}$  and excessive area-based stomatal O<sub>3</sub> flux, which is  
 212 calculated as the difference between  $f_{O_3}$  and threshold  $y_{PFT}$ :

$$213 \quad F = 1 - a_{PFT} \times \max\{f_{O_3} - y_{PFT}, 0\} \quad (3)$$

214 where  $a_{PFT}$  and  $y_{PFT}$  are specifically determined for individual plant functional types  
 215 (PFTs) based on measurements (Table 1). The stomatal O<sub>3</sub> flux  $f_{O_3}$  is calculated as

$$216 \quad f_{O_3} = \frac{[O_3]}{r_a + k_{O_3} \cdot r_s} \quad (4)$$

217 where  $[O_3]$  is the O<sub>3</sub> concentration at the reference level (nmol m<sup>-3</sup>),  $r_a$  is the  
 218 aerodynamic and boundary layer resistance between leaf surface and reference level (s  
 219 m<sup>-1</sup>).  $k_{O_3} = 1.67$  represents the ratio of leaf resistance for O<sub>3</sub> to that for water vapor.  $r_s$   
 220 represents stomatal resistance (s m<sup>-1</sup>). For S2007 scheme, stomatal conductance is  
 221 damaged with the same ratio (1- $F$ ) as photosynthesis and further affects O<sub>3</sub> uptake. In  
 222 Noah-MP, the  $f_{O_3}$  are calculated separately for sunlit and shaded leaves with  
 223 corresponding stomatal resistance (Supplementary Text S1).

224 As a comparison, the L2013 scheme applies separate O<sub>3</sub> damaging relationships



225 for photosynthetic rate and stomatal conductance. These independent relationships  
 226 account for different plant groups and are calculated based on the cumulative uptake of  
 227 O<sub>3</sub> (CUO) under different levels of chronic O<sub>3</sub> exposure. The leaf-level CUO (mmol m<sup>-2</sup>  
 228 <sup>2)</sup> ~~over the growing season~~ is calculated ~~as follows:~~

$$229 \quad CUO = \sum (k_{O_3}/r_s + 1/r_a) \times [O_3] \quad (5)$$

230 ~~The physical parameters in~~ by accumulating stomatal O<sub>3</sub> fluxes of Equation (5) are  
 231 ~~the same as those in Equation (4). O<sub>3</sub> uptake is accumulated over from the start of the~~  
 232 growing season to the specific time steps during the growing season ~~step~~ with mean  
 233 LAI > 0.5 (Lombardozzi et al., 2012), when vegetation is most vulnerable to air  
 234 pollution episodes. O<sub>3</sub> uptake is only accumulated when O<sub>3</sub> flux is above an  
 235 instantaneous threshold of 0.8 nmol O<sub>3</sub> m<sup>-2</sup> s<sup>-1</sup> to account for ozone detoxification by  
 236 vegetation at low O<sub>3</sub> levels (Lombardozzi et al., 2015). We also include a leaf-turnover  
 237 rate for evergreen plants so that the accumulation of O<sub>3</sub> flux does not last beyond the  
 238 average foliar lifetime. The O<sub>3</sub> damaging ratios depend on CUO with empirical linear  
 239 relationships as follows:

$$240 \quad F_{pO_3} = a_p \times CUO + b_p \quad (65)$$

$$241 \quad F_{cO_3} = a_c \times CUO + b_c$$

$$242 \quad (76)$$

243 where  $F_{pO_3}$  and  $F_{cO_3}$  are the ozone damage ratios for photosynthesis and stomatal  
 244 conductance, respectively. The slopes ( $a_p$  for photosynthesis and  $a_c$  for stomatal  
 245 conductance) and intercepts ( $b_p$  for photosynthesis and  $b_c$  for stomatal conductance) of  
 246 regression functions are determined based on the meta-analysis of hundreds of  
 247 measurements (Table 2). The ratios predicted in Equations (65) and (76) are applied to  
 248 photosynthesis and stomatal conductance, respectively, to account for their independent  
 249 responses to O<sub>3</sub> damages. In Noah-MP, the  $F_{pO_3}$  and  $F_{cO_3}$  are calculated separately for  
 250 sunlit and shaded leaves based on corresponding stomatal resistance (Supplementary  
 251 Text S1).

252

## 253 2.4 Observational data

254 We validated the simulated meteorology and air pollutants with observations. The  
255 meteorological data were downloaded from the National Meteorological Information  
256 Center of China Meteorological Administration (CMA Meteorological Data Centre,  
257 2022, <http://data.cma.cn/data/detail/dataCode/A.0012.0001.html>). The daily averaged  
258 surface pressure (PRES), wind speed at a height of 10 m (WS10), relative humidity  
259 (RH) and temperature at a height of 2 m (T2) were collected from 839 ground stations.  
260 Hourly surface O<sub>3</sub> concentrations at 1597 sites in China were collected from Chinese  
261 National Environmental Monitoring Center (CNEMC, <http://websearch.mep.gov.cn/>).  
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## 263 **2.5. Simulations**

264 We performed seven experiments to quantify the damaging effects of ambient O<sub>3</sub>  
265 on GPP and the feedbacks to regional climate and air quality (Table 3). All simulations  
266 are conducted from 1<sup>st</sup> May to 31<sup>st</sup> August of 2017 with the first month excluded from  
267 the analysis as the spin-up. The control simulations (CTRL) excluded the impact of  
268 ozone on vegetation. Three offline simulations were performed with the same settings  
269 as the CTRL run, except that O<sub>3</sub> vegetation damages were calculated and output without  
270 feedback to affect vegetation growth. These offline runs were established using either  
271 the S2007 scheme (Offline\_SH07 for high sensitivity and Offline\_SL07 for low  
272 sensitivity) or the L2013 scheme (Offline\_L13). As a comparison, three online  
273 simulations applied the S2007 scheme (Online\_SH07 for high sensitivity and  
274 Online\_SL07 for low sensitivity) and the L2013 scheme (Online\_L13) to estimate the  
275 O<sub>3</sub> damages to GPP, which further influenced LAI development, leaf transpiration, and  
276 dry deposition. The differences between CTRL and Online runs indicated the responses  
277 of surface meteorology and O<sub>3</sub> concentrations to the O<sub>3</sub>-induced vegetation damages.  
278

278

## 279 **3. Results**

### 280 **3.1 Model evaluations**

281 We compared the simulated summer near-surface temperature, relative humidity,  
282 wind speed, and surface O<sub>3</sub> concentrations to observations. The model reasonably

283 reproduces the spatial pattern of higher near-surface temperature in Southeast and  
284 Northwest and lower temperature over the Tibetan Plateau (Figure 1a). On the national  
285 scale, the near-surface temperature is underestimated with a mean bias (MB) of 1.04 °C  
286 but it shows a high correlation ( $R=0.96$ ). Unlike temperature, simulated relative  
287 humidity is overestimated with a MB of 5.04 % but a high  $R$  of 0.93 (Figure 1b). Due  
288 to the modeling biases in the topographic effects, simulated wind speed is overestimated  
289 by more than  $1.06 \text{ m s}^{-1}$  on the national scale (Figure 1c). Such overestimation was also  
290 reported in other studies using WRF models (Hu et al., 2016, Liu et al., 2020, Zhu et  
291 al., 2022).

292 Comparisons with the measurements from air quality sites show that the simulated  
293  $\text{O}_3$  deviates from the observed mean concentrations by  $5.42 \mu\text{g m}^{-3}$  with a spatial  $R$  of  
294 0.68. The model reasonably captures the hotspots over North China Plain though with  
295 some overestimations, potentially attributed to uncertain emissions and coarse model  
296 resolutions. Such elevated bias in summer  $\text{O}_3$  is a common issue for both global and  
297 regional models over Asia. For example, Zhu et al. (2022) reported the overestimated  
298 summer average ozone concentration by  $13.82 \mu\text{g m}^{-3}$  in China. Liu et al. (2020)  
299 reached positive biases ranging from  $3.7 \mu\text{g m}^{-3}$  to  $13.32 \mu\text{g m}^{-3}$  using the WRF-CMAQ  
300 model. Overall, the WRF-Chem model shows reasonable performance in the simulation  
301 of surface meteorology and  $\text{O}_3$  concentrations in China.

302

### 303 **3.2 Offline $\text{O}_3$ damage**

304 We compared the offline  $\text{O}_3$  damage to photosynthesis between sunlit (PSNSUN)  
305 and shaded (PSNSHA) leaves during the summer. The S2007 scheme is dependent on  
306 instantaneous  $\text{O}_3$  uptake, which peaks when both  $\text{O}_3$  concentrations and stomatal  
307 conductance are high. For the same  $\text{O}_3$  pollution level, the damages are much higher  
308 for the sunlit leaves (Figures 2a-2b) than that for the shaded leaves (Figures 2d-2e),  
309 because of the higher stomatal conductance linked with the more active photosynthesis  
310 for the sunlit leaves. In contrast, the L2013 scheme depends on the accumulated  $\text{O}_3$  flux  
311 and assumes constant damages for some PFTs (Table 2), resulting in reductions of

312 photosynthesis even at low O<sub>3</sub> concentrations. Consequently, we found limited  
313 differences in the O<sub>3</sub> damages between sunlit (Figure 2c) and shaded (Figure 2f) leaves  
314 with L2013 scheme. Observations have reported that surface O<sub>3</sub> has limited impacts on  
315 the shaded leaves (Wan et al., 2014), consistent with the results simulated by the S2007  
316 scheme.

317 Figure 3 shows the effect of O<sub>3</sub> damage to stomatal resistance of sunlit (RSSUN)  
318 and shaded (RSSHA) leaves. Overall, the spatial pattern of the changes in stomatal  
319 resistance is consistent with those of photosynthesis (Figure 2) but with opposite signs.  
320 Both RSSUN and RSSHA are enhanced by O<sub>3</sub> damage so as to prevent more O<sub>3</sub> uptake.  
321 For S2007 scheme, RSSUN with high and low sensitivities respectively increases by  
322 13.43% (Figure 3a) and 8.35% (Figure 3b), higher than the rates of 4.71% (Figure 3d)  
323 and 2.97% (Figure 3e) for RSSHA. These ratios are inversely connected to the changes  
324 of photosynthesis (Figure 2), suggesting the full coupling of damages between leaf  
325 photosynthesis and stomatal conductance. For L2013 scheme, predicted changes in  
326 RSSUN (Figure 3c) and RSSHA (Figure 3f) are very similar with the magnitude of  
327 25.3%-26.3%. These changes are higher than the loss of photosynthesis (Figures 2c and  
328 2f), suggesting the decoupling of O<sub>3</sub> damages to leaf photosynthesis and stomatal  
329 conductance as revealed by the L2013 scheme.

330 We further assessed the O<sub>3</sub> damage to GPP and transpiration (TR). For S2007  
331 scheme, O<sub>3</sub> causes damages to national average GPP and TR approximately by 5.5%  
332 with low sensitivity (Figures 4b and 4e) and 8.4% with high sensitivity (Figures 4a and  
333 4d) compared to the CTRL simulation. The model predicts high GPP damages over  
334 North China Plain and moderate damages in the southeastern and northeastern regions.  
335 In the northwest, GPP damage is very limited due to the low relative humidity (Figure  
336 1b) that constrains the stomatal uptake. For L2013 scheme, TR shows uniform  
337 reductions exceeding -25% in most regions of China except for the northwest (Figure  
338 4f), though O<sub>3</sub> concentrations show distinct spatial gradient (Figure 1d). The changes  
339 of GPP are similar to that of TR but with lower inhibitions (Figure 4c). On average, the  
340 GPP reduction with the L2013 scheme is 2.5-3.9 times of that predicted with the S2007

341 scheme. The most significant differences are located in Tibetan Plateau with limited  
342 damages in S2007 but strong inhibitions of both GPP and TR in L2013. The low  
343 temperature (Figure 1a) and O<sub>3</sub> concentrations (Figure 1d) jointly constrain O<sub>3</sub> stomatal  
344 uptake (Figure S2), leading to low O<sub>3</sub> damages over Tibetan Plateau with the S2007  
345 scheme. However, the L2013 scheme applies  $b_p=0.8021$  for grassland (Table 2),  
346 suggesting strong baseline damages up to 20% even with CUO=0 over Tibetan Plateau  
347 where the grassland dominates (Figure S3).

348

### 349 **3.3 The O<sub>3</sub>-vegetation feedback to surface energy and meteorology**

350 The O<sub>3</sub> vegetation damage causes contrasting responses in surface sensible heat  
351 (SH) and LH (Figure 5). For S2007 scheme, the SH fluxes on average increase by 3.17  
352 W m<sup>-2</sup> (8.85%) with low sensitivity (Figure 5b) and 5.99 W m<sup>-2</sup> (16.22%) with high  
353 sensitivity (Figure 5a). The maximum enhancement is located in southern China, where  
354 the increased stomatal resistance (Figure 3a) reduces transpiration and the consequent  
355 heat dissipation. Meanwhile, LH fluxes decrease by 3.26 W m<sup>-2</sup> (5.58%) with low  
356 sensitivity (Figure 5e) and 6.43 W m<sup>-2</sup> (15.29%) with high sensitivity (Figure 5d),  
357 following the reductions in transpiration (Figures 4d and 4e). We found similar changes  
358 in surface energy by O<sub>3</sub>-vegetation coupling between the S2007 and L2013 schemes.  
359 The SH shows the same hotspots over southern China with national average increase  
360 of 12.85% (Figure 5c), which is within the range of 8.85% to 16.22% predicted by the  
361 S2007 scheme. The LH largely decreases in central and northern China with the mean  
362 reduction of 17.4% (Figure 5f), close to the magnitude of 15.29% predicted with the  
363 S2007 scheme using the high O<sub>3</sub> sensitivity (Figure 5d). Although the offline damages  
364 to GPP and TR are much larger with the L2013 than S2007 (Figure 4), their feedback  
365 to surface energy shows consistent spatial pattern and magnitude (Figure 5), likely  
366 because the O<sub>3</sub> inhibition in S2007 has the same diurnal cycle with energy fluxes while  
367 the L2013 scheme shows almost constant inhibitions throughout the day (Figure S1).  
368 The zero or near-zero slope parameters ( $a_p$  and  $a_c$ ) in the L2013 scheme (Table 2) lead  
369 to insensitive responses of photosynthesis and stomatal conductance to the variations

370 of CUO. As a result, there were very limited diurnal variations in O<sub>3</sub> damage with the  
371 L2013 scheme. However, the strong nighttime damages in L2013 have limited  
372 contributions to the changes of surface energy, which usually peaks at the daytime.

373 The O<sub>3</sub>-induced damages to stomatal conductance weaken plant transpiration and  
374 thus slow down the heat dissipation at the surface, leading to the higher temperature but  
375 lower RH in China (Figure 6). On the national scale, temperature increases by 0.5 °C  
376 due to O<sub>3</sub> vegetation damage with the high sensitivity (Figure 6a) and 0.23 °C with the  
377 low sensitivity (Figure 6b) predicted using the S2007 scheme. A similar warming is  
378 predicted with the L2013 scheme except that temperature shows moderate enhancement  
379 over Tibetan Plateau (Figure 6c). The average RH decreases by 3.68% with the high O<sub>3</sub>  
380 sensitivity (Figure 6d) and 2.22% with the low sensitivity (Figure 6e) in response to the  
381 suppressed plant transpiration. A stronger RH reduction of -3.85% is achieved with the  
382 L2013 scheme, which predicts the maximum RH reductions in the North (Figure 6f).

383

### 384 **3.4 The O<sub>3</sub>-vegetation feedback to air quality**

385 The O<sub>3</sub>-induced inhibition on stomatal resistance leads to a significant increase in  
386 surface O<sub>3</sub> concentrations, particularly in eastern China (Figures 7a-7c). The main cause  
387 of such feedback is the reduction in O<sub>3</sub> dry deposition, which exacerbates the O<sub>3</sub>  
388 pollution in China. For S2007 scheme, this positive feedback can reach up to 15 µg m<sup>-3</sup>  
389 <sup>3</sup> with high sensitivity (Figure 7a) and 8 µg m<sup>-3</sup> with low sensitivity (Figure 7b) over  
390 North China Plain. On the national scale, surface O<sub>3</sub> enhances 4.40 µg m<sup>-3</sup> (5.08 %)   
391 with high O<sub>3</sub> sensitivity and 2.62 µg m<sup>-3</sup> (3.04%) with low O<sub>3</sub> sensitivity through the  
392 coupling to vegetation. For L2013 scheme, the changes of O<sub>3</sub> concentration (Figure 7c)  
393 are comparable to that of the S2007 scheme with high sensitivity (Figure 7a), except  
394 that the O<sub>3</sub> enhancement is stronger in the Southeast but weaker in the Northeast.

395 The O<sub>3</sub>-vegetation coupling also increases surface isoprene emissions. For S2007  
396 scheme, isoprene emissions increase by 6.13% with high sensitivity (Figure 7d) and  
397 3.43% with low sensitivity (Figure 7e), with regional hotspots in North China Plain,  
398 northeastern and southern regions. The predictions using L2013 scheme (Figure 7f)

399 show very similar patterns and magnitude of isoprene changes to the S2007 scheme  
400 with high sensitivity. Such enhancement in isoprene emissions is related to the  
401 additional surface warming by O<sub>3</sub>-vegetation interactions (Figures 6a-6c). In turn, the  
402 increased isoprene emissions contribute to the deterioration of O<sub>3</sub> pollution in China.

403

#### 404 **4. Conclusions and discussion**

405 In this study, we explored the feedback of O<sub>3</sub>-vegetation coupling to surface  
406 meteorology and air quality in China using two O<sub>3</sub> damage schemes embedded in a  
407 regional meteorology-chemistry coupled model. The two schemes predicted distinct  
408 spatial patterns with much larger magnitude of GPP loss in the L2013 scheme than that  
409 in the S2007 scheme. We further distinguished the leaf responses with different  
410 illuminations. For the S2007 scheme, the damages to photosynthesis of sunlit leaves  
411 are ~2.6 times of that to shaded leaves. However, for the L2013 scheme, limited  
412 differences are found between the sunlit and shaded leaves. The damages to leaf  
413 photosynthesis increase stomatal resistance, leading to the reductions of transpiration  
414 but enhancement of sensible heat due to the less efficient heat dissipation. These  
415 changes in surface energy and water fluxes feed back to increase surface temperature  
416 but decrease relative humidity. Although the L2013 scheme predicts much stronger  
417 offline damages, the feedback causes very similar pattern and magnitude in surface  
418 warming as the S2007 scheme. Consequently, surface O<sub>3</sub> increases due to the stomatal  
419 closure and isoprene emissions enhance due to the anomalous warming.

420 Our predicted O<sub>3</sub> damage to GPP was within the range of -4% to -40% as estimated  
421 in previous studies using different models and/or parameterizations over China (Ren et  
422 al., 2011; Lombardozzi et al., 2015; Yue et al., 2015; Sadiq et al., 2017; Xie et al., 2019;  
423 Zhu et al., 2022; Jin et al., 2023). Such a wide span revealed the large uncertainties in  
424 the estimate of O<sub>3</sub> impacts on ecosystem functions. In this study, we employed two  
425 schemes and compared their differences. With the S2007 scheme, we predicted GPP  
426 reductions of -5.5% to -8.5% in China. This is similar to the range of -4% to -10%  
427 estimated by Yue et al. (2015) using the same O<sub>3</sub> damage scheme. However, it is lower

428 than the estimate of -12.1% predicted by Xie et al. (2019), likely due to the slight  
429 overestimation of surface O<sub>3</sub> in the latter study. With the L2013 scheme, we predicted  
430 much larger GPP reductions of -21.4%. However, such value was still lower than the -  
431 28.9% in Jin et al. (2023) and -20% to -40% in Zhu et al. (2022) using the same L2013  
432 scheme embedded in WRF-Chem model, though all studies showed similar spatial  
433 patterns in the GPP reductions. Such differences were likely attributed to the varied  
434 model configuration as we ran the model from May while the other studies started from  
435 the beginning of years. The longer time for the accumulation of O<sub>3</sub> stomatal uptake in  
436 other studies might result in higher damages than our estimates with the L2013 scheme.

437 The O<sub>3</sub>-vegetation coupling caused strong feedback to surface meteorology and  
438 air quality. Our simulations with either scheme revealed that surface SH increases by  
439 2-28 W m<sup>-2</sup> and LH decreases by 4-32 W m<sup>-2</sup> over eastern China, consistent with the  
440 estimates of 5-30 W m<sup>-2</sup> by Zhu et al. (2022) using WRF-Chem model with the L2013  
441 scheme. Consequently, surface air temperature on average increases by 0.23-0.51°C  
442 while relative humidity decreases by 2.2-3.8%, similar to the warming of 0.2-0.8°C and  
443 RH reduction of 3% as predicted by Zhu et al. (2022). However, these changes in  
444 surface energy flux and meteorology are much higher than that in Jin et al. (2023),  
445 likely because the latter focuses on the perturbations averaged throughout the year  
446 instead of summer period as in this study and Zhu et al. (2022). We further predicted  
447 that O<sub>3</sub> vegetation damage increased surface O<sub>3</sub> by 1.0-3.33 μg m<sup>-3</sup> in China, similar  
448 to the 2.35-4.11 μg m<sup>-3</sup> estimated for eastern China using a global model (Gong et al.,  
449 2020). Regionally, the O<sub>3</sub> enhancement reached as high as 7.84-14.70 μg m<sup>-3</sup> in North  
450 China Plain, consistent with the maximum value of 11.76 μg m<sup>-3</sup> over the same domain  
451 predicted by Zhu et al. (2022). However, limited feedback to surface O<sub>3</sub> was predicted  
452 in Jin et al. (2023), mainly because the decreased dry deposition had comparable but  
453 opposite effects to the decreased isoprene emissions due to the reductions of LAI. Such  
454 discrepancy was likely caused by the stronger O<sub>3</sub> inhibition in Jin et al. (2023) following  
455 the longer period of O<sub>3</sub> accumulation, consequently exacerbating the negative impacts  
456 of LAI reductions on O<sub>3</sub> production.



457           There were some limitations in our parameterizations and simulations. First, we  
458 predicted increases of isoprene emissions in eastern China mainly due to the increased  
459 leaf temperature, which is in line with previous studies (Sadiq et al., 2017; Zhu et al.,  
460 2022). However, isoprene production is coupled to photosynthesis. There are empirical  
461 evidences showing that high dose of O<sub>3</sub> exposure reduces isoprene emissions when O<sub>3</sub>  
462 exposure is prolonged enough to suppress photosynthesis (Bellucci et al., 2023).  
463 Inclusion of such negative feedback might alleviate the O<sub>3</sub>-induced enhancement in  
464 isoprene emissions. Second, the WRF-Chem model slightly overestimated summer O<sub>3</sub>  
465 concentrations, which could exacerbate the damages to stomatal conductance and the  
466 subsequent feedback. Third, the S2007 scheme employed the coupled responses in  
467 photosynthesis and stomatal conductance to O<sub>3</sub> vegetation damage. However, some  
468 observations revealed that stomatal response is slow under long-term O<sub>3</sub> exposure,  
469 resulting in loss of stomatal function and decoupling from photosynthesis (Calatayud  
470 et al., 2007; Lombardozzi et al., 2012). The L2013 scheme considered the decoupling  
471 between photosynthesis and stomatal conductance. However, this scheme shows no  
472 significant different changes for sunlit and shaded leaves. In addition, the calculation  
473 of CUO heavily relied on the O<sub>3</sub> threshold and accumulation period, leading to varied  
474 responses among different studies using the same scheme. Furthermore, the slopes of  
475 O<sub>3</sub> sensitivity in L2013 scheme were set to zero for some PFTs, leading to constant  
476 damages independent of CUO. Fourth, the current knowledge of the O<sub>3</sub> effects on  
477 stomatal conductance was primarily derived from leaf-level measurements (Matyssek  
478 et al., 2008), which were much fewer compared to that for photosynthesis. The limited  
479 data availability and lack of inter-PFT responses constrain the development of empirical  
480 parameterizations.

481           Despite these limitations, our study provided the first comparison of different  
482 parameterizations in simulating O<sub>3</sub>-vegetation interactions. We found similar feedbacks  
483 to surface energy and meteorology though the two schemes showed varied magnitude  
484 and distribution in the offline responses of GPP and stomatal conductance to surface  
485 O<sub>3</sub>. The main cause of such inconsistency lied in the low feedback of damages in L2013

486 with some unrealistic inhibitions of ecosystem functions at night and over the regions  
487 with low O<sub>3</sub> level. Such similarity provides a solid foundation for the exploration of  
488 O<sub>3</sub>-vegetation coupling using different schemes. The positive feedback of O<sub>3</sub> vegetation  
489 damage to surface air temperature and O<sub>3</sub> concentrations posed emerging but ignored  
490 threats to both climate change and air quality in China.

491

492 **Data availability.** The observed hourly O<sub>3</sub> concentrations were obtained from Chinese  
493 National Environmental Monitoring Center (CNEMC, <http://websearch.mep.gov.cn/>).  
494 The observed meteorological data were obtained from the National Meteorological  
495 Information Center of China Meteorological Administration (CMA Meteorological  
496 Data Centre, 2022, <http://data.cma.cn/data/detail/dataCode/A.0012.0001.html>). The  
497 MEIC and MIX emission inventory are available at  
498 [http://meicmodel.org.cn/?page\\_id=560](http://meicmodel.org.cn/?page_id=560) and [http://meicmodel.org.cn/?page\\_id=89](http://meicmodel.org.cn/?page_id=89).

499

500 **Author contributions.** XY conceived the study. XY and JC designed the research and  
501 carried out the simulations. JC completed data analysis and the first draft. MM provided  
502 useful comments on the paper. XY reviewed and edited the manuscript.

503

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505

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513

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692 **Tables**693 **Table 1.** Parameters used for S2007 O<sub>3</sub> damage scheme.

PFTs <sup>a</sup>	$a_{PFT}(\text{nmol}^{-1} \text{m}^2 \text{s})$ <sup>b</sup>	$\gamma_{PFT}(\text{nmol m}^{-2} \text{s}^{-1})$
EBF	0.075, 0.02	1.6
NF	0.075, 0.02	1.6
DBF	0.15, 0.04	1.6
SHR	0.1, 0.03	1.6
GRA	1.4, 0.25	5
CRO	1.4, 0.25	5

694 <sup>a</sup> The plant functional types (PFTs) include evergreen broadleaf forest (EBF), needleleaf  
695 forest (NF), deciduous broadleaf forest (DBF), shrubland (SHR), grassland (GRA), and  
696 cropland (CRO).

697 <sup>b</sup> The first number is for high sensitivity and the second is for low sensitivity.

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**Table 2.** Slopes and intercepts used for L2013 O<sub>3</sub> damage scheme.

PFTs	$a_p$ (mmol m <sup>-2</sup> )	$b_p$	$a_c$ (mmol m <sup>-2</sup> )	$b_c$
EBF	0	0.8752	0	0.9125
NF	0	0.839	0.0048	0.7823
DBF	0	0.8752	0	0.9125
SHR	0	0.8752	0	0.9125
GRA	-0.0009	0.8021	0	0.7511
CRO	-0.0009	0.8021	0	0.7511

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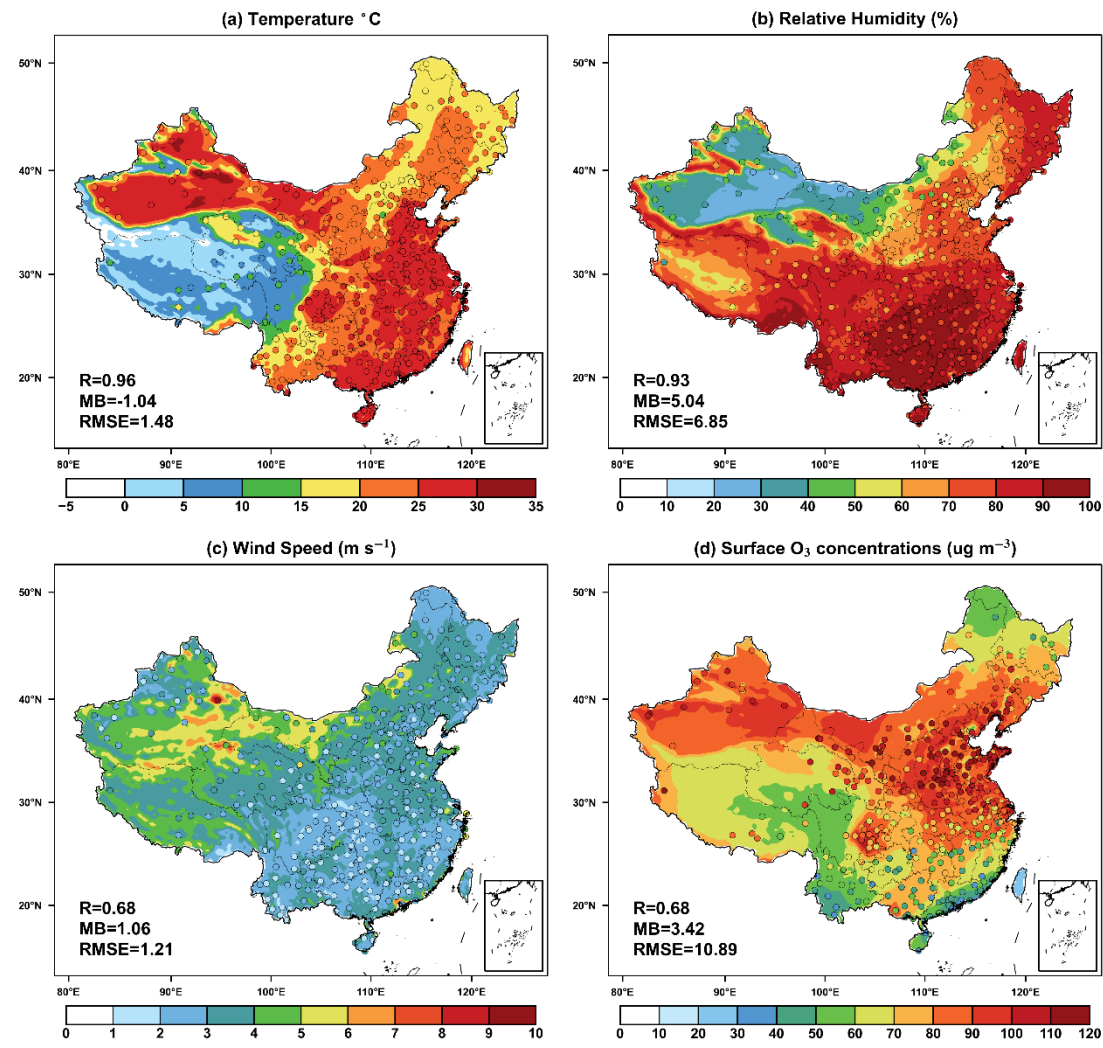
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**Table 3.** Summary of simulation experiments

Name	O <sub>3</sub> damage to vegetable	Scheme
CRTL	-	-
Offline_SH07	High	Sitch et al. (2007)
Offline_SL07	Low	Sitch et al. (2007)
Offline_L13	-	Lombardozzi et al. (2013)
Online_SH07	High	Sitch et al. (2007)
Online_SL07	Low	Sitch et al. (2007)
Online_L13	-	Lombardozzi et al. (2013)

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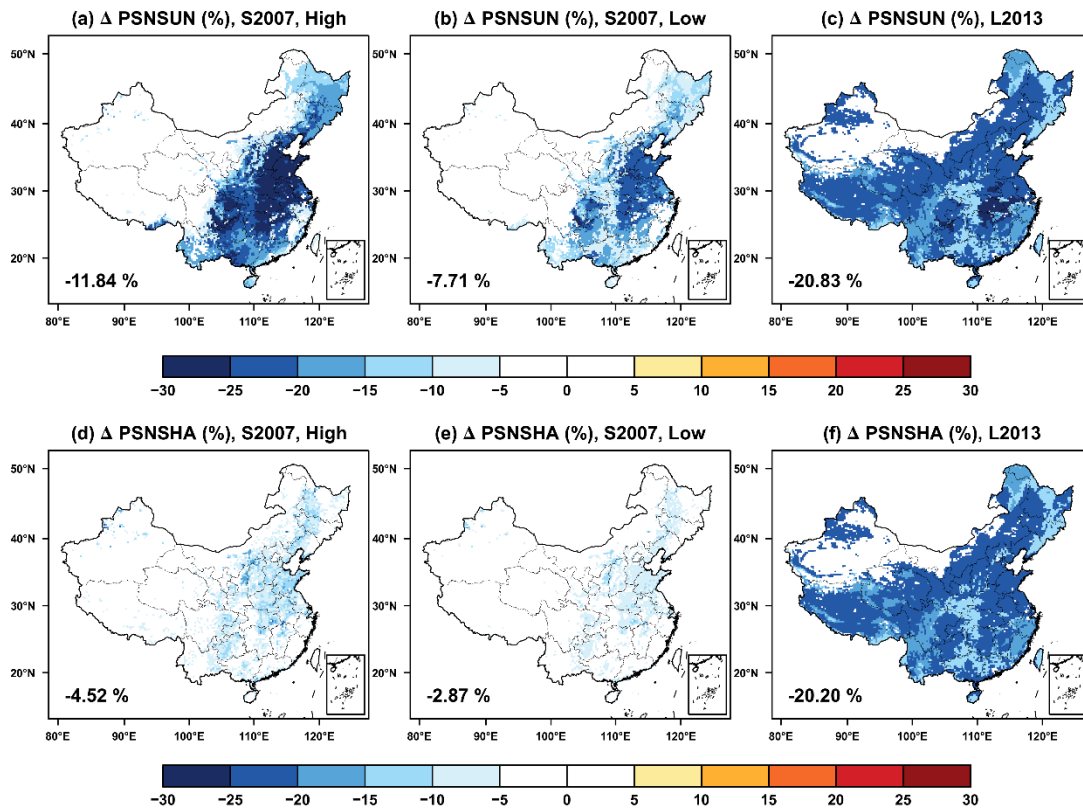
711 **Figure 1** Evaluations of simulated summer (June–August) daily (24-h average) (a)712 near-surface temperature, (b) relative humidity, (c) wind speed, and (d) surface  $\text{O}_3$ 

713 concentrations in China. The dots represent the site-level observations. The correlation

714 coefficients (R), mean biases (MB), and root-mean-square error (RMSE) for the

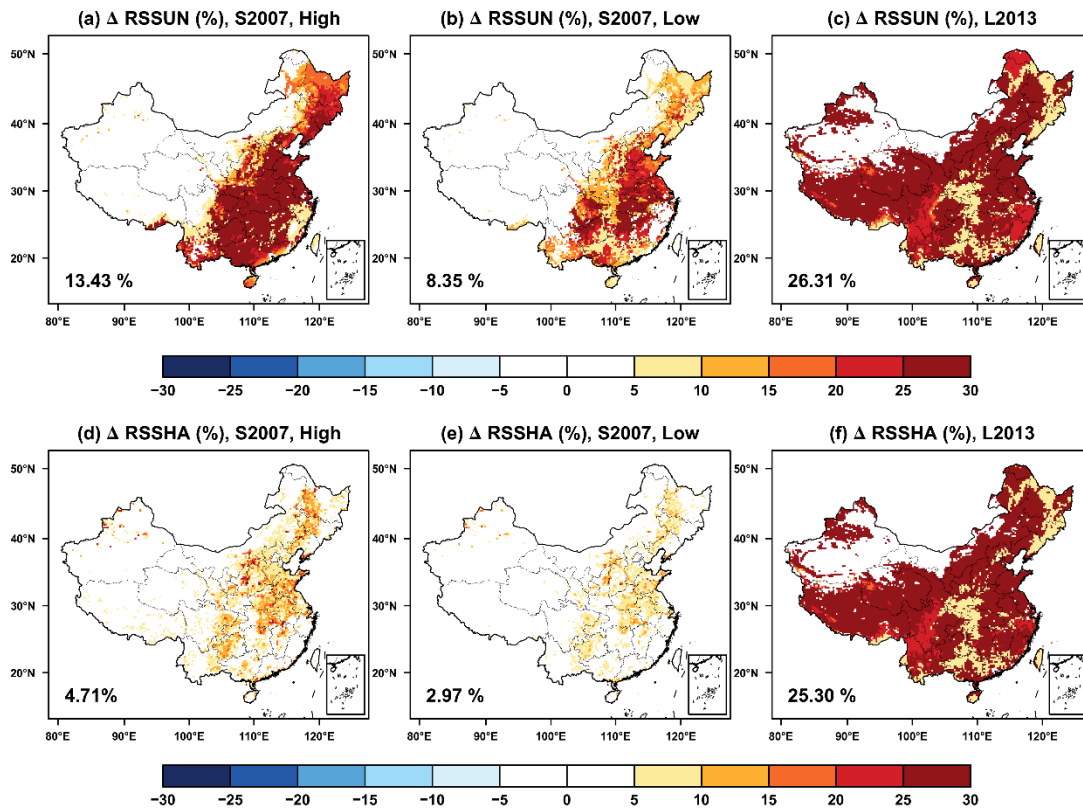
715 comparisons are shown in the lower left corner of each panel.

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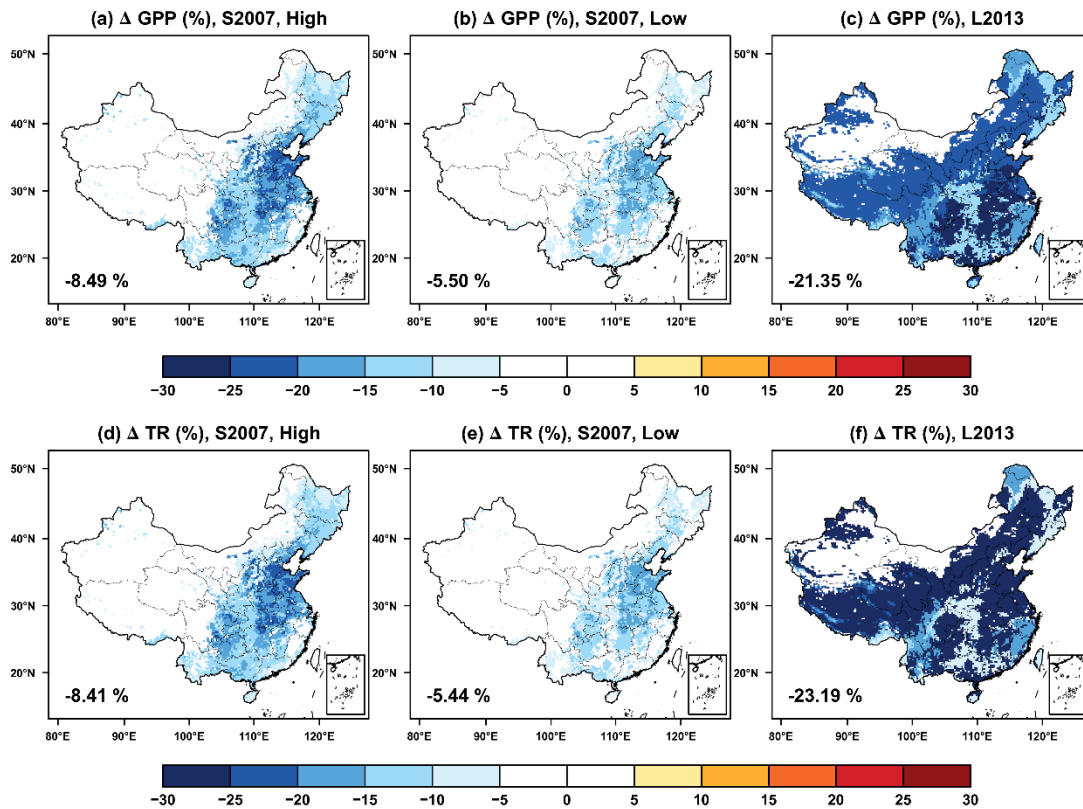
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**Figure 2** Offline O<sub>3</sub> damage (%) to the summertime photosynthesis of (a-c) sunlit and (d-f) shaded leaves predicted by the S2007 scheme with (a, d) high and (b, e) low sensitivities or the (c, f) L2013 scheme. The area-weighted percentage changes are shown in the lower left corner.



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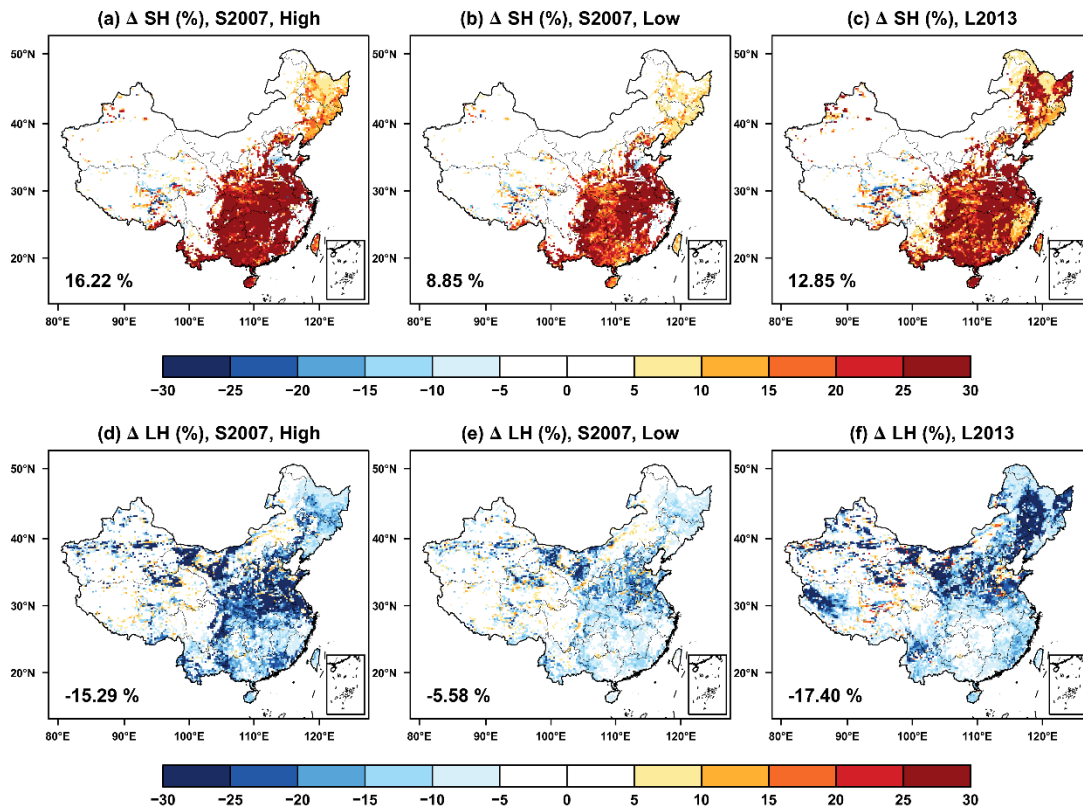
**Figure 3** The same as Figure 2 but for the changes in stomatal resistance.



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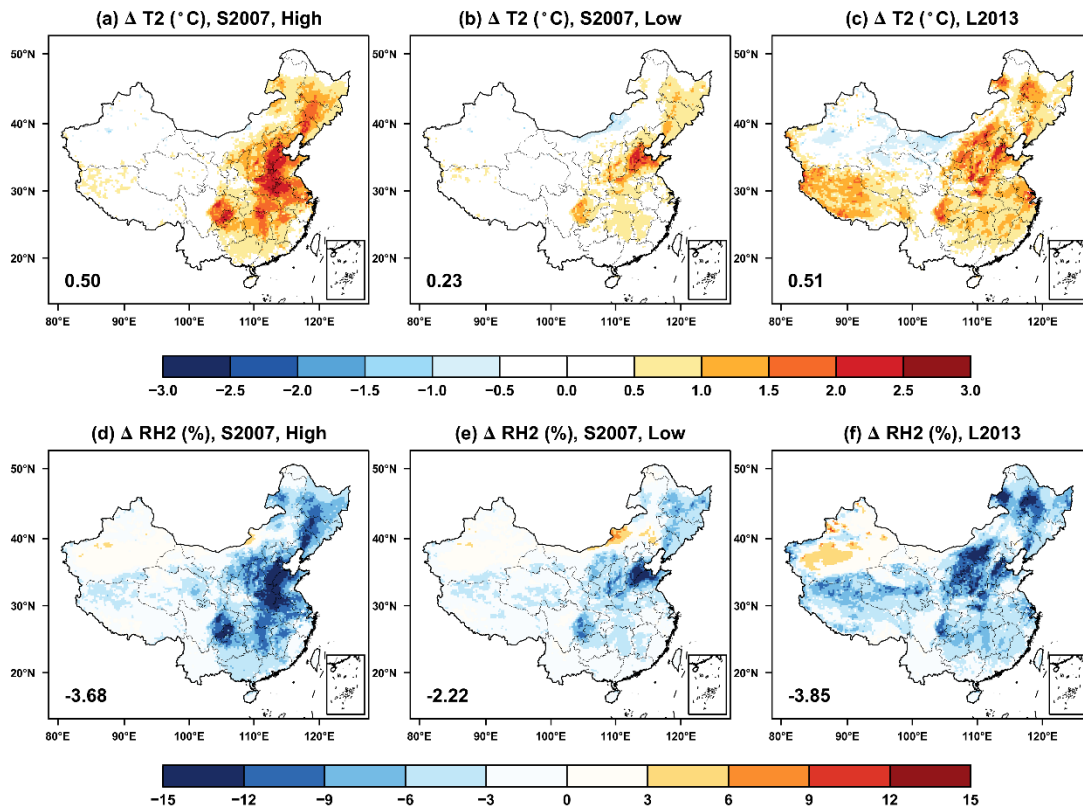
**Figure 4** Offline O<sub>3</sub> damage (%) to the (a-c) gross primary productivity (GPP) and (d-f) transpiration rate (TR) predicted by the Sitch scheme with (a, d) high and (b,e) low sensitivities or the (c, f) Lombardozzi scheme. The area-weighted percentage changes are shown in the lower left corner.





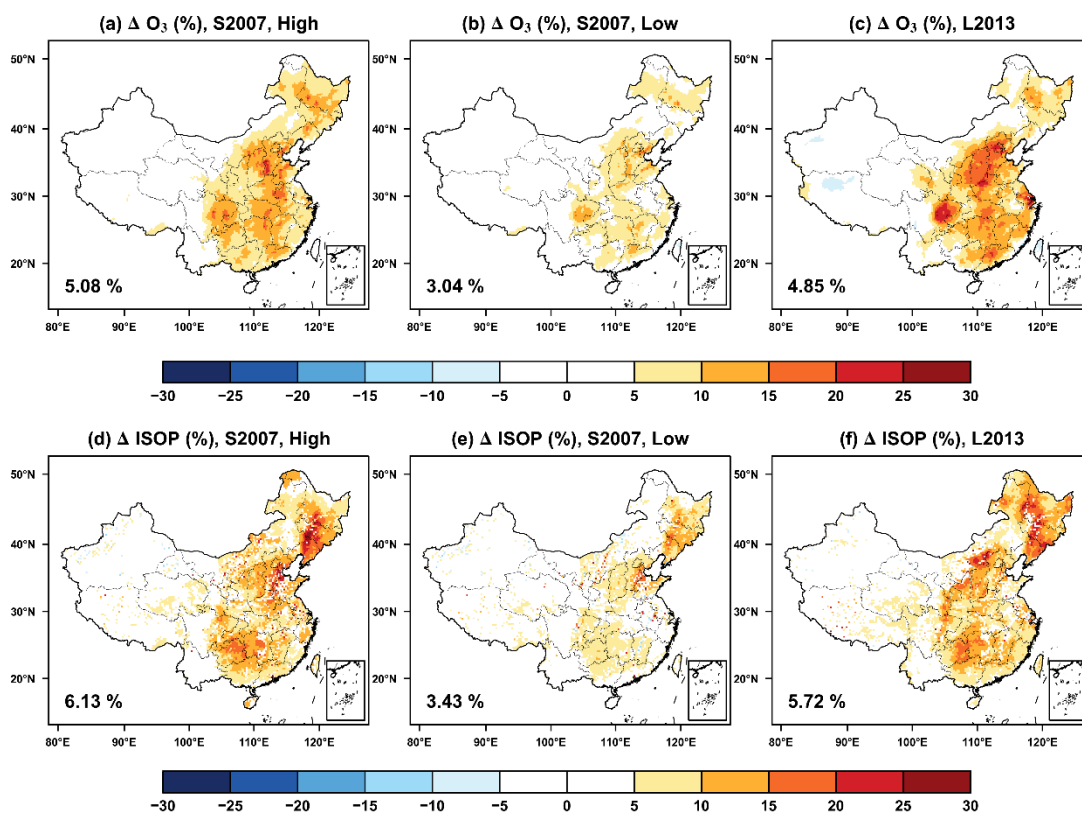
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**Figure 5** The feedback of O<sub>3</sub>-vegetation interaction to surface (a-c) sensible and (d-f) latent heat fluxes in the summer predicted by the S2007 scheme with (a, d) high and (b, e) low sensitivities or the (c, f) L2013 scheme. The relative changes are shown with area-weighted percentage changes indicated at the lower left corner.



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**Figure 6** The same as Figure 5 but for changes in (top) air temperature and (bottom) relative humidity at 2 meters.



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752 **Figure 7** The feedback of O<sub>3</sub>-vegetation interaction to surface O<sub>3</sub> concentrations and  
 753 isoprene emissions in the summer predicted by the S2007 scheme with (a, d) high and  
 754 (b, e) low sensitivities or the (c, f) L2013 scheme. The area-weighted percentage  
 755 changes are shown in the lower left corner.

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