1 **1 Response to Reviewer #1's comments**

1.1 1. The Pacific decadal oscillation which is also one of the main climate mode that can affect
ENSO and indeed on the ozone concentrations. The authors didn't explain why other climate
modes are not considered and why only three (Dipole mode Index, Southern Annual Mode
and North Atlantic Oscillation) climate modes.

Response: We thank the reviewer for raising this point. We agree that the Pacific decadal
oscillation (PDO) is an important climate mode. However, as we mainly focus on the impacts of

8 ENSO on interannual time scale, we have not included the PDO in the analysis.

9 We added the following sentences to Section 2.2 to clarify this point:

10 "In this study, the confounding factors are limited to three major climate modes (i.e., DMI, SAM

and NAO) as these modes are crucial to global climate variability on interannual time scales

12 (Delworth et al., 2016; Hurrell et al., 2003; Kripalani et al., 2009; Luo et al., 2012; Raphael and

13 Holland, 2006). Furthermore, alterations in these climate modes may influence the variations of

14 ENSO (Cai et al., 2019; Ha et al., 2017; Le et al., 2020; Le and Bae, 2019)."

1.2 2. Try to elaborate mainly the common schemes in the Atmospheric Chemistry Modules that
 are in the models (other than the three models BCC_CSM2_MR, IPSL_CM6A_LR and
 MPI_ESM1_2_LR) as the behavior of these models in connection to the response of ENSO
 on ozone variation is similar.

19 Response: We thank the reviewer for raising this point. We added the following sentences to20 Section 2.1 and Section 4 to clarify this point:

"In Table 1, the models equipped with an Atmospheric Chemistry module are fully coupled where the chemistry scheme is associated with the physics of the atmospheric model, allowing for comprehensive consideration of interactions between climate variations, interactive chemistry, and carbon cycle (Emmons et al., 2020; Michou et al., 2020; Wu et al., 2019)."

25 "In these models, ozone variations are prescribed using observational data (Lurton et al., 2020;

26 Wu et al., 2019), and it is expected that the response of ozone variation to atmospheric circulation

and ENSO is not significant."

28 1.3 3. The Text S1 which explains about the method that has been adopted should be mentioned 29 under the method section 2.2 rather than in the supplement. It helps the reader to have a 30 quick through of the methodology adopted in the study.

31 **Response:** We thank the reviewer for this suggestion. We moved Text S1 to Section 2.2 of the 32 main text.

33 4. Why did you consider only 1000 hPa, 850 hPa, 500 hPa and 300 hPa? Are these pressure 1.4 34 levels enough to represent the respective atmospheric region of the atmosphere (like middle 35 troposphere, upper troposphere). As ENSO is responsible for changes in winds and 36 circulation patterns. It is also expected to have impact on the transport of ozone from the 37 lower troposphere to upper troposphere and lower stratosphere. It would be interesting if you 38 can check if the features are same in the upper levels (above 300 hPa just below the 39 tropopause)

40 **Response:** We thank the reviewer for raising this point. In our opinion, the selected pressure levels 41 can represent much of the atmosphere as supported by the results described in Figure 2. In Figure 42 2, there might be distinct impacts of ENSO on ozone over the lower, middle, and upper 43 troposphere.

44 Below we show the analysis at 250 hPa. At this pressure level, the regions from 60N-90N are in 45 the lower stratosphere, while the regions from 90S-60N are in the upper troposphere (Griffiths et 46 al., 2021). Figure R1 below shows that the pattern of ENSO impacts for the analysis at 250 hPa is 47 similar to the analysis at 300 hPa. Hence, we conclude that there is no significant change in ENSO 48 impacts on ozone at the tropopause, though additional analyses might give clearer answer. 49 We added the following sentences to Sections 3 and 4 to discuss this point:

50 "Further analysis (not shown) indicates that the patterns of ENSO impacts on ozone at 250 hPa are 51 similar to those at 300 hPa. This implies that the response of ozone variation to ENSO might 52 remain consistent across the upper troposphere, the tropopause, and the lower stratosphere."

- 53 "In addition, as the tropopause may vary depending on different latitudes (Griffiths et al., 2021),
- 54 it is essential to conduct further analyses that specifically address the impacts of ENSO on ozone
- 55 concentrations across the upper troposphere, the tropopause, and the lower stratosphere."

MODELS MEAN: ENSO - OZONE (250 hPa) PERIOD 1850-2014 EXPERIMENT HISTORICAL







Figure R1. Map of multi-model mean probability for the absence of Granger causality from ENSO to
 annual ozone concentrations for the historical experiment over the 1850-2014 period at 250 hPa (upper)
 and 300 hPa (lower).

61 1.5 Line Nos.:42:43: Did you check if the findings obtained using CMIP6 and CMIP5 ? If so
62 where did you find the changes that resulted in the current result?

63 **Response:** We thank the reviewer for raising this point. We have not tried to add the analyses of

64 CMIP5 models because there is limitations in these models (Emmons et al., 2020; Michou et al.,

65 2020).

66 Further explanation is added to Section 2.1:

67 "For example, the simulation of tropospheric ozone in CESM2 models is improved in comparison

68 to previous model versions (Emmons et al., 2020). In addition, CMIP6 models are capable of

69 simulating long-term changes in surface ozone levels and recent increasing trends in tropospheric

70 ozone (Griffiths et al., 2021; Turnock et al., 2020)."

1.6 Line Nos.: 51: The list of the models mentioned in Table S1 should be shifted to the main
manuscript instead of supplement.

Response: We thank the reviewer for this suggestion. We moved Table S1 to Section 2.1 of themain text.

Line Nos. 53:55: The authors are suggested to explain little more on the findings of the cited
 papers rather than just citing the paper.

77 **Response:** We thank the reviewer for raising this point. We added the following sentences to

78 Section 2.1 to clarify this point:

79 "For instance, CMIP6 models may underestimate ozone levels in the Southern Hemisphere and

80 overestimate ozone levels in the Northern Hemisphere compared to observational data of recent

81 past (Griffiths et al., 2021; Turnock et al., 2020; Young et al., 2018)."

82 "For example, the simulation of tropospheric ozone in CESM2 models is improved in comparison

83 to previous model versions (Emmons et al., 2020). In addition, CMIP6 models are capable of

84 simulating long-term changes in surface ozone levels and recent increasing trends in tropospheric

85 ozone (Griffiths et al., 2021; Turnock et al., 2020)."

1.8 The Figures can be of more clarity (mainly the stippling in figures are not at all visible (for
example Figure 1 (a)) are not visible clearly, The titles in the Figure 3 should be made little
big)

89 **Response:** We thank the reviewer for this suggestion. We will provide higher resolution figures.

90 **2** Response to Reviewer #3's comments

91 This study investigated the effect of ENSO on tropospheric ozone over the period 1850-2014, 92 focusing on the 300, 500, 850 and 1000 hPa. The authors also used the probability for the absence 93 of Granger causality from ENSO to ozone concentrations. The topic is interesting. However, 94 before it can be considered for publication, some aspects need more explanation.

95 2.1 My major concern is that can the current CMIP6 model simulations including the ozone
96 chemistry and it related physical and chemical processes. For example, the first BCC model
97 does not have atmospheric chemistry model (Table S1), how can it predict ozone?

98 **Response:** We thank the reviewer for raising this point. We agree that several models do not have

99 atmospheric chemistry model. However, it might be useful to include these models in the analysis.

100 The comparison between different models may emphasize the importance of the atmospheric

101 chemistry module. For the models without atmospheric chemistry module, the variations of ozone

- 102 are prescribed and mainly based on observations (Lurton et al., 2020; Wu et al., 2019).
- 103 We added the following sentences to Section 4 to further clarify this point:
- 104 "In these models, ozone variations are prescribed using observational data (Lurton et al., 2020;
- 105 Wu et al., 2019), and it is expected that the response of ozone variation to atmospheric circulation
- 106 and ENSO is not significant."
- 107 2.2 The No.3-6 are all CESM2 model. Do these model configurations predict tropospheric ozone
 108 with fully atmospheric chemistry?
- **Response:** We thank the reviewer for raising this point.
- 110 We added the following sentences to Section 2.1 to further clarify this point:
- "In Table 1, the models equipped with an Atmospheric Chemistry module are fully coupled where

the chemistry scheme is associated with the physics of the atmospheric model, allowing for

- 113 comprehensive consideration of interactions between climate variations, interactive chemistry, and
- 114 carbon cycle (Emmons et al., 2020; Michou et al., 2020; Wu et al., 2019)."
- 115 "For example, the simulation of tropospheric ozone in CESM2 models is improved in comparison
- to previous model versions (Emmons et al., 2020)."
- 117 2.3 The MAM4 is the name of aerosol module not the atmospheric chemistry.

118 Response: We thank the reviewer for raising this point. We corrected the model name to
119 MOZART-T1 (the Model for Ozone and Related chemical Tracers with new tropospheric
120 chemistry scheme) (Emmons et al., 2020).

121 2.4 Also, are the simulated ozone in these models evaluated? Some models cannot well
122 reproduce the global distribution of ozone and some cannot characterize the response of
123 ozone to ENSO signal shown in observations.

124 **Response:** The performance of CMIP6 models in simulating ozone was assessed in previous 125 works (Emmons et al., 2020; Griffiths et al., 2021; Turnock et al., 2020; Young et al., 2018). We

agree with the reviewer that the models still have biases in simulating ozone. However, there is

127 improvement in the current models.

128 We described this aspect in the section 2.1 of the original manuscript as below:

129 "There are biases in simulating tropospheric ozone variations in the models (Griffiths et al., 2021;

130 Turnock et al., 2020; Young et al., 2018), however, CMIP model outputs are still helpful to

131 investigate the effects of ENSO on tropospheric ozone (Archibald et al., 2020; Young et al.,

132 2018)."

133 We added the following sentences to Section 2.1 to further explain this point:

134 "For instance, CMIP6 models may underestimate ozone levels in the Southern Hemisphere and

135 overestimate ozone levels in the Northern Hemisphere compared to observational data of recent

136 past (Griffiths et al., 2021; Turnock et al., 2020; Young et al., 2018)."

137 "For example, the simulation of tropospheric ozone in CESM2 models is improved in comparison

to previous model versions (Emmons et al., 2020). In addition, CMIP6 models are capable of

139 simulating long-term changes in surface ozone levels and recent increasing trends in tropospheric

140 ozone (Griffiths et al., 2021; Turnock et al., 2020)."

141 2.5 The conclusions about the effect of ENSO on seasonal ozone in the troposphere can be added142 to the abstract.

143 **Response:** We thank the reviewer for this suggestion. We added the following sentence to the144 abstract.

145 "Springtime surface ozone is more sensitive to ENSO compared to other seasons".

6

Line35-40: It is suggested to provide the details of the uncertainties regarding the causal
effects of ENSO on global tropospheric ozone. Although the authors provided some
references, the information from these references should be strengthened.

149 **Response:** We thank the reviewer for raising this point. We added the following sentences to the

150 Introduction to further clarify this point:

151 "Moreover, a causal analysis (Le et al., 2022; Le and Bae, 2022) that takes into account the 152 confounding impacts of other climate modes on the relationship between ENSO and tropospheric 153 ozone is lacking. While the response of tropospheric ozone to ENSO can be interpreted by changes 154 in ENSO-related atmospheric circulation (Lu et al., 2019; Sekiya and Sudo, 2012; Ziemke and 155 Chandra, 2003), these changes might be influenced by other climate modes (Cai et al., 2019; Le et 154 de 2020).

156 al., 2020)."

157 2.7 The effect of ENSO on ozone in the lower troposphere is more significant than that in the158 upper and middle troposphere. Please elaborate the reason.

Response: We thank the reviewer for raising this point. We modified the relevant paragraph in
Section 4 to further discuss the different effects of ENSO on ozone at different pressure levels as
below:

162 "The robust response of lower tropospheric ozone to ENSO is associated with ENSO-induced 163 changes in the atmospheric circulation (Oman et al., 2011) and this response is particularly 164 prominent over the tropics (Figures 2c and d). However, this response appears to be weaker over 165 the middle and upper troposphere (Figures 2a and b). The weak impacts of ENSO on the mid-level 166 tropospheric ozone (i.e., 500 hPa level, described in Figures 2b) might be due to the strong 167 exchange between stratospheric ozone and middle to upper tropospheric ozone (Liu et al., 2017; 168 Meul et al., 2018; Neu et al., 2014; Williams et al., 2019). The more pronounced reaction of upper 169 tropospheric ozone to ENSO in comparison to middle tropospheric ozone could be attributed to 170 the influence of ENSO on deep convective transport and the interconnected relationship between 171 ENSO and the North Pacific Oscillation (Cai et al., 2019; Gaudel et al., 2020; Kug et al., 2020)."

172 2.8 Moreover, the models' agreement is weak in reproducing ozone in the lower troposphere
173 and the standard deviation is high in the tropics. In this context, is the conclusion that ENSO
174 affects the lower troposphere in the tropics convincing?

175 The conclusion of ENSO effects on lower tropospheric ozone is convincing. We added the176 following sentences to the Section 4 to discuss this point:

177 "Despite the limited consensus among models in replicating ozone levels in the lower troposphere, 178 and a high standard deviation particularly in tropical regions, (Figures 1 and S1), we observed 179 noteworthy effects of ENSO on lower tropospheric ozone (Figure 2). These results exhibit a degree 180 of independence and are not contradictory. This is because the models' mean of annual ozone is 181 calculated over the entire 1850-2014 period, whereas the assessment of the relationship between 182 the ENSO and annual ozone is conducted on a year-to-year basis. Furthermore, variations in ozone 183 are also influenced by factors beyond ENSO, including other major climate modes, cyclones, and 184 local emissions of ozone precursors such as nitrogen oxides (NO_x), volatile organic compounds, 185 and carbon monoxide (CO). Biases in simulating these factors contribute to the inconsistencies of 186 ozone in the models, although there is consensus in simulating the connection between ENSO and

- 187 ozone."
- Line 116 "The significant impacts of ENSO on ozone ... might be associated with the
 transport of ozone from east Asia". If so, the effect of ENSO on ozone over east Asia should
 be found. But it doesn't. Can you add some explanation about it?

191 Response: We thank the reviewer for raising this point. We added the following sentences to192 Section 4 to further clarify this point:

193 "These impacts can be explained by the modulation of ENSO on springtime upper tropospheric

ozone over east Asia (Figure S5a) and the connection between ENSO and the North PacificOscillation (Kug et al., 2020)".

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