

1 **2 Response to Reviewer #3's comments**

2 This study investigated the effect of ENSO on tropospheric ozone over the period 1850-2014,
3 focusing on the 300, 500, 850 and 1000 hPa. The authors also used the probability for the absence
4 of Granger causality from ENSO to ozone concentrations. The topic is interesting. However,
5 before it can be considered for publication, some aspects need more explanation.

6 2.1 My major concern is that can the current CMIP6 model simulations including the ozone
7 chemistry and it related physical and chemical processes. For example, the first BCC model
8 does not have atmospheric chemistry model (Table S1), how can it predict ozone?

9 **Response:** We thank the reviewer for raising this point. We agree that several models do not have
10 atmospheric chemistry model. However, it might be useful to include these models in the analysis.
11 The comparison between different models may emphasize the importance of the atmospheric
12 chemistry module. For the models without atmospheric chemistry module, the variations of ozone
13 are prescribed and mainly based on observations (Lurton et al., 2020; Wu et al., 2019).

14 We added the following sentences to Section 4 to further clarify this point:

15 “In these models, ozone variations are prescribed using observational data (Lurton et al., 2020;
16 Wu et al., 2019), and it is expected that the response of ozone variation to atmospheric circulation
17 and ENSO is not significant.”

18 2.2 The No.3-6 are all CESM2 model. Do these model configurations predict tropospheric ozone
19 with fully atmospheric chemistry?

20 **Response:** We thank the reviewer for raising this point.

21 We added the following sentences to Section 2.1 to further clarify this point:

22 “In Table 1, the models equipped with an Atmospheric Chemistry module are fully coupled where
23 the chemistry scheme is associated with the physics of the atmospheric model, allowing for
24 comprehensive consideration of interactions between climate variations, interactive chemistry, and
25 carbon cycle (Emmons et al., 2020; Michou et al., 2020; Wu et al., 2019).”

26 “For example, the simulation of tropospheric ozone in CESM2 models is improved in comparison
27 to previous model versions (Emmons et al., 2020).”

28 2.3 The MAM4 is the name of aerosol module not the atmospheric chemistry.

29 **Response:** We thank the reviewer for raising this point. We corrected the model name to
30 MOZART-T1 (the Model for Ozone and Related chemical Tracers with new tropospheric
31 chemistry scheme) (Emmons et al., 2020).

32 2.4 Also, are the simulated ozone in these models evaluated? Some models cannot well
33 reproduce the global distribution of ozone and some cannot characterize the response of
34 ozone to ENSO signal shown in observations.

35 **Response:** The performance of CMIP6 models in simulating ozone was assessed in previous
36 works (Emmons et al., 2020; Griffiths et al., 2021; Turnock et al., 2020; Young et al., 2018). We
37 agree with the reviewer that the models still have biases in simulating ozone. However, there is
38 improvement in the current models.

39 We described this aspect in the section 2.1 of the original manuscript as below:

40 “There are biases in simulating tropospheric ozone variations in the models (Griffiths et al., 2021;
41 Turnock et al., 2020; Young et al., 2018), however, CMIP model outputs are still helpful to
42 investigate the effects of ENSO on tropospheric ozone (Archibald et al., 2020; Young et al.,
43 2018).”

44 We added the following sentences to Section 2.1 to further explain this point:

45 “For instance, CMIP6 models may underestimate ozone levels in the Southern Hemisphere and
46 overestimate ozone levels in the Northern Hemisphere compared to observational data of recent
47 past (Griffiths et al., 2021; Turnock et al., 2020; Young et al., 2018).”

48 “For example, the simulation of tropospheric ozone in CESM2 models is improved in comparison
49 to previous model versions (Emmons et al., 2020). In addition, CMIP6 models are capable of
50 simulating long-term changes in surface ozone levels and recent increasing trends in tropospheric
51 ozone (Griffiths et al., 2021; Turnock et al., 2020).”

52 2.5 The conclusions about the effect of ENSO on seasonal ozone in the troposphere can be added
53 to the abstract.

54 **Response:** We thank the reviewer for this suggestion. We added the following sentence to the
55 abstract.

56 “Springtime surface ozone is more sensitive to ENSO compared to other seasons”.

57 2.6 Line35-40: It is suggested to provide the details of the uncertainties regarding the causal
58 effects of ENSO on global tropospheric ozone. Although the authors provided some
59 references, the information from these references should be strengthened.

60 **Response:** We thank the reviewer for raising this point. We added the following sentences to the
61 Introduction to further clarify this point:

62 “Moreover, a causal analysis (Le et al., 2022; Le and Bae, 2022) that takes into account the
63 confounding impacts of other climate modes on the relationship between ENSO and tropospheric
64 ozone is lacking. While the response of tropospheric ozone to ENSO can be interpreted by changes
65 in ENSO-related atmospheric circulation (Lu et al., 2019; Sekiya and Sudo, 2012; Ziemke and
66 Chandra, 2003), these changes might be influenced by other climate modes (Cai et al., 2019; Le et
67 al., 2020).”

68 2.7 The effect of ENSO on ozone in the lower troposphere is more significant than that in the
69 upper and middle troposphere. Please elaborate the reason.

70 **Response:** We thank the reviewer for raising this point. We modified the relevant paragraph in
71 Section 4 to further discuss the different effects of ENSO on ozone at different pressure levels as
72 below:

73 “The robust response of lower tropospheric ozone to ENSO is associated with ENSO-induced
74 changes in the atmospheric circulation (Oman et al., 2011) and this response is particularly
75 prominent over the tropics (Figures 2c and d). However, this response appears to be weaker over
76 the middle and upper troposphere (Figures 2a and b). The weak impacts of ENSO on the mid-level
77 tropospheric ozone (i.e., 500 hPa level, described in Figures 2b) might be due to the strong
78 exchange between stratospheric ozone and middle to upper tropospheric ozone (Liu et al., 2017;
79 Meul et al., 2018; Neu et al., 2014; Williams et al., 2019). The more pronounced reaction of upper
80 tropospheric ozone to ENSO in comparison to middle tropospheric ozone could be attributed to
81 the influence of ENSO on deep convective transport and the interconnected relationship between
82 ENSO and the North Pacific Oscillation (Cai et al., 2019; Gaudel et al., 2020; Kug et al., 2020).”

83 2.8 Moreover, the models’ agreement is weak in reproducing ozone in the lower troposphere
84 and the standard deviation is high in the tropics. In this context, is the conclusion that ENSO
85 affects the lower troposphere in the tropics convincing?

86 The conclusion of ENSO effects on lower tropospheric ozone is convincing. We added the
87 following sentences to the Section 4 to discuss this point:

88 “Despite the limited consensus among models in replicating ozone levels in the lower troposphere,
89 and a high standard deviation particularly in tropical regions, (Figures 1 and S1), we observed
90 noteworthy effects of ENSO on lower tropospheric ozone (Figure 2). These results exhibit a degree
91 of independence and are not contradictory. This is because the models' mean of annual ozone is
92 calculated over the entire 1850-2014 period, whereas the assessment of the relationship between
93 the ENSO and annual ozone is conducted on a year-to-year basis. Furthermore, variations in ozone
94 are also influenced by factors beyond ENSO, including other major climate modes, cyclones, and
95 local emissions of ozone precursors such as nitrogen oxides (NO_x), volatile organic compounds,
96 and carbon monoxide (CO). Biases in simulating these factors contribute to the inconsistencies of
97 ozone in the models, although there is consensus in simulating the connection between ENSO and
98 ozone.”

99 2.9 Line 116 “The significant impacts of ENSO on ozone ... might be associated with the
100 transport of ozone from east Asia”. If so, the effect of ENSO on ozone over east Asia should
101 be found. But it doesn't. Can you add some explanation about it?

102 **Response:** We thank the reviewer for raising this point. We added the following sentences to
103 Section 4 to further clarify this point:

104 “These impacts can be explained by the modulation of ENSO on springtime upper tropospheric
105 ozone over east Asia (Figure S5a) and the connection between ENSO and the North Pacific
106 Oscillation (Kug et al., 2020)”.

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