



# Leveraging Regional Mesh Refinement to Simulate Future Climate Projections for California Using the Simplified Convection Permitting E3SM Atmosphere Model Version 0

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Abstract. The spatial heterogeneity related to complex topography in California demands high-resolution (<5 km) modeling, but global convection-permitting climate models are computationally too expensive to run multi-decadal simulations. We developed a 3.25 km California regionally refined model (CARRM) using the U.S. Department of Energy's (DOE) global Simple Cloud Resolution E3SM Atmospheric Model (SCREAM) version 0. Four 5-wateryear time periods (2015-2020, 2029-2034, 2044-2049, 2094-2099) were simulated by nudging CARRM outside California to 1° coupled simulation of E3SMv1 under the SSP5-8.5 future scenario. The 3.25 km grid spacing adds considerable value to the prediction of the California climate changes, including more realistic high temperatures in the Central Valley, much improved spatial distributions of precipitation and snow in the Sierra Nevada and coastal stratocumulus. Under the SSP5-8.5 scenario, CARRM simulation predicts widespread warming of 6–10°C over most of California, a 38% increase in statewide average 30-day winter-spring precipitation, a near complete loss of the alpine snowpack, and a sharp reduction in shortwave cloud radiative forcing associated with marine stratocumulus by the end of the 21st century. We note a climatological wet precipitation bias for the CARRM and discuss possible reasons. We conclude that SCREAM-RRM is a technically feasible and scientifically valid tool for climate simulations in regions of interest, providing an excellent bridge to global convection-permitting simulations.

#### 1 Introduction

California is a topographically diverse state known for the rugged Sierra Nevada mountain range, the expansive Central Valley, and its scenic and complex coastline. In addition to the countless smaller valleys and mountain ranges, California's complex topography subjects the state to a variety of meteorological and regional climate effects. California's precipitation patterns are highly intermittent, with snowpack accumulated water during the wet winter season and spring snowmelt runoff producing large freshwater supply primarily from the Sierra Nevada (Bales et al., 2011; Hanak et al., 2017). Snowpack is also extremely important to California's energy component, with hydroelectric power providing 56% of the western U.S. energy sector and up to 21% of California's diverse energy portfolio (Stewart, 1996; Bartos and Chester, 2015). With climate change, California is likely to experience significantly warmer temperatures, less snowpack, a shorter snow season, and more precipitation settling as rain rather than snow, resulting in earlier runoff diversions, increased risk of winter flooding, and reduced summer surface water supplies (Gleick and Chalecki, 1999; Hayhoe et al., 2004). As one of the world's largest agricultural suppliers and a



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key U.S. energy supplier, changes in regional temperature, precipitation, snowpack, and water availability in California could significantly affect the state's agricultural economy and future power supply capacity (Tanaka et al., 2006; Hanak and Lund, 2012; California Department of Food and Agriculture, 2016; Bartos and Chester, 2015). In addition, other renewable energy facilities, particularly wind and solar, are growing rapidly in California, with wind deployment plans projected to reach 14% generation by 2050 (Edenhofer et al., 2011; Barthelmie and Pryor, 2014). The predicted short-term and long-term effects due to energy changes of future wind and radiation on Central Valley temperatures have also received attention (Wang et al., 2018; Wang and Ullrich, 2018).

California has a unique and diverse combination of Mediterranean, mountain, and desert climates each of which includes their own microclimates due to fine-scale heterogeneity caused by complex topography, coastline, and elevation differences. Due to the seasonal persistence of high pressure ridges, California is under the influence of large-scale subsidence that typically results in dry summer months (Karnauskas and Ummenhofer, 2014). The high pressure ridge in combination with marine fog results in a relatively cool summer climate along the coast (Pilié et al., 1979; Samelson et al., 2021), while low-lying inland valleys and desert areas are subjected to a much hotter summer climate. Atmospheric rivers (ARs) are responsible for a majority of California's precipitation (Huang and Swain, 2022) and are characterized as narrow, concentrated moisture belts from the central Pacific Ocean often during wintertime (Ralph et al., 2006; Leung and Qian, 2009; Dettinger et al., 2011; Chen et al., 2018; Swain et al., 2018; Huang et al., 2020; Rhoades et al., 2021; Huang and Swain, 2022).

California's winter precipitation fluctuates dramatically from year to year due to changes in the location of the jet stream, and this strong precipitation volatility can subject California to extreme hydrological events such as megafloods and extreme droughts (Swain et al., 2018; Dettinger, 2016). While the majority of California typically remains dry during the summer months, the high-elevation deserts in the southeast portion of the state can experience brief but intense thunderstorms due to the southwest monsoon (Adams and Comrie, 1997; Prein et al., 2022; Higgins et al., 1999). Virtually all parts of California are vulnerable to relatively long-duration heat waves during the summer months (Gershunov et al., 2009), with inland communities being most affected. These heat-waves not only pose major health risks, but they often contribute to increased wildfire activity. In the autumn, strong Santa Ana/Diablo winds from the interior desert plateau sometimes rapidly increase the risk of wildfires (Williams et al., 2019; Keeley et al., 2009). In addition, the intricate variability of temperatures and climates over very short distances across California, such as the cool downslope mountain-valley circulations at night (Zängl, 2005; Pagès et al., 2017; Jin et al., 2016; Junquas et al., 2018) and the elevation dependence of snow albedo feedbacks (Guo et al., 2016; Minder et al., 2018; Winter et al., 2017; Rhoades et al., 2016, 2017), underscore the state's vulnerability to diverse climate extremes. These microclimatic nuances necessitate the use of high-resolution simulations to accurately project and understand of the state's complex climate patterns.

To reliably predict climate change in California and assess the impacts of extreme hazard events in the future, high-resolution climate simulations are needed to resolve microclimate features that are highly dependent on the fine-scale heterogeneity. These include topographic precipitation, mountain snowpack, coastal fog, Santa Ana winds, etc. For example, Caldwell et al. (2019) found that 25 km was necessary to capture general mountain topography and the associated climatological precipitation patterns with fidelity. Tang et al. (2023) showed in the E3SMv2 North American 25km Regionally Refined Model overview





that the topographic precipitation and mountain snowpack are improved relative to the 100 km configuration. However, Huang et al. (2020) found that even higher resolution, specifically 3 km, was needed to accurately simulate and predict precipitation distributions and potential hazard impacts related to AR events. A 3-km resolution represents the typical convection-permitting scale, and thus the resolution advantages go far beyond the ability to simulate ARs since the uncertainties associated with deep convection parameterizations can be avoided (Hohenegger et al., 2008; Kendon et al., 2012; Ban et al., 2014; Prein et al., 2015; Yano et al., 2018; Neumann et al., 2019; Stevens et al., 2019; Lucas-Picher et al., 2021). As an example, Caldwell et al. (2021) found that many long-standing biases typically associated with conventionally parameterized GCMs simply disappear with running with a resolution of 3 km.

Recently, global convection-permitting models (GCPMs) have become a reality thanks to advances in high performance computing (HPC), algorithms, and software optimizations (Satoh et al., 2019). However, it is still very computationally expensive and difficult to perform interannual climate simulations using GCPMs and most simulations using these type of models have thus far focused on durations of ~40 days (Stevens et al., 2019; Caldwell et al., 2021; Hohenegger et al., 2023). Higher resolution requires smaller time steps to achieve numerical stability, which contributes greatly to the cost. In addition, managing the large volumes of data produced by GPCMs further adds more complication. Given the expensive cost of GCPMs, regional climate models (RCMs) have played an important role in the last decades (Giorgi, 2019; Gutowski et al., 2020), with the main purpose of dynamically downscaling from low-resolution boundary conditions to high-resolution climate at regions of interest. The low-resolution GCMs have been able to provide plausible large- and synoptic-scale climatologies given large scale forcing (e.g., future emissions and land use changes described in future scenario predictions). The sub-GCM grid-scale details are represented by downscaling capability (Giorgi, 2019). While RCMs were developed based on limited-area nesting models, global GCMs have also undergone a history of variable resolution and regionally refined modeling (Fox-Rabinovitz et al., 2006), which builds on efforts to use unstructured grids (Abiodun et al., 2008; Tomita, 2008; Zarzycki et al., 2014; Skamarock et al., 2018).

Modern regionally refined models (RRM) allow for a gradual transition of the grid from the GCM scale to the kilometer scale (Harris and Lin, 2013; Zarzycki and Jablonowski, 2014; Guba et al., 2014; Zarzycki et al., 2014; Rauscher and Ringler, 2014; Harris et al., 2016; Tang et al., 2019). A unique feature of RRM is that it allows for a seamless transition from coarse to fine resolution regions, provided that the model has physical parameterizations that are scale aware. It can also be implemented as a configuration that more closely resembles a RCM by "relaxing" or "nudging" the refined region to atmospheric and land/oceanic boundary conditions outside the region of interest (Gutowski et al., 2020). RRM methods have been used in idealized aquaplanet simulations (Rauscher et al., 2013; Rauscher and Ringler, 2014; Zarzycki et al., 2014) and more comprehensive simulations (Rhoades et al., 2016; Wu et al., 2017; Huang and Ullrich, 2017; Tang et al., 2019; Rhoades et al., 2020; Tang et al., 2023). RRM is a powerful tool because it has the ability to replicate results in a region of interest when compared to global simulations with uniform high resolution (Bogenschutz et al., 2022). The cost of RRM is dominated by the high resolution region, meaning that a high resolution mesh that covers about 10% of the globe would roughly be equal to about 10% of the cost of running the entire globe at this resolution. Thus, the substantial cost savings RRM provides enables one to run longer duration simulations or to produce larger ensemble size compared to a GCPM.





95 Given 1) the impact of climate change on California and the effects it has on the U.S economy and energy infrastructure, 2) the requirements of California's complex fine-scale heterogeneity for convection-permitting scale modeling, and 3) the purpose of exploring climate change response in long duration integrations; this work proposes to develop a California convection-permitting climate modeling framework. This framework is based on the Simple Cloud-Resolving E3SM Atmosphere Model (SCREAM) developed under the United States (U.S) Department of Energy (DOE) Energy Exascale Earth System Model (E3SM) project (Caldwell et al., 2021) and the regionally refined model (RRM) configuration (Tang et al., 2019, 2023). This is the first time that SCREAM is being used for climate-length simulations. One of the main purposes of this paper is to document the modeling strategy used to perform this ambitious SCREAM RRM simulation, with the idea that one could replicate these methods to be used in other regions and/or time periods. In addition, by comparing our simulation results to that of a traditional GCM, we aim to highlight the importance of high resolution to accurately simulate regional climate patterns and changes in California.

This paper is organized as follows: Section 2 describes the methodology we used, including the California RRM framework, future projection experiment design, and model evaluation strategy. Section 3 presents the results of SCREAMv0 California RRM including a baseline comparison with observations and an analysis of the future projection. Finally, in section 4 we conclude with a discussion on the implication of our results as well as a summary on the application of SCREAM RRM for RCMs.

#### 2 Methods

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In this section we will first focus on the modeling strategy used in this study, which can be used as guidance for future studies aiming to use SCREAM RRM for different regions. It includes the descriptions of SCREAM, the regionally refined model framework, nudging strategy, and future projection experiment. Then we will provide our methodologies for evaluation.

#### 115 2.1 Modeling Strategy

#### 2.1.1 SCREAM Description

The framework for the California convection-permitting RRM in this paper is developed using the Simple Cloud Resolution E3SM Atmospheric Model (SCREAM) version 0 (Caldwell et al., 2021), developed under the U.S. Department of Energy (DOE) funded E3SM project (Golaz et al., 2019). SCREAM leverages DOE's leadership in computationally intensive frontier designed for convective permitting scales and does not parameterize subgrid-scale deep convection since it uses a resolution of 3.25 km globally. SCREAM uses the Simplified Higher Order Closure (SHOC) (Bogenschutz and Krueger, 2013) to serve as a unified cloud macrophysics, turbulence, and shallow convective parameterization, the Predicted Particles Properties (P3) cloud microphysics scheme of (Morrison and Milbrandt, 2015), and the RTE + RRTMGP radiative transfer package to calculate gas optical properties and radiative fluxes (Pincus et al., 2019). The average aerosol climatology are interpolated from 1° E3SMv1 simulations (Zhang et al., 2013; Wang et al., 2020; Zhang et al., 2022). Caldwell et al. (2021) shows that SCREAM has an





excellent performance in the simulation of Amazon precipitation, PDF and diurnal cycle of tropical precipitation, vertical profile of tropical clouds and coastal stratocumulus, tropical/extratropical cyclones, ARs, and cold air outbreaks; making it well suited to serve as the model for the California RRM framework.

SCREAM's dycore enables the numerical solution of the nonhydrostatic equations of motion (Taylor et al., 2020) using the High Order Method Modeling Environment (HOMME). HOMME uses virtual potential temperature as the thermodynamic variable with semi-Lagrangian tracer transport, which enables the use of much larger time steps while maintaining advective stability compared to explicit Eulerian methods. The time discretization uses an IMplicit-EXplicit (IMEX) Runge Kutta method in which an implicit Butcher table for terms responsible for vertically propagating acoustic waves and an explicit Butcher table used for most equations. The HOMME dycore consists of spectral elements, with each element containing 4 × 4 grid of Gauss-Lobatto-Legendre (GLL) nodes, while the physics is handled by a uniformly spaced 2 × 2 grid (called "pg2" grid), which substantially increases the model throughput (Hannah et al., 2021).

SCREAM contains 128 layers in the vertical, compared to the 72 vertical layers in E3SM, though the model top in SCREAM is lower (40 km vs. 60 km). Thus, the vertical resolution in SCREAM is nearly twice that of E3SM at most layers, with enhanced vertical resolution in the lower troposphere. In particular, the improved vertical resolution of the lower troposphere was found to be a factor towards improving marine stratocumulus (Bogenschutz et al., 2021, 2022), which is important for the climate off the California coast.

E3SMv1 land model (ELM) Golaz et al. (2019) is placed on the same RRM mesh as the atmosphere model. The river routine model (Model for Scale Adaptive River Transport) uses a lat-lon grid with the spacing of 0.125° (Li et al., 2013). The prescribed-ice mode from the Los Alamos sea ice model CICE4 Hunke et al. (2008) and the data ocean model are used in our study.

#### 2.1.2 RRM in California

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The configuration of the California 3 km RRM (hereafter refered to as "CARRM") in this work consists of two main parts, the first of which deals with the design of the regionally refined grid and its associated model configuration files (e.g., domain files, topography, atmospheric initial condition, land surface, etc.). The second part handles the generation of the boundary conditions from the low-resolution (1°) GCM and nudging settings (to be described in section 2.1.4).

The grid of CARRM is progressively refined from the outer global resolution of ne32 (corresponding roughly to a resolution of ~100 km) to the convection-permitting scale for California (ne1024, ~3 km), with a transition zone between them (Fig. 1). We created the CARRM grid using the offline software tool Spherical Quadrilateral Mesh Generator (SQuadGen; https://github.com/ClimateGlobalChange/squadgen). The choice of the finest domain may affect the RRM simulation behavior, but there are no precise rules on how to choose the best domain. Our basic considerations include: 1) suitable for the science applications, 2) the need for the domain to cover the entire state of California, 3) avoiding having the domain boundary reside near substantial topography, and 4) the desire to keep the domain as small as possible to avoid excessive computation expense and allow for long integrations. We note that atmospheric rivers passing westward from the central/eastern Pacific are important to California precipitation, but 1° GCMs are sufficient to resolve the synoptic scale features of these systems (Giorgi,



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160 2019; Neumann et al., 2019). The sensitivity of the size of the refined mesh for the simulation of atmospheric rivers will be explored in future work.

The topography file was generated using the NCAR topography toolchain (Lauritzen et al., 2015), with tensor hyperviscosity enabled for the RRM grid. Figure 1 shows the topography used for 1° E3SMv1, the E3SMv2 North American 25 km RRM, and the California 3.25 km RRM used in this study, respectively. Since the topography files are on the GLL node, we used matplotlib's tricolor function to represent the native spectral element data as accurately as possible, with each triangle's color taken from three GLL vertexes. As a reference, Fig. 1 displays the 3.25 km topographic data from The United States Geological Survey (USGS) used to downsample to the destination resolution of RRM. Note that 3.25 km is the nominal resolution, and that the effective resolution (fully resolved scale derived from kinetic energy spectra compared to observations) of California is actually at about 6 times the nominal resolution. CARRM topography essentially captures the fine spatial patterns shown in the 3 km USGS data, such as features of the Sierra Nevada, coastal ridges, and the Central Valley.

The atmosphere initial condition was generated with the HICCUP package (https://github.com/E3SM-Project/HICCUP), which has a built-in download of ERA5 pressure level data. HICCUP interpolates the ERA5 data to the model's vertical levels using NCO's vertical interpolation algorithm (Zender, 2008) and to the hoirzontal resolution using a TempestRemap horizontal interpolation algorithm (Ullrich and Taylor, 2015; Ullrich et al., 2016). We adopted the highorder algorithm here. The surface temperature and pressure are adjusted using a procedure described in Trenberth et al. (1993) based on the topography elevation difference plus a dry hydrostatic atmosphere lapse rate. This procedure also avoids extrapolating excessively high/low pressure values by resetting the surface temperature from extremely warm/cold terrain. Since the CARRM mesh used in this work contains good grid properties (i.e. contains no highly deformed elements, max Dinv-based element distortion is 3.02—smaller than the reference value of 4 for a high-quality RRM grid) and the atmosphere initial condition is in balance (potentially benefiting from the surface adjustment; otherwise, using this IC directly would likely cause instability) we did not need to spin up the atmosphere and adjust the hyperviscosity incrementally. The hyperviscosity timestep for dynamics are set to the default value used in SCREAM 3.25 km global simulations.

# 2.1.3 Timesteps and computational cost

CARRM has a total of 152,712 GLL columns (dycore) and 67,872 physical columns (pg2 grids). For reference, E3SMv1 has 48,602 physical columns (Golaz et al., 2019) and the E3SMv2 North American 25km RRM (NARRM) has 57,816 physical columns (Tang et al., 2023), representing a slightly higher storage demand for CARRM compared to NARRM (Table 1).

Table 1 provides the timesteps we used for CARRM simulations. Because the timesteps must be uniform globally based on the finest region, our configuration follows the parameters used in the global convection-permitting simulation of SCREAMv0 (Caldwell et al., 2021).

All CARRM simulations were performed using the Livermore Computing (LC) Quartz machine with Intel(R) Xeon(R) CPU E5-2695 v4 @ 2.10GHz 36-core 120 nodes using only MPI processes. We used a 120-node configuration to balance throughput and queue time. Although we did not systematically evaluate the performance of CARRM, we found that scaling from 30 to 120 nodes was quite good in 1-month testing, with almost no loss of scaling performance. Jobs were resubmitted





Table 1. Column numbers and timesteps used in E3SMv1, E3SMv2 NARRM and SCREAMv0 CARRM.

Model	Column no.		Time steps (s)				
	Dynamics Physics		Dynamics				Physics
	<b>,</b>	<b>J</b> ** ***	Dycore	Dycore Remap	Advection	Hyperviscosity	<b>J</b> ** * **
E3SMv1	48,602	48,602	300	900	300	100	1800
NARRM	130,088	57,816	75	150	450	75	1800
CARRM	152,712	67,872	9.375	18.75	75	9.375	75

once every simulated month and the total throughput (including I/O) was about 0.68 simulation years per day, or about 240 simulation days per day. For comparison, the global SCREAMv0 simulation Caldwell et al. (2021) run on the National Energy Research Supercomputing Center (NERSC) Cori Knights Landing (KNL) used 1536 nodes (68 physical cores per node) with a throughput of 4-5 simulation days per day. The NARRM was run on Argonne National Laboratory Chrysalis which used 80 AMD Epyc 7532 64-core nodes with a throughput of about 10 simulated years per day (Tang et al., 2023).

In addition to occasional node failures, we encountered several instability failures during the simulation with "EOS bad state: d(phi), dp3d or vtheta\_dp < 0" or "negative layer thickness" model produced errors. While the specific cause of these errors is unclear, we note that all errors were produced between the months of November and April, thus could be associated with topography-related baroclinic instability associated with winter storms. The error frequency is: 3 times for 2015-2020, 7 times for 2029-2034, 2 times for 2044-2049, and 3 times for 2094-2099. We got around these instability failures by temporarily halving the model time steps uniformly. All instances have been properly documented to ensure reproducibility.

#### 2.1.4 Nudging Strategy

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Since SCREAM does not have a deep convective parameterization, and hence lacks the ability to run with a 100 km resolution, we cannot perform a completely free running integration using CARRM. We use the approach of RCMs, using lower and lateral boundary conditions provided by future scenario simulations from low-resolution GCMs to drive coarse grid of CARRM.

We reproduced the future projection scenario (to be described in further detail in section 2.1.4) described in Zheng et al. (2022) using the 1° fully coupled E3SMv1. We output the 3-hourly vertical distribution of winds, temperature, and specific humidity. The consistency among the boundary conditions is important because the internal variability is fully dependent on this unique realization. Sea surface temperature (SST) and ice cover were obtained from the same coupled simulation as lower boundary conditions to drive Data Ocean and Prescribed CICE. The e3sm\_to\_cmip tool (https://github.com/E3SM-Project/e3sm\_to\_cmip) was used to get 1° lat-lon timeseries which was further processed to meet the format of the Data Ocean streamfile (https://esmci.github.io/cime/versions/ufs\_release\_v1.1/html/data\_models/data-ocean.html). We retrospectively noticed that the step of replacing the missing value of SST to -1.8 °C in the streamfile generation procedure caused the model to regard that the "-1.8 °C" value over land is valid. This caused some points along the coastline to inherit a spurious cold SST



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from the 1° streamfile. This spurious signature is directly reflected in the SST and surface fluxes from the RRM output with little direct effect on the variables not at the bottom level of the atmosphere.

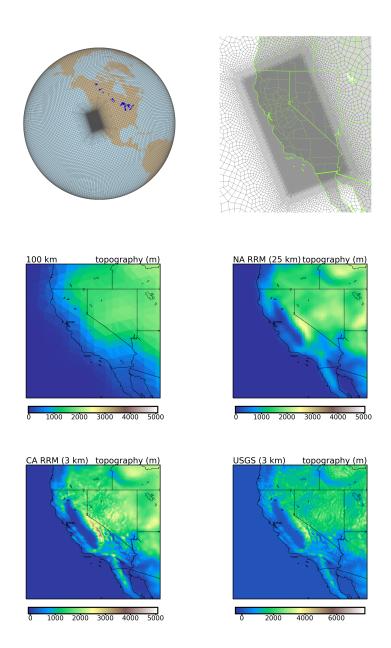
The nudging capability that has been implemented into E3SM and used by RRM is described in Tang et al. (2019), which allows for selected areas of the globe to be nudged while allowing other regions to be simulated freely. In this work we want to nudge the coarse outer domain, but allow for the high resolution mesh over California to integrate freely. To allow this, a nudging coefficient is set by a Heaviside window function from 1 (other global areas) to 0 (where California is fully covered, free run) in the lat-lon direction (Fig. 2). The nudging strength is consistent in the vertical direction.

The winds, temperature, and specific humidity profiles were interpolated vertically by NCO and horizontally by the TempestRemp highorder algorithm. Lateral boundary conditions were updated every 3 hours by linearly interpolating each pair of nudging time slices (current timestep and the next 3 hours) onto the model's physical time step with a relaxation timescale of 2 days. The selection of a 2-day relaxation timescale was not the result of an aggressive study to find an optimal time scale, as running CARRM is still relatively expensive thus making tuning fairly time-intensive. However, we did test relaxation timescales of 1 h, 6 h, and 24 h. We found that the the 2-day timescale gave the most consistent results between RRM and 1° E3SMv1 global precipitation patterns and the smallest bias for California precipitation.

We found that when the nudging strength is very strong (timescale = 1 hour), a spurious circulation formed in California, which may be due to the inconsistency between the temperature of the boundary forcing and that of the freely integrated spin-up temperature over California; when the two are coupled too frequently, the large gradient of temperature across the nudging boundary will force the wind shear to adjust by thermal wind balance. Therefore, a very short relaxation timescale is not desirable. The 3-hourly evolution of instantaneous total (vertically integrated) vapor transport for 1° E3SMv1 and SCREAMv0 CARRM on 2097-12-21 are shown in Fig. 2 for an atmospheric river event as it makes landfall on the west coast. This is just one example to show that the general meteorology and climate of the E3SMv1 simulation are well reproduced in the 100 km domain of SCREAM.



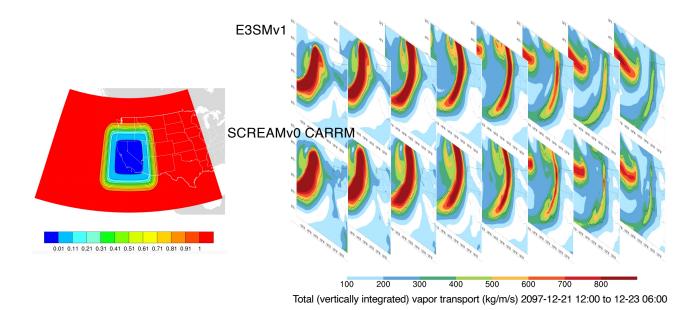




**Figure 1.** (top) Regionally refined grid for CARRM, topography for (middle) 1° E3SMv1, US 25 km RRM, (bottom) CARRM, and the United States Geological Survey (USGS) topography. All topography data are zoomed to the western United States.







**Figure 2.** (left) Nudging coefficient map over California with no nudging is applied in red area, (right) 6-hourly evolution of instantaneous total vertically integrated vapor transport in 2097-12-21 for E3SMv1 and SCREAMv0 CARRM.

#### 240 2.1.5 Future Projection Experiment Design

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We choose the high-emission SSP5-8.5 scenario for our future climate projection, which has a radiative forcing path close to the highest representative concentration path (RCP8.5). We recognize that SSP5-8.5 is a "worst" case scenario and is probably unlikely to happen due to policy and thus represents an upper bound case of the ScenarioMIP (Kriegler et al., 2017). However, the differences between a more realistic scenario (SSP3-7.0) and SSP5-8.5 are relatively small before 2050 (Tebaldi et al., 2021). Both of these scenarios predict similar development trends, including high GHG emissions, increased energy usage, and limited climate change mitigation measures before 2050 (O'Neill et al., 2016).

Given the relatively high cost of CARRM, we choose to run four 5-yr segments rather than integrating the entire 85-yr SSP5-8.5 simulation. We desire to pick segments which represent various points within the 85-yr future projection time line. In addition, the El Niño-Southern Oscillation (ENSO) can explain hydrological events in California to some extent (Harrison and Larkin, 1998; Dettinger et al., 1998; Wise, 2012; Hoell et al., 2016; Patricola et al., 2020; Mahajan et al., 2022). Since internal variability like ENSO is well inherited from the boundary forcing (Giorgi, 2019; Laprise et al., 2000), our nudging strategy enables us to conduct RRM simulations by selecting the time periods containing a strong ENSO signal.

As an expediency, the spatial and temporal variability of the California climate may be better represented by selecting the time periods with larger ENSO variability since we are only able to run one ensemble member. Our segment-simulation strategy also accelerates the validation process of CARRM framework, and in particular allows us to provide simulation outputs as soon as possible to the downstream energy infrastructure experts, who are more interested in validating a certain time slice (e.g., mid-century) or specific extreme events (e.g., heat waves, floods, wildfires) rather than the entire time series. For the full period



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2015-2100 of SSP5-8.5, we chose 2015-2020 (as baseline), 2029-2034 (which includes a strong El Niño year followed by a strong La Niña event lasting three years), 2044-2049 (mid-century of interest to the infrastructure planners), and 2094-2099 (the end of the century), for a total of 20 years (Fig. 3). Here all usage of the word "year" refers to "water year" (from October to the next September).

To provide well-established regional climate projections, a three-step approach is usually used (Giorgi, 2019): 1) drive a regional model to re-analysis to identify biases in the model dynamics/physics and nudging strategy (akin to a hindcast as described in Ma et al. (2015)), 2) drive the regional model to historical GCM simulations to identify climate change signals for given historical periods and identify the biases from low-resolution GCMs (baseline), and 3) perform regional future projections driven by the same GCM to assess climate change signals for future time slices by comparing with the baseline. One reason for not performing the first step in this paper is that hindcast-style simulations are primarily useful in short-term simulations to help select the physical schemes with optimal performance in the region of interest, yet SCREAM (unlike WRF) does not have multiple physics options to choose from. We note that we have performed hindcasts of several AR events with CARRM, which will be described in future publication. In addition, we integrate steps 2 and 3 since we treat the first 5 years of SSP5-8.5 as a baseline (2015-2020, akin to a historical run) in which we compare the simulated climatology to observations.

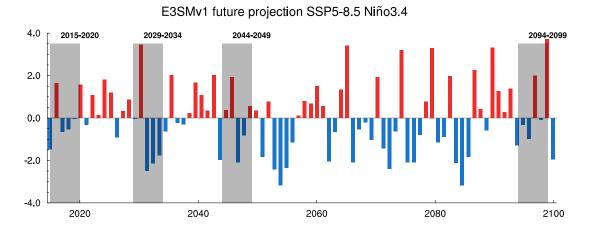


Figure 3. Niño 3.4 index from E3SMv1 SSP5-8.5 projection. Shaded areas are labeled with 4 segments of CARRM simulations.

### 2.2 Evaluation Strategy

#### 2.2.1 Evaluation datasets

To properly evaluate CARRM, it is important to compare against observational datasets of sufficient temporal and horizontal resolution since one would expect typical added values from convection-permitting simulations most likely to occur at small temporal and spatial scales. Moreover, it is desirable that the observational datasets cover a long record to account for the possible range of natural variability.



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In this study we use the 4 km PRISM (Parameter-elevation Regressions on Independent Slopes Model) dataset of 30-yr normal to evaluate maximum, average, minimum temperature and precipitation (PRISM Climate Group, Oregon State University, https://prism.oregonstate.edu, accessed 16 Dec 2020). PRISM adopts the primary assumption that "elevation is the most important factor in the distribution of climate variables" for a localized region, and calculates the local climate-elevation relationship by considering coastline, temperature inversion, cold pool, topographic factors, etc. to weigh the station data. For example, PRISM calculates precipitation-elevation regression functions under each category based on slope orientation categories to distinguish precipitation on windward and leeward slopes. To evaluate the snow water equivalent (SWE) we use assimilated snow observations developed by the University of Arizona (UA). The UA SWE data (Zeng et al., 2018; Broxton et al., 2019) were derived from in-situ measurements from Snow Telemetry network and Cooperative Observer Program with assimilated temperature and precipitation from PRISM. This is a 40-yr dataset and has a spatial resolution of 4 km.

To characterize unstructured grids and model/observation raw resolutions as directly as possible, all analyses in this paper are based on the model's native grids (unless otherwise stated). Most output variables of SCREAMv0 reside on physical columns, except for those output from the dycore (GLL columns). Each coordinate of the physical (pg2) grid corresponds to four vertices and can be drawn directly by NCL's CellFill method without interpolation, where each color block represents the cell average of the physical column data. To match the pg2 grid of CARRM, we interpolated the GLL column output of E3SMv1 to the physical column with the highorder (atmosphere output) or monotune (land output) algorithm via TempestRemap. For the calculation of California regional averages, a mask file was generated using a high-resolution California shapefile, then the regional averages were obtained by NCO's ncra calculator with mask and grid-area weights being applied. The statistics of a single grid point are obtained directly by extracting the time series of that point.

#### 2.2.2 Atmospheric river tracking with TempestExtremes

The response of atmospheric river (AR) contributed precipitation with climate change in California is briefly analyzed in Section 3.3. We used TempestExtremes 2.2.1 (Ullrich and Zarzycki, 2017; Ullrich et al., 2021) to track the 6-hourly instantaneous TTQ (total vertically integrated vapor transport) with the key parameters including: 1) minimum laplacian of TTQ = 20000 kg/m/s, 2) latitude of AR tagged grid point > 15°, and 3) blob area of TTQ >  $4 \times 10^5 \mathrm{km}^2$ .

Note that the tracker must be applied to an orthogonal grid, and we interpolated the model output to the 1° lat-lon grid by the TempestRemap highorder algorithm in advance. For simplicity, we did not stitch AR tracks and treat AR and California precipitation as one-to-one samples every 6 hours. To explore the relationship between AR and California precipitation, we calculated the following statistics for each simulation period December-January-February (DJF):

- The percentage of California precipitation contributed by ARs. AR-contributed California precipitation was obtained by interpolating the 1° AR boundary back to the model's native pg2 grid and then applying the California shapefile and AR boundary double mask for the regional average
- The highest latitude reached for each AR making landfall on California.





The "duration" of the AR after California landfall, obtained by counting the overlapped AR and California sample size
and multiplying 6 hours. We recognize this is different from the concept of an event's duration and does not require the

samples to be sequential.

- The maximum TTQ (AR intensity) of each AR that makes landfall in California
- The average TMQ (total vertically integrated precipitable water) of each AR that makes landfall in California
- The average 850 hPa zonal wind speed of each AR that makes landfall in California

#### 3 Results

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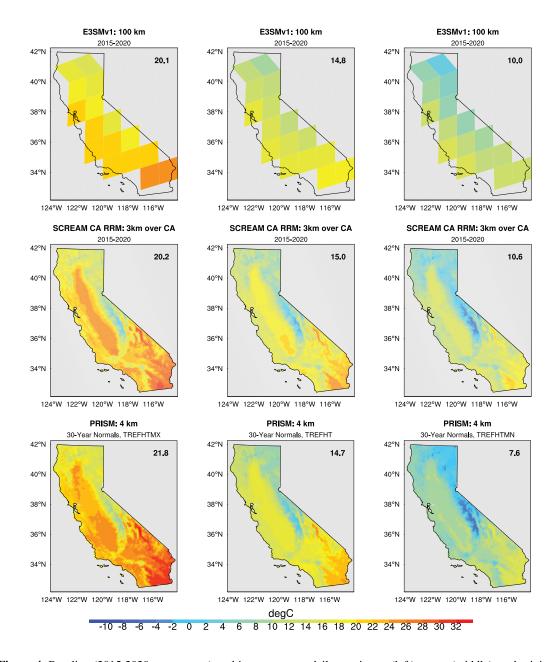
#### 3.1 Baseline comparison with observations

To compare with observations, we use a baseline with the first five water years (2015.10-2020.09) of the SSP5-8.5 projection. Since the simulated time period does not coincide with observations, only climatological statistics (e.g. long-term averages) can be evaluated instead of comparing actual events/years.

For air temperatures at 2 m height (T2m), Fig. 4 clearly shows much richer spatial patterns simulated in CARRM than the 1° E3SMv1. The 1° E3SMv1 largely fails to capture prominent temperature gradients associated with the coastline, Central Valley, Sierra Nevada, and Mojave/Colorado Desert. Compared to PRISM, CARRM produces a very realistic spatial distribution of daily maximum, mean, and minimum T2m. Good representation of complex topography can form temperature gradients simply by lapse rate effect, and cooler/denser air masses at night tend to drive subsidence warming in the valley. Note that the daily maximum T2m are slightly higher in CARRM than in PRISM in parts of the Central Valley (up to 2°C), while the maximum T2m is underestimated by 2-4°C over the Colorado Desert. Daily minimum T2m is overall warmer (up to 2-4 °C) in CARRM than in PRISM, and the mean T2m is fairly similar in RRM against PRISM. We note that SCREAMv0 does have an overall warm bias for 2-m temperature, as reported in Caldwell et al. (2021).







**Figure 4.** Baseline (2015-2020 water years) multi-year average daily maximum (left), mean (middle), and minimum (right) temperatures from 1° E3SMv1 (top), SCREAMv0 CARRM (middle), and PRISM observations (bottom). The Statewide average is shown in the right-top corner.

The temporal and spatial variability of precipitation is more pronounced than that of temperature in the state of CA. Therefore, we also show the five wettest and driest water years during 1981-2020 for PRISM in addition to the 30-yr average to characterize the observed natural variability (Fig. 5). The high mountains (i.e. the Sierra Nevada, the Cascade Range, and the



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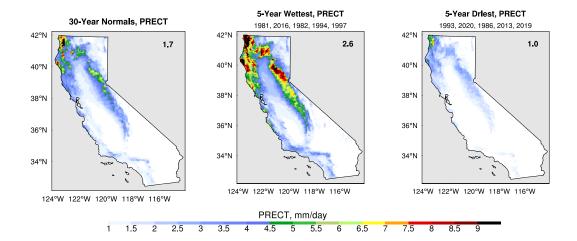
Klamath Mountains) manifest a significant topographic precipitation pattern with moist air coming from the northwest, and higher annual rainfall in the north than in the south. In addition, the relatively smaller ranges, such as the Transverse and Peninsular ranges of southern and central CA, also receive considerable annual-mean precipitation. The northern part of the Central Valley can receive a substantial amount of precipitation, while the southeastern desert east of the Sierra Nevada highlands typically receives very little.

CARRM essentially captures the spatial distribution of precipitation in PRISM and provides much better details than 1° E3SMv1, e.g., the rain belt in the Sierra Nevada and the Coast Ranges, and the relative dry area in the Central Valley (Fig. 6). However, it is clear the RRM precipitation is significantly higher than observed, with the mean even exceeding the wettest years of PRISM.

We have formulated several hypotheses regarding the overestimated precipitation in CARRM. First, the wet bias is partially inherited from the large-scale biases in 1° E3SMv1. Note the larger statewide-mean precipitation (2.9 mm/day) than that in PRISM (1.7 mm/day) (Fig. 6). We also note a slightly stronger meridional moisture flux across the Coastline of California in E3SMv1 when compared to ERA5 reanalysis (Fig. A1), which may contribute to the overprediction of California precipitation. Secondly, GCMs typically underestimate the strength and duration of high pressure blocking ridges that dominates the dry years in California (Davini and D'Andrea, 2020; Schiemann et al., 2020); this can be seen in the comparison with ERA5 (Fig. B1). Additionally, SCREAM physics likely contain their own biases (e.g., cloud microphysics) that are currently not well understood, which will be explored in future work by utilizing CARRM for atmospheric river hindcast experiments. Caldwell et al. (2009) suggested that the overestimated precipitation in California may be a common issue for physics of RCMs as reanalysis-driven RCMs tend produce more precipitation and higher relative humidity than reanalysis. Lastly, ~3 km is a convection-permitting scale, not a fully convection-resolving scale. Unresolved processes at convection-permitting scales may spuriously accumulate energy on the effective resolution (the fully resolved scale derived from kinetic energy spectra compared to observations, ~20 km for CARRM), which can detrimentally affect the synoptic scales (Neumann et al., 2019). The convergence of convection-permitting models is suggested to require the resolution of large eddy simulations O(100 m) (Bryan et al., 2003; Petch, 2006; Langhans et al., 2012), and vertical mass fluxes at O(1-5 km) km may be too strong (Chan et al., 2012). Therefore, the wet bias in CARRM may reveal the insufficiency of convection-permitting resolution and suggest an even higher resolution requirement to represent convective mass fluxes more realistically.







**Figure 5.** Multi-year precipitation of climatological mean (left), wettest 5-yr mean (middle), and driest 5-yr mean (right) for PRISM observation. The statewide average is shown in the right-top corner.

Snowpack is the most prominent quantity to demonstrate the added value of using CARRM, which is represented by snow water equivalent (SWE, or water equivalent snow depth) (Fig. 6). SWE reflects the variability of snow density and snow melt. 1° E3SMv1 produces negligible SWE (SWE < 0.1 m), while CARRM essentially captures the spatial distribution of SWE in the Sierra Nevada. Note that similar to precipitation, the SWE simulated by CARRM has a positive bias when compared to UA observations.





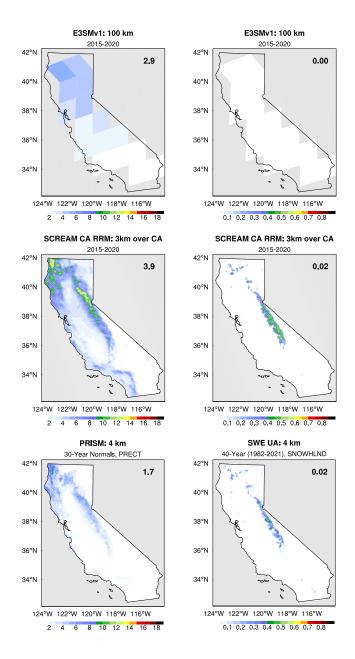


Figure 6. Same as Fig. 4, but for precipitation (left) and snow water equivalent (SWE, right).

# 3.2 General characteristics of the future projection

This section will present climate statistics for four segments (2015-2020, 2029-2034, 2044-2049, and 2094-2099 water years). It will include spatial distributions of seasonal averages, statewide seasonal averages (time series), and daily intra-seasonal

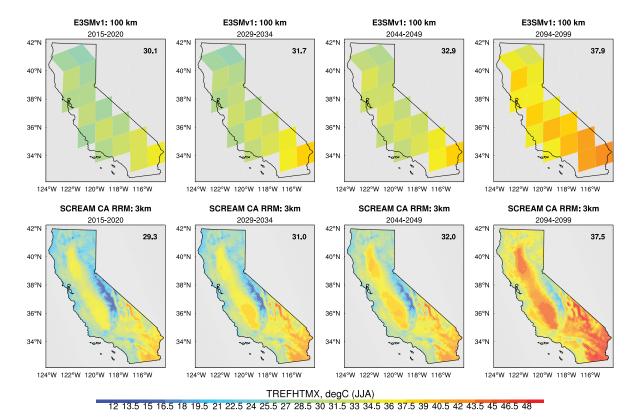




statistics at selected locations. The spatial distributions will highlight the seasons in which a variable of interest exhibits the most distinct patterns.

#### 3.2.1 2m air temperature

Figure 7 depicts the spatial distribution of daily maximum T2m during the summer seasons (June-July-August) for the SSP5-8.5 scenario. This figure roughly indicates a general trend in the likelihood of heat waves. In the Central Valley, daily maximum temperatures are projected to rise from the current average of 36 °C to approximately 43.5 °C by the end of the century. Similarly, the Mojave/Colorado Desert is expected to experience temperatures exceeding 48 °C by the end of the century. Moreover, the Sierra Nevada is projected to undergo general warming of approximately 10 °C. By employing the definition of heat waves based on the current climate regime, e.g., three consecutive days with maximum temperatures surpassing 37.8 °C, it is anticipated that nearly half of the Central Valley and California Desert will be subjected to continuous heat waves by mid-century. Moreover, by the end of the century, most of California is expected to experience prolonged periods of heat waves.



**Figure 7.** Multi-year summer average of daily mean T2m in 2015-2020, 2029-2034, 2044-2049, 2094-2099 water years (from left to right) simulated by 1° E3SMv1 (top) and SCREAMv0 CARRM (bottom). The statewide average is shown in the right-top corner.

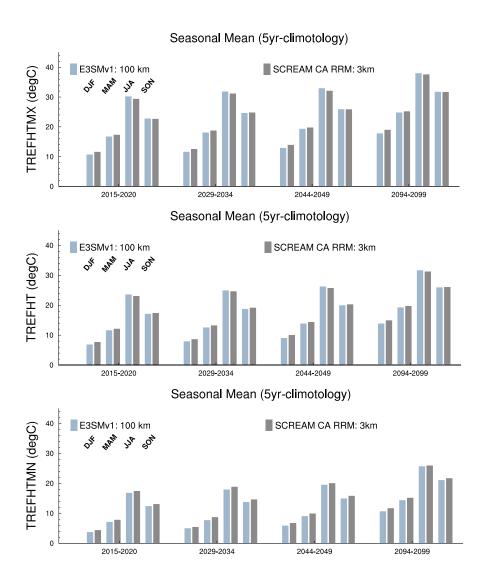




The statewide-average temperatures are essentially inherited from 1° E3SMv1 (Fig. 8). This is not surprising considering the relatively straightforward forced response of statewide-averaged temperatures to greenhouse gases (GHGs) which is less dependent on intricate model physics. Across all seasons, there is a consistent and monotonic increase in daily maximum, mean, and minimum T2m over time. Of particular note is that during the summer season, statewide-average daily maximum T2m can approach nearly 40°C, while daily mean T2m can rise to 20°C starting from spring. This prominent warming is expected to have severe implications for California's agriculture. For example, given that the growth of wine grapes typically commences at around 10°C, such substantial warming could lead to a pronounced advancement in average grape ripening period and a decline in overall quality (Hayhoe et al., 2004).







**Figure 8.** Multi-year seasonal average of daily maximum (top), mean (middle), and minimum (bottom) T2m during four simulation segments). SCREAMv0 CARRM (1° E3SMv1) is denoted by dark (blue) gray bar. Each segment shows winter (December-January-February, DJF), spring (March-April-May, MAM), summer (June-July- August, JJA), and autumn (September-October-November, SON) in order.

While CARRM may return essentially the same result in terms of statewide mean temperature statistics, the superior representation of spatial distribution allows one to examine temperature trends at specific locations. As an example, we compared four representative locations for their daily statistics: Sacramento (a point in Central Valley), Conness Glacier (one of the coldest points over High Sierra), Death Valley (one of the hottest points in Mojave Desert), and San Francisco (a point in Bay Area,



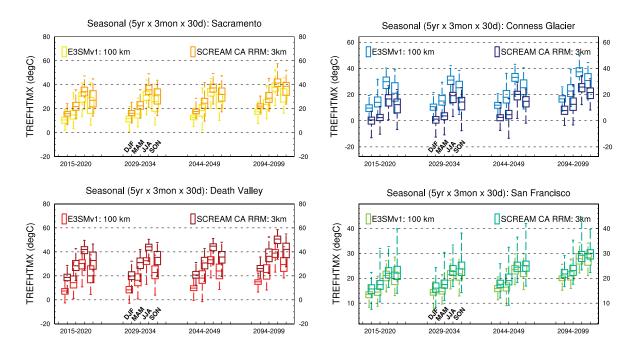
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typically subjected to the marine layer) (Fig. 9, 10). Box plots give the minimum, lower quartile, median, upper quartile and maximum of daily samples for each segment per season, with an approximate sample size of 450 (5x3x30) samples per box.

Though the overall warming trend is comparable between 1° E3SMv1 and CARRM, CARRM can better differentiate temperatures across geographical locations. For example, the daily maximum T2m in Death Valley is 10-15°C higher in CARRM than in 1° E3SMv1, while the daily minimum T2m in Conness Glacier is 10-15°C lower in CARRM, highlighting the extreme heat and cold in Death Valley and the Conness Glacier, respectively. This discrepancy directly reflects the influence of topography and elevation differences. As 1° E3SMv1 cannot resolve such topographical details, the contrast in daily maximum T2m between Death Valley and Conness Glacier is smoothed out. In addition, CARRM also captures temperature skewness. CARRM predicts a positively-skewed long-tailed distribution of daily maximum T2m for spring-summer-fall in San Francisco, and a negatively-skewed long-tailed distribution for winter-spring across the century and fall before mid-century at Conness Glacier. It indicates the possibility of extreme high/low T2m during these time periods. Finally, the local variations of temperature is better captured by CARRM. For example, in CARRM, although the maximum daily T2m in summer is similar between Sacramento and Death Valley (rising from 45°C at present to nearly 60°C by the end of the century), the mean daily T2m is approximately 10° higher in Death Valley compared to Sacramento. This indicates that the daily temperature variability in Death Valley is relatively small, implying a much warmer body temperature one will feel in Death Valley.



**Figure 9.** Daily maximum temperature statistics for different seasons and different segments in Sacramento (yellow), Conness Glacier (blue), Death Valley (red), and San Francisco (green). Each box gives the minimum, lower quartile, median, upper quartile and maximum, with a sample size of about 450. The order of seasons in each segment is winter, spring, summer and autumn. The light color of each pair of boxes indicates 1° E3SMv1 and the dark color indicates SCREAMv0 CARRM.





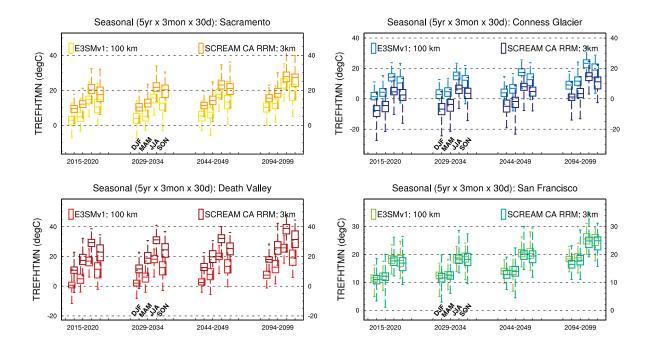


Figure 10. Same as Fig. 9 but for daily minimum temperatures.

#### 3.2.2 Precipitation

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The spatial variability of winter precipitation (December-January-February) is shown in Fig. 11. As we found that CARRM has a wet bias when compared to observations, the key takeaway from future projection simulations using CARRM lies in the relative trends rather than absolute magnitudes. In our simulations, the signal of the forced response of precipitation to GHG in California remains obscure during the first half of the century, but shows a significant increase towards the end of the century. Note that the sign of precipitation change is the same in 1° E3SMv1 and CARRM, but the magnitude is amplified along terrain in CARRM.

Regarding the spatial distribution, the two segments before mid-century show contrasting changes across different regions in CARRM: precipitation in the Sierra Nevada is weaker compared to the baseline period (particularly up to 3 mm/day less during 2044-2094), while the western Northwest Coastal Range experiences an increase in precipitation (up to 2-3 mm/day). In addition, the Transverse-Peninsular Ranges in southern California exhibit drier conditions than the baseline during 2029-2034, while they receive more rainfall than the baseline during 2044-2049. By the end of the century, under this scenario, the majority of California may experience a significant increase in precipitation except for the southern Sierra Nevada and the southernmost desert of California. Compared to the baseline period, an increase of precipitation more than 5 mm/day is observed in the eastern, northern, and southern Ranges. The Central Valley is expected to increase by 2 mm/day, and more than half of the Southwest Desert may receive over 1 mm/day of additional precipitation. In contrast, the signal of the regional response is very weak in 1° E3SMv1.



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Note that the 5-yr average hardly reflects the ENSO signal. For example, the 2029-2034 segment contains an extremely strong El Niño year followed by a strong 3-yr La Niña event and thus its overall impact on California precipitation may largely cancel out. However, we do not see a significant modulation of the ENSO signal on precipitation even upon examining monthly precipitation. Towards the end of the century, heavy precipitation events occur at least once per year (not shown). The examination of precipitation changes and extreme events in relation to ENSO will be examined in future work.

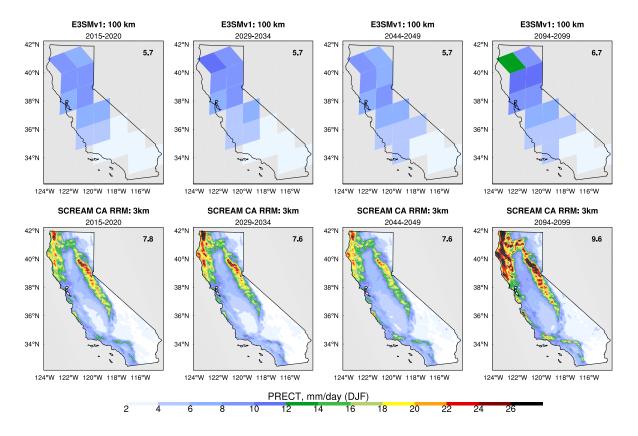


Figure 11. Same as Fig. 7 but for winter precipitation.

Unlike temperature, the statewide-average precipitation is consistently higher in CARRM compared to 1° E3SMv1. This discrepancy of precipitation (especially in winter) shows a non-stationary increase over time (Fig. 12). This exemplifies the model differences, as well as the potential issues with the model physics, such as the wet bias seen in the comparison with observations. It is important to note that SON precipitation decreases with time. This is significant because despite the relatively modest contribution to annual precipitation, SON is historically the most active period for wildfires in CA, therefore precipitation is crucial during this season to dampen the worst impacts (Swain, 2021). This is also consistent with recent observational evidences and multi-model analyses. For example, Goss et al. (2020) showed that decreases in California SON precipitation over the past 40 years have led to increases in fire weather indices, while Luković et al. (2021) provided evidence of a significant decrease in November precipitation in California, and CESM large ensemble, CMIP5 and NA-CORDEX all



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found that "shoulder season" precipitation is likely to decrease by mid-century (Swain et al., 2018; Dong et al., 2019; Mahoney et al., 2021).

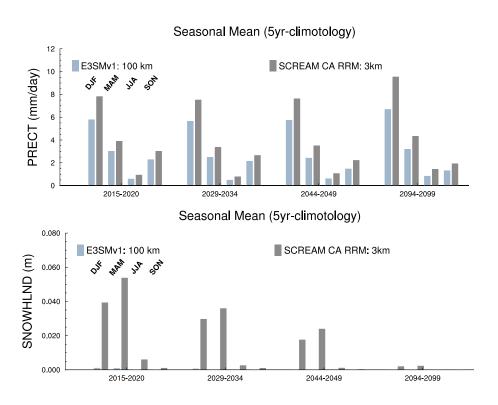


Figure 12. Same as Fig. 8 but for precipitation (top) and SWE (bottom).

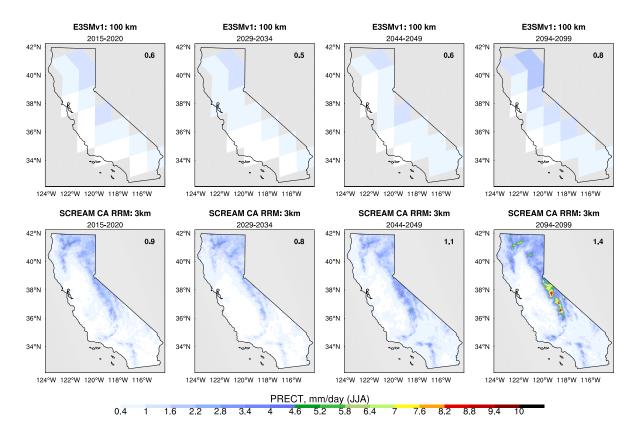
Despite not receiving as much attention as winter precipitation for California, summer precipitation (JJA) also appears to increase towards the end of the century. Organized convective systems, both local and propagating from the west, contribute significantly to the overall summer precipitation in California. They are characterized by prominent longwave radiative cooling accompanied by anvil clouds which can rival the magnitude of mesoscale convective systems and tropical cyclones. This can be seen more clearly in the evolution of 6-hourly precipitation and outgoing longwave radiation (not shown). This pattern is partially depicted in the 5-yr averaged JJA precipitation, especially over the Sierra Nevada (Fig. 13). Unlike DJF, JJA precipitation at the end of the century does not exhibit a distinct topographic precipitation signature along the mountain range. Instead, it shows local extremes at a few specific locations. The small area and significant gradient of these precipitation hot spots may indicate a series of highly intermittent but intense organized convective systems.

The primary source of summer precipitation in the Sierras and deserts is the southwest monsoon (Adams and Comrie, 1997; Prein et al., 2022). The monsoon contributes up to 45% of the annual precipitation in the southwest desert (Higgins et al., 1999) and can trigger severe weather events such as lightning, thunderstorms, wildfires, and floods (Nauslar et al., 2018; Griffiths





et al., 2009). The notable increase in summer precipitation towards the end of the century may be associated with an amplified temperature gradient and consequent increase in moisture transport from the Gulf of California to the Southwest Desert (Jana et al., 2018; Johnson and Delworth, 2023). In addition, since the monsoon season is characterized by intense localized thunderstorm activity, accurate monsoon simulations require models that capture the spatial heterogeneity of temperature and precipitation. Specifically, some thunderstorms are triggered by local temperature extremes near the surface in tandem with increased humidity in the Southwest Desert. The higher resolution provided by RCMs has been found to impact the quantification of various mechanisms of the North American monsoon warming response (Meyer and Jin, 2016).



**Figure 13.** Same as Fig. 7 but for summer precipitation.

#### 3.2.3 Snowpack

In the Sierra Nevada, the snowpack is typically thickest during the spring season (March-April-May) (Fig. 14). The snowpack serves as a compelling indicator that highlights the benefit of high resolution, as 1° E3SMv1 fails to represent the snowpack in the Sierra. This is particularly evident in the California average SWE (Fig. 12). Furthermore, the snowpack is expected to be one of the variables most significantly impacted by GHG forcing. California is projected to be essentially devoid of snow by the end of the century (Fig. 12), except for scattered areas in the central Sierra Nevada (Fig. 14). Note that unlike precipitation,





which showed minimal changes until the end of the century, the snowpack exhibits a clear decline by mid-century. A warming of 6 °C can greatly affect the majority of the snowpack in the Sierra Nevada (Bales et al., 2015), so it is not surprising that such a pronounced decline in snowpack would occur due to temperature changes (Figs. 7, 9, 10).

Given that the snowpack contributes approximately 3/4 of the annual freshwater supply for the western United States (Palmer, 1988; Cayan, 1996; Bales et al., 2011), the retreat of the snowpack by mid-century will have significant implications for water management throughout California. Consequently, this will impact agriculture and energy supplies for electricity (Rhoades et al., 2017; Belmecheri et al., 2015). Additionally, the shortening of the snow season and early snowmelt are closely linked to fire activity, as this would lead to drier soils and vegetation, and thus will increase the wildfire frequency and extend the fire seasons (Westerling et al., 2006; Holden et al., 2018). Lastly, the complete recession of snowpack is anticipated to have a substantial impact on California's ski industry, as the start of a typical ski season requires snow depths above 2-4 ft (with a corresponding SWE threshold of ~0.2 m) (Hayhoe et al., 2004; Hill et al., 2019).

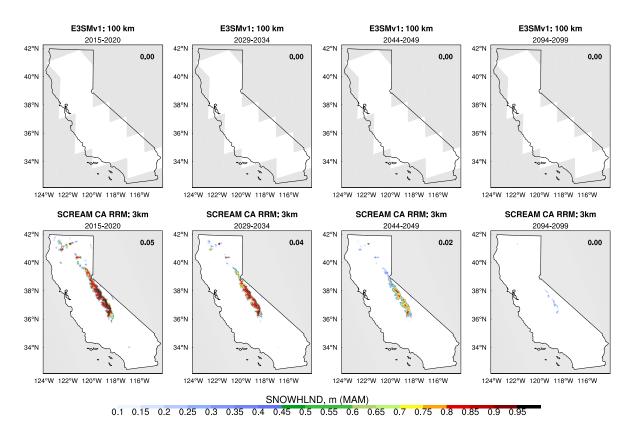


Figure 14. Same as Fig. 7 but for spring SWE.

To further investigate the response of snowpack at different latitudes in the Sierra Nevada and to demonstrate the added value of CARRM in simulating snowpack, we selected three specific locations: Mt Shasta, Pyramid Peak, and Mount Whitney (Fig.





15). Their climate statistics are compared focusing on monthly-mean SWE (Fig. 16), with 15 (5 years x 3 months) samples per box.

First, it is reconfirmed that 1° E3SMv1 has essentially no capability in simulating SWE, as depicted by the red box in Fig. 16 where SWE simulated by E3SMv1 is consistently close to zero. Second, as expected, the variability of the snowpack is influenced by latitude and altitude. Mt Shasta, located at the highest latitude, presents the highest snowpack among the three sites throughout the year. Although substantially reduced, Mt Shasta maintains a considerable spring snowpack (nearly 0.4 m) by the end of the century. Mount Whitney, characterized by the highest elevation, exhibits the slowest recession of snowpack in winter but relatively rapid melting in spring. Nevertheless, the spring SWE is still greater than 0.1 m by the end of the century in Mount Whitney. In contrast, the relatively lower altitude Pyramid Peak experiences the most significant retreat in snowpack by the end of the century.

We emphasize the substantial reduction in summer (June-July-August) snowpack observed at all locations, and the complete absence in some cases. This would have significant implications for increased wildfire threats (i.e. more frequent wildfires and a much longer wild fire season) (e.g., Westerling et al., 2006; Holden et al., 2018).

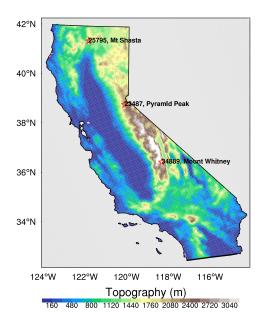


Figure 15. Topography (elevation) of California. The selected sites are marked with red stars.





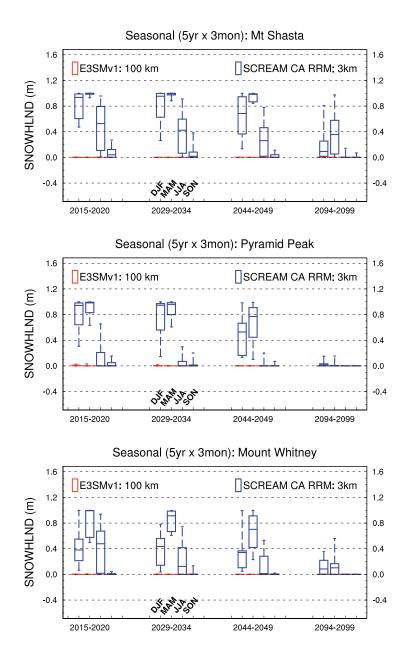


Figure 16. Same as Fig. 9 but for monthly statistics of SWEs in Mt Shasta (top), Pyramid Peak (middle), and Mount Whitney (bottom).

# 490 3.2.4 Marine Stratocumulus

Along the west coast of California, fog plays a crucial role in maintaining the redwood ecosystem, helps to moderate hot summer temperature influenced by the coastal Mediterranean climate, and increases humidity to help curb wildfire ignitions (Lewis, 2003; Johnstone and Dawson, 2010). A major mechanism for the formation of coastal fog is strong large-scale sub-



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sidence near the coast pushes low-level inversions near the surface acting to lower the base of marine stratocumulus clouds (O'Brien et al., 2012; Koračin et al., 2001). Note that while coastal fog lies within the 3.25 km mesh, the California stratocumulus found upstream over the ocean falls within the transition region. Nevertheless, SCREAM's turbulence scheme (SHOC) is scale aware should be able to properly parameterize the maritime low clouds across resolutions (Bogenschutz et al., 2023).

The lack of marine stratocumulus is a common issue in low-resolution GCMs, adding to the uncertainty of shortwave cloud feedback. The improved marine stratocumulus is a great achievement of the SCREAM global 3.25 km simulations (Caldwell et al., 2021), which is partially due to higher horizontal and vertical resolution (Lee et al., 2022; Bogenschutz et al., 2022). In our CARRM baseline (2015-2020), the shortwave cloud radiative forcing (SWCF) is greatly improved over inland areas (Fig. C1). However, it is also worth noting that near the western edge of the RRM domain (~100 km), the SWCF of the RRM simulation is stronger when compared to the CERES-EBAF observation.

Given the unaffordable cost of GCPMs, CARRM provides an excellent opportunity to explore the response of marine stratocumulus near California to GHGs under a convection-permitting scale (Fig. 17). The climate change signal of SWCF simulated by 1° E3SMv1 is weak. However, the SWCF simulated by CARRM is much stronger (more negative) and manifests a significant weakening over time, which indicates a decrease in stratocumulus and strong positive shortwave cloud feedback along the west coast of California. This suggests that under warming, boundary layer turbulence becomes more effective at entraining dry air from above the cloud tops. Note that the Data Ocean in CARRM uses 1° lat-lon SSTs which cannot resolve the cold coastal upwelling, which partially hampers the ability to capture the marine stratocumulus and coastal fog.

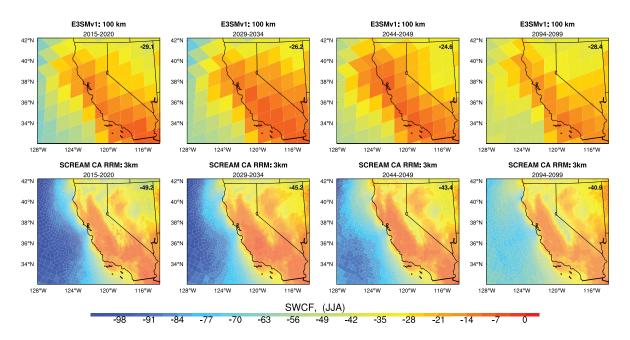


Figure 17. Same as Fig. 7 but for summer shortwave cloud radiative forcing.



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#### 3.3 Atmospheric River trends over California

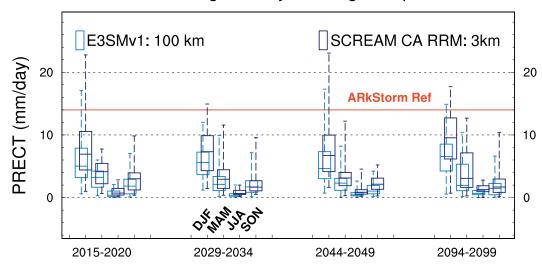
ARkStorm is considered as a rare atmospheric river (AR) phenomenon transpiring once very 500 to 1000 years (Porter et al., 2011; Wing et al., 2016). ARkStorm is a hypothetical scenario that refers to a near continuous series of strong AR events capable of causing a massive flooding event similar to the Great Flood of 1862 (Engstrom, 1996; Porter et al., 2011). This storm series dumped 3000 mm of water in California in the 43 days from 1861.12-1862.01, triggering devastating floods that wreaked havoc across the state. A modern ARkStorm could cause \$725 billion to \$1 trillion in damage. Since ARs have been identified as a critical contributor to wintertime precipitation in California but can also be quite hazardous (Ralph et al., 2006; Swain et al., 2018; Huang and Swain, 2022; Dettinger et al., 2011; Rhoades et al., 2021), we are curious about assessing the changes of ARkStorm possibility in CARRM with warming.

A more refined definition of the ARkStorm event in previous studies consists of two key aspects. First, it is defined based on extreme events by calculating the return period that depends on model performance rather than an absolute threshold (Swain et al., 2018). Second, considering the spatial heterogeneity across local sites, the focus is placed more on the spatial distribution rather than a statewide average (Huang and Swain, 2022). Unfortunately, we are unable to follow the first step because the calculation of return period for such an extreme event requires a large sample size, while we only have one 20-yr realization. For example, more than 1000 years of PI-control simulations and 40 multi-year ensemble numbers of future projections are typically needed. Instead, we adopted a simple approach in this work: the 30-day mean for the statewide precipitation is used to assess the possibility of ARkStorm events. The ARkStorm event is indicated by an estimated threshold (14 mm/d statewide precipitation) based on most ARkStorm studies.

The statewide 30-day average or cumulative precipitation effectively diminishes the heavy-tailed distribution observed in daily or sub-daily precipitation over single sites. However, a noticeable increase in the median and upper quartile of statewide 30-day precipitation is observed at the end of the century (Fig. 18). Considering the wet bias of CARRM (Fig. 6), the 14 mm/d statewide precipitation may underestimate the intensity of ARkStorm events. Nevertheless, it is evident that the possibility of end-of-century ARkStorm events is significantly increased in the realization inherited from 1° E3SMv1.



# Statewide average 30-day Running Precipitation, CA



**Figure 18.** Same as Fig. 9 but for 30-day mean statewide precipitation. The red line indicates the threshold corresponding to the ARkStorm event.

Interestingly, despite that the statewide precipitation is projected to increase significantly at the end of the century (more than 30% in winter and more than 70% in summer, Fig. 18), we do not find a corresponding change in the contribution of ARs to California precipitation (~10%) (Fig. 19). There is also no clear climate signals in the location of ARs or the frequency of ARs making landfall in California (not shown).

There are notable differences between 1° E3SMv1 and CARRM, especially in the contribution of ARs to California precipitation: 1° E3SMv1 shows higher values in the tail of the distribution, while CARRM has a considerable number of small values. This discrepancy is closely related to the horizontal resolution, as the much higher resolution of CARRM on the west coast of California allows for situations where an AR barely makes landfall on the border of CA but the precipitation from the AR periphery is notable. Under this circumstance, the precipitation induced by AR will be small, which leads to a considerable



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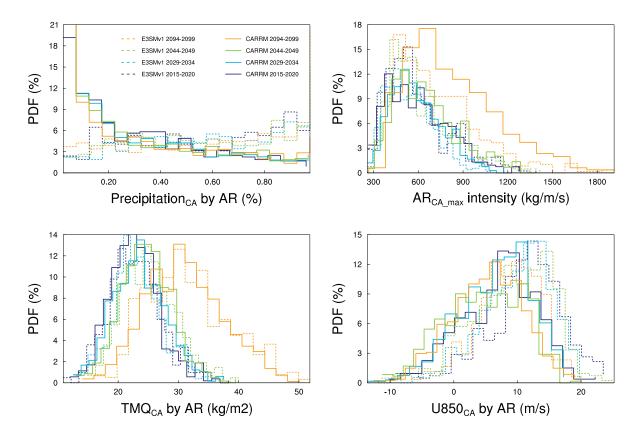


number of small-value samples in CARRM. This also suggests that it may be inappropriate to delineate the influence area of ARs by relying solely on the exact boundary of ARs using the moisture transport, as the moisture transport at the periphery of the AR can also supply water vapor to California. In addition, the moisture transport is more closely related to precipitable water than to low-level wind convergence. However, low-level convergence may have a more direct influence on topographic precipitation. This can lead to a mismatch between the spatial distribution of ARs and California precipitation in some cases.

On the other hand, there are clear climate signals in the precipitable water (increased by 36%) and low-level winds (weak-ened by 30%) associated with ARs making landfall in California. Precipitable water is controlled by the Clausius-Clapeyron relation that a warmer atmosphere can hold more water vapor. The weak leftward trend of 850 hPa zonal winds indicates an overall weakening of the westerlies, which may slightly reduce the frequency of AR hitting California. In summary, the greatly increased total precipitation in California by end of century is primarily due to greater amounts of precipitation falling from individual storms instead of a greater number of storms, which is dominated by larger precipitable water under the substantial warming scenario.







**Figure 19.** Winter AR statistics over California, including the percentage of precipitation contributed by the AR, AR intensity, precipitable water and 850 hPa zonal winds accompanied with ARs. Here ARs are limited to those making landfall in California. Four simulation segments are indicated by the colors ranging from cold (dark blue) to warm (orange). For each pair of colors, the dashed line denotes 1° E3SMv1 and the solid line denotes SCREAMv0 CARRM.

#### 555 4 Conclusions and discussions

This work marks the first time SCREAM has been used for climate length simulations, which was only made possible by leveraging RRM. Our RRM is centered on California and includes parts of the West Coast at a resolution of 3.25 km and 1° resolution covering the remainder of the globe. We evaluated California's future projections under the highest emission scenario by selecting four 5-year time periods.

To produce CARRM simulations in this study, we first established a California-specific RRM framework. This involved designing the new RRM grid and generating the necessary model configurations. Through the development history of RRM community and E3SM infrastructure, the tool chains and workflows for generating new RRM grids are relatively mature. Then, we nudged CARRM to 1° E3SMv1 SSP5-8.5 scenario and generated future projections for California.



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Unlike our work, which nudged from an E3SMv1 simulation, one may argue that it would be desirable to run RRM freely with an active deep convection scheme. This would indeed avoid the necessary step of having to rerun the E3SM model to generate the forcing at the time scales needed. An advantage of RRM over regional climate models lies in its seamless transition from typical GCM resolution to the finest resolution. However, running RRM freely requires a scale-aware deep cumulus parameterization, which is currently lacking in SCREAM for a proper handling of the transition from 100 km to 3.25 km. Hence, we adopted a nudging strategy to force RRM with a low-resolution GCM.

There are several advantages by adopting nudging in our work. By utilizing known boundary conditions (atmospheric state, SST, sea ice), we can pre-select years with high internal variability as simulation segments, thereby expanding the range of sampling. Furthermore, instead of strictly following a chronological order, we can simulate several segments simultaneously by nudging to the target state. This greatly reduces simulation time as well as wall-clock time (i.e. the ability to run seperate periods in parallel) and expedites data delivery and model validation. Finally, since we are nudging from an E3SMv1 scientifically validated simulation, we are not subjected to time consuming and tedious tuning efforts that would be required in a free-running simulation to ensuring top-of-atmosphere radiation balance and potential issues with a drifting climate.

CARRM represents a very efficient configuration compared to the global 3.25 km SCREAM (249 simulated days per day compared to 4 to 5 simulation days per day with SCREAM, with 1/3.6 of the computational cores used) and serves as a powerful tool for studying climate change and resilience in California. With complex topography and coastline, California is a microclimate-rich region, characterized by significant spatial heterogeneity. Therefore, high-resolution modeling becomes essential to capture the complexities associated with CA climate. The convection-permitting scale has manifested great values in accurately representing the highly volatile storm-induced precipitation in winter and organized convection in summer/fall in CA. The Sierra Nevada snowpack, which holds the lifeblood of California's water resources, relies heavily on high-resolution representation in climate models. Thus, California provides an excellent test bed for our SCREAM-RRM climate framework.

By comparing to 4 km observations, the baseline climate of CARRM demonstrates the significant added value of the 3.25 km resolution for California. In particular, it accurately captures high temperatures in the Central Valley, and realistically depicts the spatial distribution of rainfall and snowpack in the Sierra Nevada. In contrast, 1° E3SM essentially fails to represent these fine-scale features which are closely related to topography. In addition, CARRM captures the heavy-tailed daily distributions (rainfall, temperature at San Francisco and Conness Glacier), which undoubtedly will impact the assessment of extreme events. The response of marine stratocumulus along the west coast can also be explored in CARRM as improvements in resolution have been found to be important for marine stratocumulus clouds (Bogenschutz et al., 2022; Lee et al., 2022). In our simulations coastal stratocumulus decrease significantly with warming towards the end of the century, and the (positive) magnitude of the shortwave cloud feedback is likely moderately high when compared to the CMIP6 spectrum.

Under the SSP5-8.5 scenario, our CARRM simulations indicate that daily maximum temperatures in the Central Valley may increase from 36°C in the current climate to 43.5°C by the end of century. A widespread warming of 6-10°C is anticipated across most of California. By the end of the century, statewide 30-day average winter-spring precipitation in California is projected to increase by 38% compared to the present day. This increase primarily stems from greater amounts of precipitation falling from individual storms, rather than more frequent storms in the future. On the other hand, our results suggest there could



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be a notable decrease in precipitation during the fall, which has consequences for fire season. In our simulation, California's snowpack was cut in half by the 2050s and almost completely gone by the end of the century except for a few high-elevation areas in Mt Shasta and the Sierra Nevada. These projections hold critical implications for California's future water resources, agriculture, energy, natural disasters (floods, droughts, wildfires), public health, etc.

Due to the nudging strategy, CARRM's mean temperature is basically inherited from 1° E3SM. However, the statewide-average precipitation shows significant differences between CARRM and 1° E3SM from the beginning and the differences are increasing with time (i.e., non-stationarity issue as discussed in Maraun et al. (2010)). Particularly, CARRM has superior skill over E3SM in representing snowpack because 1° E3SM essentially cannot capture snowfall in the Sierra Nevada at all. This suggests that 100 km may be sufficient if one is only concerned with the warming response in a statewide-average meaning, but in terms of understanding changes at the regional level, the high resolution provided by CARRM is essential; in the latter case, it is a challenge to make valid predictions based on coarse-resolution models alone. On the other hand, the limited high-resolution observations also reveals a small warm bias and a significant wet bias in CARRM. In particular, CARRM amplifies the wet bias which is already present in the 1° E3SM, which may suggest problems with the physical parameterization of SCREAM and the inadequacy of 3.25 km to fully resolve convection. A further increase in grid resolution could help clarify the resolution issue, as computational resources allow. It is also an open question whether a deep convection scheme can still play a role in helping to better represent the fraction of convection that is not fully resolved, i.e., mitigating the overprediction of mass fluxes.

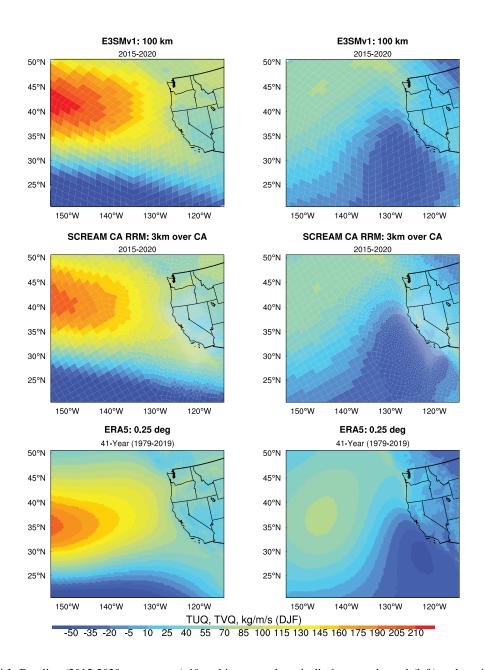
Our endeavor demonstrates the engineering feasibility and scientific validity of SCREAM-RRM for conducting decadeslong climate simulations in regions of interest. SCREAM-RRM represents an excellent bridge to global convection-permitting simulations. The initial set of CARRM simulations has been employed to investigate the climate resilience of California's energy infrastructure. We anticipate further opportunities for application and iterative enhancements, including refining resolution and model physics. Given the significant benefits of high resolution, this work provides guidance and encourages the replication of SCREAM-RRM in other parts of the globe.

Code and data availability. The SCREAM California Convection-Permitting Regionally Refined Model 0.0 version code, in addition to the model output, can be found at https://doi.org/10.5281/zenodo.8303184. The SCREAM CARRM source code is also available on GitHub at https://github.com/E3SM-Project/scream/compare/bogensch/CA\_32xRRM (last access: 30 Aug 2023), and a maintenance branch (CARRM-v0.0; https://github.com/jsbamboo/scream/releases/tag/CARRM-v0.0, last access: 30 Aug 2023). The code we used to generate the California RRM configurations for SCREAMv0 is documented in our technical note (https://acme-climate.atlassian.net/wiki/spaces/DOC/pages/3804299340/SCREAM+California+RRM+v0+Technical+Note). Specifically, the code used to generate the boundary conditions can be found at Section 5.





# Appendix A



**Figure A1.** Baseline (2015-2020 water years) 1° multi-year total vertically integrated zonal (left) and meridional (right) water flux from E3SMv1 (top), SCREAMv0 CARRM (middle), and ERA5 observations (bottom).





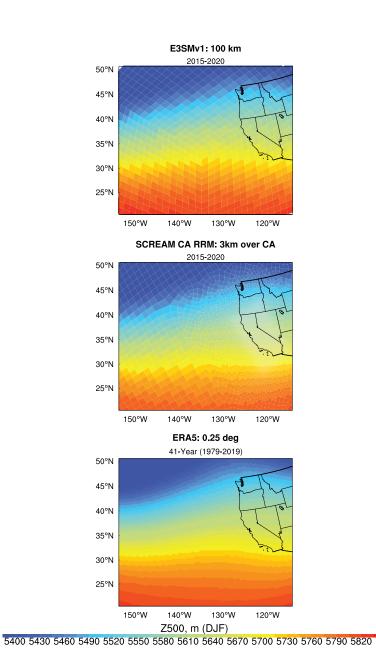
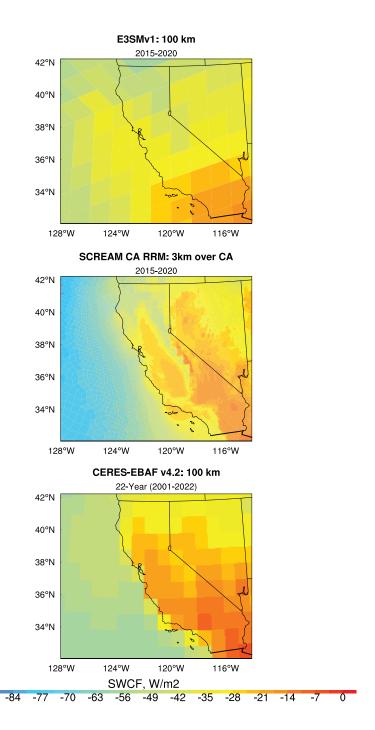


Figure B1. Baseline (2015-2020 water years)  $1^{\circ}$  multi-year 500 hPa geopotential height from E3SMv1 (top), SCREAMv0 CARRM (middle), and ERA5 observations (bottom).







**Figure C1.** Baseline (2015-2020 water years) 1° multi-year shortwave cloud radiative forcing (SWCF) from E3SMv1 (top), SCREAMv0 CARRM (middle), and CERES-EBAF observations (bottom).





Author contributions. JZ designed, performed and analyzed the 3 km SCREAM California RRM simulation, and prepared the first draft of the manuscript. PB took the supervisory role and contributed significantly to the design of the simulation strategy and analysis. QT generated the 3 km California RRM source mesh, PB, QT and JZ prepared the RRM configuration files. PB and JZ implemented the code in SCREAM. JZ carried out the SCREAM CARRM and E3SMv1 simulations with assistance from PB, QT, and CZ. PCS was responsible for funding acquisition and contributed to the analysis of the results. JZ and PB designed the paper scope. All co-authors contributed to the manuscript.

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