

1 An Emerging Aerosol Climatology via Remote Sensing over Metro Manila, Philippines

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23 **Abstract**

24 Aerosol particles in Southeast Asia are challenging to characterize due to their complex life cycle
25 within the diverse topography and weather in the region. An emerging aerosol climatology was
26 established based on AERONET data (December 2009 to October 2018) for clear sky days in
27 Metro Manila, Philippines. Aerosol optical depth (AOD) values were highest from August to
28 October, partly from fine urban aerosol particles, including soot, coinciding with the burning
29 season in Insular Southeast Asia when smoke is often transported to Metro Manila during the
30 southwest monsoon. Clustering of AERONET volume size distributions (VSD) resulted in five
31 aerosol particle sources based on the position and magnitude of their peaks in the VSD and the
32 contributions of specific particle species to AOD per cluster based on MERRA-2. The clustering
33 showed that the majority of aerosol particles above Metro Manila were from a clean marine
34 source (58%), which could be related to AOD values there being relatively smaller than in other
35 cities in the region. The following are the other particle sources over Metro Manila: fine polluted
36 (20%), mixed dust (12%), urban/industrial (5%), and cloud processing (5%). Furthermore,
37 MERRA-2 AOD data over Southeast Asia were analyzed using empirical orthogonal functions.
38 Along with AOD fractional compositional contributions and wind regimes, four dominant
39 aerosol particle air masses emerged: two sulfate air masses from East Asia, an organic carbon
40 source from Indonesia, and a sulfate source from the Philippines. Knowing the local and regional
41 aerosol particle air masses that impact Metro Manila is useful in identifying the sources while
42 gaining insight on how aerosol particles are affected by long-range transport and their impact on
43 regional weather.

44 1. Introduction

45 Although Southeast Asia is one of the most rapidly developing regions in the world with a
46 growing number of extensive research conducted (Reid et al., 2023), there remain knowledge
47 gaps related to aerosol particles in the area (Tsay et al., 2013; Lee et al., 2018; Chen et al., 2020;
48 Amnuaylojaroen, 2023). The region represents a complex geographic, meteorological, and
49 hydrological environment making it challenging to understand aerosol particle characteristics,
50 especially interactions between aerosol particles with their environment (Reid et al., 2013). The
51 island of Luzon in the Philippines in particular is very populated and is characterized by high
52 levels of anthropogenic emissions superimposed on natural emissions from the surrounding
53 waters (AzadiAghdam et al., 2019) and long-range transport of emissions from areas such as
54 Indonesia and East Asia (Braun et al., 2020; Hilario et al., 2020a; Hilario et al., 2020b; Hilario et
55 al., 2021a). Aerosol particle lifecycle in the region is impacted by Philippine weather that is
56 marked by two distinct monsoons, typhoons, the intertropical convergent zone, and impacts from
57 El Niño-Southern Oscillation and Madden-Julian Oscillation (Cruz et al., 2013; Xian et al., 2013;
58 Reid et al., 2012; Reid et al., 2015; Hilario et al., 2021b). Studying this area is informative owing
59 to the wide dynamic range of aerosol particle and weather conditions, which are interconnected.
60 The overlapping of large fraction of cirrus clouds with lower clouds in the area (Hong and Di
61 Girolamo, 2020) makes space-borne remote sensing of aerosol particles very challenging (Reid
62 et al., 2013; Lin et al., 2014). These reasons motivated the NASA Cloud, Aerosol, and Monsoon
63 Processes Philippines Experiment (CAMP²Ex) airborne measurement campaign in 2019 to
64 understand the interaction between tropical meteorology and aerosol particles (Di Girolamo et
65 al., 2015; Reid et al., 2023). However, those short terms measurements cannot provide an
66 adequate assessment of aerosol behavior across all seasons and over many years.

67 The NASA AErosol RObotic NETwork (AERONET) (Holben et al., 1998) is pivotal in
68 providing broad temporal coverage of aerosol characteristics in specific locations with a column-
69 based perspective from the ground up. Aerosol climatology studies in different regions have
70 proved beneficial to understand temporal characteristics of aerosol particle concentrations and
71 properties, in addition to identifying potential source regions along with interactions with clouds
72 and rainfall (Stevens and Feingold, 2009; Li et al., 2011; Tao et al., 2012; Crosbie et al., 2014;
73 Kumar et al., 2015; Alizadeh-Choobari and Gharaylou, 2017; Mora et al., 2017; Aldhaif et al.,
74 2021). To our knowledge, there has not been a remote sensing-based aerosol climatology study
75 for the Metro Manila region of Luzon, which has approximately 16 cities, a population of 12.88
76 million, and a high population density of 20,800 km⁻² (PSA, 2016; Alas et al., 2018).

77 Most of the past studies involving long-term remotely sensed aerosol particle data in Southeast
78 Asia (Cohen, 2014; Nakata et al., 2018; Nguyen et al., 2019b) had no specific focus on the
79 Philippines. The Philippines is considered as part of the Maritime Continent (MC), the island
80 nations sub-region of Southeast Asia. The other Southeast Asia sub-region, Peninsular Southeast
81 Asia (PSEA), comprises those nations within the continental Asia land mass. These two regions
82 have separate aerosol sources and climate, where MC is dependent on the intertropical
83 convergent zone (ITCZ) and PSEA is dependent on both the ITCZ and monsoon systems (Dong
84 and Fu, 2015). Only the southern part of the Philippines is climatologically part of MC (Ramage,
85 1971), however, and northwest Philippines, where Metro Manila is located, is affected by the
86 monsoons and tropical cyclones aside from the ITCZ (Chang et al., 2005; Yumul Jr et al., 2010;
87 Bagtasa, 2017). These unique meteorological influences and extensive local aerosol particle

88 sources warrant a unique aerosol climatology over Metro Manila, one of a polluted source in a
 89 tropical marine environment, and its effects on cloud formation in the area. Aerosol effects on
 90 clouds in the marine environment are associated with the largest uncertainties in climate change
 91 research (Hendrickson et al., 2021; Wall et al., 2022) and the Philippines was ranked as the 5th
 92 country globally as most at risk to climate change and extreme weather from 1997 to 2018
 93 (Eckstein et al., 2018). There have been several surface measurements of aerosol particles made
 94 in Metro Manila for the past 20 years (Oanh et al., 2006; Bautista VII et al., 2014; Cruz et al.,
 95 2019) but columnar ground-based measurements there are just beginning to be established
 96 (Dorado et al., 2001; Ong et al., 2016; Cruz et al., 2023). The AERONET sun photometer is one
 97 of the first long-term column-based aerosol instruments in Metro Manila and the Philippines
 98 (Ong et al., 2016).

99 The goal of this study is to use multi-year AERONET data in Manila Observatory along with
 100 other complementary datasets (MERRA-2, PERSIANN, MISR, HYSPLIT, and NAAPS) to
 101 address the following questions: (1) what are the monthly characteristics of aerosol particles over
 102 Metro Manila, Philippines?; (2) what are the possible sources and factors influencing the
 103 observed characteristics?; (3) what relationships are evident between aerosol particles and cloud
 104 characteristics?; and (4) what are the regional and local aerosol particle air masses that influence
 105 Metro Manila?

106

107 2. Methods

108 This work relies on analysis of several datasets summarized in Table 1 and the following
 109 subsections. The common time range used for all datasets is between January 2009 and October
 110 2018.

111 **Table 1:** Summary of datasets over Metro Manila used in this work covering the period from
 112 January 2009 to October 2018.

| Parameter | Data Source | Spatial Coverage | Time Coverage |
|---|-------------|--|---------------------|
| Aerosol Optical Depth (500 nm) | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Asymmetry Factor (440 nm - 1020 nm) | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Extinction Angstrom Exponent (440 nm -870 nm) | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Fine Mode Fraction | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Precipitable Water | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Single Scattering Albedo (440 nm - 1020 nm) | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Refractive Index (Real and Imaginary; 440 nm - 1020 nm) | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Volume Size Distribution | AERONET | 14.635° N, 121.078° E | Jan 2009 - Oct 2018 |
| Low Cloud Fraction (MODIS) | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Planetary Boundary Layer Height | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |

| | | | |
|---|----------|--|---------------------|
| Relative Humidity (975 mb) | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Sea Level Pressure | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Temperature (975 mb) | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Wind (975 mb) | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Total Extinction Aerosol Optical Depth (550 nm) | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Sulfate, Black Carbon, Organic Carbon, Dust, and Sea Salt Extinction Aerosol Optical Depth (550 nm) | MERRA-2 | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |
| Precipitation | PERSIANN | 14.3° N - 14.8° N, 120.75° E - 121.25° E | Jan 2009 - Oct 2018 |

113 2.1 Datasets

114 2.1.1 AERONET

115 The central dataset used is that of sun photometer measurements and derived (inversion)
116 parameters from the AERONET (Holben et al., 1998) site at the Manila Observatory in Quezon
117 City, Philippines (14.64° N, 121.08° E, ~70 m. a. s. l.). Direct sunlight extinction measurements
118 were made at nominal wavelengths of 340, 380, 440, 500, 675, 870, 940, and 1020 nm, from
119 which aerosol optical depth (AOD) was calculated (except for 940 nm, which is for water vapor)
120 (Eck et al., 2013). AOD is a commonly used proxy for aerosol particle loading in the air column
121 from the ground up (Holben et al., 2001); higher AOD translates to more aerosol particle
122 extinction in the column above a location. The extinction angstrom exponent (EAE) and the fine
123 mode fraction (FMF) are also AERONET direct sun products that are retrieved after the
124 application of a spectral de-convolution algorithm (O'Neill et al., 2003). For the inversion
125 products, it is through radiative retrievals that the volume size distribution (VSD) and complex
126 refractive index (RI) are gathered and from which single scattering albedo (SSA) and asymmetry
127 factor (AF) are calculated. The AERONET observations were made during clear sky conditions,
128 which has been shown (Hong and Di Girolamo, 2022) to be able to represent all sky conditions.

129 For the inversions, four wavelengths (440, 670, 870, and 1020 nm) of the radiometer spectral
130 channels were chosen for diffuse radiance measurements and to avoid gas absorption (Dubovik
131 et al., 1998). Version 3 Direct Sun and Inversion algorithms (AERONET, 2019; Giles et al.,
132 2019) were used with the Almuqantar Sky Scan Scenario to derive the following parameters with
133 level 2.0 (automatically cloud-cleared and quality controlled datasets with pre- and post-field
134 calibrations) data quality: column AOD (500 nm), fine mode fraction (500 nm), extinction
135 angstrom exponent (440 – 870 nm), precipitable water (940 nm), single scattering albedo (440,
136 670, 870, and 1020 nm), asymmetry factor (440, 670, 870, and 1020 nm), refractive index (440,
137 670, 870, and 1020 nm), and VSD. The version 3 products are able to keep fine mode aerosol
138 particle data (haze and smoke) as well as remove optically thin cirrus clouds in order to retain
139 more aerosol particle measurements in the database (Giles et al., 2019). Cloud screening in the
140 version 3 product improves remote sensing measurements in Southeast Asia in general, where
141 cirrus clouds are pervasive (Reid et al., 2013). At most, a total of 29,037 direct sun and 1419
142 inversion AERONET daytime data points were available between January 2009 and October
143 2018.

144 2.1.2 MERRA-2

145 Modern Era-Retrospective Analysis for Research and Applications, Version 2 (MERRA-2: 0.5°
146 $\times 0.625^\circ$ approximate resolution) meteorological and aerosol particle composition reanalysis data
147 (Bosilovich, 2016; Gelaro et al., 2017; Randles et al., 2017) were acquired for the area around
148 Manila Observatory (14.25° N – 14.75° N, 120.9375° E – 121.5625° E). The aerosol reanalysis
149 data includes data assimilation of AOD from the Moderate Resolution Imaging
150 Spectroradiometer (MODIS: Terra, 2000 to present and Aqua, 2002 to present), Advanced Very
151 High Resolution Radiometer (AVHRR, 1979-2002), and Multiangle Imaging SpectroRadiometer
152 (MISR, 2000-2014) (Buchard et al., 2017; Rizza et al., 2019). The following products were used:
153 M2I3NPASM Assimilated Meteorological Fields (3-hourly) for 975 mb level winds,
154 temperature, relative humidity, and sea level pressure; M2T1NXFLX Surface Flux Diagnostics
155 (1-hourly from 00:30 UTC time-averaged) 2D for planetary boundary layer height;
156 M2T1NXOSP COSP Satellite Simulator (1-hourly from 00:30 UTC time-averaged) for MODIS
157 mean low cloud fraction (cloud top pressure > 680 hPa); and M2T1NXAER Aerosol Diagnostics
158 (1-hourly from 00:30 UTC time-averaged) for Total AOD and speciated AOD (Sulfate, Black
159 Carbon (BC), Organic Carbon (OC), Dust, and Sea Salt).

160 MERRA-2 meteorological and aerosol particle composition monthly mean reanalysis data
161 (Bosilovich, 2016; Gelaro et al., 2017; Randles et al., 2017) were also acquired for a larger
162 region ($30^\circ \times 30^\circ$), the Southeast Asia region (0° N – 30° N, 105° E – 135° E) for the period
163 from January 2009 to December 2018. This is within the spatial domain of the CAMP²Ex
164 airborne measurement campaign which, as mentioned earlier, targets the interaction between
165 tropical meteorology and aerosol particles. The following datasets (0.5° latitude and 0.625°
166 longitude resolution) were used: MERRA-2 tavgM_2d_aer_Nx: Aerosol Assimilation
167 (M2TMNXAER) for Total 500 nm AOD and speciated 500 nm AOD (Sulfate, BC, OC, Dust,
168 and Sea Salt) and MERRA-2 instM_3d_ana_Np: Analyzed Meteorological Fields
169 (M2IMNPANA) for 1000 hPa and 725 hPa level U and V winds. The total MERRA-2 AOD
170 (reanalysis) for the region (mean over $30^\circ \times 30^\circ$ region) was used along with MISR AOD
171 (remote sensing) data (mean over $30^\circ \times 30^\circ$ region) to assess the influence of long-range sources
172 to the aerosol column over Manila Observatory. The monthly meteorological and aerosol particle
173 composition data for the region will be used for empirical orthogonal functions, which will be
174 described later.

175 2.1.3 PERSIANN

176 Hourly precipitation data were obtained from the Precipitation Estimation from the Remotely
177 Sensed Information using Artificial Neural Networks (PERSIANN) database of the Center for
178 Hydrometeorology and Remote Sensing (CHRS) at the University of California, Irvine (UCI).
179 Hourly data were accumulated for running three-day totals, which were compared to AERONET
180 data. The data were averaged between the four grids that included the area of interest as well as
181 ensuring a similar spatial domain (14.5° N – 15.0° N, 120.75° E – 121.25° E) to the MERRA-2
182 dataset.

183 2.1.4 MISR

184 Monthly 500 nm AOD data (Level 3 Global Aerosol: $0.5^\circ \times 0.5^\circ$ spatial resolution in the region
185 0.25° N – 30.25° N and 104.75° E – 134.75° E) from January 2009 to December 2018 are used
186 from the Multi-angle Imaging SpectroRadiometer (MISR), (Diner et al., 2007; Garay et al.,
187 2018) as regional (Southeast Asia) baseline remote sensing data to support the Manila
188 Observatory AERONET data. The regional ($30^\circ \times 30^\circ$) MISR data was used to confirm regional

189 sources of aerosols that may be influencing the AOD over Metro Manila. Level 3 MISR products
190 are global maps of parameters available in Level 2 (measurements derived from the instrument
191 data) products. MISR is ideal for remote sensing in the CAMP²Ex region because it has an
192 overpass at 10:30 AM ECT (descending mode) (when cirrus is minimal) and its retrievals have
193 been shown to be unimpacted by small cumulus (Zhao et al., 2009), which are typical in the
194 region. MISR has relatively more accurate AOD and agrees better with AERONET data
195 compared to other satellite products due to its multi-angle measurements (Choi et al., 2019;
196 Kuttippurath and Raj, 2021). The MISR sampling noise is relatively small due to the large
197 domain and seasonal averages that are considered in this study. MISR is also the only passive
198 sensor that speciates aerosol particle size and shape. All these factors led to the choice of using
199 regional MISR data to associate long-range sources influencing AERONET data in Manila
200 Observatory. Monthly mean AOD (bin 0) were extracted for Southeast Asia (0.25° N – 30.25°
201 N, 104.75° E – 134.75° E) within the CAMP²Ex region. Monthly mean AOD values were then
202 calculated for each 0.5° grid point and then for the 30° × 30° region, where the standard error in
203 the monthly mean for the region is less than 0.002. MISR monthly mean time series of size,
204 shape, and absorption speciated 550 nm AOD and angstrom exponent in the CAMP²Ex domain
205 (6.5° N – 22.5° N, 116.5° E – 128.5° E; March 2000 to December 2020) are also used to support
206 the findings from the AERONET data.

207 2.1.5 NAAPS

208 Archived maps of total and speciated optical depths and surface concentrations of sulfate, dust,
209 and smoke for Southeast Asia are used from the Navy Aerosol Analysis and Prediction System
210 (NAAPS: 1° × 1° spatial resolution) (Lynch et al., 2016), and which are publicly available at
211 <https://www.nrlmry.navy.mil/aerosol/>. This reanalysis product relies on the Navy Global
212 Environmental Model (NAVGEM) for meteorological fields (Hogan et al., 2014). Hourly maps
213 were downloaded for aerosol particle events of interest based on AERONET data. These maps
214 help associate possible regional emission sources to extreme aerosol loading events in Manila
215 Observatory. Previous studies have used NAAPS data for an overview of aerosol sources in
216 specific regions of interest (Ross et al., 2018; Foth et al., 2019; Markowicz et al., 2021; Harenda
217 et al., 2022; Mims III, 2022). More recent studies show the need to improve aerosol
218 representation in NAAPS (Edwards et al., 2022), so we will use NAAPS qualitatively, together
219 with MERRA-2 compositional AOD data and back-trajectories, for an overview of aerosol
220 sources that may contribute to extreme events with high AOD from AERONET.

221 2.1.6 HYSPLIT

222 Back-trajectories from the National Oceanic and Atmospheric Administration’s (NOAA) Hybrid
223 Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Stein et al., 2015; Rolph et
224 al., 2017) were used to provide support for the AERONET monthly aerosol characteristics and
225 the chosen case studies. Three and seven-day back-trajectories with six-hour resolution were
226 generated based on the NCEP/NCAR reanalysis meteorological dataset and with a resolution of
227 1° and a vertical wind setting of “model vertical velocity”. The three-day data were used to map
228 the density of trajectories reaching Manila Observatory in each month from 2008 to 2019. The
229 seven-day data were used in the analysis of the case studies. Trajectories were computed for an
230 end point with an altitude of 500 m above ground level at the Manila Observatory. This altitude
231 represents the mixed layer based on related surface air quality studies (Crosbie et al., 2014; Mora
232 et al., 2017; Schlosser et al., 2017; Aldhaif et al., 2020), including a previous study for the same
233 area (Stahl et al., 2020).

234 2.1.7 NASA Worldview
 235 Archived maps of cloud fraction (Aqua MODIS and Terra MODIS) over Metro Manila and
 236 Southeast Asia were downloaded from NASA Worldview (<https://worldview.earthdata.nasa.gov>)
 237 for events of interest based on AERONET data.
 238

239 2.2 Clustering

240 Available AERONET VSD data (0.050 μm to 15.000 μm particle radius in 22 logarithmically
 241 equidistant discrete points, 1419 data points) were clustered via k-means clustering (Lloyd,
 242 1982). The algorithm used was k-means++ (Arthur and Vassilvitskii, 2006). The ideal number
 243 of clusters was chosen based on relatively highest (>0.5) average silhouette value and the
 244 presence of a cluster with a second peak in the larger accumulation mode of the VSD. The
 245 clusters were analyzed based on their associated meteorological conditions and aerosol particle
 246 characteristics and were classified into air mass types (Table 2) based on estimates from previous
 247 studies (Dubovik et al., 2002; Pace et al., 2006; Kaskaoutis et al., 2007; Kaskaoutis et al., 2009;
 248 Sorooshian et al., 2013; Kumar et al., 2014; Sharma et al., 2014; Che et al., 2015; Kumar et al.,
 249 2015; Deep et al., 2021). The first four mentioned air mass types in Table 2 are the most general,
 250 and four more classifications based on aerosol particle sources are included. The urban/industrial
 251 air mass type here refers to local combustion along with long-range transported biomass burning
 252 (Kaskaoutis et al., 2009). While these classifications are not rigid definitions of air masses, they
 253 help in understanding the sources that contribute to aerosols in Metro Manila and in identifying
 254 cases where certain sources are more influential than others.

255 **Table 2:** Summary of threshold values of aerosol optical depth (AOD), angstrom exponent (AE),
 256 fine mode fraction (FMF), and single scattering albedo (SSA) used to identify air mass types.

| Air Mass Type | AOD | AE | FMF | SSA | Source |
|------------------|-----------|-----------|-----------|----------------------------|--|
| Clean Fine | $< 0.1^a$ | $> 1^a$ | $> 0.7^a$ | - | Sorooshian et al., 2013 |
| Polluted Fine | $> 0.1^a$ | $> 1^a$ | $> 0.7^a$ | - | Sorooshian et al., 2013 |
| Clean Coarse | $< 0.1^a$ | $< 1^a$ | $< 0.3^a$ | - | Sorooshian et al., 2013 |
| Polluted Coarse | $> 0.1^a$ | $< 1^a$ | $< 0.3^a$ | - | Sorooshian et al., 2013 |
| Clean Marine | $< 0.2^b$ | $< 0.9^d$ | - | 0.98 ^e | Kaskaoutis et al., 2009 Dubovik et al., 2002 |
| Urban/Industrial | $> 0.2^b$ | $> 1^d$ | - | 0.9- 0.98 ^e | Kaskaoutis et al., 2009 Dubovik et al., 2002 |
| Biomass Burning | - | $> 1.4^a$ | - | 0.89- 0.95 ^e | Deep et al., 2021 Dubovik et al., 2002 |
| Desert Dust | $> 0.3^c$ | $< 1^d$ | - | 0.92- 0.93 ^e | Kaskaoutis et al., 2009 Deep et al., 2021 Dubovik et al., 2002 |

^a from MODIS

^b AOD at 500 nm

^c AOD at 400 nm

^d AE at 380 nm to 870 nm

^e SSA at 440 nm

257

258 **2.3 Extreme Event Analysis**

259 Aerosol particle events based on the three clusters with the highest VSD concentrations were
260 identified to characterize different types of sources and processes impacting aerosol particle
261 columnar properties above Metro Manila. The three events are described below.

262 **2.3.1 Smoke Long Range Transport**

263 Events related to transported biomass burning/smoke were chosen from the AERONET VSD
264 data that were clustered as urban/industrial (with a dominant submicrometer peak) (Eck et al.,
265 1999) over Metro Manila. Cases with the highest black carbon contribution to total AOD from
266 the MERRA-2 dataset were considered. Maps from NAAPS of high smoke contributions to
267 AOD and surface smoke contributions in the direction of back-trajectories HYSPLIT were used
268 to provide support for the likely source and transport pathway for the smoke cases.

269 **2.3.2 Dust Long Range Transport**

270 A dust transport case over Metro Manila was identified from the AERONET VSD dust cluster
271 (with an enhanced coarse peak in the AERONET VSD compared to the submicrometer fraction)
272 (Eck et al., 1999), the highest dust contribution to AOD from the MERRA-2 dataset, and high
273 dust contributions to AOD from NAAPS. Surface dust concentrations from NAAPS along the
274 HYSPLIT back-trajectories improved the plausibility of dust for this case.

275 **2.3.3 Cloud Processing**

276 Cloud processing events were identified based on bimodal submicrometer VSDs (Eck et al.,
277 2012) and a relatively large sulfate contribution to AOD over Metro Manila from the MERRA-2
278 dataset, since this species is predominantly produced via cloud processing (Barth et al., 2000;
279 Faloon, 2009). The presence of clouds was verified qualitatively with MODIS (Aqua and Terra)
280 imagery from NASA Worldview in the path of air parcels reaching Metro Manila based on
281 HYSPLIT back-trajectories.

282

283 **2.4 Empirical Orthogonal Functions**

284 Regional analysis of aerosol particles in Southeast Asia and Asia in general show the prevalence
285 of biomass burning in the region, as well as the larger influence of anthropogenic emissions in
286 East Asia (Nakata et al., 2018). These large prevalent sources may overshadow other relevant but
287 weaker sources in the region, such as local sources. Due to the complex nature of aerosol
288 particles, analysis techniques such as principal component analysis and clustering along with
289 recent improvements in gridded datasets help detect spatial and temporal patterns that would
290 otherwise be difficult to make with noise interference and even weak signals (Li et al., 2013;
291 Sullivan et al., 2017; Plymale et al., 2021). Understanding the dominant air masses around
292 Southeast Asia will help in distinguishing local and transported particles that influence the
293 aerosol climatology in Metro Manila.

294 To contextualize the analysis of aerosol particle masses in Metro Manila, major regional sources
295 of aerosol particles in Southeast Asia were identified based on the dominant principal
296 components from empirical orthogonal (EOF) analysis of AOD. EOF analysis was done on the
297 monthly AOD data (January 2009 to December 2018) from MERRA-2 for the Southeast Asia
298 region for the months similar in scope to the AERONET data. EOF analysis needs a complete

299 dataset with no data gaps, which is not available with pure satellite retrievals like MISR; the
300 MERRA-2 reanalysis dataset alleviates this issue.

301 The monthly MERRA-2 AOD maps (0° - 30° N, 105° E – 135° E with 0.5° latitude and 0.625°
302 longitude resolution) (Lat: 61 rows x Lon: 49 columns) for the Southeast Asia region (presented
303 subsequently) were first deseasonalized. Then, the AOD anomaly per grid per year (of the 120
304 months) was calculated by subtracting the monthly mean AOD from each value of a given month
305 (Li et al., 2013). The anomalies per grid were weighted depending on their latitude by
306 multiplying the anomalies by the square root of the cosine of their latitudes.

307 EOF, specifically singular value decomposition (SVD), analysis (Björnsson and Venegas, 1997)
308 was then performed. To prepare the data for the analysis, they were transformed such that the
309 final matrix was a 2D matrix (120 x 2989) with each row representing a year, and each column
310 representing a grid in the map. The matrix was analyzed for eigenvalues using SVD in Matlab,
311 which outputs the eigenvalue (S) and eigenvector (U: principal components and V: empirical
312 orthogonal functions) matrices. The eigenvalues were, by default, arranged in descending order.
313 Each principal component (PC) time series was standardized by dividing each PC value by the
314 standard deviation per PC time series (120 months).

315 An eigenvalue spectrum was also plotted based on the variance explained by each eigenvalue
316 and error bars that were calculated using the North test (North et al., 1982). Then, the
317 unweighted AOD anomalies were regressed onto the first three standardized PCs. Each grid
318 therefore had a regression between 120 pairs (unweighted AOD anomalies vs standardized PCs).
319 From the linear regression equation, the regression coefficient per grid was calculated. Each grid
320 on the Southeast Asia map was colored based on the calculated regression coefficient value.

321 322 **2.5 Correlations**

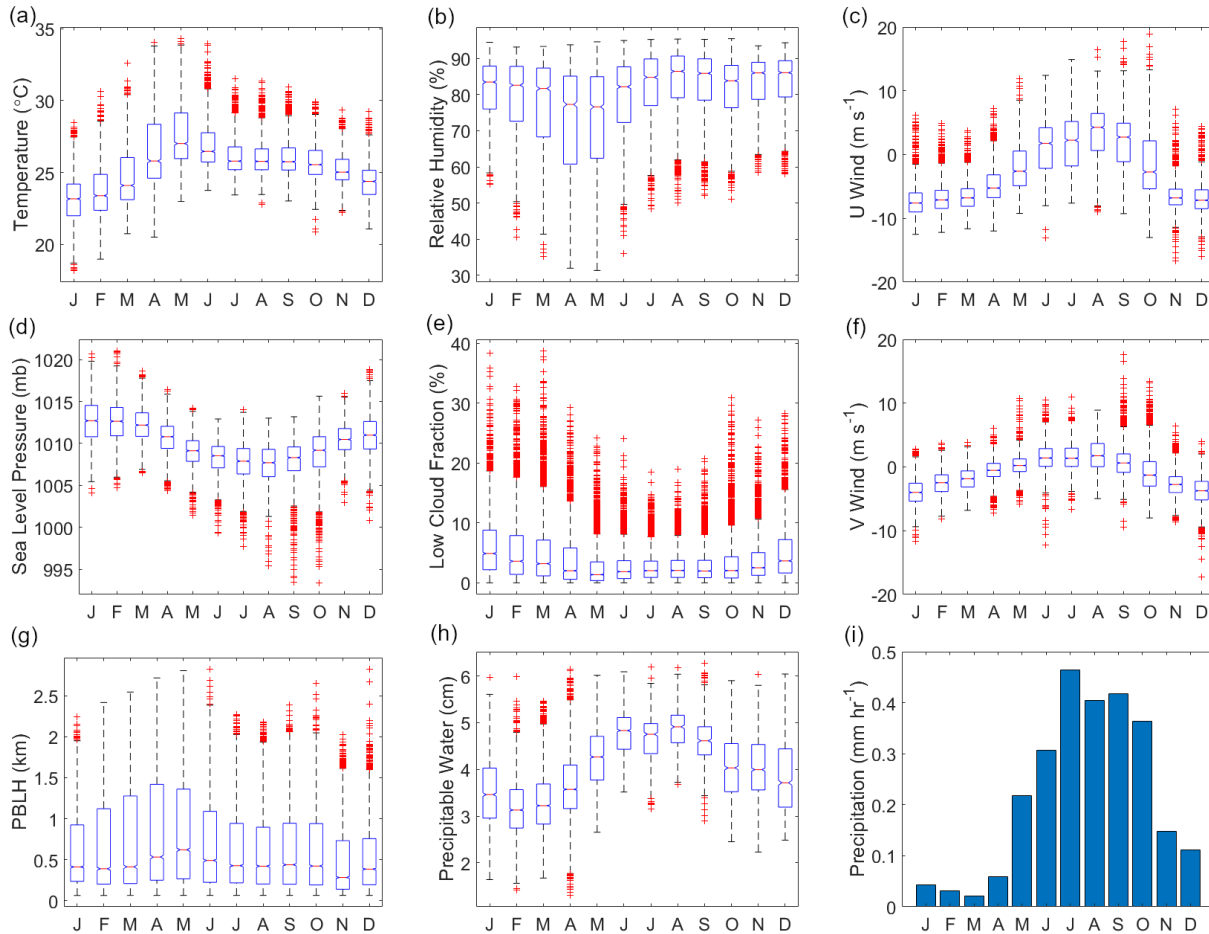
323 The first three standardized PCs of AOD anomalies were correlated to deseasonalized
324 compositional AOD fractions (Sulfate, BC, OC, Dust, and Sea Salt) from MERRA-2. For each
325 correlation, the t-test value was calculated, and the resulting t-score was compared to a t-critical
326 value for $\sim n = 100$ pairs (n is the number of pairs of data, in this case 120 months) for 0.90
327 confidence level, which is 1.660. Correlations that have t-values exceeding +1.660 or less than -
328 1.660 (two-tailed test) are significant (90% confidence).

329 **3 Results and Discussion**

330 **3.1 Meteorology and Atmospheric Circulation**

331 Knowledge of monthly (2009 to 2018) behavior of weather in the study region helps
332 interpretation of aerosol particle data. Philippine climate is influenced both by the winter
333 northeast monsoon (\sim November to April, Amihan) and the summer southwest monsoon (\sim May
334 to October, Habagat) (Coronas, 1920; Flores, 1969; Matsumoto et al., 2020). Median 3-hourly
335 temperatures at 975 mb per month (MERRA-2, 975 mb) (Fig. 1a) ranged from 23.2°C in
336 January during the winter northeast monsoon, to 27.0°C in May during the transition from the
337 summer season, as defined in (Bañares et al., 2021), to the southwest monsoon. May was also the
338 month with the lowest median 3-hourly relative humidity (76.6%) (MERRA-2, 975 mb) (Fig.
339 1b). The highest median level of relative humidity at 975 mb for a month was in August (86.5 %)
340 during the summer southwest monsoon, which is also the time of the year (June to August) when
341 rainfall peaks in the region where the sampling station (Manila Observatory) is located (Coronas,

342 1920; Cruz et al., 2013). The highest mean hourly precipitation (Fig. 1i) per month was from
 343 July (0.46 mm hr^{-1}) to September (0.42 mm hr^{-1}), while March exhibited the lowest mean hourly
 344 rainfall (0.02 mm hr^{-1}). Like relative humidity and precipitation, median precipitable water
 345 (from available AERONET data of 513 points in August, 4015 points in February, and 5049
 346 points in March) (Fig. 1h) was highest in August (4.9 cm) and lowest in February and March (3.1
 347 cm and 3.2 cm, respectively).
 348

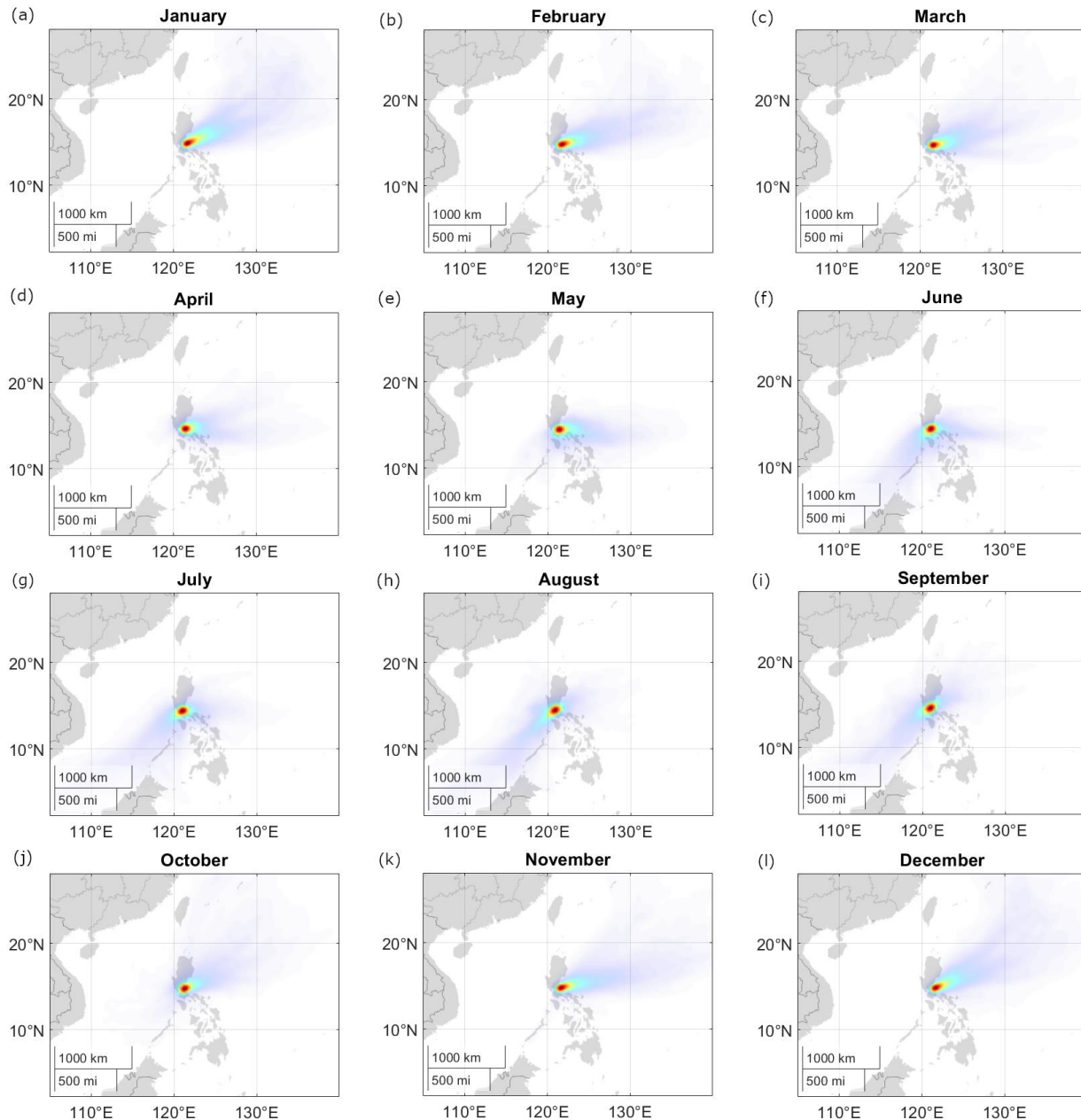


349
 350
 351 **Figure 1:** Monthly characteristics of meteorological parameters for Metro Manila, Philippines
 352 based on data between January 2009 and October 2018. MERRA-2 parameters: (a) temperature
 353 at 975 mb, (b) relative humidity at 975 mb, (c/f) u and v wind at 975 mb, (d) sea level pressure,
 354 (g) planetary boundary layer height (PBLH), (e) low cloud fraction (cloud top pressure > 680
 355 hPa); AERONET: (h) precipitable water (data counts per month Jan: 2131, Feb: 4015, Mar:
 356 5049, Apr: 5844, May: 3448, Jun: 1696, Jul: 652, Aug: 513, Sep: 753, Oct: 1700, Nov: 2084,
 357 Dec: 1449); PERSIANN: (i) mean hourly precipitation per month.
 358

359 The lowest 3-hourly median pressures (MERRA-2) were observed (Fig. 1d) between July and
 360 September during the southwest monsoon season ($\sim 985.2 - 985.8 \text{ mb}$). This is also the time
 361 when the most number of tropical cyclones pass the island of Luzon (Wu and Choy, 2016). The

362 highest 3-hourly median pressures (988.1 – 990.0 mb) were during the winter northeast
363 monsoon.

364 Median winds (MERRA-2) were from the south/southwest direction from June to September
365 (Fig. 1c and 1f), associated with the summer southwesterly monsoon. HYSPLIT back-
366 trajectories show the same wind pattern (Fig. 2f to 2i). The highest median 3-hourly wind speeds
367 (MERRA-2) (Fig. 1c and 1f) during the southwest monsoon were recorded for August (u: 4.2 m
368 s^{-1} and v: 1.7 m s^{-1}). Median winds begin to transition in October and November (to the northeast
369 monsoon: Amihan) (Fig. 2j and 2k) coming from the east/northeast and maintained until
370 February (Fig. 2b), which is towards the end of the winter northeast monsoon. There were
371 generally higher wind speeds and the highest median 3-hourly wind speeds of the year
372 (MERRA-2) (Fig. 1c and 1d) in January (u: -7.6 m s^{-1} and v: -4.0 m s^{-1}). Median winds shifted
373 toward a more easterly source from March to May (transition time before the Habagat monsoon)
374 (Fig. 2c to 2e) accompanied by decreasing median 3-hourly wind speeds (u = -6.8 m s^{-1} , v = -1.9
375 m s^{-1} to u: -2.6 m s^{-1} , v = 0.2 m s^{-1}).



376
 377 **Figure 2:** Density plots of HYSPLIT trajectories reaching Manila Observatory per month from
 378 2009 to 2018. Red denotes areas with the greatest number of back trajectories within a 100 km
 379 radius. The colors represent density value contributions to Matlab-calculated cumulative
 380 probability distribution surfaces (100 km radius) from coordinates of three-day back trajectories
 381 of the specific months.

382 The transition times between the monsoons (when the wind directions shift and wind speeds
 383 change) are also the times of the highest (May, Fig. 1g, 621.2 m) and lowest (November, Fig. 1g,
 384 279.6 m) median planetary boundary layer heights (MERRA-2). The median planetary boundary
 385 layer height was highest during the period (May) of highest temperatures, lowest relative
 386 humidity, reduced air pressure, and lowest monthly median low cloud fraction (MERRA-2) (Fig.
 387 1e) (1.4 %). The lowest monthly median planetary boundary layer height was observed during

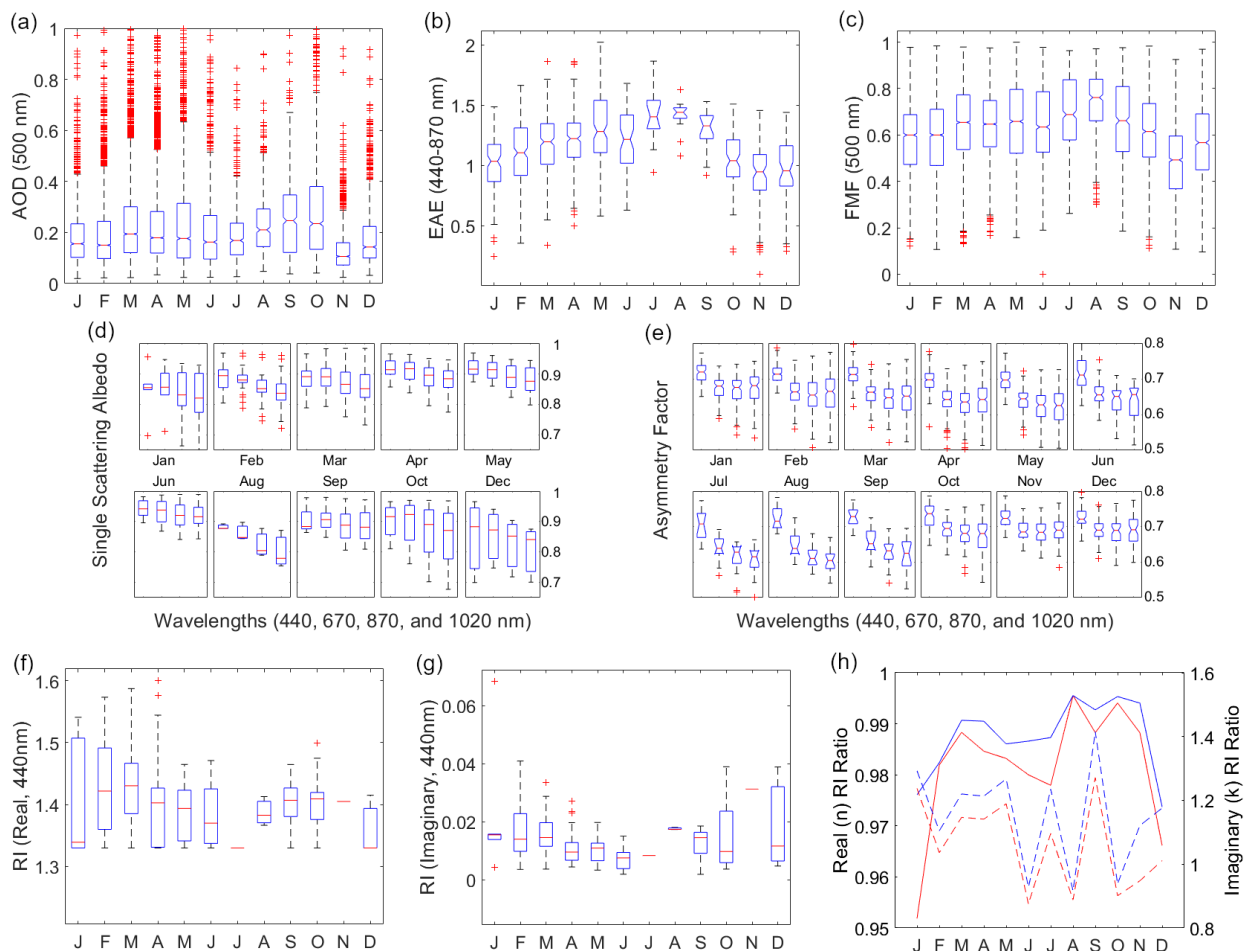
388 the period (November) when temperatures were beginning to cool and air pressure was rising.
389 The monthly maximum low cloud fraction was lowest in July (18.5 %) during the summer
390 southwest monsoon while the monthly median and monthly maximum low cloud fractions
391 (MERRA-2) (Fig. 1e) were highest (38.3 % max, 4.9 % median) in January during the winter
392 northeast monsoon.
393

394 **3.2 Aerosol Particle Characteristics**

395 3.2.1 Aerosol Optical Depth

396 Monthly median AOD (AERONET, 500 nm) (Fig. 3a) over the Manila Observatory was highest
397 from August (0.21) to October (0.23) around the time of the summer monsoon when winds were
398 coming from the southwest (Figs. 2h to 2i) (Holben et al., 2001). This is the same time of year
399 when biomass burning activities occur in the Indonesian region southwest of Metro Manila
400 (Glover and Jessup, 1998; Kiely et al., 2019; Cahyono et al., 2022). Studies have shown that
401 AOD in the Philippines increases during the biomass burning season in Indonesia (Nguyen et al.,
402 2019b; Caido et al., 2022). Regional AOD (550 nm) over the larger Southeast Asia domain from
403 MISR and MERRA-2 (Fig. 4) had a similarly large peak around the same time beginning in
404 September until October which, however, was second only in magnitude to a March peak, which
405 is influenced by biomass burning in Peninsular Southeast Asia (PSEA) (Gautam et al., 2013;
406 Hyer et al., 2013; Dong and Fu, 2015; Wang et al., 2015; Yang et al., 2022). This is consistent
407 with the peak in speciated AOD due to fine (radii $<0.7 \mu\text{m}$), spherical, and absorbing aerosols
408 that were observed by MISR from March to April (Fig. S1). This larger peak in March,
409 attributed to PSEA (which is ~ 2000 km west of the Philippines), was not as prevalent in the
410 AERONET AOD data over Manila Observatory in Metro Manila due to the dominant easterly
411 winds in the Philippines in March (Fig. 2c) and more localized sources.

412

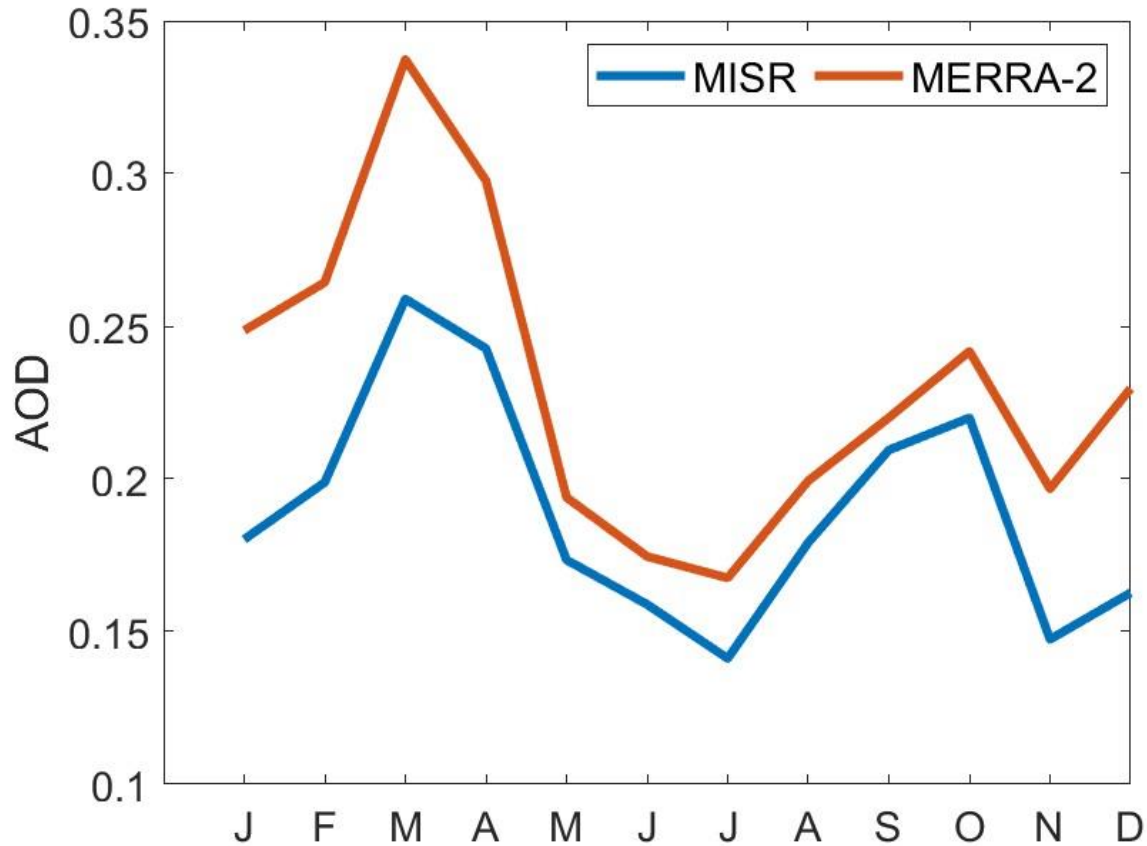


413 **Figure 3:** Monthly characteristics of AERONET aerosol particle parameters: (a) aerosol optical
 414 depth (AOD at 500 nm with y-axis until 1.0 only for larger boxplot resolution) with counts (Jan:
 415 2107, Feb: 3931, Mar: 4923, Apr: 5755, May: 3389, Jun: 1653, Jul: 637, Aug: 483, Sep: 718,
 416 Oct: 1555, Nov: 2001, Dec: 1386), (b) extinction angstrom exponent (EAE at 440-870 nm) with
 417 counts (Jan: 102, Feb: 248, Mar: 312, Apr: 309, May: 137, Jun: 53, Jul: 14, Aug: 18, Sep: 18,
 418 Oct: 79, Nov: 77, Dec: 52), (c) spectral de-convolution algorithm (SDA) retrievals of fine mode
 419 fraction (FMF at 500 nm) with the same counts as AOD, (d) single scattering albedo (SSA) from
 420 440 nm (leftmost boxplot) to 1020 nm (rightmost boxplot) with counts (Jan: 6, Feb: 31, Mar: 62,
 421 Apr: 50, May: 29, Jun: 8, Aug: 3, Sep: 5, Oct: 17, Dec: 3), (e) asymmetry factor (AF) from 440
 422 nm (leftmost boxplot) to 1020 nm (rightmost boxplot) with the same counts as EAE, (f) real and
 423 (g) imaginary refractive index (RI) values (440 nm) with the same counts as SSA, and (h)
 424 refractive index ratios (where the blue line is the ratio of RI at 440 nm and 670 nm, the red line is
 425 the ratio of RI at 440 nm and the average RI for the 675–1020 nm wavelengths, and the broken
 426 lines are the imaginary refractive index ratios) for Metro Manila, Philippines based on data
 427 between January 2009 and October 2018.
 428

429 There is a notable dip in the monthly median AERONET AOD over Manila Observatory from
 430 the peak in October to the lowest monthly median AOD (0.11) in November (Fig. 3a), just
 431 slightly above defined background levels (<0.1) (Holben et al., 2001), when the windspeeds
 432 were picking up and were coming from the east to northeast directions (Fig. 2k) in the direction
 433 of the Philippine Sea and the West Pacific Ocean. This dip was also observed in the regional

434 (30° × 30°) AOD data (MISR and MERRA-2, Fig. 4). This is most probably due to the decrease
 435 in the AOD contribution from fine (radii <0.7 μm) and spherical particles based on size speciated
 436 MISR AOD (Fig. S1). Larger and non-spherical particle contributions to AOD increase in
 437 November in the Southeast Asia region. The MERRA-2 AOD is relatively higher than the MISR
 438 AOD probably due to assimilation of MODIS data into MERRA-2. Studies in Asia (Xiao et al.,
 439 2009; Qi et al., 2013; Choi et al., 2019) have observed relatively higher MODIS AOD compared
 440 to MISR AOD.

441



442

443 **Figure 4:** Monthly mean AOD (550 nm) in Southeast Asia (30° × 30°) from 2009 to 2018 from
 444 MISR (blue line) and MERRA-2 (red line).

445 There were 338 instances (~1.2 % of the time based on the total number of 28,538 valid
 446 AERONET AOD data points) of AOD values exceeding 1, indicative of heavy aerosol particle
 447 loading (Huang et al., 2021). Because AOD is extrinsic (it depends on mass), AOD describes
 448 total aerosol particle loading and we examine other aerosol particle parameters from AERONET
 449 to make more informed inferences about size and composition.

450 3.2.2 Extinction Angstrom Exponent and Fine Mode Fraction

451 The extinction angstrom exponent (EAE) relates the extinction of light at specific wavelengths
452 and is indicative of aerosol particle size (Ångström, 1929). The EAE is usually greater for
453 smaller particles (~4 for very small particles that undergo Rayleigh scattering, > 2 for small
454 particles, < 1 for large particles like sea salt and dust, and 0 for particles as large as cloud drops)
455 (Schuster et al., 2006; Bergstrom et al., 2007). The highest monthly median EAE (Fig. 3b) from
456 2009 to 2018 over the Manila Observatory was observed from July (~1.4) to September (~1.3),
457 during the southwest monsoon. This period is associated with the biomass burning southwest of
458 the Philippines (Oanh et al., 2018; Stahl et al., 2021; Crosbie et al., 2022). The median (per
459 month) EAE ranged from ~0.9 in November to ~1.4 in August, a range which is within the
460 values from previous studies collected from mixed sites and urban/industrial areas with both fine
461 and coarse particles (Eck et al., 2005; Giles et al., 2012). The high EAE over Manila Observatory
462 from July to September is consistent with the regional (30° latitude x 30° longitude) MISR data
463 that shows increased AOD from fine, spherical, and absorptive particles (Fig. S1) in Southeast
464 Asia during the same months. This suggests that the high EAE observed at the Manila
465 Observatory during these months is not necessarily from local sources.

466 EAE increases with AOD (Fig. S2), which means that the greater particle loading is contributed
467 by smaller particles (Smirnov et al., 2002). Of the high loading cases (AOD >1) over Manila
468 Observatory, the EAE values were mostly greater than 0.8 indicating fine mode particles (Che et
469 al., 2015). The EAE values in August were the highest compared to other months including
470 having the highest minimum value of any month (0.71) (Fig. S2), due to smaller particles (~EAE
471 >1 for fine particles, Table 2). The lowest EAE values (0.08) and thus the largest particles were
472 observed in December, which again may be regional in nature with MISR EAE also lowest
473 during this time with increased AOD from larger and non-spherical particles (Fig. S1).

474 The fine mode fraction (FMF) describes the prevalence of fine mode particles in the column of
475 air above the surface. The fine mode fraction (Fig. 3c) from 2009 to 2018 was highest in August
476 (monthly median of 0.75) and lowest in November (monthly median of 0.45). This is consistent
477 with the EAE values discussed earlier with the prevalence of smaller particles in August and
478 larger particles in November. In August (Fig. 2h) the southwest monsoon is known to coincide
479 with the transporting of fine smoke particles to Luzon. In November (Fig. 2k), the prevalent
480 winds may have already shifted to easterly (Matsumoto et al., 2020) implying more marine-
481 related sources associated with coarser particles.

482 3.2.3 Single Scattering Albedo

483 The single scattering albedo (SSA) is the most important aerosol particle parameter determining
484 whether aerosol particles will have a warming or cooling effect (Reid et al., 1998). SSA is the
485 ratio of the scattering coefficient to the total extinction (scattering and absorption) coefficient
486 (Bohren and Clothiaux, 2006) of aerosol particles. Higher SSAs are related to more reflective
487 aerosol particles while more absorbing aerosol particles will have lower SSA values; values
488 range from 1 (reflective) to 0 (absorbing). Monthly median SSA values were largest in June
489 (0.94 at 440 nm), suggesting the presence of more reflective aerosol particles, and smallest in
490 August (0.88 at 440 nm and 0.78 at 1020 nm) suggesting more absorptive particles that are
491 similar in range to the SSA of biomass burning particles (Table 2). August is when biomass
492 burning is prevalent to the southwest of the Philippines and associated with soot particles that are
493 absorptive.

494 The sensitivity of SSA to different wavelengths depends on the type of aerosol particles present.
495 More specifically, aerosol particle size and refractive index (which is related to aerosol particle
496 composition) both affect the SSA (Dubovik and King, 2000; Bergstrom et al., 2007; Moosmüller
497 and Sorensen, 2018). For dust-type particles, SSA increases with wavelength because of lower
498 dust absorption in the higher visible to infrared wavelengths (Dubovik et al., 2002), while for
499 urban particles (including black carbon), which absorb light at longer wavelengths, SSA
500 decreases with wavelength (Reid et al., 1998; Bergstrom et al., 2002). The presence of organic
501 carbon may affect this spectral dependence; however, because organic particles absorb in the
502 UV, this lowers SSA at wavelengths shorter than 440 nm (Kirchstetter et al., 2004). Monthly
503 median SSA generally decreased with increasing wavelength for all months with available data
504 (Fig. 3d) presumably due to the influence of more urban particles in contrast to dust.
505 Noteworthy though are the monsoon transition months of April, September, and October (Fig.
506 3d), which had increased SSA from 440 nm to 670 nm, possibly from organics along with black
507 carbon due to transported smoke. The back-trajectories for these months (Figs. 2d, 2i, and 2j)
508 suggest sources from the northeast that are closer to Luzon during these months compared to
509 other months. This indicates the possibility of more local sources. Increasing the certainty of
510 sources associated with aerosol particles necessitates looking at other available aerosol particle
511 parameters, discussed subsequently.

512 3.2.4 Asymmetry Factor

513 The asymmetry factor quantifies the direction of scattering of light due to aerosol particles, with
514 values ranging from -1 (back scatter) to 0 (uniform scattering) to 1 (forward scatter). It is
515 important in modeling climate forcing because it affects the vertical distribution of the radiation
516 in the atmosphere (Kudo et al., 2016; Zhao et al., 2018). The asymmetry factor is dependent on
517 particle size, shape, and composition and the value of 0.7 is used in radiative models (Pandolfi et
518 al., 2018).

519 Lower asymmetry factors are related to smaller particles (at constant AOD) (Bi et al., 2014).
520 Measured values due to biomass burning, for example, are 0.54 (550 nm) in Brazil (Ross et al.,
521 1998) and 0.45 – 0.53 (550 nm and including dust) over central India (Jose et al., 2016). There
522 have been relatively higher values observed in western, central, and eastern Europe (0.57 – 0.61
523 at 520 – 550 nm) (Pandolfi et al., 2018) and the U.S. East Coast (0.7 at 550 nm) (Hartley and
524 Hobbs, 2001). In Norway, the asymmetry factor for background summer conditions was 0.62
525 and was higher in the springtime at 0.81 (862 nm) during Arctic haze events (Herber et al.,
526 2002). Highest values are associated with dust such as those measured in the Sahara being 0.72 –
527 0.73 (500 nm) (Formenti et al., 2000). Over Metro Manila, the asymmetry factors from the
528 AERONET data at the 675, 870, and 1020 nm were similar across months (Fig. 3e). The monthly
529 median asymmetry factors at 440 nm ranged from 0.70 (April and May) to 0.74 (October), while
530 for 670, 870, and 1020 nm the monthly median asymmetry factors were smaller and ranged from
531 0.62 – 0.69. These values were closely related to those observed over the U.S. East Coast as
532 mentioned earlier, perhaps due to the proximity of the location to the coast (10 km east of Manila
533 Bay and 100 km west of the Philippine Sea) as well as its location in Manila, which is a large
534 local source due mostly to vehicles (Cruz et al., 2019).

535 The monthly median asymmetry factor in Metro Manila was greatest towards the end of the year
536 (October to December) for all the wavelengths, suggesting larger particles when winds (Figs. 2j
537 to 2l) come from the Philippine Sea in the northeast. It was in March and April that the monthly

538 median asymmetry factor was minimal for 440 nm and in August for 670, 870, and 1020 nm.
539 These were the times when aerosol particles were smallest. March to April represents the driest
540 time of the year in Manila (Fig. 1b and 1h) perhaps preventing particle growth and where the
541 local sources may be dominating, even as back-trajectories (Fig. 2c and 2d) extend all the way
542 from the Philippine Sea to the east. This is corroborated by results from other studies showing
543 that the asymmetry factor seems to be enhanced by relative humidity (Zhao et al., 2018). The
544 unexpected low asymmetry factor values in August, however, are probably because of the source
545 of the particles. August had the highest relative humidity and precipitable water (Fig. 1b and 1h)
546 but is also when the back-trajectories (Fig. 2h) were from the southwest, possibly affected by the
547 Indonesia fires, which could have transported more non-hygroscopic fine particles.

548 Fine particles have been observed to exhibit decreasing asymmetry factors with increasing
549 wavelength (Bergstrom et al., 2003). This trend is observed in all the months for the monthly
550 median asymmetry factors (Fig. 3e) suggesting the predominance of smaller aerosol particles.
551 The greatest decrease in the asymmetry factor (all wavelengths) was in August, consistent with
552 the lowest observed values of the year (670, 870, and 1020 nm). Transported biomass burning
553 particles are the probable dominant particles during this time. They are usually composed of
554 hygroscopic inorganics, non-hygroscopic soot, and relative non-hygroscopic organic fractions
555 (Petters et al., 2009). Knowing the composition of biomass burning particles over the study
556 region will help in the understanding of hygroscopicity and its impacts on radiation.

557 3.2.5 Refractive Index

558 Refractive index is an intrinsic parameter as it does not depend on the mass or the size of
559 particles, and thus can be used to infer aerosol particle composition (Schuster et al., 2016). For
560 the case of the AERONET data, which include refractive index values that are insensitive to
561 coarse particles (Sinyuk et al., 2020), the focus of the discussion will be for fine mode particles
562 and may be limited when coarse particles are involved. Refractive index measurements are
563 complex since they include real and imaginary parts related to light scattering and absorption,
564 respectively. All aerosol particles scatter light but only certain types absorb light significantly.
565 The most prominent particle absorbers in the atmosphere are soot carbon, brown carbon (organic
566 carbon that absorbs light), and free iron from dust (hematite and goethite in the ultraviolet to
567 mid-visible) (Schuster et al., 2016). For this study, we examine refractive index values at 440 nm
568 wavelength. Pure sources of soot carbon have the highest real refractive index values (~1.85) as
569 well as the highest imaginary refractive index (~0.71), both independent of wavelength (Koven
570 and Fung, 2006; Van Beelen et al., 2014). Brown carbon and dust have relatively lower real
571 refractive index values at 440 nm (~1.57 and ~1.54) and imaginary refractive index values
572 (~0.063 and ~0.008) that decrease with increasing wavelength (Xie et al., 2017).

573 In this study the range of the monthly median real refractive index values (440 nm) was from
574 1.33 (December and January) to 1.43 (March) (Fig. 3f). Water uptake by aerosol particles
575 decreases the real refractive index values (Xie et al., 2017) and thus the lowered real refractive
576 indices over the Manila Observatory can be due to the presence of more water in the atmosphere
577 in general and/or the increased presence of more hygroscopic particles. December and January
578 are not necessarily the months that have the highest moisture content, but they are months when
579 back-trajectories reaching the column over the Manila Observatory are from the Philippine Sea
580 to the northeast presumably transporting hygroscopic particles. As reported in previous sections,
581 relatively larger particles are observed around this time of the year and thus sea salt can be an

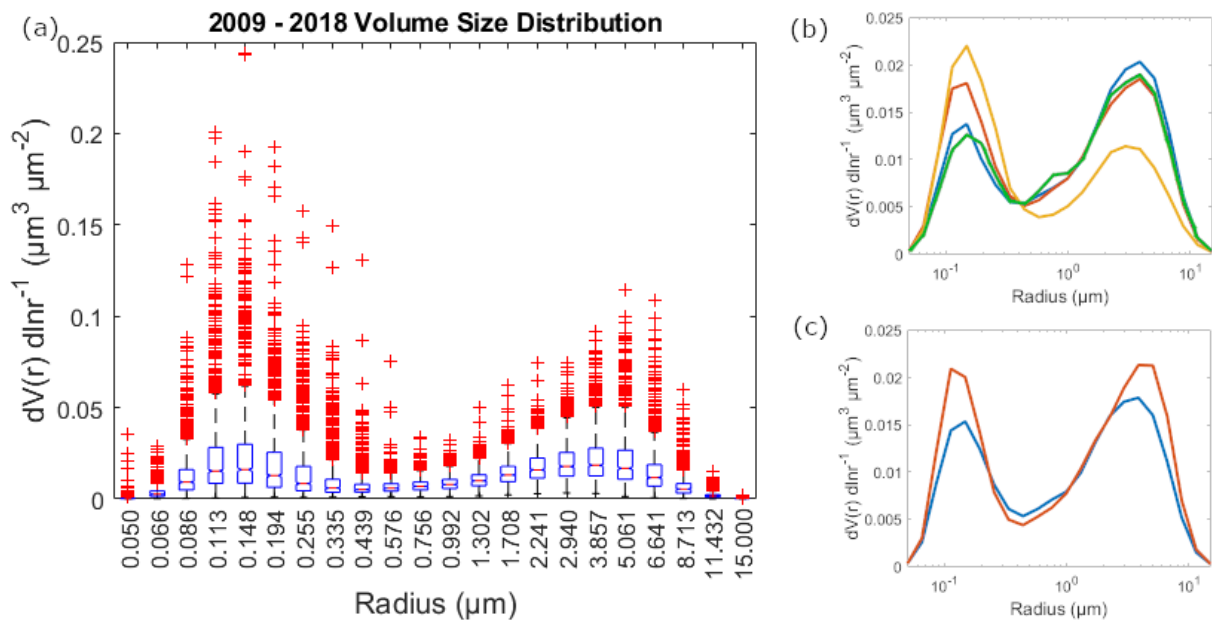
582 important contributor. The greatest change in the monthly median real refractive index with
583 increasing wavelength also was observed in December (Fig. 3h), possibly due the increased
584 fractional contribution of constituents other than soot carbon (because the real refractive index of
585 soot carbon is invariant with wavelength). Noteworthy as well is the month of August (Fig. 3f),
586 which has the smallest range of real refractive index values, possibly indicating a more
587 homogenous aerosol particle source compared to other months. August is the month with the
588 highest relative humidity (Fig. 1b) as well as highest precipitable water (Fig. 1h), while this is
589 also the month when long-range biomass burning emissions are observed to be highest, and
590 when the real refractive index values would otherwise be expected to be highest.

591 Water content seems to play a significant role in the real refractive index values in Manila.
592 March, when the monthly median real refractive index values are highest (Fig. 3f), is when
593 precipitable water vapor (Fig. 1h) is among the lowest in the year. The months around March are
594 also when maximum real refractive indices (1.57 in February, 1.59 in March, and 1.60 in April)
595 were observed (Fig. 3f). March was when there was a relatively small change in real refractive
596 index value with wavelength perhaps related to greater soot carbon fractions during this time,
597 due possibly to the contribution of biomass burning from Peninsular Southeast Asia (Shen et al.,
598 2014). Looking more closely at the imaginary refractive index values will help elucidate this
599 issue.

600 Monthly median imaginary refractive index values (440 nm) ranged from 0.007 in June to 0.015
601 in September and December (Fig. 3g). These are low compared to those of the pure soot carbon
602 mentioned earlier because of the mixed nature of the sampling site with contributions from
603 brown carbon and dust. The highest imaginary refractive index values in September and
604 December suggest the greatest fractional contribution of soot because the highest imaginary
605 refractive index values are associated with soot. These are also similar in magnitude to biomass
606 burning particles in the Amazon (0.013) (Guyon et al., 2003). The key distinction between soot
607 carbon and other major absorbers (brown carbon and dust) is that its imaginary refractive index
608 is invariant with wavelength. Both brown carbon and dust exhibit a decrease in the imaginary
609 refractive index with increasing wavelength (Xie et al., 2017). The ratios of imaginary refractive
610 index values (440 nm to average of 670–1020 nm) (Fig. 3h) show a relative invariance with
611 wavelength (ranging from 0.88 to 1.4), which indicates the dominance of soot as the major
612 absorber in the region (Eck et al., 2003). While observed wavelength invariance points to high
613 soot contributions, the size of the particles can help distinguish between brown carbon, which
614 reside mainly in the fine mode, and dust sources, which yield more coarse particles (Schuster et
615 al., 2016). September is during the southwest monsoon, which is when, as noted in the earlier
616 sections, fine particles were most prevalent. This is also the time when the imaginary refractive
617 index varied most with wavelength (1.4 ratio of the imaginary refractive index at 440 nm and the
618 imaginary refractive index average for 670 nm to 1020 nm in Fig. 3h) possibly with greater
619 absolute contributions from brown carbon, even with the highest soot carbon fractional
620 contributions. Brown carbon has been observed both from primary and aged aerosol particle
621 emissions from biomass burning (Saleh et al., 2013). As noted earlier, December also had the
622 highest imaginary refractive index values as well as relatively coarser particles, possibly due to
623 larger dust absolute contributions even with the highest soot carbon fraction contributions. The
624 lowest monthly median imaginary refractive index values in June, on the other hand, when fine
625 mode particles prevail suggest highest fractional contributions of brown carbon relative to other
626 months (Fig. 3h).

627 3.2.6 Volume Size Distributions

628 The volume size distribution (VSD) is another way to be able to more deeply characterize
 629 aerosol particles, specifically related to their effect on climate, weather, and clouds (Haywood
 630 and Boucher, 2000; Feingold, 2003). In the Manila Observatory dataset, there was a bi-modal
 631 VSD for the entire dataset (Fig. 5a). The fine mode median values peaked in the accumulation
 632 mode at 0.148 μm particle radius while the coarse mode median values peaked at 3.857 μm (Fig.
 633 5a and Table S1). The median coarse mode amplitudes and volume concentrations were higher
 634 than the fine mode amplitudes and volume concentrations for most of the year (DJF, MAM, and
 635 SON, Fig. 5b and Table S1), except during the southwest monsoon (JJA) when the fine mode
 636 amplitude and volume concentration was higher. This is consistent with observations earlier of
 637 fine mode prevalence during the southwest monsoon. Median VSD amplitudes (Fig. 5c) were
 638 greater in the afternoon, with higher peaks and volume concentrations for both the fine and
 639 coarse modes, compared to the morning. There was a slightly larger coarse median amplitude
 640 and volume concentration, compared to the accumulation mode median amplitude and volume
 641 concentration, for both the morning and afternoon size distributions. While the VSDs confirm
 642 several observations based on the analysis of the aerosol particle parameters presented earlier,
 643 not much further information is gained especially regarding chemical composition. Size
 644 distributions are a result of contributions from multiple sources, and thus being able to
 645 discriminate the sources based on their characteristic size distributions will help identify relevant
 646 sources.
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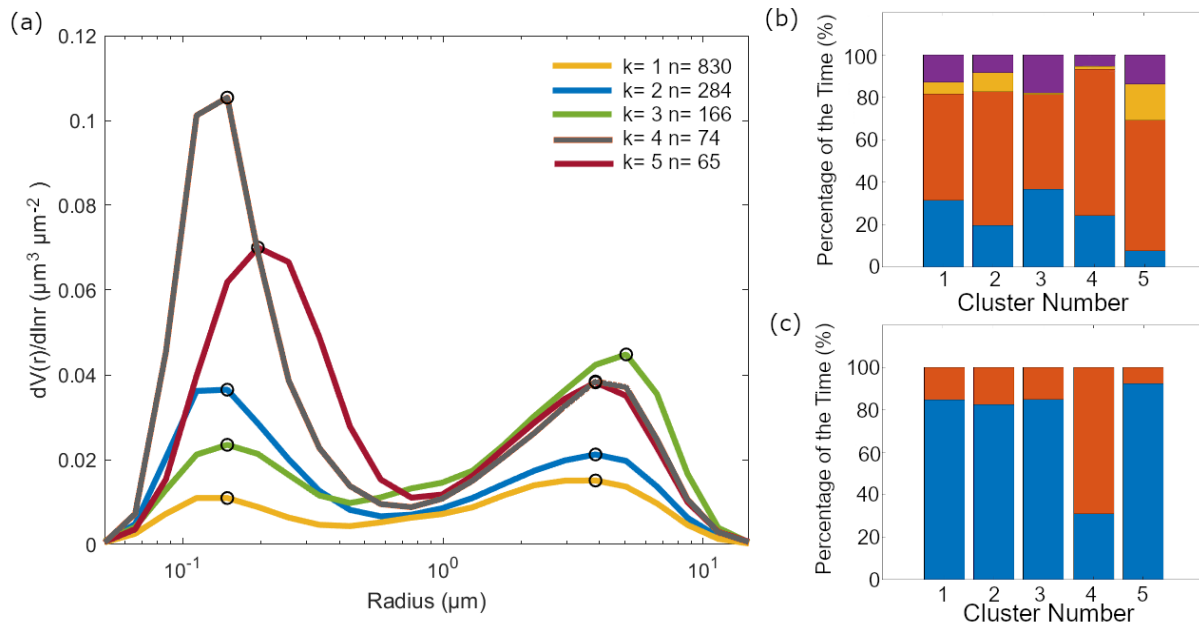
648 **Figure 5:** (a) VSD results derived from AERONET measurements at Metro Manila between
 649 January 2009 and October 2018. Median VSDs over the study period based on (b) season (blue:
 650 DJF, red: MAM, orange: JJA, green: SON) and (c) time of day (blue: AM, red: PM).
 651
 652

653 3.3 Clusters

654 3.3.1 VSD Cluster Profiles

655 Five clusters were identified to best represent the VSD (Fig. 6a). The average of the VSDs in
 656 each cluster varied depending on the height of the peaks in the accumulation mode and the
 657 coarse mode. In Metro Manila, the accumulation mode is associated with aged aerosol particles
 658 and combustion (Cruz et al., 2019). The majority of the data (830 count out of 1419 total VSD
 659 profiles) were clustered together in a profile (cluster 1) that had relatively low average
 660 magnitudes of volume concentration for both the accumulation ($0.01 \mu\text{m}^3 \mu\text{m}^{-2}$) and coarse (0.02
 661 $\mu\text{m}^3 \mu\text{m}^{-2}$) modes, with the volume concentration magnitude of the coarse mode peak slightly
 662 higher than the volume concentration magnitude of the accumulation mode peak. The next
 663 prevalent cluster profile (284 counts, cluster 2) had an average fine mode peak for the volume
 664 concentration ($0.04 \mu\text{m}^3 \mu\text{m}^{-2}$) which was more than twice as much than the previous profile but
 665 with a similar coarse mode peak for the volume concentration ($0.02 \mu\text{m}^3 \mu\text{m}^{-2}$). The average
 666 coarse mode peak for the volume concentration ($0.04 \mu\text{m}^3 \mu\text{m}^{-2}$) was the highest (compared to
 667 the four other cluster profiles) for the third prevalent cluster profile (166 counts, cluster 3);
 668 cluster 3 also had a slightly shifted volume concentration peak in the coarse mode to a higher
 669 radius ($5.06 \mu\text{m}$) compared to other clusters. The coarse mode dominated this VSD compared to
 670 other profiles (lower magnitude for the accumulation mode peak for the volume concentration,
 671 $0.02 \mu\text{m}^3 \mu\text{m}^{-2}$). The two remaining cluster profiles exhibited high average magnitudes of
 672 volume concentration in both the accumulation and coarse modes. The fourth prevalent cluster
 673 profile (74 counts, cluster 4) had the highest average absolute magnitude for the volume
 674 concentration in the accumulation mode ($0.11 \mu\text{m}^3 \mu\text{m}^{-2}$), while the fifth prevalent cluster profile
 675 (65 counts, cluster 5) had a slightly smaller accumulation mode peak for the volume
 676 concentration ($0.07 \mu\text{m}^3 \mu\text{m}^{-2}$) that was shifted to a slightly higher radius ($0.19 \mu\text{m}$ compared to
 677 $0.15 \mu\text{m}$). Both clusters 4 and 5 had similar average coarse mode peak volume concentration
 678 magnitudes ($0.04 \mu\text{m}^3 \mu\text{m}^{-2}$).

679



680

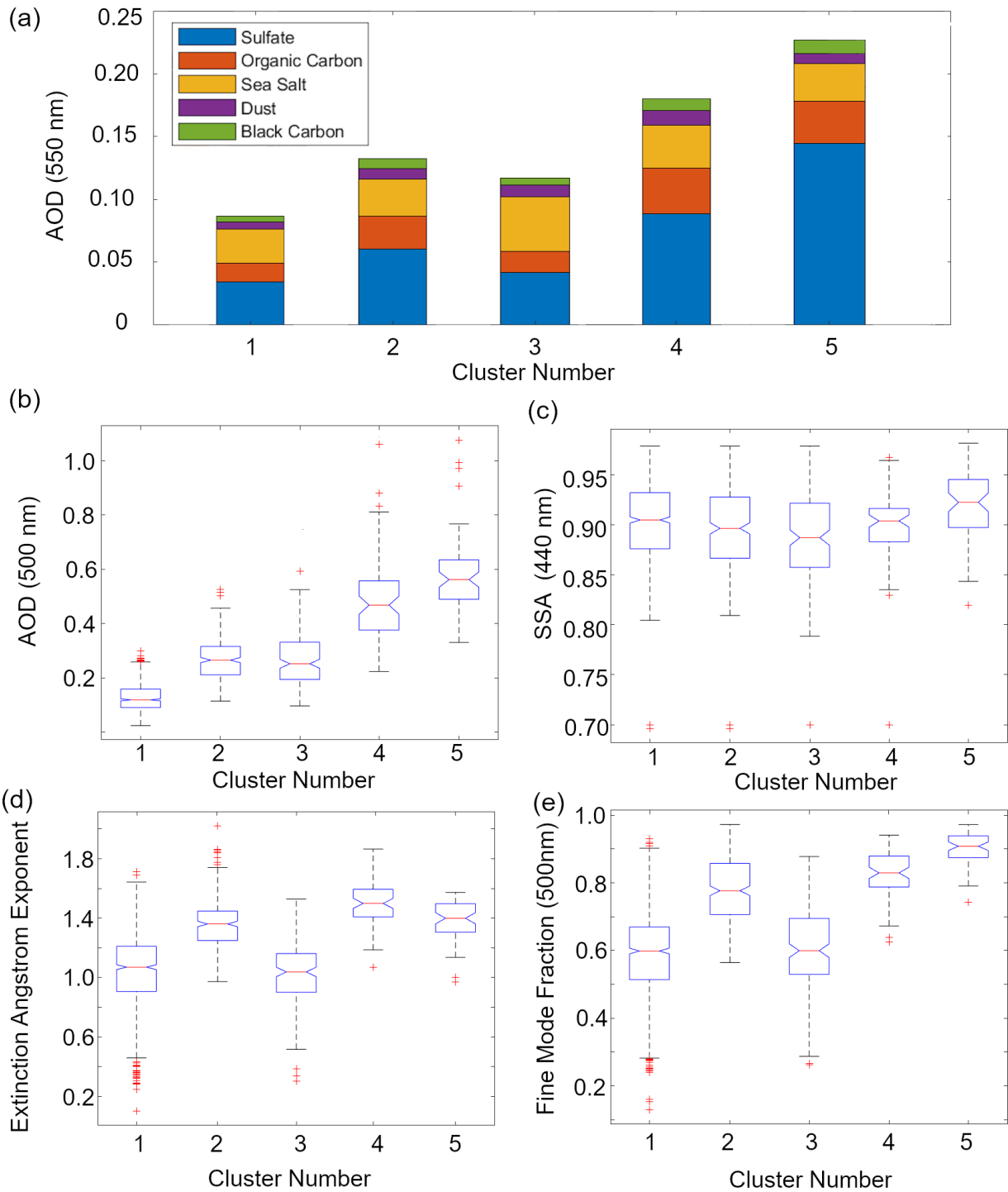
681 **Figure 6:** (a) Cluster analysis of VSD data yielding five characteristic and averaged VSDs with
 682 the number of points per cluster shown in the legend. The black circles on the curves show the

683 peak locations in the submicrometer ($<1 \mu\text{m}$) and coarse ($\geq 1 \mu\text{m}$) modes. The relative abundance
684 of each cluster is shown for different (b) seasons (blue: DJF, red: MAM, orange: JJA, violet:
685 SON) and (c) times of day (blue: AM, red: PM).

686 The clusters were distributed across seasons (Fig. 6b), with clusters 1 and 2 being the most
687 evenly distributed among the clusters. Cluster 3, which had the highest coarse mode peak, had
688 the greatest contribution from September to November compared to other clusters. Cluster 4,
689 which had the highest accumulated mode peak compared to other clusters, had the greatest
690 contribution from March to May as well as to afternoon VSDs compared to other clusters (Fig.
691 6b and 6c). Relative contributions of VSDs from June to August were highest for cluster 5,
692 which had the shifted accumulated mode peak.

693 Median total (AERONET) AOD values (Fig. 7b) were lowest (0.12) for cluster 1, though it had
694 the second highest sea salt fractional contributions (31%) (Fig. 7a) to total AOD (MERRA-2)
695 among all the clusters. Cluster 2 had relatively mid-range median total AOD values (0.27) that,
696 along with clusters 4 and 5, were dominated by sulfate and organic carbon (46% and 20%).
697 Cluster 3 had similar, but slightly lower median total AOD (0.25) compared to cluster 2. Cluster
698 3 was distinct because it had the largest total (0.04) and fractional contribution (37%) from sea
699 salt among all clusters. Clusters 4 and 5 had the highest median total AOD values (0.47 and
700 0.56), with cluster 5 having the highest absolute and fractional sulfate contributions (0.14 and
701 64%) among the clusters. Integrating the above results with their corresponding aerosol particle
702 properties can help associate the clusters to air masses.

703



704
 705 **Figure 7:** (a) Average compositional contributions to aerosol optical depth (AOD at 550 nm)
 706 from MERRA-2 per identified cluster (counts per cluster from 1 to 5: 830, 284, 166, 74, 65).
 707 Boxplots of AERONET (b) total AOD (500 nm), (c) single scattering albedo (SSA at 440 nm),
 708 (d) extinction angstrom exponent (EAE at 440 nm – 870 nm total), and (e) fine mode fraction
 709 (FMF at 500 nm) per cluster.

710
 711 3.3.2 Air Mass Types

712 Air masses have been classified in previous studies based on their AOD, EAE, FMF, and SSA
713 values (e.g., Lee et al., 2010 and Aldhaif et al., 2021). The criteria from different studies (Table
714 2) were applied per cluster. The median total AOD of cluster 1 (0.12) was less than 0.2 (Fig. 7b),
715 which is the threshold for sea salt sources. Half of the data points in cluster 1 also fall below the
716 threshold for clean environments (AOD < 0.1) (Sorooshian et al., 2013). Based on its median
717 EAE (1.07, where EAE < 1 is coarse and EAE > 1 is fine) and FMF (0.60) values (Fig. 7d and
718 7e), cluster 1 is a mixture of fine and coarse particles. The fine Cluster 1 is the only cluster with a
719 median that meets that threshold value for clean marine sources (AOD < 0.2), and we know from
720 Sect. 3.3.1 that its average VSD magnitude was greater for the coarse fraction and that its sea salt
721 contribution to total AOD was second greatest among the clusters. Thus, most probably, cluster 1
722 is a background clean marine source, since it also is predominant throughout the seasons (Fig.
723 6b). This makes sense given the proximity of the ocean to Metro Manila from both the east and
724 the west. The median SSA (0.90 at 440 nm) for cluster 1 (Fig. 7c), however, suggests the
725 presence of absorbing particles most probably due to high black carbon in the local source (Cruz
726 et al., 2019) that is mixed in with this generally clean marine source.

727 Most of the data from the other clusters all fall in the polluted category (Table 2), based on their
728 median total AODs (>0.1) (Fig. 7b). Cluster 2 has a median FMF value of 0.78 (Fig. 7e), which
729 suggests that most of the particles in this air mass are in the fine fraction. They are, however, not
730 sufficiently dominant in the aerosol for them to be typical of urban/industrial sources. The
731 average VSDs (Fig. 6a) of cluster 2 similarly suggest that their relative accumulation mode
732 magnitude is higher than the coarse magnitude, but not much higher. Like cluster 1, cluster 2 is
733 also more evenly distributed across the seasons (Fig. 6b). The median SSA for cluster 2 (0.90 at
734 440 nm) is also similar to the SSA of cluster 1 (Fig. 7c) where the local and background particles
735 are mixed. Cluster 2 could be a fine polluted background source superimposed on the dominant
736 marine source. Metro Manila is a megacity with continuous and large amounts of sources that
737 could be, due to its proximity to the ocean, interacting with the background.

738 Based on its median EAE value (1.04) (Fig. 7d), cluster 3 is mixed but mostly in the coarse
739 fraction, consistent with its VSD profile (Fig. 6a) which has the highest coarse magnitude (FMF
740 = 0.60) compared to the other clusters. The contribution of data from September to February is
741 greatest in cluster 3 (Fig. 6b), consistent with expected coarser particles during this period when
742 the winds are initially shifting from the southwest before becoming more northeasterly, as
743 previously noted. Median SSA (0.89 at 440 nm) was lowest for cluster 3 (Fig. 7c), this and the
744 relatively high coarse particle contribution suggests cluster 3 as a possible dust source based on
745 past studies (Lee et al., 2010). This air mass can be a mixture of local sources and transported
746 dust air masses. The large sea salt contribution (~37%) to total AOD in cluster 3 (Sect. 3.3.1) can
747 be related to long-range transport.

748 Both clusters 4 and 5 have median total FMF (0.83 and 0.91) (Fig. 7e) values exceeding the mark
749 (> 0.8, Table 2) for urban/industrial air masses. Combining this and results from the previous
750 sections confirms that cluster 4 can be an urban/industrial source given that it had the highest
751 median accumulated mode peak and organic carbon contribution (~20%) to total AOD among
752 the clusters. The median SSA for cluster 4 (0.90 at 440 nm) was similar to the median SSA of
753 clusters 1 and 2 (Fig. 7c), but the maximum SSA value for this cluster was lowest in general
754 among all the clusters suggesting cluster 4 has the net most absorptive effect. The cluster 4 air
755 mass is probably from local sources and transported biomass burning emissions. The high

756 median EAE (1.40, Fig. 7d) may be associated with aerosol particles due to biomass burning
757 (Deep et al., 2021).

758 Cluster 5 had the highest median total AOD (0.56) and FMF (0.91) values (Fig. 7b and 7e). It
759 also had the highest sulfate contribution (~64%) to total AOD (Fig. 7a), the highest median SSA
760 (0.92 at 440 nm, thus most reflective particles among the clusters) (Fig. 7c), and a shifted
761 accumulation mode peak (Fig. 6a). These characteristics suggest that cluster 5 is a possible cloud
762 processing air mass (Eck et al., 2012). The larger peak in the accumulation mode is possibly the
763 cloud signature. Previous studies have attributed this larger mode to cloud processing due to the
764 conversion of SO₂ to sulfate (Hoppel et al., 1994). Cloud processing is a major source of sulfate
765 (Barth et al., 2000).

766 The distribution of the air masses based on the abundance of the VSD profiles per cluster suggest
767 prevalent clean marine (58% of the total VSD counts) and background fine polluted (20%) air
768 masses over Metro Manila. The mixed dust (12%), urban/industrial (5%), and cloud processing
769 (5%) air masses contribute 22% altogether. We can investigate more deeply and look at specific
770 case studies that can better describe the air masses identified here.

771

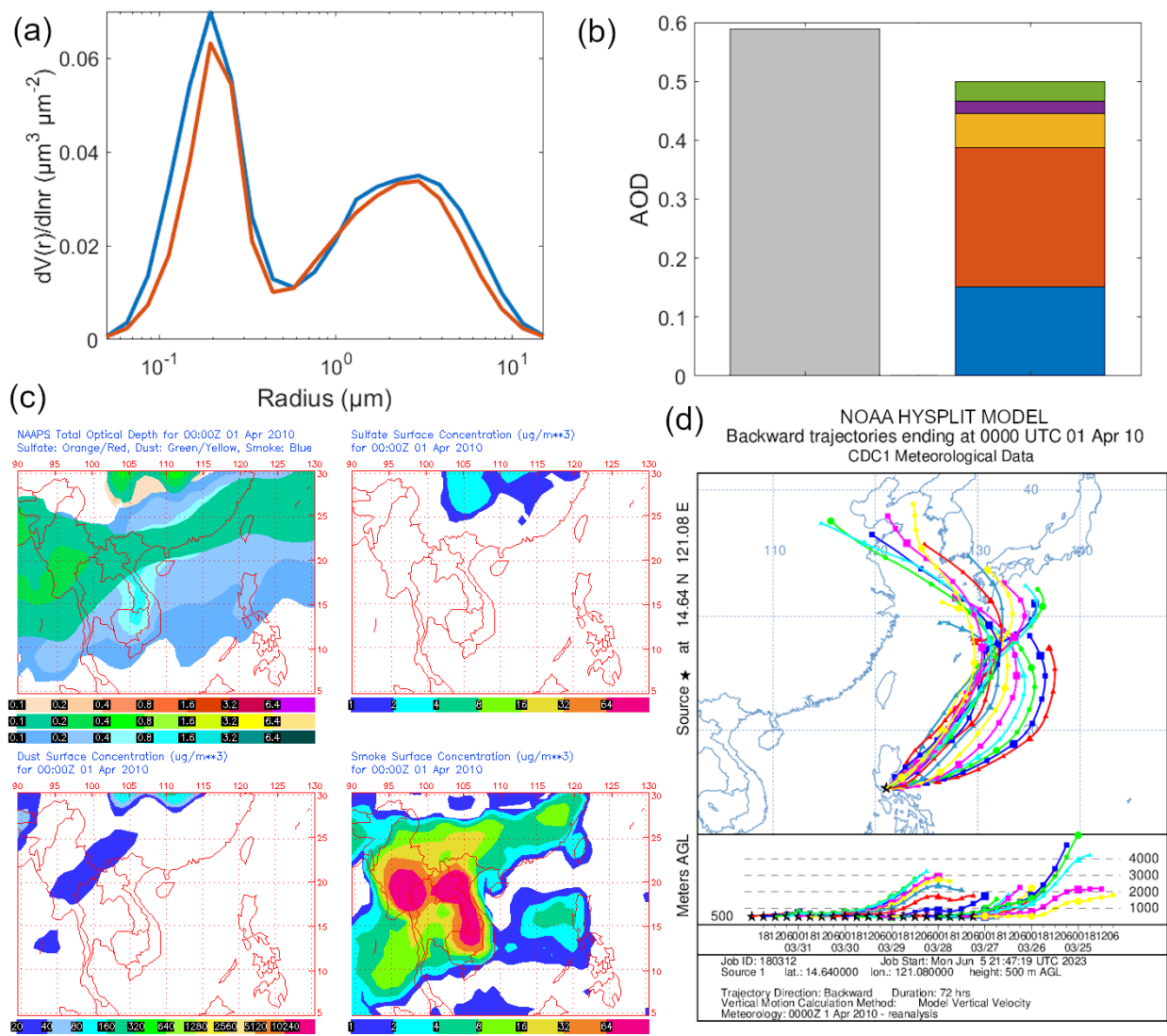
772 **3.4 Case Studies**

773 Selected case studies are used to highlight periods with the highest AOD values and strongest
774 clear sky (no rain and heavy clouds) daytime aerosol particle sources within the sampling period.
775 As such, the clusters that are associated with the selected case studies are the clusters (3-5) with
776 higher VSD concentration magnitudes.

777

778 **3.4.1 Long Range Transport of Smoke**

779 Both cases of long-range transport of smoke discussed below have similar VSDs (Fig. 8a and 9a)
780 to the urban/industrial cluster VSD (cluster 4, Fig. 6a). Organic carbon was the dominant
781 contributor to AOD (Fig. 8b and 9b) for both long-range transport cases. The first of two events
782 occurred around 1 April 2020 with smoke presumed to come from East Asia. The VSD of this
783 specific case (Fig. 8a) is most like the urban/industrial cluster (cluster 4 in 3.3.2, Fig. 6a) because
784 of the high magnitude of its accumulated mode peak, its timing (April), and the enhanced
785 organic carbon contribution to AOD in the area (Fig. 8b). Though the absolute black carbon
786 contribution to AOD was highest here compared to the other case studies, and in general for the
787 AERONET data, it was organic carbon that was more prevalent in terms of contribution to total
788 AOD. Smoke is comprised of both soot carbon and organic carbon, amongst other constituents
789 (Reid et al., 2005).



790

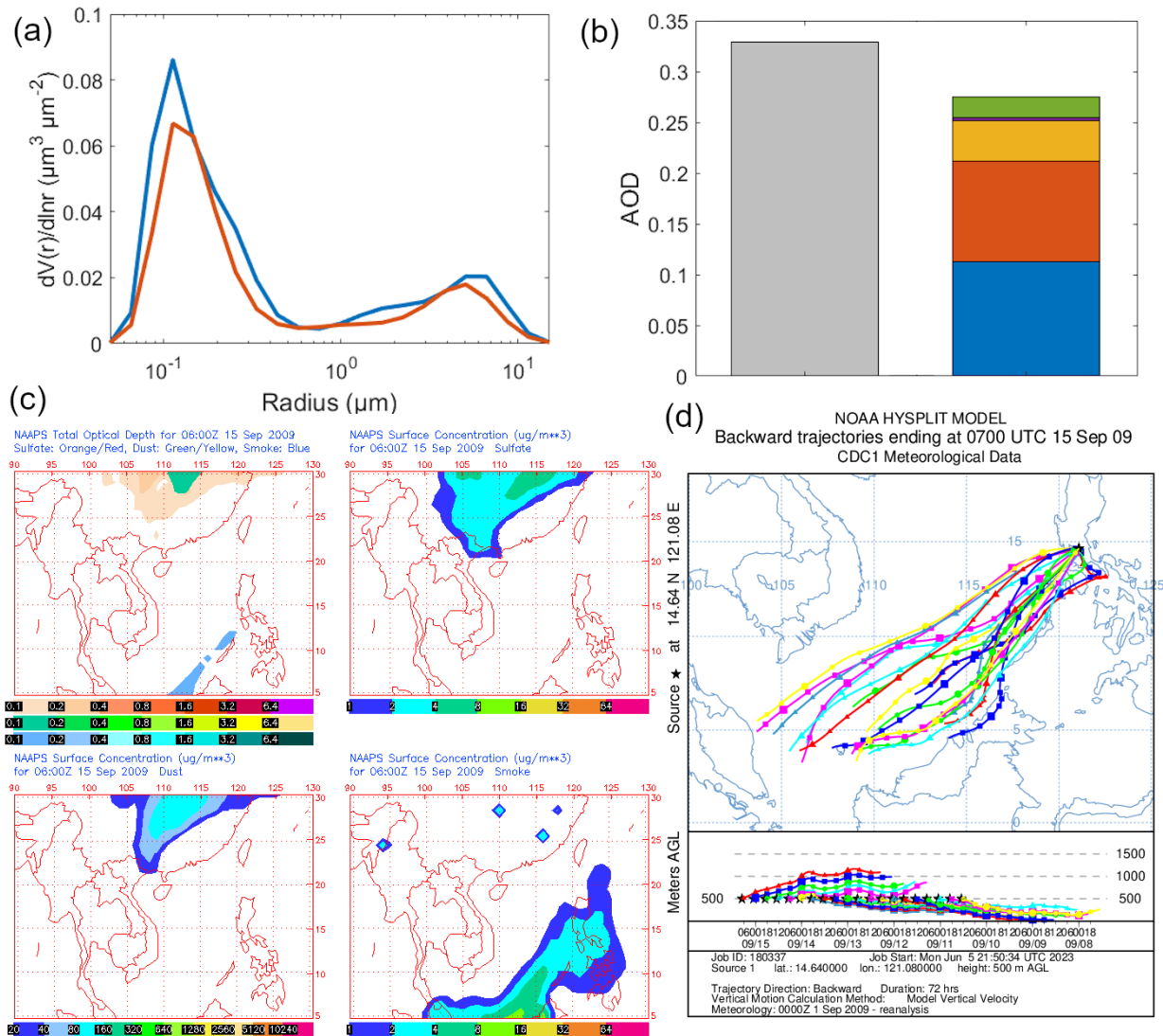
Apr 1 02:30:48 2010 NRL/Monterey Aerosol Modelling

791 **Figure 8:** Case study of long-range transport (smoke – East Asia) around 1 April 2010. (a)
 792 AERONET VSDs at (blue) 00:01 and (red) 00:26 UTC, (b) AOD from AERONET (gray:
 793 median AOD at 500 nm) and MERRA-2 hourly (green: black carbon, violet: dust, yellow: sea
 794 salt, orange: organic carbon, blue: sulfate) compositional contributions to AOD (550 nm) closest
 795 in time to 00:01 UTC, (c) NAAPS maps of total and compositional hourly AOD (orange/red:
 796 sulfate, green/yellow: dust, blue: smoke) and sulfate, dust, and smoke surface concentrations at
 797 00:00 UTC, and (d) HYSPLIT seven-day back-trajectories arriving at Manila Observatory at
 798 00:00 UTC.

799 The smoke contribution to AOD from NAAPS (Fig. 8c) for the first smoke case was visible in
 800 the Philippines (0.2) and seemed to come from East Asia where the smoke contribution to AOD
 801 was greater (reaching 0.8) especially in Peninsular Southeast Asia. Smoke surface concentrations
 802 were also widespread (Fig. 8c) with greatest concentrations in East Asia that reached the
 803 Western Philippines, though seemingly disconnected over the sea. There were observed biomass
 804 burning emissions in the Peninsular Southeast Asia (southern China, Burma, and Thailand) at

805 this time (Shen et al., 2014). The direction of the air mass coming into Metro Manila was from
806 the northeast, which curved from the west in the direction of East Asia based on HYSPLIT back-
807 trajectories (Fig. 8d).

808 The second smoke case was on 15 September 2009 with the source being Southeast Asia. The
809 back-trajectories of this case study (Fig. 9d) are from the southwest of the Philippines, and in the
810 direction of the Malaysia and Indonesia. NAAPS maps likewise show elevated AOD,
811 specifically smoke contribution to AOD (Fig. 9c), as well as enhanced smoke surface
812 contributions in the area around Metro Manila for this second smoke case study. The observed
813 AOD and smoke surface concentration increased specifically from the southwest of the
814 Philippines in the same direction of the back-trajectories. There were fires in the lowland (peat)
815 forests of Borneo around this time (NASA, 2009). MERRA-2 AOD contributions for this case
816 were greatest due to organic carbon as well as sulfate (Fig. 9b), and the absolute black carbon
817 contributions were greatest compared to other cases. The VSD of this smoke case from Southeast
818 Asia (Fig. 9a) resembled that from long-range transported smoke from East Asia (Fig. 8a) and
819 the urban/industrial air mass (cluster 4, Fig. 6a). This case occurred in the afternoon, which was
820 the prevalent time that the urban/industrial air mass was observed (Fig. 6c).

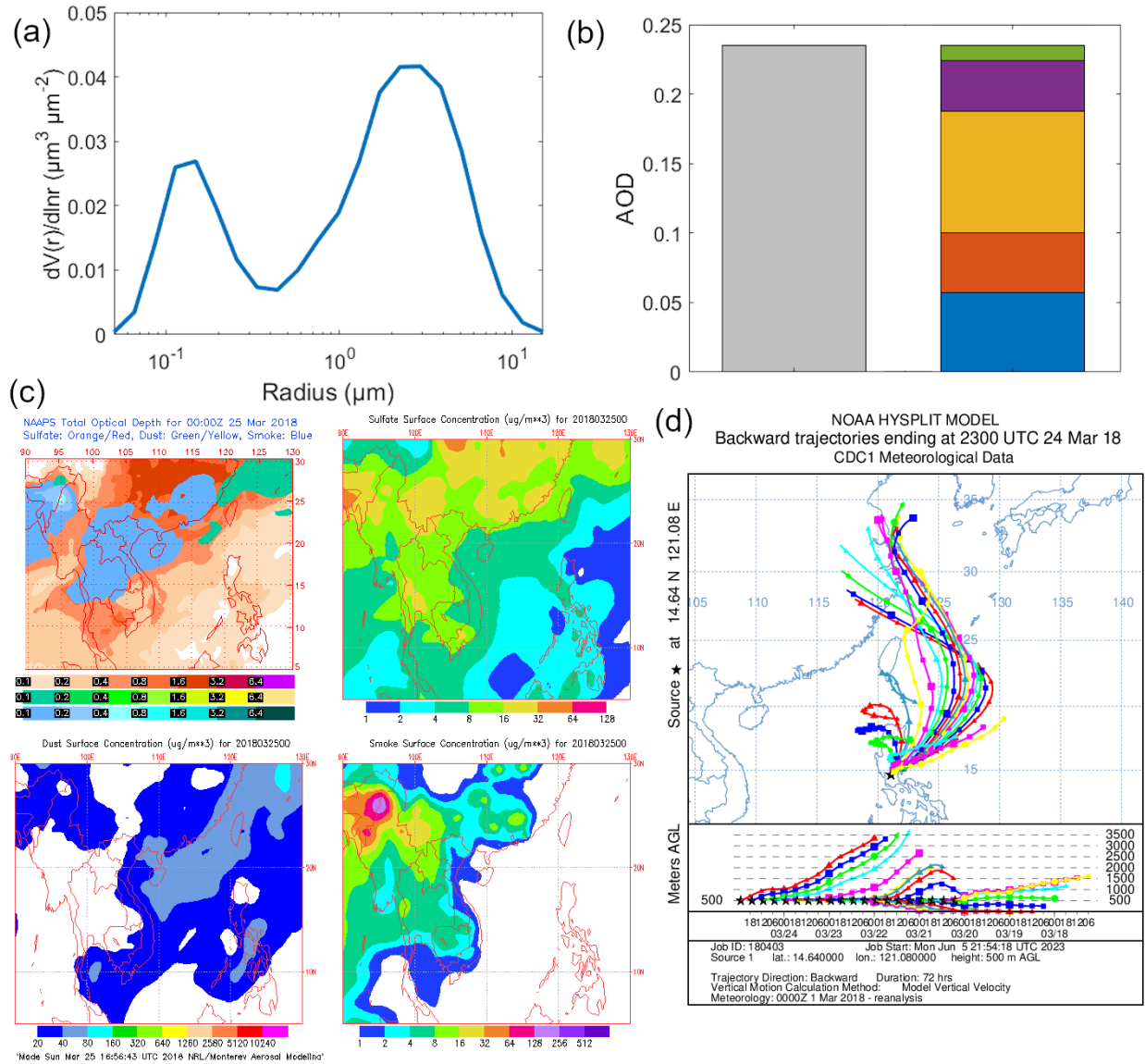


821
 822 **Figure 9:** Case study of long-range transport (smoke – Southeast Asia) around 15 September
 823 2009. (a) AERONET VSDs at (blue) 07:27 and (red) 07:52 UTC, (b) AOD from AERONET
 824 (gray: median AOD at 500 nm) and MERRA-2 hourly (green: black carbon, violet: dust, yellow:
 825 sea salt, orange: organic carbon, blue: sulfate) compositional contributions to AOD (550 nm)
 826 closest in time to 07:27 UTC, (c) NAAPS maps of total and compositional hourly AOD
 827 (orange/red: sulfate, green/yellow: dust, blue: smoke) and sulfate, dust, and smoke surface
 828 concentrations at 06:00 UTC, and (d) HYSPLIT seven-day back-trajectories arriving at Manila
 829 Observatory at 07:00 UTC.

830
 831 **3.4.2 Long Range Transport of Dust**

832 The VSD of this specific case on 24 March 2018 (Fig. 10a) was most similar to the mixed dust
 833 cluster (cluster 3), which had a mixed size distribution but a more dominant coarse contribution.
 834 This is consistent with the most dominant contribution to AOD in the area, which was sea salt
 835 and dust (Fig. 10b). The back-trajectories were from East Asia around the same latitude as
 836 Taiwan (Fig. 10d). That area, at that time, had increased AOD in general from sulfate and dust

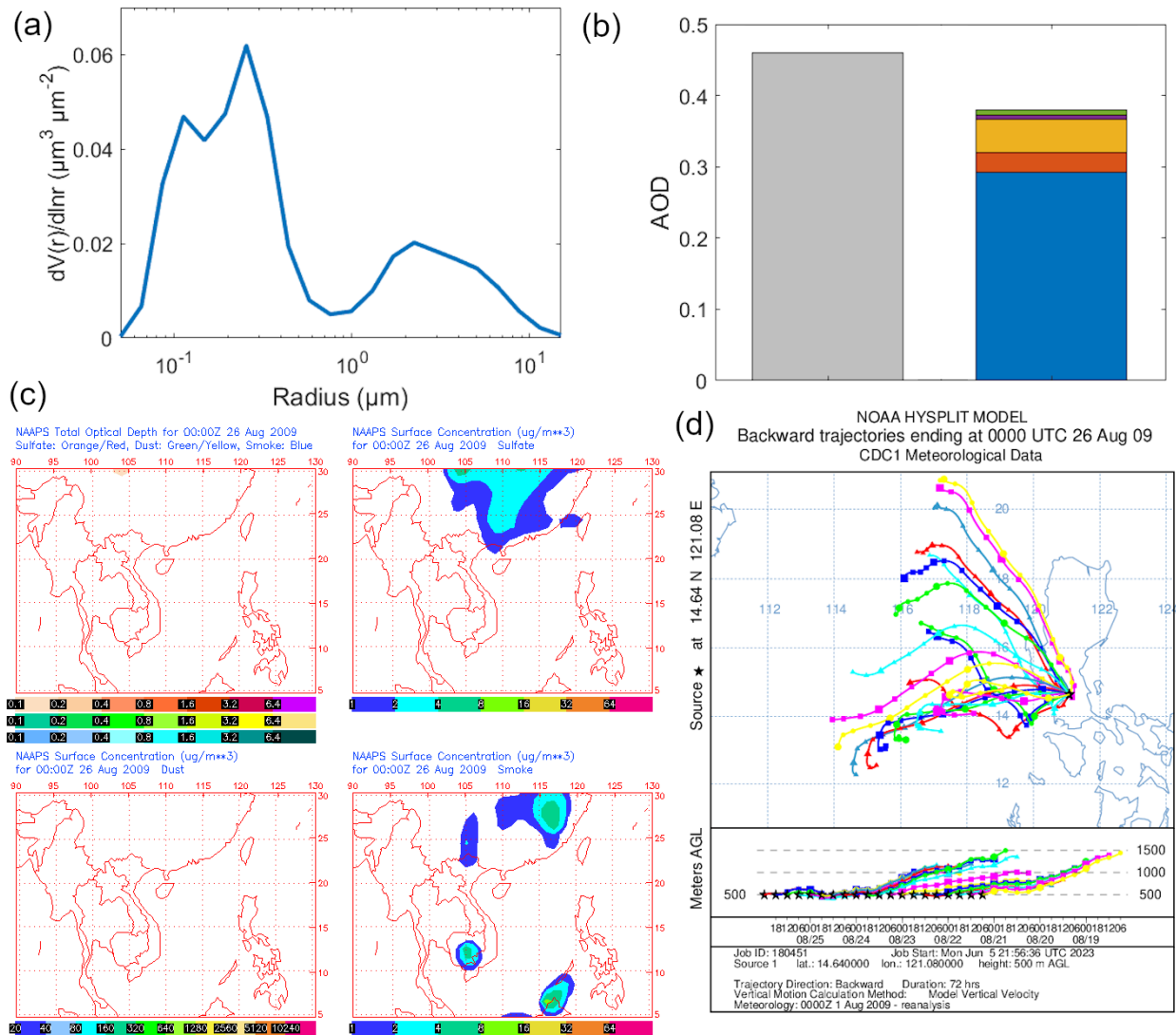
837 (Fig. 10c). The AOD from both AERONET and MERRA-2 (Fig. 10b) are lower than 0.3 (the
 838 AOD threshold for dust in other studies, Table 2) because of the long distance from the source
 839 (thousands of kilometers). The dust and sulfate seemed to have been transported to Metro Manila
 840 from East Asia based on the NAAPS sulfate and dust surface concentrations (Fig. 10c).
 841



842 **Figure 10:** Case study of long-range transport (dust) around 24-25 March 2018. (a) AERONET
 843 VSD at (blue) 23:23 UTC, (b) AOD from AERONET (gray: AOD at 500 nm) and MERRA-2
 844 hourly (green: black carbon, violet: dust, yellow: sea salt, orange: organic carbon, blue: sulfate)
 845 compositional contributions to AOD (550 nm) closest in time to 23:23 UTC, (c) NAAPS maps of
 846 total and compositional hourly AOD (orange/red: sulfate, green/yellow: dust, blue: smoke) and
 847 sulfate, dust, and smoke surface concentrations at 00:00 UTC on March 25, and (d) HYSPLIT
 848 seven-day back-trajectories arriving at Manila Observatory at 23:00 UTC.
 849

850
 851 3.4.3 Cloud Processing

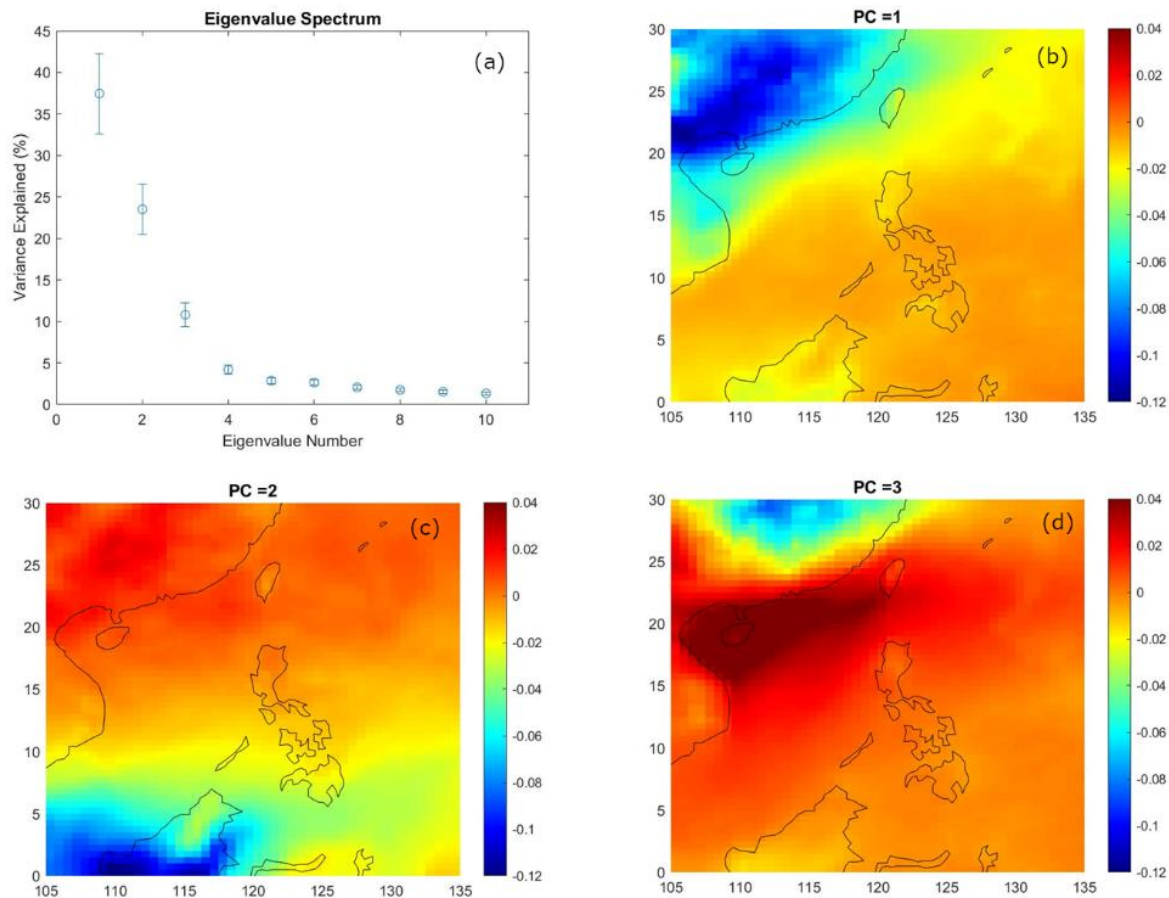
852 Sulfate dominated the AOD (Fig. 11b) for this case on 26 August 2009 in the area around Metro
853 Manila. This along with its VSD exhibiting a second peak (Fig. 11a) in the accumulation mode
854 make it very similar to the cloud processing cluster (cluster 5). Sulfate has been known to be
855 enhanced through chemical productions in clouds and is used as a signature for cloud processing
856 (Barth et al., 2000; Ervens et al., 2018). Aqueous production of sulfate is significant in areas with
857 sources and clouds (Barth et al., 2000), and this case study has both. Aside from the high sulfate
858 contribution to AOD, the cloud fraction (Aqua/MODIS, Terra/MODIS, Fig. S3) is very high
859 (~100%) in the area of the back-trajectories (Fig. 11d). Interestingly, there is no regional AOD
860 elevation observed in the NAAPS maps (Fig. 11c) for this time. There are increased surface
861 smoke and sulfate levels in East Asia as well as southwest of the Philippines, and though the
862 back-trajectories do show a northeastward direction, they do not reach far enough into mainland
863 East Asia. It is possible that even while there are known regional sources of sulfate in Southeast
864 Asia (Smith et al., 2011; Li et al., 2017), this case could be local to the Philippines. There is in
865 fact a large power plant northwest of Metro Manila (Jamora et al., 2020).
866



867 Aug 25 08:38:20 2009 NRL/Monterey Aerosol Modelling
 868 **Figure 11:** Case study of cloud processing on 26 August 2009. (a) AERONET VSDs at 00:18
 869 UTC, (b) AOD from AERONET (gray: median AOD at 500 nm) and MERRA-2 hourly (green:
 870 black carbon, violet: dust, yellow: sea salt, orange: organic carbon, blue: sulfate) compositional
 871 contributions to AOD (550 nm) closest in time to 00:18 UTC, (c) NAAPS maps of total and
 872 compositional hourly AOD and contributions and smoke surface concentrations at 00:00 UTC,
 873 and (d) HYSPLIT seven-day back-trajectories arriving at Manila Observatory at 00:00 UTC.
 874

875 3.5 EOF Analysis of AOD in Southeast Asia

876
 877 The air masses in Metro Manila are influenced by regional sources which were identified
 878 through EOF analysis of AOD. Three principal components (PC, Fig. 12) explained most of the
 879 data variance (73.77%) (Fig. 12a) and were all well-separated from each other and are therefore
 880 most probably the major distinct aerosol particle sources in the region. They will be the focus of
 881 the subsequent discussion.



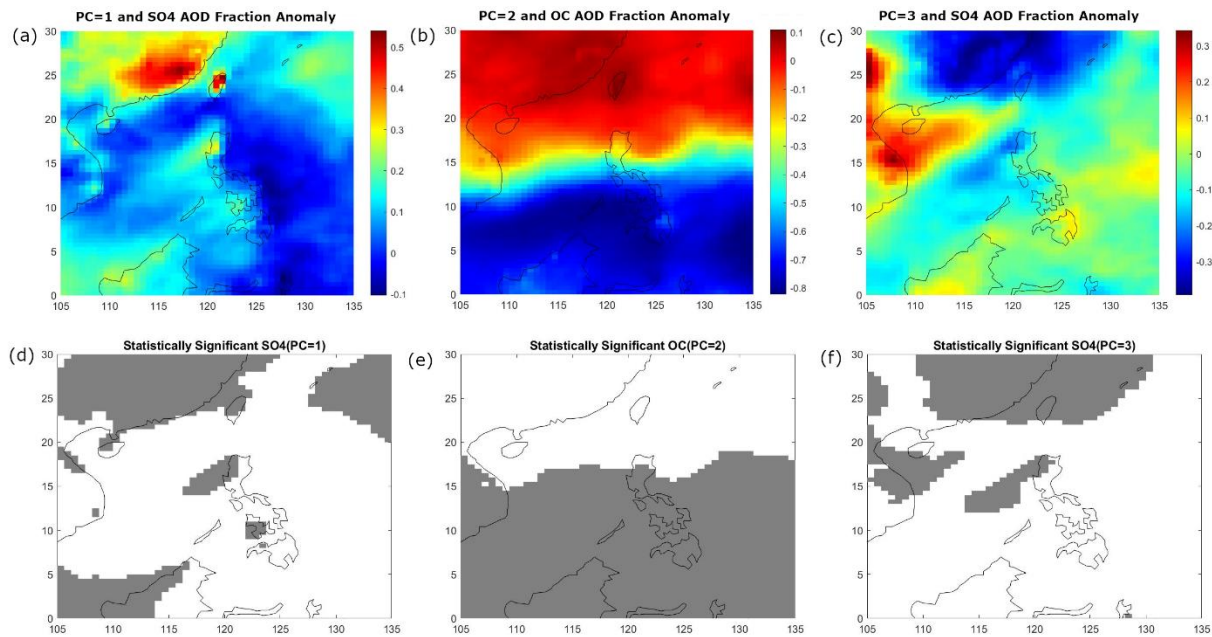
882
 883 **Figure 12:** Results of the singular value decomposition. (a) Eigenvalue spectrum of the first ten
 884 eigenvalues, (b-d) maps of the coefficients of regression AOD anomalies onto the first three
 885 principal components.

886 The first PC explains 37.46% of the data variance (Fig. 12a) and, based on the map of the
 887 regression coefficients (Fig. 12b), separates mainland East Asia from the Philippines and
 888 Indonesia. East Asia is a globally recognized source for high AOD (Li et al., 2013), and its
 889 contribution to particles in Southeast Asia possibly corresponds to the first PC. The second PC
 890 explains 25.51% of the data variance (Fig. 12a) and separates southern Southeast Asia from
 891 northern Southeast Asia at around 15° N (Fig. 12c). Southern Southeast Asia is a known regional
 892 source of aerosol particles due to biomass burning (Cohen et al., 2017) and could be associated
 893 with the second PC. The third PC explains 10.80% of the data variance (Fig. 12a) and separates
 894 northern East Asia from southern East Asia mainland and the rest of Southeast Asia (Fig. 12d).

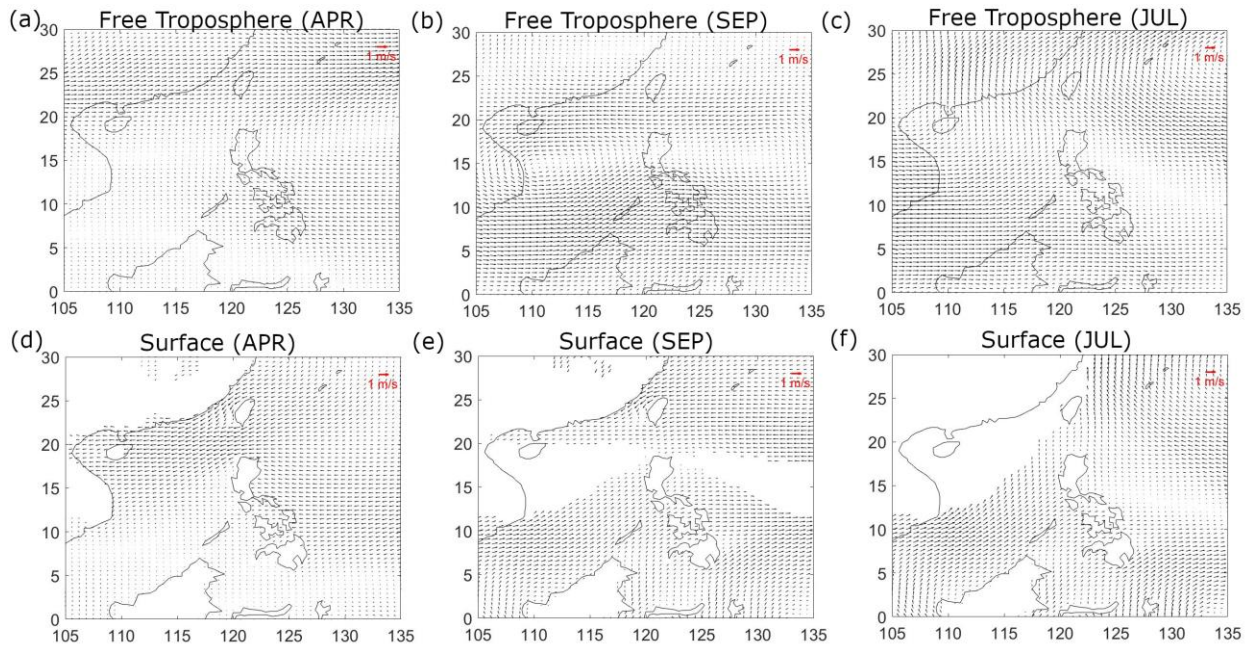
895 To gain confidence in the association of the PCs with their sources, we present correlation maps
 896 between the first three PCs to the fractional contributions of sulfate and organic carbon to AOD
 897 for the entire dataset.

898 The correlation maps of the first PC and the sulfate contribution to AOD (Fig. 13a and 13d)
 899 show high and statistically significant correlations (gray areas) in mainland East Asia and
 900 Taiwan, parts of western Philippines and Borneo, which are the probable sulfate sources. Clues
 901 from the mean monthly wind vector maps in April (Fig. 14a and 14d) and mean monthly AOD in

902 either March or April (Fig. S4c or S4e) most resembling the features of regression map of the
 903 first PC (Fig. 12b) and the PC time series peaking in March (Fig. S5) together suggest that the
 904 first PC may be associated with air masses that are present around March or April. Emissions
 905 sources and meteorology that are dominant during the peak dates in the PC time series offer
 906 clues to the attribution of each PC. The Southeast Asia region and the Philippines is influenced
 907 by the monsoon systems (Coronas, 1920; Matsumoto et al., 2020) and February to March is the
 908 time when the winds are transitioning from the northeasterly to easterly. The first PC could be
 909 affected by the easterly winds, which are dominant around March when its PC values peaked.
 910 The higher-level winds (free troposphere) (Fig. 14a) in April are from the west in mainland East
 911 Asia and are from the east in the Philippines and it is possible that the different wind regimes are
 912 distinguishing the sulfate sources in East Asia and the Philippines and beyond. Sulfate is a
 913 known product of industry in East Asia (Smith et al., 2011; Li et al., 2017) while the West Luzon
 914 and West Visayas islands have large power plants (Jamora et al., 2020).



915 **Figure 13:** Correlation coefficients of principal components with (a/c) sulfate AOD fraction and
 916 (b) organic carbon AOD fraction. Statistically significant (90%, d-f) areas are shaded gray.
 917



918
 919 **Figure 14:** Monthly averaged winds for (a & d) April, (b & e) September, and (c & f) July from
 920 MERRA-2 at (725 hPa, a-c) the free troposphere approximate and at (1000 hPa, d-f) the surface.

921 The correlation maps of the second PC and the OC contribution to AOD (Fig. 13b and 13e) show
 922 high and statistically significant correlations from 0° to 15° N. The large magnitude of the
 923 correlation coefficient (gray areas in Fig. 13b) stands out in southern Southeast Asia and is the
 924 potential OC source. In this case, it is known that Indonesia is a major source of biomass burning
 925 during its fire season (Glover and Jessup, 1998), and thus the local significance established in the
 926 southern Southeast Asia is most likely due to the Indonesia biomass burning source. The burning
 927 season in Indonesia is from August to October, and that is the same time when the AOD values
 928 peak in the area (Fig. S4h, S4i, and S4j), as well as the peak of the second PC in the time series
 929 (Fig. S5). Winds are usually from the southwest and west due to the southwest monsoon from
 930 September to October, when the second PC peaked, and thus the second PC may be related to the
 931 southwest monsoon. During the same time the surface and free troposphere mean monthly winds
 932 (Fig. 14b and 14e) are from the southwest (in the general direction of Indonesia) towards the
 933 south portion of Southeast Asia and thus corroborate the observation that the second PC may be
 934 highlighting the regional effect of the Indonesia forest fires. Of interest is the line of separation
 935 of the northern and southern Southeast Asia in the principal component that is within the area of
 936 the monsoon trough (Wang et al., 2007). This line is also evident in the surface and the free
 937 troposphere maps where the southwest winds from the area of Indonesia meet the easterlies in
 938 north Southeast Asia (Fig. 14b and 14e) and which thus appears to be limiting the dispersion of
 939 the biomass burning emissions to southern Southeast Asia.

940 The third PC was also well correlated to the sulfate AOD fraction though, compared to the first
 941 PC correlation maps, there were distinctions between the northern and southern East Asia
 942 regions (Fig. 13c and 13f). The local Philippine source still came out in the correlation maps as a
 943 significant source. It was not clear from the PC time series (Fig. S5), which showed peaks in the
 944 third PC in February, how the dates were related to the PC profile. The free troposphere winds in
 945 July (Fig. 14c), as well as the AOD monthly mean map in July (Fig. 14c), however, showed

946 more similarities to the third PC regression map. Both showed a delineation between the
947 northern East Asia and southern East Asia (including Hong Kong) features. Mean winds (Fig.
948 14c) in the free troposphere are from the west, due to the southwest monsoon, in the area around
949 the Philippines, and they were from the northeast in north Southeast Asia. The interface of the
950 winds is within the approximate location of the monsoon trough in July (Wang et al., 2007), and
951 it is thus possible that the monsoon trough is causing the separation of the sulfate sources. This
952 could be investigated further. The monsoon trough has been noted to scavenge aerosol particles
953 from southern Southeast Asia (Reid et al., 2013). It is evident from the analysis that meteorology
954 affects the transport and processing of aerosol particles in region which along with local sources
955 contribute to the aerosol composition in Southeast Asia (Cruz et al., 2019; AzadiAghdam et al.,
956 2019; Braun et al., 2020; Hilario et al., 2020b; Hilario et al., 2022).

957

958 **4. Conclusion**

959 Metro Manila has both urban and industrial local sources known to contribute to the dominance
960 of fine mode particles in its air (Cruz et al., 2019). Ten years of AERONET data in Manila
961 Observatory suggest that aerosol particles in Metro Manila were mixed in size but with a
962 prevalent fine mode fraction (>50% FMF) throughout the year. Background clean marine aerosol
963 particles (58% of the time) and fine polluted aerosol particles (20% of the time) were the most
964 dominant clear sky day sources impacting the atmospheric column over Metro Manila based on
965 cluster analysis of volume size distributions. The proximity of Metro Manila to the sea, both in
966 the east and west, along with local sources, transportation being the most prominent, together
967 contribute to the prevalence of the marine and fine particles. The prevalence of marine particles
968 could explain the relatively small AOD values in Metro Manila compared to other Southeast
969 Asian megacities (Reid et al., 2013).

970 Regional sources and meteorology also impact monthly aerosol optical depth trends in Metro
971 Manila from EOF analysis. Biomass burning from Borneo and Sumatra emerged in the study as
972 the second most prevalent regional anthropogenic aerosol particle source in Southeast Asia.
973 Though the monsoon trough limits the dispersion of aerosol particles throughout the entire
974 Southeast Asia, biomass burning emissions impact southern Southeast Asia including Metro
975 Manila during the southwest monsoon (July to September). The monsoon winds facilitate the
976 transport of fine particles during the peak burning season in Borneo and Sumatra (August-
977 September). This is experienced in Metro Manila as higher than usual aerosol particle loadings
978 around the same period (August to October). Climatologically, August was also when there were
979 particles with the greatest fine mode fractions that were relatively absorbing and non-
980 hygroscopic, possibly due to increased organic and elemental carbon fractional contributions.
981 Though not as strong a source as the Borneo and Sumatra case, the peninsular Southeast Asia
982 burning season (March-April) also contributed to extreme aerosol particle concentrations over
983 Metro Manila.

984 High aerosol particle loadings due to transported dust, probably from East Asia, were observed
985 in Metro Manila during the transition period between the southwest and northeast monsoons and
986 during the northeast monsoon (December to February). These extreme events are transient
987 because the lowest median aerosol particle loadings of the year were observed during the
988 northeast monsoon when annual wind speeds were highest. Particles then were observed to be
989 largest in diameter, with the greatest coarse fraction contribution, relatively high absorptivity,

990 and most hygroscopicity, compared to other months of the year. This is probably due to
991 constituents other than soot, especially aged dust (Kim and Park, 2012; Geng et al., 2014) and
992 sea salt which the northeast winds appear to be bringing in from the general direction of the
993 Luzon Island and the Philippine Sea (West Pacific Ocean).

994 Cloud processing is one of the cases that were linked to very high aerosol particle loading in
995 Metro Manila. This is associated with sulfate sources, which appear more localized in nature
996 because of a power plant nearby. This sulfate source seems to be distinct from the industrial
997 sulfate air mass from East Asia, which is the most dominant regional aerosol particle source in
998 Southeast Asia (Li et al., 2013). Winds appear to limit the mixing of this notable East Asia air
999 mass with local industrial sources in the region including the Philippines and Indonesia.

1000 The formation of cloud systems in Southeast Asia is complex due to intersecting large- and
1001 small-scale mechanisms. Additionally, the interaction of particles and clouds in Southeast Asia is
1002 not yet well understood. In Metro Manila, both topography and meteorology affect aerosol
1003 particle distribution (Cruz et al., 2023). This baseline study on the aerosol particle characteristics
1004 in Metro Manila and in regional Southeast Asia shows how meteorology impacts varied aerosol
1005 particle sources (e.g., sulfate, elemental carbon, and organic carbon) and their distribution in the
1006 region. This can help in mitigating aerosol particle sources in the region and in the deepening of
1007 the understanding of the relationship of aerosol particles, meteorology, and clouds.
1008

1009 **Data availability**

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1039
1040 **Author contributions**

1041 GRL and AS designed the experiment. NL, SNU, GRL, GFG, HJO, JBS, and MTC, carried out
1042 various aspects of the data collection. GRL, AS, JBS, MOC, MRH, CC, and LDG conducted
1043 analysis and interpretation of the data. GRL prepared the manuscript draft with contributions
1044 from the coauthors. AFA, LDG, MRH, GRL, and AS reviewed and edited the manuscript. AS
1045 led the management and funding acquisition. All authors approved the final version of the
1046 manuscript.

1047
1048 **Competing interests**

1049 We declare that Armin Sorooshian is a member of the editorial board of Atmospheric Chemistry
1050 and Physics. The peer-review process was guided by an independent editor, and the authors have
1051 also no other competing interests to declare.

1052
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1059
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