

# On the potential use of highly oxygenated organic molecules (HOM) as indicators for ozone formation sensitivity

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**Abstract.** Ozone ( $O_3$ ), an important and ubiquitous trace gas, protects lives from harm of solar ultraviolet (UV) radiation in the stratosphere but is toxic to living organisms in the troposphere. Additionally, tropospheric  $O_3$  is a key oxidant, and source of other oxidants (e.g., OH and  $NO_3$  radicals) for various volatile organic compounds (VOC). Recently, highly oxygenated organic molecules (HOM) were identified as a new compound group formed from oxidation of many VOC, making up a significant source of secondary organic aerosol (SOA). The pathways forming HOM from VOC involve autoxidation of peroxy radicals ( $RO_2$ ), formed ubiquitously in many VOC oxidation reactions. The main sink for  $RO_2$  is bimolecular reactions with other radicals,  $HO_2$ , NO or other  $RO_2$ , and this largely determines the structure of the end products. Organic nitrates form solely from  $RO_2 + NO$  reactions while accretion products (“dimers”) solely from  $RO_2 + RO_2$  reactions. The  $RO_2 + NO$  reaction also converts NO into  $NO_2$ , making it a net source for  $O_3$  through  $NO_2$  photolysis.

There is a highly nonlinear relationship between  $O_3$ ,  $NO_x$ , and VOC. Understanding the  $O_3$  formation sensitivity to changes in VOC and  $NO_x$  is crucial for making optimal mitigation policies to control  $O_3$  concentrations. However, determining the specific  $O_3$  formation regimes (either VOC- or  $NO_x$ -limited) remains challenging in diverse environmental conditions. In this work we assessed whether HOM measurements can function as a real-time indicator for the  $O_3$  formation sensitivity based on the hypothesis that HOM compositions can describe the relative importance of NO as a terminator for  $RO_2$ . Given the fast formation and short lifetimes of the low-volatile HOM (timescale of minutes), they describe the instantaneous chemical regime of the atmosphere. In this work, we conducted a series of monoterpene oxidation experiments in our chamber while varying the concentrations of  $NO_x$  and VOC under different  $NO_2$  photolysis rates. We also measured the relative concentrations of HOM of different types (dimers, nitrate-containing monomers, and non-nitrate monomers) and used ratios between these to estimate the  $O_3$  formation sensitivity. We find that for this simple system, the  $O_3$  sensitivity could be described very well based on the HOM measurements. Future work will focus on determining to what extent this approach can be applied in more complex atmospheric environments. Ambient measurements of HOM have become increasingly common during the last decade, and therefore we expect that there already are a large amount groups with available data for testing this approach.

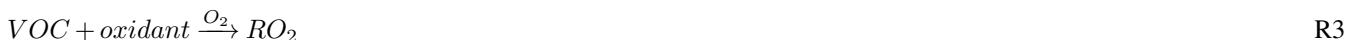
## 1 Introduction

Ozone ( $O_3$ ), as a key trace gas in the atmosphere, directly and indirectly affects human lives, and it plays diametrically opposed roles in the troposphere ("bad" ozone) and stratosphere ("good" ozone) (Sandermann, 1996; Staehelin et al., 2001; Seviour, 2022). The formation and depletion of  $O_3$  has been investigated over the past decades (Chapman, 1930; Crutzen, 1970, 1971; Stolarski and Cicerone, 1974; Tiao et al., 1975; Dodge, 1977). Atmospheric  $O_3$  is almost entirely produced through the reaction between atomic oxygen ( $O^3P$ ) and molecular oxygen ( $O_2$ ) (Wang et al., 2017). In the stratosphere, the  $O^3P$  source is  $O_2$  photolysis with ultraviolet (UV) wavelengths below 240 nm (Chapman, 1930). Stratospheric  $O_3$ , which constitutes approximately 90% of Earth's atmospheric  $O_3$ , plays a crucial role in absorbing UV radiation in the UVB band (280–315 nm), protecting organisms on the ground from the harm of UV radiation (Gruijl and Leun, 2000; Seinfeld and Pandis, 2016). Although certain man-made substances, such as chlorofluorocarbons, were found to be responsible for significant depletion of stratospheric  $O_3$ , the implementation of the 1987 Montreal Protocol and its subsequent amendments has contributed to the recovery of the stratospheric  $O_3$  layer (Seinfeld and Pandis, 2016; Chipperfield et al., 2017).

In the troposphere, in addition to its role as a greenhouse gas (Ehhalt et al., 2001),  $O_3$  serves as a secondary air pollutant due to its detrimental impacts and indirect emissions (Seinfeld and Pandis, 2016; Nuvolone et al., 2018). Not only is it toxic, but it also participates in chemical reactions that lead to the formation of other harmful molecules (Nuvolone et al., 2018). In contrast to stratospheric  $O_3$  in the troposphere, the source of  $O^3P$  is  $NO_2$  photolysis at wavelengths less than 420 nm (Madronich et al., 1983). However, the net formation of tropospheric  $O_3$  occurs through chemical reactions involving nitrogen 40 oxides ( $NO_x = NO + NO_2$ ) and various volatile organic compounds (VOC) in the presence of UV light (Lelieveld and Dentener, 2000). In an ideal "clean" system without any VOC, once  $O_3$  is formed, it readily converts NO back to  $NO_2$  by reacting with NO, resulting in a null cycle as shown below (also illustrated as " $NO_x$  cycle" in Fig. A1):



When VOC are present, they will be oxidized to form peroxy radicals ( $RO_2$ ) by atmospheric oxidants, such as  $O_3$  and OH (Atkinson and Arey, 2003):



The  $RO_2$  radicals can thus replace  $O_3$  in converting NO into  $NO_2$  (R4a). Some fraction of  $RO_2 + NO$  reactions will also lead to the formation of organic nitrates,  $RONO_2$  (R4b) (Atkinson and Arey, 2003):



In summary, the presence of  $RO_2$  radicals, supplied by VOC, can perturb the " $NO_x$  cycle", leading to the net increase of  $O_3$  (Fig. A1). As one of the most characteristic features of the photochemical smog episodes in many cities (Tiao et al., 1975; Tang

et al., 1995; Dickerson et al., 1997), this  $O_3$  formation process (R1–R4a, Fig. A1), known as  $O_3$ - $NO_x$ -VOC sensitivity or  $O_3$  formation sensitivity, has been investigated since the last century (Haagen-Smit et al., 1953; Kinosian, 1982). The Empirical Kinetic Modeling Approach (EKMA) curve, namely  $O_3$  isopleths, was proposed by Dodge (1977) and has been widely used to visually study the  $O_3$  formation sensitivity (Liu and Shi, 2021). The  $O_3$  isopleths reveal a highly nonlinear response of  $O_3$  to its precursors, demonstrating that the impact on  $O_3$  formation by reducing or increasing either VOC or  $NO_x$  does not consistently exhibit the same behavior (Meyer Jr et al., 1977; Harris et al., 1982; Sillman et al., 1990). The outcome is dependent on the relative concentrations of VOC (as a surrogate for  $RO_2$ ) and  $NO_x$ , leading to the division of the formation area into  $NO_x$ -limited and VOC-limited regimes (Sillman, 1999; Melkonyan and Kuttler, 2012). In the  $NO_x$ -limited regime, the concentration of  $O_3$  generally increases with an increase in  $NO_x$ , while its response to VOC changes remains relatively small. This is because the supply of  $RO_2$  species from VOC is abundant and R4a is limited by NO (the " $NO_x$  cycle" is saturated in Fig. A1). Conversely, in the VOC-limited regime, an increase in VOC concentration generally leads to an increase in  $O_3$ , whereas an increase in  $NO_x$  may even result in a decrease in  $O_3$  levels. This is because  $NO_x$  is in excess compared to  $RO_2$ . Moreover, very high levels of  $NO_x$  can directly titrate  $O_3$  (Sillman, 1999) or consume OH radicals (Atkinson et al., 2004), thereby reducing the supply of  $RO_2$  species (R3) and promoting the reaction R2, which results in a decrease in the  $O_3$  concentration. These effects were demonstrated by the amplified  $O_3$  pollution in cities during COVID-19 lockdown, when  $NO_x$  emissions dropped dramatically (Sicard et al., 2020).

To mitigate the uncertainties associated with photochemical models and efficiently determine the  $O_3$  formation sensitivity, various photochemical indicators have been utilized since the last century (Wang et al., 2017; Liu and Shi, 2021). For example, the  $O_3$  production efficiency (OPE =  $\frac{\Delta O_3}{\Delta NO_x}$ ) is defined as the number of  $O_3$  molecules produced per molecule of  $NO_x$  before the  $NO_x$  is oxidized to more stable products, i.e.,  $NO_z$  species (Trainer et al., 1993; Wang et al., 2017). Smaller values of OPE indicate the inefficiency of the " $NO_x$  cycle" (Fig. A1), suggesting that the supply of  $RO_2$  from VOC becomes the limiting factor.

As a result, the photochemical system tends to be VOC-limited. Conversely, when OPE values are higher, the system tends to be  $NO_x$ -limited. Additionally, several modifications had been made to the OPE indicator to account for different situations, such as replacing  $\Delta O_3$  with  $\Delta O_x$  ( $O_x = O_3 + NO_2$ ) (Kleinman et al., 2002) and replacing  $\Delta NO_z$  with  $\Delta NO_y$  ( $NO_y = NO_z + NO_x$ ) (Wang et al., 2006). Other chemical species have also been utilized as photochemical indicators, including the ratio of  $H_2O_2$  to  $HNO_3$  (Hammer et al., 2002). High values of  $\frac{H_2O_2}{HNO_3}$  indicate high potential for cross-reactions of two  $HO_2$  radicals, which is associated with high  $\frac{VOC}{NO_x}$  and thus indicative of the  $NO_x$ -limited regime. For a more widespread application, space-based  $\frac{HCHO}{NO_2}$  measurements from global  $O_3$  monitoring satellites have been introduced as an indicator (Martin et al., 2004), based on the fact that levels of HCHO and  $NO_2$  in the tropospheric column are closely linked to VOC and  $NO_x$  emissions, respectively. However, all these indicators are not inherently linked to the  $O_3$  formation process, and the corresponding threshold values depend on environmental conditions (Liu and Shi, 2021). This makes it challenging to universally apply these indicators.

During the past decade, highly oxygenated organic molecules (HOM) have been recognized as a new group of VOC oxidation products, particularly important for the formation of secondary organic aerosol (SOA) due to their fast formation and low volatilities (Ehn et al., 2014; Bianchi et al., 2019). Aerosols play a significant role in both impacting human health adversely (Kelly and Fussell, 2015) and influencing climate (Boucher et al., 2013). Formed in the atmosphere-mimicking gas

phase and containing six or more oxygen atoms (Bianchi et al., 2019), HOM are produced via  $\text{RO}_2$  autoxidation which rapidly increases their oxygen content through intramolecular H atom abstractions followed by  $\text{O}_2$  additions (Crounse et al., 2013; Ehn et al., 2014). Eventually, these highly oxygenated  $\text{RO}_2$  will generally be terminated similarly as other  $\text{RO}_2$ , such as through bimolecular reactions with  $\text{NO}_x$ ,  $\text{RO}_2$  or  $\text{HO}_2$  radicals (i.e., R4, R5 and R6).



The  $\text{O}_3$  formation precursors, namely  $\text{NO}_x$  and VOC, thus are intrinsically connected also to HOM formation through  $\text{RO}_2$  chemistry. As such, if the daytime HOM distribution is dominated by organic nitrates, it suggests that the majority of  $\text{RO}_2$  are 100 being terminated by reactions with NO (R4), thus contributing to  $\text{O}_3$  formation. On the other hand, if we observe large amounts of HOM dimers or non-nitrate monomers, formed from  $\text{RO}_2 + \text{RO}_2/\text{HO}_2$  (R5 and R6), there must be a large fraction of  $\text{RO}_2$  that do not contribute to the  $\text{O}_3$  formation process, suggesting that increased  $\text{NO}_x$  would also lead to more  $\text{O}_3$ . In other words, ratios of different types of HOM can function as another indicator for determining the sensitivity of  $\text{O}_3$  formation. The situation 105 is complicated by several factors, including challenges in identifying which HOM might have formed from  $\text{RO}_2$  termination by  $\text{RO}_2$  or  $\text{HO}_2$ , or by knowing if a HOM is a monomeric product of a larger VOC precursor or a dimeric product from smaller VOC. Still, if HOM could be used even as a qualitative indicator for  $\text{O}_3$  formation, one particular benefit would be that they 110 would serve as a real-time indicator. This is because both the formation (through autoxidation) and loss (through condensation onto aerosol particles) of HOM take place on timescales of minutes or less.

In this study, our objective is to assess the viability of using the ratio of HOM dimers or non-nitrate monomers to HOM 115 organic nitrates as an indicator of  $\text{O}_3$  formation sensitivity. We conducted a series of experiments in an atmosphere simulation chamber (Riva et al., 2019a), focusing on the ozonolysis of  $\alpha$ -pinene, the most abundantly emitted monoterpene (Pathak et al., 2007). By varying the concentrations of  $\text{O}_3$  formation precursors  $\text{NO}_x$  and  $\alpha$ -pinene, as well as the  $\text{NO}_2$  photolysis rate, we explored the shift between  $\text{NO}_x$ -limited and VOC-limited regimes in the chemical system. We employed mass spectrometers and gas monitors to measure HOM products,  $\alpha$ -pinene,  $\text{O}_3$ , and  $\text{NO}_x$ . We also developed a simple 0-D box model to simulate 120 the concentrations of  $\text{O}_3$  and its precursors in the chamber under different conditions. Finally, by analyzing both experimental and model outcomes, we evaluated the potential of the HOM ratios as indicators of  $\text{O}_3$  formation sensitivity (either VOC- or  $\text{NO}_x$ -limited) in this system.

## 2 Methods

### 2.1 Experiments

120 The experiments were conducted in the COALA chamber, as presented by Riva et al. (2019a). The cuboid chamber is made of fluorinated ethylene propylene (FEP) and has a volume of 2 m<sup>3</sup> with a volume to surface area ratio of 0.2. The chamber was run in “steady-state mode” (Peräkylä et al., 2020; Krechmer et al., 2020), meaning there was a continuous flow of air and reagents (O<sub>3</sub>, NO<sub>2</sub>, and  $\alpha$ -pinene) through the chamber. The total flow was around 55 L min<sup>-1</sup>, giving an average residence time of approximately 36 minutes ( $\tau = \frac{2000 \text{ L}}{55 \text{ L min}^{-1}} \approx 36 \text{ min}$ ). Each stage, where the experimental conditions remain unchanged, 125 lasted at least 1.5 hours, which is approximately three times the residence time, allowing the chamber to reach a pseudo steady state, as confirmed by the time series obtained during the experiments (e.g., Fig. 4).

**Table 1.** Experimental conditions. Each experiment consisted of 3–9 “stages” that corresponded to a specific time period during which the inputs remain constant. The parameter that was varied included input O<sub>3</sub>,  $\alpha$ -pinene, or NO<sub>x</sub> concentrations, as well as NO<sub>2</sub> photolysis rate ( $J_{NO_2}$ ). These variations are indicated in the table by multiple values or ranges in a given cell. Experiment numbers (No.) and number of total stages per experiment are shown in the first two columns.

Experiment No.	Number of stages	Input			
		$J_{NO_2}$ (s <sup>-1</sup> )	O <sub>3</sub> (ppb)	$\alpha$ -pinene (ppb)	NO <sub>x</sub> range (ppb)
1.	7	$1.85 \times 10^{-3}$	10.5	30/60	0 – 21.5
2.	8	$1.85 \times 10^{-3}$	15	15/45/60	0 – 21.5
3.	9	$1.85 \times 10^{-3}$	22.5	10/45/60	0 – 35.2
4.	9	$1.15 \times 10^{-3}$	15.5	15/45/60	0 – 44.5
5.	9	$0.35 \times 10^{-3}$	15.5	15/45/60	0 – 44.5
6.	3	$1.85 \times 10^{-3}$	10/15.5/22.5	60	44.5
7.	8	$1.85 \times 10^{-3}$	10	30/45/60	0 – 21.5

130 The details of the conducted experiments are provided in Table 1. The UV LED lights (wavelength  $\sim$  400 nm, manufactured by LEDlightmake Inc., Shenzhen, China) (Zhao et al., 2023a) used for photolyzing NO<sub>2</sub> were kept on throughout each experiment, while the input concentrations of the precursors NO<sub>2</sub>, O<sub>3</sub>, and  $\alpha$ -pinene were varied across experiments and stages to map out a wide range of different conditions. The photolysis rate was varied by using varying numbers of LED light strips (1, 3, or 5). Experiments without VOC addition (no. Z1–Z5, Table A1) were used to evaluate the photolysis rates (given in Table 1) for each number of light strips. We acknowledge that using alkene ozonolysis for this type of study is not ideal as O<sub>3</sub> also reacts with the VOC, making the determination of actual O<sub>3</sub> formation more complicated. The choice of this system was partly due to our chamber not having the optimal light source for producing OH radicals, thus limiting us to O<sub>3</sub> oxidation, and 135 partly because the HOM spectra from this system has been studied in great detail, making the interpretation of the HOM easier.

All the input reactants, as well as the HOM products, were continuously measured online using instruments described below. The identified HOM species in this study were categorized into three groups: 1) "HOM monomers" ( $\text{HOM}_{\text{Mono}}$ ),  $\text{C}_8\text{--C}_{10}$  compounds without any nitrogen atoms; 2) "HOM organic nitrates" ( $\text{HOM}_{\text{ON}}$ ),  $\text{C}_8\text{--C}_{10}$  compounds with one nitrogen atom; and 3) "HOM dimers" ( $\text{HOM}_{\text{Di}}$ ),  $\text{C}_{18}\text{--C}_{20}$  compounds without any nitrogen atoms.

## 140 2.2 Instrumentation

### 2.2.1 Mass spectrometers

A nitrate-adduct Chemical Ionization Mass Spectrometer ( $\text{NO}_3\text{-CIMS}$ , Tofwerk AG/Aerodyne Research, Inc.) was used for online measurements of HOM with high selectivity (Jokinen et al., 2012; Ehn et al., 2014; Riva et al., 2019b). A large sheath flow of  $20 \text{ L min}^{-1}$  (to minimize wall losses) carries nitric acid ( $\text{HNO}_3$ ) across X-rays, producing nitrate ions ( $\text{NO}_3^-$ ). Then, 145 in an electric field,  $\text{NO}_3^-$  are guided towards a  $10 \text{ L min}^{-1}$  sample flow, ionizing targeted HOM molecules by clustering with them (Kürten et al., 2014). Finally, the charged sample molecules are directed through a critical orifice and into an Atmospheric Pressure Interface Time-of-Flight Mass Spectrometer (APi-TOF), where they are detected based on mass-to-charge ratios ( $\text{m/z}$ ) (Junninen et al., 2010). The  $\text{NO}_3\text{-CIMS}$  was equipped with a standard TOF (HTOF), having a mass resolution of 5000 at  $\text{m/z}$  188 Th. The concentrations of HOM were converted from their normalized signals (i.e., the ratio of HOM-containing ions to 150 reagent ions) by multiplying with a calibration factor ( $C$ ), which takes different efficiencies into account (Jokinen et al., 2012; Bianchi et al., 2019):

$$[\text{HOM}] = C \cdot \frac{\text{HOM}(\text{NO}_3^-)}{\sum_{i=0}^2 (\text{HNO}_3)_i(\text{NO}_3^-)} \quad (1)$$

Calibrating with sulfuric acid (Kürten et al., 2012), we determined  $C$  to be  $1.56 \times 10^9 \text{ cm}^{-3}$  ( $\pm 50\%$ ) based on a flow-tube model (He et al., 2023). However, in this study, the accuracy of  $C$  is less important since the normalized signals of HOM were 155 sufficient for relative comparisons.

A Proton Transfer Reaction Time-of-Flight mass spectrometer (PTR-TOF 8000, Ionicon Analytik GmbH), designed for online measurements of VOC (Jordan et al., 2009), was utilized in our study specifically for the detection of  $\alpha$ -pinene. The PTR is an ionization method where water molecules ( $\text{H}_2\text{O}$ ) are ionized in a hollow cathode discharge, resulting in the formation of hydronium ions ( $\text{H}_3\text{O}^+$ ) (Hansel et al., 1995). Then, as proton donors,  $\text{H}_3\text{O}^+$  are directed into a drift tube, where trace organic 160 compounds are ionized by proton transfer process with proton affinity as the key parameter (Hansel et al., 1995; Graus et al., 2010). After a differentially pumped ion transfer unit, the charged molecules enter a TOF, where collisions are negligible under a low pressure of  $\sim 10^{-6} \text{ mbar}$  with a high vacuum (Graus et al., 2010). The inlet flow was  $1 \text{ L min}^{-1}$  with  $0.1 \text{ L min}^{-1}$  being sampled into the ion drift tube. Further details regarding the calibration and settings of the PTR can be found in Zhao et al. 165 (2023b), and the calibration factor for  $\alpha$ -pinene (detected as  $\text{C}_{10}\text{H}_{17}^+$  at  $\text{m/z}$  137 Th) was  $\sim 104 \text{ ppb}^{-1}$  after normalization by primary ion isotope  $\text{H}_3^{18}\text{O}^+$  (at 21 Th). The analysis of raw data from the  $\text{NO}_3\text{-CIMS}$  and PTR-TOF was conducted using the MATLAB-based set of programs called tofTools (version 611) (Junninen et al., 2010).

## 2.2.2 Gas monitors

The concentrations of  $\text{NO}_x$  and  $\text{O}_3$  were measured by gas monitors. A photometric  $\text{O}_3$  analyzer - model 400 (Teledyne API) was used to detect  $\text{O}_3$  in the chamber. The amount of  $\text{O}_3$  determines how much of a 254 nm UV light signal is absorbed in the sample cell. The absorption difference between the intact sample air and the  $\text{O}_3$ -removed air, achieved by a switching valve periodically, enables the determination of the stable  $\text{O}_3$  concentrations.

As for  $\text{NO}_x$ , a NO- $\text{NO}_2$  analyzer - model T200UP (Teledyne API) was utilized. With a high-efficiency photolytic converter,  $\text{NO}_2$  is transformed to NO with minimal interference from other gases. Using the chemiluminescence detection principle, NO is measured by reacting with  $\text{O}_3$ , yielding light in direct proportion to the amount of NO (Archer et al., 1995). In this way, both sampled NO and total  $\text{NO}_x$  can be measured, without and with using the photolytic converter, respectively. This enables the determination of the  $\text{NO}_2$  concentration in the sample by subtraction.

## 2.3 Box model

As  $\text{O}_3$  was both injected and produced in the chamber, and sinks included reactions with NO and VOC as well as flush out, we constructed a simple 0-D box model (14 reactions, Table A2) to mimic the main reactions and to generate  $\text{O}_3$  isopleth diagrams. These isopleths were then used to determine sensitivity regimes for  $\text{O}_3$  formation. Reaction rates were adapted from the NIST Chemical Kinetics Database (<https://kinetics.nist.gov/kinetics/index.jsp>). The model did not include closed-shell HOM products and all peroxy radicals were treated as a single term (i.e., " $\text{RO}_2$ "). The box model was first employed to determine  $\text{NO}_2$  photolysis rates under different numbers of UV lights in the zero-VOC experiments (Table A1), where only  $\text{O}_3$  and  $\text{NO}_2$  were used as input species. The high agreement between model and observation (detailed results are shown in section 3.1 and 3.3) showed that the model was adequate for simulating the targeted reactions in our chamber.

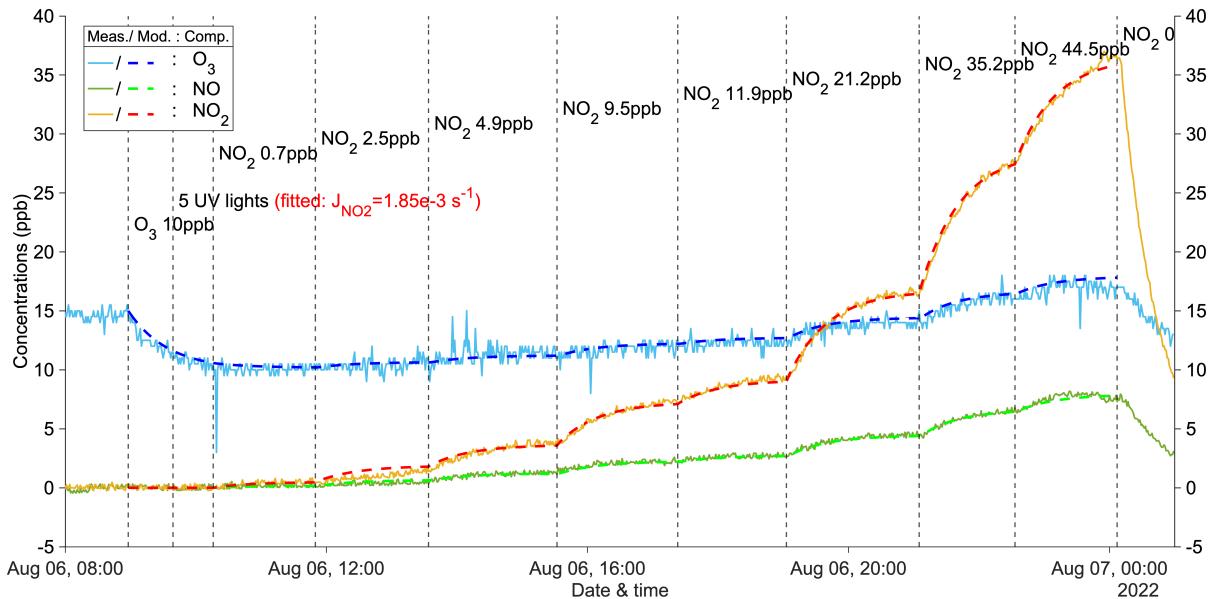
### 3 Results and discussions

#### 3.1 NO<sub>2</sub> photolysis rate determination

Figure 1 illustrates an example of a zero-VOC experiment, while Table A1 provides a comprehensive list of all zero-VOC experiments conducted. Using the box model, NO<sub>2</sub> photolysis rates ( $J_{NO_2}$ ) were determined by varying the  $J_{NO_2}$  parameter in the model until the simulated O<sub>3</sub> and NO<sub>x</sub> values agreed with the observations in the zero-VOC experiments (Fig. 1 and A2–A5).

With  $J_{NO_2} = 1.85 \times 10^{-3} \text{ s}^{-1}$  with 5 UV lights, the modeled gas concentrations agreed extremely well with measured values (Fig. 1). Similar agreement was observed for different inputs of O<sub>3</sub> and NO<sub>2</sub> (Fig. A2 and A3), indicating the robustness of both the model itself and the fitted  $J_{NO_2}$ . Furthermore, employing the same procedure, the photolysis rates with 3 and 1 UV lights were determined to be  $1.15 \times 10^{-3} \text{ s}^{-1}$  and  $0.35 \times 10^{-3} \text{ s}^{-1}$ , respectively (Fig. A4 and A5). The values for  $J_{NO_2}$  could

also be computed from the observed steady-state and input concentrations of NO<sub>x</sub>/O<sub>3</sub> for each condition (details see Table A1).

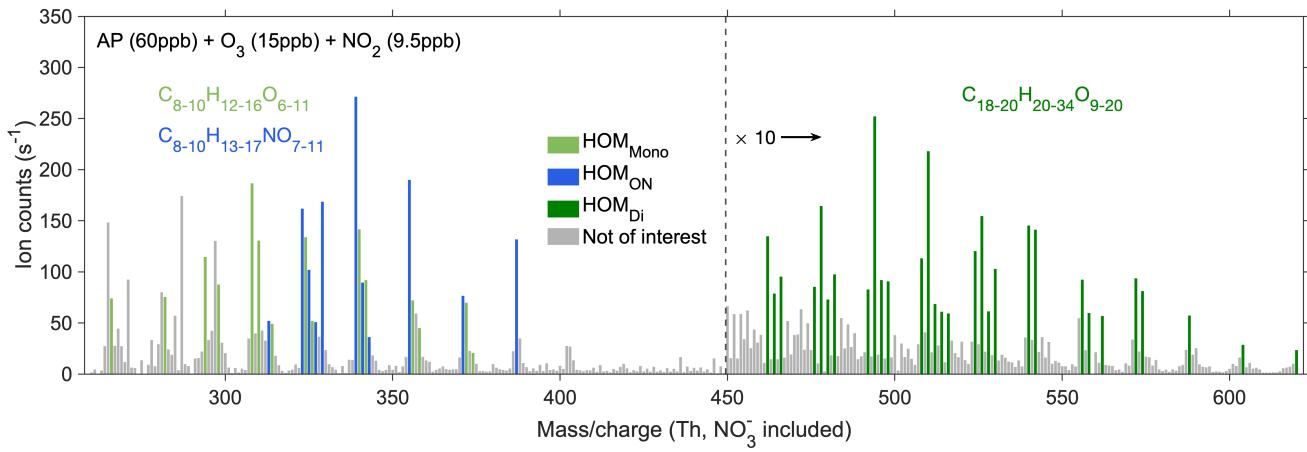


**Figure 1.** A zero-VOC experiment (Z1) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown in solid and dashed lines respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation. Note that the operation labels show input information (input NO<sub>2</sub> = measured NO<sub>2</sub> + NO).

#### 3.2 HOM determination

A steady-state spectrum (experiment no. 2) obtained from the NO<sub>3</sub>-CIMS (Fig. 2) illustrates the identified closed-shell HOM products, including HOM<sub>Mono</sub> (light green), HOM<sub>ON</sub> (blue), and HOM<sub>Di</sub> (dark green). Table 2 provides the formulas of all

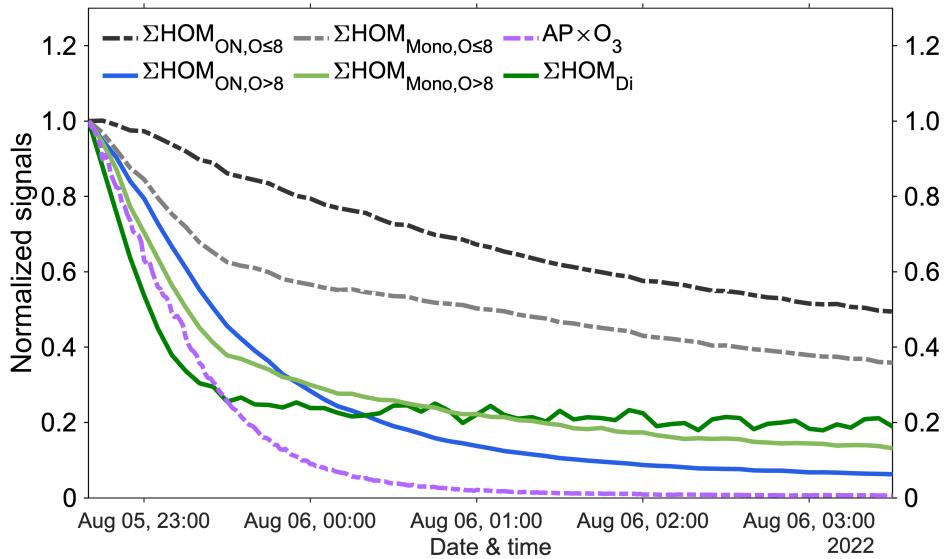
the selected HOM species. Figure A6 displays the steady-state spectra of all stages, with the corresponding input information described in Fig. 4a. As expected, with the injection of more  $\text{NO}_2$ , the signals of  $\text{HOM}_{\text{ON}}$  increased, while those of  $\text{HOM}_{\text{Mono}}$  and  $\text{HOM}_{\text{Di}}$  decreased considerably (stage (2) – (5) in Fig. A6). This observation is consistent with the dominance of the  $\text{RO}_2 + \text{NO}$  reaction over the  $\text{RO}_2$  cross-reactions at a few ppb of  $\text{NO}_x$  (Yan et al., 2016, 2020). After the addition of more  $\alpha$ -pinene, all signals showed a noticeable rise (stage (6) – (7) in Fig. A6) due to the increased supply of  $\text{RO}_2$  species.



**Figure 2.** The steady-state spectrum (15 min average) at the stage (7) of experiment no. 2 from the  $\text{NO}_3$ -CIMS. The spectrum was corrected by subtracting the corresponding background signals. Light green bars show  $\text{HOM}_{\text{Mono}}$ , dark green ones show  $\text{HOM}_{\text{Di}}$ , blue ones show  $\text{HOM}_{\text{ON}}$  while grey ones show peaks not of interest. The peaks not of interest either exhibited relatively low signals, represented radicals, contained too small a number of carbon/oxygen atoms, or had uncertain mass-to-charge ratios. The peaks larger than 450 Th are multiplied by 10.

**Table 2.** Identified HOM closed-shell species based on the experiment no. 2. The reagent ion  $\text{NO}_3^-$  is excluded.

Monomers	Dimers	
$\text{C}_8\text{H}_{12}\text{O}_x$ ( $x=6-9$ )	$\text{C}_{18}\text{H}_{22}\text{O}_x$ ( $x=17-20$ )	$\text{C}_{19}\text{H}_{32}\text{O}_x$ ( $x=10-13$ )
$\text{C}_{10}\text{H}_{14}\text{O}_x$ ( $x=7-11$ )	$\text{C}_{18}\text{H}_{24}\text{O}_x$ ( $x=12,14,16,17$ )	$\text{C}_{20}\text{H}_{20}\text{O}_{15}$
$\text{C}_{10}\text{H}_{16}\text{O}_x$ ( $x=6-11$ )	$\text{C}_{18}\text{H}_{26}\text{O}_x$ ( $x=11-14$ )	$\text{C}_{20}\text{H}_{30}\text{O}_x$ ( $x=10-18$ )
Organic nitrates		$\text{C}_{18}\text{H}_{26}\text{O}_x$ ( $x=11-14$ )
$\text{C}_8\text{H}_{13}\text{NO}_x$ ( $x=8,9$ )	$\text{C}_{20}\text{H}_{32}\text{O}_x$ ( $x=9,11-15$ )	
$\text{C}_9\text{H}_{15}\text{NO}_x$ ( $x=8,9$ )	$\text{C}_{18}\text{H}_{30}\text{O}_{13}$	$\text{C}_{20}\text{H}_{34}\text{O}_x$ ( $x=11,12$ )
$\text{C}_{10}\text{H}_{15}\text{NO}_x$ ( $x=7-11$ )	$\text{C}_{19}\text{H}_{26}\text{O}_x$ ( $x=10-19$ )	$\text{C}_{19}\text{H}_{28}\text{O}_x$ ( $x=9-16$ )
$\text{C}_{10}\text{H}_{17}\text{NO}_x$ ( $x=7,8$ )	$\text{C}_{19}\text{H}_{30}\text{O}_x$ ( $x=9-13$ )	



**Figure 3.** The normalized signal decays after experiment no. 2 ended. All signals were normalized first to primary ions and then to the signals at the moment when experiment no. 2 ended. Dashed lines:  $\sum \text{HOM}_{\text{ON}, \text{O} \leq 8}$  (sum of HOM<sub>ON</sub> species with less than 9 oxygen atoms, in black),  $\sum \text{HOM}_{\text{Mono}, \text{O} \leq 8}$  (in grey), and  $\text{AP} \times \text{O}_3$  (multiplication of  $\alpha$ -pinene and  $\text{O}_3$  concentrations, in purple). Solid lines:  $\sum \text{HOM}_{\text{ON}, \text{O} > 8}$  (in blue),  $\sum \text{HOM}_{\text{Mono}, \text{O} > 8}$  (in light green), and  $\sum \text{HOM}_{\text{Di}}$  (in dark green).

Our experiments showed that HOM organic nitrates with fewer than 9 oxygen atoms ( $\text{HOM}_{\text{ON}, \text{O} \leq 8}$ ) exhibited the slowest 205 decay at the end of the experiment (Fig. 3). This can be explained by the evaporation of these semi-volatile  $\text{HOM}_{\text{ON}, \text{O} \leq 8}$  compounds from chamber walls even after the gas phase production had stopped. Additionally, non-nitrate HOM monomers with fewer than 9 oxygen atoms ( $\text{HOM}_{\text{Mono}, \text{O} \leq 8}$ ) also showed an overall slow decay (Fig. 3). As a result, prior to subsequent experiments, the concentration levels of  $\text{HOM}_{\text{ON}, \text{O} \leq 8}$  and  $\text{HOM}_{\text{Mono}, \text{O} \leq 8}$  remained high, obscuring actual concentration changes 210 following the addition of  $\text{NO}_x$ . As such, the ratios of the different compound groups are impacted by memory effects from previous experiments and wall interactions, and would therefore be poor real-time indicators of the  $\text{O}_3$  formation sensitivity. In the atmosphere, the long-lived oxygenated VOC (OVOC) could linger for hours to days, and the ratios of e.g., nitrates to non-nitrates would be greatly influenced by various loss terms (photolysis, oxidation, condensation, hydrolysis, etc) that may differ dramatically between different compound groups. In contrast,  $\text{HOM}_{\text{ON}, \text{O} > 8}$ ,  $\text{HOM}_{\text{Mono}, \text{O} > 8}$  and  $\text{HOM}_{\text{Di}}$  experienced fast 215 decays due to their very low volatilities, corresponding to lifetimes well below one hour when accounting for the fact that HOM formation (roughly estimated by the dashed purple line in Fig. 3) continued while the precursors were being flushed out (the higher normalized background level of  $\text{HOM}_{\text{Di}}$  is due to their lower initial concentrations, but this stable level allowed for accurate background subtraction in each experiment). Therefore, we excluded the less oxygenated and more volatile HOM species, specifically  $\text{HOM}_{\text{ON}, \text{O} \leq 8}$  and  $\text{HOM}_{\text{Mono}, \text{O} \leq 8}$  to obtain parameters with short enough lifetimes to truly reflect the on-going chemistry in real-time. The remaining differences in decay rates in Fig. 3 may reflect slight differences in loss rates

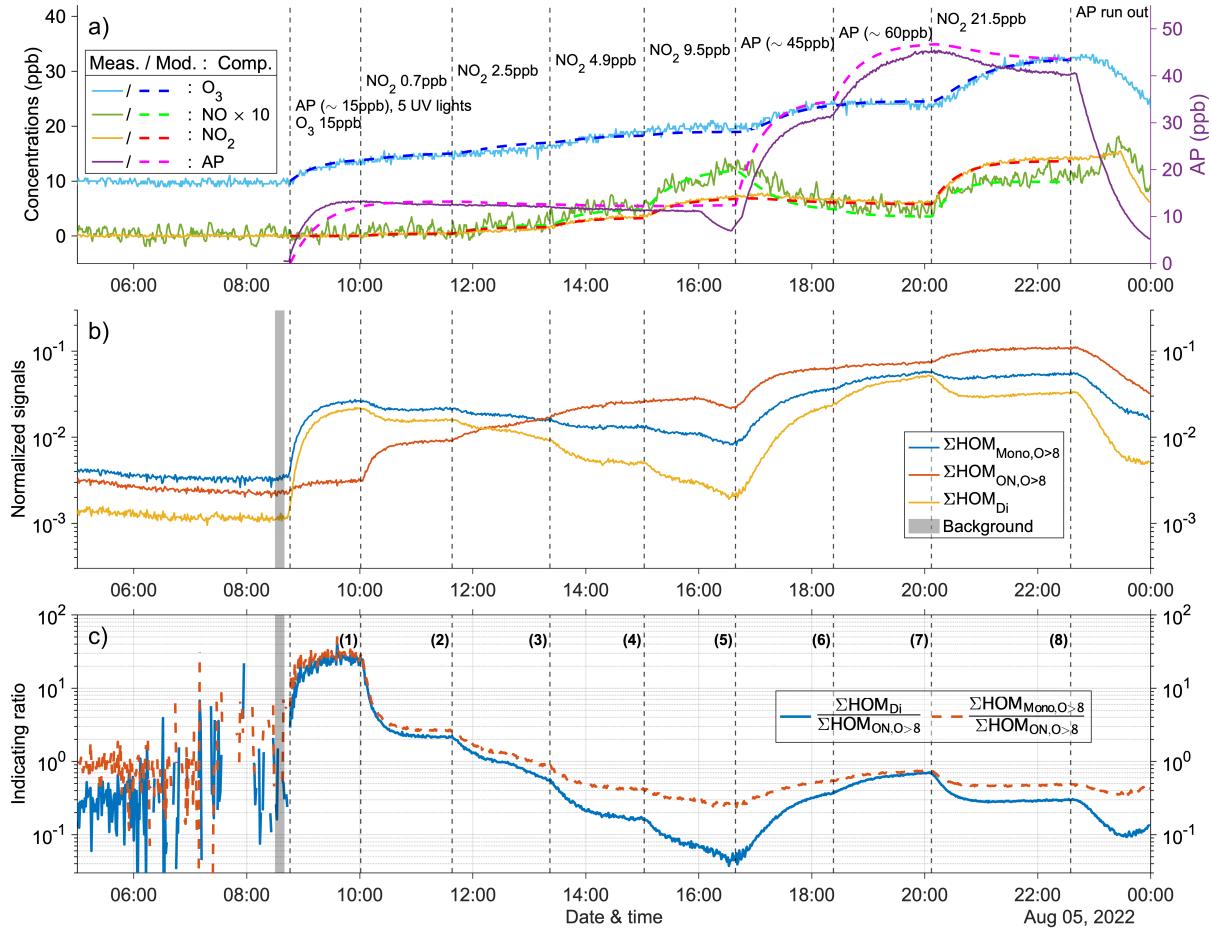
220 also for the highly oxygenated species, but also the yields of the different compounds groups are a function of e.g., reaction rates. For example, dimer formation requires  $\text{RO}_2 + \text{RO}_2$  reactions, which are more favored at higher VOC oxidation rates. Consequently, the indicating ratio used in this study is defined as the ratio between the sum of  $\text{HOM}_{\text{Di}}$  or  $\text{HOM}_{\text{Mono},\text{O}>8}$  and the sum of  $\text{HOM}_{\text{ON},\text{O}>8}$ , represented as  $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON},\text{O}>8}}$  (Indicating Ratio 1, abbreviated as IR1) or  $\frac{\sum \text{HOM}_{\text{Mono},\text{O}>8}}{\sum \text{HOM}_{\text{ON},\text{O}>8}}$  (IR2). We emphasize that these ratios will be specific to our experiments, as yields for the different groups will vary for different VOC 225 and conditions. Nevertheless, the use of the most oxidized, i.e., the least volatile, HOM is critical in this context, as their loss rates are very similar as they all behave as if they were non-volatile, thus condensing irreversibly to surfaces as the main sink term.

### 3.3 Indicating ratios

In this section, we detail the conducted experiments. Experiment no. 2, is depicted in Fig. 4, while the other experiments are 230 shown in Fig. A7–A12. It is worth noting that our experiments did not result in notable particle formation, and condensation to walls was always the dominant loss term for HOM. If aerosol formation had been significant, as has been observed in our chamber at higher oxidation rates (Zhao et al., 2023a), HOM would first increase due to fast formation, and then decrease due to condensation sink. Variation of  $\text{NO}_2$  and  $\alpha$ -pinene input concentrations lead to changes in both indicating ratios (IR1 and 235 IR2) that correspond with changes in  $\text{O}_3$  concentrations (Fig. 4), suggesting a possible sensitivity of  $\text{O}_3$  formation. From stage (1) to (5), injection of more  $\text{NO}_2$  led to increased formation of  $\text{HOM}_{\text{ON},\text{O}>8}$ , while production of  $\text{HOM}_{\text{Di}}$  and  $\text{HOM}_{\text{Mono},\text{O}>8}$  was suppressed. As a result, the indicating ratios decreased. Additionally, the concentration of  $\text{O}_3$  increased during this period, but the rate of increase decreased with higher  $\text{NO}_2$  inputs. This trend suggests a gradual shift from a  $\text{NO}_x$ -limited regime to a more VOC-limited regime in the system. For example, during stage (5) with 9.5 ppb  $\text{NO}_2$ , the  $\text{O}_3$  concentration remained 240 relatively constant. This observation indicates the system may have shifted to the VOC-limited regime. Next, when additional  $\alpha$ -pinene was introduced ( $\sim 45$  ppb during stage (6)), a significant increase in  $\text{O}_3$  concentration was again observed, consistent with the system being in the VOC-limited regime during stage (5). However, after injection of  $\sim 60$  ppb  $\alpha$ -pinene during stage 245 (7), the  $\text{O}_3$  concentration reached a plateau, indicating that the system had shifted back to the  $\text{NO}_x$ -limited regime. Moreover, during these two stages, the indicating ratios experienced a substantial increase. In the last stage (8), when additional  $\text{NO}_2$  was injected to reach 21.5 ppb, while input  $\alpha$ -pinene concentration remained unchanged, the  $\text{O}_3$  concentration increased again, and the indicating ratios decreased noticeably, confirming that the previous stage was  $\text{NO}_x$ -limited. However, it should be noted that the system in this stage might not yet be VOC-limited.

Other experiments with 5 UV lights (Fig. A7, A8, and A11) also exhibited similar time series patterns as described above. One noticeable difference is that higher initial  $\text{O}_3$  concentrations resulted in less pronounced increases in  $\text{O}_3$  during the first 250 5 stages with varying  $\text{NO}_2$  levels. This can be attributed to the reaction  $\text{O}_3 + \text{NO}$  (R2) becoming faster, competing with the formation of  $\text{O}_3$  from the  $\text{RO}_2 + \text{NO}$  reaction (R4a) followed by  $\text{NO}_2$  photolysis (R1). As a result, there was a reduced  $\text{O}_3$  formation in the presence of higher initial  $\text{O}_3$  concentrations due to the scavenging of NO by existing  $\text{O}_3$ .

Compared to experiment no. 2 (Fig. 4), experiments with fewer lights (but similar initial concentrations; Fig. A9 and A10) provide insight into the effect of UV light intensities on  $\text{O}_3$  formation sensitivity and the consistency of the indicating ratios



**Figure 4.** Time series of experiment no. 2 with 15 ppb  $\alpha$ -pinene and 15 ppb  $O_3$  as initial inputs. 5 UV lights were on during all stages. Three subplots show the time series of different compounds: a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a. The bolded number in parentheses in subplot c corresponds to the number of stages. The steady-state mass spectra obtained by the  $NO_3$ -CIMS for each stage is shown in Fig. A6.

under different light intensities. More lights led to more pronounced increase in  $O_3$  concentrations at the same stages because  
255 additional  $O_3$  was produced from  $NO_2$  photolysis. On the other hand, fewer lights resulted in lower NO levels in the system since  $NO_2$  input was the sole source of  $NO_x$ . This led to reduced  $NO_2$  formation from  $RO_2 + NO$  reaction (R4a), and subsequently less  $O_3$  formation. This aspect is crucial in determining the  $O_3$  sensitivity. In this sense, the presence of fewer lights

implies that higher levels of  $\text{NO}_2$  input are required to ensure sufficient NO levels for reaching the VOC-limited regime. This can be confirmed by the stage where  $\sim 45$  ppb  $\alpha$ -pinene was injected (Fig. 4, A9, and A10). During this stage, the  $\text{O}_3$  concentration showed a significant increase with either 3 or 5 UV lights, indicating that the system had reached the VOC-limited regime in the previous stage. However, when only 1 UV light was used, the  $\text{O}_3$  concentration remained relatively constant, suggesting that the system with 1 light did not reach the VOC-limited regime. It is worth mentioning that the indicating ratios exhibited more significant changes when using more lights. This can be attributed to the fact that more lights result in increased production of  $\text{O}_3$  and NO, leading to more drastic changes in HOM distributions and thereby influencing the indicating ratios to a greater extent.

Using the box model described in section 2.3, the concentrations of  $\text{O}_3$  and its precursors were captured well both qualitatively and quantitatively (e.g., Fig. 4a). In general, simulated concentrations of  $\text{O}_3$  during the steady states differed from measured values by at most 10%, while differences for  $\text{NO}_x$  were even smaller. The largest discrepancy in concentrations ( $\sim 15\%$ ) was observed for  $\alpha$ -pinene, which can be attributed to the simplifications made in the model. Specifically, the OH concentration will be underestimated if the model did not accurately capture the yields of  $\text{HO}_2$ , which can be converted into OH via reactions with NO.

Overall, both indicating ratios are promising as indicators of  $\text{O}_3$  formation sensitivity. However, in all time series (Fig. 4c, A7c–A12c), IR1 ( $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON}, \text{O}>8}}$ ) exhibited more pronounced changes compared to IR2 ( $\frac{\sum \text{HOM}_{\text{Mono}, \text{O}>8}}{\sum \text{HOM}_{\text{ON}, \text{O}>8}}$ ) as we shifted the  $\text{O}_3$  formation regimes. This highlights that in the well-controlled chamber systems we investigated, IR1 may hold better potential for indicating  $\text{O}_3$  formation sensitivity in the absence of other perturbing factors. It can be explained by the fact that the nitrates are solely from  $\text{RO}_2 + \text{NO}$  and the dimers solely from  $\text{RO}_2 + \text{RO}_2$ . But HOM monomers can be from both of these reactions. On the other hand, in the real atmosphere, IR1 is expected to be much less robust, as discussed in more detail in section 3.5. The intensity of light (i.e.,  $\text{NO}_2$  photolysis rate) played a crucial role in determining  $\text{O}_3$  sensitivity regimes by controlling the amount of NO. Our box model successfully reproduced the measured values of  $\text{O}_3$  and its formation precursors, making it reasonable to extend the model to generate  $\text{O}_3$  isopleths under chamber conditions beyond those covered in our experiments. With these we can better elucidate how well the HOM ratios can function as  $\text{O}_3$  sensitivity indicators in this system.

### 3.4 Viability of the indicating ratios

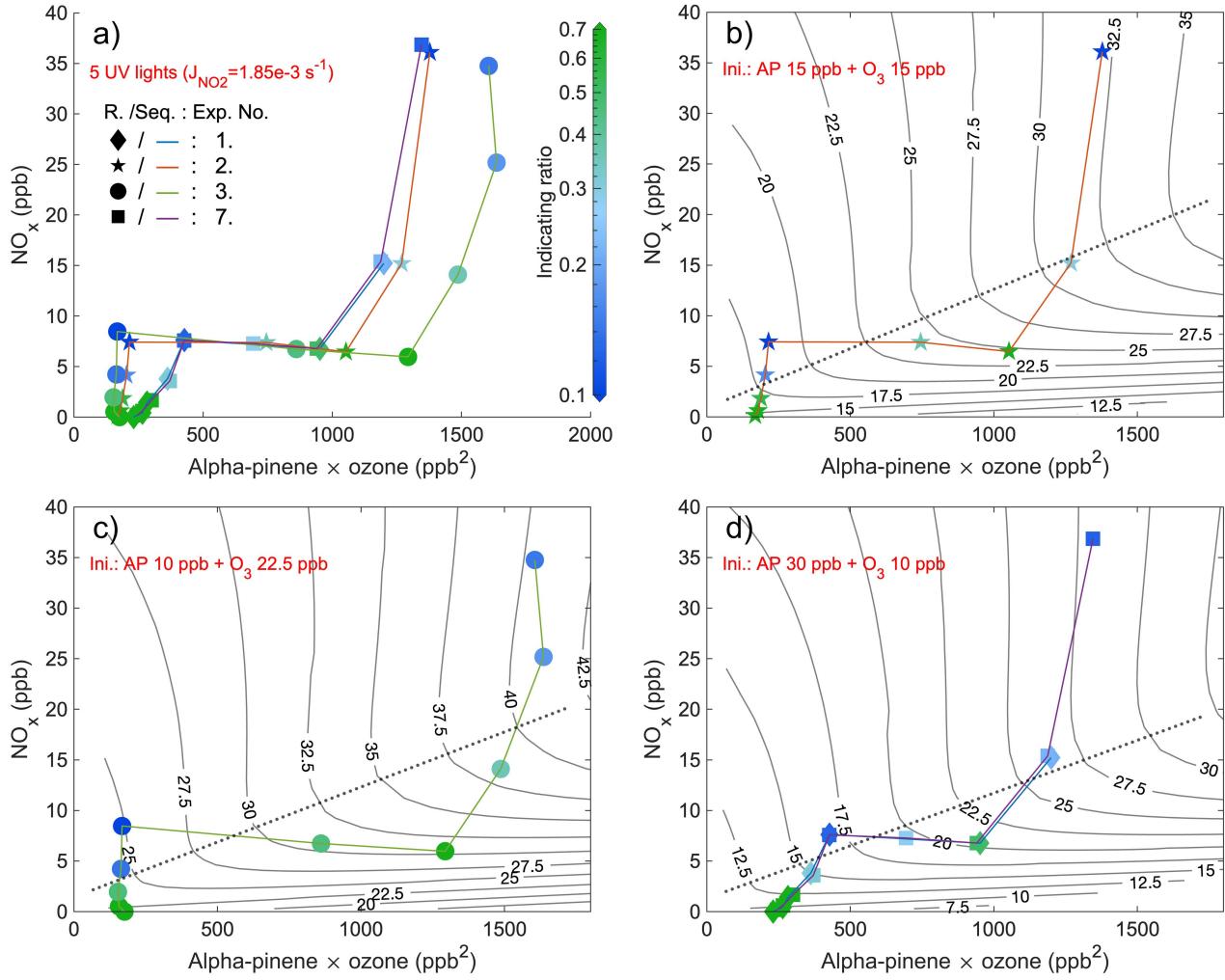
In order to validate the indicating ratio, we generated  $\text{O}_3$  isopleths by modeling various combinations of input  $\text{NO}_2$  and  $\alpha$ -pinene concentrations. The indicating ratios obtained from all steady state stages were scattered on the same coordinate system (IR1: Fig. 5–6 and IR2: Fig. A13–A14). The purpose of varying the injection rates of either  $\text{NO}_x$  or  $\alpha$ -pinene within each experiment (e.g., colored curves in Fig. 5) was to shift the system between the VOC-limited and  $\text{NO}_x$ -limited regimes. Unlike previous studies that used the concentration of VOC as the x-axis (Kiniosian, 1982; Chameides et al., 1992), in this study, the x-axis represented the product of the measured  $\alpha$ -pinene and  $\text{O}_3$  concentrations. This is because the product reflects the potential for  $\text{RO}_2$  formation, which reacts directly with NO to contribute to  $\text{O}_3$  accumulation (R1–R4a). In typical EKMA plots the oxidant is primarily thought to be OH, the concentration of which is independent of the VOC concentrations, thus the x-axis would be largely equivalent regardless of whether plotting VOC or VOC times oxidant. In our case, the VOC concentration

will directly influence the oxidant (i.e.,  $O_3$ ) concentration through chemical reactions, and therefore we chose to use the current x-axis. The ridge line of the EKMA curves was plotted for each experiment, represented by dotted lines in e.g., Fig. 5b–d. The reason for separating the b–d plots from each other is due to the differences in constant  $O_3$  inputs or  $NO_2$  photolysis rates in each experiment, resulting in distinct  $O_3$  isopleths. For the context of this work, we do not separate a transition regime where  $O_3$  formation is sensitive to both  $NO_x$  and VOC, but simply define the VOC- and  $NO_x$ -limited regimes based on the ridge line. Farther above the ridge line, the system is more VOC-limited, while farther below the line, the system is more  $NO_x$ -limited. It's notable that in the VOC-limited regime, instead of a reduction, the  $O_3$  concentration even increased slowly with the addition of  $NO_x$ , primarily due to the photolysis of the input  $NO_2$ .

Comparing experimental and model results, the steady state stages of all experiments with 5 UV lights exhibited a consistent pattern for the indicating ratios, allowing qualitative determination of  $O_3$  formation sensitivity (Fig. 5 and A13). Generally, the farther the steady state point is from the ridge line, the darker the coloring (either blue or green). Specifically, when the color is darker blue, it indicates a smaller value of the indicating ratios, suggesting a higher likelihood of the system being in the VOC-limited regime. Conversely, when the color is darker green, it signifies a higher value of the ratios, indicating a higher likelihood of the system being in the  $NO_x$ -limited regime. It is worth noting that experiment no. 7 was essentially a duplicate of experiment no. 1, but with additional stages. When comparing the indicating ratios at stages with the same inputs, as shown in Fig. 5d and A13d, it becomes apparent that the values are highly consistent and closely aligned. Moreover, the background signals of  $HOM_{ON, O>8}$ ,  $HOM_{Mono, O>8}$  and  $HOM_{Di}$  increased substantially with experiments going on, from  $5 \times 10^{-4} - 2 \times 10^{-3}$  (Fig. A7b) to  $4 \times 10^{-3} - 2 \times 10^{-2}$  (Fig. A11b). However, the accumulating background did not have a significant impact on the indicating ratios, after the background subtraction (Fig. 5d and A13d). These highlight the remarkable reproducibility of the indicating ratios in our chamber experiments.

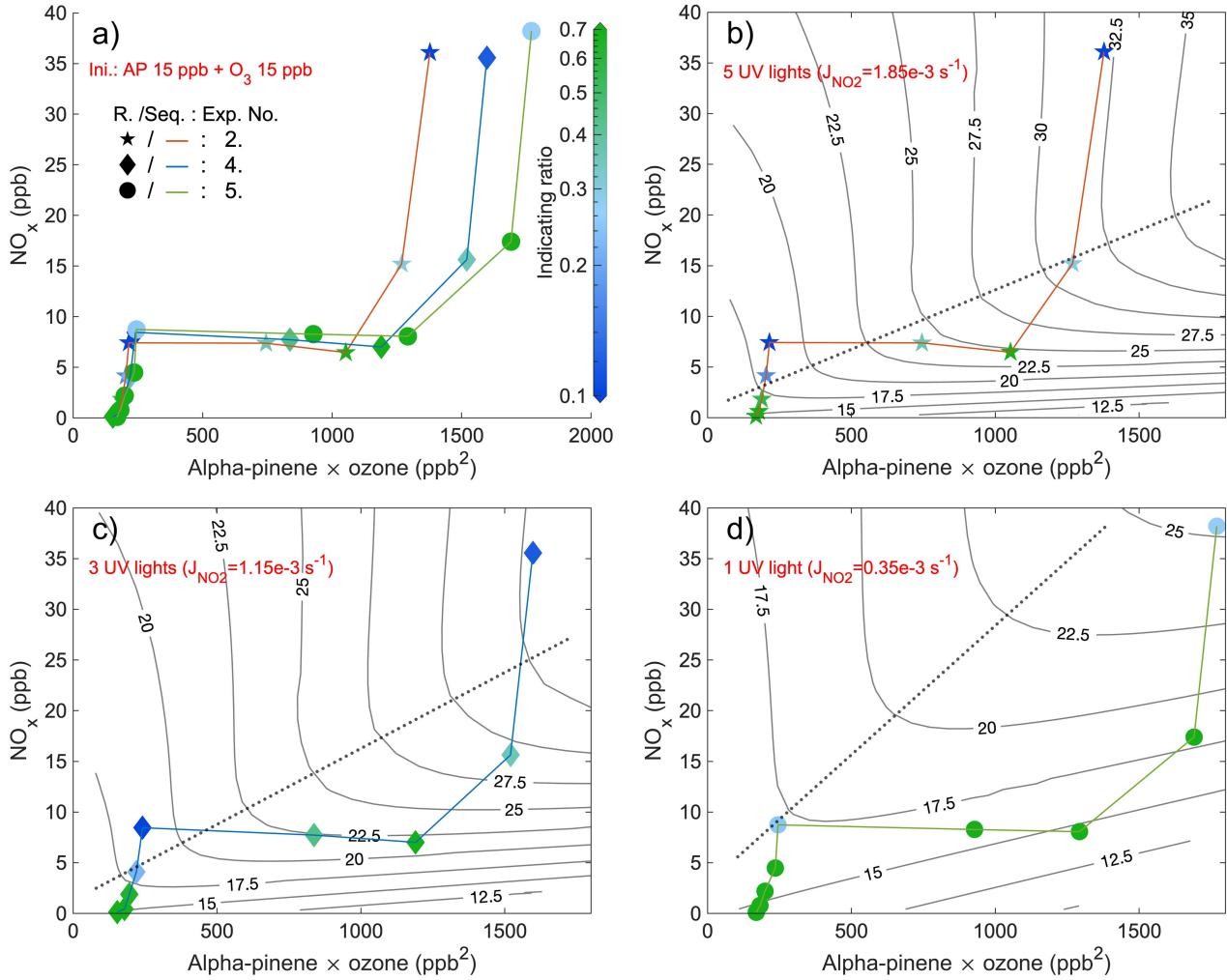
To investigate the impact of light intensities, a similar comparison was conducted for experiments with the same initial inputs using 5, 3, and 1 UV lights (Fig. 6 and A14). The observed changes in the pattern of the indicating ratios are in line with those observed in the 5 UV lights experiments described above. The most significant observation is that at lower UV light intensity, the ridge line shifted towards higher  $NO_x$  levels at the same potential for  $RO_2$  formation (i.e., the same value of x-axis) (Fig. 6b–d and A14b–d). This finding aligns with the time-series comparison presented in section 3.3, which indicates that at weaker UV intensity, higher input  $NO_2$  is required to generate NO levels sufficient to shift the system towards the VOC-limited regime. When comparing stages with the same conditions except different UV lights, we observed that generally the lower intensity of UV lights corresponded to higher values of the indicating ratios (Fig. 6 and A14), showing a higher likelihood of the system being in the  $NO_x$ -limited regime. This finding is in agreement with the shift of the ridge line. The correlation between UV intensities, the indicating ratios, and the position of the ridge line reinforces the relationship between the indicating ratios and  $O_3$  formation sensitivity. It suggests that in addition to the relative changes, the absolute values of the indicating ratios are also informative.

The conclusion can be drawn that the indicating ratios can qualitatively predict the  $O_3$  formation regimes (either VOC- or  $NO_x$ -limited). More specifically, based on modeled EKMA curves and steady state HOM ratios (Fig. 5, 6, A13, and A14),



**Figure 5.** Steady state IR1 ( $\frac{\sum \text{HOM}_{\text{DI}}}{\sum \text{HOM}_{\text{ON, O}} > 8}}$ ) of experiments from four days with 5 UV lights. X-axis is the multiplication of steady state  $\alpha$ -pinene and  $\text{O}_3$  concentrations, while y-axis is the steady state  $\text{NO}_x$ . The scatter points (exp. no. 1 (experiment number 1): diamond; exp. no. 2: star; exp. no. 3: round; exp. no. 7: square) are colored by values of IR1 (abbreviated as R. in the figure), and are connected by curves (exp. no. 1: blue; exp. no. 2: orange; exp. no. 3: green; exp. no. 7: purple) showing the sequence (Seq.) of experimental stages. Panel a combines stages of all four days, and the rest three subplots respectively show the stages of experiments with different initial (Ini.) inputs (exp. no. 1 and 7 are in the same panel d due to same initial inputs). EKMA curves (isopleth of  $\text{O}_3$  concentrations in ppb), simulated by the box model, are black solid lines, while dotted lines are corresponding ridge lines.

regardless of the light intensity, IR1/IR2 consistently indicate the VOC-limited regime when below 0.2/0.4, and the  $\text{NO}_x$ -limited regime when above 0.5/0.7.



**Figure 6.** Steady state IR1 ( $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON}, \text{O}>8}}$ ) of experiments from three days with 5, 3, and 1 UV lights, respectively. X-axis is the multiplication of steady state  $\alpha$ -pinene and  $\text{O}_3$  concentrations, while y-axis is the steady state  $\text{NO}_x$ . The scatter points (exp. no. 2 (experiment number 2): star; exp. no. 4: diamond; exp. no. 5: round) are colored by values of IR1 (abbreviated as R. in the figure), and are connected by curves (exp. no. 2: orange; exp. no. 4: blue; exp. no. 5: green) showing the sequence (Seq.) of experimental stages. Panel a) combines stages of all three days with the same initial (Ini.) inputs, and the rest three subplots respectively show the stages of experiments with different amount of UV lights. EKMA curves by the box model are in black lines and the dotted lines are corresponding ridge lines.

### 3.5 Implication and further improvements

Our chamber study (section 3.4) confirmed the significant role of the indicating ratios in determining  $\text{O}_3$  formation sensitivity 330 qualitatively. However, in the real atmosphere, the conditions will vary significantly more than in our simple system. Most importantly, the amount of different precursor VOC will be vastly greater, and in reactions with OH, the distribution of dif-

ferent types of RO<sub>2</sub> radicals will also be far more complex. As an example, in a rural setting there can be a wide variety of small VOC (C1–C4), isoprene (C5), aromatics (C6–C9) and monoterpenes (C10) that all produce significant amounts of RO<sub>2</sub>. Consequently, from these molecules, dimers can form with any carbon number between 2 and 20, while monomers can have 335 carbon numbers between 1 and 10. In addition, both VOC and oxidant concentrations as well as radiation and meteorological patterns will vary over time. This means that it will be difficult to find universal compounds to include in the HOM<sub>Di</sub>, HOM<sub>Mono</sub> and HOM<sub>ON</sub> groups used to calculate the indicating ratios for a given site and a given time. For example, it is likely that there will be few environments where C10-RO<sub>2</sub> from monoterpenes would be efficiently reacting with each other during daytime, meaning that the C20 dimers used here are unlikely to be usable. It remains to be seen whether the HOM<sub>Mono</sub>-to-HOM<sub>ON</sub> ratio 340 can be used for C10 compounds in areas with high monoterpene emissions. Nevertheless, conceptually the link between HOM formation pathways and O<sub>3</sub> formation should hold, and it may be possible to determine suitable compound groups for various sites. Our study focused exclusively on  $\alpha$ -pinene, but the intrinsic connection between the indicating ratios we proposed and O<sub>3</sub> formation (Fig. A1) is not limited to this specific VOC. Further laboratory and ambient studies are necessary to investigate 345 additional VOC of interest and expand our understanding of the indicating ratios' applicability and generalizability in predicting O<sub>3</sub> formation sensitivity under various atmospheric conditions. In addition, at the extremes, ranging from clear formation of dimeric species to complete lack of dimeric species with abundant organic HOM nitrates, this can be considered a strong qualitative indicator being in a NO<sub>x</sub>- or VOC-limited regime, respectively.

#### 4 Conclusions

Both O<sub>3</sub> and HOM are of significant interest, given their impacts from small-scale personal health to large-scale global climate. 350 Due to the intrinsic connection between the formation mechanisms of O<sub>3</sub> and HOM, we suggest new indicators, denoted as  $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON}, \text{O} > 8}}$  and  $\frac{\sum \text{HOM}_{\text{Mono}, \text{O} > 8}}{\sum \text{HOM}_{\text{ON}, \text{O} > 8}}$ , for determining O<sub>3</sub> formation regimes based on the distribution of HOM. One main improvement of using HOM-based indicating ratios compared to those suggested earlier would be the short lifetimes of HOM, which means that these new indicators would be real-time indicators of the formation regime. To assess the viability of the indicating ratios, a series of chamber experiments were carried out using a NO<sub>3</sub>-CIMS, a PTR-TOF, and O<sub>3</sub>/NO<sub>x</sub> monitors. 355 As expected, an increase in NO<sub>x</sub> inputs led to an increase in HOM<sub>ON</sub> and a decrease in HOM<sub>Di</sub>, HOM<sub>Mono</sub> and the indicating ratios. Conversely, an increase in  $\alpha$ -pinene resulted in a rise in the indicating ratios. Furthermore, when adding enough of one of the O<sub>3</sub> formation precursors (either NO<sub>x</sub> or  $\alpha$ -pinene), the rate of increase in O<sub>3</sub> concentration slowed down or even stopped. This indicates that the system was shifting to or had already reached the other limited regime.

With a box model, which closely reproduced the measured concentrations of O<sub>3</sub> and its precursors, O<sub>3</sub> isopleths were 360 obtained for different concentrations of NO<sub>x</sub> and  $\alpha$ -pinene. After drawing ridge lines of the isopleths, it was observed that the indicating ratios provide a qualitative prediction of the O<sub>3</sub> formation regimes: lower values of the ratios indicate a greater likelihood of the system being located in the VOC-limited regime, and vice versa. With less intense UV light ( $\lambda \approx 400$  nm), a higher amount of NO<sub>2</sub> was required to shift the system towards the VOC-limited regime. This can be attributed to a decrease in the formation of NO from NO<sub>2</sub> photolysis. Nevertheless, the absolute values of the indicating ratios exhibited a consistent

365 behavior across different intensities of UV light, suggesting that these absolute values are highly valuable for analyzing O<sub>3</sub> formation sensitivity.

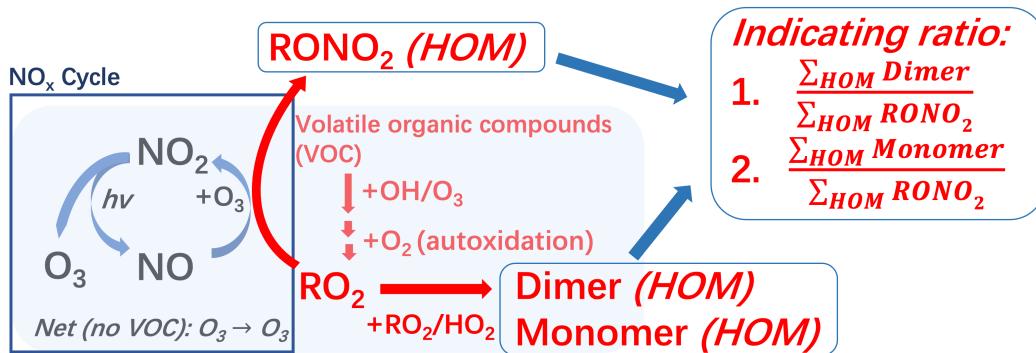
The main objective of this study was to evaluate the concept of using HOM distributions in indicating O<sub>3</sub> formation sensitivity. Based on our outcomes, we can conclude that the ratio of HOM dimers or non-nitrate monomers to HOM organic nitrates (i.e.,  $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON}, \text{O}>8}}$  or  $\frac{\sum \text{HOM}_{\text{Mono}, \text{O}>8}}{\sum \text{HOM}_{\text{ON}, \text{O}>8}}$ ) has the capability to indicate O<sub>3</sub> formation regimes (either VOC- or NO<sub>x</sub>-limited),  
370 in this simple system of monoterpene ozonolysis. An indicating ratio of this kind would aid in better control of O<sub>3</sub> pollution and have the potential to be incorporated as a useful parameter in global models for analyzing O<sub>3</sub> formation sensitivity under diverse environmental conditions.

Nevertheless, future studies will need to assess whether this approach is feasible to be applied in real-world conditions where the chemistry is far more complex. The variability of VOC precursors alone will greatly perturb the ideal situation  
375 observed in our chamber. Still, we posit that environments with high monoterpene emissions will also produce abundant C10-RO<sub>2</sub> concentrations, and the comparison of the highly oxygenated monomeric termination products (i.e., nitrates vs non-nitrates) can provide an indication of the relative RO<sub>2</sub> termination pathways. Further studies, both ambient observation as well as chamber experiments involving multiple VOC and oxidants, will be necessary to determine the potential of HOM-based indicators for O<sub>3</sub> formation.

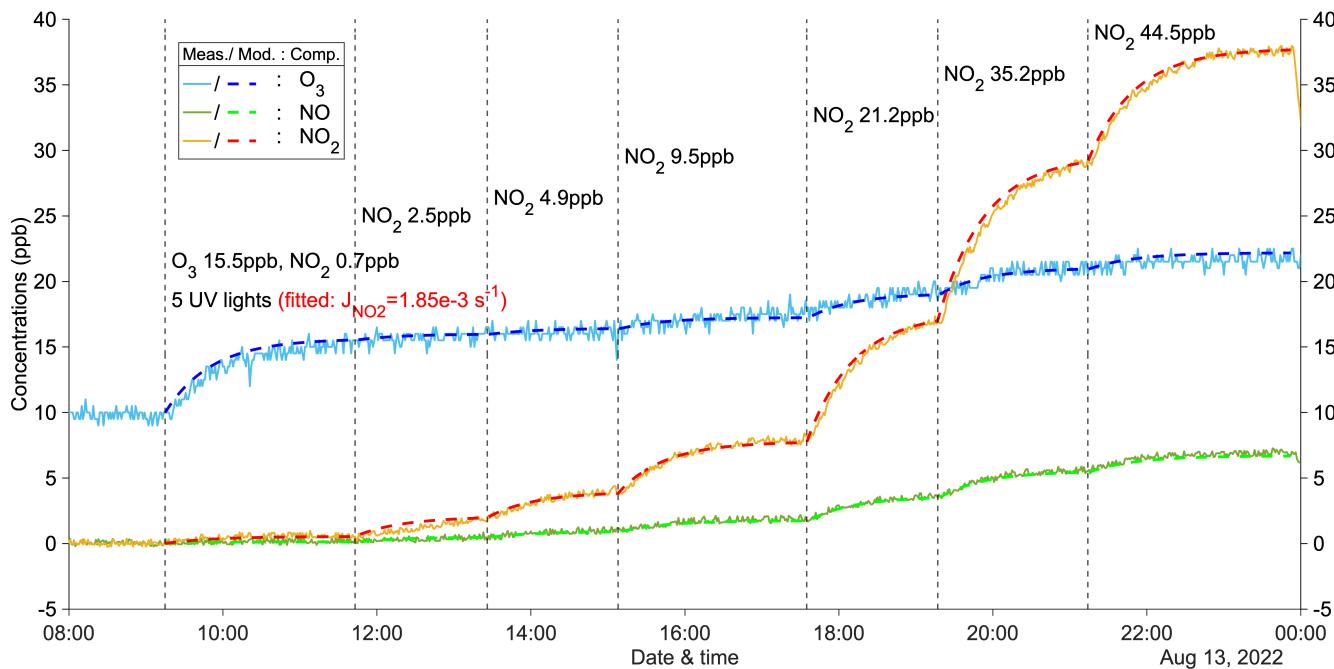
380 *Code availability.* Code is available upon request from the corresponding authors.

*Data availability.* Data is available upon request from the corresponding authors.

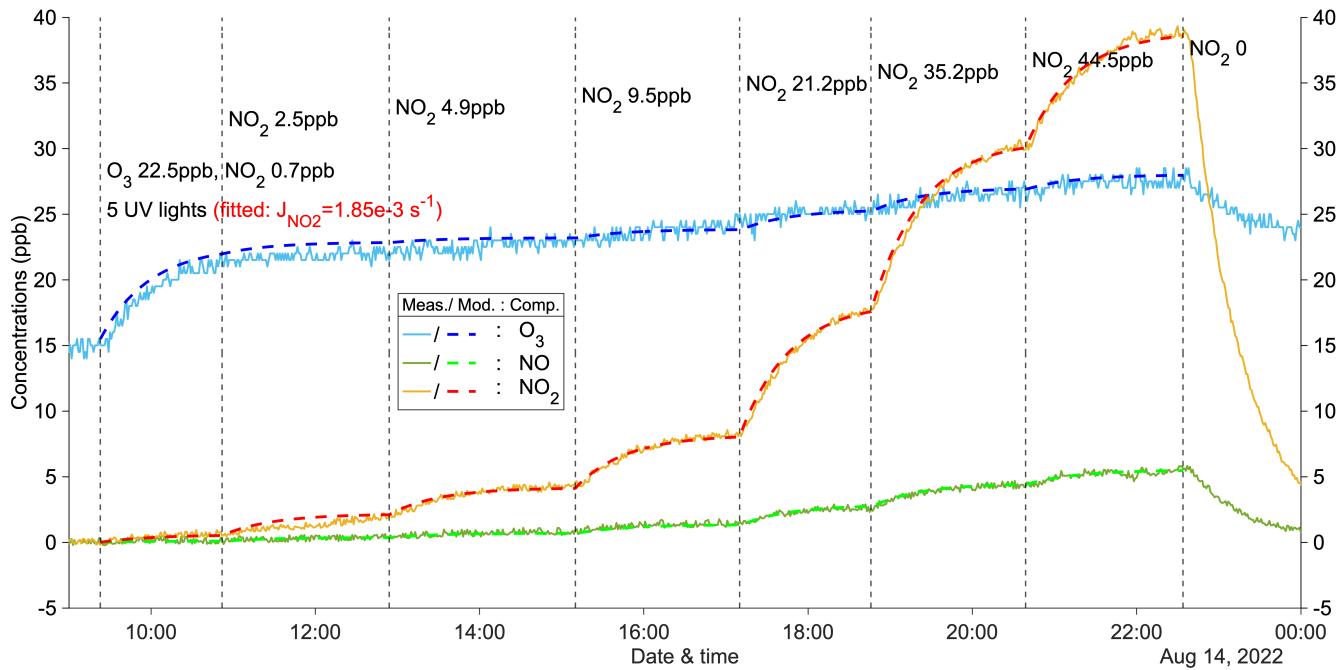
## Appendix A: Additional figures and tables



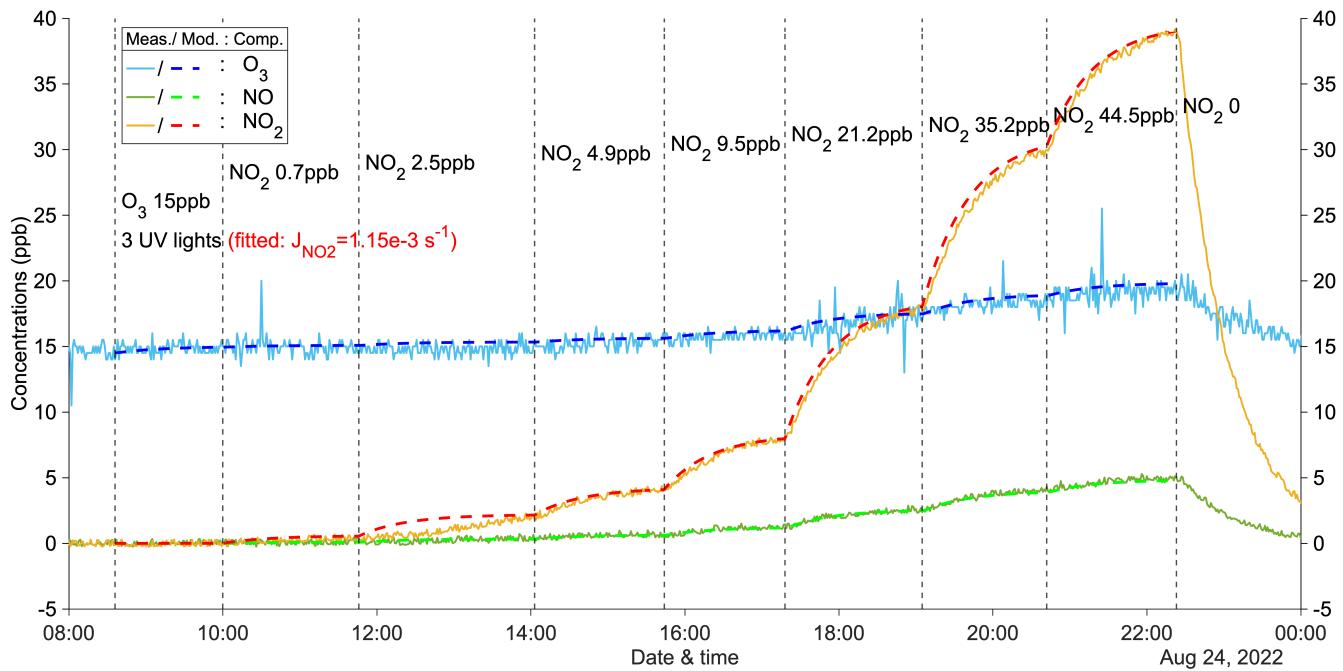
**Figure A1.** A sketch of the connection between HOM and O<sub>3</sub> formation. Based on the formation connection, two indicating ratios between the HOM species are defined. "RONO<sub>2</sub> (HOM)": nitrate-containing HOM monomers, "Dimer (HOM)": non-nitrate HOM dimers, "Monomer (HOM)": non-nitrate HOM monomers.



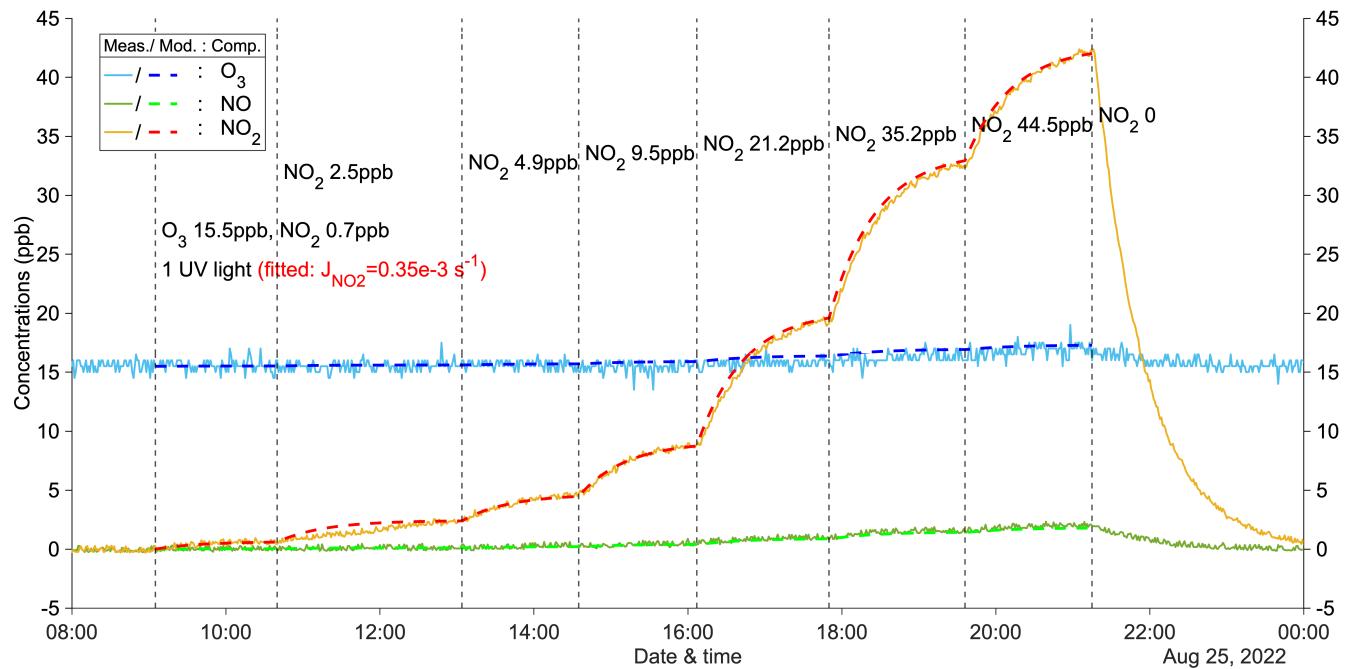
**Figure A2.** A zero-VOC experiment (Z2) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown in solid and dashed lines respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.



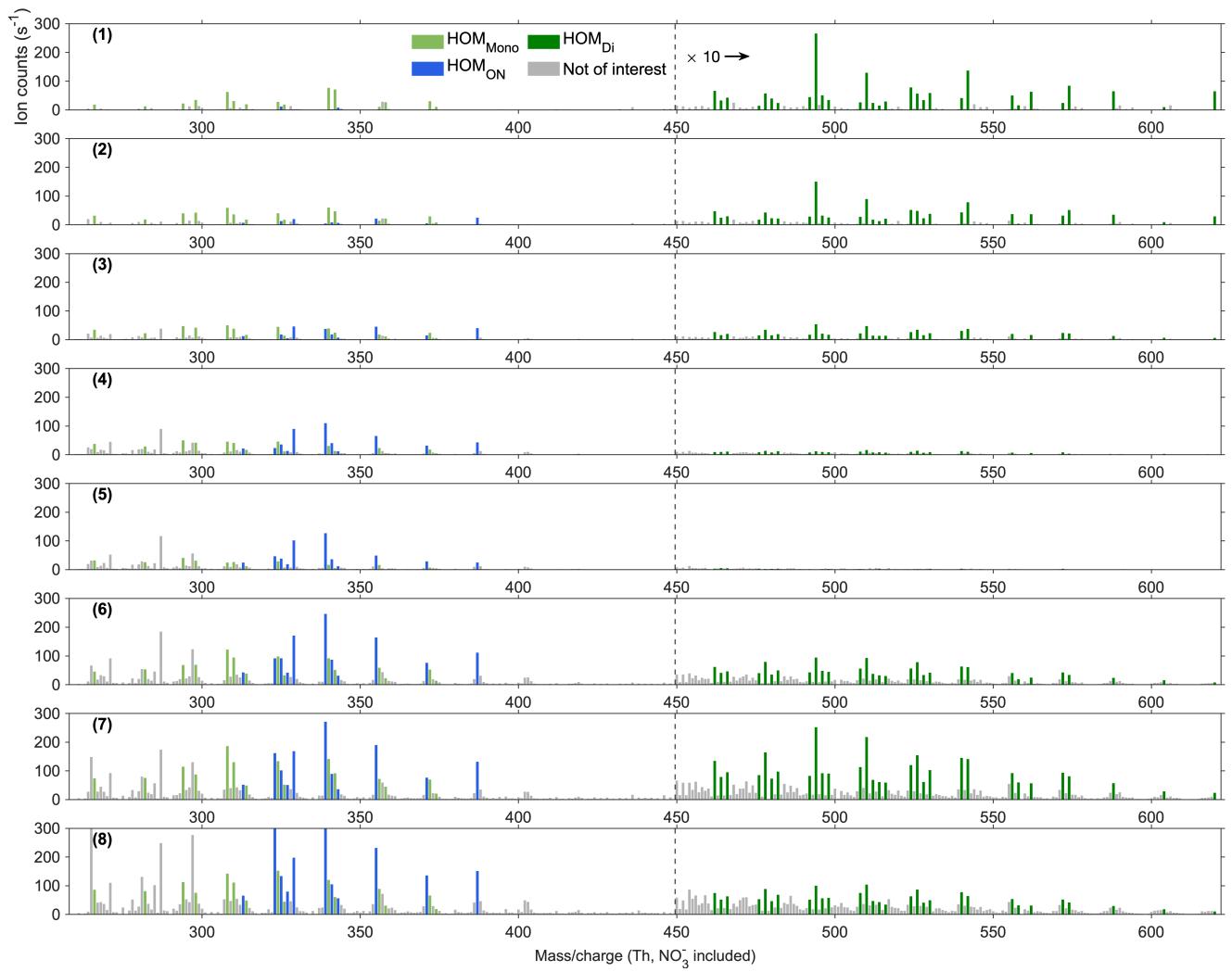
**Figure A3.** A zero-VOC experiment (Z3) for determining the photolysis rate of  $\text{NO}_2$ . Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown in solid and dashed lines respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.



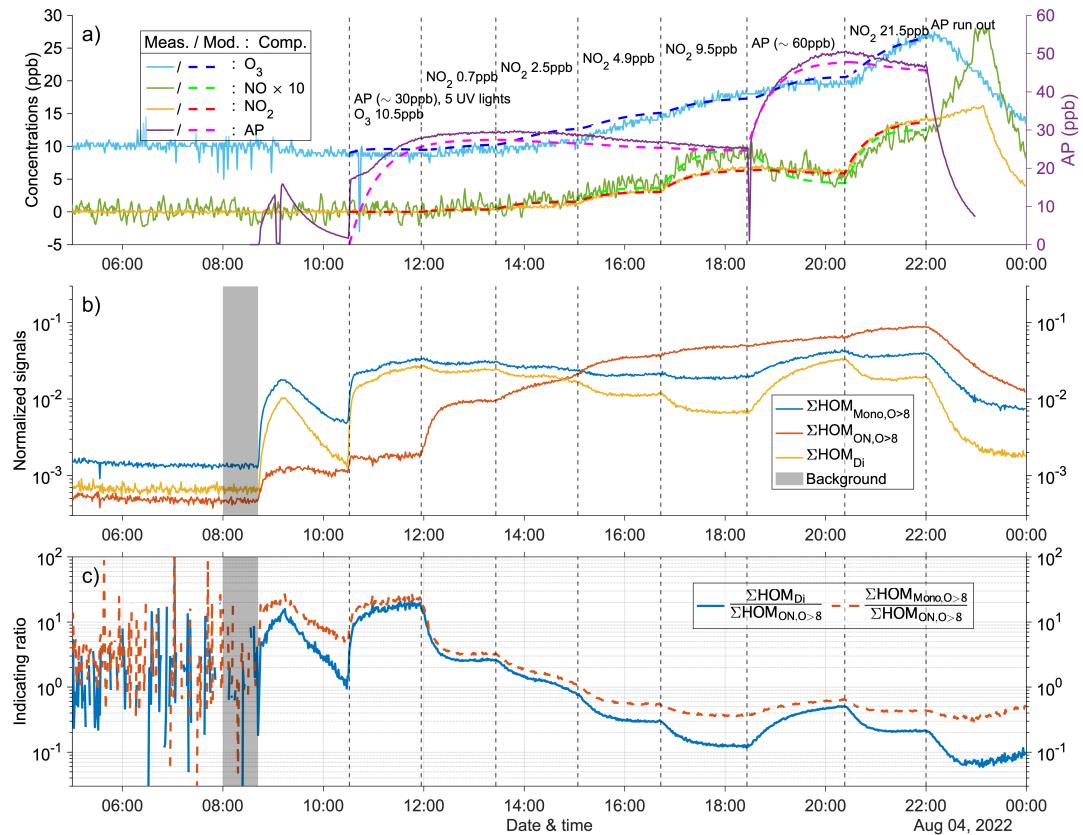
**Figure A4.** A zero-VOC experiment (Z4) for determining the photolysis rate of  $NO_2$ . Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown in solid and dashed lines respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.



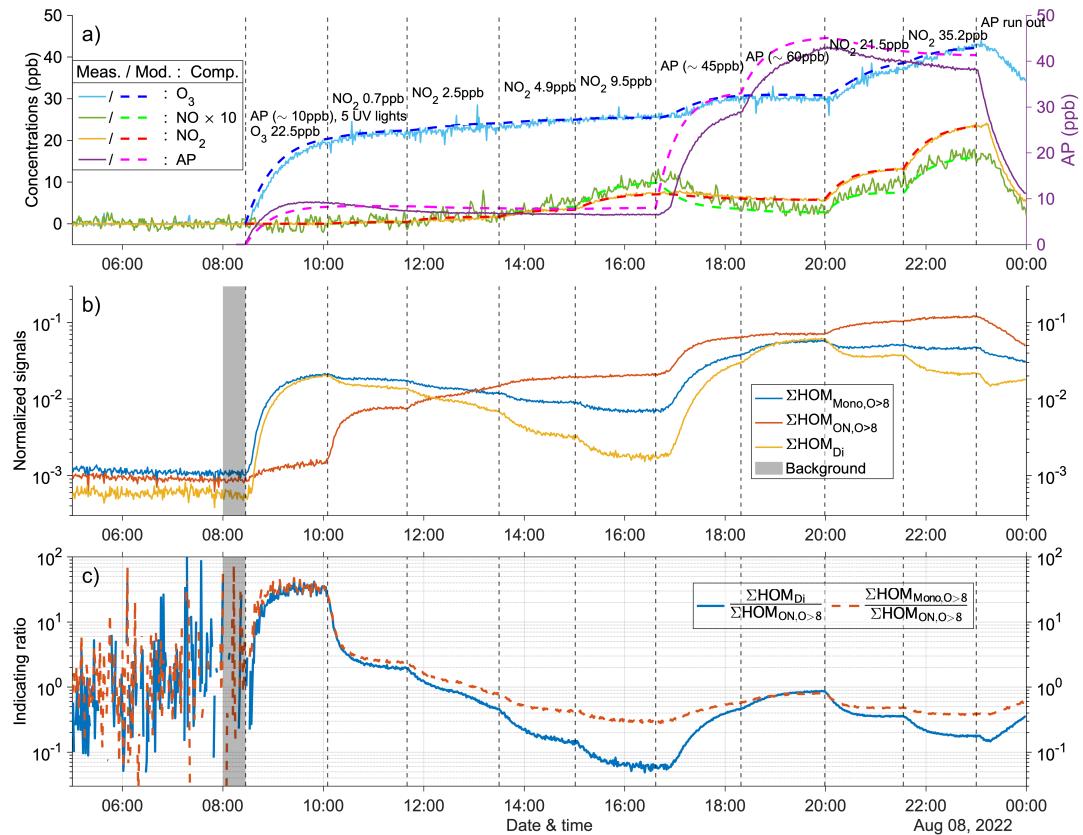
**Figure A5.** A zero-VOC experiment (Z5) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown in solid and dashed lines respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.



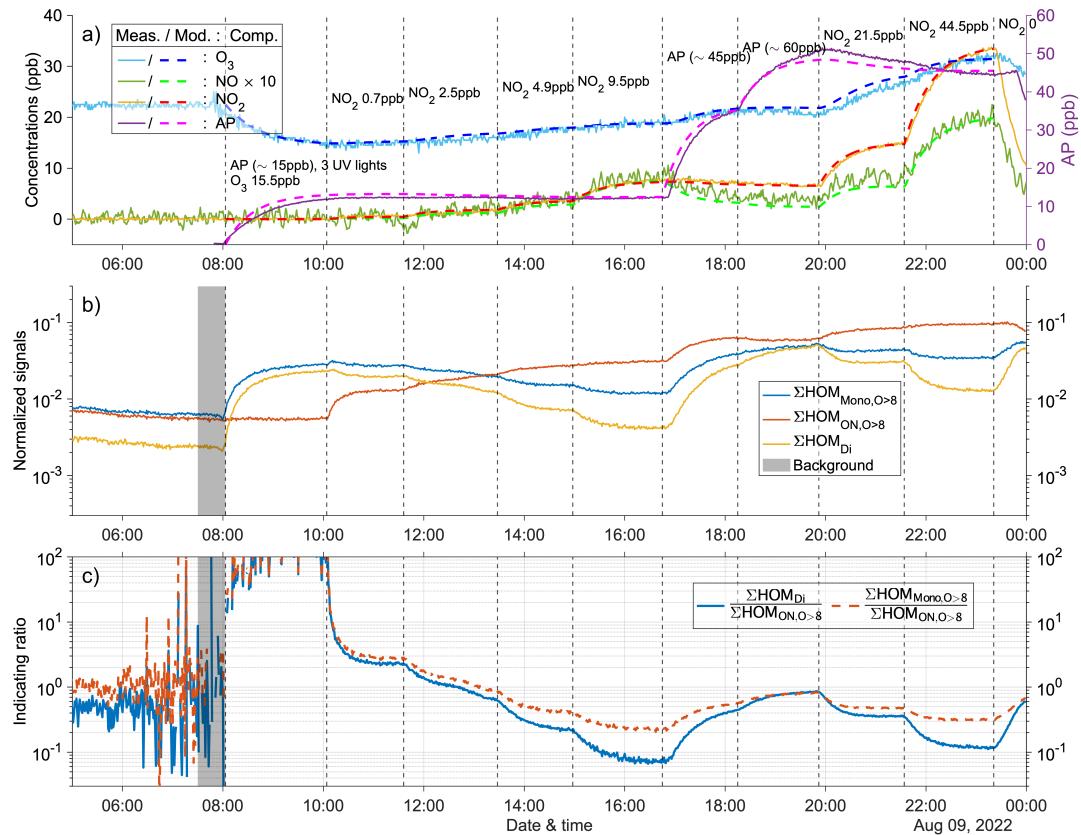
**Figure A6.** Steady-state spectra (15 min average) at experiment no. 2 from the  $\text{NO}_3$ -CIMS. All spectra were corrected by subtracting the corresponding background signals. The number at each row show the order of the stage, consistent with the time series in Fig. 4. Light green bars show  $\text{HOM}_{\text{Mono}}$ , dark green ones show  $\text{HOM}_{\text{Di}}$ , blue ones show  $\text{HOM}_{\text{ON}}$  while grey ones show peaks not of interest. The peaks larger than 450 Th are multiplied by 10.



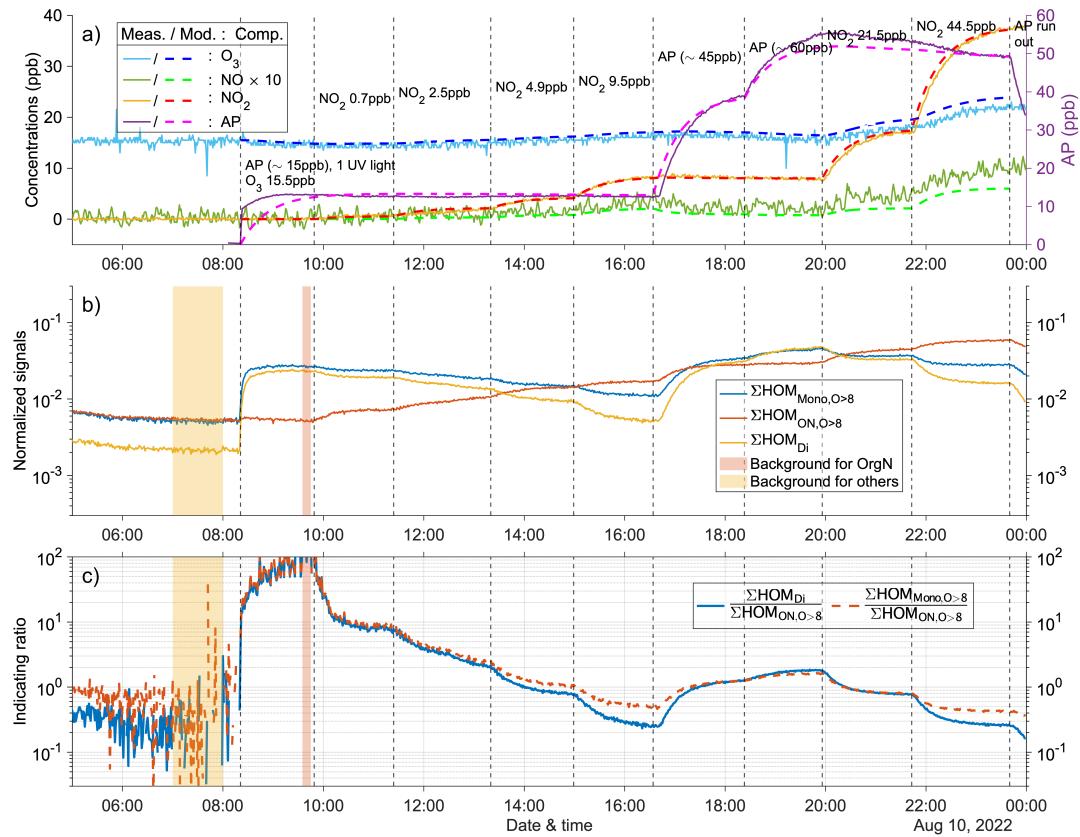
**Figure A7.** Time series of experiment no. 1 with 30 ppb  $\alpha$ -pinene and 10.5 ppb  $O_3$  as initial inputs. 5 UV lights were on during all stages. Three subplots show the time series of different compounds: a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a.



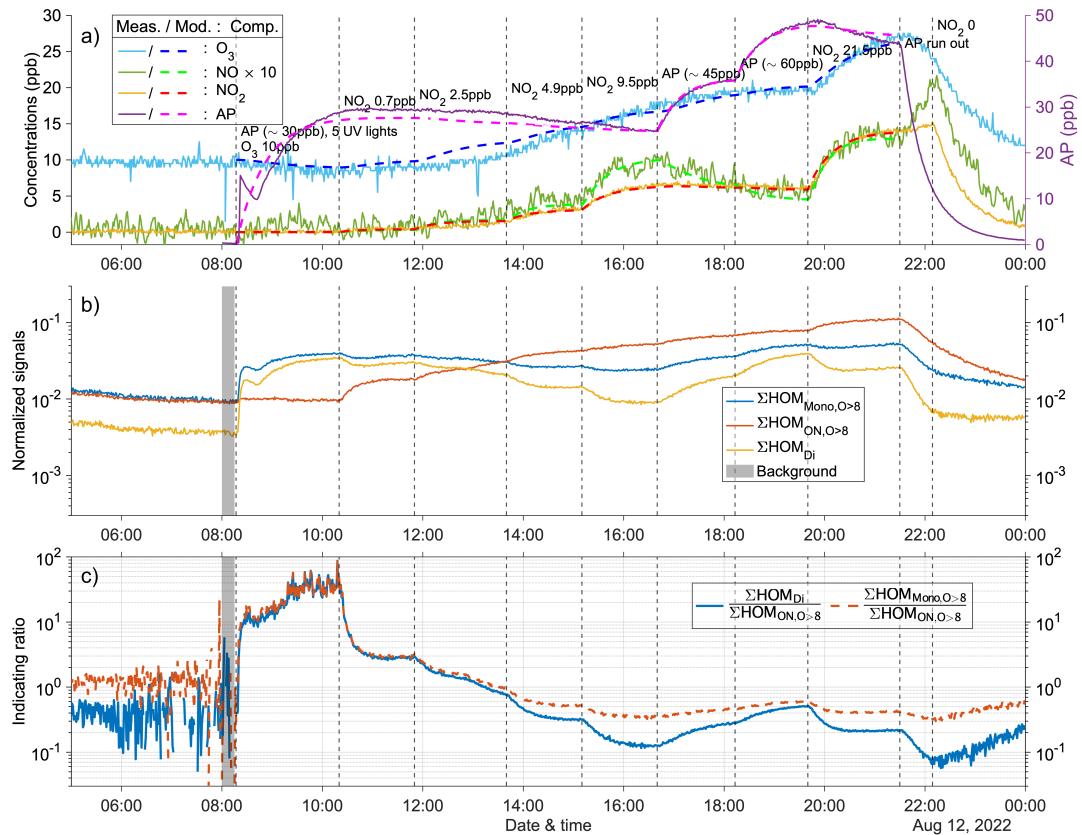
**Figure A8.** Time series of experiment no. 3 with 10 ppb  $\alpha$ -pinene and 22.5 ppb  $O_3$  as initial inputs. 5 UV lights were on during all stages. Three subplots show the time series of different compounds: a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a.



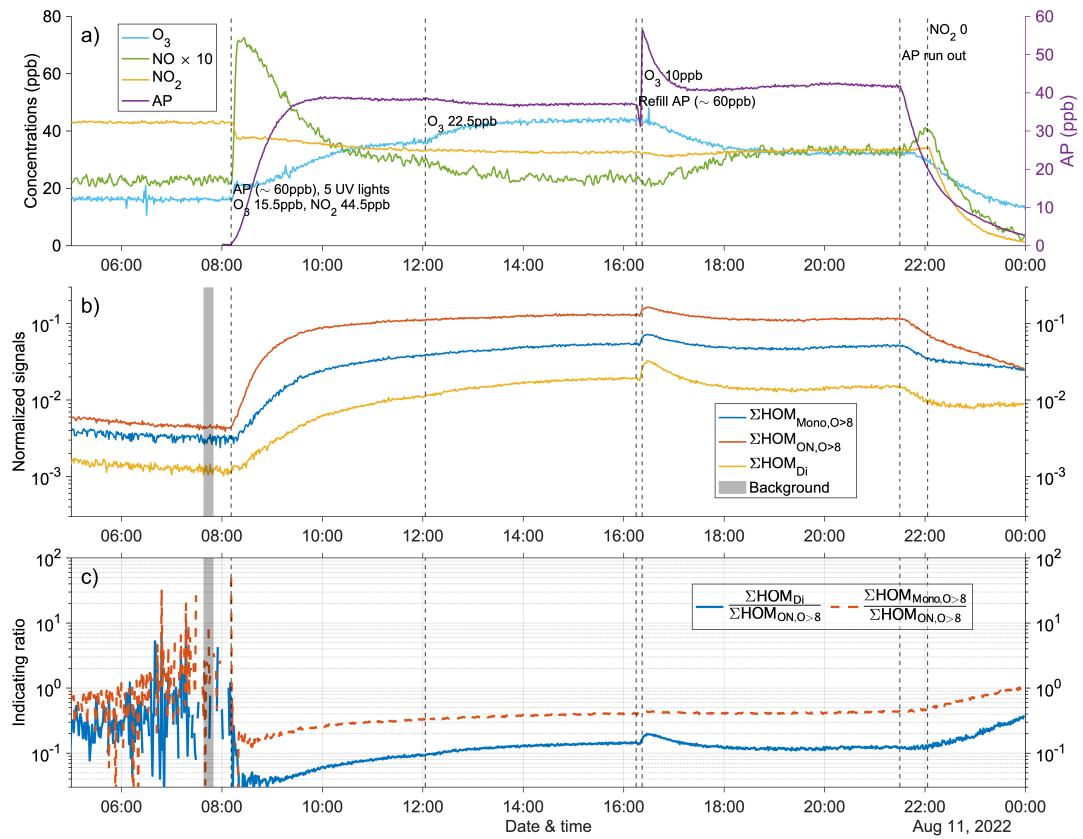
**Figure A9.** Time series of experiment no. 4 with 15 ppb  $\alpha$ -pinene and 15.5 ppb  $O_3$  as initial inputs. 3 UV lights were on during all stages. Three subplots show the time series of different compounds: a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a.



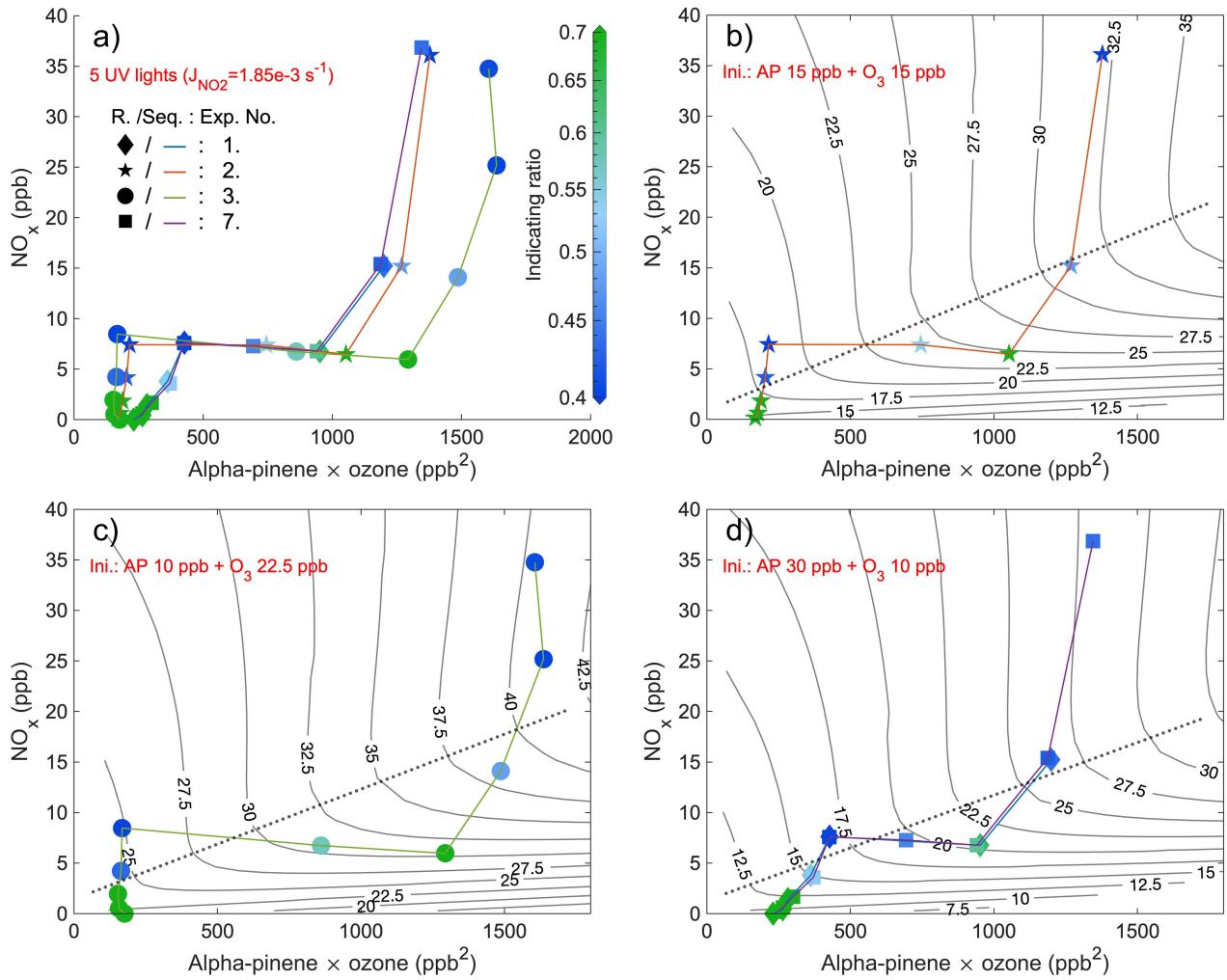
**Figure A10.** Time series of experiment no. 5 with 15 ppb  $\alpha$ -pinene and 15.5 ppb  $O_3$  as initial inputs. 1 UV light was on during all stages. Three subplots show the time series of different compounds: a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The yellow and red shaded area represent the time periods selected for background subtraction of dimers and organic nitrates, before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a.



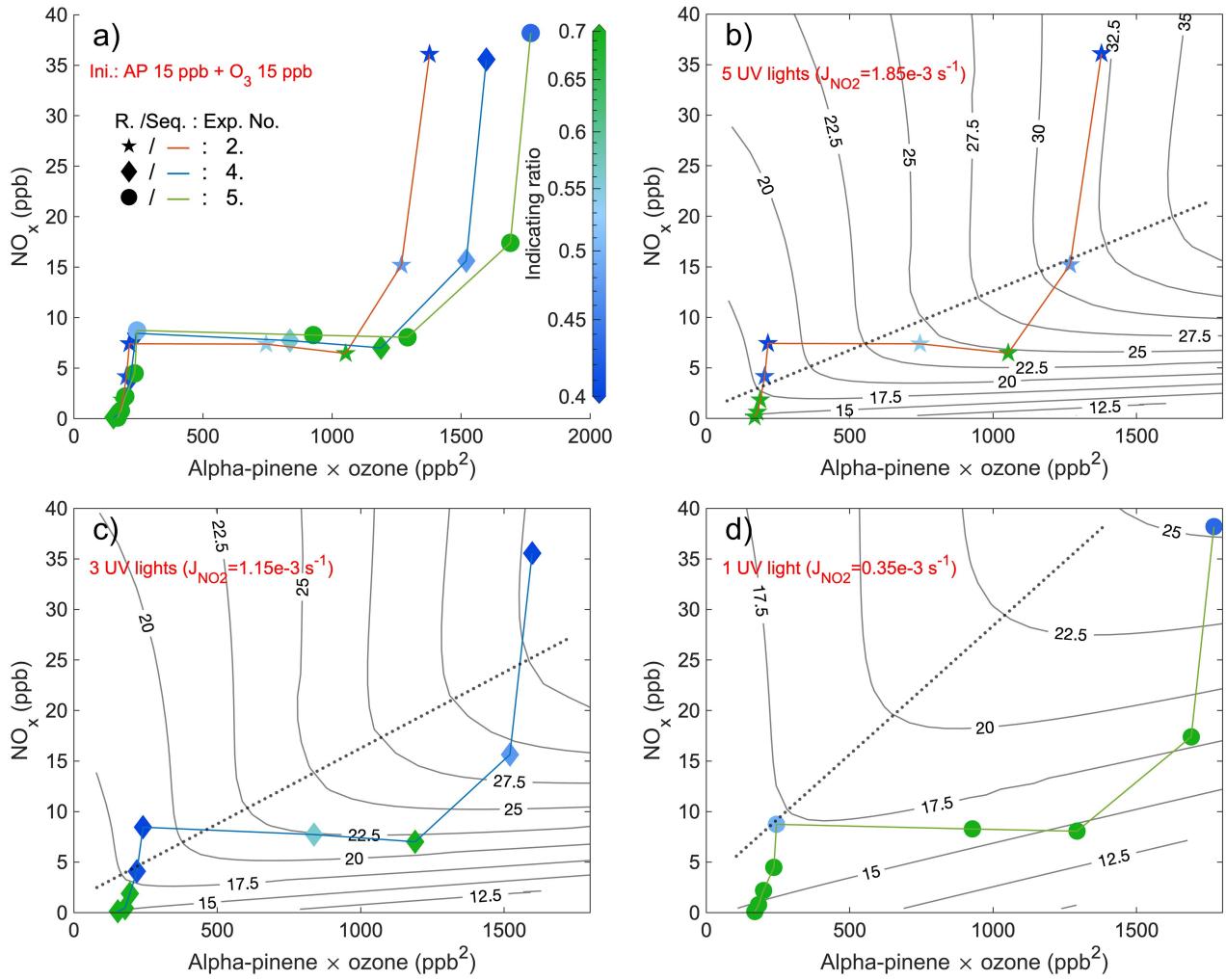
**Figure A11.** Time series of experiment no. 7 with 30 ppb  $\alpha$ -pinene and 10 ppb  $O_3$  as initial inputs. 5 UV lights was on during all stages. Three subplots show the time series of different compounds: a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a.



**Figure A12.** Time series of experiment no. 6, which was meant for collecting more data at the highest  $NO_2$  input (at  $\sim 44.5$  ppb). 5 UV lights was on during all stages. Three subplots show the time series of different compounds: a) measured concentrations of  $O_3$ ,  $NO_x$  (both shown by left y-axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by right y-axis); b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than 8 oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than 8 oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in the subplot a.



**Figure A13.** Steady state IR2 ( $\frac{\sum \text{HOM}_{\text{Mono}, \text{O}_{>8}}}{\sum \text{HOM}_{\text{ON}, \text{O}_{>8}}}$ ) of experiments from four days with 5 UV lights. X-axis is the multiplication of steady state  $\alpha$ -pinene and  $\text{O}_3$  concentrations, while y-axis is the steady state  $\text{NO}_x$ . The scatter points (exp. no. 1 (experiment number 1): diamond; exp. no. 2: star; exp. no. 3: round; exp. no. 7: square) are colored by values of IR2 (abbreviated as R. in the figure), and are connected by curves (exp. no. 1: blue; exp. no. 2: orange; exp. no. 3: green; exp. no. 7: purple) showing the sequence (Seq.) of experimental stages. Panel a combines stages of all four days, and the rest three subplots respectively show the stages of experiments with different initial (Ini.) inputs (exp. no. 1 and 7 are in the same panel d due to same initial inputs). EKMA curves (isopleth of  $\text{O}_3$  concentrations in ppb), simulated by the box model, are black solid lines, while dotted lines are corresponding ridge lines.



**Figure A14.** Steady state IR2 ( $\frac{\sum \text{HOM}_{\text{Mono}, \text{O} \geq 8}}{\sum \text{HOM}_{\text{ON}, \text{O} \geq 8}}$ ) of experiments from three days with 5, 3, and 1 UV lights, respectively. X-axis is the multiplication of steady state  $\alpha$ -pinene and  $\text{O}_3$  concentrations, while y-axis is the steady state  $\text{NO}_x$ . The scatter points (exp. no. 2 (experiment number 2): star; exp. no. 4: diamond; exp. no. 5: round) are colored by values of IR2 (abbreviated as R. in the figure), and are connected by curves (exp. no. 2: orange; exp. no. 4: blue; exp. no. 5: green) showing the sequence (Seq.) of experimental stages. Panel a combines stages of all three days with the same initial (Ini.) inputs, and the rest three subplots respectively show the stages of experiments with different amount of UV lights. EKMA curves by the box model are in black lines and the dotted lines are corresponding ridge lines.

**Table A1.** Zero-VOC experiment conditions. Experiment numbers (No.) and number of total stages are shown in the first two columns. Input information include the number and NO<sub>2</sub> photolysis rates ( $J_{NO_2}$ ) of UV lights ( $\lambda \approx 400$  nm) and concentrations of O<sub>3</sub>,  $\alpha$ -pinene, and NO<sub>x</sub>.

Experiment No.	Number of stages	Input			
		Number of lights	$J_{NO_2}$ (s <sup>-1</sup> )	O <sub>3</sub> (ppb)	NO <sub>x</sub> range (ppb)
Z1./Z2./Z3.	9/7/7	5	$1.85 \times 10^{-3}$	10/15.5/22.5	0.7 – 44.5
Z4.	8	3	$1.15 \times 10^{-3}$	15	0.7 – 44.5
Z5.	7	1	$0.35 \times 10^{-3}$	15.5	0.7 – 44.5

From steady-state (ss in subscript) balance of the O<sub>3</sub> concentration, we can write the following expression:

$$\frac{d[O_3]}{dt} = J_{NO_2}[NO_2]_{ss} + \frac{[O_3]_{input} - [O_3]_{ss}}{\tau} - k_{O_3, NO}[O_3]_{ss}[NO]_{ss} = 0$$

where  $\tau = \frac{2000 L}{55 L \text{ min}^{-1}}$  is the residence time. O<sub>3</sub> has NO<sub>2</sub> photolysis ( $J_{NO_2}[NO_2]_{ss}$ ) and its input ( $\frac{[O_3]_{input}}{\tau}$ ) as sources, with reaction to NO ( $-k_{O_3, NO}[O_3]_{ss}[NO]_{ss}$ ) and flush-out ( $\frac{-[O_3]_{ss}}{\tau}$ ) as sinks. We can solve the equation to get NO<sub>2</sub> photolysis rate:

$$J_{NO_2} = \frac{k_{O_3, NO}[O_3]_{ss}[NO]_{ss} + \frac{[O_3]_{ss} - [O_3]_{input}}{\tau}}{[NO_2]_{ss}}$$

This expression can be used for each steady state to estimate  $J_{NO_2}$  in the corresponding experiment.

**Table A2.** Reactions and their reaction rate coefficients used for the box model. Note that RO<sub>2</sub> represent all kinds of peroxy radicals, thus there are huge uncertainties regarding reaction rates. This model is only meant for simulating concentrations of O<sub>3</sub> and its precursors.

Reactions	Reaction rate coefficients <sup>a</sup>
1. NO <sub>2</sub> + hν $\xrightarrow{O_2}$ NO + O <sub>3</sub>	0.35/1.15/1.85 × 10 <sup>-3</sup>
2. O <sub>3</sub> + NO → NO <sub>2</sub> + O <sub>2</sub>	1.8 × 10 <sup>-14</sup>
3. α-pinene + O <sub>3</sub> $\xrightarrow{O_2}$ RO <sub>2</sub> + OH	8.7 × 10 <sup>-17</sup>
4. α-pinene + OH $\xrightarrow{O_2}$ RO <sub>2</sub>	5.5 × 10 <sup>-11</sup>
5. RO <sub>2</sub> + NO → RO + NO <sub>2</sub>	1 × 10 <sup>-11</sup>
6. RO <sub>2</sub> + RO <sub>2</sub> → 2RO + O <sub>2</sub>	8 × 10 <sup>-13</sup>
7. RO + O <sub>2</sub> → HO <sub>2</sub>	Instantaneous
8. NO + OH → HNO <sub>2</sub>	4 × 10 <sup>-11</sup>
9. NO + OH $\xrightarrow{O_2}$ HO <sub>2</sub> + NO <sub>2</sub>	1.2 × 10 <sup>-13</sup>
10. NO <sub>2</sub> + OH → HNO <sub>3</sub>	4 × 10 <sup>-11</sup>
11. HO <sub>2</sub> + NO → OH + NO <sub>2</sub>	1 × 10 <sup>-11</sup>
12. HO <sub>2</sub> + NO <sub>2</sub> → HO <sub>2</sub> NO <sub>2</sub>	3 × 10 <sup>-14</sup>
13. HO <sub>2</sub> + HO <sub>2</sub> /RO <sub>2</sub> → peroxides	2 × 10 <sup>-11</sup>
14. RO <sub>2</sub> → wall <sup>b</sup>	2.5 × 10 <sup>-3</sup>

<sup>a</sup> Except the NO<sub>2</sub> photolysis and wall loss rates (s<sup>-1</sup>), all the other reaction rates (cm<sup>3</sup>·s<sup>-1</sup>) are adapted from NIST (National Institute of Standards and Technology) Chemical Kinetics Database (more information see <https://kinetics.nist.gov/kinetics/index.jsp>

<sup>b</sup> In our model, we only considered RO<sub>2</sub> with a wall loss lifetime of 400 s (Peräkylä et al., 2020).

*Author contributions.* ME, JZ, and JYZ designed the study. JZ, JYZ, YL, and VM conducted the experiments. JYZ analyzed the data and developed the model. ME, JZ, and DR supported the data analysis.

385 *Competing interests.* Douglas Worsnop works for Aerodyne Research, Inc.

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