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**Influence of Stratification and Wind Forcing on the Dynamics of Lagrangian
Residual Velocity in a Periodically Stratified Estuary**

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22 **Abstract**

23 Wind and stratification play pivotal roles in shaping the structure of the Lagrangian
24 residual velocity (LRV). However, the intricate dynamics by which wind and stratification
25 modify the LRV remain poorly studied. This study derives numerical solutions of LRV
26 components and eddy viscosity subcomponents to elucidate the dynamics within the
27 periodically stratified Pearl River estuary. The vertical shear cross-estuary LRV (u_L) is
28 principally governed by the interplay among the eddy viscosity component (u_{Ltu}), the barotropic
29 component (u_{Lba}), and the baroclinic component (u_{Lgr}) under stratified conditions. During neap
30 tides, southwesterly winds notably impact u_L by escalating u_{Ltu} by an order of magnitude within
31 the upper layer. This transforms the eastward flow dominated by u_{Ltu} under wind influence into
32 a westward flow dominated by u_{Lba} in upper shoal regions without wind forcing. The along-
33 estuary LRV exhibits a gravitational circulation characterized by upper-layer outflow
34 engendered by barotropic component (v_{Lba}) and lower-layer inflow predominantly driven by
35 baroclinic component (v_{Lgr}). The presence of southwesterly winds suppresses along-estuary
36 gravitational circulation by diminishing the magnitude of v_{Lba} and v_{Lgr} . The contributions of
37 v_{Lba} and v_{Lgr} are approximately equal, while the ratio between u_{Lba} and u_{Lgr} (u_{Ltu}) fluctuates
38 within the range of 1 to 2 in stratified waters. Under unstratified conditions, LRV exhibits a
39 lateral shear structure due to differing dominant components compared to stratified conditions.
40 In stratified scenarios, the eddy viscosity component of LRV is predominantly governed by the
41 turbulent mean component, while it succumbs to the influence of the tidal straining component
42 in unstratified waters.

43

44 **1. Introduction**

45 Tidal currents are the principal movement in shallow seas and estuaries. However, tidal
46 oscillations are not the predominant factor regarding the long-term transport of mass, such as
47 pollutants, sediments, nutrients, and suspended materials. Instead, residual current, which
48 remains after filtering out tidal movements, plays a crucial role in long-term mass transport.
49 Therefore, unveiling the dynamic mechanisms governing the structure and magnitude of the
50 residual current becomes particularly important for a correct understanding of the circulation
51 and long-term mass transport in shallow seas and estuaries.

52 Pritchard (1952) proposed a conceptual model of estuarine circulation characterized by a
53 two-layer structure, drawing from extensive observations. A subsequent study by Pritchard
54 (1956) emphasized the crucial role of the horizontal density gradient as the primary driving
55 force for estuarine circulation. Subsequently, the theory of estuarine gravitational circulation
56 was developed, assuming a constant eddy viscosity (Hansen and Rattray, 1965). Nevertheless,
57 it is imperative to acknowledge that estuarine circulation is influenced not solely by density
58 gradients but also by factors such as wind, tides, and other dynamic forces. These external
59 factors possess the ability to modify or even reverse the structure of gravitational circulation
60 within estuaries.

61 To remove the tidal signal, early researchers such as Abbott (1960) utilized a
62 straightforward method by averaging current velocities over one or several tidal periods at a
63 specific location to calculate the Eulerian Residual Velocity (ERV). Several studies have
64 highlighted the impact of tidal straining on Eulerian residual velocity (ERV) (e.g., Becherer et
65 al., 2011; Burchard et al., 2014, 2023). Jay and Musiak (1994) found the ERV induced by tidal
66 straining is comparable to gravitational circulation. Additionally, tidal straining contributes
67 twice as much to the ERV as gravitational circulation without consideration of river runoff
68 (Burchard and Hetland, 2010). The flow induced by tidal straining varies in estuaries with

69 different stratified conditions. When the horizontal density gradient is small, tidal straining
70 dominates the structure of the ERV (Burchard et al., 2011). Cheng et al. (2011) showed that
71 tidal straining induces a typical two-layer circulation in weakly stratified estuaries, while the
72 circulation exhibits a vertical three-layer structure with inflow in the upper and lower layer and
73 outflow in the middle layer in partially and strong stratified estuaries. As stratification
74 intensifies, the ratio of flow induced by tidal straining to gravitational circulation decreases. In
75 a weakly stratified short estuary, tidal straining plays a secondary role in ERV compared to
76 gravitational circulation (Wei et al., 2021). Geyer and MacCready (2014) indicated that the
77 Eulerian mean method tends to overestimate the contribution of tidal straining. Therefore, it is
78 more reasonable to analyze dynamical mechanisms for residual current from the perspective of
79 the Lagrangian tidally averaged theory.

80 Wind, in conjunction with tides and density gradients, exerts a substantial influence on
81 estuarine residual currents and stratification (Verspecht et al., 2009; Jongbloed et al., 2022). Its
82 role in the generation of surface residual currents is underscored by the strong correlations
83 observed between wind speeds and residual current velocities across both annual and seasonal
84 timescales (Ren et al., 2022). Research on the Dongsha atoll revealed that the combined effects
85 of wind and tide introduce more dynamic water exchange compared to tides alone (Chen, 2023).
86 In the Bohai Sea area off Qinhuangdao, residual currents exhibit pronounced seasonal
87 fluctuations, correlating notably with wind speeds at specific temporal lags (Zhang et al., 2023).
88 Furthermore, the shift in wind-driven circulation is pivotal for mass transport within bays, with
89 estuarine residual circulation superseding tidal pumping as the primary transport mechanism
90 (Young et al., 2023). Burchard (2009) highlighted that upstream winds weaken stratification
91 and reduce the magnitude of the ERV, whereas the downstream wind sheds the opposite effect.
92 To quantify the destratification effect of upstream wind, Lange and Burchard (2019) introduced
93 the Wedderburn number to analyze the relationship between upstream wind and density

94 gradient. The wind is less inclined to affect the residual current with large Wedderburn numbers
95 and may inhibit gravitational circulation, whereas the structure of ERV reverses with small
96 Wedderburn numbers. Wind plays a pivotal role in modulating classical gravitational
97 circulation, most notably reversing surface outflow during winter. In contrast, northward winds
98 in spring enhance stratification and augment the pressure gradient-driven flow (Soto-Riquelme
99 et al., 2023).

100 The Eulerian mean method is a prevalent approach for examining estuarine dynamics;
101 however, specific terms within its momentum and mass transport equations remain ambiguous
102 in their physical interpretations (Ianniello, 1977; Feng et al., 1984). Lamb (1975) posited that
103 any flow field must adhere to the mass conservation principle. Zimmerman (1979) defined
104 Lagrangian residual velocity (LRV) as the net displacement of the water parcels over one or
105 several tidal periods. Contextualizing this, the LRV, rooted in the intrinsic principles of physical
106 motion, upholds material conservation, and offers a precise portrayal of circulation dynamics
107 in shallow marine environments (Feng, 1987; Jiang and Feng, 2014).

108 Lagrangian particle tracking methods play a pivotal role in studying mass transport and
109 residence time (RT) across various coastal seas, estuaries, and bays. Specific water mass
110 transport patterns are discerned in the Bohai Sea, revealing salient regional transport
111 characteristics steered by LRV (Yu et al., 2023). The combined effects of residual transport
112 velocity in the current and next seasons emerge as the predominant factor driving the RT's
113 seasonal variation (Lin et al, 2022). Wind direction, wind speed, and density gradient-induced
114 circulation collectively regulate RT (Hewageegana et al., 2023). The reduction of cross-shore
115 currents results in mass convergence and increases RT (Li et al., 2022). The water exchange
116 and RT are mainly determined by the structure of the LRV (Jiang and Feng, 2014). RT
117 predominantly represents an accumulative measure, primarily influenced by residual transport
118 rather than immediate responses (Jiang, 2023). Convergence zones resulting from LRV

119 efficiently establish consistent aggregation regions of buoyant material within the estuary
120 rather than ERV (Kukulka and Chant, 2023). To gain an in-depth understanding of mass
121 transport, extensive prior research has been dedicated to elucidating qualitative and quantitative
122 evaluations of the determinants impacting the LRV's structure and magnitude. The influence of
123 LRV in semi-closed estuaries and bays affected by tides has received attention from
124 oceanographers (Winant, 2008; Jiang and Feng, 2011; Deng et al., 2019). Quan et al. (2014)
125 employed a numerical model to investigate the impact of the ratio of tidal amplitude to water
126 depth on LRV, and Jiang and Feng (2014) explored how the ratio of estuary length to
127 wavelength affects LRV. Wang et al. (2010) examined the effects of wind, density gradient, and
128 river runoff on LRV using a numerical model. However, this study aims to illustrate structural
129 and magnitudinal variations of the total LRV under different factors, without delving into the
130 underlying dynamic mechanisms. Liu et al. (2021) demonstrated that the influence of wind and
131 density gradients on LRV is closely associated with the initial tidal phase based on the
132 momentum equations, but the specific contribution of each dynamic component to LRV
133 remains poorly studied.

134 Jiang and Feng (2014) explored the dynamical mechanisms for the LRV, which gives to
135 the assumptions of a constant eddy viscosity and linear bottom friction in the entire estuarine.
136 Subsequently, numerical models were utilized to study the contribution of tidal body force to
137 LRV under a constant eddy viscosity, revealing that the Stokes' drift component plays a
138 dominant role (Cui et al., 2019). Chen et al. (2020) analyzed the contribution of each dynamical
139 term to the LRV and found the Stokes' drift component is the dominant component under the
140 condition of the horizontal unvaried but depth-varying eddy viscosity. The above studies are
141 all carried out under a temporally constant eddy viscosity. The impact of spatially varying eddy
142 viscosity on LRV was examined in a narrow model, revealing that nonlinearity leads to a more
143 complex LRV structure (Deng et al., 2017). However, these studies lack a quantitative analysis

144 of the underlying dynamical mechanism. Sheng et al. (2022) demonstrated that the structure of
145 LRV is primarily determined by the combined effects of the barotropic pressure gradient and
146 tidal body force when only barotropic conditions are considered. Deng et al. (2022) further
147 quantitatively analyzed the contributions of each driving force to LRV, considering both
148 temporal and spatial variations in eddy viscosity under a constant density gradient. However,
149 the roles of wind and stratification in LRV dynamics remain poorly studied.

150 The Pearl River, as the third largest river in China, encompasses a complex hydrodynamic
151 environment. The Pearl River estuary (PRE) is a trumpet-like estuary characterized by two
152 deep channels and shallow shoals. In recent years, researchers have increasingly focused on
153 topics such as tidal currents, salinity intrusion, river plume dynamics, and residual current in
154 the PRE (e.g., Gong et al., 2018; Pan et al., 2020; Wei et al., 2022). The estuary displays a
155 typical two-layer circulation as observed in micro-tidal estuaries (Xue et al., 2001). Wang (2014)
156 investigated the temporal and spatial variations of the ERV and analyzed its underlying
157 dynamical mechanisms within the PRE. Lai et al. (2018) discussed the influence of tides and
158 winds on the ERV and the associated dynamical processes using the Eulerian mean momentum
159 equation. Additionally, the nonlinear advection term was identified as an important factor in
160 the ERV within the PRE (Xu et al., 2021). An anticlockwise shift in summertime wind direction
161 from 1979 to 2020 weakens cross-channel wind-driven transport and along-channel seaward
162 flow, leading to increased stratification near the Modaomen (Hong et al. 2022). While Chu et
163 al. (2022) explored the hydrodynamic processes and connectivity of the circulation within the
164 estuary from a Lagrangian tidally averaged perspective, a detailed dynamical analysis was not
165 provided. Few studies have focused on the LRV within the PRE, especially regarding its
166 underlying dynamical mechanisms.

167 Analytical solutions regarding the dynamics of LRV are constrained to a temporally
168 constant eddy viscosity, while numerical solutions of LRV's dynamic components disregard

169 the influence of stratification and wind. Consequently, the impact of wind and stratification on
170 LRV dynamics remains enigmatic. Numerical solutions for LRV components are derived to
171 grasp the modifications induced by wind and stratification within each LRV component,
172 ultimately leading to changes in the overall LRV. Furthermore, wind and stratification influence
173 turbulent mixing, subsequently affecting the LRV driven by the eddy viscosity term. Although
174 scholars have extensively examined tidal straining effects on estuarine circulation via the
175 Eulerian mean theory, the analysis of turbulent influences from the Lagrangian mean theory
176 perspective yields distinctions from the Eulerian approach. To illuminate the mechanisms
177 underlying the eddy viscosity component of LRV, we initiate by decomposing this component
178 into four subcomponents. This study pursues two principal objectives: 1) to delve into the
179 mechanisms by which wind and stratification modify LRV components, and 2) to investigate
180 the roles of wind and stratification in the dominant contributor of the eddy viscosity component.
181 This paper will provide valuable insights into the dynamic processes of longitudinal and lateral
182 estuarine circulation based on Lagrangian mean theory under the influence of wind and
183 stratification. These aspects have not been quantitatively assessed in previous studies.
184 Additionally, the proposed decomposition theory of the eddy viscosity component offers a
185 novel approach for analyzing the dominant mechanisms of turbulent components. This paper
186 is structured as follows: Section 2 provides a delineation of model setup parameters, model
187 validation, and LRV decomposition methods. Section 3 outlines the contribution of each
188 component to the overall LRV and the contribution of each subcomponent to the total eddy
189 viscosity component of LRV. The discussion and conclusions are presented in Section 4.

190 **2. Theory and model description**

191 **2.1 The decomposition method**

192 The LRV is decomposed into seven components, including the local acceleration

193 component (u_{Lac} and v_{Lac}), horizontal nonlinear advection component (u_{Ladh} and v_{Ladh}), vertical
194 nonlinear advection component (u_{Ladv} and v_{Ladv}), barotropic pressure gradient component
195 (barotropic component; u_{Lba} and v_{Lba}), baroclinic pressure gradient component (baroclinic
196 component; u_{Lgr} and v_{Lgr}), eddy viscosity component (u_{Ltu} and v_{Ltu}), and horizontal diffusion
197 component (u_{Lho} and v_{Lho}). The detailed decomposition methods are shown in the appendix.
198 Deng et al. (2022) considered a temporally constant density gradient but neglected the effects
199 of periodic stratification and wind forcing. In this paper, one of the primary objectives is to
200 quantify the effects of wind and stratification on the dynamics of the different components of
201 LRV.

202 Wind and stratification play roles in turbulent mixing, which subsequently impacts the
203 fluctuations of eddy viscosity over a tidal period. This influence extends to the eddy viscosity
204 component of LRV. To clarify the mechanisms underlying this eddy viscosity component, we
205 decompose it into four subcomponents. We evaluate the distinct contributions of each
206 subcomponent to the total eddy viscosity component, aiming to delve into the dominant
207 dynamic mechanisms, which is another objective of our paper. The study derives the following
208 decomposition methods:

$$\begin{aligned}
-\left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_h \frac{\partial u}{\partial \sigma} \right) \right\rangle / f &= -\left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial u_0}{\partial \sigma} \right) \right\rangle / f - \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial u_1}{\partial \sigma} \right) \right\rangle / f \\
&\quad - \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial u_0}{\partial \sigma} \right) \right\rangle / f - \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial u_1}{\partial \sigma} \right) \right\rangle / f,
\end{aligned} \tag{1}$$

$$\begin{aligned}
\left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_h \frac{\partial v}{\partial \sigma} \right) \right\rangle / f &= \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial v_0}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial v_1}{\partial \sigma} \right) \right\rangle / f + \\
&\quad \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_0}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_1}{\partial \sigma} \right) \right\rangle / f,
\end{aligned} \tag{2}$$

$$\text{and } u = u_0 + u_1, \quad v = v_0 + v_1, \quad v_h = v_{h0} + v_{h1}, \tag{3}$$

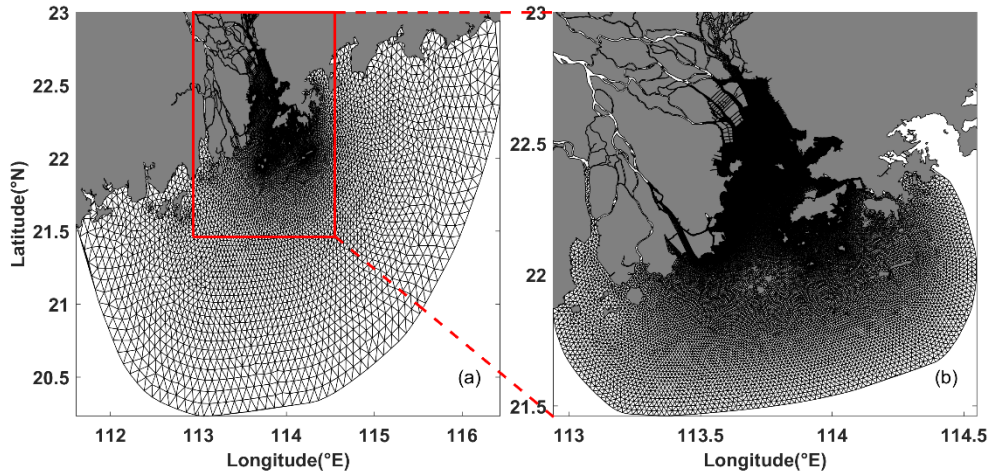
209 where $\langle \rangle$ represents the Lagrangian-averaged operator, u and v are horizontal tidal currents, v_h
210 is the eddy viscosity, u_l and v_l are tidal average currents, u_0 and v_0 are tidal periodic oscillation

211 currents, which are referred to as the zero-order terms. These zero-order terms are equivalent
 212 in meaning to u' and v' as defined in prior studies (Burchard and Hetland, 2010; Burchard et
 213 al., 2011, 2014; Cheng, 2014). The terms u_l and v_l correspond to the first-order terms and
 214 represent the tidal average current. The v_{h0} is tidal average eddy viscosity, as the zero-order
 215 term with v_{hl} representing the tidal periodic oscillation of the eddy viscosity as the first-order
 216 term. The D is time-varying depth, σ is sigma coordinate, and f is Coriolis parameter.
 217 Employing a first-order Taylor expansion, the approximation of $1/D^2$ is represented as $1/H^2 -$
 218 $2\zeta/H^3$ (Cheng, 2014), where H signifies the mean depth and ζ corresponds to the sea surface
 219 elevation. Within the vast majority of the Pearl River Estuary, the ratio of ζ_{max} to H remains
 220 below 0.2 during neap tides, with an exception in nearshore areas, where ζ_{max} is the maximum
 221 of tidal elevations during a tidal period. The ratio during spring tides is slightly larger than that
 222 during neap tides. But whether during spring or neap tides, the terms associated with $1/H^2$
 223 exhibit a close correspondence to those related to $1/D^2$ in Eqs. (1) and (2) (not shown). The
 224 terms pertaining to $-2\zeta/H^3$ are sufficiently minor to be negligible. Consequently, considering
 225 D is approximately equivalent to H , further decomposition of D in the manuscript is not
 226 undertaken. The $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h0} \frac{\partial u_0}{\partial \sigma}) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h0} \frac{\partial v_0}{\partial \sigma}) \rangle / f$ represent the coupled
 227 component of the tidal-average eddy viscosity and velocity gradient oscillation (v_{Lk0u0} and
 228 u_{Lk0u0}), the $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h1} \frac{\partial u_0}{\partial \sigma}) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h1} \frac{\partial v_0}{\partial \sigma}) \rangle / f$ represent the tidal straining
 229 component (v_{Lk1u0} and u_{Lk1u0}), the $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h0} \frac{\partial u_1}{\partial \sigma}) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h0} \frac{\partial v_1}{\partial \sigma}) \rangle / f$ represent the
 230 turbulent mean component (v_{Lk0u1} and u_{Lk0u1}), the $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h1} \frac{\partial u_1}{\partial \sigma}) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} (v_{h1} \frac{\partial v_1}{\partial \sigma}) \rangle /$
 231 f represent the coupled component of eddy viscosity oscillation and the tidal-average velocity
 232 gradient (v_{Lk1u1} and u_{Lk1u1}).

233 2.2 Model configuration and experiments

234 This study employs the Finite Volume Coastal Ocean Model (FVCOM; Chen et al., 2006)
235 to simulate the dynamic response of LRV to wind and stratification in the PRE. FVCOM is a
236 three-dimensional primitive equation Community Ocean Model (Chen et al., 2003) that utilizes
237 a finite-volume approach, accounting for a free-surface and employing prognostic techniques.
238 The model consists of unstructured triangular cells and employs terrain-following vertical
239 coordinates, allowing for a better fitness of the irregular coastline and complex topography
240 present in the estuary.

241 The model domain, covering the PRE and adjacent coastal regions, is depicted in Fig. 1,
242 spanning from 111.5°E to 116.5°E and 20°N to 23°N. The open boundary is situated in the
243 northern South China Sea. Unidirectional grid nesting is implemented to enhance solution
244 algorithms. The coarse grid consists of 8040 nodes and 15093 triangular elements. The spatial
245 resolution of the horizontal grids varies across the entire region, ranging from 1 to 10 km.
246 Specifically, a resolution of 1 km is employed within the PRE, 2.0–5.0 km off the Guangdong
247 coast, and 10 km near the open boundary (Fig. 1a). On the other hand, the fine grid, consisting
248 of 45,368 nodes and 87,179 triangular elements, is configured based on the settings from
249 previous studies (e.g., Lai et al., 2018; Geyer et al., 2020; Xu et al., 2021). The spatial resolution
250 of the fine grids within the region also varies, ranging from 0.1 to 2.0 km. More specifically, a
251 resolution of 0.1 km is utilized within the PRE, 0.1–1.0 km off the Guangdong coast, and 2.0
252 km close to the open boundary (Fig. 1b). In the vertical direction, the model employs fourteen
253 uniformly assigned sigma levels.



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Figure 1 (a) Coarse mesh model, (b) fine mesh model.

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The model incorporates eight major tidal constituents, namely M_2 , N_2 , S_2 , K_2 , K_1 , O_1 , P_1 , and Q_1 , as tidal driving forces at the open boundary. These constituents are obtained from the Oregon State University Tidal Prediction Software (OTPS/TPXO; <https://www.tpxo.net/otps>; Egbert and Svetlana, 2002). To initialize the model, salinity climatological data from the 1° World Ocean Atlas 2009 (WOA2009) dataset is utilized (<https://accession.nodc.noaa.gov/0094866>; Levitus, 2013). The wind data used in this study are obtained from the monthly averaged Cross-Calibrated Multi-Platform (CCMP) dataset, which has spatial resolutions of 0.25×0.25 degrees (<http://www.remss.com/measurements/ccmp>; Mears et al., 2022). The Pearl River Estuary (PRE) experiences seasonal reversing monsoonal winds, as documented by Pan et al. (2014) and Pan and Gu (2016). The monthly-averaged CCMP wind data indicate prevalent southwesterly winds during the summer season. Our investigation specifically focuses on the impact of southwesterly winds on the dynamics of Lagrangian Residual Velocity (LRV). The lateral boundary incorporates monthly average river runoff data from eight river inlets, which are provided by the Water Conservancy Committee of the Pearl River under the Ministry of Water Resources. The topography data off the PRE is from the ETOPO2 dataset of NOAA (<https://www.ngdc.noaa.gov/mgg/global/relief/ETOPO2/>-

272 ETOPO2v2-2006/; NOAA National Geophysical Data Center, 2006), while the topography
 273 within the estuary is derived from electronic nautical chart data provided by the China Maritime
 274 Safety Administration.

275 The coarse grid model simulates a period from 1 January to 31 August 2017, and it reaches
 276 a quasi-steady state after one month. In this study, the outputs from the coarse grid model are
 277 utilized as the initial and boundary conditions for the fine grid model. The fine grid model,
 278 which begins on 1 June 2017, stabilizes after one month. The analysis focuses on the results
 279 from the fine grid model obtained on 24 July 2017 during spring tides and 2 August 2017 during
 280 neap tides. A split-mode time stepping method is employed with 2-second external and 10-
 281 second internal time steps for the coarse grid model, respectively. The fine grid model uses a
 282 0.5-second external time step, which is half of the time step used in the coarse grid model. The
 283 bottom friction in the model is based on the quadratic bottom friction law, and the calculation
 284 of the eddy viscosity coefficient employs the Mellor-Yamada 2.5 order turbulent closure model.

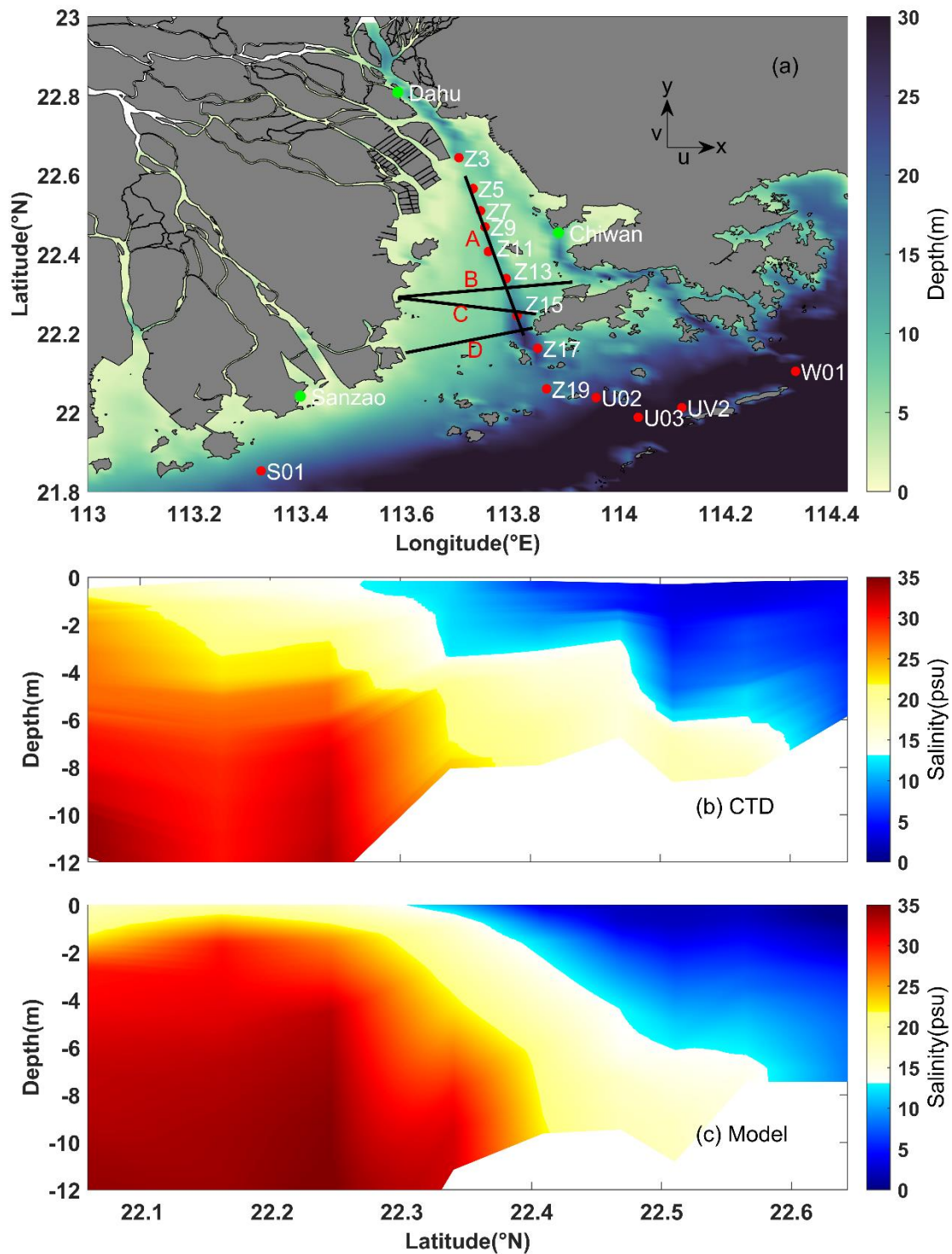
285 To investigate the effects of wind and stratification on the dynamics of LRV, Case 1
 286 (reference case) includes wind forcing and periodic stratification. Case 2 examines the
 287 influence of wind by removing wind forcing compared to Case 1. Case 3 explores the effects
 288 of stratification by imposing a uniformly constant salinity and temperature without considering
 289 river discharge compared to Case 2 (Table 1). The constant salinity and temperature, with
 290 values of 28 °C and 32 psu, respectively, are derived by averaging WOA2009 data for July and
 291 August across the whole domain.

Table 1 Numerical experiment scenarios

Experiments	Wind	Tide	Stratification
Case 1 (Reference case)	√	√	√
Case 2	×	√	√
Case 3	×	√	×

292 **2.3 Model verification**

293 The PRE is oriented in the north-south direction (Fig. 2). Accordingly, the positive x -axis,
294 u , and u_L direct eastward; the positive y -axis, v , and v_L direct northward; and the positive z -axis,
295 w , and w_L direct upward. In this context, u and v correspond to the cross-estuary and along-
296 estuary velocities, respectively, with u_L and v_L denoting the corresponding LRV. The paper
297 selects four sections, including three cross sections (Sections B–D) and one along-estuary
298 section (Section A), which roughly cover the PRE (black lines in Fig. 2a). The examination of
299 LRV components and the eddy viscosity subcomponent is presented solely in Section C, given
300 the uniform conclusions derived across four sections. Moreover, the chosen cross-section,
301 Section C, aptly depicts the differential dynamics of LRV between the shoal and the deep
302 channel.



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304 **Figure 2 (a)** Bathymetry of the model domain. Black lines mark sections for result analysis. Green dots
 305 indicate tide gauge stations for elevation validation, and red dots indicate CTD positions for salinity
 306 verification. **(b)** Along-estuary salinity profiles based on CTD depth-profiled data, closely aligned with
 307 Section A; **(c)** salinity outputs from the numerical model.

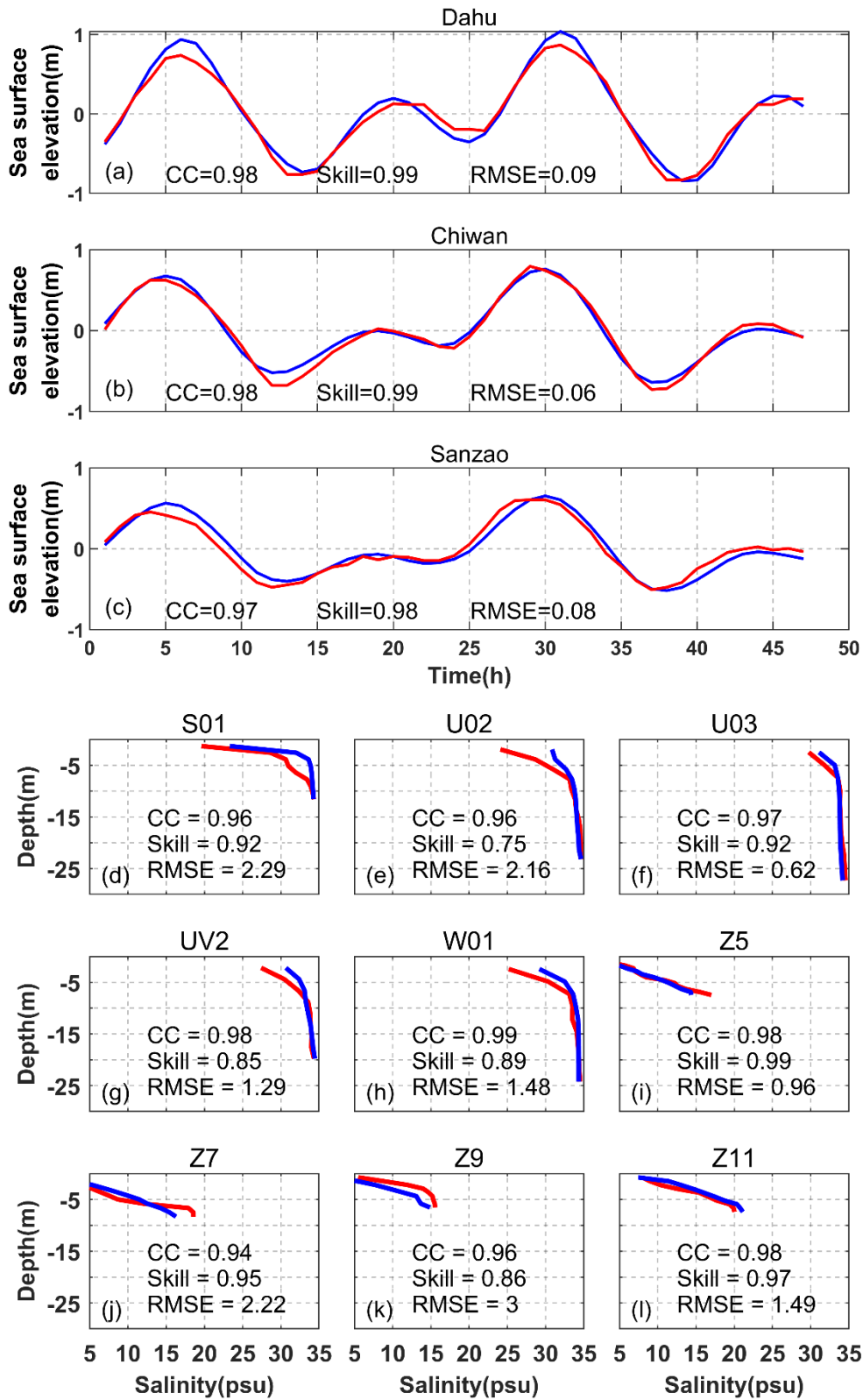
308 Model verification involves comparing the model-derived sea surface elevation and
 309 salinity with the corresponding observed values from the tide gauge and CTD stations,
 310 respectively (Fig. 3). The observed sea surface elevation data are collected between 2 and 4
 311 August 2017, and the observed salinity data are acquired through CTD profiling from 4 to 6
 312 August 2017. A good agreement between the model and observed values highlights the
 313 effectiveness of the model (Fig. 3). To further assess the model's performance, three statistical
 314 parameters are calculated: the correlation coefficient (CC), Willmott Skill score (Willmott,
 315 1981), and Root Mean Square Error (RMSE). These parameters quantify the model's accuracy
 316 and skill:

$$CC = \frac{\sum_{i=1}^N (ob_i - \overline{ob})(mo_i - \overline{mo})}{\sqrt{\sum_{i=1}^N (ob_i - \overline{ob})^2 \sum_{i=1}^N (mo_i - \overline{mo})^2}}, \quad (4)$$

$$Skill = 1 - \frac{\sum_{i=1}^N (ob_i - mo_i)^2}{\sum_{i=1}^N (|mo_i - \overline{ob}| + |ob_i - \overline{ob}|)^2}, \quad (5)$$

$$\text{and } RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (ob_i - mo_i)^2}, \quad (6)$$

317 where ob_i and mo_i are the observed data and model data, respectively, \overline{ob} and \overline{mo} are the
 318 average value of the observed data and the model data, and N represents the number of
 319 observations. The performance assessments of the modeled tidal elevation are presented in Fig.
 320 3a–c. The model demonstrates a reasonable match with the observed tidal elevations,
 321 exhibiting good performance with a skill score greater than 0.98, a correlation coefficient
 322 exceeding 0.97, and a root mean square error less than 0.09 m. This indicates that the model
 323 performs well in simulating tidal elevations. The assessments of the model's performance in
 324 simulating salinity are depicted in Figs. 2b, c, and 3d–f. The correlation coefficients for salinity
 325 are higher than 0.94, with the majority of skill scores exceeding 0.85 and root mean square
 326 errors less than 3 psu. The model exhibits good performance in simulating salinity.



327

328 **Figure 3** Comparisons between the observed (red line) and modeled (blue line) elevation and salinity. The

329 three parameters including CC, Skill, and RMSE are calculated at each station.

330 3. Results

331 3.1 Contributions of dominant components for LRV

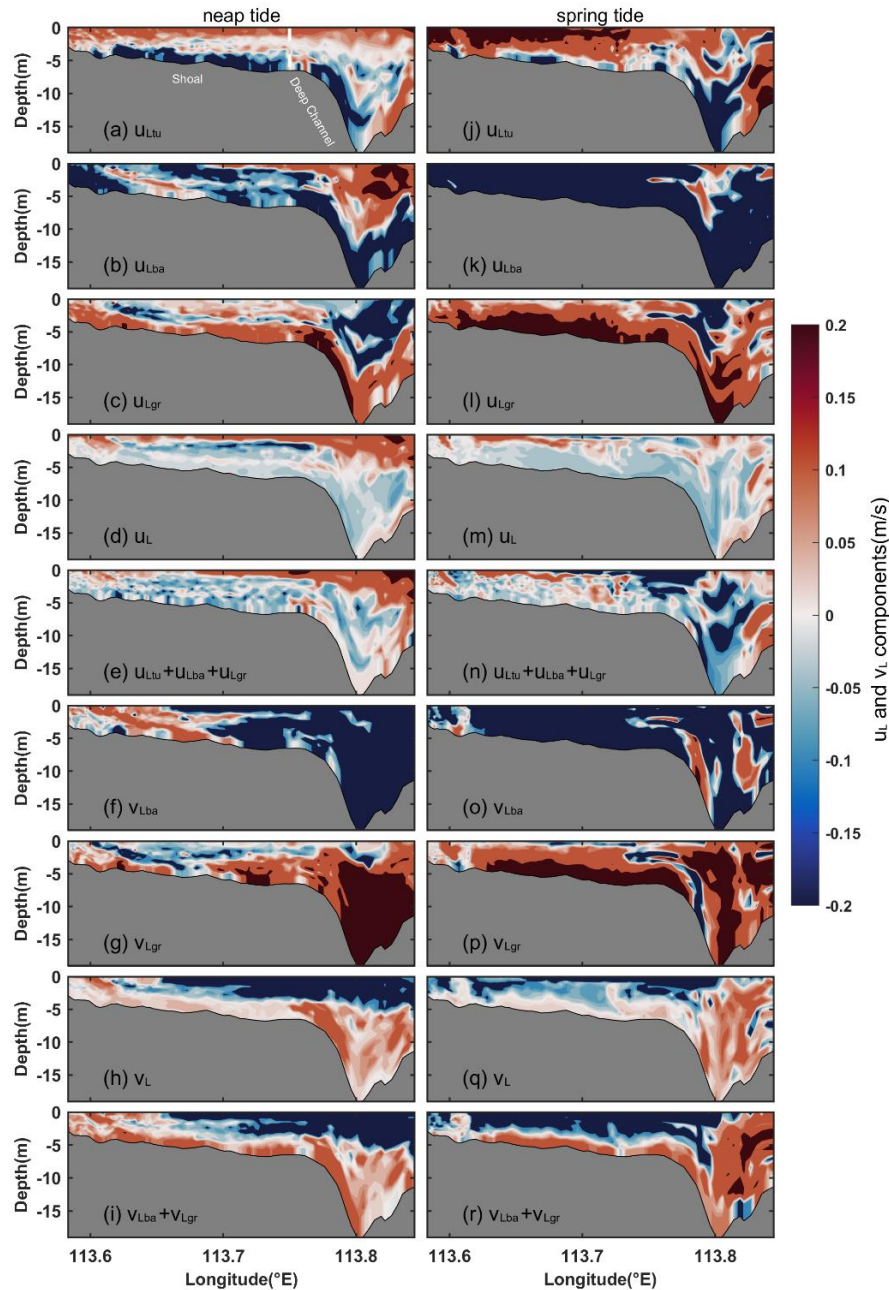
332 To quantify the contribution of each dynamic component of the LRV, the absolute values
333 of each component are averaged throughout Section C in this study, as follows:

$$M(\cdot) = \frac{1}{B} \int abs(\cdot) dB, \quad (7)$$

334 where *abs* is the absolute value function, the symbol \cdot can be replaced by each dynamic
335 component of the LRV, and *B* represents the area of the cross-section.

336 Figure 4 illustrates the decomposition of cross-estuary LRV into dominant contributions
337 for the reference case. During neap tides, the eddy viscosity component (u_{Ltu}) exhibits a two-
338 layer structure with eastward flow in the upper layer and westward flow in the lower layer (Fig.
339 4a). The barotropic pressure gradient component (u_{Lba}) generally flows westward in most areas
340 of the shoal, while it displays an eastward flow in the upper layer and a westward flow in the
341 lower layer of the deep channel (Fig. 4b). The two-layer structure of u_{Lba} arises from the distinct
342 trajectories of particles in the upper and lower layers. The integration results along these
343 different trajectories produce varying magnitudes and opposite directions of u_{Lba} components
344 in both layers. Conversely, the contribution from the baroclinic pressure gradient (u_{Lgr} ; Fig. 4c)
345 opposes u_{Lba} . During spring tides, the structure of the three components, namely u_{Ltu} , u_{Lba} , and
346 u_{Lgr} , remains analogous to that during neap tides throughout the cross section (Fig. 4j–l).
347 During both spring and neap tides, the three striking components (u_{Ltu} , u_{Lgr} , and u_{Lba}) are
348 aggregated (Fig. 4e and n) and compared to the total LRV obtained directly from the model
349 based on the Lagrangian particle tracking algorithms (Fig. 4d and m). It is observed that u_L
350 primarily arises from an imbalance between u_{Ltu} , u_{Lgr} , and u_{Lba} . The eastward exchange
351 circulation is predominantly attributed to u_{Ltu} in the upper layer of the shoal, while the westward
352 flow in the lower layer of the shoal is primarily driven by u_{Ltu} and u_{Lba} . In the upper layer of

353 the deep channel, the eastward flow is determined by the interplay of u_{Lba} and u_{Ltu} , which also
 354 induces the westward flow in the lower layer of the channel. Notably, u_{Lgr} predominantly
 355 counteracts u_{Lba} .

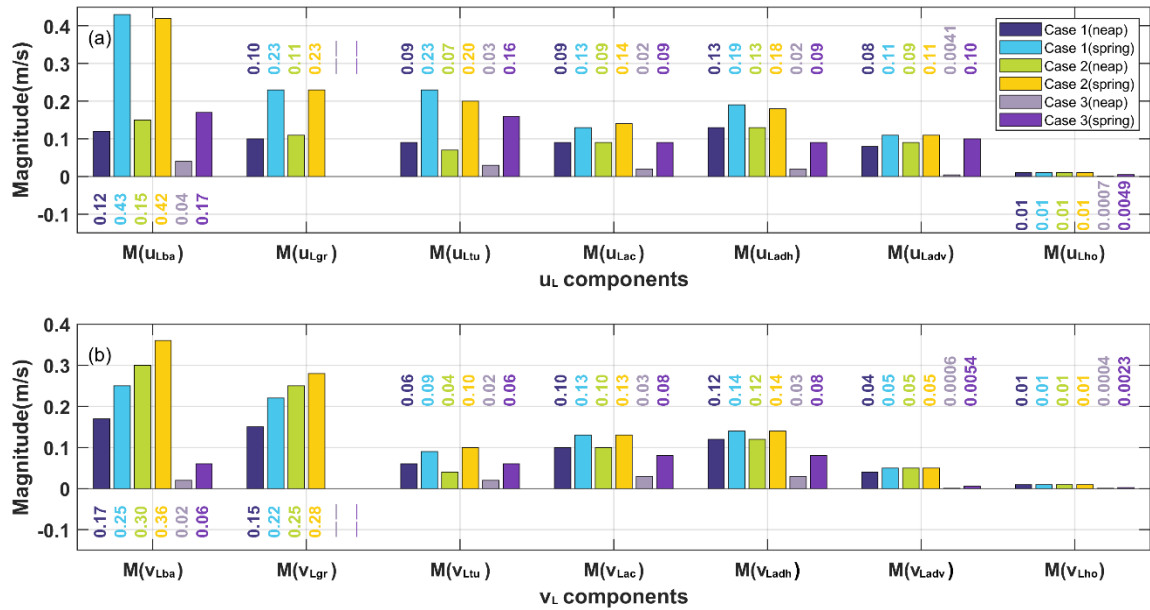


356
 357 **Figure 4** Dominant components of u_L and v_L in Section C for Case 1. For cross-estuary components: **(a, j)**
 358 eddy viscosity component (u_{Ltu}), **(b, k)** barotropic component (u_{Lba}), **(c, l)** baroclinic component (u_{Lgr}), **(d,**
 359 **m)** total LRV (u_L) directly obtained by the model, and **(e, n)** cumulative sum of u_{Ltu} , u_{Lba} , and u_{Lgr} . For along-
 360 estuary components: **(f, o)** barotropic pressure gradient component (v_{Lba}), **(g, p)** baroclinic pressure gradient

361 component (v_{Lgr}), **(h, q)** total LRV (v_L) obtained directly by the model, and **(i, r)** cumulative sum of v_{Lba} and
362 v_{Lgr} . The components during **(a–i)** represent neap tides, while those during **(j–r)** represent spring tides. For
363 cross-estuary components, red shading indicates eastward flow, and blue shading indicates westward flow.
364 For along-estuary components, red shading signifies inflow, while blue shading denotes outflow.

365 The decomposition of along-estuary LRV into dominant contributions is depicted in Fig.
366 4 for the reference case. During neap tides, the barotropic pressure gradient component (v_{Lba})
367 contributes to an up-estuary flow in most areas of the shoal and a down-estuary flow in the
368 deep channel (Fig. 4f); the baroclinic pressure gradient component (v_{Lgr}) exhibits a two-layer
369 circulation with the seaward flow in the upper layer and landward flow in the lower layer of
370 the shoal along with inflow in most areas of the deep channel (Fig. 4g). It shows the opposite
371 pattern to v_{Lba} . During spring tides, there is a down-estuary flow of v_{Lba} in the shoal, which is
372 contrary to the flow pattern during neap tides (Fig. 4o). Additionally, the outflow area of v_{Lgr}
373 in the upper layer of the shoal is smaller during spring tides than during neap tides (Fig. 4p).
374 Both during spring and neap tides, the sum of v_{Lba} and v_{Lgr} (Fig. 4i and r) closely resembles the
375 total along-estuary LRV (v_L ; Fig. 4h and q). Therefore, the dominant components of v_L are v_{Lba}
376 and v_{Lgr} . Since these components must balance across the estuary, the outflow in the upper layer
377 is mainly determined by v_{Lba} , while the inflow in the lower layer is induced by v_{Lgr} .

378 The intensities of the exchange flows are quantified in Fig. 5 for the reference case. During
379 spring tides, the magnitude of u_{Ltu} is approximately 2 times higher than that during neap tides,
380 the magnitude of u_{Lgr} nearly doubles compared to that during neap tides, and the magnitude of
381 u_{Lba} is roughly 4 times as large as that during neap tides. Among the dominant components of
382 u_L , u_{Lba} exhibits the most pronounced contributions, being 1–2 times as strong as u_{Ltu} and u_{Lgr} .
383 During spring tides, the magnitudes of v_{Lba} and v_{Lgr} are about 1.4 times as large as those during
384 neap tides. The contributions from gravitational circulation and barotropic pressure gradient
385 component to total LRV are of the same magnitude.

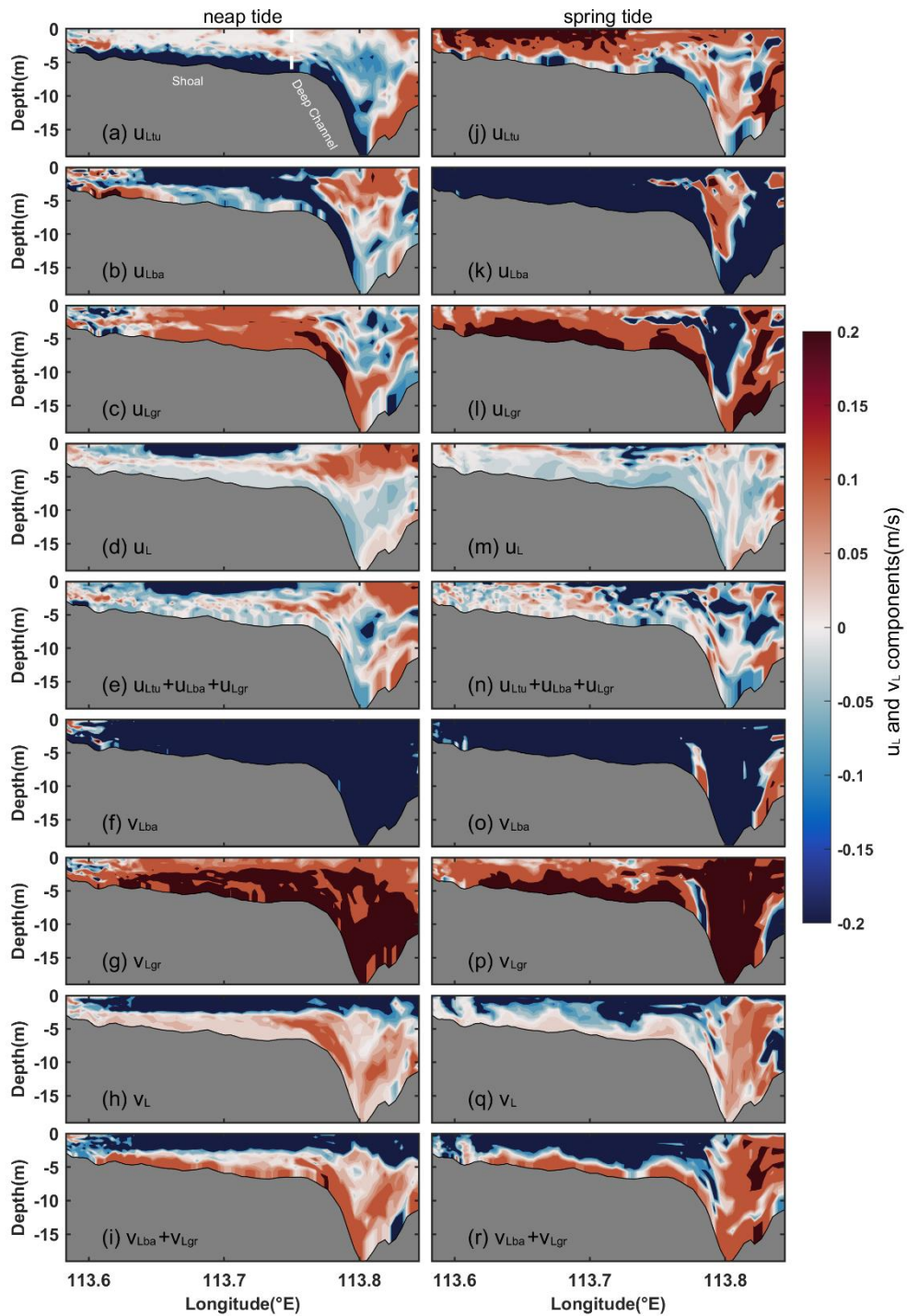


387

388 **Figure 5** Bar charts for the magnitude of each component of u_L and v_L .

389 Neglecting the influence of wind, the cross-estuary and along-estuary dominant
 390 components are displayed in Fig. 6 for Case 2. The eddy viscosity component (u_{Ltu}) exhibits a
 391 similar pattern to the reference case both during neap and spring tides (Fig. 6a and j). However,
 392 during neap tides, the magnitude of the eastward flow of u_{Ltu} in the upper 2 m is approximately
 393 one order of magnitude smaller than that in Case 1 (Fig. 6a vs. Fig. 4a), although the absolute
 394 value of u_{Ltu} averaged in Section C for Case 2 is slightly different compared to that in Case 1
 395 (Fig. 5). This suggests that wind primarily affects the upper exchange circulation by influencing
 396 the mixing of the upper water column. During spring tides, u_{Ltu} shows small differences in
 397 magnitude between Case 1 and Case 2 (Fig. 6j vs. Fig. 4j), indicating that wind sheds a slight
 398 influence on exchange flow during spring tides. During both spring and neap tides, the
 399 structures and magnitudes of the barotropic pressure gradient component (u_{Lba} ; Fig. 6b and k)
 400 and the baroclinic pressure gradient component (u_{Lgr} ; Fig. 6c and l) are similar to those in Case
 401 1. When wind effects are not considered, the structure of the cross-estuary LRV (u_L) (Fig. 6d
 402 and m) is still determined by the combined contributions of u_{Lba} , u_{Lgr} , and u_{Ltu} (Fig. 6e and n).

403 However, the eastward flow determined by u_{Ltu} in the upper layer of the shoal in Case 1
 404 transforms into a westward flow primarily driven by u_{Lba} in Case 2.

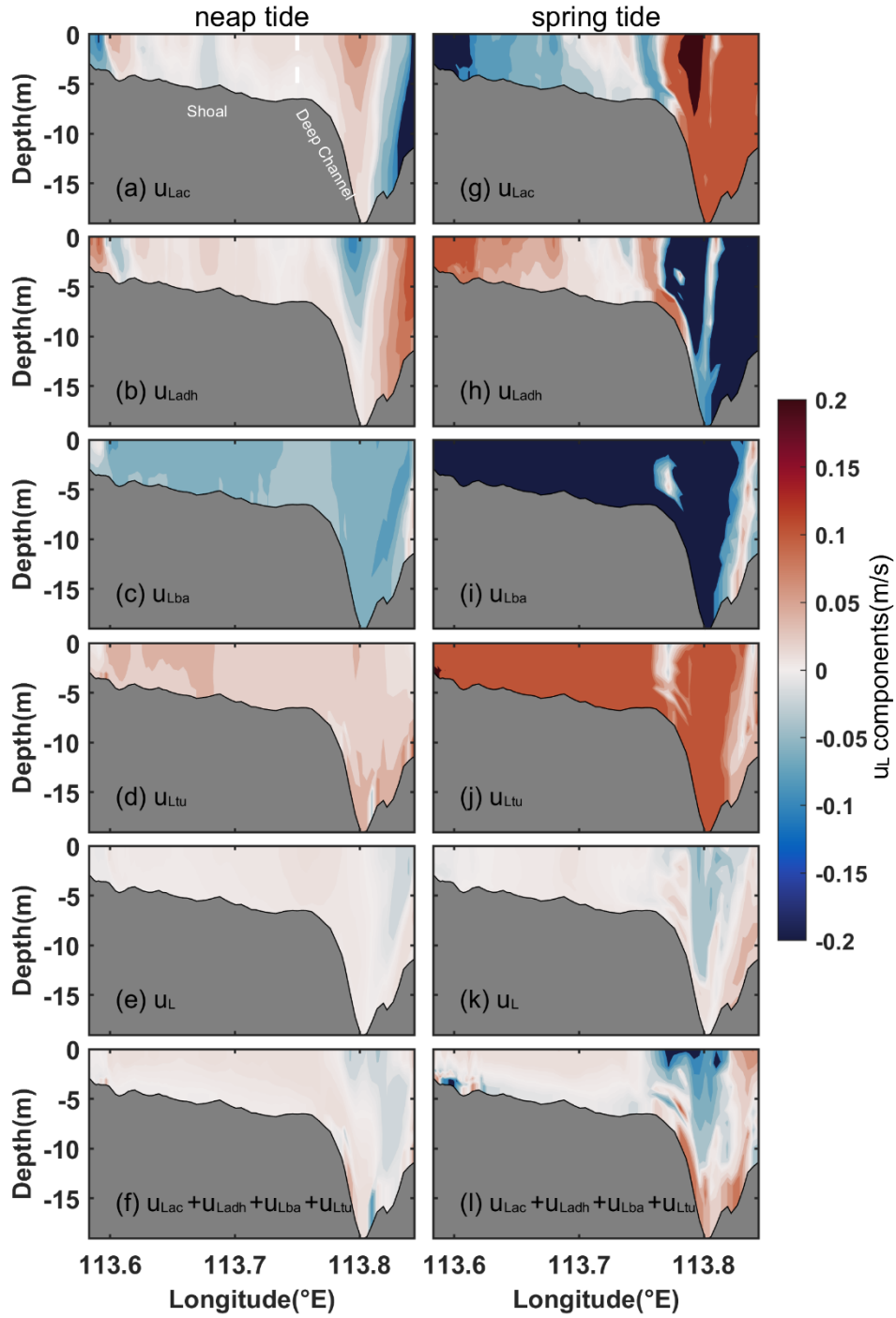


405
 406 **Figure 6** Same as Fig. 4, but for Case 2 without wind forcing.

407 The v_{Lba} changes from inflow in Case 1 to outflow in the shoal during neap tides (Fig. 6f).
 408 Similarly, v_{Lgr} shifts from outflow in Case 1 to inflow in the upper layer of the shoal during

409 neap tides in Case 2 (Fig. 6g). This suggests that wind plays a crucial role in the components
410 of LRV in the upper water column of the shoal. During spring tides, v_{Lba} and v_{Lgr} maintain the
411 same structure as observed in Case 1 (Fig. 6o and p), indicating that wind is unimportant during
412 spring tides. The structure of the along-estuary LRV (v_L) (Fig. 6h and q) is primarily determined
413 by the combined contributions of v_{Lba} and v_{Lgr} (Fig. 6i and r), analogous to that in Case 1. But
414 in the absence of wind, the magnitudes of v_{Lba} and v_{Lgr} are larger than those in Case 1, indicating
415 that southwesterly wind suppresses gravitational circulation. The relative contributions of v_{Lba}
416 and v_{Lgr} to v_L are approximately equal (Fig. 5).

417 The stratification and wind forcing are ignored in Case 3. The dominant components of
418 the cross-estuary LRV in Section C are shown in Fig. 7. During neap tides, the local
419 acceleration component (u_{Lac}) predominantly exhibits eastward flow in most areas, with minor
420 regions showing westward flow in the shoal and deep channel (Fig. 7a). Conversely, during
421 spring tides, a prevailing westward flow characterizes the majority of the shoal regions, while
422 an eastward flow prevails in the deep channel (Fig. 7g). These results highlight the profound
423 impact of tides on the structure of u_{Lac} in a homogeneous water column. Comparing the results
424 with those of Case 2, u_{Lac} undergoes a transition from vertically sheared flow in Case 2 to
425 horizontally sheared flow in Case 3, indicating that stratification plays a notable role in shaping
426 the structure of u_{Lac} . The horizontal nonlinear advective component (u_{Ladh}) exhibits a flow
427 pattern that is the reverse of u_{Lac} (Fig. 7b and h). The barotropic pressure gradient component
428 (u_{Lba}) primarily shows unidirectional westward flow throughout the cross section (Fig. 7c and
429 i). The pattern of u_{Lba} in the shoal and most of the lower layer of the deep channel is consistent
430 with that observed in Case 2. However, in the upper layer of the deep channel, u_{Lba} transforms
431 eastward flow in Case 2 into westward flow in Case 3. The eddy viscosity component (u_{Ltu})
432 induces a flow opposite to that of u_{Lba} (Fig. 7d and j), which differs from the vertically sheared
433 flow observed in Case 2.



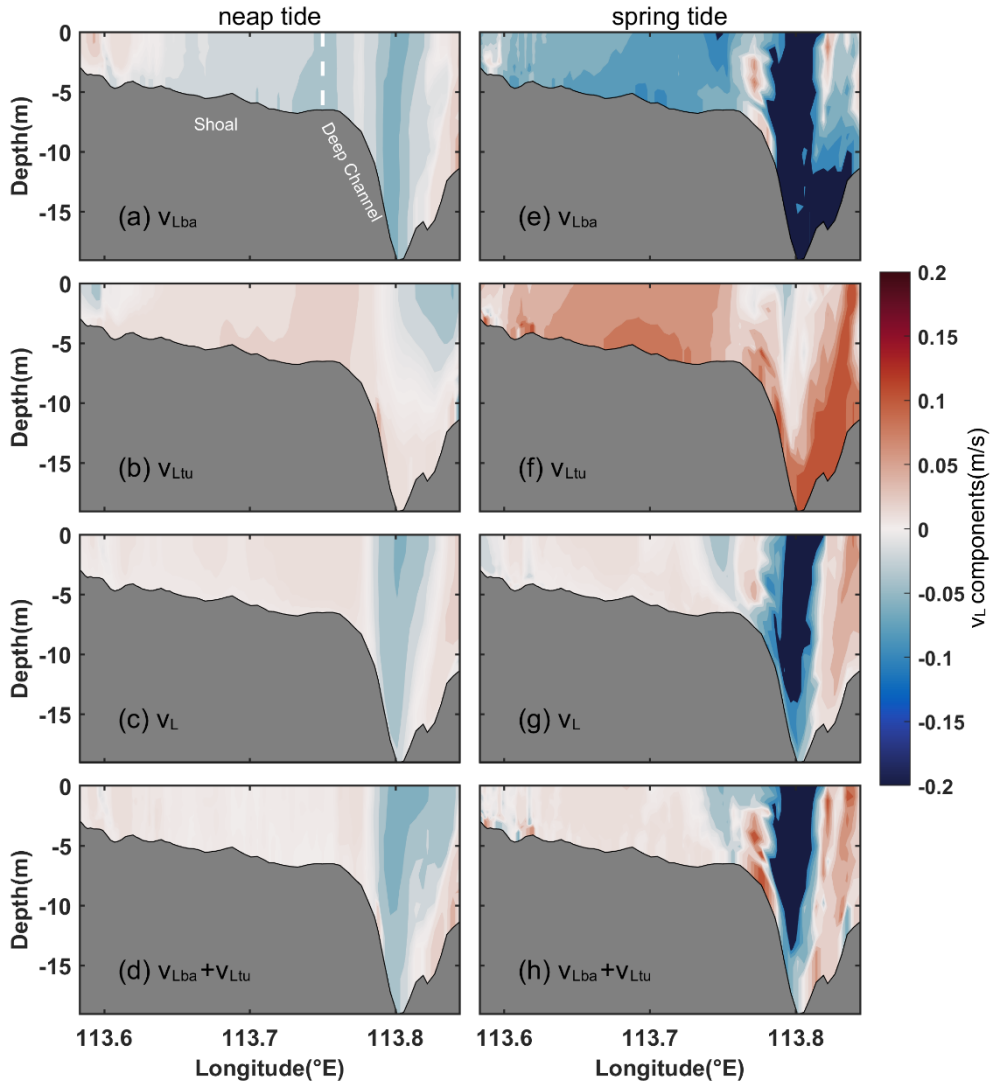
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435 **Figure 7** Dominant components of u_L in Section C for Case 3. **(a, g)** Local acceleration component (u_{Lac}),
 436 **(b, h)** horizontal nonlinear advection component (u_{Ladh}), **(c, i)** barotropic pressure gradient component (u_{Lba}),
 437 **(d, j)** eddy viscosity component (u_{Ltu}), **(e, k)** the total LRV (u_L) obtained directly by the model, and **(f, l)** the
 438 sum of u_{Lac} , u_{Ladh} , u_{Lba} , and u_{Ltu} during **(a–f)** neap and **(g–l)** spring tides, respectively. Red shading represents
 439 eastward flow and blue shading represents westward flow.

440 The structure of the cross-estuary LRV (u_L) (Fig. 7e and k) closely resembles the structure
441 of the sum of the four components: u_{Lac} , u_{Ladh} , u_{Lba} , and u_{Ltu} in Case 3 (Fig. 7f and l). This
442 indicates that the overall structure of u_L (Fig. 7e and k) is primarily determined by the combined
443 effects of these four components. Among them, the eastward flow in the shoal and the lower
444 layer of the deep channel is mainly determined by u_{Ltu} (Fig. 7d and j), with u_{Lac} playing a
445 secondary role (Fig. 7a and g). On the other hand, the westward flow in the upper layer of the
446 deep channel is primarily influenced by u_{Lba} (Fig. 7c and i), with u_{Ladh} contributing as a
447 secondary component (Fig. 7b and h).

448 The magnitudes of u_{Lac} , u_{Ladh} , and u_{Lba} during spring tides are approximately four times
449 as large as those during neap tides in Case 3 (Fig. 5). The magnitude of u_{Ltu} during spring tides
450 is approximately fivefold compared to neap tides. The relative contributions of u_{Lba} and u_{Ltu} to
451 u_L are roughly equal, and u_{Lac} and u_{Ladh} have similar contributions. Moreover, the contribution
452 of u_{Lba} is approximately 1–2 times as large as that of u_{Lac} in Case 3.

453 Both during spring and neap tides, the along-estuary barotropic pressure gradient
454 component (v_{Lba}) exhibits outflow in most areas in Case 3 (Fig. 8a and e), which is similar to
455 Case 2, indicating that stratification has minimal effects on the structure of v_{Lba} . The eddy
456 viscosity component (v_{Ltu}) shows a nearly opposite pattern compared to v_{Lba} (Fig. 8b and f).
457 Compared to Case 2, v_{Ltu} exhibits an opposite pattern at the bottom of the shoal and in the deep
458 channel. The imbalance between the two components, v_{Lba} and v_{Ltu} (Fig. 8d and h), determines
459 the along-estuary circulation (v_L) (Fig. 8c and g). The inflow in the shoal is primarily driven by
460 v_{Ltu} , while the outflow in the deep channel is dominated by v_{Lba} . During spring tides, the
461 magnitudes of v_{Lba} and v_{Ltu} are about 3-fold that during neap tides in Case 3 (Fig. 5). During
462 neap and spring tides, the relative contributions of v_{Lba} and v_{Ltu} to v_L are equal.



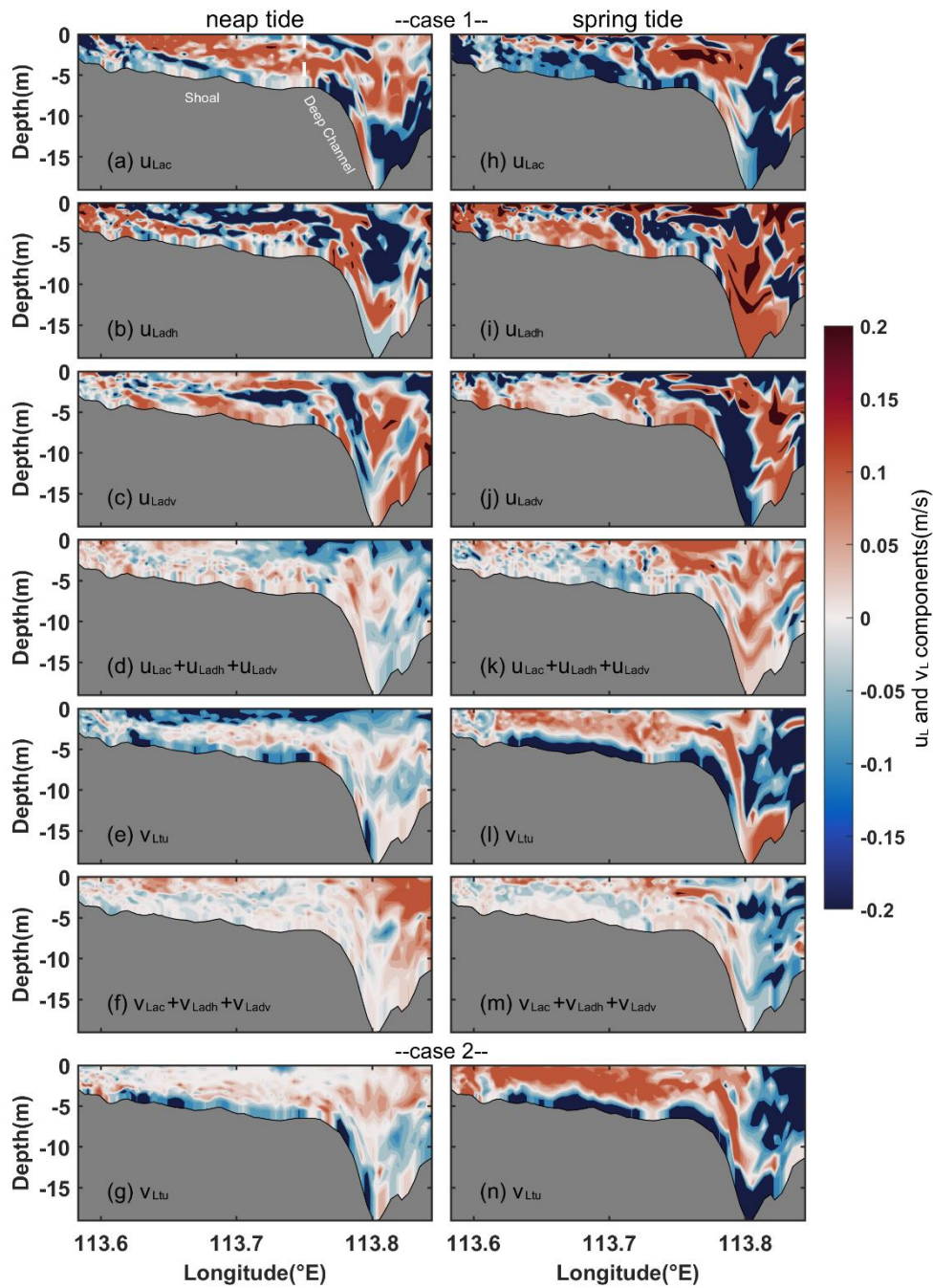
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464 **Figure 8** Dominant components of v_L in Section C for Case 3. (a, e) Barotropic pressure gradient component
 465 (v_{Lba}), (b, f) eddy viscosity component (v_{Ltu}), (c, g) total LRV obtained directly by the model, and (d, h) the
 466 sum of v_{Lba} and v_{Ltu} during (a–d) neap and (e–h) spring tides, respectively. Red shading represents inflow,
 467 and blue shading represents outflow.

468 3.2 Contributions of Non-dominant Components for LRV

469 The analysis of the contributions from non-dominant components to LRV for Case 1 is
 470 depicted in Fig. 9. During neap tides, the local acceleration (u_{Lac}) induces eastward flow in the
 471 majority of the upper layer and westward flow in the lower layer (Fig. 9a). Conversely, the
 472 horizontal nonlinear advection component (u_{Ladh}) exhibits an opposite pattern to u_{Lac} across

473 most regions (Fig. 9b). Meanwhile, the vertical nonlinear advective component (u_{Ladv}) serves
474 as a sandwiched structure, characterized by vertically staggered eastward and westward flow
475 (Fig. 9c). The combined configuration of u_{Lac} and u_{Ladh} contrasts with that of u_{Ladv} , yielding a
476 relatively small and negative contribution from the sum of these three components (Fig. 9d) to
477 u_L (Fig. 4d). Consequently, the three components are denoted as non-dominant components.
478 The magnitudes of the non-dominant components of u_L during spring tides are slightly larger
479 than those during neap tides. The general patterns of these three components during spring tides
480 resemble those during neap tides except for some areas (Fig. 9h–j). Moreover, both during
481 spring and neap tides, the horizontal diffusion component (u_{Lho}) is smaller compared to the
482 other components (not shown), and its contribution is negligible. For along-estuary non-
483 dominant components, the combination of the local acceleration component (v_{Lac}), the
484 horizontal nonlinear advective component (v_{Ladh}), and the vertical nonlinear term (v_{Ladv}) (Fig.
485 9f) contributes to total LRV (Fig. 4h) less and negatively during neap tides. Additionally, the
486 eddy viscosity-induced flow (v_{Ltu}) during neap tides exhibits a vertical shear structure, with
487 outflow in the upper and lower layer and inflow in the middle layer (Fig. 9e). During spring
488 tides, the overall structures for each non-dominant component slightly differ from those during
489 neap tides except for some upper areas and the magnitudes during spring tides exceed those
490 recorded during neap tides (Fig. 9l and m). For both spring and neap tides, the contributions of
491 the horizontal diffusion components (v_{Lho}) are negligible (not shown). Moreover, the
492 contribution of v_{Ltu} is relatively smaller compared to their respective dominant components (Fig.
493 5). In the absence of wind effects, the structure and contribution of each non-dominant
494 component of the LRV in Case 2 closely resemble those observed in Case 1 during both spring
495 and neap tides (not shown), with the exception of the noticeably reduced along-estuary eddy
496 viscosity component (v_{Ltu}) by one order of magnitude in the upper layer in Case 2 during neap
497 tides (Fig. 9g) and slightly intensified during spring tides (Fig. 9n) compared to scenarios with

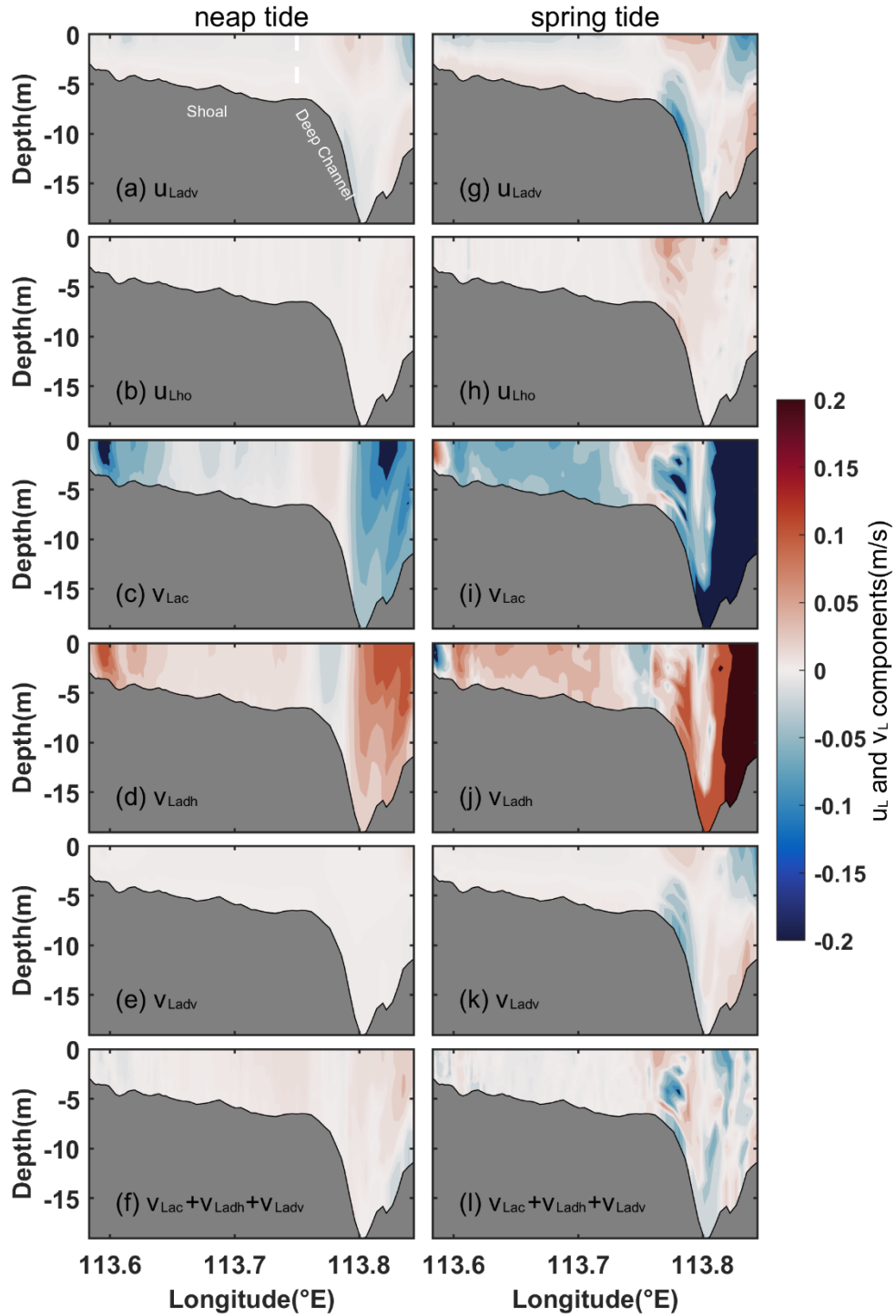


498

499 **Figure 9** Non-dominant components of u_L and v_L in Section C for Cases 1 and 2. For cross-estuary
500 components in Case 1: **(a, h)** local acceleration component (u_{Lac}), **(b, i)** horizontal nonlinear advection
501 component (u_{Ladh}), **(c, j)** vertical nonlinear advection component (u_{Ladv}), and **(d, k)** the sum of u_{Lac} , u_{Ladh} , and
502 u_{Ladv} during **(a–d)** neap and **(h–k)** spring tides, respectively; for along-estuary components in Case 1: **(e, l)**
503 eddy viscosity component (v_{Ltu}), **(f, m)** the sum of v_{Lac} , v_{Ladh} , and v_{Ladv} during **(e, f)** neap and **(l, m)** spring
504 tides, respectively. Along-estuary **(g, n)** eddy viscosity component (v_{Ltu}) in Case 2 during **(g)** neap and **(n)**
505 spring tides, respectively. The shading follows the same indications as presented in Fig. 1.

506 wind. These indicate that wind has a weak influence on the non-dominant components of cross-
507 estuary circulation except for v_{Ltu} .

508 Neglecting wind forcing and stratification, the magnitudes of the vertical nonlinear
509 advection component (u_{Ladv}) and horizontal diffusion component (u_{Lho}) are relatively low
510 during both spring and neap tides. Compared to Case 2, the magnitude of u_{Lho} (Fig. 10b and h)
511 in Case 3 is reduced by approximately half during spring tides and by a factor of 14 during
512 neap tides, while the magnitude of u_{Ladv} (Fig. 10a) in Case 3 experiences an approximately
513 twentyfold reduction during neap tides (Fig. 5). For both neap and spring tides, v_{Lac} shifts from
514 inflow in Case 2 to outflow in Case 3 in some areas of the shoal (Fig. 10c and i). The horizontal
515 nonlinear advection component (v_{Ladh}) in Case 3 exhibits a pattern opposite to that of v_{Lac} (Fig.
516 10d and j). Their combined contributions of these two components to total LRV can be
517 disregarded (Fig. 10f and l). The contributions from the vertical nonlinear advection component
518 (v_{Ladv} ; Fig. 10e and k) and horizontal diffusion component (v_{Lho} ; not shown) during spring and
519 neap tides remain relatively low in Case 3. The magnitude of v_{Lho} in Case 3 is approximately
520 fivefold smaller during spring tides and 25 times smaller during neap tides than those in Case
521 2, while the magnitude of v_{Ladv} in Case 3 experiences an approximately tenfold reduction during
522 spring tides and an eightyfold reduction during neap tides compared to Case 2 (Fig. 5).



523

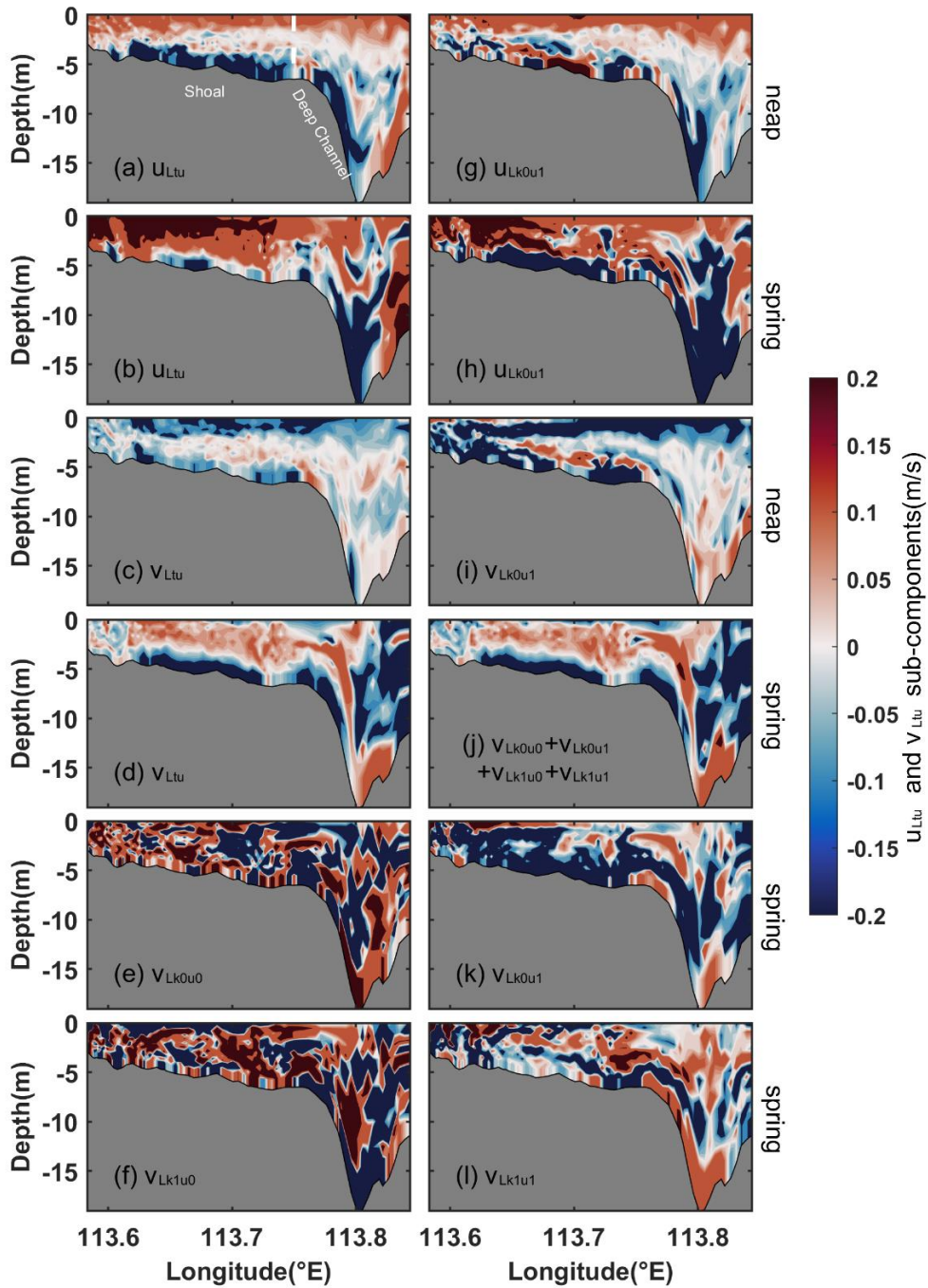
524 **Figure 10** Non-dominant components of u_L and v_L in Section C for Case 3. For cross-estuary components:
 525 **(a, g)** vertical nonlinear advection component (u_{Ladv}), **(b, h)** horizontal diffusion component (u_{Lho}); for along-
 526 estuary components: **(c, i)** local acceleration component (v_{Lac}), **(d, j)** horizontal advection component (v_{Ladh}),
 527 **(e, k)** vertical advection component (v_{Ladv}), and **(f, l)** the sum of v_{Lac} , v_{Ladh} and v_{Ladv} , during **(a–f)** neap and
 528 **(g–l)** spring tides, respectively. The shading follows the same indications as presented in Fig. 1.

529 3.3 Contributions of dominant components for the eddy viscosity component

530 Through an analysis of dominant mechanisms influencing LRV under various dynamic
531 factors, the findings indicate that the cross-estuary eddy viscosity component modulates the
532 configuration of the cross-estuary LRV. In the upper layers, this component exhibits an
533 enhancement of an order of magnitude under the influence of the dominant southwesterly
534 winds, relative to conditions in the absence of wind in the PRE. However, the along-estuary
535 eddy viscosity component is not the predominant contributor to along-estuary LRV under
536 stratified circumstances. In the case of destratification, both the along-estuary and cross-estuary
537 eddy viscosity components play roles in shaping the total LRV. A comprehensive exploration
538 of the dominant mechanisms of the eddy viscosity component entails further decompositions
539 of both the along-estuary and cross-estuary eddy viscosity components into four subgroups.
540 This analysis provides general conclusions and implications for future studies. These
541 subgroups encompass the coupled component of tidal-averaged eddy viscosity and velocity
542 gradient oscillation, the tidal straining component, the turbulent mean component, and the
543 coupled component of tidal-averaged velocity gradient and eddy viscosity oscillation.

544 During neap tides, the cross-estuary turbulent mean component (u_{Lk0u1}) for Case 1 displays
545 eastward flows in the upper layer and westward flows in the lower layer (Fig. 11g). During
546 spring tides, u_{Lk0u1} closely resembles the pattern observed during neap tides (Fig. 11h). The
547 structure of u_{Lk0u1} during neap and spring tides is identical to that of the eddy viscosity (u_{Ltu})
548 (Fig. 11a and b). Therefore, u_{Ltu} is predominantly influenced by u_{Lk0u1} . During neap tides, the
549 along-estuary turbulent mean component (v_{Lk0u1}) for Case 1 exhibits a three-layer structure in
550 the shoal, with outflow occurring in the surface and bottom layers, and inflow in the middle
551 layer (Fig. 11i). In the deep channel, there is a two-layer flow pattern with outflow in the upper
552 layer and inflow in the lower layer. This structure aligns with that of the eddy viscosity
553 component (v_{Ltu}) (Fig. 11c). Hence, during neap tides, v_{Ltu} is primarily influenced by v_{Lk0u1} .

554 During spring tides, the structure of v_{Ltu} for Case 1 (Fig. 11d) is contributed by the combined
555 effect of the four components: v_{Lk0u0} , v_{Lk0u1} , v_{Lk1u0} , and v_{Lk1u1} (Fig. 11j), which differs from the
556 structure observed during neap tides. The inflow occurring in the upper layer of the shoal is
557 primarily determined by v_{Lk0u0} and v_{Lk1u0} (Fig. 11e and f), and the outflow in the lower layer of
558 the shoal is mainly influenced by v_{Lk0u1} (Fig. 11k). The structure in the deep channel is primarily
559 determined by v_{Lk0u1} .



560

561 **Figure 11** Vertical section of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu}) eddy viscosity components along
 562 with their corresponding dominant subcomponents in Section C for Case 1. The u_{Ltu} during (a) neap and (b)
 563 spring tides, and (g, h) the corresponding turbulent mean component (u_{Lk0u1}). (c) v_{Ltu} and (i) the
 564 corresponding turbulent mean component (v_{Lk0u1}) during neap tides, and (d) v_{Ltu} and (j) the sum of four
 565 dominant subcomponents (e, f, k, and l) during spring tides. The shading follows the same indications as
 566 presented in Fig. 1.

567 During neap tides, the cross-estuary turbulent mean component (u_{Lk0u1}) for Case 2 exhibits
568 eastward flow in the upper layer and westward flow in the lower layer (Fig. 12b). This pattern
569 aligns with Case 1. However, the magnitude of the eastward flow in the upper layer of u_{Lk0u1}
570 during neap tides is one order of magnitude smaller than that observed in Case 1. During spring
571 tides, the structure and magnitude of u_{Lk0u1} for Case 2 are similar to those of Case 1 (Fig. 12j),
572 suggesting a weak influence of wind on u_{Lk0u1} . Similar to Case 1, both during neap and spring
573 tides, the cross-estuary eddy viscosity component (u_{Ltu}) (Fig. 12a and i) is predominantly
574 determined by u_{Lk0u1} (Fig. 12b and j). During neap tides, the along-estuary turbulent mean
575 component (v_{Lk0u1}) for Case 2 exhibits inflow in the upper layer and outflow in the lower layer
576 (Fig. 12d). The structure of v_{Lk0u1} in the lower layer is consistent with that in Case 1, while the
577 structure in the upper layer is opposite to that of Case 1. Without the influence of wind, the
578 structure of v_{Lk0u1} in the upper layer shifts from outflow in Case 1 to inflow. During spring tides,
579 the area and magnitude of inflow in the upper layer of v_{Lk0u1} for Case 2 are larger than those
580 during neap tides (Fig. 12l). Both during neap and spring tides, the along-estuary eddy viscosity
581 component (v_{Ltu}) (Fig. 12c and k) exhibits the same structure as v_{Lk0u1} (Fig. 12d and l). Hence,
582 v_{Ltu} is predominantly influenced by the turbulent mean component (v_{Lk0u1}).

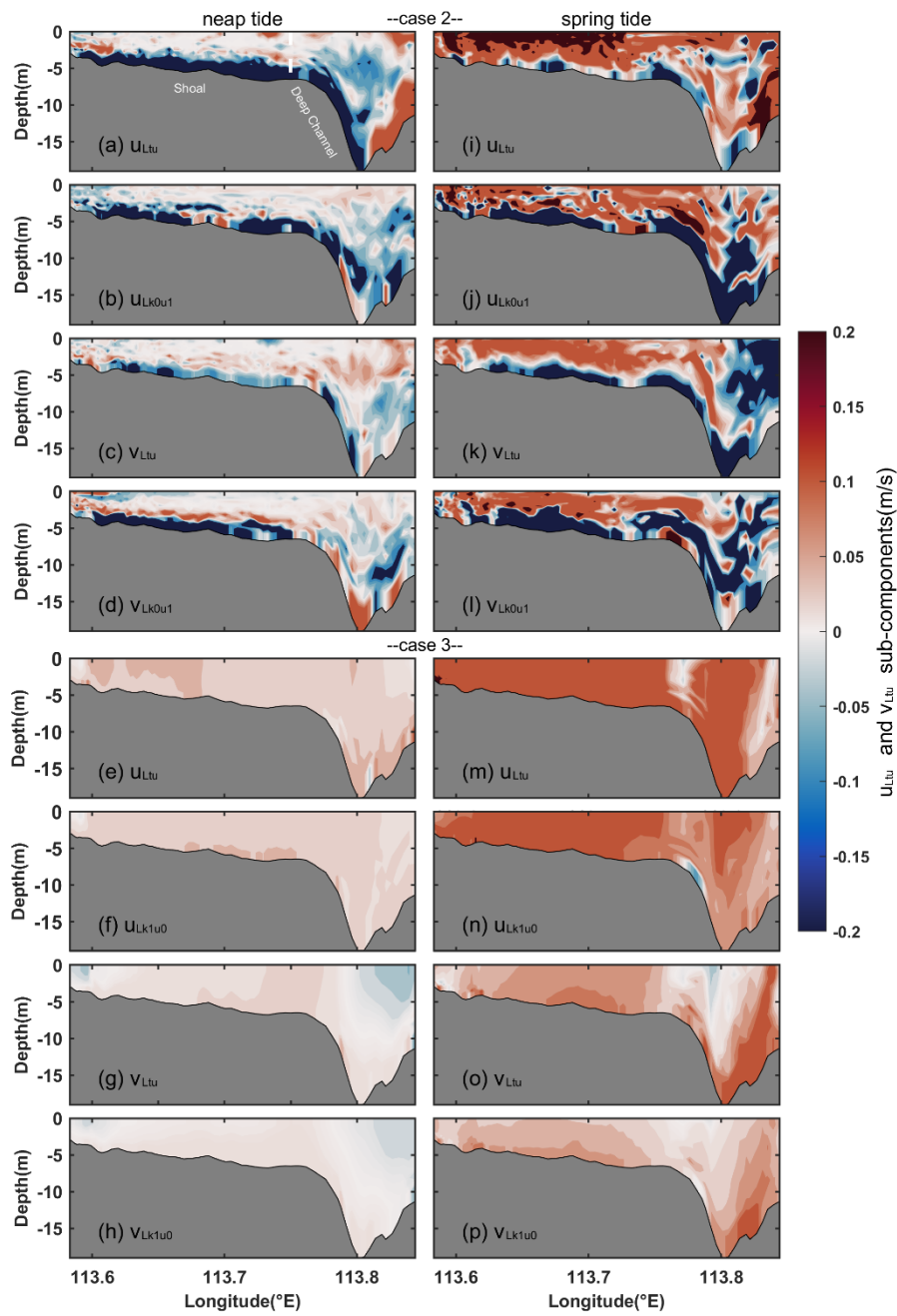
583 Without consideration of stratification, the cross-estuary tidal straining component (u_{Lk1u0})
584 for Case 3 exhibits eastward flow (Fig. 12f) in the shoal during neap tides. The u_{Lk1u0} undergoes
585 a transition from westward flow in Case 2 to eastward flow in the lower layer. During spring
586 tides, the u_{Lk1u0} for Case 3 maintains the same pattern as observed during neap tides, and its
587 magnitude is greater than that during neap tides (Fig. 12n). During neap tides, the along-estuary
588 tidal straining component (v_{Lk1u0}) for Case 3 exhibits inflow in most areas of the shoal and
589 shows a two-layer structure in the deep channel with outflow in the upper layer, and inflow in
590 the lower layer (Fig. 12h), which is analogous to the structure of v_{Lk1u0} in the shoal in Case 2.
591 Stratification mainly affects the structure of v_{Lk1u0} in the lower layer of the deep channel. During

592 spring tides, the inflow area of v_{Lk1u0} for Case 3 in the deep channel is larger than that during
593 neap tides (Fig. 12p). During both neap and spring tides, the u_{Ltu} and v_{Ltu} (Fig. 12e, g, m, and
594 o) align with u_{Lk1u0} and v_{Lk1u0} (Fig. 12f, h, n, and p), respectively. Hence, u_{Ltu} and v_{Ltu} are
595 primarily influenced by u_{Lk1u0} and v_{Lk1u0} , differing from the dominant components in Case 2.
596 Without consideration of stratification, the dominant components of u_{Ltu} and v_{Ltu} shift from the
597 turbulent mean components (u_{Lk0u1} and v_{Lk0u1}) in Case 2 to the tidal straining components (u_{Lk1u0}
598 and v_{Lk1u0}) in Case 3. During neap tides, the magnitude of u_{Lk1u0} is approximately 5 times
599 smaller than that in Case 2, while the magnitude of v_{Lk1u0} is around 14 times smaller than that
600 in Case 2 (Table 2). During spring tides, the magnitude of u_{Lk1u0} is roughly 4 times smaller than
601 that in Case 2, and the magnitude of v_{Lk1u0} is approximately 6 times smaller than that in Case
602 2.

603 **Table 2** The magnitude of each subcomponent for the total eddy viscosity component in three scenarios.

	Case 1	Case 1	Case 2	Case 2	Case 3	Case 3
	(neap)	(spring)	(neap)	(spring)	(neap)	(spring)
$M(u_{Lk0u0})$	0.16	0.42	0.14	0.42	0.001	0.068
$M(u_{Lk0u1})$	0.11	0.27	0.07	0.20	0.006	0.010
$M(u_{Lk1u0})$	0.16	0.46	0.14	0.43	0.031	0.103
$M(u_{Lk1u1})$	0.08	0.17	0.04	0.16	0.001	0.003
$M(v_{Lk0u0})$	0.15	0.30	0.11	0.28	0.005	0.023
$M(v_{Lk0u1})$	0.11	0.19	0.07	0.15	0.011	0.032
$M(v_{Lk1u0})$	0.16	0.37	0.11	0.28	0.008	0.044
$M(v_{Lk1u1})$	0.11	0.20	0.05	0.13	0.001	0.004

604



605

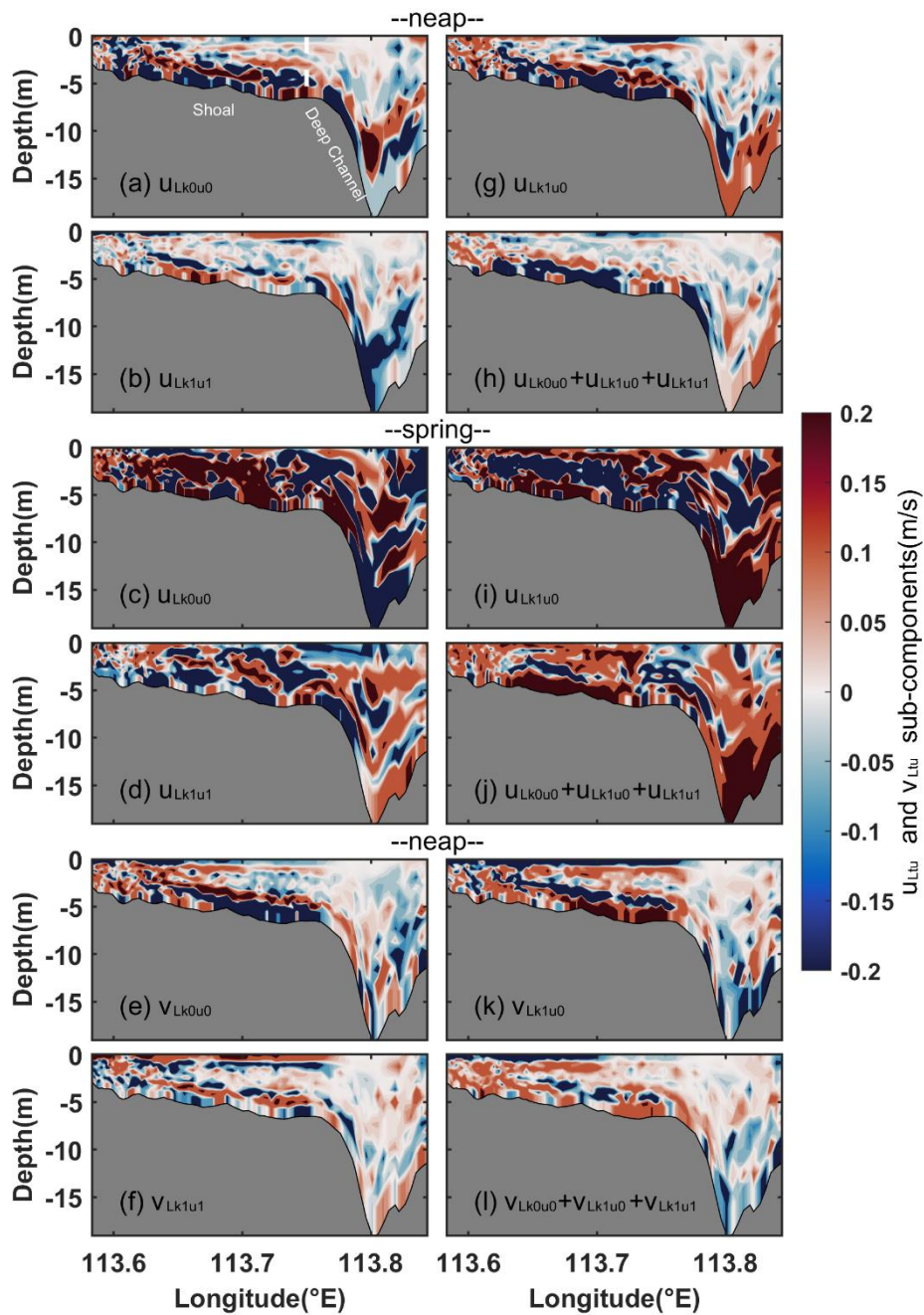
606 **Figure 12** The structure of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu}) eddy viscosity components and the
 607 corresponding dominant components in Section C for Cases 2 (a–d and i–l) and 3 (e–h, and m–p). For
 608 Case 2: the u_{Ltu} during (a) neap and (i) spring tides, and (b, j) the corresponding turbulent mean component
 609 (u_{Lk0u1}); the v_{Ltu} during (c) neap and (k) spring tides, and (d, l) the corresponding turbulent mean component
 610 (v_{Lk0u1}). For Case 3: the u_{Ltu} during (e) neap and (m) spring tides, and (f, n) the corresponding tidal straining
 611 component (u_{Lk1u0}); the v_{Ltu} during (g) neap and (o) spring tides, and (h, p) the corresponding tidal straining
 612 component (v_{Lk1u0}). The shading follows the same indications as presented in Fig. 1.

613 3.4 Contributions of non-dominant components for eddy viscosity component

614 During neap tides, the cross-estuary coupled component of the tidal-averaged eddy
615 viscosity and velocity gradient oscillation (u_{Lk0u0}) for Case 1 demonstrates a vertically sheared
616 structure in the shoal, with alternating westward and eastward flows (Fig. 13a). During spring
617 tides, u_{Lk0u0} for Case 1 predominantly flows eastward in the shoal and displays a two-layer
618 structure in the deep channel with eastward flow in the upper layer and westward flow in the
619 lower layer (Fig. 13c). The cross-estuary tidal straining component (u_{Lk1u0}) during neap tides
620 exhibits an opposing structure to that of u_{Lk0u0} in the lower layer (Fig. 13g). In the upper layer,
621 it displays a similar pattern to u_{Lk0u0} . During spring tides, the extent and magnitude of the
622 eastward flow of u_{Lk1u0} in the deep channel are larger than during neap tides (Fig. 13i). During
623 neap tides, the cross-estuary coupled component of the eddy viscosity oscillation and the tidal-
624 averaged velocity gradient (u_{Lk1u1}) exhibits a complex vertically sheared structure (Fig. 13b).
625 During spring tides, u_{Lk1u1} displays a similar structure but with a greater magnitude than that
626 during neap tides (Fig. 13d). The combined effect of the three components (Fig. 13h and j),
627 namely u_{Lk0u0} , u_{Lk1u0} , and u_{Lk1u1} , contrasts with u_{Lk0u1} (Fig. 11g and h) in most areas of cross
628 section.

629 During neap tides, the along-estuary coupled component of the tidal-averaged eddy
630 viscosity and velocity gradient oscillation (v_{Lk0u0}) exhibits a vertically sheared structure with
631 alternating outflow and inflow in Case 1 (Fig. 13e). The structure of the along-estuary tidal
632 straining component (v_{Lk1u0}) closely resembles that of v_{Lk0u0} in the upper layer of the shoal,
633 while it is opposite in the lower layer of the shoal and deep channel (Fig. 13k). Additionally,
634 the cross-estuary coupled component of the eddy viscosity oscillation and the tidal-average
635 velocity gradient (v_{Lk1u1}) displays an opposite pattern to v_{Lk0u0} in the upper layer of the shoal
636 (Fig. 13f). The combined effects of the three along-estuary non-dominant components (Fig. 13l)
637 are opposite to the dominant component (v_{Lk0u1} ; Fig. 11i) and exert a negative contribution to

638 the total eddy viscosity component (Fig. 11c).



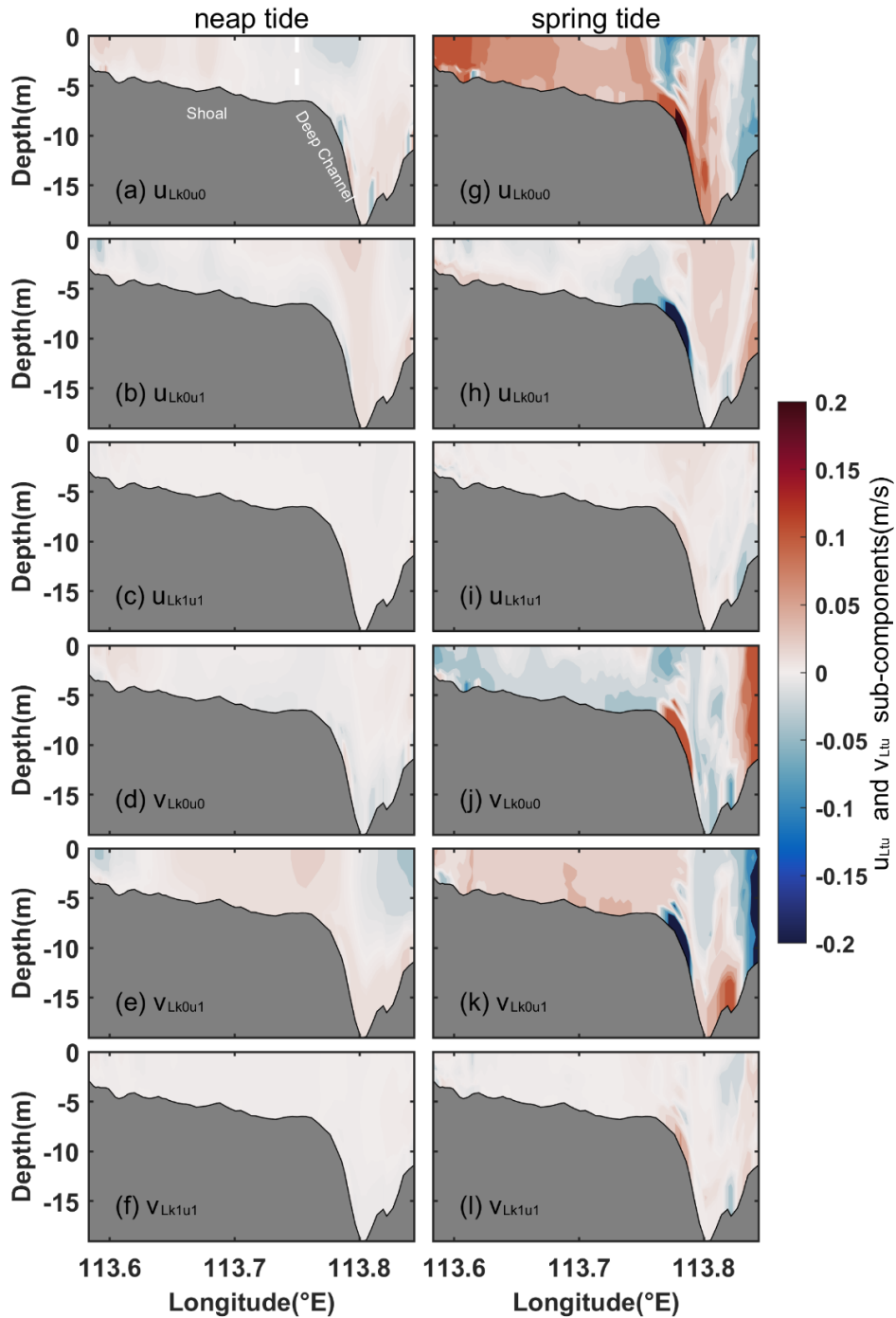
639

640 **Figure 13** Vertical profiles of non-dominant subcomponents of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu})
 641 eddy viscosity components for Case 1. For cross-estuary subcomponents: (a, c) coupled component of the
 642 tidal-average eddy viscosity and velocity gradient oscillation (u_{Lk0u0}), (g, i) tidal straining component (u_{Lklu0}),
 643 (b, d) coupled component of eddy viscosity oscillation and the tidal-average velocity gradient (u_{Lklu1}), (h, j)
 644 the sum of the three subcomponents during neap (a, b, g, h) and spring (c, d, i, j) tides, respectively. (e, k,
 645 f, l) Corresponding along-estuary eddy viscosity subcomponents during neap tides.

646 Without the wind forcing, the structures of the non-dominant components of the eddy
647 viscosity component in Case 2 remain consistent with those in Case 1 throughout the entire
648 cross section (not shown). However, during neap tides, their magnitudes in the upper layer
649 manifest a reduction by an order of magnitude relative to Case 1. This indicates a substantial
650 influence of wind on these subcomponents during relatively small tides. During spring tides,
651 both the structure and magnitude (Table 2) of each non-dominant component of the eddy
652 viscosity component align with those in Case 1. This suggests a weak influence of wind on the
653 non-dominant components during spring tides.

654 When stratification is further ignored in Case 3, the cross-estuary coupled component of
655 the tidal-averaged eddy viscosity and velocity gradient oscillation (u_{Lk0u0}) exhibits eastward
656 flow in the shoal and the lower layer of the deep channel, while displaying westward flow in
657 the upper layer of the deep channel during neap tides (Fig. 14a). This structure differs from that
658 in Case 2, and the magnitude of u_{Lk0u0} is approximately 140 times smaller than that in Case 2
659 (Table 2) during neap tides. The cross-estuary turbulent mean component (u_{Lk0u1}) for Case 3
660 predominantly flows westward in most of the shoal, and eastward in most of the deep channel
661 (Fig. 14b). The u_{Lk0u1} transitions from westward flow in Case 2 to eastward flow in Case 3 in
662 the lower layer of the deep channel. Furthermore, the magnitude of u_{Lk0u1} in Case 3 is
663 approximately 12 times smaller than that during neap tides in Case 2. During spring tides, the
664 area of eastward flow of u_{Lk0u0} in the shoal is larger than that observed during neap tides in
665 Case 3 (Fig. 14g), and its magnitude is approximately 6 times smaller than that in Case 2. The
666 structure of u_{Lk0u1} during spring tides aligns with that observed during neap tides (Fig. 14h),
667 while its magnitude is roughly 20 times smaller than that in Case 2. The magnitude of the cross-
668 estuary coupled component of eddy viscosity oscillation and tidal-average velocity gradient
669 (u_{Lk1u1}) (Fig. 14c and i) in Case 3 is the smallest among the components (Table 2),
670 approximately ranging from 40 to 50 times smaller than that in Case 2.

671 The along-estuary non-dominant eddy viscosity subcomponents for Case 3 are depicted
672 in Fig. 14d–f and j–l. During neap tides, both the along-estuary coupled component of the tidal-
673 averaged eddy viscosity and velocity gradient oscillation (v_{Lk0u0}) and the along-estuary coupled
674 component of eddy viscosity oscillation and tidal-average velocity gradient (v_{Lk1u1}) exhibit
675 horizontally sheared structures (Fig. 14d and f) that differ from those in Case 2. The magnitudes
676 of v_{Lk0u0} and v_{Lk1u1} are approximately 22–50 times smaller than those in Case 2 (Table 2).
677 During spring tides, the structures of v_{Lk0u0} and v_{Lk1u1} (Fig. 14j and l) are relatively similar to
678 those during neap tides, and their magnitudes are approximately 12–32 times smaller compared
679 to Case 2. During neap tides, the along-estuary turbulent mean component (v_{Lk0u1}) for Case 3
680 displays inflow in the shoal and the lower layer of the deep channel, as well as outflow in the
681 upper layer of the deep channel (Fig. 14e). This pattern is opposite to that in Case 2, and the
682 magnitude of v_{Lk0u1} is approximately 6 times smaller than that in Case 2. During spring tides,
683 the outflow area of v_{Lk0u1} for Case 3 in the deep channel is larger than that during neap tides
684 (Fig. 14k), and the magnitude is approximately 5 times smaller than that in Case 2. The results
685 elucidate the substantial effect of stratification on each non-dominant component of the eddy
686 viscosity due to the differentially sheared structure, with magnitudes an order greater than in
687 non-stratified scenarios.



688

689 **Figure 14** Vertical profiles of non-dominant subcomponents of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu})
 690 eddy viscosity components for Case 3. For cross-estuary subcomponents: **(a, g)** coupled component of the
 691 tidal-average eddy viscosity and velocity gradient oscillation (u_{Lk0u0}), **(b, h)** turbulent mean component
 692 (u_{Lk0u1}), **(c, i)** coupled component of eddy viscosity oscillation and the tidal-average velocity gradient (u_{Lk1u1})
 693 during neap **(a–c)** and spring **(g–i)** tides, respectively. **(d, j, e, k, f, l)** Corresponding along-estuary eddy
 694 viscosity subcomponents.

695 4. Discussion

696 Several dimensionless parameters are examined to quantify the relative impact of the two
697 distinct forcings, respectively. The Pearl River Estuary (PRE) features a relatively wide
698 expanse, measuring 20–60 km in width in the middle and lower regions, away from the river
699 discharge input nodes, and extending over a length of 70 km. The Rossby number is
700 approximately 0.2 in the Pearl River Estuary (PRE), similar to that calculated by Li et al. (2023),
701 signifying the prominence of the Coriolis force in the region's dynamics. The baroclinic Rossby
702 deformation radius is estimated to be approximately 12–16 km, a range similar to the findings
703 of Pan et al. (2014), suggesting the necessity to account for the rotational effect of the Earth.
704 Lai et al. (2018) highlighted that the influence of the Coriolis force in the PRE is substantial
705 with its effect extending to the bottom layer when compared to vertical mixing, and baroclinic
706 and barotropic momentum when analyzing the Eulerian average momentum equation. Chen et
707 al. (2019) indicated that in the depth-integrated momentum balance prior to a storm in the PRE,
708 local momentum balance primarily involves the pressure gradient force, the Coriolis force, and
709 bottom stress. Synthesizing current and prior research, it becomes apparent that the Coriolis
710 force is a predominant factor influencing the dynamics of the PRE. This assertion is
711 corroborated by Wu et al. (2018), who contend that the decomposition approach to Eulerian
712 residual transport assumes particular significance in scenarios marked by a notable presence of
713 Coriolis forces, as evidenced by a small Rossby number. The aforementioned discussion
714 accentuates the criticality and practicality of employing decomposition methods in such
715 analytical contexts.

716 The Plect number (Pe), defined as $u_c L_c / \nu_{Dc}$, measures the relative contribution between
717 the nonlinear advection and horizontal diffusion, where u_c , L_c , and ν_{Dc} are the scales of tidal
718 current, the estuary length, and the horizontal diffusion coefficient. The Pe for the PRE domain
719 is several orders of magnitude larger than 1 indicating horizontal diffusion is so small that it

720 can be ignored. The results in the paper have indicated that the contribution of the horizontal
721 diffusion component is several-fold lower, or even an order of magnitude, less than other
722 components. Among all terms, the barotropic pressure gradient has the largest scale, making
723 the barotropic pressure gradient component of LRV contribute the most compared to other
724 components. The Wedderburn number (W) is calculated to measure the contribution ratio of
725 wind forcing to the baroclinic pressure gradient, defined as $W = L_c T_w / (g \Delta \rho H_c^2)$ (Lange and
726 Burchard, 2019). The value of W in the PRE is 0.0294 during neap tides and 0.0447 during
727 spring tides, suggesting the baroclinic effects dominate in periodically stratified waters and
728 small W inhibits along-estuary gravitational circulation, which is identical to that in Lange and
729 Burchard (2019). The Simpson number (Si) is a parameter used to quantify the level of
730 stratification in estuaries (Simpson et al., 1990). It is calculated using the following formula:

$$Si = \frac{\partial_x b H^2}{u_{max}^2}, \quad (8)$$

731 where $\partial_x b$ represents the tidal mean horizontal density gradient, H represents the water depth,
732 and u_{max} represents the absolute magnitude of the velocity amplitude. Based on the Simpson
733 number values, different stratification conditions can be determined for the estuary. The estuary
734 is categorized as well-mixed when $Si < 0.088$; In the case of $0.088 < Si < 0.84$, the estuary
735 displays periodic stratification; For $Si > 0.84$, the estuary is strongly stratified, as indicated by
736 Becherer et al. (2011). The Si for the PRE ranges from 0.1 to 0.45 in stratified conditions in
737 Cases 1 and 2, indicating that the estuary is periodically stratified. Sections B–D are arranged
738 in a north-to-south distribution, gradually approaching the open sea. The Si progressively
739 increases towards the open sea, with values ranging from 0.1 to 0.4 during neap tides and 0.05
740 to 0.1 during spring tides. This indicates that the magnitude of tides has substantial influences
741 on Si . With the increment in Si , the relative contributions of the tidal straining component and
742 the baroclinic pressure gradient component diminish. These findings align with those of Cheng

743 et al. (2011). Forced by wind, the relative contribution of the two components changes from 2
744 to 0.57 during neap tides and 2 to 1.4 during spring tides. However, in the absence of wind, the
745 relative contribution varies from 0.67 to 0.26 during neap tides and 1.4 to 0.9 during spring
746 tides, where the value of S_i closely mirrors those with wind forcing. The findings underscore
747 that the southwesterly wind amplifies the relative contribution ratios of the tidal straining
748 component to the baroclinic pressure gradient component of the LRV. Specifically, these ratios
749 are 1.5 to 3 times greater compared to scenarios without wind forcing.

750 According to the Eulerian mean theory, the coupled component of tidal-averaged eddy
751 viscosity and velocity gradient oscillation (u_{Ek0u0}), and the coupled component of tidal-
752 averaged velocity gradient and eddy viscosity oscillation (u_{Ek1u1}) are zero (Burchard and
753 Hetland, 2010), however, in the Lagrangian mean theory, those components are not zero and
754 their magnitudes are comparable to other components under most conditions. Although the
755 tidal straining component of ERV has been extensively discussed, the contribution of the
756 turbulent mean term to the total ERV has not been analyzed in previous studies (Burchard and
757 Hetland, 2010; Burchard et al., 2011). This paper reveals that under stratified conditions, the
758 tidal mean component dominates the eddy viscosity component, even though the magnitudes
759 of tidal straining and the combined component of tidal-average eddy viscosity and velocity
760 gradient oscillation are greater than the turbulent mean component. However, these two
761 components exhibit inverse structures of equal magnitude. As a result, their collective impact
762 on the total eddy viscosity component is minimal or negative. Under homogeneous conditions,
763 the tidal straining component dictates the structure of the eddy viscosity. Similarly, the
764 cumulative effects of other components contribute negatively and minimally.

765 The decomposition methodologies present distinct advantages for elucidating the
766 dynamics of Lagrangian Residual Velocity (LRV) within generally or weakly nonlinear
767 systems. This significance stems from the absence of comprehensive analytical solutions and

768 definitive governing equations for LRV in generally nonlinear contexts, coupled with the
769 constraints of analytical solutions in weakly nonlinear frameworks (Jiang and Feng, 2014; Cui
770 et al., 2019; Chen et al., 2020). In scenarios where the Coriolis force is negligible, the
771 Lagrangian mean momentum equations remain applicable for primary momentum balance
772 analysis. However, these equations are inadequate for the detailed dissection of each LRV
773 component. Notably, in circumstances where the Coriolis effect is minimally impactful, the
774 methodologies employed for LRV decomposition may demonstrate variability, contingent
775 upon the dominant momentum balances. This underscores the necessity for expanded
776 investigation in future scholarly endeavors.

777 The relevance of the Lagrangian residual circulation for mass transport in estuaries or
778 bays is evident. In the Eulerian-averaged salinity balance equation, a tidal dispersion term
779 emerges (Hansen and Rattray, 1965). This tidal dispersion term exhibits different dynamic
780 mechanisms in various estuaries (Fischer, 1979), and even within different sections of the same
781 estuary. However, when the isohaline averaging method is employed to quantitatively assess
782 estuarine circulation, the tidal dispersion term vanishes (MacCready, 2011; Wang et al., 2017;
783 MacCready et al., 2018). Nevertheless, the salinity coordinate method is only an approximate
784 Lagrangian approach. Future studies focusing on the dynamic mechanisms of salinity transport
785 from a Lagrangian averaging perspective will provide further insights into the subject.

786 **5. Conclusions**

787 The FVCOM model is employed to investigate the dynamic mechanism of the LRV in the
788 PRE. By quantitatively analyzing the contribution of each dynamic component to the LRV, the
789 primary mechanisms governing the LRV in the PRE under conditions of stratification and wind
790 are elucidated, which has been not extensively explored in prior studies (Chu et al., 2022; Deng
791 et al., 2022). Moreover, to discern the impact of the eddy viscosity component on the LRV,

792 this component is decomposed into four subcomponents, with each subcomponent's
793 contribution being quantitatively evaluated. Notably, the decomposition methodologies rooted
794 in Lagrangian theory adopted in this work differ from earlier studies anchored in Eulerian
795 theory (e.g., Burchard et al., 2011; Cheng et al., 2011; Wei et al., 2021). This analysis reveals
796 the prevailing mechanisms shaping the structure of the eddy viscosity component across
797 different dynamic scenarios.

798 While many studies have focused on ERV in the PRE (e.g., Lai et al., 2018; Xu et al.,
799 2021; Hong et al., 2022), research on LRV in the PRE remains limited, particularly regarding
800 the dynamic mechanisms of LRV. In the reference case, the cross-estuary LRV (u_L) exhibits a
801 two-layer vertical structure with eastward flow in the upper layer and westward flow in the
802 lower layer. The two-layer structure is primarily determined by the combined effects of the
803 eddy viscosity component (u_{Ltu}), the barotropic pressure gradient component (u_{Lba}), and the
804 baroclinic pressure gradient component (u_{Lgr}). The u_{Ltu} is the main contributor to the eastward
805 flow in the upper layer of the shoal, and u_{Lba} determines the eastward flow in the upper layer
806 of the deep channel. For the entire lower layer, the westward flow is dominated by u_{Ltu} and u_{Lba} ,
807 with u_{Lgr} playing a balancing role. The along-estuary LRV (v_L) exhibits a two-layer
808 gravitational circulation pattern. The v_L is predominantly influenced by the imbalance of the
809 barotropic pressure gradient component (v_{Lba}) and the baroclinic pressure gradient component
810 (v_{Lgr}). The outflow is mainly dominated by v_{Lba} in the upper layer, while the inflow is primarily
811 driven by v_{Lgr} in the lower layer. For non-dominant components, the combined effects of the
812 local acceleration component, and the horizontal and vertical nonlinear component contributes
813 less to total LRV. The contribution of the horizontal diffusion component is negligible.

814 Without wind forcing, the eastward flow dominated by the eddy viscosity component (u_{Ltu})
815 transforms into the westward flow dominated by the barotropic pressure gradient component
816 (u_{Lba}) in the upper 2 m of the shoal. In other regions, the dominant components of the cross-

817 estuary LRV (u_L) roughly remain the same as those in the reference case, indicating that wind
818 mainly affects u_L in the upper layer by influencing u_{Ltu} . The structure and dominant components
819 of the along-estuary LRV (v_L) are nearly consistent with those in the reference case except for
820 some regions in the shoal, but the magnitude of the dominant components is larger than that in
821 the reference case, indicating that the southwesterly wind inhibits the along-estuary
822 gravitational circulation. The along-estuary non-dominant components exhibit consistent
823 magnitudes and structures, irrespective of the presence or absence of wind forcing, except for
824 the along-estuary eddy viscosity component, which exhibits a reverse structure in the upper
825 layer compared to that with wind forcing.

826 Under unstratified conditions, the cross-estuary and along-estuary LRV (u_L , v_L) are
827 transformed from the vertical shear structure in stratified waters to the lateral shear structure.
828 The u_L is dominated by the sum of the local acceleration component (u_{Lac}), horizontal nonlinear
829 component (u_{Ladh}), barotropic pressure gradient component (u_{Lba}), and eddy viscosity
830 component (u_{Ltu}). The v_L is dominated by the sum of the barotropic pressure gradient
831 component (v_{Lba}) and eddy viscosity component (v_{Ltu}). These results indicate that stratification
832 modulates the structure of the LRV by impacting its dominant components when contrasted
833 with conditions in homogeneous waters.

834 This study highlights that the eddy viscosity component remains dominant regardless of
835 the presence of stratification. Specifically, under stratified conditions, the turbulent mean
836 component plays a dominant role in the total eddy viscosity component, which has not yet been
837 studied in previous works (e.g., Burchard et al., 2023). Conversely, under unstratified
838 conditions, the tidal straining component takes precedence over other factors in contribution to
839 the total eddy viscosity component, and its magnitude is either several times or one order of
840 magnitude bigger than the other components. The combined effects of non-dominant
841 components have a negative contribution to the total eddy viscosity component.

842

Appendix

843 Numerical solutions of each component of the Lagrangian Residual Velocity (LRV)

844 Each term in the momentum equations is integrated along the particle trajectories over a
 845 tidal period and divided by the tidal period to obtain each dynamic component of Lagrangian
 846 residual velocity.

$$\begin{aligned}
 v_L = & \underbrace{\left\langle \frac{\partial u D}{D \partial t} \right\rangle / f}_1 + \underbrace{\left\langle \frac{\partial u^2 D}{D \partial x} + \frac{\partial uv D}{D \partial y} \right\rangle / f}_2 + \underbrace{\left\langle \frac{\partial u \omega D}{D \partial \sigma} \right\rangle / f}_3 + \underbrace{\left\langle g \frac{\partial \zeta}{\partial x} \right\rangle / f}_4 \\
 & - \underbrace{\left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_h \frac{\partial u}{\partial \sigma} \right) \right\rangle / f}_5 + \underbrace{\left\langle \frac{g}{\rho_0} \left(D \int_{\sigma}^0 \frac{\partial \rho}{\partial x} d\sigma_1 + \frac{\partial D}{\partial x} \int_{\sigma}^0 \sigma_1 \frac{\partial \rho}{\partial \sigma_1} d\sigma_1 \right) \right\rangle / f}_6 - \underbrace{\left\langle F_x \right\rangle / f}_7, \quad (A1)
 \end{aligned}$$

$$\begin{aligned}
 u_L = & - \underbrace{\left\langle \frac{\partial v D}{D \partial t} \right\rangle / f}_1 - \underbrace{\left\langle \left(\frac{\partial uv D}{D \partial x} + \frac{\partial v^2 D}{D \partial y} \right) \right\rangle / f}_2 - \underbrace{\left\langle \frac{\partial v \omega D}{D \partial \sigma} \right\rangle / f}_3 - \underbrace{\left\langle g \frac{\partial \zeta}{\partial y} \right\rangle / f}_4 \\
 & + \underbrace{\left\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_h \frac{\partial v}{\partial \sigma} \right) \right\rangle / f}_5 - \underbrace{\left\langle \frac{g}{\rho_0} \left(D \int_{\sigma}^0 \frac{\partial \rho}{\partial y} d\sigma_1 + \frac{\partial D}{\partial y} \int_{\sigma}^0 \sigma_1 \frac{\partial \rho}{\partial \sigma_1} d\sigma_1 \right) \right\rangle / f}_6 + \underbrace{\left\langle F_y \right\rangle / f}_7, \quad (A2)
 \end{aligned}$$

847 where $u(x, y, \sigma, t)$, $v(x, y, \sigma, t)$, and $\omega(x, y, \sigma, t)$ represent velocity components in the
 848 longitudinal (x), latitudinal (y), and vertical (σ) directions, respectively. The $\rho(x, y, \sigma, t)$ is
 849 water density, ρ_0 is the reference density, t is the time, f is the Coriolis parameter, and $v_h(x, y,$
 850 $\sigma, t)$ is eddy viscosity coefficient. The $D = H + \zeta$, where $H(x, y)$ is the water mean depth, $\zeta(x, y,$
 851 $t)$ is the water surface elevation. The first term refers to local acceleration component, the
 852 second terms represent horizontal nonlinear advection components, the third term depicts the
 853 nonlinear vertical advection component, the fourth term corresponds to the barotropic pressure
 854 gradient component, the fifth term describes the eddy viscosity component, the sixth terms
 855 denote the baroclinic pressure gradient components, and the seventh term pertains to horizontal
 856 diffusion component. The $\langle \rangle$ denotes the Lagrangian mean operator.

857 Data availability

858 Hydrodynamic datasets used in this study are available online at

859 <https://doi.org/10.5281/zenodo.10043226> (Deng et al., 2023). The 1° World Ocean Atlas 2009
860 (WOA2009) datasets are accessible online from (<https://accession.nodc.noaa.gov/0094866>).
861 The 0.25° CCMP datasets are available online (<http://www.remss.com/measurements/ccmp>).
862 The monthly average river runoff data are provided by the Water Conservancy Committee of
863 the Pearl River under the Ministry of Water Resources. The topography data off the PRE are
864 from the ETOPO2 dataset of NOAA
865 (<https://www.ngdc.noaa.gov/mgg/global/relief/ETOPO2/ETOPO2v2-2006/>), while those
866 within the estuary are provided by the China Maritime Safety Administration.

867 **Author contributions**

868 All authors have contributed to the conceptualization and design of this study. The
869 analytical methods were originally formulated by FD. Subsequently, FD and ZC meticulously
870 processed and analyzed the data. The model was collaboratively developed and the manuscript
871 was co-authored by FD, FJ, and ZC. The final manuscript underwent a thorough review and
872 editing process, led by RS, SZ, QL, and XZ, ensuring its quality and accuracy.

873 **Competing interests**

874 The contact author has declared that none of the authors has any competing interests.

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