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7	Influence of Stratification and Wind Forcing on the Dynamics of Lagrangian
8	Residual Velocity in a Periodically Stratified Estuary
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22 Abstract

23 Wind and stratification play pivotal roles in shaping the structure of the Lagrangian residual velocity (LRV). However, the intricate dynamics by which wind and stratification 24 modify the LRV remain poorly studied. This study derives numerical solutions of LRV 25 26 components and eddy viscosity subcomponents to elucidate the dynamics within the 27 periodically stratified Pearl River estuary. The vertical shear cross-estuary LRV (u_L) is principally governed by the interplay among the eddy viscosity component (u_{Ltu}) , the barotropic 28 29 component (u_{Lba}) , and the baroclinic component (u_{Lgr}) under stratified conditions. During neap 30 tides, southwesterly winds notably impact u_L by escalating u_{Ltu} by an order of magnitude within 31 the upper layer. This transforms the eastward flow dominated by u_{Ltu} under wind influence into 32 a westward flow dominated by u_{Lba} in upper shoal regions without wind forcing. The along-33 estuary LRV exhibits a gravitational circulation characterized by upper-layer outflow 34 engendered by barotropic component (v_{Lba}) and lower-layer inflow predominantly driven by 35 baroclinic component (v_{Lgr}) . The presence of southwesterly winds suppresses along-estuary 36 gravitational circulation by diminishing the magnitude of v_{Lba} and v_{Lgr} . The contributions of 37 v_{Lba} and v_{Lgr} are approximately equal, while the ratio between u_{Lba} and u_{Lgr} (u_{Ltu}) fluctuates 38 within the range of 1 to 2 in stratified waters. Under unstratified conditions, LRV exhibits a 39 lateral shear structure due to differing dominant components compared to stratified conditions. In stratified scenarios, the eddy viscosity component of LRV is predominantly governed by the 40 41 turbulent mean component, while it succumbs to the influence of the tidal straining component 42 in unstratified waters.

44 **1. Introduction**

Tidal currents are the principal movement in shallow seas and estuaries. However, tidal oscillations are not the predominant factor regarding the long-term transport of mass, such as pollutants, sediments, nutrients, and suspended materials. Instead, residual current, which remains after filtering out tidal movements, plays a crucial role in long-term mass transport. Therefore, unveiling the dynamic mechanisms governing the structure and magnitude of the residual current becomes particularly important for a correct understanding of the circulation and long-term mass transport in shallow seas and estuaries.

52 Pritchard (1952) proposed a conceptual model of estuarine circulation characterized by a two-layer structure, drawing from extensive observations. A subsequent study by Pritchard 53 54 (1956) emphasized the crucial role of the horizontal density gradient as the primary driving 55 force for estuarine circulation. Subsequently, the theory of estuarine gravitational circulation 56 was developed, assuming a constant eddy viscosity (Hansen and Rattray, 1965). Nevertheless, 57 it is imperative to acknowledge that estuarine circulation is influenced not solely by density 58 gradients but also by factors such as wind, tides, and other dynamic forces. These external 59 factors possess the ability to modify or even reverse the structure of gravitational circulation 60 within estuaries.

61 To remove the tidal signal, early researchers such as Abbott (1960) utilized a 62 straightforward method by averaging current velocities over one or several tidal periods at a 63 specific location to calculate the Eulerian Residual Velocity (ERV). Several studies have 64 highlighted the impact of tidal straining on Eulerian residual velocity (ERV) (e.g., Becherer et al., 2011; Burchard et al., 2014, 2023). Jay and Musiak (1994) found the ERV induced by tidal 65 66 straining is comparable to gravitational circulation. Additionally, tidal straining contributes 67 twice as much to the ERV as gravitational circulation without consideration of river runoff 68 (Burchard and Hetland, 2010). The flow induced by tidal straining varies in estuaries with

69 different stratified conditions. When the horizontal density gradient is small, tidal straining 70 dominates the structure of the ERV (Burchard et al., 2011). Cheng et al. (2011) showed that 71 tidal straining induces a typical two-layer circulation in weakly stratified estuaries, while the 72 circulation exhibits a vertical three-layer structure with inflow in the upper and lower layer and 73 outflow in the middle layer in partially and strong stratified estuaries. As stratification 74 intensifies, the ratio of flow induced by tidal straining to gravitational circulation decreases. In 75 a weakly stratified short estuary, tidal straining plays a secondary role in ERV compared to 76 gravitational circulation (Wei et al., 2021). Gever and MacCready (2014) indicated that the 77 Eulerian mean method tends to overestimate the contribution of tidal straining. Therefore, it is 78 more reasonable to analyze dynamical mechanisms for residual current from the perspective of 79 the Lagrangian tidally averaged theory.

80 Wind, in conjunction with tides and density gradients, exerts a substantial influence on 81 estuarine residual currents and stratification (Verspecht et al., 2009; Jongbloed et al., 2022). Its 82 role in the generation of surface residual currents is underscored by the strong correlations 83 observed between wind speeds and residual current velocities across both annual and seasonal 84 timescales (Ren et al., 2022). Research on the Dongsha atoll revealed that the combined effects 85 of wind and tide introduce more dynamic water exchange compared to tides alone (Chen, 2023). In the Bohai Sea area off Qinhuangdao, residual currents exhibit pronounced seasonal 86 87 fluctuations, correlating notably with wind speeds at specific temporal lags (Zhang et al., 2023). 88 Furthermore, the shift in wind-driven circulation is pivotal for mass transport within bays, with 89 estuarine residual circulation superseding tidal pumping as the primary transport mechanism 90 (Young et al., 2023). Burchard (2009) highlighted that upstream winds weaken stratification 91 and reduce the magnitude of the ERV, whereas the downstream wind sheds the opposite effect. To quantify the destratification effect of upstream wind, Lange and Burchard (2019) introduced 92 93 the Wedderburn number to analyze the relationship between upstream wind and density

gradient. The wind is less inclined to affect the residual current with large Wedderburn numbers
and may inhibit gravitational circulation, whereas the structure of ERV reverses with small
Wedderburn numbers. Wind plays a pivotal role in modulating classical gravitational
circulation, most notably reversing surface outflow during winter. In contrast, northward winds
in spring enhance stratification and augment the pressure gradient-driven flow (Soto-Riquelme
et al., 2023).

100 The Eulerian mean method is a prevalent approach for examining estuarine dynamics; 101 however, specific terms within its momentum and mass transport equations remain ambiguous 102 in their physical interpretations (Ianniello, 1977; Feng et al., 1984). Lamb (1975) posited that any flow field must adhere to the mass conservation principle. Zimmerman (1979) defined 103 104 Lagrangian residual velocity (LRV) as the net displacement of the water parcels over one or 105 several tidal periods. Contextualizing this, the LRV, rooted in the intrinsic principles of physical 106 motion, upholds material conservation, and offers a precise portrayal of circulation dynamics 107 in shallow marine environments (Feng, 1987; Jiang and Feng, 2014).

108 Lagrangian particle tracking methods play a pivotal role in studying mass transport and residence time (RT) across various coastal seas, estuaries, and bays. Specific water mass 109 110 transport patterns are discerned in the Bohai Sea, revealing salient regional transport 111 characteristics steered by LRV (Yu et al., 2023). The combined effects of residual transport 112 velocity in the current and next seasons emerge as the predominant factor driving the RT's 113 seasonal variation (Lin et al, 2022). Wind direction, wind speed, and density gradient-induced 114 circulation collectively regulate RT (Hewageegana et al., 2023). The reduction of cross-shore 115 currents results in mass convergence and increases RT (Li et al., 2022). The water exchange 116 and RT are mainly determined by the structure of the LRV (Jiang and Feng, 2014). RT 117 predominantly represents an accumulative measure, primarily influenced by residual transport 118 rather than immediate responses (Jiang, 2023). Convergence zones resulting from LRV

119 efficiently establish consistent aggregation regions of buoyant material within the estuary 120 rather than ERV (Kukulka and Chant, 2023). To gain an in-depth understanding of mass 121 transport, extensive prior research has been dedicated to elucidating qualitative and quantitative evaluations of the determinants impacting the LRV's structure and magnitude. The influence of 122 LRV in semi-closed estuaries and bays affected by tides has received attention from 123 124 oceanographers (Winant, 2008; Jiang and Feng, 2011; Deng et al., 2019). Quan et al. (2014) 125 employed a numerical model to investigate the impact of the ratio of tidal amplitude to water 126 depth on LRV, and Jiang and Feng (2014) explored how the ratio of estuary length to 127 wavelength affects LRV. Wang et al. (2010) examined the effects of wind, density gradient, and 128 river runoff on LRV using a numerical model. However, this study aims to illustrate structural 129 and magnitudinal variations of the total LRV under different factors, without delving into the 130 underlying dynamic mechanisms. Liu et al. (2021) demonstrated that the influence of wind and 131 density gradients on LRV is closely associated with the initial tidal phase based on the 132 momentum equations, but the specific contribution of each dynamic component to LRV 133 remains poorly studied.

134 Jiang and Feng (2014) explored the dynamical mechanisms for the LRV, which gives to 135 the assumptions of a constant eddy viscosity and linear bottom friction in the entire estuarine. Subsequently, numerical models were utilized to study the contribution of tidal body force to 136 137 LRV under a constant eddy viscosity, revealing that the Stokes' drift component plays a 138 dominant role (Cui et al., 2019). Chen et al. (2020) analyzed the contribution of each dynamical 139 term to the LRV and found the Stokes' drift component is the dominant component under the 140 condition of the horizontal unvaried but depth-varying eddy viscosity. The above studies are 141 all carried out under a temporally constant eddy viscosity. The impact of spatially varying eddy 142 viscosity on LRV was examined in a narrow model, revealing that nonlinearity leads to a more 143 complex LRV structure (Deng et al., 2017). However, these studies lack a quantitative analysis of the underlying dynamical mechanism. Sheng et al. (2022) demonstrated that the structure of LRV is primarily determined by the combined effects of the barotropic pressure gradient and tidal body force when only barotropic conditions are considered. Deng et al. (2022) further quantitatively analyzed the contributions of each driving force to LRV, considering both temporal and spatial variations in eddy viscosity under a constant density gradient. However, the roles of wind and stratification in LRV dynamics remain poorly studied.

150 The Pearl River, as the third largest river in China, encompasses a complex hydrodynamic 151 environment. The Pearl River estuary (PRE) is a trumpet-like estuary characterized by two 152 deep channels and shallow shoals. In recent years, researchers have increasingly focused on 153 topics such as tidal currents, salinity intrusion, river plume dynamics, and residual current in 154 the PRE (e.g., Gong et al., 2018; Pan et al., 2020; Wei et al., 2022). The estuary displays a 155 typical two-layer circulation as observed in micro-tidal estuaries (Xue et al., 2001). Wang (2014) 156 investigated the temporal and spatial variations of the ERV and analyzed its underlying 157 dynamical mechanisms within the PRE. Lai et al. (2018) discussed the influence of tides and 158 winds on the ERV and the associated dynamical processes using the Eulerian mean momentum 159 equation. Additionally, the nonlinear advection term was identified as an important factor in 160 the ERV within the PRE (Xu et al., 2021). An anticlockwise shift in summertime wind direction from 1979 to 2020 weakens cross-channel wind-driven transport and along-channel seaward 161 162 flow, leading to increased stratification near the Modaomen (Hong et al. 2022). While Chu et 163 al. (2022) explored the hydrodynamic processes and connectivity of the circulation within the 164 estuary from a Lagrangian tidally averaged perspective, a detailed dynamical analysis was not 165 provided. Few studies have focused on the LRV within the PRE, especially regarding its 166 underlying dynamical mechanisms.

167 Analytical solutions regarding the dynamics of LRV are constrained to a temporally 168 constant eddy viscosity, while numerical solutions of LRV's dynamic components disregard 169 the influence of stratification and wind. Consequently, the impact of wind and stratification on 170 LRV dynamics remains enigmatic. Numerical solutions for LRV components are derived to 171 grasp the modifications induced by wind and stratification within each LRV component, 172 ultimately leading to changes in the overall LRV. Furthermore, wind and stratification influence turbulent mixing, subsequently affecting the LRV driven by the eddy viscosity term. Although 173 174 scholars have extensively examined tidal straining effects on estuarine circulation via the 175 Eulerian mean theory, the analysis of turbulent influences from the Lagrangian mean theory 176 perspective yields distinctions from the Eulerian approach. To illuminate the mechanisms 177 underlying the eddy viscosity component of LRV, we initiate by decomposing this component into four subcomponents. This study pursues two principal objectives: 1) to delve into the 178 179 mechanisms by which wind and stratification modify LRV components, and 2) to investigate 180 the roles of wind and stratification in the dominant contributor of the eddy viscosity component. 181 This paper will provide valuable insights into the dynamic processes of longitudinal and lateral 182 estuarine circulation based on Lagrangian mean theory under the influence of wind and 183 stratification. These aspects have not been quantitatively assessed in previous studies. Additionally, the proposed decomposition theory of the eddy viscosity component offers a 184 185 novel approach for analyzing the dominant mechanisms of turbulent components. This paper is structured as follows: Section 2 provides a delineation of model setup parameters, model 186 187 validation, and LRV decomposition methods. Section 3 outlines the contribution of each 188 component to the overall LRV and the contribution of each subcomponent to the total eddy 189 viscosity component of LRV. The discussion and conclusions are presented in Section 4.

190 **2. Theory and model description**

191 **2.1 The decomposition method**

192 The LRV is decomposed into seven components, including the local acceleration

193 component (u_{Lac} and v_{Lac}), horizontal nonlinear advection component (u_{Ladh} and v_{Ladh}), vertical 194 nonlinear advection component (u_{Ladv} and v_{Ladv}), barotropic pressure gradient component 195 (barotropic component; u_{Lba} and v_{Lba}), baroclinic pressure gradient component (baroclinic 196 component; u_{Lgr} and v_{Lgr}), eddy viscosity component (u_{Ltu} and v_{Ltu}), and horizontal diffusion 197 component (u_{Lho} and v_{Lho}). The detailed decomposition methods are shown in the appendix. 198 Deng et al. (2022) considered a temporally constant density gradient but neglected the effects 199 of periodic stratification and wind forcing. In this paper, one of the primary objectives is to 200 quantify the effects of wind and stratification on the dynamics of the different components of 201 LRV.

Wind and stratification play roles in turbulent mixing, which subsequently impacts the fluctuations of eddy viscosity over a tidal period. This influence extends to the eddy viscosity component of LRV. To clarify the mechanisms underlying this eddy viscosity component, we decompose it into four subcomponents. We evaluate the distinct contributions of each subcomponent to the total eddy viscosity component, aiming to delve into the dominant dynamic mechanisms, which is another objective of our paper. The study derives the following decomposition methods:

$$-\left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h} \frac{\partial u}{\partial \sigma} \right) \right\rangle / f = -\left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial u_{0}}{\partial \sigma} \right) \right\rangle / f - \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial u_{1}}{\partial \sigma} \right) \right\rangle / f$$

$$-\left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial u_{0}}{\partial \sigma} \right) \right\rangle / f - \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial u_{1}}{\partial \sigma} \right) \right\rangle / f ,$$

$$\left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h} \frac{\partial v}{\partial \sigma} \right) \right\rangle / f = \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial v_{0}}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial v_{1}}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_{1}}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_{1}}{\partial \sigma} \right) \right\rangle / f ,$$
(1)
$$\left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_{0}}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_{1}}{\partial \sigma} \right) \right\rangle / f ,$$
(2)
$$\left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_{0}}{\partial \sigma} \right) \right\rangle / f + \left\langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_{1}}{\partial \sigma} \right) \right\rangle / f ,$$
(3)

where <> represents the Lagrangian-averaged operator, u and v are horizontal tidal currents, v_h is the eddy viscosity, u_1 and v_1 are tidal average currents, u_0 and v_0 are tidal periodic oscillation 211 currents, which are referred to as the zero-order terms. These zero-order terms are equivalent 212 in meaning to u' and v' as defined in prior studies (Burchard and Hetland, 2010; Burchard et 213 al., 2011, 2014; Cheng, 2014). The terms u_1 and v_1 correspond to the first-order terms and 214 represent the tidal average current. The v_{h0} is tidal average eddy viscosity, as the zero-order 215 term with v_{hl} representing the tidal periodic oscillation of the eddy viscosity as the first-order term. The D is time-varying depth, σ is sigma coordinate, and f is Coriolis parameter. 216 Employing a first-order Taylor expansion, the approximation of $1/D^2$ is represented as $1/H^2$ – 217 $2\zeta/H^3$ (Cheng, 2014), where H signifies the mean depth and ζ corresponds to the sea surface 218 219 elevation. Within the vast majority of the Pearl River Estuary, the ratio of ζ_{max} to H remains below 0.2 during neap tides, with an exception in nearshore areas, where ζ_{max} is the maximum 220 of tidal elevations during a tidal period. The ratio during spring tides is slightly larger than that 221 222 during neap tides. But whether during spring or neap tides, the terms associated with $1/H^2$ exhibit a close correspondence to those related to $1/D^2$ in Eqs. (1) and (2) (not shown). The 223 terms pertaining to $-2\zeta/H^3$ are sufficiently minor to be negligible. Consequently, considering 224 D is approximately equivalent to H, further decomposition of D in the manuscript is not 225 undertaken. The $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial u_0}{\partial \sigma} \right) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial v_0}{\partial \sigma} \right) \rangle / f$ represent the coupled 226 227 component of the tidal-average eddy viscosity and velocity gradient oscillation (v_{Lk0u0} and u_{Lk0u0} , the $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial u_0}{\partial \sigma} \right) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_0}{\partial \sigma} \right) \rangle / f$ represent the tidal straining 228 component $(v_{Lklu0} \text{ and } u_{Lklu0})$, the $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial u_1}{\partial \sigma} \right) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h0} \frac{\partial v_1}{\partial \sigma} \right) \rangle / f$ represent the 229 turbulent mean component $(v_{Lk0ul} \text{ and } u_{Lk0ul})$, the $-\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial u_1}{\partial \sigma} \right) \rangle / f$ and $\langle \frac{1}{D^2} \frac{\partial}{\partial \sigma} \left(v_{h1} \frac{\partial v_1}{\partial \sigma} \right) \rangle / f$ 230 f represent the coupled component of eddy viscosity oscillation and the tidal-average velocity 231 232 gradient (v_{Lklul} and u_{Lklul}).

233 **2.2 Model configuration and experiments**

This study employs the Finite Volume Coastal Ocean Model (FVCOM; Chen et al., 2006) to simulate the dynamic response of LRV to wind and stratification in the PRE. FVCOM is a three-dimensional primitive equation Community Ocean Model (Chen et al., 2003) that utilizes a finite-volume approach, accounting for a free-surface and employing prognostic techniques. The model consists of unstructured triangular cells and employs terrain-following vertical coordinates, allowing for a better fitness of the irregular coastline and complex topography present in the estuary.

241 The model domain, covering the PRE and adjacent coastal regions, is depicted in Fig. 1, 242 spanning from 111.5°E to 116.5°E and 20°N to 23°N. The open boundary is situated in the northern South China Sea. Unidirectional grid nesting is implemented to enhance solution 243 244 algorithms. The coarse grid consists of 8040 nodes and 15093 triangular elements. The spatial 245 resolution of the horizontal grids varies across the entire region, ranging from 1 to 10 km. 246 Specifically, a resolution of 1 km is employed within the PRE, 2.0–5.0 km off the Guangdong 247 coast, and 10 km near the open boundary (Fig. 1a). On the other hand, the fine grid, consisting 248 of 45,368 nodes and 87,179 triangular elements, is configured based on the settings from previous studies (e.g., Lai et al., 2018; Geyer et al., 2020; Xu et al., 2021). The spatial resolution 249 250 of the fine grids within the region also varies, ranging from 0.1 to 2.0 km. More specifically, a 251 resolution of 0.1 km is utilized within the PRE, 0.1-1.0 km off the Guangdong coast, and 2.0 252 km close to the open boundary (Fig. 1b). In the vertical direction, the model employs fourteen 253 uniformly assigned sigma levels.







Figure 1 (a) Coarse mesh model, (b) fine mesh model.

256 The model incorporates eight major tidal constituents, namely M₂, N₂, S₂, K₂, K₁, O₁, P₁, and Q₁, as tidal driving forces at the open boundary. These constituents are obtained from the 257 Oregon State University Tidal Prediction Software (OTPS/TPXO; https://www.tpxo.net/otps; 258 259 Egbert and Svetlana, 2002). To initialize the model, salinity climatological data from the 1° 260 World Ocean Atlas 2009 (WOA2009) dataset is utilized 261 (https://accession.nodc.noaa.gov/0094866; Levitus, 2013). The wind data used in this study are obtained from the monthly averaged Cross-Calibrated Multi-Platform (CCMP) dataset, which 262 263 has spatial resolutions of 0.25×0.25 degrees (http://www.remss.com/measurements/ccmp; 264 Mears et al., 2022). The Pearl River Estuary (PRE) experiences seasonal reversing monsoonal winds, as documented by Pan et al. (2014) and Pan and Gu (2016). The monthly-averaged 265 CCMP wind data indicate prevalent southwesterly winds during the summer season. Our 266 267 investigation specifically focuses on the impact of southwesterly winds on the dynamics of 268 Lagrangian Residual Velocity (LRV). The lateral boundary incorporates monthly average river 269 runoff data from eight river inlets, which are provided by the Water Conservancy Committee 270 of the Pearl River under the Ministry of Water Resources. The topography data off the PRE is 271 from the ETOPO2 dataset of NOAA (https://www.ngdc.noaa.gov/mgg/global/relief/ETOPO2/-

ETOPO2v2-2006/; NOAA National Geophysical Data Center, 2006), while the topography
within the estuary is derived from electronic nautical chart data provided by the China Maritime
Safety Administration.

275 The coarse grid model simulates a period from 1 January to 31 August 2017, and it reaches a quasi-steady state after one month. In this study, the outputs from the coarse grid model are 276 277 utilized as the initial and boundary conditions for the fine grid model. The fine grid model, which begins on 1 June 2017, stabilizes after one month. The analysis focuses on the results 278 279 from the fine grid model obtained on 24 July 2017 during spring tides and 2 August 2017 during 280 neap tides. A split-mode time stepping method is employed with 2-second external and 10-281 second internal time steps for the coarse grid model, respectively. The fine grid model uses a 282 0.5-second external time step, which is half of the time step used in the coarse grid model. The 283 bottom friction in the model is based on the quadratic bottom friction law, and the calculation 284 of the eddy viscosity coefficient employs the Mellor-Yamada 2.5 order turbulent closure model. 285 To investigate the effects of wind and stratification on the dynamics of LRV, Case 1 286 (reference case) includes wind forcing and periodic stratification. Case 2 examines the 287 influence of wind by removing wind forcing compared to Case 1. Case 3 explores the effects 288 of stratification by imposing a uniformly constant salinity and temperature without considering river discharge compared to Case 2 (Table 1). The constant salinity and temperature, with 289 290 values of 28 °C and 32 psu, respectively, are derived by averaging WOA2009 data for July and 291 August across the whole domain.

Experiments	Wind	Tide	Stratification
Case 1 (Reference case)			
Case 2	×	\checkmark	\checkmark
Case 3	×	\checkmark	×

Table 1 Numerical experiment scenarios

292 2.3 Model verification

293 The PRE is oriented in the north-south direction (Fig. 2). Accordingly, the positive x-axis, 294 u, and u_L direct eastward; the positive y-axis, v, and v_L direct northward; and the positive z-axis, w, and w_L direct upward. In this context, u and v correspond to the cross-estuary and along-295 296 estuary velocities, respectively, with u_L and v_L denoting the corresponding LRV. The paper selects four sections, including three cross sections (Sections B-D) and one along-estuary 297 298 section (Section A), which roughly cover the PRE (black lines in Fig. 2a). The examination of LRV components and the eddy viscosity subcomponent is presented solely in Section C, given 299 the uniform conclusions derived across four sections. Moreover, the chosen cross-section, 300 301 Section C, aptly depicts the differential dynamics of LRV between the shoal and the deep channel. 302



Figure 2 (a) Bathymetry of the model domain. Black lines mark sections for result analysis. Green dots indicate tide gauge stations for elevation validation, and red dots indicate CTD positions for salinity verification. (b) Along-estuary salinity profiles based on CTD depth-profiled data, closely aligned with Section A; (c) salinity outputs from the numerical model.

308 Model verification involves comparing the model-derived sea surface elevation and 309 salinity with the corresponding observed values from the tide gauge and CTD stations, 310 respectively (Fig. 3). The observed sea surface elevation data are collected between 2 and 4 311 August 2017, and the observed salinity data are acquired through CTD profiling from 4 to 6 312 August 2017. A good agreement between the model and observed values highlights the 313 effectiveness of the model (Fig. 3). To further assess the model's performance, three statistical 314 parameters are calculated: the correlation coefficient (CC), Willmott Skill score (Willmott, 315 1981), and Root Mean Square Error (RMSE). These parameters quantify the model's accuracy 316 and skill:

$$CC = \frac{\sum_{i=1}^{N} (ob_i - \overline{ob})(mo_i - \overline{mo})}{\sqrt{\sum_{i=1}^{N} (ob_i - \overline{ob})^2 \sum_{i=1}^{N} (mo_i - \overline{mo})^2}},$$
(4)

$$Skill = 1 - \frac{\sum_{i=1}^{N} (ob_i - mo_i)^2}{\sum_{i=1}^{N} (|mo_i - \overline{ob}| + |ob_i - \overline{ob}|)^2},$$
(5)

and
$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (ob_i - mo_i)^2},$$
 (6)

where ob_i and mo_i are the observed data and model data, respectively, \overline{ob} and \overline{mo} are the 317 318 average value of the observed data and the model data, and N represents the number of 319 observations. The performance assessments of the modeled tidal elevation are presented in Fig. 3a-c. The model demonstrates a reasonable match with the observed tidal elevations, 320 321 exhibiting good performance with a skill score greater than 0.98, a correlation coefficient 322 exceeding 0.97, and a root mean square error less than 0.09 m. This indicates that the model 323 performs well in simulating tidal elevations. The assessments of the model's performance in 324 simulating salinity are depicted in Figs. 2b, c, and 3d–l. The correlation coefficients for salinity are higher than 0.94, with the majority of skill scores exceeding 0.85 and root mean square 325 326 errors less than 3 psu. The model exhibits good performance in simulating salinity.



Figure 3 Comparisons between the observed (red line) and modeled (blue line) elevation and salinity. The
 three parameters including CC, Skill, and RMSE are calculated at each station.

330 **3. Results**

331 **3.1 Contributions of dominant components for LRV**

To quantify the contribution of each dynamic component of the LRV, the absolute values of each component are averaged throughout Section C in this study, as follows:

$$M(\cdot) = \frac{1}{B} \int abs(\cdot) dB, \qquad (7)$$

334 where *abs* is the absolute value function, the symbol \cdot can be replaced by each dynamic 335 component of the LRV, and *B* represents the area of the cross-section.

Figure 4 illustrates the decomposition of cross-estuary LRV into dominant contributions 336 337 for the reference case. During neap tides, the eddy viscosity component (u_{Ltu}) exhibits a two-338 layer structure with eastward flow in the upper layer and westward flow in the lower layer (Fig. 339 4a). The barotropic pressure gradient component (u_{Lba}) generally flows westward in most areas 340 of the shoal, while it displays an eastward flow in the upper layer and a westward flow in the 341 lower layer of the deep channel (Fig. 4b). The two-layer structure of u_{Lba} arises from the distinct 342 trajectories of particles in the upper and lower layers. The integration results along these 343 different trajectories produce varying magnitudes and opposite directions of u_{Lba} components in both layers. Conversely, the contribution from the baroclinic pressure gradient (u_{Lgr} ; Fig. 4c) 344 345 opposes u_{Lba} . During spring tides, the structure of the three components, namely u_{Ltu} , u_{Lba} , and 346 u_{Lgr} , remains analogous to that during neap tides throughout the cross section (Fig. 4j–1). 347 During both spring and neap tides, the three striking components (u_{Ltu} , u_{Lgr} , and u_{Lba}) are aggregated (Fig. 4e and n) and compared to the total LRV obtained directly from the model 348 349 based on the Lagrangian particle tracking algorithms (Fig. 4d and m). It is observed that u_L primarily arises from an imbalance between u_{Ltu} , u_{Lgr} , and u_{Lba} . The eastward exchange 350 351 circulation is predominantly attributed to u_{Ltu} in the upper layer of the shoal, while the westward flow in the lower layer of the shoal is primarily driven by u_{Ltu} and u_{Lba} . In the upper layer of 352

the deep channel, the eastward flow is determined by the interplay of u_{Lba} and u_{Ltu} , which also induces the westward flow in the lower layer of the channel. Notably, u_{Lgr} predominantly counteracts u_{Lba} .



356

Figure 4 Dominant components of u_L and v_L in Section C for Case 1. For cross-estuary components: (**a**, **j**) eddy viscosity component (u_{Ltu}), (**b**, **k**) barotropic component (u_{Lba}), (**c**, **l**) baroclinic component (u_{Lgr}), (**d**, **m**) total LRV (u_L) directly obtained by the model, and (**e**, **n**) cumulative sum of u_{Ltu} , u_{Lba} , and u_{Lgr} . For alongestuary components: (**f**, **o**) barotropic pressure gradient component (v_{Lba}), (**g**, **p**) baroclinic pressure gradient

361 component (v_{Lgr}) , (\mathbf{h}, \mathbf{q}) total LRV (v_L) obtained directly by the model, and (\mathbf{i}, \mathbf{r}) cumulative sum of v_{Lba} and 362 v_{Lgr} . The components during $(\mathbf{a}-\mathbf{i})$ represent neap tides, while those during $(\mathbf{j}-\mathbf{r})$ represent spring tides. For 363 cross-estuary components, red shading indicates eastward flow, and blue shading indicates westward flow. 364 For along-estuary components, red shading signifies inflow, while blue shading denotes outflow.

365 The decomposition of along-estuary LRV into dominant contributions is depicted in Fig. 366 4 for the reference case. During neap tides, the barotropic pressure gradient component (v_{Lba}) contributes to an up-estuary flow in most areas of the shoal and a down-estuary flow in the 367 deep channel (Fig. 4f); the baroclinic pressure gradient component (v_{Lgr}) exhibits a two-layer 368 369 circulation with the seaward flow in the upper layer and landward flow in the lower layer of 370 the shoal along with inflow in most areas of the deep channel (Fig. 4g). It shows the opposite 371 pattern to v_{Lba} . During spring tides, there is a down-estuary flow of v_{Lba} in the shoal, which is 372 contrary to the flow pattern during neap tides (Fig. 40). Additionally, the outflow area of v_{Lgr} in the upper layer of the shoal is smaller during spring tides than during neap tides (Fig. 4p). 373 374 Both during spring and neap tides, the sum of v_{Lba} and v_{Lgr} (Fig. 4i and r) closely resembles the 375 total along-estuary LRV (v_L ; Fig. 4h and q). Therefore, the dominant components of v_L are v_{Lba} and v_{Lgr} . Since these components must balance across the estuary, the outflow in the upper layer 376 377 is mainly determined by v_{Lba} , while the inflow in the lower layer is induced by v_{Lgr} .

378 The intensities of the exchange flows are quantified in Fig. 5 for the reference case. During 379 spring tides, the magnitude of u_{Ltu} is approximately 2 times higher than that during neap tides, 380 the magnitude of u_{Lgr} nearly doubles compared to that during neap tides, and the magnitude of 381 u_{Lba} is roughly 4 times as large as that during neap tides. Among the dominant components of 382 u_L , u_{Lba} exhibits the most pronounced contributions, being 1–2 times as strong as u_{Ltu} and u_{Lgr} . 383 During spring tides, the magnitudes of v_{Lba} and v_{Lgr} are about 1.4 times as large as those during 384 neap tides. The contributions from gravitational circulation and barotropic pressure gradient 385 component to total LRV are of the same magnitude.





Figure 5 Bar charts for the magnitude of each component of u_L and v_L .

389 Neglecting the influence of wind, the cross-estuary and along-estuary dominant 390 components are displayed in Fig. 6 for Case 2. The eddy viscosity component (u_{Ltu}) exhibits a 391 similar pattern to the reference case both during neap and spring tides (Fig. 6a and j). However, during neap tides, the magnitude of the eastward flow of u_{Ltu} in the upper 2 m is approximately 392 393 one order of magnitude smaller than that in Case 1 (Fig. 6a vs. Fig. 4a), although the absolute 394 value of u_{Ltu} averaged in Section C for Case 2 is slightly different compared to that in Case 1 395 (Fig. 5). This suggests that wind primarily affects the upper exchange circulation by influencing 396 the mixing of the upper water column. During spring tides, u_{Ltu} shows small differences in magnitude between Case 1 and Case 2 (Fig. 6j vs. Fig. 4j), indicating that wind sheds a slight 397 398 influence on exchange flow during spring tides. During both spring and neap tides, the 399 structures and magnitudes of the barotropic pressure gradient component (u_{Lba} ; Fig. 6b and k) 400 and the baroclinic pressure gradient component (u_{Lgr} ; Fig. 6c and 1) are similar to those in Case 1. When wind effects are not considered, the structure of the cross-estuary LRV (u_L) (Fig. 6d 401 402 and m) is still determined by the combined contributions of u_{Lba} , u_{Lgr} , and u_{Ltu} (Fig. 6e and n).





405

406 **Figure 6** Same as Fig. 4, but for Case 2 without wind forcing.

407 The v_{Lba} changes from inflow in Case 1 to outflow in the shoal during neap tides (Fig. 6f). 408 Similarly, v_{Lgr} shifts from outflow in Case 1 to inflow in the upper layer of the shoal during 409 neap tides in Case 2 (Fig. 6g). This suggests that wind plays a crucial role in the components of LRV in the upper water column of the shoal. During spring tides, v_{Lba} and v_{Lgr} maintain the 410 411 same structure as observed in Case 1 (Fig. 60 and p), indicating that wind is unimportant during 412 spring tides. The structure of the along-estuary LRV (v_L) (Fig. 6h and q) is primarily determined 413 by the combined contributions of v_{Lba} and v_{Lgr} (Fig. 6i and r), analogous to that in Case 1. But 414 in the absence of wind, the magnitudes of v_{Lba} and v_{Lgr} are larger than those in Case 1, indicating 415 that southwesterly wind suppresses gravitational circulation. The relative contributions of v_{Lba} 416 and v_{Lgr} to v_L are approximately equal (Fig. 5).

417 The stratification and wind forcing are ignored in Case 3. The dominant components of the cross-estuary LRV in Section C are shown in Fig. 7. During neap tides, the local 418 419 acceleration component (u_{Lac}) predominantly exhibits eastward flow in most areas, with minor 420 regions showing westward flow in the shoal and deep channel (Fig. 7a). Conversely, during 421 spring tides, a prevailing westward flow characterizes the majority of the shoal regions, while an eastward flow prevails in the deep channel (Fig. 7g). These results highlight the profound 422 423 impact of tides on the structure of u_{Lac} in a homogeneous water column. Comparing the results 424 with those of Case 2, u_{Lac} undergoes a transition from vertically sheared flow in Case 2 to 425 horizontally sheared flow in Case 3, indicating that stratification plays a notable role in shaping 426 the structure of u_{Lac} . The horizontal nonlinear advective component (u_{Ladh}) exhibits a flow 427 pattern that is the reverse of u_{Lac} (Fig. 7b and h). The barotropic pressure gradient component 428 (u_{Lba}) primarily shows unidirectional westward flow throughout the cross section (Fig. 7c and 429 i). The pattern of u_{Lba} in the shoal and most of the lower layer of the deep channel is consistent with that observed in Case 2. However, in the upper layer of the deep channel, u_{Lba} transforms 430 431 eastward flow in Case 2 into westward flow in Case 3. The eddy viscosity component (u_{Ltu}) 432 induces a flow opposite to that of u_{Lba} (Fig. 7d and j), which differs from the vertically sheared flow observed in Case 2. 433





Figure 7 Dominant components of u_L in Section C for Case 3. (a, g) Local acceleration component (u_{Lac}), (b, h) horizontal nonlinear advection component (u_{Ladh}), (c, i) barotropic pressure gradient component (u_{Lba}), (d, j) eddy viscosity component (u_{Ltu}), (e, k) the total LRV (u_L) obtained directly by the model, and (f, l) the sum of u_{Lac} , u_{Ladh} , u_{Lba} , and u_{Ltu} during (a–f) neap and (g–l) spring tides, respectively. Red shading represents eastward flow and blue shading represents westward flow.

440 The structure of the cross-estuary LRV (u_L) (Fig. 7e and k) closely resembles the structure of the sum of the four components: u_{Lac} , u_{Ladh} , u_{Lba} , and u_{Ltu} in Case 3 (Fig. 7f and 1). This 441 indicates that the overall structure of u_L (Fig. 7e and k) is primarily determined by the combined 442 443 effects of these four components. Among them, the eastward flow in the shoal and the lower 444 layer of the deep channel is mainly determined by u_{Ltu} (Fig. 7d and j), with u_{Lac} playing a 445 secondary role (Fig. 7a and g). On the other hand, the westward flow in the upper layer of the 446 deep channel is primarily influenced by u_{Lba} (Fig. 7c and i), with u_{Ladh} contributing as a 447 secondary component (Fig. 7b and h).

The magnitudes of u_{Lac} , u_{Ladh} , and u_{Lba} during spring tides are approximately four times as large as those during neap tides in Case 3 (Fig. 5). The magnitude of u_{Ltu} during spring tides is approximately fivefold compared to neap tides. The relative contributions of u_{Lba} and u_{Ltu} to u_{L} are roughly equal, and u_{Lac} and u_{Ladh} have similar contributions. Moreover, the contribution of u_{Lba} is approximately 1–2 times as large as that of u_{Lac} in Case 3.

Both during spring and neap tides, the along-estuary barotropic pressure gradient 453 454 component (v_{Lba}) exhibits outflow in most areas in Case 3 (Fig. 8a and e), which is similar to 455 Case 2, indicating that stratification has minimal effects on the structure of v_{Lba} . The eddy viscosity component (v_{Ltu}) shows a nearly opposite pattern compared to v_{Lba} (Fig. 8b and f). 456 457 Compared to Case 2, *v*_{Ltu} exhibits an opposite pattern at the bottom of the shoal and in the deep 458 channel. The imbalance between the two components, v_{Lba} and v_{Ltu} (Fig. 8d and h), determines 459 the along-estuary circulation (v_L) (Fig. 8c and g). The inflow in the shoal is primarily driven by v_{Ltu} , while the outflow in the deep channel is dominated by v_{Lba} . During spring tides, the 460 magnitudes of v_{Lba} and v_{Ltu} are about 3-fold that during neap tides in Case 3 (Fig. 5). During 461 462 neap and spring tides, the relative contributions of v_{Lba} and v_{Ltu} to v_L are equal.



463

Figure 8 Dominant components of v_L in Section C for Case 3. (a, e) Barotropic pressure gradient component (v_{Lba}), (b, f) eddy viscosity component (v_{Ltu}), (c, g) total LRV obtained directly by the model, and (d, h) the sum of v_{Lba} and v_{Ltu} during (a–d) neap and (e–h) spring tides, respectively. Red shading represents inflow, and blue shading represents outflow.

468 **3.2 Contributions of Non-dominant Components for LRV**

The analysis of the contributions from non-dominant components to LRV for Case 1 is depicted in Fig. 9. During neap tides, the local acceleration (u_{Lac}) induces eastward flow in the majority of the upper layer and westward flow in the lower layer (Fig. 9a). Conversely, the horizontal nonlinear advection component (u_{Ladh}) exhibits an opposite pattern to u_{Lac} across 473 most regions (Fig. 9b). Meanwhile, the vertical nonlinear advective component (u_{Ladv}) serves 474 as a sandwiched structure, characterized by vertically staggered eastward and westward flow 475 (Fig. 9c). The combined configuration of u_{Lac} and u_{Ladh} contrasts with that of u_{Ladv} , yielding a 476 relatively small and negative contribution from the sum of these three components (Fig. 9d) to 477 u_L (Fig. 4d). Consequently, the three components are denoted as non-dominant components. 478 The magnitudes of the non-dominant components of u_L during spring tides are slightly larger 479 than those during neap tides. The general patterns of these three components during spring tides 480 resemble those during neap tides except for some areas (Fig. 9h-j). Moreover, both during spring and neap tides, the horizontal diffusion component (u_{Lho}) is smaller compared to the 481 482 other components (not shown), and its contribution is negligible. For along-estuary non-483 dominant components, the combination of the local acceleration component (v_{Lac}) , the 484 horizontal nonlinear advective component (v_{Ladh}) , and the vertical nonlinear term (v_{Ladv}) (Fig. 485 9f) contributes to total LRV (Fig. 4h) less and negatively during neap tides. Additionally, the eddy viscosity-induced flow (v_{Ltu}) during neap tides exhibits a vertical shear structure, with 486 487 outflow in the upper and lower layer and inflow in the middle layer (Fig. 9e). During spring 488 tides, the overall structures for each non-dominant component slightly differ from those during 489 neap tides except for some upper areas and the magnitudes during spring tides exceed those recorded during neap tides (Fig. 9l and m). For both spring and neap tides, the contributions of 490 491 the horizontal diffusion components (v_{Lho}) are negligible (not shown). Moreover, the 492 contribution of v_{Ltu} is relatively smaller compared to their respective dominant components (Fig. 493 5). In the absence of wind effects, the structure and contribution of each non-dominant 494 component of the LRV in Case 2 closely resemble those observed in Case 1 during both spring 495 and neap tides (not shown), with the exception of the noticeably reduced along-estuary eddy 496 viscosity component (v_{Ltu}) by one order of magnitude in the upper layer in Case 2 during neap 497 tides (Fig. 9g) and slightly intensified during spring tides (Fig. 9n) compared to scenarios with





Figure 9 Non-dominant components of u_L and v_L in Section C for Cases 1 and 2. For cross-estuary components in Case 1: (**a**, **h**) local acceleration component (u_{Lac}), (**b**, **i**) horizontal nonlinear advection component (u_{Ladh}), (**c**, **j**) vertical nonlinear advection component (u_{Ladv}), and (**d**, **k**) the sum of u_{Lac} , u_{Ladh} , and u_{Ladv} during (**a**–**d**) neap and (**h**–**k**) spring tides, respectively; for along-estuary components in Case 1: (**e**, **l**) eddy viscosity component (v_{Ltu}), (**f**, **m**) the sum of v_{Lac} , v_{Ladh} , and v_{Ladv} during (**e**, **f**) neap and (**l**, **m**) spring tides, respectively. Along-estuary (**g**, **n**) eddy viscosity component (v_{Ltu}) in Case 2 during (**g**) neap and (**n**) spring tides, respectively. The shading follows the same indications as presented in Fig. 1.

506 wind. These indicate that wind has a weak influence on the non-dominant components of cross-507 estuary circulation except for v_{Ltu} .

508 Neglecting wind forcing and stratification, the magnitudes of the vertical nonlinear 509 advection component (u_{Ladv}) and horizontal diffusion component (u_{Lho}) are relatively low during both spring and neap tides. Compared to Case 2, the magnitude of *u*_{Lho} (Fig. 10b and h) 510 511 in Case 3 is reduced by approximately half during spring tides and by a factor of 14 during 512 neap tides, while the magnitude of u_{Ladv} (Fig. 10a) in Case 3 experiences an approximately 513 twentyfold reduction during neap tides (Fig. 5). For both neap and spring tides, v_{Lac} shifts from 514 inflow in Case 2 to outflow in Case 3 in some areas of the shoal (Fig. 10c and i). The horizontal 515 nonlinear advection component (v_{Ladh}) in Case 3 exhibits a pattern opposite to that of v_{Lac} (Fig. 516 10d and j). Their combined contributions of these two components to total LRV can be 517 disregarded (Fig. 10f and l). The contributions from the vertical nonlinear advection component 518 $(v_{Ladv}; Fig. 10e and k)$ and horizontal diffusion component $(v_{Lho}; not shown)$ during spring and 519 neap tides remain relatively low in Case 3. The magnitude of v_{Lho} in Case 3 is approximately 520 fivefold smaller during spring tides and 25 times smaller during neap tides than those in Case 521 2, while the magnitude of v_{Ladv} in Case 3 experiences an approximately tenfold reduction during 522 spring tides and an eightyfold reduction during neap tides compared to Case 2 (Fig. 5).





Figure 10 Non-dominant components of u_L and v_L in Section C for Case 3. For cross-estuary components: (a, g) vertical nonlinear advection component (u_{Ladv}), (b, h) horizontal diffusion component (u_{Lho}); for alongestuary components: (c, i) local acceleration component (v_{Lac}), (d, j) horizontal advection component (v_{Ladh}), (e, k) vertical advection component (v_{Ladv}), and (f, l) the sum of v_{Ladc} , v_{Ladh} and v_{Ladv} , during (a–f) neap and (g–l) spring tides, respectively. The shading follows the same indications as presented in Fig. 1.

529 **3.3** Contributions of dominant components for the eddy viscosity component

530 Through an analysis of dominant mechanisms influencing LRV under various dynamic factors, the findings indicate that the cross-estuary eddy viscosity component modulates the 531 532 configuration of the cross-estuary LRV. In the upper layers, this component exhibits an 533 enhancement of an order of magnitude under the influence of the dominant southwesterly 534 winds, relative to conditions in the absence of wind in the PRE. However, the along-estuary 535 eddy viscosity component is not the predominant contributor to along-estuary LRV under 536 stratified circumstances. In the case of destratification, both the along-estuary and cross-estuary 537 eddy viscosity components play roles in shaping the total LRV. A comprehensive exploration 538 of the dominant mechanisms of the eddy viscosity component entails further decompositions 539 of both the along-estuary and cross-estuary eddy viscosity components into four subgroups. 540 This analysis provides general conclusions and implications for future studies. These 541 subgroups encompass the coupled component of tidal-averaged eddy viscosity and velocity 542 gradient oscillation, the tidal straining component, the turbulent mean component, and the 543 coupled component of tidal-averaged velocity gradient and eddy viscosity oscillation.

544 During neap tides, the cross-estuary turbulent mean component (u_{Lk0u1}) for Case 1 displays 545 eastward flows in the upper layer and westward flows in the lower layer (Fig. 11g). During spring tides, u_{Lk0ul} closely resembles the pattern observed during neap tides (Fig. 11h). The 546 547 structure of u_{Lk0ul} during neap and spring tides is identical to that of the eddy viscosity (u_{Ltu}) 548 (Fig. 11a and b). Therefore, u_{Ltu} is predominantly influenced by u_{Lk0u1} . During neap tides, the 549 along-estuary turbulent mean component (v_{Lk0u1}) for Case 1 exhibits a three-layer structure in 550 the shoal, with outflow occurring in the surface and bottom layers, and inflow in the middle 551 layer (Fig. 11i). In the deep channel, there is a two-layer flow pattern with outflow in the upper 552 layer and inflow in the lower layer. This structure aligns with that of the eddy viscosity 553 component (v_{Ltu}) (Fig. 11c). Hence, during neap tides, v_{Ltu} is primarily influenced by v_{Lk0u1} .

During spring tides, the structure of v_{Ltu} for Case 1 (Fig. 11d) is contributed by the combined effect of the four components: v_{Lk0u0} , v_{Lk0u1} , v_{Lk1u0} , and v_{Lk1u1} (Fig. 11j), which differs from the structure observed during neap tides. The inflow occurring in the upper layer of the shoal is primarily determined by v_{Lk0u0} and v_{Lk1u0} (Fig. 11e and f), and the outflow in the lower layer of the shoal is mainly influenced by v_{Lk0u1} (Fig. 11k). The structure in the deep channel is primarily determined by v_{Lk0u1} .





Figure 11 Vertical section of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu}) eddy viscosity components along with their corresponding dominant subcomponents in Section C for Case 1. The u_{Ltu} during (a) neap and (b) spring tides, and (g, h) the corresponding turbulent mean component (u_{Lk0u1}) . (c) v_{Ltu} and (i) the corresponding turbulent mean component (v_{Lk0u1}) during neap tides, and (d) v_{Ltu} and (j) the sum of four dominant subcomponents (e, f, k, and l) during spring tides. The shading follows the same indications as presented in Fig. 1.

567 During neap tides, the cross-estuary turbulent mean component (u_{Lk0ul}) for Case 2 exhibits eastward flow in the upper layer and westward flow in the lower layer (Fig. 12b). This pattern 568 aligns with Case 1. However, the magnitude of the eastward flow in the upper layer of u_{Lk0ul} 569 570 during neap tides is one order of magnitude smaller than that observed in Case 1. During spring 571 tides, the structure and magnitude of u_{Lk0ul} for Case 2 are similar to those of Case 1 (Fig. 12j), 572 suggesting a weak influence of wind on u_{Lk0ul} . Similar to Case 1, both during neap and spring 573 tides, the cross-estuary eddy viscosity component (u_{Ltu}) (Fig. 12a and i) is predominantly 574 determined by u_{Lk0ul} (Fig. 12b and j). During neap tides, the along-estuary turbulent mean 575 component (v_{Lk0ul}) for Case 2 exhibits inflow in the upper layer and outflow in the lower layer (Fig. 12d). The structure of v_{Lk0ul} in the lower layer is consistent with that in Case 1, while the 576 577 structure in the upper layer is opposite to that of Case 1. Without the influence of wind, the 578 structure of *v*_{Lk0u1} in the upper layer shifts from outflow in Case 1 to inflow. During spring tides, 579 the area and magnitude of inflow in the upper layer of v_{Lk0ul} for Case 2 are larger than those during neap tides (Fig. 121). Both during neap and spring tides, the along-estuary eddy viscosity 580 581 component (v_{Ltu}) (Fig. 12c and k) exhibits the same structure as v_{Lk0ul} (Fig. 12d and l). Hence, 582 v_{Ltu} is predominantly influenced by the turbulent mean component (v_{Lk0ul}).

583 Without consideration of stratification, the cross-estuary tidal straining component (u_{Lklu0}) for Case 3 exhibits eastward flow (Fig. 12f) in the shoal during neap tides. The u_{Lk1u0} undergoes 584 585 a transition from westward flow in Case 2 to eastward flow in the lower layer. During spring 586 tides, the u_{Lk1u0} for Case 3 maintains the same pattern as observed during neap tides, and its 587 magnitude is greater than that during neap tides (Fig. 12n). During neap tides, the along-estuary 588 tidal straining component (v_{Lklu0}) for Case 3 exhibits inflow in most areas of the shoal and 589 shows a two-layer structure in the deep channel with outflow in the upper layer, and inflow in 590 the lower layer (Fig. 12h), which is analogous to the structure of v_{Lklu0} in the shoal in Case 2. 591 Stratification mainly affects the structure of v_{Lk1u0} in the lower layer of the deep channel. During

592 spring tides, the inflow area of v_{Lk1u0} for Case 3 in the deep channel is larger than that during 593 neap tides (Fig. 12p). During both neap and spring tides, the u_{Ltu} and v_{Ltu} (Fig. 12e, g, m, and o) align with u_{Lk1u0} and v_{Lk1u0} (Fig. 12f, h, n, and p), respectively. Hence, u_{Ltu} and v_{Ltu} are 594 595 primarily influenced by u_{Lk1u0} and v_{Lk1u0} , differing from the dominant components in Case 2. 596 Without consideration of stratification, the dominant components of u_{Ltu} and v_{Ltu} shift from the 597 turbulent mean components (u_{Lk0u1} and v_{Lk0u1}) in Case 2 to the tidal straining components (u_{Lk1u0} 598 and v_{Lklu0} in Case 3. During neap tides, the magnitude of u_{Lklu0} is approximately 5 times 599 smaller than that in Case 2, while the magnitude of v_{Lk1u0} is around 14 times smaller than that 600 in Case 2 (Table 2). During spring tides, the magnitude of u_{Lk1u0} is roughly 4 times smaller than 601 that in Case 2, and the magnitude of v_{Lk1u0} is approximately 6 times smaller than that in Case 602 2.

603 **Table 2** The magnitude of each subcomponent for the total eddy viscosity component in three scenarios.

	Case 1	Case 1	Case 2	Case 2	Case 3	Case 3
	(neap)	(spring)	(neap)	(spring)	(neap)	(spring)
$M(u_{Lk0u0})$	0.16	0.42	0.14	0.42	0.001	0.068
$M(u_{Lk0u1})$	0.11	0.27	0.07	0.20	0.006	0.010
$M(u_{Lk1u0})$	0.16	0.46	0.14	0.43	0.031	0.103
$M(u_{Lk1u1})$	0.08	0.17	0.04	0.16	0.001	0.003
$M(v_{Lk0u0})$	0.15	0.30	0.11	0.28	0.005	0.023
$M(v_{Lk0u1})$	0.11	0.19	0.07	0.15	0.011	0.032
$M(v_{Lk1u0})$	0.16	0.37	0.11	0.28	0.008	0.044
$M(v_{Lk1u1})$	0.11	0.20	0.05	0.13	0.001	0.004



605

Figure 12 The structure of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu}) eddy viscosity components and the corresponding dominant components in Section C for Cases 2 (**a**–**d** and i–**l**) and 3 (**e**–**h**, and **m**–**p**). For Case 2: the u_{Ltu} during (**a**) neap and (**i**) spring tides, and (**b**, **j**) the corresponding turbulent mean component (u_{Lk0u1}); the v_{Ltu} during (**c**) neap and (**k**) spring tides, and (**d**, **l**) the corresponding turbulent mean component (v_{Lk0u1}). For Case 3: the u_{Ltu} during (**e**) neap and (**m**) spring tides, and (**f**, **n**) the corresponding tidal straining component (u_{Lk1u0}); the v_{Ltu} during (**g**) neap and (**o**) spring tides, and (**h**, **p**) the corresponding tidal straining component (v_{Lk1u0}). The shading follows the same indications as presented in Fig. 1.

613 **3.4 Contributions of non-dominant components for eddy viscosity component**

614 During neap tides, the cross-estuary coupled component of the tidal-averaged eddy viscosity and velocity gradient oscillation (u_{Lk0u0}) for Case 1 demonstrates a vertically sheared 615 616 structure in the shoal, with alternating westward and eastward flows (Fig. 13a). During spring tides, u_{Lk0u0} for Case 1 predominantly flows eastward in the shoal and displays a two-layer 617 618 structure in the deep channel with eastward flow in the upper layer and westward flow in the 619 lower layer (Fig. 13c). The cross-estuary tidal straining component (u_{Lklu0}) during neap tides 620 exhibits an opposing structure to that of u_{Lk0u0} in the lower layer (Fig. 13g). In the upper layer, 621 it displays a similar pattern to u_{Lk0u0} . During spring tides, the extent and magnitude of the 622 eastward flow of u_{Lk1u0} in the deep channel are larger than during neap tides (Fig. 13i). During 623 neap tides, the cross-estuary coupled component of the eddy viscosity oscillation and the tidal-624 averaged velocity gradient (u_{Lklul}) exhibits a complex vertically sheared structure (Fig. 13b). 625 During spring tides, u_{Lklul} displays a similar structure but with a greater magnitude than that during neap tides (Fig. 13d). The combined effect of the three components (Fig. 13h and j), 626 627 namely u_{Lk0u0} , u_{Lk1u0} , and u_{Lk1u1} , contrasts with u_{Lk0u1} (Fig. 11g and h) in most areas of cross 628 section.

629 During neap tides, the along-estuary coupled component of the tidal-averaged eddy viscosity and velocity gradient oscillation (v_{Lk0u0}) exhibits a vertically sheared structure with 630 631 alternating outflow and inflow in Case 1 (Fig. 13e). The structure of the along-estuary tidal 632 straining component (v_{Lk1u0}) closely resembles that of v_{Lk0u0} in the upper layer of the shoal, 633 while it is opposite in the lower layer of the shoal and deep channel (Fig. 13k). Additionally, 634 the cross-estuary coupled component of the eddy viscosity oscillation and the tidal-average 635 velocity gradient (v_{Lklul}) displays an opposite pattern to v_{Lk0u0} in the upper layer of the shoal 636 (Fig. 13f). The combined effects of the three along-estuary non-dominant components (Fig. 13l) 637 are opposite to the dominant component (v_{Lk0ul} ; Fig. 11i) and exert a negative contribution to





Figure 13 Vertical profiles of non-dominant subcomponents of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu}) eddy viscosity components for Case 1. For cross-estuary subcomponents: (**a**, **c**) coupled component of the tidal-average eddy viscosity and velocity gradient oscillation (u_{Lk0u0}), (**g**, **i**) tidal straining component (u_{Lk1u0}), (**b**, **d**) coupled component of eddy viscosity oscillation and the tidal-average velocity gradient (u_{Lk1u1}), (**h**, **j**) the sum of the three subcomponents during neap (**a**, **b**, **g**, **h**) and spring (**c**, **d**, **i**, **j**) tides, respectively. (**e**, **k**, **f**, **l**) Corresponding along-estuary eddy viscosity subcomponents during neap tides.

646 Without the wind forcing, the structures of the non-dominant components of the eddy viscosity component in Case 2 remain consistent with those in Case 1 throughout the entire 647 cross section (not shown). However, during neap tides, their magnitudes in the upper layer 648 manifest a reduction by an order of magnitude relative to Case 1. This indicates a substantial 649 650 influence of wind on these subcomponents during relatively small tides. During spring tides, both the structure and magnitude (Table 2) of each non-dominant component of the eddy 651 652 viscosity component align with those in Case 1. This suggests a weak influence of wind on the 653 non-dominant components during spring tides.

654 When stratification is further ignored in Case 3, the cross-estuary coupled component of the tidal-averaged eddy viscosity and velocity gradient oscillation (u_{Lk0u0}) exhibits eastward 655 flow in the shoal and the lower layer of the deep channel, while displaying westward flow in 656 657 the upper layer of the deep channel during neap tides (Fig. 14a). This structure differs from that 658 in Case 2, and the magnitude of u_{Lk0u0} is approximately 140 times smaller than that in Case 2 (Table 2) during neap tides. The cross-estuary turbulent mean component (u_{Lk0ul}) for Case 3 659 660 predominantly flows westward in most of the shoal, and eastward in most of the deep channel (Fig. 14b). The u_{Lk0ul} transitions from westward flow in Case 2 to eastward flow in Case 3 in 661 the lower layer of the deep channel. Furthermore, the magnitude of u_{Lk0ul} in Case 3 is 662 663 approximately 12 times smaller than that during neap tides in Case 2. During spring tides, the 664 area of eastward flow of u_{Lk0u0} in the shoal is larger than that observed during neap tides in 665 Case 3 (Fig. 14g), and its magnitude is approximately 6 times smaller than that in Case 2. The structure of u_{Lk0ul} during spring tides aligns with that observed during neap tides (Fig. 14h), 666 while its magnitude is roughly 20 times smaller than that in Case 2. The magnitude of the cross-667 668 estuary coupled component of eddy viscosity oscillation and tidal-average velocity gradient (u_{Lklul}) (Fig. 14c and i) in Case 3 is the smallest among the components (Table 2), 669 670 approximately ranging from 40 to 50 times smaller than that in Case 2.

671 The along-estuary non-dominant eddy viscosity subcomponents for Case 3 are depicted 672 in Fig. 14d-f and j-l. During neap tides, both the along-estuary coupled component of the tidalaveraged eddy viscosity and velocity gradient oscillation (v_{Lk0u0}) and the along-estuary coupled 673 674 component of eddy viscosity oscillation and tidal-average velocity gradient (v_{Lk1u1}) exhibit horizontally sheared structures (Fig. 14d and f) that differ from those in Case 2. The magnitudes 675 676 of v_{Lk0u0} and v_{Lk1u1} are approximately 22–50 times smaller than those in Case 2 (Table 2). 677 During spring tides, the structures of v_{Lk0u0} and v_{Lk1u1} (Fig. 14j and 1) are relatively similar to 678 those during neap tides, and their magnitudes are approximately 12–32 times smaller compared 679 to Case 2. During neap tides, the along-estuary turbulent mean component (v_{Lk0ul}) for Case 3 displays inflow in the shoal and the lower layer of the deep channel, as well as outflow in the 680 681 upper layer of the deep channel (Fig. 14e). This pattern is opposite to that in Case 2, and the 682 magnitude of v_{Lk0ul} is approximately 6 times smaller than that in Case 2. During spring tides, the outflow area of v_{Lk0ul} for Case 3 in the deep channel is larger than that during neap tides 683 (Fig. 14k), and the magnitude is approximately 5 times smaller than that in Case 2. The results 684 685 elucidate the substantial effect of stratification on each non-dominant component of the eddy 686 viscosity due to the differentially sheared structure, with magnitudes an order greater than in 687 non-stratified scenarios.





Figure 14 Vertical profiles of non-dominant subcomponents of cross-estuary (u_{Ltu}) and along-estuary (v_{Ltu}) eddy viscosity components for Case 3. For cross-estuary subcomponents: (**a**, **g**) coupled component of the tidal-average eddy viscosity and velocity gradient oscillation (u_{Lk0u0}), (**b**, **h**) turbulent mean component (u_{Lk0u1}), (**c**, **i**) coupled component of eddy viscosity oscillation and the tidal-average velocity gradient (u_{Lk1u1}) during neap (**a**-**c**) and spring (**g**-**i**) tides, respectively. (**d**, **j**, **e**, **k**, **f**, **l**) Corresponding along-estuary eddy viscosity subcomponents.

695 **4. Discussion**

Several dimensionless parameters are examined to quantify the relative impact of the two 696 distinct forcings, respectively. The Pearl River Estuary (PRE) features a relatively wide 697 expanse, measuring 20–60 km in width in the middle and lower regions, away from the river 698 discharge input nodes, and extending over a length of 70 km. The Rossby number is 699 700 approximately 0.2 in the Pearl River Estuary (PRE), similar to that calculated by Li et al. (2023), 701 signifying the prominence of the Coriolis force in the region's dynamics. The baroclinic Rossby deformation radius is estimated to be approximately 12–16 km, a range similar to the findings 702 703 of Pan et al. (2014), suggesting the necessity to account for the rotational effect of the Earth. 704 Lai et al. (2018) highlighted that the influence of the Coriolis force in the PRE is substantial 705 with its effect extending to the bottom layer when compared to vertical mixing, and baroclinic 706 and barotropic momentum when analyzing the Eulerian average momentum equation. Chen et 707 al. (2019) indicated that in the depth-integrated momentum balance prior to a storm in the PRE, 708 local momentum balance primarily involves the pressure gradient force, the Coriolis force, and 709 bottom stress. Synthesizing current and prior research, it becomes apparent that the Coriolis 710 force is a predominant factor influencing the dynamics of the PRE. This assertion is 711 corroborated by Wu et al. (2018), who contend that the decomposition approach to Eulerian 712 residual transport assumes particular significance in scenarios marked by a notable presence of 713 Coriolis forces, as evidenced by a small Rossby number. The aforementioned discussion 714 accentuates the criticality and practicality of employing decomposition methods in such 715 analytical contexts.

The Pelect number (*Pe*), defined as $u_c L_c / v_{Dc}$, measures the relative contribution between the nonlinear advection and horizontal diffusion, where u_c , L_c , and v_{Dc} are the scales of tidal current, the estuary length, and the horizontal diffusion coefficient. The *Pe* for the PRE domain is several orders of magnitude larger than 1 indicating horizontal diffusion is so small that it 720 can be ignored. The results in the paper have indicated that the contribution of the horizontal 721 diffusion component is several-fold lower, or even an order of magnitude, less than other 722 components. Among all terms, the barotropic pressure gradient has the largest scale, making 723 the barotropic pressure gradient component of LRV contribute the most compared to other 724 components. The Wedderburn number (W) is calculated to measure the contribution ratio of wind forcing to the baroclinic pressure gradient, defined as $W = L_c T_w/(g \Delta \rho H_c^2)$ (Lange and 725 Burchard, 2019). The value of W in the PRE is 0.0294 during neap tides and 0.0447 during 726 727 spring tides, suggesting the baroclinic effects dominate in periodically stratified waters and 728 small W inhibits along-estuary gravitational circulation, which is identical to that in Lange and Burchard (2019). The Simpson number (Si) is a parameter used to quantify the level of 729 730 stratification in estuaries (Simpson et al., 1990). It is calculated using the following formula:

$$Si = \frac{\partial_x b H^2}{u_{max}^2},\tag{8}$$

where $\partial_x b$ represents the tidal mean horizontal density gradient, H represents the water depth, 731 and u_{max} represents the absolute magnitude of the velocity amplitude. Based on the Simpson 732 number values, different stratification conditions can be determined for the estuary. The estuary 733 is categorized as well-mixed when Si < 0.088; In the case of 0.088 < Si < 0.84, the estuary 734 displays periodic stratification; For Si > 0.84, the estuary is strongly stratified, as indicated by 735 736 Becherer et al. (2011). The Si for the PRE ranges from 0.1 to 0.45 in stratified conditions in 737 Cases 1 and 2, indicating that the estuary is periodically stratified. Sections B-D are arranged in a north-to-south distribution, gradually approaching the open sea. The Si progressively 738 739 increases towards the open sea, with values ranging from 0.1 to 0.4 during neap tides and 0.05 740 to 0.1 during spring tides. This indicates that the magnitude of tides has substantial influences 741 on Si. With the increment in Si, the relative contributions of the tidal straining component and 742 the baroclinic pressure gradient component diminish. These findings align with those of Cheng et al. (2011). Forced by wind, the relative contribution of the two components changes from 2 to 0.57 during neap tides and 2 to 1.4 during spring tides. However, in the absence of wind, the relative contribution varies from 0.67 to 0.26 during neap tides and 1.4 to 0.9 during spring tides, where the value of *Si* closely mirrors those with wind forcing. The findings underscore that the southwesterly wind amplifies the relative contribution ratios of the tidal straining component to the baroclinic pressure gradient component of the LRV. Specifically, these ratios are 1.5 to 3 times greater compared to scenarios without wind forcing.

750 According to the Eulerian mean theory, the coupled component of tidal-averaged eddy 751 viscosity and velocity gradient oscillation (u_{Ek0u0}), and the coupled component of tidal-752 averaged velocity gradient and eddy viscosity oscillation (u_{Eklul}) are zero (Burchard and 753 Hetland, 2010), however, in the Lagrangian mean theory, those components are not zero and their magnitudes are comparable to other components under most conditions. Although the 754 755 tidal straining component of ERV has been extensively discussed, the contribution of the 756 turbulent mean term to the total ERV has not been analyzed in previous studies (Burchard and 757 Hetland, 2010; Burchard et al., 2011). This paper reveals that under stratified conditions, the 758 tidal mean component dominates the eddy viscosity component, even though the magnitudes 759 of tidal straining and the combined component of tidal-average eddy viscosity and velocity 760 gradient oscillation are greater than the turbulent mean component. However, these two 761 components exhibit inverse structures of equal magnitude. As a result, their collective impact 762 on the total eddy viscosity component is minimal or negative. Under homogeneous conditions, 763 the tidal straining component dictates the structure of the eddy viscosity. Similarly, the 764 cumulative effects of other components contribute negatively and minimally.

The decomposition methodologies present distinct advantages for elucidating the dynamics of Lagrangian Residual Velocity (LRV) within generally or weakly nonlinear systems. This significance stems from the absence of comprehensive analytical solutions and 768 definitive governing equations for LRV in generally nonlinear contexts, coupled with the 769 constraints of analytical solutions in weakly nonlinear frameworks (Jiang and Feng, 2014; Cui et al., 2019; Chen et al., 2020). In scenarios where the Coriolis force is negligible, the 770 771 Lagrangian mean momentum equations remain applicable for primary momentum balance 772 analysis. However, these equations are inadequate for the detailed dissection of each LRV 773 component. Notably, in circumstances where the Coriolis effect is minimally impactful, the 774 methodologies employed for LRV decomposition may demonstrate variability, contingent 775 upon the dominant momentum balances. This underscores the necessity for expanded 776 investigation in future scholarly endeavors.

777 The relevance of the Lagrangian residual circulation for mass transport in estuaries or 778 bays is evident. In the Eulerian-averaged salinity balance equation, a tidal dispersion term 779 emerges (Hansen and Rattray, 1965). This tidal dispersion term exhibits different dynamic 780 mechanisms in various estuaries (Fischer, 1979), and even within different sections of the same 781 estuary. However, when the isohaline averaging method is employed to quantitatively assess 782 estuarine circulation, the tidal dispersion term vanishes (MacCready, 2011; Wang et al., 2017; 783 MacCready et al., 2018). Nevertheless, the salinity coordinate method is only an approximate 784 Lagrangian approach. Future studies focusing on the dynamic mechanisms of salinity transport from a Lagrangian averaging perspective will provide further insights into the subject. 785

786 **5. Conclusions**

The FVCOM model is employed to investigate the dynamic mechanism of the LRV in the PRE. By quantitatively analyzing the contribution of each dynamic component to the LRV, the primary mechanisms governing the LRV in the PRE under conditions of stratification and wind are elucidated, which has been not extensively explored in prior studies (Chu et al., 2022; Deng et al., 2022). Moreover, to discern the impact of the eddy viscosity component on the LRV, this component is decomposed into four subcomponents, with each subcomponent's contribution being quantitatively evaluated. Notably, the decomposition methodologies rooted in Lagrangian theory adopted in this work differ from earlier studies anchored in Eulerian theory (e.g., Burchard et al., 2011; Cheng et al., 2011; Wei et al., 2021). This analysis reveals the prevailing mechanisms shaping the structure of the eddy viscosity component across different dynamic scenarios.

798 While many studies have focused on ERV in the PRE (e.g., Lai et al., 2018; Xu et al., 799 2021; Hong et al., 2022), research on LRV in the PRE remains limited, particularly regarding 800 the dynamic mechanisms of LRV. In the reference case, the cross-estuary LRV (u_L) exhibits a 801 two-layer vertical structure with eastward flow in the upper layer and westward flow in the 802 lower layer. The two-layer structure is primarily determined by the combined effects of the 803 eddy viscosity component (u_{Ltu}) , the barotropic pressure gradient component (u_{Lba}) , and the 804 baroclinic pressure gradient component (u_{Lgr}) . The u_{Ltu} is the main contributor to the eastward 805 flow in the upper layer of the shoal, and u_{Lba} determines the eastward flow in the upper layer of the deep channel. For the entire lower layer, the westward flow is dominated by u_{Ltu} and u_{Lba} , 806 with u_{Lgr} playing a balancing role. The along-estuary LRV (v_L) exhibits a two-layer 807 808 gravitational circulation pattern. The v_L is predominantly influenced by the imbalance of the barotropic pressure gradient component (v_{Lba}) and the baroclinic pressure gradient component 809 (v_{Lgr}) . The outflow is mainly dominated by v_{Lba} in the upper layer, while the inflow is primarily 810 811 driven by v_{Lgr} in the lower layer. For non-dominant components, the combined effects of the 812 local acceleration component, and the horizontal and vertical nonlinear component contributes 813 less to total LRV. The contribution of the horizontal diffusion component is negligible.

814 Without wind forcing, the eastward flow dominated by the eddy viscosity component (u_{Ltu}) 815 transforms into the westward flow dominated by the barotropic pressure gradient component 816 (u_{Lba}) in the upper 2 m of the shoal. In other regions, the dominant components of the cross817 estuary LRV (u_L) roughly remain the same as those in the reference case, indicating that wind 818 mainly affects u_L in the upper layer by influencing u_{Ltu} . The structure and dominant components 819 of the along-estuary LRV (v_L) are nearly consistent with those in the reference case except for 820 some regions in the shoal, but the magnitude of the dominant components is larger than that in the reference case, indicating that the southwesterly wind inhibits the along-estuary 821 822 gravitational circulation. The along-estuary non-dominant components exhibit consistent 823 magnitudes and structures, irrespective of the presence or absence of wind forcing, except for 824 the along-estuary eddy viscosity component, which exhibits a reverse structure in the upper 825 layer compared to that with wind forcing.

Under unstratified conditions, the cross-estuary and along-estuary LRV (u_L, v_L) are 826 827 transformed from the vertical shear structure in stratified waters to the lateral shear structure. 828 The u_L is dominated by the sum of the local acceleration component (u_{Lac}), horizontal nonlinear 829 component (u_{Ladh}) , barotropic pressure gradient component (u_{Lba}) , and eddy viscosity 830 component (u_{Ltu}) . The v_L is dominated by the sum of the barotropic pressure gradient 831 component (v_{Lba}) and eddy viscosity component (v_{Ltu}) . These results indicate that stratification 832 modulates the structure of the LRV by impacting its dominant components when contrasted 833 with conditions in homogeneous waters.

This study highlights that the eddy viscosity component remains dominant regardless of 834 835 the presence of stratification. Specifically, under stratified conditions, the turbulent mean 836 component plays a dominant role in the total eddy viscosity component, which has not yet been studied in previous works (e.g., Burchard et al., 2023). Conversely, under unstratified 837 838 conditions, the tidal straining component takes precedence over other factors in contribution to 839 the total eddy viscosity component, and its magnitude is either several times or one order of magnitude bigger than the other components. The combined effects of non-dominant 840 841 components have a negative contribution to the total eddy viscosity component.

842

Appendix

843 Numerical solutions of each component of the Lagrangian Residual Velocity (LRV)

Each term in the momentum equations is integrated along the particle trajectories over a tidal period and divided by the tidal period to obtain each dynamic component of Lagrangian residual velocity.

$$v_{L} = \langle \frac{\partial uD}{D\partial t} \rangle / f + \langle \frac{\partial u^{2}D}{D\partial x} + \frac{\partial uvD}{D\partial y} \rangle / f + \langle \frac{\partial u\omegaD}{D\partial \sigma} \rangle / f + \langle g \frac{\partial \zeta}{\partial x} \rangle / f \\ - \langle \frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h} \frac{\partial u}{\partial \sigma} \right) \rangle / f + \langle \frac{g}{\rho_{0}} \left(D \int_{\sigma}^{0} \frac{\partial \rho}{\partial x} d\sigma_{1} + \frac{\partial D}{\partial x} \int_{\sigma}^{0} \sigma_{1} \frac{\partial \rho}{\partial \sigma_{1}} d\sigma_{1} \right) \rangle / f - \langle F_{x} \rangle / f, \qquad (A1)$$
$$u_{L} = \underbrace{- \langle \frac{\partial vD}{D\partial t} \rangle / f}_{1} \underbrace{- \langle (\frac{\partial uvD}{D\partial x} + \frac{\partial v^{2}D}{D\partial y}) \rangle / f}_{2} \underbrace{- \langle \frac{\partial v\omegaD}{\partial \sigma} \rangle / f}_{3} \underbrace{- \langle g \frac{\partial \zeta}{\partial y} \rangle / f}_{4} + \langle \underbrace{\frac{1}{D^{2}} \frac{\partial}{\partial \sigma} \left(v_{h} \frac{\partial v}{\partial \sigma} \right) \rangle / f}_{5} \underbrace{- \langle \frac{g}{\rho_{0}} \left(D \int_{\sigma}^{0} \frac{\partial \rho}{\partial y} d\sigma_{1} + \frac{\partial D}{\partial y} \int_{\sigma}^{0} \sigma_{1} \frac{\partial \rho}{\partial \sigma_{1}} d\sigma_{1} \right) \rangle / f}_{6} + \langle F_{y} \rangle / f, \qquad (A2)$$

847 where $u(x, y, \sigma, t)$, $v(x, y, \sigma, t)$, and $\omega(x, y, \sigma, t)$ represent velocity components in the 848 longitudinal (x), latitudinal (y), and vertical (σ) directions, respectively. The ρ (x, y, σ , t) is 849 water density, ρ_0 is the reference density, t is the time, f is the Coriolis parameter, and $v_h(x, y, t)$ 850 σ , t) is eddy viscosity coefficient. The $D = H + \zeta$, where H(x, y) is the water mean depth, $\zeta(x, y, z)$ 851 t) is the water surface elevation. The first term refers to local acceleration component, the 852 second terms represent horizontal nonlinear advection components, the third term depicts the 853 nonlinear vertical advection component, the fourth term corresponds to the barotropic pressure 854 gradient component, the fifth term describes the eddy viscosity component, the sixth terms 855 denote the baroclinic pressure gradient components, and the seventh term pertains to horizontal diffusion component. The <> denotes the Lagrangian mean operator. 856

857 **Data availability**

858 Hydrodynamic datasets used in this study are available online at

859 https://doi.org/10.5281/zenodo.10043226 (Deng et al., 2023). The 1° World Ocean Atlas 2009 (WOA2009) datasets are accessible online from (https://accession.nodc.noaa.gov/0094866). 860 The 0.25° CCMP datasets are available online (http://www.remss.com/measurements/ccmp). 861 862 The monthly average river runoff data are provided by the Water Conservancy Committee of the Pearl River under the Ministry of Water Resources. The topography data off the PRE are 863 from ETOPO2 of NOAA 864 the dataset while those 865 (https://www.ngdc.noaa.gov/mgg/global/relief/ETOPO2/ETOPO2v2-2006/), within the estuary are provided by the China Maritime Safety Administration. 866

867 Author contributions

All authors have contributed to the conceptualization and design of this study. The analytical methods were originally formulated by FD. Subsequently, FD and ZC meticulously processed and analyzed the data. The model was collaboratively developed and the manuscript was co-authored by FD, FJ, and ZC. The final manuscript underwent a thorough review and editing process, led by RS, SZ, QL, and XZ, ensuring its quality and accuracy.

873 Competing interests

The contact author has declared that none of the authors has any competing interests.

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