

Assimilation of Carbonyl Sulfide (COS) fluxes within the adjoint-based data assimilation system—Nanjing University Carbon Assimilation System (NUCAS v1.0)

Huajie Zhu¹, Mousong Wu^{1*}, Fei Jiang^{1,2,3,4}, Michael Vossbeck⁵, Thomas Kaminski⁵, Xiuli Xing¹, Jun Wang¹, Weimin Ju¹, Jing M. Chen⁶

¹International Institute for Earth System Science, Nanjing University, Nanjing, 210023, China

²Jiangsu Provincial Key Laboratory of Geographic Information Science and Technology, School of Geography and Ocean Science, Nanjing University, Nanjing, 210023, China

³Key Laboratory for Land Satellite Remote Sensing Applications of Ministry of Natural Resources, School of Geography and Ocean Science, Nanjing University, Nanjing, 210023, China

⁴Frontiers Science Center for Critical Earth Material Cycling, Nanjing University, Nanjing, 210023, China

⁵The Inversion Lab, Hamburg, Germany

⁶Department of Geography and Program in Planning, University of Toronto, ON M5S 3G3, Canada

15 Correspondence: Mousong Wu (mousongwu@nju.edu.cn)

Abstract. Modeling and predicting changes in the function and structure of the terrestrial biosphere and its feedbacks to climate change strongly depends on our ability to accurately represent interactions of the carbon and water cycles, and energy exchange. However, carbon fluxes, hydrological status and energy exchange simulated by process-based terrestrial ecosystem models are subject to significant uncertainties, largely due to the poorly calibrated parameters ~~related to various processes~~. In this work, an adjoint-based data assimilation system (Nanjing University Carbon Assimilation System, NUCAS) was developed, which is capable of assimilating multiple observations to optimize process parameters of a satellite data driven ecosystem model—BEPS (Boreal Ecosystem Productivity Simulator). Data assimilation experiments were conducted to demonstrate the robustness and to investigate the feasibility and applicability of NUCAS on seven sites by assimilating the carbonyl sulfide (COS) fluxes, which were tightly related to the stomatal conductance and photosynthesis. Results showed that NUCAS is able to achieve a consistent fit to COS observations across various ecosystems, including evergreen needleleaf forest, deciduous broadleaf forest, C3 grass and C3 crop. Comparing prior simulations with validation datasets, we found that the assimilation of COS can significantly improve the model performance in gross primary productivity, sensible heat, latent heat and even soil moisture. We also showed that the NUCAS is capable of constraining parameters from multiple sites simultaneously and achieving a good consistency to the single-site assimilation. Our results demonstrate that COS can provide strong constraints on parameters relevant to water, energy and carbon processes with the data assimilation system, and open new perspectives for better understanding of the ecosystem carbon, water and energy exchanges.

Keywords: Carbonyl sulfide; Data assimilation; Carbon cycle; Satellite-driven; Ecosystem model

1 Introduction

Overwhelmingly due to anthropogenic fossil fuel and carbonate emissions, as well as land use and land cover change (Arias et al., 2021), atmospheric carbon dioxide (CO₂) concentrations have increased at an unprecedented rate since the Industrial Revolution and the global climate has been profoundly affected. As a key component of earth system, the terrestrial biosphere has absorbed about 30% of anthropogenic CO₂ emissions since 1850 and has significantly mitigated climate change (Friedlingstein et al., 2022). However, in line with large-scale global warming, the structure and function of terrestrial

40 biosphere have changed rapidly (Grimm et al., 2013; Arias et al., 2021; Moore and Schindler, 2022), ~~which makes. As a~~
~~consequence,~~ terrestrial carbon fluxes are subject to great uncertainty (Macbean et al., 2022).

Terrestrial ecosystem models have been an important tool to investigate the net effect of complex feedback loops between the global carbon cycle and climate change (Zaehle et al., 2005; Fisher et al., 2014; Fisher and Koven, 2020). Meanwhile, with the advancement of modern observational techniques, a rapidly increasing number of satellite- and ground-based observational data have played an important role in studying the spatiotemporal distribution and mechanisms of the terrestrial ecosystem carbon fluxes (Rodell et al., 2004; Quirita et al., 2016). Various observations (Scholze et al., 2017), such as sun-induced chlorophyll fluorescence (Schimel et al., 2015) and soil moisture (Wu et al., 2018), have been used to estimate or constrain carbon fluxes in terrestrial ecosystems. Recently, carbonyl sulfide (COS) has emerged as a promising proxy for understanding terrestrial carbon uptake and plant physiology (~~Sandoval-Soto et al., 2005; Montzka et al., 2007; Campbell et al., 2008; Seibt et al., 2010; Stimler et al., 2010; Stimler et al., 2011~~)(Montzka et al., 2007; Campbell et al., 2008) since it is taken up by plants through the same pathway of stomatal diffusion as CO₂ (Goldan et al., 1988; Sandoval-Soto et al., 2005; Seibt et al., 2010) and completely removed by hydrolysis without any back-flux in leaves under normal ~~condition~~conditions (Protoschill-Krebs et al., 1996; Stimler et al., 2010).

Plants control the opening of leaf stomata in order to regulate the water and CO₂ transit during transpiration and photosynthesis (Daly et al., 2004). As an important probe for characterizing stomatal conductance, COS has shown ~~with~~ great potential to constrain plant photosynthesis and transpiration and to improve understanding of the water-carbon coupling (Wohlfahrt et al., 2012). A number of empirical or mechanistic COS plant uptake models (~~Sandoval-Soto et al., 2005; Campbell et al., 2008; Wohlfahrt et al., 2012; Berry et al., 2013; Kooijmans et al., 2019~~) and soil exchange models (Kesselmeier et al., 1999; Berry et al., 2013; Launois et al., 2015; Sun et al., 2015; Whelan et al., 2016; Ogée et al., 2016; Whelan et al., 2022) have been developed to simulate COS fluxes in order to more accurately estimate gross primary productivity (GPP) as well as other key ecosystem variables. However, ~~due to~~with the lack of ecosystem-scale measurements of the COS flux (Brühl et al., 2012; Wohlfahrt et al., 2012; Kooijmans et al., 2021), ~~little experiments~~only few studies were conducted to systematically assess the ~~added value~~ability of COS ~~into~~ simultaneously ~~constraining~~constrain photosynthesis, transpiration and other related processes in ecosystem models.

Data assimilation is an approach that aims at producing physically consistent estimates of the dynamical behavior of a model by combining the information in process-based models and observational data (Liu and Gupta, 2007; Law et al., 2015). It has been widely applied in geophysics and numerical weather prediction (Tarantola, 2005). In the past few decades, substantial efforts have been put into the use of various satellite- (Knorr et al., 2010; Kaminski et al., 2012; Deng et al., 2014; Scholze et al., 2016; Norton et al., 2018; Wu et al., 2018) and ground-based (Knorr and Heimann, 1995; Rayner et al., 2005; Santaren et al., 2007; Kato et al., 2013; Zobitz et al., 2014) observational datasets to constrain or optimize the photosynthesis, transpiration and energy-related parameters and variables of terrestrial ecosystem models via data assimilation techniques. In particular, by applying data assimilation methods to process-based models, not only can the observed dynamics of ecosystems be more accurately portrayed, but also our understanding of ecosystem processes can be deepened, with respect to their responses to climate (Luo et al., 2011; Keenan et al., 2012; Niu et al., 2014).

In this study, we present the newly developed adjoint-based ~~data assimilation system NUCAS~~ (Nanjing University Carbon Assimilation System), ~~that~~ (NUCAS v1.0. NUCAS v1.0) is designed to assimilate multiple observational data streams including ~~the recently promising~~ COS flux data to improve the process-based ~~model Boreal Ecosystem Productivity Biosphere-atmosphere Exchange Process~~ Simulator (BEPS) (~~Liu et al., 1997~~)(Liu et al., 1997), which has been specifically ~~developed~~extended for simulating the ecosystem COS flux with the advanced two-leaf model that is driven by satellite observations of leaf area index (LAI).

80 In this context, the main questions that we aim to answer in this paper are ~~as follows~~:
What ~~are the main changes in the~~ parameters ~~through~~ the assimilation of COS flux simulation sensitive to and ~~which processes~~
~~are constrained~~ how do these parameters change in the assimilation of ecosystem-scale COS flux data?
How effective is the assimilation of COS fluxes in improving the carbon, water and energy balance for different ecosystems?
(including Evergreen needleleaf forest, deciduous broadleaf forest, C3 grass and C3 crop)?

85 ~~What are the controlling factors of variability of carbon, water and energy exchange?~~
Which processes are constrained by the assimilation of COS and what are the mechanisms leading to adjustments of the
corresponding process parameters?
How robust is the NUCAS when optimizing over single-site and ~~multiple~~ over two sites simultaneously?
To achieve these objectives, COS observations across a wide range of ecosystems (including evergreen needleleaf forest,
90 deciduous broadleaf forest, C3 grass and C3 crop) are assimilated into NUCAS to optimize the model parameters using the
four-dimensional variational (4D-Var) data assimilation approach, and the optimization results are evaluated against *in situ*
observations. Specifically, materials and methods used in our study are described in Sect. 2. In this section, the BEPS model
and our new data assimilation system NUCAS are introduced, along with the data used and the parameters chosen to be
optimized in this study. The results are presented in Sect. 3, including the fit of COS simulations to observations, the variation
95 and impact of parameters on simulated COS, as well as the comparison and evaluation of model outputs. Sect. 4 discusses the
impacts of the COS assimilation on parameters and processes related to the water-carbon cycle and energy exchange as well
as the influence of uncertainty inputs, in particular of the LAI driving data on posterior parameters values. In addition, the
caveats and implications of assimilating COS flux are summarized. Finally, the conclusions are laid out in Sect. 5.

2 Materials and Methods

100 2.1 NUCAS data assimilation system

2.1.1 NUCAS framework

NUCAS is built around the generic satellite data driven ecosystem model BEPS, and applies the 4D-Var data assimilation
method (Talagrand and Courtier, 1987). The BEPS model uses satellite-~~derived one-sided~~ LAI to drive the phenology
dynamics and separates sunlit and shaded leaves in calculating canopy-level energy fluxes and photosynthesis. It further
105 features detailed representations of water and energy processes (**Figure 1**). These ~~make features render~~ BEPS more advanced
in representing ecosystem processes than standard ecosystem models (Richardson et al., 2012) ~~and~~ with less parameters to be
calibrated ~~given owing to the LAI-driven phenology is driven by LAI.~~
~~By assimilating the observed data, NUCAS can achieve the optimization of the model process parameters and the model state~~
~~variables of BEPS~~ Data assimilation if performed in two sequential steps: First, ~~the BEPS model is run with default parameters~~
110 ~~and the model output is combined with COS flux observations to optimize the~~ an inversion step adjusts the values of parameters
controlling photosynthesis, energy balance, hydrology and soil biogeochemical processes to match the observations. Second,
the posterior parameters obtained in the first step are used as input data for the second step, in which the BEPS model is re-
run to obtain the posterior model variables. The schematic ~~of the~~ of the system is shown in **Figure 1**.
Considering model and data uncertainties, NUCAS implements a probabilistic inversion concept (Talagrand and Courtier,
115 1987; Tarantola, 1987; Tarantola, 2005) by using Gaussian probability density functions to combine the dynamic model and
observations to obtain an estimate of the true state of the system and model parameters (Talagrand, 1997; Dowd, 2007). Hereby,
we minimize the following cost function:

~~$$J(x) = \frac{1}{2} \left[(M_{eos}(x) - O_{eos})^T C_{eos}^{-1} (M_{eos}(x) - O_{eos}) + (x - x_0)^T C_x^{-1} (x - x_0) \right] \quad (1)$$~~

$$J(x) = \frac{1}{2} \left[(M(x) - O)^T C_o^{-1} (M(x) - O) + (x - x_0)^T C_x^{-1} (x - x_0) \right] \quad (1)$$

120 where ~~M~~ and ~~O~~ denotes ~~model and observation~~ M denote vectors of observations and their modelled counterparts,
 respectively; x and x_0 denotes the control parameter vector with current and the prior control parameter vector;
 ~~C~~ denotes values, respectively. C_o and C_x denote the uncertainty covariance matrices for observations and prior parameters, and
 both. Both matrices are diagonal ~~as we suppose~~ expressing the assumption that observation uncertainties and the parameter
 125 modelled and observed COS fluxes and the mismatch between ~~the prior and current~~ and prior parameter values (Rayner et al.,
 2005).

To determine an optimal set of parameters which minimizes J , a gradient-based optimization algorithm (BFGS) performs an
 iterative search (Wu et al., 2020). In each iteration, the gradient of J is calculated by applying the adjoint of the model, where
 the model is run backward to efficiently compute the sensitivity of J and with respect to x (Rayner et al., 2005), ~~and.~~ The
 130 gradient of J is used to define a new search direction. The adjoint model is an efficient sensitivity analysis tool for calculating
 the parametric sensitivities of complex numerical model systems (An et al., 2016). The computational cost of it is independent
 of the number of parameters and is in the current case comparable to 3–4 evaluations of J . In this study, all derivative code is
 generated from the model code by the automatic differentiation tool TAPENADE (Hascoët and Pascual, 2013). The derivative
with respect to each parameter was validated against finite differences of model simulations, which showed agreement within
 135 the accuracy of the finite difference approximation.

~~Additionally, the~~ The minimization of the cost function is implemented in a normalized parameter space where the parameter
 values are ~~specified~~ measured in multiples of their respective standard deviation with Gaussian priors (Kaminski et al., 2012).
 The model parameters are the various constants that are not influenced by the model state. Therefore, while they may change
~~in space~~ between plant function types (PFT) to reflect different conditions and physiological mechanisms, they will not change
 140 in time (Rayner et al., 2005).

2.1.2 BEPS basic model

The BEPS model (Liu et al., 1997; Chen et al., 1999; Chen et al., 2012) is a process-based diagnostic model driven by remotely
 sensed vegetation data, including LAI, clumping index, and land cover type, as well as meteorological and soil data (Chen et
 al., 2019). With the consideration of coupling among terrestrial carbon, water, and nitrogen cycles (He et al., 2021), the BEPS
 145 model now consists of photosynthesis, energy balance, hydrological, and soil biogeochemical modules (Ju et al., 2006; Liu et
 al., 2015). It stratifies whole canopies into sunlit and shaded leaves to calculate carbon uptake and transpiration for these two
 groups of leaves separately (Liu et al., 2015). For each group of leaves, the GPP is calculated by scaling Farquhar's leaf
 biochemical model (Farquhar et al., 1980) up to canopy-level with a new temporal and spatial scaling scheme (Chen et al.,
 1999), and the stomatal conductance is calculated using a modified version of the Ball–Woodrow–Berry model (Ball et al.,
 150 1987; Ju et al., 2006). Evapotranspiration is calculated as the summation of sunlit leaf and shaded leaf transpirations,
 evaporation from soil and wet canopy, and sublimation from snow storage on the ground surface (Liu et al., 2003). The BEPS
 model stratifies the soil profile into multiple layers (five were used in this study), and simulates temperature and water content
 from each layer (Ju et al., 2006). The soil water content is then used to adjust stomatal conductance considering the water
 stress impacts (Ju et al., 2010; He et al., 2021). Over the last few decades, the BEPS model has been continuously improved
 155 and used for a wide variety of terrestrial ecosystems (Schwalm et al., 2010; Liu et al., 2015).

The previous version of BEPS considers a total of six ~~plant function types (PFTs) as well as eleven soil textures (see https://github.com/JChen-UToronto/BEPS_hourly_site)~~. For NUCAS, we ~~PFTs as well as eleven soil textures (Chen et al., 2012)~~. We use the same soil texture but added four PFTs to BEPS in order to better discriminate vegetation types, especially the C4 grass and crop. Detailed information on these ten PFTs and eleven soil textures is given in **Table S1**.

160 2.1.3 COS modelling

The ecosystem COS flux, $F_{cos,ecosystem}$, includes both plant COS uptake $F_{cos,plant}$ and soil COS flux exchange $F_{cos,soil}$ (Whelan et al., 2016). In this study, these two components were modelled separately. The canopy-level COS plant uptake $F_{cos,plant}$ ($\mu\text{mol}/\text{m}^2/\text{s}$ $\mu\text{mol m}^{-2} \text{s}^{-1}$) was calculated by upscaling the resistance analog model of COS uptake (Berry et al., 2013) with the upscaling scheme (Chen et al., 1999). Specifically, considering the different responses of foliage to diffuse and
165 direct solar radiation (Gu et al., 2002), $F_{cos,plant}$ is calculated as:

$$F_{cos,plant} = F_{cos,sunlit}LAI_{sunlit} + F_{cos,shaded}LAI_{shaded} \quad (2)$$

where LAI_{sunlit} and LAI_{shaded} are the LAI values (m^2/m^2 m^{-2}) of sunlit and shaded leaves, respectively. $F_{cos,sunlit}$ and $F_{cos,shaded}$ are the leaf-level COS uptake rate ($\mu\text{mol}/\text{m}^2/\text{s}$ $\mu\text{mol m}^{-2} \text{s}^{-1}$) of sunlit and shaded leaves, respectively. ~~And~~
~~the~~The leaf-level COS uptake rate $F_{cos,leaf}$ is calculated as:

$$170 \quad F_{cos,leaf} = \epsilon\delta S_a * \left(\frac{1.94}{g_{sw}} + \frac{1.56}{g_{bw}} + g_{eos} \right)^{-1} \quad (3)$$

where $\epsilon\delta S_a$ is the COS mole fraction in the bulk air. g_{sw} and g_{bw} are the stomatal conductance and leaf laminar boundary layer conductance to H_2O vapor. g_{eos} denotes the apparent conductance for COS uptake from the intercellular airspaces, combining the mesophyll conductance and the biochemical reaction rate of COS and carbonic anhydrase. It can be calculated as:

$$g_{eos} = 1.4 * 10^3 * (1.0 + 5.33 * F_{C4}) * 10^{-6} * (1 - e^{(-0.45 * LAI)}) * f_{sw} * V_{emax} \quad (4)$$

175 where F_{C4} denotes the C4 plant flag, which takes the value of 1 when the vegetation is C4 plants and 0 otherwise. f_{sw} is a parameter describing the soil water stress on stomatal conductance. V_{emax} denotes the maximum carboxylation rate.

$$F_{cos,leaf} = \epsilon\delta S_a * \left(\frac{1.94}{g_{sw}} + \frac{1.56}{g_{bw}} + \frac{1}{g_{eos}} \right)^{-1} \quad (3)$$

where $\epsilon\delta S_a$ is the COS mole fraction in the bulk air. g_{sw} and g_{bw} are the stomatal conductance and leaf laminar boundary layer conductance to water vapor (H_2O). The factors 1.94 and 1.56 account for the smaller diffusivity of COS with respect to
180 H_2O (Seibt et al., 2010; Stimler et al., 2010). g_{eos} denotes the apparent conductance for COS uptake from the intercellular airspaces, combining the mesophyll conductance and the biochemical reaction rate of COS and carbonic anhydrase (CA). Independent studies indicate that both CA activity (Badger and Price, 1994) and mesophyll conductance (Evans et al., 1994) tend to scale with the photosynthetic capacity or the maximum carboxylation rate of Rubisco at 25°C.

$$g_{eos} = \alpha * V_{cmax25} \quad (4)$$

185 Where α is a parameter that is calibrated to observations of simultaneous measurements of COS and CO_2 uptake (Stimler et al., 2012). Analysis of these measurements yield estimates of α of ~ 1400 for C3 and ~ 7500 for C4 species. With reference the COS modelling scheme of the Simple biosphere model (version 4.2) (Haynes et al., 2020), g_{eos} can be calculated as:

$$g_{eos} = 1.4 * 10^3 * (1.0 + 5.33 * F_{C4}) * 10^{-6} * F_{APAR} * f_w * V_{cmax} \quad (5)$$

190 where F_{C4} denotes the C4 plant flag, which takes the value of 1 when the vegetation is C4 plants and 0 otherwise. f_w is a soil moisture stress factor describing the sensitivity of g_{sw} to soil water availability (Ju et al., 2006). F_{APAR} is the scaling factor for leaf radiation, calculated as:

$$F_{APAR} = 1 - e^{(-0.45*LAI)} \quad (6)$$

$F_{cos,soil}$ is taken as the combination of abiotic COS flux $F_{cos,abiotic}$ and biotic COS flux $F_{cos,biotic}$ (Whelan et al., 2016).

$$F_{cos,soil} = F_{cos,abiotic} + F_{cos,biotic} \quad (5)$$

$$F_{cos,soil} = F_{cos,abiotic} + F_{cos,biotic} \quad (7)$$

$F_{cos,abiotic}$ is described as an exponential function of the temperature of soil T_{soil} (°C).

$$F_{cos,abiotic} = 0.437 * e^{0.0984*T_{soil}} \quad (6)$$

$$F_{cos,abiotic} = e^{(\alpha+\beta * T_{soil})} \quad (8)$$

Where α (unitless) and β (°C⁻¹) are parameters determined using the least-squares fitting approach.

$F_{cos,biotic}$ is calculated according to Behrendt et al. (2014):

$$F_{cos,biotic} = F_{opt} \left(\frac{\theta_t}{\theta_{opt}} \right) * e^{-a \left(\frac{\theta_t}{\theta_{opt}} - 1 \right)} \quad (7)$$

$$F_{cos,biotic} = F_{opt} \left(\frac{SWC}{SWC_{opt}} \right) * e^{-a \left(\frac{SWC}{SWC_{opt}} - 1 \right)} \quad (9)$$

which can be rearranged to

$$a = \ln \left(\frac{F_{opt}}{F_{\theta_g}} \right) + \left(\ln \left(\frac{\theta_{opt}}{\theta_g} \right) + \left(\frac{\theta_g}{\theta_{opt}} - 1 \right) \right)^{-1} \quad (8)$$

Here a is the curve shape constant, θ_t is the soil moisture (percent volumetric water content). The maximum biotic COS uptake F_{opt} and the biotic COS uptake F_{θ_g} are the COS fluxes (pmol/m²/s) at optimum soil moisture θ_{opt} and θ_g , and can be calculated from T_{soil} using eqs. (9) and (10) respectively.

$$F_{opt} = -0.00986 * T_{soil}^2 + 0.197 * T_{soil} - 9.32 \quad (9)$$

$$F_{\theta_g} = 0.119 * T_{soil}^2 + 0.110 * T_{soil} - 1.18 \quad (10)$$

θ_g is assumed to be a constant 0.35, and θ_{opt} is assumed to be a first order function of T_{soil} .

$$\theta_{opt} = 0.28 * T_{soil} + 14.5 \quad (11)$$

$$a = \ln \left(\frac{F_{opt}}{F_{SWC_g}} \right) * \left(\ln \left(\frac{SWC_{opt}}{SWC_g} \right) + \left(\frac{SWC_g}{SWC_{opt}} - 1 \right) \right)^{-1} \quad (10)$$

Here a is the curve shape constant, SWC is the soil moisture (percent volumetric water content). The maximum biotic COS uptake F_{opt} and the biotic COS uptake F_{SWC_g} are the COS fluxes (pmol m⁻² s⁻¹) at optimum soil moisture SWC_{opt} and SWC_g , and $SWC_g \geq SWC_{opt}$. Here we use the parameterization scheme of soil COS modelling from Whelan et al. (2016) and Whelan et al. (2022), see **Table S2** and **Table S3** for details. Specifically, with reference of Abadie et al. (2022) and Whelan et al. (2022), the mean modelled SWC and temperature of the top 9 cm of the soil profile in BEPS were utilized to drive the COS soil model in this study, and the mean modelled SWC and temperature were calculated through a weighted average considering the depth of each soil layer. A more detailed description about the soil hydrology and stomatal conductance modelling approach of BEPS is provided in the appendix.

Then ecosystem COS flux $F_{cos,ecosystem}$ can be calculated as the sum of COS plant uptake and the COS soil flux.

2.2 Model parameters

In this study, we optimized a total of 76 parameters belonging to BEPS, the parameters are described in **Table S3**. Of these parameters; some are global and others differentiated by PFT or soil texture class. The prior values of the parameters are taken as model defaults which have been tuned with efforts from previous model development and validation, and the prior uncertainty of parameters is set as 25% of the prior values.

Here we optimized a total of 76 parameters belonging to BEPS. Of these parameters, some are global and others differentiated by PFT or soil texture class. The prior values of the parameters are taken as model defaults which have been tuned previous model in development and validation studies (Kattge et al., 2009; Chen et al., 2012). The prior uncertainty of parameters is set based on previous research (Chen et al., 2022; Ryu et al., 2018). For a more detailed description of these parameters, see **Table S4** in the supplement.

2.3 Site description

The NUCAS was evaluated at seven sites distributed on the Eurasian and North American continents in boreal, temperate and subtropical regions based on field observations collected from several studies. Those sites were representative of different climate regions and land cover types (in the model represented by PFTs, and soil textures, as depicted in **Table 1**). They contained 5 of the 10 PFTs used in BEPS and 5 of the 11 soil textures. The sites comprise AT-Neu, located at an intensively managed temperate mountain grassland near the village of Neustift in the Stubai Valley, Austria (Hörtnagl et al., 2011); the Danish ICOS RI site (DK-Sor), which is dominated by European beech (Braendholt et al., 2018); the Las Majadas del Tietar site (ES-Lma) located in western Spain with a Mediterranean savanna ecosystem (El-Madany et al., 2018); the Hyytiälä forest Station (FI-Hyy), located in Finland and is dominated by Scots Pine (Bäck et al., 2012); an agricultural soybean field measurement site (IT-Soy) located in Italy. In this study, NUCAS was operated at seven sites distributed on the Eurasian and North American continents in boreal, temperate and subtropical regions (as illustrated in **Figure 2**) based on field observations collected from several studies. These sites were representative of different climate regions and land cover types (in the model represented by PFTs, and soil textures, as depicted in **Table 1**). They contained 4 of the 10 PFTs used in BEPS and 3 of the 11 soil textures. The sites comprise AT-Neu, located at an intensively managed temperate mountain grassland near the village of Neustift in the Stubai Valley, Austria (Hörtnagl et al., 2011; Spielmann et al., 2020); the Danish ICOS (Integrated Carbon Observation System) Research Infrastructure site (DK-Sor), which is dominated by European beech (Braendholt et al., 2018; Spielmann et al., 2019); the Las Majadas del Tietar site (ES-Lma) located in western Spain with a Mediterranean savanna ecosystem (El-Madany et al., 2018; Spielmann et al., 2019); the Hyytiälä forest Station (FI-Hyy), located in Finland and is dominated by Scots Pine (Bäck et al., 2012; Vesala et al., 2022); an agricultural soybean field measurement site (IT-Soy) located in Italy (Spielmann et al., 2019); the Harvard Forest Environmental Monitoring Site (US-Ha1) which is dominated by red oak and red maple in Petersham, Massachusetts, USA (Urbanski et al., 2007)(Urbanski et al., 2007; Wehr et al., 2017); the Wind River Experimental Forest site (US-Wrc), located within the Gifford Pinchot National Forest in southwest Washington state, USA, with 478 ha of preserved old growth evergreen needleleaf forest (Rastogi et al., 2018). For further information on all sites, see publications listed in **Table 1**.

2.4 Data

The NUCAS system was driven by several temporally and spatially variant and invariant datasets. The CO₂ and COS mole fractions in the bulk air were assumed to be spatially invariant over the globe and to vary annually. And the CO₂ mole fraction data in this study are taken from the Global Monitoring Laboratory (<https://gml.noaa.gov/ccgg/trends/global.html>). For the COS mole fraction, the average of the COS mole fraction observations from sites SPO (South Pole) and MLO (Mauna Loa, United States) was utilized to drive the model, the data are publicly available on line at: <https://gml.noaa.gov/hats/gases/OCS.html>. The other main inputs include a remotely sensed LAI dataset, a meteorological dataset and a soil dataset. Additionally, in order to conduct data assimilation experiments and to evaluate the effectiveness of the assimilation of COS fluxes, field observations including the ecosystem-scale (eddy-covariance or gradient-based) COS

flux, GPP, sensible heat (H), latent heat (LE) and soil ~~moisture~~water content (SWC) at these sites collected at the sites were used.

2.4.1 LAI dataset

The LAI dataset used here are the GLOBMAP global leaf area index product (Version 3) (see [GLOBMAP global Leaf Area Index since 1981 | Zenodo](#)), the Global Land Surface Satellite (GLASS) LAI product (Version 3) (acquired from <ftp://ftp.glcfc.umd.edu/>) and the level-4 MODIS global LAI product (see [LP DAAC - MOD15A2H \(usgs.gov\)](#)). The GLOBMAP LAI product represents Leaf area index at a spatial resolution of 8 km and a temporal resolution of 8-day (Liu et al., 2012). The GLASS LAI product is generated every 8 days at a spatial resolution of 1 km (Xiao et al., 2016). And the MODIS LAI is an 8-day composite dataset with 500 m pixel size. ~~Overall~~As default, we used GLOBMAP products for assimilation experiments as much as possible given its good performance in the BEPS applications to various cases (Chen et al., 2019). ~~And all of the three~~The other two LAI products were used to ~~drive the model to~~investigate the effect of the LAI products on the parameter optimization results. ~~According~~Also, according to Spielmann et al. (2019), the GLOBMAP product had ~~significantly~~considerably underestimated the LAI at the DK-Sor site in June 2016, and we noticed it was not consistent with the vegetation phenology at ES-Lma in May 2016. Therefore, GLASS LAI was used at these two sites and the GLOBMAP product was used at the remaining five sites. In addition, these 8-days LAI data were interpolated into daily values by the nearest neighbour method.

2.4.2 Meteorological dataset

Standard hourly meteorological data as input for BEPS including air temperature at 2 m, shortwave radiation, precipitation, relative humidity and wind speed ~~is available through~~were taken from the FLUXNET database (AT-Neu, DK-Sor, ES-Lma ~~and~~, FI-Hyy, ~~and US-Ha1~~ see <https://fluxnet.org>),- the AmeriFlux database (US-Ha1, US-Wrc, see <https://ameriflux.lbl.gov>) and the ERA5 dataset (Site AT-Neu, IT-Soy, US-Ha1 see <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview>), respectively. Since the experiments were conducted at the site scale, we used the FLUXNET and AmeriFlux data, which contains information about the downscaling of meteorological variables of the ERA-Interim reanalysis data product (Pastorello et al., 2020) as far as possible, and supplemented them with ERA5 reanalysis data. Particularly, although AT-Neu is a FLUXNET site, its FLUXNET meteorological data are only available for the years 2002-2012 while the measurement of COS was performed in 2015. Therefore, we first performed a linear fit of its ERA5-Land data and FLUXNET meteorological data for 2002-2012, and then corrected the ERA5 data for 2015 with the fitted parameters to obtain downscaling information for the meteorological variables. ~~In addition,~~Additionally, for US-Ha1, we used the [FLUXNET data in 2012, and Ameriflux data and ERA5 shortwave radiation data in 2013 to drive the BEPS model, due to the absence of US-Ha1 were also derived from ERA5 since there are no in-situ FLUXNET data in 2013 and the lack of shortwave radiation measurements at this site.](#) ~~data of Ameriflux.~~

2.4.3 Assimilation and evaluation datasets

The hourly ~~ecosystem-scale~~ COS flux observations were used to perform data assimilation experiments and to evaluate the assimilation results. They were taken from existing studies (listed in **Table 1**) and ~~were~~ available for at least a month. Most of the ecosystem COS flux observations were obtained using the eddy-covariance (EC) technique, with the exception US-Wrc, where the COS fluxes were derived with the gradient-based approach. ~~We then corrected the COS fluxes from FI Hyy using the storage correction method (Kooijmans et al., 2017).~~The COS soil measurements were collected using soil chamber, except at US-Ha1, where a sub-canopy flux-gradient approach was used to calculate the soil COS flux. Detailed information ~~on the~~

305 ~~observations of COS can be found in the publications listed in Table 1~~ about the COS measurements can be found in the publications listed in **Table 1**. Specifically, only the measured ecosystem COS flux data of FI-Hyy (Vesala et al., 2022) was utilized in this study.

Since only the raw COS concentration data at different altitudes are provided in Rastogi et al. (2018), while the values of the parameters needed to calculate the COS fluxes by the aerodynamic gradient method are not provided, there may be ~~significant~~**considerable** biases in our estimates of COS fluxes at US-Wrc. Therefore, a bias correction scheme was implemented to match the simulated and estimated ~~the ecosystem-scale~~ COS fluxes for the US-Wrc site. The objectives of this correction scheme are to obviate the need for accurate values of parameters relevant for COS flux calculations, and to retain as much useful information from the COS concentration measurements as possible (Leung et al., 1999; Scholze et al., 2016). This was done by using the mean and standard deviation of the simulated COS flux to correct the COS flux observations:

$$C = \frac{\sigma_e(c - m_e)}{\sigma_e} + m_e \quad (12)$$

$$F = \frac{\sigma_M(O - \bar{O})}{\sigma_O} + \bar{M} \quad (11)$$

where ~~c~~ denotes the COS flux observations (converted to $pmol/m^2/s$), ~~m_e~~ and ~~σ_e~~ are mean and standard deviation of the observed COS flux series. ~~C~~F is the corrected observed COS flux, which is matched to the simulated COS flux. ~~m_M~~ \bar{M} and ~~σ_M~~ σ_M are mean and standard deviation of the COS simulations, calculated from the simulations using the prior parameters for the time period corresponding to the COS flux observations.

320 ~~Considering that COS soil fluxes are much lower than the anticipated plant fluxes in general (positive values indicate COS uptake) and that the relative uncertainty in COS fluxes is very large at low values, especially when negative (Kohonen et al., 2020), we first removed the negative values of the ecosystem COS fluxes. Then, the~~The standard deviation of the ecosystem COS fluxes within 24 hours around each observation was calculated as estimate of the observation uncertainty. For the case where there are no other observations within the surrounding 24 hours, the uncertainty was taken as the mean of the estimated uncertainties of the whole observation series.

~~In order~~Due to the coupling between leaf exchange of COS, CO₂ and H₂O, GPP and LE data are selected to evaluate the ~~model performance of COS~~ assimilation results, gross primary productivity, sensible heat, latent heat and volumetric soil ~~in this study~~. In addition, we further explored the ability of COS to constrain SWC as well as H simulations since the ~~water content (SWC) observations~~dissipated in transpiration originates from the soil (Berry et al., 2006) and the transpiration contribute to a decrease in temperature within the leaf (so called “cooling effect”) (Gates, 1968; Konarska et al., 2016). These data were ~~also~~ taken from FLUXNET (DK-Sor, ES-Lma ~~and~~, FI-Hyy), ~~and~~ US-Ha1), AmeriFlux (US-Ha1 and US-Wrc), ~~and~~ existing studies (Spielmann et al. (2019) for AT-Neu and IT-Soy), Spielmann et al. (2020), Spielmann et al. (2019) and Rastogi et al. (2018)). As GPP is only available for FLUXNET sites, and CO₂ turbulent flux (FC) ~~or~~ data are available for US-Ha1 in 2013 and only net ecosystem exchange (NEE) data are available for ~~other sites~~IT-Soy, a night flux partitioning model (Reichstein et al., 2005) was used to estimate ecosystem respiration (R_{eco}) and thus to calculate GPP. The model assumes that nighttime NEE represents ecosystem respiration (Reichstein et al., 2005), and thus partitions FC or NEE into GPP and R_{eco} , and thus partitions FC or NEE into GPP and R_{eco} based on the semi-empirical models of respiration, which use air temperature as a driver (Lloyd and Taylor, 1994; Lasslop et al., 2012).

2.5 Experimental design

340 Three groups of data assimilation experiments were conducted in this study: (1) 14 model-based twin experiments were performed to investigate the ability of NUCAS to assimilate COS flux data in different scenarios; (2) 13 single-site assimilation

experiments were conducted at all seven sites to obtain the site-specific posterior parameters and the corresponding posterior model outputs based on COS flux observations; (3) one ~~multi~~two-site assimilation experiment was carried out to refine one set of parameters over ~~multiple~~two sites simultaneously and to simulate the corresponding model outputs. Prior simulations using default parameters were also performed in order to investigate the effect of the COS flux assimilation. Moreover, due to the limitation of the COS observations, all of these experiments were conducted in a one-month time window at the peak of the growing season. Detailed information of these experiments is described in the following.

2.5.1 Twin experiment

Model-based twin experiments were performed to investigate the model performance of the data assimilation (Irrgang et al., 2017) at all seven sites considering single-site and ~~multi~~two-site scenarios, and under different perturbation conditions. In each twin experiment, we first created a pseudo-observation sequence by NUCAS using the prior parameters. The pseudo-observation ~~sequence~~time series included the prior simulated ecosystem COS fluxes with its uncertainties, and the latter were ~~set to a constant~~estimated as the standard deviation of ~~1 (pmol/m²/s)~~the prior simulated COS fluxes within 24 hours around each simulation. Then, a given perturbation ratio was applied to the prior parameters vector, ~~and a perturbed ecosystem COS simulation sequence could be obtained based on the perturbed~~as a starting point for the interactive adjustment of parameter vector. Finally, the data assimilation experiments were performed to minimize the discrepancy between the prior parameters and the perturbed parameters, and thus the discrepancy between values to match the COS flux pseudo-observations and the perturbed ecosystem COS simulations. The effectiveness of the data assimilation methodology of NUCAS can be validated if it successfully restores the control parameters from the pseudo-observations. ~~And as~~As a gradient-based optimization algorithm is used in NUCAS to tune the control parameters and minimize the cost function, the changes of cost function and gradient over assimilation processes can also be used to verify the assimilation performance of the system. In this work, a total of fourteen twin experiments were conducted, including thirteen single-site twin experiments and one ~~multi~~two-site twin experiment. ~~For all cases where~~With reference the ~~PFT is evergreen needleleaf forest~~uncertainty of parameters, a perturbation ratio ~~size~~ of 0.2 was used. ~~And for~~utilized in all of the remaining six single-site twin experiments, a perturbation rate of 0.4 was used.

2.5.2 Real data assimilation experiment

After the ability of NUCAS to assimilate COS flux data was confirmed by twin experiments, we could then use the system to conduct data assimilation experiments with real COS observations under single-site and multi-site conditions to optimize the control parameters and state variables of this model, and use the evaluation dataset to test the posterior simulations of the state variables. For the single-site case, a total of thirteen data assimilation experiments were conducted at all of these sites to investigate the assimilation effect of COS flux on optimizing key ecosystem variables. ~~In the diagnostic processes, no perturbation was applied to the default parameters, except for the experiment conducted at the FI-Hyy site in July 2017, where a perturbation ratio of 0.2 was applied.~~Detailed information about those single-site experiments is shown in **Table 32**. Single-site assimilation can fully account for the site-specific information, and thus achieve accurate calibration. However, this assimilation approach often yields a range of different model parameters between sites. For large-scale model simulations, only one set of accurate and generalized model parameters is required (Salmon et al., 2022). Thus, ~~multi~~two-site assimilation experiment that can assimilate COS observations from ~~multiple~~two sites simultaneously is necessary to be conducted. ~~Across the seven sites, Although both~~ DK-Sor and US-Ha1 are ~~both~~ dominated by deciduous broadleaved forest, ~~while there is no overlap in the timing and both AT-Neu and ES-Lma are dominated by C3 grass, none~~ of the ~~observations for their~~COS data ~~from these two PFTs overlap in observation time~~. We therefore selected FI-Hyy and US-Wrc, which are both dominated by

evergreen needleleaf forest, and conducted a ~~multi~~two-site assimilation experiment with a one-month assimilation window in August 2014.

2.6 Model evaluation

385 For the purpose of demonstrating the process of control parameter vector being continuously adjusted in the normalized parameter space in twin experiment, and quantifying the deviation of the current control vector from the prior, the distance (D_x) between the parameter vector and the prior parameter vector was calculated.

~~$$D_x = \|x - x_0\| = \sqrt{\sum_{i=1}^n (x(i) - x_0(i))^2} \quad (13)$$~~

$$D_x = \|x - x_0\| = \sqrt{\sum_{i=1}^n (x(i) - x_0(i))^2} \quad (12)$$

390 where i denotes the i th parameter in the parameter vectors and n denotes the number of parameters in the parameter vector, and takes a value of 76.

With the aim of evaluating the performance of NUCAS in the real data assimilation experiments, we reran the model to obtain the posterior model outputs based on the posterior model parameters. Typical statistical metrics including mean bias (MB), root mean square error (RMSE), and ~~correlation coefficient~~ of determination (R^2) are used to measure the difference between the simulations and *in situ* observations. They were calculated as:

~~$$395 \quad MB = \frac{1}{N} \sum_{i=1}^N (obs_i - sim_i) = \overline{obs} - \overline{sim} \quad (14)$$~~

~~$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (obs_i - sim_i)^2} \quad (15)$$~~

~~$$R^2 = 1 - \frac{\sum_{i=1}^N (obs_i - sim_i)^2}{\sum_{i=1}^N (obs_i - \overline{obs})^2} \quad (16)$$~~

$$MB = \frac{1}{N} \sum_{i=1}^N (M_i - O_i) = \overline{M} - \overline{O} \quad (13)$$

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (M_i - O_i)^2} \quad (14)$$

~~$$400 \quad R^2 = 1 - \frac{\sum_{i=1}^N (M_i - O_i)^2}{\sum_{i=1}^N (O_i - \overline{O})^2} \quad (15)$$~~

where ~~“obs” and “sim” denote the observations and simulations, respectively. sim_i~~ M_i denotes the simulation corresponding to the i th observation obs_i . The terms \overline{obs} and \overline{sim} are the mean of observations and the mean of simulations corresponding to the observations. O_i and N is the total number of observations.

405 Given the large variation in the magnitudes of simulations and observations across experiments, the coefficient of variation of RMSE (CV(RMSE)) was employed to compare the assimilation results between different experiments, and it was calculated by normalizing the RMSE using the mean of observations.

$$CV(RMSE) = \frac{RMSE}{obs} \quad (17)$$

410 Additionally, in order to investigate the sensitivity of COS assimilation to the model parameters, we also calculated the sensitivity coefficient index (SI) for each parameter at the prior value based on the sensitivity information provided by the adjoint model. The sensitivity coefficient ΦSI of any i th parameter $var_x(i)$ of the parameter vector x was calculated as:

$$\Phi(var) = \frac{\partial J / \partial x_i(var)}{\|\partial J / \partial x_i\|} \quad (18)$$

$$SI(x(i)) = \frac{\partial J / \partial x(i)}{\|\partial J / \partial x\|} \quad (16)$$

415 where $\|\partial J / \partial x_i\|$ denote $\|\partial J / \partial x\|$ denotes the norm of the sensitivity vector of the cost function to the model parameters at the prior values.

3 Results

3.1 Twin experiments

After dozens of averaging about 18 and 13 evaluations of the cost function and its gradients, each of the twin experiments was successfully performed. Details of those twin experiments are shown in Table 2S5. In summary, during those assimilations, 420 the cost function values were significantly substantially reduced by more than sixteen orders of magnitude, from greater than 4.58×10^3 50.75 to less than $3.505.09 \times 10^{-13}$ and the respective gradient values also reduced from greater than 3.94×10^3 38.81 to less than $2.791.59 \times 10^{-4} 10^{-6}$, which verified the ability of the data assimilation algorithm to correctly complete the assimilation.

425 Corresponding to the PFT and soil texture of the experimental site, some PFT dependent and texture dependent parameters as well as global parameters showed different adjustments from others as they can affect the simulation of COS to different degrees. Those parameters are the maximum carboxylation rate at 25 °C (V_{cmax25}), the ratio of The relative changes of the V_{cmax} to maximum electron transport rate J_{max} (VJ_slope), saturated hydraulic conductivity (Ksat), Campbell parameter (b), and the ratio of photosynthetically active radiation (PAR) to shortwave radiation (f_leaf). Particularly, as the soil textures at the FI Hy and US Wre are different, Ksat and b corresponding to these two soil textures were both optimized in the multi-site twin experiment. The relative changes of those parameters with respect to the prior values at the ends of the experiments, 430 as well as the initial values ($D_{initial}$) and the maximums (D_{max}) and the final values (D_{final}) of D_x are reported in Table 3S5. Results show that the relative differences of those parameters from the "true" values reached very exceedingly small values at the ends of twin experiments, with the maximum of the absolute values of the relative changes below $28.55 * 10^{-8} \cdot 10^{-9}$. D_x was also reduced to nearly zero with the maximum value below $26.60 * 10^{-7} 10^{-8}$, which indicates that all parameters in the control parameter vectors were almost fully recovered from the pseudo observations. In conclusion, these results demonstrate 435 that NUCAS has excellent data assimilation capability under various scenarios with different perturbations, and can effectively perform iterative computations to obtain reliable parameter optimization results during the assimilation process.

3.2 Single-site assimilation

440 With an average of approximately 118113 cost function evaluations, all of the 13 single-site experiments were performed successfully. The experiments reduced cost function values significantly substantially, with an average cost function reduction of 33.7824.43 % (Table 32). However, the minimization efficiency cost function reduction of the experiment varies considerably with PFT, site and assimilation window, ranging from 1.644.87 % to 64.9269.05 %. The single-site assimilations tend to achieve greater minimization efficiency cost function decreased dramatically at the deciduous broadleaf forest sites

and the evergreen needleleaf forest sites US-Ha1, with mean minimization efficiency of 42.74% and 42.39%, respectively. For the other three PFTs, i.e. grass, crop and shrub, the minimization efficiencies were quite small, ranging from 1.64% to 10.48%, as the simulations of COS using the default parameters at these three sites are already very close to the corresponding observations (Figure 3). We found that for different sites with the same PFT, their average minimization efficiencies of the assimilation are in good agreement. However, for the same site, the minimization efficiencies varied considerably decrease of 56.59%. In contrast, at IT-Soy, the cost function reduction is only 4.87%. With a same PFT (C3 grass), the cost function decreased by a similar degree at AT-Neu and ES-Lma, with the cost function reduction of 16.39% and 15.70%. The average cost function reduction at FI-Hyy was also similar to another evergreen needleleaf forest site, US-Wrc. However, the cost function reduction of FI-Hyy varied notably from year to year, yet were very similar for the same year. For example, at FI-Hyy, the cost function reduction in July and August 2014 were almost identical, with 62.23% and 64.92% respectively, both much greater than the cost function reduction rates were as high as 40.59% and 50.94%, while in other years.

For all single site experiments, the model parameters were continuously adjusted during the assimilation and eventually stabilized, the cost function reduction are much lower, ranging from 5.73% to 18.94%. Similar to the single-site twin experiments, only five parameters have been efficiently adjusted. Figure 2 illustrates the evolution of the values of those parameters during the single site assimilation experiment at the DK-Sor site in June 2016. At the beginning of the assimilation, each parameter had a great adjustment. As the iterations continued, the parameters gradually stabilized and the minimization was eventually completed. Specifically, V_{max25} , VJ_slope and f_leaf varied over a very large range during the assimilation, up to 47.92 in the normalized model parameter space. In contrast, the texture dependent parameter Ksat and b, varied in a very small range between 3.99 and 4.01. (Table 2).

Figure 3 illustrates the mean diurnal cycle and the scatterplots of observed and simulated COS fluxes. Results show that the prior simulations can accurately reflect the magnitude of ecosystem COS fluxes and effectively capture the daily variation and the diurnal cycle of COS. On average across all sites, the prior simulated and observed ecosystem COS fluxes were very remarkably close, with $21.92 \text{ pmol/m}^2/\text{s}$ and $20.60 \text{ pmol m}^{-2} \text{ s}^{-1}$ and $21.88 \text{ pmol/m}^2/\text{s}$, $01 \text{ pmol m}^{-2} \text{ s}^{-1}$ respectively. However, there was substantial variability between sites and even between experiments at the same site. At DK-Sor ES-Lma, the prior simulated COS fluxes were greatly underestimated by 55.72% and 63.38%. In contrast, the prior simulated COS fluxes were overestimated at FI-Hyy, while the overestimation is only significant in 2014 US-Ha1, with MBs of $11.59 \text{ pmol/m}^2/\text{s}$ and $-10.01 \text{ pmol m}^{-2} \text{ s}^{-1}$ and $8.34 \text{ pmol/m}^2/\text{s}$ and $-13.63 \text{ pmol m}^{-2} \text{ s}^{-1}$ in July 2012 and August respectively. July 2013. In general, the MBs of COS fluxes are largely determined by the simulations and observations at daytime due to the larger magnitude (Figure 3). However, the model-observation differences at nighttime are also non-negligible. As shown in Figure 3, the simulated COS fluxes during nighttime were almost constant and lower than the observations for all experiments. Moreover, the underestimation is particularly evident at AT-Neu, ES-Lma and FI-Hyy.

After the single-site optimizations, both the daily variation and diurnal cycle of COS simulations were improved. This was reflected in the reduction of mean RMSE between the simulated and the observed COS fluxes from $16.69 \text{ pmol/m}^2/\text{s}$ and $149 \text{ pmol m}^{-2} \text{ s}^{-1}$ in the prior case to $13.64 \text{ pmol/m}^2/\text{s}$ and $86 \text{ pmol m}^{-2} \text{ s}^{-1}$ in the posterior case. And similar to the values of cost function, the RMSEs were also reduced in all single-site experiments. Moreover, the assimilation of COS observations also effectively corrected the bias between prior simulations and observations, with mean absolute MB significantly decreased from $6.94 \text{ pmol/m}^2/\text{s}$ and $\text{pmol m}^{-2} \text{ s}^{-1}$ to $3.84 \text{ pmol/m}^2/\text{s}$ and $09 \text{ pmol m}^{-2} \text{ s}^{-1}$. In contrast, R^2 remained almost unchanged by the optimizations, with its mean value increasing slightly from 0.2956 to 0.3037. In addition, 0.2967 in the prior case and 0.2970 in the posterior case. Our results also demonstrate that the assimilation model-observation differences of COS mainly optimizes the simulated COS fluxes were effectively reduced at daytime, while. However, the simulated nighttime COS

485 ~~fluxes~~ remarkable differences between COS observations and simulations at nighttime, are ~~almost unchanged~~ not effectively corrected in a number of assimilation experiments (i.e., the experiment conducted at FI-Hyy in July 2013, see **Figure 3d**). The impacts of the assimilation of COS in improving the COS posterior simulations were particularly evident at forest sites, where the prior simulated COS often deviated significantly from the observations, and less evident at low-stature vegetation (including grass, crop and shrub) sites, as the model using prior parameters already performed very well in the simulations.

490 This result is very reasonable since a similar pattern was also found in the cost function reductions at these sites. For example, with the largest cost function reduction, the assimilation of COS significantly corrected the overestimation of the COS simulations at FI Hyy in August 2014, with RMSE decrease from $16.13 \text{ pmol/m}^2/\text{s}$ to $10.11 \text{ pmol/m}^2/\text{s}$. In contrast, with a reduction in the cost function of only 2.08%, the assimilation of COS had little effect at the IT Soy site, where the RMSE of simulated and observed COS only decreased from $12.23 \text{ pmol/m}^2/\text{s}$ to $12.10 \text{ pmol/m}^2/\text{s}$. In addition, the performance of

495 the assimilation of COS at these sites was evaluated utilizing CV(RMSE). Results showed that the three experiments with the smallest CV(RMSE)s all were carried out at the FI Hyy site, in July 2013, 2016 and 2017 respectively, with a mean value of CV(RMSE) of 0.51. While at AT Neu and US Wrc, the CV(RMSE)s were much larger, with 0.90 and 0.85 respectively. For AT Neu, in addition to the large model observation biases during nighttime (**Figure 3a**), there were also significant deviations between observations and simulations in the morning due to the high values of observations.

500 3.3 **MultiTwo-site assimilation**

FI-Hyy and US-Wrc have different soil textures, with ~~loamy sand and silty~~sandy loam ~~and loam~~, respectively. In the ~~multi~~two-site assimilation experiment, NUCAS took this difference into account and successfully minimized the cost function from ~~703.36495.94~~ to ~~370.44365.63~~ after ~~14667~~ evaluations of cost function. The cost function reduction for the experiment is ~~very reasonable~~, with ~~has~~ a value of ~~47.3328.29~~ %, comparable to the cost function reductions for corresponding single-site

505 assimilation experiments at FI-Hyy and US-Wrc (~~64.9250.94~~ % and ~~44.6527.71~~ %). Furthermore, corresponding to these two soil textures, the texture-dependent parameters ~~Ksat~~ K_{sat_scalar} and ~~b~~ b_{scalar} yielded two different posterior parameter values, respectively, so that a total of seven parameters were optimized in the ~~multi~~two-site experiment (**Table 4**). ~~Table 4 shows~~3). It can be seen that ~~with the exception of~~two-site optimized results of V_{cmax25} , VJ_slope, the multi-site posterior parameters and f_{leaf} are ~~all very~~ similar to ~~those that~~ of the single-site experiments in ~~both the sign of~~optimized results at US-Wrc, as

510 ~~most of the observations of the two-site experiment originated from US-Wrc. As for the change (increase or decrease) and texture-dependent parameters, they had the same signs and comparable magnitudes of the adjustments to that of the corresponding single-site experiment at FI-Hyy and were minutely adjusted at US-Wrc as in the corresponding single-site experiment.~~ Overall, both the ~~minimization efficiencies~~cost function reduction and the parameter optimization results of the ~~multi~~two-site assimilation experiments were ~~very~~ similar to the corresponding single-site experiments, demonstrating the

515 ability of NUCAS to correctly perform joint data assimilation from COS observations at ~~multiple~~two sites simultaneously. The posterior simulations of COS flux using the ~~multi~~two-site posterior parameters, also demonstrated the ability of NUCAS to correctly assimilate ~~multi~~two-site COS fluxes simultaneously. (**Figure 4** and **Figure S2**). As shown in **Figure 4**, the prior COS simulations for both the FI-Hyy site and US-Wrc site ~~show overestimation~~were overestimated in the daytime compared to the observations. However, ~~after~~After the ~~multi~~two-site COS assimilation, the discrepancies between COS simulations and

520 observations were ~~significantly~~ reduced ~~in both FI-Hyy and US-Wrc~~, with RMSE reductions of ~~36.8624.75~~ % and ~~9.273.39~~ %, achieving similar results to the simulations using the single-site posterior parameters.

3.4 Parameter change

As mentioned before, there were ~~only~~ five parameters that have been ~~significantly changed~~adjusted during the assimilation of COS flux observations by the NUCAS system, whether in twin, single-site or ~~multi~~two-site experiments. They are the maximum carboxylation rate at 25 °C (V_{cmax25}), the ratio of V_{cmax} to maximum electron transport rate J_{max} (VJ_slope), the scaling factor ($K_{sat_{scalar}}$ and b_{scalar}) of saturated hydraulic conductivity (Ksat);) and Campbell parameter (b), and the ratio of PAR to shortwave radiation (f_leaf). These parameters are strongly linked to the COS exchange processes and it is therefore reasonable that they could be optimized by the assimilation of COS flux. Furthermore, these parameters are also closely linked to processes such as photosynthesis, transpiration and soil water transport, and therefore ~~providethe assimilation of COS flux~~provides an indirect constraint for improving the simulation of GPP, LE, H and soil moisture based on the assimilation of COS flux.

~~For both single site and multi site experiments, the changes of those five parameters exhibited different characteristics: The texture dependent parameters Ksat and b had a very little relative change, while the PFT-specific parameters (V_{cmax25} and VJ_slope) and f_leaf changed dramatically (Figure 5). In particular, the experiment with the largest relative change of Ksat and b performed in July 2017 at FI Hyy, showed the corresponding relative change of only 1.33% and 2.08% respectively. For other experiments, the relative changes of Ksat and b were much smaller, on average 0.09% and 0.14%, respectively of their absolute values. In contrast, the other three parameters varied considerably after the assimilations, in particular f_leaf, which decreased by 31.55% on average in the single site experiments. However, among these posterior parameters, V_{cmax25} has the greatest variability, with relative changes ranging from -60.64% to 113.45%.~~

~~Across all single site experiments, there were significant differences in the results of parameter optimization between sites. We found that for those sites where the prior simulations of COS were already very close to COS observations, such as AT-Neu, ES Lma and IT Soy, there are still some parameters that varied significantly in the assimilation experiments. For example, in the experiment conducted at AT-Neu, although the cost function reduction of this experiment was only 1.64%, both V_{cmax25} and VJ_slope were changed significantly, with the relative changes of 45.54% and 45.42% respectively. With the opposite directions and similar magnitudes, the relative changes in V_{cmax25} and VJ_slope are very reasonable, and reflect the trade-off of the assimilation system for the parameters which ensured the posterior simulated COS fluxes are still close to the COS observations. For those sites where the prior COS simulations deviated considerably from the observations, the relative changes of the posterior parameters were relatively larger. At DK Sor, where the prior simulations of COS were significantly underestimated by 55.72%, both V_{cmax25} , VJ_slope and f_leaf have been greatly increased in the assimilation. In response to the apparent overestimation in the prior simulations of COS at FI Hyy, the posterior COS plant uptake related parameters showed an overall decrease, especially f_leaf.~~

~~In the multi site experiment, corresponding to the different soil textures of FI Hyy and US Wre, two different posterior parameter values were obtained for the texture dependent parameters Ksat and b respectively, while only one posterior parameter value was obtained for each of other parameters. The results show that the posterior values of V_{cmax25} and txt dependent parameters obtained from the multi site optimization are very similar to those from the single site optimization both in terms of the sign and the magnitude of adjustments. However, with a relative change of 30.72% and 63.64% in the multi site experiment, the posterior VJ_slope and f_leaf were significantly larger and smaller than those in the single site experiments, respectively.~~

In both single-site and the two-site experiments, V_{cmax25} has been considerably adjusted, with average absolute relative change of 45.09 % and 41.36 %, respectively (Figure 5a). b_{scalar} and VJ_slope also varied greatly in the single-site experiments, with mean absolute relative changes of 30.92 % and 21.00 %, respectively. However, in the two-site experiment, their mean absolute changes were much smaller, at 4.08 % and 2.96 %. The relative changes of $K_{sat_{scalar}}$ are modest in both single-site and two-

565 site experiments, with mean absolute values of 11.65 % and 9.34 %, respectively. As for f leaf, the average absolute relative changes are even smaller than that of K_{sat_scalar} , at 3.67 % and 6.28 % in the single-site and the two-site experiments. In addition, we found that the parameters can be tuned considerably in cases where the prior simulations are close to the observations. For example, at IT-Soy, where the prior simulations agree well with the observations and the cost function only decrease 4.87 % in the experiment, both V_{cmax25} and b_{scalar} were remarkably tuned, with relative change of 32.55 % and -44.72 %.

570 Across all single-site experiments, there are notable differences in the results of parameter optimization, especially in V_{cmax25} . For the single-site experiment at US-Ha1 in July 2013, the posterior value of V_{cmax25} is 62.08 % lower than the prior. In contrast, the posterior V_{cmax25} is 127.80 % higher than the prior at ES-Lma. In addition to V_{cmax25} , The relative changes of b_{scalar} and VJ slope also vary considerably, ranging from -78.13 % to 16.84 % and -58.23 % to 35.18 %, respectively. On the contrary, the posterior values of f leaf show less variability, and do not differ from the prior value by more than 10.05%.

3.5 Parameter sensitivity

575 The adjoint-based sensitivity analysis results of the parameters are illustrated in **Figure 5b**. Our results suggest that V_{cmax25} has a critical impact on the assimilation results, followed by f_leaf and VJ_slope, while K_{sat} and b do not influence the assimilation results significantly (**Figure 6**). With absolute sensitivity coefficients SIs ranging from 89.0688.47 % to 97.39% except at IT Soy, 96.41 %, the mean absolute sensitivity coefficient SI of V_{cmax25} is more than three times that of VJ_slope and f_leaf, which are 24.71% and 28.76% respectively. 27.67 %. In contrast, for the texture dependent parameter K_{sat} and b, their 580 the average absolute sensitivity coefficients were only 0.01% SIs of b_{scalar} , f leaf and 0.02%, K_{sat_scalar} are much lower, with 11.13 %, 8.30 % and 2.96 % respectively.

Unlike the great variability of the posterior COS plant uptake related parameters V_{cmax25} and VJ_slope, the sensitivities SIs of the cost function to these two parameters are very stable (except IT-Soy), especially at the same site. At US-Ha1, for example, the difference between the sensitivity coefficients SIs of V_{cmax25} and VJ_slope and f_leaf in its two experiments 585 were all smaller than 0.57%. Among the three parameters, 54 %. Furthermore, V_{cmax25} has the smallest magnitude of variation in sensitivity coefficient (except IT Soy), only about half that of VJ_slope and f_leaf, although its sensitivity coefficients SIs among the five parameters with the standard deviation of the SIs of 2.25 %, despite its SIs are of a much larger order of magnitude. As for K_{sat} and b, despite the small values of their sensitivity coefficients, With the relative variability is large, with sensitivity coefficients SIs ranging from -0.0520.62 % to 33.78 % and 4.17 % to 0.04 and from -0.03% to 0.07% 590 respectively.

Our results also suggest that 11.99 % (with the parameters related to light reaction (exception of DK-Sor), VJ_slope and f_leaf), tend to also play more important roles in the COS assimilation at the forest sites compared to AT-Neu and ES-Lma, while V_{cmax25} does the opposite. However, the smallest absolute $\Phi_{V_{cmax25}}$ was found at the agricultural site IT Soy with a value of only 23.76%, yet its sensitivity coefficient of f_leaf is as high as 94.97% modelling of COS. As for K_{sat_scalar} and b_{scalar} , 595 their SIs varied considerably across sites and even across experiments at the same site. For example, the absolute SIs of b_{scalar} are as high as 30.80 % and 34.04 % at the C3 grass sites AT-Neu and ES-Lma. On the contrary, the mean absolute SI of b_{scalar} is only 1.95 % at FI-Hyy. Yet, the absolute SIs of b_{scalar} of FI-Hyy varies considerably across the experiments, ranging from 0.07 % to 7.99 %.

Our results also suggest that f leaf tends to play a more important role in the COS assimilation at the forest sites (except DK- 600 Sor) compared to the low-stature vegetation type sites (including AT-Neu, ES-Lma and IT-Soy), with the mean absolute SIs about two times than that of the latter. With the absolute SIs ranging from 93.00 % to 96.41 %, V_{cmax25} is also observed to be

more sensitive at the forest sites. Specifically, the largest SI of V_{cmax25} was observed at DK-Sor, while the SIs of VJ slope and f leaf of DK-Sor are noticeably lower than that of other sites, at 12.05 % and 0.94 %, respectively.

3.6 Comparison and evaluation of simulated GPP

605 For single-site experiments, both the prior and posterior GPP simulations performed ~~very~~ well in modelling the daily variation and diurnal cycle of GPP, with mean R^2 of 0.7680 and 0.7578, respectively. (Figure 6 and Figure S3). The discrepancy between simulations and observations was ~~significantly~~ substantially reduced by the assimilation of COS, from mean RMSE of ~~8.22 $\mu\text{mol}/\text{m}^2/\text{s}$ to 7.43 $\mu\text{mol}/\text{m}^2/\text{s}$~~ in the prior case to ~~6.38 $\mu\text{mol}/\text{m}^2/\text{s}$ to 5.34 $\mu\text{mol}/\text{m}^2/\text{s}$~~ in the posterior case (Figure 7). The mean bias between the observed and simulated GPP was also corrected with the reduction in mean absolute MB from ~~4.82 $\mu\text{mol}/\text{m}^2/\text{s}$ to 3.14 $\mu\text{mol}/\text{m}^2/\text{s}$~~ .

610 Similar to COS flux, the mean of prior simulated GPP is also generally larger than the observed. We found that With the assimilation of COS, the tuning directions of the GPP simulations and the COS simulations were consistent for almost all single site experiments (12/13). The only exception occurred at AT Neu, with the simulated COS increasing by 10.32% while the simulated GPP decreasing by 15.24%. Such results also reflect that the sensitivity of COS exchange and photosynthesis to ~~the model parameters differs due to the different physiological mechanisms.~~ bias between the observed and simulated GPP was effectively corrected, with the reduction in mean absolute MB from ~~4.31 $\mu\text{mol}/\text{m}^2/\text{s}$ to 2.28 $\mu\text{mol}/\text{m}^2/\text{s}$~~ .

In general, the GPP performance was improved for most of the single-site experiments (9/12 of 13), with RMSE reductions ranging from 9.41% to 59.83%, while for the other 4 experiments, the posterior RMSEs were slightly higher than the prior by 0.84% to 23.96%. More specifically, across 3.81 % to 64.27 %. Across all single-site experiments performed at evergreen
620 needleleaf forest sites, the posterior GPP simulations were remarkably improved, with an averaged RMSE reduction of ~~37.92~~ 42.00 %. At the sites that were dominated by deciduous broadleaf forest, sites (DK-Sor and US-Ha1), the posterior simulated GPP also achieved a better fit with the GPP derived from EC observations, with an averaged RMSE reduction of ~~41.99~~ 20.95 %. However, for experiments conducted on ~~other~~ low-stature vegetation types (including C3 grass, and C3 crop and shrub), the ~~assimilation of COS is less effective in constraining the modelled GPP.~~ At ES-Lma and IT-Soy, the
625 RMSEs of the posterior simulated GPP are slightly ~~larger~~ lower than the prior. Nevertheless, with reduction ratios of 8.60 % and 3.81 %, respectively. At AT-Neu, the posterior simulations of addition of COS observation shifted the GPP for these three sites also achieved a consistent fit to simulations away from the GPP derived from EC observations, with their CV (the RMSE)s all smaller than the averaged CV (RMSE) of all posterior simulations in single site experiments. Moreover, for AT Neu and IT Soy, the GPP observations exhibited significant fluctuations even at night, suggesting that they may have large uncertainties,
630 which is to be considered in the evaluations of our GPP simulations. increasing from ~~3.48 $\mu\text{mol}/\text{m}^2/\text{s}$ to 5.97 $\mu\text{mol}/\text{m}^2/\text{s}$~~ .

Covering different years or months, the single-site experiments performed at FI-Hyy and US-Ha1 provided an opportunity to analyze inter-annual and seasonal variation in the simulated and observed GPP. At US-Ha1, the prior simulations ~~overestimated GPP in both July 2012 and July 2013 overestimated GPP~~ by almost the same degree, ~~30.58~~ 21.26 % and ~~34.58~~ 42.02 % respectively, while, With the assimilation of COS, the corresponding posterior simulated GPP differs
635 considerably. modelled COS exhibited substantial decreases. In July 2012 parallel, the model using observation difference also reduced, by 12.36 % and 24.46 %, respectively. However, the posterior parameters performed very well in GPP simulations, with MB of only ~~0.20 $\mu\text{mol}/\text{m}^2/\text{s}$~~ . In contrast, the posterior GPP simulations in July 2013 were significantly simulated GPP appeared to be underestimated, with MB of ~~6.38 $\mu\text{mol}/\text{m}^2/\text{s}$~~ . At FI-Hyy, a total of six single-site experiments were conducted between 2013 and 2017, five of them in July and one in August 2014. The observed GPP shows little inter-annual
640 variation in July from 2013 to 2017, with the mean ranging from ~~8.30 $\mu\text{mol}/\text{m}^2/\text{s}$ to 9.15 $\mu\text{mol}/\text{m}^2/\text{s}$~~ , while ~~$\mu\text{mol}/\text{m}^2/\text{s}$~~ . In August 2014, the mean for August of ~~6.43 $\mu\text{mol}/\text{m}^2/\text{s}$~~ was GPP observations were noticeably lower than

that in July, with a mean of $6.43 \mu\text{mol m}^{-2} \text{s}^{-1}$. As for simulations, the ~~prior simulations tend~~ model tends to overestimate GPP, with MBs ranging from $3.76 \mu\text{mol/m}^2/\text{s}$ ~~2.79~~ $\mu\text{mol m}^{-2} \text{s}^{-1}$ to $6.61 \mu\text{mol/m}^2/\text{s}$. However, $5.25 \mu\text{mol m}^{-2} \text{s}^{-1}$. After the posterior GPP differs considerably, in some experiments achieving excellent match with the observations and other experiments yielding very low simulated GPP. In July 2013, 2015 and 2016, the model using posterior parameters performs well in simulating GPP and achieves the smallest CV(RMSE) ~~assimilation~~ of all single site experiments. COS, the overestimation of the COS simulation for FI-Hyy were effectively corrected, with CV(RMSE)s ranging from 0.39 to 0.42. In contrast, as the observed COS is lower than the prior simulated COS by 39.64% and 39.32% in July and the mean absolute MBs of $1.01 \mu\text{mol m}^{-2} \text{s}^{-1}$. However, with a low SWC in August 2014, f_{leaf} and V_{emax} were dramatically adjusted downwards in July and the prior simulated COS were obviously overestimated by 41.06 %, which led to remarkable downward adjustments of V_{cmax25} as well as VJ slope. Thus, the simulated GPP were also markedly downgraded by 53.54 % in August respectively, 2014, ultimately resulting in ~~notable~~ the underestimation ~~in~~ of the single-site posterior simulated GPP, with MBs of $6.27 \mu\text{mol/m}^2/\text{s}$ and $2.57 \mu\text{mol/m}^2/\text{s}$. In addition, a dramatic reduction of f_{leaf} was also reported in July 2017 and resulted in an underestimation of posterior simulated GPP. (Figure 6f).

In the ~~multi~~ two-site experiment, the posterior model-observation differences ~~for~~ of GPP were reduced for both FI-Hyy and US-Wrc were reduced by the assimilation of COS, with RMSE reductions of ~~45.85~~ ~~39.90~~ % and ~~55.71~~ ~~42.69~~ %, respectively. These RMSE reductions are even higher than those in the corresponding single-site experiments, by ~~20.34~~ ~~55.08~~ % for FI-Hyy and ~~7.84~~ ~~16.31~~ % for US-Wrc. These results suggest that simultaneous assimilation using COS observations from ~~multiple~~ two sites can also improve GPP simulations, and the assimilation ~~is sometimes~~ can be more effective ~~robust~~ than the single-site assimilation because the possibility of over-fit local noise is reduced.

Overall, the assimilation of ecosystem COS flux data ~~can improve~~ improved the simulation of GPP in both single-site ~~assimilation~~ experiments and ~~multi~~ the two-site ~~assimilation~~ experiment. However, the assimilation effects vary considerably for different sites and even for different periods within the same site. ~~The~~ Our results suggest the assimilation of COS ~~degrades~~ the fit is able to observed GPP at provide strong constrain to the modelling of GPP at forest sites, with an average RMSE reduction of 36.62 %. In contrast, at the low-stature vegetation ~~site~~ type (including AT Neu, ES Lma C3 grass and C3 crop) sites, IT Soy) where the prior COS simulations perform well. By contrast, for the single site experiments conducted at forest sites, the assimilation ~~can always improve the simulation of GPP, although the optimizations were sometimes affected by the over tuning of V_{cmax25} and f_{leaf} .~~ of COS is less effective in constraining the GPP simulations.

3.7 Comparison and evaluation of simulated ~~HLE~~ and ~~LEH~~

In order to verify the impact of COS assimilation on stomatal conductance and energy balance, observations of ~~latent heat~~ LE and ~~sensible heat~~ H were compared to the prior and posterior model outputs. ~~Due to the lack of observations at AT Neu and IT Soy, the validation was carried out at the remaining five sites only.~~ Results showed that the assimilation of COS is generally able to improve both latent and sensible heat, whether in single-site ~~experiment~~ experiments or ~~multi~~ the two-site experiment. ~~And the~~ (Figure S4-S7). The assimilation is more effective in ~~improving~~ reducing the simulation ~~model-observation~~ difference of LE, with the average RMSE decreasing from ~~94.69~~ ~~W/m²~~ ~~89.55~~ W m^{-2} to ~~79.69~~ ~~W/m²~~, ~~73.94~~ W m^{-2} , while for H, the average RMSE only decreased from ~~404~~ ~~103.10~~ W m^{-2} to ~~98.02~~ W m^{-2} . However, the average R^2 of the simulated H increased noticeably from 0.39 in the prior case to 0.46 in the posterior case, while that of LE slightly decreased from ~~0.65~~ ~~W/m²~~ to ~~96.29~~ ~~W/m²~~ to 0.64.

Results show that the BEPS model can simulate the daily variations of ~~HLE~~ and ~~LEH~~ as well as the diurnal cycle of LE ~~very~~ well, while the diurnal cycle of H is relatively poorly simulated. The prior simulation tends to overestimate LE during the daytime, and to exhibit short-time fluctuations in H that is not present in the observations. On average across all experiments,

the prior simulated LE is overestimated by 41.88 W/m^2 (Figure 8 and Figure S1) 31.60 W m^{-2} while the prior simulated H is underestimated by 39.92 W/m^2 (Figure 8 and Figure S1) 37.28 W m^{-2} . The overestimation of LE and the underestimation of H are particularly apparent at the evergreen needleleaf forest sites (FI-Hyy and US-Wrc). In addition, at FI-Hyy and US-Wrc, the model-observation biases are more pronounced for H, with an averaged MB of -62.13 W/m^2 66.36 W m^{-2} than for LE with the averaged MB of 41.78 W/m^2 . These results indicate that the BEPS model may underestimate the solar radiation absorbed by the evergreen needleleaf forest ecosystem 51.09 W m^{-2} . For the deciduous broadleaf forest sites DK-Sor and US-Ha1, the prior simulations of H are very close to both fit well with the observations, with a maximum absolute MB of only 16.18 W/m^2 17.88 W m^{-2} . However, similar to evergreen needleleaf forests, its the prior simulations also tend to overestimate LE, with MB ranging from 17.92 W/m^2 to 61.34 W/m^2 . With a shrub PFT, ES-Lma is the only site where the prior simulations overestimate both H and LE at US-Ha1, with a mean MB of 22.00 W/m^2 and 50.06 W/m^2 respectively, which poses a significant challenge for the simultaneous optimization of H and LE 47.18 W m^{-2} .

In general, the single-site assimilation of COS effectively corrected the biases in the prior simulations of H and LE, and the correction mainly affected the daytime. Moreover, the correction was particularly effective for the evergreen needleleaf forest sites, where the mean values of the simulations of H and LE were increased by 30.95 W/m^2 and decreased by 31.04 W/m^2 respectively. With a mean RMSE reduction of 25.56%, the improvements of LE are also larger than the improvements of H. For the deciduous broadleaf forest sites, the optimization results for LE and H show considerable inconsistency. At US-Ha1, the model overestimated the absorbed solar radiation energy both in July 2012 and 2013. And the assimilation of COS significantly corrected the overestimation of LE, with RMSE reduction of 25.63% in July 2012 and 28.90% in July 2013. In contrast to the reduction of LE, the H was increased by 21.40 and 54.40 W/m^2 , in the respective period. At DK-Sor, the simulations of H and LE using the default parameters of the BEPS model already performed very well, and little improvement is needed. However, as the prior simulated COS was much lower than observed COS, parameters including V_{emax25} , VJ_{slope} and f_{leaf} were increased after the assimilation. As a result, the model output using the posterior parameters overestimated LE and underestimated H. As for ES-Lma, where the prior model output overestimated both H and LE, the posterior simulated LE was overestimated yet stronger, while the overestimation of H was partially corrected are primarily reflected at daytime. Moreover, the correction was particularly effective for the evergreen needleleaf forest sites. On average across the ENF sites, the overestimation of LE and the underestimation of H were effectively corrected through the assimilation of COS, by 19.71 W m^{-2} and 18.38 W m^{-2} , respectively. At the DBF site US-Ha1, the simulation of LE increased by 38.07 W m^{-2} after the assimilation of COS, which considerably corrected the overestimation of the prior LE simulation. In contrast, the modelled H decreased by an average of 37.56 W m^{-2} , and deviated from the H observations in July 2013.

At US-Wrc, the multi-site assimilation greatly of COS effectively corrected the overestimation of LE and the underestimation of H in the prior simulations during the daytime, with RMSE reductions of 26.57% 17.58% for LE and 32.99% 22.33% for H, achieving almost identical effect to which is even larger than that of the single-site optimization, and confirms the robustness of the two-site assimilation. Similar to US-Wrc, the LE and H simulations obtained with the multi-site posterior parameters were reduced by about one third compared are also superior to the prior simulations at FI-Hyy, which allowed the overestimation of the prior simulation during the first half of the month to be effectively corrected (Figure 8a). Meanwhile, the model-observation differences of H were also remarkably reduced at FI-Hyy, with MB the RMSE reductions of -63.44 W/m^2 19.34% for the prior case LE and -39.93 W/m^2 5.90% for the posterior case H.

Overall, the BEPS model performed well in simulating the daily variations and diurnal cycle of H LE and LE H, while it tended to overestimate LE during the daytime and underestimate H around midday and sunset. Generally, the assimilation of COS could effectively improve the simulation of LE and H, whether the assimilation was conducted at single-site or at multiple two

sites simultaneously, and this improvement was particularly noticeable for ~~the simulation of~~ LE. We also ~~found~~ observed that the simulated LE was always adjusted in the same direction as the COS, while H was adjusted in the opposite direction.

3.8 Comparison and evaluation of simulated SWC

725 The ~~effectiveness~~ influence of COS assimilation ~~in improving soil moisture simulations on the modelling of~~ SWC was assessed by comparing hourly ~~soil water content~~ SWC observations with hourly simulations of ~~soil moisture using prior parameters, single site and multi site posterior parameters~~ SWC. The assessments were carried out at all sites except US-Ha1, where no soil water observations were available. ~~We found that~~ Results show the impact of COS assimilation ~~also improved on the modelling of~~ SWC varies considerably by site and by period at the same site (**Figure S8**). Our results also suggested that the

730 assimilation of COS is able to improve the simulation of ~~soil moisture~~ SWC and this improvement ~~was~~ is closely linked to the improved simulation of LE. However, ~~the improvement of soil moisture was not significant in a short period of time with the considerable adjustment of soil hydrology related parameters, the posterior simulated SWC also deviated noticeably from observations at several sites, i.e., AT-Neu.~~

Results show that the model can roughly follow the soil moisture trend (**Figure 9** and ~~Figure S3~~ **S8**). However, the simulated

735 ~~soil water content (SWC)~~ exhibited a clear ~~cycle of diurnal variation~~ cycle whereas the observed SWC had almost no diurnal fluctuations. ~~Generally, in~~ In response to the overestimation of LE at the ENF sites, the prior simulations ~~tended to overestimate the rate of decline in~~ SWC. ~~After~~ underestimated the ~~assimilation of~~ COS, SWC in most (6/7) of the single-site experiments conducted at ENF sites. As the overestimation of ~~the decline rate of~~ SWC LE was ~~significantly corrected and the posterior SWC simulations were more closely aligned with observations in terms of state and trend. For example, during the first half month of August effectively corrected~~ 2014 at FI-Hyy, the prior simulations greatly overestimated LE (**Figure 8a**), such that

740 ~~the corresponding simulated SWC dropped rapidly to the wilting point and then remained constant (Figure 9e). In contrast, with the simulated LE being notably corrected by the assimilation of COS, the simulated SWC was also effectively corrected to the level of the observations.~~

However, the effect of the assimilation of COS on the optimization of SWC simulations varied considerably from site to site.

745 ~~Little difference was found between the prior and the posterior simulations of SWC for those sites (AT-Neu, ES-Lma, IT-Soy) where there the GPP simulations also changed little after the assimilations of COS. The model significantly overestimated the rate of decline in~~ soil moisture ~~decline at US-Wre and DK-Sor, with~~ slowed down, leading to the posterior ~~simulated~~ LE SWC simulation being about ~~169% and 78% larger~~ higher than the ~~observed. In contrast, the assimilation of COS remarkably improved the SWC simulations at FI-Hyy, with an average RMSE reduction of 24.86%. Yet, at FI-Hyy site, prior in the majority~~ (6/7) of experiments. This conclusion was confirmed by the experiment at FI-Hyy in July 2015, in which the soil hydrology-related parameters K_{sat_scalar} and b_{scalar} were adjusted as low as -0.0026 % and -0.0717 %, respectively. On the contrary, the soil hydrology-related parameters were considerably adjusted in the single-site experiment at FI-Hyy in July 2016, with relative changes of 18.13 % and -69.86 % for K_{sat_scalar} and b_{scalar} , respectively. As a result, the corresponding posterior soil moisture simulations declined rapidly and deviated markedly from observations. Similar adjustment results (**Figure 9**)

750 ~~also showed there is still a large mismatch of observed~~ for soil hydrology-related parameters were also observed at the C3 grass sites (AT-Neu and ES-Lma), with mean relative changes in K_{sat_scalar} and b_{scalar} at these two sites of 26.32 % and ~~simulated decline rate of SWC during inter-storm periods~~ 71.73 %, respectively. Accordingly, the posterior SWC simulations also show rapid declines and ~~of the effect of precipitation on SWC~~ deviated from observations.

4 Discussion

760 4.1 Parameter changes

As ~~we~~ mentioned before, ~~our results show V_{cmax25} was tuned the texture-dependent parameters K_{sat} most in both the single-site experiments and b had a very small~~ the two-site experiments, with the mean absolute relative change ~~in the assimilation of COS, while the parameters related to PFT (V_{cmax25} 44.59 %, followed by b_{scalar} and VJ_{slope}) and f_{leaf} varied dramatically.~~ This is because COS plant fluxes are much larger than COS fluxes of soil in general (Whelan et al., 2016; Whelan et al., 2018) and the ~~texture-dependent~~ soil hydrology-related parameters cannot directly influence the COS plant uptake. Therefore, the assimilation of the COS flux mainly changed the parameters related to COS plant uptake rather than texture-dependent parameters that relate to soil COS flux to minimize the cost function. ~~Among the three COS plant uptake related parameters, it was found that the posterior V_{cmax25} had the largest change relative to the prior, with the relative change ranging from 60.64% to 113.45%, followed by f_{leaf} and VJ_{slope} . However, the adjustment of soil hydrology related parameters should~~ not be neglected as well, as they play an important role in minimizing the discrepancy between COS simulations and observations.

~~Although the posterior f_{leaf} has significant variability, f_{leaf} varies little in reality and is usually between 41% and 53% on an annual mean scale (Ryu et al., 2018). Considering that f_{leaf} is set to 0.5 in our model, it should remain about the same or be slightly reduced after the optimization. Certainly, the relative change rate of f_{leaf} is very reasonable in some experiments,~~ such as the single site experiments conducted at FI Hyy in August 2014 and July 2015, with relative changes of -14.18% and -13.29% respectively. However, the posterior f_{leaf} was also reduced dramatically by more than 60% in some single site experiments conducted at FI Hyy and US Ha1, which suggested that the assimilation of COS may lead to over tuning of f_{leaf} in some cases. ~~As shown in Figure 3, the prior simulations underestimated COS fluxes at nighttime for many sites, i.e., FI-Hyy. On the one hand, this is due to the substantial gap between current modelled COS soil fluxes and observations (Whelan et al., 2022). On the other hand, this also stems from the fact that the nighttime stomatal conductance was set to a low and constant value ($1 \text{ mmol m}^{-2} \text{ s}^{-1}$) in the BEPS model. As a result, the discrepancy between nighttime ecosystem COS simulations and observations could not be reduced by adjusting photosynthesis-related parameters to have an effect on stomatal conductance modelling. Thus, soil hydrology-related parameters were adjusted to compensate for the differences in both soil and plant components simultaneously. In this study, the COS soil model proposed by Whelan et al. (2016) and Whelan et al. (2022) was utilized, in which the optimal SWC for soil COS biotic uptake was set to 12.5 (%) for both grass and needleleaf forest. Such an optimal SWC value is much lower than the prior simulated SWC, as shown in Figure S8. Therefore, the soil hydrology-related parameters were considerably tuned, resulting in a rapid decline in the posterior SWC simulation to a level comparable to the optimum SWC.~~

COS plant uptake is governed by the reaction of COS destruction (Wohlfahrt et al., 2012) by carbonic anhydrase though it can also be destroyed by other photosynthetic enzymes, e.g., RuBisCo (Lorimer and Pierce, 1989), and the reaction is not dependent on light (Stimler et al., 2011; Whelan et al., 2018). Yet, given that stomatal conductance is simulated from net photosynthetic rate with a modified version (Woodward et al., 1995; Ju et al., 2010) of the Ball-Woodrow-Berry (BWB) model (Ball et al., 1987); ~~in BEPS, the adjustment of light reaction related parameters (VJ_{slope} and f_{leaf}) can therefore indirectly affect the simulation of COS plant uptake by influencing the calculation of stomatal conductance. As mentioned in Sect 3.2,~~ the prior simulated COS fluxes were larger than the observed ones at FI Hyy and US Ha1. Therefore, the assimilation of COS resulted in down regulations of f_{leaf} in the single site experiments performed at FI Hyy and US Ha1. ~~According to Ryu et al. (2018), f_{leaf} varies little in reality and is usually between 41 % and 53 % on an annual mean scale. In our assimilation experiments, the optimized f_{leaf} values were distributed between 42.50 % and 51.28 %, consistent with this study. In contrast,~~

the other light reaction related parameter VJ slope, has a much wider range of variation, with relative changes ranging from -
800 58.23 % to 35.18 %.

In addition to f_{leaf} , V_{cmax25} was also over-adjusted in a few assimilation experiments, particularly at We noticed remarkably
different optimization results for photosynthesis-related parameters in the experiments conducted in August 2014. For example,
at US Wre, V_{cmax25} was dramatically down-regulated by a similar degree in the single site July 2015 and multi-site experiment,
with July 2017 at FI-Hyy, especially for V_{cmax25} and VJ slope. In these two experiments, the difference in the relative change
805 of 50.63% and 44.64% respectively, whereas the posterior VJ slope in V_{cmax25} is more than 20%, and f_{leaf} are significantly
different that in VJ slope is as high as 37.04%. However, with such these different posterior parameters, adjustments to the
posterior simulated parameter set caused similar impact on COS are very similar (Figures 4b) simulations, leading to the latter
being reduced by 12.51 % and 10.43 % in July 2015 and July 2017, respectively. These results revealed the 'equifinality'
(Beven, 1993) of the inversion problem at hand, i.e. the fact that different combinations of parameter values can achieve a
810 similar fit to the COS observations. Assimilation of further observational data streams is expected to reduce the level of
equifinality by differentiating between such combinations of parameter values that achieve a similar fit to COS observations.

4.2 Parameter sensitivity

It has been widely proved that photosynthetic capacity simulated by terrestrial ecosystem models is highly sensitive to V_{cmax} ,
 J_{max} , and light conditions (Zaehle et al., 2005; Bonan et al., 2011; Rogers, 2014; Sargsyan et al., 2014; Koffi et al., 2015;
815 Rogers et al., 2017). Therefore, it is expected that V_{cmax25} , VJ slope, and f_{leaf} would significantly markedly affect the
optimization results, as these parameters ultimately have an impact on the simulation of plant COS uptake by influencing the
estimation of photosynthesis capacity and stomatal conductance. Specifically, results of Wang et al. (2004), Verbeeck et al.
(2006) Verbeeck et al. (2006), Staudt et al. (2010), Han et al. (2020) and Ma et al. (2022) showed that the simulated
photosynthetic capacity was generally more sensitive to J_{max} and light conditions than to V_{cmax} . However, due to the
820 differences in the physiological mechanisms of COS plant uptake and photosynthesis, e.g., the hydrolysis reaction of COS by
carbonic anhydrase is not dependent on light, the sensitivities of the two processes with respect to the model parameters may
differ considerably although they are tightly coupled. Indeed, our adjoint sensitivity results suggest that the same change of
 V_{cmax25} is capable to influence the assimilation results to a greater extent than of VJ slope and f_{leaf} . This result can be
attributed to the model structure that V_{cmax25} not only affects the estimation of stomatal conductance through photosynthesis,
825 but is also used to characterize mesophyll conductance and CA activity due to their linear relationships with V_{cmax} (Badger
and Price, 1994; Evans et al., 1994; Berry et al., 2013). In addition, such a large sensitivity of V_{cmax25} also indicates the
importance of accurate modelling of the apparent conductance of COS for ecosystem COS flux simulation.

As for K_{sat} and b , $K_{sat_{scalar}}$ and b_{scalar} , they also play an important role in the assimilation of COS since the SWC
simulations of BEPS are sensitive to the two K_{sat} and b (Liu et al., 2011). But since, and SWC is the primary factor for COS
830 soil biotic flux modelling (Whelan et al., 2016). However, as the soil COS exchange is generally much smaller than COS plant
uptake (Whelan et al., 2018) and they have less impact on the simulation of GPP (Novick et al., 2022), the assimilation results
are not significantly affected by these two and the parameter scheme provided by Whelan et al. (2022) sets different empirical
parameter values (See Table S3 for details) depending on the PFTs, the SIs of $K_{sat_{scalar}}$ and b_{scalar} differs considerably
across PFTs, and are overall lower than those of photosynthesis related parameters.

835 In Sect 3.5, we mentioned that the parameters related to light reaction (VJ slope and related parameter f_{leaf}), tend to play
more essential roles in the assimilation of COS at the forest sites. Actually, similar features were found in the sensitivity of
photosynthesis to radiation, i.e. the simulated GPP was more sensitive to radiation at forested vegetation types and less
sensitive at low-stature vegetation types (Sun et al., 2019). Particularly, the simulated GPP was also found to be highly sensitive

to variations of radiation at low radiation conditions (Koffi et al., 2015). ~~At IT Soy, Figure 3j showed that the assimilation of COS observations mainly changes the COS simulation in the early evening to minimize the cost function. Thus, it is reasonable that f_{leaf} is the most influential parameter for that experiment as photosynthesis is very sensitive to radiation under such low light condition and f_{leaf} is an essential parameter for the calculation of PAR.~~

4.3 Impacts of COS assimilation on ecosystem carbon, energy and water cycles

Due to the physiological basis that COS is taken up by plants through the same pathway of stomatal diffusion as CO₂, the assimilation of COS was expected to optimize the simulation of GPP. ~~And it was confirmed by our single-site and multi-site experiments conducted in a variety of ecosystems, including evergreen needleleaf forest, deciduous broadleaf forest, C3 grass and C3 crop. However, limited by many factors, such as the observation errors of the COS fluxes, the assimilation of COS does not always improve the simulation of GPP, especially if the prior simulations of COS are already very close to the observations. Moreover, the assimilation of COS could sometimes lead to overshooting of photosynthesis-related parameters, such as f_{leaf} , and thus result in considerable errors in the GPP simulations. In our experiments, those significant overshoots of f_{leaf} all occurred at well-vegetated forest sites (FI-Hyy and US-Ha1). This is also very reasonable as f_{leaf} is relevant to the calculation of PAR and light can become a limiting factor for photosynthesis, in particular when plants grow in dense vegetation (Demarsy et al., 2018). i.e., at AT-Neu site.~~

Similar to the photosynthesis, the transpiration is also coupled with the COS plant uptake through stomatal conductance. But the difference is that after CO₂ is transported to the chloroplast surface, it continues its journey inside the chloroplast, and is eventually assimilated in the Calvin cycle (Wohlfahrt et al., 2012; Kohonen et al., 2022). Based on the BWB model, photosynthesis-related parameters only indirectly influence the calculation of stomatal conductance through photosynthesis in our model. ~~In our experiments, posterior simulation results consistent with this mechanism were obtained in that although the posterior GPP simulations significantly deviate from reality due to parameter overshooting, the posterior LE does not. An example is the experiment conducted at FI-Hyy in July 2014, in which the posterior simulated GPP was substantially underestimated by 68.77%, while the posterior simulated LE was only 19.57% lower than the observations. Thus, the transpiration related variable LE, was not optimized as dramatically as GPP in the assimilation of COS.~~

~~In comparison, the RMSEs of GPP simulations were reduced by an average of 25.37 % within the assimilation of COS, while that of LE were reduced by 16.27 %.~~ Moreover, as transpiration rate and leaf temperature change show a linear relationship (Kümmerlen et al., 1999; Prytz et al., 2003) and surface-air temperature difference is a key control factor for sensible heat fluxes (Campbell and Norman, 2000; Arya, 2001; Jiang et al., 2022), the optimization for transpiration can therefore improve the simulation of leaf temperature and consequently improve the simulation of sensible heat flux.

Driven by the difference in water potential between the atmosphere and the substomatal cavity (Manzoni et al., 2013), the water is taken up by the roots, flows through the xylem, and exits through the leaf stomata to the atmosphere in the soil-plant-atmosphere continuum (Daly et al., 2004). Thus, when plants transpire, the water potential next to the roots decreases, driving water from bulk soil towards roots (Carminati et al., 2010) and reducing soil moisture. Certainly, soil moisture dynamics are also influenced by soil evaporation and leakage during inter-storm periods under ideal conditions (Daly et al., 2004). However, studies have shown that transpiration represents 80 to 90 percent of terrestrial evapotranspiration (Jasechko et al., 2013) and evaporation is typically a small fraction of transpiration for well-vegetated ecosystems (Scholes and Walker, 1993; Daly et al., 2004). Based on current knowledge of leakage, for example the relationship between leakage and the behavior of hydraulic conductivity (Clapp and Hornberger, 1978), extremely small adjustments of K_{sat} and b , ~~i.e., with average of the absolute values of the relative changes of -0.17% 0.026% for K_{sat}_{scalar} and -0.28% across all of the data assimilation experiments 0.717% for b_{scalar} in July 2015 at FI-Hyy,~~ hardly caused any change in leakage. Therefore, our results indicate that the assimilation

of COS not only can significantly markedly improve the modelling of stomatal conductance and transpiration ~~and finally, but~~
880 it can also ultimately improve soil moisture SWC predictions. However, our results also show that there are large uncertainties
in the BEPS model for the simulation of the decline rate of SWC during inter-storm periods and of the effect of precipitation
on SWC, although in some cases the model using the posterior parameters has already achieved an excellent simulation of LE.
This result suggests that there may still be significant errors in the soil texture related parameters, and that these
errors remarkable discrepancies between the ecosystem COS flux simulations and observations, and that discrepancies cannot
885 be effectively corrected reduced by the assimilation of COS due adjustment by the photosynthesis related parameters due to the
weak connection between ecosystem COS fluxes and soil hydrological processes. simplification of BPES for nighttime
stomatal conductance modelling. As a result, it was also observed that the soil hydrology related parameters were drastically
adjusted to minimize the discrepancy of COS simulations and observations, which instead biased the SWC simulations away
from observations.

890 4.4 Impacts of leaf area index data on parameter optimization

As an essential input data of the BEPS model, LAI products have been demonstrated to be a source of uncertainty in the
simulation of carbon and water fluxes (Liu et al., 2018). Therefore, it is necessary to investigate the influence of LAI on our
parameter optimization results, as the LAI is directly related to the simulation of COS and the discrepancy between COS
simulations and COS observations is an essential part of the cost function. Here we collected three widely used satellite-derived
895 LAI products (GLOBMAP, GLASS and MODIS) and the means of *in situ* LAI during the growing seasons or during the COS
measurement periods for these sites (see **Table 21**). These *in situ* LAI means were used to drive the BEPS model along with
the other three satellite-derived LAI products, with the assumption that they are representative of the LAI values during the
assimilation periods. The configurations of those assimilation experiments were the same as those listed in **Table 2**, so that a
total of 52 single-site experiments were conducted. Almost all All experiments were successfully performed, with the exception
900 of a few at the DK-Sor and IT-Soy sites, and the results were shown in in **Figure 107** and **Figure S4S9**.

We found that the posterior V_{cmax25} significantly correlated best with the LAI ($R^2 = 0.2317$, $P < 0.01$), followed by VJ_slope
($R^2 = 0.14$, $P < 0.05$) and f_leaf ($R^2 = 0.09$, $P < 0.1$). Whilst whilst there was no apparent relationship between the optimization
results of the other three parameters and the LAI. As mentioned before, the LAI is directly related to the simulation of COS
and thus influences the optimal values of the parameters. Therefore, to some extent, the correlations of LAI with these
905 parameters reflects the robustness of the constraint abilities of COS assimilation with respect to them. These results suggest
that the assimilation of COS is able to provide strong constraints on V_{cmax25} , while it constrains other parameters (VJ_slope
and K_{sat_scalar} b_{scalar} f_leaf) weakly, although the latter they also considerably changed by the assimilation.

In Sect 3.4, we have noted that the posterior V_{cmax25} and f_leaf were sometimes over tuned, which significantly influenced
the posterior simulation of GPP. Here, by comparing the posterior parameters obtained with different LAI data, we further
910 found that the over-tuning of those parameters could be partly attributed to the uncertainty of the LAI. For example, in the
experiment conducted at FI-Hyy in July 2017, driven by the GLOBMAP LAI which were on average 41% greater than the in
situ LAI, the posterior f_leaf value was significantly reduced, with a decrease rate of 78.09%. However, when the GLASS
LAI, which is only 4% larger than the in situ LAI, is used to drive the model, the percentage decrease in f_leaf is significantly
reduced to only 43.12%. Such conclusion, our results suggest that the uncertainty in satellite-derived LAI not only can exert
915 large impacts on the modelling of water-carbon fluxes (Wang et al., 2021), but also is an important source of the uncertainty
in the parameter optimization results when performing data assimilation experiments with ecosystem models driven by LAI.

4.5 Caveats and implications

In general, we found that the assimilation of COS can improve the model performance for GPP, LE, ~~H~~ and ~~SWCH~~ for both single-site assimilation and ~~multi~~two-site assimilation. Nonetheless, there are currently limitations that affect the use of COS data for the optimization of parameters, processes and variables related to water-carbon cycling and energy exchange in terrestrial ecosystem models. For SWC, there is a mixed picture. Affected by the substantial downward adjustment of soil moisture to the optimal soil moisture at individual sites (i.e., AT-Neu), the RMSE of soil moisture simulations did not improve on average. However, in some experiments (especially those where soil hydrological parameters do not change much, such as the experiment conducted at FI-Hyy in July 2015), SWC simulations did improve with the assimilation of COS.

The assimilation of COS fluxes relies on the availability and quality of field observations. As both COS plant uptake and COS soil exchange are modelled within NUCAS and the data assimilation was performed at the ecosystem scale, a large number of accurate measurements of both COS soil flux and COS plant flux are essential for COS assimilation and model evaluation. However, at present, we face a serious lack of ~~ecosystem-scale field~~COS measurements (Brühl et al., 2012; Wohlfahrt et al., 2012), ~~more.~~ More laboratory and field measurements are needed for better understanding of mechanistic processes of COS. Besides, the existing COS flux data were calculated based on different measurement methods and data processing steps, which poses significantconsiderable challenges for comparing COS flux measurements across sites. Standardization of measurement and processing techniques of COS (Kohonen et al., 2020) is therefore urgently needed.

In this study, the prior uncertainty of observation was estimated by the standard deviation of ecosystem COS fluxes within 24 hours with the assumption of a normal distribution. However, Hollinger and Richardson (2005) suggested that flux measurement error more closely follows a double exponential than a normal distribution. Furthermore, the prior uncertainty of the parameters was simply set to 25% of the prior values in this study, which could certainly be refined. In conclusion, we should be more careful in considering the distribution and the magnitude of the Kohonen et al. (2020) showed that the overall uncertainty in the COS flux varies with the sign (uptake or release) as well as the magnitude of the COS flux. Furthermore, there is a lack of understanding of the prior uncertainty for certain model parameters, such as VJ slope, which makes the uncertainty estimates subject to potentially large errors. In conclusion, we should be more careful in considering the distribution and the magnitude of the prior uncertainty of observations and parameters.

The spatial and temporal variation in atmospheric COS concentrations has a considerable influence on the COS plant uptake (Ma et al., 2021) due to the linear relationship between the two (Stimler et al., 2010). The typical seasonal amplitude of atmospheric COS concentrations is ~ 100–200 parts per trillion (ppt) around an average of ~ 500 ppt (Montzka et al., 2007; Kooijmans et al., 2021; Hu et al., 2021; Ma et al., 2021; Belviso et al., 2022). However, in NUCAS, COS mole fractions in the bulk air are currently assumed to be spatially invariant over the globe and to vary annually ~~in NUCAS~~, which may introduce significantsubstantial errors into the parameter calibration. Kooijmans et al. (2021) has confirmed that modifying the COS mole fractions to vary spatially and temporally significantlymarkedly improved the simulation of ecosystem COS flux. Thus, we suggest to take into account the variation in COS concentration and their interaction with surface COS fluxes at high spatial and temporal resolution in order to achieve better parameter calibration.

Currently, there are still uncertainties in the simulation of COS fluxes by BEPS particularly for nighttime COS fluxes. As the nighttime COS plant uptake is driven by stomatal conductance (Kooijmans et al., 2021), the nighttime COS fluxes can therefore be used to test the accuracy of the model settings for nighttime stomatal conductance (g_n). In the BEPS model, A low and constant value (~~1 mmol/m²/s~~1 mmol m⁻² s⁻¹) of g_n was set for all PFTs. Our simulations of nighttime COS flux indicate that in BEPS, g_n is underestimated into different degrees ~~in BEPS~~ for different sites. This result is also proved by Resco De Dios et al. (2019), which found that the median g_n in the global dataset was 40 ~~mmol/m²/s~~ mmol m⁻² s⁻¹. Therefore, utilizing COS to directly optimize stomatal related parameters should be perused. Cho et al. (2023) has proven the effectiveness

of optimizing the minimum stomatal conductance as well as other parameters by the assimilation of COS. Besides, with the argument that different enzymes have different physiological characteristics, Cho et al. (2023) proposed a new temperature function for the CA enzyme and showcase the considerable difference in temperature response of enzymatic activities of CA and RuBisCo enzyme, which also provided valuable insights into the modelling and assimilation of COS. In addition, soil COS exchange is an important source of uncertainty in the use of COS as carbon-water cycle tracer since carbonic anhydrase activity occurs in the soil as well (Kesselmeier et al., 1999; Smith et al., 1999; Ogée et al., 2016; Meredith et al., 2019). Kaisermann et al. (2018) showed that COS hydrolysis rates were linked to microbial C biomass, whilst COS production rates were linked to soil N content and mean annual precipitation (MAP). Interestingly, MAP was also suggested to be the best predictor of g_n in Yu et al. (2019)—~~which, who~~ found that plants in locations with lower rainfall conditions had higher g_n . Therefore, using the global microbial C biomass, soil N content and MAP datasets and the relationships between these variables and the associated COS exchange processes is expected to ~~further~~ achieve more accurate modelling of terrestrial ecosystem COS fluxes, increase the understanding of the global COS budget and facilitate the assimilation of COS fluxes.

970 5 Conclusions

Over the past decades, considerable efforts have been made to obtain field observations of COS ecosystem fluxes and to describe empirically or mechanistically COS plant uptake and soil exchange, which offers the possibility of investigating the ability of assimilating ecosystem COS flux to optimize parameters and variables related to the water and carbon cycles and energy exchange. In this study, we ~~first~~ introduced the NUCAS system, which has been developed based on the BEPS model and was designed to have the ability to assimilate ecosystem COS flux data. In NUCAS, ~~thea~~ resistance analog model of COS plant uptake and ~~thean~~ empirical model of soil COS flux were embedded in the BEPS model to achieve the simulation of ecosystem COS flux, and a gradient-based 4D-Var data assimilation algorithm was implemented to optimize the internal parameters of BEPS.

Fourteen twin experiments, thirteen single-site experiments and one ~~multitwo~~-site experiment ~~withincovering~~ the period from 2012 to 2017, were conducted to investigate the data assimilation capability and the optimization effect of parameters and variables of NUCAS for COS flux observations over a range of ecosystems that contains ~~fivefour~~ PFTs and ~~five~~three soil textures. Our results show that NUCAS has the ability to optimize parameter vectors, and the assimilation of COS can constrain parameters affecting the simulation of carbon and water cycles and energy exchange and thus effectively improve the performance of the BEPS model. We found that there is a tight link between the assimilation of COS and the optimization of LE, which demonstrates the role of COS as an indicator of stomatal conductance and transpiration. The improvement of transpiration can further improve the model performance for H and SWC, although the propagation of the optimization effect is subject to some limitations. These results highlight the broad perspective of COS as a tracer for improving the simulation of variables related to stomatal conductance. Furthermore, we demonstrated that COS can provide a strong constraint on V_{cmax25} , whereas the adjustment of parameters related to the ~~light reaction of photosynthesissoil hydrology~~ appears to compensate for weaknesses in the model, ~~i.e., the nighttime stomatal conductance set in BEPS model~~. We also proved the strong impact of LAI on the parameter optimization results, emphasizing the importance of developing more accurate LAI products for models driven by observed LAI. In addition, we made a number of recommendations for future improvement of the assimilation of COS. Particularly, we flagged the need for more observations of COS, suggested better characterisation of observational and prior parameter uncertainties, the use of varying COS concentrations and the refinement of the model for COS fluxes of soil. ~~Specifically, with the lack of separate COS plant and soil flux data, the ecosystem-scale COS flux observations were utilized in this study. However, we believe that assimilating the component fluxes of COS individually should be pursued in the future~~

as this assimilation approach would provide separate constraints on different parts of the model. We expect the observational information on the partitioning between the two flux components to provide a stronger constraint than using just their sum. Our two-site setup constitutes a challenge for the assimilation system, the model and the observations. In this setup, the assimilation system has to determine a parameter set that achieves a fit to the observations at both sites, and NUCAS passes this important test. It should be noted that the NUCAS was designed as a platform that integrates multiple data streams to provide a consistent map of the terrestrial carbon cycle although only ecosystem COS flux data were used to evaluate the performance of NUCAS in this study. ~~As shown here, the optimization of model parameters often faces~~The “two-site” assimilation experiment conducted in this study gives us more confidence that the calibrated model will provide a reasonable parameter set and posterior simulation throughout the plant functional type. In other words, what we present here is a pre-requisite for applying the model and assimilation system at regional to global scales. We noticed the optimization of model parameters faced the challenge of ‘equifinality’ due to the complexity of the model and the limited observation data. However, the ‘equifinality’ can be avoided by imposing additional observational constraints (Beven, 2006). Indeed, using several different data streams to simultaneously (Kaminski et al., 2012; Schürmann et al., 2016; Scholze et al., 2016; Wu et al., 2018; Scholze et al., 2019) or step-wise (Peylin et al., 2016) to constrain multiple processes in the carbon cycle is becoming a focus area in carbon cycle research. Therefore, it is necessary to combine COS with other observations to constrain different ecosystem processes and/or exploit multiple constraints on the same processes in order to achieve better modelling and prediction of the ecosystem water-carbon cycle and energy exchange.

Code availability. The source code for BEPS is publicly available at https://github.com/yongguangzhang/BEPS_SIF_model<https://zenodo.org/doi/10.5281/zenodo.8288750>, the adjoint code for BEPS is available upon request to the correspondence author (mousongwu@nju.edu.cn).

Data availability. Measured eddy covariance Carboy sulfide fluxes data can be found at <https://zenodo.org/records/3993111> for AT-Neu, <https://zenodo.org/record/3406990> for ~~AT-Neu~~, DK-Sor, ES-Lma and IT-Soy, <https://zenodo.org/record/6940750> for FI-Hyy, and from the Harvard Forest Data Archive under record HF214 (<https://portal.edirepository.org/nis/mapbrowse?packageid=knb-lter-hfr.214.4>) for US-Ha1. The raw COS concentration data of US-Wrc can be obtained at <https://zenodo.org/record/1422820>. The meteorological data can be obtained from the FLUXNET database (<https://fluxnet.org/>) for AT-Neu, DK-Sor, ES-~~Lma~~Lma, FI-Hyy and US-Ha1; from the AmeriFlux database (<https://ameriflux.lbl.gov/>) for US-Ha1 (except shortwave radiation data) and US-Wrc; from the ERA5 dataset (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview>) for AT-Neu, IT-Soy and US-Ha1. The evaluation data can be obtained from the FLUXNET database for DK-Sor, ES-~~Lma~~Lma, FI-Hyy and US-Ha1; from the AmeriFlux database for US-Ha1 and US-Wrc; ~~and from~~ <https://zenodo.org/records/3993111> for AT-Neu, from <https://zenodo.org/record/6940750> for ~~AT-Neu~~IT-Soy and ~~IT-Soy~~ from <https://zenodo.org/record/1422820> for US-Wrc. The H and LE data of AT-Neu and IT-Soy are provided by Felix M. Spielmann and Georg Wohlfahrt. The GLOBMAP LAI is available at <https://zenodo.org/record/4700264#.YzvSYnZBxD8%2F>, the GLASS LAI is available at <ftp://ftp.glcfc.umd.edu/>, and the MODIS LAI product is available at <https://lpdaac.usgs.gov/products/mod15a2hv006/>. All datasets used in this study and the model outputs are available upon request.

Author contributions: MW designed the experiments and developed the model, MV and TK developed the data assimilation layer including the adjoint code for the ecosystem model, HZ wrote the original manuscript and made the analysis. All the authors contributed to the writing of the manuscript.

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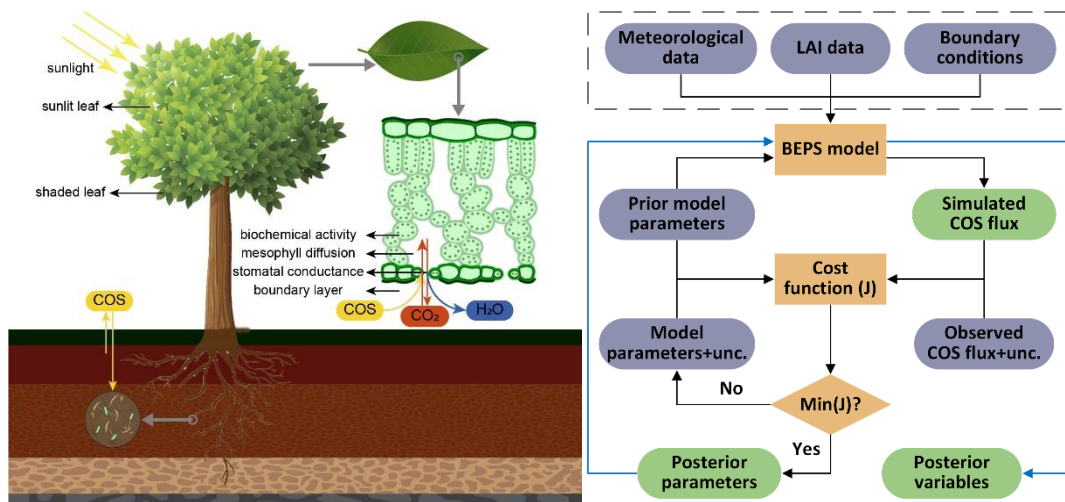
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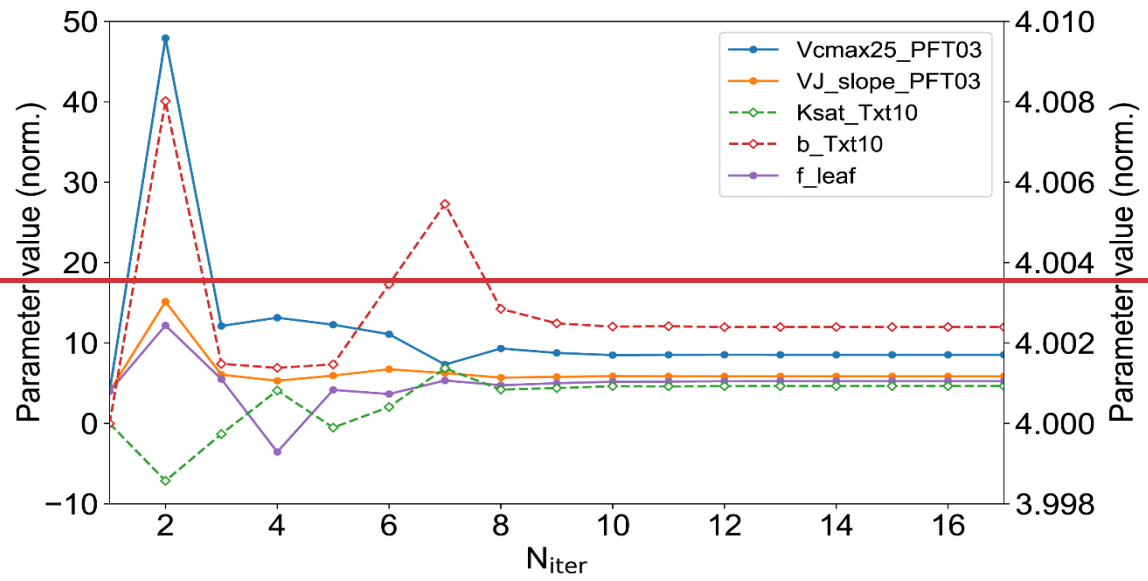
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1420 **Figure 1.** Schematic of the Nanjing University Carbon Assimilation System (NUCAS). Left: illustration of a two-leaf model coupling stomatal conductance, photosynthesis, transpiration and COS uptake, and an empirical model for simulating soil COS fluxes in NUCAS. Right: data assimilation flowchart of NUCAS. Ovals represent input (blue-grey) and output data (green). Boxes and the rhombi represent the calculation and judgement steps. The solid black line represents the diagnostic process, the solid blue line represents the prognostic process, and the input datasets of BEPS (in the dashed box) are used in both diagnostic process and prognostic process.



1425

Figure 2. The evolution of model parameters with the number of iterations of cost function (N_{iter}) in the normalized parameter space during the single-site experiment at the DK-Sor site in June 2016. Evolution (open-carats and dashed lines) of soil texture (abbreviated as Txt) dependent parameters is plotted on the right-hand y axis, evolution (filled-circles and solid lines) of PFT-dependent parameters and global parameter is plotted on the left-hand y axis.

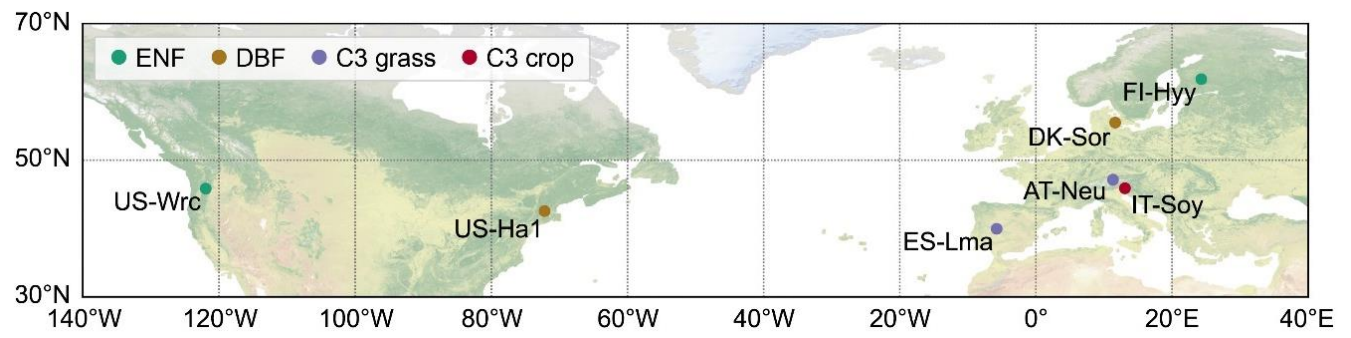
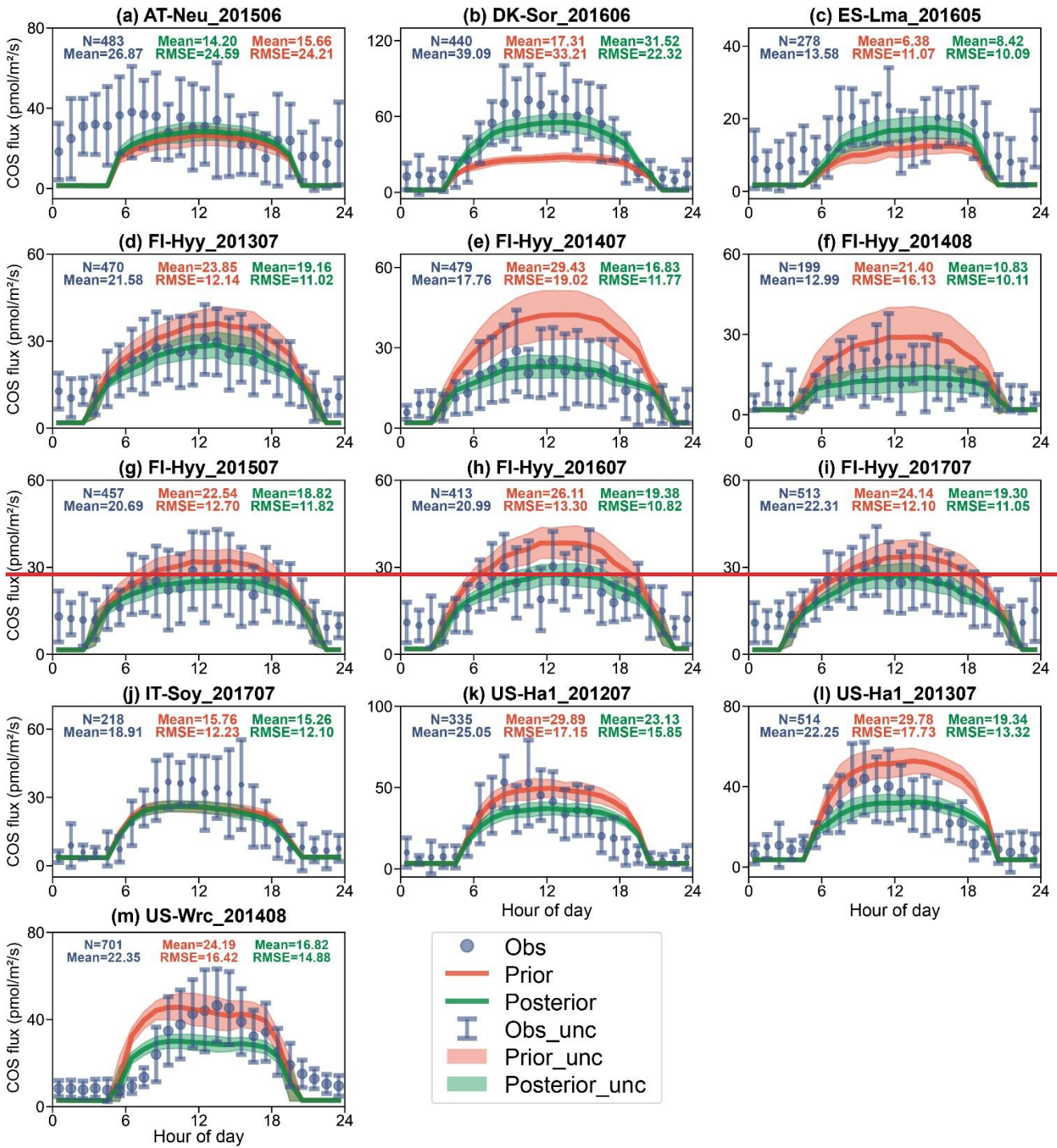


Figure 2. Locations of the 7 studied sites. Sites sharing the same plant function type are represented with consistent colors. The background map corresponds to the “Nature color I” map (<https://www.natureearthdata.com>). ENF and DBF denote evergreen needleleaf forest and deciduous broadleaf forest, respectively.

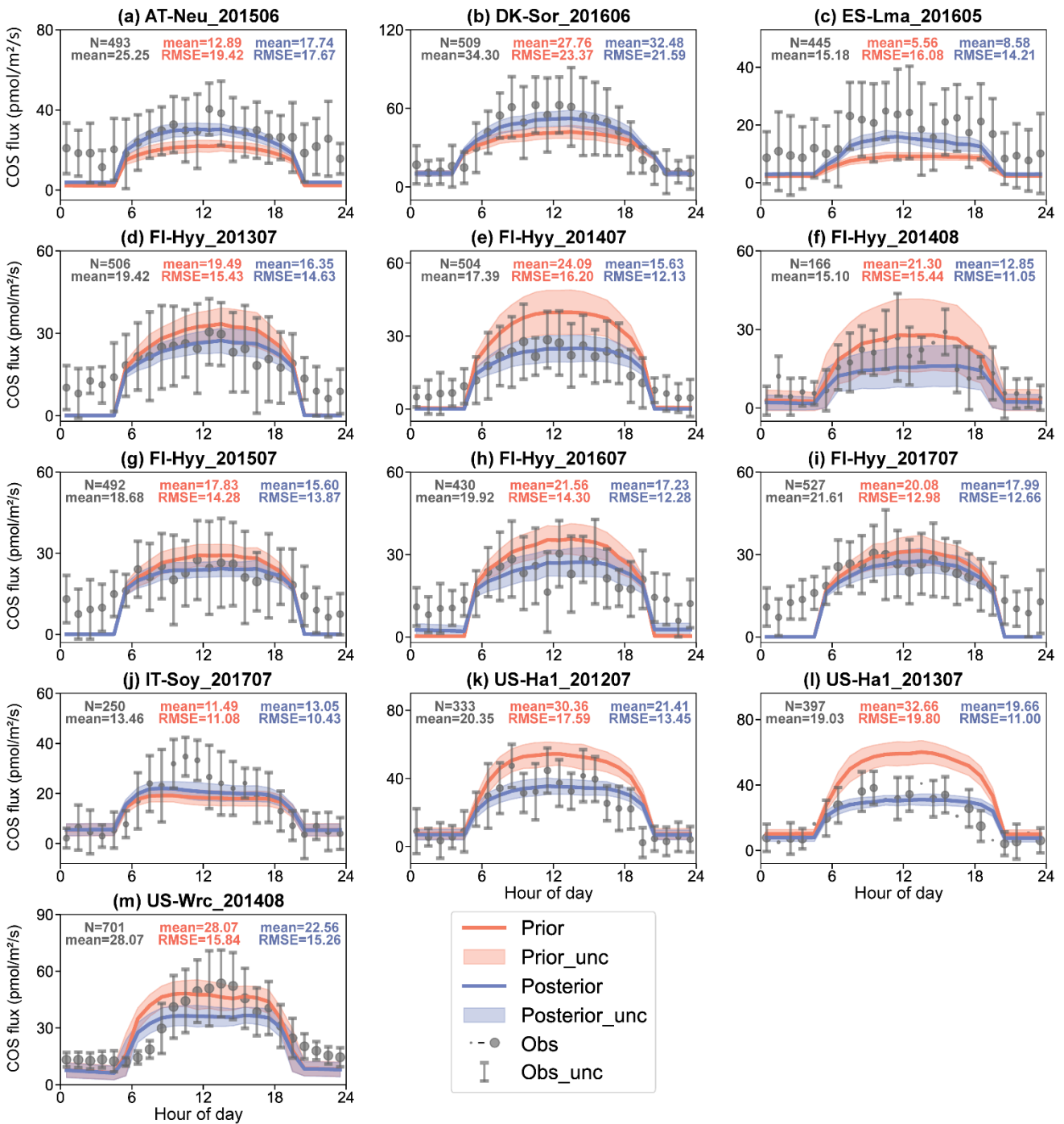
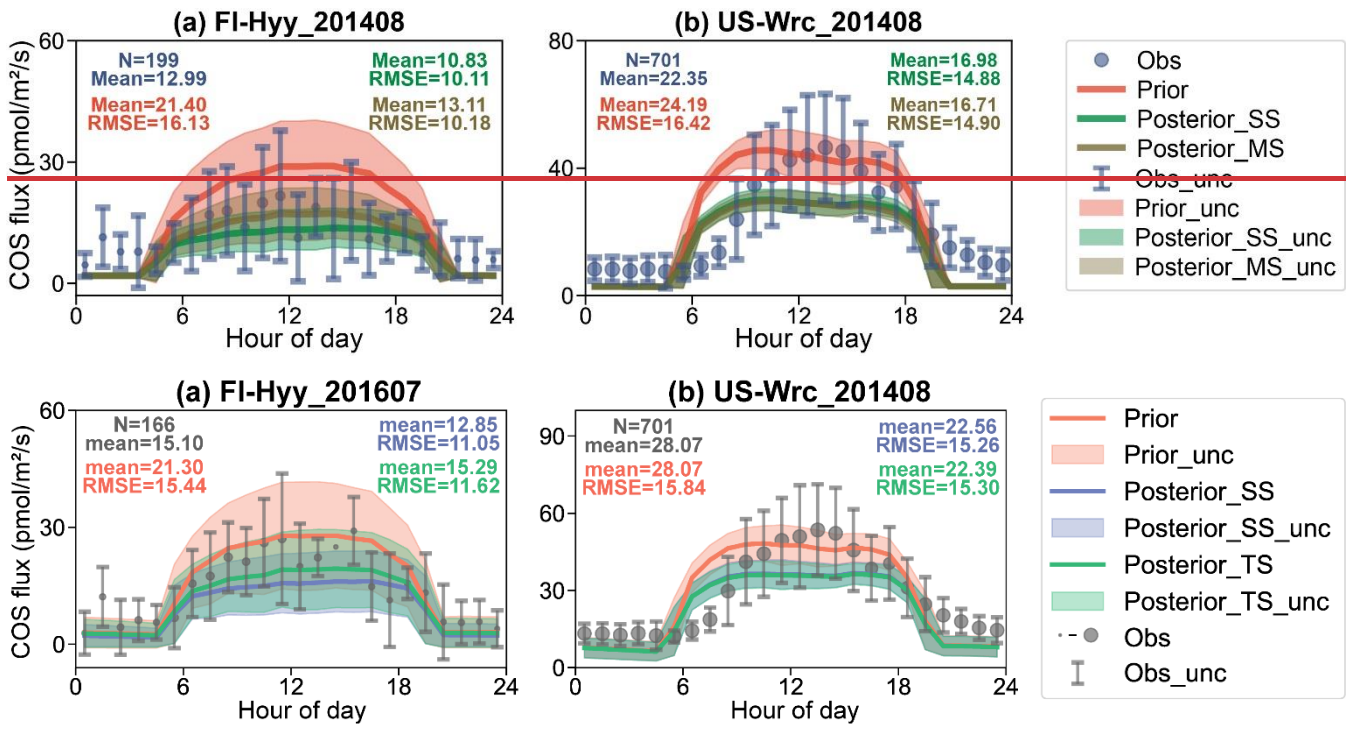


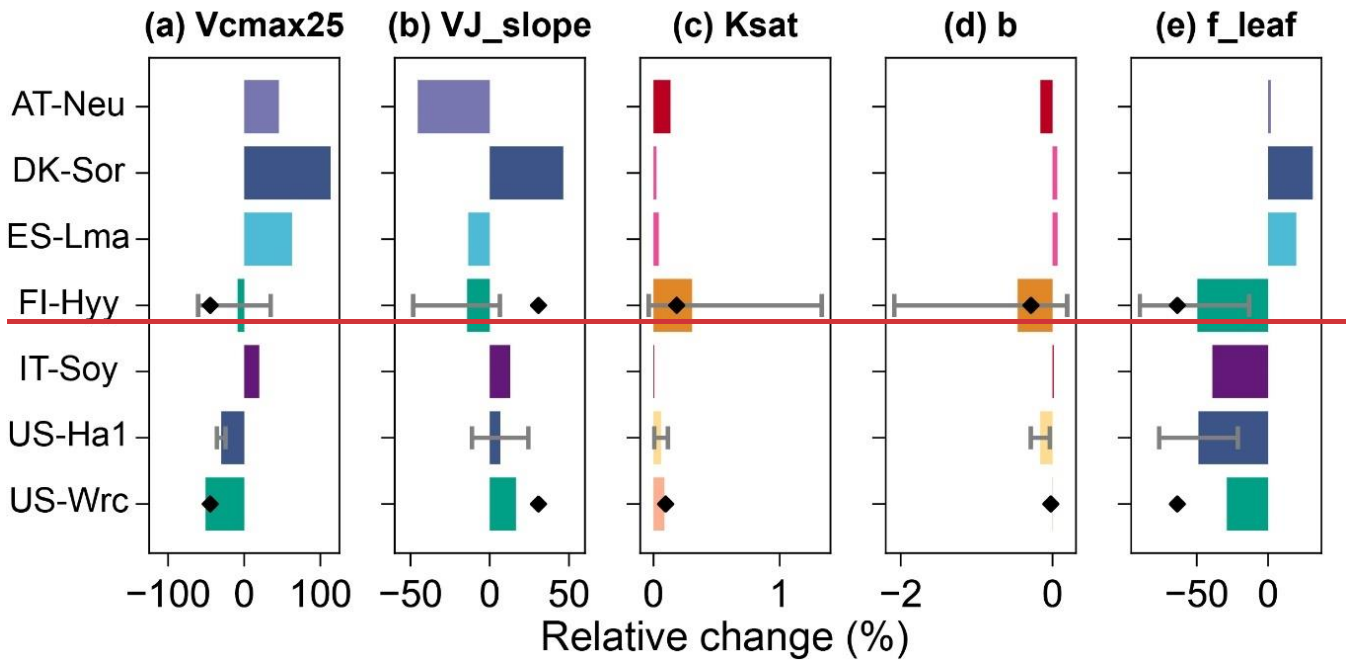
Figure 3. The mean diurnal cycle of observed (blue) and simulated COS flux using prior parameters (red) and single-site posterior parameters (greenblue). The size of the circle indicates the number of observations (ranging from 1 to 31) within each circle, and the error bars depict the standard deviations in the mean of observations from the variability within each circle if the number of corresponding observations is greater than three. Lines connect the mean values of simulations and pale bands depict the standard deviation in the mean of simulations from the variability within each bin.

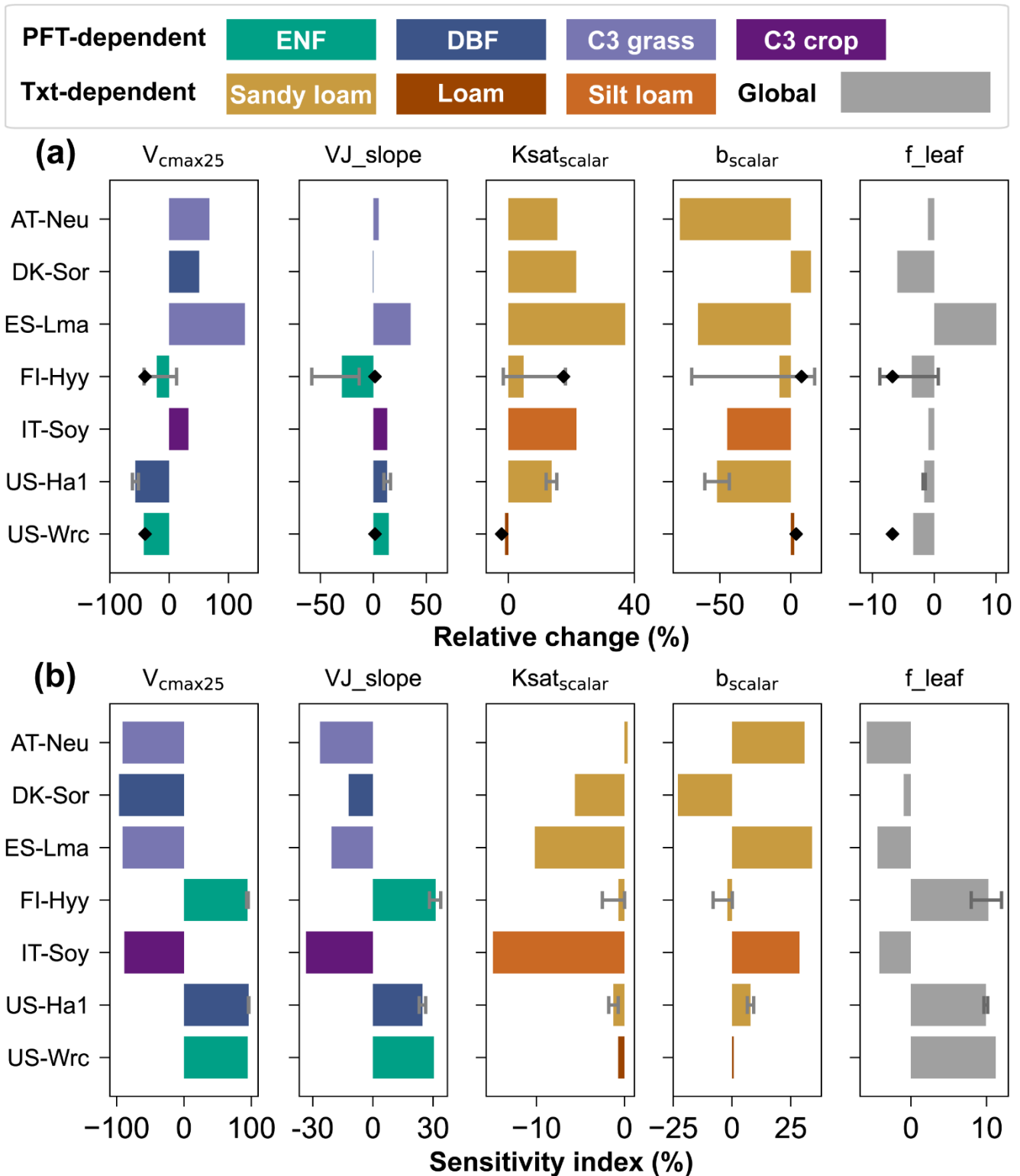


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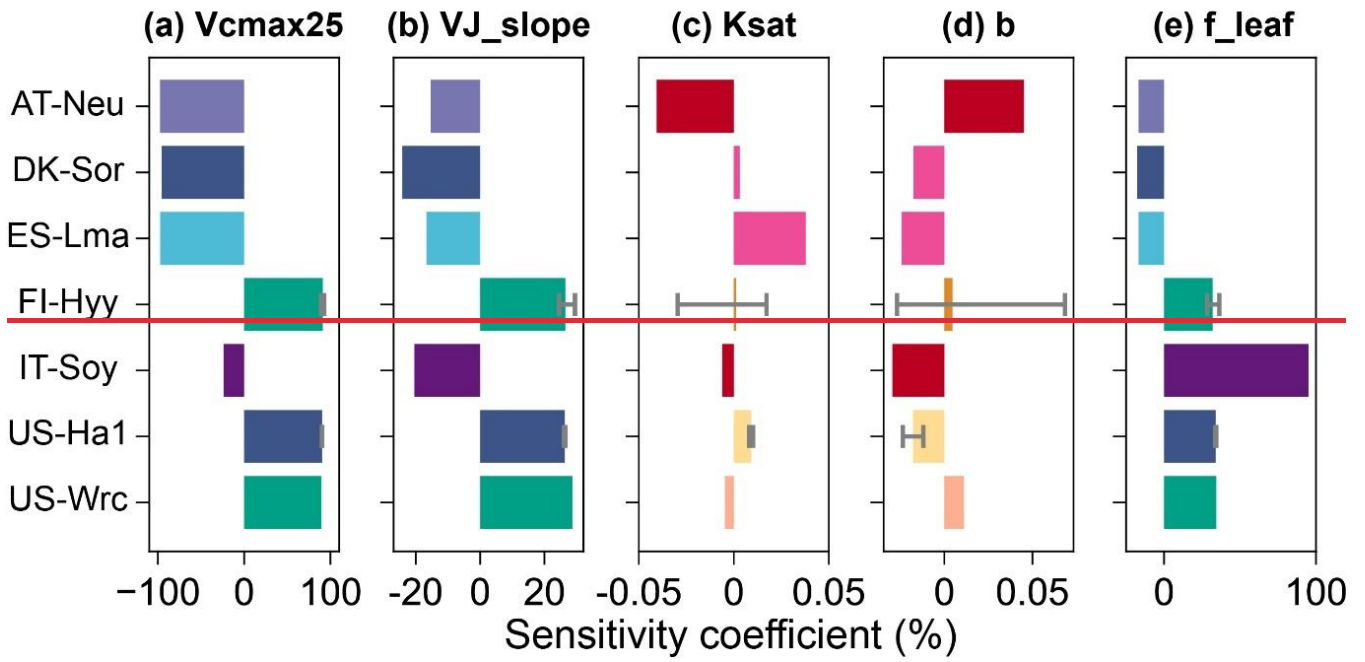
Figure 4. The diurnal cycle of observed (blue) and simulated COS flux using prior parameters (red), single-site (greenblue) and multi-site (browngreen) posterior parameters. The size of the circle indicates the number of observations (ranging from 1 to 31) within each circle, and the error bars depict the standard deviations in the mean of observations from the variability within each circle if the number of corresponding observations is greater than three. Lines connect the mean values of simulations and pale bands depict the standard deviation in the mean of simulations from the variability within each bin.

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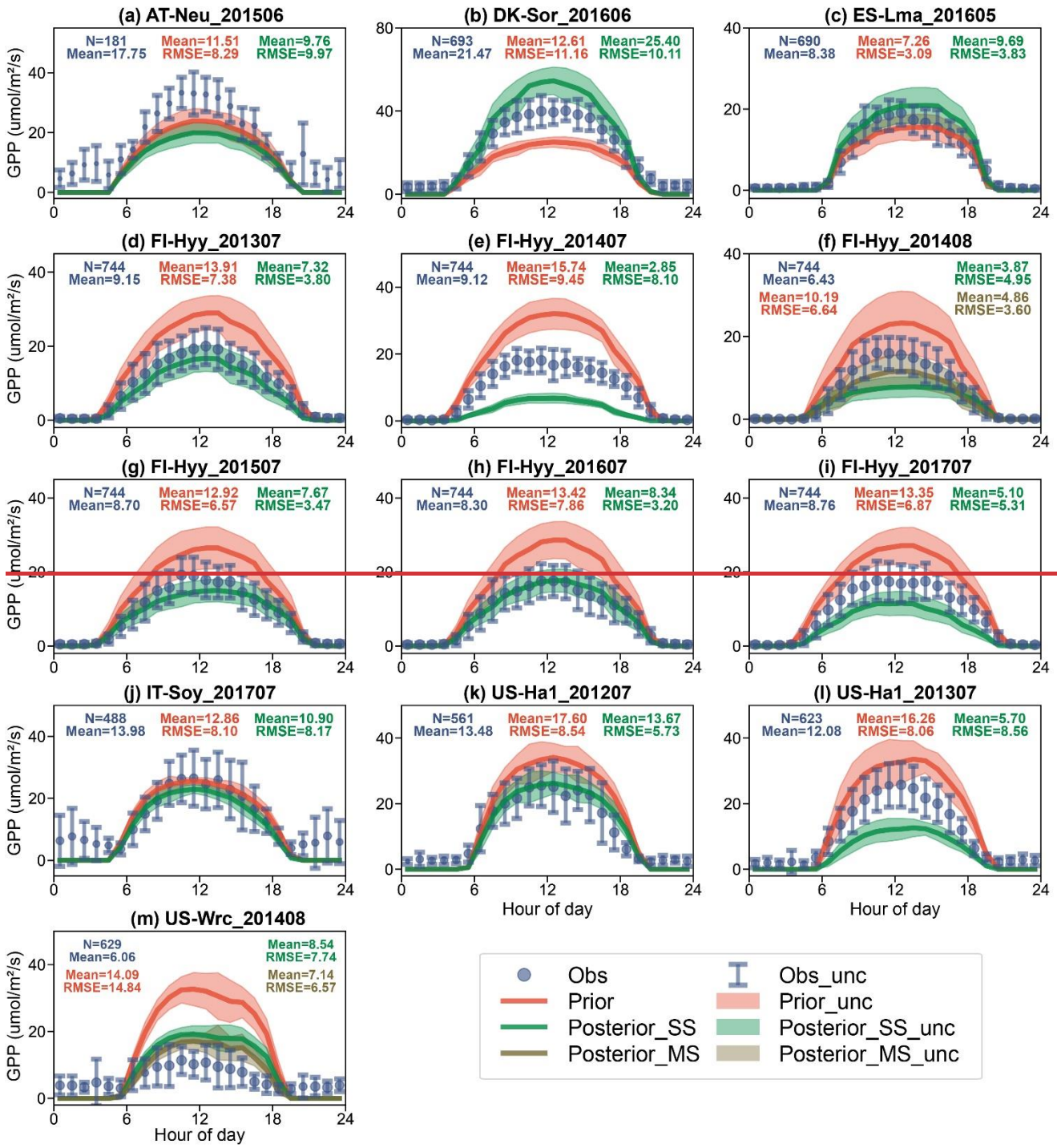


1450 **Figure 5.** (a) Relative changes of parameters for single-site experiments (bars) and the multi-site experiment (diamond points). (b) Sensitivity indexes of parameters at prior values. For sites where multiple single-site experiments were conducted, the ends of the error bars and the bar indicate the maximum, minimum and mean of the relative changes of the parameters, respectively. For sites with the same PFT or soil texture, the same colors were used for their PFT-dependent and texture-dependent parameters, and f_leaf was plotted using the same color scheme as the PFT-dependent parameters. For those sites lacking multi-year COS observations, no error bars were plotted. The color of bar is drawn according to PFT/texture.



1455

Figure 6. Sensitivity coefficients of parameters at default values. The ends of the error bars and the bar indicate the maximum, minimum and mean of the sensitivity coefficients of the parameters, respectively. For sites with the same PFT or soil texture, the same colors were used for their PFT-dependent and texture-dependent parameters, and f_leaf was plotted using the same color scheme as the PFT-dependent parameters.



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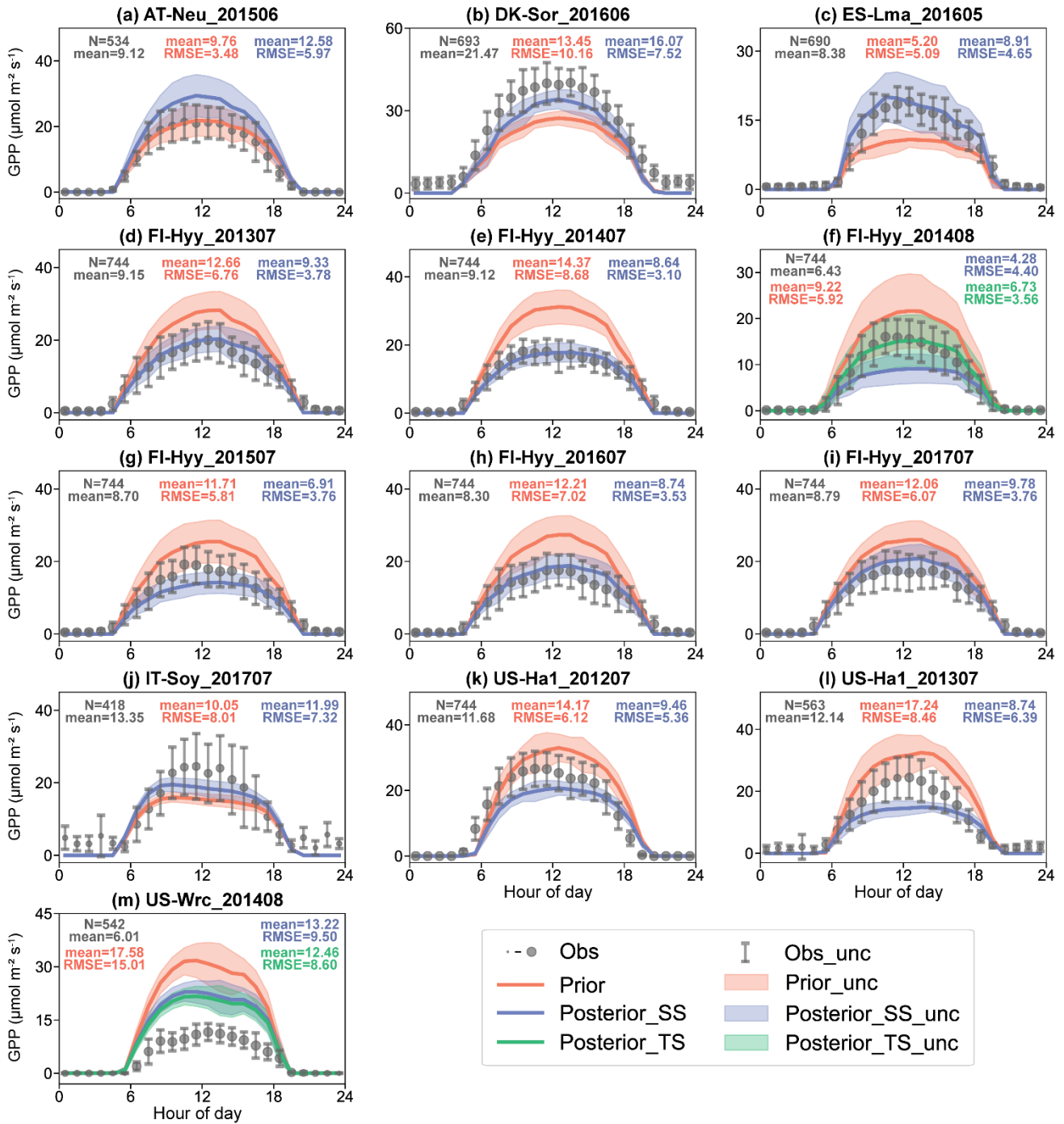
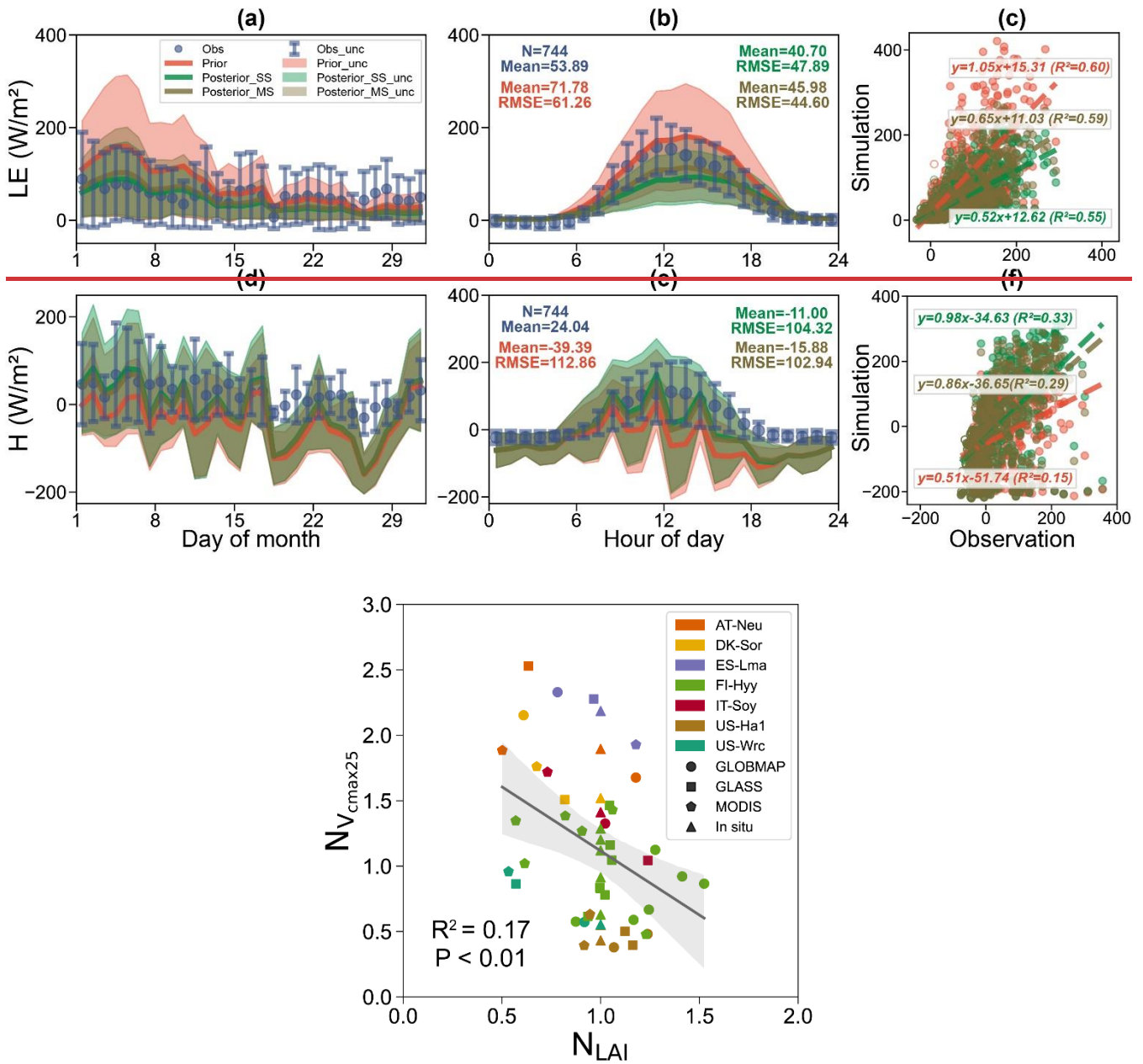


Figure 6. The diurnal cycle of observed (blue) and simulated GPP using prior parameters (red), single-site (green) and multi-site (brown) posterior parameters. The size of the circle indicates the number of observations within each circle, (ranging from 1 to 31), and the error bars depict the standard deviations in the mean of observations from the variability within each circle. Lines connect the mean values of simulations and pale bands depict the standard deviation in the mean of simulations from the variability within each bin.

1465



1470 **Figure 8.** Daily variation (a and d), diurnal cycle (b and e) and scatter (c and f) plots of LE and H at FI-Hyy in August 2014. Observations (blue) are compared to simulations using prior (red) parameters, single-site (green) and multi-site (brown) posterior parameters. In the daily variation and diurnal plots, the size of the circle indicates the number of observations within each circle, and the error bars depict the standard deviations in the mean of observations from the variability within each circle if the number of corresponding observations is greater than three. Lines connect the mean values of simulations and pale bands depict the standard deviation in the mean of simulations from the variability within each bin. And in the scatter plots, the daytime data (6:00–18:00LT) and nighttime data (18:00–6:00LT) are represented as solid and hollow circles respectively.

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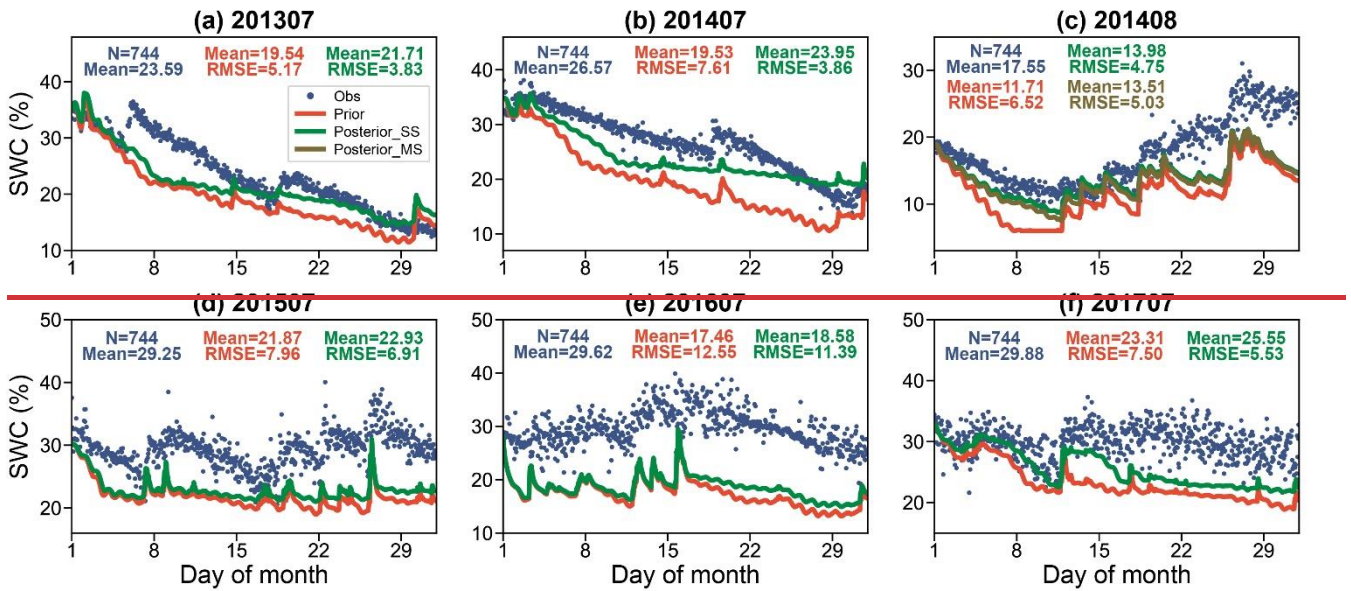
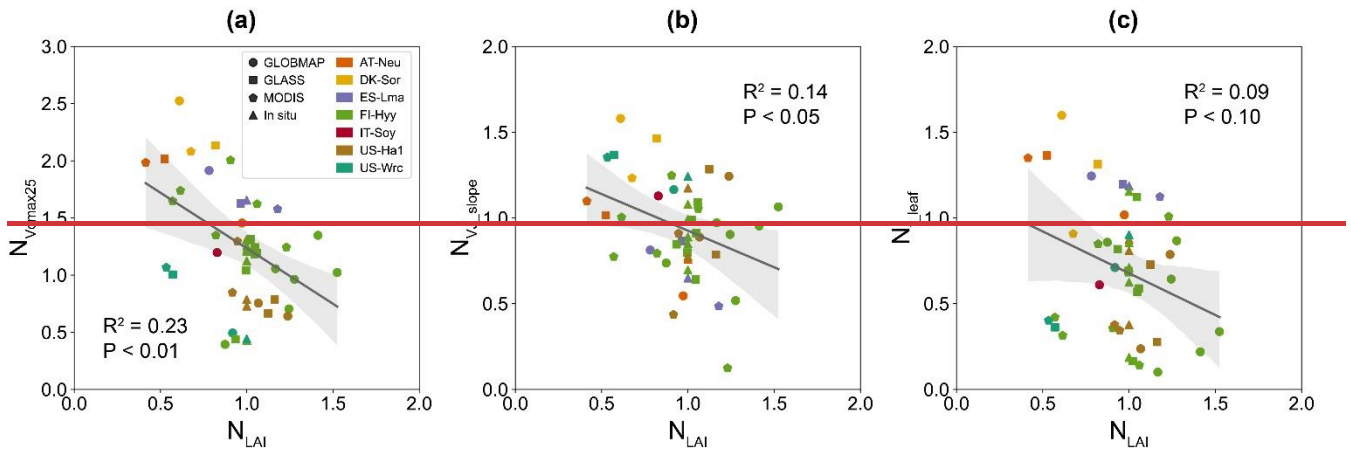


Figure 9. Observed (blue point) and simulated SWC (%) at FI-Hyy. Results show SWC simulated using prior parameters (red line), single site (green line) and multi-site (brown line) posterior parameters.



1480 Figure 10. Influence of LAI on the posterior V_{cmax25} (a), the posterior VJ_{slope} (b) and the posterior f_{leaf} (c) obtained by the single-
 1485 site experiments conducted at seven sites and driven by four LAI data: (GLOMAP, GLASS, MODIS and *in situ*). The posterior V_{cmax25} ,
 the posterior VJ_{slope} and the posterior f_{leaf} and the LAI were represented by their normalized values $N_{V_{cmax25}}$, $N_{VJ_{slope}}$, $N_{f_{leaf}}$, $N_{V_{cmax25}}$
 and N_{LAI} , respectively. The posterior parameters were normalized by their prior values and the LAI were normalized by the *in situ* values.
 The linear regression fit lines of the posterior parameters obtained based on the satellite-derived LAI (GLOMAP, GLASS and MODIS)
 with the corresponding LAI data is shown, with 95% confidence intervals spread around the lines.

1490 Table 1. Site characteristics. Site identification includes the country initials and a three-letter name for each site; locations of the sites are
 provided by the latitude (Lat) and longitude (Lon); PFTs covered by the sites are evergreen needleleaf forest (ENF), deciduous broadleaf
 forest (DBF), C3 grass, shrub and C3 crop; Soil texture covered by the sites are silty clay sandy loam, silty clay, loamy sand, slit loam and
 silty loam.

| Site | AT-Neu | DK-Sor | ES-Lma | IT-Soy | US-Ha1 | US-Wrc |
|--------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Lat (°N) | 47.12 | 55.49 | 39.94 | 42.54 | 42.54 | 42.54 |
| Lon (°E) | 11.32 | 11.64 | -5.77 | 11.32 | 11.32 | 11.32 |
| PFT | C3 grass | DBF | shrub | C3 crop | DBF | ENF |
| Soil texture | sandy clay | clay | clay | C3 crop | DBF | ENF |
| Year | 2015 | 2016 | 2016 | 2016 | 2016 | 2016 |
| References | Spielmann et al. (2019) | Spielmann et al. (2019) | Spielmann et al. (2019) | Spielmann et al. (2019) | Spielmann et al. (2019) | Spielmann et al. (2019) |

| | | | | | | | |
|--------------------|-------------------------------|---------------------------------|-----------------------------|--|--|---|--|
| FI-HyySoil texture | 61.85Sandy loam | 24.29Sandy loam | ENFSandy loam | sandySandy loam | 4.0Slit loam | 2013-2017Sandy loam | Kohonen et al. (2022)Loam |
| IT-SoyLAI* | 45.873.88 | 13.085.0 | 1.82erop | sandy-clay4.0 | 2.3 | 20175.0 | Spielmann et al. (2019)8.7 |
| US-Ha1Year | 201542.54 | -72.172016 | DBF2016 | silty-loam2013-2017 | 20175.0 | 2012-2013 | 2014Wehr et al. (2017) |
| ReferencesUS-Wre | 45.82(Spielmann et al., 2020) | -121.95(Spielmann et al., 2019) | ENF(Spielmann et al., 2019) | sandy-clay-loam(Sun et al., 2018; Vesala et al., 2022; Kohonen et al., 2022) | 8.7(Spielmann et al., 2019; Abadie et al., 2022) | 2014(Commane et al., 2015; Wehr et al., 2017) | Rastogi et al. (2018)(Shaw et al., 2004; Rastogi et al., 2018) |

* Mean one-sided LAI (m² m⁻²) during the experimental period

Table 2. Configuration and assimilation result of each twin experiment. $J_{initial}$ and J_{final} denote the initial value and the final value of the cost function $J(x)$ respectively, $G_{initial}$ and G_{final} denote the initial value and the final value of the gradient respectively.

| Site | Assimilation window | Perturbation | $J_{initial}$ | J_{final} | $G_{initial}$ | G_{final} |
|------------|---------------------|--------------|---------------|-------------|---------------|-------------|
| AT-Neu | June 2015 | 0.4 | 2.31E+04 | 2.70E-14 | 1.91E+04 | 3.14E-05 |
| DK-Sor | June 2016 | 0.4 | 3.20E+04 | 2.34E-16 | 2.54E+04 | 8.28E-05 |
| ES-Lma | May 2016 | 0.4 | 4.58E+03 | 1.63E-18 | 3.94E+03 | 1.22E-06 |
| FI-Hyy | July 2013 | 0.2 | 1.05E+04 | 4.99E-16 | 1.66E+04 | 2.77E-05 |
| | July 2014 | 0.2 | 1.56E+04 | 1.51E-16 | 2.44E+04 | 6.41E-05 |
| | August 2014 | 0.2 | 7.76E+03 | 1.87E-18 | 1.20E+04 | 1.49E-06 |
| | July 2015 | 0.2 | 7.95E+03 | 4.01E-19 | 1.33E+04 | 8.42E-07 |
| IT-Soy | July 2016 | 0.2 | 1.20E+04 | 1.01E-14 | 1.92E+04 | 2.18E-04 |
| | July 2017 | 0.2 | 9.27E+03 | 8.35E-16 | 1.55E+04 | 1.48E-04 |
| | July 2017 | 0.4 | 1.72E+04 | 3.50E-13 | 1.42E+04 | 2.79E-04 |
| US-Ha1 | July 2012 | 0.4 | 6.85E+04 | 1.61E-14 | 5.48E+04 | 8.54E-05 |
| | July 2013 | 0.4 | 7.76E+04 | 8.21E-16 | 6.23E+04 | 2.65E-05 |
| US-Wre | August 2014 | 0.2 | 1.13E+04 | 6.90E-15 | 1.78E+04 | 6.69E-05 |
| Multi-site | August 2014 | 0.2 | 1.70E+04 | 3.17E-14 | 2.68E+04 | 1.41E-04 |

Table 3. Table 2. The configuration and the relative changes (%) of the parameters for each single-site assimilation experiment. The minimization efficiency—cost function reduction of each experiment is indicated by the reduction rate between the initial value of cost function ($J_{initial}/J_{initial}$) and the final value of cost function (J_{final}/J_{final}), defined as $1 - J_{final}/J_{initial}$, and $N_{ecos}N_{COS}$ denotes the number of ecosystem COS flux observations.

| Site name | Assimilation window | $N_{ecos}N_{COS}$ | Cost function reduction (%) | Relative change (%) of parameters | | | | |
|-----------|---------------------|-------------------|-----------------------------|-----------------------------------|------------|--------------------------|---------------|------------|
| | | | | V_{cmax25} | VJ_slope | $K_{sat}K_{sat_scalar}$ | b_{b_scat} | f_leaf |
| AT-Neu | June 2015 | 483493 | 1.6416.39 | 45.5467.69 | -45.425.10 | 0.134715.57 | - | -1.7701 |
| DK-Sor | June 2016 | 440509 | 42.179.46 | 113.4550.77 | 46.37-0.47 | 0.023321.54 | 0.060014.23 | 31.35-5.97 |
| ES-Lma | May 2016 | 278445 | 10.4815.70 | 62.60127.80 | - | 0.041237.08 | 0.0669- | 19.6510.05 |
| | | | | | 13.4935.18 | | 65.33 | |
| FI-Hyy | July 2013 | 470506 | 21.434.87 | 2.2832.55 | 6.4813.15 | 0.006721.60 | - | -66.260.94 |
| | July 2014 | 479504 | 62.237.74 | 5.60-13.42 | -2.7925.48 | 0.0399-1.58 | -0.085990 | -89.938.80 |
| | August 2014 | 199166 | 64.9240.59 | -60.6441.09 | - | 0.22234.02 | - | -14.186.21 |
| | | | | | 26.2819.10 | | 0.370416.84 | |

| | | | | | | | | |
|--------|-------------|---------------|-------------------|--------------------|-------------------|--------------------|--------------------|-------------------|
| | July 2015 | <u>457492</u> | <u>44.7450.94</u> | <u>-3.7442.44</u> | - | <u>-0.03748.65</u> | <u>0.19395.07</u> | <u>-13.291.66</u> |
| | | | | | <u>48.2241.03</u> | | | |
| | July 2016 | <u>413430</u> | <u>35.025.73</u> | <u>-29.5912.45</u> | <u>-9.6558.23</u> | <u>0.268900</u> | <u>-0.377307</u> | <u>-350.65</u> |
| | July 2017 | <u>513527</u> | <u>53.7118.94</u> | <u>34.7933.32</u> | <u>-4.6613.48</u> | <u>1.332918.13</u> | - | <u>-78.091.60</u> |
| | | | | | | | <u>2.084569.86</u> | |
| IT-Soy | July 2017 | <u>218250</u> | <u>2.086.35</u> | <u>49.697.88</u> | <u>12.81</u> | <u>0.004903</u> | <u>-0.015745</u> | <u>-39.004.14</u> |
| | | | | | <u>21.20</u> | | | |
| | July 2012 | <u>335333</u> | <u>27.9644.14</u> | <u>-35.9251.89</u> | <u>24.3116.08</u> | <u>0.006012.05</u> | - | <u>-21.341.44</u> |
| US-Ha1 | | | | | | | <u>0.035843.31</u> | |
| | July 2013 | <u>514397</u> | <u>58.1069.05</u> | <u>-24.5462.08</u> | - | <u>0.113715.39</u> | - | <u>-76.341.82</u> |
| | | | | | <u>11.1510.00</u> | | <u>0.286460.58</u> | |
| US-Wrc | August 2014 | 701 | <u>44.6527.71</u> | <u>-50.6342.77</u> | <u>4614.52</u> | <u>0.08601.04</u> | <u>0.00602.45</u> | <u>-28.923.39</u> |

1500 **Table 43.** The configuration and the relative changes (%) of the parameters for the multi-site assimilation experiment at FI-Hyy and US-Wrc. N_{ecos} , N_{COS} denotes the total number of ecosystem COS flux observations.

| Site name | Assimilation window | N_{ecos} | N_{COS} | Cost function reduction (%) | Relative change (%) of parameters | | | | |
|-----------|---------------------|-------------------|-------------------|-----------------------------|-----------------------------------|------------------|--------------------|----------------------------------|--------------------------------|
| | | | | | $V_{\text{cmax}25}$ | VJ_slope | K_{sat} | $K_{\text{sat}_{\text{scalar}}}$ | $b_{\text{b}_{\text{scalar}}}$ |
| FI-Hyy | August 2014 | <u>900867</u> | <u>47.3328.29</u> | - | <u>44.6441.36</u> | <u>30.722.96</u> | <u>0.183717.32</u> | <u>0.28415.56</u> | - |
| US-Wrc | | | | | | | <u>0.09631.36</u> | <u>0.02252.60</u> | <u>63.646.28</u> |

Appendix: Stomatal conductance and soil hydrology modelling in BEPS, including parameters to be optimised

1505 In the BEPS model, the leaf stomatal conductance to water vapor (g_{sw} in $\text{mol m}^{-2} \text{s}^{-1}$) is estimated using a modified version of Ball-Berry (BB) empirical model (Ball et al., 1987) following Woodward et al. (1995):

$$g_{\text{sw}} = b_{\text{H}_2\text{O}} + \frac{m_{\text{H}_2\text{O}} A R_h f_w}{C_a} \quad (\text{A1})$$

1510 where $b_{\text{H}_2\text{O}}$ is the intercept of the BB model, representing the minimum g_{sw} ($\text{mol m}^{-2} \text{s}^{-1}$), $m_{\text{H}_2\text{O}}$ is the empirical slope parameter in the BB model (unitless), R_h is the relative humidity at the leaf surface (unitless), f_w is a soil moisture stress factor describing the sensitivity of g_{sw} to soil water availability (Ju et al., 2006), C_a is the atmospheric CO_2 concentration ($\mu\text{mol mol}^{-1}$), and the net photosynthesis rate (A) is calculated using the Farquhar model (Farquhar et al., 1980; Chen et al., 1999):

$$A = \min(A_i, A_j) - R_d \quad (\text{A2})$$

$$A_c = V_{\text{cmax}} \frac{C_i - \Gamma_i^*}{C_i + K_c \left(1 + \frac{O_i}{K_o}\right)} \quad (\text{A3})$$

$$A_j = J \frac{C_i - \Gamma_i^*}{4(C_i - 2\Gamma_i^*)} \quad (\text{A4})$$

1515 where A_i and A_j are Rubisco-limited and RuBP-limited gross photosynthetic rates ($\mu\text{mol m}^{-2} \text{s}^{-1}$), respectively. R_d is leaf dark respiration ($\mu\text{mol m}^{-2} \text{s}^{-1}$), V_{cmax} is the maximum carboxylation rate of Rubisco ($\mu\text{mol m}^{-2} \text{s}^{-1}$); J is the electron transport rate ($\mu\text{mol m}^{-2} \text{s}^{-1}$); C_i and O_i are the intercellular carbon dioxide (CO_2) and oxygen (O_2) concentrations (mol mol^{-1}), respectively; K_c and K_o are Michaelis–Menten constants for CO_2 and O_2 (mol mol^{-1}), respectively.

The electron transport rate, J, is dependent on incident photosynthetic photon flux density (PPFD, $\mu\text{mol m}^{-2} \text{s}^{-1}$) as:

$$1520 \quad J = \frac{J_{\text{max}} I}{I + 2.1J_{\text{max}}} \quad (\text{A5})$$

where J_{max} is the maximum electron transport rate ($\mu\text{mol m}^{-2}\text{s}^{-1}$), I is the incident PPFD calculated from the incident shortwave radiation R_{SW} (W m^{-2}):

$$I = \beta R_{SW} f_{leaf} \quad (\text{A6})$$

where $\beta = 4.55$ is the energy – quanta conversion factor ($\mu\text{mol J}^{-1}$), f_{leaf} is the ratio of photosynthesis active radiation to the shortwave radiation (unitless).

1525

The maximum carboxylation rate of Rubisco V_{cmax} was calculated according the Arrhenius temperature function and the maximum carboxylation rate of Rubisco at 25 °C (V_{cmax25}). V_{cmax} is generally proportional to leaf nitrogen content. Considering both the fractions of sunlit and shaded leaf areas to the total leaf area and the leaf nitrogen content vary with the depth into the canopy, the V_{cmax} values of sunlit ($V_{cmax,sun}$) and shaded ($V_{cmax,sh}$) leaves can be obtained through vertical integrations with respect to leaf area index (Chen et al., 2012):

1530

$$V_{cmax,sunlit} = V_{cmax} \chi_n N_{leaf} \frac{k[1 - e^{-(k_n+k)LAI_{sunlit}}]}{(k_n + k)(1 - e^{-kLAI_{sunlit}})} \quad (\text{A7})$$

$$V_{cmax,shaded} = V_{cmax} \chi_n N_{leaf} \frac{\frac{1}{k_n} [1 - e^{-k_n L}] - \frac{1}{k_n + k} [1 - e^{-(k_n+k)LAI_{shaded}}]}{LAI_{shaded} - \frac{1}{k} (1 - e^{-kLAI_{shaded}})} \quad (\text{A8})$$

where χ_n ($\text{m}^2 \text{g}^{-1}$) is the relative change of V_{cmax} to leaf nitrogen content; N_{leaf} (g m^{-2}) is the leaf nitrogen content at the top of the canopy; k_n (unitless) is the leaf nitrogen content decay rate with increasing depth into the canopy, taken as 0.3; k is calculated as:

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$$k = G(\theta) \Omega \cos(\theta) \quad (\text{A9})$$

where $G(\theta)$ is the projection coefficient, taken as 0.5, Ω is the clumping index, and θ is the solar zenith angle.

After V_{cmax} values for the representative sunlit and shaded leaves are obtained, the maximum electronic transport rate for the sunlit and shaded leaves are obtained from Medlyn et al. (1999):

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$$J_{max} = VJ_{slope} V_{cmax} - 14.2 \quad (\text{A10})$$

Soil water availability factor $f_{w,i}$ in each layer i is calculated as:

$$f_{w,i} = \frac{1.0}{f_i(\psi_i) f_i(T_{s,i})} \quad (\text{A11})$$

where $f_i(\psi_i)$ is a function of matrix suction ψ_i (m) (Zierl, 2001), $f_i(T_{s,i})$ is a function describing the effect of soil temperature ($T_{s,i}$ in °C) on soil water uptake (Bonan, 1991).

To consider the variable soil water potential at different depths, the scheme of Ju et al. (2006) was employed to calculate the weight of each layer (w_i) to f_w :

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$$w_i = \frac{R_i f_{w,i}}{\sum_{i=1}^n R_i f_{w,i}} \quad (\text{A12})$$

where n is the number of soil layer (five were used in this study) of the BEPS model, R_i is the root fraction in layer i , calculated as:

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$$R_i = \begin{cases} 1 - r_{decay}^{100cd_i} & i = 1 \\ r_{decay}^{100cd_{i-1}} - r_{decay}^{100cd_i} & 1 < i < n \\ r_{decay}^{100cd_{i-1}} & i = n \end{cases} \quad (\text{A13})$$

where cd_i is the cumulative depth (m) of layer i . In this study, each soil layer depth (from top to bottom) of the BEPS model is 0.05 m, 0.10 m, 0.20 m, 0.40 m and 1.25 m, respectively.

The overall soil water availability f_w is then calculated as:

$$f_w = \sum_{i=1}^n f_{w,i} w_i \quad (A14)$$

1555 The hydraulic conductivity of each soil layer K_i (m s^{-1}) is expressed as:

$$K_i = Ksat_i \left(\frac{SWC_i}{\theta_{s,i}} \right)^{2b_i+3} \quad (A15)$$

where $Ksat_i$ is the saturated hydrological conductivity of soil layer i (m s^{-1}); SWC_i is the volumetric liquid soil water content of soil layer i ($\text{m}^3 \text{m}^{-3}$); $\theta_{s,i}$ is the porosity of soil layer i (unitless); b_i is the Campbell parameter for soil layer i , determining the change rate of hydraulic conductivity with SWC (unitless). In this study, $Ksat_i$ and b_i are expressed as:

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$$Ksat_i = Ksat_{scalar} Ksat_{df,i} \quad (A16)$$

$$b_i = b_{scalar} b_{df,i} \quad (A17)$$

where $Ksat_{df,i}$ and $b_{df,i}$ are the default values of $Ksat_i$ and b_i , respectively.