1 Exploiting the signal to noise ratio in multi-system predictions

2 of <u>boreal</u> summertime precipitation and <u>maximum</u>

3 temperatures in Europe

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Abstract

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10 11 Droughts and heatwaves are among the most impactful climate extremes. Their co-occurrence can have adverse 12 devastating consequences on natural and human systems. Early information on their possible occurrence on 13 seasonal timescales is beneficial for many stakeholders. Seasonal climate forecasts haves gradually become 14 openly available to the communitymore widely used; but a widerits use is currently hindered by limited skill in 15 certain regions and seasons. Here we show that a simple forecast metric from a multi-system ensemble, the signal 16 to noise ratio, can help overcome some limitations in the boreal summer. Forecasts of mean maximum daily near 17 surface air temperature and precipitation in boreal summers with high signal to noise ratio tend to coincide with 18 observed larger deviations from the mean than summers years-with small signal to noise ratio. The signal to noise 19 ratio of the ensemble predictions serves as a complementary measure of forecast reliability that could potentially 20 benefit users of climate predictions. The same metric also helps identify processes relevant to seasonal climate 21 predictability. Here we show that a positive phase of boreal spring sea surface temperature dipole index in the 22 North Atlantic may favor the occurrence of dry and hot summers in Europe. 23

24 1. Introduction

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26 Droughts are typically slow onset climate extreme events (Mishra and Singh, 2010), yet they can be disruptive 27 and affect millions of people every year (Below et al., 2007; Enekel et al., 2020). Heatwaves can intensify and 28 trigger a faster drought evolution (Bevacqua et al., 2022). Compound drought and heatwaves can have-strongly 29 devastating consequences on impact socio-economic and ecological systems, and may even compromise our 30 ability to reach the UN sustainable development goal on climate action while strongly reducing the Earth system's 31 current natural capacity to absorb and store carbon (Yin et al., 2023). The use of seasonal climate forecasts can 32 provide actionable information to reduce the risks and the impacts of these events on key sectors like agriculture, 33 energy, transport, water supply (Buontempo et al 2018; Ceglar and Toreti 2021). 34

35 In the last couple of decades, climate predictions have shown important progress in anticipating the evolution of 36 various components of the climate system across the subseasonal to decadal time range (Merryfield et al., 2020; 37 Meehl et al., 2021). In spite of this progress, climate predictions still have low to moderate skill in many regions 38 and seasons (e.g. European summer; Mishra et al. 2019); this limits their use and represents a barrier for 39 stakeholders. A combination of multiple forecast systems has shown overall benefits as compared with single 40 systems, and can improve forecast quality up to a certain extent (Hagedorn et al., 2005-; Mishra et al., 2019). In 41 spite of the recent progress, climate predictions still exhibit low to moderate skill in many regions and seasons 42 (e.g. European summer; Mishra et al. 2019), something that limits their use and represents a barrier for 43 stakeholders. Furthermore, multiple studies have shown that large ensembles are required to achieve skillful 44 predictions, something that seems to be related to the forecast systems being more skillful at predicting real climate 45 than at predicting their own realizations (i.e. ensemble members). This odd phenomenon has been called the signal 46 to noise paradox (Eade et al., 2014; Scaife and Smith, 2018; Smith et al., 2020). It is particularly evident in the 47 Euro Atlantic region during winter both on seasonal and decadal timescales. However boreal summer predictions 48 have been generally overlooked. A recent study based on a single forecasting system has shown that sampling

49 years with high SNR results in more skillful predictions of monthly temperatures in Japan throughout the year
 50 (Doi et al., 2022).

52 In this study we exploit multi-system ensembles to test whether specific <u>boreal summers years</u>-with higher than 53 normal predictability can be detected through the local relation between skill and <u>SNR</u>. We explore this for near 54 <u>surface air temperature and precipitation predictions</u>, both locally and on large aggregated mid-latitude regions of 55 <u>the Northern Hemisphere</u>. <u>-signal to noise ratio (SNR; section 3)</u>. We then use this proposed approach to explore 56 <u>sources of summer climate predictability in Europe (Section 4)</u>.

59 2. Methods

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61 Thise analysis is based on seasonal re-forecasts (also known as hindcasts) of mean boreal summer precipitation 62 and 2-meter mean daily-maximum temperature (T2mTmax) for the period 1993-2016 from ECMWF SEAS5 (S5, 63 Johnson et al., 2019), UKMO GloSea6 (S600, MacLachlan et al., 2015), MeteoFrance (S8, Batté et al., 2017S8, 64 Guérémy et al., 2021), CMCC (S35, Gualdi et al., 2020) and DWD (S21, Baehr et al., 2015), available from the 65 Copernicus C3S Climate Data Store. The observationally based datasets to evaluate the re-forecasts are ERA5 66 (Hersbach al., 2020) for T2mTmax and GPCC (Schnider et al., 2011) for precipitation. The use of summer mean 67 T2mTmax is not intended to characterize single heatwaves, but to estimate average-maximum daily deviations 68 from the mean on a seasonal scale. In a climatological sense, more intense, more frequent or longer heatwaves 69 than usual generally define hot summers and hence average $T_{2m}T_{max}$ may be seen as a seasonal integrator of 70 heatwave activity. Forecast skill is evaluated with the anomaly correlation coefficient (ACC) between the 71 ensemble mean and the observational reference. To complement the skill estimates of ACC, two additional 72 deterministic skill metrics are computed in Figures 5 and 6: tThe mean squared skill score (MSSS, Murphy, 1988) 73 and the Gilbert skill score (GSS, WMO, 2014). The mean squared skill score compares the mean square error of 74 the forecasts with the mean square error of the climatological value. It ranges from minus infinity to 1 and values 75 aboveover 0 indicate skill in the predictions. The GSS measures the fraction of correctly predicted events over the 76 total number of predicted events plus misses, and takes into consideration the randomly predicted events. The 77 thresholds to define event/non event are the top and bottom 25% summers for T2m (hot) and precipitation (dry), 78 respectively. GSS values above 0 indicate skillful predictions. Standardization of the anomalies of each ensemble 79 member and the observational reference data is performed prior to the analysis. This step guarantees that each 80 member from each system has a comparable year-to-year variability to the observed one. Additionally, the 81 standardized T2mTmax anomalies are linearly detrended at the grid level and for each member of the re-forecasts 82 and in ERA5 to isolate as much as possible the impact of the long term warming. In Section 4, the Sea Surface 83 Temperature (SST) and Geopotential Height (500 hPa, GPH500) fields are taken from ERA5 and ERSSTv5 84 (Huang et al., 2017), respectively.

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86 Following Doi et al. (2022)In addition to the ACC, the <u>SNR</u>metric computed is calculated as the product of the 87 average multi-system ensemble mean <u>anomalies</u> deviation from the long term mean and the intrinsic ensemble 88 coherence (inverse of standard deviation) is calculated with the signal to noise ratio for both <u>T2m</u>Tmax and 89 precipitation as: $SNR = \frac{\mu_e}{\sigma_e}$, where μ_e is the multi-system ensemble mean and σ_e is the multi-system standard 90 deviation after standardization, computed across ensemble members for every summer (June - August) and for 91 each gridbox. 25 members per system are used to have an equal contribution from each system.

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3. Signal to noise ratio and forecasts skill

Figure 1 displays spatial maps of mean (boreal) summer T2mmax ACC, time averaged SNR, and a scatter plot
 which shows the local relation between ACC and SNR. On average, skill values over land increase with higher
 SNR values. Negative values of ACC are nearly non-existent when the threshold of SNR exceeds the value of
 about 0.5 in the same gridbox. Statistically significant skill in T2mTmax is mostly confined to the tropics and sub tropics. However, significant skill is also found in western North America, the eastern Mediterranean, central Asia
 and southern South America. Notable exceptions in the tropics are the Congo and parts of the Amazon rainforests.

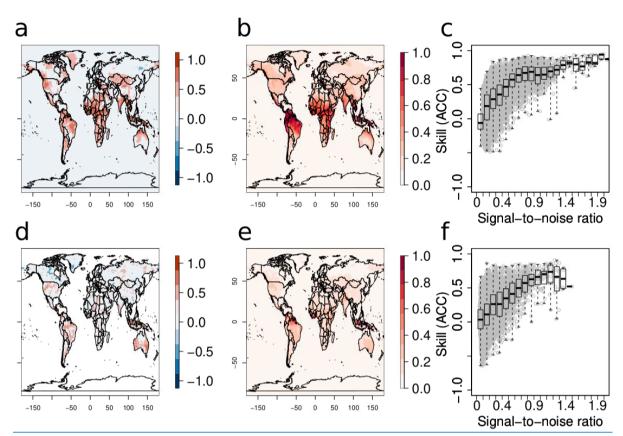
101 The patterns of SNR largely mirror those of ACC. Generally, there is a good agreement between areas of high skill (ACC) and areas with high SNR, something that is further confirmed by the local relation between ACC and



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SNR (Fig. 1c).





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106 Figure 1: June-August Skill (ACC), time averaged SNR and scatterplots of local relation between ACC and SNR 107 for T_{2mmax} (a-c) and precipitation (d-f). Each gray dot in c,f represents the values of ACC and SNR at each 108 gridbox. Only Gray dots in (a,d) indicate statistically non-significant values with a 90% confidence based on a t-109 test are displayed in (a,d). The re-forecasts are initialized every May. 110

111 Precipitation follows a similar behavior in terms of ACC and SNR, although statistically significant skill is less 112 widespread (Fig. 1d-f). Areas under the influence of El Nino Southern Oscillation (ENSO; Lenssen et al., 2020) 113 appear as regions with significant ACC and high SNR. Skillful values are mostly located in the Americas, the 114 Maritime continent and Australia. Precipitation skill and SNR in Africa and Asia are much lower, making these 115 the regions with the largest qualitative differences between the two variables.

117 Based on the observed link between skill and SNR, we use the latter one as the single criterion to exclude from 118 the re-forecasts years with very low and very high values to understand their impact on skill. When 25% of the 119 years (6 in total) with the highest SNR (Fig. 2a) are excluded, the results overall show much lower values of ACC 120 than when only 25% of the years with the lowest SNR are excluded (Fig. 2b). Furthermore, differences between 121 the latter and the former result (in many cases) in higher statistically significant values and more statistical 122 significance than the ACC computed withhen only selecting only years without the highest SNR (Fig. 2a,c). This 123 result highlights the importance that these extreme SNR years can have on skill. In fact, only skill values computed 124 bywhen excluding the bottom 25% of SNR years (Fig. 2b) are comparable to the ones estimated when all years 125 are used for the computation (Fig. 1a).

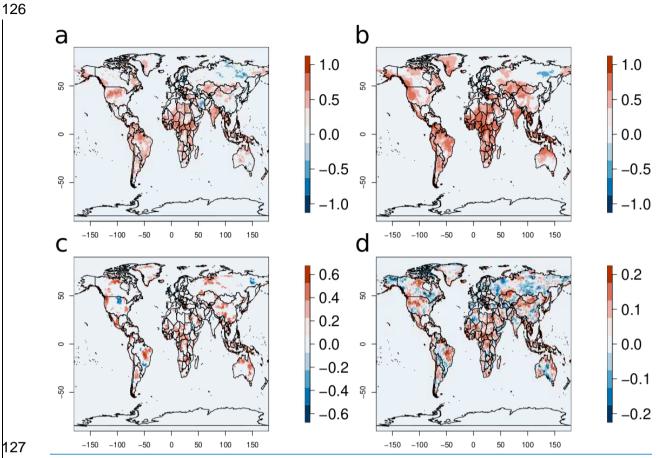
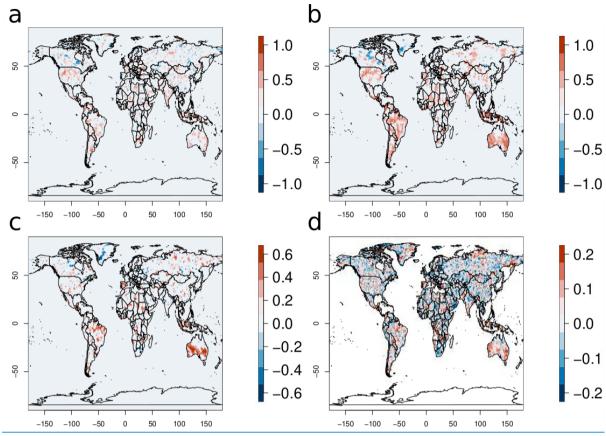


Figure 2: Skill (ACC) of <u>T2mTmax</u> predictions excluding 25% of the years with highest (a) and lowest (b) local
SNR. (c) Difference between (a) and (b). (d) Difference in the time-averaged absolute deviation from the mean in
ERA5 <u>T2mTmax</u>, excluding years having 25% of the lowest and highest local SNR, respectively. <u>OnlyGray dots</u>
in (a c) indicate statistically non-significant values with a 90% confidence based on a t-test are displayed in (a<u>c</u>). The re-forecasts are initialized every May.

Interestingly, using the same criterion to select ERA5 <u>T2mTmax</u> values reveals that in general, excluding years with high ensemble SNR results in lower absolute deviations from the mean than when the low SNR years are excluded (Fig. 2d). Additionally, these differences overall coincide with regions with significant skill differences (Fig. 2c,d). This implies that years with more extreme deviations from the mean (in the observations/reanalysis) may be identified a priori by calculating the ensemble SNR of the forecast, and that forecast systems are in general more skillful when large deviations from the mean occur. A notable exception is north-western Europe, where an opposite behavior is identified; however, it vanishes when a later initialization (June) is used.



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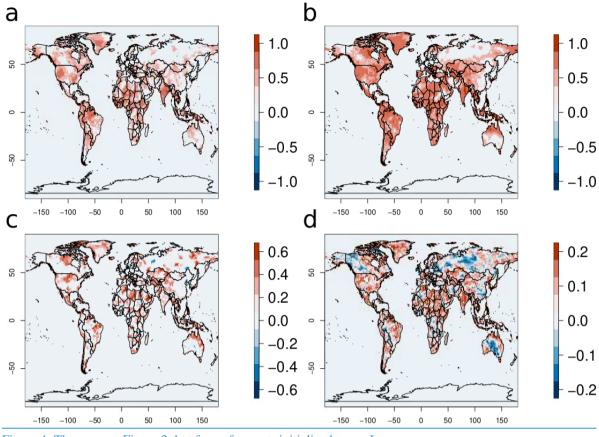
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Figure 3: Same as Figure 2, but for precipitation.

Similar to <u>T2mTmax</u>, the exclusion of years with high SNR also results in lower overall precipitation skill values
 than the one obtained when excluding low SNR years (Fig. 3a,b). Important skill differences appear in the Iberian
 peninsula, Brazil, Australia and Indonesia (Fig. 3c), and in most cases imply a <u>shift n increase</u> from non-significant
 to significant skill (Fig. 3 a and b, respectively).

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Contrasting with <u>T2mTmax</u>, the relation between ACC and mean absolute deviation from the mean in the observations is not obvious for precipitation (Fig. 3c,d). To further investigate this behavior, we analyzed the relationship between skill differences and the differences in absolute deviation from the mean for <u>T2mTmax</u> and precipitation, as usual using the re-forecasts that exclude the 25% of the years with the lowest and the highest SNR, respectively. This analysis (not shown) confirms a statistically robust relationship between skill and large deviations from mean observed precipitation, but still weaker than for <u>T2mTmax</u>.



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Figure 4: The same as Figure 2, but for re-forecasts initialized every June.

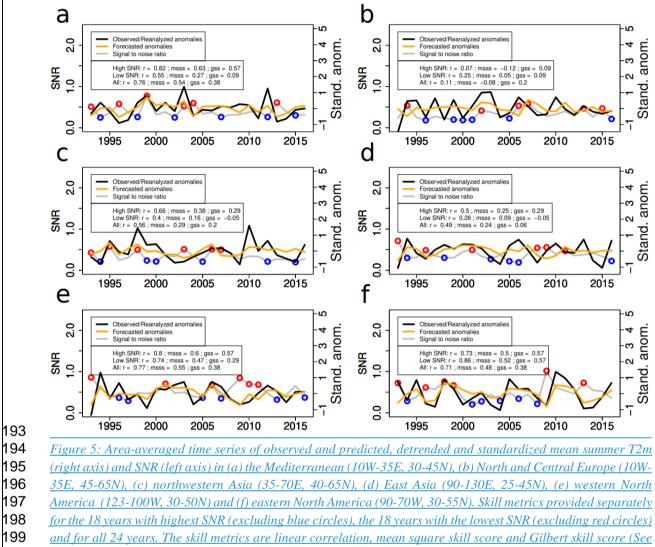
Figure 4 shows a clearer relation between the impact on skill of the most extreme years in terms of SNR and the absolute <u>T2mTmax</u> anomalies in ERA5, as compared with Figure 2. There is a good correspondence in all continents, including parts of Europe (Fig. 4 c,d)<u>-as opposed to the results presented in Figure 2</u>. The only difference between the two <u>F</u>figures is that they show the results from re-forecasts with different initialization dates. Both target the <u>boreal</u> summer months (June-August), but Figure 2 shows the results from the May initialization <u>while and</u>-Figure 4 shows the results from the June initialization. <u>In addition</u>, <u>S</u>similar qualitative conclusions can be made for precipitation (not shown).

168 In Figure 5 we use the same methodology to sample years based on T2m--SNR, but applied in this case to the 169 specific northern hemisphere mid-latitude regions: the Mediterranean, North and Central Europe, north western 170 Asia, east Asia, western North America and eastern North America. All the three skill metrics computed show 171 that sampling the 18 years with highest SNR, generally results in more skillful T2m predictions than when 172 sampling all 24 years or the 18 years with lowests SNR. The only exceptions are observedseen in North and 173 Central Europe where there is basically no skill or in eastern North America, where all the three selection methods 174 show similar skill levels. Examples of successful prediction of extreme (high) T2m years and high SNR are 1999 175 and 2003 in the Mediterranean, 2002 in Northern/Central Europe, 1998 in northwestern Asia, 2006 and 1998 in 176 western and eastern North America, respectively. There are also some examples of extreme (high) T2m and low 177 SNR, such as 2012 in the Mediterranean, or 1994 and 2016 in East Asia. However, higher overall GSS for the top 178 T2m positive anomalies indicates that on average, sampling years with high SNR results in better prediction of 179 the extreme events. 180

A similar analysis on precipitation is shown in Figure 6. The results of precipitation qualitatively agree with those
 of T2m. Precipitation skill is highest for years with highest SNR and lowest for years with lowest SNR, the only
 exception being North and Central Europe, again a region with no skill in either precipitation or T2m predictions.

Years of successful predictions of low precipitation and high SNR are 1994 and 2000 in the Mediterranean, 2015
 in Northern/Central Europe, 1997 and 2001 in East Asia, 2003 in western North America, and 2011 in eastern

North America. Similar to T2m, GSS for low precipitation summers is generally higher for the top 18 years (in terms of SNR) than for the bottom 18 years or for all 24 years. Overall precipitation predictability is lower than T2m predictability in the regions analyzed, since skill scores for precipitation are generally lower than those of T2m. Note also that the same conclusions are obtained for both T2m and precipitation when separately sampling only the half of years with highest and lowest SNRs and/or when varying the threshold to define the most extreme years used in the GSS calculations (not shown).



- *methods*). *The values are taken from the re-forecasts initialized in June.*

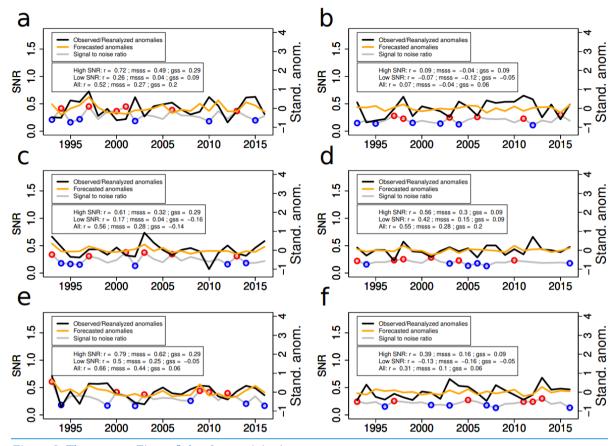


Figure 6: The same as Figure 5, but for precipitation.

3.2 Sources of climate predictability in Europe

Figure 5 shows how the ensemble SNR can also be applied to explore and understand sources of predictability and related climate processes. Figure 5a displays the time series of the SNR ratio (black) of the June initialized re-forecasts and the absolute value of the standardized ERA5 <u>T2m</u>Tmax anomalies (gray) over Europe (defined in the area within 35-65N - 10W-35E, green box in Fig. 5d). The six years with the highest <u>T2m</u>Tmax SNR in Europe are 1994, 2003, 2004, 2006, 2013 and 2015 (green dots in Fig. 5a), while the years with the lowest and the highest <u>T2m</u>Tmax anomalies in Europe (after detrending) are 1993, 1996 and 2004, and 1994, 2003, and 2006, respectively (blue and red dots in Fig. 5a, respectively).

In terms of precipitation the largest SNR values are reached in 1994, 1997, 2003, 2006, 2011 and 2015, while the highest and lowest observed precipitation anomalies occur in 1997, 2010 and 2011 and 1994, 1996 and 2003, respectively. Common years with high absolute anomalies and high ensemble SNR are 1994, 2003, 2004, and 2006 for T2mTmax and 1994, 1997, 2003 and 2011 for precipitation. The summers of 1994 and 2003 have been documented as both dry and hot in Europe (e.g. Toreti et al., 2019) and also show high ensemble SNR for both T2mTmax and precipitation. This makes these years good candidates to explore possible sources of predictability. Anomalies of 1994 and 2003 of observed summer SST and GPH500 reveal a dipole of positive SST anomalies in the western North Atlantic and negative SST anomalies in the central/eastern North Atlantic (Fig. 5d), and a stationary Rossby wave pattern in the summer with anticyclonic anomalies in the western North Atlantic, western/central Europe and central Russia, and cyclonic anomalies in the central/eastern North Atlantic, eastern Europe/western Asia and northeastern Asia.

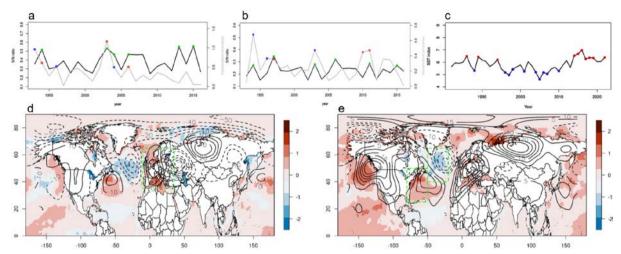


Figure 5: (a) Time series of mean spatial SNR (black line) and absolute deviation from mean (gray) for <u>T2m</u>Tmax over Europe in ERA5 (green box in panel d). Blue and red dots in (a) show the top three coldest and hottest summers in Europe (after detrending), while green dots indicate the top six years in terms of <u>T2m</u>Tmax SNR. (b) The same as (a) but for precipitation. Blue and red dots in (b) show the three driest and wettest summers in Europe, while green dots indicate the top six years in terms of precipitation SNR. The re forecasts used in (a b) are from the June initialization. (c) Time series of the index estimated as the difference between the western and central/eastern North Atlantic SST in spring (March May). Blue and red dots indicate the 25% lowest and highest values, respectively. (d) Mean summer anomalies of SST and GPH500 for the years 1994 and 2003. (c) Composites of summer SSTs and GPH500 for years with high minus low March May SST index in the period 1982-2022.

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240 We hypothesize that years with a strong dipole in North Atlantic SST anomalies could precondition atmospheric 241 flow, affecting hydroclimatic summer conditions in Europe. To test this hypothesis, we created an observed spring 242 SST index (Fig. 5c) measuring the dipole strength defined as the difference in mean SST in the western and 243 central/eastern centers of action (green boxes in Fig. 5e). Between 1982 and 2022, the years with the strongest 244 dipole are identified before 1994 and after 2014, while years with the weakest dipole are almost exclusively found 245 in the period 1995-2010, pointing to decadal/multi-decadal variability. A composite of summer SSTs and GPH500 246 (Fig. 5e), defined as the respective difference between the top 25% and the bottom 25% years based on the spring 247 index, reveals very similar patterns than those observed in 1994 and 2003 (Fig. 5d). The SST index estimated in 248 spring is associated with persistent SST anomalies well into the summer. These long lasting SST anomalies appear 249 to force (or reinforce) a stationary Rossby wave train that induces both dry and hot summer conditions over most 250 of Europe. 251

252 To further demonstrate the importance of this North Atlantic dipole for European summer climate, Figure 6 253 displays the added value of selecting each year the 60% of ensemble members that better reproduce the North 254 Atlantic dipole index in the summer. The ranking is based on the values of the squared error of the index from 255 each member with respect to ERA5. The reduced ensemble shows a clear, consistent and statistically significant 256 improvement of skill of summer T2mTmax (Fig. 6a,b) and precipitation (Fig. 6c,d) in central and northwestern 257 Europe for re-forecasts initialized in May (Fig 5a,c) and June (Fig 5b,d) as compared to the full ensemble. These 258 improvements are only achieved by subsampling the members based on the summer dipole index for re-forecasts 259 initialized in May and June. When the subsampling of members is based on the May index of the May initialized 260 re forests, there are no improvements of summer T2m Tmax or precipitation skill in Europe, most likely because 261 there is neither an improvement in the representation of the dipole in the summer (not shown). 262

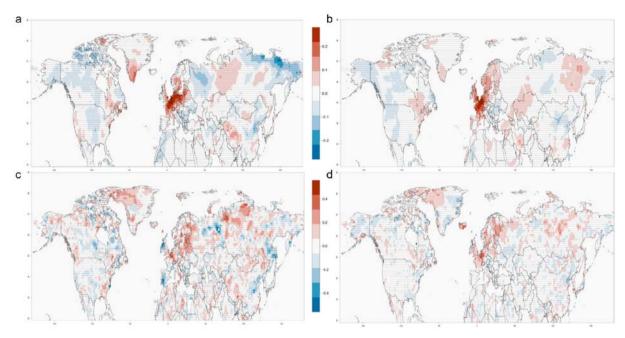


Figure 6: Skill difference (ACC) between a selection of 60% of the members with the best JJA SST index score
 (lowest RMSE) and the full ensemble for summer a) <u>T2m</u>Tmax in forecasts initialized in May, b) <u>T2m</u>Tmax in
 forecasts initialized in June, c) precipitation in forecasts initialized in May and d) precipitation in forecasts
 initialized in June. Gray dots indicate statistically non significant values with a 90% confidence based on a t test.

4. Discussion

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The SNR measures the relative weight of the ensemble mean anomalies with respect to the ensemble coherence. Its close resemblance in terms of spatial patterns with a skill metric like ACC, indicates that it can provide complementary information related to seasonal climate predictability. We have shown that in regions where the forecasts are skilful, years with high SNR exhibit on average larger observed deviations from the mean than years with low SNR, both for T2m and precipitation. This means that forecast systems are on average more reliable at predicting extremes when excluding years with low SNR. This has been further demonstrated for several Northern Hemisphere mid-latitude regions during boreal summer.

Despite the well known limitations of climate forecast systems (e.g. the signal to noise paradox), wWe have shown
 that in a multi-system ensemble, the SNR may provide valuable contains valuable information as it represents an
 intrinsic measure of reliability for T2m and precipitation forecast, which can be used to inform in advance on
 possible exceptional years with large temperature and precipitation anomalies. The short span of 24 years defining
 the common hindcast period is a limitation of this study. Hence, longer hindcasts would be necessary to obtain
 more robust results, but are currently unavailable for most of the multiple systems analyzed.

286 The SNR also provides valuable information to detect potential sources of predictability. We have shown that, 287 despite overall low skill, impactful events (i.e. anomalously dry and hot European summers) seem to be favored 288 by a preceding dipole of high and low surface temperature anomalies in the western and central/eastern North 289 Atlantic. These anomalies are identified in spring, persist through the summer and are associated with an 290 anomalous stationary wave pattern showing anticyclonic conditions over most of Europe, a prime driver of hot/dry 291 summer conditions. Dunstone et al. (20189) associate precipitation anomalies in central/northern Europe with a 292 tripole pattern of North Atlantic SSTs in spring which has the two northernmost centers of action partially 293 collocated with the two centers of action here identified, hence qualitatively agreeing with our findings. 294 Nedderman et al. (2019) also show that ensemble subsampling selecting members that better reproduce a process 295 involving North Atlantic Sea surface temperatures in spring followed by a Rossby wave train in late summer 296 largely improves temperature forecasts in central/south-western Europe. Finally, the findings presented here also

297	agree w	ith the ones reported by Acosta Navarro et al. (2022), which show that improved forecasts of central North
298	Atlantic	Sea surface temperatures in late spring/early summer increase skill in Europe during late summer thanks
299 300		ter simulated atmospheric circulation.
301 302 303 304 305 306 307 308 309	Europe tempera the obse Europea The pro useful a	ant skill improvements of <u>T2m</u> Tmax and precipitation can be achieved in central and north-western by subsampling ensemble members that better follow the evolution of the observed North Atlantic dipole ature index during summer. Selecting members of the re-forecasts initialized in May that better agree with erved dipole index in May, results in no-clear improvement in the summertime dipole index or in the an climate. This points to the need for further efforts and analyses to understand this unexpected behavior. posed detection method based on ensemble SNR and North Atlantic SST pattern found here is nonetheless s a means to explore sources of atmospheric predictability for summer forecasts in Europe and could likely ted to other regions and seasons.
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