#### Dear Guillaume Chambon,

Thank you for editing this manuscript and for your comments. Please find below our point by point response to your report and to the two reviews of Elizabeth Morris and Antoine Wautier. In each case, the comments are shown in blue and our responses in black below. Proposed modifications to the manuscript are shown in highlighted yellow.

Please note that besides the remarks pointed out during the review we have also corrected a typo **L263**, where the unit of the fluidity a has been corrected from  $Pa^{-3} s^{-3}$  to  $Pa^{-3} s^{-1}$ .

A track-change version of the manuscript is available below, with removed text in red strike-out and added text in underlined blue.

The paper reports on microstructure-based finite-element simulations of snow and firn that aim at testing the capability to reproduce macroscopic compaction rates under the assumption that the main deformation mechanism is the viscoplastic creep of ice. The originality of the study lies in the direct cross-comparisons between numerical results and independent, field-derived densification data. It is shown that firn compaction is correctly captured by using the classical Glen's law for ice at the microstructure level. In contrast, the compactive viscosity of snow is significantly overestimated by the simulations, and this regardless of the considered microstructure type (rounded grains or depth hoar). Varying the n exponent of the rheology, or considering an anisotropic constitutive law, do not resolve this discrepancy. These results suggest that alternative deformation mechanism. such boundary sliding. grain may play significant

The manuscript meets the criteria to be put forward into the interactive discussion and sent to referees. It is well-written and clearly structured. The methods are correctly explained and, overall, the conclusions are convincing, providing new insights into the mechanical behaviour of snow. That being said, I think that the contribution could be further strengthened by a few complementary results and discussions that would probably require only a minimal amount of additional work. In particular, and depending also on the comments that will be made by reviewers, I suggest that the authors exploit the revision phase to consider addressing the following issues:

#### \* Would it be possible to infer the n exponent directly from the field data?

The non-linear exponent could indeed be inferred from experimental data. The use of field data might be difficult, as the external conditions are not controlled and it might be complicated to disentangle the effect of n from those of temperature, overburden and microstructure. However, attempts have been carried out to measure n through controlled laboratory experiments for both snow (Scappoza et al., 2003, Sundu et al., 2024) and firn (Li and Baker, 2022).

These observations support the notion that dense/large-grained snow and firn have a non-linear exponent consistent with polycristalline ice (n≈3.5 to 4) and that light/fine-grained snow has a lower non-linear exponent (n≈2). However, while the knowledge of the non-linear exponent helps characterizing the deformation mechanism at play in snow and firn, it is not sufficient to unequivocally determine the appropriate rheology to upscale a microstructure to its equivalent compactive viscosity.

These points will be further discussed in the manuscript.

We have completed the paragraph **L353** to indicate that a transition in the non-linear exponent has been experimentally observed and supports the notion of ice rheology transition:

"There thus would be a transition in the ice rheology from snow, characterized by freely-deforming mono-crystals, to firn, characterized by the interaction of incompatibly orientated crystals (i.e. polycrystalline ice), as the crystals start blocking one another. This vision is consistent with experimental observations of the non-linear exponent n of snow and firn that shows a transition from n≈2 to n≈3.5-4.5 (Scapozza et al.,2003, Sundu et al., 2024). Such a transition in behavior, which is assumed to be driven by a transition in density, is widely adopted (Alley, 1987firn, Arnaud et al., 2000, Salamatin et al., 2009, Morris et al., 2022)."

We have also completed the paragraph **L397** presenting the experimental results on the non-linear exponent:

"The non-linear exponent of firn has recently been experimentally studied by Li and Baker (2022), which rather report a non-linear exponent of n≈4. This value is consistent with the laboratory experiments of Sundu et al. (2024) that report n≈4.4 for large-grained snow."

We have also included **L423** a reference to the recent study of Sundu et al. (2024) in the paragraph discussing whether density alone is the relevant parameter controlling the transition in ice rheology:

"On the other hand, our viscosity ratios also raise the question of whether the density (of snow or firn) is actually the relevant property that discerns between different deformation mechanisms (Alley, 1987, Morris et al., 2022). Our snow samples were selected to include cases (Fig.5) with virtually the same density but clear differences in the observed densification rates. Consistent with this idea, the recent study of Sundu et al. (2024) suggests that the transition in the ice matrix rheology (characterized in their study by a transition from  $n\approx 1.9$  to  $n\approx 4.4$ ) is better captured by grain-size than by density. "

\* Showing some stress – strain-rate curves obtained from simulations on the real microstructures, and discussing whether the results effectively agree with an identical n exponent at the micro and macro scales, would be interesting.

We propose to replace the simulations performed on an idealized microstructure by a set of simulations performed on an actual firn microstructure. Results confirm that the exponent n is conserved at the macroscopic scale for a real microstructure as well.

Figure 3 will be replaced with the results obtained from the firn microstucture, we will modify the text **L261** and **L266**:

"To this end we used the microstructure obtained from one of the B34 firn samples."

"The corresponding compactive viscosity of this microstructure can be evaluated as  $\eta$ =28.1 Pa³ s (and this value of course depends on the specific choice of the non-linear exponent n and of the fluidity pre-factor a)"

as well as the **caption of Fig 3.**:

"Stress versus Strain rate curve characterizing the response of a firn microstructure composed of isotropic ice under compression (and assuming a fluidity prefactor a=1 Pa<sup>-3</sup> s<sup>-1</sup>). The macroscopic response follows a power-law with the same exponent as the ice material, here with n=3."

\* In section 4.3, the assumption of an isotropic c-axis distribution for DH snow seems questionable. One could argue that the difference between RG and DH compactive viscosities likely stems from the presumably anisotropic texture of the latter snow type. Hence, would it be possible to consider running a few additional simulations to assess the potential effect of such texture anisotropy?

To the best of our knowledge the link between snow type (DH, RG, etc) and crystallographic texture is not always very clear. For instance, Riche et al., 2013 studied the evolution of the texture under temperature gradient metamorphism, with an evolution of the snow type from DF (close to RG) into DH, in two cold-room experiments. They report (Fig. 4, 5, and 6 of their article) initial textures with preferentially vertically orientated c-axes and which either (i) essentially stay the same, or (ii) evolve towards a weak griddle-type (c-axes in the horizontal plane) texture.

Therefore, we do not think that DH can be understood as significantly and systematically more texturally anisotropic than other snow types. Thus, it does not appear that the fact that DH is systematically reported to have a larger compactive viscosity than other snow types could directly be attributed to a more anisotropic texture.

To further investigate this point, we have performed an extra simulation of the DH sample (from 2016-02-16) with c-axes preferentially vertically orientated (roughly corresponding to the strongest fabric reported in Riche et al., 2013). We found that in this case the compactive viscosity of the DH decreased slightly, yielding a DH/RG viscosity ratio on the 2016-02-16 of 0.08+/-0.02 (versus 0.11 in the isotropic texture case). In comparison, the observed viscosity ratio is around 1.

We thank Elizabeth Morris for her review and her constructive remarks on the manuscript. Please find below our point by point response to the review. The comments of the referee are shown in blue and our corresponding responses in black below. Proposed modifications to the manuscript are provided as highlighted text with the lines corresponding the submitted manuscript.

## 1 General comments

This is a well-written paper presenting new and important results which will be of interest to all those interested in the densification of snow. Using information on the microstructure of snow samples from micro-CT scans, the authors calculate macroscale compaction rates under various assumptions about the relationship between strainrate and stress for the ice grains. For dense snow (firn) using an isotropic power-law with n=3 on the microscale leads to macroscale compaction rates very similar to those observed in the field, supporting the suggestion that firn behaves as a "foam" of polycrystalline ice. On the other hand, the simulated compactive viscosities for lower density alpine snow are significantly larger than the observed values, both for rounded grains and for depth hoar. This discrepancy cannot be removed by changing the power-law exponent or by using an anisotropic flow law on the microscale. The authors note that grain-boundary sliding has been identified as a mechanism for compaction in lower density snow, but explain that simulation of this process on the microscale would require more complex numerical methods than those used in this paper. Nevertheless this is probably the next problem to tackle in this field. In the meantime this paper is a valuable contribution to the series of papers deriving various macroscale properties of snow from microphysical analysis.

# 2 Specific comments

I.325 The comment here that use of a Newtonian rheology cannot be directly motivated by an underlying physical argument seems at odds with the earlier reference to Nabarro-Herring creep (I.49) which is the physical basis for Arthern's use of a linear relationship between strain rate and stress.

Indeed there exist creep mechanisms (such as Nabarro-Herring) characterized by a linear strain rate-stress relationship. What we wanted to convey in the article is that our exploration of rheologies besides n=3 is not motivated by the existence of underlying deformation mechanisms (for instance N-H) but rather as an exploration of homogenous isotropic deformation law (and this independent of the existence of physical mechanisms that could justify such a form).

In order to better explain this point (and taking into account the technical comments below) we propose to modify the manuscript **L324**:

"Macroscopic Newtonian rheologies are also used in low-density firn studies (Schultz et al, 2022). Therefore, exploring rheologies besides Glen's law, with related numerical experiments, would benefit our understanding of homogenization in snow compaction. Accordingly, we conducted a sensitivity analysis on microscopic, isotropic constitutive laws using different exponents (n=1, n=2, and n=4 in our case) following Wautier et al., (2017). We note that while some of these rheologies could be justified based on mechanisms of ice deformation (such as the Nabarro-Herring creep resulting in n=1; Herring, 1950, Arthern et al., 2010), our analysis of n≠3 was not conducted with specific physical mechanisms in mind. Rather, our motivation is to determine if an isotropic deformation law could explain snow compaction, independently of a specific underlying physical mechanism."

### 3 Technical corrections

• I.1 The distinction between snow and firn according to density (not age) needs to be introduced here as well as in the Abstract, bearing in mind that it may not be familiar to all readers

We have moved the distinction between snow and firn in terms of density directly in the first sentence of the abstract **L1**:

"Accurate models for the viscous densification of snow (understood here as density below 550 kg m<sup>-3</sup>) and firn (density above 550 kg m<sup>-3</sup>) under mechanical stress are of primary importance for various applications, including avalanche prediction and the interpretation of ice cores."

• I.3 maybe "are still largely based on macroscale experiments" would be better?

We think that the word "empirical" is more suited than "macroscale experiments" here as experiments could be understood as controlled conditions (while field observations are also used to adjust models). Moreover, the word "empirical" implies that the underlying theory/understanding behind snow/firn compaction is still to be refined.

Thus, if the referee and editor agree, we propose to keep the manuscript as such.

- I.10 "firn densification can be reasonably well simulated"
- I.12 "contradiction"
- I.16 "firn as a foam"

We will reformulate the manuscript following these suggestions.

• I.21 "in the cryospheric sciences"?

We used "cryospheric sciences" to span both snow sciences and glaciology, as they are sometimes considered as two separate fields. To clarify the sentence, we propose to simply remove "in cryospheric sciences" **L21**:

"Accurate prediction of the rate of the compaction is of primary importance for various applications. For instance, [...]"

• I.24 it is not clear what "different layers" means here. Different depths maybe? Or different samples with the same density and/or overburden pressure but different microstructure and/or composition?

We meant the second, i.e. that layers with similar density and understand similar overburden stress can show clearly different compaction rates. We propose to reformulate **L24** to:

"However, observed variations in the densification rate of different layers with similar density and subjected to similar overburden stress still lack a conclusive explanation in view of either microstructural or compositional origins (Hörhold et al., 2012, Fujita et al., 2016)."

- 1.25 "This situation is remarkably similar in snow"
- I.34 "The effective material properties" implies that all these properties can now be derived. Better to say "Effective material properties..." which only implies that some can be derived
- I.40 How about "Despite the pressing need for an accurate model,..."
- 1.43 " so far only.....have attempted to estimate"

We will reformulate the manuscript following these suggestions.

• I.47 would " of the material" be better here?

We propose to reformulate **L47** to:

"[...] the dominating mechanism(s) driving the mechanical deformation of the ice material at the micro-scale."

- I.56 "...who considered three different ...."
- 1.59 "can be simulated consistently.."

We will reformulate the manuscript following these suggestions.

I.60 similar to what?

By "similar" we meant common between the firn and snow samples. We will rephrase **L60** replacing the word "similar" with "common".

• I.63 why not simply "where observed densification rates are available"?

We propose to rephrase **L63** to:

"[...] where observed densification rates are also available."

• I.64 "computational platform as it is already established in the ice flow modelling community"

We will reformulate following this suggestion.

• I.71 Do you mean " it would be impossible/impractical to represent... in a snow or firn model"?

We propose to reformulate the sentence **L71** to:

"In snowpack and firn models, it would be impossible to explicitly represent the 3D microstructure of a whole snowpack or firn column."

• 1.76 "modelling purposes a macroscopic constitutive law .... is required. Here f is a function...."

We will reformulate **L76** to:

"For snow or firn modelling purposes, a macroscopic constitutive law  $\dot{E} = f(\Sigma)$  is required. Here, f is a function [...]"

• 1.95 The colon product will be unfamiliar to many readers - explain or avoid?

We will provide the definition of the double dot product between a fourth and second order tensor using index notation **L99**:

"The double product **a**:**s** yields a second-order tensor whose ij<sup>th</sup> component is  $\Sigma_{kl}$   $a_{ijkl}$   $s_{kl}$ ."

• 1.139 "in order to compare our simulations with independent estimates" and "These estimates are used for the comparison" seem to be saying the same thing

Indeed. We propose to remove the second part "These estimates are used for the comparison".

#### • I.145 RG and DH need to be defined here

We will specify that RG and DH refers to Rounded Grains and Depth Hoar **L145**:

"Four snow layers have been carefully tracked and measured with several instruments over the entire season, including a Rounded Grains (RG) snow layer and a Depth Hoar (DH) snow layer (following the classification of Fierz et al., 2009)."

- I.153 "acceleration due to gravity"
- I.155 "data include"
- I.159 "in order to estimate the uncertainty"?

- l.159 "a total... was" or " 25 time series were"
- I.178 "B54 core was drilled"
- I.180 "profile"
- I.181 ".. density profile represents a steady-state"
- I.184 Maybe use a variable like τ to represent age?
- I.185 "As in the case.."
- · I.187 "weighing"
- I.188 "in a 1 m core"
- I.192 "still fluctuate"
- I.193 "As with the alpine case..."
- l.195 "envelopes"
- I.202 "The goal of these simulations was..." Similarly in I.206 and I.209 "was" is better than "is" since the rest of the description of the method is in the past tense
- I.223 "ice sheet modelling"
- I.260 Eq. 3
- I.267 "evaluated as..."
- I.271 flattened or flat
- I.284 " Several works in the literature have proposed" or maybe "Several authors propose"
- I.287 "subsequent work by..."

We will reformulate the manuscript following these suggestions.

• I.290 described by Glen's law? known fluidity values?

We propose to reformulate **L290** to:

"The viscoplastic deformation of polycrystalline ice is nowadays reasonably well understood and usually described by Glen's law, an isotropic power-law rheology with n=3 and known fluidity values depending on the temperature of the ice (Schulson and Duval, 2009, Cuffey and Paterson, 2010)."

- I.292 "ice fluidities"
- 1.302 "who reached a similar conclusion"?
- I.304 "Moreover, Fig. 6 shows that while..."

We will reformulate the manuscript following these suggestions.

I.326 "increase our understanding"?

We propose to reformulate **L326** to:

"[...] would benefit our understanding [...]"

- 1.328 "following Wautier et al."
- I.337 "confirmed from the simulated stress distribution..."
- I.343 "whether linear or non-linear"
- I.353 " driven by a transition in density"
- 1.396 space missing after "dislocation creep"
- I.402 " ice rheology based on ..."?
- I.404 " In this way, the difference in scales..."

We will reformulate the manuscript following these suggestions.

We thank Antoine Wautier for reviewing the manuscript and his constructive remarks. Please find below our point by point response. The comments of the referee are shown in blue and our corresponding responses in black below. Proposed modifications to the manuscript are provided as highlighted text with the lines corresponding the submitted manuscript.

In this paper, the authors present significant contributions to the homogenization of the viscous behavior of snow and firn. They perform finite element simulations of the mechanical behavior of snow and firn in oedometer conditions based on X-ray microtomography images. They compare the homogenized viscous behavior to experimental results to back analyze the micro origin of the viscous behavior. In particular, they discuss in details the modeling of the ice matrix as a poly-crystal in case of firn (isotropic behavior) and as a mono-crystal in case of snow (anisotropic behavior). This is done by considering a sensitivity analysis on different ice rheologies.

The paper is well written, easy to follow with a rather clear three dimensional formulation of the viscous behavior of ice and snow. I recommend publication subjected to the minor following comments.

1. In the simulations of the mechanical response of snow and firn samples, did the authors model the transient elasto-visco-plastic regime? How did they isolate the visous response? In this article we consider a purely visco-plastic material, without an elastic component. This way we directly obtain the steady-state macroscopic viscous response without the need to model a potential transient elastic response.

The fact that we do not need to isolate the viscous response will be specified in the "Finite element solution" Section **L232**:

"As we consider a purely viscous material without elasticity, we do not need to isolate the viscous response from an elastic part (as for instance done in Wautier et al., 2017)."

2. Does the local anisotropy of the ice behavior reflect on the macroscopic behavior, or does the local fluctuations in the directions of ice anisotropy cancel out at the macroscale? In our simulation framework we have only considered the vertical response, as it is the relevant direction for natural snowpacks and firn columns. We thus did not attempt to estimate the mechanical anisotropy of the material. This limitation will be mentioned in the manuscript **L117**:

"Also, this study is limited to the investigation of vertical compaction in snowpacks/firn columns and does not consider other directions of deformation (e.g. lateral compaction). Therefore, we do not quantify the potential anisotropy of the compactive viscosity."

As hinted by the referee, since we are considering isotropic crystallographic textures in this article, the fluctuations due to the crystallographic orientations should cancel out as there is no preferential direction for the c-axis. The study of a texture-induced anisotropy, and its interaction with the anisotropic microstructure of snow and firn is an interesting path of investigation, with applications in the case where snow and firn are subjected to more than pure oedometric vertical strain. We however feel that this path is beyond the scope of our article as it would first require to determine the relevant ice deformation mechanism in snow before performing a large number of specific numerical simulations. We propose to mention in the text that the study of the interaction between textural and structural anisotropies could be worthy of investigation in the future **L422**:

"A deeper understanding of the influence of the snow texture on its mechanical properties would enable the study of the interaction between structural and textural anisotropies."

3. Complementary to the given reference (Tsuda et al. 2010), I would like to underline a few theoretical references showing that the exponent n of the viscous behavior of a porous material is preserved in the up-scaling process. The authors could also refer to Auriault et al., 1992; Suquet, 1993; Orgéas et al., 2007.

We thank the referee for providing these references. They will be added to the manuscript **L104**.

4. As a curiosity, the authors could include some explanations on how the ice matrix switches from mono to poly-crystals when snow transforms into firn.

We propose to extend the paragraph **L353** to specify how and why the ice rheology transitions from mono to polycristalline:

"There thus would be a transition in the ice rheology from snow, characterized by freely-deforming mono-crystals, to firn, characterized by the interaction of incompatibly orientated crystals (i.e. polycrystalline ice), as the microstructure becomes denser and the crystals start blocking one another."

5. In addition to the given references, the anisotropic formulation of the viscous behavior of the ice behavior (which relies on the form of the fourth order tensor a) could be included explicitly in the text to have a self-supporting paper. In the mono-crystal model, what are the conditions applied on the interfaces between two crystals?

We will move the anisotropic formulation Appendix into the main part of the paper, in Section 3.2.2 "Finite element solution".

For the interface between two crystals we use the "natural" condition that directly follow from the FEM formulation, i.e. continuity of displacement rates. These corresponds to the condition found in a homogeneous material.

We note that it is because of this continuity that we cannot naturally model interface effects, such as grain boundary sliding. The continuity condition between crystals will be mentioned in the text **L253**:

"In the anisotropic simulations, the condition at the interface between monocrystals is characterized by displacement rates continuity."

6. When referring to the segmentation of the ice matrix into mono-crystals (I.215), the authors could refer more explicitly to the images obtained using diffraction X-ray micro-tomography.

We will mention that the crystal segmentation could be experimentally measured using X-ray diffraction tomography. If applicable to sufficiently large samples, this would remove the uncertainty associated with the geometrical segmentation used in our study.

We will add **L221** that the texture of our snow sample could potentially be experimentally measured though XDT:

"We note that while such technique was not available for our study, the crystallographic orientation in snow could also be experimental determined through X-ray diffraction tomography (Roscoat et al., 2011, Reischig et al., 2013, Granger et al., 2021)."

We will also mention it when discussing the need for texture measurments in Section 4.4 **L420**:

"Concurrent measurements of the texture are then unavoidable, either through snow thinsections (Riche et al., 2013, Montagnat et al., 2020) or through X-ray diffraction tomography (Roscoat et al., 2011, Reischig et al., 2013, Granger et al., 2021)."

# 7. Maybe the authors could consider moving Section 3.3 "testing the finite element setup" in an appendix.

If the editor and referee agree, we would prefer to keep Section 3.3 in the main part of the manuscript, as it also illustrates some of the points presented in the Theoritical background Section (the preservation of the non-linear exponent and the influence of an anisotropic ice material). Also, as the appendix of the previous manuscript was moved to the main part of the article, keeping Section 3.3 in the main part of the manuscript would allow a single streamline article.

# Microstructure-based simulations of the viscous densification of snow and firn

Kévin Fourteau<sup>1,a</sup>, Johannes Freitag<sup>2</sup>, Mika Malinen<sup>3</sup>, and Henning Löwe<sup>1</sup>

**Correspondence:** Henning Löwe (loewe@slf.ch)

**Abstract.** Accurate models for the viscous densification of snow and firm (understood here as density below 550 kg m<sup>-3</sup>) and firn (density above 550 kg m<sup>-3</sup>) under mechanical stress are of primary importance for various applications, including avalanche prediction and the interpretation of ice cores. Formulations of snow and firn compaction in models are still largely empirical, instead of using microstructures from micro-computed tomography to numerically compute the mechanical behavior directly from the physics at the micro-scale. The main difficulty of the latter approach is the choice of the correct rheology/constitutive law governing the deformation of the ice matrix, which is still controversially discussed. Being aware of these uncertainties, we conducted a first systematic attempt of microstructure-based modeling of snow and firn compaction. We employed the Finite Element suite ElmerFEM using snow and firn microstructures from different sites in the Alps and Antarctica to explore which ice rheologies are able to reproduce observations. We thereby extended the ParStokes solver in ElmerFEM to facilitate parallel computing of transverse isotropic material laws for monocrystalline ice. We found that firm (density above 550 kg m<sup>-3</sup>) densification can be reasonably well simulated across different sites assuming a polycrystalline rheology (Glen's law) that is traditionally used in glacier or ice sheet modeling. In contrast, for snow (density below 550 kg m<sup>-3</sup>) the observations are in contradition contradiction with this rheology. To further comprehend this finding, we conducted a sensitivity study on different ice rheologies. None of the material models is able to explain the observed high compactive viscosity of depth hoar compared to rounded grains having the same density. While on one hand our results re-emphasize the limitations of our current mechanical understanding of the ice in snow, they constitute on the other hand a confirmation of the common picture of firn densification as a foam of polycrystalline ice through microstructure-based simulations.

#### 1 Introduction

Once deposited on the ground, snow and firn are subject to the overburden stress imposed by the weight of the overlying snow or firn column. This causes the slow and viscous compaction of the snow and firn layers and their densification over time (e.g. Kojima, 1975; Herron and Langway, 1980). Accurate prediction of the rate of the compaction is of primary importance for various applications of the cryospheric sciences. For instance, the densification of firn determines the time scale at which

<sup>&</sup>lt;sup>1</sup>WSL Institute for Snow and Avalanche Research SLF, Flüelastr. 11, 7260 Davos, Switzerland

<sup>&</sup>lt;sup>2</sup>Alfred-Wegener-Institut, Helmholtz-Zentrum für Polar- und Meeresforschung, Bremerhaven, Germany

<sup>&</sup>lt;sup>3</sup>CSC – IT Center for Science Ltd., Espoo, Finland

<sup>&</sup>lt;sup>a</sup>Present address: Université Grenoble Alpes, Université de Toulouse, Météo-France, CNRS, CNRM, Centre d'Études de la Neige, Grenoble, France

atmospheric gases are enclosed in polar ice (Schwander et al., 1993). Faithful modeling of firn densification is thus a critical component for the interpretation of ice cores (Goujon et al., 2003; Witrant et al., 2012; Buizert, 2021). However, observed variations in the densification rate of different layers of similar density and subjected to similar overburden stress still lack a conclusive explanation in view of either microstructural or compositional origins (Hörhold et al., 2012; Fujita et al., 2016). This situation is in remarkable similarity to remarkably similar in snow. The slow densification of seasonal snow determines the time scale for the existence of persistent weak layers as a major source of avalanche danger (Schweizer and Lütschg, 2001). Faithful modeling of snow densification would greatly improve the capacity of a detailed snowpack model to predict this time scale and the presence of persistent weak layers over the winter season. Still, for snow there are well known differences in densification rates between different layers (rounded grains and depth hoar) (Kojima, 1975; Calonne et al., 2020) that still lack a conclusive explanation. In view of these similarities, holistic approaches to snow and firn densification are desired to identify common microstructural controls.

Due to the progress in micro-computed tomography ( $\mu$ CT) in cryospheric sciences within the last decade, 3D microstructures of snow and firn samples are now widely used (e.g. Löwe et al., 2013; Calonne et al., 2019; Letcher et al., 2022). Based on  $\mu$ CT, the effective material properties of snow or firn can now be derived in a physically consistent manner, based on the underlying microstructure and the physics at the pore scale. This leads to an effective (upscaled) material characterization using homogenization methods and numerical simulations (Torquato, 2002; Auriault et al., 2009). For example, for the thermal conductivity of snow and firn, upscaling methods have been widely used, yielding a fairly consistent picture of how the microstructure determines the effective thermal properties (Calonne et al., 2011; Löwe et al., 2013; Riche and Schneebeli, 2013; Calonne et al., 2019; Fourteau et al., 2021). For snow and firn compaction, such a consistent picture is still lacking.

Despite the aforementioned requirement on accuracypressing need for an accurate model, the formulation of slow compaction of snow and firn in models remains largely empirical (e.g. Vionnet et al., 2012; Lundin et al., 2017) and lacks a detailed microstructure-based justification. To the best of our knowledge, so far only Theile et al. (2011), Chandel et al. (2014), and Wautier et al. (2017) have made the attempt so far attempted to estimate the effective viscous response of snow using  $\mu$ CT images and microstructure-based non-elastic (plastic or viscoplastic) simulations. While all of them led to an apparent agreement with measurements, they were based on very different assumptions about the rheology of the ice material accommodating the deformation at the micro-scale. The main difficulty for developing a microstructure-based formulation of compaction and its integration in snow and firn models, is the present disagreement concerning the dominating mechanism(s) driving the mechanical deformation of ice the ice material at the micro-scale. While it is generally accepted that firn densification occurs through creep in the ice matrix, several types of creep have been proposed in the literature. For instance, Arthern et al. (2010) assumes the ice to deform according to a Nabarro-Herring creep, where deformation occurs through vacancy diffusion in the crystals, while Barnola et al. (1991) or Salamatin et al. (2009) assume a power-law creep law that originates from dislocation creep. For snow, the mechanism of deformation remains even more elusive. Some studies support the idea that the ice in snow deforms through a dislocation-creep mechanism (Kirchner et al., 2001; Scapozza and Bartelt, 2003; Wautier et al., 2017). This led to the appealing concept of snow as foam of (polycrystalline) ice (Kirchner et al., 2001). Other studies rather support the idea that the compaction of snow involves grain boundary sliding (Alley, 1987; Salamatin et al., 2009; Schultz et al., 2022).

This uncertainty is for instance reflected by the choice of Wautier et al. (2017) considering, who considered three different ice power-law rheologies with an ice fluidity about 1000 times higher than the usually reported value (e.g. in Chap. 3 of Cuffey and Paterson, 2010). Therefore, the most promising approach is to allow for flexibility in the material model when comparing simulated densification rates with observations using diverse data sets.

The aim of this article is to study if the viscous compaction of snow and firn could be consistently simulated can be simulated consistently directly from the microstructure using a similar-common numerical approach. We use different microstructures (rounded snow, faceted snow, and dense firn) to assess the validity of this modeling approach over a broad range of possible microstructures. Snow and firn microstructures were obtained by  $\mu$ CT imaging of snow and firn cores from different sites in the Alps and Antarctica, where independent estimates of observed densification rates are available through complementary measurements also available. We employ and extend ElmerFEM as computational platform to make contact to established procedures the computational platform, as it is already established in the ice flow modelling community. In this setup, we investigate which viscous ice matrix rheology (variants of Glen's law) is able, or is not able to appropriately reproduce the observations of snow and firn compaction.

The manuscript is organized as follows. Section 2 presents the minimal theoretical background used throughout this work. Section 3 details the data and numerical simulations set-up that were used for the study, and Section 4 presents and discusses our results.

#### 2 Theoretical background

For In snowpack and firn models, it is still impossible to would be impossible to explicitly represent the 3D microstructure of a whole snowpack or firn columnexplicitly. Instead, these models rely on an upscaled (or volume averged) description where the snow/firn can be described as a homogeneous medium characterized by effective material properties (Torquato, 2002; Auriault et al., 2009) that are often referred to as *macroscopic* to emphasize the separation of scales from the characteristic (microscopic) length scales where the theoretical description is formulated. Accordingly, the compaction of snow and firn is described in terms of a macroscopic strain rate  $\dot{E}$  resulting from the macroscopic overburden stress  $\Sigma$ . For snow or firn modelling purposes it is thus required to derive macroscopic constitutive laws  $\dot{E}$  as purposes, a macroscopic constitutive law  $\dot{E} = f(\Sigma)$ , where is required. Here, f is a function that characterizes the mechanical response of snow/firn that depends on the snow/firn microstructure and other relevant variables, such as the temperature (Arnaud et al., 2000; Bartelt and Lehning, 2002; Vionnet et al., 2012). The aim of this section is to provide some general considerations on the functional form of f.

#### 2.1 Conservation laws

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We start from the equations governing the mechanical response at the micro-scale. As we are interested in the slow compaction of snow and firn over timescales of days to years, we resort to the common assumption that elastic stresses have been relaxed and that the ice in the microstructure is responding in a purely viscous fashion. This assumption is supported by the relaxation time scale of elastic stresses in snow, that is of the order of hours (Theile et al., 2011). Technically, this assumption allows to

90 describe the ice as an incompressible, (non-linear) viscous fluid and the deformation of the viscous ice matrix is then governed by the Stokes equations

$$\nabla \cdot \boldsymbol{\sigma}(\boldsymbol{x}) = 0 \quad \boldsymbol{x} \in \Omega_{\mathrm{i}} \tag{1}$$

$$\nabla \cdot \boldsymbol{u}(\boldsymbol{x}) = 0 \quad \boldsymbol{x} \in \Omega_{\mathrm{i}}$$

where x is the three dimensional position vector,  $\sigma(x)$  is the microscopic stress tensor field, u(x) is the microscopic deformation velocity field,  $\Omega_i$  is the three-dimensional domain that is occupied by the ice matrix, and  $\nabla \cdot$  denotes the divergence operator. The Stokes equations need to be complemented by a constitutive law that characterizes the rheology of the ice.

#### 2.2 Constitutive law

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The constitutive laws considered in this work are variants of Glen's law which involve a power-law non-linearity of the form

$$\dot{\boldsymbol{\epsilon}}(\boldsymbol{x}) = s_{\text{eq}}^{n-1} \boldsymbol{a}(\boldsymbol{x}, T) : \boldsymbol{s} . \tag{3}$$

Here  $\dot{\epsilon}(x)$  is the microscopic strain rate tensor, s is the deviatoric part of the microscopic stress tensor  $\sigma$ ,  $s_{\rm eq} = \sqrt{s_{ij}s_{ij}}$  is the equivalent deviatoric stress, n is a non-linearity exponent, and a is referred to as the fluidity. In the general case, the fluidity a is a fourth-order tensor that depends on temperature T and potentially on the position x in the microstructure, if e.g. the c-axis orientation is allowed to vary in space. The double product a:s yields a second-order tensor whose  $ij^{\rm th}$  component is  $\sum_{kl}a_{ijkl}s_{kl}$ . The tensorial nature of a is required to represent anisotropic materials, that deform preferentially in some directions (such as the ice mono-crystal, e.g. Meyssonnier and Philip, 1996; Gagliardini and Meyssonnier, 1999). In the case of an isotropic rheology, the fluidity a is a scalar a times the unit tensor (e.g. Schulson and Duval, 2009). The constitutive material law given by Eq. (3) corresponds to viscoplastic creep, where the deformation occurs through dislocation movement within the ice material.

With the constitutive law Eq. (3), it can be shown (Tsuda et al., 2010) (Auriault et al., 1992; Suquet, 1993; Orgéas et al., 2007; Tsuda et at that the macroscopic strain rate of a sample under compression in a snowpack or in a firn column can be expressed in the form

$$\dot{E} = A(\mu, T)\Sigma^n \tag{4}$$

where A is a scalar that does not depend on the overburden stress  $\Sigma$ , but depends on the snow/firn microstructure  $\mu$  and also potentially on the temperature T. Physically, A represents a form of fluidity, analogous to the fluidity tensor a at the microscopic scale. We also stress that the macroscopic non-linearity exponent n in Eq. (4) is the same as in the microscopic deformation law of Eq. (3). In snow sciences, the viscous compaction of snow and firm is traditionally expressed in the form

$$\dot{E} = \frac{\Sigma^n}{\eta} \tag{5}$$

where we refer to  $\eta = A^{-1}$  as the compactive viscosity (factor). Again,  $\eta$  does not depend on the magnitude of the loading  $\Sigma$ , but only on the microstructure and the temperature of the sample. We note that the compactive viscosity is sometimes defined by the ratio  $\Sigma/\dot{E}$  (e.g. Kojima, 1967; Wiese and Schneebeli, 2017) irrespective of the non-linearity of the constitutive law. While

only the latter definition of the compactive viscosity has also physical units of a viscosity (i.e. Pas), we do not follow this convention here as in this case the compactive viscosity is not an intrinsic (microstructure and temperature dependent) property of the snow/firn sample. It would also depend on the overburden stress Σ. Also, this study is limited to the investigation of vertical compaction in snowpacks/firn columns and does not consider other directions of deformation (e.g. lateral compaction). Therefore, we do not quantify the potential anisotropy of the compactive viscosity.

Since the microscopic constitutive law characterizes visco-plastic processes in the ice, the microscopic rheology depends on temperature. This temperature-dependence is inherited by the macroscopic compactive viscosity  $\eta$  (Kirchner et al., 2001). Typically, the fluidity a in the microscopic law involves an Arrenhius factor with an activation energy Q, which implies the same temperature-dependence

$$A(\mu, T) = A_0(\mu) \exp\left[\frac{Q}{R} \left(\frac{1}{T_0} - \frac{1}{T}\right)\right] \tag{6}$$

at the macroscopic scale. Here,  $A_0$  is a reference macroscopic fluidity that only depends on the microstructure, and  $T_0$  a reference temperature. Re-expressed in terms of compactive viscosity this implies

$$\eta(\mu, T) = \eta_0(\mu) \exp\left[\frac{-Q}{R} \left(\frac{1}{T_0} - \frac{1}{T}\right)\right] \tag{7}$$

where  $\eta_0 = 1/A_0$  is a reference compactive viscosity that only depends on the microstructure.

#### 2.3 Viscosity ratios

For the comparison of the macroscopic mechanical behavior of different microstructures, it is helpful to utilize viscosity ratios. For two snow/firn samples under the same mechanical load  $\Sigma$  at the same temperature, the ratio of their respective macroscopic strain rates  $\dot{E}_A$  and  $\dot{E}_B$  is given by

$$\frac{\dot{E}_A}{\dot{E}_B} = \frac{\eta_B}{\eta_A} = \frac{\eta_{0B}}{\eta_{0A}} \tag{8}$$

The ratio is independent of any scalar prefactor in the microscopic deformation law. Indeed, halving the fluidity a results in a doubling of both  $\eta_{0A}$  and  $\eta_{0B}$ , and thus their ratio remains unchanged. Viscosity ratios are an interesting metric to test the applicability of different ice rheologies to snow/firn modelling, as they remove the influence of a potentially poorly constrained scalar prefactor in the microscopic deformation law, leaving only the non-linearity exponent n and space dependence of the rheology as relevant parameters.

#### 3 Data and Methods

#### 145 3.1 Benchmark densification rates and $\mu$ CT images

In order to compare our simulations with independent estimates, we selected different Alpine and Antarctic field campaigns with available  $\mu$ CT data and complementary measurements that can be used to constrain observed densification rates. These estimates are used for the comparison.

#### 3.1.1 Alpine snow

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For Alpine snow, we rely on the RHOSSA campaign (Calonne et al., 2020). This extensive data set provides daily density profiles of a snowpack over the 2015-2016 snow season at the Weissfluhjoch observation site in the Swiss Alps. Four snow layers have been carefully tracked and measured with several instruments over the entire season, including a RG-Rounded Grains (RG) snow layer and a DH-Depth Hoar (DH) snow layer (following the classification of Fierz et al., 2009). From these data, the observed, macroscopic strain rate of a given layer can be estimated from

$$155 \quad \dot{E} = \frac{\dot{\rho}}{\rho} \tag{9}$$

where  $\rho$  and  $\dot{\rho}$  are the density of a layer and its time derivative, respectively. By convention, the strain rate is positive in the case of compaction. The relevant overburden stress  $\Sigma$  imposed on a given layer can be obtained by integrating the density profile above that layer to the snow surface

$$\Sigma = \int_{\text{layer}}^{\text{surface}} dz \, g \rho(z) \tag{10}$$

where  $\rho(z)$  is the density at height z in the snowpack, and g is the gravity acceleration acceleration due to gravity.

The density time series of the layers were obtained on a daily basis using a snow penetrometer (SnowMicroPen; Calonne et al., 2020). The resulting data includes include an apparent day-to-day variability that stems from spatial variability, resulting in a strongly fluctuating strain rate estimate when using Eq. (9) directly. This variability can cause an apparent decrease in density from one day to the other, which would be interpreted as a negative strain rate. To avoid such issues, the time evolution of the tracked snow layers was smoothed by visually selecting tie-points in the profiles, in order to reconstruct a piece-wise linear and strictly increasing density time series. In order to include estimate the uncertainty of this method, a total of 25 time series were manually created for both the DH and RG layers. Their median values were taken as the observed strain rate of the layer and used to deduce the compactive viscosity. The upper and lower bounds of this procedure were used to characterize the spread of this method.

The density time series of the tracked layers in the RHOSSA campaign was regularly validated by  $\mu$ CT measurements, however at a much lower temporal resolution. Therefore the snow was regularly sampled and the microstructure was obtained with  $\mu$ CT at a resolution of 18  $\mu$ m (Calonne et al., 2020). For our study, we selected  $\mu$ CT scans from the DH and RG layers, on the 13/01/2016 and 16/02/2016. This selection was motivated by the fact that on the 13/01/2016 the RG and DH samples have a similar density but different compaction rates, while on the 16/02/2016 the two snow layers have a similar compaction rate but different densities. Moreover, the DH and RG layer in the RHOSSA snowpack were almost adjacent, and therefore subject to a similar overburden stress and a similar temperature of about -3 °C. Differences between these two layers thus cannot be explained by a difference in stress or temperature, but should rather reflect a difference in their intrinsic mechanical properties.

These RHOSSA layers provide an ideal benchmark for simulations, which should be able to predict that DH snow tends to be much more resistant to compaction than RG snow (Kojima, 1967, 1975), an important feature of snow mechanics that models need to account for (Vionnet et al., 2012).

#### 3.1.2 Antarctic firm

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For the estimation of firn compaction rates with simultaneous microstructure measurements, we rely on an Antarctic field campaign. Our data originate from the B34 and B54 ice cores, both drilled on the East Antarctic plateau. The B34 core was drilled at Kohnen Station, a site characterized by a  $10 \,\mathrm{m}$  borehole temperature of  $-44.5\,^{\circ}C$  (Weinhart et al., 2020), and the B54 core was drilled near the OIR camp (displayed in Fig. 1 of Weinhart et al., 2020), a site with a measured  $10 \,\mathrm{m}$  borehole temperature of  $-53\,^{\circ}C$ .

For each firn core, a bulk density versus depth and an ice-age versus depth profile profile were produced based on firn core weighting and temporal synchronization with other cores. Assuming that the firn density profile is in represents a steady-state, the compaction rate of the firn column at a given depth can be estimated by

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$$\dot{E} = \frac{1}{\rho} \frac{d\rho}{dAge} \frac{d\rho}{d\tau}.$$
 (11)

Here  $\frac{d\rho}{d\text{Age}} \frac{d\rho}{d\tau}$  is the derivative of the density profile with respect to the age profile. To obtain the density versus age profile, we combine the density-depth and the age-depth profiles. Similarly to As in the case of the Alpine snowpack, the overburden stress  $\Sigma$  for a layer at a given depth is calculated from the integration of the density of the overlying firn column.

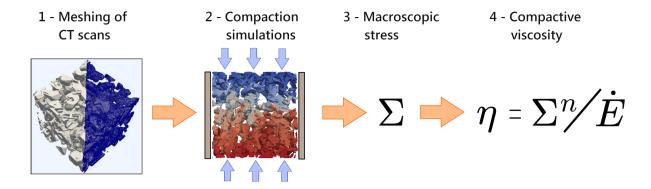
As the density profiles were obtained by weighting weighing 1 m long cores, they do not resolve layer-to-layer variability and the derived strain rate can already be regarded as an averaged (smoothed) bulk value. The strain rate of an individual layer in a 1 m core might still differ from this bulk value if its mechanical and microstructural properties differ from the average ones in the core.

While the firn density profiles are therefore sufficiently smooth and do not include any decrease of density with depth (which would be interpreted as expansion in Eq. 11), the deduced strain-rate profiles are still fluctuating still fluctuate, resulting in large and non-physical variations of the compactive viscosity at the meter scale. Consistent As with the Alpine case, we therefore smoothed the profiles by manually selecting tie-points to create a piece-wise linear profile from which the compactive viscosity is deduced. In order to characterize the uncertainty of the method, profiles corresponding to the outer envelopes of the strain rate profile were also created and used to derive upper and lower bonds for the strain-rate profiles.

Finally, these firn core data are complemented by  $36 \mu CT$  scans (4 from B34 with a  $40 \mu m$  resolution, 32 from B54 with a  $30 \mu m$  resolution), with ice volume fractions ranging from 0.428 to 0.933 (that is to say densities ranging from about 390 to  $860 \text{ kg m}^{-3}$ , assuming a density of ice of  $917 \text{ kg m}^{-3}$ ).

#### 3.2 Finite Element Modeling

The experimental observations of snow and firn compaction were complemented with simulations using the finite element method (FEM). The goal of these simulations is was to estimate the compaction rate of a sample based on its microstructure and a given microscopic ice rheology. Our simulation workflow, from  $\mu$ CT scanning to the estimation of the compactive viscosity, is schematically summarized in Fig. 1 and detailed below.



**Figure 1.** Simulation workflow used in this study, in order to estimate the compactive viscosity of a snow/firn sample: 1- Production of a tetrahedral mesh from the  $\mu$ CT images using CGAL, 2- Simulation of strain rate-imposed compaction using ElmerFEM (the color-scale stands for the vertical velocity), 3- Computation of the resulting macroscopic stress exerted at the top and bottom of the sample, and 4-Computation of the compactive viscosity of the microstructure.

#### 3.2.1 Image segmentation and mesh generation

The first step of our simulation workflow is to produce tetrahedral meshes representing the snow/firn microstructures. As detailed below, we performed simulations using both isotropic and anisotropic constitutive laws for the ice. Depending on whether a simulation is performed with an isotropic or an anisotropic material, the mesh generation process was slightly different.

For the isotropic ice simulations, each  $\mu$ CT image was first segmented into a binary voxel image of ice and air (as detailed in Calonne et al., 2020) which was used as input for the CGAL<sup>1</sup> meshing library (Fabri et al., 2000) in order to produce a tetrahedral mesh of the ice matrix. Disconnected parts of the mesh were removed by component labeling, in order to obtain a simply connected region for the ice microstructure. The last step is necessary as disconnected regions cannot carry mechanical loads and lead to an ill-defined mathematical problem in the finite element formulation.

As the goal of using an anisotropic ice material was to model snow microstructure as an ensemble of mono-crystals with a crystallographic texture, the  $\mu$ CT images were also segmented into individual ice crystals as in Theile and Schneebeli (2011), Hagenmuller et al. (2014), or Willibald et al. (2020). As  $\mu$ CT does not carry any information about the crystallographic orientation of the ice, this segmentation was done on a purely geometrical basis using a watersheding algorithm, following Willibald et al. (2020). The resulting segmented images for two RHOSSA samples are shown in the left column of Fig. 2. The segmentation appears to be realistic when compared to c-axis orientation measurements in snow from thin-sections (Riche et al., 2013, Fig. 3). We note that while such technique was not available for our study, the crystallographic orientation in snow could also be experimental determined through X-ray diffraction tomography (Roscoat et al., 2011; Reischig et al., 2013; Granger et al., 2021)

. The crystal-segmented  $\mu$ CT images were then meshed using the CGAL software and disconnected parts removed, following

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<sup>1</sup>https://www.cgal.org/

**Table 1.** Description of the alpine snow and Antarctic firm  $\mu$ -CT images used for finite elements simulations.

https://www.overleaf.com/project/64537e027d0b3cca12c87549

Sample(s)	Voxel size (μm)	Sample(s) size (cm <sup>3</sup> )	Ice Volume Fraction	Temperature (°C)
RHOSSA RG 13/01/2016	18	$1.08 \times 1.08 \times 1.08$	0.27	-3
RHOSSA DH 13/01/2016	18	$1.44 \times 1.44 \times 1.44$	0.28	-3
RHOSSA RG 16/02/2016	18	$1.44 \times 1.44 \times 1.08$	0.46	-3
RHOSSA DH 16/02/2016	18	$1.44 \times 1.44 \times 1.44$	0.30	-3
B34	40	$1.2 \times 1.2 \times 1.2$	0.43 to 0.93	-44.5
B54	30	$1.8 \times 1.8 \times 1.8$	0.60 to 0.80	-53

the same procedure as for the standard binarized  $\mu$ CT images. Visualisations of segmented 3D FEM meshes, composed of various individual ice crystals, are displayed in the right column of Fig. 2.

In each case, we used the full size of the  $\mu$ CT images in order to have as representative as possible volumes. Specifically, the size of the RHOSSA snow samples corresponds to 1.44x1.44x1.44 cm<sup>3</sup> cubes for both the DH samples and the RG sample of the 16/02/2016, and a 1.08x1.08x1.08 cm<sup>3</sup> cube for the RG sample of the 13/01/2016. The B34 samples correspond to 1.2x1.2x1.2 cm<sup>3</sup> cubes and the B54 samples to 1.8x1.8x1.8 cm<sup>3</sup> cubes. The samples used for FEM modeling and their characteristics are summarized in Table 1.

#### 3.2.2 Finite element solution

Once a tetrahedral mesh is obtained, the Stokes equations Eq. (1) are solved using the finite element method to estimate the compactive viscosity of a given microstructure for a given microscopic rheology, as outlined in Section 2. As we consider a purely viscous material without elasticity, we do not need to isolate the viscous response from an elastic part (as for instance done in Wautier et al., . The simulations were carried out with the ElmerFEM<sup>2</sup> software, regularly used in ice sheets sheet modeling. In this way, we can build on existing work in the context of ice flow that is typically done on larger scales (Gagliardini et al., 2013; Law et al., 2023).

As our meshes typically contain ten millions of elements, we used the ParStokes equation solver, that was specifically developed for solving the Stokes equations for a large number of elements on a parallel computer (used for instance in Schannwell et al., 2020). However, the ParStokes solver was originally developed for isotropic materials only. For the purpose of our study, we extended the ParStokes solver to cope also with a linear transverse isotropic material(see Appendix ??)anisotropic material, in order to emulate the behavior of ice monocrystals (Gagliardini and Meyssonnier, 1999; Burr et al., 2017). With this transverse isotropic rheology, shearing in the basal plane (For this implementation in ElmerFEM (or any other FEM framework)

<sup>&</sup>lt;sup>2</sup>http://www.csc.fi/elmer

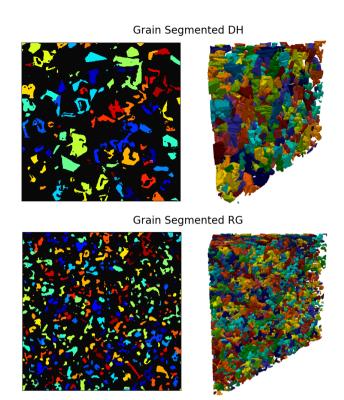


Figure 2. Geometrical segmentation of  $\mu$ CT images into individual grains (left) and the corresponding 3D meshes (right). Each grain is represented by a given color. Samples correspond to the DH and RG snow sampled on the day 13/01/2016 of the RHOSSA campaign.

of an anisotropic visco-plastic material, one needs to define the constitutive law that relates the deviatoric stress tensor s to the strain rate tensor  $\dot{\epsilon}$ . In the case of a linear and anisotropic rheology, this constitutive law can be applied thanks to a fourth-order viscosity tensor M, i.e.  $s=M:\dot{\epsilon}$ . While we do not consider this case in our study, one could also use a non-linear rheology. In this case, this constitutive law would need to be linearized around the current estimate of the solution, and this linearized law expressed through a fourth-order tensor. The solution would then be iteratively approached (typically through Picard or Newton iterations).

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To represent the deformation of a monocrystal, we used the constitutive law of Gagliardini and Meyssonnier (1999), given in Voigt notation in Eq. 7 of their article. This constitutive law represents a transverse isotropic ice material with its c-axis orientated towards the vertical. The viscosity while shearing in the plane perpendicular to the this c-axis of is assumed to only be a fraction of the viscosity while shearing in the planes containing the crystal) is set to be 100 times faster than shearing perpendicular to it (Burr et al., 2017)c-axis. In our case, we set this fraction to be 0.01 (following Burr et al., 2017). Note that in Gagliardini and Meyssonnier (1999) the constitutive law is expressed as the relation yielding  $\dot{\epsilon}$  as a function of s, i.e.  $\dot{\epsilon} = a : s$ , with a a fluidity tensor. For the implementation in ElmerFEM, such law needs to be inverted in order to have  $s = M : \dot{\epsilon}$ . Due

to the incompressibility of ice, the computation of M from a is not unique (Loredo and Klöcker, 1997). However, as the Voigt representation of the a tensor in Gagliardini and Meyssonnier (1999) is invertible, we can simply take the inverse as the tensor M. The tensor M obtained following the expression of Gagliardini and Meyssonnier (1999) is only valid for an ice crystal having its c-axis orientated vertically. In order to be applied in a 3D FEM simulation, this constitutive law needs to be rotated according to the actual orientation of the crystal. This is achieved through rotations matrices and using the co-latitude and longitude angles of the c-axes (Meyssonnier and Philip, 1996; Gagliardini and Meyssonnier, 1999).

For One of the particularities of the ParStokes solver used in this work is its use of block-preconditioning. Ideally, this block-preconditioner would be based on a Schur-complement (similarly to e.g. Worthen et al., 2014). However, as the computation of a Schur-complement can be costly, the isotropic version of the ParStokes solver approximates it as a FEM mass matrix divided by the element-wise scalar viscosity. However, in the case of anisotropy, the viscosity is not a scalar anymore. For the approximation of the Schur-complement in the anisotropic version of ParStokes, we instead used the first invariant of the viscosity tensor (Betten, 1982), normalized such that it equals the scalar viscosity in the limiting case of an isotropic rheology.

Finally, for solving the linearized FEM equations, we relied on the BiCGSTAB or the GMRES iterative methods, and convergence was assumed when the relative residual of the system reached at least  $5 \times 10^{-5}$  (some simulations reached a lower convergence criterion, but others showed quite slow convergence rates after passing  $1 \times 10^{-4}$  which prevented reaching smaller criteria). For non-linear problems, the non-linear iterations were considered to have converged when the relative difference between two consecutive iterations was smaller than  $1 \times 10^{-4}$ .

#### 3.2.3 Boundary conditions

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For the simulations, boundary conditions need to be prescribed on the sides of the snow/firn sample. For the compression of snow and firn samples, we used the so-called Periodicity compatible Mixed Uniform Boundary Conditions (PMUBC; Pahr and Zysset, 2008). The vertical strain rate is imposed by prescribing the top and bottom vertical velocities, while a vanishing normal velocity is imposed on the sides. The advantage of such boundary conditions is twofold. First, these conditions mimic the natural, laterally constrained situation during compaction in snowpacks and firn columns. Second, these boundary conditions require the smallest volumes to achieve a representative behavior (Pahr and Zysset, 2008). Finally, we note that in the anisotropic simulations, the condition at the interface between monocrystals is simply characterized by displacement rates continuity.

Once the simulation has completed, the total reaction forces acting on the top and bottom faces are calculated. The macroscopic overburden stress is computed as the resulting average force divided by the sample surface area. Finally, the compactive viscosity  $\eta$  of the sample is obtained as the ratio between the macroscopic stress, with the appropriate non-linearity exponent, and the prescribed macroscopic strain rate, as given by Eq. (5).

#### 3.3 Testing the finite element setup

To provide some confidence in the correctness of the finite element setup, we conducted two numerical test experiments.

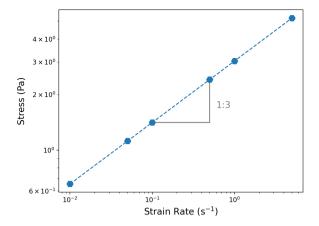


Figure 3. Stress versus Strain rate curve characterizing the response of a firm microstructure composed of isotropic ice under compression (and assuming a fluidity prefactor a = 1Pa<sup>-3</sup> s<sup>-1</sup>). The macroscopic response follows a power-law with the same exponent as the ice material, here with n = 3. A cut-view of the microstructure, a cube with a hollow sphere inside, is displayed in the top left corner. The blue-to-red color scale indicates the vertical velocity field.

First, we verified that the macroscopic response of a microstructure with the microscopic constitutive law Eq. (3) follows the same power-law with the same stress-exponent n. To this end we used a simple, spherical inclusion microstructure consisting of a cube with a hollow sphere in its center (displayed in the top left corner of Fig. ??) the microstructure obtained from one of B34 firn samples. The deformation law chosen for the constituting material is an isotropic power-law with n = 3 and a fluidity pre-factor a = 1Pa<sup>-3</sup> s<sup>-3</sup>. Using our finite element framework, the microstructure was deformed with different imposed macroscopic strain rates, and the macroscopic stress was obtained from the output of the simulations. The results are shown in Fig. ?? 3 and confirm that the macroscopic strain rate versus stress curve indeed follows a power-law with n = 3, as predicted by Eq. 5. The corresponding compactive viscosity of this simple microstructure can be evaluated to n = 85.1 as n = 28.1 Pa<sup>3</sup> s (and this value of course depends on the specific choice of the non-linear exponent n and of the fluidity pre-factor a).

Second, we tested the anisotropic behavior of the implemented transverse isotropic constitutive law which is supposed to represent the rheology of an ice mono-crystal. To this end, we performed simulations of the compression of cylinder composed of a single mono-crystal with flatten-flattened top and bottom which is referred to as the flattened Brazilian test (Wu et al., 2018). For the boundary conditions, the bottom boundary of the sample is fixed while a constant vertical velocity is imposed at the top. The results of the simulations are displayed in Fig. 4, and show the appearance of the well-known shear band when the crystallographic orientation is tilted compared to the direction of compression. While the precise position and orientation of this shear band are non-trivial and depend on the geometry of the sample (notably through stress concentrations developping near corners, as visible in e.g. Fig. 8 of Patel and Martin, 2018), such a shear band is characteristic for an anisotropic material and does not appear in the isotropic case. Moreover, the resulting macroscopic stress required to obtain the same imposed

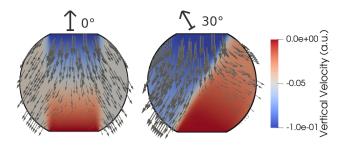


Figure 4. Compressive response of a flattened cylinder composed of a transverse isotropic material, depending on the orientation of the crystal axis ( $0^{\circ}$  and  $30^{\circ}$  compared to the vertical). The small gray arrows indicate the velocity of the ice material, and the color-scale indicates the vertical component of the velocity field.

macroscopic strain rate is about 23 times smaller in the case of a tilted c-axis, showing the softening of the material depending on the respective orientation between the compression and the crystal.

#### 4 Results and Discussion

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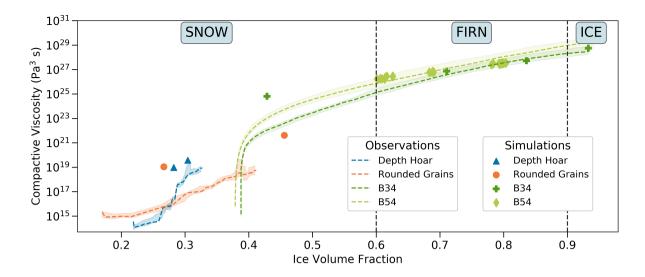
We start with the simplest rheology for the ice matrix commonly used in literature, namely Glen's law for isotropic, polycrystalline ice.

#### 4.1 Firn is a foam of polycrystalline ice - snow is not.

Several works in literature have proposed that the deformation of the ice matrix in snow and firm is similar to the deformation of isotropic polycrystalline ice, i.e. glacier ice. This idea dates back to Mellor and Smith (1966), where the deformation of snow was experimentally studied alongside polycrystalline ice to unravel the similarities between the two. This approach has been supported by subsequent work of by Kirchner et al. (2001), who concluded that the viscous compaction of snow has the same non-linear properties as polycrystalline ice. In this respect, snow is viewed as a "foam of ice" (Kirchner et al., 2001), or more precisely a foam of polycrystalline ice. The viscoplastic deformation of polycrystalline ice is nowadays reasonably well understood and usually east into described by Glen's lawfor, an isotropic power-law rheology with n=3 and tabulated known fluidity values depending on the temperature of the ice (Schulson and Duval, 2009; Cuffey and Paterson, 2010). As the benchmark densification rates for snow and firm were obtained with temperatures around -3, -44.5, and -53 °C, the corresponding ice fluidity fluidities are as  $1.7 \times 10^{-24}$ ,  $5.2 \times 10^{-27}$ , and  $1.4 \times 10^{-27}$  Pa $^{-3}$  s $^{-1}$  respectively.

Our first attempt was thus to simulate the deformation of snow and firn assuming Glen's law as the rheology for the ice matrix. Fig. 5 shows the comparison between the compactive viscosities obtained with FEM simulations and those derived from the experimental data.

Concerning firm (ice volume fraction above 0.6), the Figure shows a general agreement, despite a cluster of simulated B54 samples that appear not viscous enough. Our interpretation is that since all these samples were taken at a similar location in



**Figure 5.** Comparison between simulated and observed compactive viscosities of snow and firn samples, assuming Glen's law for the ice rheology. The Depth Hoar and Rounded Grains samples and data are taken from the RHOSSA campaign, and the B34 and B54 ones from the firn cores. The ice volume fractions of the simulated points are computed based on the CT scans. The shaded areas correspond to the uncertainties in deriving the compactive viscosity values, as described in Section 3.1

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the firn, this very location in the firn column may not be representative for the bulk and steady-state firn column due to the vertical variability existing in firn (Hörhold et al., 2011; Fourteau et al., 2019). Concerning snow (ice volume fraction below 0.6), Fig. 5 reveals a large overestimation of the compactive viscosities for all samples. This overestimation of the compactive viscosity when using Glen's law is consistent with the results of Theile et al. (2011), that—who reached a similar conclusion. The overestimation also exceeds the uncertainties in the observations that were computed as explained in Sec. 3 and shown as shaded areas in Fig. 5. Moreover, Fig. 6 shows that while the RHOSSA observations indicate that on the 13/01/2016 and 16/02/2016 the DH sample is, respectively, about 5 times and 1 times as viscous as the RG sample, our simulations using Glen's law predict a DH sample that is, respectively, 0.83 and 0.0093 times as viscous as the RG sample. Thus, not only is Glen's rheology largely overestimating the viscosity of snow, it also fails in explaining relative differences (viscosity ratios) and as to why DH is such a viscous snow type compared to RG at the same density, temperature, and overburden stress.

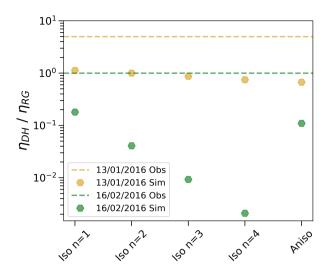
Our results confirm that Glen's law is appropriate to model firn compaction, but clearly another microscopic ice rheology is required to explain the viscous compaction of snow.

#### 4.2 Sensitivity study of isotropic rheologies

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The disagreement of Glen's (isotropic polycrystalline) law could be resolved in a pragmatic but physically unjustified way, by artificially increasing the fluidity a in Glen's law. Such a rheology could still be an interesting trade-off between physical



**Figure 6.** Comparison of simulated compactive viscosity ratios (DH over RG, markers) with observations (dashed lines) from 13/01/2016 and 16/02/2016 for different versions of the micro-scale constitutive law (x-axis).

rigor and practical considerations, as long as the obtained simulated compactive viscosities reasonably reproduce experimental observations. For instance, by using an ice rheology with adjusted parameters, different from Glen's law, Wautier et al. (2017) was able to simulate compaction rates that are much more in line with experimental observations. However, such a fudge-factor would still be in contradiction with our results: As as explained in Section 2, the viscosity ratio between samples is independent of any potential (unjustified) modification of the ice fluidity a. In other words, while modifying the fluidity of the ice can be used to attenuate the general overestimation of the simulated snow viscosity, it would still fail in explaining why the simulated compactive viscosity of rounded grains is (relatively) too high when compared to DH snow Fig. 5. Since this relative difference between RG and DH is a prominent feature in the densification of snow, we conclude that isotropic power-law rheologies with n=3 are not suited for snow modeling.

Detailed snow models rather employ a Newtonian rheology (n = 1) instead (Bartelt and Lehning, 2002; Vionnet et al., 2012). Macroscopic Newtonian rheologies are also used in low-density firn studies (Schultz et al., 2022). Though employing the same rheology on the micro-scale in our simulations cannot be directly motivated by an underlying physical argument, Therefore, exploring rheologies besides Glen's law, with related numerical experiments will comprehend, would benefit our understanding of homogenization in snow compaction. Accordingly, we conducted a sensitivity analysis on microscopic, isotropic constitutive laws using different exponents (n = 1, n = 2, and n = 4) as similarly done by Wautier et al. (2017). in our case) following Wautier et al. (2017). We note that while some of these rheologies could be justified based on mechanisms of ice deformation (such as the Nabarro-Herring creep resulting in n=1; Herring, 1950; Arthern et al., 2010), our analysis of  $n \neq 3$  was not conducted with specific physical mechanisms in mind. Rather, our motivation is to determine if an isotropic deformation law could explain snow compaction, independently of a specific underlying physical mechanism. For these simu-

lations, physically-constrained values for the scalar fluidity a of the ice rheologies are not available. Hence, comparing simulated and observed *absolute* values of compactive viscosities is not meaningful. We therefore focus here on viscosity ratios of DH and RG during the RHOSSA campaign, as this ratio is independent of the ice fluidity.

The results of our sensitivity study are shown in Fig. 6 and summarized in Table 2. Similar to Glen's law for both investigated days, and independent of the microscopic rheology, the simulations predict a viscosity ratio that is not in agreement with the observations. One may either consider this as RG snow being too viscous or DH snow being not viscous enough. Moreover, increasing the non-linearity exponent n leads to a decrease in the viscosity ratio moving farther away from the observations. We interpret this result through the differences in geometry between RG and DH. DH is characterized by smaller necks than RG. This leads to higher local stress concentrations in DH than in RG, which can be confirmed from the simulated stress distribution in the DH and RG samples. These higher stress concentrations result in higher local strain rates, which are exacerbated by the non-linear nature of the power-law rheology (for n > 1). This effect is particularly marked on the DH and RG samples from the 16/02/2016, as shown by the sharp decrease of the DH viscosity compared to that of RG as n increases. Thus, further increasing the value of n beyond n = 4 appears unlikely to explain the compactive behavior of DH and RG snow. The insight from this sensitivty study consolidates our conclusion that the viscous compaction of snow cannot be explained by assuming that the ice matrix deforms according to a simple isotropic power-law, might it be whether linear or non-linear.

#### 4.3 Snow as an ensemble of monocrystals

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The inability of Glen's (isotropic polycrystalline) law to explain snow viscous compaction is consistent with the fact that microstructural geometrical grains in snow are in fact mono-crystalline units (Riche et al., 2013). The mechanical behavior of polycrystalline ice arises from the collective behavior of neighboring mono-crystals blocking each other as their preferential directions of deformation are not compatible with one another. In contrast, the monocrystalline grains in snow have many free surfaces at the ice/pore interfaces, where the crystals are free to deform (Scapozza and Bartelt, 2003). Thus, the ice matrix composing snow can be expected to deform much more easily than polycrystalline ice. Note that this reasoning is also compatible with the applicability of Glen's law in firm. Here, due to the lower porosity, there are not so many interfaces with the pores, and individual ice-crystals tend to block one another, resulting in an ice rheology close to that of polycrystalline ice. There thus would be a transition in the ice matrix rheology from snow, characterized by freely-deforming mono-crystals, to firm, characterized by the interaction of incompatibly orientated crystals (i.e. polycrystalline ice), as the microstructure becomes denser and the crystals start blocking one another. This vision is consistent with experimental observations of the non-linear exponent n of snow and firm that shows a transition from  $n \sim 2$  to  $n \sim 3.5$  (Scapozza and Bartelt, 2003). Such a transition in behavior, which is driven by as assumed to be driven by a transition in density, is widely adopted (Alley, 1987; Arnaud et al., 2000; Salamatin et al., 2009; Morris et al., 2022).

Therefore, as a natural generalization of our work on snow compaction, we extended our study to an anisotropic rheology, in order to advance in the direction of representing the grains in snow as monocrystals. To this end, we conducted simulations using a transverse isotropic rheology (Meyssonnier and Philip, 1996; Gagliardini and Meyssonnier, 1999). In contrast to the isotropic rheologies used above, this anisotropic rheology introduces a novel feature at the micro-scale, namely anisotropic

deformations of grains, that shear much more easily in their basal plane than in other directions (Montagnat et al., 2014b). This idea of modelling snow as an ensemble of monocrystals has been previously proposed by Theile et al. (2011). They found that this rheology yielded smaller compactive viscosities, more in line with observed values. However, Theile et al. (2011) considered only RG microstructures on geometrically simplified meshes. Therefore, the relative comparison between DH and RG in terms of viscosity ratios using the full microstructure constitutes an important cross-validation of this finding related to the aim of the present work.

For the simulation, the ice crystals were randomly binned into 100 different crystallographic orientation classes, each with a given random c-axis orientation. The distribution of the c-axes orientation corresponds to an isotropic texture (i.e. with no preferential orientation for the c-axes). In order to simplify the comparison between the DH and RG snow simulations, the same c-axes distribution was used for snow samples taken from the same day. For the present purpose, we limited ourselves to the *linear* transverse isotropic rheology that has been proposed for ice (as in Gagliardini and Meyssonnier, 1999).

Results of the simulations for the anisotropic rheology are shown in Fig. 6 and Table 2. They confirm the same disagreement that was found with the simulations for the isotropic rheology: the viscosity ratio between DH and RG is too low. Comparing the viscosity ratios from the anisotropic model with their isotropic counterpart with the same exponent (n = 1) shows that the performance is even reduced, with a slight decrease of the viscosity of DH relative to RG. The use of a linear transverse isotropic rheology, meant to represent monocrystal behavior, cannot be considered more realistic. While we have only considered the linear anisotropic case here, it can be expected that a non-linear anisotropic material law (when using exactly the same grain segmentation) would further increase the difference to the observations, for the same reasons as detailed in the isotropic case.

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To illustrate the main differences between the anisotropic and the isotropic constitutive law, we computed the deviatoric stress field in the RHOSSA 13/01/2016 RG microstructure for both material laws. The distribution of the  $s_{33}$  component (i.e. vertical component) of the deviatoric tensor is displayed in Fig. 7 a. It reveals that overall the two deviatoric stress distributions are relatively similar, once normalized by the macroscopic stress. Only the tails of the distribution differ. Thus, while stress concentrations could be expected due to deformation incompatibilities of neighbouring crystals, they appear to be relatively limited. This is confirmed by Fig. 7 b, which displays the spatial field of the normalized  $s_{33}$  component within a slice of the RG microstructure. The anisotropic and isotropic cases show similar spatial patterns of stress concentrations, which appear to be dictated by ice matrix geometry rather than crystallographic effects. In our simulations, the stress pattern driving the deformation is only little affected by the use of an anisotropic material with crystallographic orientations. In the case of an isotropic crystallographic texture, where crystal orientations are not correlated with zones of stress concentration, the RG and DH can be expected to be impacted in a similar fashion by their random crystal orientation in zones of stress concentrations. Thus, the use of an anisotropic material with planes of preferential deformation modifies the compactive viscosity of a given

sample compared to the isotropic case, but the viscosity ratio between two samples remains relatively constant (cf. Fig. 6).

**Table 2.** Ratio between the DH and RG compactive viscosities from various ice rheologies.

Day	Isotropic $n = 1$	Isotropic $n=2$	Isotropic $n=3$	Isotropic $n=4$	Anisotropic	Observations
13/01/2016	1.13	1.0	0.87	0.75	0.67	~5
16/02/2016	0.18	0.041	0.0093	0.0021	0.11	~1

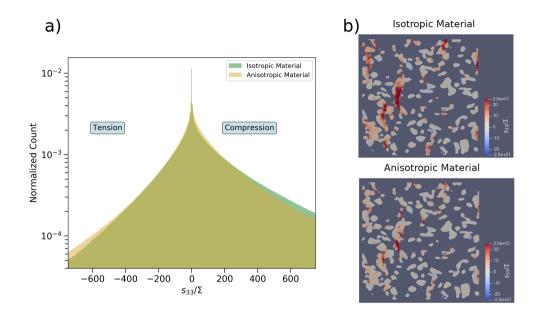


Figure 7. a): Distribution of the  $s_{33}$  component of the deviatoric tensor normalized by the macroscopic stress  $\Sigma$  in an RG microstructure, using either an isotropic or anisotropic constitutive law for the ice matrix. b): Field of the normalized  $s_{33}$  component of the deviatoric tensor in a RG microstructure, using either an isotropic or anisotropic constitutive law for the ice matrix. Red colors stand for compressive zones and blue colors for tensile zones.

#### 4.4 Perspectives for the viscous compaction of snow and firn

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By considering the viscous compaction of snow and firn in the same computational, microstructure-based framework, we were able to support and contradict underlying mechanisms that were previously hypothesized in the snow and firn literature.

The overall agreement of the isotropic simulations with observed firn densification rates using a published fluidity for the actual firn temperature, supports the notion of firn as a foam of (isotropic, polycrystalline) ice (Kirchner et al., 2001) where the deformation stems from (intra-crystalline) dislocation creep (Schulson and Duval, 2009). We note that in our study, the rheology for polycrystalline ice was taken from Cuffey and Paterson (2010), which reports a non-linear exponent n=3. However, the recent experimental study of Li and Baker (2022) on firn compaction rather reports. The non-linear exponent of firn has recently been experimentally studied by Li and Baker (2022), who rather report a non-linear exponent of  $n \sim 4$ . This value is consistent with the laboratory experiments of Sundu et al. (2024) that report  $n \sim 4.4$  for large-grained snow.

As noted by Li and Baker (2022), a non-linear exponent closer to 4 is also compatible with observations of polycrystalline ice (e.g. Goldsby and Kohlstedt, 2001; Bons et al., 2018). While the question of the best choice for n remains open, these inconsistencies in the specific n value do not contradict our result that firn compaction can be adequately simulated using an ice rheology adjusted based on polycrystalline ice observations. Remaining differences between simulations and firn core observations could be further explored if microstructure profiles were continuously available at high vertical resolution, similar to (Montagnat et al., 2020a). Thereby, the separation of In this way, the difference in scales between observations (strain rates averaged over one meter) and simulations (cm-sized samples) and the associated uncertainty could be further narrowed down.

In contrast, the notion of snow as a foam of ice following the same creep mechanism as high density firn is clearly ruled out by our study. While this result seems trivial in view of the number of studies highlighting the difference between snow and firn (e.g. Herron and Langway, 1980; Arnaud et al., 2000; Morris et al., 2022) we stress that these differences were hitherto never explored by microstructure-based simulations.

Our results for snow re-initiate the question about the dominant deformation mechanisms. By using viscosity ratios (cf. Fig.6), we were able to show that DH and RG snow cannot be consistently modelled by using the same micro-scale constitutive law (isotropic or anisotropic), strongly suggesting a fundamental difference in the underlying physics. Viscosity ratios provide a complementary benchmark, since biases resulting from the numerics or the prefactor in the micro-scale constitutive law should cancel out.

On one hand, this raises the question of whether the agreement for the anisotropic rheology found in Theile et al. (2011) would still hold when including different snow types at the same temperature and relaxing the mesh simplification step in representing the microstructure. Likewise, exploring anisotropic rheologies should be extended further, for instance by including non-linearity effects (Schulson and Duval, 2009; Montagnat et al., 2014b) or a preferential orientation of the c-axes (Riche et al., 2013). Our extension of the ParStokes solver in ElmerFEM could provide an efficient modelling starting point in the direction of transverse-isotropic, viscous rheologies with a texture. Concurrent measurements of the texture are then unavoidable, either through snow thin-sections (Riche et al., 2013; Montagnat et al., 2020b) or through X-ray diffraction tomography (Roscoat et al., 2011; Reischig et al., 2013; Granger et al., 2021). Alternatively, micro-scale constitutive laws may be directly adopted from crystal plasticity, and simulated using dedicated numerical techniques based on Fast Fourier Transformation (Knezevic et al., 2009; Hure, 2019). A deeper understanding of the influence of the snow texture on its mechanical properties would enable the study of the interaction between structural and textural anisotropies.

On the other hand, our viscosity ratios also raise the question of whether the density (of snow or firn) is actually the relevant property that discerns between different deformation mechanisms (Alley, 1987; Morris et al., 2022). Our snow samples were selected to include cases (Fig. 5) with virtually the same density but clear differences in the observed densification rates. Consistent with this idea, the recent experimental study of Sundu et al. (2024) suggests that the transition in the ice matrix rheology (characterized in their work by a transition from  $n \sim 1.9$  to  $n \sim 4.4$ ) is better captured by grain-size than by density. While the comparison to observations (for snow and firn) is subject to the same uncertainty (separation of scales between cm-sized simulations and layer-averaged densification rates in the observations) care needs to be taken in assuming a density-driven transition to a different deformation mechanism, e.g. grain boundary sliding (GBS) (Raj and Ashby, 1971; Langdon,

2006). Such a GBS mechanism provides an alternative to the intra-crystalline deformation discussed so far (Theile et al., 2011). Indeed, it is often assumed that at low density the deformation of snow occurs through localized stress relaxation at the junctions between grains (Alley, 1987; Salamatin et al., 2009; Schultz et al., 2022). However, the implementation of such a deformation mechanism in FEM, and an extension of the sensitivity analysis Fig. 6 to fundamentally different forms of the micro-scale constitutive law Eq. 3, is not straightforward. Standard FEM techniques are not suited for localized, discontinuous deformations. Simulations of grain boundary sliding at the micro-scale would require more complex numerical methods, such as the Extended Finite Elements Method (Khoei, 2014). This method has been developed to account for discontinuities and has been successfully applied to model grain boundary sliding in the past (Simone et al., 2006).

Finding the relevant drivers for transitions in the compactive viscosity is even complicated by recent experimental studies such as Wiese and Schneebeli (2017). This work found an immediate increase of the compactive viscosity during temperature gradient metamorphism (compared to isothermal samples), despite the absence of strong differences in structural parameters such as density, SSA, or structural anisotropy. We believe that this kind of studies constitute a good experimental direction to identify active, microscopic deformation mechanism(s), and should be able to explain why very small microstructural differences can lead to large compactive viscosity differences. Moreover, the acquisition of  $\mu$ CT images during the controlled deformation of snow in the laboratory could help to identify the microscopic mechanism(s) at play during deformation and be a guide to select the appropriate physics for microstructure-based simulations.

Besides snow compaction, the simulations using a transverse isotropic rheology showcased that the ability of ElmerFEM's ParStokes solver to handle highly-parallelized simulations (as in Schannwell et al., 2020) can be extended to account for complex anisotropic rheologies. Such a possibility could for instance be useful for large-scale ice sheet modeling, where the ice material can present an anisotropic behavior due to texture development (e.g. Gillet-Chaulet et al., 2006; Montagnat et al., 2014a). As mentioned in the Appendix ?? Section 3.2.2, the ParStokes solver relies on an approximation of a Schur-complement for block-preconditioning. A path of future development for the ParStokes solver, and its ability to robustly handle anisotropic materials, could be to derive a better approximation of the Schur-complement, which could improve the block-preconditioning stage.

#### 5 Conclusions

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Modelling the viscous densification of snow and firn directly from the microstructure of samples constitutes an important step towards replacing empirical parametrizations in models with physics-based laws. These computationally demanding, microstructure-based simulations can now be conveniently carried out with the required accuracy (mesh representation) using parallel computing. A holistic snow and firn densification picture is still hampered though by the limited insight into the microscale rheology of the ice matrix. This study explored several rheologies in microstructures taken from field campaigns and compared them to independent estimates. Using firn cores drilled in East Antarctica, our simulations largely confirmed that the ice matrix deforms according to an isotropic polycrystalline rheology, as classically used to model glacier or ice sheet ice. For snow, none of the tested rheologies (isotropic vs anisotropic, linear vs non-linear) is able to quantitatively predict the

large viscosity ratio between depth hoar and rounded grains, which is a critical requirement in snowpack modelling. Future (experimental and numerical) work is urgently needed to further constrain the form and the parameters in the micro-scale constitutive law of ice in snow.

#### 6 Implementation of an anisotropic rheology in ElmerFEM

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For the implementation in ElmerFEM (or any other FEM framework) of an anisotropic ice rheology, one needs to define the constitutive law that relates the deviatoric stress tensor s to the strain rate tensor ċ. In the case of a linear and anisotropic rheology, this constitutive law can be applied thanks to a fourth-order viscosity tensor M, i.e. s = M : ċ. While we do not consider this case in our study, one could also use a non-linear rheology. For this purpose, this constitutive law would need to be linearized around the current estimate of the solution, and this linearized law expressed through a fourth-order tensor. The
solution would then be iteratively approached (typically through Picard or Newton iterations).

To represent the deformation of a monocrystal, we used the constitutive law of Gagliardini and Meyssonnier (1999), given in Voigt notation in Eq. 7 of their article. This constitutive law represents a transverse isotropic ice material with its c-axis orientated towards the vertical. The viscosity while shearing in the plane perpendicular to this c-axis is assumed to only be a fraction of the viscosity while shearing in the planes containing the c-axis. In our case, we set this fraction to be 0.01 (following Burr et al., 2017). Note that in Gagliardini and Meyssonnier (1999) the constitutive law is expressed as the relation yielding  $\dot{\epsilon}$  as a function of s, i.e.  $\dot{\epsilon} = a : s$ , with a a fluidity tensor. For the implementation in ElmerFEM, such law needs to be inverted in order to have  $s = M : \dot{\epsilon}$ . Due to the incompressibility of ice, the computation of M from a is not unique (Loredo and Klöcker, 1997). However, as the Voigt representation of the a tensor in Gagliardini and Meyssonnier (1999) is invertible, we can simply take the inverse as the tensor M.

The tensor *M* obtained following the expression of Gagliardini and Meyssonnier (1999) is only valid for an ice crystal having its c-axis orientated vertically. In order to be applied in a 3D FEM simulation, this constitutive law needs to be rotated according to the actual orientation of the crystal. This is achieved through rotations matrices and using the co-latitude and longitude angles of the c-axes (Meyssonnier and Philip, 1996; Gagliardini and Meyssonnier, 1999).

Finally, one of the particularities of the ParStokes Solver used in this work is its use of block-preconditioning. Ideally, this block-preconditioner would be based on a Schur-complement (similarly to e.g. Worthen et al., 2014). However, as the computation of a Schur-complement can be costly, the isotropic version of the ParStokes solver approximates it as a FEM mass matrix divided by the element-wise scalar viscosity. However, in the case of anisotropy, the viscosity is not a scalar anymore. For the approximation of the Schur-complement in the anisotropic version of ParStokes, we instead used the first invariant of the viscosity tensor (Betten, 1982), normalized such that it equals the scalar viscosity in the limiting case of an isotropic rheology.

Code and data availability. The code used to run the FEM simulations will be provided upon direct request to the corresponding author. The RHOSSA data are available at https://www.envidat.ch/dataset/wfj\_rhossa (doi:10.16904/envidat.151).

Author contributions. The research subject was designed by HL with inputs from KF. HL received the funding. The research was performed by KF with the help of HL. MM contributed to the implementation of the FEM solver used in this work, and its extension to anisotropic materials. Firn core data were obtained by JF. The manuscript was written by KF and HL with inputs from JF and MM.

Competing interests. The authors declare having no competing interests.

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690

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