



# Atmospheric CO<sub>2</sub> inversion reveals the Amazon as a minor carbon source caused by fire emissions, with forest uptake offsetting about half of these emissions

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**Abstract.** Tropical forests such as the Amazonian rainforests play an important role for climate, are large carbon stores and are a treasure of biodiversity. Amazonian forests are being exposed to large scale deforestation and degradation for many  
20 decades which declined between 2005 and 2012 but more recently has again increased with similar rates as in the 2007/2008. The resulting forest fragments are exposed to substantially elevated temperatures in an already warming world. These changes are expected to affect the forests and an important diagnostic of their health and sensitivity to climate variation is their carbon balance. In a recent study based on CO<sub>2</sub> atmospheric vertical profile observations between 2010 and 2018, and an air column budgeting technique to estimate fluxes, we reported the Amazon region as a carbon source to the atmosphere, mainly due to  
25 fire emissions. Instead of an air column budgeting technique, we use here an inverse of the global atmospheric transport model, TOMCAT, to assimilate CO<sub>2</sub> observations from Amazon vertical profiles and global flask measurements. We thus estimate inter- and intra-annual variability in the carbon fluxes, trends over time and controls for the period 2010-2018. This represents the longest Bayesian inversion of these atmospheric CO<sub>2</sub> profile observations to date. Our analyses indicate that the Amazon is a small net source of carbon to the atmosphere (mean 2010-2018 =  $0.13 \pm 0.17$  PgC y<sup>-1</sup>, where 0.17 is the 1- $\sigma$  uncertainty),  
30 with the majority of the emissions coming from the eastern region (77% of total Amazon emission). Fire is the primary driver of the Amazonian source ( $0.26 \pm 0.13$  PgC y<sup>-1</sup>), however the forest uptake likely removes around half of the fire emissions to the atmosphere ( $-0.13 \pm 0.20$  PgC y<sup>-1</sup>). The largest net carbon sink was observed in the western-central Amazon region (72%



of the fire emissions). We find larger carbon emissions during the extreme drought years (such as 2010, 2015 and 2016), correlated with increases in temperature, cumulative water deficit and burned area. Despite the increase in total carbon emissions during drought years, we do not observe a significant trend over time in our carbon total, fire and net biome exchange estimates between 2010 and 2018. Our analysis thus cannot provide clear evidence for a weakening of the carbon uptake by Amazonian tropical forests.

## 1 Introduction

The uptake of carbon dioxide (CO<sub>2</sub>) by plants helps to mitigate global climate change. The land carbon sink is estimated to have offset 25% of all fossil-fuel emissions since 1960 (Friedlingstein et al., 2020). Tropical forests, like those in Amazon are the largest in the world and have been historically a major component of this land carbon sink. Measurements of aboveground biomass changes indicate an increase in Amazonian old growth forest biomass over time, summing to a total sink of 0.38 (0.28-0.49 95% C.I.) PgC y<sup>-1</sup> in the 2000s (Brienen et al., 2015). However, the Amazon carbon cycle is affected by both direct (deforestation and degradation) and indirect (climate change) anthropogenic forest disturbances, examples of the latter being a reduction in the uptake capacity during drought years (Phillips et al., 2009; Gatti et al., 2014; van der Laan-Luijkx et al., 2015; Alden et al., 2016). A decline in the Amazon carbon accumulation has been observed over 1983 to mid-2011, as a consequence of an increase in tree mortality throughout this period, possibly as a result of greater climate variability and feedbacks of faster growth on mortality, resulting in shortened tree longevity (Brienen et al., 2015).

Human-induced land use and cover change and fires are the main direct anthropogenic disturbances in the Amazon forest. Over the past 40 years the Amazon forest loss accounts for around 17% of its total area (MapBiomas, 2020). Forest fires are associated with a combination of human activities providing the ignition source, and climatic factors to create drier and hotter conditions (Ray et al., 2005). Tropical forests like those in Amazon are rarely susceptible to natural fires. In general, the forest fires observed in this region result from the leakage of fires from deforested areas to adjacent forests (Aragão et al., 2018). In addition, deforestation and selective logging promotes degradation of adjacent forests, increasing their vulnerability to fires, which could result in further degradation (Aragão et al., 2018). Silva et al. (2020) found that forest fires affect the Amazon forest carbon cycle for at least 30 years after the fires, with just 35% of this emission being compensated by cumulative CO<sub>2</sub> uptake of burned forests during this period.

As climate change continues extreme climate events across the Amazon region have become increasingly common (Gloor et al., 2013). Recently a warming trend in Amazonian annual mean temperature over the last 40 years was reported, where the eastern and mainly southeastern regions showed stronger trends than the global mean trend (Gatti et al., 2021). The largest increases in Amazon temperature were observed for the dry-season months, in addition to a decrease in precipitation of 17% during these months, strongly enhancing the contrast between the dry and wet seasons (Gatti et al., 2021; Haghtalab et al., 2020). The Amazon is estimated to have suffered a substantial carbon loss due to fires caused by the 2015/2016 El Niño drought and heat wave in eastern Amazon; long-term forest plot monitoring reveals that carbon losses remained elevated for



65 up to 3 years (Berenguer et al., 2021). These impacts could have been amplified by human disturbance, which means that human-modified forests may be more susceptible and sensitive to fires (Berenguer et al., 2021).

Recently Gatti et al. (2021) reported new top-down estimates of the Amazon carbon balance covering the period 2010-2018. The Amazonian carbon balance is of interest for two reasons: first to understand how tropical forest productivity and losses fit in the global carbon balance, specifically the substantial global land sink, and second as an indicator of Amazonian forest performance changes over time. Gatti et al. (2021) found a net carbon release to the atmosphere of  $0.29 \pm 0.40 \text{ PgC y}^{-1}$ , including  $0.41 \pm 0.05 \text{ PgC y}^{-1}$  of fire emissions. The net biome exchange (NBE, representing the balance between photosynthesis, respiration, decomposition and excluding fire) compensated for 31% of fire emissions from the atmosphere, yielding a small NBE sink for Amazonia of  $-0.12 \pm 0.40 \text{ PgC y}^{-1}$  (Gatti et al., 2021). In addition, Gatti et al. (2021) reported an east-west difference in total flux mainly related to fire emissions, but also highlight that the southeastern Amazon region acts as a net carbon source (total carbon flux minus fire emissions) to the atmosphere. The authors suggest that the historical land use change and the strong climate trends (the temperature increase and decrease in precipitation mainly during the dry season) observed in this southeast region may explain the positive NBE (i.e. a source of C to the atmosphere) in the southeast. A positive trend in NBE (i.e. an increasing source of C to the atmosphere) was observed in this region and was related to the annual mean temperature and soil water storage anomalies, suggesting that increasing temperatures and decreasing soil water availability have a significant impact on the vegetation carbon balance, at least in southeast Amazon (Gatti et al., 2021).

These estimates were based on nine years of lower-troposphere vertical  $\text{CO}_2$  and CO profile observations and an air column mass balance technique to estimate fluxes. In essence, the fluxes are estimated as the difference between site air column  $\text{CO}_2$  and air entering the Amazon on its path to the site divided by the air travel time from the coast to the site (Gatti et al., 2021; Miller et al., 2007). Estimates based on this approach have uncertainties. For example, we assume well mixed conditions during the sampling. As reported by the authors, also do not account for convective process that may result in losses of surface flux signal above the top of the profiles (typically 4.5 km a.s.l.) (Gatti et al., 2021). There are also uncertainties in the estimates of background air concentrations (as assumed that remote Atlantic marine boundary layer concentrations represent the partial column entering the coast; Domingues et al., 2020 and Gatti et al., 2021), and we also do not account for diurnal cycles in NBE that may impact the partial column mean  $\text{CO}_2$ .

90 In order to extract Amazonian surface flux information from the vertical profiles using an independent approach, we apply here a global three-dimensional (3-D) Eulerian offline chemical transport model, TOMCAT (Chipperfield, 2006) and its inverse model, INVICAT (Wilson et al., 2021) to atmospheric  $\text{CO}_2$  data. We estimate Amazonian surface fluxes between 2010 and 2018 using the  $\text{CO}_2$  observations from global surface monitoring sites (Lan et al., 2022) and lower-troposphere vertical profiles in Amazon (Gatti et al., 2021). As this 3-D transport model is global and simulates convective cloud transport processes, some of the uncertainties are reduced compared to the air column budgeting method. To the best of our knowledge the complete 2010-2018 Amazonian vertical profile dataset has not yet been used in 3-D atmospheric transport inversions. INVICAT uses a variational scheme, based on 4D-Var methods used in Numerical Weather Prediction (NWP) (e.g. Dimet and Talagrand, 1986), to minimize the difference between predicted and observed dry air mole fractions. Using this methodology



100 we quantify fluxes and analyze their seasonal patterns, inter-annual variability and trends for Amazon. We also estimate carbon emissions from fires using flux estimates from inverse modeling based on atmospheric carbon monoxide (CO) measured from space, and relate the carbon fluxes to climate controls. In Section 2 we describe the inverse modelling approach and describe the observations used, in Sections 3 and 4 we discuss our results and compare them with other Amazonian estimates, mainly with estimates using an air column mass balance technique. Finally, we summarize on the extent to which our results are in agreement with previous Amazon carbon fluxes estimates.

## 105 **2 Methods**

### **2.1 Observations**

We assimilate in-situ surface flask observations from global surface observation sites and Amazonian lower-troposphere vertical profiles of CO<sub>2</sub> into the TOMCAT inverse atmospheric transport model, for a nine-year period between 2010 and 2018.

#### 110 **2.1.1 Amazonian aircraft profiles**

We assimilated CO<sub>2</sub> observations from 590 lower-troposphere vertical profiles over five sites in Brazilian Amazon (SAN, 55.0° W, 2.9° S; TAB, 69.7° W, 6.0° S; ALF, 56.7° W, 8.9° S; RBA, 67.9° W, 9.3° S; TEF, 66.5° W 3.6° S; Figure 1). Air samples were collected approximately twice per month aboard light aircraft from 4.4 to 0.3 km a.s.l. using automatic samplers between 2010 and 2018 (see Gatti et al., 2021 for more details). All samples were collected between 12:00 and 13:00 local  
115 time, when the boundary layer is fully developed and most likely to be well mixed. Samples were measured for CO<sub>2</sub> and CO mole fraction with high accuracy and precision at the Greenhouse gas Laboratory at National Institute of Space Research (LaGEE/INPE), Brazil (Gatti et al., 2021, 2014). For the inversions we used the mean concentration of each vertical profile in the planetary boundary layer (PBL) level (below 1.5km a.s.l., levels with higher influence of the surface flux in the concentrations), and the vertical profile free troposphere mean (above 3.5km a.s.l., levels with lower influence of the surface  
120 flux in the concentrations, representing better the background concentrations). The vertical profile data used here are available at PANGAEA Data Archiving, at <https://doi.org/10.1594/PANGAEA.926834> (Gatti et al., 2021b).

Recently NOAA/GML have found that the CO<sub>2</sub> concentration is artificially reduced when air samples with high (> 1.7%) water vapor are pressurized in PFP flasks to 2.7 bar, as a result of condensation (Baier et al., 2020). The LaGEE system have some differences from NOAA system, and as reported by Gatti et al. (2022), a preliminary study using vertical profiles near  
125 Manaus (Amazonas state, Brazil) compared PFP samples measured for CO<sub>2</sub> at INPE/LAGEE to onboard measurements from a trace gas flight analyser largely immune to water effects (Picarro model G2401-m) and found depletions in PFP CO<sub>2</sub> similar to those from the Baier et al. (2020) study. They also report that this influence is likely greatest near the surface, as humidity increases towards lower altitudes, which means that true CO<sub>2</sub> in the lower half of the profiles may be higher than measured (Gatti et al., 2022), meaning that our current fluxes to the atmosphere presented here could be underestimated.



## 130 2.1.2 Surface flask observations

To estimate carbon fluxes, we also assimilated CO<sub>2</sub> global long-term surface data provided by the National Oceanic and Atmospheric Administration's / Global Monitoring Laboratory (NOAA/GML) (Lan et al., 2022) into the inverse model. A total of 73 monitoring site's data (available at [ftp://aftp.cmdl.noaa.gov/data/trace\\_gases/](ftp://aftp.cmdl.noaa.gov/data/trace_gases/)) were used, where air samples in flasks are collected weekly to biweekly (Figure 1, Table A1). These measurements have high accuracy (~0.2ppm) and most  
135 of the sites are located in the Northern Hemisphere. The tropical regions have few monitoring sites, which increases the uncertainties of regional estimates on this region, but here we reduce these uncertainties in Amazon with the inclusion of the lower-troposphere vertical profile data.

## 2.2 Model setup

### 2.2.1 Inverse model setup

140 To estimate the net carbon flux between Amazon and the atmosphere we use the inverse of the atmospheric transport model TOMCAT (Chipperfield, 2006). TOMCAT is a global 3-D Eulerian offline atmospheric chemistry and air constituent transport model, which has been previously used to estimate greenhouse gas emissions (e.g. Wilson et al., 2016, 2021 and Gloor et al., 2018). The INVICAT inversion framework (Wilson et al., 2014) used is based on the TOMCAT model and its adjoint. A detailed description of the TOMCAT model and the inverse method employed by INVICAT 4D-Var are presented in  
145 Chipperfield (2006) and Wilson et al. (2014), respectively.

The forward and adjoint model simulations were carried out at 5.6° x 5.6° horizontal resolution, with 60 vertical levels up to 0.1 hPa. The inversions were carried out for each year separately and each completed 50 minimisation iterations. In order to better constrain fluxes during the final months of each year, the inversion for each year was actually run for 16 months, from December of the previous year to the end of March for the following year, with the first one and the final three months being  
150 discarded from the results, and each inversion was initialized using 3-D fields provided from the correct date in the previous year. The model meteorology (including winds, temperature and pressure data) was taken from the European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim reanalysis (Dee et al., 2011).

For the assimilated observation data from both surface monitoring sites and the vertical profile sites, the model output was linearly interpolated to the correct longitude, latitude and altitude at the nearest model time step. In addition, uncorrelated  
155 random errors of 1 ppm were attributed to each observation. In addition, representation uncertainty for each observation was calculated online during the model simulation as the mean difference across the six model grid cells adjacent (2 in z, 2 in x, and 2 in y) to that containing the observation location. The random and representation errors were then combined in quadrature to provide the overall observation uncertainty.

In addition to atmospheric CO<sub>2</sub> mole fractions, a priori monthly mean flux values for each grid cell along with a diagonal error covariance matrix for these values were used as input for the inversion calculation. A priori grid cell uncertainties were  
160 assumed to be uncorrelated. The result of the inversion is an a posteriori estimate of monthly mean grid cell fluxes and an error



covariance matrix. Using TOMCAT, we ran forward a priori and a posteriori flux estimates to simulate atmospheric CO<sub>2</sub> air mole fractions. Here we will refer to the mean a priori and a posteriori fluxes and mole fractions as “prior fluxes”, “posterior fluxes”, “prior mole fractions” and “posterior mole fractions”, respectively. In our CO<sub>2</sub> inversion estimate fossil fuel flux was fixed and land-biosphere, ocean and fire emissions were optimized. Prior emissions are given grid cell uncertainties of 308% of the prior flux value to give a total global uncertainty based on the Global Carbon Project (Friedlingstein et al., 2020) of 1.7 PgC y<sup>-1</sup>, with a different uncertainty value attributed to land and ocean grid cells. The differentiation was based on assuming the Global Carbon Project (Friedlingstein et al., 2020) total uncertainty estimates of 1.1 and 0.6 PgC y<sup>-1</sup> for land and ocean global flux uncertainties, respectively.

To derive the uncertainties for the posterior emissions, we followed the approach described by Wilson et al. (2021), where estimates for each year’s posterior emission covariance error matrix using cost function gradient values were produced from the limited-memory Broyden–Fletcher–Goldfarb–Shanno algorithm (L-BFGS). We use this to minimize the cost function (Nocedal, 1980), based on the method suggested by Bousserez et al. (2015). Considering that this iterative method estimates the inverse of the Hessian (the second derivative) of the cost function and the off-diagonal elements of the posterior covariance matrix are not included, our posterior errors are likely to be lower limits (Bousserez et al., 2015).

### 2.2.2 Prior flux estimates

Prior flux estimates include three components and were taken from available bottom-up models and inventories. Fossil fuel emissions are taken from the CDIAC inventory (Boden et al., 1999) and vary each year up to 2016, after which they were scaled to Global Carbon Budget values obtained from Friedlingstein et al. (2020). For estimates of air–sea fluxes we used a combination of Takahashi et al. (2009) and Khatiwala et al. (2009), following the methodology described by Gloor et al. (2018), and they were scaled to the Global Carbon Budget values (Friedlingstein et al., 2020). For the monthly land-biosphere fluxes (net land gains or losses) we used an annually repeating and balanced land vegetation–atmosphere CO<sub>2</sub> flux from the CASA GFED4 (Carnegie–Ames–Stanford) land biosphere model (Potter et al., 1993; Randerson et al., 2018), an average climatology for 2003–2013. We did not change the land-biosphere prior annually because we preferred the inter-annual variations to be informed by the atmospheric observations. In CASA model, primary productivity is predicted using the relationship between greenness reflectance properties, the fraction of absorption of photosynthetically active radiation (fPAR) and a light utilization efficiency term, where the canopy greenness is measured using a Normalized Difference Vegetation Index (NDVI) that is computed from the ratio of visible and near-infrared radiation reflected from the canopy as detected by the AVHRR satellite sensor (Potter, 1999).

To evaluate the influence of the Amazon vertical profile data on flux estimates, we have also performed an inversion without the profile data, using only the NOAA surface data. The latter approach was shown previously to induce large biases in the estimated Amazonian fluxes, resulting from a lack of tropical constraints (van der Laan-Luijkx et al., 2015) and an overestimated tropical-NH dipole (Stephens et al., 2007). For simplicity, here we will call the posterior fluxes from the



inversion using the Amazon vertical profile data and the inversions without that data as “posterior total flux (with Amazon  
195 observations)” and “posterior total flux (without Amazon observations)”, respectively.

To evaluate the influence of the biosphere prior on flux estimates, we compare our inversions using the CASA model as land-  
biosphere prior flux with inversions using the CARbon DATA MOdel FraMework (CARDAMOM) as land-biosphere prior  
flux. CARDAMOM is a Bayesian calibration system that generates diagnostic estimates of the terrestrial C cycle (pools and  
fluxes) and relevant process parameters. CARDAMOM explores a parameter hyper-volume for a fast running intermediate  
200 complexity model, DALEC, and accepts parameter sets that generate model outputs consistent with observations and their  
uncertainty.

Before using CARDAMOM (Bloom et al., 2016) as prior to the inversion we performed a model–data fusion (MDF) analysis  
of South America at  $1^\circ \times 1^\circ$  spatial and monthly temporal resolutions between 2001 and 2017 (inclusive). Data used as inputs  
include time series information on leaf area index (LAI) magnitude and uncertainty, that is extracted from the  $1 \text{ km} \times 1 \text{ km}$  8  
205 d product from Copernicus Service Information (2020). Fire and forest biomass removal was imposed using earth observation  
information. The MODIS burned fraction product (Giglio et al., 2018) determines the areas where fire is imposed. Emissions  
are determined assuming a fraction of simulated biomass undergoes combustion or is converted to litter based on tissue-specific  
combustion-completeness factors, following Exbrayat et al. (2018). Forest biomass removal is imposed using the Global Forest  
Watch (GFW) forest cover loss product (Hansen et al., 2013). Meteorological drivers are drawn from the Climatic Research  
210 Unit and Japanese reanalysis (CRU-JRA) v1.1 dataset, a 6- hourly  $0.5^\circ \times 0.5^\circ$  reanalysis (University of East Anglia Climatic  
Research Unit and Harris, 2019). For more details see Smallman et al. (2021).

### 2.2.3 Estimation of carbon emissions from fires

To estimate the contribution of biomass burning emissions in Amazon, we estimated carbon fire emissions with INVICAT by  
assimilating total column carbon monoxide (CO) values from MOPITT radiometer data (V8) on the TERRA satellite (Deeter  
215 et al., 2019) globally. Recent studies by Zheng et al. (2019) and Naus et al. (2022) have shown that this approach to deriving  
fire emissions is complementary to surface remote-sensing based methods. Due to the high density of available observational  
data, we carried out this inversion at  $2.8^\circ \times 2.8^\circ$  horizontal resolution with 60 vertical levels up to 0.1 hPa. We used uncorrelated  
prior grid cell emission uncertainties of 450% to give a global annual uncertainty of 15%. The model was sampled at the  
longitude and latitude of each MOPITT retrieval, and the corresponding averaging kernels were applied to produce a model  
220 total column comparable to that of the satellite. For use in the inversion, we took an error-weighted average hourly mean of  
all retrievals within each grid cell, and applied to these uncorrelated observation uncertainties of 20% of the observed total  
column value added in quadrature to the supplied uncertainties. Averaging the observations within each grid cell reduces the  
need to apply observational error correlations. As prior fluxes we use fire emissions from GFED V4.1s (van der Werf et al.,  
2017), anthropogenic and oceanic emissions from CMIP6 (Hoesly et al., 2018) and direct biogenic emissions from CCMI  
225 (Morgenstern et al., 2017), as the secondary formation from isoprene, assumed to be instantaneous so applied as a surface flux.



For secondary formation from methane, monthly mean methane concentrations were taken from a previous TOMCAT-based methane inversion where the reaction with OH lead directly to CO (Wilson et al., 2021).

To estimate CO flux from fire, we remove the non-fire CO fluxes from the total CO flux we estimated, by multiplying the CO flux by the prior fire fraction of the total flux in that grid cell. Which means that is not possible to produce posterior fire emissions in cells which contain no prior fire emissions. Finally, we convert the CO fluxes to carbon fluxes by multiplying the CO fluxes with a biomass burning emission ratio of 16 ((ppm CO)/(ppm CO<sub>2</sub>)), based on the mean CO:CO<sub>2</sub> ratio of four Amazon sites estimated by vertical profile measurements by Gatti et al. (2021). Note that these fire CO<sub>2</sub> emissions were not used as a fixed prior in the CO<sub>2</sub> inversion: instead we subtracted these from the terrestrial non-fossil CO<sub>2</sub> flux estimated in the inversion to derive Net Biome Exchange (NBE) of the biosphere.

To evaluate our carbon fire emission estimate, we compare our CO<sub>2</sub> fire flux and NBE flux from our CO TOMCAT-based inversion with CO<sub>2</sub> fire flux estimates based on CO inversion estimates from Naus et al. (2022). For the comparison we used their posterior Amazon biomass burning inversion estimates based on CAMS Global fire assimilation system (GFAS v1.2, Kaiser et al., 2012) as a prior, with the optimized CO emissions assimilating MOPPIT data for the South America domain (for detailed information about the inversions see Naus et al., 2022). The TM5 model used for these inversions employed a nested grid over the Amazon region with horizontal resolution 1° × 1°, and 25 vertical levels. Fluxes were optimized on a 3-day basis, and fire emissions were emitted using vertical distributions from a fire emission model. It should be noted that NBE fluxes calculated based on TOMCAT total carbon fluxes and TM5 fire emissions might have large errors due to the many differences between the methodologies and transport schemes in the two models. We estimated NBE fluxes subtracting these CO<sub>2</sub> from fires from the total CO<sub>2</sub> flux estimated in our inversion. Note that CO<sub>2</sub> fire flux estimates based on Naus et al. (2022) inversions were done using CO:CO<sub>2</sub> ratios based on GFAS emission factors for each grid cell. Considering that estimates from Naus et al. (2022) were done between April to December and for a different Amazon area, for comparison we recalculated our CO<sub>2</sub> and CO TOMCAT-based inversions to the same area and time period (April-December over the nine years).

#### 2.2.4 Cumulative water deficit (CWD)

As an indicator of plant soil water stress we use climatic cumulated water deficit (CWD). CWD is a monthly soil water balance based on two simplifying assumptions: 0.1 m month<sup>-1</sup> evapotranspiration and that any excess water runs off. Thus

$$CWD_{i,j}(t) = \begin{cases} 0 & \text{if } CWD_{i,j}(t-1) + Precip(t) - 0.1 \text{ (m month}^{-1}\text{)} > 0 \\ CWD_{i,j}(t-1) + Precip(t) - 0.1 \text{ (m month}^{-1}\text{)} & \text{else} \end{cases} \quad (1)$$

where  $t$  is time (month) and  $i,j$  are grid cell indices. Furthermore, assuming that soil is fully recharged during the wettest month, CWD is reset to zero at the month of maximum precipitation, calculated separately for each grid cell as a climatic mean. From the monthly CWD maps, 'maximum climatic water deficit' is defined as the maximum over the 11-month period following the month with maximum precipitation. We use precipitation estimates provided by TRMM (version 7) (Tropical Rainfall mission, Huffman et al., 2001) which has a 0.25° latitude by longitude spatial resolution.





## 2.2.5 Temperature

260 For temperature analysis we used 2-m air temperatures from ERA-5 that are monthly means of daily means since 1959 (here used between 2010 and 2018) and with a resolution of  $0.25^\circ \times 0.25^\circ$  latitude–longitude, obtained from the ECMWF (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels-monthly-means?tab=overview>; Hersbach et al., 2020).

## 2.2.6 Solar radiation

265 For solar radiation we used the global monthly mean surface shortwave solar radiation downward flux under all-sky conditions, between 2010 and 2018, obtained from Clouds and the Earth’s Radiant Energy System (CERES-EBAF Ed4.1; <https://ceres-tool.larc.nasa.gov/ord-tool/jsp/EBAF41Selection.jsp>) at  $1^\circ$  resolution (Loeb et al., 2018; Kato et al., 2018).

## 2.2.7 Burned area

270 Burned area data was obtained from the Moderate Resolution Imaging Spectroradiometer (MODIS) Collection 6 MCD64A1 burned area product (Giglio et al., 2018). This collection provides monthly tiles of burned area with 500 m spatial resolution over the globe, and was resampled to  $1^\circ \times 1^\circ$  spatial resolution. The algorithm to estimate burned area uses several parameters from the Terra and Aqua satellite products, including daily active fire (MOD14A1 and Aqua MYD14A1), daily surface reflectance (MOD09GHK and MYD09GHK), and annual land cover (MCD12Q1) (Vermote et al., 2002; Justice et al., 2002; Friedl et al., 2010).

## 275 3 Results

### 3.1 Spatial distribution and seasonal pattern of Amazon carbon fluxes

To evaluate how well the inversion fitted the assimilated Amazon vertical profile data we compared the prior and posterior mole fractions with the observations (Figure 2) both for the mean observations from Amazon vertical profiles both below 1.5km and above 3.5km altitude. Estimated posteriori  $\text{CO}_2$  mole fractions have a similar magnitude and positive trend as seen  
280 in the observed, following the global increase in  $\text{CO}_2$  (not showed here). We observed a large improvement after the assimilation of observations in the model: the mean difference between estimated mole fraction and observations reduced 57% and 49% for the mean mole fractions below 1.5km and above 3.5km altitude, respectively (Figure 2 and Table A2). In addition to the improved agreement in the magnitude and seasonal pattern of the residuals, we also found higher correlations between the observations and the posterior mole fraction compared to the difference between observations and prior mole fractions  
285 (Figure 2).



In Figure 3 we display the 2010-2018 quarterly and annual mean prior total, posterior total and posterior fire carbon flux distributions in the Amazon region, to show the long-term flux distribution over this period. The nine-year mean prior flux distribution shows a source of carbon to the atmosphere during the first quarter of the year (January-March) in the west-central region, while a sink of carbon was calculated between July to December, mainly between July to September i.e. during the dry season. After assimilating the Amazon vertical profile data, the posterior fluxes had a different seasonal pattern, with a significant sink in the central Amazon during January and March and a source to the atmosphere in the western region. In addition, a carbon source to the atmosphere was estimated in the eastern Amazon from July to September, which is consistent with the nine-year mean carbon emissions from fires estimated in this region over this time based on the CO inversions using MOPITT data and with the drought period in Amazon region (Figure 3c and d).

Our data reveal distinct spatial and seasonal carbon flux patterns in the nine-year monthly means and a significant change in posterior fluxes when vertical profile data were assimilated in the model (linear regression between posterior flux with Amazon data and prior flux:  $r = 0.13$  and  $p = 0.16$ ). Posterior total fluxes obtained without assimilating the Amazon vertical profile data result in a similar seasonal pattern as the prior total flux (linear regression between posterior flux without Amazon data and prior flux:  $r = 0.66$  and  $p < 0.05$ ), mainly between January and March, showing the Amazon as a source of carbon to the atmosphere (Figure A1). This is in contrast with the posterior total flux estimates when the Amazon vertical profile data are assimilated in the inversions. The posterior total flux without the Amazon vertical profile data also shows an uptake of carbon during May and June similar to the prior total fluxes, but with a reduction in the magnitude of these fluxes, particularly in the eastern Amazon (Figures 3 and 4). These results indicate the strong influence and thus importance of Amazonian regional data in the inversions to constrain the Amazon carbon fluxes estimates, as also found by van der Laan-Luijkx et al. (2015) and Botía Bocanegra (2022).

Large carbon emissions from fires were observed in Amazonia from August to December, mainly from the south and east regions (Figures 3, 4 and 5). Fires also contribute to emissions to the atmosphere between January and March, but mainly from the western-central region, due to fires occurring in the Northern Hemisphere (Figures 3, 4 and 5).

To estimate the CO<sub>2</sub> net biome exchange (NBE) we subtracted the fire emissions from our posterior total fluxes (Figure 4 and 5 and Figures A2 and A3). Our NBE represents the balance between photosynthesis and respiration. We use the following sign convention: positive NBE is a flux to the atmosphere. According to our results the forest, not considering fire emissions, is a sink during the wet season and still acts as a sink in part of the dry season, except in July and October (Figures 3 and 4). This dry season sink compensates part of the carbon emissions from fires, but with the sink located mainly in the western-central Amazon (Figure 3). During the years with strong droughts such as 2010 and 2015-16, a reduction in this dry-season uptake (near neutrality) was estimated (Figure 4, A2 and A3, discussed in detail in Section 4). In the western-central region we estimate a positive NBE flux to the atmosphere between April and June, which could be caused by emissions from decomposition processes (Figure 4 and A2), as the carbon emissions due to dead wood decay in the following years of a burning event (Silva et al., 2020; Anderson et al., 2015). This result resembles the seasonal cycle of NBE found by Botía et al. (2022), who used

ATTO-tower CO<sub>2</sub> time series data to find NBE rapidly declining at the end of the wet season, resulting in a source of CO<sub>2</sub> in  
320 June.

We also investigated the possible relation of climate conditions with the intra-annual variability in total CO<sub>2</sub> fluxes. An increase  
in the net carbon loss to the atmosphere was observed during warmer ( $r=0.34$ , and Student's T-test  $p<0.05$ ) and drier ( $r=0.61$ ,  
 $p<0.05$ ) periods, during which also solar radiation ( $r=0.20$ ,  $p<0.05$ ) and burned area ( $r=0.22$ ,  $p<0.05$ ) increased. Linear  
325 regressions between posterior monthly mean fire fluxes and temperature, CWD, solar radiation and burned area all reveal  
significant correlations ( $r=0.61$ ,  $p<0.05$ ;  $r=0.33$ ,  $p<0.05$ ;  $r=0.52$ ,  $p<0.05$ ; and  $r=0.86$ ,  $p<0.05$ , respectively), (Figures A2  
to A5). Furthermore, an increase in total and fire emissions was estimated during the extreme drought years (2010 and 2015–  
16) as expected. Note that the inter-annual variability in posterior CO<sub>2</sub> total fluxes is driven by the Amazon aircraft  
observations alone, as the land-biosphere prior flux is climatological over the period.

No significant relationships between monthly posterior NBE fluxes and climate variables were observed (Figure A6). For  
330 western-central and eastern Amazon regions we found a similar relation between posterior fire fluxes and climate conditions  
as what was observed for Amazon as a whole (Figures A2 to A6).

### 3.2 Amazon carbon balance and its inter-annual variability

When the data from the aircraft vertical profiles were assimilated in the inversions the posterior total flux estimates over the  
period from 2010 to 2018 (including fire emissions) of  $0.13 \pm 0.17$  PgC  $y^{-1}$  are positive, with the majority of the emissions  
335 coming from the eastern region ( $0.10 \pm 0.08$  PgC  $y^{-1}$ ), Table 1. A larger emission to the atmosphere was estimated by the  
inversions when only NOAA surface site data were assimilated (without the data from the Amazon vertical profiles) resulting  
in a total emission of  $0.48 \pm 0.17$  PgC  $y^{-1}$  (including fire emissions). Fire emissions are the main reason for the flux to the  
atmosphere over the period,  $0.26 \pm 0.13$  PgC  $y^{-1}$ , with the largest contribution also coming from the eastern region (Table 1).  
Part of these fire emissions are compensated by the forest uptake in both western-central and eastern Amazon regions (72%  
340 and 33% of the fire emissions, respectively). We highlight that the Amazon region is a carbon source to the atmosphere when  
we include fire emissions over this period, with an uptake by the forest (NBE flux) that compensates 50% of the fire emissions.  
Linear regressions between annual mean posterior total flux and temperature, CWD, solar radiation and burned area yield  
significant correlations:  $r=0.55$ ,  $p=0.12$ ;  $r=0.62$ ,  $p=0.07$ ;  $r=0.54$ ,  $p=0.13$ , and  $r=0.50$ ,  $p=0.17$ , respectively. These annual mean  
correlations are driven mainly by the drought years, 2010 and 2015-2016. In addition, we found similar relationships between  
345 annual mean posterior fire flux and temperature, CWD, solar radiation and burned area ( $r=0.75$ ,  $p<0.05$ ;  $r=0.68$ ,  $p<0.05$ ;  $r=$   
 $0.56$ ,  $p=0.12$ , and  $r=0.84$ ,  $p<0.05$ , respectively), (Figure 5, A7 and A8). However, we did not find any significant relationships  
between annual mean posterior NBE flux and climate variables (temperature, CWD and solar radiation; Figure A9). Note that  
our total emission estimates could be over or underestimated during 2015 and 2016, because of the low number of vertical  
profile data available for this period (Figure A10).

350 CO<sub>2</sub> flux estimates over our nine-year study period indicate that Amazonian total, NBE, and fire emissions do not exhibit  
significant time trends, neither for the western-central nor eastern regions (Figure 6).



### 3.3 Sensitive tests

We also estimate Amazonian CO<sub>2</sub> fluxes using our atmospheric inversion but replacing the biosphere prior flux estimates of  
355 CASA by the estimates of CARDAMOM for the South America region (Figure A11). Comparing both estimates (from  
CARDAMOM and CASA models) of land-biosphere fluxes used as prior in the inversions, we found that CARDAMOM  
shows a large carbon uptake (prior total flux of  $-2.50 \pm 0.43$  PgC y<sup>-1</sup>) for the Amazon region in contrast to the estimates from  
CASA model (prior total flux of  $0.08 \pm 0.24$  PgC y<sup>-1</sup>). CARDAMOM prior flux estimates show a large carbon sink in Amazon  
between January and March in contrast with a carbon source to the atmosphere estimated by CASA model. The large uptake  
360 was not reproduced after the assimilation of Amazon observational data. After assimilating the Amazon vertical profile data  
in the inversions using CARDAMOM as the land-biosphere prior, the posterior estimate shows a strong reduction in the uptake  
for the Amazon region (posterior total flux of  $-0.19 \pm 0.17$  PgC y<sup>-1</sup>) compared to the prior (Figure A11). This result shows that  
the large land biosphere sink estimated by CARDAMOM is inconsistent with the Amazon atmospheric vertical profile data.  
Although the inversion using CARDAMOM as a prior shows the Amazon as a small overall carbon sink while the inversion  
365 using CASA model as a prior shows the Amazon as a small source to the atmosphere ( $0.13 \pm 0.17$  PgC y<sup>-1</sup>), the intra-annual  
seasonality from both inversions are similar (Figure A11). Also, both posterior estimates have a similar spatial flux distribution.  
Posterior flux estimates using CARDAMOM as land-biosphere prior flux also showed the eastern Amazon as a carbon source  
to the atmosphere from July to September, and during January and March a significant sink in the central Amazon while the  
western region as a source to the atmosphere (Figure A11).

370 We compared fire and NBE estimates based on CO inversion estimates from Naus et al. (2022) with our estimates based on  
TOMCAT CO inversions. We found similar intra- and inter-annual variability and flux magnitudes when compared to our  
NBE and fire estimates based on TOMCAT CO inversions with estimates based on their CO inversions (Figure A12 and Table  
A3). Both CO inversions assimilated the same MOPITT observations, but have variations in inversion methodology and model  
transport. To get a true independent estimate of NBE from another model, it would need to produce posterior estimates of both  
375 total carbon and fire carbon.

### 4 Discussion

The posterior fluxes when vertical profile data were assimilated in the inversions led to a change compared to the prior in the  
fluxes seasonal cycle, and additionally showed a larger reduction in Amazon total emission in comparison with the posterior  
fluxes when just NOAA surface data were assimilated (Figures 3 and 4 and Table 1). This once again highlights the importance  
380 of assimilating regional data in the inversions to better constrain the tropical forest fluxes (van der Laan-Luijkx et al., 2015;  
Alden et al., 2016; Botía et al., 2022). This result is not dependent on the assumed prior sources and sinks, as we also found a



significant reduction of the large land biosphere carbon uptake suggested by CARDAMOM for the Amazon region after assimilating the Amazon vertical profile data in the inversion (Figure A11).

Using the CASA as land-biosphere prior flux we estimate the Amazon region to be a total (i.e. including emissions from fire) net source of C of  $0.13 \pm 0.17 \text{ PgC y}^{-1}$  over our analysis period. The largest emission comes from the eastern Amazon, while the largest uptake was observed in the western-central region. Our results indicate that the Amazon is a source of carbon to the atmosphere due to fire emissions, which were larger than the estimated Amazon land sink, but we highlight that during this period the forest uptake removes around half of the fire emissions to the atmosphere.

Globally, the land  $\text{CO}_2$  sink was estimated to be  $3.1 \pm 0.6 \text{ PgC y}^{-1}$  during the decade 2011–2020 (29 % of total global  $\text{CO}_2$  emissions, Friedlingstein et al., 2022), and continued to increase during this period likely in response to increased atmospheric  $\text{CO}_2$  (Friedlingstein et al., 2022). However, the land sink shows large inter-annual variability, generally showing decreased land carbon uptake during El Niño events. According to Friedlingstein et al. (2022), in general the tropical region ( $30^\circ \text{S}$ – $30^\circ \text{N}$ ) has a carbon balance close to neutral over the 2011–2020 period, however the tropical region is most strongly correlated with inter-annual variation of atmospheric  $\text{CO}_2$  (Friedlingstein et al., 2022). Note that this tropical region estimate did not include the information provided by the Amazon vertical  $\text{CO}_2$  profile data we used here. The Tropics is also where the largest land-use emissions occur, including the Arc of Deforestation in the Amazon basin (Friedlingstein et al., 2022). We did not observe an increasing trend over time in the land carbon uptake for the Amazon region, in contrast to the continued increase in the global land sink reported by Friedlingstein et al. (2022).

Based on a distributed network of 321 forest survey plots from RAINFOR (Brienen et al., 2015), 30% decrease in the total net carbon sink into intact Amazon live biomass from  $0.54 \text{ PgC y}^{-1}$  (95% confidence interval 0.45–0.63) in the 1990s to  $0.38 \text{ PgC y}^{-1}$  (0.28–0.49) in the 2000s was estimated. Phillips and Brienen (2017), based also on the RAINFOR network plot measurements, estimated an Amazon-wide forest biomass carbon sink between 1980 and 2010 of  $0.43 \text{ PgC y}^{-1}$  (CI 0.21–0.67). Finally, Hubau et al. (2020) reported a decrease in the Amazon carbon net sink in the last decades, from  $0.68 \text{ PgC y}^{-1}$  (CI 0.54–0.83) between 1990 and 2000 to  $0.45 \text{ PgC y}^{-1}$  (CI 0.31–0.57) between 2000 and 2010, predicting a net carbon sink of  $0.25 \text{ PgC y}^{-1}$  (CI –0.05–0.54) between 2010–2020. Our posterior NBE estimates (a sink of  $0.13 \pm 0.20 \text{ PgC y}^{-1}$ ) are fairly consistent with the RAINFOR results with regards to magnitude but not with trend over time in the observed carbon uptake, the difference in the areas used for the estimates, and that our NBE represents the uptake from forest but also non-fire emissions (as decomposition and degradation emissions).

Our posterior fire emissions agree with fire emission estimates for Brazilian Amazonia reported by Aragão et al. (2018), with a total fire emission of  $0.21 \pm 0.23 \text{ PgC y}^{-1}$  over the period 2003–2015, based on the relation between MOPITT  $\text{CO}$  total column and burned forest and deforestation gross  $\text{CO}_2$  emissions data (Aragão et al., 2018). Recently, Silva et al. (2020) reported that forest fires contribute cumulative gross carbon emissions of  $\sim 126 \text{ MgCO}_2 \text{ ha}^{-1}$  for 30 years after a fire event, with a mean annual efflux of  $4.2 \text{ MgCO}_2 \text{ ha}^{-1} \text{ y}^{-1}$  and emissions from the decomposition of the dead organic matter accounting for ca. 58% ( $47.4 \text{ MgCO}_2 \text{ ha}^{-1}$ ) of total cumulated net emissions. van der Werf et al. (2010) estimated that fires were responsible for an annual mean global carbon emission of  $2.0 \text{ PgC y}^{-1}$  (for the period 1997–2009) with significant inter-annual variability,

where about 15% ( $0.29 \text{ PgC y}^{-1}$ ) was associated with South American emissions mainly from the Southern Hemisphere of South America ( $14\%$ ;  $0.27 \text{ PgC y}^{-1}$ ), according to estimates from the Global Fire Emission Data set (GFED V.3). Note that this South American emission estimate was related to a larger area than our Amazon region estimates.

We found clear intra-annual seasonality in our posterior total, fire and NBE fluxes. In general, we found over these nine-years  
420 that the Amazon is a carbon sink during November to March (wet season) and also during August and September removing part of the fire emissions during the dry season (Figures 4 and 5 and Figures A2 and A3). Although we did not find a significant relation between our NBE seasonality and the climate variables analyzed (CWD, temperature and solar radiation), our NBE emission seasonality show good agreement with the Amazon mean net ecosystem exchange (NEE) seasonality based on five eddy covariance forest tower sites located in the Brazilian Amazon, Manaus forest (K34; 1999–2006), Santarém forest (K67;  
425 2001–2005, 2008–2011 and 2015–2019), forest of Caxiuana (CAX; 1999–2003), Reserva Jarú southern forest (RJA; 2000–2002) and the seasonal inundated forest of Bananal (JAV; 2003–2006) (Gatti et al., 2021c). Our fire estimates showed the largest increase in emissions during the dry season months of August to October, in agreement with the increase in the CWD, temperature, solar radiation and burned area (Figure 5 and Figures A2, A3 and A5).

We found that our total and fire emission estimates inter-annual variability correlates with climatic variations, with larger  
430 emissions during hotter and dryer years as in 2010 and 2015–16. This inter-annual variability is primarily driven by the atmospheric vertical profile data and MOPPIT CO columns as in our approach the land flux prior estimates are the same for all years. In 2010 the increase in carbon emissions was mainly caused by an increase in emissions in the western-central region, related to a large increase in fire emissions (2010 flux of  $0.32 \pm 0.14 \text{ PgC y}^{-1}$  and a nine-year mean of  $0.11 \pm 0.10 \text{ PgC y}^{-1}$ ; student t-test:  $p = 0.14$ ) and also a reduction of the uptake in relation with the nine-year mean (2010 flux of  $-0.04 \pm 0.20 \text{ PgC y}^{-1}$  and a nine-year mean of  $-0.08 \pm 0.18 \text{ PgC y}^{-1}$ ;  $p = 0.43$ ). We also observed an increase in fire emissions in eastern Amazon  
435 region during this year, but lower than in the western-central region (2010 flux of  $0.28 \pm 0.15 \text{ PgC y}^{-1}$  and a nine-year mean of  $0.15 \pm 0.11 \text{ PgC y}^{-1}$ ;  $p = 0.21$ ). These results are in agreement with the increase in burned areas observed when compared with the nine-year mean (104 and 89% in western-central and eastern Amazon regions, respectively), and with an increase of 7% in the CWD compared with the nine-year mean in the western-central region. Although some p values are larger than 0.05,  
440 these results suggest changes in the carbon cycle. High correlations between soil moisture and MOPITT-derived fire emissions were also reported by Naus et al. (2022) for the province of Amazonas, confirming the important role of the moisture state of the underlying forest.

On the other hand, during 2016 the increase in carbon emissions was mainly related to a reduction in the carbon uptake in the Amazon region, which was a net source to the atmosphere during this year (NBE flux of  $+0.12 \pm 0.20 \text{ PgC y}^{-1}$ ; student t-test:  
445  $p = 0.14$ ), while fire emissions increased 61% in the western-central Amazon in relation to the nine-year mean (2016 flux of  $0.19 \pm 0.13 \text{ PgC y}^{-1}$  and a nine-year mean of  $0.11 \pm 0.10 \text{ PgC y}^{-1}$ ; student t-test:  $p = 0.17$ ). These indications of reductions in the carbon uptake could be related to hotter and dryer conditions in the western-central region, with an increase of 10% in the CWD in relation to the nine-year mean, and an increase of 0.3 and 0.4 °C in the annual mean temperature in relation with the nine-year mean (the largest positive anomalies in the nine years for both regions) in the western-central and eastern Amazon



450 region. Recently, Fancourt et al. (2022) reported that background climate and soil conditions had a greater influence than the climatic anomalies on Amazon forest photosynthesis spatio-temporal variations, but with the northwestern forests being the most sensitive to precipitation anomalies during the 2015/16 El Niño period.

Gloor et al. (2018) reported a net flux anomaly from the Amazon of  $0.5 \pm 0.3$  PgC during the 2015/16 El Niño event (between September 2015 and June 2016), based on previous inversions using TOMCAT and assimilating the Amazon vertical profile  
455 data. Our posterior total estimates showed a net flux anomaly for this period of  $0.58 \pm 0.20$  PgC for the whole Amazon, with  $0.32 \pm 0.19$  PgC and  $0.26 \pm 0.09$  PgC for the western-central and eastern Amazon, respectively. The majority of the anomalies observed come from a reduction in the carbon sink making NBE fluxes positive in the western-central Amazon with a total net emission of  $0.09 \pm 0.22$  PgC  $y^{-1}$  (while the nine-year means for this period show an uptake of  $0.04 \pm 0.15$  PgC  $y^{-1}$ ;  $p = 0.25$ ), acting as a net carbon source to the atmosphere during this period, in addition to increase in fire emissions at both  
460 western-central (flux of  $0.23 \pm 0.14$  PgC  $y^{-1}$  for this period while a nine-year mean of  $0.11 \pm 0.10$  PgC  $y^{-1}$ ;  $p = 0.07$ ) and eastern regions (flux of  $0.33 \pm 0.14$  PgC  $y^{-1}$  for this period while a nine-year mean of  $0.14 \pm 0.10$  PgC  $y^{-1}$ ;  $p = 0.13$ ). Koren et al. (2018) and van Schaik et al. (2018) suggested a reduction in gross primary production, resulting from combined heat- and soil moisture stress, to be a dominant mechanism.

While agricultural and deforestation fires are more closely associated with human actions than with climate (Anderson et al.,  
465 2018), forest fires are associated with a combination of human activities to provide the ignition source and climatic factors to create dry conditions (Berenguer et al., 2021). During strong drought conditions, such as the drought of 1997/98, fires could escape from agricultural fields and burn standing primary forests that were once considered impenetrable to fire (Brando et al., 2020). A warming trend is being observed in Amazon, evident since 1980, and it is enhanced since 2000, a period where strong droughts occurred in 2005, 2010, and 2015/16 (the increases in temperature varies with the dataset, time period and  
470 spatial scale of the analysis) (Marengo et al., 2021). Also, warming was observed in the eastern Amazon and especially southeastern Amazon, at a rate almost twice as high as the western Amazon (Marengo et al., 2021). Our CWD analysis for Amazonia shows a weak drying trend for almost all regions between 1998 and 2019 (Figure A13). The observed climate tendencies in Amazonia can be different in the western and eastern regions, and the projected changes suggesting a drier and warmer climate in the east, while in the west rainfall is expected to increase in the form of more intense rainfall events  
475 (Marengo et al., 2021).

The increase in climate variability impacts both the Amazonian forest (Anderson et al., 2018) and savannah biomes, increasing tree mortality (Aragão et al., 2018) and ecosystem vulnerability to fire (Anderson et al., 2018; Silva Junior et al., 2019). The increased variability, in combination with deforestation, has changed the forest's resilience to fires, in particular in the southern Amazon, where remaining forests have become drier and thus vulnerable to wildfires during recent droughts (Brando et al.,  
480 2020). Our posterior fire estimates showed the largest emissions in the eastern Amazon region with an increase in emissions during strong drought years, but we do not find a significant trend over the 2010 to 2018 period. Eastern Amazon is more disturbed than the western-central region, with larger deforested areas also converted to agriculture and grassy areas (Figure A14).



The clear seasonality in our posterior total, fire and NBE fluxes is generally in agreement with that reported by Gatti et al. (2021), based on a mass balance technique for the Amazon region as a whole, and also for west and east regions (Figure A15). For eastern Amazon, the seasonality of the NBE estimate of the two approaches was more similar than the seasonality of the fire emissions. Gatti et al. (2021) estimated fire emissions occurring during January to March, mainly in the northeastern region, while we did not estimate emissions during this period. Part of this difference could be related to the different regions considered as eastern Amazon in both studies. The region of influence of fluxes on site CO<sub>2</sub> records reported by Gatti et al. (2021), based on quarterly mean back-trajectories, has influence from the North Hemisphere Amazon during this time, an area not considered in our Eastern Amazon region definition. Also, the difference could also be related to the burned areas fraction in the prior flux used to derive the CO fire emissions in our inversion, in the absence of burned area fraction will result in no fire emissions in the area. On the other hand, fire emissions during this period are observed in both approaches in the western-central region. The main difference observed in the estimates for this region was in the NBE during the dry season months of August and September, where our posterior estimates showed an uptake while the mass balance technique estimates (Gatti et al., 2021) showed a source to the atmosphere (Figure A15). A substantial dry season sink in the western Amazon was independently derived from ATTO-tower CO<sub>2</sub> observations by Botía et al. (2022), supporting our findings here. No significant trend over time (between 2010 and 2018) was observed in our posterior emissions, in contrast with the trend in NBE fluxes for the east Amazon region, with an increase in emissions over this time reported by Gatti et al. (2021). Our results indicate that Amazonia is a source of carbon to the atmosphere because of fire emissions, corroborating the findings of Gatti et al. (2021). Our nine-year mean total posterior emissions for the Amazon region are 33% smaller than their total emission estimates, with the largest difference being observed in the eastern region (Figure 7). The largest differences are mainly related with the fire emission estimates, while our posterior NBE estimate represents 90% of their estimates. However, considering the range of both Amazon flux estimates we find similar emissions (Figure 7).

## 505 **5 Conclusions**

Our global inverse model estimates of CO<sub>2</sub> emissions using Amazon atmospheric vertical profiles and surface observations has allowed us to estimate that over the nine years 2010-2018 the Amazon region acted as a small carbon source to the atmosphere, with a total emission of  $0.13 \pm 0.17 \text{ PgC y}^{-1}$ . The emissions were greater in eastern Amazon ( $0.10 \pm 0.08 \text{ PgC y}^{-1}$ ) than in the western region, mostly due to fire emissions. The forest uptake (NBE) compensated 50% of the fire emissions and was larger in the western-central region than in the eastern Amazon region (72% and 33% of the fire emissions, respectively). This highlights the importance of public policies to prevent deforestation and fire occurrences to reduce Amazon carbon emissions to the atmosphere and help to mitigate the effects of climate change.

Our estimated carbon fluxes were larger during the extreme drought years such as 2010, 2015 and 2016, mainly from an increase in fire emissions and indication of reduction in carbon uptake. However, we did not find any significant trend in carbon emissions over the period 2010-2018.



The inter and intra-annual seasonality of the results from our inversion are in agreement with previous studies (e.g. Gatti et al., 2021; Botía et al. 2022; and Naus et al. 2022). Our study shows the benefit of using regional CO<sub>2</sub> data to better constrain carbon emissions in tropical forests such as the Amazon, thereby improving the estimated magnitude and intra-annual seasonality of the emissions. In turn, this helps to improve global estimates and understand possible climate and human disturbance feedback in the carbon cycle.

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## 6 Authors contributions

LSB, CW, MG and MPC designed the methodology. LSB wrote the first version of the manuscript and performed the analysis and CO<sub>2</sub> inversions. CW performed the TOMCAT CO inversions using MOPPIT data. GT provided the land use change data. HLGC and EA provided the burned area data. MW and TLS provided the CARDAMOM flux estimates. WP and SN provided the CO estimates for the sensitive test. All authors contributed with analysis and text.

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## 7 Competing interests

The authors declare that they have no conflict of interest.

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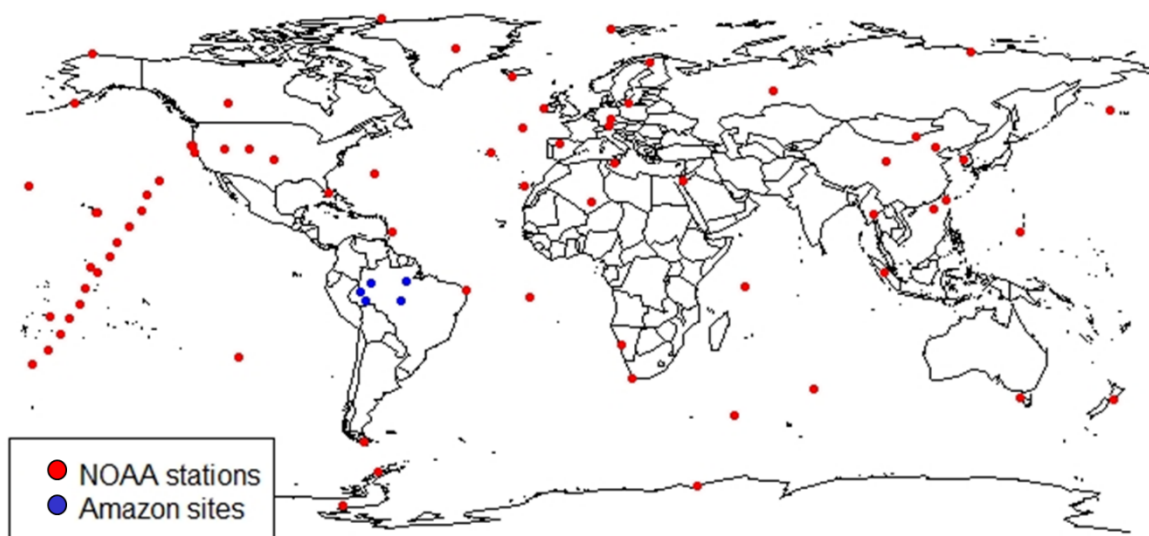
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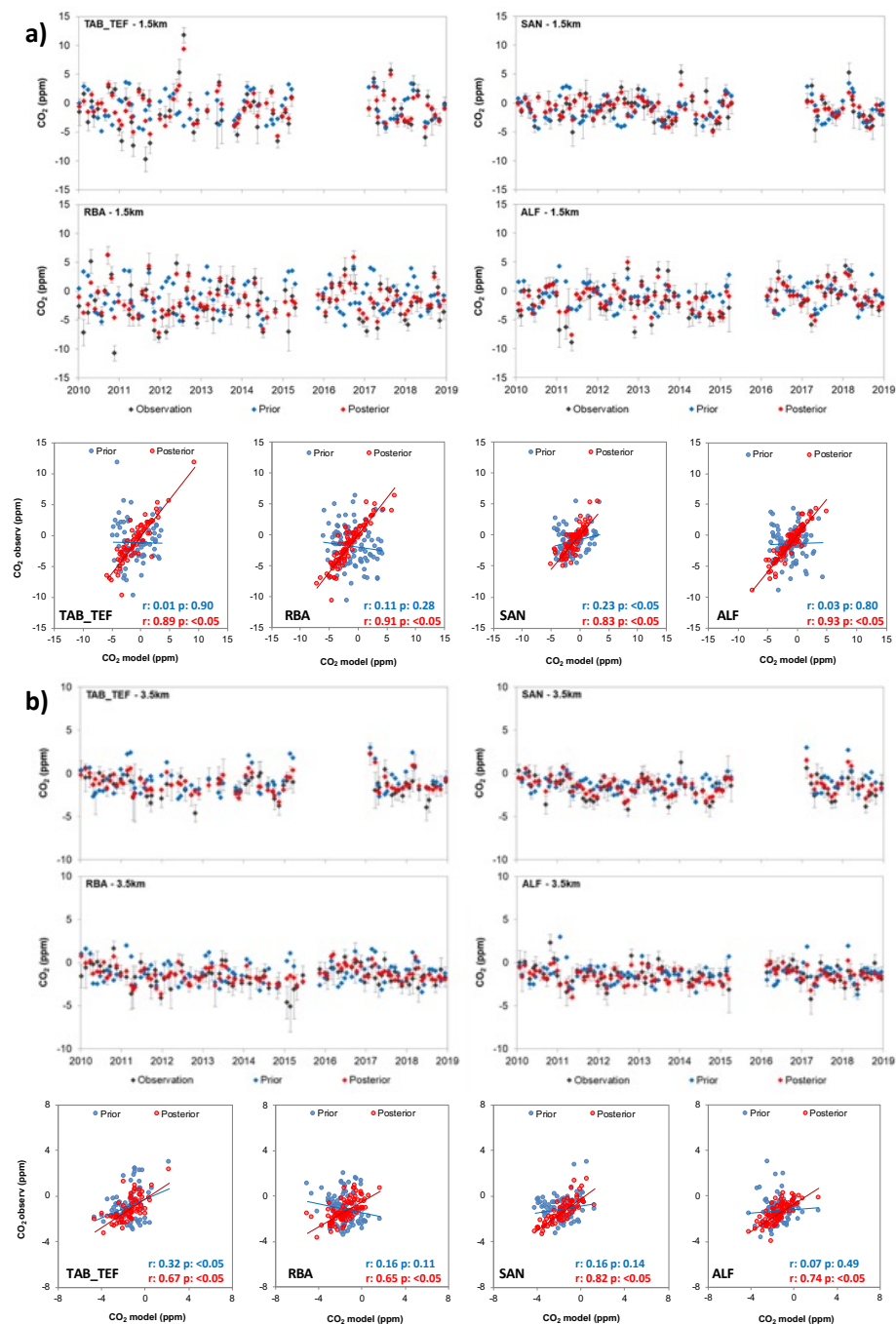


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890 **Figure 1: Locations of INPE/LaGEE Amazon vertical profile sites (blue circles) and NOAA surface sites from which flask-based measurements of CO<sub>2</sub> are assimilated (red circles).**



**Figure 2: Detrended monthly mean CO<sub>2</sub> mole fractions (ppm) for prior (with CASA as land-biosphere prior flux), posterior and Amazon vertical profiles and its linear regressions, where a) is the mean below 1.5 km altitude (planetary boundary layer levels and b) the mean above 3.5 km altitude (vertical profile free troposphere), for each of the vertical profile sites. The model results were extracted for the grid cell where each site is located. After detrended we subtracted the global mean mole fraction from the observation and model mole fractions. Error bars represent the observation uncertainties.**

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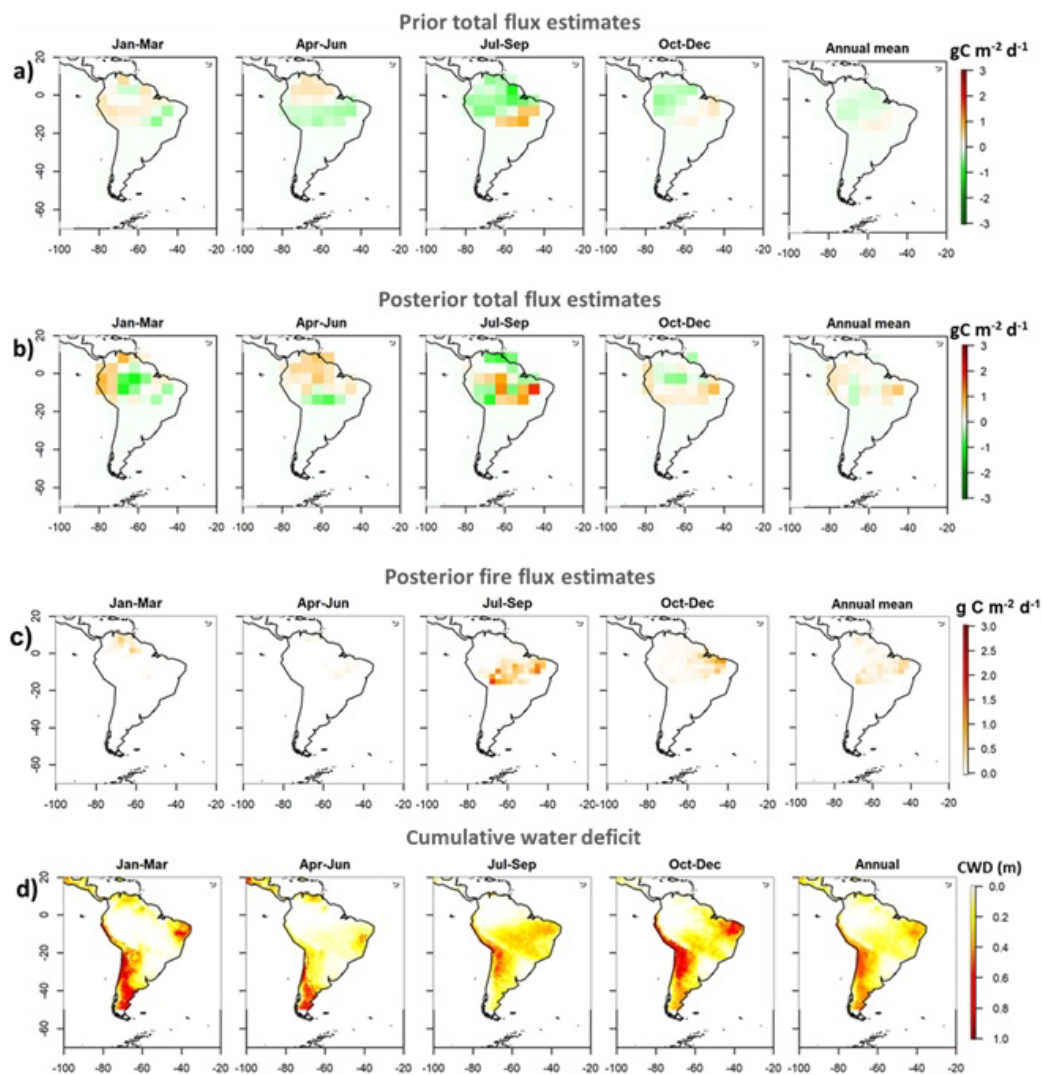


Figure 3: Quarterly and annual mean a) prior total (with CASA as land-biosphere prior flux), b) posterior total, c) posterior fire carbon fluxes, where a positive value indicates a net emission of C while a negative value indicates a net uptake, d) cumulative water deficit (CWD) for the Amazon region between 2010 and 2018.

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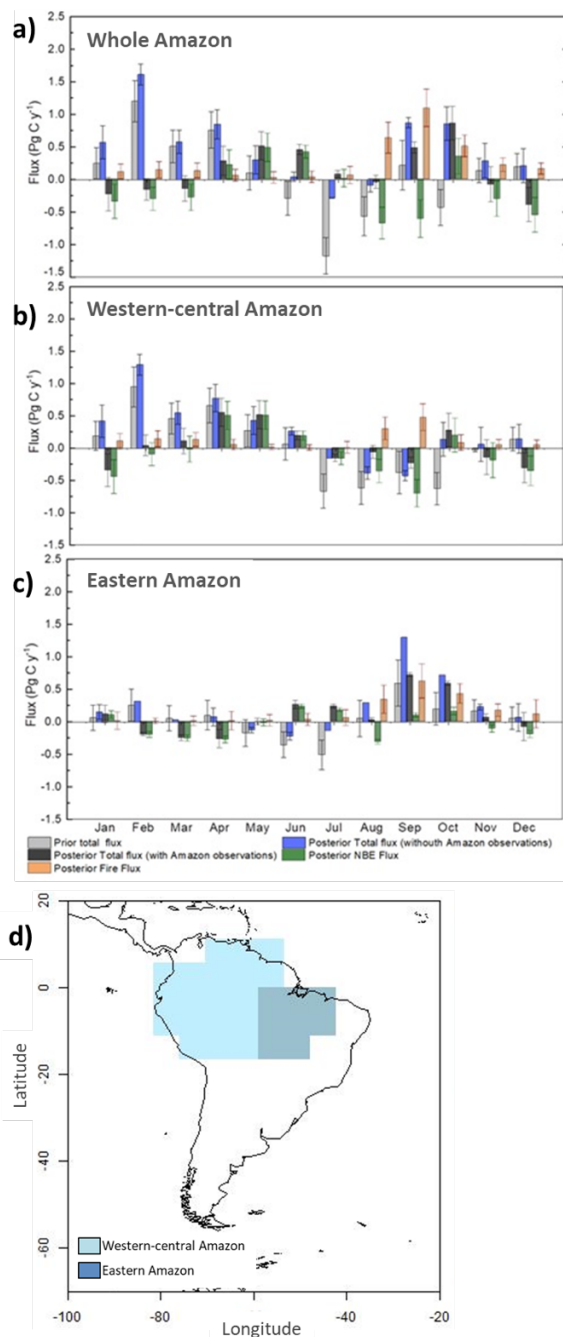
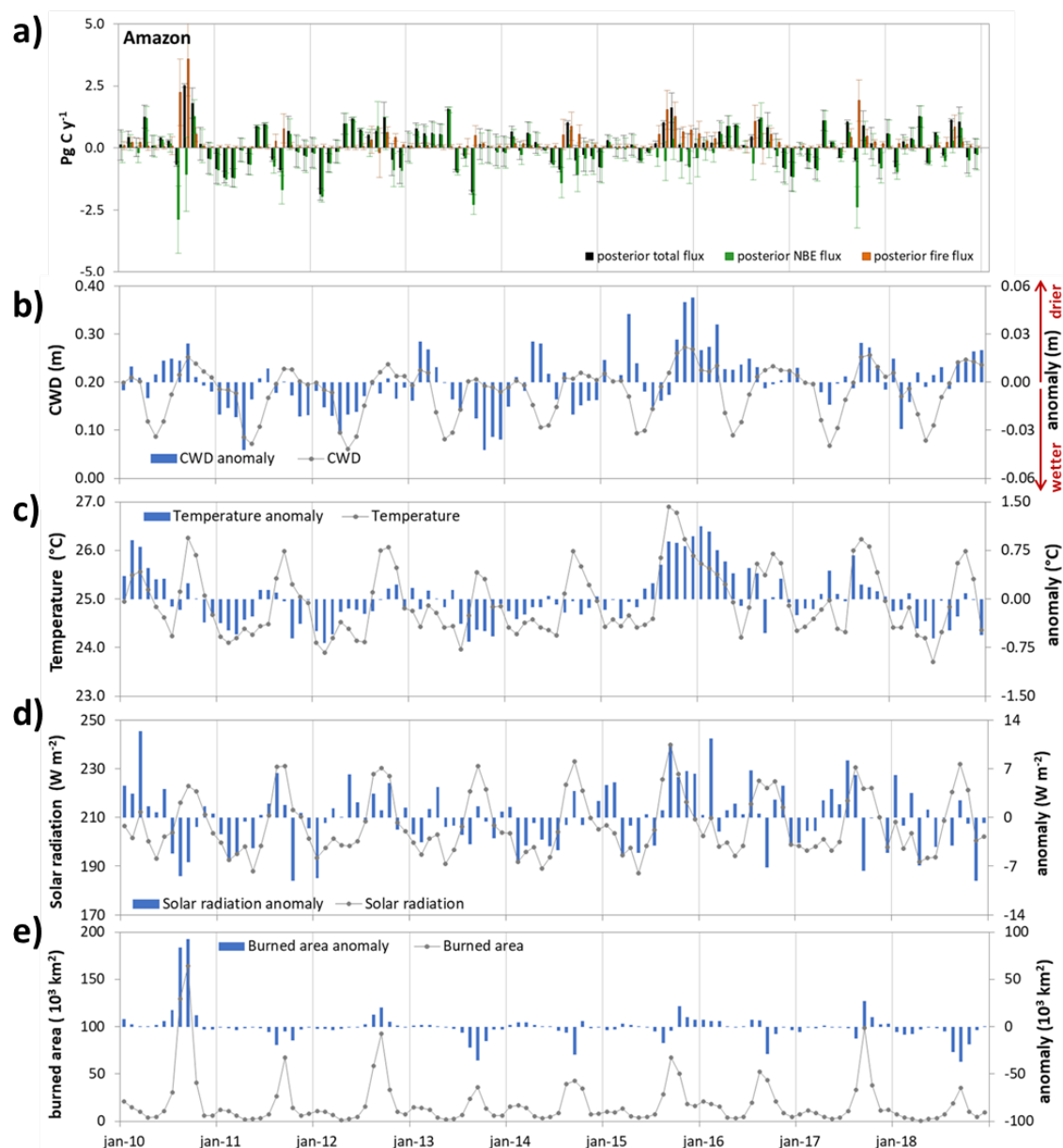


Figure 4: Nine-year monthly mean (2010-2018) carbon fluxes for the a) whole Amazon, b) western-central Amazon and c) eastern Amazon areas: prior total flux (grey bars), posterior total flux without the Amazon vertical profile observations in the inversion (blue bars), posterior total flux with the Amazon vertical profile observations in the inversion (black bars), posterior fire fluxes using MOPPIT carbon monoxide observations in the inversion (orange bars) and posterior NBE fluxes which is the result of the subtraction of the posterior fire fluxes from the posterior total fluxes the Amazon vertical profile observations in the inversion (green bars), representing the net biome exchange. Error bars represents the monthly mean uncertainties d) Amazon mask used in the study, the whole Amazon area is the sum of western-central Amazon and eastern Amazon areas.

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910 **Figure 5: a) Monthly mean carbon fluxes for the whole Amazon area: posterior total flux with the Amazon vertical profile**  
 observations in the inversion (black bars), posterior fire fluxes using MOPITT carbon monoxide observations in the inversion  
 (orange bars) and posterior NBE fluxes which is the result of the subtraction of the posterior total fluxes the Amazon vertical profile  
 observations in the inversion (green bars), representing the net biome exchange. Monthly mean and anomalies of b) cumulative water deficit (CWD), c) temperature, d) shortwave flux down solar radiation (all sky) and e) burned  
 915 area for the Amazon area.

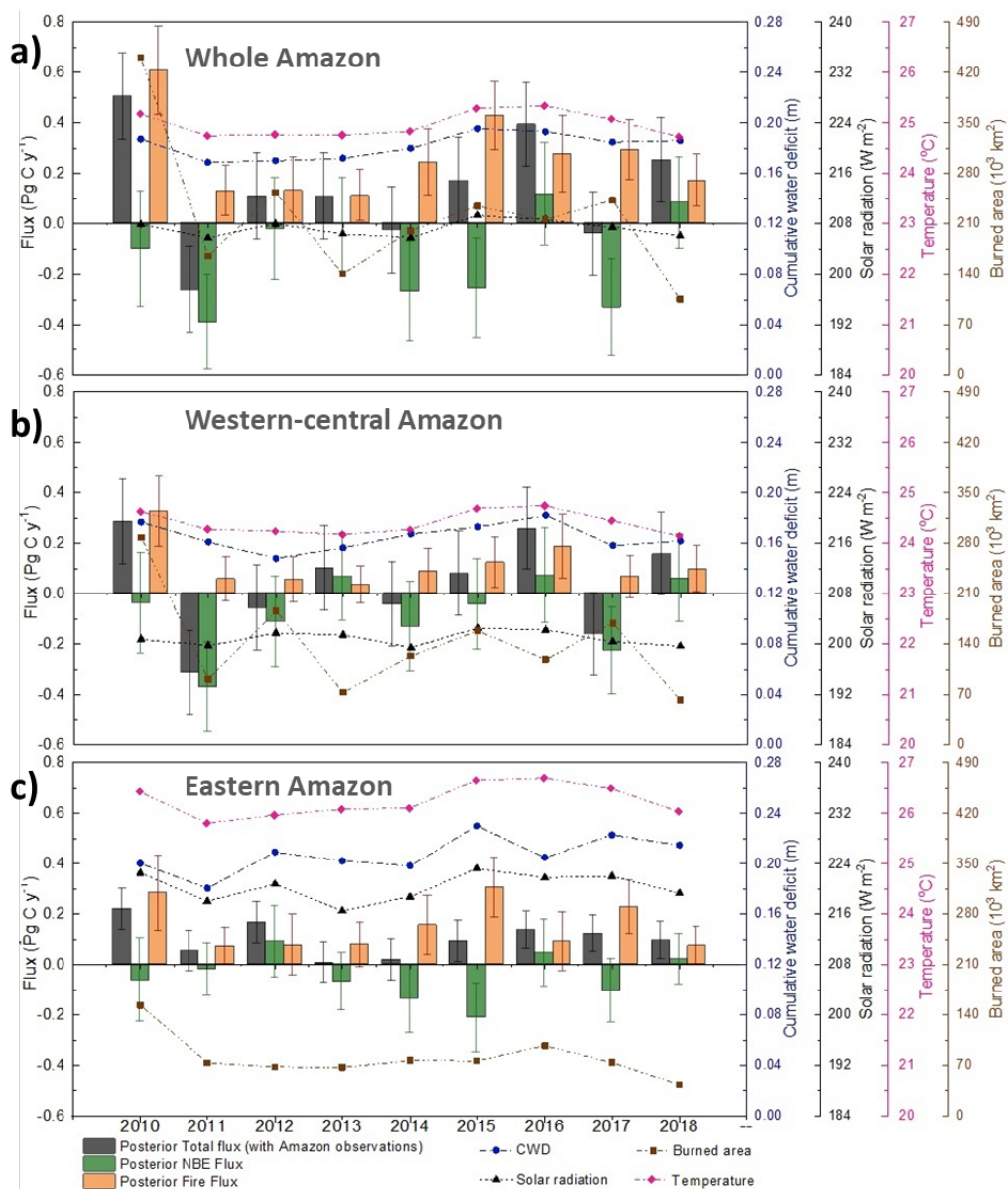




**Table 1: Nine-year mean prior total, posterior total without the vertical profile observations assimilated in the inversions, posterior total with the vertical profile observations assimilated in the inversions and fire fluxes for the whole Amazon, west-central and east Amazon regions.**

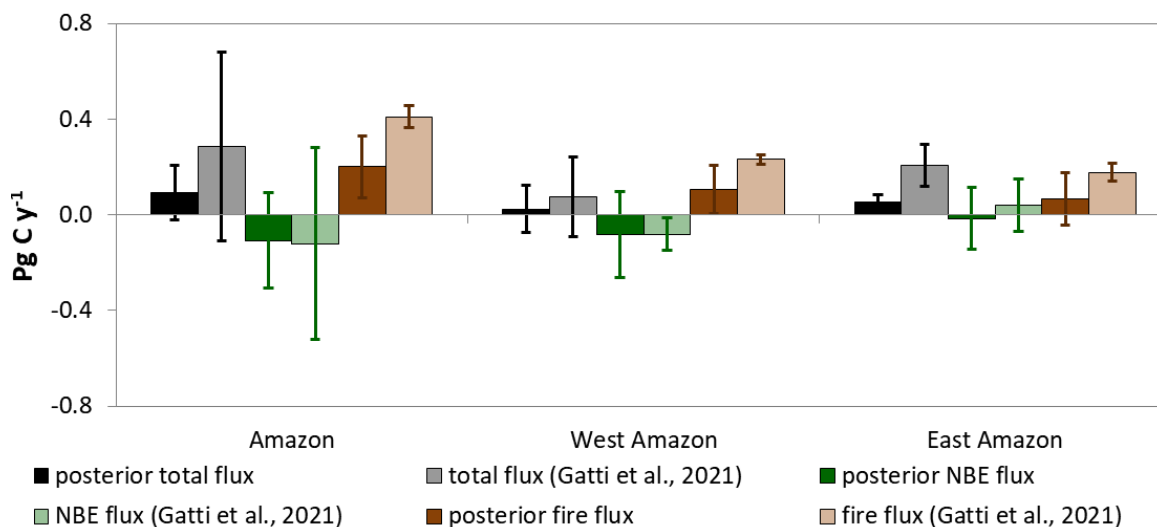
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<b>Amazon C land fluxes 2010-2018 (Pg C y<sup>-1</sup>)</b>			
<b>Region</b>	<b>Amazon</b>	<b>West-central Amazon</b>	<b>East Amazon</b>
<b>Prior total flux</b>	0.08 ± 0.24	0.03 ± 0.21	0.04 ± 0.20
<b>Posterior total flux (without Amazon observations)</b>	0.48 ± 0.17	0.26 ± 0.16	0.23 ± 0.07
<b>Posterior total flux (with Amazon observations)</b>	0.13 ± 0.17	0.03 ± 0.17	0.10 ± 0.08
<b>Posterior fire flux</b>	0.26 ± 0.13	0.11 ± 0.10	0.15 ± 0.11
<b>Posterior NBE flux (without Amazon observations)</b>	0.21 ± 0.20	0.12 ± 0.18	0.09 ± 0.13
<b>Posterior NBE flux (with Amazon observations)</b>	-0.13 ± 0.20	-0.08 ± 0.18	-0.05 ± 0.13



**Figure 6:** Annual mean carbon fluxes for the a) whole Amazon, b) western-central and c) eastern Amazon areas: posterior total flux with the Amazon vertical profile observations in the inversion (black bars) and posterior fire fluxes using MOPITT carbon monoxide observations in the inversion (red bars). Annual cumulative water deficit (blue line), annual mean temperature (pink line), annual mean shortwave flux down solar radiation (all sky; black line) and annual total burned area (brown line).

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930 **Figure 7: Comparison of nine-year mean of carbon fluxes from the inverse modeling (prior total flux, posterior total flux, posterior NBE flux (total minus fire emissions) and posterior fire flux), and fluxes estimates (total, NBE and fire) using a mass balance technique in Gatti et al. (2021). All fluxes are estimated using the Amazon areas (km<sup>2</sup>) from Gatti et al. (2021).**

## Appendix A

Table A1. NOAA monitoring sites with CO<sub>2</sub> observations data used in the inverse model.

Code	Name	Latitude	Longitude
ALT	Alert, Nunavut, Canada	82.45° N	62.50° W
AMY	Anmyeon-do, Republic of Korea	36.53° N	126.32° E
ASC	Ascension Island, United Kingdom	7.96° S	14.40° W
ASK	Assekrem, Algeria	23.26° N	5.63° E
AZR	Terceira Island, Azores, Portugal	38.76° N	27.37° W
BAL	Baltic Sea, Poland	55.35° N	17.22° E
BHD	Baring Head Station, New Zealand	41.40° S	174.87° W
BKT	Bukit Kototabang, Indonesia	0.20° S	100.31° E
BMW	Tudor Hill, Bermuda, United Kingdom	32.26° N	64.87° W
BRW	Barrow Atmospheric Baseline Observatory, United States	71.32° N	156.61° W
CBA	Cold Bay, Alaska, United States	55.21° N	162.72° W
CGO	Cape Grim, Tasmania, Australia	40.68° S	144.69° E
CHR	Christmas Island, Republic of Kiribati	1.70° N	157.15° W
CIB	Centro de Investigacion de la Baja Atmosfera (CIBA), Spain	41.81° N	4.93° W
CPT	Cape Point, South Africa	34.35° S	18.48° E
CRZ	Crozet Island, France	46.43° S	51.84° E
DRP	Drake Passage, N/A	59.00° S	64.69° W
DSI	Dongsha Island, Taiwan	20.69° N	116.72° E
EIC	Easter Island, Chile	27.15° S	109.42° W



GMI	Mariana Islands, Guam	13.38° N	144.65° E
HBA	Halley Station, Antarctica, United Kingdom	75.605° S	26.21° W
HPB	Hohenpeissenberg, Germany	47.80° N	11.02° E
HSU	Humboldt State University, United States	41.05° N	124.75° W
HUN	Hegyhatsal, Hungary	46.95° N	16.65° W
ICE	Storhofdi, Vestmannaeyjar, Iceland	63.39° N	20.28° W
IZO	Izana, Tenerife, Canary Islands, Spain	28.30° N	16.49° W
KEY	Key Biscayne, Florida, United States	25.66° N	80.15° W
KUM	Cape Kumukahi, Hawaii, United States	19.73° N	155.01° W
LLB	Lac La Biche, Alberta, Canada	54.95° N	112.46° W
LLN	Lulin, Taiwan	23.47° N	120.87° E
LMP	Lampedusa, Italy	35.51° N	12.63° E
MEX	High Altitude Global Climate Observation Center, Mexico	18.98° N	97.31° W
MHD	Mace Head, County Galway, Ireland	53.32° N	9.89° W
MID	Sand Island, Midway, United States	28.21° N	177.38° W
MLO	Mauna Loa, Hawaii, United States	19.53° N	155.57° W
NAT	Farol De Mae Luiza Lighthouse, Brazil	5.79° S	35.18° W
NMB	Gobabeb, Namibia	23.58° S	15.03° E
NWR	Niwot Ridge, Colorado, United States	40.05° N	105.58° W
OXK	Ochsenkopf, Germany	50.03° N	11.80° E
PAL	Pallas-Sammaltunturi, GAW Station, Finland	67.97° N	24.11° E
POC000	Pacific Ocean (0° N)	0.00°	-
POCN05	Pacific Ocean (5° N)	5.00° N	-
POCN10	Pacific Ocean (10° N)	10.00° N	-
POCN15	Pacific Ocean (15° N)	15.00° N	-
POCN20	Pacific Ocean (20° N)	20.00° N	-
POCN25	Pacific Ocean (25° N)	25.00° N	-
POCN30	Pacific Ocean (30° N)	30.00° N	-
POCS05	Pacific Ocean (5° S)	5.00° S	-
POCS10	Pacific Ocean (10° S)	10.00° S	-
POCS15	Pacific Ocean (15° S)	15.00° S	-
POCS20	Pacific Ocean (20° S)	20.00° S	-
POCS25	Pacific Ocean (25° S)	25.00° S	-
POCS30	Pacific Ocean (30° S)	30.00° S	-
PSA	Palmer Station, Antarctica, United States	64.77° S	64.05° W
PTA	Point Arena, California, United States	38.95° N	123.74° W
RPB	Ragged Point, Barbados	13.16° N	59.43° W
SDZ	Shangdianzi, China	40.65° N	117.11° E
SEY	Mahe Island, Seychelles	4.68° S	55.53° E
SGP	Southern Great Plains, Oklahoma, United States	36.60° N	97.48° W
SHM	Shemya Island, Alaska, United States	52.71° N	174.12° E



SMO	Tutuila, American Samoa	14.24° S	170.56° W
SPO	South Pole, Antarctica, United States	89.98° S	24.80° W
SUM	Summit, Greenland	72.59° N	38.42° W
SYO	Syowa Station, Antarctica, Japan	69.01° S	39.59° E
TAP	Tae-ahn Peninsula, Republic of Korea	36.73° N	126.13° E
THD	Trinidad Head, California, United States	41.05° N	124.15° W
TIK	Hydrometeorological Observatory of Tiksi, Russia	71.59° N	128.88° E
USH	Ushuaia, Argentina	54.84° S	68.31° W
UTA	Wendover, Utah, United States	39.90° N	113.71° W
UUM	Ulaan Uul, Mongolia	44.45° N	111.09° E
WIS	Weizmann Institute of Science at the Arava Institute, Ketura, Israel	29.96° N	35.06° E
WLG	Mt. Waliguan, Peoples Republic of China	36.28° N	100.89° E
ZEP	Ny-Alesund, Svalbard, Norway and Sweden	78.90° N	11.88° E

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Table A2. Mean difference between CO<sub>2</sub> mole fraction model estimates and observations.

Site	CO <sub>2</sub> mole fraction mean difference (ppm)			
	Mean below 1.5 km altitude		Mean above 3.5 km altitude	
	Prior - observed	Posterior - observed	Prior - observed	Posterior - observed
ALF	3.0	1.3	1.2	0.7
SAN	2.3	1.3	1.3	0.6
RBA	4.1	1.3	1.5	0.7
TAB_TEF	3.5	1.4	1.4	0.7

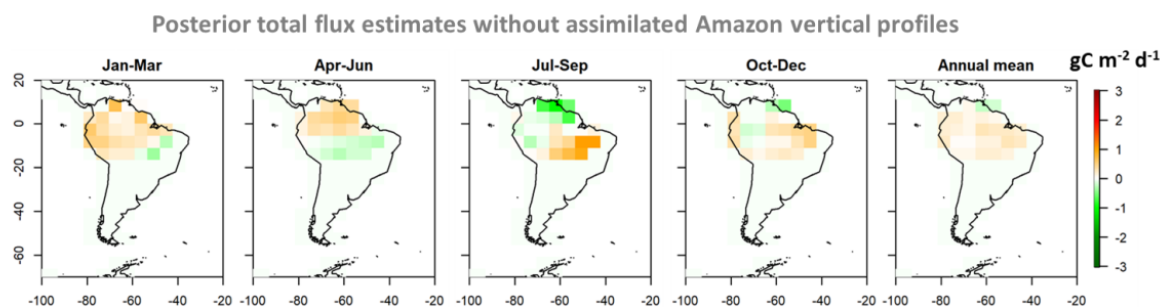
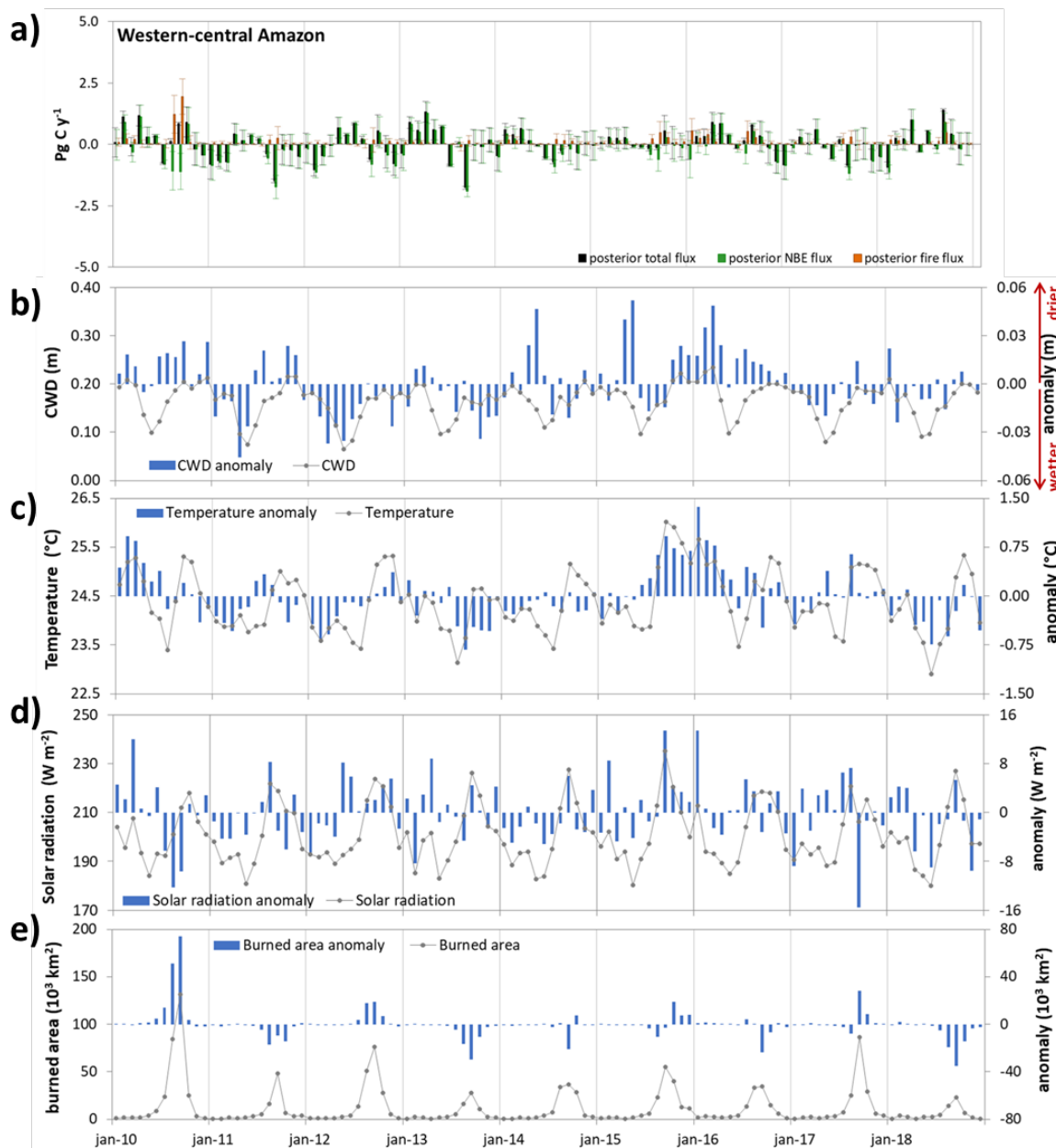


Figure A1. Quarterly and annual mean posterior total carbon fluxes without assimilated Amazon vertical profile data for the Amazon region between 2010 and 2018.

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Figure A2. a) Monthly mean carbon fluxes for the western-central Amazon area: posterior total flux with the Amazon vertical profile observations in the inversion (black bars), posterior fire fluxes using MOPPIT carbon monoxide observations in the inversion (orange bars) and posterior NBE fluxes which is the result of the subtraction of the posterior fire fluxes from the posterior total fluxes the Amazon vertical profile observations in the inversion (green bars), representing the net biome exchange. Monthly mean and anomalies of b) cumulative water deficit (CWD), c) temperature, d) shortwave flux down solar radiation (all sky) and e) burned area for the western-central Amazon area.

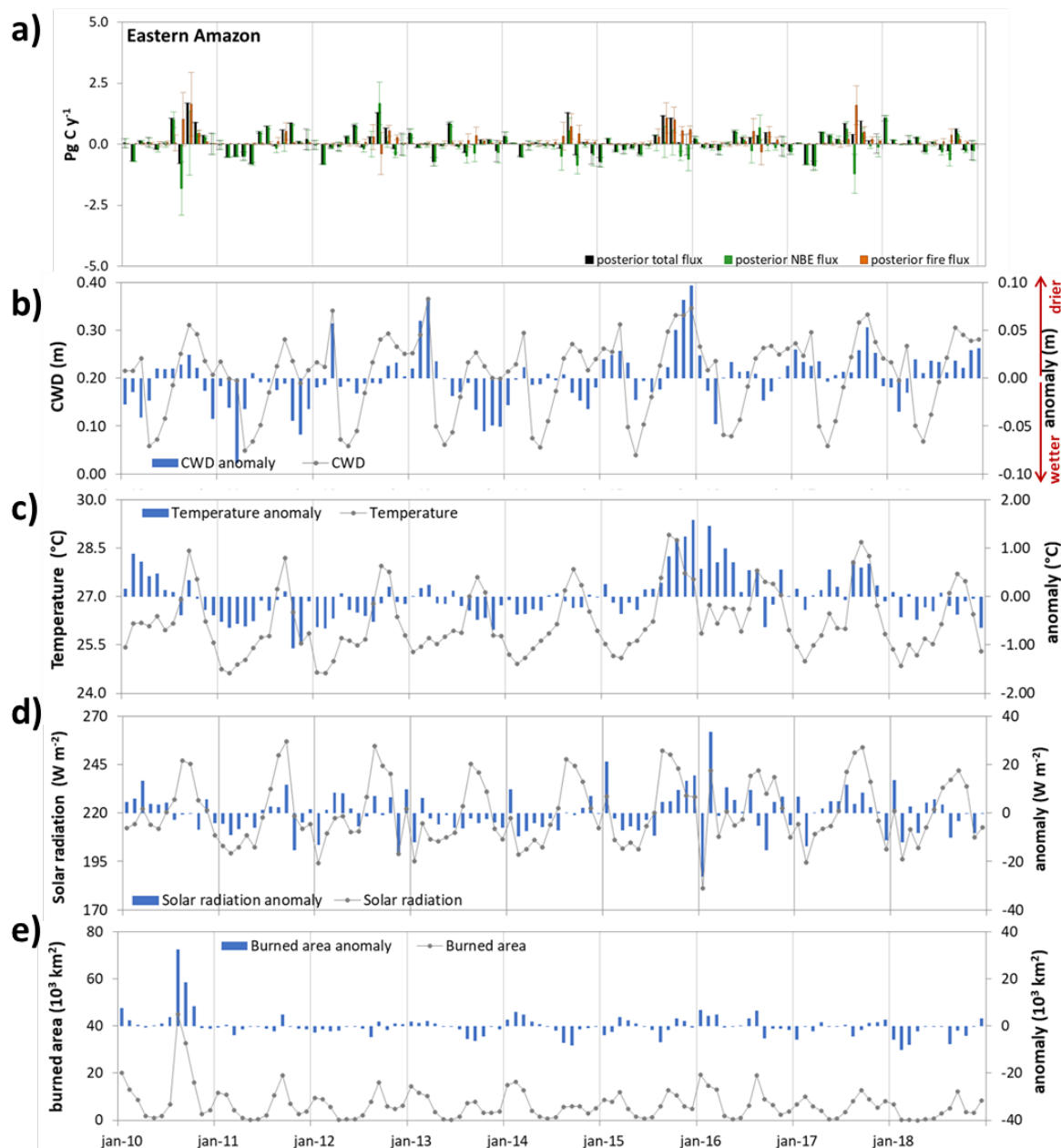


Figure A3. a) Monthly mean carbon fluxes for the eastern Amazon area: posterior total flux with the  
955 Amazon vertical profile observations in the inversion (black bars), posterior fire fluxes using MOPPIT  
carbon monoxide observations in the inversion (orange bars) and posterior NBE fluxes which is the result  
of the subtraction of the posterior fire fluxes from the posterior total fluxes the Amazon vertical profile  
observations in the inversion (green bars), representing the net biome exchange. Monthly mean and  
anomalies of b) cumulative water deficit (CWD), c) temperature, d) shortwave flux down solar radiation  
960 (all sky) and e) burned area for the eastern Amazon area.

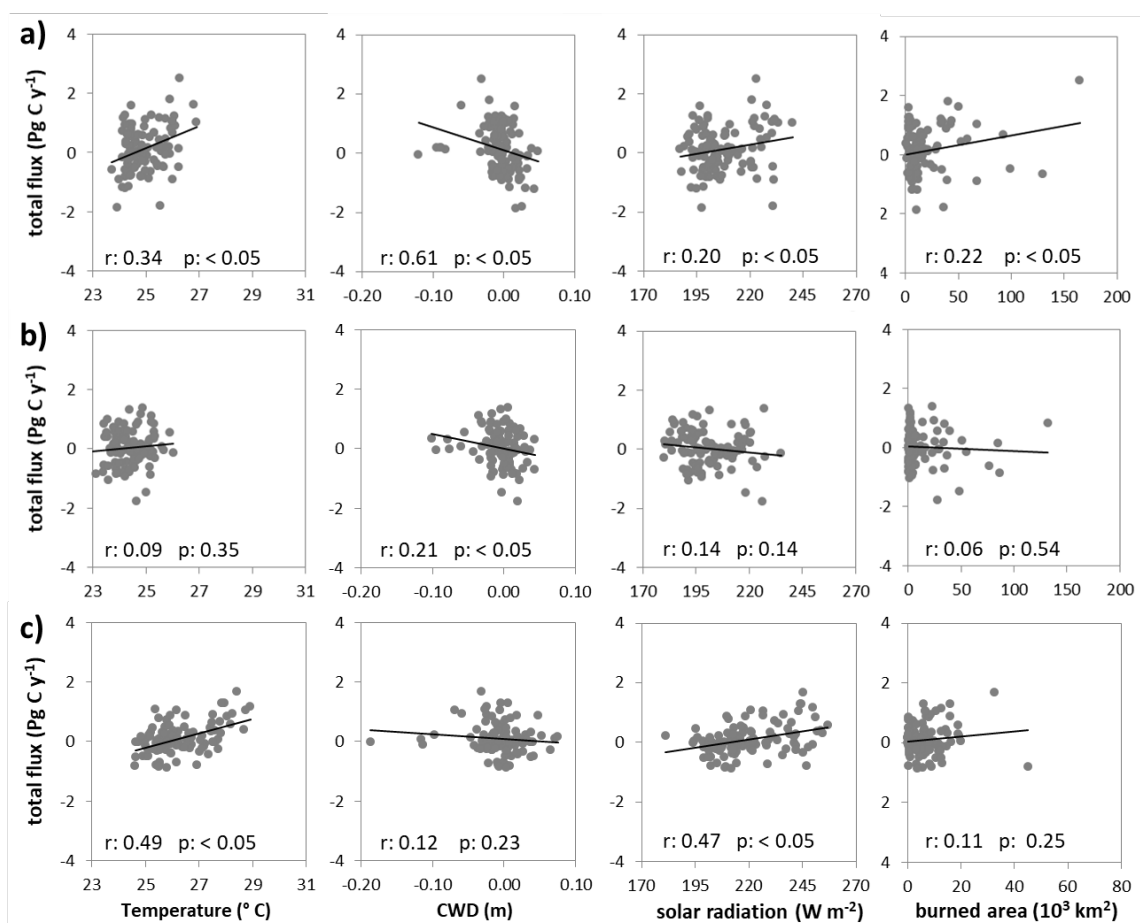


Figure A4. a) Linear regressions between monthly mean carbon posterior total flux and temperature, cumulative water deficit (CWD), solar radiation and burned area for a) whole, b) western-central and c) eastern Amazon regions.

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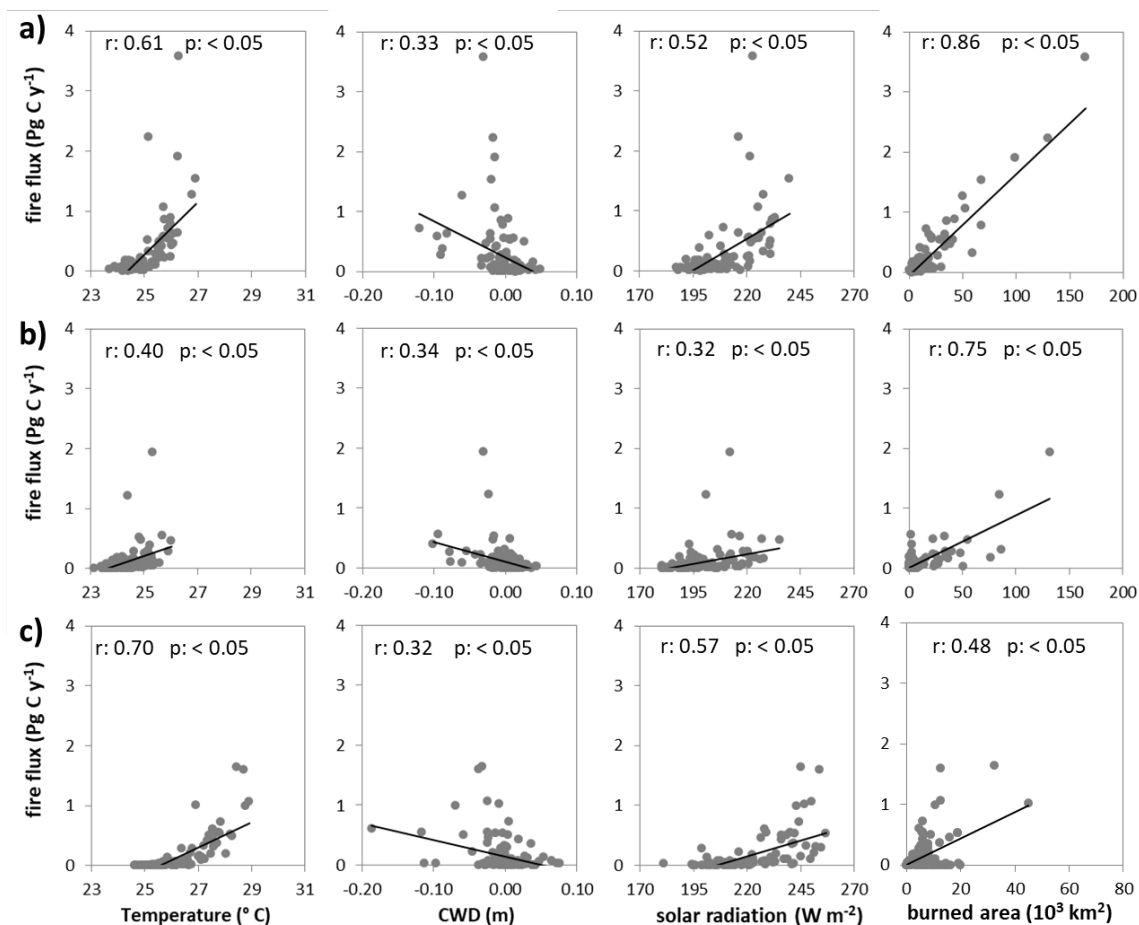


Figure A5. a) Linear regressions between monthly mean carbon posterior fire flux and temperature, cumulative water deficit (CWD), solar radiation and burned area for a) whole, b) western-central and c) eastern Amazon regions.

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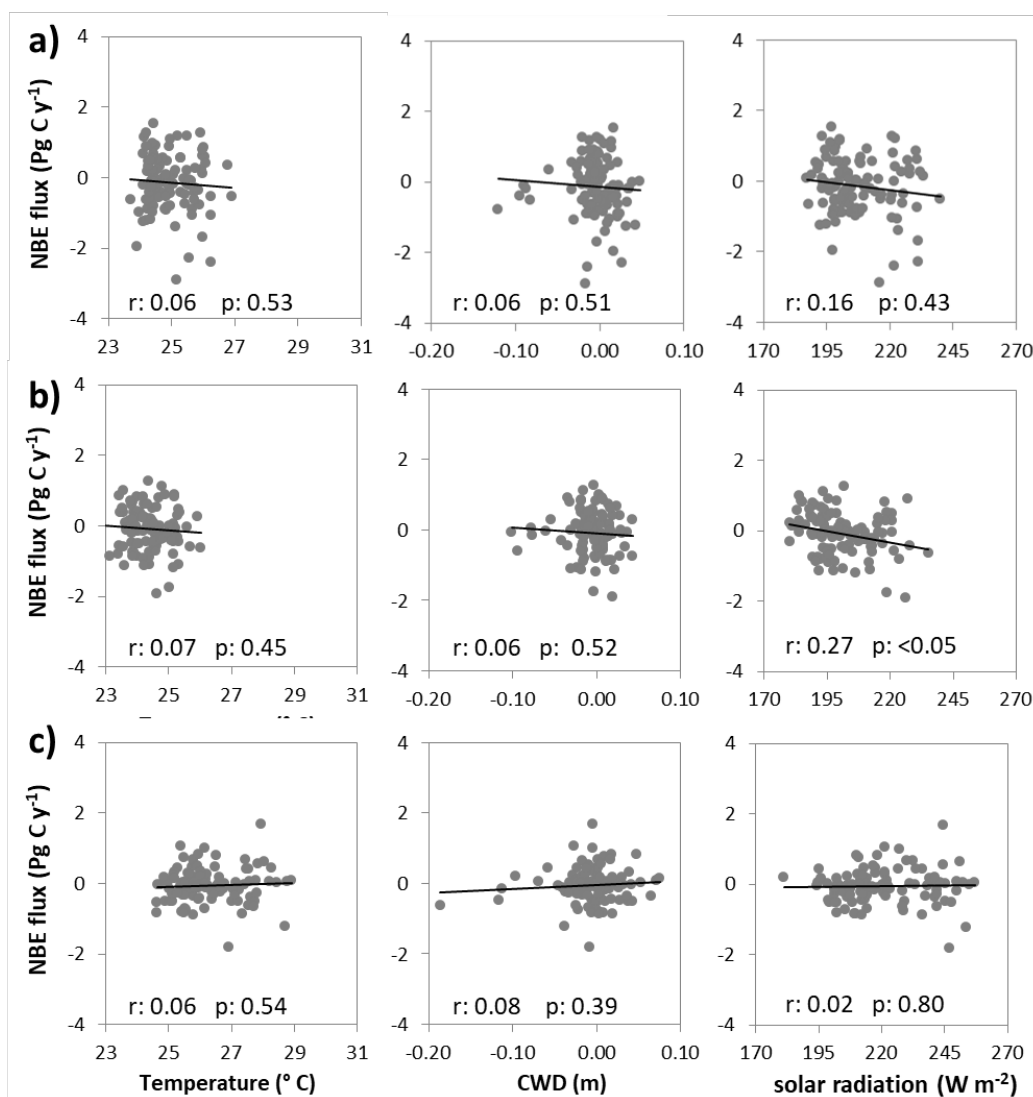


Figure A6. a) Linear regressions between monthly mean carbon posterior NBE flux (posterior total flux less posterior fire flux) and temperature, cumulative water deficit (CWD) and solar radiation for a) whole, 975 b) western-central and c) eastern Amazon regions.

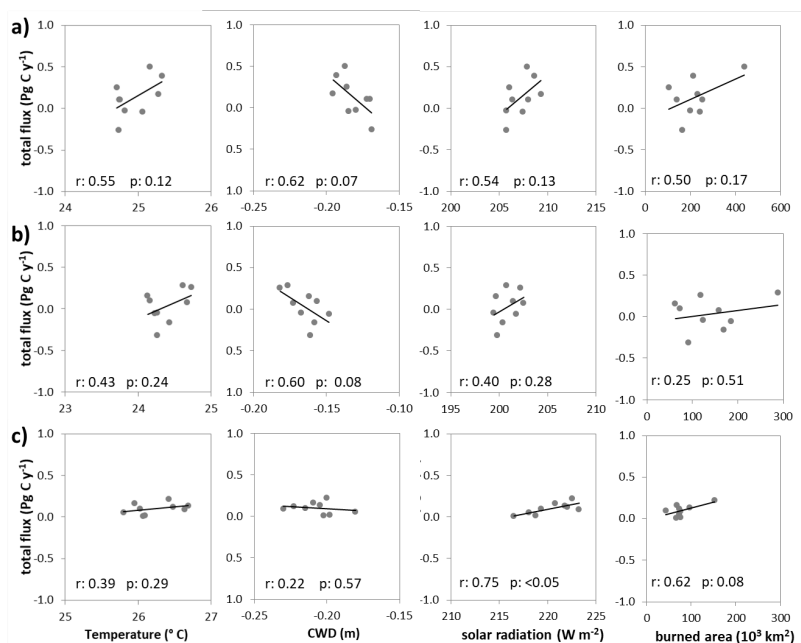


Figure A7. a) Linear regressions between annual mean carbon posterior total flux (posterior total flux less posterior fire flux) and temperature, cumulative water deficit (CWD), solar radiation and burned area for a) whole, b) western-central and c) eastern Amazon regions.

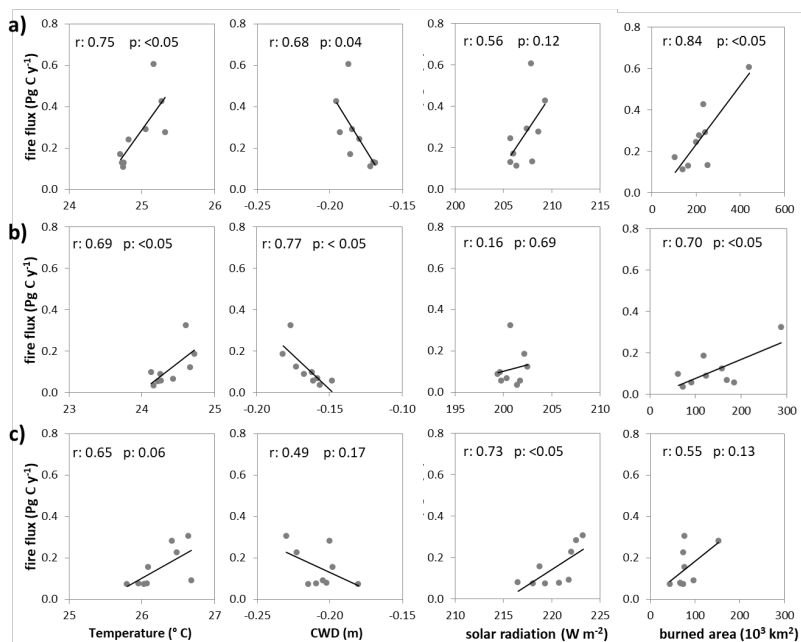


Figure A8. a) Linear regressions between annual mean carbon posterior fire flux (posterior total flux less posterior fire flux) and temperature, cumulative water deficit (CWD), solar radiation and burned area for a) whole, b) western-central and c) eastern Amazon regions.

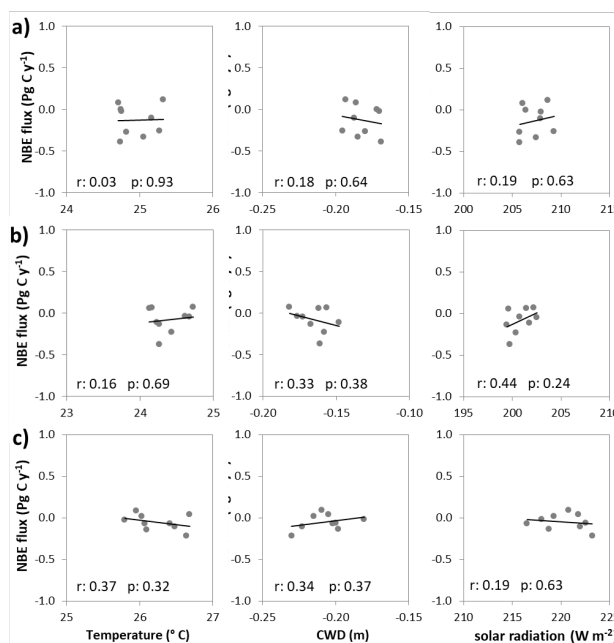


Figure A9. a) Linear regressions between annual mean carbon posterior NBE flux (posterior total flux less posterior fire flux) and temperature, cumulative water deficit (CWD), and solar radiation for a) whole, b) western-central and c) eastern Amazon regions.

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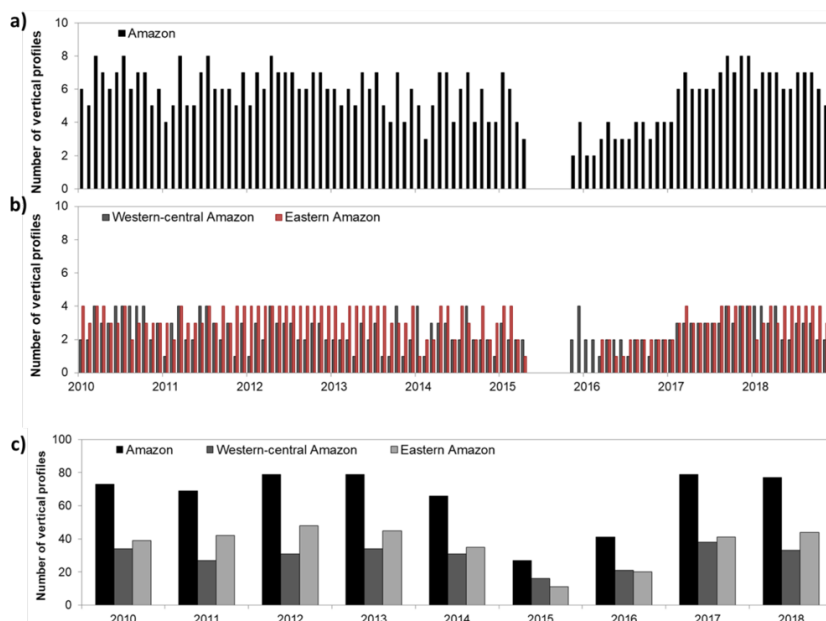


Figure A10. Total number of vertical profiles by month used in the inversions for the a) whole Amazon area, and b) divided in western-central (dark grey bars) and eastern Amazon regions (red bars). c) Total number of vertical profiles for whole (black bars), western-central (dark grey bars) and eastern Amazon regions (light grey bars). All the vertical profile data used were from Gatti et al., 2021.

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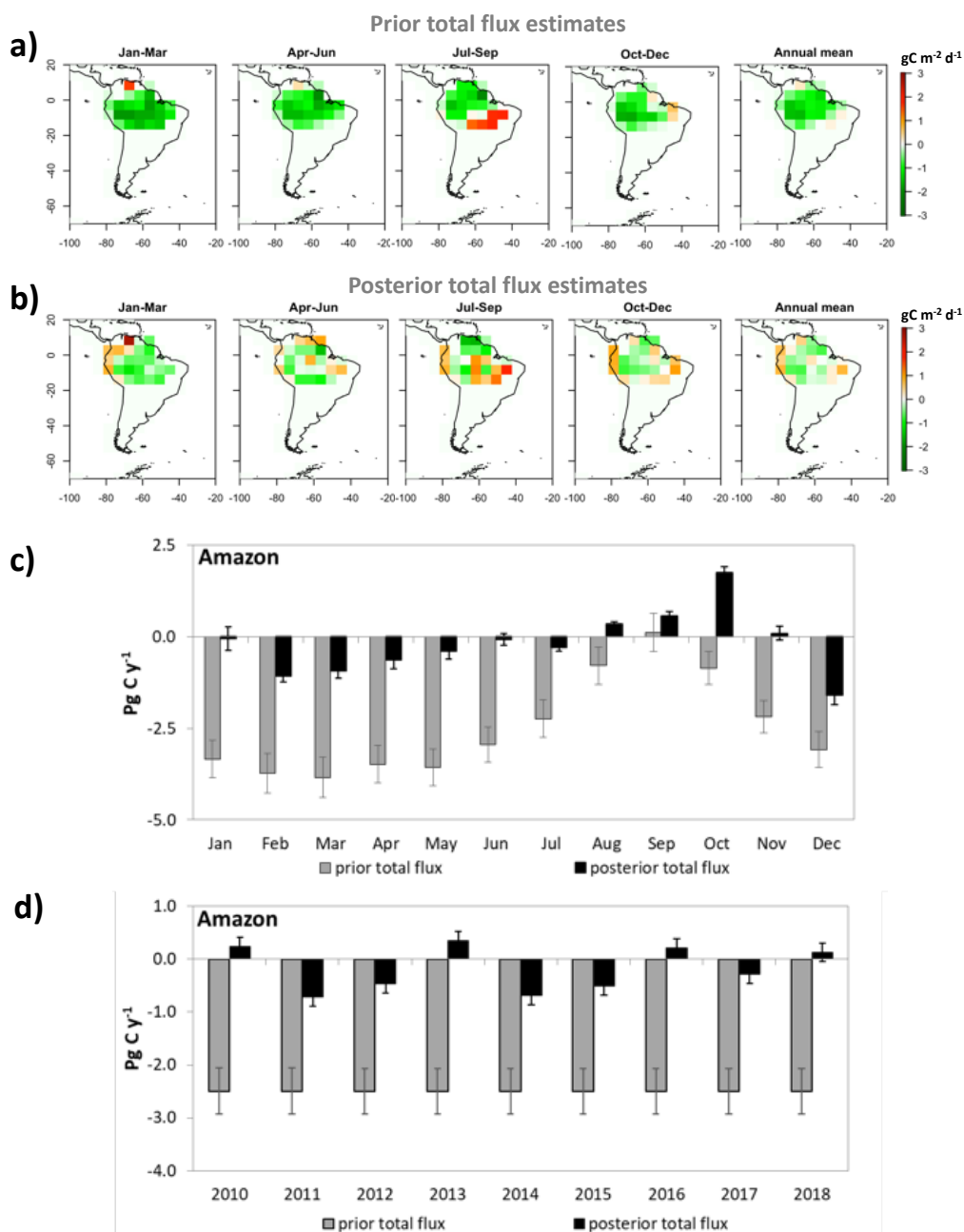
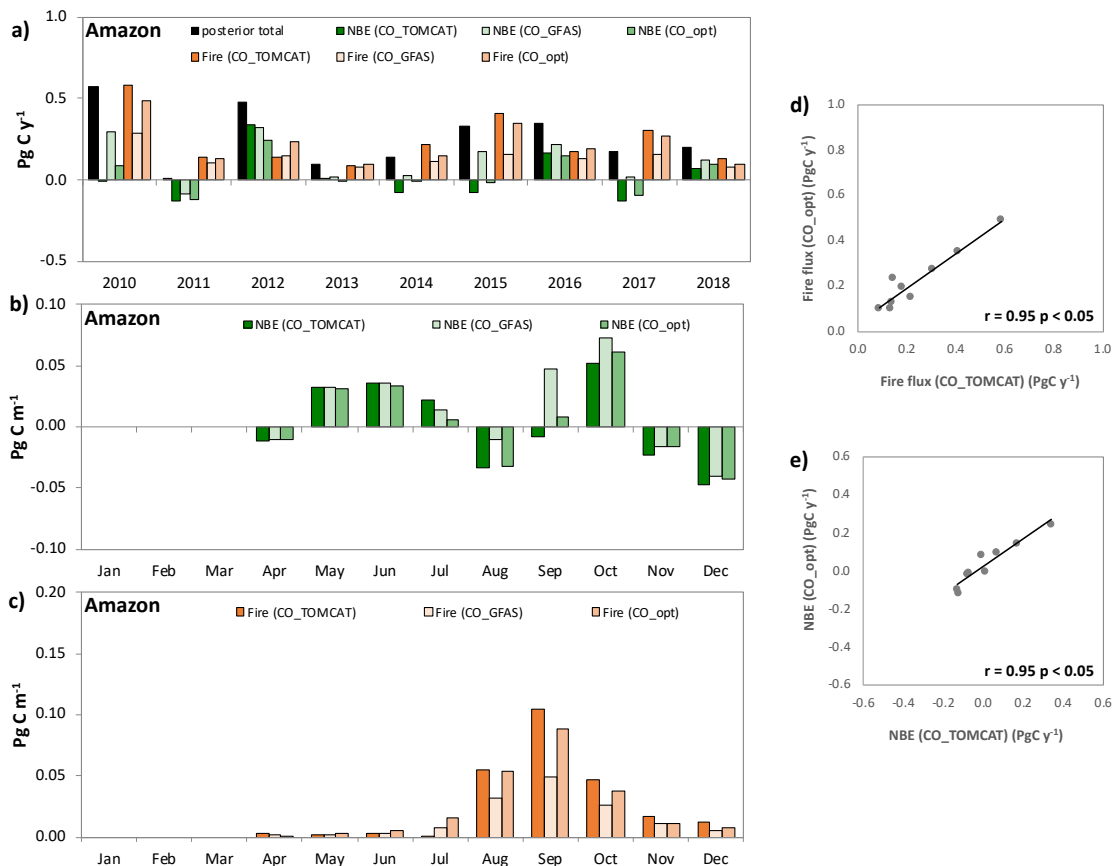


Figure A11. Quarterly and annual mean a) prior total (with CARDAMOM as land-biosphere prior flux), b) posterior total (with CARDAMOM as land-biosphere prior flux), carbon fluxes, where a positive value indicates a net emission of C while a negative value indicates a net uptake, c) nine-year monthly mean and d) annual means carbon fluxes for the Amazon using CARDAMOM estimates as land-biosphere prior fluxes between 2010 and 2018.



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Figure A12. a) Annual mean fluxes for the Amazon region total, fire and NBE estimates. Fire and NBE based on TOMCAT CO inversions (CO\_TOMCAT), Naus et al. (2022) emissions using GFAS as a prior (CO\_GFAS) and with their CO optimized inversions (CO\_opt). Nine-year monthly mean NBE (b) and fire (c) carbon fluxes for the Amazon, Fire and NBE based on TOMCAT CO inversions (CO\_TOMCAT), Naus et al. (2022) emissions using GFAS as prior (CO\_GFAS) and with their CO optimized inversions (CO\_opt). Linear regressions between annual mean carbon fire flux (d) and posterior NBE (e) based on TOMCAT CO inversions (CO\_TOMCAT) and Naus et al. (2022) CO optimized inversions (CO\_opt)

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Table A3. Annual mean fluxes (between April to December over the nine-year period, 2010 to 2018) using different CO estimates to estimate CO<sub>2</sub> fire and NBE fluxes.

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Carbon fluxes* (PgC y <sup>-1</sup> )		
Flux	NBE	Fire
CO_TOMCAT	0.02	0.24
CO_GFAS	0.12	0.14
CO_opt	0.04	0.22

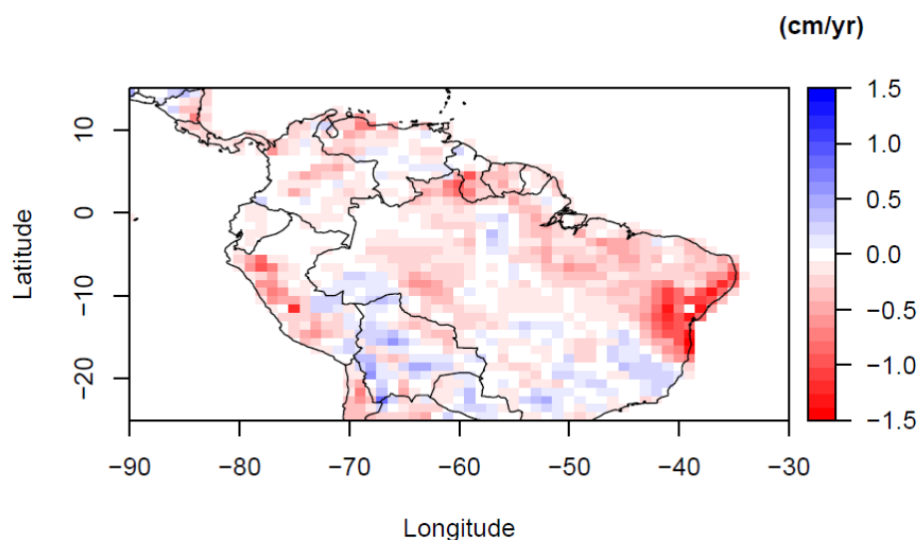


Figure A13. Time trend of maximum cumulative water deficit (CWD) between 1998 and 2019 based on TRMM v 7 precipitation estimates (Huffman et al., 2010).

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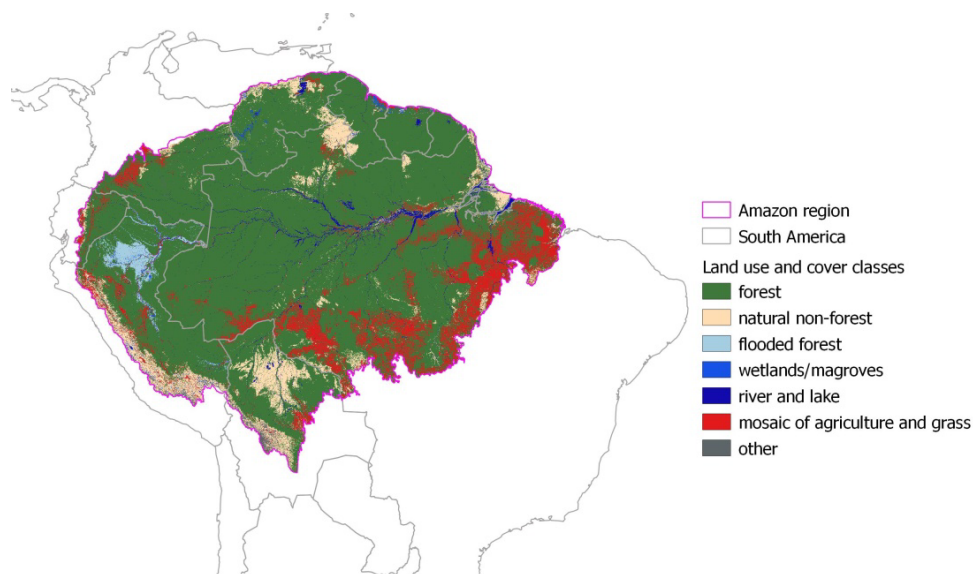
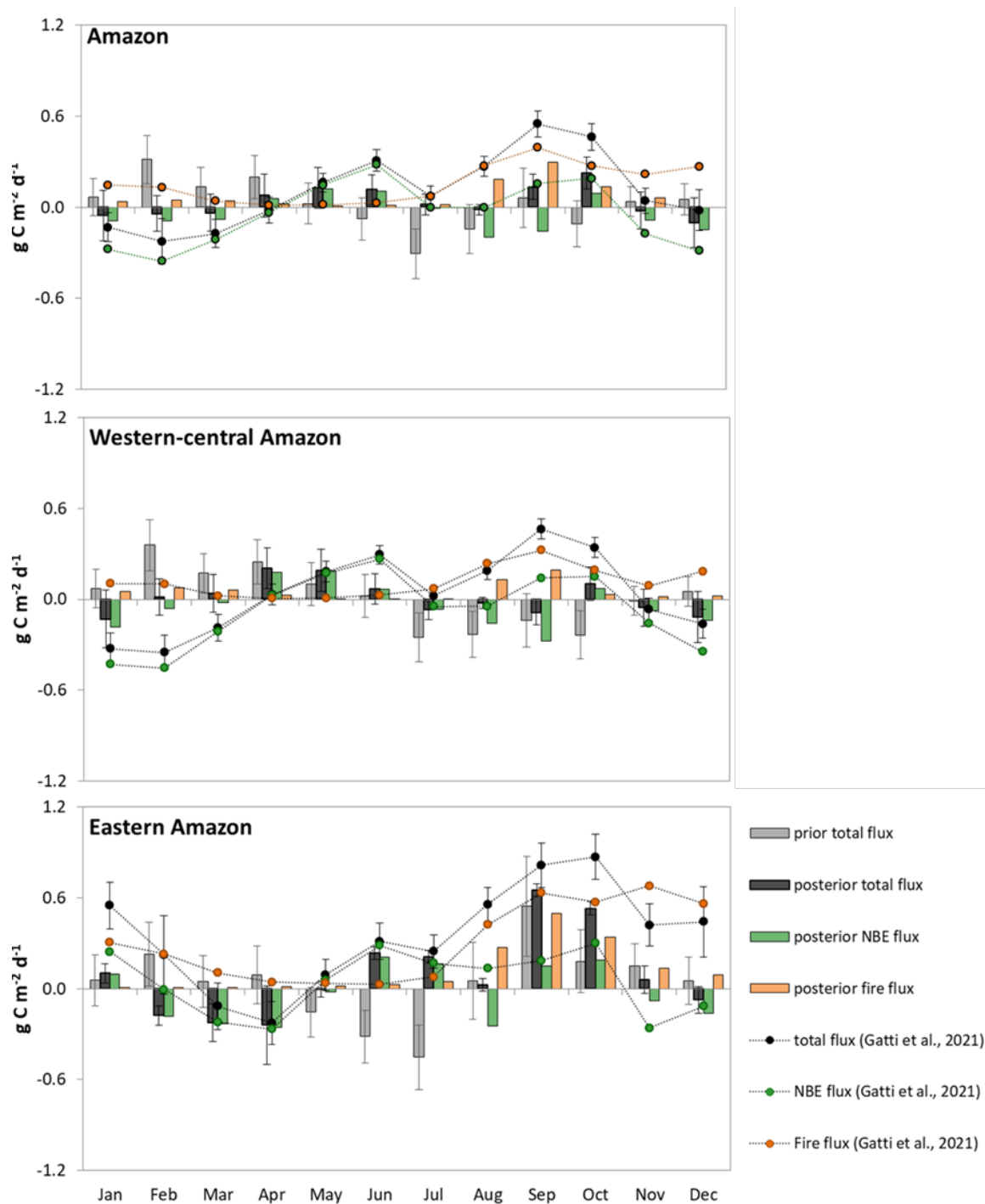


Figure A14. Map of land use and cover data from Mapbiomas (2020) for Pan-Amazonia up to 2018. Purple line represents the Amazon region boundaries and grey line the South America and its countries boundaries.

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1030 Figure A15. Comparison of monthly mean C fluxes from inverse modelling using Amazon vertical profile observations and C fluxes based the vertical profile observations calculated by mass balance technique from Gatti et al. (2021), for the period between 2010 and 2018).