

# Towards a Dynamic Earthquake Risk Framework for Switzerland

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**Abstract.** Scientists at ETH Zurich from different disciplines are developing a dynamic, harmonised and user-centred earthquake risk framework for Switzerland, relying on a continuously evolving earthquake catalogue generated by the Swiss Seismological Service (SED) using the national seismic networks. This framework uses all available information to assess seismic risk at various stages and facilitates widespread dissemination and communication of the resulting information. Earthquake risk products and services include Operational Earthquake (Loss) Forecasting (OE[L]F), Earthquake Early Warning (EEW), ShakeMaps, Rapid Impact Assessment (RIA), Structural Health Monitoring (SHM), as well as Recovery and Rebuilding Efforts (RRE). Standardisation of products and workflows across various applications is essential for achieving broad adoption, universal recognition, and maximum synergies. In the Swiss dynamic earthquake risk framework, the harmonisation of products into seamless solutions that access the same databases, workflows, and software is a crucial component. A user-centred approach utilising quantitative and qualitative social science tools like online surveys and focus groups is a significant innovation featured in all products and services. Here we report on the key considerations and developments of the framework and its components. This paper may serve as a reference guide for other countries wishing to establish similar services for seismic risk reduction.

**Short Summary.** Seismic hazard and risk are time-dependent, as seismicity is clustered and individual exposure can change rapidly. We are developing an interdisciplinary dynamic earthquake risk framework for advancing earthquake risk mitigation in Switzerland. This includes various earthquake risk products and services, such as Operational Earthquake Forecasting and Earthquake Early Warning. Standardisation and harmonisation into seamless solutions that access the same databases, workflows, and software is a crucial component.

**Keywords:** seismic hazard, seismic risk, seismic network, earthquake forecasting, earthquake early warning, rapid loss assessment, structural health monitoring, recovery and rebuilding efforts, earthquake communication

## 43 1. Introduction

44 Europe faces a significant earthquake risk due to its tectonic situation, high population density, business value,  
45 and the age and condition of buildings (e.g., Danciu *et al.*, 2022; Crowley *et al.*, 2022). This includes areas with  
46 moderate seismic activity, such as Switzerland, where earthquakes have the potential to cause significant loss,  
47 with projected costs of major events exceeding Euro 100 billion (Wiemer *et al.*, 2016; Wiemer *et al.*, 2023).  
48 Building codes and retrofitting are the most effective measures to reduce earthquake risk, but emerging  
49 technologies, such as Operational Earthquake Forecasting (OEF) or Earthquake Early Warning (EEW), can also  
50 improve resilience by means of reducing exposure (e.g., Cauzzi *et al.*, 2016; Papadopoulos *et al.*, 2023a).

51 The seismic risk to which a structure is exposed depends on its type, location, occupancy, and local site conditions;  
52 an individual's risk is also affected by her/his exact location within the structure. Seismic risk is therefore highly  
53 time-dependent (dynamic) and subject to change, because the underlying hazard, or the exposure, changes. In the  
54 short term, the risk may increase during an active seismic sequence in the vicinity. On a more immediate timescale,  
55 seismic risk is greatly increased once an event initiates and before the strong shaking begins. In the long term,  
56 seismic risk increases with rapid urbanisation and densification of the building stock. The vulnerability of certain  
57 structures may be altered by preceding events, contributing to an evolving seismic risk landscape during an  
58 ongoing seismic sequence. Compared to a static approach that assumes a constant level of hazard and risk, the  
59 dynamic risk approach allows for timely identification of changes, enabling more accurate estimates and thus  
60 more effective mitigation measures and improved safety outcomes. Here we describe the dynamic earthquake risk  
61 framework that we are implementing for Switzerland.

62 The national Seismic Hazard Model (SUIhaz2015; Wiemer *et al.*, 2016) and the recently released first national  
63 Earthquake Risk Model of Switzerland (ERM-CH23; Wiemer *et al.*, 2023; Papadopoulos *et al.*, 2023b) serve as  
64 the basis for tools and systems which we are developing as part of a dynamic, harmonised and user-centred  
65 earthquake risk framework for Switzerland. Within our framework, earthquake risk is evaluated consistently and  
66 in a harmonised manner, whether for the immediate next few seconds or projecting ahead for the next five decades.  
67 The framework uses all available information to evaluate seismic risk at various stages of the earthquake cycle  
68 (**Figure 1**), and facilitates widespread dissemination and communication of the resulting information. This  
69 involves various services, products, and research developed at the Swiss Seismological Service (SED), the  
70 Department of Earth Science, and the Institute of Structural Engineering (IBK) at the Eidgenössische Technische  
71 Hochschule (ETH) Zurich, including Operational Earthquake (Loss) Forecasting (OE[L]F), Earthquake Early  
72 Warning (EEW), ShakeMaps, Rapid Impact Assessment (RIA), Structural Health Monitoring (SHM), as well as  
73 Recovery and Rebuilding Efforts (RRE).

74 Harmonisation of products and workflows across different applications is crucial to ensure broad acceptance and  
75 universal recognition of products, as well as to maximise synergies and impact. The first element of our framework  
76 is the high-quality characterization of seismicity in Switzerland using the Swiss Seismic Network operated by the  
77 SED as the backbone (**Figure 1**) with reliable monitoring and recording of seismic events, reaching a

78 completeness magnitude of ML1.0 in most Swiss regions and ML1.5 in less densely instrumented areas. Real-  
79 time waveform data and derived catalogue parameters contribute directly to EEW, ShakeMaps, and OE[L]F, and  
80 play a key role in immediate disaster response and public safety measures.

81 The second element of our framework involves the assessment of ground shaking hazards. Using data from the  
82 Swiss Seismic Network, we derive predictive ground motion models, which we combine with seismotectonic and  
83 seismogenic sources (again strongly informed by the seismicity catalogue described above) for a comprehensive  
84 long-term seismic hazard assessment. These assessments are used to update seismic design guidelines and  
85 regulations, and to inform seismic risk assessment.

86 The third element of our framework focuses on assessing the consequences of earthquakes and estimating losses  
87 at different levels of exposure, from the national scale down to individual communities. The RIA system  
88 developed at the SED provides rapid estimates of potential structural and economic losses. The SHM and RRE  
89 systems developed at the IBK are essential for post-earthquake damage assessment of structures and recovery  
90 predictions.

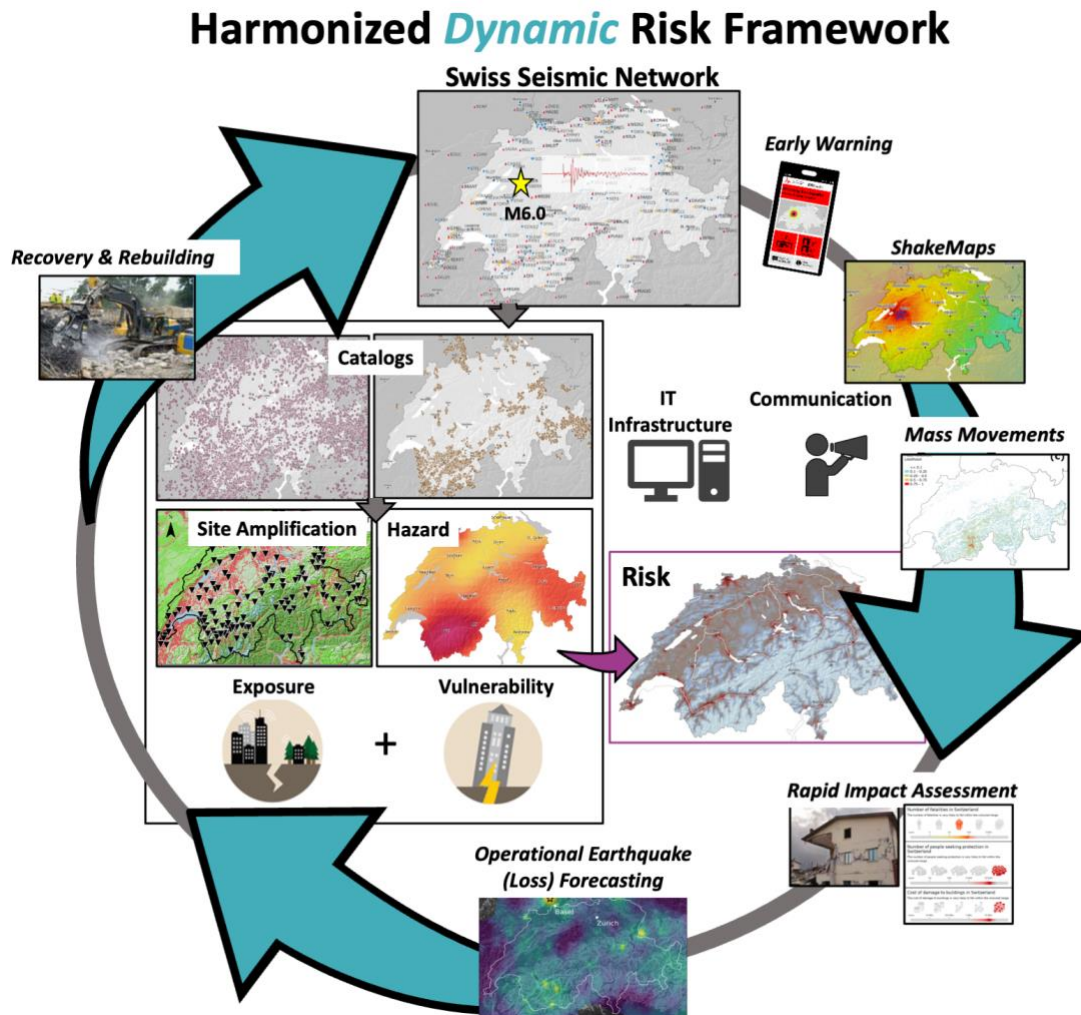
91  
92 Finally, a carefully crafted communication strategy accompanies the entire lifecycle of our initiative, from the  
93 development of operational services and the construction of data models to the dissemination of data products.  
94 All services and tools in our framework are based on state-of-the-art research infrastructure, including powerful  
95 computational tools and databases.

96  
97 A critical component of the Swiss dynamic risk framework is the standardisation into seamless products that  
98 access the same databases, workflows, and software, and are based on standard models: the Swiss EEW system  
99 (Massin *et al.*, 2021) uses the same ground-motion models as employed in the Swiss ShakeMaps (Cauzzi *et al.*,  
100 2015; 2022); the Swiss RIA system utilises Swiss ShakeMap as input, that includes the same site amplification  
101 layers (Bergamo *et al.*, 2023) used in ERM-CH23. Rapid impact is calculated using OpenQuake (Pagani *et al.*,  
102 2014) for scenario products, RIA, RRE, and probabilistic products, while the impact on people and buildings is  
103 determined from national building databases and their vulnerability. OELF calculations employ short-term  
104 seismicity forecasts in synergy with components of the hazard and risk models utilised for long-term hazard and  
105 risk calculations and RIA products. All products are informed by a single, continuously evolving earthquake  
106 catalogue, as well as continuous waveforms generated by the SED, using the national seismic networks.

107 All products and services feature a significant innovation, namely a user-centred approach that utilises quantitative  
108 and qualitative social science tools such as online surveys and focus groups. The visual representation of rapid  
109 impact, for instance, was developed based on feedback from focus groups and discussions with stakeholders at  
110 the federal and cantonal levels, and includes new visualisations of uncertainties. The risk map was adapted to the  
111 needs of the public, which were assessed through a representative, nationwide survey.

112 Here we report on the main components of the Swiss dynamic earthquake risk framework, most of which have  
113 been developed within the scope of the European Union Horizon 2020 *Real-time earthquake risk reduction for a*  
114 *ReSilient Europe* (RISE; <http://www.rise-eu.org/home/>, last accessed November 2023) project (**Figure 1**). We

115 start with a summary of the seismic hazard and risk in Switzerland and then continue with a description of the  
 116 recent advances in seismic monitoring capabilities over the last decade, which are crucial for the downstream risk  
 117 mitigation products and services that we focus on in the second part of this paper. Finally, we discuss the SED  
 118 strategy for implementing and communicating earthquake hazard and risk products to the public and stakeholders  
 119 in Switzerland. Our paper may serve as a reference for other countries seeking to establish a similar framework.



120

121 **Figure 1: Schematic representation of the dynamic risk framework.**

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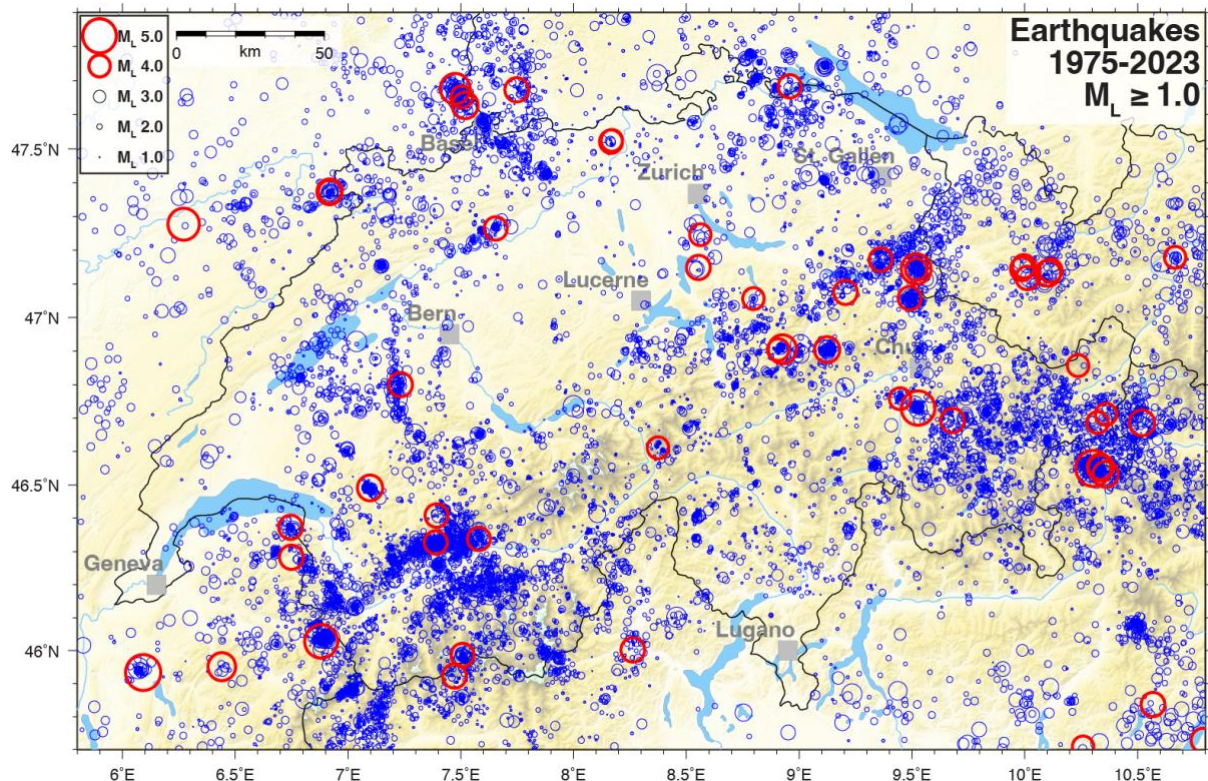
### 123 1.1 Seismic Hazard and Risk in Switzerland

124 Switzerland is exposed to a considerable threat of earthquakes. Around 1000 to 1500 earthquakes are detected in  
 125 Switzerland and its neighbouring countries every year, including 10 to 20 events that are felt by the population  
 126 (**Figure 2**). The 2015 Swiss seismic hazard model, SUIhaz2015 (**Figure 3a**; Wiemer *et al.*, 2016), which assesses  
 127 the likelihood of ground shaking, forecasts that earthquakes of magnitude 5 or greater are likely to occur every 8  
 128 to 15 years. The severity of impacts on buildings depends on the location and depth of the earthquake. Earthquakes  
 129 with a magnitude of 6 or greater, which can cause extensive and severe damage, occur on average every 50 to 150



130 years and can strike any part of Switzerland at any time. The last earthquake of this magnitude occurred close to  
131 the town of Sierre in the Upper Valais in 1946 (Fäh *et al.*, 2011). The canton of Valais faces the highest level of  
132 seismic hazard in Switzerland, followed by Basel, Grisons, the St. Gallen Rhine Valley, and Central Switzerland.

133 SUIhaz2015 has been implemented in the most recent version of Swiss building code SIA 261 (2020). It updated  
134 the hazard model from 2003 (Giardini *et al.*, 2004). The first seismic hazard model for Switzerland used in Swiss  
135 building codes until 2003 was the one of Sägesser & Mayer-Rosa (1978) which was based on the historical  
136 catalogue available at that time, as well as on macroseismic intensity data.



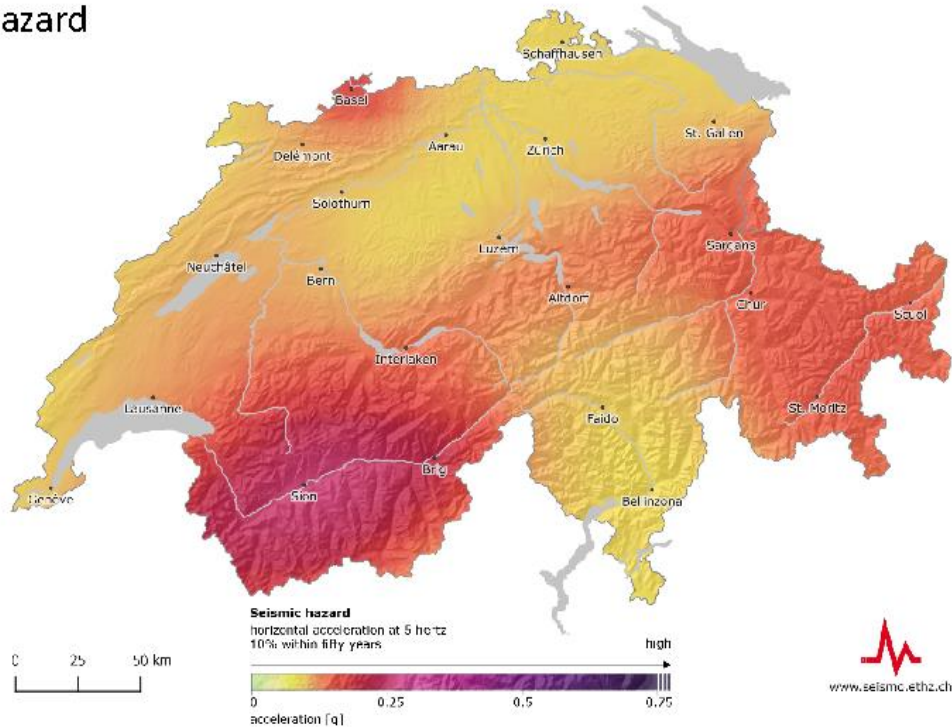
137  
138 **Figure 2: Map of Switzerland and the surrounding area showing all seismicity with ML ≥ 1.0 since 1975 in the SED**  
139 **earthquake catalogue (bulletin locations). Events with ML ≥ 4.0 are highlighted by bold red circles.**

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141 Methods for estimating site-specific amplification and local seismic hazard were developed at the SED during the  
142 past decades and were implemented in microzonation studies, e.g., as for the region of Basel (e.g., Fäh and  
143 Huggenberger, 2006). A number of approaches were developed to estimate site-specific amplification based on  
144 geophysical measurements and earthquake recordings (e.g., Edwards *et al.*, 2013; Michel *et al.*, 2017; Poggi *et*  
145 *al.*, 2017; Perron *et al.*, 2022; Panzera *et al.*, 2021, 2022). Recently, a project started to update the microzonation  
146 for the Basel region. All this experience was used to define the elastic response spectra in the Swiss building code  
147 (2020) and to implement a national regulation related to microzonation in SIA 261/1 (2020).

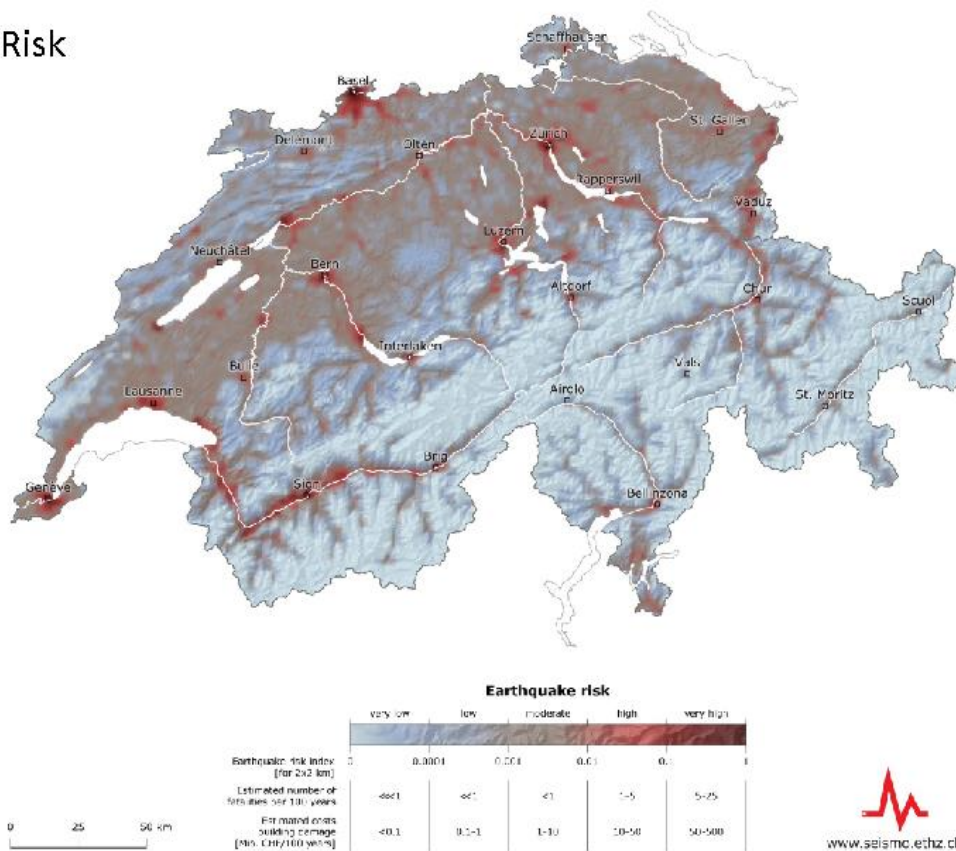
148 Geographic features, such as large and deep peri-alpine lakes, steep slopes, and alluvial basins with a high-water  
149 table, make Switzerland susceptible to secondary hazards (e.g., Fritsche *et al.*, 2012; Fäh *et al.*, 2012). Using  
150 geophysical imaging, seismic monitoring, numerical modelling and other techniques, the SED has been

151 conducting research on earthquake-induced hazards, including (i) rockfalls and landslides (e.g., Burjanek *et al.*,  
152 2018; Kleinbrod *et al.*, 2018; Glueer *et al.*, 2021; Häusler *et al.*, 2022); (ii) lake tsunamis (e.g., Strupler *et al.*,  
153 2018; Kremer *et al.*, 2022; Shynkarenko *et al.*, 2022); and (iii) liquefaction (e.g., Fritsche *et al.*, 2012; Roten *et*  
154 *al.*, 2014; Janusz *et al.*, 2022). Findings from these studies have been incorporated into rapid estimates of  
155 earthquake-induced mass movements and liquefaction probabilities via the SED ShakeMap application (Cauzzi  
156 *et al.*, 2018a; Section 3.3).

(a) Hazard



(b) Risk



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Figure 3: (a) Swiss Hazard Map (SUIhaz2015; @Swiss Seismological Service) showing the horizontal acceleration at 5 Hz; the probability of a building constructed on rock-like ground type experiencing this is 10% within 50 years (i.e., mean return period of 475 years). On average, 5 Hz represents the natural frequency of buildings with two to five floors, which make up the largest proportion of construction in Switzerland. 475 years is the value that underlies the Swiss Seismic Building Codes: an earthquake-resistant residential or office building should be able to withstand an earthquake that occurs where the building is situated within 475 years on average. (b) National Earthquake Risk Model of Switzerland (ERM-CH23; @Swiss Seismological Service). The color scale refers to a composite index based on

165 equally-weighted, normalized average structural/nonstructural loss and fatalities during 100 years. Values are  
166 provided in a 2 x 2 km grid. Comparatively high seismic risk is shown in dark red, lower risk is pale blue.  
167

168 While seismic hazard in Switzerland has been extensively studied, a formal effort to quantify seismic risk, which  
169 assesses the potential impact of earthquakes on both people and structures, as well as the resulting financial losses,  
170 was not available in the public domain until recently. In March 2023, the SED in partnership with the Federal  
171 Office for the Environment (FOEN) and the Federal Office for Civil Protection (FOCP) released the first National  
172 Earthquake Risk Model of Switzerland (ERM-CH23; **Figure 3b**; Wiemer *et al.*, 2023). ERM-CH23 is  
173 implemented for use with OpenQuake (Pagani *et al.*, 2014), developed by the Global Earthquake Model (GEM)  
174 foundation. As with most contemporary risk models, ERM-CH23 follows a modular structure (Mitchell-Wallace  
175 *et al.*, 2017), with five generally decoupled components pertaining to seismic hazard on a reference rock,  
176 amplification, structural vulnerability, exposure, and consequence models. These components were developed  
177 through collaboration with national and international partners. Unlike past attempts that sought to assess  
178 earthquake risk at a continental (Crowley *et al.*, 2021) or global scale (Silva *et al.*, 2020), ERM-CH23 is largely  
179 supported by high-quality and high-resolution data.  
180

181 ERM-CH23 has been developed to estimate the economic damage in Switzerland caused by earthquakes, which  
182 resulted in a projected average cost of CHF 11 to 44 billion for building and contents alone, over a 100-year  
183 period. Urban areas, particularly the cities of Basel, Geneva, Zurich, Lucerne, and Bern, face the greatest risk due  
184 to their size and the concentration of people and assets that could be impacted by an earthquake. Additionally,  
185 these cities contain numerous vulnerable buildings located on soft soil types, which can significantly amplify  
186 seismic waves. As a culmination of many years of research and expertise at the SED (e.g., Michel *et al.*, 2017,  
187 Hobiger *et al.*, 2021, Bergamo *et al.*, 2021), a national site amplification model (**Figure 4**) has been created as  
188 part of ERM-CH23, using geo-spatial prediction techniques constrained on local site response measured at  
189 instrumented sites (Bergamo *et al.*, 2023). This model is based on (i) the direct mapping of observed site  
190 amplification factors at about 245 seismic stations, extracted with empirical spectral modelling technique (ESM,  
191 Edwards *et al.*, 2013); and (ii) layers of site condition indicators (multi-scale topographic slope, estimated bedrock  
192 depth, lithological classification of soil; **Figure 4a**). The dataset of empirical amplification factors was finally  
193 interpolated over the national territory using site condition proxies as predictor variables and the regression kriging  
194 algorithm (Hengl *et al.*, 2007) as a geo-spatial prediction framework. The resulting amplification model consists  
195 of four soil response layers for peak ground velocity, PGV, and 5%-damped pseudo-spectral acceleration, PSA,  
196 at periods of 1.0 s, 0.6 s, and 0.3 s (e.g., **Figure 4b**), each with associated maps of epistemic and aleatory variability  
197 following the definition in Al Atik *et al.* (2010). The amplification maps for PGV and PSA were also converted  
198 into layers of aggravation or reduction of macroseismic intensity by means of the relations of Faenza & Michelini  
199 (2010, 2011).  
200

201 The exposure model represents the results of a national effort to obtain an extensive geo-referenced database of  
202 all building objects in Switzerland. ERM-CH23 makes use of over 2.25 million building entries in the database,  
203 after excluding close to 900'000 too small or unclassified objects. Among others, the building database contains  
204 for each object information such as the period of construction, building function, footprint area, volume, height  
205 or reconstruction cost (determined for each building individually and validated with data from the cantonal

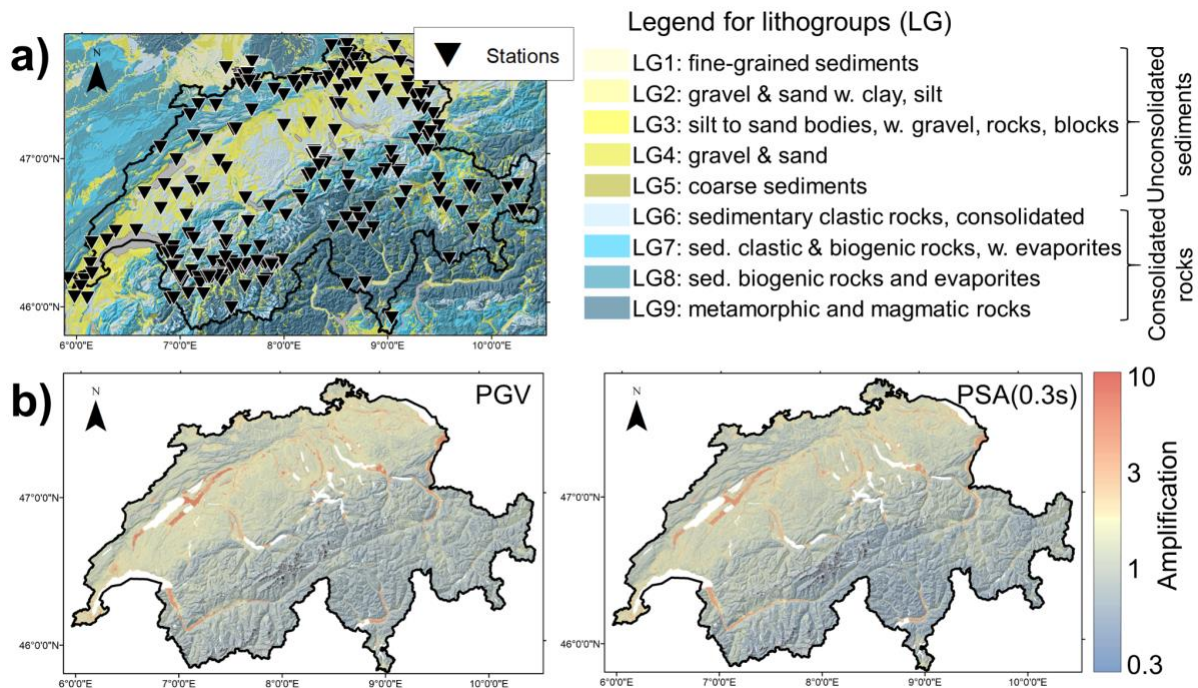


206 building insurance companies). Together with ground surveys to assess the frequency of different building  
 207 materials in several cities, they underpin the ERM-CH23 exposure model. The number of occupants in each  
 208 building is defined through de-aggregation of geo-referenced housing and employment statistics (Papadopoulos  
 209 *et al.*, 2023b).

210

211 Relying on the aforementioned surveys and past experience the building taxonomy proposed in Lagomarsino &  
 212 Giovinazzi (2006) was found to be suitable and applicable to Switzerland, with minor modifications. Two sets of  
 213 fragility curves were derived, one in terms of macroseismic intensity for the relevant part of the logic tree of the  
 214 overall model and one in terms of spectral acceleration (at 0.3s or 0.6s) for the other part (Wiemer *et al.*, 2023).  
 215 The former relies on the methodology described in Lagomarsino & Giovinazzi (2006) and Lagomarsino *et al.*  
 216 (2021), together with engineering judgement about Swiss practice. For the development of the latter, a statistical  
 217 investigation of building blueprints was first performed to identify average geometric characteristics of various  
 218 building types. Capacity curves, idealised in bilinear form, were obtained from numerical models (Lestuzzi *et al.*,  
 219 2017).

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221

222 **Figure 4: (a) Geographical locations of the 243 (urban) free-field stations having recorded at least 5 earthquakes with**  
 223 **signal-to-noise ratios > 3 in the period 2000 – 2021, superimposed on the lithological classification of Switzerland**  
 224 **employed to derive the national soil response model. (b) PGV (left) and PSA(0.3s) (right) amplification maps (referred**  
 225 **to  $V_s30 = 1105$  m/s), part of the national soil response model (Bergamo *et al.*, 2023).**

## 226 2. Seismic Monitoring

### 227 2.1 Swiss Seismic Network

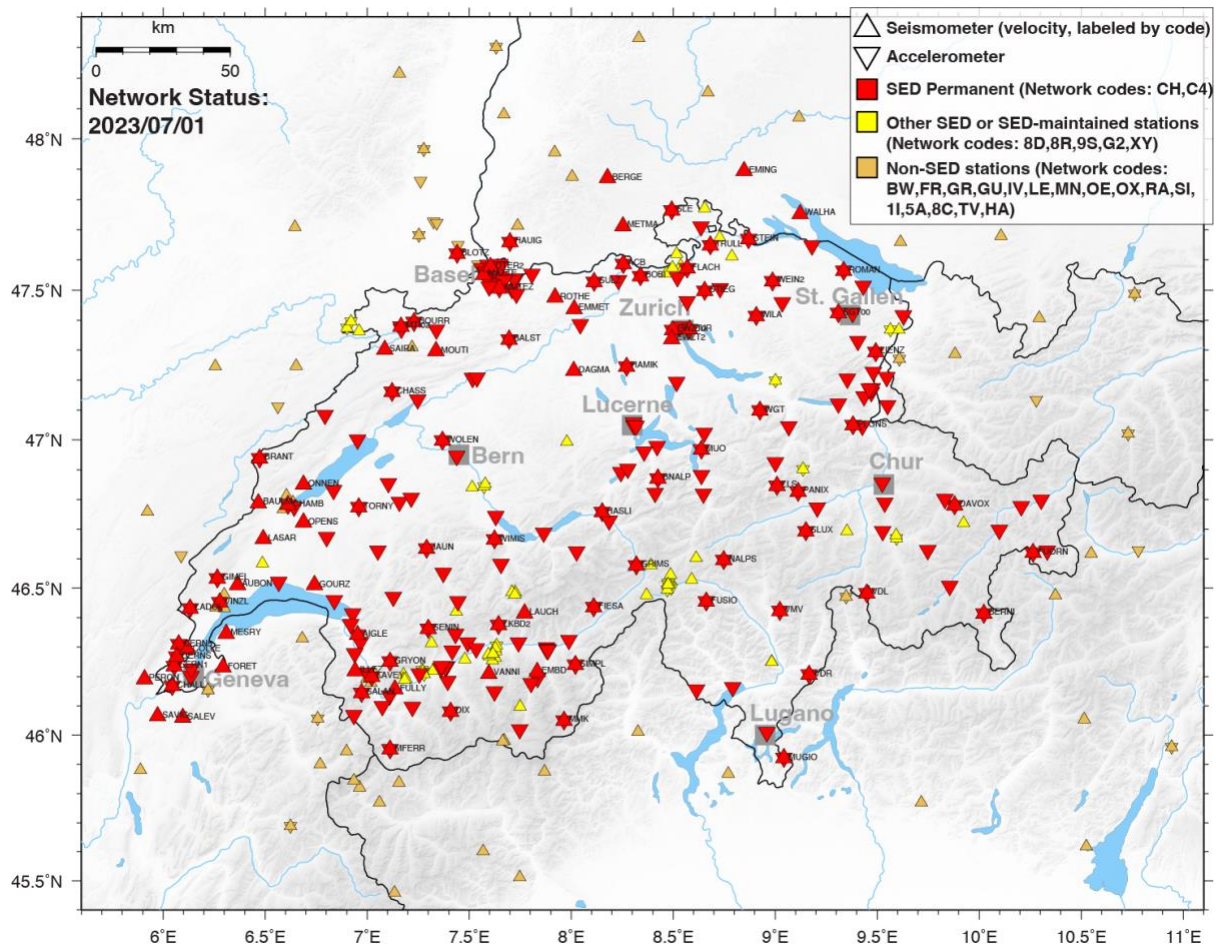
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229 The Swiss Seismic Network counts today about 220 permanent stations (network code CH; Swiss Seismological  
 230 Service (SED) at ETH Zurich, 1983) with the aim of monitoring the seismic activity in Switzerland, supporting  
 231 scientific research, and assessing seismic hazard and risk (Clinton *et al.*, 2011; Diehl *et al.*, 2021b; **Figure 5**). The

232 network is divided into two main groups of stations. The first group is composed of about 50 backbone broadband  
233 stations (known as the ‘SDSNet’) that have very sensitive seismic sensors (broadband velocity instruments, often  
234 referred to as “weak-motion”) placed in quiet areas with optimal vault conditions. These stations are evenly spread  
235 throughout Switzerland and can detect and locate microseismic activity. Each of these sites also has a state-of-  
236 the-art force-balance accelerometer (often referred to as “strong-motion” instrument). The second group is  
237 composed of approximately 150 strong-motion stations (known as ‘SSMNet’) that are primarily located in high-  
238 risk urban areas of Switzerland, such as Basel and the Rhone Valley in the Valais (e.g., Clinton *et al.*, 2011;  
239 Cauzzi & Clinton, 2013). The SSMNet network is concluding a multiannual renewal project (2009 - 2023) which  
240 involved the renovation and significant expansion of the network, as well as the systematic site characterization  
241 of all newly instrumented sites (Michel *et al.*, 2014; Swiss Seismological Service (SED) at ETH Zurich, 2015;  
242 Hobiger *et al.*, 2021). In addition to these permanent stations, the Swiss Seismic Network operates another ~70  
243 temporary stations, which were installed for a variety of reasons, including the monitoring of geothermal  
244 exploration (Swiss Seismological Service (SED) at ETH Zurich, 2006); aftershocks and seismic sequences (Swiss  
245 Seismological Service (SED) at ETH Zurich, 2005), mass movements (Swiss Seismological Service (SED) at  
246 ETH Zurich, 2012), glaciers (Swiss Seismological Service (SED) at ETH Zurich, 1985), underground rock  
247 physics laboratories (Swiss Seismological Service (SED) at ETH Zurich, 2018a); as well as for risk studies (Swiss  
248 Seismological Service (SED) at ETH Zurich, 2018b). The particularly dense network infrastructure in the Valais  
249 is host to the Valais Near Fault Observatory (Chiaraluce *et al.*, 2022). An extra ~10 stations inside Switzerland  
250 but operated by external providers are included in the SED processing to improve the detection and  
251 characterization of seismic events, e.g., related to geothermal exploration (Swiss Seismological Service (SED) at  
252 ETH Zurich, 2021). Around 50 stations operated by seismic agencies in neighbouring countries are also included  
253 in the real-time monitoring, which are crucial for accurate event locations and lowering the magnitude of  
254 completeness in and around the border regions.

255

256 The majority of broadband sensors in the Swiss Seismic Network are Streckeisen STS-2 and STS-2.5 and  
257 Nanometrics T240 or T120; the Kinematics EpiSensor is deployed for strong-motion stations. The network uses  
258 modern ultra-low latency digitizers (typically Nanometrics Centaur, Taurus, and Kinematics Q330), and most  
259 sensors are acquired at sampling rates between 200 and 250 sps. A newly developed sensor concept allows the  
260 SED to easily deploy large numbers of temporary stations rapidly in more remote locations with real-time  
261 streaming. GNSS datasets are currently not collected, processed, or integrated by the SED.



262  
263 **Figure 5: Map of Switzerland and the surrounding area showing broadband seismometers and strong-motion**  
264 **accelerometers monitored by the Swiss Seismic Network as of July 2023. The map shows permanent and temporary**  
265 **stations operated by the SED, as well as stations operated by external partners in and outside of Switzerland.**  
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268 **2.2 Seismic Data Processing**

269 Over the past 20 years, the number of stations within the Swiss Seismic Network has grown steadily. Data began  
270 to be continuously archived in 1999, with the advent of the first broadband sensors. Today, continuous data is  
271 standard and the network collects around 20GB of data every day; the total archive size is currently close to  
272 100TB. The SED operates a European Integrated Data Archive (EIDA) node (Strollo *et al.*, 2021), and the  
273 majority of the waveform data, along with the SED earthquake catalogue, is open and accessible via community-  
274 standard International Federation of Digital Seismograph Networks (FDSN) web services for data access and  
275 download (**Table 1**).

276  
277 Since 2012, the Swiss Seismic Network has been utilising SeisComP (<https://www.seiscomp.de/>, last accessed  
278 November 2023), a software developed by the German Research Centre for Geosciences (GFZ) Potsdam and  
279 gempa GmbH (<https://www.gempa.de/>, last accessed November 2023), for earthquake monitoring and seismic  
280 data processing. SeisComP supports real-time data acquisition, archival, and distribution, as well as automated  
281 earthquake detection and quantification, manual earthquake review, as well as catalogue management.

282 **Detection and Location:** The real-time automated processing at the SED involves event triggering using station-  
283 specific short term-average (STA)/long term-average (LTA) thresholds, refined post-picking using Baer (Baer &  
284 Kradolfer, 1987) and AIC pickers, association of picks using *scautoloc* or *scanloc* (Grigoli *et al.*, 2018), and  
285 location of events with *NonLinLoc* (Lomax *et al.*, 2000) using Swiss-specific 1D and 3D velocity models. Several  
286 projects have been initiated at the SED over the last decades to improve the existing velocity models at different  
287 scales. A first 3D P-wave velocity model for Switzerland was developed by Husen *et al.* (2003), followed by a  
288 regional 3D local earthquake tomography (LET) P-wave velocity model by Diehl *et al.* (2009). A refined Pg and  
289 Sg LET model (parametrization 10x10x4 km) was computed by Diehl *et al.* (2021a). In their study, Diehl *et al.*  
290 (2021a) demonstrated that a sub-kilometer accuracy of epicenters can be achieved in most parts of Switzerland  
291 by using Pg and Sg phases in combination with an accurate 3D velocity model and the dense seismic network  
292 operated by the SED. Especially in very densely instrumented parts of the network, in which the distance to the  
293 closest observing station is smaller than 1.5 times the focal depth for most of the seismicity, the new velocity  
294 model also achieves sub-kilometer accuracy of focal depths (Diehl *et al.*, 2021a; Lee *et al.*, 2023). This 3D velocity  
295 model has been used for relocation and high-resolution seismotectonic interpretations in several recent studies  
296 (e.g., Lanza *et al.*, 2022; Diehl *et al.*, 2023) and, since June 2022, is the standard model for bulletin locations by  
297 the SED. Furthermore, the LET model was locally improved in southwestern Switzerland by application of a  
298 staggered-grid approach, resulting in a 5x5x3 km model parametrization for the region of the Rhone-Simplon  
299 Fault Zone (Lee, 2023). The SED is working on an extension of these models to the entire crust, a Swiss-wide 3D  
300 Qp and Qs attenuation model, and a new Alpine-wide 3D P-wave crustal model using the data of the AlpArray  
301 experiment (e.g., Hetényi *et al.*, 2018).

302 **Source Characterization:** Over the last couple of years, the SED has updated its strategy for magnitude  
303 determination to align it with the latest developments in engineering seismology and seismic hazard studies in  
304 Switzerland. This includes the adoption of a new local magnitude relationship MLhc (Edwards *et al.*, 2015; Racine  
305 *et al.*, 2020) and the seamless computation of the moment magnitude, Mw, based on spectral fitting, MwSpec  
306 (Edwards *et al.*, 2010). In this article we use the generic “ML” notation for local magnitudes at the SED. Station  
307 corrections for local magnitudes have been included, and these changes have been implemented retrospectively  
308 for all events since January 1, 2009. Since November 2021, MLhc is the authoritative Swiss-specific local  
309 magnitude used by the SED, and its computation has been integrated with SeisComP. Magnitudes are provided  
310 for all origins, and the preferred origin is selected using a SED developed origin score that considers the number  
311 of picks, pick residuals, and azimuthal gap. For earthquakes larger than ML2.5, alerts are automatically sent to  
312 federal and cantonal authorities (Section 4.2), a ShakeMap is created (Section 3.3), and the strong-motion portal  
313 (<http://strongmotionportal.seismo.ethz.ch/home/>, last accessed November 2023) is populated. Manual review is  
314 performed using the SeisComP *scolv* GUI. For large events with ML >3.5, manual moment tensors are calculated  
315 using the *scmtv* GUI and published in the annual/bi-annual reports of the SED (e.g., Diehl *et al.*, 2021b). The  
316 earthquake catalogue is curated through *scolv*. The SED is currently working on strategies to disseminate and  
317 visualise its existing first-motion and moment-tensor catalogues for public access.

318

319 **Advanced Processing:** In addition to the SeisComP standard modules mentioned above, the SED has developed  
320 internally, or with support from gempa, specific modules for advanced processing. These include



- 321 • *scwfparam* for providing engineering intensity measures and input to ShakeMap (Cauzzi *et al.*, 2016);
- 322 • *sceewenv*, *scvsmag*, *scfinder*, and *sceewlog* for EEW (Massin *et al.*, 2021);
- 323 • *scdetect* for earthquake detection using template matching (see below);
- 324 • *scrtDD* for real-time double difference relocation (see below).

325 *Earthquake Detection from Template Matching - scdetect*: Real-time earthquake detection is crucial for the  
326 characterization of earthquake sequences. *Scdetect* is a highly configurable module for real-time earthquake  
327 detection based on template matching using computationally efficient waveform cross-correlation (Armbruster *et*  
328 *al.*, 2022). The workflow of *scdetect* is fully integrated with the SeisComP architecture and allows users to  
329 visualize and refine the detected earthquakes using SeisComP’s built-in GUI applications. *Scdetect* is currently  
330 being real-time tested in Switzerland in areas of high seismic activity using templates from past earthquake  
331 sequences with the goal of detecting small magnitude earthquakes that are missed by the current operational  
332 pipelines.

333  
334 *Real-time Double Difference Relocation - scrtDD*: To understand the spatio-temporal evolution of natural and  
335 induced seismicity, it is essential to have real-time, high-precision hypocenter locations, allowing to determine  
336 the geometry and extent of seismically active faults, as well as the volume affected by stimulation procedures.  
337 The spatio-temporal evolution of seismicity can also provide information about fluid-flow processes and hydraulic  
338 properties, including the possible existence of hydraulic connections (e.g., Diehl *et al.*, 2017). Although relative  
339 relocation procedures have been developed for decades (e.g., Console & Di Giovambattista, 1987; Waldhauser &  
340 Ellsworth, 2000), they are rarely applied in routine, real-time processing. To address this, the SED has developed  
341 the *scrtDD* software module (Scarabello & Diehl, 2021), which performs real-time and near-real-time double-  
342 difference relocations following the procedures described in Waldhauser & Ellsworth (2000) and Waldhauser  
343 (2009) within the SeisComP architecture. The module combines differential times derived from automatic and  
344 manual picks as well as waveform cross-correlation with archived data from nearby past events (Scarabello *et al.*,  
345 2020). The differential-time data are subsequently inverted to compute the single-event, relative location of a  
346 newly detected earthquake with respect to the double-difference background catalogue following the procedure  
347 of Waldhauser (2009). The module also includes the possibility to generate or update a double-difference  
348 background catalogue using the standard multi-event double-difference method of Waldhauser & Ellsworth  
349 (2000). To ensure that new events are continuously included in the background catalogue and that real-time  
350 relocations remain accurate in areas of sparse background seismicity, the SED has implemented both single-event  
351 and multi-event relocation procedures in their operational monitoring system since 2021. Currently, the SED is  
352 developing and testing concepts for more advanced visualisation and dissemination of SED’s double-difference  
353 catalogues.

354  
355 Other advanced methods, which are currently being explored and evaluated at the SED, include

356  
357 *Noise interferometry*: To monitor variations in mechanical and structural properties in the crust, the SED is  
358 applying seismic noise interferometry techniques, which involve reconstructing approximative Green’s functions,  
359 typically referred to as cross-correlation functions, by correlating continuous ambient seismic noise records (e.g.,



360 Nakata *et al.*, 2019). From the cross-correlation functions, ballistic waves are used to image the subsurface (e.g.,  
361 Obermann *et al.*, 2016; Molinari *et al.*, 2020) and coda waves are used for time-lapse imaging (e.g., Obermann *et al.*,  
362 2013, 2014). Unlike earthquakes, seismic noise offers a constant source of signals that can be recorded  
363 anywhere on Earth. The spatial resolution of noise interferometry is primarily limited by the geometry and  
364 aperture of the seismic network, as well as the stability in noise excitation across frequency bands. While sparse,  
365 noisy stations often only allow the reconstruction of the fundamental-mode surface wave, quiet stations in dense  
366 arrays allow the reconstruction of body waves with a much-increased depth resolution. In addition to the  
367 monitoring of natural processes, coda wave-based noise interferometry has great potential for the time-lapse  
368 monitoring of local engineering applications, such as dams, hydraulic stimulations, or carbon storage. Changes in  
369 seismic velocity and waveform similarity are proxies for aseismic stress changes in the subsurface that could  
370 indicate weakening, stress build-up or imminent failure. At geothermal project sites coda wave interferometry  
371 has already proven its potential to detect unexpected reservoir dynamics earlier than the microseismic response  
372 alone (Obermann *et al.*, 2015; Hillers *et al.*, 2015; Toledo *et al.*, 2022; Sánchez-Pastor *et al.*, 2019) and as such  
373 could become a valuable contributor to our earthquake risk framework in the future.

374

375 *Fiber-optic deformation sensing:* During the past decade, fibre-optic sensing techniques, previously used mostly  
376 for perimeter security and infrastructure monitoring applications, have emerged as a new seismic recording  
377 paradigm. In particular, Distributed Acoustic Sensing (DAS) offers high spatial resolution at the metre scale, as  
378 well as a frequency bandwidth from mHz to kHz (e.g., Lindsey *et al.*, 2020; Paitz *et al.*, 2021). Complementing  
379 conventional seismometer recordings, DAS fills a niche in cases where kilometre-long fibre-optic cables can  
380 either be co-used or easily deployed. The former includes fibre-optic sensing in densely populated cities (Ajo-  
381 Franklin *et al.*, 2019, Spica *et al.*, 2020), under water (Spica *et al.*, 2022) or in avalanche-prone regions (Paitz *et al.*,  
382 2023) with the help of telecommunication fibres. This enables urban subsurface imaging with a lateral  
383 resolution on the order of 10 m, and the detection of earthquakes and avalanches for monitoring and early warning  
384 purposes. On volcanoes, glaciers and ice sheets, fibre-optic cables for sensing applications can often be deployed  
385 with relative ease, thereby providing new opportunities for high-resolution studies of volcanic or glacial dynamics  
386 (Walter *et al.*, 2020; Klaasen *et al.*, 2021; Jousset *et al.*, 2022). More recent developments in integrated fibre-optic  
387 sensing overcome the limited interrogation distance of DAS, typically several tens of kilometres, at the expense  
388 of reduced spatial resolution (Marra *et al.*, 2018; Bogris *et al.*, 2022). Applications of integrated sensing for  
389 seismic imaging and earthquake characterization, especially in the oceans, are promising but still in their infancy.  
390 At the current stage, fibre-optic seismology is still in exploratory mode, and the identification of clear applications  
391 where it would be beneficial within an earthquake risk framework is work in progress. Specific next steps include  
392 the routine incorporation of DAS data in near-real-time earthquake detections and locations, as well as the  
393 detection of secondary effects, such as landslides and avalanches using existing telecom infrastructure.

394

395 *Machine learning:* Over the last couple of years, machine learning and deep learning techniques have started to  
396 rapidly transform earthquake seismology (e.g., Mousavi & Beroza, 2022). Automated seismic processing methods  
397 are nowadays capable of producing large data products (such as seismicity catalogues) of high quality that match  
398 or even exceed the reliability and fidelity of those made by human data processing experts. The SED is actively  
399 pursuing research in deep learning-based earthquake science, including event classification, seismicity monitoring

400 methods, site-characterization, planetary seismology, and seismicity forecasts (e.g., Maranò *et al.*, 2012; Hammer  
401 *et al.*, 2013; Meier *et al.*, 2019; Dahmen *et al.*, 2022). This work involves implementing various machine learning  
402 models for seismic signal denoising, phase detection and arrival time estimation, signal/noise classification, phase  
403 association, first motion polarity classification, and others. The SED uses non-machine learning based methods  
404 as benchmarks to evaluate the effectiveness of these new approaches. For all monitoring tasks, the SED plans to  
405 compare established and available models against newly-trained models and models transfer-learned using Swiss  
406 data. A crucial aspect of these efforts will be the testing of the machine learning methods at various scales of  
407 seismicity monitoring, including underground laboratory experiments, geothermal reservoir scales, as well as  
408 national and regional monitoring scales.

409

### 410 **3. Products and Services**

411

#### 412 **3.1. Operational Earthquake (Loss) Forecasting (OEF & OELF)**

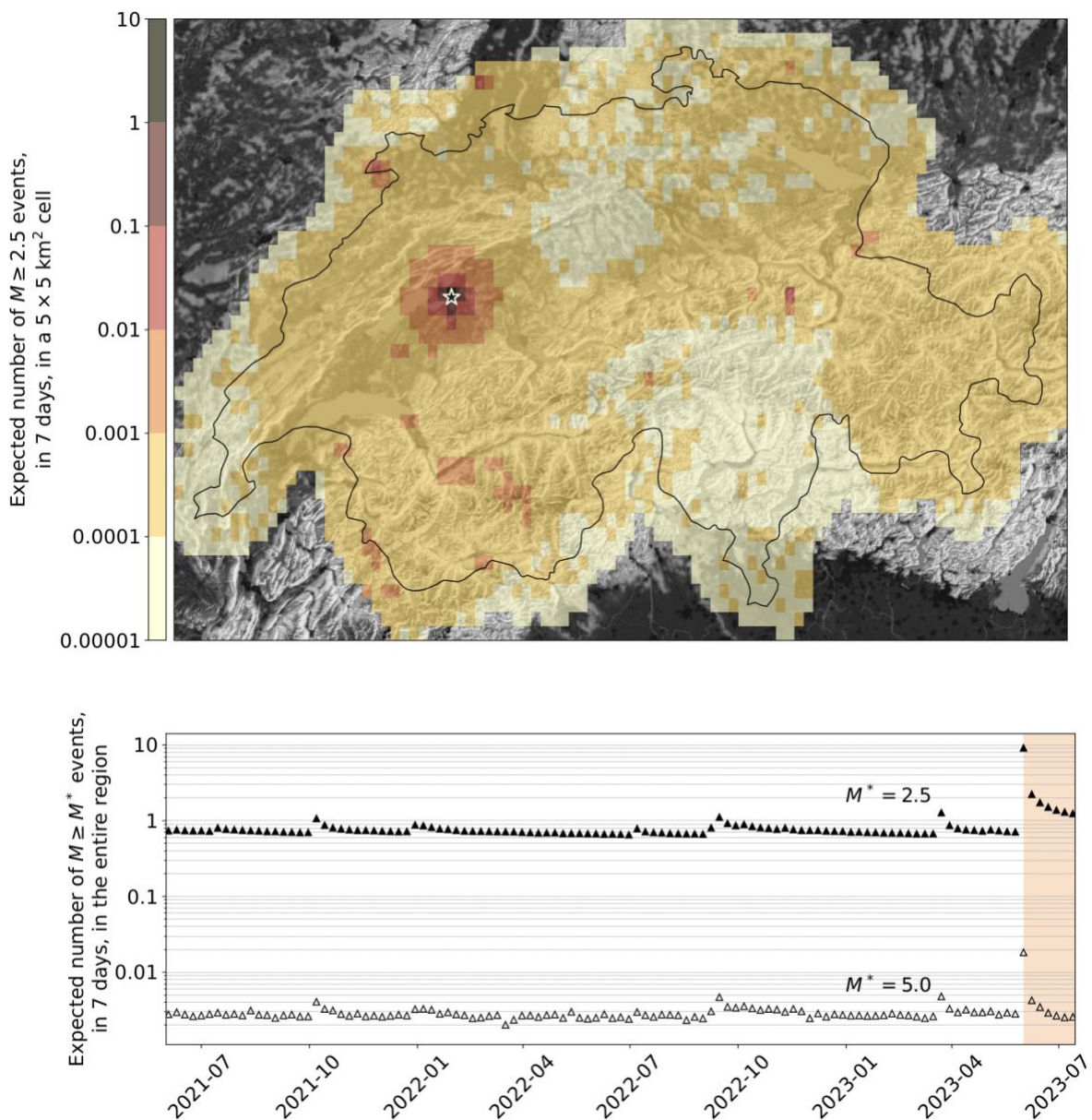
413

414 Operational Earthquake Forecasting (OEF) and Operational Earthquake Loss Forecasting (OELF) are scientific  
415 approaches to forecasting the short-term probability of occurrence and the associated economic and societal  
416 impact of earthquakes. OEF utilises statistical analysis of historical earthquake data, seismic activity patterns, and  
417 geological features in a specific region to determine the probability of earthquakes above a certain magnitude  
418 occurring over a given period. OELF builds upon these OEF probabilities and assesses the potential loss of life,  
419 property, and infrastructure that could result.

420 Earthquake probabilities and the resulting short-term hazard and risk can vary by several orders of magnitude  
421 between quiet periods and clustered sequences, such as aftershocks sequences or swarms (van Stiphout *et al.*,  
422 2010). Unlike long-term earthquake forecasts, which inform long-term risk mitigation measures such as building  
423 codes, the operationally calculated short-term earthquake probabilities and the corresponding loss estimates  
424 generated by OEF and OELF, respectively, provide crucial information for crisis management in case of a major  
425 earthquake. To complement the long-term earthquake forecasts that are part of SUIhaz2015, the SED is therefore  
426 working on an Epidemic-Type Aftershock Sequence (ETAS)-based earthquake forecasting model (Ogata, 1988)  
427 for Switzerland that describes the temporal fluctuations of earthquake probabilities. ETAS models are well suited  
428 for this task: they are being used for OEF by agencies worldwide (Marzocchi *et al.*, 2014; Harte, 2019; van der  
429 Elst *et al.*, 2022) and are the most extensively tested time-dependent models available (Woessner *et al.*, 2011;  
430 Ogata *et al.*, 2013; Strader *et al.*, 2017; Savran *et al.*, 2020). Furthermore, an expert elicitation conducted within  
431 the RISE project showed wide consensus among experts that the ETAS model shall be used as a default model  
432 for earthquake forecasting (Mizrahi *et al.*, 2023). In ETAS, earthquakes are partitioned into background seismicity  
433 and aftershock clusters. In the Swiss case, the background seismicity model is based on the SUIhaz2015 time-  
434 independent rate forecast, and clustered seismicity is modelled using ETAS parameters calibrated with the local  
435 SED earthquake catalogue. The SED is developing and testing multiple ETAS-based models for Switzerland  
436 (Mizrahi, 2022), ranging from simple models that only rely on a comprehensive earthquake catalogue as input to  
437 more complex models that consider variations in catalogue completeness and additional information from

438 SUIhaz2015. To evaluate the performance of the models, pseudo-prospective forecasting experiments and  
439 retrospective consistency tests (Cattania *et al.*, 2018; Nandan *et al.*, 2021; Bayliss *et al.*, 2022) are being  
440 conducted.

441 Besides the scientific model to probabilistically describe future earthquake occurrence, the SED is also developing  
442 the IT infrastructure required to produce automated earthquake and loss forecasts for Switzerland in real-time (see  
443 example in **Figure 6**). Both systems are initially operated internally at the SED for evaluation and refinement and  
444 will at a later stage be made available to the general public and federal agencies in Switzerland. In particular, the  
445 OELF system will provide actionable information to individuals, public authorities, and other stakeholders, based  
446 on the updated earthquake rate forecast from the OEF system and ERM-CH23. Strategies for the effective  
447 communication of earthquake probabilities and uncertainties to the public are important and have been and  
448 continue to be extensively studied at the SED using surveys and discussions with focus groups and stakeholders  
449 at the federal and cantonal levels (Section 4.2).



450

451 **Figure 6: Time-dependent earthquake forecast for Switzerland after a hypothetical Mw 6.0 earthquake near Bern,**  
452 **Switzerland, at midnight on June 1, 2023 (white star in top panel). Top: Spatial distribution of the expected number of**  
453 **felt earthquakes ( $ML \geq 2.5$ ) in the first 7 days following the event, per  $0.05^\circ \times 0.05^\circ$  grid cell (roughly  $5 \times 5 \text{ km}^2$ ). Bottom:**  
454 **Temporal evolution of 7-day forecasts for the entire region shown in the top panel. The filled and empty triangles**  
455 **represent the expected number of  $ML \geq 2.5$  and  $ML \geq 5.0$  earthquakes, respectively. The shaded background marks**  
456 **the time interval after the occurrence of the Mw 6.0 event.**  
457

### 458 3.2 Earthquake Early Warning (EEW)

459

460 Earthquake early warning (EEW) systems are designed to rapidly detect earthquakes and provide people and  
461 automated systems with time to prepare and take protective action before strong shaking arrives (e.g., Allen *et al.*,  
462 2009; Cremen & Galasso, 2020). Although the EEW provided alert times are short (depending on the distance  
463 between the earthquake and the location to be warned), they are considered sufficient to allow taking cover,  
464 stopping trains or elevators, shutting down industrial processes, or triggering automated shutdown systems. EEW  
465 is considered an important tool for earthquake risk reduction and disaster management, as it may help to reduce  
466 the number of casualties and damage to infrastructure and buildings during an earthquake, as well as to minimise  
467 social and economic disruption (e.g., Papadopoulos *et al.*, 2023a).

468

469 For around one decade, the SED has been developing open-source software and methods for EEW using a set of  
470 SeisComP modules (such as *sceewenv*, *scvsmag*, *sceewlog*, and *scfinder*), known as the ETHZ-SED SeisComP  
471 EEW (ESE) system (Massin *et al.*, 2021). The core of ESE is formed by the Virtual Seismologist (VS; Cua, 2005)  
472 and Finite-Fault Rupture Detector (FinDer; Böse *et al.*, 2012) algorithms. VS provides fast EEW magnitudes  
473 using existing SeisComP detection and location modules, while FinDer identifies fault rupture extent by matching  
474 growing patterns of observed high-frequency seismic acceleration amplitudes with modelled templates. The SED  
475 is currently developing a new SeisComP module to compare the observed and predicted ground-motion envelopes  
476 with the goal to select origins and magnitudes from the independent VS and FinDer source parameter estimates,  
477 while suppressing false alerts (Jozinović *et al.*, 2023).

478 In Switzerland, VS and FinDer are not yet used for public alerting, but rather for testing and demonstration of  
479 EEW (see example in **Figure 7**). VS uses phase picks to provide fast locations and magnitudes for any event  
480 detected by the Swiss Seismic Network, while FinDer is typically activated only for earthquakes with magnitudes  
481 greater than 3.5. The median delay for the first VS (since 2014) and FinDer (since 2017) alert is 8.7 and 7 seconds,  
482 respectively, but earthquakes are frequently detected in as little as 4 to 6 seconds when they occur in areas with a  
483 high station density (Massin *et al.*, 2021). Typically, it takes 3.5 seconds for the P-waves to propagate from the  
484 hypocenter to the fourth closest station in the Swiss Seismic Network, the minimum number of stations required  
485 by the algorithms. The SED continues to optimise the Swiss Seismic Network for EEW, although the benefit from  
486 further station densification appears limited (Böse *et al.*, 2022). Despite the rare occurrence of large earthquakes  
487 in Switzerland, a recent public survey shows that 70% of the Swiss population would like rapid notifications for  
488 all earthquakes that are felt, even if they have a low damage potential (Dallo *et al.*, 2022a). Future mass  
489 notifications for EEW in Switzerland could be enabled either through the Swiss *Alertswiss* and *MeteoSwiss* multi-  
490 hazard platforms, which can receive and display push notifications on mobile devices, or through cell broadcast  
491 once available.

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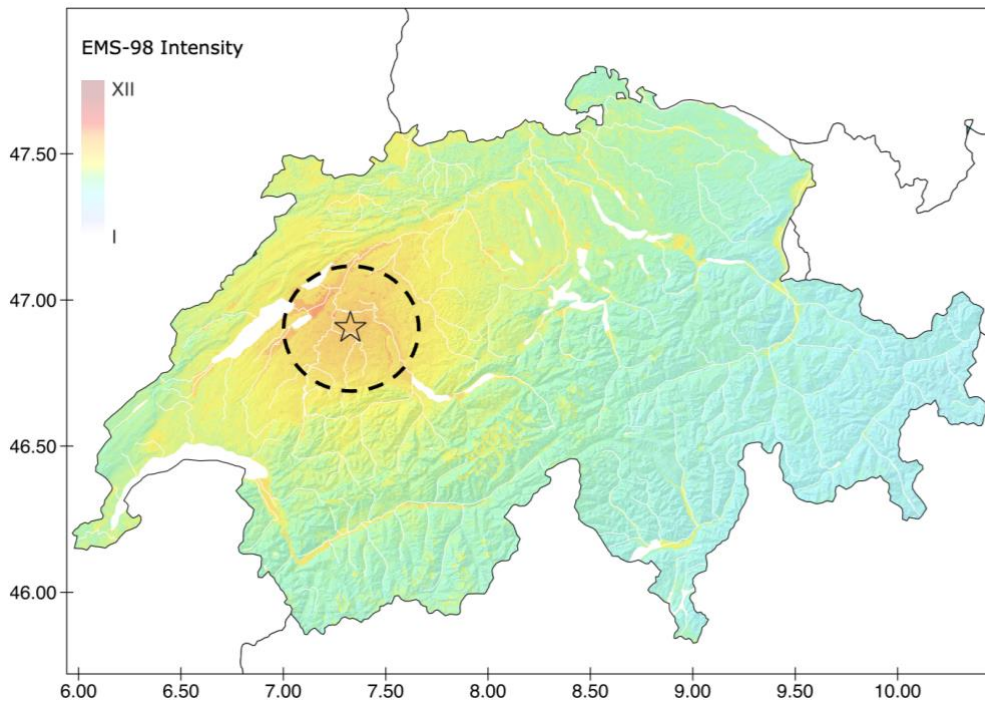
### 3.3 Swiss ShakeMaps

Ground-motion maps provide critical information on the severity and distribution of ground shaking generated by an earthquake. The SED has been utilising the ShakeMap® application (Worden *et al.*, 2020) in Switzerland for approximately 15 years (Cauzzi *et al.*, 2022) and is a core founder and contributor to the European ShakeMap initiative that promotes international collaboration and harmonisation of ShakeMap procedures in the greater European region (Cauzzi *et al.*, 2018b; Michelini *et al.*, 2023). ShakeMap rapidly maps seismic shaking information based on recorded and predicted intensity measures, such as peak ground acceleration (PGA), PGV, PSA, and macroseismic intensity levels, including amplification due to local site effects.

The SED ShakeMap framework is updated regularly and employs Swiss-specific ground-motion models, ground-motion-to-intensity conversion equations, and site amplification models (which are the same as those used in ERM-CH23; Section 1.1) that allow for accurate and reliable ground shaking estimates across the Swiss alpine and northern foreland regions (Cauzzi *et al.*, 2015). The SED maintains an archive of instrumental ShakeMaps for events with a magnitude larger than 2.5 that occurred since 1991 and an atlas of large historical ShakeMaps. There are plans to include rapid finite-fault information in the SED ShakeMaps in the near future (Böse *et al.*, 2012).

ShakeMaps are an important tool for earthquake response and recovery efforts. At the SED, ShakeMaps serve multiple purposes. They are used (i) to inform the Swiss public about the severity of ground shaking and affected areas (see example in **Figure 7**); (ii) to estimate the likelihood of earthquake-triggered mass movements for significant events, following a set of geospatial susceptibility proxies and PGA (Cauzzi *et al.*, 2018); and (iii) to rapidly assess the potential damage caused by ground shaking as part of the SED RIA system (Section 3.4).



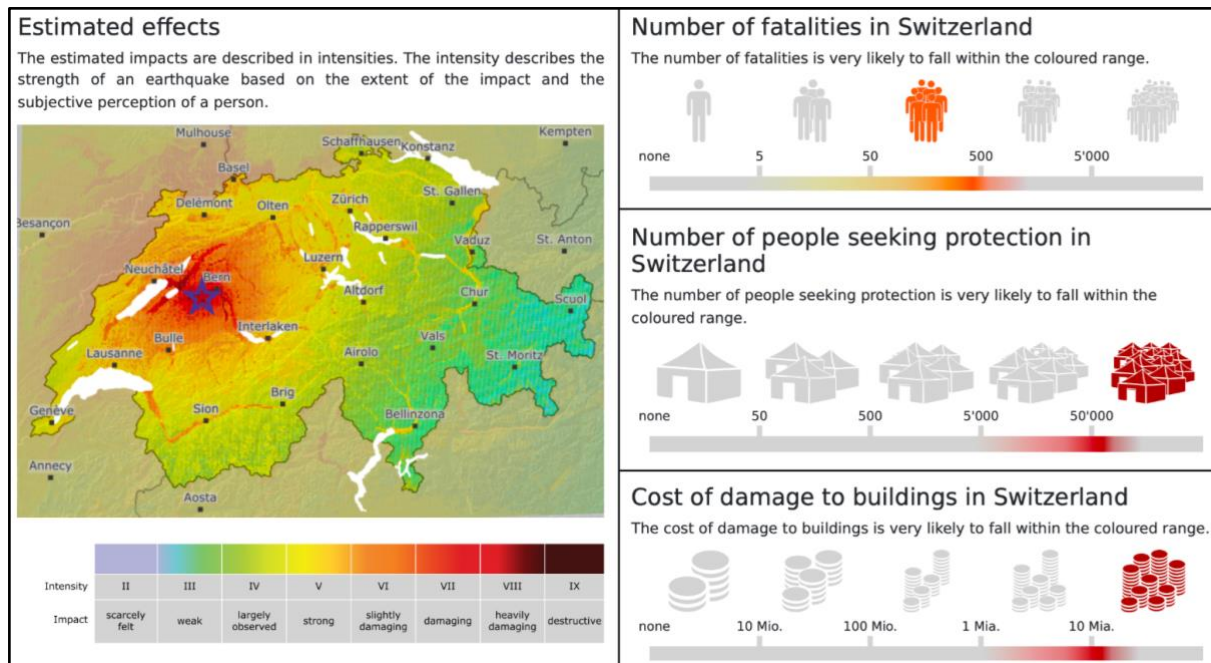


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519 **Figure 7: Swiss ShakeMap for a hypothetical Mw 6.0 earthquake near Bern. Dashed circle shows the 30-km-large no-**  
520 **alert-zone centred on the epicentre where EEW could probably not be provided before strong shaking initiates.**  
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522  
523 **3.4 Rapid Impact Assessment (RIA)**  
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525 Rapid Impact Assessment (RIA) involves the gathering and analysis of information to quickly assess the damage  
526 and impact of an earthquake (or other) disaster. RIA systems shall provide decision-makers with timely and  
527 accurate information to guide their response and recovery efforts. The RIA processing chain involves (i) the  
528 assessment of the extent and severity of the damage; (ii) the evaluation of the needs of the affected population;  
529 and (iii) the identification of priority areas for response. RIA efforts in Switzerland currently focus on the first  
530 step.

531 The SED RIA system uses OpenQuake’s scenario calculator (Pagani *et al.*, 2014) and Swiss ShakeMaps (Section  
532 3.3). Once an earthquake’s location and magnitude are determined, a ShakeMap is created and the RIA calculator  
533 activated. Monte Carlo simulations are then used to generate multiple ground-motion field realisations at the  
534 location of the building assets in the ERM-CH23 exposure model. Damage and loss estimates are derived using  
535 the vulnerability functions associated with each asset and the simulated ground-motion values. The SED RIA  
536 system estimates various types of losses (damage, economic loss, injuries, deaths, and shelter needs) at the  
537 national, cantonal, and municipal levels. These estimates are compiled in a standard format (Section 4.2), which  
538 includes a map of ground shaking and visualisations of losses - along with associated uncertainties - at different  
539 scales (see example in **Figure 8**). In the future, the SED RIA system will become fully integrated and synchronised  
540 with the Swiss Seismic Network operations and perform near-real-time calculations for every earthquake with  
541 magnitude  $M > 3.0$  within a specified radius around Switzerland. For now, the RIA results are shared internally  
542 at the SED for verification, but will soon be made available to the public.



543

544 **Figure 8: Exemplary Rapid Impact Assessment (RIA; @Swiss Seismological Service) output (here national level**  
 545 **estimate) for a hypothetical Mw 6.0 earthquake near Bern. See [http://www.seismo.ethz.ch/static/ERM-](http://www.seismo.ethz.ch/static/ERM-CH23/scenario/Bern_M6_0_en.pdf)**  
 546 **[CH23/scenario/Bern\\_M6\\_0\\_en.pdf](http://www.seismo.ethz.ch/static/ERM-CH23/scenario/Bern_M6_0_en.pdf) for full report.**

547

### 548 3.5 Seismic Hazard Web Platform and Services

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550 Among other dynamic and operational earthquake-related services, the SED is actively involved in the  
 551 development, maintenance, and hosting of a web platform that grants access to a wide range of earthquake hazard  
 552 datasets, input models, results, documentation, and information at both the national and regional levels. This web  
 553 platform, accessible at <http://hazard.efehr.org>, is an integral part of the European Facilities of the Earthquake  
 554 Hazard and Risk (EFEHR) network of federated services. Moreover, the earthquake-related hazard data, products,  
 555 and services are designed to be interoperable with the newly developed EPOS ICS-C platform (Haslinger *et al.*,  
 556 2022).

557

558 The hazard platform comprises three individual web applications that enable users to interactively explore and  
 559 retrieve hazard curves, hazard spectra, and hazard maps. Through a user-friendly interface, users can access hazard  
 560 data and related metadata. The platform streamlines the retrieval of hazard maps, which can be disseminated to  
 561 users through multiple avenues, including customised services offering ASCII data, file downloads featuring  
 562 compressed ESRI shapefiles, and adherence to the OGC standards, which facilitate the distribution of projected  
 563 map images.

564

565 The EFEHR web portal serves as a gateway to various seismic hazard models, including the 1999 Global Hazard  
 566 Map of the Global Seismic Hazard Assessment Program (GSHAP, Giardini, 1999), the 2013 European Seismic  
 567 Hazard Model (ESHM13, Woessner *et al.*, 2015), the 2014 Earthquake Model of the Middle East (EMME14,  
 568 Giardini, 2017), the 2015 Swiss Hazard Model (SUIhaz15; Wiemer *et al.*, 2016), and the 2020 European Seismic

569 Hazard Model (ESHM20; Danciu *et al.*, 2021). Furthermore, this platform will be the principal repository for  
570 results and datasets related to the ERM-CH23 (Wiemer *et al.*, 2023).

571

572

### 573 **3.6 Structural Health Monitoring (SHM)**

574

575 Due to slow retrofit and replacement rates of existing buildings, slow uptake of modern earthquake resistance  
576 standards, and the intensity of extreme events, earthquakes pose a significant threat to the built environment. Post-  
577 earthquake inspections are necessary to assess the damage to buildings and ensure safe shelter for the population.  
578 Current expert-conducted visual inspections suffer from possible subjectivity and delay recovery. However, recent  
579 advances in sensor development offer reliable and cost-effective sensing hardware, making broad monitoring of  
580 multiple conventional buildings realistic. Structural Health Monitoring (SHM) provides tools to analyse these  
581 sensor data and to translate vibration data into meaningful information about the structural state of a building.  
582 Damage-sensitive features (DSFs) can be extracted from continuous measurements and contribute to the detection  
583 and localization of earthquake-induced damage (e.g., Reuland *et al.*, 2023a).

584 Several approaches to overcome the scarcity of real-world dynamic monitoring data of both healthy and damaged  
585 structures have been developed at the IBK: (i) SHM-based fragility functions relate probabilities of a structure to  
586 reach a given damage state to DSFs and can provide near-real-time damage tags (Reuland *et al.*, 2021; Reuland  
587 *et al.*, 2023b); (ii) a machine-learning methodology relying on domain adaptation has been successfully used to  
588 transfer a damage-state classification from simulated training data to real measurements from experimentation  
589 (Martakis *et al.*, 2023); and (iii) a framework for automated detection of malfunctioning sensors has also been  
590 developed to ensure that sensors are functional and record valuable data during earthquakes (Martakis *et al.*,  
591 2022a). Furthermore, monitoring data from buildings can contribute to earthquake preparedness by reducing  
592 uncertainty and regional variability of capacity curves used to derive fragility functions (Martakis *et al.*, 2022b).  
593 After successful testing on individual buildings, SHM-based rapid loss assessment has been recently integrated  
594 into a regional demonstrator (Nievas *et al.*, 2023). Integrating monitoring data and engineering models into a  
595 robust framework will pave the way to make SHM-based real-time building tagging operational in Switzerland  
596 and elsewhere in the future.

597

598

### 599 **3.7 Recovery and Rebuilding Efforts (RRE)**

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601 Recovery and Rebuilding Efforts (RRE) refer to the process of restoring a community or region to its pre-disaster  
602 condition after a natural or man-made disaster. The recovery phase begins immediately after the event and focuses  
603 on providing immediate assistance to affected people, restoring critical infrastructure such as power, water, and  
604 transportation systems, and providing temporary housing for those displaced by the disaster. The rebuilding phase  
605 involves longer-term efforts to repair or replace damaged infrastructure, such as roads, bridges, and buildings, and  
606 to help affected individuals and communities recover from the economic and social impact of the disaster.

607

608 Resilient communities have the ability to quickly recover from extreme events, and retrofitting measures can help  
609 decrease the risk of earthquakes and reduce repair efforts. Still, RRE is crucial to restoring community functions  
610 and minimising negative social and economic impacts. Recovery models and resilience assessment tools can  
611 simulate recovery trajectories and guide decision-makers towards effective actions. The iRe-CoDeS  
612 (interdependent Resilience Compositional Demand and Supply) framework developed at ETH Zurich (Blagojević  
613 *et al.*, 2022), offers the capacity to perform such analyses and has been integrated with OpenQuake software for  
614 regional hazard and risk assessment.

615 Early loss assessment is often incomplete and imprecise, which hinders response efforts. To improve decision-  
616 making, a dynamic update of regional post-earthquake damage estimates is proposed in iRe-CoDeS. Gaussian  
617 process inference models are used to fuse early inspection data with a pre-existing earthquake risk model (such  
618 as ERM-CH23; Bodenmann *et al.*, 2023), reducing uncertainty and improving regional building damage  
619 estimates. By combining regional recovery and resilience assessment tools with this framework, uncertainty in  
620 recovery trajectories can be reduced, and real-time what-if analyses can inform decision-makers on the state of  
621 the community during recovery and optimal resource deployment. The iRe-CoDeS model can be updated with  
622 early inspection information after an earthquake, providing recommendations for recovery efforts and remaining  
623 recovery time.

624

625

## 626 **4. Operation and Communication**

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### 628 **4.1. Operation**

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630 Providing operational services demands a high level of service availability. To achieve this, the SED provides  
631 appropriate hardware solutions, invests in professional software engineering, and provides for 24/7 IT on-call  
632 duty backup. The seismic processing data centre at the SED is the operational service with the longest history and  
633 most mature setup, and provides the template for new services as they are added to the operational ecosystem.  
634 High availability services are achieved by operating two identical software versions on fully redundant and  
635 physically separated hardware, a primary and backup system. If any issues arise on the primary system, the backup  
636 system can immediately become primary. A third server is also supported for development and prototyping.  
637 Databases are also fully replicated and backed-up, and when database information is provided to the public, it is  
638 accessed only via replicated databases to remove the possibility of external loads compromising the operational  
639 systems.

640

641 To react to operational and seismic crises, the SED operates three 24/7 on-call teams, dedicated to technical IT-  
642 related issues, immediate review of all seismic events with  $M > 2.5$ , and for handling inquiries from authorities,  
643 media and the public for Swiss and international events. To provide internal and external seismic alerts, an in-  
644 house developed alarm system enables the duty seismologists to take prompt action when an earthquake occurs.  
645 The seismic alerts are automatically activated when an earthquake above a specific magnitude is detected within

646 or in proximity of Switzerland. Web portals enable the public distribution of products generated by these  
647 operational services via direct access or APIs.

648

649 The SED conforms to international community standards in data formats, metadata and dissemination services  
650 where possible (such as FDSN mseed, stationXML and web services), and indeed is at the cutting edge in  
651 developing new standards, for example the SED curates the quakeML (Schorlemmer *et al.*, 2011) data model,  
652 ensuring that earthquake information is easily accessible and shareable. The integration of harmonised data and  
653 processes is at the heart of effective dynamic earthquake risk management and mitigation strategies.

654

655

#### 656 **4.2 Communication and Societal Perspective**

657

658 As a federal agency, the SED is responsible for informing the public, authorities, and media about earthquakes  
659 affecting Switzerland, and providing warnings when needed. For this purpose, the SED monitors ground shaking  
660 24/7 in Switzerland and neighbouring countries (Section 2.1). Details (including time, location, magnitude, and  
661 possible impacts) of a detected earthquake are published on the SED webpage within 90 seconds. Federal and  
662 cantonal authorities are informed automatically if the magnitude is 2.5 or larger. A team of on-call duty  
663 seismologists assesses every recorded earthquake and takes further actions if needed, and is available for media  
664 requests. The SED also engages in science communication during quiet times to transfer knowledge about  
665 earthquakes and related topics. To ensure effective communication, the SED interacts with societal stakeholders  
666 and co-develops and evaluates various information products, including those presented in this article. The SED  
667 also contributes to the training of future earthquake experts through teaching efforts at ETH Zurich and beyond.

668 Recently, the SED has been shifting from hazard to risk communication, which should increase societies'  
669 preparedness and disaster resilience. To ensure effectiveness, it is important that communication products are  
670 designed by an interdisciplinary expert group and then tested with the relevant end-users before releasing them  
671 publicly. In preparation of the ERM-CH23 release in March 2023, the SED has tested various output formats for  
672 risk products with professional stakeholders of the society and the general public:

673 • Marti *et al.* (2023) showed that people and professionals consider RIA reports and risk scenarios to be  
674 very important, although they appeared similarly challenged to correctly interpret the information  
675 provided. To represent the uncertainties of the model estimates, the simplest visualisation using ranges  
676 was the most understandable and the most popular (see **Figure 8**).

677

678 • Regarding EEW systems, a public survey conducted by the SED in Switzerland (Dallo *et al.*, 2022a),  
679 revealed that the Swiss public wants to receive EEW alerts for all felt events (even if they are not  
680 damaging) and their preferences align with those in other countries. EEW alerts with pictograms have  
681 the strongest effect in motivating people to take action, even if that is not necessarily what they like best.

682



- 683 • The SED has collaborated with the Winton Center at the University of Cambridge to test OEF  
684 communications with the general public in Italy, Switzerland, and California in the US. A survey of  
685 Dryhurst *et al.* (2022) found that people in all three countries provided similar answers. Maps  
686 representing OEF probabilities as different coloured isoline compartments could mislead the public. The  
687 best information combination for OEF communication is a geographical map showing the forecast area,  
688 textual information about the current absolute chance of an earthquake, and a risk ladder to provide  
689 context.
- 690
- 691 • Dallo *et al.* (2022b) conducted three online surveys with various experiments and virtual focus groups  
692 to improve communication of earthquake information on multi-hazard platforms, such as *MeteoSwiss*  
693 and *Alertswiss* (Section 3.2). The results indicated that people prefer a combination of visual and textual  
694 information, pictorial and textual behavioural recommendations, interactive features, consideration of  
695 data privacy issues, messages with time indication and action-keywords, as well as clearly  
696 distinguishable icons of the epicentre and the person's location (Valenzuela Rodríguez, 2021).

697

698 When designing information campaigns, it is important to consider people's personal factors, which can influence  
699 their interpretation of the information provided, their design preferences, and their perceived usefulness. To  
700 achieve successful campaigns, key factors to consider include regular communication, context, channel choice,  
701 risk communicator training, and community-based approaches (Marti *et al.*, 2020). A significant challenge is to  
702 provide personalised notifications to end-users while still addressing their concerns about data privacy.

## 704 5. Conclusions and Outlook

705 Earthquake hazard and risk are often assumed to be constant over time. However, because seismicity is spatially  
706 and temporally clustered, and because individual exposure can change rapidly, both hazard and risk are often  
707 strongly time-dependent on different time scales. In the dynamic-risk framework outlined in this paper, seismic  
708 activity is continuously monitored by a regional seismic network, such as the one operated by the SED, and risk  
709 assessments are dynamically adapted in response to the latest data. We believe that this dynamic concept provides  
710 a more accurate and timely means of identifying potential seismic hazards and assessing risks, thereby enabling  
711 more efficient mitigation strategies and improving overall safety outcomes.

712

713 As described in this paper, we have developed key operational services of a dynamic earthquake risk framework  
714 for Switzerland. These include earthquake monitoring, ShakeMaps, EEW, OEF, RIA, and computational  
715 infrastructure. The seismic network and the ShakeMap system are currently the most mature of these services in  
716 Switzerland, followed by EEW and RIA; the OEF service is currently in a demonstration phase (**Table 1**).  
717 Furthermore, the SED has a well-established communication network to provide rapid earthquake information to  
718 the public over multiple channels.

719

720 **Table 1:** Status of earthquake risk-related products and services in Switzerland (as of November 2023).

Product/Service	Type	Status & Availability
<b>Earthquake Hazard and Risk Model</b>	product	<p>available (SUIhaz2015 and ERM-CH23)</p> <p>Hazard Model:  <a href="http://www.seismo.ethz.ch/en/knowledge/earthquake-hazard-and-risk/earthquake-hazard-switzerland/background-information/">http://www.seismo.ethz.ch/en/knowledge/earthquake-hazard-and-risk/earthquake-hazard-switzerland/background-information/</a></p> <p>Risk Model:  <a href="http://www.seismo.ethz.ch/en/knowledge/earthquake-hazard-and-risk/earthquake-risk-switzerland/Earthquake-Risk-Model/">http://www.seismo.ethz.ch/en/knowledge/earthquake-hazard-and-risk/earthquake-risk-switzerland/Earthquake-Risk-Model/</a></p> <p>OpenQuake:  <a href="https://github.com/gem/oq-engine">https://github.com/gem/oq-engine</a></p>
<b>Seismic Network</b>	operational service	<p>mature</p> <p>seismic stations:  <a href="https://networks.seismo.ethz.ch/en/networks/ch/">https://networks.seismo.ethz.ch/en/networks/ch/</a>  <a href="http://eida.ethz.ch/fdsnws/station/1/">http://eida.ethz.ch/fdsnws/station/1/</a></p> <p>waveform data:  <a href="http://eida.ethz.ch/fdsnws/dataselect/1/">http://eida.ethz.ch/fdsnws/dataselect/1/</a></p> <p>earthquake catalogue:  <a href="http://www.seismo.ethz.ch/en/earthquakes/">http://www.seismo.ethz.ch/en/earthquakes/</a>  <a href="http://eida.ethz.ch/fdsnws/event/1/">http://eida.ethz.ch/fdsnws/event/1/</a></p>
<b>Routine Seismic Data Processing</b>	operational service	<p>mature</p> <p><i>scdetect</i>: earthquake detection using template matching  <a href="https://scdetect.readthedocs.io">https://scdetect.readthedocs.io</a></p> <p><i>scrtDD</i>: real-time double difference relocation  <a href="https://docs.gempa.de/scrtdd/current/">https://docs.gempa.de/scrtdd/current/</a></p> <p><i>scwfparam</i>: provides engineering parameters and input to ShakeMap  <a href="https://www.seiscomp.de/doc/apps/scwfparam.html">https://www.seiscomp.de/doc/apps/scwfparam.html</a></p> <p><i>sceewenv</i>, <i>scvsmag</i>, <i>scfinder</i>, <i>sceewlog</i>: EEW modules  <a href="https://docs.gempa.de/sed-eew/current">https://docs.gempa.de/sed-eew/current</a></p>
<b>ShakeMaps</b>	operational service	<p>mature</p> <p><a href="https://github.com/DOI-USGS/ghsc-esi-shakemap">https://github.com/DOI-USGS/ghsc-esi-shakemap</a></p>
<b>Earthquake Hazard Web-Services</b>	operational service	<p>mature</p> <p>European Facilities of the Earthquake Hazard and Risk (<i>EFEHR</i>):</p>

Product/Service	Type	Status & Availability
		<a href="http://hazard.efehr.org">http://hazard.efehr.org</a> EPOS ICS-C platform: <a href="https://www.epos-eu.org/integrated-core-services">https://www.epos-eu.org/integrated-core-services</a>
<b>Earthquake [Loss] Forecasting (OE[L]F)</b>	demonstration service	beta RAMSIS core: ( <a href="https://gitlab.seismo.ethz.ch/indu/rt-ramsisis">https://gitlab.seismo.ethz.ch/indu/rt-ramsisis</a> , dependencies described there) ETAS model wrapper: <a href="https://gitlab.seismo.ethz.ch/indu/ramsisis-nsfm">https://gitlab.seismo.ethz.ch/indu/ramsisis-nsfm</a> wrapped ETAS: <a href="https://github.com/swiss-seismological-service/etas/">https://github.com/swiss-seismological-service/etas/</a>
<b>Earthquake Early Warning (EEW)</b>	demonstration service	beta <a href="https://docs.gempa.de/sed-eeew/current">https://docs.gempa.de/sed-eeew/current</a>
<b>Rapid Impact Assessment (RIA)</b>	demonstration service	beta - operational <a href="https://github.com/swiss-seismological-service/REIA">https://github.com/swiss-seismological-service/REIA</a>
<b>Structural Health Monitoring (SHM)</b>	demonstration service	beta (codes not publicly available)
<b>Recovery and Rebuilding Efforts (RRE)</b>	demonstration software	available <i>pyrecodes</i> : open-source python library for post-disaster recovery simulation and resilience assessment (Blagojević and Stojadinović, 2023): <a href="https://nikolablagojevic.github.io/pyrecodes/html/usa/ge/what_is_pyrecodes.html">https://nikolablagojevic.github.io/pyrecodes/html/usa/ge/what_is_pyrecodes.html</a>

721

722 Integration and interoperability are important aspects of our dynamic risk framework. Integration means that the  
723 framework must be able to accommodate different components while also remaining adaptable enough to function  
724 even when specific components are not connected. For example, some countries interested in establishing similar  
725 services may prioritise ShakeMaps and RIA while opting not to invest in EEW. Interoperability, on the other  
726 hand, entails that the various products and services within the framework should share common models and  
727 databases, thus eliminating redundancy in processing and ensuring efficient utilisation of resources. For example,  
728 the Swiss EEW system uses the same ground-motion models as ShakeMaps, and the Swiss RIA system uses the  
729 same ShakeMaps and the same site amplification layers derived for the national risk models, and calculates  
730 impacts on people and buildings based on national databases of buildings and their vulnerability.

731

732 During the development of our framework, we came across several key findings. First, the foundation of this  
733 framework is highly dependent on the existence of a robust seismic monitoring network and a high-quality data  
734 processing system and infrastructure. These components play a key role as they serve as the primary data sources  
735 for various downstream risk-related products. Secondly, the quality and effectiveness of the underlying models

736 and methodologies are critically dependent on the incorporation of the latest scientific advances and the  
737 availability of computational infrastructure. Ensuring that the framework is kept up to date with the latest research  
738 is of paramount importance and may even be the greatest challenge in the long term. Thirdly, it is essential to  
739 involve stakeholders and target audiences at an early stage of development to ensure that products and services  
740 meet their expectations and understanding.

741  
742 In the dynamic risk context, earthquake risk is assessed in a consistent and harmonised way for the next few  
743 seconds or for the next five decades. This not only offers great potential for synergy, but also means for  
744 comparative Cost-Benefit Analysis (CBA). While traditional CBA is useful for evaluating EEW systems (e.g.,  
745 Papadopoulos *et al.*, 2023a) or OE(L)F-based alerting systems (van Stiphout *et al.*, 2010; Hermann *et al.*, 2016),  
746 alternative methods such as Multi-Criteria Decision Analysis (MCDA) have proven useful for decision-making  
747 when non-economic factors are important (e.g., Guarini *et al.*, 2018). The flexibility and transparency of MCDA  
748 allows for the consideration of a wider range of criteria beyond economic costs and benefits, including model  
749 bias, model uncertainty, time gain in emergency response, information gain etc., making it a valuable tool for  
750 assessing the cost-effectiveness of different dynamic risk products. Ongoing research aims to assess the wider  
751 benefits of these dynamic risk products for earthquake risk reduction, incorporating surveys and expert opinion  
752 to facilitate a dialogue with decision-makers and the public.

753  
754 The SED continues to advance its seismic observational capabilities and risk products, including double-  
755 difference earthquake catalogues, extending 3D crustal velocity models, enhancing magnitude determination, and  
756 exploring new visualisation and distribution methods. They aim to provide short-term earthquake probabilities  
757 and associated seismic hazards and losses, to provide rapid earthquake information and EEW to the Swiss public,  
758 and to integrate the RIA system into the seismic network operations for near-real-time calculations for earthquakes  
759 in and around Switzerland above magnitude 3.0. In addition, research is ongoing to determine how best to  
760 communicate earthquake forecasts and support the translation of probabilities into actions.

761 We expect our framework to improve over time as individual components are improved. For example, in the near  
762 future, simulation-based approaches, such as physics-based ground motion modelling, or so-called digital twin  
763 components may replace certain elements of the framework. Embracing the conceptual framework of dynamic  
764 risk inherently signifies a comprehensive and interdisciplinary approach to the assessment, reduction, and  
765 resilience of earthquake risks. Furthermore, this framework can be easily extended to a multi-risk framework,  
766 which offers significant advantages in addressing a variety of risk reduction challenges.

767  
768 Future work on our framework could include (i) transitioning demonstration services to become operational; (ii)  
769 continuing the development and testing of the proposed services and risk framework in a wider range of countries,  
770 both in Europe and globally, as well as exploring collaborations with interested stakeholders; advancing the  
771 implementation of European-level services within the European Plate Observing System (EPOS); (iii) widening  
772 the scope of the proposed exposure and loss models to encompass not only buildings but also critical  
773 infrastructures, such as for transportation, water, or energy, as well as high-risk industries, which play an important

774 role in disaster risk management and emergency response efforts; and (iv) continuing and expanding the use of  
775 promising techniques like AI and DAS.

776

777 We would like to emphasise that while we believe that the dynamic and user-centric risk framework outlined here  
778 is valuable and can contribute to earthquake risk reduction, it should not detract from a strong focus on earthquake  
779 engineering efforts. Building to modern seismic standards has proven to be the most valuable means of reducing  
780 financial and human losses in future earthquake disasters and must remain a priority.

781

782 As data, models, and computing resources increase, dynamic and operational earthquake-related services will  
783 become increasingly available and important for earthquake risk assessment and mitigation. This paper may serve  
784 as a reference guide for countries wishing to establish similar tools and services in the context of dynamic risk.  
785 Links to publicly available components of our framework are provided in **Table 1**.

786

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794

795

## 796 **Author contributions**

797 We use the [CRediT](#) Contributor Roles Taxonomy to categorise author contributions. **Methodology &**  
798 **Investigation:** *Hazard and Risk:* LD, AP, PR, SW, PB, DF, FH, BMC, DG. *Seismic Monitoring (network &*  
799 *processing):* JC, TD, CC, FM, FH, DF, AF, MB, FG, LH, PJ, DJ, FL, TL, M-AM, AO, MS, LS, AS, SW, PK.  
800 *OE(L)F:* LM, SW, MH, LD, AP, PR. *EEW:* MB, FM, JC, DJ, CC. *ShakeMaps:* CC, JC, MB, PB, DF. *RIA:* LD,  
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## 804 **Declaration of Competing Interests**

805 The authors acknowledge that there are no conflicts of interest recorded.

806



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