

# Studies on the Propagation Dynamics and Source Mechanism of Quasi-Monochromatic Gravity Waves Observed over São Martinho da Serra (29°S, 53°W), Brazil

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**Abstract.** Two hundred and nine (209) events of quasi-monochromatic atmospheric gravity waves (QMGWs) were acquired over five (5) years of Gravity Waves (GWs) observation in Southern Brazil. The observations were made using OH all-sky imagers hosted by the Southern Space Observatory (SSO) coordinated by the National Institute for Space Research at São Martinho da Serra (RS) (29.44°S; 53.82°W). Using a two-dimensional Fast Fourier Transform-based spectral analysis, it has been shown that the QMGWs have horizontal wavelengths of 10 - 55 km, periods of 5 - 74 minutes, and phase speeds up to 100 m/s. The waves exhibited clear seasonal dependence on the propagation direction with anisotropic behavior, propagating mainly toward the southeast during the summer and autumn seasons and mainly toward the northwest during the winter. On the other hand, the propagation directions in the spring season exhibited a wide range from northwest to south. A complimentary backward ray tracing result revealed that the significant factors contributing to the propagation direction of the QMGWs are their source locations and the dynamics of the background winds per season. Three case studies in winter were selected to investigate further the propagation dynamics of the waves and determine their possible source location. We found that the jet stream associated with the cold front and their interaction generated these three GW events.

## 1 Introduction

Atmospheric gravity waves (GWs) have earned research interest for decades due to their significant role in energy and momentum transportation throughout the atmosphere. GWs can be generated by various sources: orography, jets, and deep convection, such as thunderstorms, in the lower atmosphere. GWs with various spectra simultaneously exist in the atmosphere with several propagation characteristics (Wei and Zhang, 2014; Zhang et al., 2015). GWs with almost the same spectrum in relation to their spatial or temporal characteristics are known as quasi-monochromatic (QM) GWs. Studies on QMGWs can be used to reveal the relationship between the scale of the observed wave, the propagation characteristics, and the source-source mechanism.

20 QMGWs are frequently observed with airglow imagers, lidars, and radars. The QMGWs observed by imagers typically have a short horizontal wavelength ( $\lambda_H$ ) and high frequency (Hecht et al., 2001; Walterscheid et al., 1999), while those observed by radars and lidars typically have long  $\lambda_H$  and low frequency (Gavrilov et al., 1996). All sky imagers (ASIs) have been widely used in GW observations since Peterson and Adams (1983) first observed wave perturbations in the OH airglow.

The wave characteristics of GWs are essential information needed to determine/anticipate the possible source or source  
25 mechanism of the observed wave. In most cases, spectral analysis techniques based on two-dimensional (2D) fast Fourier transform are used to estimate GWs parameters for observations made using an imaging technique. Among others, Vadas et al. (2009, 2012), Paulino et al. (2012), and Nyassor et al. (2021) used spectral analysis in two dimensions to determine parameters of observed GWs using ASI in the OH emission layers. They used the wave parameters as inputs in a ray tracing model to investigate the propagation of the wave and to determine the possible wave source in their work. Nyassor et al. (2021) further  
30 investigated the source mechanism based on the source location determined by ray tracing. Lai et al. (2020) used a discrete wavelet transform (DWT) based algorithm followed by denoising and adaptive scan band-pass filter procedures to estimate the propagating characteristics of the GWs of different scales observed by a network of ASI.

This work investigates the propagation, source location, and source mechanism of QMGWs observed using ASI over a period of five (5) years. Spectral analysis was used to determine the gravity wave parameters used as input in the ray tracing  
35 model to investigate the propagation of the QMGWs in the atmosphere and to determine the source location. Among the observed QMGWs selected, three case studies were conducted to investigate the wave sources. We found that the three case studies showed peculiar characteristics in their propagation direction with time. Finally, it was also found that the interaction between the cold front and jet streams excited these three gravity waves.

## 2 Observation

### 40 2.1 OH All-Sky Imager

Gravity wave observations were taken at the Southern Space Observatory (SSO), located in São Martinho da Serra (SMS) (29.44°S; 53.85°W), Rio Grande do Sul, Brazil, using a single-filter (OH) imager that began operation from April 2017 till date. The observatory that hosted the imager belongs to the National Institute for Space Research (INPE) under the Southern Space Coordination (COESU/INPE). The imager comprises a fisheye lens, a telecentric lens system, and an objective lens. The  
45 instrument uses a single 2.5-inch filter (715 - 930 nm, with a notch at 865.5 nm) for the OH observation. The airglow layer has a  $\sim 7$  to 10 km thick layer located at  $\sim 87$  km altitude.

This imager is equipped with a Charge Coupled Device (CCD) camera (SBIG, STL-1001E model), which has a resolution of  $1024 \times 1024$  pixels, each pixel measuring  $24.6 \times 24.6 \mu\text{m}$ , and 50% of quantum efficiency in the near-infrared spectrum. The image was not binned but cropped to  $512 \times 512$  pixels, producing a final image size of  $12 \times 12$  mm on the CCD chip with  
50 a spatial resolution of  $512 \times 512$  km. Each image has an integration time of 20 s and a readout time of 10 s, since the imager does not have a filter wheel, the temporal resolution is 38 seconds (Bageston et al., 2009; Nyassor et al., 2021, 2022). Airglow

observations were taken when the Sun and Moon elevations were lower than  $-12^\circ$  and  $10^\circ$ , respectively. This mode allows 28 nights of observation per month, centered on the new moon.

## 2.2 Geostationary Operational Environmental Satellite (GOES)

55 The Geostationary Operational Environmental Satellite (GOES) - R Series is used to observe and study tropospheric convection. The infrared channels of the Advanced Baseline Imager (ABI) are used in this work. This channel has a spatial resolution ranging from 0.5 to 2 km and a temporal resolution of 10 minutes that allows the observation of critical weather and climate products for Full Disk and mesoscale. The cloud top brightness temperature (CTBT) product is derived from the 11, 12, and 13.3  $\mu\text{m}$  infrared observations. These channels are used in the observation of cloud-top and cold-front activities.

## 60 3 Methodology and Data Analysis

Linear wave patterns in original all-sky airglow images appear curved due to curvature effects projected by the fisheye lens. Also, all-sky images contain stars during clear sky conditions. Therefore, it is important to preprocess the images before determining the spectral characteristics of the observed waves. The image preprocessing and spectral analysis procedures adopted in this work follow the approach of Garcia et al. (1997) and Wrasse et al. (2007).

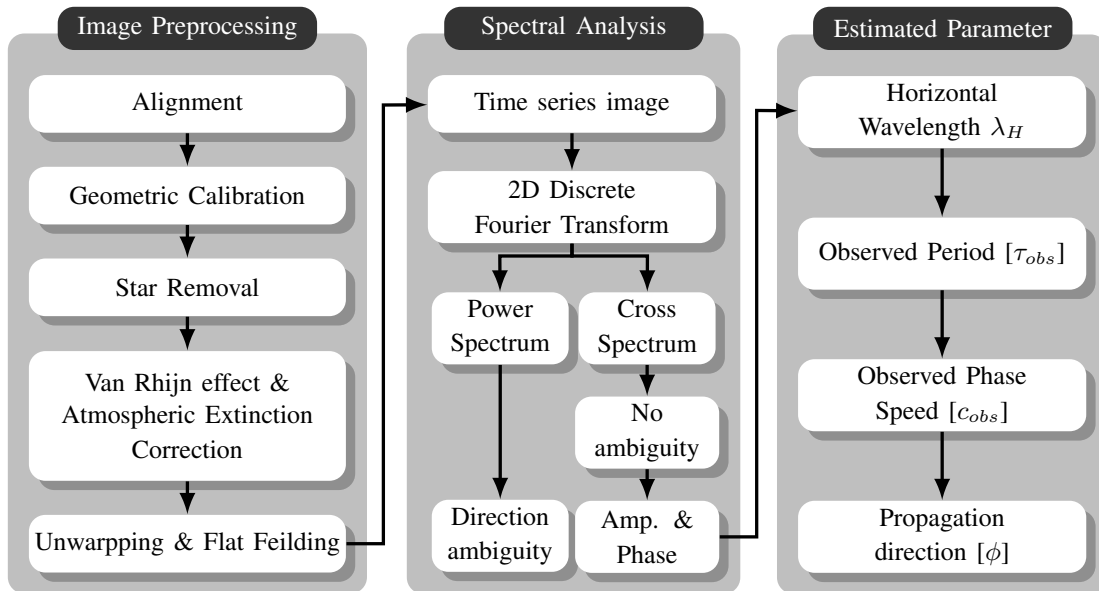
65 The preprocessing begins with the alignment of the original airglow image to the geographical north (also called standard coordinate). Original all-sky images depict an array of data recorded by the CCD camera of  $i$  and  $j$  coordinate axes, with each point in the array representing a pixel index in the data array. These axes are not aligned with a specific geographic orientation. Therefore, a linear transformation of the original image coordinates is scaled so that the horizon circle that corresponds to  $0^\circ$  elevation is of unit radius (Hapgood and Taylor, 1982). The final geographic coordinate system is a 2-D uniformly spaced  
70 grid at the height of the airglow layer such that the zenith is located at the origin of the coordinate system and the  $x$  and  $y$  axes correspond to geographic east and north, respectively. Next, the geometric calibration was carried out. This is achieved by using the determined position of the stars in the original all-sky image and the positions of stars in the sky maps, considering the image time and the latitude and longitude of the site. Stars present streaking when the image is unwrapped. Also, they exhibit sharp, localized changes in intensity, whereas airglow exhibits much more gradual changes. So, these sharp changes due to  
75 the stars are determined and replaced with interpolated values from the surrounding pixels. However, if the intensity changes exceed a certain threshold, the intensity is scanned until it returns to within a threshold of the background. On the contrary, it is considered too large to be a star, and thus it is disregarded.

The intensity of airglow observed by a ground-based imager is not uniform due to varying zenith angles, even for a spatially uniform airglow emission. The observed intensity is proportional to the length of the line of sight (LOS) in the airglow emission  
80 layer, known as the van Rhijn effect. Also, the amount of atmospheric absorption is proportional to the length of the LOS from the emission layer to the observation point. This atmospheric absorption, known as atmospheric extinction, weakens the observed airglow intensity. The Van Rhijn effect and atmospheric extinction were corrected by applying the method of Kubota et al. (2001).

To finally get the image in a form for spectral analysis to be applied, the image must be unwrapped. First, the lens function  
 85 (which varies in angle from the center to the edge of the image) determined during the geometric calibration was used to  
 perform an interpolation for a geographical grid. The procedure is repeated for each point in the geographical grid to obtain  
 the unwrapped image. Afterward, the fluctuation fraction (flat fielding) of the intensity of the unwrapped images is carried out  
 to investigate relative intensity variations in the airglow. The fluctuation fraction provides a relative percentage measure of how  
 much the intensity of a given pixel varied in a given instant. The fraction calculation of the intensity fluctuation is determined  
 90 using Equation 1 (Garcia et al., 1997):

$$\frac{\delta I}{\bar{I}} = \frac{I - \bar{I}}{\bar{I}} \quad (1)$$

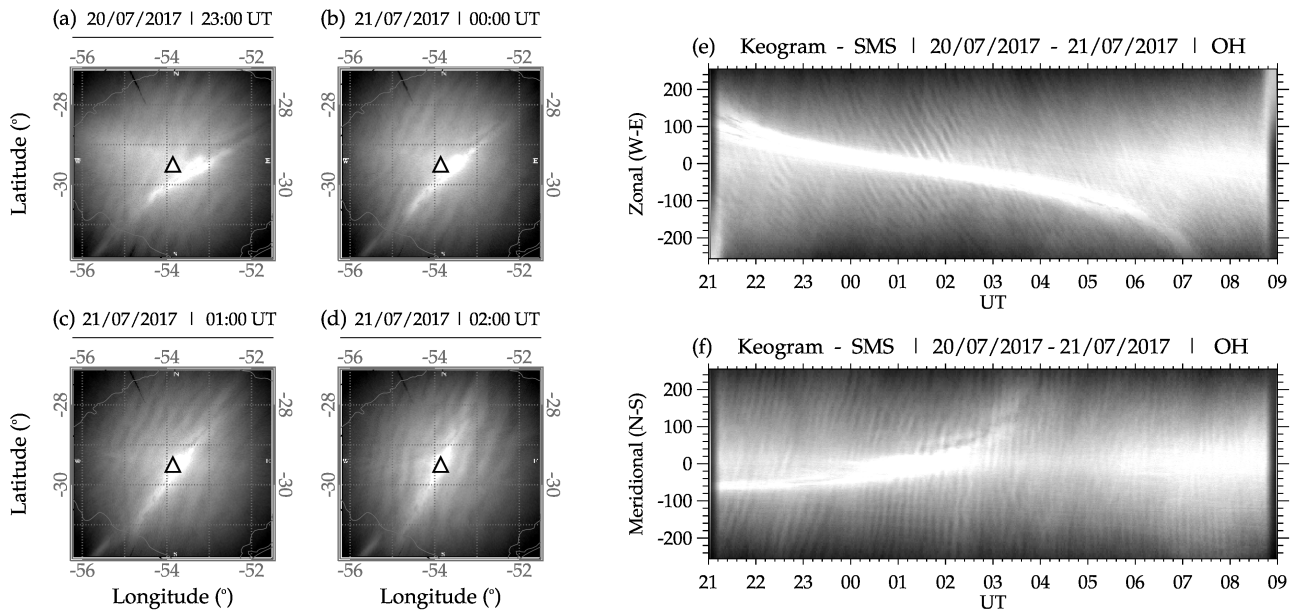
where  $I$  represents the luminous intensity contained in any image of the night sky, and  $\bar{I}$  is the average image of the whole  
 night. To reduce the effect of the background atmosphere, the average of the entire night of observation is computed and  
 subtracted from the individual images of the night. Also, to reduce the noise level of the sensor, an image is taken at the  
 95 start of the observation with the shutter closed. This is done to determine the approximate noise of the system. The image  
 preprocessing, spectral analysis and estimation of the gravity wave parameters are summarized in a flowchart in Figure 1. For  
 more details on the airglow image preprocessing, see Garcia et al. (1997).



**Figure 1.** The flowchart shows the procedures of airglow image processing and wave parameter estimation. The three stages describe image preprocessing and processing, spectral analysis, and wave parameters estimation procedure.

Gravity wave parameters: horizontal wavelength ( $\lambda_H$ ), phase speed ( $c_H$ ), observed period ( $\tau$ ), and propagation direction ( $\phi$ ) are then determined using the 2D Discrete Fast-Fourier transform (2D-DFT) based spectral analysis (Garcia et al., 1997;

100 Wrasse et al., 2007). Before the application of the 2D-DFT, regions of interest (ROI) with visible waves (clear dark and bright bands) were then selected. Afterward, a time series of 10 images was constructed with the selected ROI, and the 2D-DFT was applied to the ROI in the selected image time series. From the cross-spectrum of the 2D-DFT, the amplitude and phase of the wave are estimated and used to calculate the  $\lambda_H$ ,  $c_H$ ,  $\tau$ , and  $\phi$ . The power spectrum can also be used to estimate the amplitude and phase. However, the propagation direction is ambiguous, so for this work, the cross-spectrum is used. In Figure 2, the  
 105 outcome of the final image after the image preprocessing is shown. Details on the spectral analysis can be found in Wrasse et al. (2007), Bageston et al. (2011), Giongo et al. (2020), and Nyassor et al. (2021).



**Figure 2.** The observed quasi-monochromatic gravity wave (QMGW) on July 20 to 21 2017 at São Martinho da Serra.

In Figure 2, a sample of four preprocess images at 23:00:01 UT, 00:00:49 UT, 01:00:16 UT and 02:01:04 UT plotted on the geographic map is presented in panels (a), (b), (c), and (d), respectively. The white triangle with a black outline shows the center of the all-sky image and the location of the imager. The gray lines in panels (a), (b), (c), and (d) depict the state borders of Rio Grande do Sul. The bright strand extending from the southwest through the center of the image to the northeast is the Milky Way. In the keogram, the Milky Way is the white strand extending through the middle throughout the observation. It is important to mention that the keograms presented here are only used to show the presence of the QMGWs throughout the observation time from 21:00 UT on July 20<sup>th</sup> to 09:00 UT on July 21<sup>st</sup>. It can be seen that the wave packet changes with time from the northwest to the southwest. An animation of the propagation of the 20 - 21, 2017 QMGW event between 21:00 UT on July 20 2017 and 09:00 UT on July 21, 2017 is provided in the video supplement. In panels (e) and (f), the zonal and meridional components of the keogram, where a downward phase progression of black and white undulations can be seen in the zonal  
 115

component. The black and white vertical undulations have an upward phase progression in the meridional component of the keogram. This clearly shows the presence of a quasi-monochromatic structure throughout the night.

### 3.1 Ray Tracing Model

120 A ray tracing model was used to investigate the propagation conditions of QMGWs and their source locations. In this work, the ray tracing model follows the approach of Vadas (2007), Paulino et al. (2012), and Nyassor et al. (2021), with the underlying formalism from Lighthill (1978). However, in this version of the ray tracing model, kinematic viscosity and thermal diffusivity (Vadas, 2007) are incorporated in the group velocities, and the dispersion relations are similar to the work of Vadas and Fritts (2005). The longitude, latitude, and altitude (at 87 km) of the first visible crest/trough and the observation time of the wave are  
 125 assumed as the initial positions and times of the wave, and the wave characteristics are then used as the input parameters for the model.

The next step, thus, in longitude, latitude, altitude, and time of the iteration, which formed six ordinary differential equations, was solved using the fourth-order Runge-Kutta (Press et al., 2007). An initial altitude step size of 0.2 km was set, and the subsequent step sizes were determined from  $z = c_{gz} t$ , with the boundary conditions of Paulino et al. (2012) imposed. The next  
 130 step of iteration is conducted if the following criteria are satisfied:

1. The group velocity of the GWs must be less than or equal to 0.9 times the speed of sound ( $c_g \leq 0.9C_s$ ).
2. To evaluate the effect of the background wind on the wave propagation, the real component of the intrinsic frequency must be greater than zero ( $\omega_{Ir} > 0$ ).
3. The momentum flux along the wave trajectory is evaluated in relation to molecular viscosity and thermal diffusivity since  
 135 they become important dissipative processes with increasing altitude. GWs tend to dissipate when they attain maximum momentum flux; therefore, for a propagating GW,  $R_m > 10^{-15} R_0$ . Here  $R_m$  is the momentum flux at each altitude, and  $R_0$  is the momentum flux at the reference altitude. The factor  $10^{-15}$  was arbitrarily chosen.
4. To ensure slowly varying viscosity in time and altitude, the module of the vertical wavelength must be less than the viscosity scale  $\left[ |\lambda_z| < 2\pi / \frac{dv/dz}{\nu} \right]$  in that  $\nu = \mu/\rho$  is kinematic viscosity, where  $\mu$  is molecular viscosity and  $\rho$  is density  
 140 (Nyassor et al., 2022). The value of  $\mu = 3.34 \times 10^{-4} T^{0.71}$ , increases with altitude, where  $T$  is temperature Vadas (2007).

Items (3) and (4) are important for studying GW propagating into the thermosphere. If there is a violation of the above-defined criteria, the iteration will be interrupted, and then all the calculations end and are saved automatically. The stopping conditions are discussed in Vadas (2007) and Paulino et al. (2012).

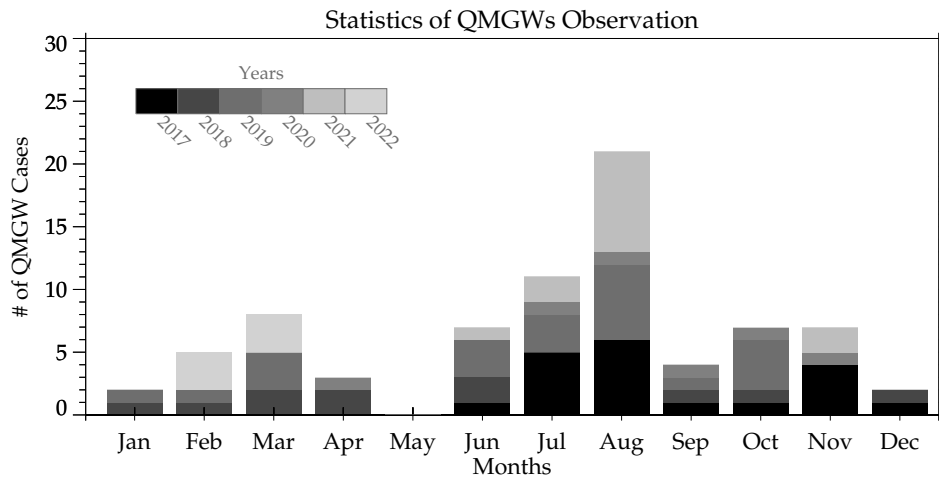
145 Atmospheric background wind and temperature used in the ray tracing were obtained from the Modern-Era Retrospective and analysis for Research and Application-version 2 (MERRA-2) data (Gelaro et al., 2017), the Horizontal Wind Model 2014 version (HWM14) (Drob et al., 2015), and the Mass-Spectrometer-Incoherent-Scatter (NRLMSISE-00) empirical atmospheric model (Picone et al., 2002). Due to the limited altitude range of MERRA-2 wind and temperature data, which is up to 75 km, we concatenated the MERRA-2 wind data with HWM14 at an interpolated step at each 1 km. Similarly, the temperature data of

MERRA-2 and NRLMSISE-00 are also concatenated. This procedure is done to attain an altitude range from near the surface of the ground up to 100 km. Since two different datasets with different resolutions are being concatenated, there may exist discontinuities at the concatenation altitude. The discontinuities are minimized by using the approach of Nyassor et al. (2022). As a result of the temporal resolution of MERRA-2, which is 3 h, an interpolation was performed for each time step of the ray tracing iteration. The propagation of the wave through the atmosphere leading to the determination of the source location of the wave is investigated using ray tracing in a backward mode.

## 4 Results

### 4.1 Observed QMGWs

Observations of QMGWs began in April 2017 and ended in April 2022 in São Martinho da Serra. A total of 1512 nights of clear sky images were analysed. From 64 nights, 209 QMGW events were obtained. The monthly distribution of observed QMGWs is presented in Figure 3. Each bar shows the accumulated QMGW cases observed each month, with the individual colors in gray showing the number of events observed each year. The color bar defines the year of observation. It can be seen from Figure 3 that the highest number of QMGW events was observed in August, followed by July. The following section will discuss details on the distribution of the QMGW events in Figure 3.



**Figure 3.** The observed quasi-monochromatic gravity wave (QMGW) events distribution between 2017 and 2021 at São Martinho da Serra.

### 4.2 Statistical Distribution of QMGW Events and Parameters

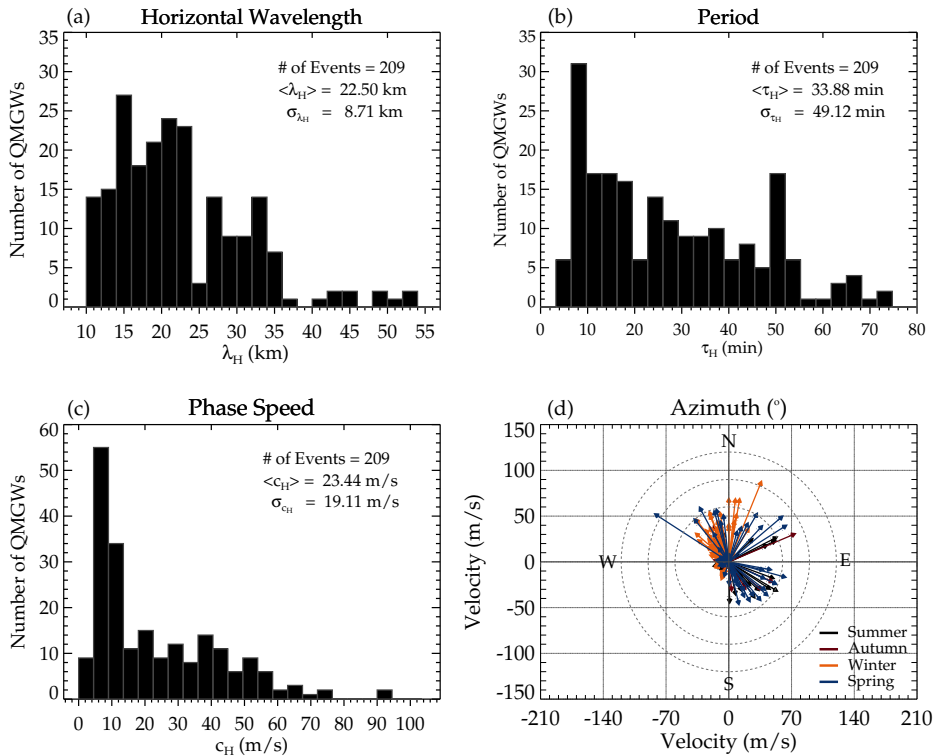
The five years of observed OH airglow images were subjected to spectral analysis to estimate the QMGW characteristics. Specific criteria were imposed to select the QMGW events used in this work. After the spectral analysis, the confidence level (CL) of the estimated wave spectrum is estimated. The spectrum having peak power spectral density with  $CL \geq 95\%$  is accepted

(Hu et al., 2002). Before selecting the QMGWs, the waves must first and foremost be visible in the OH images of the entire night for not less than two (2) hours. Next, the wave parameters were determined every ten (10) minutes. This is done to track the variations in the wave parameters (precisely the horizontal wavelength) to ensure it is the same wave. If the conditions; CL  $\geq$  95%, visibility of wave, and the determined wave being similar are satisfied, the wave is then subjected to the following conditions:

- i. the  $\lambda_H$  must be greater than or equal to 10 km ( $\lambda_H \geq 10$  km);
- ii. the variation of  $\phi$  within an hour must be less than  $25^\circ$  ( $\Delta\phi \leq \pm 25^\circ$ );
- iii. the GW propagation period must be between 5 and 80 min ( $5 \text{ min} \geq \tau \leq 80 \text{ min}$ ), and
- iv. finally, the GW phase speed must be less than or equal to 150 m/s ( $c_H \leq 150$  m/s).

Note that the  $c_H > 150$  m/s was considered the upper limit to avoid interference with the acoustic wave spectrum. Vadas and Azeem (2021) mentioned that GWs with  $c_H \sim 250$  m/s cannot propagate below 100 km. However, we chose this value since it will take a wave with 150 m/s, approximately  $\sim 12$  minutes, to travel 100 km. If all these conditions are satisfied, the wave is selected. On the contrary, the wave will be removed even if CL  $\geq 95\%$  and one of the other conditions are violated. In Figure 4, the characteristics of the selected QMGWs obtained from the spectral analysis are presented. Panel (a) shows the QMGWs horizontal wavelength distribution over the five years of observation. Panel (b) is the distribution of the propagation period, whereas panel (c) is the histogram of the phase speed distribution. Panel (d) shows the distribution of the propagation direction of the QMGWs.



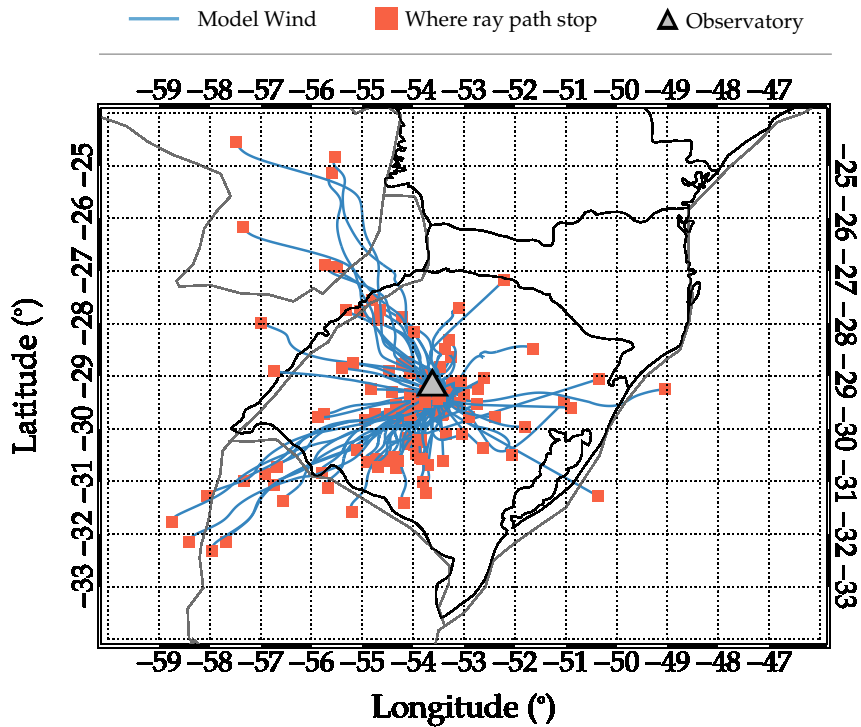


**Figure 4.** Quasi-Monochromatic Gravity Waves (QMGWs) characteristics over five years of observations at São Martinho da Serra. Panels (a), (b), and (c) present the histogram of the horizontal wavelength ( $\lambda_H$ ), period ( $\tau_H$ ), and phase speed ( $c_H$ ), respectively. In panel (d), the propagation direction ( $\phi$ ) of the QMGWs is presented according to season.

For the  $\lambda_H$  distribution in panel (a), an average wavelength of 22.50 km was observed with a peak value of  $\sim 15$  km with a  
185 broad and dominant distribution ranging between 10 and 35 km. However, the normal distributions of the  $\lambda_H$  (panel (b)) and  $c_H$   
(panel (c)) are narrow with a dominant peak period, and phase speed skewed toward  $\sim 10$  minutes and  $\sim 9$  m/s, respectively. The  
propagation direction of the QMGWs is presented in panel (d) of Figure 4. The direction of wave propagation is significantly  
anisotropic, mainly between northwest to northeast, during the summer and southeast directions during the winter.

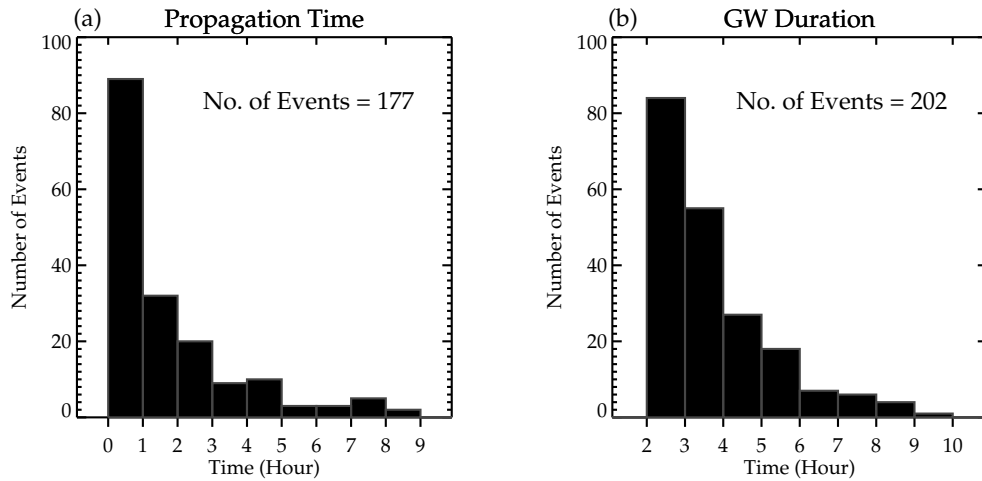
### 4.3 Ray Tracing Results

190 Ray tracing model is used to study the propagation of the QMGWs and to determine their possible source locations. Two  
wind models were considered when running the ray tracing model: zero wind and model wind modes. The model wind mode  
consists of concatenated MERRA-2 and HWM14 wind. However, in this work, only the model wind result of the ray tracing  
is presented. The ray tracing results for the 209 QMGW events are presented in Figure 5. The ray paths of the QMGWs in a  
model wind atmosphere are shown in blue lines, and their respective stopping positions are in red squares. The open triangle  
195 shows the observation site location.



**Figure 5.** Backward ray paths and stopping positions of the observed Quasi Monochromatic Gravity Waves (QMGWs) over São Martinho da Serra.

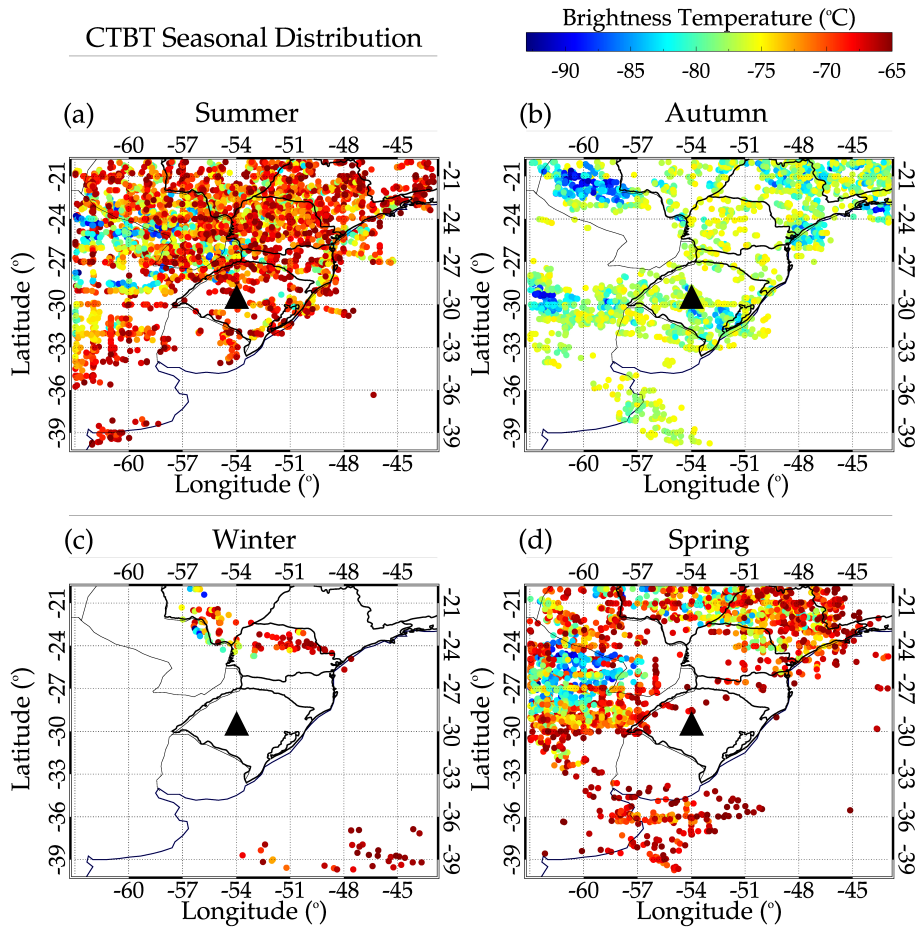
The propagation time of the waves from their source to the observation altitude in the mesosphere is presented in Figure 6(a), while the duration of propagation thus the time span the propagating waves were visible in the OH images during the night) of the waves in the OH images is presented in Figure 6(b). It can be seen that majority of the waves propagated less than one hour from the source position in the lower atmosphere to the OH emission layer. Similarly, the observed wave packet from which the individual QMGWs were selected in the OH airglow images were visible over the field of view of the all-sky imager and propagated for 2 - 3 hours.



**Figure 6.** Propagation and visibility times of the Quasi-Monochromatic Gravity Waves (QMGWs). (a) The propagation time of the wave from the source position to the OH emission layer. (b) The duration of propagation of visible QMGWs in the OH images.

#### 4.4 Wave Sources

Most mesospheric GWs have their primary sources in the lower atmosphere. Various generation mechanisms, such as the mechanical oscillator effect, obstacle effect, and latent heat of deep convection and orographic, are known to be prominent source mechanisms (Fritts and Alexander, 2003). From the ray tracing result presented in Figure 6, it was observed that 12.4% of the ray path stopped above 60 km. This implies that these waves were generated in situ but not in the troposphere. However, the source mechanisms of these waves will not be discussed in this current work. On the other hand, the ray path of the remaining 87.6% stopped in the troposphere. It indicates that this percentage of the wave is most probably generated in the troposphere. Figure 7 presents the distribution of the minimum cloud top brightness temperature near the stopping positions of the ray paths in the troposphere.



**Figure 7.** Seasonal distribution of the cloud top brightness temperature (CTBT) close to the stopping locations of the ray paths in the tropopause.

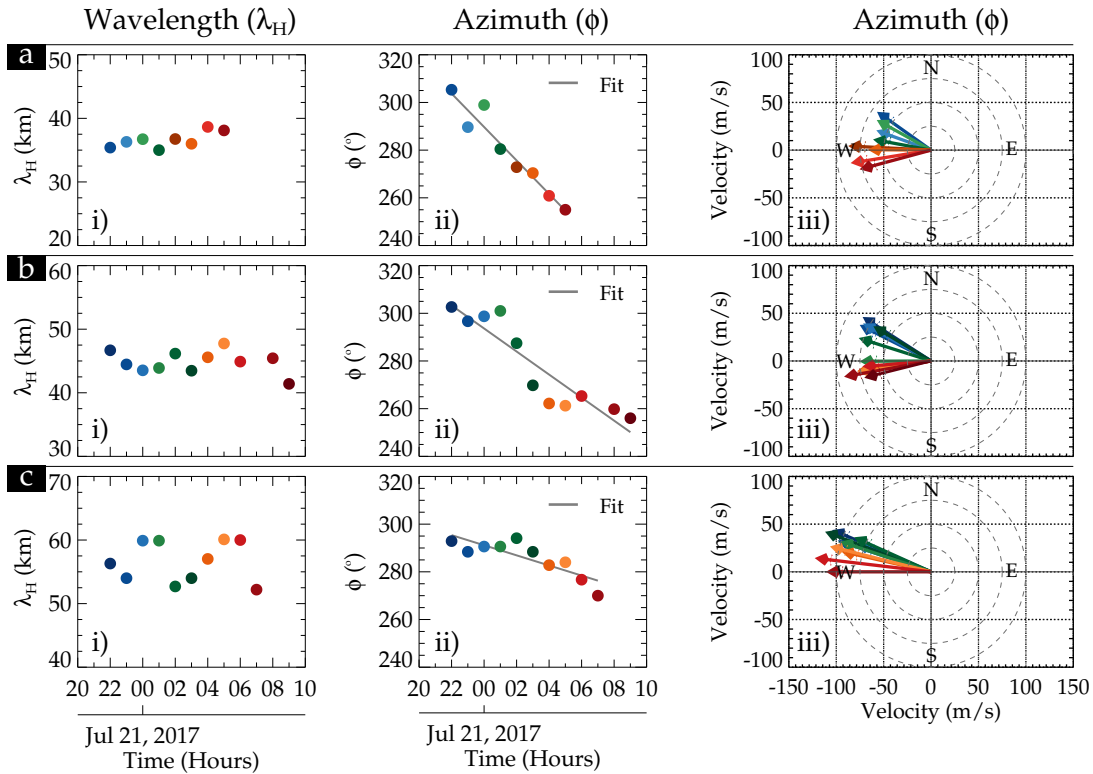
Panels (a), (b), (c), and (d) show the seasonal distributions of CTBT for summer, autumn, winter, and spring, respectively. The selection time of the CTBT ranges from 18:00 UT to 06:00 UT the following day. The selection of this time range was due to the observation time of the QMGWs and possible excitation time determined by the ray tracing. The overall distribution of the CTBT for each season agrees with the propagation directions presented in panel (d) of Figure 4.

## 215 5 Case Studies

### 5.1 Case Study of July 20 - 21, 2017 Event

On July 20, 2017, around 22:00 UT, GW structures with  $\lambda_H \sim 10 - 60$  km were observed in the OH airglow propagation towards the northwestern direction. The waves with similar wavelengths gradually propagated toward the west and southwest as time

progressed. In Figure 8, i) shows the  $\lambda_H$  at each 1 hour whereas ii) shows the variation in  $\phi$  at each hour. iii) shows the variation of  $\phi$  in a polar plot representation. Panels a, b, and c of i) depict the variation of  $\lambda_H$  between 30-40 km, 40-50 km, and 50-60 km of the GWs with time. The corresponding ii) and iii) of each panel represent the azimuth versus time and azimuth in a polar plot. The color representing  $\lambda_H$  in i) at each hour corresponds to the same color in ii) and the arrow in iii).



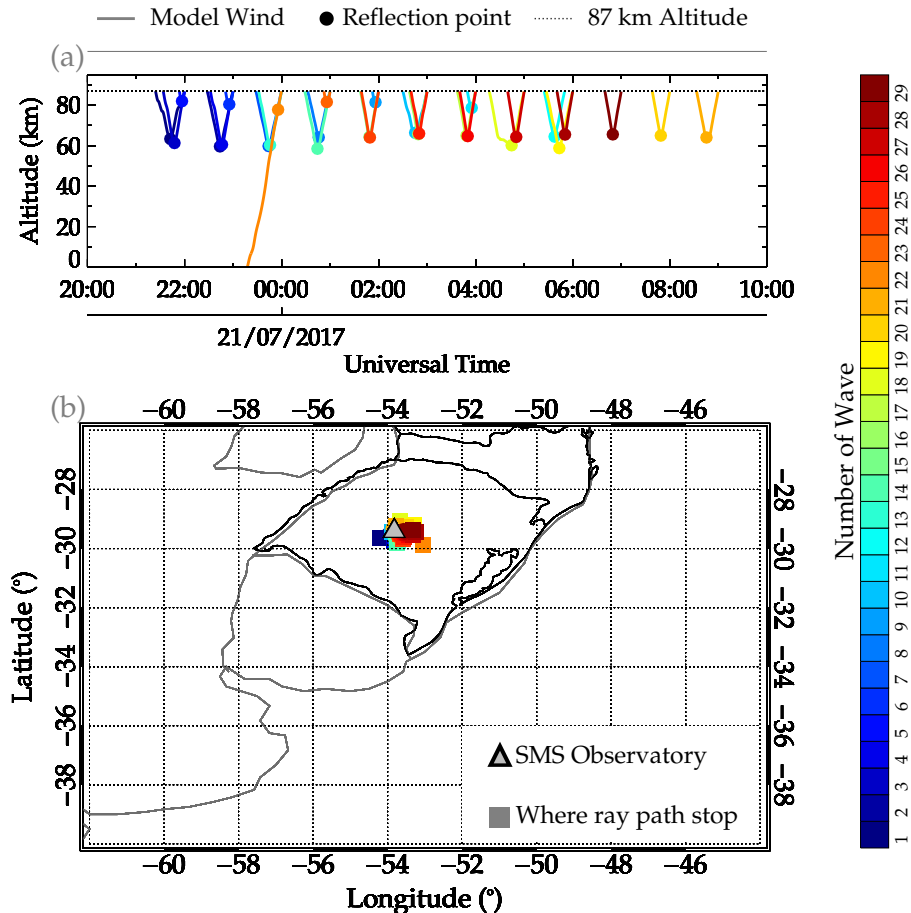
**Figure 8.** Variations in horizontal wavelength of 30-60 km Gravity Waves and the propagation directions. Panels i, ii, and iii are the  $\lambda_H$ , azimuth, and azimuth versus phase velocity in a polar plot.

We observed that the maximum variations in the three groupings of the wavelengths are within  $\pm 5$  km. These variations fall within the average error range of each group. In relation to the variation in the azimuth with time, the change in the propagation direction is evident that all the groups of the wave propagated from the northwest at the beginning of the observation to the southwest at the end. The variations in the propagation direction in sub-panels ii) were affirmed in the polar plots in sub-panels iii).

### 5.1.1 Ray Tracing Result

Figure 9 shows the ray tracing results of the 29 QMGWs in the event observed on July 20-21, 2017. The color bar represents the number of each of the 29 waves. The hourly mean  $\lambda_H$  of the three  $\lambda_H$  groupings were ray traced from the OH emission

altitude. In Figure 9a, the horizontal dashed line indicates 87 km, whereas the dot with similar colors to the model wind ray path of the wave is the reflection point. The squares in Figure 9b represent the position where the wave stops.

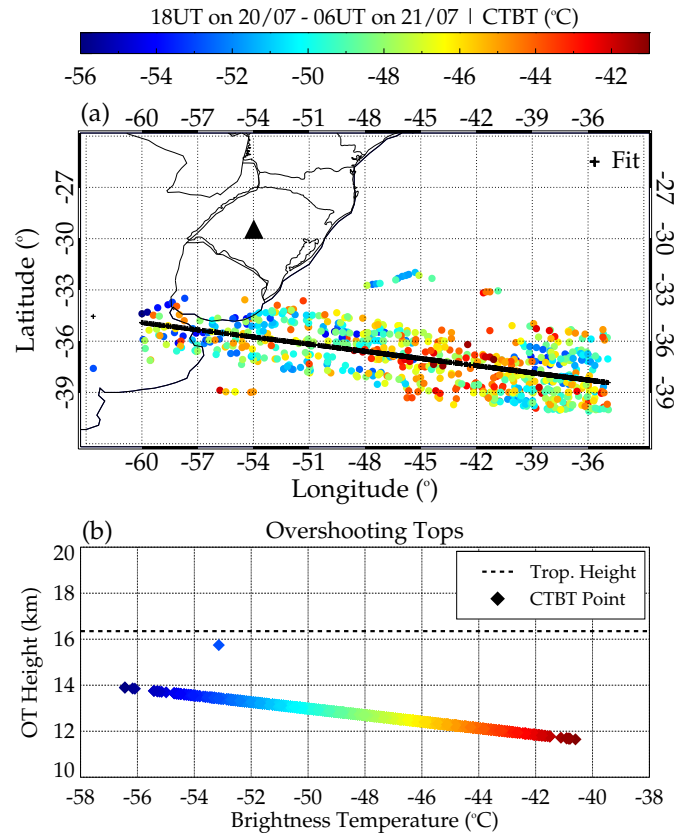


**Figure 9.** Ray tracing results of the Quasi-Monochromatic Gravity Waves on July 20 - 21, 2017.

Figure 9(a) shows that the ray tracing started at 87 km. However, we observed that almost all the waves reflected. According to Vadas (2007), a reflection of GWs occurs where and when the Brunt-Väisälä frequency ( $N$ ) is nearly equal to the wave intrinsic frequency ( $\omega_I$ ). This result showed that except for Wave # 21 (see Figure 9a), which reached the troposphere, the others reflected between 60 and 85 km. The reflection of these waves suggests the possibility of an evanescent layer ( $m < 0$ ). The subject of the ducting will be discussed in the following section in order to verify if there exists an evanescent layer. In panel (b), the position of the reflection in space is distributed around the observation site, showing that the GWs did not travel far horizontally. Even Wave #21 propagated to the troposphere only 100 km from the observation site. The stopping point of this wave is not close to any convective system at the time when the ray path reaches the tropopause.

## 5.1.2 Convective Sources

Figure 10 shows the minimum cloud top brightness temperature (CTBT) distribution in space between 18:00 UT on July 20, 2017 to 06:00 UT on July 21, 2017, and the vertical distribution of CTBT with overshooting top (OT) height.



**Figure 10.** Distribution of (a) minimum cloud top brightness temperature (CTBT) in space and time, between 18:00 on July 20, 2017 to 06:00 on July 21, 2017, and (b) CTBT with OT height.

The minimum CTBT within longitude  $-63^{\circ}$  to  $-33^{\circ}$  and latitude  $-42^{\circ}$  to  $-24^{\circ}$  is determined for each  $1^{\circ}$  by  $1^{\circ}$  grid box from 18:00 UT on July 20, 2017 to 06:00 UT July 21, 2017 as shown in Figure 10(a). The composite plot of all the CTBTs is then plotted over the map to see their distribution relative to the stopping positions of the ray path. We observed in Figure 10 that the closest CTBT to the ray path stopping position is  $\sim 300$  km for Wave #21, which reached the troposphere. It is important to state that the other waves reached a minimum of 60 km altitude. Therefore, Figure 10(a) shows that these waves unlikely originated from the convective system. It is because no CTBT in Figure 10(a) did overshoot, as shown in Figure 10(b).

The tropopause height obtained from a radiosonde sounding at Santa Maria ( $29.69^{\circ}\text{S}$ ,  $53.27^{\circ}\text{W}$ ) on July 21 at 00:00 UT was  $\sim 16.35$  km. The highest overshooting top within the time range considered was  $\sim 16$  km. Several research works (e.g., Nyassor et al., 2021, and references therein) showed that GWs can be generated through overshooting of the tropopause (mechanical

oscillator mechanism). However, for this mechanism to be feasible, the CTBT must be colder than the tropopause temperature signifying overshooting of the tropopause. This result therefore implies that overshooting of the tropopause is not the source mechanism of the waves observed on July 20 - 21, 2017. Fritts and Alexander (2003) mentioned that a convective system could also generate GWs through three mechanisms, latent heat, obstacle effect, and mechanical oscillator effect. Knowing that the mechanical oscillator effect is not responsible for generating the GWs on July 20 - 21, 2017, the other mechanism will be explored later in the paper.

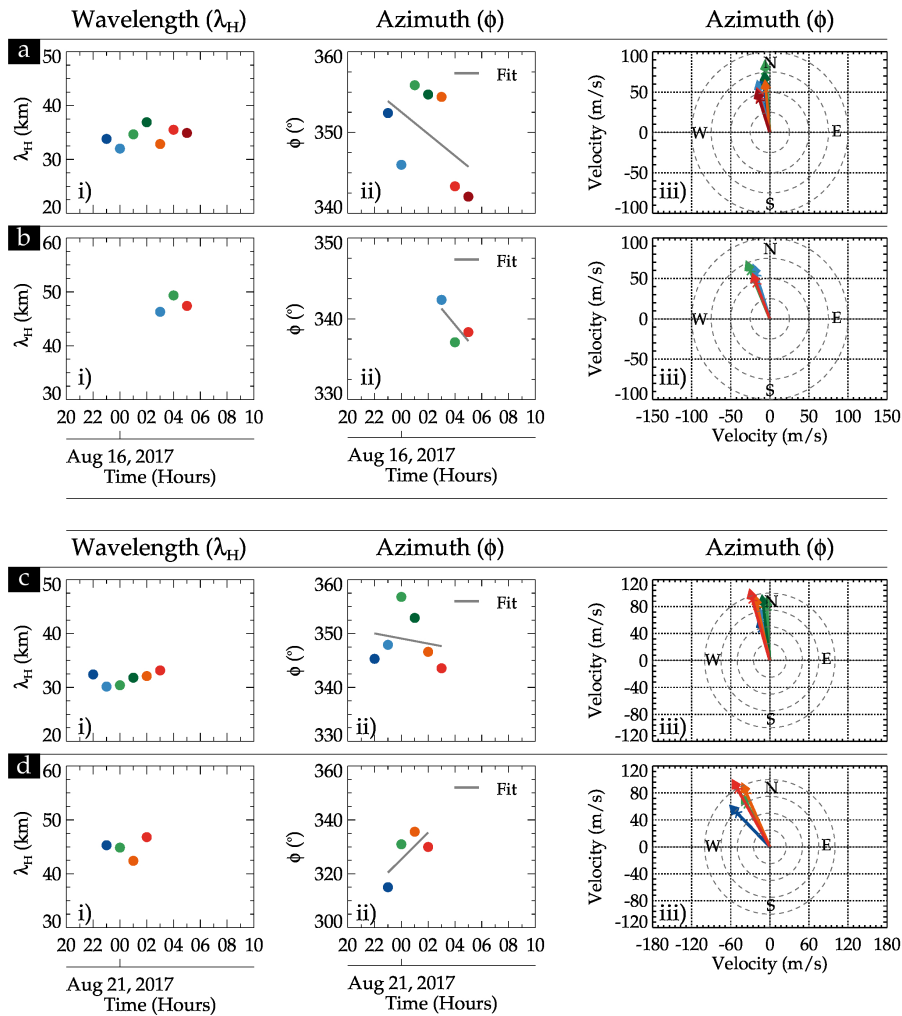
## 5.2 Case Study 2 - August 15 - 16 and 20 - 21, 2017 Events

Similar to the July 20 - 21, 2017 event, GW structures with  $\lambda_H \sim 30 - 50$  km were observed in the OH airglow images propagating toward the northwestern direction. Contrarily, these waves propagated mainly toward the northwest throughout the entire night. The variation in the  $\lambda_H$  and  $\phi$  at each 1 hour and the  $\phi$  in a polar plot are presented in Figure 11. The sub-panels i), ii), and iii) have the same meaning as defined in Figure 8. Panels (a) and (b) depict the subplot for i), ii), and iii) of  $\lambda_H$  between 30 - 40 km and 40 - 50 km for the August 15 - 16 event, respectively, whereas panels (c) and (d) show that of the August 20 - 21 event.

In subpanel i) in panel (a), no significant variations were observed in the  $\lambda_H$ . The propagation direction ( $\phi$ ) showed some variation with time but generally (the fit - black solid line) varies from North to Northwest. Even though the 40 - 50 km GWs lasted for just 3 h, it is clear that this GW was propagating mainly in the Northwestern direction (see subpanels ii) and iii) of panel (b)). The characteristics of these GWs clearly show that their source may be the same.

The GW structures observed on August 20 - 21, 2017, have a similar range of  $\lambda_H$  as that of August 15 - 16, 2017. The two wavelength groups (30 - 40 and 40 - 50 km) variations in the  $\lambda_H$  and the respective  $\phi$  (in time and polar plot) are presented in panels (c) and (d) of Figure 11. Similarly, the propagation direction of the waves in Figures 10(a) and 10(b), the wave (30 - 40 km) in Figure 11(c) propagates in a similar direction.

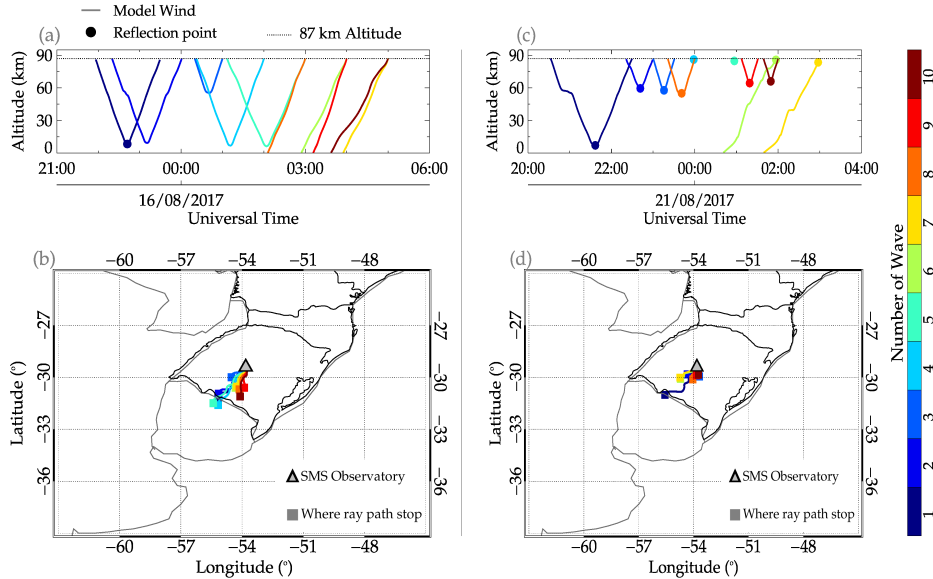




**Figure 11.** Similar to Figure 8 for only 30 - 50 km wavelength Gravity Waves. Panels i), ii), and iii) are the  $\lambda_H$ , azimuth, and azimuth in a polar plot. The case study of August 15 - 16, 2017, is presented in panels (a) and (b), whereas that of August 20 - 21, 2017 is presented in panels (c) and (d).

However, the 40 - 50 km wave propagated from the northwest to the north direction. The different propagation direction of the wave in this spectrum suggests that this wave might be excited by a different source. The propagation of these GWs is studied, and their possible source location is investigated using the ray tracing result presented in Figure 12.

### 5.2.1 Ray Tracing Results of August 15 - 16 and 20 - 21, 2017 GW Event



**Figure 12.** Ray tracing results of the Quasi-Monochromatic Gravity Waves on August 15 - 16, 2017, and August 20 - 21, 2017 events.

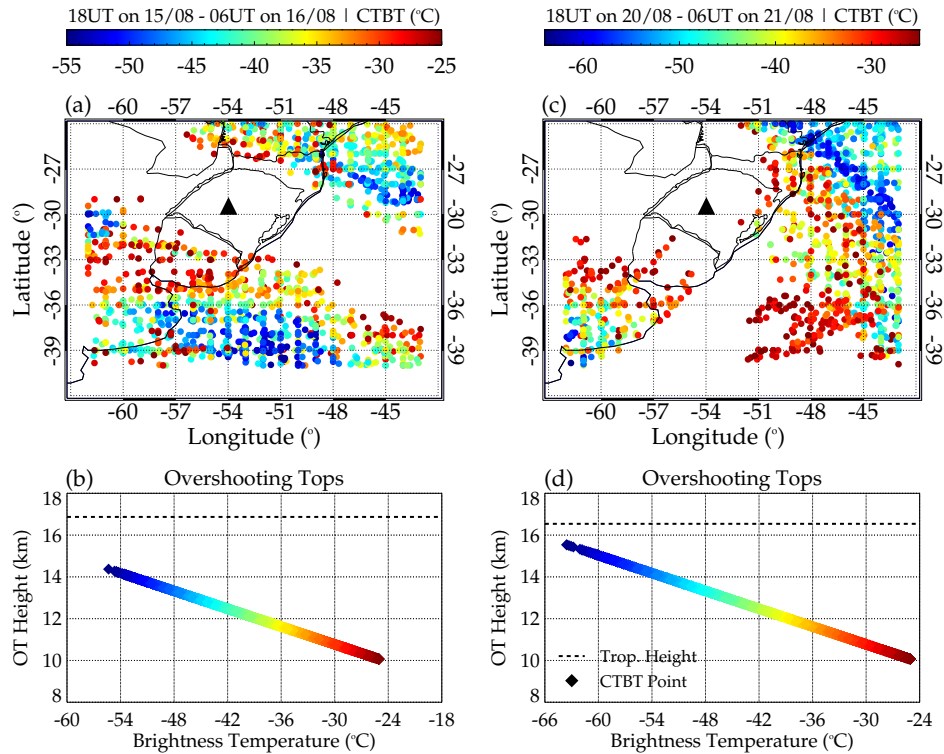
Figure 12 presents the ray tracing result of the August 15 - 16 and 20 - 21, 2017 QMGW events. Ten GWs were ray traced for each wave of the event. For the August 15 - 16 event, Figure 12(a) showed that Waves #6, #7, #8, #9, and #10 propagated to the troposphere. Waves #1, #2, #4, and #5 reflected around 10 km, whereas Wave #3 reflected at 60 km. For the ray path of the wave in space (see Figure 12(b)), all the ray paths stopped at the Southwestern part of the SMS observatory. This is an indication that the waves are most likely excited in the Southwestern part of Rio Grande do Sul or the Northeastern part of Uruguay.

In Figure 12(c), we observed that all ten waves reflected at a point. Waves #4, #5, #6, and #7 reflected first at the OH emission altitude, among which Waves #6 and #7 propagated to the troposphere. Waves #4 and #5 could not propagate further upwards or downwards. Waves #2, #3, #8, #9, and #10 also reflected between 60 and 70 km. Wave #1, however, reflected  $\sim 5$  km. As presented in Figure 12(d), the propagation of these waves in space showed that the waves are also generated in the Southwestern part of the observation.

### 5.2.2 Convective Sources

Figure 13 shows the CTBT maps and the OT heights from 18:00 UT on August 15 to 06:00 UT on August 16 (panels a and b) and 18:00 UT on August 20 to 06:00 UT on August 21 (c and d) for case studies 2 and 3. The CTBT distribution in Figure 13(a) corresponds to the ray tracing result in Figure 12(b), whereas Figure 13(d) to that of Figure 12(d). From this plot (i.e., Figure 13), it has been observed that the distribution of the CTBT around the southwest part of the observatory and the northeastern

part of Uruguay agrees with the stopping positions of the ray traced path. In particular, the ray tracing results in Figures 12(b) and 13(a) showed a clear correlation. Even though most of the ray paths in Figure 12(c) are reflected in the lower mesosphere, the ray paths that reached the troposphere agree with the CTBT distribution. In both cases, the CTBT maps showed no strong convective activity. This is seen in the brightness temperature of the individual CTBT scales shown in the color bar.

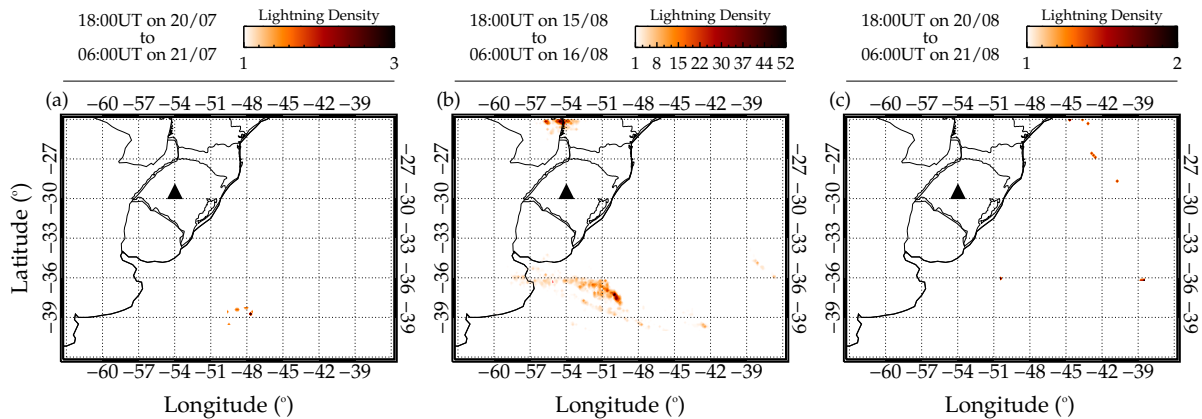


**Figure 13.** Distribution of (a) minimum cloud top brightness temperature (CTBT) in space between 18:00 on August 15, 2017, to 06:00 on August 16, 2017, and (b) CTBT with OT height. (c) CTBT in space between 18:00 on August 20, 2017, to 06:00 on August 21, 2017, and (d) CTBT with OT height

In Figure 13 (b and d), the individual OT heights are plotted as a function of brightness temperature. It can be observed that throughout the 12 hours, none of the CTBT/OT was higher than the tropopause height. This indicates that no overshooting by the convective system occurred; hence the mechanical oscillator effect of GW excitation cannot be the mechanism that excited these waves. However, other mechanisms can be the GW excitation mechanism of these waves. The general characteristics of the convective system during these nights showed characteristics of the activities of the cold front. Now, other mechanisms that can excite the observed waves are investigated.

### 5.3 Lightning Distribution

305 Lightning activity is used to indicate the severity of deep convection. Nyassor et al. (2021) and Nyassor et al. (2022) used lightning distribution in space to show the direct relationship to CTBT. Nyassor et al. (2021, and references therein) used the lightning rate as an indicator of overshooting of the tropopause while investigating the sources of three (3) concentric gravity events. Strong correlations were observed between the lightning rate and overshooting tops in space and time. In this current study, the lightning activity in space (Figure 14) and time (not shown) were used to show whether the convective system present  
 310 during the three case studies was active.



**Figure 14.** Lightning activities during the Quasi-Monochromatic Gravity Waves (QMGWs) case studies one, two, and three. The lightning activity distribution during case study one is shown in (a), case study two in panel (b), and in panel (c) is that of case study three.

To determine the lightning density, we binned the lightning flashes by  $0.15^\circ \times 0.15^\circ$  in longitude and latitude (Nyassor et al., 2021, 2022) from 18:00 UT to 06:00 UT. The density distribution was then overplotted on the map, as shown in Figure 14. Interestingly, the density distribution of the lightning during these case studies is low, especially for the cases of July 20 - 21 and August 20 - 21. The maximum density distribution occurred in event 2 (Figure 14b). However, the distribution of this event is far from the ray traced source locations. In general, the density distributions of the three events are low. This is another  
 315 indication that these convective systems were not active. Even though the lightning distribution of the August 15 - 16 QMGW event (Figure 14b) was relatively high, the lightning rate (not shown) did not present characteristics of overshooting. Using lightning activity, it has been further proven that the observed waves are not excited through the overshooting mechanism.

### 6 Background conditions on the propagation of the case studies

320 The propagation of GWs is controlled by the atmospheric background field, especially wind, and temperature. The state of the wind and temperature determine whether a wave is propagating or evanescence (ducted or trapped) (Gossard and Hooke, 1975). Ducted GWs can propagate horizontally for long distances without losing energy (Bageston et al., 2009). Bageston et al.

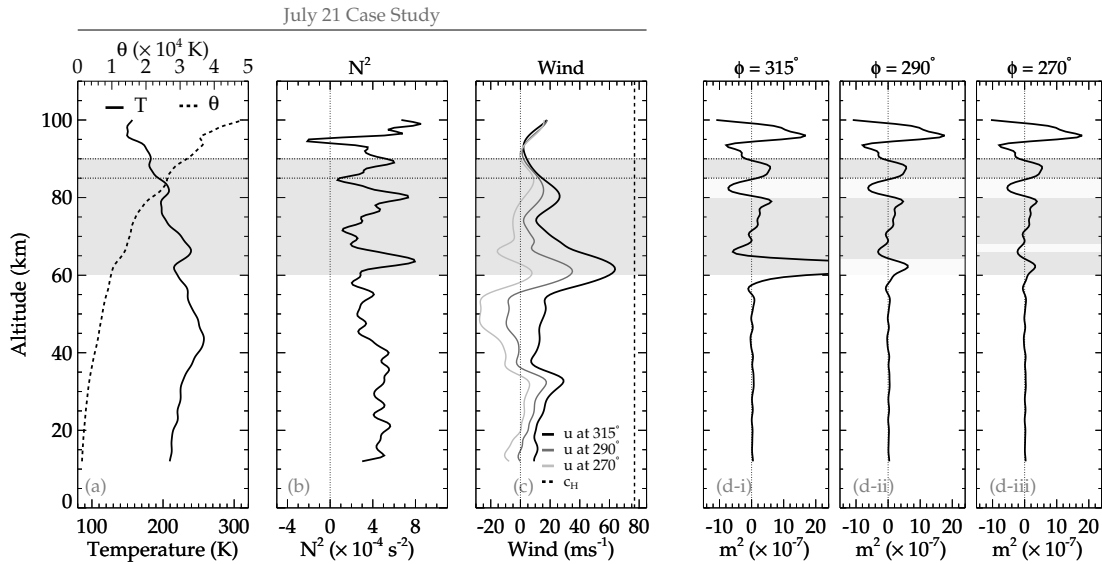
(2009) and Fechine et al. (2009) showed that ducted waves due to either Doppler or thermal duct enhance the longer horizontal propagation of GWs over a long duration. Thermal ducts are formed when there is a temperature inversion layer, whereas the  
 325 Doppler duct is formed when a wind shear exists. A dual duct is formed when both temperature inversion layer and wind shear exist at the same altitude (e.g., Chimonas and Hines, 1986; Isler et al., 1997; Nappo, 2013; Walterscheid et al., 1999).

During these three case studies, temperature profiles obtained from SABER sounding showed an inversion layer within 60 to 90 km. The case studies of July 20 - 21, August 15 - 16, and August 20 - 21 have a mesospheric inversion. Also, vertical shear was present in the zonal wind. Therefore, the ducting condition was determined by utilizing the SABER temperature profile and the concatenated wind profiles obtained from MERRA-2 and HWM14.  
 330

In Figure 15, the background analysis of the propagation characteristics of the July 20 - 21, 2017 QMGW event is presented. The SABER temperature profile and its corresponding potential temperature are presented in panel (a). The Brunt Väisälä frequency profile was estimated using (Fritts and Alexander, 2003),

$$N = \sqrt{-\frac{g}{\theta} \frac{d\theta}{dz}}, \quad (2)$$

335 which is presented in panel (b), with  $\theta$  being potential temperature,  $g$  the gravitational acceleration, and  $z$  the altitude. In panel (c), the wind in the direction of the wave for propagation directions of  $315^\circ$ ,  $290^\circ$ , and  $270^\circ$  is shown, whereas the profile of the vertical wavenumber ( $m^2$ ) adapted from Vadas and Fritts (2005) is shown in panel (d).



**Figure 15.** Propagation characteristics during the July 20 - 21, 2017 QMGWs case study.

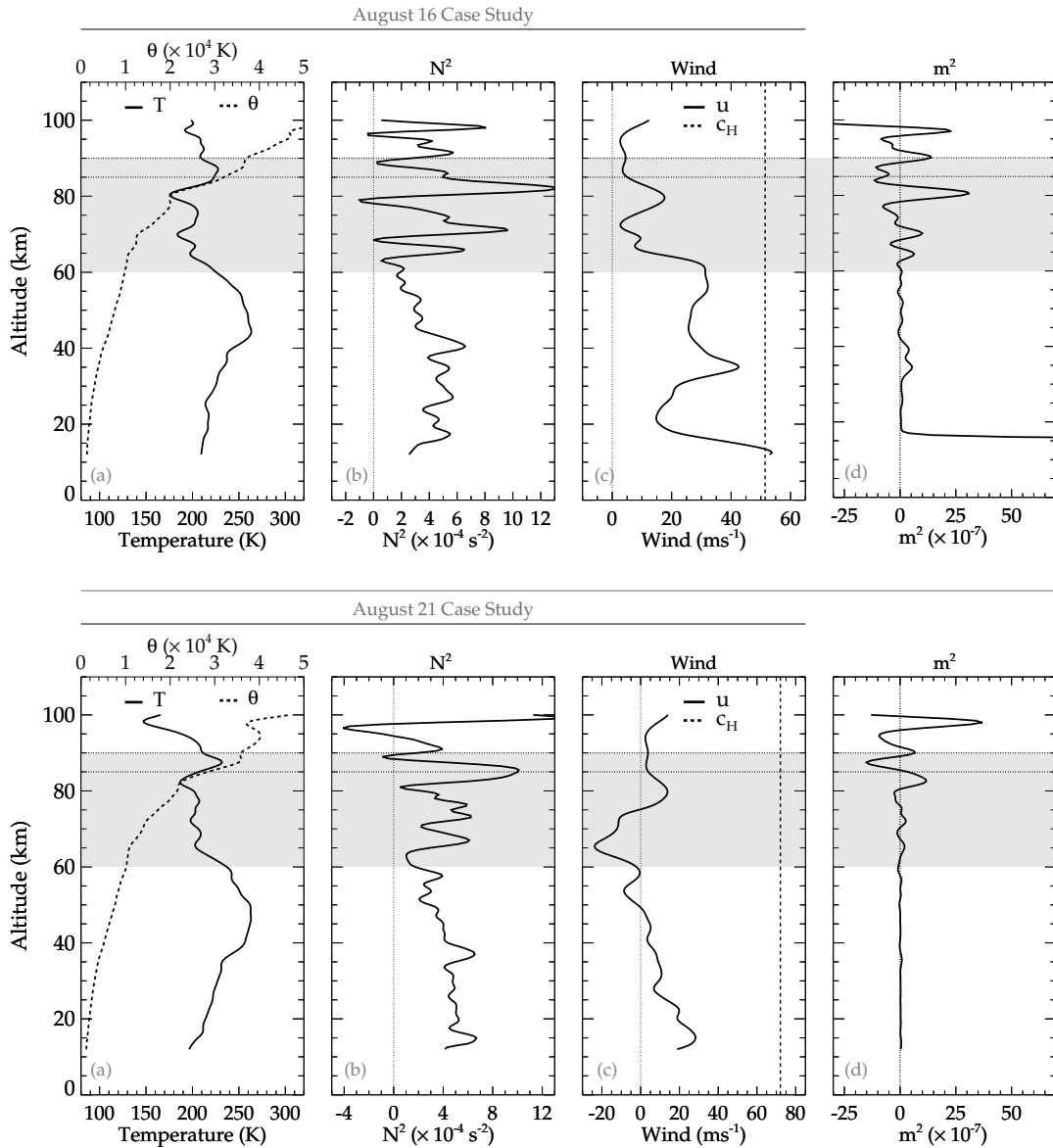
Panel (d) has three subpanels: i, ii, and iii. These subpanels represent the directions of propagation of the wave. As seen earlier in Figure 8, the wave in this case study propagated from northwest to southwest. As a result, propagation directions in  
 340  $315^\circ$ ,  $290^\circ$ , and  $270^\circ$  are considered to verify if a duct exists in all directions during the propagation of the wave.

From the analysis in Figure 15, it is seen that there exist a duct in all three propagation directions considered. The existence of the duct implies that the background creates the necessary condition favourable for the wave to propagate in this region for a long distance and time. During this QMGW event, the propagation of the observed wave for about nine (9) hours with almost the same horizontal wavelength suggests: (a) a possible propagation in a duct and (b) a source emitting GWs at a constant spatial and temporal scale over a long time. Regarding the longer horizontal propagation, the presence of the duct affirms the longer propagation of these QMGWs with similar spatial characteristics from the beginning to the end of the observation. Various researchers have used ducts (e.g., Xu et al., 2015) to explain the longer horizontal propagation of the wave reported in their work. Similar to the result of Xu et al. (2015) and references therein, it can be concluded that the July 20 - 21, 2017 event was ducted hence the longer propagation over such a long time.

A similar analysis is conducted for the August 15 - 16 and August 20 - 21 QMGW cases, as shown in Figure 16. The profiles of the parameters in panels (a) and (b) are similar to that of Figure 15 except for panels (c) and (d), where  $m^2$  of only a single propagation direction obtained in panel (c) is presented. In both case studies, the  $m^2$  profile showed two ducts between altitude ranges of 75 - 95 km. The ducts of these two cases are not precisely within the peak of OH emission layer altitude (i.e., 87 km) and are narrower than that of July 20 - 21, 2017. However, these ducts can support longer horizontal propagation of the observed QMGWs.

In Figure 12, the ray tracing result of the August 15 - 16 case studies (panels (a) and (b)), except for one of the wave (i.e., wave #3), the remaining nine (9) reached the troposphere. This indicates that these waves were excited in the lower atmosphere and in the southwest of the observation site, with waves #6, #7, #8, #9, and #10 first reflecting around 60 km. Similarly, in panels (c) and (d), the ray tracing result showed that only three waves reached the troposphere. The remaining waves reflected above the altitude of 60 km. These propagation characteristics, however, indicate the condition, that is,  $c_H = N/k + U$ , for reflection, is satisfied here Heale and Snively (2015).

The ray tracing results for the three case studies could not capture the trapping of the waves but could only capture the reflection because the phase front of the wave and the background wind are the same. Also, the zonal component of the wind during these events peaked within 60 to 70 km. In a simulation study conducted by Heale and Snively (2015), for small-scale gravity waves (SSGWs), they found that their simulated wave ray path reflected along the largest magnitude negative phase front of the background wind. All this evidence showed that most of the observed QMGWs are ducted, allowing longer propagation. Next, we investigate the source and related mechanism that emitted the spectrum of waves observed in the mesosphere.



**Figure 16.** Propagation characteristics during the August 15 - 16 and August 20 - 21, 2017 QMGWs case studies.

## 7 Other Wave Sources and Source Mechanisms

### 7.1 Cold Fronts

370 A cold front is the leading edge of a cooler air mass at ground level that replaces a warmer air mass and lies within a pronounced surface trough of low pressure. A cold front generates a cumulous cloud with precipitation, emitting GWs. Since the systems

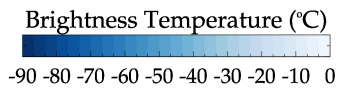
for case studies 1, 2, and 3 are not overshooting, further analysis of the characteristics of the system is conducted using GOES images to study the cold front characteristics. According to Schmit et al. (2017), among the GOES-16 products, channel 10 captures lower/midlevel water vapor (fronts) activities between 500 and 750 hPa (2.5 - 5.5 km) in the infrared wavelength at 375 7.3  $\mu\text{m}$ .

Figure 17 presents a three-hour resolution time series of GOES-16 infrared images of a cold front between 18:00 UT and 06:00 UT. Figure 17(a-d) presents the spatiotemporal evolution of the cold front during the July 20-21, 2021 case study, whereas panels (e-h) and (i-l) of Figure 17 present that of August 15-16 and 20-21 case studies, respectively. The time of each image is written in the upper left corner. The color bar at the upper left shows the temperature scale of the cold front. Cold 380 fronts are used, among others, to monitor severe weather potential. For this reason, complementary data such as reanalysis and space-borne observation were used to investigate the possibility of severe weather.

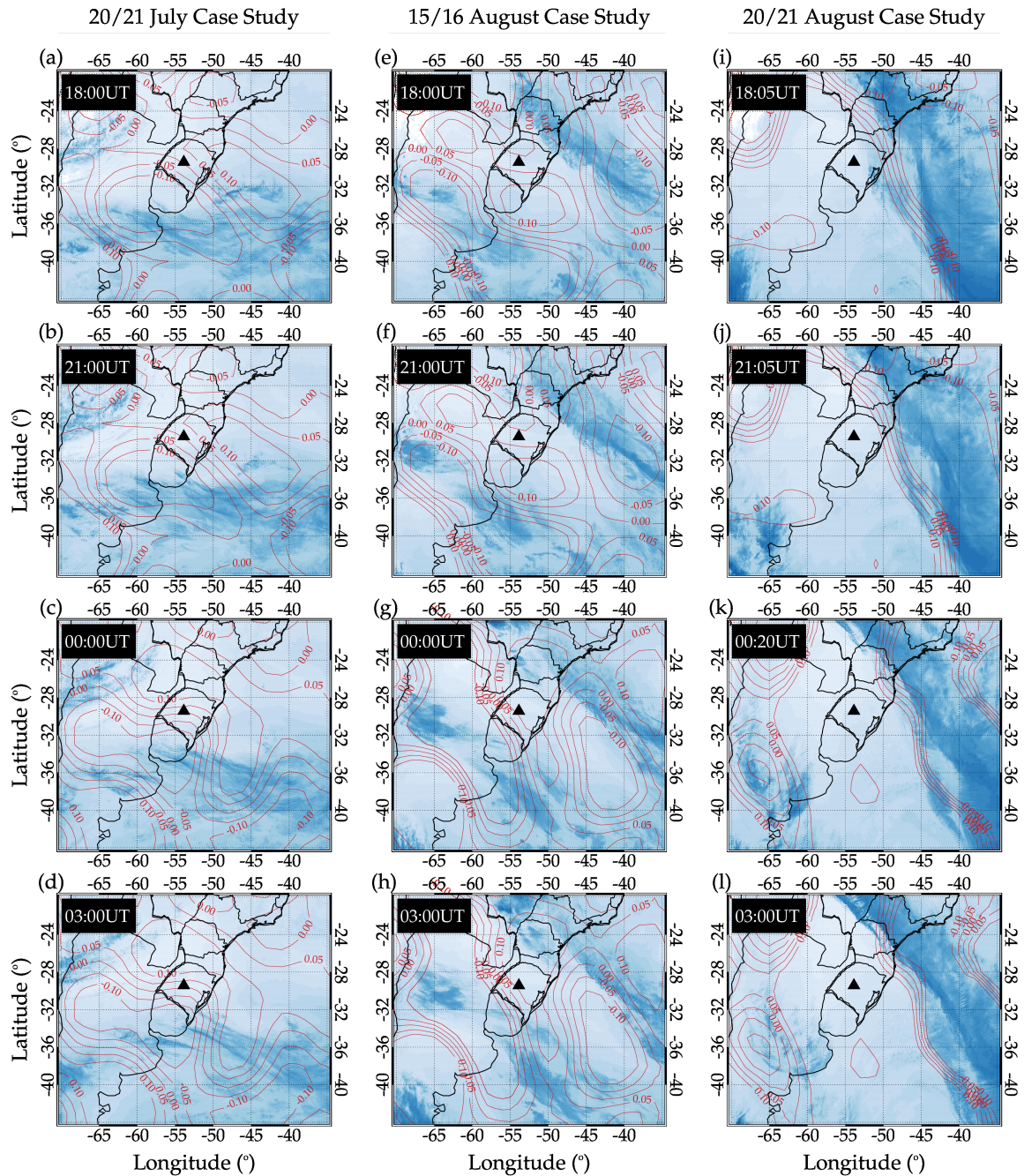
First, convective available potential energy (CAPE), an essential parameter in predicting severe weather, is used. From CAPE, the maximum updraft velocity ( $w = \sqrt{2 \cdot \text{CAPE}}$ ) is mainly used to determine possible overshooting of the tropopause that can lead to gravity wave excitation. As discussed earlier, no overshooting was observed before the observation of these 385 case studies. However, to confirm that no overshooting was observed, CAPE maps within the same time and spatial range, as shown in Figure 17, were plotted and presented in Figure 18. The color bar in the upper left corner shows the values of CAPE.

In Figures 17 and 18, the contour lines of omega ( $dp/dz$ ) at 850 hPa were overplotted on the cold front and CAPE maps. In Figure 17, the contour lines and their magnitude are represented in red, whereas in Figure 18, they are in gray lines. The omega data were obtained from the National Centers for Environmental Prediction (NCEP). More details on the omega data 390 can be seen elsewhere in Kanamitsu et al. (2002). The following section will discuss the omega contours, the cold front, and CAPE. The omega is plotted over the cold front and CAPE maps to relate the regions with cold brightness temperature and high CAPE values to strong upward vertical air motion. The omega (upward vertical motion of air mass) in gray contour lines are overplotted on the spatiotemporal evolution of (CAPE) maps. Even though in Figures 17 and 18, omega at 850 hPa was overplotted on the cold front and CAPE maps, the question still remains: what are the characteristics of omega with altitude?

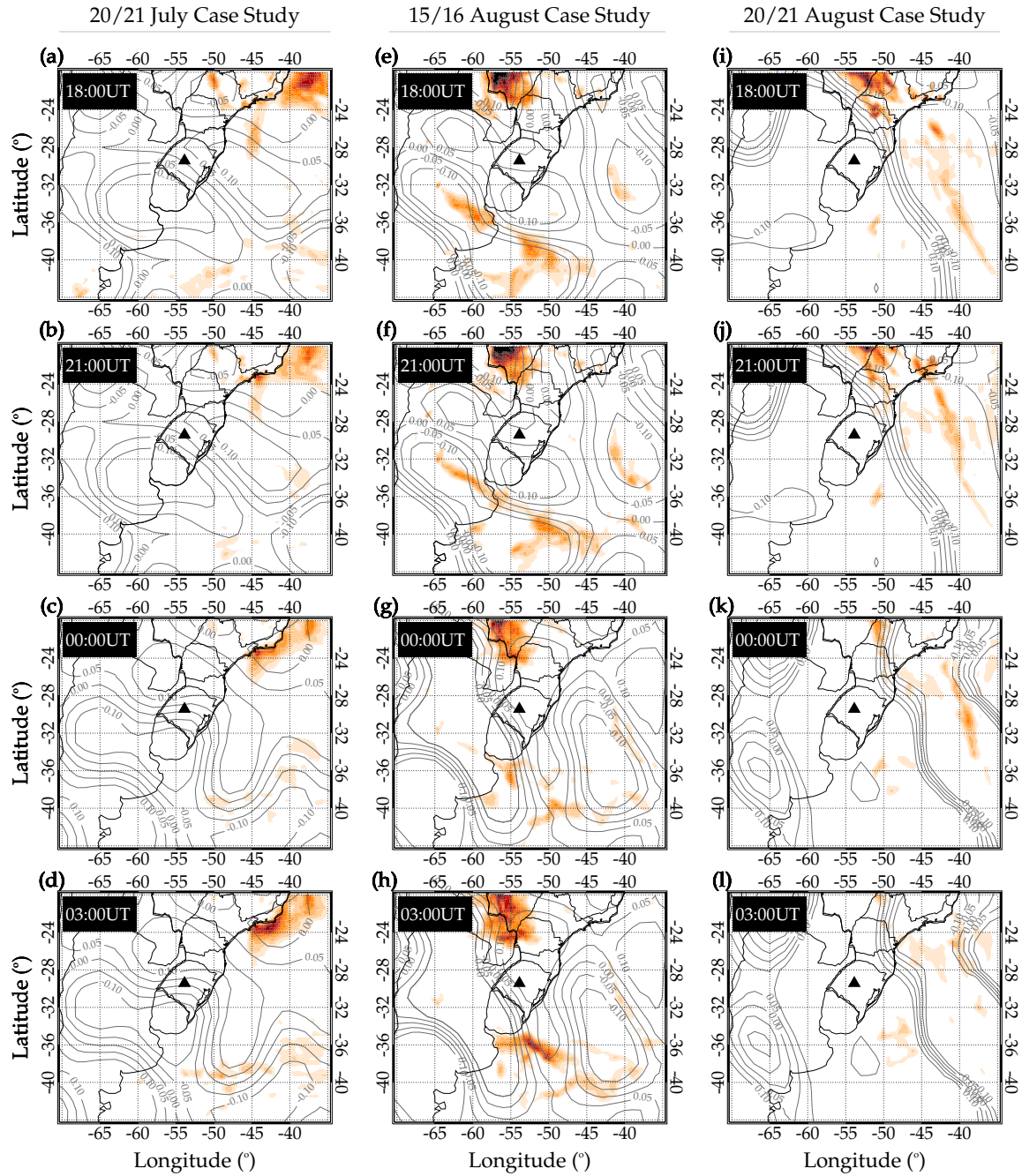
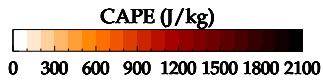




CH 10 - 7.3  $\mu\text{m}$  | 2.5 - 5.5km | 18:00UT to 06:00UT



**Figure 17.** Spatiotemporal evolution of cold front in GOES-16 channel 10, 7.3  $\mu\text{m}$  with the omega (upward vertical motion of air mass) overplotted in red contour lines.



**Figure 18.** Spatiotemporal evolution of convective available potential energy (CAPE) with the omega (upward vertical motion of air mass) overlotted in red contour lines.

395 Figures 17 and 18 present results of coincident observations and reanalysis data used to investigate the state of the tropo-  
spheric activity. Figure 17 clearly shows the passage of cold fronts during the three (3) selected case studies. For the case of  
July 20-21, the cold front was moving eastward, whereas August 15-16 and August 20-21 were moving southwestward.  
A close observation of the omega over the cold front showed that the region with colder temperature has negative omega at  
850 hPa, which indicates a consistent ascending motion of the atmosphere (Xu et al., 2015). In the cases of July 20-21 and  
400 August 15-16, regions with negative omega are over Uruguay and part of Argentina between 18:00 UT and 06:00 UT. These  
regions are to the south and southwest of the observation site. For the case of August 20-21, the region of the passage of  
the cold front was over latitudes higher than  $-25^\circ$  and the majority over the Atlantic Ocean. The omega with a negative sign  
coincides with these regions. The characteristics of the cold fronts are further affirmed using the CAPE maps (Figure 18).

CAPE is used as an indicator of atmospheric instability, which measures the integrated work that the upward buoyancy  
405 force would perform on a given mass of air to rise vertically through the entire atmosphere (Holton, 1992). In this work, we  
used the CAPE further to show the state of instability of the atmosphere. Several works by researchers (e.g., Vadas et al.,  
2009; Xu et al., 2015; Nyassor et al., 2021) used CAPE (updraft) to infer the possibility of severe weather that can lead to  
overshooting and consequently GWs excitation. According to the Storm Prediction Center (SPC) of National Oceanic and  
Atmospheric Administration (NOAA) (Nyassor et al., 2021), CAPE is classified as marginally unstable when  $0 \leq \text{CAPE} \leq$   
410 1000, moderately unstable when  $1000 \leq \text{CAPE} \leq 2500$ , very unstable when  $2500 \leq \text{CAPE} \leq 4000$ , and extremely unstable  
when  $\text{CAPE} \leq 4000$ .

The higher the value of CAPE, the greater the possibility of the formation of severe weather and also the higher the maximum  
updraft velocity that may lead to overshooting of the tropopause and thereby exciting GWs. In the case studies considered in  
this work, the values of the CAPE were very low, especially in the regions indicated by the ray tracing to be the possible source  
415 location of the QMGWs, as shown in Figure 18. This, therefore, strengthens the result in Figures 10 and 13 that the source  
mechanism of the GWs observed in these case studies is not through the mechanical oscillator (overshooting) effect.

The observed CTBT map did not show overshooting implying that the clouds did not extend too high to the upper tropo-  
sphere. To further confirm this, the vertical column of the cloud (see Figure A1 in Appendix A) was analysed. For the case  
study of July 20-21, there was no observation of CloudSat. On the other hand, there were observations during the case stud-  
420 ies of August 15-16 and August 20-21, where CloudSat passed right through the region of negative omega (see Figures 17  
and 18). Clearly, Figure A1 showed the presence of only low-level clouds. Another piece of evidence to show that the three  
case studies in this work were not excited through the mechanical oscillator (overshooting) mechanism is the vertical profile  
of omega at fixed longitude and varying latitude as shown in Figure A2. The vertical profiles of the cloud and omega, are  
specifically presented to affirm further the result of the cold front (Figure 17) and CAPE (Figure 18) maps that no overshooting  
425 took place despite being clearly depicted in Figure 10 and 13. For details on the vertical profiles of the clouds and omega, see  
Appendix A.

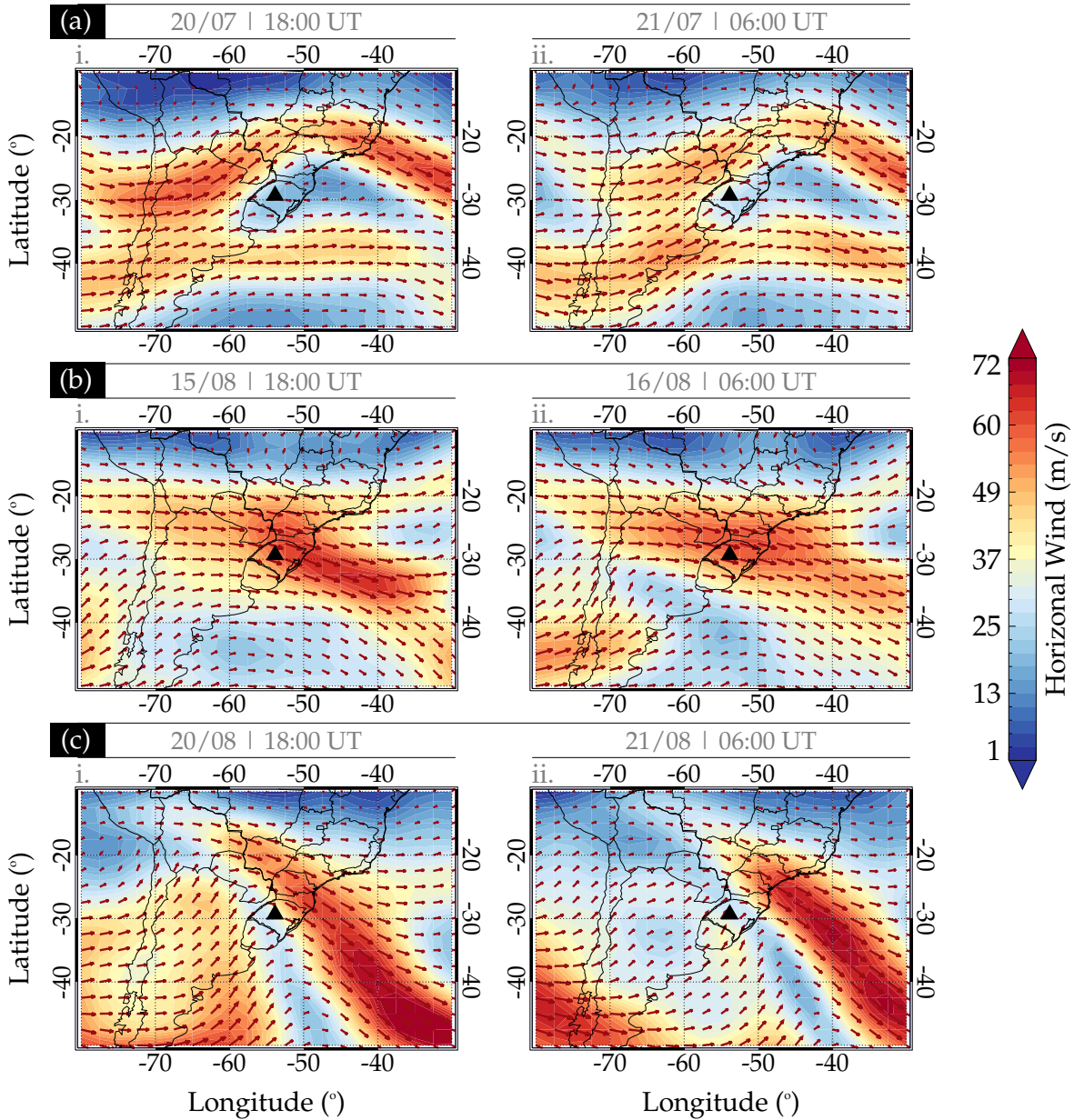
All this evidence, clearly shows that the QMGW events selected for the three case studies are not excited through the  
mechanical oscillator effect mechanism of convection. However, other mechanisms associated with a cold front can excite  
these waves. We now investigate this possible mechanism.

Cold fronts are known to be characterized by temperature field but also by pressure, wind speed, and direction that precede and succeed its passage. Pressure zones, wind speed, and direction can also identify cold fronts. The characteristics of the wind are such that a sudden change in wind direction commonly occurs with the passage of a cold front. According to Van Den Broeke (2022), before the arrival of the front, winds ahead of the front (in the warmer air mass) are typically out of the south-southwest. Still, the winds usually shift to the west-northwest (in the colder air mass) after the front passage. These case studies, however, occurred during the winter season, when strong wind shear and jet streams are prominent.

Strong wind shears in the upper troposphere-lower stratosphere are responsible for generating tropopause shear layers, which generate local turbulence and consequently can lead to mixing air between these two different layers (Kaluza et al., 2021). This mixing air contributes to the emergence of dynamic instabilities that conduct waves to overturn, followed by the turbulent flow breakdown in this transition region. This approach has been discussed in the context of clear-air turbulence (CAT) since 1970 (e.g., Shapiro, 1976, 1978). Recently, a midlatitude cyclone was simulated using the high-resolution numerical model, in which many turbulences were reported. This information highlights the importance of the tropospheric jet streak, wind speed, and shear enhancement within upper-tropospheric outflow with the occurrence of CAT and the generation of gravity waves on different scales (Trier et al., 2020). A jet streak is a section of the overall jet stream in which winds are greater along the jet core flow than in other parts of the jet stream.

Following this approach, the horizontal winds at 200 hPa are analyzed for each event in these selected case studies (Figure 19). The horizontal wind speed (contour plot) and direction (overplotted vector in red arrows) at 200 hPa of the case studies of 20 - 21 July, 15 - 16 August, and 20 - 21 August are presented in panels (a), (b), and (c) of Figure 19, respectively. These winds are obtained from the National Centers for Environmental Prediction (NCEP) (Kalnay et al., 1996). The subpanels (i) and (ii) represent the wind speeds and directions at 18:00 UT on the previous day and 06:00 UT on the next day of each case study with their respective speed in the color bar.

It is important to note that these events occurred during the winter when the polar jet stream (wind  $\sim 60$  m/s) is generally displaced southward, as observed in these three events. Bertin et al. (1978) showed many possible source mechanisms of gravity waves observed in the mesosphere that appear to be closely related to tropospheric jet streams, principally on the polar side of jets. Mastrantonio et al. (1976) showed that the gravity waves generated by tropospheric jet streams may have the ability to propagate vertically to the upper atmosphere, such as the ionosphere (Mastrantonio et al., 1976). Using two synchronized automated digital cameras at Krasnogorsk and Obninsk, located near Moscow, Russia, Dalin et al. (2015) demonstrated that a particular transient isolated gravity wave in the summer mesopause is associated with the passage of an occluded front and/or the point of occlusion. The source mechanism of the wave generation, according to Dalin et al. (2015), was likely due to strong horizontal wind shears at about 5 km altitude. Similarly, Dalin et al. (2016) illustrated that gravity waves, observed in the summer mesopause, were associated with the upper tropospheric jet stream at altitudes 8–10 km.



**Figure 19.** Horizontal wind at 18:00 UT and 06:00UT on 20-21 July 2017 (a(i - ii)), 15- 16 August 2017 (b (i - ii)), and 20-21 August 2017 (c (i - ii)).

Figure 19(a) shows a strong and clear bifurcation of the strong wind flow close to longitude  $-60^\circ$ , coinciding with the source location of the gravity waves. This bifurcation was persistent with strong wind flow throughout the 12 hours, suggesting a constant emission of the gravity waves in this region. It can be observed that the wind was toward the northeast direction at

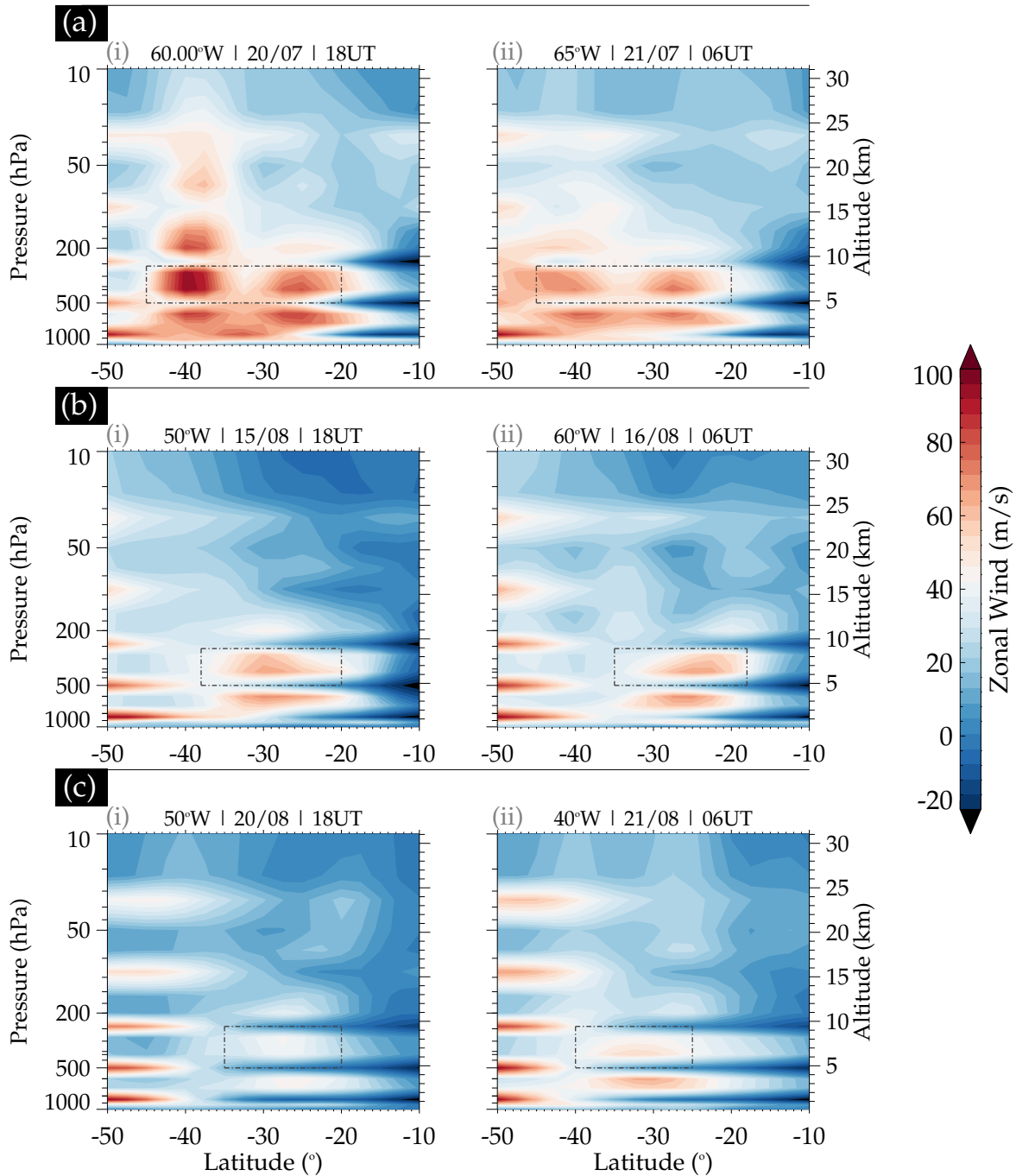
465 18:00 UT on July 20 and 06:00 UT on July 21. The propagation direction of the wave during this case study was northeastward at the beginning of the observation on July 20, 2017.

Now we investigate the source of the QMGWs of the 15 - 16 August case study. Similar to the case study of 20 - 21 July, Figure 19(b) shows a confluence of the strong wind flow from the north and southwest towards the southeast direction over the region. This unidirectional wind flow may suggest a persistent and unidirectional emission of gravity waves throughout the  
470 12 hours. Close to the observation site, the omega was upward where the clouds were formed, see Figure 17(e-h). In Figure A2(b), omega extends almost throughout the altitude ranges considered in panels i and ii.

Finally, similar to Figure 19(b), a confluence of the strong wind flow from the northwest and south to the southeast direction close to the region of study is observed in Figure 19(c). The wind considered to be associated with the source mechanism of the case study of the 20 - 21 August QMGWs presented a different characteristic. Figure 11(c - d) shows that the two wavelength  
475 groups of these QMGWs have different propagation directions. The 30 - 40 km and 40 - 50 km wavelengths had no well-defined propagation direction. The ray tracing, on the other hand, showed that only three of the waves were generated in the troposphere. The remaining waves reflected above  $\sim 60$  km. The ray path of the wave that reached the troposphere revealed that these waves were generated in the southwestern part of the observation site. In the troposphere, Figure 17(i - l) showed that the cold front extends from the northeastern, eastern, and southwestern parts of the observation site. This system is quite distant from the  
480 observation site. Considering the propagation direction of the waves, there is no way these waves can be excited by this system. According to Pramitha et al. (2016), wind shear can excite GWs, so considering the propagation of this wave, wind shear is most likely the source of this wave.

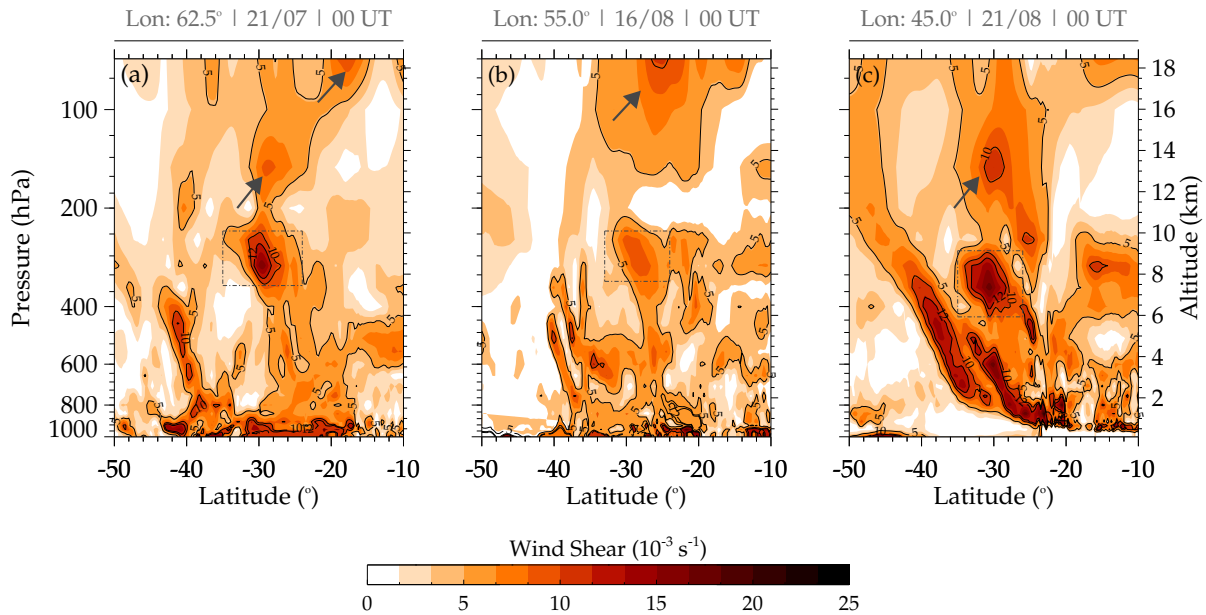
The vertical profiles of the omega (Figure A2), zonal wind (Figure 20), and wind shear (Figure 21) at fixed longitudes are analyzed to identify the main characteristics of the vertical position of the jet streams close to the observation site. The fixed  
485 longitudes (Figures A2 and 20) in case study one are  $60^\circ\text{W}$  and  $65^\circ\text{W}$  (panels a), the case study two are  $50^\circ\text{W}$  and  $60^\circ\text{W}$  (panels b), and in the case study three are  $50^\circ\text{W}$  and  $40^\circ\text{W}$  (panels c), respectively. The fixed longitudes for Figure 21 are  $62.5^\circ\text{W}$  (case study one - panel a),  $55^\circ\text{W}$  (case study two - panel b), and  $45^\circ\text{W}$  (case study three - panel c), respectively.

The vertical positions of the jet streams are close to 400 hPa for these three case studies due to their occurrences during the winter season. The bifurcation (indicated by the dashed-dotted rectangle) of the wind flow is easily identified in panel (a) for  
490 the first case study, while the confluences (indicated by the dashed-dotted rectangle) of the wind flows are observed in case studies two (panels b) and three (panels c). The latitude with strong jet stream signatures corresponds to the latitude of the observation site.



**Figure 20.** Zonal wind vertical profile at fixed longitude stated in the upper left corner of subpanels (i) and (ii), and varying latitudes are presented. Subpanels (i), show the NCEP zonal wind profile at 18:00 UT on (a) July 20, (b) August 15, and August 20. In (ii), the profile at 06:00 UT on (a) July 21, August 16, and August 21 are shown.

The vertical profiles of the omega (Figure A2) show the ascendant motions slightly below the jet stream and descendant motions in the jet stream core, suggesting that the presence of the wind shear is close to the source region of all the events in this study. This behavior of the vertical motions may trigger the physical processes responsible for generating the turbulence close to the tropopause, which can lead to gravity wave generation. The excited waves can propagate vertically to the upper atmosphere (see Mastrantonio et al. (1976) and Bertin et al. (1978)). Also, the vertical profile of the wind shear presented in Figure 21, estimated using horizontal wind obtained from the NCEP global forecast system (GFS) data, is studied.



**Figure 21.** Vertical profile of vertical wind shear at 00:00 UT 21 July 2017 (a), 16 August 2017 (b), and 21 August 2017 (c). The color bar shows the scale of the wind shear.

The vertical profiles of the wind shear in Figure 21 show values greater than  $15 \times 10^{-3} \text{s}^{-1}$  close to the center of the jet streams (dark gray dashed-dotted rectangles), where the vertical displacement of the wind shear (dark gray rows) is observed in these three events. This indicates the occurrence of turbulence close to the jet stream region with the vertical upward extension. These values are in accordance with literature which indicates the occurrence of CAT in the troposphere (Menegardo-Souza et al., 2022). The vertical extension of wind shear can generate gravity waves capable of propagating vertically.

Several possible source mechanisms for generating the selected case studies have been presented in the previous section. However, some of these mechanisms cannot be responsible for the excitation of these events because they did not meet the necessary requisite conditions. For instance, the overshooting mechanism is not possible based on the inability of the convective system to overshoot. This is not obvious since the selected cases were observed in winter, where deep convection is less prominent. On the other hand, jet streams associated with the cold front have been strong before, during, and after these events.



Jet streams are relatively narrow bands of strong wind blowing from west to east in the upper troposphere and lower strato-  
510 sphere (UTLS). As jet stream changes in intensity and location, the strength and motion of air masses are affected, and when  
the air masses converge, they form fronts. When colder air mass replaces warmer air mass, colder fronts are formed. This is  
the exact condition in the lower atmosphere during these events. As a result, further analysis was conducted on the jet stream  
to establish a relationship between the jet streams - GW excitation mechanism and the observed GWs.

GWs observed in the upper mesosphere can be excited by jet streams in the lower atmosphere (Song, 2021, and references  
515 therein). From the above analyses, it is clear that the activities of jet streams may be the mechanism that led to the emission of  
the observed QMGWs in the selected case studies.

## 8 Conclusions

In this study, 209 QMGWs were observed from April 2017 to April 2022, among which ray tracing results showed that 184  
were excited in the troposphere, whereas the remaining 25 were reflected above. Statistically, it was observed that among the  
520 64 wave packets from which the 209 QMGWs were obtained, there was a high occurrence of QMGWs in August, followed  
by July, with the least occurrence in May. Estimates of wave parameters after applying spectral analysis revealed that the  
horizontal wavelength ranges between 10 and 55 km with an average value of 22.50 km, periods between 0 and 80 min, and  
phase speeds between 0 and 100 m/s.

The propagation direction of the waves showed quite anisotropic distribution with dominant distribution within northeast  
525 through north to northwest and east to south. These propagation directions are consistent with the ray traced source locations  
and the CTBT distributions. Relating the source locations to the CTBT locations, it was observed that most of the waves were  
not excited by convection activity, as revealed by the seasonal distribution of CTBT. The duration of the QMGWs in OH images  
lasted between 2 to 10 hours with the 2 hours duration having the highest number of QMGW events, whereas the 10 hours had  
the least QMGW events. The propagation time of the waves from the OH emission layer altitude to the troposphere ranges from  
530 0 to 9 hours. Besides the total QMGW cases presented, three QMGW events on 20 - 21 July 2017, 15 - 16 August 2017, and  
20 - 21 August 2017 were selected for case studies. The selected waves were grouped according to their horizontal wavelengths,  
after which their propagation dynamics were studied relative to their source.

The propagation directions of the case study of 20 - 21 July 2017 QMGWs showed that the directions of the waves varied  
from the northwest through north to southwest. However, the ray tracing result showed that except for one wave that reached  
535 the troposphere, the rest reflected above  $\sim 60$  km. This is an indication of the possibility of ducting or reflection. To further  
investigate the details of this possibility, propagation characteristics due to the background field were conducted. It was found  
that the duct enhanced the longer propagation of this event and also the changing propagation direction. The source of these  
case studies was most likely jet streams. Similarly, the sources of the 15 - 16 August and 20 - 21 August case studies are also  
most possibly due to the jet stream. Contrarily, most of the ray paths of these waves reached the troposphere, signifying these  
540 waves were excited in the troposphere. In the case of the 20 - 21 August case studies, about 7 waves reflected above 60 km.

In conclusion, the current study presents statistical evidence of the occurrence of QMGWs. Their occurrences were further investigated in detail using the seasonal distribution of the propagation directions in relation to the seasonal CTBT distributions in space indicated by the ray tracing to be the possible source location. Due to the peculiar characteristics of the 3 case studies and their occurrence in the winter month, they were chosen for further detailed studies. These case studies were ducted; as  
545 a result, they could propagate longer distances with quasi-horizontal wavelength for a long time. The sources of these case studies were not related to convective activity but to jet streams.

*Data availability.* The airglow data used to produce the results of this paper were obtained from the Southern Space Observatory at São Mart-  
inho da Serra, which is supported by the Southern Space Coordination of the National Institute for Space Research. The airglow data are avail-  
able on the web page of the “Estudo e Monitoramento Brasileiro do Clima Espacial” (EMBRACE/INPE) at <http://www2.inpe.br/climaespacial/>  
550 [portal/en](http://www2.inpe.br/climaespacial/portal/en) (EMBRACE, 2022). The GOES-16 cloud top brightness temperature (CTBT) maps were provided by the Center for Weather Fore-  
casting and Climate Studies (CPTEC/INPE) and are available at <http://satellite.cptec.inpe.br/> CPTEC (2023). The radiosonde data were  
provided by the University of Wyoming and can be accessed through <http://weather.uwyo.edu/upperair/sounding.html> (UWYO, 2022).  
ERA5 data can be accessed from the Copernicus Climate Data Store at <https://cds.climate.copernicus.eu/> (Hersbach et al., 2018), whereas  
MERRA2 can be accessed through <https://doi.org/10.5067/WWQSQ8IVFW8> (GMAO, 2015). NCEP-NCAR Reanalysis 1 data provided  
555 by the NOAA PSL, Boulder, Colorado, USA, from their website at <https://psl.noaa.gov>.

*Video supplement.* An animation of the propagation of 20-21 QMGW event between 21:00 UT on July 20 2017 and 09:00 UT on July 21  
2017 is provided (<https://doi.org/10.5446/65557>; Wrasse and Nyassor (2023))

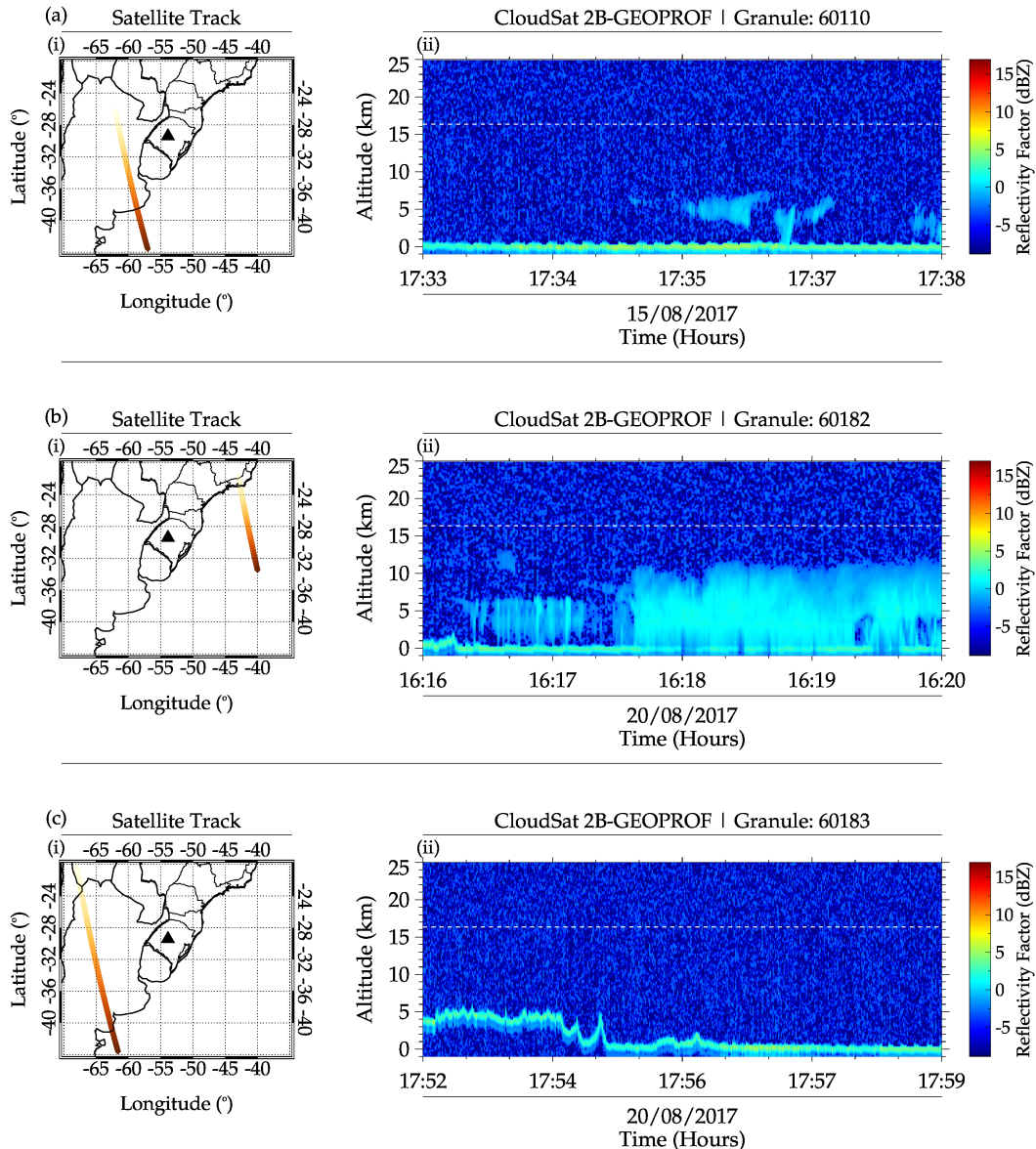
## Appendix A

### A1 CloudSat 2B-GEOPROF vertical profile of the cloud

560 The level 2B GEOPROF R04 and R05 products of CloudSat determine levels in the vertical column sampled by CloudSat that  
contain significant radar echo from hydrometeors and then provides the radar reflectivity factor. GEOPROF also includes a  
product that estimates the expected gaseous absorption loss for the observed reflectivity, which is dependent on water vapor  
fields from the European Centre for Medium-Range Weather Forecasts (ECMWF). The Moderate Resolution Imaging Spec-  
troradiometer (MODIS) cloud fraction from MOD35 associated with the radar surface footprint and several other flags indicate  
565 the homogeneity of the MODIS data and the quality of the CloudSat data. Details on the GEOPROF algorithms and structure  
of the HDF-EOS output files can be found in Marchand et al. (2008) and the Level 2 GEOPROF Product Process Description  
and Interface Control Document.

Using the level 2B GEOPROF R04 and R05, the vertical column of the clouds and the CloudSat track were obtained. In  
Figure A1, the track of the satellite and the cloud vertical column are shown for the cases of August 15-16 (panel a) and

570 August 20-21, 2017 (panels b and c). The satellite did not pass during the July 20-21 event; hence no plot is presented for this day. In Figure A1, subpanel (i) represents the track of the CloudSat, while subpanel (ii) shows the vertical column of the cloud with time. In panel (ii), the horizontal dashed lines depict the radiosonde tropopause altitude at 00:00 UT on August 16 and 21. The color bar shows the scale of the radar reflectivity factor (dBZ).



**Figure A1.** CloudSat 2B-GEOPROF vertical profile of the cloud during (a) August 15 - 15, 2017, and (b and c) August 20 - 21, 2017 QMGWs case studies. (i) is the satellite track, and (ii) the vertical profile of the cloud.

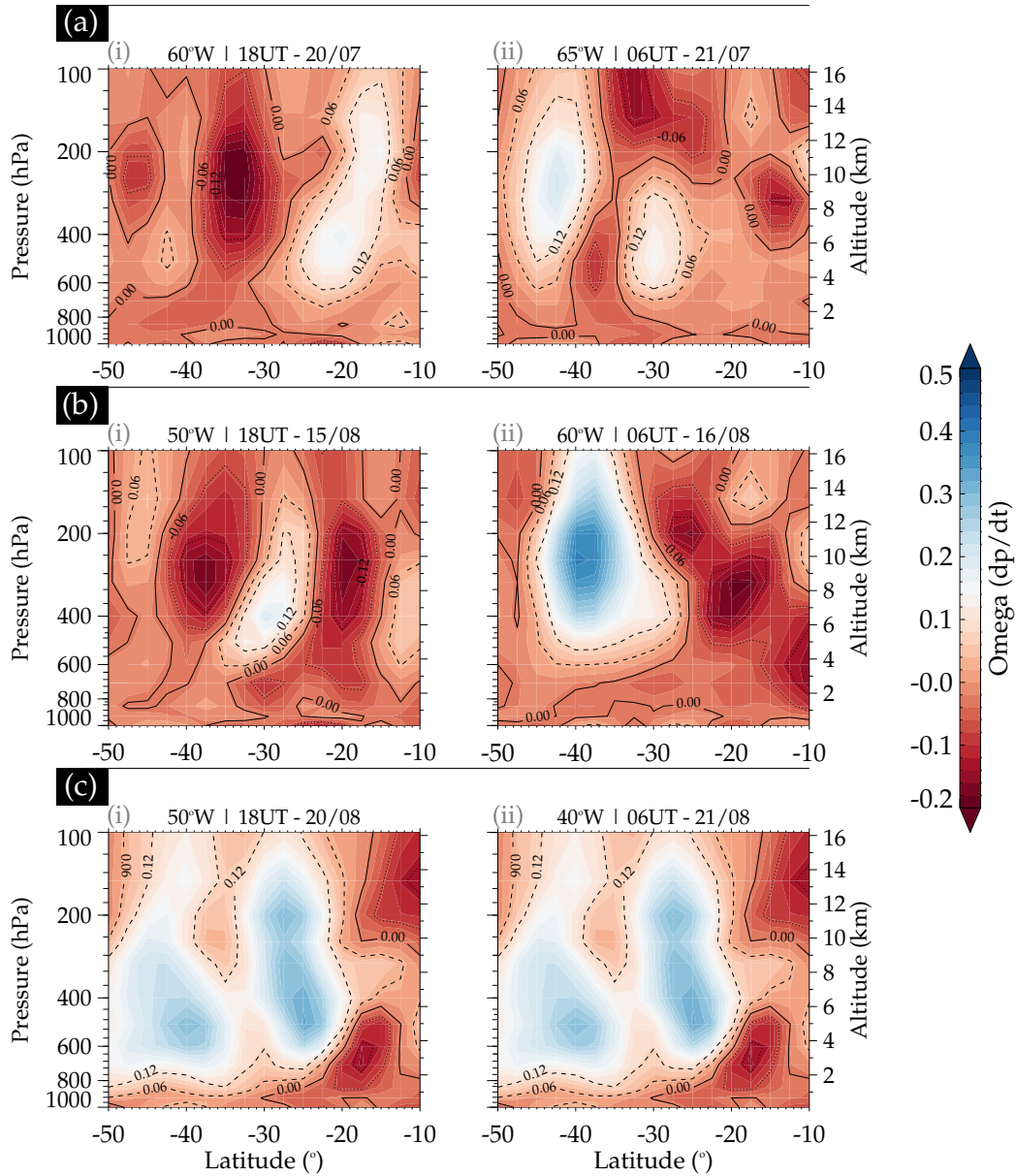
Between 17:33 to 17:38 UT on August 15 (Figure A1b) the vertical column of cloud for the nearby track of sounding is presented. This time is earlier than the time interval for the cold front and CAPE maps. However, the figure is presented to prove the existence of the cloud, but only a low-level cloud between 17:35 and 17:38 UT was observed.

Before the case study of August 20 - 21, CloudSat made two passages, one between 16:16 - 16:20 UT (Figure A1b(ii)), with the track shown in Figure A1b(i). The satellite passed through the location of the cold front, which also corresponded to the negative omega region. A well-defined profile of the vertical column of the cloud was captured since the satellite passes right through the middle of the cloud within this time range. Even though the CTBT maps showed cold cloud top temperatures (see panels i, j, k, l of Figure 17), the vertical column of clouds extended up to about 12 km (see Figure A1), about 4 km lower than the tropopause height. This, further, shows that no overshooting occurred; hence this source mechanism cannot be responsible for generating the GWs of this case study. The second satellite track shown in Figure A1c(i) between 17:52 and 17:59 UT could not capture any cloud profile (see Figure A1c(ii)) because there was no cloud present at that time. At 18:00 UT, a system of clouds was seen progressing from the southwestern part towards the northeast but dissipated as time progressed. Without clouds near the source location of the QMGWs of this case study (Figure 12d) implies other mechanisms will be the source of this case study.

## A2 Vertical profile of omega ( $\omega$ )

Figure A2 presents the vertical profiles of omega for the case studies of July 20 - 21, August 15 - 16, and August 20 - 21. In panels (a), (b), and (c), the plot of omega with altitude (hPa and km) for the three case studies is presented. The omega used in these plots were obtained at varying latitudes of  $-50$  to  $-10^\circ\text{S}$  and fixed longitudes; at  $60^\circ\text{W}$  (i) and  $65^\circ\text{W}$  (ii) for panel (a),  $50^\circ\text{W}$  (i), and  $60^\circ\text{W}$  (ii) for panel (b), and  $50^\circ\text{W}$  (i) and  $40^\circ\text{W}$  (ii) in panel (c). The altitude in kilometers for all panels corresponding to the pressure levels in hPa (on the left side of (i)) is on the right side of (iii).

From the omega vertical profiles of the three case studies at a fixed longitude and varying latitudes, we observed negative omega in the July 20 - 21 event, extending from 0 to the tropopause for panel a(i) of Figure A2. For panel a(ii), at  $65.00^\circ\text{W}$ ,  $-50$  to  $-10^\circ\text{S}$ , the negative omega extended almost throughout the entire pressure/altitude range considered. The omega ascended almost throughout the profile for the 15 - 16 August case study. However, a descending region from 200 to 600 hPa and  $-35$  -  $25^\circ\text{S}$  in panel b(i) and  $-45$  -  $30^\circ\text{S}$  in panel b(ii). A different omega distribution was observed in the case of August 20 - 21 (i.e., Figure A2). The positive omega (signifying downward motion of air) dominates the altitude range from 3 to  $\sim 15$  km and between  $-50$  and  $-20^\circ\text{S}$ . Beyond  $-20^\circ\text{S}$  omega was ascending. Figure A2 shows that, even with the upward movement of the air mass, its effect did not lead to the formation of clouds and eventually to the excitation of GWs. The omega vertical profile further affirms the previous evidence that these three QMGW events are not excited through overshooting. However, omega is used not only as an indicator for cloud formation but also for vertical wind shear.



**Figure A2.** Vertical profile of omega ( $\omega$ ) at 18:00 UT, 00:00 UT and 06:00 UT on 20-21 July, 2017 (a (i - iii)), 15-16 August, 2017 (b (i - iii)) and 20-21 August, 2017 (c (i - iii)).

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*Competing interests.* The contact author has declared that none of the authors has any competing interests.

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