

Characteristics and mechanisms of near-surface atmospheric electric field negative anomalies preceding the 5 September, 2022, Ms6.8 Luding earthquake, China

Lixin Wu^{1,2}, Xiao Wang^{1,2}, Yuan Qi^{1,2}*, Jingchen Lu^{1,2}, Wenfei Mao^{1,2}

¹School of Geosciences and Info-Physics, Central South University, Changsha, 410083, China

²Laboratory of Geo-Hazards Perception, Cognition and Predication, Central South University, Changsha, 410083, China

Corresponding author: Yuan Qi (weloveyq@163.com)

Abstract. A magnitude 6.8 strike-slip earthquake (EQ) struck Luding, Sichuan province, China, on September 5, 2022, resulting in significant damages to the nearby Ganzi Prefecture and Ya'an City. In this research, near-surface atmospheric electric field (AEF) recorded at four sites 15d before the Luding EQ were analyzed and discriminated, and multi-source auxiliary data including precipitation, cloud base height and low cloud cover were used at the same time. Nine possible seismic AEF anomalies at four sites were obtained preliminarily. Accordingly, microwave brightness temperature (MBT) data, which is very sensitive to the surface dielectrics and closely related to the air ionization, together with surface soil moisture, lithology, and 3D-simulated crustal stress field, was jointly analyzed to confirm the seismic relations of the obtained negative AEF anomalies. The geophysical environment for crustal high-stress concentration, positive charge carriers transfer and surface accumulation was demonstrated to exist and meet the conditions necessary for generating local negative AEF anomalies. Furthermore, to deal with the spatial disparities in sites and regions with potential atmospheric ionization, near-surface wind field data was employed to scrutinize the reliability of the AEF anomalies by comprehensively analyzing the spatial relationships among surface charges accumulation areas, wind direction and speed, as well as the AEF sites. Finally, four negative AEF anomalies were deemed to be closely related to the Luding EQ, and the remaining five possible anomalies were ruled out. A possible mechanism of negative AEF anomalies before Luding EQ is supposed to be that positive charge carriers were generated from the underground high stress concentration areas, and then transferred to and accumulated on the ground surface and to ionize the surface air, thus disturbing the AEF above the ground. This study presents a method for identifying and analyzing seismic AEF anomalies and is also beneficial for examining the pre-earthquake coupling process between the coversphere and the atmosphere.

Keywords: atmospheric electric field, seismic anomaly, P-holes, microwave brightness temperature, crustal stress field alteration

1 Introduction

In nature, the Global Electric Circuit (GEC) is driven by global thunderstorm activity and large-scale ion separation in charged cloud (Rycroft et al., 2000). In the background of GEC, a direct current (DC) atmospheric electric field with an amplitude of around 130 V/m is always present in undisturbed fair areas (Sun, 1987). This electric field, also known as the fair-weather atmospheric electric field (FW-AEF), is oriented vertically downwards, which means that the atmosphere is positively charged relative to the Earth, while the Earth carries a negative charge (Li et al., 2022). In recent decades, some scientists have discovered that seismic activity can cause AEF anomalies with its direction opposite to FW-AEF in the seismogenic region. In 1966, Kondon (1966) claimed pre-earthquake (EQ) abnormal electric field signals by using the field mill instrument for the first time at the Matsushiro Observatory in Japan. Based on the electric field data recorded by Pixian site and Wenjiang site (in Chengdu, China), significant abnormal phenomena of AEF before the 2008 Ms8.0 Wenchuan EQ were found when the interference of lightning activities were excluded (Li et al., 2017). Chen et al. (2022) also observed the AEF anomalies before the 2021 Ms4.3 Luanzhou EQ at two sites in Baodi and Yongqing, China. By analyzing the meteorological data, the anomalous signal monitored

43 at Baodi station was found to be influenced by a combination of transit clouds and geological activity, while the bay-
44 type persistent electric field anomaly monitored at Yongqing station was considered as a possible AEF precursor of
45 the EQ.

46 At present, there are three acceptable mechanisms for AEF anomalies before EQs. Firstly, it is considered that
47 seismic-related anomaly in radon emanation can be linked to preseismic electromagnetic phenomena such as the
48 great changes of small ion concentration and AEF (Omori et al., 2007). In recent study (Jin et al., 2020), the AEF
49 reduction before the Wenchuan EQ was interpreted from the perspective of the rapid changing of radon concentration
50 as the mainshock approaching. Besides, by combining the time series and dynamic periodogram of AEF anomalies
51 from 6 hours before to 6 hours after the EQ, Hobara et al. (2022) attributed the phenomenon to the internal gravity
52 waves generated near the epicenter passing through the AEF site, which changed the space charge density in the
53 surface layer of the atmosphere. In addition, during the experimental study, Freund (2000, 2007, 2010) found that
54 stress-activated carriers, named as P-holes, activated in the igneous and metamorphic rocks, are able to transfer along
55 stress gradient and accumulate on the rock surface in unstressed areas or even on the ground surface covered by sands.
56 When the P-holes arrive at the air-ground interface, a positive potential could be produced and air particles here are
57 able to be ionized so as to change the near-surface AEF when it reached a high level (Freund, 2013). Meanwhile, the
58 accumulation of P- holes on ground surface was also believed to reduce the surface microwave dielectric constant
59 and enhance the regional microwave radiation (Mao et al., 2020; Qi et al., 2021a, 2021b).

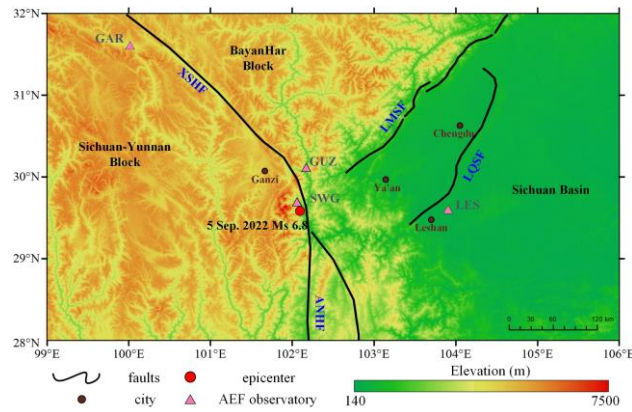
60 Some other researchers have also proposed different opinions on the pre-EQ AEF anomalies observed at ground
61 sites. Based on the statistical analysis of 103 pre-EQ bay-type AEF anomalies in the Kamchatka region, Smirnov et
62 al. (2019) found that the duration and magnitude of AEF anomalies in hour-scale did not depend on either the
63 magnitude of the EQ or the distance to the epicenter, while that in day-scale were related to the magnitude of the EQ.
64 Hao et al., (1988) analyzed the AEF at the three stations in Baijiatong, Baodi and Beidaihe for several seismic events
65 happened in and around Beijing from 1977 to 1986, and found that there were evident negative anomalies of AEF
66 variation before the EQs, decaying significantly with the distance to the epicenter and being only associated with
67 nearby EQs but not far strong EQs. However, most of the researches were based on statistical judgements and have
68 not yet integrated with the regional geological conditions of seismogenic zone as well as the local crustal stress
69 field alteration (CSFA), which is crucial to whether charges from the stressed rock mass of Earth's crust can ionize
70 the near-surface atmosphere.

71 It's well known that atmospheric vertical electric field acts as a bridge connecting the surface charges and
72 atmospheric particle concentration. The current consensus is that the increased concentration of atmospheric ions at
73 the ground- air interface leads to the formation of additional vertical electric fields, which further transport ions from
74 the lower atmosphere to the upper atmosphere, ultimately causing atmospheric anomalies. In our recent research, the
75 multi-parameter seismic anomalies before the 2015 Nepal EQ sequence were analyzed systematically (Wu et al.,
76 2023) by referring to the lithosphere–coversphere–atmosphere (LCA) coupling paradigm (Wu et al., 2009, 2012) and
77 lithosphere–coversphere–atmosphere-ionosphere (LCAI) coupling paradigm (Qin et al., 2013). However, due to lack
78 of AEF observations before and during the two major EQs, the abnormal changes in atmospheric parameters, such as
79 aerosols and humidity, cannot be well linked to the changes in parameters of ground surface, such as microwave
80 brightness temperature (MBT), thus the coupling process between the coversphere and atmosphere was not presented
81 perfectly. Fortunately, in the seismogenic zone of the Luding EQ in 2022, the potential AEF disturbances before the
82 EQ were recorded at four stations, which provided an excellent chance to study the abnormal features of AEF aroused
83 by an EQ. In this study, the characteristics of pre-seismic AEF vibrations were analyzed, and the relationships between
84 the AEF anomalies and the Luding EQ were carefully identified using multi-source auxiliary data. The mechanism
85 of the seismic AEF anomalies was discussed by analyzing surface MBT variations and three-dimensional crustal
86 stress distribution. Ultimately, four out of the nine potential AEF anomalies were determined to be earthquake-related.

87 2 Study area and data sources

88 2.1 Study area

89 The Ms6.8 Luding EQ, happened in Luding County, Sichuan Province, China, at 12:52 on 5 September 2022
90 (Beijing Time), with its epicenter located at 29.59°N, 102.09°E and a hypocenter depth of 14.5 km (Yang et al., 2022).
91 The EQ occurred near the southeast Moxi section of the Xianshuihe fault (XSHF), which is a left-slip fault between
92 the Bayan Har Block and the Sichuan-Yunnan Block (Ji et al., 2020). The study area was selected as [99°~106° E,
93 28°~32° N] in consideration of the Dobrovolsky formula (Dobrovolsky et al., 1979) and the geographical locations
94 of the AEF observatories, in which there are Longmenshan faults (LMSF), the Anninghe fault (ANHF), the
95 Longquanshan fault (LQSF) and the XSHF developed. Among these faults, the LMSF was the source of two
96 significant earthquakes in the last few decades: the Lushan EQ in 2013 and the Wenchuan EQ in 2008, the latter
97 having a catastrophic impact on lives. The AEF data used in this study were from four observatories called GAR,
98 GUZ, SWG and LES. The first three locate nearby the XSHF while LES locates east to the southwest section of the
99 LQSF. Figure 1 shows a complete overview of the study area.



100
101 **Figure 1.** Distribution of the AEF observatories and topography in the study area. Background image is the digital elevation derived
102 from Shuttle Radar Topography Mission (SRTM) datasets.

103 2.2 Data sources

104 2.2.1 Atmospheric electric field observatories

105 The GAR, GUZ and SWG were deployed by National Space Science Centre of Chinese Academy of Sciences
106 (CAS) with instrument EFM-100 (Li et al., 2022). This type of instrument is independently developed by CAS, with
107 a range of ± 50 kV m⁻¹, a relative accuracy of ± 1 % and a resolution of 10 V m⁻¹. The LES, which has a range of
108 ± 21.2 kV m⁻¹, a relative accuracy of ± 1 %, and a resolution of 3 V m⁻¹, was deployed by China University of
109 Geosciences (Wuhan) with instrument CS110 (Chen et al., 2021). The specific information of these AEF
110 observatories is shown in Table 1. The GAR is located in a highland area in the southeast of Ganzi County, at an
111 altitude of 3356 m above sea level (masl). The GUZ is located in Guzan Township, Kangding City, at an altitude of
112 1421 masl in the saddle, with the Dadu River flowing through it on the east side. The SWG is located in a valley in
113 Yanzigou Town, Luding County, at an altitude of 2125 masl. The LES is located in Leshan City, with an altitude of
114 401 masl in the plain area, with flat terrain around it.

115 The intensity of AEF is measured according to the principle that a conductor can generate an induced-charge in an
116 electric field. If a metallic conductor with surface area S_c is exposed to an electrostatic field of electric field strength
117 E , the charge density ϕ of the induced-charge generated on its surface can be expressed as:

$$118 \phi = \varepsilon_0 K E \quad (1)$$

119 where ε_0 represents the air dielectric constant and K is the electric field distortion coefficient. The induced-

120 charge Q can be expressed as:

$$121 \quad Q = \phi S_C = \varepsilon_0 K E S_C \quad (2)$$

$$122 \quad E = \frac{Q}{\varepsilon_0 K S_C} \quad (3)$$

123 **Table 1.** Key information of the AEF observatories.

Site name	Longitude	Latitude	Altitude	Distance from the epicenter	Sampling frequency	Unit
GAR	100.02° E	31.61° N	3356 masl	298.97 km		
GUZ	102.17° E	30.12° N	1421 masl	59.29 km	1 s	Kv m-1
SWG	102.07° E	29.69° N	2125 masl	11.20 km		
LES	103.91° E	29.60° N	401 masl	175.67 km		

124 Consequently, by measuring the induced-charge amount, the strength of the AEF can be determined. An electric
125 current will be generated when a metallic conductor is connected to the ground. It is known from electrical knowledge
126 that if the conductor generates a continuously varying induced-charge, the measured intensity of the induced-current
127 can be expressed as:

$$128 \quad I = \frac{dQ}{dt} \quad (4)$$

129 The AEF meter sensor uses a moving piece and a stator to produce a continuously changing induced charge. As
130 the moving piece begins to rotate, the stator is periodically exposed to the electric field or shielded under the moving
131 piece and the two-stage circuit will receive a current signal of equal magnitude and opposite direction (Ji, 2022).
132 Therefore, the E can be deduced by measuring the intensity of the induced-current:

$$133 \quad E = \frac{Q}{\varepsilon_0 K S_C} = \frac{\int I dt}{\varepsilon_0 K S_C} \quad (5)$$

134 2.2.2 Meteorological data and MBT

135 The AEF is influenced by a range of factors, including, but not limited to, meteorological conditions like clouds,
136 rain, snow, and lightning, as well as global space weather activity such as solar activity and geomagnetic disturbances
137 (Sun,1987). To accurately determine if the anomalous signals are caused by an EQ, it is essential to eliminate all
138 potential influencing factors. In this research, the meteorological data used include low cloud cover (LCC), cloud
139 base height (CBH), total precipitation (TP), and wind field, which is from the ERA5 reanalysis dataset provided by
140 the European Centre for Medium-Range Weather Forecasts. The dataset is a globally complete and consistent data
141 set formed by combining model data with global observation data using the laws of physics, which has been widely
142 used for climatological studies. The LCC in the grid refers to the proportion of clouds that are below 2 km, CBH
143 refers to the height of the lowest cloud base above the Earth's surface, TP is the cumulative value of liquid and frozen
144 water falling on the Earth's surface over a period of time, and wind field includes the wind speed (WS) and direction
145 at a height of 10m above the ground (Hersbach et al., 2023). The space weather data used include the geomagnetic
146 index Dst from the World Geomagnetic Centre (WGC), the AE index from the Space Environment Prediction Center
147 (SEPC) (Luo et al., 2013), and the sunspot numbers (SSN) from ESA.

148 The MBT data and surface soil moisture (SSM) data were also used to exclude local drought factors, as well as to
149 analyze the potential accumulation of positive charges for the generation of AEF anomalies. The MBT data used is
150 from the high-performance microwave radiometer AMSR-2 on board GCOM-W1, which is available at five
151 microwave frequencies in both horizontal and vertical polarization (Imaoka et al., 2012). The UTC of MBT data has
152 been converted to local time based on the satellite transit time. SSM data is derived from the GLDAS data set, which

153 represents a measure of moisture in the soil at a depth of 0~10 cm below the ground surface (Rodell et al., 2004).
 154 Details of all the data used are shown in Table 2.

155 **Table 2.** Multi-source data for anomaly discrimination.

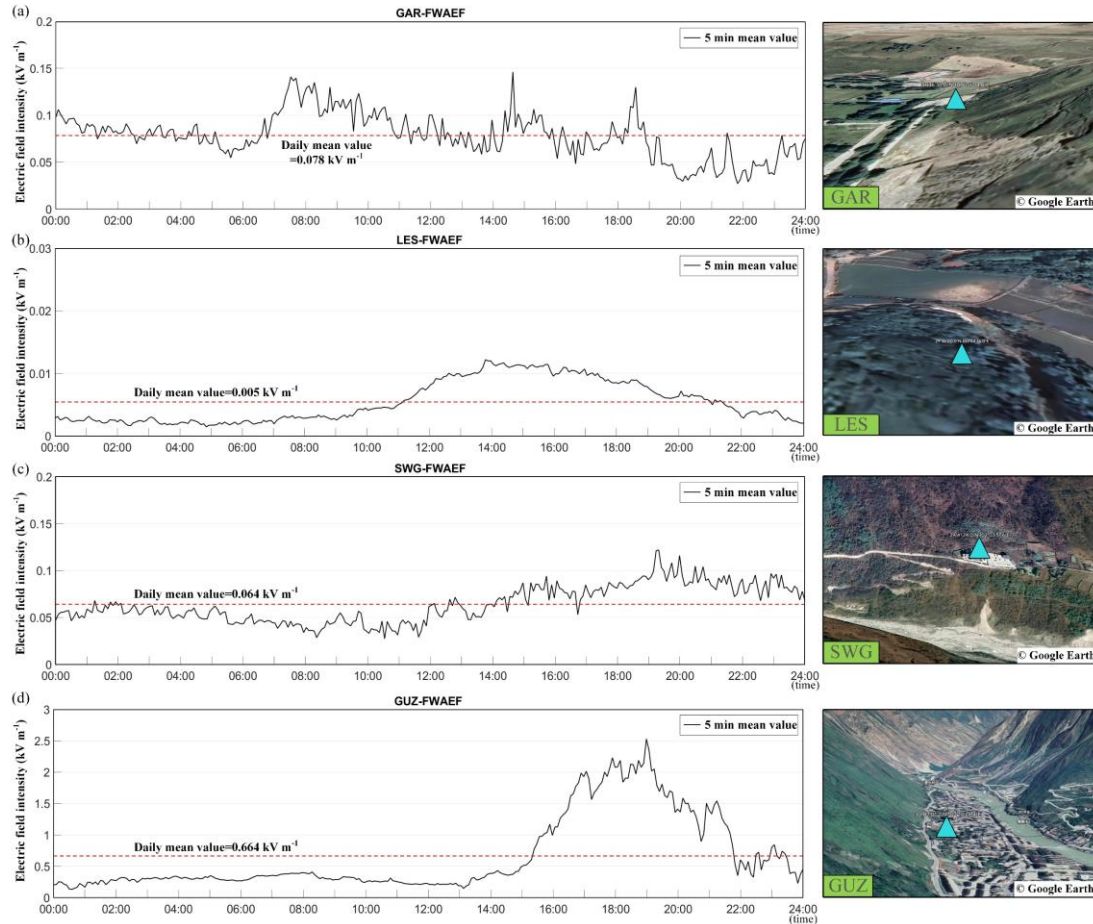
Dataset	Data source	Temporal resolution	Spatial resolution	Unit
Low cloud cover				/
Cloud base height	ERA5	1 h	0.25° × 0.25°	km
Total Precipitation				mm
10m/100m Wind speed				m/s
Dst	WDC	1 h	/	nT
AE	SEPC	10 mins	/	nT
Sunspot number	ESA	1 d	/	/
Microwave brightness temperature	AMSR-2	1 d	50 km × 50 km	K
Surface soil moisture	GLDA V.2.1	3 h	0.25° × 0.25°	kg/m ²

156 3 Results and analysis

157 3.1 Characteristics of local fair-weather AEF

158 To ascertain the periodic variations of AEF in the observatory, characterizing the background of FW-AEF is of
 159 great importance. Consequently, obtaining a typical AEF curve as the FW-AEF background of GEC is crucial for the
 160 identification and extraction of AEF anomalies. At present, the screening criteria for FW-AEF (Israelsson et al., 2001;
 161 Harrison et al., 2018) cannot be fully standardized and need to be modified in conjunction with the local topographical
 162 features, meteorological disturbances and geographical environment around the site. In this study, the following
 163 screening criteria were set for obtaining FW-AEF: 1) no daytime rainfall, 2) low cloud cover closing to zero, 3) no
 164 thunderstorms, 4) wind speed less than 8 m s⁻¹ at 10 m above the ground, and 5) no long period of negative AEF
 165 anomalies (to exclude anthropogenic influence and other uncertain factors). The sunrise occurs between 06:41 and
 166 06:50 while the sunset taking place between 19:24 and 19:30 in the study area. The AEF data analyzed were from 1
 167 May, 2022 to 30 September, 2022 for GAR, GUZ, SWG and from 1 August 2022 to 30 September 2022 for LES,
 168 based on the data availability. After filtering and processing the AEF data, the daily variation curves of FW-AEF for
 169 the four sites were obtained (Figure 2).

170 Figure 2 shows the 5-minute mean curve (left) of FW-AEF and satellite image from Google Earth (right). The AEF
 171 zero value line is able to better identify negative AEF anomalies. Overall, the FW-AEF curve of GAR is characterized
 172 by a single peak and two valleys, which displayed a shallow valley of 0.023 kV m⁻¹ around 06:30, and then showed
 173 a quick rise and reached the peak with 0.23 kV m⁻¹ between 07:00 and 08:00, following by a gradual decline. The
 174 second valley appeared between 19:00 and 20:00 with a valley of 0.015 kV m⁻¹ at GAR. The FW-AEF at LES varied
 175 more gently and behaved a single-peaked pattern, which showed a peak of 0.012 kV m⁻¹ at around 14:00. The AEF
 176 values of SWG and GUZ changed slightly before 12:00, but increased gradually to a peak about 0.14 kV m⁻¹ for
 177 SWG and 2.7 kV m⁻¹ for GUZ around 19:10. The FW-AEF of SWG and GUZ were both single-peaked. The peak of
 178 the FW-AEF curve of GUZ is much higher, which may be attributed to the particular topography of river valley and
 179 the greater impact of human activity in the town.



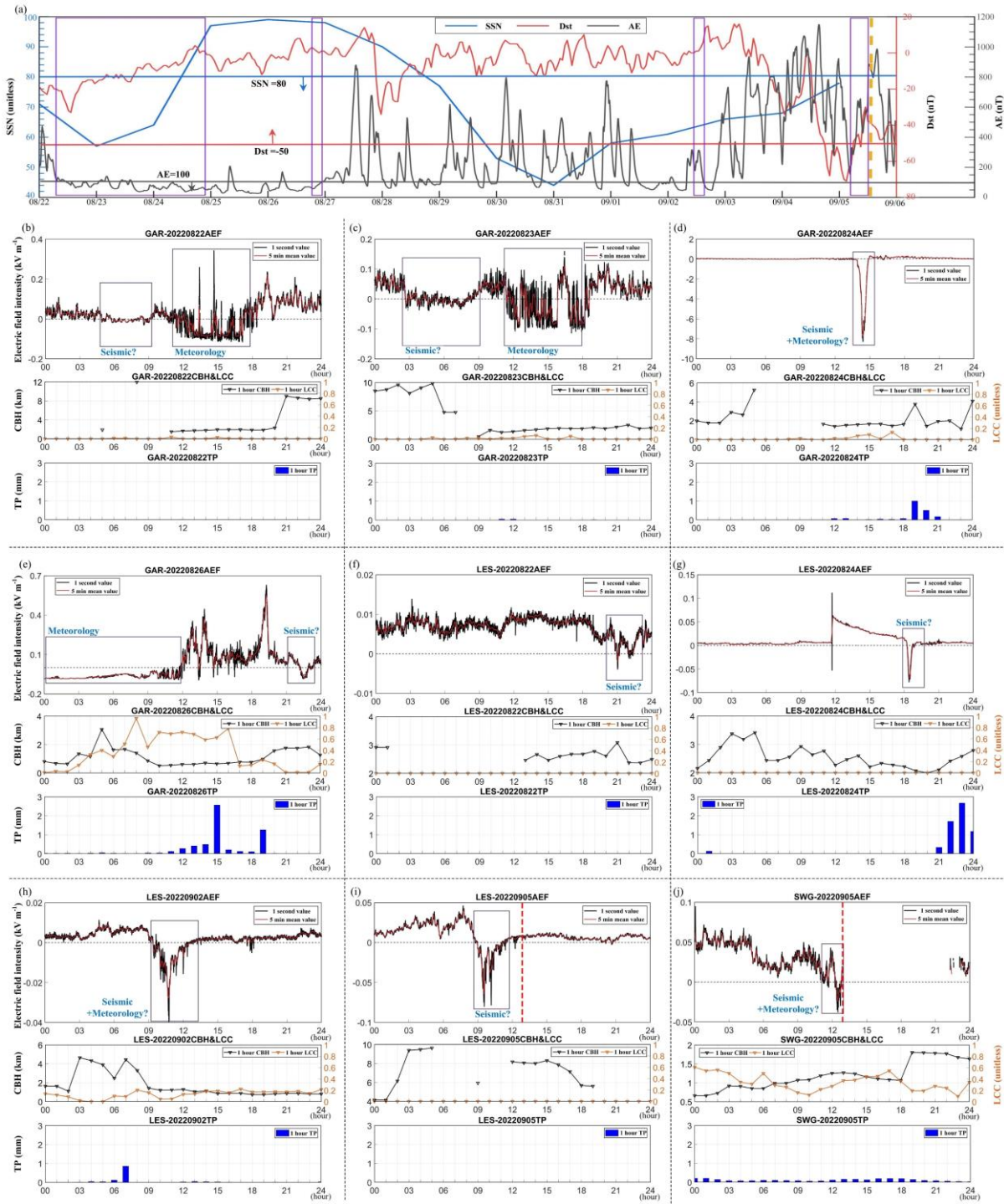
180
181 **Figure 2.** Daily variation of FW-AEF and Google Earth images at four sites of GAR (a), LES (b), SWG (c), and GUZ (d).

182 **3.2 Identification of potential seismic AEF anomalies**

183 Lightning, haze, meteorological events such as clouds and rain, and space weather events such as magnetic storms
 184 and solar activity are able to lead to changes in AEF. Global space weather events such as geomagnetic disturbances
 185 (Kleimenova et al., 2008), magnetic sub-bursts in the polar regions (Davis et al., 1966; Rastogi, 2005) and solar
 186 activity (Tacza et al., 2018) can also affect the AEF. The Dst, AE and SSN were utilized to represent the intensity of
 187 geomagnetic activity, polar magnetic storm, and solar activity, respectively. Figure 3(a) shows the variations of the
 188 three indices during 22 August to 5 September. According to the international practice, $-50 \text{ nT} < \text{Dst} < -30 \text{ nT}$
 189 represents weak magnetic storm activity (Loewe et al., 1997), $\text{AE} < 100 \text{ nT}$ represents the calm activity of the polar
 190 area magnetic substorms (Li et al., 2010), while $40 < \text{SSN} < 80$ represents moderate solar activity. From 22 August
 191 to 25 August, the intensity of three activities was very weak, and AEF was not affected by space weather events
 192 during this period. Except for the period from 18:00 on 4 September to 06:00 on 5 September, all other time periods
 193 have Dst greater than -50 nT , which represents that the magnetic storm activity in the low-latitude region is weaker
 194 in these time periods, and the effect of this type of activity on the AEF can be ignored. Since the AE showed a higher
 195 value after 27 August, the AEF anomalies in the four hours before the EQ did not match with the high AE value in
 196 time. Therefore, even if AE fluctuated, the effect on AEF near the epicenter was very limited, being unable to cause
 197 the AEF anomalies.

198 In order to eliminate the effects of meteorology and space activities on the AEF, this study performed a time-series
 199 analysis of various climatological data (such as CBH, LCC, and TP) on an hourly or daily average basis. For the
 200 period of negative AEF anomalies, climatological data was examined to determine if non-seismic factors
 201 (meteorological parameters) occurred simultaneously, as depicted in Figure 3(b-j). The daily variation curves of AEF

202 from 22 August to 5 September and the hourly results of CBH, LCC and TP for the corresponding periods were
 203 retrieved, and nine periods of negative AEF anomalies with possible seismic activity factors were screened out from
 204 all the four sites. Specifically, there were four anomalies at GAR, four anomalies at LES, and one anomaly at SWG.



205 **Figure.3** Changes in SSN (blue), Dst (red) and AE (grey) from 22 August to 5 September. Horizontal thick lines of different colors
 206 indicate the thresholds of geomagnetic and solar activity for quiet periods represented by the corresponding indices, where the direction
 207 of the arrows represents a weakening of activity intensity (a). Nine negative AEF anomalies possibly related to the Luding EQ and hour-
 208 by-hour meteorological parameters (including CBH, LCC, TP) for the corresponding time periods (b-j).
 209

210 For GAR, the AEF curve on 22 August and 23 August showed relatively similar patterns, with both negative AEF
 211 anomalies occurring twice during daytime. The first AEF anomaly appeared before 9:00, without low cloud and

212 precipitation, which indicates that it likely had been influenced by seismic activity. The second negative anomaly
 213 appeared between 12:00 and 18:00, with a small amount of precipitation at the beginning accompanied with a sudden
 214 drop in the height of cloud base and a rise in the amount of low cloud, which might had been caused by the
 215 combination of clouds and precipitation. The AEF anomaly of larger amplitude appeared between 13:00 and 15:00
 216 on 24 August, with almost no precipitation, CBH less than 1.5 km and LCC less than 0.1. However, the influences of
 217 TP and cloud cover were much pronounced at this time, but the AEF did not show any negative anomaly. Hence, a
 218 mixture of meteorological and seismic activity was considered as a possible cause of the negative anomaly on 24
 219 August. Two negative AEF anomalies appeared on 26 August, from 00:00 to 12:00 and from 21:00 to 23:00. In the
 220 period of first AEF anomalies, there was a prolonged small amount of TP, with a gradual rise in LCC, and a sudden
 221 increase in TP after the negative anomaly disappeared, which means that the AEF anomaly was probably resulted
 222 from the persistent precipitation washing away positive ions above the ground. The second segment of the AEF
 223 showed a decreasing trend at 21:00 and reached a minimal value at 22:30, returning to the FW-AEF level half an
 224 hour later, during which the LCC was close to zero and there was no TP, which was basically in line with the FW-
 225 AEF conditions. Hence, the second AEF anomalies on 26 August could be attributed to seismic activity.

226 For LES, the AEF anomaly appeared on 22 August between 20:00 and 23:00, with no precipitation and no low
 227 clouds existed, and the CBH was greater than 2 km throughout the day, which fully met the criteria for the FW-AEF.
 228 zero precipitation and no low clouds existed during the period of AEF anomaly occurring between 18:00 and 19:00
 229 on 24 August. The negative anomalies appeared on 2 September and 5 September both appeared between 08:00 and
 230 12:00, with LCC less than 0.1 during the anomalies, but with high precipitation before and slightly higher
 231 precipitation after the anomalies. There was no TP and LCC on 5 September, with the CBH greater than 4 km all day.
 232 Therefore, it can be determined that the negative AEF anomalies appeared on 22 August and 24 August, and 5
 233 September might had been influenced by seismic activity, while the negative AEF anomaly appeared on 2 September
 234 could be attributed to the mixture of meteorological and seismic activity.

235 **Table 3.** Details of each parameter of the anomalous AEF time periods.

Site	Time period of AEF anomalies	CBH (km)	LCC (unitless)	TP (mm)
GAR	8/22 05:00-09:00	>10	0	0
GAR	8/23 03:00-08:00	>5	0	0
GAR	8/24 13:00-15:00	>1.5	<0.1	<0.01
GAR	8/26 21:00-23:00	>1.8	<0.01	0
LES	8/22 20:00-23:00	>2	0	0
LES	8/24 18:00-19:00	>2	0	<0.01
LES	9/02 09:00-12:00	>1	<0.1	<0.01
LES	9/05 08:00-11:00	>6	0	0
SWG	9/05 12:00-13:00	>1	<0.2	<0.1

236 For SWG, the AEF on 5 September showed a downward trend from 04:00, dropping to a negative level at around
 237 12:15, and the negative state lasted for 35 minutes until 12:50, reaching a minimal value of -0.04 kV m⁻¹ at 12:29.
 238 Due to the proximity of the site to the epicenter, the EQ triggered a power outage in the adjacent area, resulting in a
 239 data missing at SWG after 12:53. The site had light rain all day, with precipitation less than 0.2 mm, CBH greater
 240 than 500 m and LCC less than 0.6. However, as compared to the FW-AEF at the SWG, it can be found that the
 241 decreasing trend of AEF from 04:00 to 09:00 coincided perfectly with the simultaneous FW-AEF changes, and there
 242 was no significant change in magnitude, so the effect of meteorological activity on AEF on 5 September was not
 243 particularly significant. In summary, the negative AEF anomaly appeared between 12:00 and 13:00 could be

244 attributed to a combination of meteorological and seismic activity. Details of each parameter related with the AEF
245 negative anomalies are shown in Table 3.

246 **4 Verification and Scrutinization**

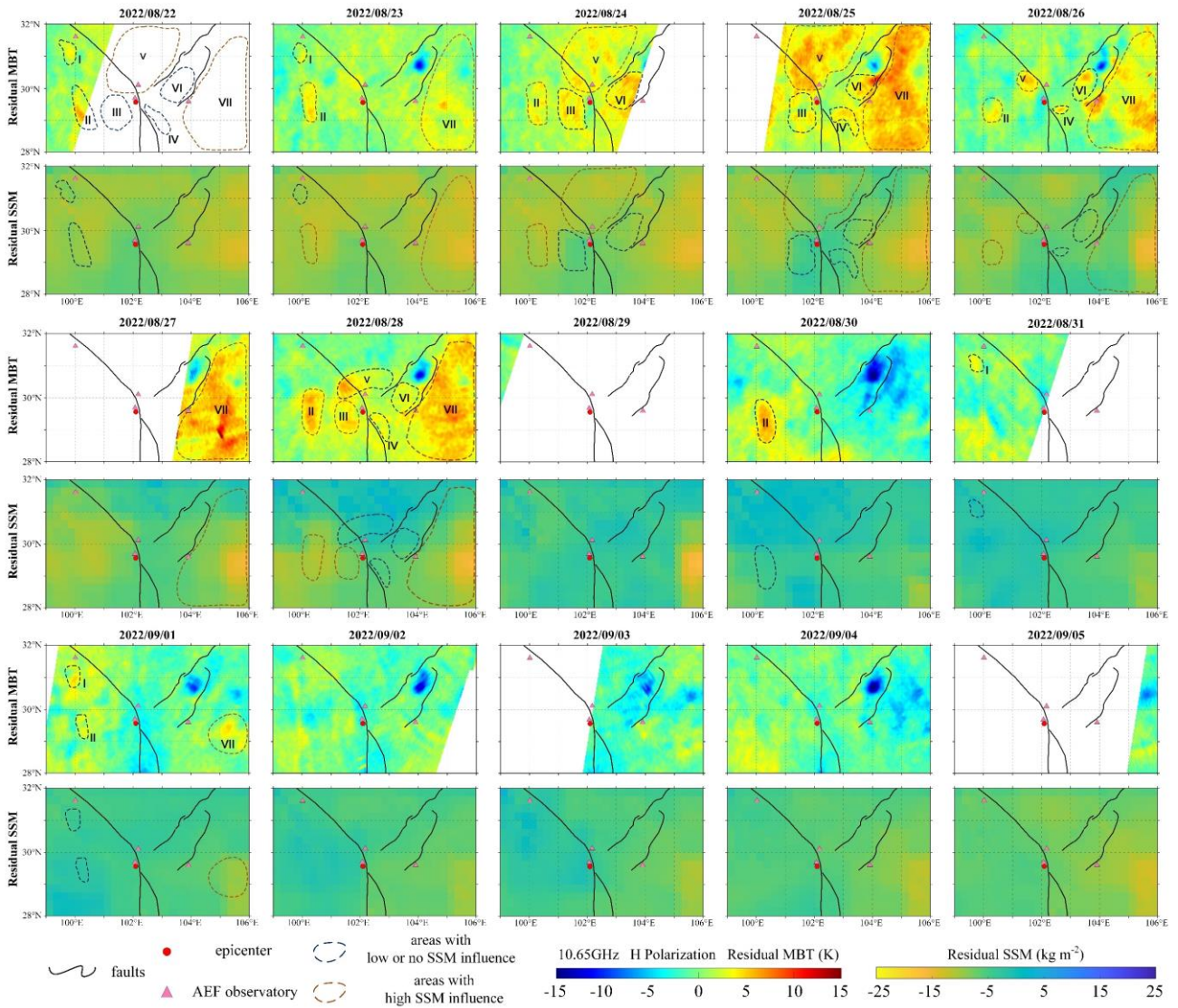
247 The fluctuation of AEF, which is influenced by global thunderstorm activity, is primarily dependent on the
248 concentration of near-surface atmospheric ions. Atmospheric ions exist in the air even in fair-weather conditions, and
249 contribute to the atmosphere's electrical conductivity. The concentration of atmospheric ions can be directly or
250 indirectly altered by factors such as rainfall, low clouds, haze, and aerosols. Therefore, it is important to understand
251 why the near-surface ion concentrations changed prior to the earthquake in order to uncover the underlying correlation
252 between pre-seismic AEF anomalies and the Luding earthquake.

253 **4.1 P-holes manifestation verified by MBT, SSM and Geology**

254 Some researchers explained well the reasons for positive MBT anomalies preceding EQs from the perspective of
255 P-hole theory (Qi et al., 2022; Ding et al., 2022), and the AEF anomaly was mentioned in the conceptual diagrams of
256 LCAI coupling process. Mao et al. (2020) demonstrated that the microwave dielectric constant decreases on rock
257 surfaces under compressive loading experimentally. The pre-seismic MBT anomalies in the Qinghai-Tibet Plateau
258 region have been extensively discussed in previous studies. Liu et al. (2023) analyzed the relationship between MBT
259 anomalies and extensional faults. Qi et al. (2021a) discovered the positive MBT anomaly preceding the May 2008
260 Wenchuan EQ, and explained the geological influence on the positive MBT anomaly based on P-hole theory. When
261 P-holes are transferred to the surface, it not only changes the dielectric constant, but also causes air ionization near
262 the surface. According to the researches on seismic MBT anomalies in the same area of this study, MBT at the low
263 frequency with horizontal polarization performed better (Qi et al., 2021a, 2023).

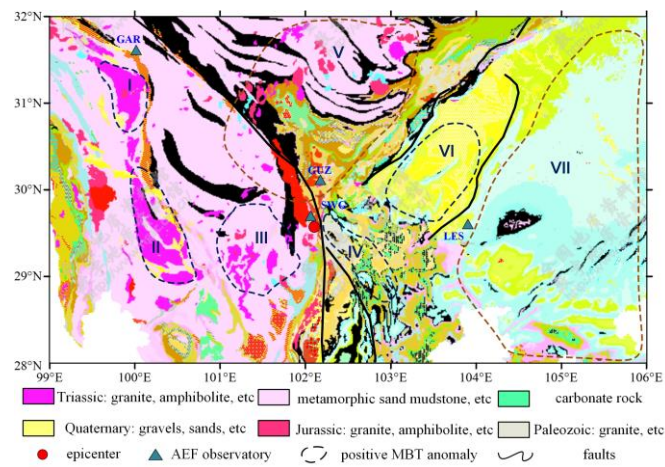
264 Therefore, MBT data at 10.65 GHz with H polarization was used in this study. To analyze the potential surface
265 microwave dielectric changes caused by the seismicity, the MBT anomalies during 15d before the Luding EQ were
266 obtained by using STW-TSM (Qi et al., 2020). Theoretically, MBT depends largely on the surface emissivity, which
267 lies on the dielectric constant and the physical temperature (Ulaby et al., 1981). The surface dielectric constant will
268 increase and results in a decrease in MBT when SSM rises. Temperature changes, precipitation processes, and the
269 rise and fall of the underground water level all lead to changes in SSM, which can also affect surface MBT. In order
270 to identify seismic MBT anomalies, it is necessary to use SSM data to discriminate the potential MBT anomalies. In
271 this research, SSM residuals from the surface to 10 cm underground were obtained by subtracting the average value
272 of the same time period of the background year from the seismic year data, which was used to discriminate the local
273 drought factor.

274 Figure 4 shows the residual MBT and residual SSM images from 22 August to 5 September, 2022. Overall, the
275 positive MBT anomalies appearing from 22 August to 1 September were mainly concentrated in the plains to the east
276 of the LMSF, the mountainous areas to west and northwest of the XSHF (mainly bare land), and the southeast corner
277 of the Bayan Har Block. Positive MBT anomalies gradually appeared in various areas on 22 August, with its range
278 expanded to the maximum and its amplitude reaching to 10~15 K on 25 August. The positive MBT anomalies still
279 existed in few areas after 28 August, and generally dissipated after 2 September. The residual SSM remained a lower
280 value in most of the regions from 22 August to 28 August, and there was also a significant increase on 30 August and
281 a slow decline on the coseismic day. Therefore, the positive MBT anomalies due to local drought factors (SSM drop)
282 should be excluded hereinafter. Specifically, the areas of positive MBT anomalies were distinguished by dashed
283 polygons with different colors in Figure 4. The sequential positive MBT anomalies were zoned as zone I~VII, their
284 spatial relations to the surface lithology were shown in Figure 5.



285
286
287

Figure 4. Residual MBT images at 10.65 GHz with H polarization and residual SSM from 22 August to 5 September. Blue polygons represent relatively low or no change in SSM while red polygons represent a significant drop in SSM.



288
289
290

Figure 5. Distribution of surface lithology in the study area (image from the National Geological Archive).

Zone I was in the northwest corner of the study area, near Ganzi section of the XSHF. The MBT anomaly in zone

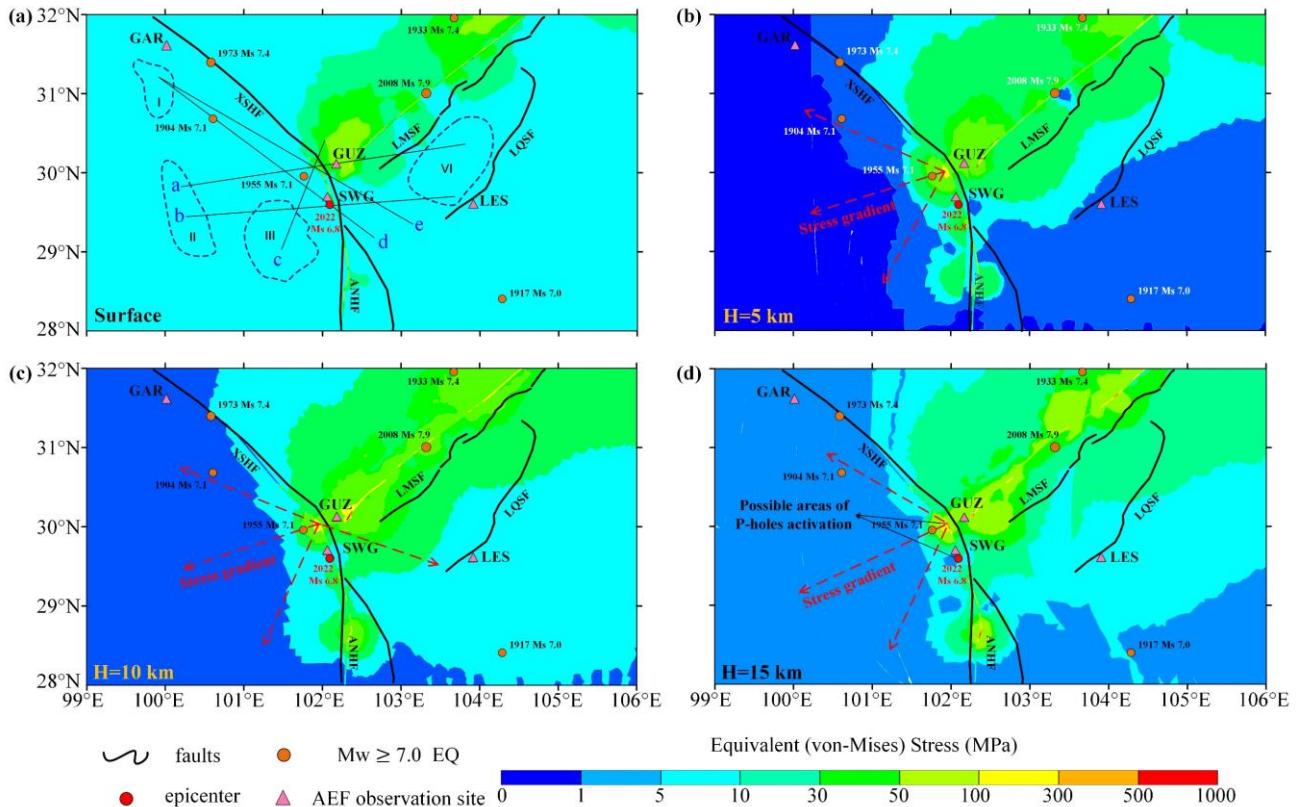
291 I appeared on 22 August with an amplitude of 8 K (14d before the EQ), followed by a gradual decrease until 28
292 August. It appeared again on 31 August, with the positive anomaly spreading southward on 1 September and
293 dissipating after 2 September (3d before the EQ). SSM residuals in zone I decreased by a lower amount than the
294 surrounding area from 22 August to 28 August, and remained almost unchanging after 31 August. The residual MBT
295 and SSM did not conform in time to the physical process that SSM decrease leads to the rise of MBT. Likewise, the
296 spread of the positive MBT anomaly to the north on 28 August and the persistence of the MBT anomaly on 30 August
297 in zone II cannot be well explained by SSM change either.

298 The MBT anomalies in zone III were generally striped along XSHF, which started to appear on 23 August (13d
299 before the EQ), with its amplitude increasing on 24 August, basically dissipating on 26 August. The MBT anomalies
300 appeared again on 28 August with the maximum amplitude of about 10 K, then gradually weakened before the EQ.
301 The residual SSM in zone III was low on 23 August and 24 August, which was inconsistent with the amplitude
302 increase in MBT anomaly. A small decrease in SSM residuals appeared in zone III on 28 August, which was consistent
303 with the appearance of the positive MBT anomaly. There was a good spatio-temporal correlation between the positive
304 MBT anomaly and SSM decline.

305 Positive MBT anomalies in zone IV gradually became apparent on 24 August, more pronounced in the north on 26
306 August and in the south on 28 August. The SSM residuals were in a state of low negative value from 24 August to 28
307 August and no significant change was detected over time. This was also the case for zone VI, where the variation in
308 SSM was slight during the MBT anomalies from 24 August to 28 August. The positive MBT anomalies in zone V
309 mainly appeared on 24 August and 25 August, with a large range of high amplitude. The SSM in zone V decreased
310 during the same period, and then SSM residuals gradually increased, which corresponded well with the positive MBT
311 anomalies on the space and time scales. The same situation also happened in zone VII as for zone V from 23 August
312 to 31 August.

313 After analyzing the spatio-temporal evolution of MBT residuals and SSM residuals in the seven zones of MBT
314 anomalies, the appearance of positive MBT anomalies in five zones (I, II, III, IV and VI) were thought to be related
315 to the Luding EQ. Accordingly, the positive MBT anomalies associated with seismic activity in these five zones were
316 further analyzed by introducing the lithology distribution map and numerical simulations of the CSFA. Figure 5
317 shows the surface lithology in the study area. According to P-hole theory, the production and convergence of P-holes
318 occurs in rocks with peroxy-defects (peroxy-bonded) structures, and the main carriers of peroxy-bonded are low-
319 crystalline minerals including quartz and feldspar (Freund, 2002). As can be seen in Figure 5, the lithology of zone I,
320 II and III is dominated by granites, metamorphic sandstones and other rocks containing quartz and feldspar
321 components with peroxide defect structures. Zone VI is dominated by the Quaternary, the geological strata is
322 relatively loose and the major lithology is sand and gravel consisting of granular quartz, feldspar, mica, etc. Zone IV
323 has a more complex lithological distribution behaving fewer minerals with peroxy- defects structure than the others,
324 and the appearance of positive MBT anomalies in zone IV were shorter in duration and relatively small in area.
325 Therefore, it was considered that zones I, II, III and VI are more prone to positive MBT anomalies following P-hole
326 accumulation.

327 The uneven distribution of crustal stress and its gradually accumulation are the main causes of tectonic seismicity.
328 Based on the Crust 2.0 model and stratigraphic data (Shan et al., 2009; Li et al., 2022), a three-dimensional (3D)
329 stratigraphic model was constructed using the 3D finite element method to simulate the CSFA due to seismic tectonics
330 at a time scale of 1000 years. The stratigraphic model had an east-west width of 1000 km, a north-south width of 800
331 km and a depth of 83 km, and the simulated crustal stress within the study area of this research was intercepted
332 (Figure 6). Historical EQ catalogs from 1770 to 2022 were selected to make seismic validation of the simulated CSFA.



333

334

Figure 6. Spatial distribution of equivalent stress at ground surface (a), 5 km deep (b), 10 km deep (c) and 15 km deep (d).

335

336

337

338

339

340

341

342

343

344

345

346

347

348

349

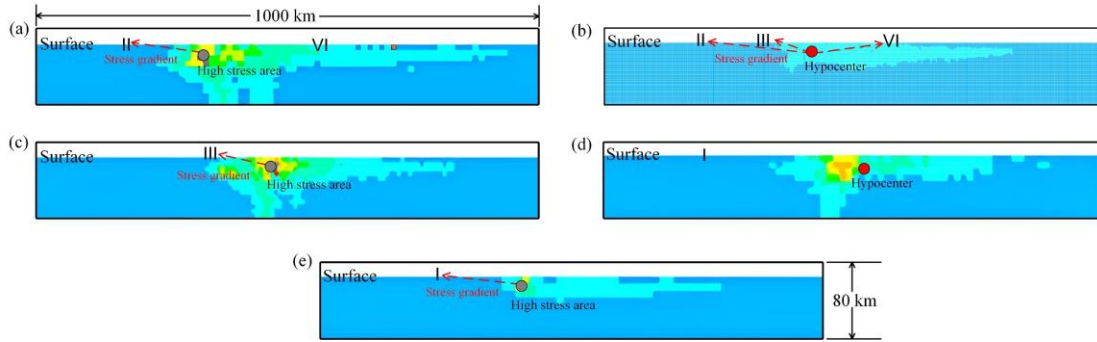
350

351

352

As shown in Figure 6, the equivalent stress intercepted at different depths was used to reflect the crustal stress background. In the map of the CSFA at the depth of 15 km, crustal stress was mainly concentrated in three places, i.e., the left side of the southeast section of XSHF, the area along LMSF and the right side of ANHF. Large EQs (magnitude of 7 or higher) had occurred in all the three places in history. The activated P-holes are to flow from the seismic source area to upper crust in response to the direction of maximum stress gradient (Freund et al., 2006, 2021; St-Laurent et al., 2006). Compared with the stress concentration areas at the depth of 15 km, the size of the surface stress concentration areas as well as the stress magnitude are weakened, indicating that there was an overall upward stress gradient between the 15 km depth plane and the ground surface.

In addition, the high stress areas are mainly concentrated in the central study area as well as in the northeast, with lower stress appearing in the southeast and southwest, indicating the existence of a stress gradient toward the southeast and west sides. P-holes generation would occur not only at the hypocenter, but also in areas of high stress concentration. Based on the simulated CSFA, the hypocenter and its nearby high stress area were selected as the places where the P-holes activations were generated (at a depth of about 15 km). The stress gradients from the hypocenter or the nearby high stress areas to the four seismic MBT zones were calculated by dividing the stress difference by the distance. The results are shown in Table 4, and the corresponding stress profiles are described in Figure 7. P-holes could be activated from the hypocenter or from its nearby high stress areas, thus there was the possibility of P-holes transferring along the stress gradient to all the four seismic MBT regions. It is also clear that the closer to the hypocenter or the high stress area, the higher the stress gradient.



353

354 **Figure 7.** The vertical sections of crustal stress from the hypocenter or nearby high stress area to the four seismic MBT zones. Subplot
 355 (b) and (d) are vertical profiles through the hypocenter, while subplot (a), (c) and (e) are the vertical profile started from the nearby high
 356 stress concentration areas.

357 **Table 4.** Stress gradients from two P-hole activation areas to the four residual MBT regions in Figure 4.

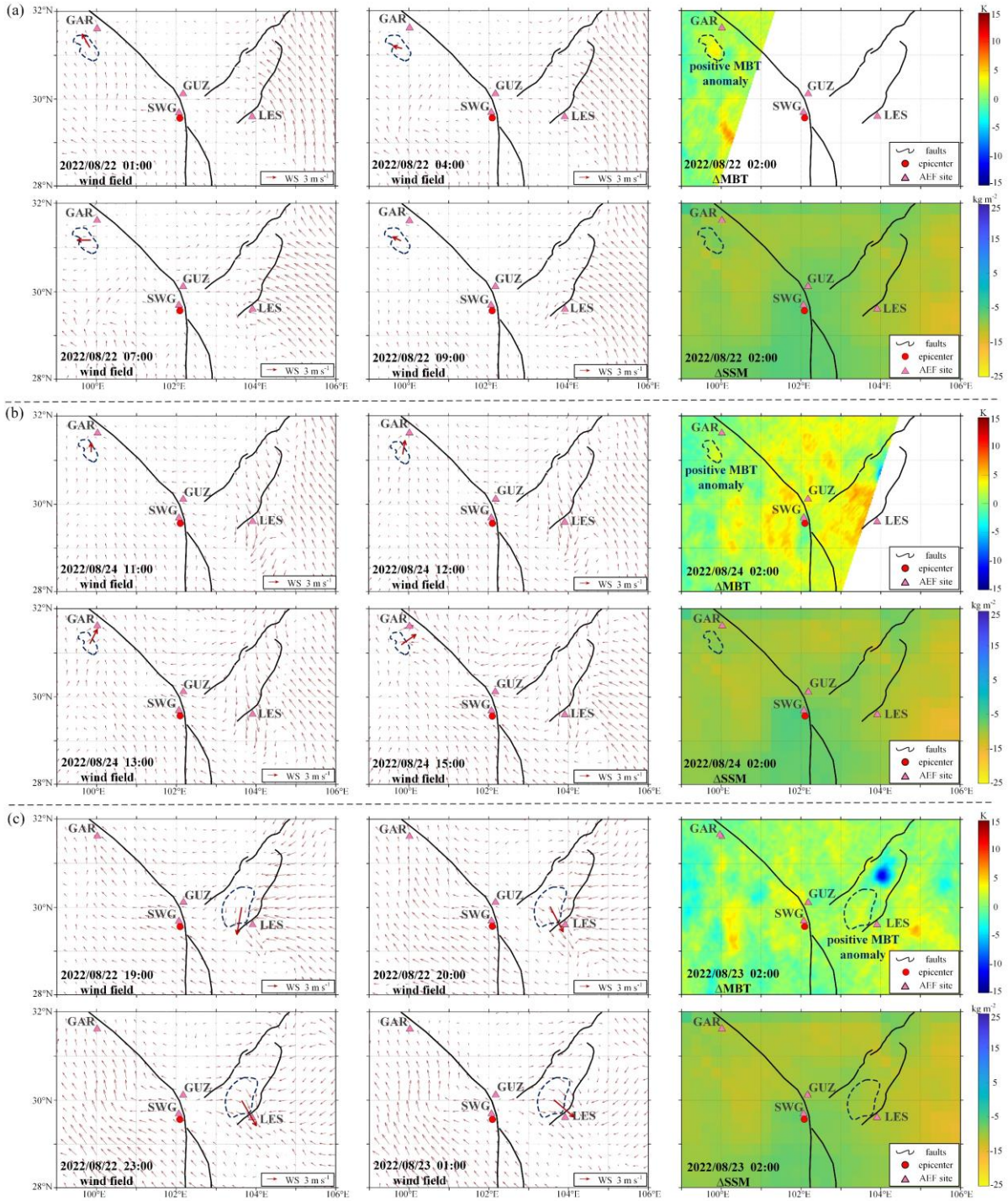
Zones	Stress magnitude (MPa)	Distance to epicenter (km)	Distance to high stress area (km)	Stress gradient from hypocenter	Stress gradient from high stress area
I	18.72	259.09	219.53	0.41	76.45
II	14.73	190.46	183.22	0.58	91.62
III	18.50	88.57	109.88	1.20	152.73
VI	58.74	162.18	181.92	0.41	92.02

358 In summary, the positive MBT anomalies that appeared in zones I, II, III, and VI during 14d before the EQ were
 359 identified to be possibly related to seismic activity. Positive MBT anomalies in ground surface due to CSFA indicates
 360 the occurrence of P-hole aggregation, which provides the conditions for air ionization to exist in the near-surface
 361 atmosphere.

362 **4.2 Scrutinization of seismic AEF anomalies**

363 After screening for negative AEF anomalies, it was found that there were noticeable differences in both space and
 364 time between the sites and the regions with positive MBT anomalies. Therefore, it should be considered that positive
 365 ions generated by P-hole ionized air can spread in the atmosphere and drift to the sites with the wind field. By
 366 analyzing the near-surface wind direction and wind speed, it can be determined if there exists an appropriate wind
 367 field between the site and the regions with positive MBT anomalies. In this study, wind field data at 10 m above the
 368 ground with a temporal resolution of 1 hour were used. Considering that the wind speed in the entire study area was
 369 below 8 m s⁻¹, the distance over which atmospheric ions could be transported by the wind field was greatly limited.
 370 This limitation was a result of neutralization caused by electrostatic interactions and the absorption of aerosols.
 371 (Wright, et al., 2020). Therefore, only the wind field in the zone of positive MBT anomaly nearest to the AEF sites
 372 were took into consideration.

373 The occurrence of negative AEF anomalies can be divided into two categories. The first one is that the wind field
 374 in the MBT anomaly area did not show a trend moving towards the AEF site, such as the negative AEF anomaly at
 375 GAR on 22 August, 23 August and 26 August, and LES on 24 August and 2 September. In Figure 8(a), the times of
 376 MBT residual and SSM residual images were both 02:00 on 22 August, and the wind direction and speed were at
 377 four moments of 01:00, 04:00, 07:00 and 09:00. The wind direction from zone I to GAR was not indicated before
 378 and during the MBT anomaly, and the wind speed was too low to transfer the positive ions generated on the ground
 379 surface in zone I to GAR, thus the negative AEF anomaly at GAR on that day was not resulted from seismic activity.
 380 The other four days were all in the same situations as this day.



381

382

383

Figure 8. Wind field, MBT residuals and SSM residuals in the study area on 22 August (a) and 24 August (b) for GAR, and on 22 August for LES (c), 2022.

384

385

386

387

388

389

390

The second category is that the wind field in the area of positive MBT anomalies was pointed towards the AEF sites, such as the negative AEF anomalies at GAR on 24 August and LES on 22 August. In Figure 8(b), the AEF anomaly at GAR appeared between 13:00 and 15:00 on 24 August. Before 11:00, the wind direction in zone I was slightly to the west of the site, the wind direction began to deflect to the northeast at 12:00 with the direction pointing to the site and keeping the direction until 14:00, during which the wind speed gradually increased. The wind deflected to the northeast again at 15:00, and then gradually deviated. The wind field changes during this period coincided well with the appearance of the negative AEF anomaly, and the wind field at the site in the end of the anomaly period was

391 also changing, so a longer period of AEF anomaly caused by positive ions staying and accumulating at the site could
 392 be ruled out, which was also consistent with the AEF returning to positive levels after 15:00. Therefore, the negative
 393 AEF anomaly at GAR on 24 August likely had been influenced by seismic activity. In Figure 8(c), the AEF anomaly
 394 appeared between 20:00 and 23:00 on 22 August at LES. The wind field was pointing west of the AEF site before the
 395 anomaly appeared, and then veered east and pointed towards the site from 20:00 to 23:00. Then, the wind field
 396 continued to veer east and drifted away from the site at 01:00 the next morning. The changes in wind field
 397 corresponded well to the time of the appearance of the negative AEF anomaly, which shows that seismic activity
 398 might had impacted on the AEF anomaly at that time.

399 On the coseismic day, although there were AEF anomalies appeared at the LES and SWG, the MBT data were
 400 missing due to satellite coverage. Taking into account that the surface lithology at both sites was similar to that of the
 401 closest MBT anomaly area, and the negative AEF anomaly emerged only 4 hours prior to the EQ, it can be inferred
 402 that a localized P-hole aggregation phenomenon may have occurred in the immediate vicinity as a direct consequence
 403 of seismic activity. This phenomenon would have led to air ionization, thereby altering the vertical AEF.

404 In conclusion, the negative AEF anomalies observed at GAR from 13:00 to 15:00 on 24 August and at LES from
 405 18:00 to 19:00 on 22 August were believed to be potentially related to the surface P-hole accumulation caused by
 406 seismic activity. The anomalous AEF signals at LES and SWG 4 hours before the EQ on September 5 were considered
 407 to be associated with localized changes in atmospheric ion concentrations due to seismic activity during the short
 408 imminent stage of the Luding EQ.

409 5 Conclusions

410 In this study, historical AEF data from four AEF observatories, namely GAR, LES, GUZ, and SWG, were
 411 collected to construct and analyze the FW-AEF. The curves of FW-AEF exhibited positive fluctuation states and were
 412 characterized by single or double peaks. Subsequently, the AEF variations occurring 15 days prior to the Luding EQ
 413 in 2022 were meticulously examined, using the FW-AEF as a reference state. As a result, nine AEF negative
 414 anomalies (four at GAR, four at LES, and one at SWG) were identified as potentially related to the Luding EQ, which
 415 was reached by analyzing meteorological parameters including CBH, LCC, TP, and space weather parameters
 416 including Dst, AE, and SSN. Furthermore, the MBT residuals during the 15 days prior to the Luding EQ were
 417 comprehensively analyzed in conjunction with SSM, geological maps, and numerically simulated CSFA, the
 418 geophysical environment for high-stress concentration in crust, positive charge carriers transfer and accumulation to
 419 Earth's surface were proved to exist, which meet the condition of producing seismic AEF anomalies. Furthermore,
 420 ground-based wind field data were utilized to investigate the causes of the negative AEF anomalies, taking into
 421 account the spatial differentiation between the AEF observatory locations and the areas where positive charge carriers
 422 accumulate. The confirmed causes of the AEF anomalies are listed in Table 5.

423 **Table 5.** Summary of the AEF anomalies before the Luding EQ on 5 September, 2022.

AEF sites	Duration of AEF anomalies	Presence of meteorological effect	Presence of seismic effect	Causes of negative AEF anomalies
GAR	8/24 13:00-15:00	√	√	seismic and meteorological effects
GAR	8/26 21:00-23:00	√	×	meteorological effect
LES	8/22 20:00-23:00	×	√	seismic effect
LES	9/02 09:00-12:00	√	×	meteorological effect
LES	9/05 08:00-11:00	×	√	seismic effect
SWG	9/05 12:00-13:00	√	√	seismic and meteorological effects

424 The negative seismic AEF anomalies appeared preceding the Luding EQ in 2022 were ascribed to the positive

425 charge carriers generated in areas with high stress concentration and accumulated on the ground surface. These charge
426 carriers were capable of ionizing the near-surface air in the surrounding atmosphere, leading to the observed
427 anomalies. This action mechanism serves as a link to establishing the coupling process between the coversphere and
428 the atmosphere, which is crucial for understanding multiple seismic anomalies. The work carried out in identifying
429 and assessing seismic AEF anomalies as reported in this study is anticipated to offer a valuable example for future
430 research in this field.

431

432 **Data availability**

433 All data can be provided by the corresponding authors upon request.

434

435 **Author contributions**

436 LW, XW and YQ designed the framework of the manuscript; XW and YQ wrote the manuscript draft; LW and YQ
437 polished the manuscript; JL and WM performed the mechanical simulation; XW and JL completed the visualization,
438 LW provided the funding. All authors have read and agreed to the published version of the manuscript.

439

440 **Competing interests**

441 The authors declare that they have no conflict of interest.

442

443 **Financial support**

444 This work was supported by the Key Program of National Nature Science Foundation of China (41930108).

445

446 **References**

- 447 Chen, C. H., Sun, Y. Y., Lin, K., Zhou, C., Xu, R., Qing, H., Gao, Y., Chen, T., Wang, F., Yu, H., Han, P., Tang, C. C., Su,
448 X., Zhang, X., Yuan, L., Xu, Y., and Liu, J.Y.: A new instrumental array in Sichuan, China, to monitor vibrations and
449 perturbations of the lithosphere, atmosphere and ionosphere, *Surv. Geophys.*, 42, 1425–1442, [https://doi.org/
450 10.1007/s10712-021-09665-1](https://doi.org/10.1007/s10712-021-09665-1), 2021.
- 451 Chen, T., Wang, S. H., Li, L., Yang, M. P., Zhang, L. Q., Zhang, X. M., Huang, P. Q. Liu, J., Xiong, P., Ti, S., Wu, H., Song,
452 J. J., Wang, C., Su, J. F., and Luo, J.: Analysis of abnormal signal of atmospheric electric field before the 2021-04-16
453 Luanzhou MS4.3 Earthquake in Hebei Province, *J. Geodesy and geodynamics.*, 42, 771-776,
454 <https://doi.org/10.14075/j.jgg.2022.08.001>, 2022.
- 455 Davis, T. N., and Sugiura, M.: Auroral electrojet activity index AE and its universal time variations, *J. Geophys. Res.*, 71,
456 785-801, [Auroral electrojet activity index AE and its universal time variations](#), 1966.
- 457 Ding, Y. F., Qi, Y., Wu, L. X., Mao, W. F., and Liu, Y. J.: Discriminating the multi-frequency microwave brightness
458 temperature anomalies relating to 2017 Mw 7.3 Sarpol Zahab (Iran-Iraq border) earthquake, *Frontiers Earth. Sci.*, 9,
459 656216, <https://doi.org/10.3389/feart.2021.656216>, 2021.
- 460 Dobrovolsky, I.R., Zubkov, S.I., and Myachkin, V.I.: Estimation of the size of earthquake preparation zones, *Pure. Appl.*
461 *Geophys.*, 117, 1025-1044, <https://doi.org/10.1007/BF00876083>, 1979.
- 462 Freund, F.: Time-resolved study of charge generation and propagation in igneous rocks, *J. Geophys. Res.: Solid Earth*, 105,
463 11001-11019, <https://doi.org/10.1029/1999JB900423>, 2000.
- 464 Freund, F.: Charge generation and propagation in igneous rocks, *J. Geodyn.*, 33, 543-570,
465 <https://doi.org/10.1109/10.1029/1999JB900423>, 2002.
- 466 Freund, F., Takeuchi, A., Lau, B. W. S.: Electric currents streaming out of stressed igneous rocks—A step towards
467 understanding pre-earthquake low frequency EM emission. *Physics and Chemistry of the Earth Parts A/B/C*, 2006,
468 31, 389-396, <https://doi.org/10.1016/j.pce.2006.02.027>, 2006.

469 Freund, F., Takeuchi, A., Lau, B. W. S., Al-Manaseer, A., Fu, C. C., Bryant, N. A., and Ouzounovet, D.: Stimulated infrared
470 emission from rocks: assessing a stress indicator. *eEarth*, 2, 7-16, <https://hal.science/hal-00298232>, 2007.

471 Freund, F.: Toward a unified solid state theory for pre-earthquake signals, *Acta Geophysica*, 58, 719-766,
472 <https://doi.org/10.2478/s11600-009-0066-x>, 2010.

473 Freund, F.: Earthquake forewarning — A multidisciplinary challenge from the ground up to space, *Acta Geophysica*, 61,
474 775-807, <https://doi.org/10.2478/s11600-013-0130-4>, 2013.

475 Freund, F., Ouillon, G., Scoville, J., and Sornette, D.: Earthquake precursors in the light of peroxy defects theory: Critical
476 review of systematic observations. *Eur. Phys. J. Spec. Top.*, 230, 7–46, <https://doi.org/10.1140/epjst/e2020-000243-x>,
477 2021.

478 Hao, J. G.: Near-surface atmospheric electric field anomalies and earthquakes, *Acta Seismologica Sinica*, 02, 206-212, 226,
479 1988.

480 Harrison, R. G., and Nicoll, K. A.: Fair weather criteria for atmospheric electricity measurements, *J. Atmos. Sol.-Terr.*
481 *Phys.*, 179, 239-250, <https://doi.org/10.1016/j.jastp.2018.07.008>, 2018.

482 Hersbach, H., Bell, B., Berrisford, P., Biavati, G., Horányi, A., Muñoz Sabater, J., Nicolas, J., Peubey, C., Radu, R., Rozum,
483 I., Schepers, D., Simmons, A., Soci, C., Dee, D., Thépaut, J-N.: ERA5 hourly data on single levels from 1940 to
484 present. Copernicus Climate Change Service (C3S) Climate Data Store (CDS),
485 <https://doi.org/10.1109/10.24381/cds.adbb2d47>, 2023.

486 Hobara, Y., Watanabe, M., Miyajima, R., Kikuchi, H., Tsuda, T., and Hayakawa, M.: On the Spatio-temporal dependence
487 of anomalies in the atmospheric electric field just around the time of earthquakes, *Atmosphere.*, 13, 1619,
488 <https://doi.org/10.3390/atmos13101619>, 2022.

489 Imaoka, K., Maeda, T., Kachi, M., Kasahara, M., Ito, N., and Nakagawa, K.: “Status of AMSR-2 Instrument on GCOM-
490 W1,” in *Earth Observing Missions and Sensors: Development, Implementation, and Characterization II*, International
491 Society for Optics and Photonics, Japan, 8528, 852815, 2012.

492 Israelsson, S., and Tammet, H.: Variation of fair weather atmospheric electricity at Marsta Observatory, Sweden, 1993–
493 1998, *J. Atmos. Sol.-Terr. Phys.*, 63, 1693-1703, [https://doi.org/10.1016/S1364-6826\(01\)00049-9](https://doi.org/10.1016/S1364-6826(01)00049-9), 2001.

494 Ji, L. Y., Zhang, W. T., Liu, C. J., Zhu, L. Y., Xu, J., and Xu, X. X.: Characterizing interseismic deformation of the
495 Xianshuihe fault, eastern Tibetan Plateau, using Sentinel-1 SAR images, *Adv Space Res*, 66, 378-394,
496 <https://doi.org/10.1016/j.asr.2020.03.043>, 2020.

497 Ji, Z. R.: FPGA-based design of an atmospheric electric field meter, M. S. thesis, Nanjing University of Information Science
498 and Technology, China, 73 pp., <https://doi.org/10.27248/d.cnki.gnjqc.2022.000465>, 2022.

499 Jin, X. B., Zhang, L., Bu, J. W., Qiu, G. L., Ma, L., Liu, C., and L, Y. D.: Discussion on anomaly of atmospheric electrostatic
500 field in Wenchuan Ms8.0 earthquake, *J. Electrostat.*, 104, 103423, <https://doi.org/10.1016/j.elstat.2020.103423>, 2020.

501 Kleimenova, N. G., Kozyreva, O. V., Michnowski, S., and Kubicki, M.: Effect of magnetic storms in variations in the
502 atmospheric electric field at midlatitudes, *Geomagn. Aeron.*, 48, 622-630,
503 <https://doi.org/10.1134/S0016793208050071>, 2008.

504 Kondo, G.: The variation of the atmospheric electric field at the time of earthquake, *Mem. Kakioka Magnet. Observ.*, 12,
505 11-23, 1966.

506 Li, Y. C., Zhao, D. Z., Shan, X. J., Gao, Z. Y., Huang, X., and Gong, W. Y.: Coseismic Slip Model of the 2022 Mw 6.7
507 Luding (Tibet) Earthquake: Pre-and Post-Earthquake Interactions With Surrounding Major Faults, *Geophys Res Lett*,
508 49, e2022GL102043, <https://doi.org/10.1029/2022GL102043>, 2022.

509 Li, Y. D., Zhang, L., Zhang, K., and Jin, X. B.: A study on the anomalies of near-surface atmospheric electric field before
510 the "5.12" Wenchuan Earthquake, *Plateau and Mountain Meteorology Res.*, 37, 49-53,
511 <https://doi.org/10.3969/j.issn.1674-2184.2017.01.008>, 2017.

512 Li, L., Chen, T., Ti, S., Wang, S. H., Song, J. J., Cai, C. L., Liu, Y. H., Li, W., and Luo, J.: Fair-weather near-surface

513 atmospheric electric field measurements at the Zhongshan Chinese Station in Antarctica. *Appl Sci*, 12, 9248,
514 <https://doi.org/10.3390/app12189248>, 2022.

515 Luo, B. X., Li, X. L., Temerin, M., and Liu, S. Q.: Prediction of the AU, AL, and AE indices using solar wind parameters,
516 *J. Geophys. Res.: Space Phys.*, 118, 7683-7694,
517 <https://agupubs.onlinelibrary.wiley.com/doi/full/10.1002/2013JA019188>, 2013.

518 Loewe, C. A., and Prölss, G. W.: Classification and mean behavior of magnetic storms, *J. Geophys. Res.: Space
519 Phys.*, 102, 14209-14213, <https://agupubs.onlinelibrary.wiley.com/doi/pdf/10.1029/96JA04020>, 1997.

520 Li, W., Thorne, R. M., Bortnik, J., Nishimura, Y., Angelopoulos, V., Chen, L., McFadden, J. P., and Bonnell, J. W.: Global
521 distributions of suprathermal electrons observed on THEMIS and potential mechanisms for access into the
522 plasmasphere, *J. Geophys. Res.: Space Phys.*, 155, A00J10,
523 <https://agupubs.onlinelibrary.wiley.com/doi/full/10.1029/2010JA015687>, 2010.

524 Liu, S. J., Cui, Y., Wei, L. H., Liu, W. F., and Ji, M. Y.: Pre-earthquake MBT anomalies in the Central and Eastern Qinghai-
525 Tibet Plateau and their association to earthquakes. *Remote. Sens. Environ.*, 298, 113815,
526 <https://doi.org/10.1016/j.rse.2023.113815>, 2023.

527 Mao, W. F., Wu, L. X., Liu, S. J., Gao, X., Huang, J. W., Xu, Z. Y., and Qi, Y.: Additional microwave radiation from
528 experimentally loaded granite covered with sand layers: Features and mechanisms, *IEEE Trans. Geosci. Remote Sens.*,
529 58, 5008–5022, <https://doi.org/10.1109/TGRS.2020.2971465>, 2020.

530 Omori, Y., Yasuoka, Y., Nagahama, H., Kawada, Y., Ishikawa, T., Tokonami, S., and Shinogi, M.: Anomalous radon
531 emanation linked to preseismic electromagnetic phenomena, *Nat. Hazard. Earth Syst. Sci.*, 7, 629-635,
532 <https://doi.org/10.5194/nhess-7-629-2007>, 2007.

533 Qi, Y., Wu, L., He, M., and Mao, W.: Spatio-temporally weighted two step method for retrieving seismic MBT anomaly:
534 May 2008 Wenchuan earthquake sequence being a case, *IEEE J. Sel. Topics Appl. Earth Observ. Remote Sens.*, 13,
535 382–391, <https://doi.org/10.1109/JSTARS.2019.2962719>, 2020.

536 Qi, Y., Wu, L.X., Mao, W. F., Ding, Y. F., and He, M.: Discriminating possible causes of microwave brightness temperature
537 positive anomalies related with May 2008 Wenchuan earthquake sequence, *IEEE Trans. Geosci. Remote Sens.*, 59,
538 1903-1916, <https://doi.org/10.1109/TGRS.2020.3004404>, 2021a.

539 Qi, Y., Wu, L., Ding, Y., Liu, Y., Chen, S., Wang, X., and Mao, W. F.: Extraction and discrimination of MBT anomalies
540 possibly associated with the Mw 7.3 Maduo (Qinghai, China) Earthquake on 21 May 2021, *Remote Sens.*, 13, 4726,
541 <https://doi.org/10.3390/rs13224726>, 2021b.

542 Qi, Y., Wu, L. X., Ding, Y. F., and Mao, W. F.: Microwave brightness temperature anomalies associated with the 2015 Mw
543 7.8 Gorkha and Mw 7.3 Dolakha earthquakes in Nepal, *IEEE Trans. Geosci. Remote Sens.*, 60, 1-11,
544 <https://doi.org/10.1109/TGRS.2020.3036079>, 2022.

545 Qi, Y., Wu, L. X., Mao, W. F., Ding, Y., Liu, Y., Wang, X.: Characteristic background of microwave brightness temperature
546 (MBT) and optimal microwave channels for searching seismic MBT anomaly in and around the Qinghai-Tibet Plateau,
547 *IEEE Trans. Geosci. Remote Sens.*, 61, 1-18, <https://doi.org/10.1109/TGRS.2023.3299643>, 2023.

548 Qin K., Wu L. X., Zheng S., and Liu S. J.: A Deviation-Time-Space-Thermal (DTS-T) Method for Global Earth Observation
549 System of Systems (GEOSS)-Based Earthquake Anomaly Recognition: Criteria and Quantify Indices. *Remote
550 Sens.*, 5, 5143-5151, <https://doi.org/10.3390/rs5105143>, 2013.

551 Rodell, M., Houser, P. R., Jambor, U. E. A., Gottschalck, J., Mitchell, K., Meng, C. J., Arsenault, K., Cosgrove, B.,
552 Radakovich, J., Bosilovich, M., Entin, J. K., Walker, J. P., Lohmann, D., and Toll, D.: The global land data assimilation
553 system, *Bull. Am. Meteorol. Soc*, 85, 381-394, <https://doi.org/10.1175/BAMS-85-3-381>, 2004.

554 Rycroft, M. J., Israelsson, S., and Price, C.: The global atmospheric electric circuit, solar activity and climate change, *J.
555 Atmos. Sol.-Terr. Phys.*, 62, 1563-1576, [https://doi.org/10.1016/S1364-6826\(00\)00112-7](https://doi.org/10.1016/S1364-6826(00)00112-7), 2000.

556 Shan, B., Xiong, X., Zheng, Y., and Diao, F. Q.: Stress changes on major faults caused by M w7. 9 Wenchuan earthquake,

557 May 12, 2008, *Science in China Series D: Earth Sci.*, 52, 593-601, <https://doi.org/10.1007/s11430-009-0060-9>, 2009.

558 Smirnov, S.: Negative anomalies of the earth's electric field as earthquake precursors, *Geosciences*, 10, 10,

559 <https://doi.org/10.3390/geosciences10010010>, 2019.

560 St-Laurent, F., Derr, J. S., and Freund, F. T.: Earthquake lights and the stress-activation of positive hole charge carriers in

561 rocks, *Phys Chem Earth. Parts A/B/C*, 31, 305-321, <https://doi.org/10.1016/j.pce.2006.02.003>, 2006.

562 Sun, J. Q.: *Fundamentals of atmospheric electricity*, China Meteorological Press., 1987.

563 Tacza, J., Raulin, J. P., Mendonca, R. S., Makhmutov, V. S., Marun, A., and Fernandez, G.: Solar effects on the atmospheric

564 electric field during 2010–2015 at low latitudes, *J. Geophys. Res. Atmos.*, 123, 11970-11979,

565 <https://doi.org/10.1029/2018JD029121>, 2018.

566 Ulaby, F. T., Moore, R. K., and Fung, A. K.: *Microwave Remote Sensing: Active and Passive*. in *Remote Sensing*

567 *Fundamentals and Radiometry*, Addison-Wesley, USA, 186–254.

568 Wu L. X. and Liu S. J.: Remote Sensing Rock Mechanics and Earthquake Infrared Anomalies. In: *Advances in Geosciences*

569 *& Remote Sensing*; Gary J, Ed., InTech: Vukovar, Croatia, 709–741, 2009.

570 Wu L. X., Qin K, and Liu S. J.: GEOSS-based Thermal Parameters Analysis for Earthquake Anomaly Recognition. *Proc.*

571 *of IEEE*, 100, 2891-2907, <https://doi.org/10.1109/JPROC.2012.2184789>, 2012.

572 Wu, L. X., Qi, Y., Mao, M. F., Lu, J. C., Ding, Y. F., Peng, B. Q., and Xie, B. S.: Scrutinizing and rooting the multiple

573 anomalies of Nepal earthquake sequence in 2015 with the deviation–time–space criterion and homologous

574 lithosphere–coversphere–atmosphere–ionosphere coupling physics, *Nat. Hazard. Earth Syst. Sci.*, 23, 231-249,

575 <https://doi.org/10.5194/nhess-23-231-2023>, 2023.

576 Wright, M. D., Matthews, J. C., Silva, H. G., Bacak, A., Percival, C., and Shallcross, D. E.: The relationship between

577 aerosol concentration and atmospheric potential gradient in urban environments, *Sci Total Environ*, 716, 134959,

578 <https://doi.org/10.1016/j.scitotenv.2019.134959>, 2020.

579 Yang, W., Liu, J., Xie M. Y., Zang, Y., Meng, L. Y., and Zhang, X. M.: Study on relocation of the September 5, 2022 Luding

580 Ms6. 8 earthquake, *Earth. Res. China*, 38, 622-631, <https://doi.org/10.3969/j.issn.1001-4683>, 2022.

581

582