



Impact of melt pond and floe size on the optical properties of Arctic sea ice

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6 Abstract. Melt ponds are usually modelled as horizontally infinite water layer overlaying on level ice. Then the albedo of 7 summer Arctic sea ice can be determined by a linear combination of melt pond and bare ice albedo weighted by their areal 8 coverage. However, this simulation does not reflect actual reality, in which ponds always have a limited size. In the present 9 study, a Monte Carlo (MC) model was employed to investigate the influence of melt pond and floe size on the apparent 10 optical properties of summer sea ice. The results showed that albedo and bottom transmittance mainly depended on the melt 11 pond fraction (MPF) and ice thickness, respectively. The radiation absorbed by pond water depended on both pond depth and MPF. The radiation absorbed by ice depended on both pond depth and ice thickness. Two new parameters, the ratio of 12 13 albedo (K_{α}) and transmittance (K_{T}) of the linear combination to the MC model, are proposed to present the accuracy of the 14 linear combination. For small-sized floe, K_{α} and K_{T} decreased from 1.33 to 1.02 and from 3.96 to 1.05, respectively, as floe size increased from 2 to 40 m with an MPF of 50%. K_{α} increased from 1.10 to 2.00 as MPF increased from 0 to 100% with a 15 floe size of 2 m. Solar radiation is more likely to penetrating into the lateral ocean in small floes than in large floes, and the 16 17 small MPF, which has a high albedo, prevents solar energy from entering the floe. To reduce these uncertainties, new 18 parameterization formulas for K_{α} and K_{T} at different latitudes and different melting stages are provided. In the marginal ice 19 zone, the average K_{α} and K_{T} are about 1.03 and 1.12, respectively. During the melting season, the difference of K_{α} for MC model and linear combination could reach up to 34% with the ice size 2 m for first-year ice. The results of this study can be 20 21 used in future research to correct in situ data obtained via linear combination for floe sizes smaller than 20 m.

22 1 Introduction

Melt ponds form on the Arctic sea ice surface in summer and are one of the most distinct characteristics of the Arctic (Polashenski et al., 2012). The maximum melt pond fraction (MPF) can reach 50% on the ice surface (Webster et al., 2015) and cause the albedo of Arctic sea ice to drop from 0.7 to 0.15 (Light et al., 2022; Grenfell and Perovich, 2004). Ponded ice can also absorb and transmit more solar energy than bare ice to promote melt and warm the Arctic sea ice (Nicolaus et al., 2012; Katlein et al., 2019). The formation of melt ponds generates a positive feedback mechanism that increases the absorption of solar radiation by sea ice and promotes its melting (Landy et al., 2015; Polashenski et al., 2012). Consequently,

29 melt ponds play an extremely important role in the dramatic decay of Arctic sea ice (Flocco et al., 2012).





30 The apparent optical properties (AOPs) of melt ponds have been extensively investigated through field measurements and 31 numerical simulations. Different factors on the melt pond AOPs had been simulated by Lu et al. (2018), and the 32 parameterization of albedo and transmittance as function of pond depth (H_p) and underlying ice thickness (H_i) were 33 investigated. The PAR transmittance of melt pond is twice larger than the white ice (Light et al., 2022), and the total 34 transmittance of the ponded ice is about 4.4 times larger than that of bare ice due to the melting of surface scattering layer 35 and drained layer of the bare ice (Light et al., 2015). Skyllingstad et al. (2009) simulated the solar irradiance transfer in the 36 melt pond, and the variation in albedo with the bottom ice albedo and H_p were put forward. Furthermore, according to the 37 two stream radiative transfer theory, the spectral albedo of melt pond can be determined based on H_p , and the pond bottom 38 albedo (Malinka et al., 2018).

39 Most measurements and numerical simulations regarded H_p and H_i as the main factor of pond AOPs. Then, melt pond was assumed as a plane-parallel layer with infinite pure water in most models. Arctic sea ice albedo in summer is calculated 40 41 through the linear combination of ice and melt pond (e.g., Zege et al., 2015; Istomina et al., 2015; Briegleb and Light, 2007). 42 However, pond size can greatly affect the surface albedo. For the pond larger than 10 m, the measured spectral albedo is 43 restricted to a constant value (in most cases lower than 0.1) for wavelengths longer than 900 nm (Light et al., 2015). 44 However, in the pond size smaller than 1 m, the observed spectral albedo in the 1050 - 1110 nm has a peak (Polashenski et 45 al., 2012), which indicates that also the albedo of surrounding sea ice or snow is being detected by the optical sensor 46 (Malinka et al., 2018). Besides, the spectral albedo in the 800 - 920 nm measured by Cao et al. (2020) was larger than 0.2, 47 which was obviously affected by the surrounded ice. The relative transmissivity, which is the ratio of transmittance with 48 different ice size to the infinite medium, increases with the increase of ice size (Light et al., 2003). These studies have shown 49 that the AOPs of small ponds are size-dependent and inevitably affected by the surrounding ice. This means that infinite 50 parallel plane assumptions and linear combination method are not suitable for all situations.

The aim of this study was to explore the influence of floe and pond size on their optical properties such as surface albedo and bottom transmittance. To this end, a Monte Carlo (MC) model was developed to parameterize the optical properties of melt ponds. This paper is structured as follows: Section 2 introduces the MC model; Section 3 reports the results obtained, describing the influence of various factors, such as pond depth, underlying ice thickness, ice foe size, and the energy absorption rate of ice floe. Section 4 verifies the model and presents the parameterization for different latitudes and different melting stages to correct the ice floe' AOPs; and Section 5 summarizes the conclusions.

57 2 Methods

58 2.1 Model setup

A schematic diagram of the summer sea ice surface featuring a melt pond is shown in Figure 1. As this study focused mainly on the AOPs of melt pond and sea ice, the freeboard and hydraulic head of pond water above the sea level were ignored. The floe was assumed to be optically isotropic (Katlein et al., 2015), therefore, the shape of floe and melt pond were set as circle





with diameters defined as d_i and d_p (Figure 1). Their areas were calculated as $S_i = \pi d_i^2/4$ and $S_p = \pi d_p^2/4$, respectively. Then, the melt pond fraction (MPF) was expressed as MPF = $S_p / S_i = (d_i / d_p)^2$. In the following text, the size of pond or floe refers to its diameter. Based on the CICE model, the surrounding ice was divided into five layers: the surface scattering layer (SSL), drained layer (DL), and three interior layers (IL_i) (Briegleb and Light, 2007). Ice floe thickness was denoted by *d*. The thickness of IL_i was d/4. If d < 1.5 m, the thickness of SSL was d/30, and if $d \ge 1.5$ m, it was 0.05 m. The thickness of DL was d/4 - SSL. The ice beneath melt pond consists of only one interior layer (IL_p).

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Figure 1: Schematic diagram of bare ice floe with a melt pond on the surface in summer. H_p is the melt pond depth, H_i is the underlying ice thickness, and d is the floe thickness. S_i and S_p are the floe and melt pond areas, respectively. SSL is the surface scattering layer, DL is the drained layer, IL_i is the interior layer of surrounding sea ice, and IL_p is the interior layer of ice beneath pond.

74

75 2.2 Monte Carlo model

76 The MC model is a random simulation method. Solar radiation reaching the medium surface consists of be infinitely narrow

beams containing 10^6 photons. Each photon is described by six variables: 3D space position coordination (x, y, and z) and

three direction cosines of the moving direction (u, v, and w). The initial weight of each photon is 1 (W = 1). The probability

79 density function of the photon motion step *L* obeys Beer's law:

$$80 \quad L = -\frac{\ln\zeta}{s} \tag{1}$$

81 where the parameter ζ is a random number uniformly distributed between 0 and 1, and ε is the extinction coefficient, namely

82 the sum of absorption coefficient k and scattering coefficient σ . Once the step is determined, the position of the photon after

83 the collision with the medium can be calculated as follows:





84
$$\begin{cases} x_{m+1} = x_m + Lu_{m+1} \\ y_{m+1} = y_m + Lv_{m+1} \\ z_{m+1} = z_m + Lw_{m+1} \end{cases}$$
(2)

The photon will undergo absorption during its movement. When it reaches the new position, a part of the weight, $\Delta W(k/\varepsilon)$, is absorbed by the medium. Then, this "lighter" photon starts a new scattering to generate the direction of the next movement. It should be noted that, once the photon reaches the lateral and bottom ocean, the weight decreases to 0. The new direction is controlled by the asymmetry parameter g. When g = 0, which means that the medium is isotropic, the azimuth angle φ is evenly distributed between 0 and 2π , and θ is the scattering angle. Otherwise, the medium is non-isotropic. The direction is determined by g and the Henyey-Greenstein phase functions. When the motion direction of the photon is close to vertical ($w \ge 0.9999$):

92
$$\begin{cases} u_{m+1} = \sin\theta\cos\varphi \\ v_{m+1} = \sin\theta\sin\varphi \\ w_{m+1} = SIGN(w)\cos\theta \end{cases}$$
(3)

93 When w > 0, SIGN (w) = 1; w < 0, SIGN (w) = -1. Otherwise, w < 0.9999, the moving direction is:

94
$$\begin{cases} u_{m+1} = \frac{\sin\theta}{\sqrt{1 - w_m^2}} (u_m w_m \cos\varphi - v_m \sin\varphi) + u_m \cos\theta \\ v_{m+1} = \frac{\sin\theta}{\sqrt{1 - w_m^2}} (v_m w_m \cos\varphi - u_m \sin\varphi) + v_m \cos\theta \\ w_{m+1} = \sqrt{1 - w_m^2} \sin\theta \cos\varphi + w_m \cos\theta \end{cases}$$
(4)

If the weight of the photon does not drop under a certain threshold, for example 10^{-6} , the photon continues to propagate. When the weight is lower than this value, the photon's propagation only produces little information, and the photon can be considered to have died. In order to maintain energy conservation, the Russian roulette method is used to end the photon propagation. When $W < 10^{-6}$, the photon has a probability of 1/q (*q* is generally 10) to continue to propagate, and the weight is updated to qW. If the photon does not survive from roulette, its weight drops to 0.

100 The albedo is the ratio of all reflections to diffusive incident irradiance by the ice floe surface (Figure 1), which is acceptable 101 during the Artic summer when overcast sky conditions are dominant (Polashenski et al., 2012). The spectral albedo α_{λ} , 102 bottom transmittance $T_{b, \lambda}$, and lateral transmittance $T_{l, \lambda}$ can be calculated as the ratios of the photo weight reflected into the 103 atmosphere, transmitted into bottom ocean, and transmitted into lateral ocean to the total weight at different wavelengths, 104 respectively. So, the broadband albedo α , lateral transmittance T_{l} , and bottom transmittance T_{b} are as follows:

105
$$\alpha = \int_{\lambda_1}^{\lambda_2} \alpha_{\lambda} F_0(\lambda) d\lambda / \int_{\lambda_1}^{\lambda_2} F_0(\lambda) d\lambda$$
(5)

106
$$T_l = \int_{\lambda_1}^{\lambda_2} T_{l,\lambda} F_0(\lambda) d\lambda / \int_{\lambda_1}^{\lambda_2} F_0(\lambda) d\lambda$$
(6)





107
$$T_b = \int_{\lambda_1}^{\lambda_2} T_{b,\lambda} F_0(\lambda) d\lambda / \int_{\lambda_1}^{\lambda_2} F_0(\lambda) d\lambda$$
(7)

108 where F_0 is the incident solar irradiance. By calculating the total weight of absorbed photons in different media, we can get 109 the energy absorbed by the pond Ψ_p , and by ice Ψ_i . It is obvious that the $\Psi_i = 1 - \alpha - T_1 - T_b - \Psi_p$.

110 To determine the impact of limited floe and pond size on optical properties, it is necessary to examine the difference between 111 the results of the MC model with finite medium and those calculated by linear combination α_{line} . The proportional 112 coefficients K_{α} and K_{T} are defined as:

113
$$\begin{cases} K_{\alpha} = \alpha_{line} / \alpha \\ K_{T} = T_{line} / T_{b} \end{cases}$$
(8)

114
$$\begin{cases} \alpha_{line} = (1 - S_p / S_i) \alpha_{ice} + S_p / S_i \alpha_{pond} \\ T_{line} = (1 - S_p / S_i) T_{ice} + S_p / S_i T_{pond} \end{cases}$$
(9)

115 where the α_{ice} and α_{pond} are the bare ice and melt pond albedos with infinite horizontal scale, which can also be determined 116 using the MC model with $d_i = \infty$, MPF = 0%, and with $d_i = \infty$, MPF = 100%, respectively. So do T_{ice} and T_{pond} .

117 2.3 Model parameters

118 The wavelength band we used in this study was 350 to 1000 nm, which covered 70 - 80 % of the solar energy reaching the

119 Earth' surface (Liou, 2002). Incident solar irradiance under overcast sky conditions reported by Grenfell and Perovich (2008)

120 for the month of August were selected as default settings. The absorption coefficient of pond water, which is wavelength

121 dependent, was obtained from Segelstein (1981). The scattering coefficients of pond water and ocean were neglected (Taylor

122 and Feltham, 2004), while the scattering coefficients of ice were 1000 m⁻¹, 70 m⁻¹, and 20 m⁻¹, corresponding to SSL, DL,

123 and IL, respectively. The absorption coefficient of sea ice was obtained from Perovich (1996). The asymmetry parameter for

124 pond water and sea ice were 0 and 0.94, respectively (Briegleb and Light, 2007).

125 3 Results

126 **3.1 AOPs of large floe**

127 A d_i of 2000 m was employed in this section to represent the typical Arctic floe size (Wang et al., 2020). This size was 128 sufficiently large to ignore the impact of the horizontal scale of ice floe (see section 3.2.1 later for details), and influence of 129 other factors are then straightforward. H_p and H_i were assumed to vary from 0 to 0.5 m and from 0.5 to 5 m. However, pond

130 AOPs are affected not only by H_p and H_i , but also by MPF (Polashenski et al., 2012). Therefore, we also considered the

131 influence of MPF in combination with each parameter.





132 **3.1.1 Influence of** H_p and H_i

- 133 H_p and H_i are the two main factors affecting the AOPs (Lu et al., 2016). Therefore, MPF was firstly assumed to be 40% for
- the average values of first-year ice (FYI) (Nicolaus et al., 2012). The results of AOPs are shown in Figure 2.
- 135 The broadband albedo α was influenced by both H_i and H_p (Figure 2a); specifically, it increases as H_i increases or H_p
- 136 deceased. Moreover, when H_i was thinner than 3 m, the albedo was mainly controlled by this parameter. For example, as H_i
- 137 increased from 1 to 3 m, the albedo increased by about 14%, while as H_p increased from 0.1 to 0.5 m, the albedo decreased
- 138 only by about 0.7%. When H_i was thicker than 3 m, the albedo was less influenced by both H_p and H_i . As H_i increased from
- 139 3 to 5 m, the albedo increased by about 2%. At the same time, as H_p increased from 0.1 to 0.5 m, the albedo decreased by
- 140 about 2.4%.
- 141 As shown in Figure 2b, the bottom transmittance T_b was also dependent on H_i and H_p ; specifically, it was reduced as these
- 142 two parameters increased. Furthermore, the response of T_b on H_i was more sensible than that on H_p . As H_i increased from 1
- 143 to 2 m, T_b decreased by 46%, while as H_p increased by 4 times (from 0.1 to 0.5 m), T_b decreased by only 17%.
- 144 The energy absorbed by pond and underlying ice were also controlled by H_i and H_p . The influence of H_p on Ψ_p is the major
- 145 factor (Figure 2c). Ψ_p increases by 78% as H_p increased from 0.1 to 0.3 m, while a greater increase of H_i (from 0.5 to 5 m),
- 146 caused only a 4.3% increase in Ψ_p . Ψ_i was complexed and sensitive to both H_p and H_i (Figure 2d). As the H_p increased from
- 147 0 to 0.5 m, Ψ_i decreased from 0.37 to 0.28. Meanwhile, Ψ_i increased from 0.20 to 0.36 as the H_i increased from 0.5 to 5 m.







148

149 Figure 2: Variation in the portion of solar energy in relation to: (a) albedo α , (b) bottom transmittance T_b , (c) energy absorbed by 150 the pond Ψ_p , and (d) energy absorbed by the underlying ice Ψ_i .

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152 **3.1.2 Influence of MPF and** *H***i**

A constant pond depth of 0.25 m was set to highlight the impact of both MPF and H_i . Figure 3a shows that the albedo is decided by both MPF and H_i for thin ice ($H_i < 1.5$ m), and mainly determined by MPF for thick ice ($H_i > 1.5$ m). For the thin ice, the albedo increased from 0.37 to 0.49 as H_i increased from 0.5 to 1.5 m with MPF = 36%. At the same time, the albedo decreased from 0.59 to 0.21 as MPF increased from 0 to 100% with $H_i = 1$ m. For thick ice, the albedo increased only slightly from 0.50 to 0.52 as H_i increased from 2 to 5 m with MPF = 36%. However, the average albedo of thick ice

158 decreased from 0.65 to 0.28 (by about 57%) as MPF increased from 0 to 100%.

159 T_b was shown to be controlled by both H_i and MPF (Figure 3b). Firstly, T_b decreased as H_i increased, which was consistent

160 to the results shown in Figure 2b. The average $T_{\rm b}$ at different MPFs decreased from 0.33 to 0.03 as $H_{\rm i}$ increased from 0.5 to 5

161 m. Secondly, it was observed that if H_i and H_p did not vary, the lateral sea ice areas of the pond decreased with the increase





- 162 of MPF, leading to a decrease in the total ice floe areas, which in turn increased the transmittance. For example, the average 163 $T_{\rm b}$ at different $H_{\rm i}$ values increased from 0.08 to 0.18 as MPF increased from 0 to 100%.
- Figure 3c shows that Ψ_p was mainly counted on MPF. As the MPF increased from 0 to 1, Ψ_p increased from 0 to 0.26, while as H_i increased, the Ψ_p at different MPFs remained almost constant. Ψ_i was mainly related to H_i and less to MPF (Figure 3d). The average Ψ_i at different MPFs increased from 0.20 to 0.36 as H_i increased from 0.5 to 5 m, while the average Ψ_i at
- 167 different H_i values increased from 0.30 to 0.31 as MPF increased from 0 to 100%.
- 168





170Figure 3: Variation in the portion of solar energy in relation to: (a) albedo α , (b) bottom transmittance T_b , (c) energy absorbed by171the pond Ψ_p and (d) energy absorbed by the ice Ψ_i at $H_p = 0.25$ m.

172

173 **3.1.3 Influence of MPF and** *H***p**

174 A constant underlying ice thickness of 2.5 m was assumed to investigate the effect of MPF and H_p . The results showed that

175 the albedo depended more on MPF than on H_p (Figure 4a). It decreased from 0.64 to 0.27 (by 58%) as MPF increased from 0





to 100%, while it decreased only slightly as H_p increased. For example, the albedo decreased from 0.51 to 0.50 with MPF = 36% as H_p increased from 0.05 to 0.5 m. T_b was also mainly dependent on MPF (Figure 4b), which increased from 0.06 to 0.14 as MPF increasing from 0 to 100%.

179 Ψ_p depended on both H_p and MPF (Figure 4c). Further, with the increasing of H_p , Ψ_p began to rely mainly on H_p and MPF 180 ($H_p < 0.15$ m), and finally mainly depended on MPF. At $H_p < 0.15$ m, Ψ_p increased from 0 to 0.2 as H_p and MPF increased to 181 0.15 and 100%, respectively. At $H_p > 0.15$ m, the average Ψ_p increased from 0 to 0.25 as MPF increased from 0 to 100%. 182 While as H_p increased from 0.15 to 0.5 m, Ψ_p increased from 0.20 to 0.35 with MPF = 100%, which shows the influence of 183 H_p is some smaller than that of MPF. Ψ_i was connected to MPF and H_p (Figure 4d). The average Ψ_i at different H_p values 184 increased from 0.3 to 0.33 as MPF increased from 0 to 100%. As H_p increased from 0 to 0.5 m, the average Ψ_i decreased 185 from 0.35 to 0.29.





Figure 4: Variation in the portion of solar energy in relation to: (a) albedo α , (b) bottom transmittance $T_{\rm b}$, (c) energy absorbed by the pond $\Psi_{\rm p}$ and (d) energy absorbed by the ice $\Psi_{\rm i}$ at the $H_{\rm i} = 2.5$ m.





191 3.2 AOPs of small floes

Incident solar radiation can be transmitted not only to the ice bottom, but also to the lateral side of sea ice (Petrich et al., 2012). In contrast to the above results obtained for large floes, the lateral transmittance T_1 of small floes cannot be ignored, as it can have important effects on other AOPs. Such as the T_b and albedo, which increase with increasing ice floe size (Light et al., 2003). To estimate T_1 and investigate its impact on the AOPs of ice floes with limited horizontal scale, $H_p = 0.3$ m and H_i = 1.0 m were used in the simulation, which are the typical values for ponds on FYI (Perovich et al., 2009). The results are reported in the subsections below.

198 **3.2.1 The influence of floe size on AOPs**

Two limit cases, MPF = 100% and 0% with different d_i were considered to explore the influence of the horizontal extent of different media (pond and sea ice).

Figure 5 shows that with the increase in pond or ice floe size, T_1 gradually decreased while the other AOPs increased. For small-size floe, the T_1 at MPF = 100% was larger than that at MPF = 0%. T_1 decreased from 0.42 and 0.24 to almost 0 as floe size increased from 2 to 200 m, respectively, at MPF = 100% and 0%. When the melt pond or ice floe sizes reached 200 m, the AOPs were nearly constant, i.e., T_1 did not affect the other pond and ice AOPs. At the same time, as floe size reached 200 m, the AOPs were almost consistent with those at $d_i = \infty$. Figure 5 also shows that the influence of T_1 on the other AOPs was less than 5% when floe size reached 40 m, regardless of whether MPF = 100% or 0% (red points in the figure), specifically, T_1 was 2.9% and 1.6%, respectively.



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211 **3.2.2** Accuracy of the linear combination method

The variation of both K_{α} and K_{T} can be determined based on Eq. (8). Figure 6 shows that these two parameters were closely related to floe size and MPF. As floe size increased from 2 to 200 m, K_{α} and K_{T} decreased from 2 to 1.02 and from 3.08 to 1.02, respectively, at MPF = 100%. The losses of solar radiation at the sidewalls are known to cause large differences between the AOPs of small and large ice floe. An increase in the horizontal size can make the floe behave more like that of the horizontal infinite medium (Light et al., 2003).

 K_{α} increased as MPF increased (Figure 6a), and the peak was detected at MPF = 100% under the same floe size. For example, K_{α} increased from 1.1 to 2 for floe with a size of 2 m as MPF increased from 0 to 100%. This can be due to the fact that pond

water only absorbs solar radiation while sea ice absorbs and scatters it (Taylor and Feltham, 2004). As MPF increased, the scattering decreased and more photons interacted directly with the sidewalls (Figure 5), causing greater sidewall losses and an increase in K_{α} for specific floe size. Furthermore, the smaller the floe size, the greater the dependence of K_{α} on MPF. For example, as MPF increased from 0 to 100%, K_{α} increased by 80% for 2-m floe, and by 4% for 40-m floe.

Unlike K_a , K_T decreased as MPF increased (Figure 6b). However, except in the case of small-sized floe size (2 m), K_T decreased little as MPF increased. Specifically, as MPF increased from 0 to 100%, K_T decreased by 10.1% and 0.7% for floe with sizes of 6 and 40 m, respectively. Due to the influence of T_1 on small-sized floe (Figure 5), the K_T of the 2-m floe decreased from 4.7 to 3.1, by about 34%. However, the absolute difference between T_{line} and T_b increased with the increase of MPF, and the reason is similar to that proposed for K_a . The decrease of K_T was mainly due to the relatively small T_b values (Figure 5).

For relatively small ice floe ($d_i < 20$ m), T_1 must be considered when measuring the albedo and T_b , especially for in suit

measurements of UAVs (Figure 6). For large-sized floe (200 m), K_{α} and K_{T} were shown to be almost 1. These results provide a theoretical basis for using satellite remote sensing to calculate the albedo of Arctic floe surfaces with a large horizontal extent.



Figure 6: Proportional coefficient of (a) albedo K_{α} and (b) bottom transmittance $K_{\rm T}$ calculated via the MC model and linear combination.





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237 3.3 Vertical distribution of solar radiation in ponded ice floe

The MC model was used to calculate the distribution of net irradiance F_{net} for different MPFs and floe sizes and thus explore the allocation of solar radiance absorbed by sea ice and the ocean (Figure 7). The rate of energy absorbed per unit volume (i_e) was also found to be significant for the ice floe. This parameter is an important source term in the heat conduction equation. It illustrates the contribution of solar irradiance heating to the warming and melting in sea ice. Taylor and Feltham (2004) described the energy absorption rate are follows:

243
$$i_e = -\int_{\lambda_1}^{\lambda_2} \frac{\partial F_{net}(z,\lambda)}{\partial d} d\lambda$$
 (10)

244 Three different melt pond types were assumed in this study:

- 245 Case I: an open pond with a floe size of 2 m and MPF 40%.
- 246 Case II: an open pond with a floe size of 2000 m and MPF 40%.
- 247 Case III: an open pond with a floe size of 2000 m and MPF 10%.
- 248 Case I and II were used to emphasize the influence of floe size, while case II and III to highlight the impact of MPF. The
- incident spectral irradiance Q_{sw} was 164.5 W/m². The net irradiance distribution in the floe system for the three cases is shown in Figure 7a – c, and the energy absorption rate is shown in Figure 7d.
- Most of the incident irradiance was dissipated in the pond and surrounding sea ice (Figure 7a c). The solar radiance absorbed by the floe was mainly in the NIR band. The irradiance penetrating the ocean was mainly between 350 and 750 nm. The energy in the band of 750 - 1000 was completely absorbed in the floe, especially those ranging from 900 to 1000 nm, which had the largest absorption for ice and pond water compared to the other bands. Due to the existence of the SSL on the ice surface, the albedo of the floe (0.38 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that of melt pond (0.15 - 0.34) and the transmittance (0.14 - 0.58) was larger than that pond the pond the
- 256 0.22) is smaller than that of pure pond (0.20 0.39) (Hudson et al., 2013).
- The variation of MPF and floe size did not affect the relative magnitude of i_e (Figure 7d). Overall, i_e was large at the floe surface and d/30. It dropped significantly in the range of 0 to 0.3 m and then continue to gradually decrease of the remaining radiation. Sudden variations in i_e were then observed at d/30 and 0.3 m. The first change in i_e was mainly related to the SSL of ice. On the one hand, the radiation in the NIR band was rapidly by pond water and ice. On the other hand, the SSL reflected most of the solar radiation. The second change in i_e was mainly attributed to the different inherent optical properties (IOPs) of the two media, especially for pond water and sea ice. However, the smaller changes observed in case III at d/30
- 263 were due to the small MPF (only 10%).
- When Comparing cases I and II, where MPF was the same, the largest difference in irradiance distribution between small and large-sized floe was detected in the 450 - 550 nm band (Figure 7a and b). The smaller the floe size, the easier it was for solar radiation to penetrate the lateral ocean (Figure 6). The net irradiance was lower in case I than in case II, especially in the above-mentioned band. The net irradiance peaks at the ice bottom in cases I and II were 0.098 W/m²nm, and 0.16





W/m²nm, respectively, and both of them were in the 480 nm. At the same time, the i_e on the ice surface decreased from 83.2 to 37.9 w/m³ as floe size increased from 2 to 2000 m (Figure 7d).

MPF also had a major impact on the distribution of radiation through the sea ice (Figure 7b and c). The results obtained for 270 271 net irradiance suggested that the SSL worked as an interlayer and prevented much of the solar radiation from reaching the floe system. The peak net irradiance at the ice bottom in case III was 0.12 W/m²nm in the 460 nm, which was smaller than 272 that of case II. The i_e on the floe surface increased from 21.3 to 37.9 w/m³ as MPF increased from 10% to 40%, which 273 corresponded to an increase of 78% (Figure 7d). This increase remained about 32% in floe depth of 0.4 - 1.3 m. This 274 275 situation was reasonable. Because as MPF increased, the solar radiation directly absorbed by the pond also increased, 276 resulting in the increase of i_e . The sudden change in i_e at d/30 was also affected by MPF. For example, at MPF =10%, i_e 277 increased from 11.6 to 38.6 w/m³, which corresponded to a 233% increase, while at MPF = 40%, it increased from 24.0 to 278 39.9 w/m^3 , i.e., only by about 66%.

279









284 4 Discussion

285 4.1 Comparisons and validations

286 4.1.1 Comparisons with numerical simulations

287 The delta-Eddington model (Briegleb and Light, 2007, BL model hereafter) can calculate the AOPs of melt pond with plane-

parallel, infinite horizontal case. The albedo and $T_{\rm b}$ were verified as functions of $H_{\rm p}$, g, and $H_{\rm i} = 1.0$ m corresponding to FYI

(Figure 8) and were calculated for pond depths ranging from 0.1 to 0.5 m and g values for underlying sea ice of 0.88, 0.90,

290 0.92, 0.94, and 0.96. The MC and BL models produced similar results, and a small relative difference (less than 3%)

291 between models was observed under different g and H_p .



292

Figure 8: Comparison of (a) albedo and (b) T_b as functions of pond depth and asymmetry parameter estimated by the MC and BL
 models. The underlying ice thickness was 1.0 m.

295

Zege et al. (2015) (Zege15 hereafter) estimated the spectral albedo of the ice floe with different MPF. Figure 9 shows the 296 comparison between the Zege15 and present MC model. There were two cases: For case I, MPF, optical properties of pond 297 298 water, optical thickness of ice beneath of pond, effective optical thickness of white scattering layer of the surrounding ice 299 were 0.4, 0.016, 3.0, and 8.5, respectively. Corresponding parameters of case II were 0.24, 0.007, 0.93, and 5, respectively. All characteristics were given at wavelength of 550 nm. The above-mentioned parameters were input into MC model to 300 estimate pond AOPs. The R^2 between the simulated by the MC model and Zege15 was higher than 0.97, with P < 0.01, and 301 302 the $<\zeta>$ was within 4%. The maximum difference in spectral albedo between the models was less than 0.05. The root mean 303 square error ε was also within 0.02.









Figure 9: Comparison of the simulated spectral albedo between Zege15 and the MC model: (a) case I with MPF = 0.4, and (b) case With MPF = 0.24.

307

308 Light et al. (2003) (Light03, hereafter) estimated $K_{\rm T}$ of a cylindrical sea ice samples by using a two-dimensional Monte 309 Carlo radiative transfer model. The incident irradiance was collimated and normal to the top of the sample. The scattering of the medium was assumed isotropic. The thickness of the cylinder was 50 cm. The optical depth ranged between 7 and 8, then 310 311 $K_{\rm T}$ depended mainly on the cylinder radius. The refractive index was 1.3, and g = 0. As the cylinder radius increased from 312 0.25 to 1.07 m, the $K_{\rm T}$ of Light03 decreased from 3.33 to 1.05. Figure 10 shows the comparison of the estimated results of 313 Light03 and the present model. The R^2 in both our simulation and Light03 was 0.93, with P < 0.07, the $\varepsilon = 0.38$ and the $\langle \zeta \rangle$ = 12%. The differences in K_T of 0.25 m cylindrical sample were larger than that of the other sample. This can be attributed to 314 the fact that in cylinders with a smaller radius, more photons are absorbed by the sidewalls, causing the detector at the ice 315 bottom to receive only a few photons. 316



318 Figure 10: Comparison between KT values simulated in by Light et al. (2003) and our MC model.





319

320 4.1.2 Comparisons with experimental results

Zhang et al. (2023) conducted "artificial pond" experiments with different pond sizes on Hanzhang Lake in the winter of 321 322 2022. The artificial pond had a hexagonal edge and a fairly flat bottom. The total ice thickness was 0.4 m (d = 0.4 m). H_p 323 was set to 0.05, 0.10, 0.15, 0.20, and 0.25 m, which corresponded respectively to H_i values of 0.35, 0.30, 0.25, 0.20, and 0.15 m. The inherent optical properties of the lake ice are different from those of Arctic sea ice. The scattering coefficient of lake 324 ice was mainly determined by gas bubbles, and was determined according to Grenfell (1991) and Yu et al. (2022). The 325 326 values of g range from 0.851 to 0.865, with an average of 0.860 (Malinka et al. 2018). Once g and the scattering coefficient 327 of the ice have been determined, the absorption coefficient can be inferred using the radiative transfer model (Light et al., 2003). 328

These IOPs were implemented in the MC model, and the simulated α , Ψ_p , and Ψ_i were compared with the AOP measurements, as shown in Figure 11. The correlation coefficient R^2 are higher than 0.95 with statistic significant (P < 0.01). The root mean square error for AOPs was relatively small and the maximum average relative error $\langle \zeta \rangle$ was 7.4% for Ψ_i . This demonstrated the reasonable of the MC model with finite medium. However, the correlation between the simulated and measured T_b was not well enough (Figure 11b). It attributed to the narrow range of measured T_b under a nearly constant ice

334 thickness.







336

Figure 11: Comparison between the measured and simulated AOPs for the finite pond size: (a) albedo, (b) bottom transmittance,
(c) energy absorbed by pond, and (d) energy absorbed by ice.

339

340 4.1.3 Comparisons with in situ measurements

The in-situ measurements of melt pond evolution by Polashenski et al. (2012) provide a nice validation of our MC model. The floe thickness decreased from 1.2 m to 0.95 m as the melting of sea ice. And the average pond depth along the 200 m line increased from 0 to 0.25 m. The measurements were conducted on seasonal landfast Arctic sea ice. Floe size was then set to 2000 m in the MC model to avoid the lateral transmittance (Figure 5). Three different stages were examined, i.e., pond formation, pond drainage and pond evolution, which are referred to as stages I, II, and III, respectively (Figure 12).

346 The simulated albedo with the MC model agrees with the observed results with $R^2 = 0.88$, the P < 0.01, $\varepsilon = 0.035$ and the $\langle \zeta \rangle$

347 is 6.6% (Figure 12). On the fifth day since the beginning of pond formation, MPF was the largest (0.5). However, the

348 measured albedo was larger than on the fourth day. This may be due to the snowfall. Furthermore, the simulated albedos

349 were larger than the measured values in stage I, because the melting of the sea ice surface caused the overlying snow to melt





as well. This would generate wet snow and black ice, which would significantly decrease the scattering coefficient of sea ice (Polashenski et al., 2012). In stage II, melt pond drainage increased the roughness of the ice surface and reduced pond coverage, potentially leading to a very high ice permeability and consequence increase in the scattering coefficient of sea ice (Polashenski et al., 2012). As a result, the albedo of ice on the 11th day with an MPF of 8.3% was larger than that of pure ice. At stage III, MPF increased and albedo slightly decreased.



355

356 Figure 12: Simulated albedo, measured albedo, and MPF versus days since the onset of pond formation.

357

358 **4.2 Variations in K_{\alpha} and K_{T} with Arctic latitude**

Arctic floe size always increases with latitude (Xie et al., 2013), further affecting the AOPs of sea ice according to Figure 5. 359 The floe and melt pond observed obtain during the 5th Chinese National Arctic Research Expedition (CHINARE) on the 360 icebreaker R/V Xuelong in the summer of 2010 were used here to quantitatively estimate the effects of floe size at different 361 latitudes on AOPs in the real Arctic environment (Xie et al., 2013). The ship-based measurements at different latitudes were 362 divided into two groups based on time collection, i.e., during the northward or southward legs. The northward leg started at 363 71.35°N, 156.94 °W on July 25, and ended at 88.36°N, 177.52 °W on August 25. The southward leg started on August 20 364 and ended on August 28. The northward leg was divided into three sections: marginal ice zone (ice concentration < 60%) 365 $(71^{\circ}N - 75^{\circ}N)$, part of the ice concentration < 60% ($75^{\circ}N - 77.5^{\circ}N$), ice concentration > 60% (> 77.5°N). The southward 366 leg was divided into two sections: marginal ice zone $(75^{\circ}N - 80^{\circ}N)$ and others (ice concentration > 60%) (> 80^{\circ}N). The 367 average ice thicknesses and MPFs recorded at different latitudes are shown in Figure 13a, and b. To calculate the maximum 368 T_1 of floe at these latitudes, the lower limit of the floe size code with latitude is shown in Figure 13c. Pond depth was 369 assumed to be 0.1 m based on the average pond depth in typical FYI reported in Polashenski et al. (2012). 370







371

Figure 13: Ship-based observations of (a) sea ice thickness, (b) MPF, (c) floe size code during the CHINARE-2010, from July 25 to
August 20 (northward leg), and from August 20 to 28 (southward leg). Floe size codes: 1 (1 m), 2 (2 m), 3 (20 m), and 4 (100 m).
The northward and southward legs are indicated by the blue and yellow lines, respectively.

375

Figure 14 shows the T_1 along the cruise track calculated using the observed ice thickness, size, and MPF. It is clear that T_1 was almost to zero at latitude larger than 80°N, because the relatively larger floe size (100 m) in this zone prevented solar irradiance from penetrating the lateral ocean (Figure 5). Maximum T_1 was larger during the northward leg (0.38) than during the southward leg (0.24). This is because small-sized floe ice was presented in 71 – 74°N (< 2 m).

Because ice was thicker in the zone covered during the northward leg, the variation of T_1 for the same floe code was relatively small (Figure 14a). When ice floe size was 1 m, T_1 was the largest (about 0.38); when it was 2 m, T_1 varied from 0.23 to 0.27 depending on ice thickness; when it was 20 m, T_1 was approximately 0.03. During the southward leg of floe

383 code 2 m, when the ice floe was thicker than 59 cm, T_1 was 0.24. As it decreased to 15 cm, T_1 decreased from 0.24 to 0.08.

The average K_{α} and K_{T} decreased with increasing latitude (Figure 14b and c). In both legs, the marginal ice zone was shown to have the largest influence on K_{α} and K_{T} . During the northward leg, K_{α} and K_{T} were about 1.03 and 1.16, respectively; and





they were 1.03, and 1.08 for the southward. In north II, due to the presence of an ice concentration of less than 60% and small-sized floe (2 m), the influence of lateral transmittance decreased. And the values of K_{α} and K_{T} were also smaller compared to those in north I (1.02 and 1.05). In north III and the latitude larger than 80°N of the southward leg, lateral transmittance can be negligible, and K_{α} and K_{T} are almost to 1.0.



390

Figure 14: (a) T_1 of ice floe of northward leg and southward leg for different latitudes, the corresponding K_{α} and K_T for different latitude of (b) northward leg and (c) southward leg.

393

Figure 15 shows the parameterization schemes for both K_{α} and K_{T} (including an explicit description of floe size) for the marginal ice zones, where the impact of small-sized floe on the surface albedo cannot be ignored. The general formula is K = $A \times lat + B$, where A and B are empirical constants determined by curve fitting. The correlation coefficients $R^{2} > 0.56$ and P< 0.07. It should be noted that the different parameterization between northward and southward legs was due to the different months during which the expedition took place. K_{α} decreased from 1.03 and 1.04 to 1.01, while K_{T} decreases from 1.21 and 1.15 to 1.03 and 1.02 during the northward and southward legs, respectively. Due to the southward cruise was conducted in late August, which contained abundant small floes (Figure 13c), the latitude of marginal ice zone for southward is larger than





the northward. Furthermore, during the northward leg, the floe size codes in the marginal ice zones caried from 1 to 4, while during the southward leg, they varied less, only between 2 and 3. This contributes the correlation coefficients of the parameterization results for southward leg are larger than the northward leg. During the southward leg, floe ice was thinner and $K_{\rm T}$ was smaller. This is because with thinner ice, fewer photons reached the floe boundary and then penetrated to the ocean (Light et al., 2003).

406



407

408 Figure 15: Variation of (a) *K*_a and (b) *K*_T with latitude for the northward and southward legs.

409

410 **4.3 Variations in K_{\alpha} and K_{T} with pond evolution**

411 Both floe size and MPF were shown to affect the AOPs, and the latter always varied obviously during pond evolution 412 (Figure 12). The floe size observed by Xie et al. (2013) mainly varied from 2 to 20 m for the westward leg in the marginal ice zones, which was started at -166°W to -158°W, near 71.35°N, but the pond evolution could not be measured directly on 413 414 these small floes. Therefore, we have to use variations in H_p , H_i , and MPF with pond evolution in Polashenski et al. (2012). 415 This was acceptable because firstly the above two in-situ measurements were conducted in very close locations and date. 416 And secondly, previous observations did not reveal obvious differences in pond evolution on sea ice with small or large 417 horizontal size (e.g., Perovich et al., 2002; Perovich and Polashenski, 2012; Polashenski et al., 2012; and Webster et al., 418 2022). As a result, it was possible to determine the variation of K_{α} and K_{T} during pond evolution by combining the above 419 observations, as shown on Figure 16.

420 The variation of K_{α} and K_{T} was shown to be complex. On the one hand, K_{α} and K_{T} increased as floe size decreased. This is

421 because the floe size can significantly affect the lateral transmittance (Figure 5). The smaller the floe size, the larger T_1 is. On

422 the other hand, K_{α} increased as MPF increased, while the K_{T} increased as H_{i} decreased. This is owing to that the albedo was

423 mainly determined by MPF (Figure 3a). T_b was mainly determined by H_i (Figure 3b). For thin ice floe, the scattering is small





and only a few photons reach the sidewalls. But as ice thickness increases, so does the scattering and more photons can reach the sidewalls, causing larger sidewall losses (Light et al., 2003). Therefore, we determined the formulas to calculate K_{α} and $K_{\rm T}$ during different stages of pond formation: K_{α} = 1.01 + 1.35 × MPF × $d_i^{-1.07}$, R^2 = 0.89, and P < 0.05 (Figure 16a); and $K_{\rm T}$ = 1.02 + 6.63 × H_i × 236.6 × $d_i^{-1.63}$, R^2 = 0.95, and P < 0.05 (Figure 16b). The d_i varied between 2 and 20 m. As floe size increased, K_{α} and $K_{\rm T}$ converged to almost 1.0. The maximum MPF was 50% and sea ice thickness was thinner than 1.4 m, which corresponded to the typical FYI (Zhang et al., 2018; Polashenski et al., 2012).

430



431

432 Figure 16: Variation of (a) K_{α} with floe size and MPF, and (b) K_{T} with floe size and H_{i} .

433

434 **4.4 Recommendations for melt pond retrievals**

Based on the above results, the infinite parallel plane assumption is only reasonable for quite large melt pond. According to Figure 5a, when the floe size reaches 40 m, the lateral transmittance is relatively small and can be ignored ($T_1 = 0.029$) for the limit of MPF = 100%. That is to say, difference between albedo of a 40 m-pond and infinite parallel plane is less than 5% (Figure 5a). Therefore, we can regard the melt pond as horizontally infinite as its size greater than 40 m.

439 Things are different for a small size floe. The linear combination method can clearly overestimate the albedo and bottom 440 transmittance especially when the floe size is smaller than 20 m (Figure 6). So, the parameterization formulas of K_{α} and K_{T} 441 in Section 4.2 and 4.3 are mainly suitable for this range. At the same time, the floe sizes are mainly in this range for the 442 marginal ice zone (Xie et al., 2013). Satellite optical data have been widely employed to retrieve MPF using remotely sensed surface reflectance according to the linear combination of different surface categories (Rösel et al., 2012). Zege et al. (2015) 443 used the reflective properties of melt pond and sea ice to calculate the reflectance of ice floe through linear combination, and 444 445 then retrieve the MPF and albedo, which is called the Melt Pond Detector (MPD) algorithm. This algorithm was shown to 446 slightly overestimate MPF compared to field data. The MPD algorithm also obviously overestimated the MPF compared to





447 the airborne-classified ponds over FYI (Istomina et al., 2015). So did the Rösel et al. (2012), who also took the satellite 448 optical data. The larger the MPF, the easier it is for solar radiation to penetrate into the lateral ocean and consequently 449 produce a larger difference. For example, as MPF increases, the retrieved MPF is obviously larger than that obtained from in 450 situ measurements in Fig.8 of Xiong and Ren (2023). At different latitudes and pond evolution stages, parameterization 451 results can assist in correcting the field observations got from linear combination and further retrieving the MPF by satellite optical data. If the reflectance calculated using satellite data by linear combination is larger than the actual values, then the 452 453 retrieved MPF will be larger than the measured value to offset the error. Without considering the lateral transmission of the 454 different MPF may be one reason for the overestimate of MPF.

455 5 Conclusion

456 A Monte Carlo model was employed to study the influence of melt pond and floe size on the AOPs of ice floe. The variation 457 in AOPs and solar energy partitioning of floe were analysed based on predefined IOPs of sea ice and melt pond. The 458 parameterization of K_{α} and K_{T} at different latitudes and pond formation stages were presented.

459 The results demonstrated that MPF and floe size have a strong effect on the AOPs of ice floe. An increase in MPF will significantly decrease the floe's albedo and increase $T_{\rm b}$ and $\Psi_{\rm p}$. A decrease in ice floe size can obviously increase $T_{\rm l}$ and 460 decrease the other AOPs at the same time. Two limiting cases with MPF = 100% and 0% at different d_i proved that the 461 462 influence of T_1 could be smaller than 3% when pond size or floe size reached 40 m. As floe size reached 200 m, the floe's 463 AOPs were consistent with the results obtained with infinite medium. K_{α} and K_{T} were determined by the MPF and floe size. The increasing of floe size significantly decreased the K_{α} and K_{T} . As MPF increased, K_{α} increased and K_{T} decreased. The 464 maximum K_{α} and K_{T} were lower than 1.07 with floe size 40 m, and when this reached 200 m, the two parameters were 465 almost 1.0. MPF and floe size also affected the irradiance distribution and energy absorption rate. As floe size and MPF 466 increased, more solar radiation penetrated the ocean bottom, especially for radiation within the 450 - 550 nm. The smaller 467 468 the MPF, the larger the ice albedo.

Our study suggested that floe size plays an important role in determining the AOPs of melting ice. The K_{α} and K_{T} can vary with the latitude. Further, we proposed a parameterized formula to calculate K_{α} and K_{T} in the marginal ice zone. Different melting stages also has a great influence on K_{α} and K_{T} , especially for small-size floe (2 – 20 m). In addition to being affected by floe size, K_{α} and K_{T} are also closely related to MPF and H_{i} , respectively.

473 With the rapid melting of Arctic sea ice, floe sizes are getting increasingly smaller in the summer. Therefore, the influence of

474 floe size on AOPs must be considered during investigations. The application of the plane-parallel hypothesis and linear

475 combination will become increasingly challenging, which has also been highlighted in this study. For example, during the

476 melting season, the linear combination will overestimate the albedo due to the effect of lateral transmission. However, the

477 knowledge of solar irradiance distribution on floe obtained from in situ measurements is still limited. Further investigations

478 of how solar energy is distributed to the melt pond bottom and lateral ice are still required.





479

- 480 Acknowledgements. This research was funded by the LiaoNing Revitalization Talents Program (XLYC2007033), the
- National Natural Science Foundation of China (41922045), and National Key Research and Development Program of China:
 2019YFE197600.
- 483 *Author contributions*. HZ carried out the estimations and wrote the paper. MY, PL, JZ, QW, and ZL edited the paper. All co-484 authors discussed the results and edited the manuscript.
- 485 Data Availability Statement. The field data from melt pond evolution by Polashenski et al. (2012) are available at the Arctic
- 486 Data Center: spectral albedos Polashenski et al. (2016a); line photos Polashenski et al. (2016b).
- 487 Competing interests. The authors declare that they have no conflict of interest.
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