

1 The interaction of Solar Radiation Modification with Earth System

2 Tipping Elements

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14 **Abstract.** The avoidance of hitting tipping points has been invoked as a significant benefit of Solar Radiation
15 Modification (SRM) techniques, however, the physical science underpinning this has thus far not been
16 comprehensively assessed. This review assesses the available evidence for the interaction of SRM with a number
17 of earth system tipping elements in the cryosphere, the oceans, the atmosphere and the biosphere, with a
18 particular focus on the impact of Stratospheric Aerosol Injection. We review the scant available literature directly
19 addressing the interaction of SRM with the tipping elements or for closely related proxies to these elements.
20 However, given how limited this evidence is, we also give a first-order indication of the impact of SRM on the
21 tipping elements by assessing the impact of SRM on their drivers. We then briefly assess whether SRM could
22 halt or reverse tipping once feedbacks have been initiated. Finally, we suggest pathways for further research. We
23 find that, when temperature is a key driver of tipping, well-implemented, homogenous, peak-shaving SRM
24 is could be at least partially effective at reducing the risk of hitting most tipping points examined relative to the
25 same emission pathway scenarios without SRM. Nonetheless, very large uncertainties remain, particularly when
26 drivers less strongly coupled to temperature are important, and considerably more research is needed before many
27 of these large uncertainties can be resolved.

28 1 Introduction

29 Climate Change caused by anthropogenic greenhouse gas (GHG) emissions is increasingly recognised
30 as a major threat to human and ecological systems (~~Intergovernmental Panel on Climate Change~~)

31 ~~(IPCC), 2023)~~.(IPCC, 2023). One aspect of climate change that is gaining increased attention are earth
32 system tipping points ~~(Lenton et al., 2023)~~(Lenton et al., 2023), which are seen as potentially triggering
33 dangerous changes increasing the risk of negative impacts of anthropogenic climate change and thus
34 demand action to reduce the likelihood of hitting them ~~(Lenton et al., 2019)~~.(Lenton et al., 2019). These
35 impacts of climate change also have to be considered alongside the growing crisis of biodiversity loss,
36 which is less widely recognised but is nonetheless dangerously pushing ecological systems towards
37 lower biodiversity states ~~(Legagneux et al., 2018)~~.(Legagneux et al., 2018). Climate change and
38 biodiversity loss may influence and reinforce each other (climate-induced habitat loss; reduced CO2
39 uptake).↵

40 Solar Radiation Modification (SRM, a.k.a. Solar geoengineering) has been proposed as a set of methods
41 that could ameliorate some of these climate risks by reflecting a fraction of incoming sunlight and to
42 cool the Earth directly, and is gaining salience at national ~~(National Academies of Sciences and~~
43 ~~Medicine, 2021)~~(National Academies of Sciences and Medicine, 2021) and international ~~(United~~
44 ~~Nations Environment Programme, 2023)~~ levels.(United Nations Environment Programme, 2023) levels.
45 SRM has been discussed in the context of these growing dangers to humans and the biosphere from
46 tipping points ~~(Heutel, Moreno Cruz and Shayegh, 2016; National Academies of Sciences and~~
47 ~~Medicine, 2021; Bellamy, 2023)~~(Bellamy, 2023; Heutel et al., 2016; National Academies of Sciences
48 ~~and Medicine, 2021)~~, but thus far, no comprehensive review of the impact of SRM on a variety of earth
49 system tipping elements have been performed. We discuss the potential for SRM to help avoid,
50 postpone or precipitate hitting -tipping points in the cryosphere, atmosphere, oceans, and biosphere,
51 with particular attention to the impact on the drivers of tipping in these systems-, as well as assess the
52 possibility of SRM reversing tipping once tipping points have been hit.

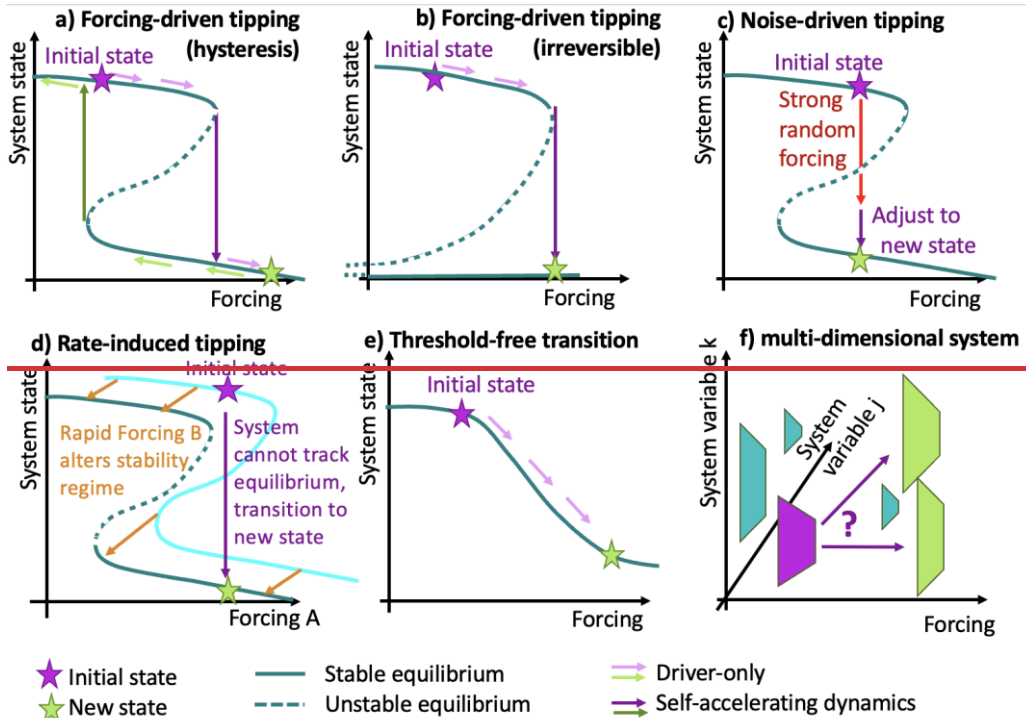
53 1.1 Tipping Elements

54 Several definitions for tipping elements in the earth system have been suggested ~~(Lenton et al., 2008;~~
55 ~~Levermann et al., 2012; Van Nes et al., 2016; Armstrong McKay et al., 2022)~~.(Armstrong McKay et al.,
56 ~~2022; Lenton et al., 2008; Van Nes et al., 2016)~~. While details differ, their common denominator is that
57 at a critical threshold (the tipping point) a small additional change in some driver leads to qualitative
58 changes in the system (e.g., ~~Fig 1a,b)~~. ~~As explicitly stated in Van Nes et al. (2016) and Armstrong~~
59 ~~McKay et al. (2022), and described in nearly all examples in Lenton et al. (2008)~~Fig. 1a,b). ~~As~~
60 ~~explicitly stated in Armstrong McKay et al., (2022) and Van Nes et al. (2016), and described in nearly~~
61 ~~all examples in Lenton et al. (2008)~~, these qualitative changes are brought about by self-perpetuating
62 processes caused by positive feedbacks which drive the system to a new state. While the “state” of
63 climate tipping elements can often be characterised by a single indicator, for example the mass of the

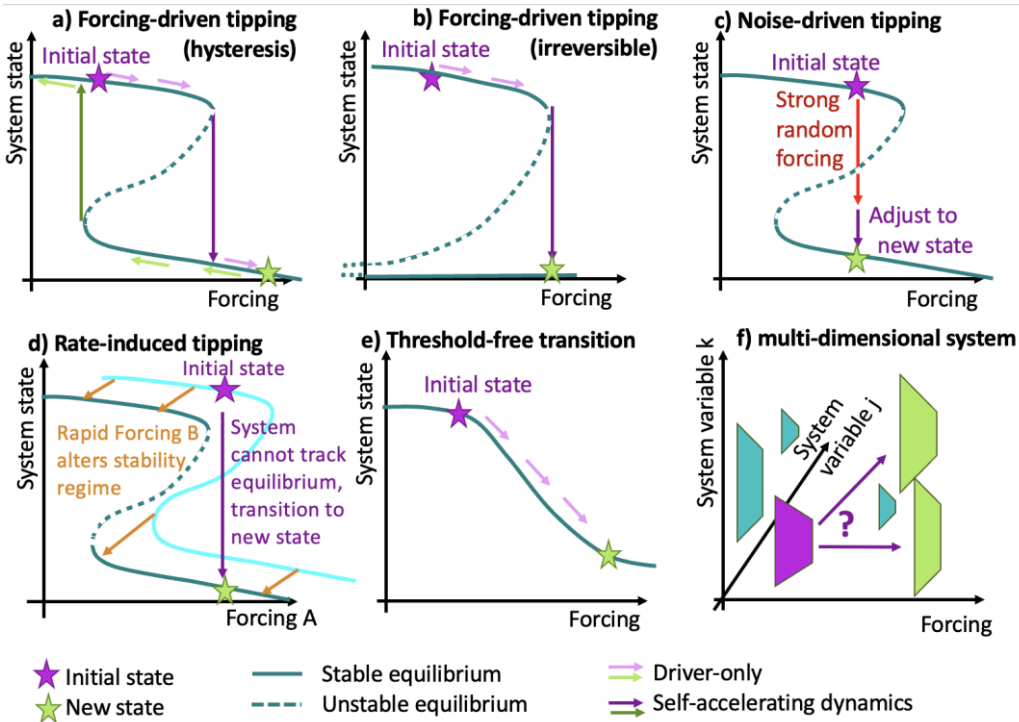
64 Greenland ice sheet, this may not hold for ecological systems, which may have a variety of stable
65 assemblages (Fig. 1f).

66 We use the word “driver” for the key variables external to the system that initiate the relevant changes,
67 and “dynamics” for the self-accelerating processes that accomplish the tipping. Typically, once these
68 processes have kicked in, they will continue even if the drivers stop ~~to increase~~increasing, or even
69 decrease. An edge case is threshold-free feedbacks, such as Marine Methane Hydrates (~~Van Nes et al.,~~
70 ~~2016; Armstrong McKay et al., 2022~~)(Armstrong McKay et al., 2022; Lenton et al., 2008; Van Nes et
71 al., 2016), systems in which positive feedbacks play a role but are not strong enough to lead to run-away
72 processes (Fig. 1e). These are commonly discussed alongside tipping elements, so some examples will
73 be discussed here. When referring collectively to the systems discussed in this article, we will use the
74 term ‘tipping element’ and only classify further where necessary.

75 Not just the magnitude, but also the trajectory of drivers may determine whether tipping occurs. For
76 example, ice sheets have long response times and may only tip if the temperature overshoot is of
77 sufficient duration (~~Ritchie et al., 2021; Wunderling, Winkelmann, et al., 2022~~)(Ritchie et al., 2021;
78 Wunderling et al., 2022a). On the other hand, some tipping elements may be more susceptible to fast
79 changes than to slow changes (rate-induced tipping, ~~fig~~Fig. 1d), even if the eventual magnitude of the
80 change is the same (~~Ashwin et al., 2012~~)(Ashwin et al., 2012). Some systems may have more than one
81 driver (e.g., precipitation change and deforestation in the Amazon).



82



83

84 *Figure 1 Different tipping processes. Solid (dashed) lines denote stable (unstable) equilibria. a,b)*
85 *Drivers (change in forcing) push the system closer to the tipping point; when it is reached, the system*
86 *undergoes self-perpetuating changes (“feedbacks”) and reaches a new state. The process can be*
87 *reversible (possibly with hysteresis) if the forcing is reverted (a) or completely irreversible (b; e.g. loss*
88 *of a specific ecosystem assemblage due to species extinction). c) Random fluctuations push the system*
89 *into an alternative state even before the actual tipping point is reached; easier if already close to*
90 *tipping point. d) Rapid forcing changes prevent the slowly evolving system from tracking its original*
91 *equilibrium state, causing a transition (rate-dependent tipping). e) Threshold-free feedbacks lead to*
92 *strong system changes under forcing, but no self-reinforcing dynamics (tipping) occurs. f) Complex*
93 *systems (e.g. ecological systems) cannot necessarily be captured by a single system variable and may*
94 *have many equilibrium states; final outcome may e.g. depend on precise forcing trajectory.*

95 ~~Armstrong McKay et al. (2022)~~ Armstrong McKay et al. (2022) tie their tipping points to global
96 warming thresholds. However, a tipping element may have other climate drivers, e.g. precipitation in
97 the Amazon region, thus making the tipping point not merely global-temperature-related. When only
98 greenhouse-gas-induced climate change is considered, one might assume that non-temperature drivers
99 scale with GMST, which acts as proxy for the overall strength of climate change. However, if SRM is
100 considered, other climate drivers do not necessarily scale with GMST; for example, SRM may restore
101 GMST but fail to restore precipitation in the Amazon (~~Jones et al., 2018~~). (Jones et al., 2018). Especially
102 in ecological systems, drivers not related to climate, such as human-induced deforestation, also play a
103 key role (~~Section~~ Sect. 5.2).

104 **1.2 Solar Radiation Modification**

105 While phasing out (net) greenhouse gas emissions remains the only way to address the root cause of
106 climate change, various climate intervention approaches have been suggested to complement mitigation
107 and reduce global warming and its impacts. This includes Solar Radiation Modification (SRM), a set of
108 proposed technologies aimed at increasing the earth’s albedo, reducing incoming solar radiation and
109 thus reducing global surface temperatures (~~National Academies of Sciences and Medicine,~~
110 2021). (National Academies of Sciences and Medicine, 2021). Stratospheric Aerosol Injection (SAI) is
111 currently the best researched and the most plausible candidate to generate significant, fairly
112 homogeneous cooling, and thus is the deployment method primarily discussed in this article. SAI would
113 mimic the effect of large volcanic eruptions by injecting particles or precursor gas (most commonly
114 suggested is SO₂) into the stratosphere to create a thin reflective aerosol cloud.

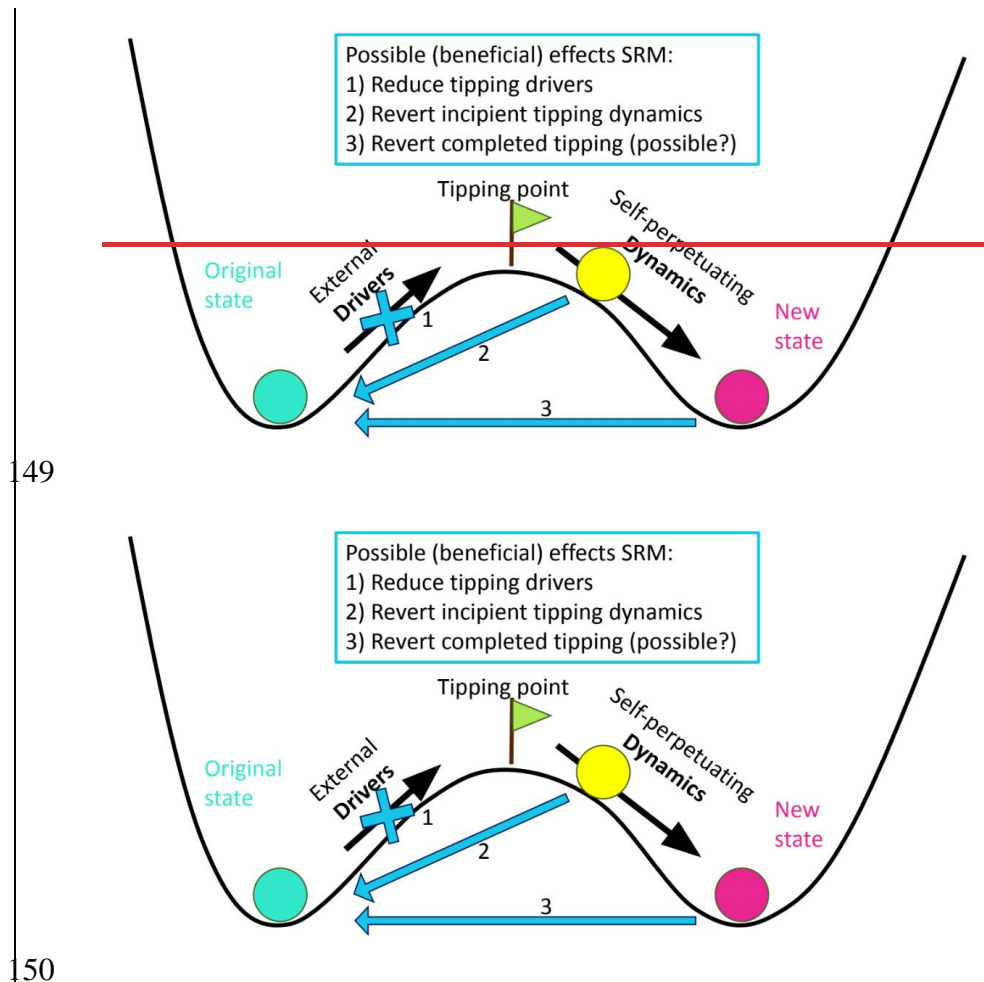
115 Even if SRM can be used to reverse Global Mean Surface Temperature (GMST) rise from increasing
116 Greenhouse Gas concentrations (~~Tilmes et al., 2020~~)([Tilmes et al., 2020](#)), it does not reverse the
117 anthropogenic greenhouse effect, but acts through a different mechanism, i.e. reflecting sunlight. This
118 means that SRM does not cancel the effect of increased greenhouse gas concentrations perfectly.
119 Although modelling studies suggest that SRM might bring many relevant climate variables closer to
120 their pre-industrial values (~~Irvine et al., 2019~~)([Irvine et al., 2019](#)), residual changes to atmospheric,
121 oceanic and ecological systems would remain. SRM might introduce additional effects, such as changes
122 in regional hydrological cycles relative to both same emission ~~scenario and same temperature scenarios~~
123 (~~Ricke et al., 2023~~)([scenarios and same temperature scenarios \(Ricke et al., 2023\)](#)), or changes in the
124 balance between direct and indirect solar radiation. Alongside its physical impacts, the possible political
125 and societal effects of SRM may be equally important, including the risk of conflict (~~Bas and Mahajan,~~
126 ~~2020~~), ~~mitigation deterrence (McLaren, 2016)~~, and ~~issues of imperialism (Surprise, 2020)~~, ~~democracy~~
127 (~~Stephens et al., 2021)~~ and ~~justice (Horton and Keith, 2016; Táíwò and Talati, 2022)~~.([Bas and Mahajan,](#)
128 [2020](#)), [mitigation deterrence \(McLaren, 2016\)](#), and [issues of imperialism \(Surprise, 2020\)](#), [democracy](#)
129 [\(Stephens et al., 2021\)](#) and [justice \(Horton and Keith, 2016; Táíwò and Talati, 2022\)](#). We stress that the
130 risks and potential benefits of SRM does not solely depend on its effects on climate, including tipping
131 points, but would have to be assessed in a holistic risk assessment framework.

132 SRM implementation could follow many scenarios, with various background greenhouse gas
133 trajectories, SRM approaches (SAI or alternatives), deployment sites, starting and end times, and
134 intensities (~~MacMartin et al., 2022~~)([MacMartin et al., 2022](#)), potentially including a mix of more or less
135 coordinated regional approaches (~~Rieke, 2023~~).(Rieke, 2023). Unless otherwise specified, we assume a
136 “peak-shaving” scenario, i.e. background greenhouse gas trajectory that would lead to a potentially
137 large, multi-decade temperature overshoot, which is eventually brought under control by negative
138 emission technologies. Against this background, SAI is used to produce a largely homogeneous cooling
139 that limits global mean surface temperature (GMST) overshoot to a constant target, such as 1.5°C above
140 pre-industrial, resembling ~~MacMartin, Rieke and Keith (2018) and Tilmes et al. (2020)~~.([MacMartin et](#)
141 [al., 2018; Tilmes et al., 2020](#)). Unless specified, we assume the impacts of SRM are relative to the same
142 emissions pathway without SRM deployment.

143 **1.3 Solar Radiation Modification and Tipping Elements**

144 SRM might prevent earth sub-systems (tipping elements) from crossing tipping points, or it might push
145 systems over tipping points. In ecological systems, which have many drivers and many possible states,
146 it is also possible that both SRM and climate change without SRM would lead to hitting different

147 tipping points within the same tipping element. The question may then not be *whether* tipping can be
148 caused or prevented, but *which* tipping will occur under certain conditions.



151 *Figure 2. Possible ways by which SRM could counteract tipping.*

152 *1) Reducing drivers of tipping before the critical threshold (tipping point) is reached. 2) Reverting*
153 *tipping dynamics (shortly) after it is initialised, but before tipping is completed, such that the tipping*
154 *feedbacks have begun but the process is not yet complete. 3) Revert tipping after it is completed. This*
155 *may not be possible or practicable in many cases. While not depicted here, SRM may also adversely*
156 *affect some tipping points.*

157 SRM may prevent tipping in several ways (Fig. 2). First, SRM may *prevent* a tipping point from being
158 reached by reducing or counteracting drivers of tipping. This would require a timely implementation of
159 SRM, i.e. before the tipping point is reached. If SRM were terminated before other measures (e.g.

60 negative emissions) are in place to reduce drivers, SRM may only postpone tipping. Moreover, if
61 insufficient amounts of SRM were used - maintaining, for example, a constant SRM forcing rather than
62 the constant Global Mean Surface Temperature (GMST) assumed in the peak shaving scenario - SRM
63 may also only postpone tipping.

164 In the absence of direct (modelling) evidence on SRM's impact on a tipping element, a first indication
165 can be obtained by studying how SRM might affect known drivers. If the relevant drivers roughly scale
166 with ~~Global Mean Surface Temperature (GMST)~~, GMST, we expect that SRM would reduce the
167 likelihood of tipping compared to the same GHG concentration without SRM. If the key drivers are
168 precipitation, regional climate or other factors that are not directly related to global temperature, then
169 the effect of SRM might be harder to determine, particularly due to our much higher uncertainty in
170 modelling studies of the impact of SRM on these climatic variables. Some of these drivers may also
171 strongly depend on the design of the SRM scheme.

172 SRM might conceivably revert tipping if tipping dynamics has already started (process 2 in Fig. 2), but
173 not completed, or even after completion (process 3 in Fig. 2). As the complexity of the feedbacks and
174 nature of hysteresis are generally less well understood than the initial drivers, the potential for reversal
175 is often much harder to assess, especially in the absence of dedicated studies. It would be difficult in
176 practice to design SRM for reverting incipient tipping (similar to “emergency deployment” discussed in
177 Lenton (2018)), because precise prediction of the onset of tipping is impossible (~~Lenton, 2018~~), (Lenton,
178 2018). Reversal of completed tipping, even if theoretically possible, might require unfeasibly high SRM
179 intensities in case of hysteresis, and would likely play out over timescales much larger than policy
180 timescales. Therefore we will not explicitly discuss it. Our main focus is prevention of tipping drivers,
181 because more evidence is available and because it may be more practically relevant for near-term
182 decision-making. Reversal (process 2 in Fig. 2) will be discussed where appropriate.

183 This study reviews a number of key tipping elements and threshold-free feedbacks, largely following
184 those laid out in ~~Armstrong McKay et al. (2022)~~. Armstrong McKay et al. (2022). We aim to provide a
185 preliminary analysis of the interaction of SRM with a wide - but not exhaustive - range of tipping
186 elements. Each section is then structured as follows. Firstly, we assess the drivers and mechanisms of
187 the tipping process. This was done to allow us to then review the impact of SRM on these drivers to
188 give a first order indication of whether SRM could prevent - and to a lesser extent, if it could reverse -
189 tipping. Where available, we also review direct modelling evidence of the effect of SRM on the tipping
190 elements, although many of the models used don't have ~~enough~~ sufficient complexity to actually show
191 tipping dynamics in the elements, which is a limitation. Finally, we provide recommendations for future
192 research.

Tipping Element	Effect on Drivers	Reversibility	Strength of evidence base
Greenland Ice Sheet collapse (GIS) (Sect. 2.1)	DC: Atmospheric warming (+, E Eff) Precipitation (-, P O Part-Over) Overall: P-E Partial-Effective compensation (??)	Likely ineffective. While destabilisation of GrIS could be prevented, reversing previous losses is not possible on multidecadal/centennial timescales due to ice sheet inertia	Intermediate - basic theory and several model studies suggest SAI could offset drivers, limited evidence on reversibility
Antarctic Ice Sheet collapse (AIS) (Sect. 2.2)	DC: Atmospheric warming (+, P E Part-Eff) Ocean warming (+, N P No-Part) Precipitation (-, P E Part-Eff) CA: Circumpolar deep water driven melt (+, W N Worse-No) Overall: U Unknown(???)	Likely ineffective. As ocean thermal forcing is the primary driver of current mass loss, reversal would be difficult on decadal to centennial timescales due to ocean and ice sheet inertia.	Weak - the Marine Ice Cliff Instability tipping point is largely theoretical and few studies exist on SAI's impacts on Antarctica.
Mountain Glacier loss (MG) (Sect. 2.3)	DC: Atmospheric warming (+, P E Part-Eff) Precipitation (-, P O Part-Over) Overall: P-E Partial-Effective compensation (?)	Likely partially effective. Atmospheric cooling could reverse the surface elevation feedback, depending on how much surface elevation has decreased. Cooling may also increase precipitation falling as snow.	Intermediate - basic theory and several model studies suggest SAI could offset most drivers, but limited evidence on reversibility and glaciers outside mid latitude Asia.
Winter Arctic sea-ice abrupt loss	DC: near-surface atmospheric warming (+, P Part)	Likely effective with sufficient local cooling.	Intermediate – supported by several studies, including inter-

(WASI) (Sect. 2.5)	Overall: PPartial compensation (??)		modal comparisons, and theory, although no study explicitly assesses the impact of SAI on threshold behaviour.
Summer sea-ice decline, both Arctic and Antarctic (SSI) (Sect. 2.5)	DC: near-surface atmospheric warming (+, P-E Part-Eff) CA: Ocean and atm. circulation (+/-, U Unk) Overall: P-E Partial-Effective compensation (?)	Likely effective with sufficient local cooling.	Intermediate – supported by several studies, including inter-modal comparisons, and theory
Boreal permafrost thaw (BPF) (Sect. 2.6)	DC: soil warming (+, E Eff) Increased precipitation (+, E Eff), CA: increased wildfire (+, U Unk), vegetation change (+/-, U Unk) Overall: EEffective compensation (??)	Likely ineffective for abrupt thaw. Gradual thaw is likely a threshold-free feedback process without tipping dynamics.	Intermediate – supported by several studies, and basic theory for the main driver. However, various processes impacting GHG release from permafrost thaw are not captured in current ESMs.
Marine methane hydrates loss at continental shelf (MMC) (Sect. 2.7)	DC: ocean warming (at shelf depth) (+, U Unk) Overall: U Unknown(???)	N/A – methane release from hydrates is likely a threshold-free feedback process without large-scale tipping dynamics. The carbon that had been previously released would remain in the atmosphere after SRM deployment.	Weak – no studies directly assess the impact of SRM.
Atlantic Meridional Overturning Circulation	DC: Surface ocean warming (+, P-E Part-Eff), Precip - Evap increase (+, E-O Eff-	Uncertain, but possibly partially effective. Surface cooling might help restart deep convection and	Intermediate. Several modelling studies suggest SRM reduces weakening; models

collapse (AMOC) (Sect. 3.1)	<u>Over</u>), CA: Greenland ice loss (+, P-E <u>Part-Eff</u>), Sea ice loss (+?, E <u>Eff</u>) Overall: P-O<u>Partial-Over compensation</u> (??)	deepwater formation. Sea ice expansion may however impede surface heat loss	may underestimate AMOC stability.
Sub-Polar Gyre collapse (SPG) (Sect. 3.2)	DC: Surface ocean warming (+, P-E <u>Part-Eff</u>), Precip - Evap increase (+, E-O <u>Eff-Over</u>), CA: Greenland ice loss (+, P-E <u>Part-Eff</u>), Sea ice loss (+?, E <u>Eff</u>) Overall: N-E<u>No-Effective compensation</u> (???)	Uncertain, but possibly partially effective. Surface cooling might help restart deep convection. Sea ice expansion may however impede surface heat loss.	Weak. Model disagreement about whether and when SGPSPG could tip. Only one model study dedicated to SRM effect on SGPSPG .
Antarctic Bottom Water collapse (AABW) (Sect. 3.3)	CA: Antarctic ice melt (+, N-P <u>No-Part</u>). Wind changes, heat flux (?) Overall: U<u>Unknown</u> (???)	Unknown. Dependent on the effect of SRM on Antarctic ice melt.	Very weak. Poor process understanding; no dedicated studies on effect of SRM.
Marine Stratocumulus Collapse (MSC) (Sect. 4.1)	DC: GHG forcing (+, N <u>No</u>), Atmospheric warming (+, E <u>Eff</u>). Overall: P<u>Partial compensation</u> (???)	Partially effective. SRM could reverse warming and might reverse tipping point, but not for extremely high GHG forcing.	Very weak - This tipping point and SAI's effects on it are largely hypothetical.
Amazon Rainforest Dieback (AR) (Sect. 5.2)	DC: Drought (+, W-E <u>Worse-Eff</u>), Atmospheric warming (+, E <u>Eff</u>), Precipitation loss (+, W-E <u>Worse-Eff</u>), vapour pressure deficit (+, P-E <u>Part-Eff</u>), CA/NC: Fire (+, W-P <u>Worse-Part</u> ; N <u>No</u> for	Unknown, but likely ineffective. Likely heterogenous impacts, and dependent on the very uncertain impacts of SRM on the tipping microclimate.	Weak. Weak process understanding, and many relevant processes sub-grid scale so poorly captured in ESMs. It may be highly dependent on deployment scheme.

	<p>human-caused wildfires) NC: deforestation/degradation (+, NNo)</p> <p><u>Overall: N-PNo-Partial compensation (???)</u> with regional heterogeneity. In West Amazon, <u>overall W-PWorsening-Partial compensation (???)</u>, however this is less significant for regional tipping than the East Amazon.</p>		
<p>Shallow Sea Tropical Coral Reefs loss (TCR) (Sect. 5.3)</p>	<p>DC: Surface ocean warming (+, EEff), storm intensity (+, PPart), CA: ocean water acidity (+, W-NWorse-No), disease spread (+, N-UNo-Unk) NC: Fishing (+, NNo), Pollution (+, NNo)</p> <p><u>Overall: P-EPartial-Effective compensation (?)</u></p>	<p>Likely ineffective to partially effective with significant regional heterogeneity. After some mass mortality events, corals can reestablish themselves, whereas in other regions macroalgae establish themselves which SRM is unlikely to reverse.</p>	<p>Intermediate. Strong process understanding, although the relative importance of drivers still unclear. Very few modelling studies explicitly on the impact of SRM on corals. Some very limited experimental work on MCB.</p>
<p>Himalaya-to-Sunderbans system biodiversity loss (HTS) (Sect. 5.4)</p>	<p>DC: Atmospheric warming (+, P-EPart-Eff), Monsoon precipitation (+/-, UUnk) CA: glacier melt (+, PPart), sea level rise (+, PPart) NC: land-use change</p>	<p>Uncertain, likely with significant regional heterogeneity. For example, glaciers could be restored and the ecosystems reliant on them, but in other cases (e.g. where keystone species have gone extinct)</p>	<p>Weak. Despite some process understanding, very limited modelling of tipping dynamics or the relative importance of different factors, no explicit studies of the impact of SRM on the</p>

	(+, N No) Overall: PUnknown (???)	reversal may be impossible.	system as a whole.
Northern Boreal Forests dieback (NBF) (Sect. 5.5)	DC: Atmospheric warming (+, E Eff), permafrost melting (+, E thawing (+, Eff); Precipitation changes (+/-, P O Part-Over); CA: snow cover loss (+, P O Part-Over), wildfires (+, P Part) CA: Insect outbreak (+, P E Part-Eff) Overall: PPartial compensation (??)	Likely effective over century timescales. Trees that shifted northward could recolonise the tipped areas, although microclimatic effects, and precipitation effects, make this uncertain.	Weak. Despite some process understanding and some confidence of SRM's impact on the temperature controlled mechanisms, there is a lack of any modelling of the impacts of SRM on the forests, which means understanding the impacts of the other factors are very uncertain.

212 *Table 1: The Effect of SRM on Earth System Tipping Elements*

213 *Effect on Drivers means the effect of SRM on the drivers of tipping before the tipping point is reached*

214 *(Stage 1 of Fig. 2). The drivers named here are mostly the “primary drivers” listed in Lenton et al.*

215 *(2023), although “secondary drivers” have been added when appropriate. We follow Lenton et al.*

216 *(2023) in referring to Direct Climate (DC) drivers (e.g. warming), Climate-Associated (CA) drivers (eg*

217 *sea ice loss affecting AMOC), and Non-climate (CA) drivers (e.g. deforestation). Bolded drivers are*

218 *primary drivers. We indicate whether the driver impacts tipping by using + (exacerbates tipping) and -*

219 *(reduces tipping). We then use a letter code to assess the impact of SRM in a scenario with roughly*

220 *neutralised GMST, as laid out in ~~Section~~Sect. 1.3 on these drivers. ~~Overcompensate~~Overcompensation*

221 *(>125%), ~~be~~nearly Effective compensation (75 to 125%), ~~Partially compensate~~Partial compensation*

222 *(25 to 75%), ~~Not compensate~~No compensation (-25 to 25%), ~~Worsen~~Worsening (<-25%) and*

223 *Unknown (no judgement can be made). These numbers are necessarily imprecise ‘best guesses’ based*

224 *on the evidence. We then use 0-3 question marks to say how large our uncertainty is.*

225 *Reversibility means the effect of SRM on tipping once the tipping point is reached and self-perpetuating*

226 *feedbacks have set in, but before tipping is complete (Stage 2 of Fig. 2).*

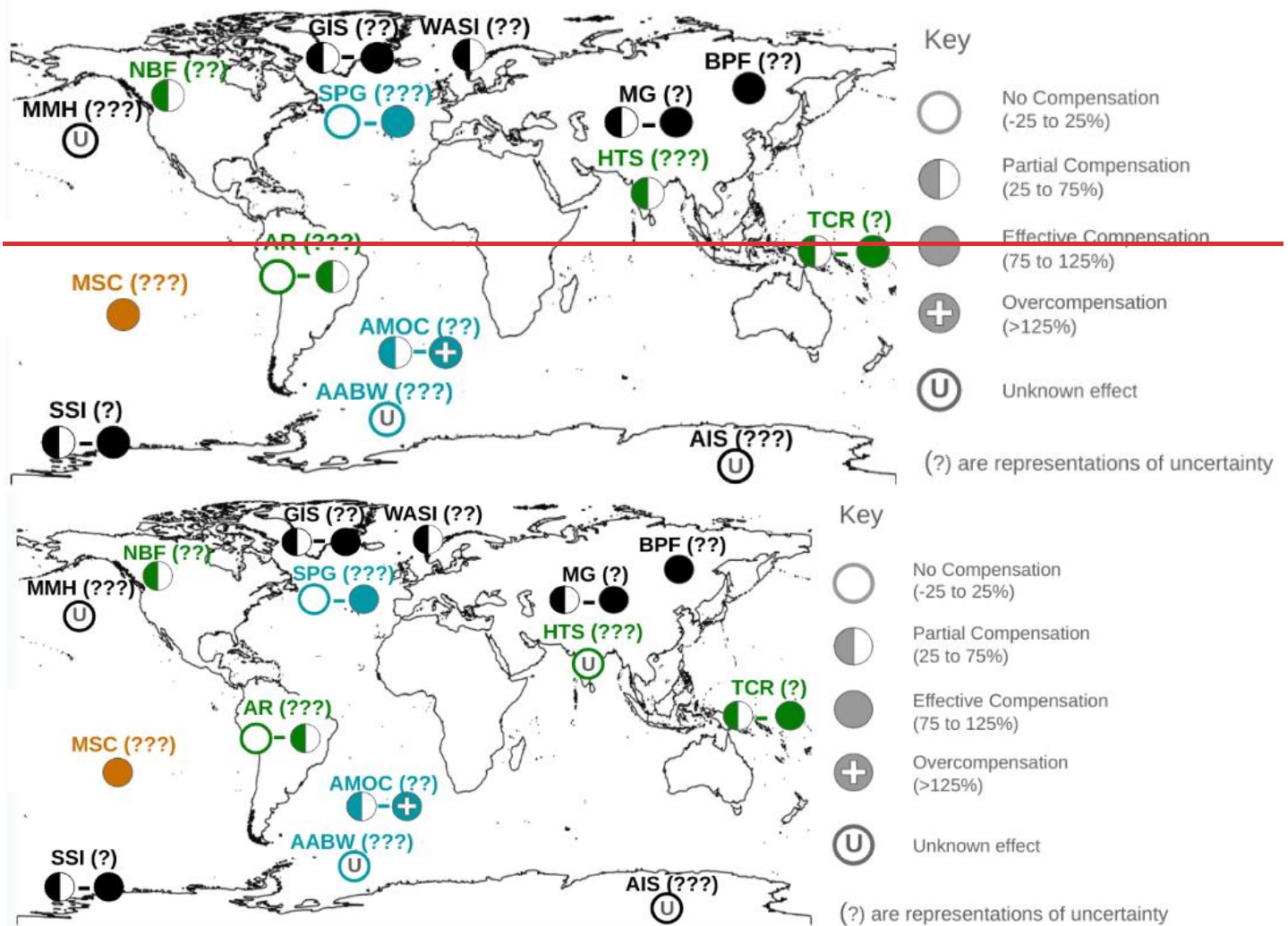


Figure 3: The Effect of SRM on Earth System Tipping Elements
 Abbreviations found in Table 1. We colour cryosphere elements black (*AIS*= Antarctic Ice Sheet, *BPF*= Boreal Permafrost Thaw, *GIS*= Greenland Ice Sheet Collapse, *MG*= Mountain Glaciers, *MMH*= Marine Methane Hydrates Loss at the continental shelf, *SSi*= Summer Sea Ice decline, *WASI*= Winter Arctic Sea Ice abrupt loss, Sect. 2), ocean elements blue (*AABW*=Antarctic Bottom Water Collapse, *AMOC*= Atlantic Meridional Overturning Circulation Collapse, *SPG*=Sub-Polar Gyre Collapse, Sect. 3), atmosphere elements brown (*Marine Stratocumulus Collapse*, Sect. 4) and biosphere elements green (*AR*=Amazon Rainforest Dieback, *HTS*=Himalaya-to-Sunderbans system biodiversity loss, *NBF*=Northern Boreal Forests dieback, *TCR*= Tropical Coral Reefs Loss, Sect. 5). The compensation and uncertainty judgements is our assessment for the overall effect on drivers from Table 1.

Out of the 15 tipping elements assessed (Table 1, Fig. 3), the available evidence suggests that SRM would probably reduce tipping drivers at least partially for 10 tipping elements. No tipping element

was found to have the overall effect of SRM on its drivers exclusively worsened ~~by SRM~~, although some tipping drivers were made worse and in some tipping elements (e.g. the Amazon), there may be regions where tipping risk worsens, even if it doesn't overall. For ~~three~~four tipping elements no judgement on the sign of SRM influence could be made due to lack of evidence. Our uncertainty was judged to be considerable to very large for 13 tipping elements. The evidence base was judged as weak or very weak for 8 of the tipping elements, and intermediate for the remaining 7; no tipping element had a strong evidence base for the impact of SRM on it. Compared to SRM's effect on drivers, its potential to reverse ongoing tipping is much harder to assess. If our (highly uncertain) findings are correct, then a well-implemented peak-shaving SRMSAI programme would reduce the probability of tipping for most tipping elements, while using SRM to reverse tipping once it started may be much more difficult and uncertain.

2 Cryosphere

2.1 Greenland Ice Sheet Collapse

Over the past few decades, mass loss from the Greenland ice sheet has accelerated (~~Shepherd et al., 2012~~), ~~its mass balance has become more negative (Otosaka et al., 2023) and surface elevation has also declined (Chen et al., 2021; Yang et al., 2022).~~ (Shepherd et al., 2012), ~~its mass balance has become more negative (Otosaka et al., 2023) and surface elevation has also declined (Chen et al., 2021; Yang et al., 2022).~~ This mass loss has been increasingly dominated by surface melt, which is expected to continue to be the major influence of Greenland sea level contribution over the next century (~~Enderlin et al., 2014; Goelzer et al., 2020~~)(Enderlin et al., 2014; Goelzer et al., 2020). The release of freshwater from melting is also expected to slow the AMOC (Sect. 3.1), affecting global heat transfer (~~Golledge et al., 2019~~)(Golledge et al., 2019).

In the future, Greenland appears committed to significant mass loss, with the IPCC projecting the *likely range* (17-83 percentile range) of sea level contributions of between 0.01-0.1m and 0.09-0.18m by 2100 for the SSP1-2.6 and SSP5-8.5 emissions scenarios, respectively (Fox-Kemper et al., 2021). For 2300, *likely* sea level contributions are more uncertain, but range from 0.11–0.25m for SSP1-2.6 and 0.31–1.74m for SSP5-8.5. Aschwanden et al. (2019) find that the surface-elevation feedback (Sect. 2.1.1) plays a role in the persistent mass loss from Greenland, even when temperatures are stabilised at 2500. This study may overestimate surface melt rates, however, due to the assumption of spatially uniform warming. There is *limited evidence* for complete mass loss from Greenland between 1.5-3°C of sustained warming, but for 3-5°C, there is *medium confidence* in near-complete loss over several thousand years (Fox-Kemper et al., 2021). It ought to be noted that, whilst the IPCC AR6 assessment

274 (Fox-Kemper et al. 2021) finds that the evidence for collapse under 3°C is limited, paleoclimatic data
275 does find evidence for past collapses in this range (Christ et al., 2021), leading to Lenton et al. (2023)
276 placing the critical threshold between 0.8-3°C of warming.

277 **2.1.1 Drivers and Feedbacks**

278 Controls on the Greenland ice sheet are strongly driven by atmospheric temperature changes, consisting
279 of the interlinked surface-elevation and melt-albedo feedbacks (~~Robinson, Calov and Ganopolski, 2012;~~
280 ~~Levermann and Winkelmann, 2016; Tedesco et al., 2016~~)(Levermann and Winkelmann, 2016;
281 Robinson et al., 2012; Tedesco et al., 2016). These feedbacks are closely linked to surface mass
282 balance.

283 Surface mass balance describes the balance of accumulation and ablation on a glacier or ice sheet's
284 surface. Accumulation comes from snowfall, while loss is a result of melting and runoff, evaporation,
285 and wind driven redistribution of snow (~~Lenaerts et al., 2019~~)(Lenaerts et al., 2019). If ablation across a
286 glacier or ice sheet outweighs accumulation, surface mass balance is negative, meaning it is losing mass
287 overall. Total mass balance also considers mass gains and losses from ice in contact with the ocean,
288 such as basal melt and calving.

289 When a glacier or ice sheet undergoes surface melting, its elevation decreases. At lower altitudes,
290 surface air temperature rises (~~Notz, 2009~~)(Notz, 2009), allowing more surface melting and a further
291 decrease in elevation (~~Lenton et al., 2008~~)(Lenton et al., 2008). At a critical threshold, this surface-
292 elevation feedback mechanism could continue unabated. Melting also exposes bare ice, old ice and
293 ground, and creates melt ponds, all of which have a lower albedo than snow. These surfaces absorb
294 more incoming solar radiation, leading to increased heating and more melt (~~Notz, 2009~~)(Notz, 2009).
295 This melt-albedo feedback can be exacerbated by the presence of debris such as black carbon and dust
296 on the ice surface, reducing albedo before melt has even occurred (~~Goelles, Bøggild and Greve, 2015;~~
297 ~~Kang et al., 2020~~)(Goelles et al., 2015; Kang et al., 2020). Both of these feedbacks could, however, be
298 partially mitigated by post-glacial rebound. Post-glacial rebound describes the gradual rise in the
299 Earth's crust following glacier retreat, when the burden of the overlying ice pushing it down has been
300 removed. This would counteract some surface lowering, though would likely not occur on useful
301 timescales to alleviate the rapid mass loss if these feedbacks were triggered (~~Aschwanden et al.,~~
302 2019)(Aschwanden et al., 2019).

303 2.1.2 The impacts of SRM

304 SRM would lower atmospheric temperatures rapidly, decreasing the amount of surface melting on the
305 Greenland ice sheet (~~Irvine, Keith and Moore, 2018~~). ~~Irvine et al. (2009)~~(Irvine et al., 2018). Irvine et
306 al. (2009) found that even partially offsetting warming (by decreasing the solar constant) in a 4 x CO₂
307 world would be enough to slow the sea level contribution from the ice sheet and prevent collapse. ~~Both~~
308 ~~Moore, Jevrejeva and Grinsted, (2010) and Irvine (2012)~~Both (Irvine, 2012; Moore et al., 2010) found
309 that Greenland collapse could even be reversed if SRM strategies managed to offset the radiative
310 forcing at a fast enough rate. In contrast, ~~Applegate and Keller (2015)~~Applegate and Keller (2015) find
311 that while SRM can reduce the rate of mass loss from Greenland, it cannot completely stop it, and
312 strong hysteresis prevents rapid regrowth when temperatures are reverted. ~~Fettweis et al. (2021)~~Fettweis
313 et al. (2021) also see reduced surface melt when reducing the solar constant from a high forcing to a
314 medium forcing scenario compared with a high emissions scenario, in part due to a weakening of the
315 melt-albedo feedback. However, this reduction is not enough to prevent negative mass balance being
316 reached by the end of the century, and therefore a possible tipping point being crossed.

317 Using an energy balance model for the whole ice sheet and an ice dynamics model for the Jakobshavn
318 Isbrae drainage basin ~~Moore et al. (2019)~~Moore et al. (2019) estimate that Greenland mass loss is
319 decreased by 15-20% under the G4 Geoengineering Model Intercomparison Project (GeoMIP) scenario,
320 which involves a 5 Tg injection of SO₂ per year from 2020 to 2070 under an RCP4.5 scenario,
321 compared with RCP4.5 alone. This is due to the reduction in surface melting and dynamic losses,
322 despite a slight strengthening of the Atlantic Meridional Overturning Circulation increasing heat
323 transfer to high latitudes under G4. ~~Moore et al. (2023)~~Moore et al. (2023) then build on this by using
324 two ice sheet models to also include the impact of ocean temperature and dynamic losses for the whole
325 ice sheet. They find that the reduction in ice dynamic losses and surface melt under G4 is strongly
326 model dependent but G4 does reduce both by an average of 35% compared with RCP4.5. Reduction is
327 not uniform due to the topographic differences in drainage basins across the ice sheet.

328 ~~Lee et al. (2023)~~Lee et al. (2023) find that SAI at 60°N is effective at reducing surface melt and runoff
329 from the ice sheet, but impacts are not localised with cooling throughout the northern hemisphere and a
330 southward shift of the Intertropical Convergence Zone. However, mirroring SAI in the southern
331 hemisphere has been shown to minimise this shift (~~Nalam, Bala and Modak, 2018; Smith et al.,~~
332 ~~2022~~)(Nalam et al., 2018; Smith et al., 2022).

333 SAI may also result in some sulphate deposition in southern and western Greenland (~~Visioni et al.,~~
334 ~~2020~~)(Visioni et al., 2020). This would lower the albedo and could enhance the melt-albedo feedback,

335 though the extent to which this would be negated by the decrease in temperatures and incoming solar
336 radiation is unknown.

337 **2.2 Antarctic Ice Sheet Collapse**

338 *Likely* sea level contributions from Antarctica by 2100 range from 0.03-0.27m under SSP1-2.6, to 0.03-
339 0.34m under SSP5-8.5 (~~Fox-Kemper et al., 2021~~)(Fox-Kemper et al., 2021). As for Greenland, there is
340 deep uncertainty in projections to 2300, but these range from -0.14 to 0.78m and -0.27 to 3.14m
341 without the inclusion of marine ice cliff instability (Sect. 2.2.1), for SSP1-2.6 and SSP5-8.5,
342 respectively. Substantial melting would inject large amounts of cold freshwater into the oceans,
343 potentially changing oceanic circulation by inhibiting Antarctic Bottom Water formation (~~Rahmstorf,~~
344 ~~2006; Q. Li et al., 2023~~), ~~a key component in global heat transfer (Bronse laer et al., 2018)~~.(Li et al.,
345 2023a; Rahmstorf, 2006), ~~a key component in global heat transfer (Bronse laer et al., 2018)~~. As for
346 Greenland, between 1.5-3°C sustained warming, there is limited evidence on the complete loss of the
347 West Antarctic Ice Sheet, but for 3-5°C, substantial or complete loss is projected for both the West
348 Antarctic Ice Sheet (*medium confidence*) and the Wilkes Subglacial Basin in East Antarctica (*low*
349 *confidence*) over several thousand years (~~Fox-Kemper et al., 2021~~), 2021). Similar to the Greenland Ice
350 Sheet, Lenton et al. (2023) places the critical thresholds lower than the IPCC, with 1-3°C for the West
351 Antarctic Ice Sheet and 2-6°C for the Wilkes Sub-Glacial Basin in East Antarctica, again partially based
352 on paleoclimatic data.

353 Mass loss from Antarctica is currently driven primarily by the ocean, which melts and thins the base of
354 ice shelves (~~IMBIE Team, 2020; Fox-Kemper et al. 2021~~)(IMBIE Team, 2020). This reduces their
355 buttressing capabilities, which can increase ice velocities and discharge into the ocean (~~Gudmundsson et~~
356 ~~al., 2019~~)(Gudmundsson et al., 2019). Current Antarctic air temperatures mean surface melting is
357 limited and not a major component of direct mass loss, but it is expected to increase the likelihood of
358 ice shelf disintegration in future (~~van Wessem et al., 2023~~)(van Wessem et al., 2023).

359 **2.2.1 Drivers and Feedbacks**

360 Both the East and West Antarctic Ice Sheet are tipping elements which could be triggered due to ice
361 sheet instabilities. The West Antarctic Ice Sheet is grounded almost completely below sea level
362 (~~Morlighem et al., 2019~~)(Morlighem et al., 2019). Many areas are situated on reverse (retrograde) bed
363 slopes, meaning that here, the bedrock in the interior is more depressed than the coasts due to the weight
364 of the overlying ice, and so it slopes downwards inland (~~Weertman, 1974~~)(Weertman, 1974).

396 This topography makes the West Antarctic Ice Sheet vulnerable to marine ice sheet instability (MISI),
397 where rapid retreat and collapse could be initialised due to a destabilising of grounding lines (the area
398 where grounded ice begins floating to become an ice shelf or calves into the ocean (~~Pattyn,~~
399 ~~2018~~)(~~Pattyn, 2018~~)). If grounding line retreat reaches the reverse slope of the bed, a tipping point can
400 be initiated as continued retreat puts the grounding line in deeper waters where the ice is thicker. As the
401 flux of ice across the grounding line is related to ice thickness, this increases ice discharge and pushes
402 the grounding line further downslope in a positive feedback that can only be reversed if buttressing
403 increases or the bed slope reverses (~~Weertman, 1974~~; ~~Gudmundsson, 2013~~; ~~Weertman, 1974~~).

404 Parts of the East Antarctic Ice Sheet are similarly grounded below sea level with reverse bed slopes and
405 so are also potentially vulnerable to MISI, such Wilkes and Aurora Basins, and Wilkes Land, with the
406 latter being the main region of mass loss in the East Antarctic Ice Sheet (~~Rignot et al., 2019~~)(~~Rignot et~~
407 ~~al., 2019~~).

408 The major driver of MISI is ocean thermal forcing, e.g. from the upwelling of Circumpolar Deep Water.
409 This water mass can be more than 4°C warmer than the freezing point and is driving basal melting in
410 the Amundsen Sea Embayment (~~Jacobs et al., 2011~~)(~~Jacobs et al., 2011~~). CDW upwelling is wind
411 driven, and may have been influenced by anthropogenic climate change, though this process is poorly
412 understood (~~Dotto et al., 2019~~; ~~Holland et al., 2019~~)(~~Dotto et al., 2019~~; ~~Holland et al., 2019~~).

413 MISI is thought to be a key driver of possible collapse above 2°C and 3°C atmospheric warming for the
414 West and East Antarctic ice sheets, respectively (~~Golledge et al., 2015~~; ~~Pattyn, 2018~~; ~~Garbe et al., 2020~~;
415 ~~Lipsecomb et al., 2021~~). The IPCC (~~Fox-Kemper et al., 2021~~)(~~Garbe et al., 2020~~; ~~Golledge et al., 2015~~;
416 ~~Lipsecomb et al., 2021~~; ~~Pattyn, 2018~~). The IPCC (~~Fox-Kemper et al., 2021~~) states that “the observed
417 evolution of the ASE glaciers is compatible with, but not unequivocally indicating an ongoing MISI”
418 (~~Fox-Kemper et al., 2021~~), ~~Fox-Kemper et al., 2021~~)(~~Fox-Kemper et al., 2021~~).

419 Another, more uncertain tipping process that could push both the East and West Antarctic Ice Sheets
420 into unstable retreat is marine ice cliff instability (MICI). The MICI theory posits that ice shelves with
421 ice cliffs taller than ~100m are theoretically unstable due to the stress of the overlying ice exceeding the
422 ice yield strength (~~Bassis and Walker, 2011~~)(~~Bassis and Walker, 2011~~). Therefore, if ice shelf
423 disintegration produces cliffs of this height, it may potentially trigger a self-sustained collapse and
424 retreat of the grounding line (~~Pollard, DeConto and Alley, 2015~~)(~~Pollard et al., 2015~~).

425 MICI has never been observed, with only indirect palaeo evidence (e.g. (~~Wise et al., 2017~~), and is a
426 ~~highly uncertain process~~ (~~Edwards et al., 2019~~)(~~Wise et al., 2017~~), and is a ~~highly uncertain process~~

459 ~~(Edwards et al., 2019)~~. Rates and duration of this self-sustained collapse are poorly known. ~~The IPCC~~
460 ~~(Fox-Kemper et al., 2021)~~~~The IPCC (Fox-Kemper et al., 2021)~~ states that there is *low confidence* in
461 simulating MICI. Models that invoke MICI processes present higher sea level rise projections than most
462 other studies (DeConto *et al.*, 2021). Under 2°C warming, ~~(DeConto et al., 2021)~~~~(DeConto et al., 2021)~~
463 project the rate of mass loss to 2100 as similar to present day, but at 3°C, this jumps by an order of
464 magnitude, increasing further for more fossil fuel intensive scenarios

465 MICI's drivers are similar to MISI, as both can be preceded by ice shelf disintegration from ocean
466 thermal forcing. Atmospheric temperatures can also influence ice shelf collapse through hydrofracture
467 ~~(Trusel et al., 2015; van Wessem et al., 2023)~~~~(Trusel et al., 2015; van Wessem et al., 2023)~~.

468 2.2.2 The impacts of SRM

469 There are few studies which focus on the impact of SRM on the East or West Antarctic Ice Sheet, but
470 there is evidence to suggest that it would cool surface air temperatures around Antarctica ~~(Visioni et al.,~~
471 ~~2021)~~~~(Visioni et al., 2021)~~, which may limit hydrofracturing. SRM may be more limited in its ability to
472 prevent Antarctic tipping points, however, as the ocean takes decades to centuries to respond to a
473 change in atmospheric forcing. ~~This is seen by Sutter et al. (2023)~~~~This is seen by (Sutter et al., 2023)~~
474 who find that committed Southern Ocean warming means that under RCP4.5, SRM would have to be
475 deployed by mid century to delay or prevent a West Antarctic Ice Sheet collapse. Under RCP8.5,
476 however, SRM cannot prevent collapse. Hysteresis experiments find that regrowth occurs much more
477 slowly than mass loss (Garbe *et al.*, 2020). ~~DeConto et al. (2021)~~~~(DeConto et al., 2021; Garbe et al.,~~
478 ~~2020)~~ show that the ocean's slow response to atmospheric thermal changes means that while
479 implementing Carbon Dioxide Removal (CDR, which may have a somewhat similar thermal effect to
480 SRM) in the first half of this century could reduce sea level rise compared to a 3°C warming scenario it
481 cannot reverse it. SRM may also be less effective at cooling the poles than the tropics as during the
482 polar night where there is limited or no solar radiation, it would have no effect ~~(McCusker, Battisti and~~
483 ~~Bitz, et al., 2012)~~.

484 ~~McCusker, Battisti and Bitz, (2015)~~~~(McCusker et al., 2015)~~ suggest that sulphate SAI induced
485 stratospheric heating would intensify and shift southern hemisphere surface winds poleward, increasing
486 CDW upwelling and therefore basal melting. This finding, however, may be injection strategy
487 dependent as injection of a different aerosol may not cause the stratospheric heating observed ~~(Keith et~~
488 ~~al., 2016)~~~~(Keith et al., 2016)~~. In addition, the poleward shift seen from tropical injection location
489 ~~(McCusker, Battisti and Bitz, 2015)~~~~(McCusker et al., 2015)~~ is not seen for a southern hemisphere
490 injection where the jet shifts equatorward ~~(Bednarz et al., 2022; Goddard et al., 2023)~~. ~~Goddard et al.~~

491 ~~(2023)~~([Bednarz et al., 2022](#)); ([Goddard et al., 2023](#)). ([Goddard et al., 2023](#)) also find that, while the
492 Antarctic response to SRM is strongly dependent on injection strategy, multi-latitude sulphate SAI
493 injection that limits global warming to 0.5°C above preindustrial could prevent possible collapse of
494 much of the Antarctic ice sheet.

495 In summary, SRM would therefore likely be effective in reducing surface melting and hydrofracturing,
496 but it would not be as effective at reducing basal melt. For sulphate SAI in particular, it is unclear how
497 the resultant stratospheric heating will affect atmosphere and ocean circulation, and therefore also CDW
498 upwelling. In addition, a reduction in atmospheric temperatures would reduce the moisture-holding
499 capabilities of the air, decreasing the amount of precipitation falling as snow on Antarctica. Mid latitude
500 SAI itself would also dampen the hydrological cycle and suppress precipitation (~~[Tilmes et al., 2013](#)~~;
501 ~~[Irvine, Keith and Moore, 2018](#)~~; ~~[Visioni et al., 2021](#)~~)([Irvine et al., 2018](#); [Tilmes et al., 2013](#); [Visioni et](#)
502 [al., 2021](#)). Therefore, if SRM's effect on reducing basal melt is limited, while simultaneously
503 decreasing snowfall accumulating on Antarctica, it is also possible that it could be more harmful to
504 Antarctica than doing nothing at all: in a warmer, non-SRM world, increasing precipitation may slightly
505 offset some mass loss (~~[Edwards et al., 2021](#)~~; ~~[Stokes et al., 2022](#)~~)([Edwards et al., 2021](#); [Stokes et al.,](#)
506 [2022](#)).

507 2.3 Mountain Glacier Loss

508 Current trends of glacier mass balance globally are negative, with glacier mass loss accounting for
509 ~40% of current observed sea level rise from 1901-2018 (~~[Zemp et al., 2019](#)~~; ~~[Rounce et al., 2023](#)~~). ~~[Zemp](#)~~
510 ~~[et al., \(2019\)](#)~~([Rounce et al., 2023](#); [Zemp et al., 2019](#)). ([Zemp et al., 2019](#)) also show that if present rates
511 of mass loss were sustained, Western Canada, the USA, central Europe and low latitude glaciers would
512 lose almost all mass by 2100. [The glaciers in high mountains of Asia are projected to lose their total](#)
513 [mass by 60-70% by the end of the century under the RCP8.5 scenario and by 30-40% even if global](#)
514 [warming is limited to 1.5°C](#) ([Kraaijenbrink et al., 2017](#)). Most glaciers are not in equilibrium with the
515 current climate and so are still responding to past temperature changes. Therefore, it is projected that
516 they will continue to experience substantial mass loss through the 21st century, regardless of which
517 emissions scenario is followed (~~[Marzeion et al., 2018, 2020](#)~~; ~~[Zekollari, Huss and Farinotti,](#)~~
518 ~~[2019](#)~~)([Marzeion et al., 2018, 2020](#); [Zekollari et al., 2019](#)). Sustained warming of 1.5-3°C is projected to
519 result in glacier mass loss of 40-60%, increasing up to 75% for 3-5°C (*low confidence*, [Fox-Kemper et](#)
520 [al., 2021](#)).

521 2.3.1 Drivers and Feedbacks

522 Mountain glaciers are, like the Greenland ice sheet, subject to the surface-elevation and melt-albedo
523 feedbacks which could lead to unabated retreat (~~Johnson and Rupper, 2020~~)(Johnson and Rupper,
524 2020), but due to their smaller size, they are more sensitive to climatic changes and respond on shorter
525 timescales. They are also affected by additional local drivers and feedbacks such as changing snow
526 patterns and slope instabilities. These local feedbacks are not discussed here as we are focused on the
527 global scale processes affecting mountain glaciers more generally.

528 ~~Rounce et al. (2023)~~(Rounce et al., 2023) see that mass loss in larger glaciated areas is linearly related
529 to global temperature, but that smaller regions are much more sensitive to warming, leading to a non-
530 linear relationship above 3°C.

531 2.3.2 The impacts of SRM

532 Each individual glacier has its own topographical and climatological conditions affecting mass balance
533 and it is unlikely that SRM would have a uniform effect. Reducing temperatures using SRM would be
534 more effective for low latitude glaciers where an increased proportion of the energy flux is shortwave
535 (~~Irvine, Keith and Moore, 2018~~)-~~Zhao et al. (2017)~~(Irvine et al., 2018). (Zhao et al., 2017) find that
536 though SRM can limit mass loss from all glaciers in high mountain Asia by 2069, retreat is still
537 observed due to their slow response times to temperature changes. Under the G3 and G4 scenarios,
538 glacier area losses in 2089 are 47% and 59% of their 2010 areas, respectively, compared with 73%
539 under RCP4.5.

540 G3 involves a gradual increase in the amount of SO₂ injected to keep global average temperature nearly
541 constant ~~under an RCP4.5 scenario (Kravitz et al., 2011)~~at (projected) 2020 levels under an RCP4.5
542 scenario (Kravitz et al., 2011).

543 ~~SRM is more effective at counteracting~~counteracts hydrological changes ~~thanto~~ different extents (both
544 on a global and, more pertinently, regional level) to how it counteracts temperature ~~changes~~change
545 (~~Ricke et al., 2023~~)(Ricke et al., 2023), so while melt may be reduced, surface mass balance could be
546 decreased overall through reduced snowfall in the accumulation zone. Idealised experiments using a
547 reduction of the solar constant to halve the warming resulting from doubled CO₂ indicate that negligible
548 amounts of the planet would see substantially reduced precipitation compared to preindustrial (~~Irvine et~~
549 ~~al., 2019~~)(Irvine et al., 2019), but precipitation changes from SRM specifically are unlikely to be
550 uniform. ~~Zhao et al. (2017)~~(Zhao et al., 2017) highlight that, for Himalayan glaciers, this precipitation

551 decrease may be much less important compared with whether the precipitation is falling as snowfall in
552 the accumulation zone or as rainfall, in which case SRM-induced cooling might prove valuable. Outside
553 of the Himalayan region, there is a lack of research on precipitation impacts.

554 **2.4 Land Ice Further Research**

555 Currently, there are large gaps in the literature and high model uncertainty with regards to how SRM
556 will affect land ice, particularly Antarctica. There is a need for multi-model ensembles forced by
557 various SRM scenarios, including aerosols other than sulphate and methods other than SAI. As
558 suggested in Irvine, Keith and Moore (2018), the inclusion of GeoMIP scenarios in the Ice Sheet
559 ~~(Nowicki *et al.*, 2016) and Glacier (Hock *et al.*, 2019)~~(Nowicki *et al.*, 2016) and Glacier (Hock *et al.*,
560 2019) Modelling Intercomparison Projects (ISMIP and GlacierMIP, respectively) would allow direct
561 comparisons with standard emission scenarios.

562 The GeoMIP SAI scenarios are fairly simplistic as they prescribe only an equatorial injection and do not
563 take into account the equator-to-pole temperature gradient. As SRM impacts the polar regions
564 differently compared with the rest of the globe, targeted SRM injection at specific latitudes could be
565 more effective, though it could yield different results depending on location. ~~For example, Bednarz *et*~~
566 ~~*al.* (2022)~~For example, (Bednarz *et al.*, 2022) find that a northern hemisphere SAI injection with
567 sulphate drives a positive southern annular mode, whereas southern hemisphere injection results in a
568 negative southern annular mode response. This area therefore requires more research. Running ice sheet
569 and glacier model ensembles forced by the Geoengineering Large Ensemble project (GLENS, ~~(Tilmes~~
570 ~~*et al.*, 2018)~~(Tilmes *et al.*, 2018)) simulations would aid further exploration of the effects of targeted
571 SAI, as these experiments inject at 30°N, 30°S, 15°N and 15°S. Seasonal SAI has also been shown to be
572 more effective for Arctic sea ice than year round injection ~~(Lee *et al.*, 2021)~~(Lee *et al.*, 2021):
573 expanding this to land ice would also be an important avenue for future research.

574 **2.5 Sea Ice**

575 Sea ice is frozen seawater, typically 10s of cm to several metres thick, and at any one time covers
576 around 7% of the earth's surface, although this coverage is decreasing at around 10% per decade
577 ~~(Fetterer, 2017)~~-(Fetterer, 2017). The annual Arctic sea-ice minimum extent has declined by 50% since
578 satellite observations began in the late 1970s ~~(Fetterer, 2017)~~-(Fetterer, 2017). The Arctic is expected to
579 be seasonally ice-free by mid-century; a majority of CMIP6 models have ice-free periods during the
580 Arctic summer by 2050 under all plausible emissions scenarios ~~(Notz and SIMIP Community,~~
581 ~~2020)~~-(Notz and SIMIP Community, 2020). CMIP6 models project a decline in Winter sea ice which is

linear in both cumulative CO₂ and warming (~~Notz and SIMIP Community, 2020~~)(Notz and SIMIP Community, 2020).

Despite substantial warming, there was a slight increasing trend in Antarctic sea ice through the observational record until around 2014 (~~Parkinson, 2019~~), ~~likely due to natural variability (Meehl et al., 2016)~~-(Parkinson, 2019), likely due to natural variability (Meehl et al., 2016). However, in recent years, a series of low sea-ice extents have occurred; Antarctic sea ice was at the lowest extent on record in 2022, only to be surpassed by a new record low in February 2023 (~~Fetterer, 2017~~)-(Fetterer, 2017). Projections of Antarctic sea ice response to climate change have lower confidence than for the Arctic, due to poorer model representation (Masson-Delmotte et al., 2021). CMIP6 models predict a decline over the 21st Century of 29-90% in summer and 15-50% in Winter, depending on the emissions scenario (~~Roach et al., 2020~~)(Roach et al., 2020).

2.5.1 Drivers and Feedbacks

On decadal time-scales, Arctic sea-ice area has declined linearly with the increase in global mean temperature over the satellite period in all months (~~Notz and Stroeve, 2018~~)-(Notz and Stroeve, 2018). Local radiative balance at the sea-ice edge may also be an important control on Arctic sea ice extent (~~Notz and Stroeve, 2016~~)(Notz and Stroeve, 2016), and large scale modes of atmospheric variability, such as the Arctic Oscillation, also contribute strongly to interannual variability (~~Stroeve et al., 2011; Mallett et al., 2021~~)-(Mallett et al., 2021; Stroeve et al., 2011). Unlike in the Arctic, almost all of the Antarctic sea ice is seasonal, disappearing each summer. Wind patterns, modulated by large scale modes of atmospheric circulation such as the Southern Annular Mode, are a key driver of Antarctic sea ice extent on inter-annual to decadal timescales (~~Masson-Delmotte (Masson-Delmotte et al., 2021) et al., 2021~~).

Sea ice under global warming is subject to the ice albedo feedback (~~Serreze et al., 2009~~)(Serreze et al., 2009), whereby the loss and thinning of sea ice reduces the surface albedo so increases the absorption of solar radiation, leading to additional warming, and further sea-ice loss. As a result, it has been posited that sea ice loss could be subject to tipping points (~~North, 1984; Merryfield et al. 2008~~)-(Merryfield et al., 2008; North, 1984). However, there are also stabilising feedbacks. Open ocean during the polar night can rapidly vent heat to the atmosphere (e.g. (~~Serreze et al., 2007~~), ~~thin ice grows faster than thick ice (Bitz and Roe, 2004)~~)(Serreze et al., 2007), thin ice grows faster than thick ice (Bitz and Roe, 2004)), and later forming ice has a thinner layer of insulating snow cover on entering the winter months and so can grow more quickly (~~Hezel et al. 2012; Notz and Stroeve, 2018~~)(Hezel et al., 2012; Notz and Stroeve, 2018).

614 These mechanisms likely prevent tipping-point behaviour from arising for summer Arctic sea ice; GCM
615 simulations find that arctic sea ice is expected to recover to an equilibrium state associated with the
616 large scale climate forcing within 1-2 years of complete removal (~~Tietsche et al., 2011~~)(Tietsche et al.,
617 2011), and the observed time-series of summer sea-ice extent has a negative 1-year lag autocorrelation,
618 that is, years with low summer sea-ice extent are typically followed by years with above average extent
619 and vice versa (~~Notz and Stroeve, 2018~~). Both satellite observations (~~Notz and Marotzke, no date; Notz~~
620 ~~and Stroeve, 2018~~) and modelling studies (~~Tietsche et al., 2011~~) concur that the stabilizing feedbacks
621 ~~outweigh the destabilizing~~(Notz and Stroeve, 2018). Both satellite observations (Notz and Marotzke,
622 2012; Notz and Stroeve, 2018) and modelling studies (Tietsche et al., 2011) concur that the stabilising
623 feedbacks outweigh the destabilising ice-albedo feedback to mean that summer sea ice loss is not self-
624 perpetuating, such that the overall sea ice-extent is expected to remain tightly coupled to the external
625 driver, i.e., temperature rise, throughout its decline (~~Stroeve and Notz, 2015~~).(Stroeve and Notz, 2015).
626 For Winter Arctic sea ice, there is a potential for abrupt areal loss at a threshold warming (~~Bathiany et~~
627 ~~al., 2016~~).(Bathiany et al., 2016). This is because once the arctic is seasonally ice free, sea ice coverage
628 drops to zero wherever the ocean is too warm to form sea ice in a given year, and if warming is spatially
629 uniform, this transition can happen rapidly over a large area at a threshold warming level (~~Bathiany et~~
630 ~~al., 2016~~).(Bathiany et al., 2016). Local positive feedback processes may also contribute to the abrupt
631 winter Arctic sea-ice loss seen in some models (~~Hankel and Tziperman, 2021~~)(Hankel and Tziperman,
632 2021).

633 2.5.2 The impacts of SRM

634 There is broad agreement across models that SRM would cool both the Arctic and Antarctic (~~Berdahl et~~
635 ~~al., 2014; Visionsi et al., 2021~~).(Berdahl et al., 2014; Visionsi et al., 2021). As expected given this
636 cooling, various models have shown a reduced loss of both Arctic (~~Jones et al., 2018; Jiang et al., 2019;~~
637 ~~Lee et al., 2020, 2021~~) and Antarctic (~~McCusker, Battisti and Bitz, 2015; Jiang et al., 2019~~) sea ice
638 ~~under SRM~~.(Jiang et al., 2019b; Jones et al., 2018; Lee et al., 2020, 2021) and Antarctic (Jiang et al.,
639 2019b; McCusker et al., 2015) sea ice under SRM. Under the GeoMIP scenarios G3 and G4, SAI delays
640 the loss of sea ice but this is not sufficient to prevent the loss of almost all September sea ice in most
641 models (~~Berdahl et al., 2014~~).(Berdahl et al., 2014). However, it is likely that this is due to insufficient
642 cooling, and that a world at the same global mean temperature without SRM would also lose all
643 September sea ice in these models (~~Duffey et al., 2023~~)(Duffey et al., 2023).

644 Under equatorial or globally uniform injection, SRM likely cools the Arctic less strongly than the global
645 mean and thus results in greater arctic amplification, and loss of Arctic sea ice at a given global mean
646 temperature (~~Ridley and Blockley, 2018~~).(Ridley and Blockley, 2018). This effect is reduced with

647 greater injection in the mid and high latitudes. For example, the Geoengineering Large Ensemble
648 simulations in CESM (~~Tilmes et al., 2018~~)(Tilmes et al., 2018), which use injection at multiple latitudes
649 to hold global temperature at its 2020 value, while also controlling the meridional temperature gradient,
650 show a 50% increase in Arctic September sea-ice extent relative to present day (~~Jiang et al.,~~
651 2019).(Jiang et al., 2019b). Similarly, several studies have modelled SAI with high latitude injection
652 and found that such strategies can effectively halt declines in Arctic sea ice under high emissions
653 scenarios (Jackson et al., 2015; (~~Lee et al., 2021, 2023~~)2015; Lee et al., 2021, 2023), potentially more
654 efficiently per unit SO₂ injection than low latitude injection strategies (~~Lee et al., 2023~~)(Lee et al.,
655 2023).

656 Winter arctic sea ice is restored less effectively than summer sea ice in modelling of SRM scenarios
657 (~~Berdahl et al., 2014; Jiang et al., 2019; Lee et al., 2021, 2023~~).(Berdahl et al., 2014; Jiang et al., 2019b;
658 Lee et al., 2021, 2023). For example, one SRM scenario sees 50% more sea-ice extent at the September
659 minimum than the control case (at the same global mean temperature without SRM), but 8% less extent
660 at the March maximum (~~Jiang et al., 2019~~).(Jiang et al., 2019b). This is linked to a general under-
661 cooling of the polar winter by SRM, and an associated suppression of the seasonal cycle at high
662 latitudes (~~Jiang et al., 2019; Duffey et al., 2023~~).(Jiang et al., 2019b; Duffey et al., 2023). However,
663 modelling of SRM shows at least partial effectiveness at increasing winter sea ice and reducing local
664 winter near-surface air temperatures relative to the same emissions pathway without SRM (~~Berdahl et~~
665 al., 2014; Jiang et al., 2019; Lee et al., 2021, 2023).(Berdahl et al., 2014; Jiang et al., 2019b; Lee et al.,
666 2021, 2023). As such, it is likely that SRM would decrease the probability of passing any potential
667 thresholds to more abrupt winter Arctic sea-ice decline.

668 The literature on Antarctic sea-ice response to SRM is more limited than for the Arctic case. The
669 modelling of volcanic eruptions suggests an asymmetric response to hemispherically symmetric aerosol
670 forcings, with Antarctic sea ice extent increasing much more weakly than Arctic under volcanic cooling
671 (~~Zanchettin et al., 2014; Pauling, Bushuk and Bitz, 2021~~).(Pauling et al., 2021; Zanchettin et al., 2014).
672 A similar result is found in the Geoengineering Large Ensemble simulations in CESM (~~Tilmes et al.,~~
673 2018; Jiang et al., 2019).(Tilmes et al., 2018, Jiang et al., 2019b). Antarctic sea ice is less well
674 preserved than Arctic sea ice under this SRM simulation, particularly in austral winter, with a 23%
675 reduction in maximum extent relative to the baseline. However, while several modelling studies show
676 only incomplete preservation of Antarctic sea ice under SRM relative to the target world, in all cases the
677 extent of sea ice is increased relative to the warmer world without SRM (~~Kravitz et al., 2013;~~
678 McCusker, Battisti and Bitz, 2015; Jiang et al., 2019)(Jiang et al., 2019b; Kravitz et al., 2013;
679 McCusker et al., 2015).

680 Sea-ice loss is expected to be reversible were temperatures to reduce ~~(Tietsche *et al.*, 2011; Ridley,~~
681 ~~Lowe and Hewitt, 2012).~~(Ridley *et al.*, 2012; Tietsche *et al.*, 2011). As such, we would expect sufficient
682 SRM cooling to be capable of restoring sea ice after the onset of ice-free conditions.

683 2.5.3 Further Research

684 There has been little study of the impact of SRM on Antarctic sea ice. Given the potential hemispheric
685 asymmetry in response to aerosol forcing discussed above, and in the context of concerns over the
686 ability of SRM to arrest Antarctic change (~~Section~~Sect. 2.2), this is an important research gap.
687 Additionally, there has been little work- ~~Ridley and Blockley (2018)~~(Ridley and Blockley, 2018) is a
688 notable exception - assessing the different impact of SRM versus avoided emissions on Arctic and
689 Antarctic climate and sea ice under SRM, at a given global mean temperature. Such assessments would
690 aid in making a fully quantitative statement on the effectiveness of SRM strategies for sea-ice
691 restoration (~~Duffey *et al.*, 2023~~)(Duffey *et al.*, 2023).

692 2.6 Permafrost

693 Permafrost is perennially frozen soil which stores around 1500 GtC in the form of organic matter,
694 roughly twice as much carbon as is found in the atmosphere (~~Meredith *et al.*, 2019~~).(Meredith *et al.*,
695 2019). As the earth warms, permafrost thaws and subsequent decomposition of thawed organic matter
696 releases CO₂ and methane, further warming the planet. As such, permafrost thaw is a positive feedback
697 on global temperature, known as the permafrost carbon feedback. The permafrost carbon feedback is
698 estimated to add-roughly 0.05 °C per °C to global temperature increase (~~Schuur *et al.*, 2015~~)(Schuur *et*
699 *al.*, 2015). The strength of the permafrost carbon feedback depends, not only on the reduction in
700 permafrost, but also on the proportion of carbon emissions released as CO₂ versus methane, and on the
701 degree of offsetting by increased plant biomass in current permafrost regions (Wang *et al.*, 2023).

702 Over the 21st century, greenhouse gas emissions from thawing permafrost are expected to be similar in
703 magnitude to those of a medium sized industrial country, with estimates from ESMs putting emissions
704 at order of magnitude 10 GtCO₂e per °C global warming by 2100 (~~Masson-Delmotte *et al.*~~
705 2021).Masson-Delmotte *et al.*, 2021). For a rapid decarbonisation scenario limiting warming to under
706 2°C by 2100, permafrost GHG emissions are expected to use up perhaps 10% of the remaining
707 emissions budget (~~MacDougall *et al.*, 2015; Comyn Platt *et al.*, 2018; Gasser *et al.*, 2018~~)(Comyn-Platt
708 *et al.*, 2018; Gasser *et al.*, 2018; MacDougall *et al.*, 2015).

709 2.6.1 Drivers and Feedbacks

710 Gradual permafrost thaw occurs due to vertical thickening of the active layer in response to warming at
711 rates of centimetres per decade (Grosse et al., 2011; Turetsky et al., 2020). However, locally, permafrost
712 is also subject to abrupt thaw, which refers to deep thaw occurring on rapid timescales of days to several
713 years due to processes such as the physical collapse of the surface caused by ice melt and the formation
714 of thermokarst lakes (~~Schuur et al., 2015; Turetsky et al., 2020~~)(Schuur et al., 2015; Turetsky et al.,
715 2020). Such abrupt thaw may increase the strength of the permafrost carbon feedback substantially
716 relative to that modelled in ESMs, which do not include these processes. For example, Turetsky et al.
717 (2020) report an increase in estimated permafrost carbon release by 40% and an increase in global
718 warming potential by 100% when abrupt thaw is taken into account in addition to gradual thaw by
719 active layer thickening.

720 Soil temperature is the fundamental control on permafrost thaw, and this in turn is principally controlled
721 by annual mean near-surface air temperature (~~Chadburn et al., 2017; Burke, Zhang and Krinner,~~
722 2020)(Burke et al., 2020; Chadburn et al., 2017). Earth system models predict an approximately linear
723 decline in permafrost area with air temperature increase over the current permafrost regions (~~Slater and~~
724 Lawrence, 2013)(Slater and Lawrence, 2013). Various other factors also impact soil temperature
725 however, including vegetation cover, precipitation type and amount, and wildfire (~~Grosse et al.,~~
726 2011)(Grosse et al., 2011). For example, summer rainfall fluxes sensible heat into the soil, increasing
727 thaw (~~Douglas, Turetsky and Koven, 2020~~)(Douglas et al., 2020), and snow cover over winter insulates
728 the soil, increasing its annual mean temperature (~~Zhang, Osterkamp and Stamnes, 1997~~)(Zhang et al.,
729 1997).

730 Armstrong McKay et al. (2022) suggest with low confidence a potential threshold behaviour at $>4^{\circ}\text{C}$
731 global warming or 9°C of local warming for near-synchronous and rapid thaw of large areas of
732 permafrost, particularly Yedoma deposits (~~Strauss et al., 2017~~)(Strauss et al., 2017), driven by an
733 additional local positive feedback on thawing due to heat production from microbial metabolism. The
734 self-accelerating permafrost thaw driven by this additional feedback is driven in part by large local rates
735 of warming (~~Luke and Cox, 2011~~)(Luke and Cox, 2011). Others, however, have
736 suggested that no such global mean temperature threshold applies, with global permafrost loss being
737 quasi-linear in global warming throughout its decline (Nitzbon et al., 2024). If a global temperature
738 threshold at 4°C exists, Armstrong McKay et al. (2022) estimate that passing it might lead to a pulse of
739 one-off GHG emissions over 10-300 years equivalent to a rise in global mean temperature of $0.2-0.4^{\circ}\text{C}$.
740 This potential global tipping pointelement is in addition to the ~~widespread~~ occurrence of localised

741 abrupt thaw which ~~could occur~~ becomes more widespread at warming above approximately 1.5°C
742 (~~Armstrong McKay et al., 2022~~)(Armstrong McKay et al., 2022).

743 Considering the total land carbon feedback, rather than just the permafrost carbon feedback, the
744 increase in net primary productivity in current permafrost regions will offset at least some of the loss of
745 permafrost carbon over this century (~~Schuur et al., 2022~~)(Schuur et al., 2022). Some simulations even
746 show the permafrost regions as net carbon sinks under warming, due to warming and CO₂
747 ~~fertilization~~fertilisation increasing the productivity of vegetation (~~McGuire et al., 2018~~)(McGuire et al.,
748 2018).

749 2.6.2 The impacts of SRM

750 There is good inter-model agreement that SRM would reduce mean annual air temperature over the
751 permafrost regions (~~Berdahl et al., 2014; Vioni et al., 2021~~)(Berdahl et al., 2014; Vioni et al., 2021),
752 so we expect it to reduce permafrost thaw relative to warming scenarios without SRM. Modelling
753 studies support this expectation; only a handful of modelling studies have assessed the permafrost
754 response to SRM, but all find reduced loss of permafrost carbon with deployment of SRM (~~Jiang et al.,~~
755 ~~2019; Lee et al., 2019, 2023; Chen, Liu and Moore, 2020; Chen et al., 2023; Liu, Moore and Chen,~~
756 ~~2023~~)(Chen et al., 2020, 2023; Jiang et al., 2019b; Lee et al., 2019, 2023; Liu et al., 2023).

757 The inter-model spread in permafrost projections is large and can be larger than the difference between
758 SRM and non-SRM scenarios (~~Chen, Liu and Moore, 2020~~)(Chen et al., 2020), so multi-model
759 assessments are desirable. Three studies have assessed the permafrost response to SRM in a multi-
760 model context using the GeoMIP simulations (~~Chen, Liu and Moore, 2020; Chen et al., 2023; Liu,~~
761 ~~Moore and Chen, 2023~~)(Chen et al., 2020, 2023; Liu et al., 2023). These studies show that SRM avoids
762 a large fraction of the permafrost loss projected under warming scenarios without SRM. For example,
763 using equatorial SAI to bring global temperatures in line with a medium emissions scenario (SSP2-4.5)
764 under a high emissions scenario (SSP5-8.5) is modelled to mitigate most (>80%) of the extra permafrost
765 carbon loss associated with the high emissions scenario (~~Chen et al., 2023~~)(Chen et al., 2023).

766 However, global SRM strategies typically under-restore permafrost ~~somewhat less effectively~~
767 ~~than~~relative to their impact on global mean temperature, because they see residual warming in the
768 permafrost regions (~~Chen, Liu and Moore, 2020; Chen et al., 2023~~)(Chen et al., 2020, 2023). It is likely
769 that SRM strategies targeted at restoring polar climate, by injecting more aerosols outside of the tropics,
770 could largely avoid this effect. For example, almost all the 21st century permafrost loss under the high

771 emissions scenario RCP8.5 is avoided under an SAI scenario which modifies injections to target the
772 equator to pole gradient, as well as global mean temperature ([Jiang et al., 2019](#))([Jiang et al., 2019b](#))

773 While there has been no modelling study assessing the potential for SRM to avert the widespread and
774 rapid decline envisioned under the permafrost ‘collapse’ scenario of Amstrong-McKay *et al.* (2022), the
775 fundamental driver of this tipping behaviour is surface temperature, and as such, we expect that
776 reducing local temperatures using SRM would reduce the likelihood of this scenario. However, as it is
777 driven by internal heat production, it seems unlikely that SRM could substantially help reverse tipping
778 once this ‘collapse’ scenario had begun, were the near-synchronous onset across a large part of the
779 permafrost regions, assumed by Amstrong-McKay *et al.* (2022), to take place. Similarly, while SRM
780 might reduce the onset of localised abrupt thaw processes, it would be unlikely to reverse these
781 processes once begun.

782 Emissions from thawed permafrost are irreversible on centennial timescales ([Schaefer et al., 2014](#);
783 [Schuur et al., 2022](#))([Schaefer et al., 2014](#); [Schuur et al., 2022](#)). SRM would not be able to reverse the
784 increased atmospheric GHG concentrations once permafrost thawing had occurred.

785 **2.6.3 Further Research**

786 The permafrost response in ESMs does not include the feedback processes leading to abrupt thaw and
787 local tipping behaviour ([Turetsky et al., 2020](#))([Turetsky et al., 2020](#)), so the quantitative assessments
788 above principally apply to the gradual thaw component; further development of ESMs to include such
789 processes would allow more robust quantitative assessment of the impact of SRM ([Lee et al., 2023](#))([Lee
790 et al., 2023](#)). Additionally, the broader study of the high latitude land carbon feedback under SRM
791 would benefit from the attention of scientists from a range of backgrounds, including soil science and
792 ecology, to quantify the impact of simultaneous changes in temperature, hydrology and CO₂
793 concentration expected under SRM.

794 Greater understanding is also required of the degree and cause of under-cooling of Northern
795 Hemisphere high latitudes under SRM, and the dependence of such under-cooling on the injection
796 strategy. This would facilitate quantification of the expected permafrost carbon feedback under different
797 SRM strategies.

798 2.7 Marine Methane Hydrates Release

799 Marine methane hydrates are methane trapped in water ice in sea floor sediments. These hydrates
§00 contain a large amount (1000s of GtC) of methane and are vulnerable to melt over ~~millenia~~millennia
§01 given several degrees of ocean warming, and so represent a positive climate feedback that may have
§02 contributed to past warming events on geological timescales (~~Archer, Buffett and Brovkin,~~
§03 ~~2009~~)(Archer et al., 2009). However, globally significant methane emissions from hydrates on decadal
§04 or centennial timescales are very unlikely (Masson-Delmotte *et al.*, 2021; Schuur *et al.*, 2022). There is
§05 no expected threshold warming level associated with methane hydrates as a whole and thus they are
§06 typically considered a threshold-free feedback rather than tipping element (Armstrong McKay *et al.*,
§07 2022) and at moderate warming levels (e.g. 2°C) they likely exert a negligible impact on surface
§08 temperature (Wang *et al.*, 2023).

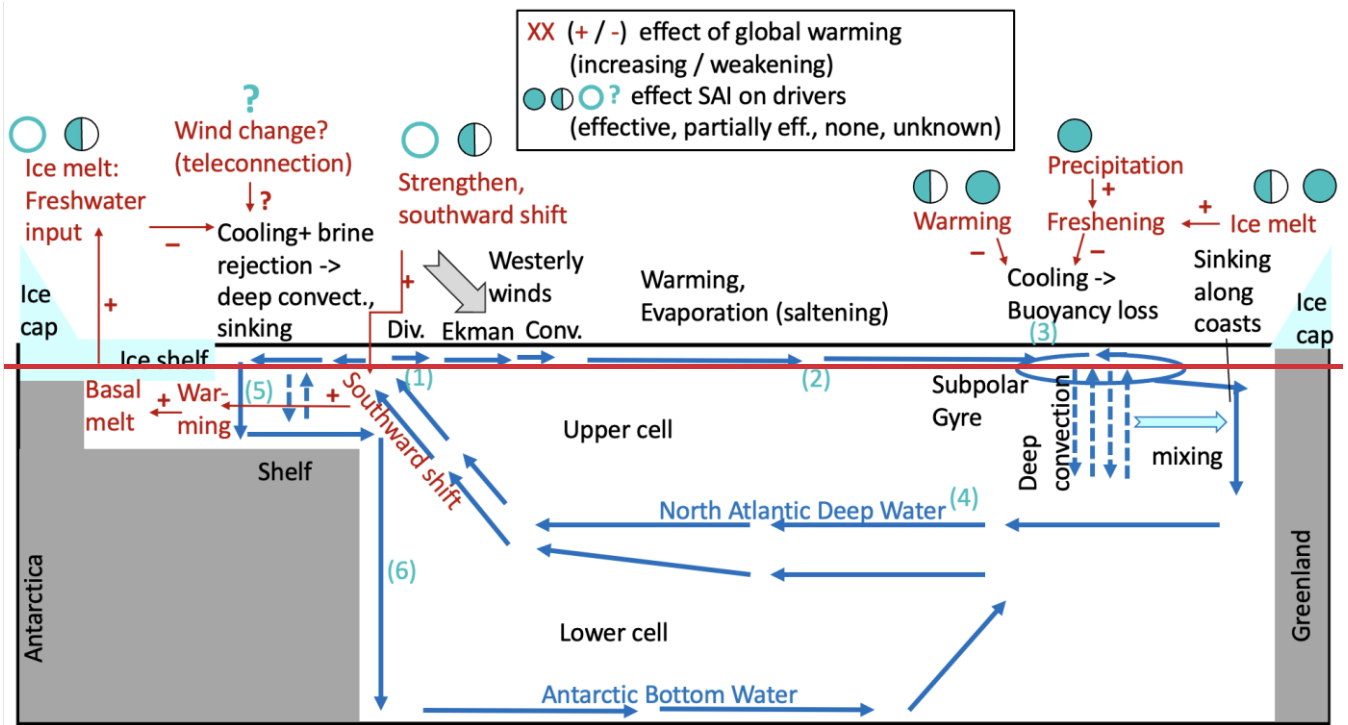
809 2.7.1 The impacts of SRM

810 There is no literature which we are aware of which evaluates the impact of SRM on methane hydrates.
811 The reduction in surface temperature under SRM, if maintained over the multi-centennial timescale of
812 deep-ocean heat uptake, might be expected to reduce ocean-floor temperatures and thus the rate of melt.
813 However in the curve-flattening scenarios without SRM (i.e. an overshoot scenario), the overshoot may
§14 not be long enough (~~MacMartin et al., 2018~~)(MacMartin et al., 2018) for its impacts to be felt by the
§15 methane hydrates in the deep ocean (~~Ruppel and Kessler, 2016~~)(Ruppel and Kessler, 2017), meaning
816 SRM may have little benefit over such scenarios. Moreover, there is no consensus yet amongst models
§17 on the large-scale ocean circulation response to SRM (~~Fasullo and Richter, 2023~~)(Fasullo and Richter,
§18 2023).

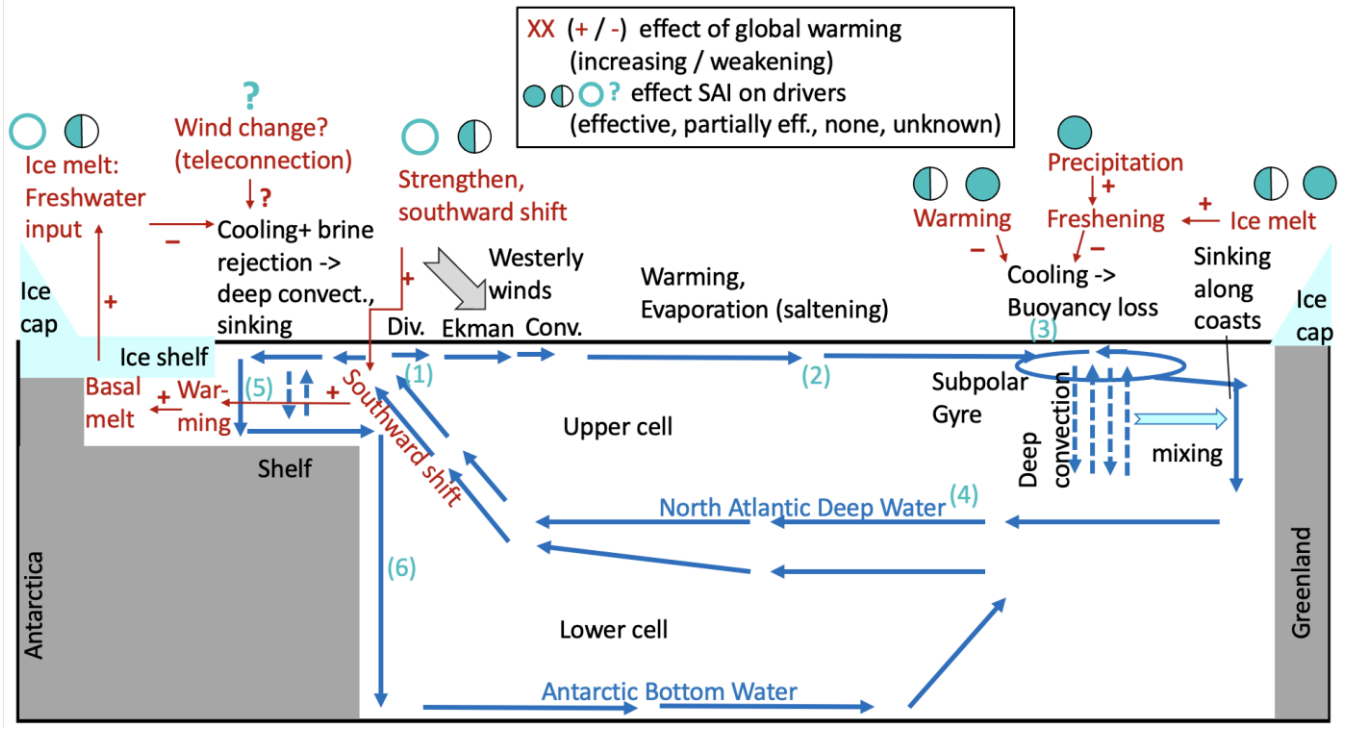
819 3. Oceans

820 This section treats three possible tipping elements, all part of the Atlantic and Southern Ocean
821 circulation (see Fig. 4): The Atlantic Meridional Overturning Circulation (AMOC; Fig. 4 process 1-4),
822 deep convection in the north Atlantic Subpolar Gyre (SPG, Fig. 4 process 3), and Antarctic Bottom
823 Water formation (Fig. 4 process 5-6).

§24



§25



826 *Figure 4: Schematic of the Atlantic circulation. (1) Westerly winds around 40°S drive a northward*
827 *Ekman transport, south of which divergence enables the upwelling of North Atlantic Deep water. (2) To*
828 *the north, water moves northwards, warming and saltening through evaporation. (3) In the subpolar*
829 *gyre, water moves counterclockwise, aided by the cold core of the gyre and thermal wind effects. Winter*
830 *cooling drives deep convection, thereby cooling the water inside the gyre over great depths. Cold water*
831 *mixed into coastal currents (e.g. along Greenland) helps to drive sinking there. (4) The resulting North*
832 *Atlantic Deep Water returns to the South. (5) Very dense Antarctic Bottom Water (AABW) is formed in*
833 *sea-ice-free stretches around Antarctica, where water is exposed to cold air and salinification through*
834 *brine rejection. It sinks along the shelf edge (6) and feeds the lower circulation cell. Global warming*
835 *may warm and freshen surface water in the North Atlantic, reducing deep convection and weakening*
836 *the Atlantic Meridional Overturning Circulation and the Subpolar Gyre (3); SRM is likely partially*
837 *effective to effective. In the South, global warming can affect Antarctic meltwater input by increasing*
838 *the upwelling of warm water onto the shelf, hindering densification and hence Antarctic Bottom Water*
839 *formation (5). SRM is likely not fully effective (Section Sect. 3.3-). The effect of other drivers, e.g. wind*
840 *change, on AABW formation is uncertain.*

842 **3.1 Atlantic Meridional Overturning Circulation (AMOC) Collapse**

843 The upper branch of the Atlantic Meridional Overturning Circulation (AMOC) transports salty, warm
844 water towards the subpolar North Atlantic, where it sinks and returns to the south (fig. 5 Fig. 4). In order
845 to sink, this water must be sufficiently dense compared with the deeper water, therefore surface
846 warming or freshening inhibits sinking. North-Atlantic sinking is at least partly compensated by water
847 rising in the Southern Ocean, due to an interplay of Ekman-driven upwelling and eddy flow (Marshall
848 and Speer, 2012), (Johnson et al., 2019)(Johnson et al., 2019; Marshall and Speer, 2012).

849
850 Climate models project AMOC to weaken under global warming, but in general models do not predict
851 collapse until for SSP scenarios extending to 2100 (Weijer 2020);(Weijer et al., 2020), although some
852 models show collapse for extreme hosing (Jackson 2023, van Westen 2023)(Jackson et al., 2023; van
853 Westen and Dijkstra, 2023) or warming (Hu et al., 2013).(Hu et al., 2013). Climate models might
854 underestimate AMOC stability, and whether AMOC actually can tip (collapse) under present conditions
855 is still an open debate (see SI). Note that a prolonged quasi-stable shutdown or strong reduction in
856 AMOC strength could have severe climate impacts lasting for decades or more (fig. 4 of Loriani et al.,
857 (2023));Fig. 4 of Loriani et al., 2023), even without actual tipping.

859 **3.1.1 Drivers and Feedbacks**

§61 In the North Atlantic, global warming could cause buoyancy forcing, i.e. reduce surface water density
§62 (and hence weaken and potentially tip AMOC) through surface warming and freshening. Freshening
§63 could stem from an increase in precipitation minus evaporation, sea ice melt, or meltwater flux from
§64 Greenland melting.

§65 ~~Gregory et al. (2016)~~Gregory et al. (2016) found that for forcings derived from doubling CO2 gradually
§66 over 70 years (1pctCO2), only heat flux changes lead to significant AMOC weakening, whereas
§67 freshwater flux other than ice sheet runoff has no significant impact. ~~However, Madan et al.~~
§68 ~~(2023)~~However, Madan et al. (2023) suggests that for instantaneous CO2 quadrupling in CMIP6,
§69 freshwater forcing from sea ice melt weakens AMOC. ~~Liu, Fedorov and Sévellec (2019)~~Liu et al.
§70 ~~(2019)~~ also suggested that changes in sea ice cover may impact AMOC through changes in freshwater
§71 input (freezing, advection and melting of ice floes) and heat flux (e.g., shielding ocean water from
§72 atmospheric influences); they find that sea ice retreat eventually weakens AMOC. Using an
§73 intermediate complexity model, ~~Golledge et al. (2019)~~Golledge et al. (2019) found that future
§74 freshwater fluxes from Greenland (and Antarctica) derived from ice sheet models under RCP8.5 forcing
§75 might weaken AMOC by 3-4Sv. If AMOC can indeed tip, then icemelt would likely increase the
§76 probability. Atmospheric circulation changes, e.g. North Atlantic Oscillation (NAO), may also affect
§77 AMOC, for example by introducing heat flux anomalies ~~(Delworth and Zeng, 2016)~~(Delworth and
§78 ~~Zeng, 2016~~).

§79
§80 In the Southern Ocean, climate change might influence the position or strength of the westerly winds
§81 potentially affecting AMOC's upwelling branch. However, changes in eddy fluxes might (partly)
§82 compensate for the change in westerlies ~~(Marshall and Speer, 2012)~~(Marshall and Speer, 2012).
§83

§84 It is uncertain if tipping into an off-state can be reached with climate forcings that can ~~be reached~~occur
§85 under anthropogenic global warming. If so, buoyancy forcing, either from heat flux changes or
§86 freshwater changes, is likely the key driver, as is the case for AMOC weakening.
§87

§88 Whilst the classic view is that a gradual change in forcing would eventually tip AMOC (~~Figure~~Fig. 1a),
§89 random fluctuations in buoyancy forcing might push AMOC into the off-state even if the tipping point
§90 is not reached (“noise-induced tipping”, ~~Figure 1c, Ditlevsen and Johnsen, 2010~~.Fig. 1c; Ditlevsen and
§91 ~~Johnsen, 2010~~). In addition, it has been suggested that fast changes in the buoyancy forcing may lead to
§92 rate-induced tipping (~~Figure 1d, Lohmann and Ditlevsen, 2021~~.Fig. 1d; Lohmann and Ditlevsen, 2021).

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3.1.2 The impacts of SRM

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927

SRM is likely to reduce most drivers of AMOC weakening. Using GeoMIP (~~Kravitz et al., 2011~~)([Kravitz et al., 2011](#)) data, Xie et al., (2022) found that in the highly idealised G1 experiment, where the GMST effect of instantaneous quadrupling of CO2 is compensated by instantaneous solar dimming, the GHG effect on heat flux in North Atlantic deep convection regions is Partially to Effectively compensated (3 models), while the effect on precipitation minus evaporation is Effectively compensated to Overcompensated (6 models) and September sea ice loss is Effectively compensated (6 models). SRM is expected to Partially to Effectively prevent Greenland tipping (Sect. 2.1), which suggests it may reduce freshwater input from ice melt.

Several studies directly modelled the effect of SRM (or analogues) on AMOC weakening without separating the effect on various drivers. ~~Hassan et al. (2021)~~[Hassan et al. \(2021\)](#) showed that anthropogenic aerosols, in absence of Greenhouse forcing, increased AMOC by about 1.5Sv in the 1990s, with surface heat flux dominating over freshwater flux. ~~Xie et al. (2022)~~[Xie et al. \(2022\)](#) used simulations of various SRM methods, including SAI, solar dimming, increasing ocean albedo (a rough proxy for Marine Cloud Brightening (MCB) or for placing reflective foam on the water), and increasing cloud droplet number concentration (a simple representation of MCB), and the strength varies from a modest reduction to complete elimination of greenhouse-gas-induced warming. They found that in all cases, SRM reduces GHG-induced AMOC weakening. If global mean surface temperature change is fully compensated (experiment G1), AMOC strength is Effectively restored in the multi-model mean, with solar dimming performing slightly better and MCB slightly worse than SAI. Note that in G1 there is no period of global warming, as solar dimming starts simultaneously with CO2 increase, while in reality, AMOC changes may be locked in before SRM starts. ~~Using the CESM2-WACCM model, Tilmes et al. (2020)~~[Using the CESM2-WACCM model, Tilmes et al. \(2020\)](#) found that if SRM is used to cool RCP8.5 forcing back to 1.5 degrees from 2020, AMOC weakening is roughly halved compared to RCP8.5 forcing without SRM compared to year 2020. In a previous model version, AMOC weakening was even overcompensated by SRM, leading to AMOC strengthening (~~Fasullo et al., 2018; Tilmes et al., 2018~~)([Fasullo et al., 2018; Tilmes et al., 2018](#)). This suggests that SRM's overall effect on AMOC weakening is ~~partial~~[Partial](#) compensation to ~~overcompensation~~[Overcompensation](#). Given the similarity in drivers for AMOC weakening and tipping, we assess the effect of SRM on AMOC tipping to be ~~partial~~[Partial](#) to ~~overcompensation~~[Overcompensation](#), too.

The potential rate-dependency of AMOC tipping (~~Lohmann and Ditlevsen, 2021~~)([Lohmann and Ditlevsen, 2021](#)) may imply that strategies where SRM is used to reduce the rate of warming before

928 being phased out may reduce the risk of tipping the AMOC. However, it also implies that termination
929 shock may increase the risk of tipping compared to the same temperature rise without SRM. However,
930 rate-dependent AMOC tipping remains uncertain, so the possible effects of SRM on this mechanism
931 remain uncertain too.

932 As for noise-induced tipping, it is unclear whether SRM would affect the amplitude of buoyancy
933 forcing noise. However, SRM may help to keep AMOC further from the tipping point, which would
934 reduce the susceptibility to noise-induced tipping.

935
936 It is difficult to understand to what extent SRM could restore the AMOC once tipping has begun, as no
937 model simulations exist. An extension of sea ice cover after AMOC tipping (or weakening) may shield
938 the ocean from surface cooling (~~van Westen and Dijkstra, 2023~~)([van Westen and Dijkstra, 2023](#)),
939 rendering SRM less effective or potentially counterproductive. Even if SRM can restore AMOC, very
940 strong SRM might be required if AMOC shows hysteresis, and this forcing may have to be applied for
941 many decades, with potentially detrimental consequences. ~~Schwinger et al. (2022)~~[Schwinger et al.](#)
942 [\(2022\)](#) demonstrate this by simulating the effect of instantaneous CDR, and hence instant cooling, on a
943 weakened (i.e. not even tipped) AMOC. AMOC recovered, but during the transition period, the North
944 Atlantic region was severely overcooled, as the cooling effect of CDR already manifested itself, while
945 AMOC was still weak. ~~Pflüger et al. (2024)~~[Pflüger et al. \(2024\)](#) simulate an abrupt SAI onset in 2080
946 and find that AMOC weakening is halted, but not reverted, by 2100, leading to prolonged overcooling
947 in the North Atlantic. Attempts to restore a tipping or fully tipped AMOC might lead to even more
948 severe and extended overcooling. Conversely, potential attempts to minimise overcooling by slowly
949 ramping up SRM may conflict with requirements for preventing other tipping points.

950 **3.1.3 Further Research**

951 Ongoing efforts of the AMOC research community may help to better understand AMOC instability
952 and its susceptibility to SRM. Improving climate models may reduce biases, in particular potentially
953 excessive AMOC stability, and hopefully eventually enable us to directly simulate SRM's impact on
954 AMOC tipping. Meanwhile, qualitative insights on SRM's effect on potential AMOC tipping might be
955 gained by using simulations with extreme forcings (warming and/or freshwater) which actually tip
956 AMOC, and investigate whether SRM can postpone or revert tipping.

957
958 Another research avenue could be to chart more systematically the impact of SRM on AMOC drivers,
959 including in the South. This requires disentangling the direct effect of SRM forcing from AMOC
960 feedbacks (Hassan et al, 2021). Impacts on drivers likely depend on the SRM method (e.g. SAI or

961 alternatives) and strategy (e.g. timing, intensity and location of injection points). Note that even if
962 AMOC can not tip, SRM's impact on AMOC weakening remains an important research subject.
963

964 **3.2 North Atlantic Sub-Polar Gyre Collapse**

965 There are indications that deep convection in the subpolar gyre (SPG) in the North Atlantic may
966 collapse without full AMOC collapse, although it is uncertain whether the SPG is a tipping element (see
967 SI).
968

969 **3.2.1 Drivers and Feedbacks**

970 As is the case for AMOC, the main drivers are surface warming and processes leading to surface
971 freshening. ~~Sgubin *et al.* (2017) and Swingedouw *et al.* (2021) leaning on Born and Stocker~~
972 ~~(2014)~~ Sgubin *et al.* (2017) and Swingedouw *et al.* (2021) leaning on Born and Stocker (2014), suggest
973 the following mechanism for SPG collapse: First, the SPG gradually freshens due to enhanced
974 precipitation and runoff caused by intensified hydrological cycle under global warming; meltwater from
975 Greenland could provide additional freshening, and surface warming might further reduce surface
976 density. Once threshold stratification is reached, deep convection is strongly reduced in the (western)
977 SPG, preventing winter cooling and further reducing the density in the interior of the gyre. Less dense
978 water in the interior of SPG means weaker gyre circulation because of thermal wind effects; this in turn
979 leads to reduced salt import from tropics and hence additional freshening. SPG collapse can occur
980 without AMOC collapse, but the two may influence each other.

981 **3.2.2: The impact of SRM**

982 SRM's effect on the drivers ~~are~~is similar to the discussion in Sect. 3.1, although the relative importance
983 of these drivers may differ.
984

985 Direct simulations of SRM's effect on the SPG are extremely scarce, with Pflüger *et al.* (2024) being
986 the only study at date - to the ~~authors~~authors' knowledge - to analyse the impact of SRM on SPG
987 tipping. They show that in CESM2, the SPG collapses under an RCP8.5 scenario, but deep convection
988 is preserved in the eastern part of the SPG if SRM is used to stabilise GMST at 1.5°C above pre-
989 industrial. We conjecture that SRM might at least partially counteract SPG collapse by reducing or
990 reverting buoyancy forcing in the subpolar North Atlantic.
991

992 To our knowledge, no study has explicitly simulated SPG recovery due to SRM. Plüger et al. (2024)
993 find that, when cooling an RCP8.5 scenario down to 1.5°C from 2080 using SAI, SPG convection
994 remains in the collapsed state at least for several decades.

995 **3.2.3: Further Research**

996 Some possible research avenues overlap with AMOC (sect 3.1.3), including improving process
997 understanding in the North Atlantic and quantifying SRM's impact on drivers there. ~~As opposed to~~
998 ~~AMOC weakening (Xie et al., 2022)~~As opposed to AMOC weakening (Xie et al., 2022), to our
999 knowledge SPG changes have not been systematically reviewed in GeoMIP data. As some climate
1000 models actually simulate SPG tipping, targeted experiments could be performed in these models, e.g.
1001 applying SRM some time before the tipping to test SRM's preventative potential, and after the tipping,
1002 to assess reversibility.

1003 **3.3 Antarctic Overturning Circulation and Bottom Water formation**

1004 Antarctic Bottom Water (AABW) is a very cold and moderately salty water mass that forms around
1005 Antarctica by ocean heat loss (especially in ice-free areas, where water is exposed to very cold katabatic
1006 winds from Antarctica) and brine rejection during sea ice formation. It sinks to great depth, filling the
1007 abyssal ocean and constituting the lower branch of the lower Atlantic circulation cell (Fig. 2, process 5).
1008 Process understanding is still limited, as most climate models do not resolve small-scale processes such
1009 as circulation in ice shelf cavities, and meltwater input from Antarctica is typically not included (Fox-
1010 Kemper et al., 2021). Observational and modelling evidence suggest a future weakening of AABW
1011 formation, and AABW formation collapse has been listed as a potential tipping point (~~Armstrong~~
1012 ~~McKay et al., 2022; Loriani et al., 2023~~Armstrong McKay et al., 2022; Loriani et al., 2023; see also SI).

1013 **3.3.1: Drivers and Feedbacks**

1014 A modelling study by ~~Q. Li et al. (2023)~~Li et al. (2023a) finds that the major driver of AABW
1015 formation decline is meltwater input from Antarctica, which freshens the surface water flowing towards
1016 Antarctica (point (5) in ~~Figure 5~~Fig. 4) and inhibits sinking. In contrast, another modelling study (~~Zhou~~
1017 ~~et al., 2023)~~(Zhou et al., 2023) finds that AABW formation in the Weddell sea has declined due to a
1018 decrease in southerly winds near the ice shelf edge, which push sea ice away from the shelf edge,
1019 thereby enabling surface cooling in the open water and sea ice production and hence brine rejection,
1020 both of which help increase density. The study suggests that the local wind changes are at least partly
1021 driven by natural variability over the Pacific, transferred through teleconnections. In addition, global

1022 warming is predicted to cause an intensification and southward shift of the westerlies around Antarctica
1023 (~~Goyal et al., 2021~~)(Goyal et al., 2021), leading to intensified upwelling of warm water around
1024 Antarctica. ~~Dias et al. (2021)~~Dias et al. (2021) suggest that this may reduce sea ice cover and enhance
1025 surface cooling, convection and ultimately AABW formation, although this may be overestimated in
1026 models with overly large stretches of open ocean. Note that ocean warming around Antarctica is also
1027 expected to accelerate ice loss (Sect. 2.2) and hence freshwater input, which would again reduce
1028 AABW production (Q. Li et al., 2023).

1029 **3.3.2: The impact of SRM**

1030 To our knowledge, no dedicated studies exist on the effect of SRM on AABW tipping. We conjecture
1031 that SRM's effectiveness to mitigate AABW tipping depends on its ability to counter drivers, especially
1032 melting of land and sea ice (Sects. 2.2 and 2.5). As outlined in Sect. 2.2, depending on the injection
1033 strategy, SAI may have limited effects on preventing the intensification and southward shift of the
1034 westerlies. It may thus fail to revert land ice melt, which exacerbates AABW loss, but also sea ice loss,
1035 which allows wider open stretches for convection and AABW formation (Sect. 3.3.1).
1036 SRM's influence on secondary drivers, including Antarctic wind changes through teleconnections, may
1037 modify the outcome and is hard to predict; we currently do not have modelling of the impact of SRM
1038 on these winds. Given large uncertainties and the fact that SRM may affect various drivers in ways that
1039 may counteract each other, we cannot predict the sign of the overall effect. We also have no evidence as
1040 to whether SRM could reverse AABW tipping once started.

1041 **3.2.3: Further Research**

1042 Better understanding of processes determining AABW formation, and reducing model uncertainty, is
1043 key. Given the dependence on Antarctic ice melt, as well as its relation with the AMOC, understanding
1044 the impact of SRM on both of those tipping elements is also important. Finally, understanding the
1045 impact of SRM on Antarctic winds and the teleconnections that drive them may also be important if
1046 these prove to be influential in driving long-term trends of AABW formation.

1047 **4: Atmosphere**

1048 **4.1: Marine Stratocumulus Cloud**

1049 Marine stratocumulus clouds are low-altitude clouds that form primarily in the sub-tropics, covering
1050 approximately 20% of the low-latitude ocean or 6.5% of the Earth's surface. Due to their location, high
1051 albedo and low-altitude they produce a very substantial local forcing of up to -100 Wm^{-2} (~~Klein and~~
1052 ~~Hartmann, 1993~~)(Klein and Hartmann, 1993). Recent work has shown that these clouds exhibit
1053 multiple equilibrium states and that at sufficiently high Sea-Surface Temperatures (SST) or CO_2
1054 concentrations they can transition from a cloudy to a non-cloudy state (~~Bellon and Geoffroy, 2016;~~
1055 ~~Schneider, Kaul and Pressel, 2019; Salazar and Tziperman, 2023~~)(Bellon and Geoffroy, 2016; Salazar
1056 and Tziperman, 2023; Schneider et al., 2019). The break-up of these cloud decks would be associated
1057 with substantial local and global temperature increases, with ~~Schneider, Kaul and Pressel~~
1058 ~~(2019)~~Schneider et al. (2019) finding a $10 \text{ }^\circ\text{C}$ warming within the affected domain and an enormous 8
1059 $^\circ\text{C}$ global warming in response in their highly idealised setup.

1060 **4.1.1: Drivers and Feedbacks**

1061 Unlike most types of clouds, the convection that produces marine stratocumulus clouds originates at the
1062 cloud-top and is driven by longwave radiative cooling (~~Turton and Nicholls, 1987~~)(Turton and
1063 Nicholls, 1987). If this longwave cooling is sufficiently strong, air parcels from the cloud top descend
1064 all the way to the ocean surface producing a well-mixed boundary layer that connects the cloud layer
1065 with its moisture source (~~Schneider, Kaul and Pressel, 2019~~)(Schneider et al., 2019). These cloud decks
1066 will break up if this longwave cooling weakens to such an extent that the descending air parcels can no
1067 longer reach the ocean surface (Salazar & Tziperman, 2023). This can occur if the longwave emissivity
1068 of the overlying atmospheric layer increases sufficiently, i.e., if ~~Greenhouse Gas (GHG)~~ concentrations
1069 or water vapour content rise sufficiently (~~Schneider, Kaul and Pressel, 2019~~)(Schneider et al., 2019). It
1070 can also occur if too much of the warm, dry air from the overlying inversion layer is mixed into the
1071 cloud as this would dehydrate the cloud, reducing its emissivity and hence the longwave cooling that
1072 sustains it (~~Bretherton and Wyant, 1997~~)(Bretherton and Wyant, 1997).

1073
1074 Using a cloud-resolving Large Eddy Simulation of a patch of marine stratocumulus coupled to a tropical
1075 atmospheric column model, ~~Schneider, Kaul and Pressel (2019)~~Schneider et al. (2019) found that if CO_2
1076 concentrations rose above 1200 ppm there was a sudden transition from a cloudy to a non-cloudy state
1077 and a substantial local and global warming. As the feedbacks associated with this warming make it
1078 more difficult for these clouds to form, this transition exhibited considerable hysteresis, with CO_2

1079 concentrations needing to be brought back below 300 ppm for the system to return to the cloudy state.
1080 ~~Salazar and Tziperman (2023)~~Salazar and Tziperman (2023) reproduced this hysteresis in an idealised
1081 mixed layer cloud model, finding multiple equilibria between 500 and 1750 ppm.

1082 **4.1.2: The impact of SRM**

1083 In a follow-up study, ~~Schneider, Kaul and Pressel (2020)~~Schneider et al. (2020) found that whilst
1084 reducing insolation to offset some of the warming from elevated CO₂ concentrations did not eliminate
1085 this hysteresis, the critical threshold for marine stratocumulus break-up is raised from >1200 ppm in
1086 their CO₂-only runs to >1700 ppm. The increase in global temperatures is reduced from ~8 °C to ~5 °C,
1087 though CO₂ concentrations must still be brought below 300 ppm to restore the clouds.
1088

1089 However, the reduction in insolation that they imposed in their simulations only offset roughly half of
1090 the warming from their elevated CO₂ concentrations. While simulations by the GeoMIP found that a
1091 reduction of between 1.75 and 2.5% was needed to offset each doubling of CO₂ concentrations (~~Kravitz~~
1092 ~~et al., 2013~~), ~~Schneider, Kaul and Pressel(2020)~~(Kravitz et al., 2013), Schneider et al. (2020) applied
1093 only a 3.7 Wm⁻² reduction for every doubling of CO₂ to the 471 Wm⁻² of incoming sunlight in their sub-
1094 tropical domain, i.e., a 0.8% reduction. As warming increases the latent heat flux from the surface that
1095 leads to greater cloud-top turbulence and the dehydration of the clouds, and it leads to increased water
1096 vapour in the overlying inversion layer, the residual warming in these SRM simulations substantially
1097 weakens the longwave cooling that sustains the clouds. ~~This may suggest that if Schneider, Kaul and~~
1098 ~~Pressel (2020)~~This may suggest that if Schneider et al. (2020) had reduced incoming sunlight
1099 sufficiently to eliminate the residual warming in their simulations they would have found a much higher
1100 critical CO₂ threshold in their SRM case.
1101

1102 Some support for this conclusion on the effects of this residual warming can be found in the sensitivity
1103 tests of ~~Salazar and Tziperman (2023)~~. ~~In one case (in Figure~~Salazar and Tziperman (2023). ~~In one case~~
1104 ~~(in Fig. 4, row 2 in Salazar and Tziperman (2023))~~ they eliminate the water vapour feedback from their
1105 model, breaking the association between temperature and emissivity in the inversion layer, and find that
1106 the critical CO₂ threshold for marine stratocumulus collapse is more than doubled from 1750 to >4000
1107 ppm. However, in this case they still have elevated sea surface temperatures, and so a greater latent heat
1108 flux from the surface than would be the case if SRM fully offset the warming.
1109

1110 While SRM would not address the reduction in longwave cooling caused by elevated GHG
1111 concentrations, it would be effective in lowering temperatures, reducing the water vapour feedback and

1112 the increase in turbulence caused by increased latent heat flux from a warmer ocean surface. As such
1113 SRM would substantially raise the critical CO₂ threshold for marine stratocumulus from a very high
1114 CO₂ concentration to an extremely high CO₂ concentration.

1115 **4.1.3: Further Research**

1116 To date there has been very little research into this potential tipping point, as such further research in a
1117 wider range of models is needed to determine whether it is a robust feature of marine stratocumulus
1118 decks. As the CO₂ concentrations and temperatures required to produce this tipping point may have
1119 occurred at certain points in the past, e.g., the Paleocene-Eocene Thermal Maxima (~~Schneider, Kaul and~~
1120 ~~Pressel, 2019~~)(Schneider et al., 2019), future research could address whether observations and model
1121 simulations of this period are consistent with this potential tipping point.

1122 To assess SRM's potential to address this tipping point more fully, a wider range of SRM simulations
1123 than those in ~~Schneider, Kaul and Pressel (2020) could be conducted~~. Schneider et al. (2020) could be
1124 conducted. For SAI, such simulations should include the effects not present in sun-dimming
1125 experiments, such as stratospheric heating, and should cover a range of scenarios with different levels of
1126 GHG forcing where SAI offsets all warming. Studies assessing MCB's potential to address this tipping
1127 point would also be particularly worthwhile as MCB would directly modify marine stratocumulus
1128 clouds, changing the cloud microphysics in ways which may affect the threshold for collapse.

1129 **5: Biosphere**

1130 **5.1: The Impacts of SRM on ecological systems in general**

1131 Tipping points have been extensively discussed in the ecological literature (~~Jiang, Hastings and Lai,~~
1132 ~~2019~~)(Jiang et al., 2019a), and ecological systems in the tipping literature (~~Lenton et al. 2023~~)(Lenton
1133 ~~et al., 2023~~). Ecologists refer to tipping points for complete system changes either in the dominant,
1134 foundational or keystone species, in the life forms or functional types of the plants (e.g. from trees to
1135 grasses), to large changes in the community of organisms present (e.g. diverse native species
1136 community to monocultures of an invasive species), or in the physical structure of an environment
1137 (wetland or aquatic to dry land, deep soil to eroded rock substrate). Moreover, the ecological literature
1138 refers to tipping points not only with respect to such changes at the system level (which we focus on
1139 here), but also to the point at which the extinction of an individual species becomes inevitable (~~Osmond~~
1140 ~~and Klausmeier, 2017~~)(Osmond and Klausmeier, 2017). Such changes may be driven by self-sustaining
1141 drivers and positive feedbacks, or to sudden or persistent drivers without positive feedbacks (Fig. 1).
1142

1143 The losses of biodiversity locally, regionally and globally in the last half century, accelerating in recent
1144 years, has particularly focused attention on tipping points resulting in biological losses. Ecological
1145 systems are typically driven over tipping points by a complex series of drivers - including non-climatic
1146 drivers (Lenton *et al.* 2023) - rather than single dominant drivers from local to global spatial scales, and
1147 SRM is likely to change many environmental factors affecting these systems (~~Liang *et al.*, 2022~~)(Liang
1148 *et al.*, 2022). Greater uncertainty of knowledge of climate impacts at local and regional scales can make
1149 understanding the impacts of particular climatic changes difficult, and exploitation and land-use change,
1150 amongst other anthropogenic factors, can interact to make these systems more susceptible to climate-
1151 driven tipping.

1152
1153 There has been very little research on the impacts of SRM on complex ecosystems. The clearest clues as
1154 to whether SRM can prevent ecological tipping points lie in its central role of reducing global average
1155 warming (albeit with regional uncertainties), and thus those ecological systems that suffer most from the
1156 direct impact of increased temperatures might potentially benefit from SRM-induced cooling and evade
1157 temperature-forced tipping points. However, responses such as species distributions, species
1158 interactions (e.g. pollination), and ecosystem processes such as net primary productivity may be more
1159 affected by ~~more~~ specific aspects of weather and climate that directly impact organisms. These may
1160 include reductions in precipitation or changes in seasonality of precipitation relative to temperatures,
1161 increases in peak extreme heat temperatures, which ~~is~~ generally reduced by SRM (~~Kuswanto *et al.*,~~
1162 ~~2022~~)(Kuswanto *et al.*, 2022), reductions or loss of freezing temperatures and increase in nighttime
1163 temperatures, which are reduced substantially, but not fully, by SRM (~~Zarnetske *et al.*, 2021~~)(Zarnetske
1164 *et al.*, 2021), and other factors including growing season duration, and consecutive days of extreme
1165 temperatures ~~, and seasonality of precipitation relative to temperatures~~. Some factors affected by
1166 temperature may drive ecological effects in opposite directions as well; for example cooling may
1167 suppress photosynthesis due to a drop in productivity or increase it if the suppression of heat stress is
1168 more significant (~~Zarnetske *et al.*, 2021~~)(Zarnetske *et al.*, 2021). Thus even for the factor where we best
1169 understand the climatic effects of SRM, the effects on pulling them back from, or pushing them over,
1170 tipping points, remain challenging to predict.

1171
1172 Changes to the hydrological cycle under SRM are central to plant productivity, growth, survival and
1173 reproduction. However, large uncertainties in the simulated hydrological consequences of different
1174 SRM schemes (~~Ricke *et al.*, 2023~~)(Ricke *et al.*, 2023) preclude a simple answer as to whether a SRM
1175 scheme would alleviate or exacerbate hydrological-related drivers of tipping. It will be critical to
1176 understand both observed and modelled ecological responses to changes in precipitation and

1177 atmospheric drought (e.g. vapour pressure deficit) for SRM scenarios to better anticipate changes that
1178 can drive or prevent ecological tipping.

1179
1180 SRM would also affect other factors in novel ways when compared to climate change. Whilst
1181 temperatures would be kept artificially low, CO₂ levels may remain high or rise, with profound impacts
1182 on terrestrial and marine ecosystems (~~Zarnetske et al., 2021~~)(Zarnetske et al., 2021). Diffuse to direct
1183 light ratios would be enhanced under SRM, potentially enhancing or otherwise altering photosynthesis
1184 for photosynthetic organisms (~~Xia et al., 2016~~)(Xia et al., 2016).

1185
1186 Other factors besides average global temperatures are sensitive to the exact configuration of the
1187 deployment scheme of SRM. Changes in SRM scenarios may have profoundly different impacts on
1188 ecosystems. For example, if SRM were to continue for decades and then be suddenly terminated while
1189 CO₂ continued to increase, the termination effects on ecological systems (~~Ito, 2017; Trisos et al.,~~
1190 ~~2018~~)(Ito, 2017; Trisos et al., 2018) would be so disruptive that tipping points would almost certainly be
1191 precipitated for many ecological systems, as many of these are examples of rate-dependent tipping (Fig.
1192 2). The latitude(s) of injection sites would influence many aspects of climate relevant to potential
1193 ecological tipping points, including movement of the Hadley cells and the arctic-to-tropic temperature
1194 gradient (~~Smyth, Russotto and Storelvmo, 2017; Cheng et al., 2022~~).(Cheng et al., 2022; Smyth et al.,
1195 ~~2017~~).

1196 **5.2: Tropical Forests: Amazon Rainforest Collapse**

1197 The Amazon basin is a region of many different tropical forest ecological systems and high
1198 biodiversity. It is a key Earth system component (~~Armstrong McKay et al., 2022~~), ~~regulating regional~~
1199 ~~and even global climates (Wunderling et al., 2024)~~(Armstrong McKay et al., 2022), ~~regulating regional~~
1200 ~~and even global climates (Wunderling et al., 2024)~~ by cycling enormous amounts of water vapour and
1201 latent heat between land and atmosphere, by storing around 150–200 Pg carbon above and below
1202 ground, though this is in decline (~~Brienen et al., 2015~~)(Brienen et al., 2015). As such, it is perhaps better
1203 to see the Amazon basin as a combined ecological-climatic system.

1204
1205 It is predicted that 2–6°C of global warming (relative to preindustrial), and even less when considering
1206 interactions with other human activities such as clearcutting and fires, might force a tipping point for the
1207 Amazon basin to the replacement of tropical forest with systems without trees or with fewer, scattered
1208 trees and without continuous canopies (Lenton et al. 2023). Indeed, whilst the Amazon has a series of
1209 local tipping elements within it, these can be considered to be connected by the atmospheric moisture

1210 recycling feedback, where intercepted precipitation and transpiration allows evapotranspiration from the
1211 forest to be recycled into precipitation elsewhere. This spatially connects the different local tipping
1212 points together, potentially allowing for tipping cascades through each of the local elements
1213 ~~(Wunderling, Staal, et al., 2022)~~(Wunderling et al., 2022b).

1214 **5.2.1: Drivers and Feedbacks**

1215 As is the case for most highly diverse tropical forests globally (e.g., the Dipterocarp forests of Southeast
1216 Asia, SI), the forests of the Amazon are affected by multiple interacting factors that together may
1217 precipitate tipping. The major climatic driver behind this tipping point is drought caused by decreasing
1218 precipitation and increasing evaporation in this region during the dry season under global warming,
1219 whilst annual precipitation changes seem of limited importance ~~(Wunderling, Staal, et al.,~~
1220 ~~2022)~~(Wunderling et al., 2022b). Secondary drivers related to warming include more widespread and
1221 frequent occurrence of extreme heatwaves ~~(Jiménez-Muñoz et al., 2016; Costa et al., 2022)~~(Costa et al.,
1222 ~~2022; Jiménez-Muñoz et al., 2016)~~ that cause tree and animal mortalities either directly or indirectly
1223 through increased wildfires and droughts. Feedbacks are likely to cause or accelerate such a tipping
1224 point because as global climate change induced drought kills areas of forest, the precipitation those trees
1225 had cycled back to the atmosphere disappears, furthering drought and killing more forest. Studies have
1226 found that vegetation-climate feedbacks in the Amazon could be significant in tipping. ~~For example,~~
1227 ~~(Zemp et al., 2017) illustrating~~For example, Zemp et al. (2017) illustrated a feedback loop of reduced
1228 rainfall causing an increased risk of forest dieback causing forest loss induced intensification of regional
1229 droughts that self-amplifies forest loss in the Amazon basin. ~~(Staal et al., 2020)~~Staal et al. (2020)
1230 further delineated a bistable state of forests in the southern Amazon, which are most susceptible to the
1231 drought-dieback feedback loop that would tip these forests to a savanna-like non-forested state.

1232
1233 Fire is another major driver of tipping, driven by climatic and non-climatic sources, which is raised in
1234 significance if micro-climatic inertia is important ~~(Malhi et al., 2009)~~(Malhi et al., 2009). The increase
1235 in human activity and forest fragmentation increases the proximity of much of the forest to
1236 anthropogenic ignition points, which as the forest dries is the limiting factor in fire frequency,
1237 increasing the likelihood of tipping ~~(Malhi et al., 2009)~~(Malhi et al., 2009). The impact of
1238 deforestation and degradation is the final significant driver of tipping ~~(Lenton et al., 2023)~~(Lenton et al.,
1239 ~~2023)~~, which not only causes increased vulnerability to other tipping drivers ~~(Wunderling, Staal, et al.,~~
1240 ~~2022)~~(Wunderling et al., 2022b), as well as definitionally causing localised state changes, but via
1241 cascades may itself be a key driver of changes to the combined ecological-climatic system in the
1242 Amazon basin ~~(Boers et al., 2017)~~(Boers et al., 2017).

1244 Some researchers have suggested that ecosystems capable of developing Turing patterns might have
1245 multistability with many partly vegetated states, which may enhance resilience and lower irreversibility
1246 (~~Rietkerk et al., 2021~~)([Rietkerk et al., 2021](#)); it is unknown how SRM would enhance or detract from
1247 this resilience, so these will not be discussed further.

1248
1249 Some changes in oceanic and atmospheric circulations due to climate change could also have indirect,
1250 beneficial effects on the resilience of Amazon forests. For example, the possible AMOC collapse with
1251 elevated warming (Sect. 3.1) is projected to shift the Intertropical Convergence Zone southwards
1252 (~~Orihuela-Pinto, England and Taschetto, 2022~~)([Orihuela-Pinto et al., 2022](#)) and cause increased rainfall
1253 and decreased temperature in most parts of the Amazon, which would stabilise eastern Amazonian
1254 rainforests (~~Nian et al., 2023~~)([Nian et al., 2023](#)) by mitigating the above-mentioned drought-dieback
1255 feedback loop.

1256 5.2.2: The impact of SRM

1257 ~~The paucity of~~Limited research makes predicting the effects of SRM on Amazon tipping deeply
1258 uncertain, given that it is highly dependent on a number of factors, some poorly understood, and ~~a~~
1259 ~~number~~that some of the ~~impacts that conditions created by~~ SRM ~~creates~~ are novel. In addition, large
1260 areas of the Amazon are poorly studied, and the climatic drivers are ~~consequently~~ not fully understood
1261 (~~Carvalho et al., 2023~~)([Carvalho et al., 2023](#)). We know that Amazon forests are highly dependent on
1262 regional precipitation, ~~in particular~~ and are particularly sensitive to drought. GCMs can be used to
1263 provide insight to understand the large-scale impacts of SRM, but tropical forests commonly depend not
1264 only on global circulation patterns, but also may depend on regional changes including monsoon
1265 dynamics and thus the movement of the Hadley cells, and on convection-forest interactions, which are
1266 ~~not yet~~ often accurately/inadequately captured in models (indeed, GCMs often disagree on even the sign
1267 of these regional precipitation change). Moreover, the effects ~~may be highly dependent~~ are likely to
1268 depend on the specifics of the particular SRM scenario, and different SRM approaches may have very
1269 different regional and local meteorological and ecological consequences even if they aim for similar
1270 global average temperatures (~~Fan et al., 2021~~)([Fan et al., 2021](#)). Changes in relative humidity and
1271 vapour pressure deficit are also important for forest function (~~Grossiord et al., 2020~~)([Grossiord et al.,](#)
1272 [2020](#)), with vapour pressure deficit generally decreasing under SRM and thus alleviating atmospheric
1273 aridity and stomatal stress even with reduced precipitation (~~Fan et al., 2021~~)([Fan et al., 2021](#)). Whether
1274 global warming is increasing land aridity or not is a highly debated topic (~~Berg and McColl, 2021~~)([Berg](#)
1275 [and McColl, 2021](#)) and in light of this, whether SRM would alleviate or exacerbate aridity (including
1276 Amazon drying) is likewise highly uncertain. Moreover, effects may be in different directions; for
1277 example, given SRM could stabilise the AMOC (Sect. 3.1.2), this would aid the tipping process, even

1278 when other effects may help prevent it. Because SRM would not reverse climate change but would
1279 create novel environmental conditions, predicting the consequences beyond lowered temperatures in
1280 Amazon forests is extremely difficult. For example, in contrast to same-temperature conditions obtained
1281 by CO₂ reduction, SRM would result in lower temperature but elevated CO₂ levels, and changes in
1282 direct/diffuse light ratio, with currently poorly understood vegetation responses.

1283
1284 ~~Jones et al. (2018)~~Jones et al. (2018) used models of SAI deployment to keep temperature to 1.5°C
1285 above preindustrial, and found that Amazon drying is very imperfectly compensated for by the
1286 deployment, although it is reduced relative to same-emission scenarios. The compensation is better in
1287 the East Amazon, where tipping concern under climate change is the greatest, than the West Amazon.
1288 They suggest that this is because much of the hydrology of the Amazon is controlled by changes to
1289 annual-mean photosynthetic activity and stomatal conductance, which are driven by elevated
1290 atmospheric CO₂ levels as well as temperature. These may also be impacted by the type of light,
1291 although this was not explored in the study. ~~(Simpson et al., 2019)~~Simpson et al. (2019) see
1292 precipitation reductions over the Amazon in GLENS that are equal to that of the comparative non-SAI
1293 scenario (RCP8.5), although soil moisture is greater under SRM than RCP8.5, as evapotranspiration is
1294 suppressed. This P-E reduction was also seen in Jones et al (2018). However, this analysis is limited as
1295 it looks at annual precipitation rather than droughts, with the latter a much stronger driver of Amazon
1296 tipping. ~~Touma et al. (2023)~~Touma et al. (2023) uses an SAI scheme to keep temperature close to 1.5°C
1297 above pre-industrial, and sees increases in drying and fires in the West Amazon when compared to
1298 SSP2-4.5, whilst a reduction in fires in Northeast Brazil, which includes part of the East Amazon.
1299 However, drought severity is found to increase slightly for both regions under SRM when compared to
1300 SSP2-4.5. In general, the East Amazon is the area of greatest concern for tipping behaviour under
1301 climate change ~~(Malhi et al., 2009)~~(Malhi et al., 2009), so in our overall judgement we have weighted
1302 the impact of SRM on this region higher, although the possibility of cascades through the atmospheric-
1303 moisture recycling feedback means that the drying in the West Amazon cannot be ruled out as
1304 precipitating regional tipping.

1305
1306 Whilst this may give some indication of possible regional climatic effects, the reliability of these results
1307 in such a complex system which GCMs struggle to represent is questionable so the effect SRM has on
1308 Amazon tipping remains highly uncertain. Moreover, SRM does not affect deforestation or the
1309 proximity of the rainforest to ignition sources, which are key drivers of tipping.

5.2.3: Further Research

In light of the complexity of the ecological system and regional- to micro-climatology in the Amazon, more research is needed to better represent bioclimatological (vegetation-climate interaction) processes in GCMs and their land surface models in order to constrain future projects of the impact of SRM on Amazon forest tipping. Better monitoring of and incorporating spatial data on land use change in the Amazon basin and more widely in tropical forests globally is essential for realistic predictions; increasing the number of monitoring stations and continued archiving of satellite imagery of the Amazon microclimate and forest health status is critical for enriching empirical knowledge of this unique system to support model development (~~Carvalho et al., 2023~~)([Carvalho et al., 2023](#)). Better understanding of the relationship between phylogenetic diversity and plant functional traits, and their heterogeneity across the Amazon Basin will facilitate more accurate predictions of responses to climate change and the effects of SRM in promoting or reducing incipient tipping points. The contrasting effects of SRM on hydrological aridity (precipitation and soil moisture) and atmospheric aridity (vapour pressure deficit), and their competing effects on forest health is also worth attention in assessing the overall effect of SRM on the Amazon system. Furthermore, better understanding the importance of droughts and fires in different regions to overall Amazon dieback, may allow us to constrain the effect of the differential regional impacts of SRM on the tipping element as a whole.

5.3: Shallow-Sea Tropical Coral Reefs

Corals are invertebrate animals belonging to thousands of species in the phylum Cnidaria, living in a range of marine environments. A reef is built up by the excretion of calcium carbonate from millions of coral polyps, which keep building up toward the light, leaving the coral reef structure underneath. The structure created by the corals creates a massive habitat for many other organisms. Tipping in shallow-water tropical coral reefs results in the establishment of an entirely different biotic and physical community space, often dominated by macroalgae without these hard skeletons (~~Holbrook et al., 2016~~)([Holbrook et al., 2016](#)). More recent work has highlighted the presence of multiple stable states if fish are considered alongside benthic functional groups (~~Jouffray et al., 2019~~)([Jouffray et al., 2019](#)).

5.3.1: Drivers and Mechanisms

Ocean warming is a primary driver of shallow-sea tropical coral reef tipping, normally via sustained high temperature events causing coral bleaching (Fox-Kemper *et al.*, 2021). During these events, corals will expel their symbiotic photosynthetic dinoflagellates; if they are bleached for extended periods of time, this can result in death (~~Wang et al., 2023~~)([Wang et al., 2023](#)). If the corals are then replaced by

1341 other organisms, chiefly macroalgae, then a transition to an entirely new stable state can occur (~~Schmitt~~
1342 ~~et al., 2019~~)(Schmitt et al., 2019). It sometimes may be possible for the scleractinian coral to reestablish
1343 themselves after mass mortality events. However, warming is projected to outpace the adaptive capacity
1344 of corals with recurrent bleaching events making recovery very difficult, causing transitions to a second
1345 stable state to be more likely (~~Hughes et al., 2017~~)(Hughes et al., 2017). Other interactions such as a
1346 drop in herbivory may make it easier for the macroalgae to become established, further promoting
1347 tipping (~~Holbrook et al., 2016~~)(Holbrook et al., 2016).

1348
1349 Acidification is a secondary driver of tipping. As more CO₂ dissolves in ocean water aragonite
1350 saturation levels drop, so calcification by the polyps decreases, leading corals to either reduce their
1351 skeletal growth, keep the same rate of skeletal growth but reduce skeletal density increasing
1352 susceptibility to erosion, or to keep the same skeletal density and rate of growth whilst diverting
1353 resources away from other essential functions (~~Hoegh-Guldberg et al., 2007~~)(Hoegh-Guldberg et al.,
1354 2007). Dead coral structures are also dissolved or eroded at a faster rate in more acidic water, further
1355 reducing reef functioning. Nonetheless, the relationship between increased acidification and decreased
1356 calcification is complex with studies equivocal over how strong this relationship is, as well as how
1357 important non-pH factors are in changes to calcification rate (~~Mollica et al., 2018~~)(Mollica et al.,
1358 2018). The response of coral calcification to acidification is generally linear and highly species
1359 specific, so a simple ‘coral acidification tipping point’ does not exist. Other factors, such as internal pH
1360 regulation, may have physiological tipping points, but manifest as linear decreases at an ecosystem-
1361 wide level. However, coral reefs are complex communities with non-coral species playing important
1362 roles, and whilst most acidification impacts are linear, there does seem to be some evidence of tipping
1363 on a local scale due to the indirect effects of acidification on the overall health of the community in
1364 specific habitats, particularly those with an already high pCO₂ (Cornwall et al., 2024). Nonetheless,
1365 these are unlikely to manifest as a global, near-synchronous, tipping point.

1366
1367 Other factors may also contribute to coral tipping. Storm intensity is expected to increase under
1368 warming, causing physical damage to the reef which recovery may be difficult from (~~Gardner et al.,~~
1369 ~~2005; Mudge and Bruno, 2023~~)(Gardner et al., 2005; Mudge and Bruno, 2023). Sea level rise, if it
1370 outpaces the coral’s ability to track, which may be the case due to the other factors mentioned, can
1371 promote increases in sedimentation. ~~However, (Brown et al., 2019)~~However, (Brown et al., 2019) find
1372 sea level rise promotes reef growth, likely by allowing space for the reef to grow, reducing aerial
1373 exposure and exposure to turbid waters. A variety of non-climatic or CO₂ related anthropogenic factors
1374 are also important. (~~Jouffray et al., 2019~~)Jouffray et al. (2019) identified a number of different stressors
1375 on Hawaiian coral reefs, including fishing and pollution, and finds in certain regime shifts this has been

1376 a more important driver than climatic factors. Moreover, diseases (~~Alvarez-Filip et al., 2022~~) and
1377 ~~invasive species (Pettay et al., 2015)~~(Alvarez-Filip et al., 2022) and ~~invasive species (Pettay et al.,~~
1378 ~~2015)~~, often associated with warming and global trade, also have negative impacts on the structure,
1379 functioning and stability of coral reefs such as those found in the Caribbean.

1380 5.3.2: The impact of SRM

1381 SRM would help to reduce coral reefs tipping by reducing ocean temperatures (~~Couce et al.,~~
1382 ~~2013~~)(Couce et al., 2013), thus likely reducing the frequency of bleaching events. SRM may increase
1383 acidification somewhat by decreasing pH and aragonite saturation relative to the same emissions
1384 pathway without SRM, due to cooler water having a higher CO₂ solubility (~~Couce et al., 2013~~).
1385 ~~However, Jin, Cao and Zhang (2022)~~(Couce et al., 2013). ~~However, Jin et al. (2022)~~ argues that it is
1386 more complex; temperature decreases tend to increase pH and aragonite saturation for a given pCO₂
1387 (~~Cao, Caldeira and Atul, 2009~~)(Cao et al., 2009), whilst cooler temperatures generally reduce
1388 calcification and thus lead to lower pH and aragonite saturations. Their results suggest that whilst pH is
1389 slightly increased under SRM, aragonite saturation, the key variable of interest, is negligibly affected;
1390 thus we should expect SRM to have a close to negligible impact on the acidification driver of coral
1391 tipping.

1392
1393 SRM is likely to decrease the intensity of tropical storms, although with low confidence (~~Moore et al.,~~
1394 ~~2015~~). ~~Wang, Moore and Ji (2018)~~(Moore et al., 2015). ~~Wang et al. (2018)~~ find that SRM decreases the
1395 number of tropical cyclones relative to the same emissions pathway without SRM, although it does
1396 increase in the South Pacific, and so its overall impact on coral reef tipping is unclear. The impact is
1397 also heavily scenario dependent (~~Jones et al., 2017; Wang, Moore and Ji, 2018~~)(Jones et al., 2017;
1398 ~~Wang et al., 2018~~).

1400 The impact of SRM on the incoming radiation, both by reducing the amount of direct radiation and
1401 increasing the diffuse radiation, is also likely to impact photosynthesis but any effect on tipping
1402 behaviour of photosynthetic organisms is likely to be minimal due to the cancellation effects between
1403 direct and diffuse radiation changes induced by SRM (~~Shao et al., 2020; Durand et al., 2021; Fan et al.,~~
1404 ~~2021~~)(Durand et al., 2021; Fan et al., 2021; Shao et al., 2020). These studies, however, were carried out
1405 in terrestrial environments, so the effect on phytoplanktonzooxanthellae algae may be different. Non-
1406 climatic or CO₂ related anthropogenic drivers will be unaffected by SRM.

1407
1408 ~~Couce et al. (2013)~~Couce et al. (2013) finds that suitability for reef conditions are improved under SRM
1409 when compared to same emission pathway scenarios, although worse than same temperature scenarios

1410 generated through mitigation. However, conditions in much of the Pacific improved relative to present
1411 day. ~~Zhang, Jones and James (2017)~~Zhang et al., (2017) specifically look at Caribbean coral reefs, and
1412 find that coral bleaching is significantly reduced by SRM due to its effect in allowing temperature to
1413 remain below the critical threshold for corals. Moreover, SRM is seen to reduce the frequency of
1414 Category 5 hurricanes, and whilst the recurrence time is increased, this is not enough to fully offset the
1415 impacts of climate change. Relative to the same emission pathway scenarios, both studies see SAI as
1416 reducing the likelihood of coral reef tipping, although they both report an undercompensation for the
1417 changes seen due to climate change.

1418
1419 There has also been interest in the use of MCB in combating bleaching, particularly short-term use
1420 around bleaching events (~~Tollefson, 2021~~)(Tollefson, 2021). Theoretically, such a programme ought to
1421 reduce bleaching on the corals, although full analysis of the limited field experiments carried out have
1422 not yet shown if the technology is capable of attaining the necessary cooling.

1423 **5.3.3 Further Research**

1424 Given the high level of temperature dependence of the climatic drivers, our understanding of the
1425 direction of the impact of SRM on coral reef tipping is quite strong, and so further research is here less
1426 of a priority than other tipping elements. Nonetheless, the lack of modelling studies, combined with the
1427 presence of uncertainties (such as the difference in SRM impact across regions) and co-drivers
1428 alongside temperature (such as bleaching) might indicate that up-to-date ESM studies of SRM's impact
1429 on coral reefs would be useful. Studies of how much SRM might be necessary and what deployment
1430 design is needed to keep below critical thresholds of Degree Heating Week and recurrence times, as
1431 well as the impacts on storm intensity would be useful too. We also lack the understanding whether
1432 reducing the temperature driver is sufficient to stop tipping if other drivers of tipping are severe enough.
1433 The interest in regional MCB to avoid tipping would also require further research to test if proposed
1434 schemes are feasible. Similarly, better research with how other reef restoration strategies may interact
1435 with SRM to reduce the probability of tipping, or may reduce its counterfactual impact, may also be
1436 important for the most realistic assessment.

1437 **5.4: The Himalaya-to-Sundarbans (HTS) Hydro-ecological System**

1438 ~~The HTS system~~ There is a vast region that extends from the glaciers of the Himalaya ~~to~~ through their
1439 foothills, to a riparian network of the Ganges, Brahmaputra and Meghna Rivers with their extensive
1440 river basins, ending in the enormous wetlands of the Sundarbans in the Bay of Bengal. It includes areas
1441 partially or entirely within five different nations (India, China, Nepal, Bangladesh and Bhutan) with

1442 between 400 -750 million people (depending on how one defines its boundary). This large system
1443 includes a range of glacial and contrasting ecological realms, and the different parts of this system have
1444 typically been treated separately and viewed as being independent components. Consequently it has
1445 been assumed that while there might be localised tipping in these different components (for example, in
1446 the glaciers of the Himalaya) resulting from different drivers in response to climate change (as for sea
1447 level rise for the Sundarbans), there would be no systemic response and no generalised tipping of the
1448 entire system.

1449 Here we suggest, for the first time, that the HTS hydro-ecological system is a plausible candidate as a
1450 single, integrated regional impact tipping element, according to our definition of tipping process in
1451 multi-dimensional systems (Fig. 1f), although this tipping process may appear different from the better-
1452 known and possibly simpler forcing-driven tipping processes (Fig. 1a,b) in other more familiar tipping
1453 elements as established by (Armstrong McKay et al., 2022; Lenton et al., 2008). We present this as an
1454 alternative hypothesis to that of the independent tipping of its components, and present an argument that
1455 the systemic tipping hypothesis proposed here bears more investigation. The ecological and socio-
1456 cultural importance of the HTS hydro-ecological system means that the impact of SRM on tipping in
1457 this system, regardless of the scale of said tipping, should be seriously evaluated, and we suggest that
1458 this subcontinental system, iswhile poorly understood and understudied-and is an important but, may
1459 possibly be an integrated if underappreciated component of the Earth System. The HTS hydro-
1460 ecological system is a plausible candidate as a regional impact tipping element (as established in
1461 (Lenton *et al.*, 2008) and Armstrong McKay *et al* 2022). The ecological systems

1462 The diverse ecological systems in the HTS are dependent on the interconnections between the glacial-
1463 riparian network originating from Himalayan glaciers, the monsoon, and on the interface between the
1464 marine and terrestrial environments at the deltas ~~whereof~~ of the Ganges, Brahmaputra and Meghna Rivers
1465 ~~converge~~ in the Sundarbans. The ~~melting of the montane glaciers, changes to the monsoon and sea level~~
1466 ~~rise are already pushing this complex system to unprecedented new states (Negi *et al.*, 2022), although~~
1467 ~~whether tipping in the strict sense occurs has yet to be proven. We chose the HTS system to highlight~~
1468 ~~the potential for SRM to impact more complex and multilayered ecological systems which show some~~
1469 ~~plausibility of tipping, although considerably more work is needed to confirm this hypothesis.~~

1470 The HTSas a whole includes major elements of the cryosphere, the atmosphere (particularly the
1471 ~~monsoon but also cyclonic storms), the boundary between marine and terrestrial systems, and ecological~~
1472 ~~systems from alpine tundra to temperate and tropical forests, and enormous and complex riparian~~
1473 ~~systems and wetlands. Like the many different forest types in the Amazon Basin, and the heterogeneity~~
1474 ~~within and among coral reefs and the northern coniferous forests, the HTS system is a heterogeneous~~
1475 ~~mosaic. Tipping to alternative states is already occurring and will accelerate with climate change, with~~

1476 degradation of native and endemic species diversity (Negi *et al.* 2022), changes in species distribution
1477 (Telwala *et al.*, 2013), increasing dominance of invasive pan-global species adapted to high levels of
1478 disturbance, and global decreases in cold-tolerant and cold-adapted species. These system changes will
1479 be integrated with biogeochemical changes, with implications for future climate through complex
1480 impacts on albedo, hydrological cycles, runoff, and other changes.

1481 Whether SRM would have positive or negative implications for tipping the HTS system is not well
1482 understood but we analyse the probabilities below according to what is known about these systems and
1483 the projections for SRM. The HTS system is topographically highly complex, ranging from Earth's
1484 highest mountains to sea level at the Bay of Bengal, and supports a substantial proportion of Earth's
1485 biodiversity. It includes the important biodiversity hotspots encompassing, including the eastern
1486 Himalaya/southwestern China (Sharma *et al.*, 2009), the Western Ghats, (Sharma *et al.*, 2009) and the
1487 Sundarbans. ~~It is not known what an alternative state would be should this complex and diverse system~~
1488 ~~be driven past a tipping point, but one speculation is low diversity grasslands, possibly dominated by~~
1489 ~~invasive species. Whether SRM would cool sufficiently to prevent the loss of the Himalayan glaciers is~~
1490 ~~discussed earlier (Sect. 2.3).~~

1491 ~~Higher temperatures and erosion due to increasingly intense rainstorms resulting from global climate~~
1492 ~~change could potentially tip this system from a mosaic of biodiverse alpine systems, temperate and~~
1493 ~~tropical forests, woodlands vast wetlands with many endemic species to a monotonous and depauperate~~
1494 ~~structure dominated by invasive grass and shrub species.~~

1495 ~~The Sundarbans are the largest and most diverse/biodiverse mangrove wetlands in the world, formed in~~
1496 ~~the delta of the Ganges, Brahmaputra and Meghna Rivers at the Bay of Bengal. Rising sea levels,~~
1497 ~~extensive river damming, and the failure of river water supply from the Himalaya is pushing the system~~
1498 ~~to a tipping point due to loss of land area and increasing salinity, killing the dominant mangrove tree~~
1499 ~~species (Raha *et al.*, 2012; Sievers *et al.*, 2020). Analogous to coral reefs, the mangroves form a living~~
1500 ~~physical structure that creates habitat that supports many other species and complex species~~
1501 ~~interactions. Therefore, their loss or replacement by other plant species would change the system to an~~
1502 ~~alternative system, but the consequences of this change are poorly understood (Raha *et al.*, 2012;~~
1503 ~~Sievers *et al.*, 2020). We chose to highlight the HTS system to bring attention to the potential for SRM~~
1504 ~~to impact this ecologically and socially important system. We also hope to illustrate how our approach~~
1505 ~~can allow for evidence informed hypotheses on the effects of SRM of systems where the possibility of~~
1506 ~~systemic tipping is very uncertain and under-evidenced, and to illustrate how other complex and~~
1507 ~~multidimensional ecological systems might plausibly show broad systemic tipping.~~

1508 We hypothesise that changes to water variability and availability due to climate change might be a
1509 plausible trigger of systematic tipping to multi-dimensional alternative stable states (Fig. 1f) in this
1510 potentially integrated system. This mosaic of habitats and biomes is interconnected and interdependent
1511 on the water that originates in the glaciers of the Himalaya and feeds the river systems which are
1512 essential to the living systems of the HTS. Glacial melting (Sect. 2.3) to a critical level (Kraaijenbrink et
1513 al., 2017) and subsequent decline or seasonal failure of river flow and groundwater recharge (Nie et al.,
1514 2021; Talukder et al., 2021; Whitehead et al., 2015) could act as a potential driver or trigger other
1515 drivers (Sect. 5.4.1) of tipping for the whole system, and the joint dependence on the monsoon
1516 exacerbates the likelihood of potential system-wide state changes, albeit of a highly uncertain nature
1517 and threshold. We posit that these different but connected ecological systems are not independent, and
1518 that climate change will not affect them independently but rather that state changes in subsystems may
1519 potentially be linked at the system level. As temperature change and associated glacial-hydrological
1520 changes and monsoon changes pass possible thresholds (Mall et al., 2022; Mishra et al., 2021; Swapna
1521 et al., 2017) they could possibly tip the whole system to multidimensional new states (Fig. 1f). That is,
1522 we are positing that the potential drivers are hydrological, linking the HTS via the behaviour of the
1523 monsoon and from the Himalayan glaciers feeding a network of major river systems. It is at present
1524 difficult to define a clear and specific threshold, but it seems plausible that the entire system would be
1525 affected by these hydrological changes in a linked manner. Tipping to alternative states for parts of the
1526 HTS system is already occurring and is likely to accelerate with climate change, with system alteration
1527 to different habitats or even biomes and degradation of native and endemic species diversity (Negi et al.
1528 2022), changes in species distribution (Telwala et al., 2013), increasing dominance of invasive pan-
1529 global species adapted to high levels of disturbance, and global decreases in cold-tolerant and cold-
1530 adapted species. Human responses to climate change or to SRM in this densely populated hydro-
1531 ecological system, including land use change and human migration, would have unpredictable effects
1532 on tipping.

1533 These system changes may be integrated with biogeophysical and biogeochemical changes, with
1534 implications for future climate through complex feedback mechanisms involving albedo, hydrological
1535 cycles, changes to salinity in the Bay of Bengal, soil nutrients and microbial processes, ecosystem
1536 dynamics, and other factors.

1537 It is not known what alternative states would be should this complex and hydrologically integrated
1538 system be driven by climate change past a tipping point, but one speculation is low diversity mixed
1539 shrublands and grasslands, possibly dominated by invasive species, if high variability of water
1540 availability associated with monsoon changes combine with systematic drought after glacial melting
1541 and warming-induced increased evaporative demand. Whether SRM would cool sufficiently to prevent
1542 the loss of the Himalayan glaciers is discussed earlier (Sect. 2.3).

5.4.1: Drivers and Mechanisms

There are a number of potential climate change-induced drivers of tipping in the HTS system, including melting montane glaciers, changes in mean and extreme flooding river flows, changes in the seasonality and intensity of the monsoon and behaviour of the Hadley cells ~~and the monsoon~~, sea level rise, droughts and extreme high temperatures (~~(Swapna *et al.*, 2017; Mishra, Aadhar and Mahto, 2021; Mall *et al.*, 2022). Severe and extended heat in this region in recent years, exacerbated by drying, is likely to directly affect organism survival, species abundances and lead to extinctions, pushing some natural systems over tipping points (Mishra *et al.*, 2020). (Im, Pal and Eltahir, 2017) predicted (Kraaijenbrink *et al.*, 2017; Mall *et al.*, 2022; Mishra *et al.*, 2021; Swapna *et al.*, 2017). Among these drivers, we posit that extreme heatwaves would exceed the human survivability limit (35°C wet bulb temperature) at a few locationssystemic changes~~ in the densely populated agricultural regions of the Ganges and Indus river basins and would approach the survivability limit over most of South Asia under the RCP8.5 scenario by the end of the century (i.e., about 4.5 degrees Celsius warming relative to preindustrial). water cycle and declining water availability after unsustainable glacier melt or monsoon changes could be the dominant driver that force systemic tipping in HTS. Global warming is ~~also~~ melting high elevation glaciers rapidly worldwide (Sect. 2.3) (~~Hugonnet *et al.*, 2021~~)(Hugonnet *et al.*, 2021), with accelerated ice loss observed across the Himalayas over the past 40 years (~~Maurer *et al.*, 2019~~)(Maurer *et al.*, 2019) and a likely non-linear increasing trend with greater than 3 degrees Celsius 3°C warming (~~Rounce *et al.*, 2023~~).(Rounce *et al.*, 2023). Glacial melting in the Himalaya (~~Potocki *et al.*, 2022~~)(Potocki *et al.*, 2022; Kraaijenbrink *et al.* 2017) would result in tipping in the immediate area below the glaciers, and also for the vast areas of the HTS system, including the Ganges-Brahmaputra-Meghna basin below dependent on these glaciers as a source of water. Recent studies already show that the accelerated melting of Himalayan glaciers and Tibetan Plateau snowpacks are triggering downstream hydrological changes (Nie *et al.*, 2021), and increasing agricultural risks (Qin *et al.*, 2020). Changes in the distribution, intensity and timing of tropical monsoonal rains in the HTS (~~Varikoden *et al.*, 2019~~) are also potential drivers of in tipping the ecological, agricultural, and human systems that depend on them. ~~The ecological systems of the Western Ghats are particularly vulnerable to tipping to an alternative, unknown state if there should be a failure of the monsoon. Climate change has been implicated in failure of the monsoon in parts of the HTS (Swapna *et al.*, 2017), and extreme rainfall events and severe flooding in other parts, with catastrophic change to some natural and agricultural systems.~~For example, climate change has been implicated in the weakening of Indian summer monsoon in recent decades (Mall *et al.*, 2022; Mishra *et al.*, 2021; Swapna *et al.*, 2017), which would cause catastrophic change to some natural and agricultural systems if future monsoon changes intensify. Severe and extended heat in this region in recent years, exacerbated by drying, is likely to directly affect organism survival, species abundances and lead to extinctions, pushing some natural systems over tipping points (Mishra *et al.*, 2020). Im *et al.* (2017) predicted that extreme heatwaves would exceed the human survivability limit

1579 (35°C wet-bulb temperature) at a few locations in the densely populated agricultural regions of the
1580 Ganges and Indus river basins and would approach the survivability limit over most of South Asia
1581 under the RCP8.5 scenario by the end of the century (i.e., about 4.5°C warming relative to
1582 preindustrial). Climate induced sea level rise, exacerbated by extensive river damming, is contributing
1583 to the tipping of the vast coastal mangrove systems that are an integral part of the HTS system. There
1584 also exist significant non-climate related drivers of tipping in this system, particularly deforestation
1585 (Pandit *et al.*, 2007).(Pandit *et al.*, 2007). Finally, it could be possible that a multitude of these drivers
1586 are likely to interact and reinforce each other to force ecological tipping at the system level, although
1587 further studies are needed to test this hypothesis.

1588 **5.4.2: The impact of SRM**

1589 Climate-related drivers of tipping for the complex HTS system that would be affected by SRM are
1590 ~~extreme heat, glacial melting, intense rainfall~~ and other monsoonal change, ~~and~~ rising sea levels.
1591 ~~Reduction of the extent and severity of extreme heat from the implementation of SRM can therefore~~
1592 ~~potentially prevent heat related deaths and extinctions, preventing system tipping points from~~
1593 ~~occurring, drought and extreme heat.~~ First, SRM would also partially slow the melting of Himalayan
1594 glaciers (Sect. 2.3), ~~pulling components reducing the probability of drying out in the river systems that~~
1595 ~~would drive systemic tipping~~ of the HTS system ~~back from tipping.~~ While SRM might relieve the
1596 likelihood of hitting tipping points caused by ~~extreme rainfall events and flooding~~ glacial melting,
1597 changes to the movement of the Hadley cells predicted from some SAI scenarios might result in
1598 changes in the seasonality and predictability of the monsoons, leading to drought-induced tipping
1599 ~~(Smyth, Russotto and Storelmo, 2017; Cheng *et al.*, 2022; Mishra, Aadhar and Mahto, 2021).~~ of the
1600 entire HTS system by removing the rainfall needed to sustain all of the coordinated components of the
1601 system (Cheng *et al.*, 2022; Mishra *et al.*, 2021; Smyth *et al.*, 2017). Eventual and partial reductions in
1602 sea level rise due to cooling from SRM, and restoration of riparian freshwater from restoration of
1603 glaciers, might have some restorative effects in pulling the mangrove forests ringing the Bay of Bengal
1604 back from tipping. However, the anthropogenic effects of damming and other land use changes
1605 ~~would~~ might reduce these potential reversals of tipping for this part of the HTS system, or alter their
1606 probability in an unpredictable manner. Finally, reduction of the extent and severity of extreme heat and
1607 likelihood of compound drought and heat extremes from the implementation of SRM could act directly
1608 to prevent region-wide drought-heat-related deaths and extinctions of keystone species and others,
1609 preventing catastrophic changes in ecosystems and therefore pulling back system tipping points from
1610 occurring.

1611 5.4.3: Further research

1612 Research directions to better understand the potential impact of SRM on the HTS earth system element
1613 largely overlap with progress in research on mountain cryosphere, sea level rise and extreme events.
1614 While aspects of this system have been studied, much more work on the nature of the complex
1615 integrated networks that comprise this system will be critical not only for understanding the HTS, but as
1616 a model for understanding other large systems that integrate major ~~Earth System, biological, and human~~
1617 ~~dimensions-climatic, biological, and human dimensions. Moreover, understanding if systemic tipping is~~
1618 ~~possible will require establishment of the extent to which the proposed mechanisms actually act to unify~~
1619 ~~this diverse system, and whether this integration is sufficient for synchronous tipping.~~ Ecological
1620 tipping in these regions may happen before climate-driven tipping in Himalayan glaciers, sea level, and
1621 Indian monsoons because the functions of these biodiversity hotspots depend not only on external
1622 drivers in climate and hydrology but also on their internal feedbacks and human disturbance (such as
1623 damming). These human actions could exacerbate the risks of collapsing or tipping. Therefore, the
1624 timing and thresholds of tipping in these biodiversity hotspots and how these will respond to climate
1625 change and SRM requires collaborative research between climatologists, ecologists and biologists. Far
1626 greater awareness of this overlooked but major earth system element among scientists and the general
1627 public is also critically needed.

1628 5.5: Northern Boreal Forests

1629 The northern coniferous forest, is the largest of Earth's biomes, and although low in biodiversity with
1630 many circumboreal species and genera, also is a major reservoir for carbon. Anthropogenic warming is
1631 greatest in these northern regions due to Arctic amplification (~~Serreze and Barry, 2011~~)(~~Serreze and~~
1632 ~~Barry, 2011~~), and warming nights and extended periods of extreme heat are directly and indirectly
1633 forcing major structural changes in some parts of this biome, potentially precipitating tipping points,
1634 perhaps from forests to shrublands or grassland due to biotic and abiotic disturbances (~~Seidl et al.,~~
1635 ~~2017~~)(~~Seidl et al., 2017~~) or from shrublands or grasslands to forests due to temperature-driven northern
1636 migration of boreal trees (~~Berner and Goetz, 2022~~). ~~Rao et al. (2023)~~(~~Berner and Goetz, 2022~~). ~~Rao et~~
1637 ~~al. (2023)~~ found that climate change is predicted to expose a foundational and dominant tree species
1638 across the entire region, *Larix siberica*, to temperatures that result in irreversible damage to
1639 photosynthetic tissue in the near future, leading to widespread and abrupt synchronous tree mortality.
1640 Tree mortality at this extent would be likely to cause a tipping point for the entire southern boreal forest
1641 system to a grassland-steppe system, as has been already observed in some areas (~~W. Li et al., 2023~~)(~~Li~~
1642 ~~et al., 2023b~~). They suggest that an abrupt tipping point may be reached within the next decades which
1643 would “fundamentally and irreversibly alter the ecosystem state at regional to sub-continental spatial

scales” for hundreds of km along an extensive area in the southern Eurasian boundary of the northern coniferous forests.

5.5.1: Drivers and Feedbacks

Warmer temperatures, increased evaporative demand, increased droughts, lower water availability and reduced snowpack and duration of snowpack under climate change all directly stress the coniferous forest (~~Ruiz Pérez and Vico 2020~~)(Ruiz-Pérez and Vico, 2020) and in doing so makes them more vulnerable to other stressors such as insect attack. Northern expansion of bark beetles (~~Armstrong McKay et al., 2022~~)(Singh et al., 2024; Venäläinen et al., 2020) and reduced generation times for these and other pests have killed large expanses of northern coniferous forests, and the dead and dying trees combined with warmer temperatures and drought have drastically reduced fire return intervals in many areas and greatly increased the scope and severity of fires (~~Bentz et al., 2010~~)(Bentz et al., 2010). The effects on feedbacks to climate are complex and difficult to predict. Reduced duration of snow cover reduces albedo, potentially increasing surface absorption of direct radiant energy from sunlight by the dark canopies of these trees. A tipping point leading to a shift from boreal forest to grassland/steppe might potentially increase albedo, at least during the growing season. Extensive fires and decomposition of soil carbon stores resulting from meltingthawing of permafrost would greatly decrease carbon storage and contribute to increases to atmospheric carbon and global warming (Ruiz-Pérez and Vico 2020). Thus dieback can have opposite regional (cooling by increased albedo) and global (warming by carbon release) climatic effects. These dynamics could interact in complex stochastic ways, with potential for positive feedbacks. Other climate elements that can lead to tipping in this system include meltingthawing of permafrost (Sect. 2.6).

5.5.2: The impacts of SRM

As far as the authors know, there are no specific studies on the impact of SRM on boreal forests. By cooling average temperatures, it is possible that the consequences of SRM for the driving forces that either promote (northern migration of trees) or suppress (fires and insect attacks) northern coniferous forests might all be lessened and the system pulled back from such tipping points in either direction. On the one hand, cooler temperatures are likely to slow or stop the migration of trees into tundra and preserve the original biome configuration. On the other hand, extending periods below freezing by SRM might limit the northward spread of destructive insect outbreaks, extend snow cover, and possibly reduce drought and vapour pressure deficit, enhancing the resilience of these forests and pulling them back from a tipping point. Preservation of cold temperatures and prevention of extreme heat events

could prevent widespread mortality of Larix and other foundational tree species in the boreal forest, likewise pulling it back from a tipping point from forest to steppe. By reducing the frequency and extent of boreal forest wildfires, reductions in heat could also reduce the positive feedbacks between loss of carbon stores in living trees and soil organic matter and the carbon in the atmosphere. Furthermore, given complex eco-hydrological mechanisms in boreal forest dynamics, the large uncertainty in simulated regional precipitation changes under SRM might complicate the above temperature-driven mechanisms of tipping dynamics (see more discussions on this aspect in Sects. 1.1 and 5.2).

5.5.3: Further research

Research explicitly of the impact of SRM on boreal forests is needed. The migration of northern coniferous forests to higher mountains and higher latitudes is creating new ecological systems that demand more research to understand their tipping points. Further advancement in the monitoring and/or prediction of abiotic (fires, drought, wind, snow and ice) and biotic (insects, pathogens, invasive species) disturbance agents and their interactions (Seidl et al. 2017) under global warming are key to predict future disturbance and resilience of both existing and expanding northern coniferous forests under novel climates of SRM.

6: Discussion

6.1 Conclusions

Our review suggests that for ~~109~~ 109 out of 15 tipping elements considered, spatially homogeneous peak-shaving (~~Section~~Sect. 1.2) SRM using an SAI deployment would be at least partially effective in reducing the overall effect of their drivers, while for ~~34~~ 34 we could not determine the sign of SRM's impact due to low process understanding- (Table 1, Fig. 3). AMOC was the only tipping element where we judged SRM to possibly overcompensate the effect of climate change on the drivers- (its range being partial compensation to overcompensation). For 2 of the tipping elements- (~~AMOC included~~), the Sub-Polar Gyre and Amazon Rainforest Collapse, the effect of SRM ~~was at a minimum not compensating~~provided no compensation for the effect of climate change.- For none of the tipping elements was it expected that SRM may worsen the overall effects of the drivers, although for some their drivers were worsened (Table 1, Fig. 3). Moreover, regional heterogeneities may be significant; for example, for the Western Amazon, the overall effect was ~~W-P~~Worsening-Partial compensation, but this is less significant for overall Amazon Rainforest tipping than the effect on the Eastern Amazon, hence the overall judgement of the effect on tipping was ~~N-P~~No compensation-Partial compensation.

1707 Uncertainties are considerable to very large for the vast majority of tipping elements, particularly those
1708 where the drivers were less strongly coupled to global temperature. Moreover, our analysis has largely
1709 relied on qualitative judgement based on process understanding, so these should mostly be considered
1710 as evidence-backed hypotheses needing further research. Furthermore, our ‘overall judgements’ were
1711 based on our assessment of the relative importance of different drivers, and for many tipping elements
1712 this is not fully known.
1713

1714 Although rate-dependence effects could play a role for some ecological tipping elements and potentially
1715 AMOC, for most tipping elements the level and (for slowly-evolving systems like ice caps) the duration
1716 of drivers, rather than their rate of change, determines whether the system tips. This implies that
1717 preventing tipping would require SRM to be in place until other measures, such as negative emissions,
1718 can reduce the strength of the tipping drivers - merely slowing down the rate of warming would at most
1719 postpone tipping. Absence of rate-dependence may also imply that a “termination shock” from
1720 discontinuation of SRM would not affect tipping probability for most tipping elements.
1721

1722 Deliberately using SRM to reverse self-sustained tipping dynamics, once started, may be more difficult
1723 than reducing drivers preventatively, for several reasons. First, it may require stronger forcing, which
1724 may not be physically possible for many tipping elements (Table 1), or reversal may still exhibit
1725 considerable hysteresis. Second, process understanding is weaker than for drivers, making it harder to
1726 judge the correct dose, or timing, of the intervention; in particular, reliable early-warning-signals may
1727 not be available for most tipping points. Whilst it may be possible for some tipping elements to be
1728 ‘pulled back from the brink’ by ‘emergency deployment’ of SRM soon after tipping has begun, this
1729 strategy appears risky and ill-advised. Thus, we conclude, like Lenton (2018), that such a strategy ought
1730 not to be relied upon to reduce the tipping risk, and instead we suggest that the most feasible role (if
1731 any) for SRM would be preemptive deployment preventing hitting tipping elements rather than reversal
1732 once they have been hit.
1733

1734 **6.2 Uncertainties**

1735

1736 *Physical uncertainties* for individual tipping elements were discussed in specific sections above. Some
1737 stem from limited process understanding of tipping elements involved, e.g. regarding threshold values
1738 for driver intensity and duration, the relative importance of and possible interaction between drivers,
1739 and the dynamics of the tipping process once initiated. Climate models notoriously struggle to represent
1740 tipping behaviour, partly because relevant processes and/or subsystems are not included in models,
1741 partly due to model uncertainties and biases.

1742
1743 SRM introduces an additional layer of uncertainty, namely, regarding its effect on tipping drivers and
1744 feedbacks. It is often possible to obtain a reasonable estimate of SRM's effect on drivers, especially if
1745 they are temperature-driven, although sometimes the drivers less coupled to temperature (e.g.
1746 precipitation in the Amazon) are much harder to predict, and introduce much more uncertainty into our
1747 estimates. Feedbacks are often even less well understood, and the estimate for the effect of SRM on
1748 these are often even more uncertain. Direct climate simulations are typically lacking, either because the
1749 tipping process itself is not well represented, or because dedicated simulations with SRM have not been
1750 performed. In some cases, proxies can be used (e.g. modelled AMOC weakening for potential AMOC
1751 tipping).

1752
1753 *Scenario Strategy and scenario uncertainty* arises because the effect of SRM is most likely dependent on
1754 the implementation strategy (e.g., type and location of SRM) and its time trajectory. Our assessment is
1755 based on a spatially fairly homogeneous peak-shaving scenario, but spatially inhomogeneous cooling
1756 and associated circulation changes may have strong beneficial or adverse local impacts, while delaying
1757 SRM use may mean that some tipping points are already breached.

1758
1759 *Political uncertainties* are arguably the most concerning uncertainties around SRM. We will only
1760 highlight a few that might affect SRM's ability to prevent tipping - the discussion of whether a potential
1761 reduction in tipping risk (or other climate risks) is worth incurring political risks from SRM is
1762 important, but beyond the scope of this study. ~~Mitigation deterrence (McLaren, 2016), if actually~~
1763 ~~relevant (Cherry et al., 2023)~~ Mitigation deterrence (McLaren, 2016), if it actually occurs (Cherry et al.,
1764 2023), might mean that SRM leads to higher GHG concentrations than if it had never been deployed.
1765 This could exacerbate tipping risks, especially if negative emissions turn out to be difficult, and/or if
1766 SRM cannot be sustained at the required intensity for long enough to avoid temperature overshoot.
1767 International disagreement on SRM may lead to inconsistent or ~~suboptimal~~ inappropriate
1768 implementation that could be delayed, of variable or insufficient intensity, or include a host of local to
1769 regional measures that interact with tipping points in potentially unpredictable ways. Moreover, large
1770 scale CDR required to achieve the CO2 concentration reductions needed in a 'peak-shaving' scenario
1771 may put significant pressure on ecosystems. In those scenarios, whilst SRM may help avoid tipping in
1772 the ecosystem, the effect of the overall SRM and CDR package may be more equivocal.

1773 1774 **6.3 Research recommendations** 1775

1776 The wider climate science community will hopefully continue to work towards better process
1777 understanding of tipping, including better representation thereof in models. In the short run, a
1778 systematic assessment on (the relative importance of) tipping drivers may be helpful. Where applicable,
1779 this can be done with subsystem models (e.g., ice sheet models) if relevant processes are not included in
1780 global Earth System Models.

1781
1782 For many non-SAI techniques, uncertainties regarding their effectiveness and/or technical feasibility
1783 (including the time of earliest possible deployment) remain large, yet those parameters are vital for
1784 potentially suppressing tipping. The SRM community should continue to address these questions. In
1785 addition, SRM's effect on relevant tipping drivers, especially those less closely coupled to temperature,
1786 should be systematically assessed in existing and new SRM simulations.

1787
1788 For tipping points that are reasonably well represented in models, dedicated simulations of SRM's
1789 effect on preventing or reversing tipping should be performed. If model uncertainties are still large,
1790 strong SRM and GHG forcing can be used to explore whether certain processes are possible "in
1791 principle", whereas in the course of time, more modest and/or realistic forcing scenarios can be studied.
1792 Direct simulation of preventing or reversing tipping may not yet be feasible for tipping elements that are
1793 not well represented in models.

1794
1795 A challenge is the huge number of possible SRM scenarios, which may vary on background GHG
1796 trajectories, SRM method (SAI or other; possibly combinations) and location, starting year, intensity,
1797 and so on. The choice of scenario may depend on the underlying research question, for example: Can
1798 (and should) SRM be optimised², and with which objectives? Are there low-regret options? Can (ill-
1799 coordinated) implementation exacerbate tipping risks? Communication with social scientists and
1800 stakeholders can help prioritise research questions.

1801
1802 Our preliminary assessment suggests that well-implemented SRM may have an overall beneficial effect
1803 on many Earth System tipping elements, although uncertainties are still very large. Whilst tipping
1804 concerns are important and ought to be a part of any assessment of the benefits and risks of SRM, such
1805 an assessment must be holistic and consider tipping concerns alongside other climatic, environmental,
1806 social and political factors that are affected by SRM.

1807 **Author Contributions**

1808 Overall lead and coordination: GF with input from CW

1809 Conceptualisation and methodology: GF with input from CW
1810 Introduction: CW with assistance of GF and JG
1811 Section 2.1 to 2.4: MA under the supervision of PI
1812 Section 2.5-2.8: AD under the supervision of PI
1813 Section 3: CW
1814 Section 4: PI
1815 Section 5: YF and JG (~~with~~ GF on Section 5.2 and 5.3)
1816 Discussion: GF and CW
1817 Reviewing of all sections: GF

1818 **Competing Interests**

1819 The authors declare that they have no conflict of interest.

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