#### Daytime variation of aerosol indirect effect for warm marine 1 boundary layer clouds in the eastern north Atlantic 2

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18 Abstract. Warm boundary layer clouds in the Eastern North Atlantic region exhibit significant diurnal variations in 19 cloud properties. However, the diurnal cycle of the aerosol indirect effect (AIE) for these clouds remains poorly 20 understood. This study takes advantage of recent advancements in the spatial resolution of geostationary satellites to 21 explore the daytime variation of AIE by estimating the cloud susceptibilities to changes in cloud droplet number 22 concentration ( $N_d$ ). Cloud retrievals for four months of July (2018-2021) from SEVIRI on Meteosat-11 over this 23 region are analyzed. Our results reveal a significant "U-shaped" daytime cycle in susceptibilities of cloud liquid 24 water path (LWP), cloud albedo, and cloud fraction. Clouds are found to be more susceptible to  $N_d$  perturbations at 25 noon and less susceptible in the morning and evening. The magnitude and sign of cloud susceptibilities depend 26 heavily on the cloud state defined by cloud LWP and precipitation conditions. Non-precipitating thin clouds account 27 for 44% of all warm boundary layer clouds in July and they contribute the most to the observed daytime variation. 28 Non-precipitating thick clouds are the least frequent cloud state (10%), they exhibit more negative LWP and albedo 29 susceptibilities compared to thin clouds. Precipitating clouds are the dominant cloud state (46%), but their cloud 30 susceptibilities show minimal variation throughout the day.

31 We find evidence that the daytime variation of LWP and albedo susceptibilities for non-precipitating clouds are

32 influenced by a combination of the diurnal transition between non-precipitating thick and thin clouds and the

33 "lagged" cloud responses to  $N_d$  perturbations. The daytime variation in cloud fraction susceptibility for non-

34 precipitating thick clouds can be attributed to the daytime variation in cloud morphology (e.g., overcast or broken).

35 The dissipation and development of clouds do not adequately explain the observed variation in cloud

36 susceptibilities. Additionally, daytime variation of cloud susceptibility is primarily driven by variation in the

37 intensity of cloud response rather than the frequency of occurrence of cloud states. Our results imply that polar-

- orbiting satellites with overpass time at 13:30 local time underestimate daytime mean value of cloud susceptibility,
- as they observe susceptibility daily minima in the study region.

## 42 1. Introduction

43 Warm boundary layer clouds, including stratus, stratocumulus, and cumulus clouds, are prevalent over the 44 sub-tropical oceans, account for over 30% of the global annual mean cloud coverage (Warren et al., 1988; Wood, 45 2012). These clouds have a significant net negative radiative forcing on the surface radiation budget. However, our 46 understanding of the aerosol indirect effect (AIE) on these clouds, particularly the impact of aerosols on cloud 47 amount, brightness, and lifetime, remains a significant source of uncertainty in estimating the radiative forcing from 48 human activities. The AIE plays a critical role in the Earth's radiation budget through its interactions with clouds. It 49 consists of two effects: the Twomey effect, which involves the increase in cloud droplet number from increasing 50 aerosols, and leads to an increase in cloud albedo ( $\alpha_c$ ) from smaller droplets when the cloud liquid water path 51 (LWP) is held constant (Twomey, 1977), and the cloud adjustment effect, which encompasses the impact of aerosols 52 on cloud amount, cloud water, and  $\alpha_c$  through modulating cloud processes (e.g., Albrecht, 1989; Xue and Feingold, 53 2006; Chen et al., 2014; Gryspeerdt et al., 2019). The cloud adjustment effect is highly variable with large 54 uncertainties in signs and magnitudes depending on cloud state, boundary layer, and meteorological conditions 55 among other factors (e.g., Han et al., 2002; Wang et al., 2003; Small et al., 2009; Sato et al, 2018). 56 Previous studies have made significant progress in identifying different cloud processes and feedback 57 mechanisms to explain the responses of CF, LWP, and  $\alpha_c$  to aerosol perturbations (e.g., as summarized in Steven 58 and Feingold, 2009; Fan et al., 2016; Gryspeerdt et al., 2019). The cloud adjustment effect is influenced by two key 59 feedback mechanisms: precipitation suppression, and sedimentation-evaporation-entrainment. 60 Under clean conditions and for clouds predominantly precipitating, an increase in the cloud droplet number 61 concentration  $(N_d)$  and associated decrease in droplet sizes, reduces precipitation efficiency, and decreases water 62 loss from precipitation. Consequently, this promotes an increase in cloudiness and cloud LWP (Albrecht, 1989; Qian 63 et al., 2009; Li et al., 2011; Terai et al., 2012, 2015). For non-precipitating clouds, decreased cloud drop size due to 64 increases in  $N_d$  impacts CF and LWP through their impact on the entrainment rate. A decrease in cloud droplet size 65 diminishes the sedimentation rate in clouds, causing an accumulation of cloud water near the cloud top. This 66 increased cloud water in the entrainment zone enhances cloud-top radiative cooling, entrainment rate, and 67 evaporation, resulting in a decrease in CF and cloud LWP (Bretherton et al., 2007; Chen et al., 2014; Toll et al., 68 2019; Gryspeerdt et al., 2019).

69 Additionally, the faster evaporation rates from smaller droplets enhance cloud-top cooling, downward 70 motion in clouds, total kinetic energy, and horizontal buoyancy gradient. The processes listed above, in turn, 71 increase evaporation and entrainment rate and, thus, forming a positive feedback loop (Wang et al., 2003; Xue and 72 Feingold, 2006; Small et al., 2009; Toll et al., 2019). Furthermore, among non-precipitating clouds, thick clouds 73 with larger LWP exhibit stronger cloud-top longwave radiative cooling rate and therefore stronger cloud-top 74 entrainment rate (e.g., Sandu et al., 2008, Williams and Igel, 2021). Therefore, the classification of cloud states (e.g., 75 precipitating conditions and thickness) is essential for accurately quantifying the AIE and discerning opposing cloud 76 processes. In this study, we classify cloud states based on the LWP- $N_d$  parameter space, as these variables provide 77 the most informative metrics for cloud susceptibility (Zhang et al., 2022).

78 This study focuses on the Eastern North Atlantic (ENA) region, where the U.S. Department of Energy 79 (DOE) Atmospheric Radiation Measurement program (ARM) deployed the ground-based user facility at the Azores 80 archipelago (Mather and Voyles, 2013). During the summer over ENA region, warm boundary layer clouds exhibit 81 pronounced diurnal variations in their properties and cloud states. For example, based on ARM surface radar and 82 lidar observations, the frequency of stratocumulus clouds is highest at night, accompanied by an increase in the 83 fraction of precipitating clouds. Throughout the daytime, both cloud fraction and precipitation fraction experience a 84 slight decrease, followed by an increase after sunset (Remillard et al, 2012). The retrieved cloud microphysical 85 properties from ARM ground-based observations show similar "U-shaped" diurnal variations in cloud LWP, liquid 86 water content, and optical thickness (Dong et al., 2014). Additionally, numerical studies have revealed a distinct 87 diurnal cycle of AIE for marine stratocumulus clouds, attributed to changes in cloud properties and boundary layer 88 thermodynamic conditions (e.g., Sandu et al., 2008, 2009). However, observational analyses based on the ground-89 based observations at the ENA site or in-situ measurements from field campaigns are often based on a few cases 90 with limited samples and insufficient spatial coverage (e.g., Liu et al., 2016; Wang et al., 2021; Zheng et al., 2022). 91 There have been few observational studies investigating the diurnal cycle of AIE in the ENA region. With recent 92 advancements in the spatial resolution of geostationary satellites, this study aims to investigate the diurnal variation 93 of the AIE in warm boundary layer clouds over the ENA region and gain a better understanding of the underlying 94 mechanisms.

95 Both cloud properties and meteorological conditions have substantial spatiotemporal variability and distinct 96 diurnal variations. Furthermore, changes in meteorological conditions can in turn influence cloud and aerosol 97 properties. One of the main challenges in understanding the AIE lies in isolating the impacts of the confounding 98 meteorological drivers on clouds and aerosols from AIE on clouds. To address this challenge, Gryspeerdt et al. 99 (2016) proposed the use of  $N_d$  as an intermediary variable for AIE, instead of using aerosol optical depth (AOD) or 100 aerosol index. The use of  $N_d$  circumvents the well-known dependency of AOD on CF and surface wind speed, 101 which does not necessarily reflect actual changes in aerosol loading. Moreover, the control of relative humidity and 102 aerosol type on AOD prevents to establish a direct link between AOD and aerosol concentration or cloud 103 condensation nuclei (CCN).

104 Another common method to disentangle meteorological impacts is to sort the controlling meteorological 105 factors of cloud state, such as relative humidity, lower tropospheric stability, vertical velocity, and examine the AIE 106 accordingly (e.g., Chen et al., 2014; Gryspeerdt et al., 2019). However, this approach overlooks important 107 information, including the frequency of occurrence of specific environmental conditions, the spatiotemporal co-108 variation of meteorological factors, and the correlations among them. Zhou et al. (2021) and Zhang et al. (2022) 109 proposed a new method to estimate the cloud susceptibility within a confined space (e.g., a  $1^{\circ} \times 1^{\circ}$  or  $2^{\circ} \times 2^{\circ}$  grid 110 box) of each satellite snapshot by assuming consistent meteorological conditions within this spatial domain. 111 Additionally, it is important to note that meteorological conditions influence albedo susceptibility by altering the

112 frequency of occurrence of different cloud states (e.g., precipitating and non-precipitating). Specifically, within a

113 particular cloud state, meteorological conditions offer limited information regarding cloud susceptibility (Zhang et

114 al, 2022).

115 The second main source of uncertainty in observational AIE studies arise from inferring processes in a 116 temporally evolving system based on snapshots of observations (Mülmenstädt and Feingold, 2018). Due to the 117 limited temporal or spatial resolution of the observations, most studies assume a Markovian system, where clouds 118 and AIE are assumed to only relate to the current state of the system and have no memory of the past states. 119 However, this assumption contradicts the nature of the cloud system. Observational and modeling studies have 120 shown that aerosol-cloud interaction processes take hours to reach the equilibrium state and the sensitivity of AIE is 121 time dependent. For instance, Glassmeier et al. (2021) applied a Gaussian-process emulation and derived the 122 adjustment equilibration timescale for LWP to be  $\sim 20$  hours. By tracking the ship tracks in satellite observations, 123 Gryspeerd et al. (2021) found a similar AIE timescale of ~20 hours or longer and the magnitude of LWP 124 susceptibility increases with time. In addition, Christensen et al. (2020) discovered that influence of aerosols on 125 cloud LWP, CF, and cloud top height persists two to three days by tracking cloud systems in satellite observations. 126 In summary, the sensitivity of cloud responses to  $N_d$  perturbations changes with time and, thus, the assumption that 127 AIE has no memory of its past state is inadequate. Nonetheless, the direct evaluation of the impact of cloud memory 128 on the quantified cloud susceptibility remains unexplored, to the best of our knowledge.

129 To facilitate a process-level understanding of the drivers behind the diurnal variation of AIE for warm 130 boundary layer clouds, we will classify these clouds into three states: precipitating clouds, non-precipitating thick 131 clouds, and non-precipitating thin clouds. We investigate the changes in both the frequency of occurrence of cloud 132 states and the magnitude of AIE for different cloud states throughout the day. Additionally, we document the 133 temporal changes in cloud state within each fixed  $1^{\circ} \times 1^{\circ}$  grid box and quantify the influences of cloud memory and 134 state transition on AIE. Section 2 describes the datasets as well as the methodology employed to quantify cloud 135 susceptibilities, distinguish precipitating clouds from the satellite retrievals, and track cloud states. We present our 136 results in Section 3. Section 3.1 characterizes the general conditions of warm boundary clouds over the ENA region 137 during the summer. Section 3.2 introduces the LWP- $N_d$  parameter space and illustrates the dependence of cloud 138 responses to  $N_d$  perturbations on cloud states. We then discuss the mean daytime variation of cloud susceptibilities 139 for all cloud states in Section 3.3, followed by an analysis of the AIE daytime variation for each cloud state and the 140 impact of the state transition on AIE in Section 3.4. In Section 3.5, we decompose the contributions to the daytime 141 variation of cloud susceptibility into two components, one is from changes in the frequency of occurrence of 142 different cloud states and the other is from changes in the intensity of AIE during the day. Section 4 includes 143 discussions on the similarities and differences in findings between this study and previous studies of AIE and 144 Section 5 is the summary and conclusions of this study.

## 145 2. Dataset and Methodology

We use cloud retrievals derived from the Spinning Enhanced Visible InfraRed Imager (SEVIRI) on
Meteosat-11, with a spatial resolution of 3 km at nadir and a half-hourly temporal resolution over the ENA region
(33-43°N, 23-33°W). SEVIRI cloud products are derived using the Satellite ClOud and Radiation Property retrieval

149 System (SatCORPS) algorithms (e.g., Painemal et al., 2021), based on the methods applied by the Clouds and the

- 150 Earth's Radiant Energy System (CERES) project, and specifically tailored to support the ARM program over the
- 151 ARM ground-based observation sites (Minnis et al. 2011, 2020). Given the purpose of this study on quantifying the
- AIE on warm boundary layer clouds, we focus on four months of July (2018-2021), a period that coincides with the
- 153 highest frequency of occurrence of warm boundary layer clouds over the ARM ENA site (Rémillard et al. 2012;
- 154 Dong et al., 2014, 2023).

- 155 The cloud mask algorithm implemented in SatCORPS is described in Trepte et al. (2019). SatCORPS cloud 156 properties are based on the shortwave-infrared split-window technique during daytime (VISST, Minnis et al. 2011, 157 2020), with cloud optical depth ( $\tau$ ) and effective radius ( $r_e$ ) being derived using an iterative process that combines 158 reflectance and brightness temperatures from the 0.64  $\mu$ m and 3.9  $\mu$ m channels. Cloud LWP is computed from  $\tau$  and  $r_e$  using the formula  $LWP = \frac{4r_e\tau}{3Q_{ext}}$ , where  $Q_{ext}$  represents the extinction efficiency and assumed constant of 2.0 159 160 (Minnis et al. 2011, 2020). The top-of- atmosphere (TOA) broadband shortwave  $\alpha_c$  is derived from an empirical 161 radiance-to-broadband conversion using the satellite imager's visible channel and CERES Single Scanning Footprint 162 (SSF) shortwave fluxes, and dependent on solar zenith angle and surface type (Minnis et al. 2016). Cloud top height 163 computation follows the methodology in Sun-Mack et al. (2014).
- To validate the Meteosat-11 retrieved cloud mask and the detection of boundary layer clouds, we compare the boundary layer cloud fractions derived from Meteosat-11 with the ground-based observations at the ARM ENA site. As seen in Fig.S1, both the diurnal variation and the mean CF of Meteosat-11 agree well with ARM observations. More details on the methodology for the evaluation study are included in the supplementary material.
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   Our analysis focuses on warm boundary layer clouds with cloud tops below 3km and a liquid cloud phase.
- 169 To focus specifically on boundary layer cloud cases without including the edges of deep clouds, we apply a stricter 170 threshold than merely using the pixel-level cloud top height. We define boundary layer clouds as those with 90% of 171 their cloud tops below 3km, labeling all contiguous cloudy pixels as distinct cloud objects.
- 172 Cloud  $N_d$  is retrieved based on the adiabatic assumptions for warm boundary layer clouds, as in Grosvenor 173 et al. (2018) according to the following equation:
  - $N_{d} = \frac{\sqrt{5}}{2\pi k} \left( \frac{f_{ad} c_{w} \tau}{Q_{ext} \rho_{w} r_{e}^{5}} \right)^{1/2} \tag{1}$
- In Equation (1), k represents the ratio between the volume mean radius and  $r_e$ , assumed to be constant of 0.8 for stratocumulus;  $f_{ad}$  is the adiabatic fraction of the observed liquid water path and assumed to be 0.8 for stratocumulus clouds (Brenguier et al., 2011; Zuidema et al., 2012);  $c_w$  is the condensation rate, which is a function of temperature and pressure;  $Q_{ext}$  is the extinction coefficient, approximated as 2 in this study; and  $\rho_w$  is the density of liquid water. While the different components of Eq. (1) could contribute to the uncertainties in  $N_d$ , errors in  $r_e$  are the dominant drivers in Eq. (1) (Grosvenor et al., 2018).
- 181 To minimize uncertainties associated with bias in satellite cloud microphysical retrievals, we only select 182 pixels with a minimum  $r_e$  of  $3\mu m$ , a minimum  $\tau$  of 3, and a solar zenith angle (SZA) of less than 65° (e.g., Painemal 183 et al., 2013; Painemal, 2018; Zhang et al., 2022). The SZA threshold of 65° was chosen to minimize biases observed 184 at high solar zenith angle in  $r_e$  and  $\tau$  (e.g., Grosvenor & Wood, 2014; Grosvenor et al., 2018).

185 In addition, to reduce uncertainties associated with the adiabatic assumption in the  $N_d$  retrieval, we 186 implement a filtering process. For each cloud, we exclude cloudy pixels at the cloud edge, defined as those adjacent

to cloud-free pixels, following a similar sampling strategy suggested by Gryspeerdt et al. (2022). Therefore, all

188 cloud properties in this study refer to the properties of cloud body without cloud edge. It is worthy of note that

189 shallow cumulus clouds with diameters smaller than 9km are not included. The removal of cloud-edge pixels

190 accounts for ~14% of the cloudy pixels. Furthermore, we removed grid boxes containing islands due to the

191 uncertainties in Meteosat retrievals over contrasting underlying surface. Lastly, to avoid unrealistically large

192 retrievals, we eliminate pixels with the retrieved  $N_d$  values exceeding 1000  $cm^{-3}$ , which constituted only 0.002% of

the data.

194 Cloud susceptibility is quantified as the slope between cloud properties and  $N_d$  using a least-square 195 regression. As found by Arola et al. (2022) and Zhou and Feingold (2023), the retrieved cloud susceptibilities are 196 sensitive to small-scale cloud heterogeneity, the co-variability between cloud properties and  $N_d$ , and the spatial scale 197 of cloud organization. To reduce biases resulting from heterogeneity and co-variability, we first average the 3-km 198 pixel-level cloud retrievals and  $N_d$  (Eq. 1) to a regular  $0.25^\circ \times 0.25^\circ$  grid for each half-hourly time step.

199 To further mitigate the impact from co-variability between cloud properties and  $N_d$  at larger spatiotemporal 200 scales, cloud susceptibility is estimated within a  $1^{\circ} \times 1^{\circ}$  grid box at each satellite time step (e.g., Zhang et al, 2022). 201 Moreover, estimating the cloud susceptibility over a confined space also help to constrain the meteorological 202 impacts on AIE, with the assumption of a homogeneous meteorological condition within this spatial scale. Next, 203 susceptibilities are calculated using the  $0.25^{\circ}$  smoothed data if the number of data points within the  $1^{\circ} \times 1^{\circ}$  box 204 exceeds six (from a maximum of 16 data points). It is important to note that when computing the  $0.25^{\circ} \times 0.25^{\circ}$ 205 averaged cloud properties, only data from cloudy pixels are used to ensure that the estimated susceptibility is not 206 weighted by CF or impacted by satellite artifacts. Lastly, due to the minimal spatial variability of cloud 207 susceptibility in the study region, the 1° cloud susceptibility is averaged over the study region (33-43°N, 23-33°W) 208 to characterize the daytime variation of AIE. Additionally, results and conclusions of this study are not sensitive to 209 the size of the box calculating the cloud susceptibility (e.g., over a  $0.8^{\circ} \times 0.8^{\circ}$  box or over a  $1.5^{\circ} \times 1.5^{\circ}$  box, not 210 shown).

211 Because of the nonlinear relationships between LWP and  $N_d$ , the LWP susceptibility is defined as the slope 212 in logarithmic scale, that is:  $dln(LWP)/dln(N_d)$  (e.g., Gryspeerdt et al. 2019). The albedo susceptibility is 213 estimated as the slope between  $\alpha_c$  and ln ( $N_d$ ), equivalent to  $d\alpha_c/dln(N_d)$  (e.g., Painemal 2018). Lastly, the CF 214 susceptibility is estimated as  $dCF/dln(N_d)$ . The mean CF is defined as the fraction of cloudy pixels excluding 215 cloud edge to the sum of cloudy and clear pixels within each  $0.25^{\circ} \times 0.25^{\circ}$  box. Due to the highly variable nature of 216 CF, the variability in the 0.25° CF could arise from quantifying edges or centers of the same cloud layer rather than 217  $N_d$  perturbations. To assess the potential influence of cloud morphology on the retrieved CF susceptibility, we 218 excluded any  $1^{\circ} \times 1^{\circ}$  scene meeting the following three criteria: 1) the difference between the maximum and 219 minimum 0.25° CF greater than 0.9, 2) the variation in the 0.25°  $N_d$  less than 60 cm<sup>-3</sup>, and 3) the 0.25° CF in the 220  $1^{\circ} \times 1^{\circ}$  box sample the same cloud. The 0.9 and 60 cm<sup>-3</sup> thresholds represent ~45% of the data. With the three 221 thresholds combined, a total of 17,000 scenes were removed, which accounts for  $\sim 24\%$  of the total samples.

- 222 Removing these scenes does not change the conclusions of CF susceptibility in this study (not shown), which
- demonstrates that cloud morphology, has minimal impact on the retrieved CF susceptibility. Furthermore, as we
- removed  $N_d$  retrievals at cloud edge where  $N_d$  likely suffers large uncertainties, cloudy pixels at cloud edge are set
- as clear for consistency in the calculation of the CF susceptibility. Removing the cloud edge decreases the four-
- 226 month mean CF for warm boundary layer clouds from 21.6% to 19.0%.

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The susceptibility of the shortwave radiative fluxes to  $N_d$  ( $F_0$ ) is estimated as the sensitivity of the TOA shortwave upward radiative flux ( $SW_{TOA}^{up}$ ) to  $N_d$  perturbations (e.g., Chen et al. 2014; Painemal 2018; Zhang et al. 2022). The mean  $SW_{TOA}^{up}$  over a 1° × 1° grid box is estimated using Eq. (2), with the assumption that the clear-sky albedo over the ocean is small compared to the cloud albedo:

$$\overline{SW_{TOA}^{up}} = \overline{SW_{TOA}^{dn}} \cdot \overline{\alpha_c} \cdot \overline{CF},$$
(2)

where  $SW_{TOA}^{dn}$  is the grid-box mean TOA shortwave downward radiative flux, which is estimated based on the latitude, longitude, date, and overpass time of each pixel,  $\alpha_c$  and CF are the grid-box mean values. Then,  $F_0$  is estimated using the calculated  $\alpha_c$  and CF susceptibilities, and the 1° × 1° grid-box mean cloud properties as shown in the equation below:

$$F_0 = -\frac{dSW_{TOA}^{up}}{dln(N_d)} = -\overline{SW_{TOA}^{dn}} \cdot \left(\frac{d\alpha_c}{dln(N_d)} \cdot \overline{CF} + \frac{dCF}{dln(N_d)} \cdot \overline{\alpha_c}\right).$$
(3)

F<sub>0</sub> is in the unit of  $W m^{-2} ln (N_d)^{-1}$ , and a positive value indicates a decrease in the  $SW_{TOA}^{up}$ , which is a *warming* effect at the surface.

239 To minimize uncertainties in the linear regression for the estimated susceptibility, we analyze regressions 240 that exhibited a goodness of fit exceeding the 95% confidence interval (i.e.,  $\chi^2 < \chi^2_{0.95,c}$ ), and an absolute 241 correlation coefficient greater than 0.2 (e.g., Painemal, 2018; Zhang et al., 2022). There is a total of ~115,000 242 samples of the 1° cloud susceptibilities in this study, applying the goodness of fit thresholds result in exclusions of ~ 243 33,000- 43,000 samples for different susceptibilities, which are ~28-37% of the data. Sensitivity test shows that 244 including cases that fail the goodness of fit test will not change the results and conclusions of this study (not shown). 245 Specifically, including these cases decrease the magnitude of cloud susceptibilities for all three cloud states, but the 246 signs of cloud responses to  $N_d$  perturbations remain consistent.

247 Since precipitating and non-precipitating clouds exhibit distinct responses to aerosol perturbations due to 248 the effect of precipitation suppression and the wet-scavenging feedback, it is critical to distinguish between these 249 two cloud states when estimating AIE. Previous studies have utilized various methods based on the effective radius 250 threshold (e.g., Gryspeerdt et al., 2019, Toll et al., 2019; Zhang et al., 2022) and the rain rate threshold (e.g., Duong 251 et al., 2011; Terai et al., 2015) from satellite retrievals. In our study, we validate these two methods using the 252 precipitating mask estimated from ground-based observations with a radar reflectivity threshold together with the 253 lidar-defined cloud base at the ARM ENA site (e.g., Wu et al., 2020). The thresholds of  $r_e > 12 \ \mu m$  and  $r_e > 15 \ \mu m$ 254 yield hit rates of 0.79 and 0.73, respectively. However, the false alarm rate is higher for  $r_e > 12 \ \mu m \ (0.21)$  compared 255 to  $r_e > 15 \ \mu m$  (0.1). Rain rate is computed using the empirical relationships derived from ground-based measurements in Comstock et al. (2004) as  $R = 0.0156 (\frac{LWP}{N_d})^{1.75}$ . Using a threshold of R>0.05 mm/h results 256 257 in a hit rate of 0.65. Consequently, we use the  $r_e > 15 \ \mu m$  threshold to define precipitating clouds in this study.

258 To investigate the dependences of cloud susceptibility on previous cloud states and quantify the influence 259 of cloud memory on the estimated cloud susceptibility, we track the historical cloud state over a  $1^{\circ} \times 1^{\circ}$  grid box for 260 a two-hour period. During the summer in the study region, low wind conditions prevail in the boundary layer, with 261 the mean wind speed being less than 10 m/s for 85% of the time and less than 7 m/s for 60% of the time. Therefore, 262 in most cases, less than half of the clouds exit the grid box within the two hours, allowing us to track the previous 263 cloud state within the same grid box (i.e., from thean Eulerian perspective). The influence of cloud memory is 264 assessed by comparing the cloud susceptibilities of clouds that undergo a transition in cloud state with those that do 265 not experience such a transition. Section 3.4 provides more details and discussion on the sensitivity of tracking time 266 and the influence of advection on our classification.

#### 267 **3. Results**

## 268 3.1 General cloud conditions and mean cloud responses to N<sub>d</sub> perturbations

269 In the ENA region, characterized by dominant Bermuda High with its prevailing ridge and zonal synoptic 270 pattern (Mechem et al., 2018), the summer season gives rise to the annual peak in boundary layer cloud coverage 271 The monthly mean low-level CF retrieved from Meteosat-11 reaches its maximum of 35% in July, compared to an 272 annual mean of 17% during the four-year study period. This region represents a typical clean marine condition, 273 situated far from continental influences, which results in a consistently low  $N_d$  compared to polluted marine regions, 274 such as the northeastern (NE) Pacific near California or the northwestern Atlantic near the Gulf of Maine. In July, the mean  $N_d$  over the ENA region is 65  $cm^{-3}$  with the lower 5th and upper 95th percentile of 15 and 160  $cm^{-3}$ , 275 276 respectively. The retrieved  $N_d$  values in this study closely align with in-situ measurements from the Aerosol and 277 Cloud Experiments in Eastern North Atlantic (ACE-ENA) field campaign. For instance, the in-situ measured  $N_d$  in 278 July 2017 varied from 25 to 150  $cm^{-3}$ , with a mean value of 65  $cm^{-3}$  (e.g., Yeom et al., 2021; Zhang et al., 2021). 279 Moreover, our satellite retrieved  $N_d$  exhibits good agreement with retrievals based on ground-based observations at 280 the ARM ENA site (e.g., Dong et al., 2014; Wu et al., 2020) and the MOderate resolution Imaging 281 Spectroradiometer (MODIS, e.g., Bennartz 2007; Bennartz and Rausch 2017).

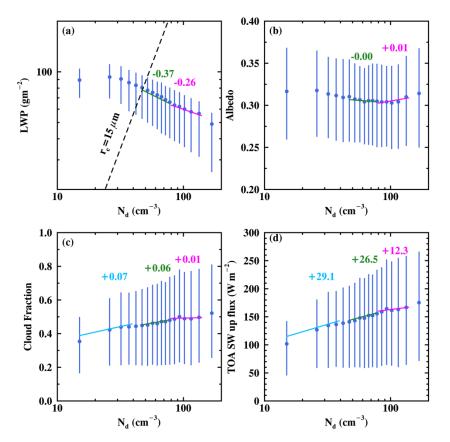


Figure 1. Relationships between  $N_d$  and cloud properties: (a) cloud LWP, (b) cloud albedo, (c) cloud fraction, and (d) TOA shortwave upward radiative flux. The dots represent the mean values, while the whiskers indicate the upper and lower 25<sup>th</sup> percentile. In (a), the dashed line denotes  $r_e = 15 \ \mu m$ , serving as an indicator of precipitation occurrence, with precipitating clouds located to the left of the line. Blue, green, and magenta lines in panels (a)-(d) represent the regression slopes of the mean cloud properties, and the mean  $ln(N_d)$ , for  $N_d < 40 \ cm^{-3}$ ,  $N_d$  between 40 and 80  $cm^{-3}$ , and  $N_d > 80 \ cm^{-3}$ , respectively.

289 Previous studies have demonstrated that clouds exhibit diverse responses to aerosol perturbations under 290 clean and polluted conditions (e.g., Fan et al. 2016; Mülmenstädt and Feingold, 2018). Figure 1 shows the 291 relationships between the climate mean cloud properties, derived from the pixel-level SEVIRI cloud products, and 292 averaged to the  $1^{\circ} \times 1^{\circ}$  resolution, as a function of the  $1^{\circ} \times 1^{\circ}$  mean  $N_d$  values. To quantify these responses, cloud 293 susceptibility is estimated as the slope of the mean cloud variable changes across  $N_d$  bins. In pristine conditions ( $N_d$ 294 < 40 cm<sup>-3</sup>, ~28% of data), clouds predominantly precipitate ( $r_e >$ 15  $\mu m$ , Fig.1a). The mean cloud LWP features a 295 slight increase followed by a decrease with increasing  $N_d$ . This result departs from the precipitation suppression 296 hypothesis, in which LWP typically increases. The absence of a precipitation suppression signal is likely attributed 297 to the relatively modest precipitation that occurs in this region during summer (e.g., Wu et al., 2020; Zheng and 298 Miller, 2022), resulting in a minimal precipitation suppression effect and a dominant entrainment drying effect. In 299 terms of  $\alpha_c$ , the potential decrease in  $\alpha_c$  attributed to a LWP reduction offsets the potential increases in  $\alpha_c$  caused by 300 the Twomey effect, resulting in a net zero change in mean  $\alpha_c$  for clouds with  $N_d < 40 \ cm^{-3}$  (Fig.1b). Furthermore, 301 the majority of precipitating clouds are broken, with a mean CF that increases with  $N_d$  from 0.35 to 0.45 (Fig.1c). Consequently, the mean  $SW_{TOA}^{up}$  flux increases from 100 to 140  $W m^{-2}$  as  $N_d$  increases from 10 to 40  $cm^{-3}$ . This 302

303 increase in CF for precipitating clouds aligns with previous study over the north Atlantic region across all seasons

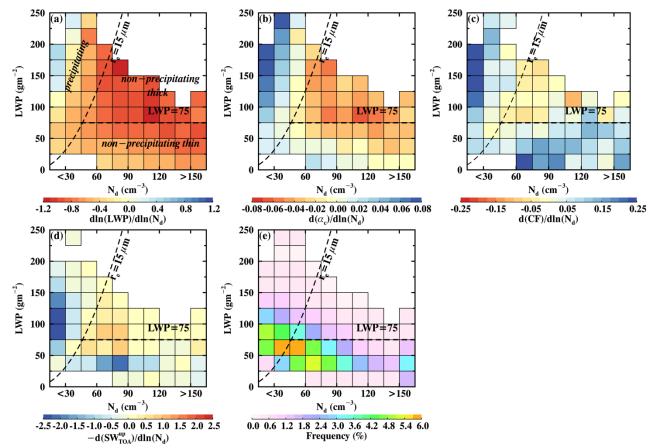
- (e.g., Gryspeerdt et al., 2016). In summary, despite the slight decrease in mean LWP with increasing  $N_d$  for
- 305 precipitating clouds, the mean cloud albedo remains relatively constant, while the mean CF increases, resulting in an
  - 306 overall increase in the TOA reflected shortwave flux.
  - Under relatively polluted conditions with  $N_d > 40 \ cm^{-3}$  (~72% of data), the mean LWP shows a 307 308 decreasing trend with  $N_d$ . For  $N_d$  values between 40-80 cm<sup>-3</sup>, the ln(LWP)- ln( $N_d$ ) slope is -0.37, while for  $N_d$ 309 exceeding 80  $cm^{-3}$ , the slope reaches -0.26 (green and magenta lines in Fig.1a). This negative adjustment of LWP 310 for non-precipitating clouds is consistent with the sedimentation-evaporation-entrainment feedback, as well as with 311 previous studies of stratocumulus clouds in other regions (e.g., Gryspeerdt et al., 2019; Zhang et al., 2022). The 312 mean  $\alpha_c$  remains nearly constant within the  $N_d$  range of 40-80 cm<sup>-3</sup> (Fig.1b). As LWP decreases at a slower rate 313 for  $N_d > 80 \ cm^{-3}$ , the Twomey effect becomes more dominant and leads to a slight increase in  $\alpha_c$  with a slope of 314 0.01 (magenta line in Fig.1b). For non-precipitating clouds, the mean CF slightly increases with increasing  $N_d$  with a CF susceptibility of 0.06 and 0.01 (green and magenta lines in Fig.1c). As a result, the  $SW_{TOA}^{up}$  flux exhibits a 315
  - **316** weaker susceptibility compared to precipitating clouds (Fig.1d).

# 317 **3.2** Daytime mean cloud susceptibilities in the LWP-*N<sub>d</sub>* space

318 One limitation of the relationships derived from the mean cloud properties with sorted  $N_d$  is the 319 confounding effect from meteorological impacts on cloud properties and cloud susceptibilities. As a comparison, 320 Fig.2 shows the mean cloud susceptibility estimated within each half-hourly snapshot's  $1^{\circ} \times 1^{\circ}$  grid box and 321 averaged in the LWP-N<sub>d</sub> parameter space. There are around 72,000-82,000 samples of the 1° cloud susceptibilities 322 in this study. The number of samples for different cloud susceptibilities are slightly different due to the goodness of 323 fit test for each regression. We calculate the mean susceptibilities for LWP- $N_d$  bins with more than 100 cloud 324 susceptibility samples. Blank bins in Fig.2 are bins with less than 100 samples. Figure 2e shows the occurrence 325 frequency of samples for the LWP susceptibility in Fig.2a.

326 With the assumption that the meteorological condition is homogeneous in each grid box, the estimated 327 cloud susceptibilities exhibit much stronger relationships for all cloud variables compared to the climatological 328 mean adjustment rates shown in Fig.1. The disparities between the two methods suggest that meteorological 329 confounders tend to obscure the signal of the AIE over the ENA region. Moreover, the cloud responses for both 330 precipitating and non-precipitating clouds exhibit consistent signs between the half-hourly (Fig.2) and 331 climatological-mean approaches (Fig.1). This consistency is likely attributed to the confined domain (a  $10^{\circ} \times 10^{\circ}$ ) 332 and the focus on July in this study, which limit the spatial and temporal covariability between cloud properties and 333  $N_d$ . This consistency also demonstrates that the overall cloud responses to  $N_d$  perturbations primarily depend on 334 cloud states (e.g., precipitating conditions and cloud thickness). 335 The dependence of cloud response on cloud state is illustrated in Fig.2. We define three cloud states: (1) 336 the precipitating clouds ( $r_e > 15 \ \mu m$ ),(2) the non-precipitating thick clouds ( $r_e < 15 \ \mu m$ , LWP > 75 gm<sup>-2</sup>), and (3)

by the non-precipitating thin clouds ( $r_e < 15 \ \mu m$ ,  $LWP < 75 \ gm^{-2}$ ), similar to the definition in Zhang et al. (2022).



Frequency (%) Figure 2. Mean cloud susceptibilities for different  $N_d$  and LWP bins during the daytime. (a) cloud LWP susceptibility  $(dln(LWP)/dln(N_d))$ , (b) cloud albedo susceptibility  $(d\alpha_c/dln(N_d))$ , (c) cloud fraction susceptibility  $(dCF/dln(N_d))$ , (d) cloud shortwave susceptibility  $(-dSW_{TOA}^{up}/dln(N_d))$  weighted by the frequency of occurrence of samples of each bin, and (e) frequency of occurrence of samples in each bin. The dashed lines in (a)-(e) indicate  $r_e = 15 \ \mu m$  and LWP= 75  $gm^{-2}$ , as thresholds for precipitation (precipitating clouds located to the left of the line) and thick clouds (with LWP > 75  $gm^{-2}$ ). The defined three clouds states are noted in (a).

345 a. Precipitating clouds

346 Among warm boundary layer clouds, precipitating clouds are the dominant cloud state in July over the 347 study region, with a total frequency of occurrence of 46% (Fig.2e). The increase in cloud LWP with increasing  $N_d$  is

observed primarily in heavily precipitating thick clouds with  $N_d < 30 \text{ cm}^{-3}$  and LWP > 125  $gm^{-2}$  (Fig.2a).

However, these clouds occur relatively infrequently at ENA, accounting for only 2% of the total warm boundary

- 350 cloud population (Fig.2e). In contrast, most of the precipitating clouds at ENA are lightly precipitating with
- B51  $15 < r_e < 20 \ \mu m$  (Fig.2e and Fig.S2c) and they exhibit a slight decrease of LWP with  $N_d$  (Fig.2a). The mean LWP
- 352 susceptibility for lightly precipitating clouds ranges from -0.5 to -0.2 for different bins, with a mean value of -0.4.
- **353** The standard deviations of LWP susceptibility in different LWP- $N_d$  bins vary between 0.4 to 1.2, while the LWP
- 354 susceptibilities for precipitating clouds are significantly different than other two cloud states at a 95% confidence
- 355 level. The slight decrease in LWP for lightly precipitating clouds aligns with previous findings over the Pacific,
- 356 Atlantic, and global oceans for marine stratocumulus (e.g., Fig S4 in Zhang and Feingold, 2023).

- 357 The contrasting response of LWP to  $N_d$  perturbations for lightly and heavily precipitating clouds can be 358 attributed to the interplay of two competing processes: the depletion of LWP caused by the sedimentation-359 evaporation-entrainment feedback and the accumulation of LWP resulting from the precipitation suppression 360 feedback. Heavily precipitating clouds are predominantly overcast with a mean CF of 0.65 (Fig.S2a) and a mean  $r_e$ 361 of 25  $\mu m$  (Fig.S2c). Precipitation acts to stabilize the boundary layer, remove water from cloud top, and reduce the 362 entrainment rate (Sandu et al., 2007, 2008). Therefore, heavily precipitating clouds exhibit smaller entrainment rate 363 than non-precipitating clouds with similar LWP. The increase of LWP from precipitating suppression feedback 364 outweighs the decrease of LWP from entrainment feedback and results in a net increase in LWP (e.g., Chen et al., 365 2014; Toll et al., 2019). In lightly precipitating clouds, however, the suppression effect of drizzle on the entrainment 366 rate is minimal. Therefore, the decrease in LWP from entrainment overpowers the increases in LWP from 367 precipitating suppression, leading to a net decrease in LWP with increasing  $N_d$  (e.g., Xue and Feingold, 2006).
- 368 Precipitating clouds generally exhibit brighter cloud albedo with increasing  $N_d$  as a result of the weak 369 negative and positive LWP adjustment, particularly in heavily precipitating clouds (Fig.2b). For lightly precipitating clouds,  $\alpha_c$  susceptibilities range from -0.04 to  $0.07 \ln(N_d)^{-1}$ , with a mean of  $0.02 \ln(N_d)^{-1}$ . The suppression of 370 371 precipitation by  $N_d$  also lead to a significant increase in CF for heavily precipitating clouds, with slopes greater than 372 0.25  $ln(N_d)^{-1}$ . For most of the lightly precipitating clouds, the mean CF exhibits small variation with  $N_d$ 373 perturbations, with CF susceptibilities ranging between  $\pm 0.025 \ln(N_d)^{-1}$  (Fig.2c). The standard deviation of the 374  $1^{\circ} \alpha_{c}$  and CF susceptibilities for different precipitating bins ranges between 0.05-0.15 and 0.3-0.6, respectively. The  $\alpha_c$  and CF susceptibilities for precipitating clouds are significantly different than other two cloud states at a 95% 375 376 confidence level. Considering the combined effects of increased  $\alpha_c$  and CF, the mean radiative response for 377 precipitating clouds amounts to  $-13 W m^{-2} ln(N_d)^{-1}$ , which is a cumulative shortwave susceptibility of bins 378 classified as precipitating clouds in Fig.2d, weighted by their frequency of occurrence. The contributions from CF 379 and  $\alpha_c$  effects are -9.5 and -3.5 W  $m^{-2} ln(N_d)^{-1}$ , respectively (Eq. 3).
- 380 b. Non-precipitating thick clouds
- 381 Non-precipitating thick clouds are less frequent, the total frequency of occurrence is 10% (Fig.2e). Their 382 cloud LWP responses to  $N_d$  perturbations differ from that of precipitating clouds. The LWP susceptibility for non-383 precipitating thick clouds is the most negative among the three cloud states, and it reaches a minimum value of -1.2384 at the high-LWP and high- $N_d$  ends (Fig.2a). As LWP and  $N_d$  decrease, the LWP susceptibility gradually increases 385 from -1.2 to -0.6. This negative susceptibility is likely explained by the evaporation enhancement associated with 386 smaller droplets at high  $N_d$  values (e.g., Xue and Feingold, 2006; Small et al., 2009), which works in concert with an 387 entrainment strengthening expected in clouds with large LWP (e.g., Sandu et al., 2008, Williams and Igel, 2021). In 388 addition, clouds with higher  $N_d$  and larger LWP exhibit stronger shortwave absorption, which enhance LWP 389 depletion and therefore a more negative LWP susceptibility (e.g. Bores and Mitchell, 1994; Petters et al. 2012). The 390 mean LWP susceptibility for non-precipitating thick clouds is -0.94. Consistent with the negative LWP 391
- susceptibility, non-precipitating thick clouds become less reflective with increasing  $N_d$  for all  $N_d$  bins with LWP >
- 392 75  $gm^{-2}$  (Fig.2b). The mean  $\alpha_c$  susceptibility is  $-0.04 \ln(N_d)^{-1}$ . Due to the enhanced entrainment and
- 393 evaporation, the mean CF mostly decreases with increasing  $N_d$ , with the mean CF susceptibilities ranging from -0.1

to +0.04  $ln(N_d)^{-1}$  (Fig.2c). Considering the decrease in both  $\alpha_c$  and CF, non-precipitating thick clouds exhibit a warming effect at the surface, the mean radiative response is +4.4  $W m^{-2} ln(N_d)^{-1}$  (Fig.2d), with contributions from the albedo effect and the CF effect of 2.9 and 1.5  $W m^{-2} ln(N_d)^{-1}$ , respectively.

## 397 c. Non-precipitating thin clouds

398 Non-precipitating thin clouds are more common than thick clouds during summer, with a total frequency of 399 occurrence of 44% (Fig.2e). Compared to non-precipitating thick clouds, they exhibit consistent negative but 400 slightly weaker LWP responses to  $N_d$  perturbations. The mean LWP susceptibilities range from -0.9 to -0.4 in 401 different LWP- $N_d$  bins with a mean of -0.7 (Fig.2a). Similar to non-precipitating thick clouds, non-precipitating 402 thin clouds mostly become darker with increasing  $N_d$ . Interestingly, with largely decreased LWP, the mean CF 403 mostly increase for all  $N_d$  conditions, the CF susceptibilities range from +0.02 to +0.25  $ln(N_d)^{-1}$  (Fig.2c). The 404 sedimentation- evaporation-entrainment feedback alone cannot explain the opposite signs in in LWP and CF 405 susceptibilities for non-precipitating thin clouds. A possible explanation for the increased CF is that the enhanced 406 cloud top radiative cooling rate from aerosol perturbations help to mix the boundary layer, facilitate moisture 407 transport from the ocean surface to cloud, and therefore favor new cloud formation and extend cloud lifetime (e.g., 408 Christensen et al. 2020). This hypothesis is consistent with and supported by the relative low CF for these clouds 409 (Fig.S2a) and the diurnal variation in LWP susceptibility for non-precipitating thin clouds, which will be discussed 410 in the next section. The opposite signs of LWP and CF susceptibilities indicate that the AIE might redistribute cloud 411 water horizontally and make the thin clouds thinner and wider. The CF radiative effect from increased CF 412 dominates the albedo effect from darker clouds and lead a net cooling at the surface. The mean radiative response is 413  $-5.2 W m^{-2} ln(N_d)^{-1}$ , with CF and albedo contributions of -8.3 and  $+3.1 W m^{-2} ln(N_d)^{-1}$ , respectively (Fig.2d). 414 To sum up, the magnitudes and signs of the responses of cloud LWP,  $\alpha_c$ , and CF to  $N_d$  perturbations 415 primarily depend on the cloud states. Precipitating clouds mostly become thinner and brighter with increasing  $N_d$ , accompanied by a slight increase in CF. An increase in LWP with increasing  $N_d$  is observed only for heavily 416 precipitating clouds with  $N_d < 30 \text{ cm}^{-3}$  and LWP > 125  $gm^{-2}$ . Non-precipitating thick clouds become thinner, less 417 418 reflective, and decrease in cloudiness with  $N_d$  perturbations. On the other hand, non-precipitating thin clouds 419 become thinner and less reflective, but their cloudiness increase as  $N_d$  increases. Given the dependence of AIE on 420 cloud state, we will apply the cloud state classification in the following two sections with the goal of facilitating a 421 process-level understanding of cloud responses and the daytime variation in cloud susceptibilities.

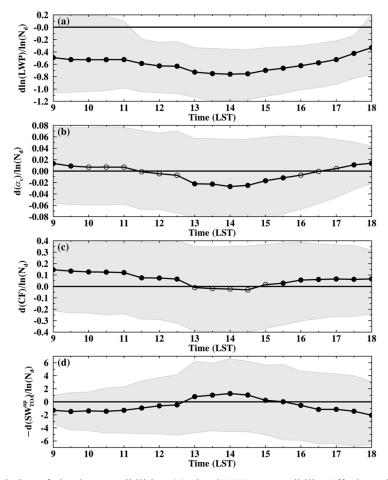
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### 22 **3.3 Daytime variation of cloud susceptibility**

423 As discussed in the introduction, warm boundary layer clouds exhibit a distinct diurnal cycle in both cloud 424 properties and frequency of occurrence of cloud states during summer. In this section, we investigate the daytime 425 variation of cloud susceptibility from 9 to 18 local standard time (LST) using the half-hourly Meteosat-11 retrievals. 426 The domain mean daytime variation of cloud susceptibility is estimated from each half-hourly time step within each 427  $1^{\circ} \times 1^{\circ}$  box and then averaged over the study domain (33-43°N, 23-33°W) during the four months. In the study 428 domain, there is little spatial variability in cloud susceptibilities and the diurnal cycle of the cloud susceptibility for

429 the  $1^{\circ} \times 1^{\circ}$  box at the ARM ENA site agree well with the domain mean pattern (not shown). Furthermore, diurnal

- 430 cycle of the cloud microphysical properties (e.g.,  $r_e$ ,  $\tau$ , LWP,  $N_d$ ) show little difference between the domain mean
- 431 value or that averaged over the 1° × 1° box at the ARM ENA site. The cloud microphysics retrievals from
- 432 Meteosat-11 agree well with retrievals based on ground-based radar and lidar observations in the daytime variation
- (not shown). Therefore, the ARM ENA site at the Azores archipelago can represent the cloud properties and the AIE 433
- 434 for warm boundary layer clouds over the study region.



435 436 Figure 3. Daytime variation of cloud susceptibilities. (a) cloud LWP susceptibility  $(dln(LWP)/dln(N_d))$ , (b) cloud 437 albedo susceptibility  $(d\alpha_c/dln(N_d))$ , (c) cloud fraction susceptibility  $(dCF/dln(N_d))$ , and (d) cloud shortwave susceptibility  $(-dSW_{TOA}^{up}/dln(N_d))$ . The shaded areas represent the lower and upper 25<sup>th</sup> percentile of the cloud 438 439 susceptibilities for each time step and the solid lines with dots represent the mean values. In (b) and (c), filled 440 markers indicate data pointshours that susceptibilities are significantly different from zero (p < 0.05), while open 441 markers indicate statistical insignificance.

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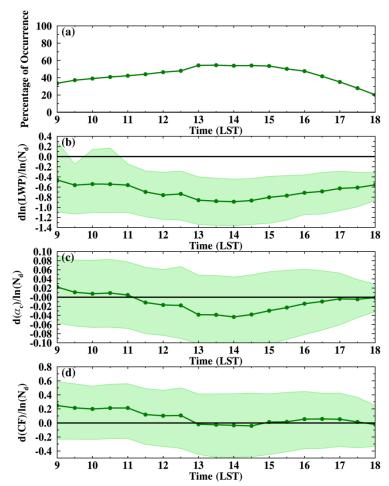
443 Warm boundary layer clouds witness distinct and significant daytime variations in cloud susceptibilities

- 444 (Fig.3). For example, the mean LWP susceptibility exhibits a magnitude of change of 0.4 from morning to evening,
- 445 which corresponds to approximately 30-40% of the overall variability in LWP susceptibility (Fig.3a). Similarly, the
- 446  $\alpha_c$  and CF susceptibility undergo magnitude of changes of approximately 20-30% compared to the overall
- 447 variability (Figs. 3b and c). The high variability in cloud susceptibility highlights the complex synoptic,
- 448 meteorological and cloud conditions as well as the interplay between them in the ENA region. Nevertheless, the

- 449 daytime variation of cloud susceptibility is statistically significant at a 95% confidence level based on a student's t-
- 450 test. Interestingly, all three cloud variables exhibit a "U-shaped" diurnal cycle in cloud susceptibilities with less
- 451 negative/more positive values in the morning and evening and more negative values at noon. Additionally, both the
- 452  $\alpha_c$  and CF susceptibilities switch signs from positive in the morning to negative at noon, and then become positive
- 453 again in the evening. The switch in sign for albedo susceptibility is statistically significant at a 95% confidence
- 454 level, while the switch in sign for CF susceptibility is not statistically significant (Figs. 3b, c). As both  $\alpha_c$  and CF
- 455 increase with increasing  $N_d$  in the morning, AIE has a cooling effect at the surface and the estimated shortwave
- 456 susceptibility is  $-1.4 W m^{-2} ln(N_d)^{-1}$ . During 13-15 LST, the shortwave susceptibility switches sign to a warming 457 effect of  $+1.2 W m^{-2} ln(N_d)^{-1}$  (Fig.3d).
- Given the pronounced daytime variation of cloud susceptibility, *how can we explain this distinct daytime variation, and which state of cloud contributes most to the daytime variation?* One possible explanation is the increased occurrence of precipitating clouds in the morning and evening during summer (Remillard et al, 2012), which increase cloud susceptibility, as depicted in Fig.2. To investigate this hypothesis and quantify the impacts of different cloud states on the variabilities of cloud susceptibilities, we examined the daytime variation of cloud susceptibility, along with the daytime shift in occurrence frequency for each cloud state.
- 464 **3.4 Daytime variation of cloud susceptibility for different cloud states**

#### 465 **3.4.1** Non-precipitating thin clouds

466 Non-precipitating clouds mainly consist of thin clouds, with a daytime mean occurrence of 44% (Fig.4a). 467 The highest occurrence is observed around noon, which is consistent with ground-based radar reflectivity 468 measurement at the ENA site (Remillard et al, 2012). Furthermore, as seen in Fig.4, not only the frequency of cloud 469 occurrence, but also the susceptibilities of LWP,  $\alpha_c$ , and CF show distinct daytime fluctuations. For example, the 470 mean LWP susceptibility decreases from -0.4 to -0.9, and the mean  $\alpha_c$  susceptibility decreases from 0.02 to -0.04471  $ln(N_d)^{-1}$  from morning to noon, followed by increases in both LWP and  $\alpha_c$  susceptibilities in the afternoon. The 472 CF susceptibility is highly positive in the morning and decreases to near zero after 13 LST. In addition, cloud 473 susceptibility for thin clouds in the morning is statistically significantly different from that at noon and in the 474 evening at a 95% confidence level.



476 477 Figure 4. Daytime variation of (a) percentage of occurrence of non-precipitating thin clouds to warm boundary layer 478 clouds, (b) cloud LWP susceptibility  $(dln(LWP)/dln(N_d))$ , (c) cloud albedo susceptibility  $(d\alpha_c/dln(N_d))$ , and (d) 479 cloud fraction susceptibility  $(dCF/dln(N_d))$  for non-precipitating thin clouds. The shaded areas represent the lower 480 and upper 25<sup>th</sup> percentile of the cloud susceptibilities for each time step and the solid lines with dots represent the 481 mean values.

482 To explain the decrease of cloud susceptibility of non-precipitating thin clouds from morning to noon, we 483 test two hypotheses (H1 and H2 in Table 1). Hypothesis H2 is related to the dissipation of thin clouds during this 484 period, which is caused by a decreased LWP due to increased solar radiation. During the dissipation, both LWP and  $r_e$  decrease. As  $r_e$  is raised to the power of  $-\frac{5}{2}$  in Eq. (1) compared to  $\tau$  being raised only to the power of  $\frac{1}{2}$ , the 485 486 decreases of LWP and  $r_e$  could still result in an increase in the retrieved  $N_d$ . Consequently, a LWP decrease and  $N_d$ 487 increase lead to a decrease in LWP susceptibility during the dissipation (Gryspeerdt et al., 2019). To examine this 488 hypothesis (H2), non-precipitating thin clouds are classified as: growing, dissipating, or constant based on the 489 changes in the mean CF, cloud susceptibilities for the three groups are shown in Fig.S3. More specifically, we 490 calculate the change in the mean CF within a 30-minute window for each fixed  $1^{\circ} \times 1^{\circ}$  box. If the mean CF increase 491 (decrease) more than 10%, clouds are classified as growing (dissipating). If the change in CF is less than 10%, 492 clouds are classified as constant. Similar results are obtained using classification methods based on different CF

- 493 thresholds (e.g., from 10% to 30%), and during different time windows from 30-minutes to two hours (not shown).

494 Table 1. List of hypotheses and associated explanations for the daytime variation of LWP and CF susceptibilities for different cloud states 495

		diffe	erent cloud states.
	Cloud state		Hypotheses:
Daytime evolution of LWP susceptibility	Non-precipitating thin clouds	H1*: H2*:	Non-precipitating thick clouds transition to thin clouds from morning to noon, which leads to a daily minimum LWP susceptibility at noon. Cannot explain. Clouds that are growing or dissipating have similar LWP susceptibilities as clouds with constant CF.
	Non-precipitating thick clouds	H1:	Thin clouds develop to thick clouds from noon to evening, which leads to an increase in LWP susceptibility.
		H2:	Cannot explain.
	Precipitating clouds	H1:	Non-precipitating thin clouds transition to precipitating clouds in the afternoon, which leads to a decrease in LWP susceptibility.
		H2:	Cannot explain.
Daytime evolution of CF susceptibility	Non-precipitating thin clouds	H1:	Thick clouds transitioned to thin clouds from morning to noon, leading to a decrease in CF susceptibility
		H2:	Cannot explain.
	Non-precipitating thick clouds	H1:	Cannot explain.
		H2:	Cannot explain.
		H3*:	Mostly overcast clouds in the morning and evening. CF of overcast clouds is less sensitive to $N_d$ perturbations.
	Precipitating clouds	H1:	Thin clouds transition to precipitating clouds in the afternoon, and lead to a decrease in CF susceptibility
		H2:	Cannot explain.

496 \*H1: LWP and CF responses to  $N_d$  perturbations slower than the transition of cloud state.

497 \*H2: Dissipation or development of clouds.

\*H3: Changes in cloud morphology. 498

499 As seen in Fig. S3b, the LWP susceptibilities for non-precipitating thin clouds in the growing or dissipating 500 stages are similar or less negative than clouds that remain constant in CF, which contradicts the hypothesis H2.

501 Additionally, the occurrence of dissipating and developing thin clouds remain relatively constant throughout the day

502 (Fig.S3a), which differs from our hypothesis that thin clouds dissipate in the morning. Therefore, the decrease in

503 LWP susceptibility in the morning is unlikely to be attributed to the dissipation or development of thin clouds. Yet,

504 due to the observational limitation on estimating the mixing process from satellite retrievals, further investigation is

505 needed to quantify the impact of cloud dissipation on the  $N_d$ -LWP relationship.

506 Besides the change in CF, dissipation/development of clouds can be defined by change in LWP. However,

507 as our definition of thin and thick clouds use LWP thresholds, results based on change in LWP are similar to results

508 shown in Fig.5, but with weaker signal (not shown). This indicates that classification of precipitating versues non-

509 precipitating clouds is necessary in distinguishing cloud responses to  $N_d$  perturbations <u>rather</u> than merely using the

510 LWP threshold.

- 511 Hypothesis H1 is related to the response time of cloud LWP and CF to  $N_d$  perturbations. Both numerical 512 models and observations have shown that the influence of aerosols on cloud LWP, achieved through adjusting the 513 entrainment rate, may take four hours to become apparent and up to 20 hours to reach an equilibrium (e.g., 514 Glassmeier et al. 2021; Gryspeerdt et al., 2021; Fons et al., 2023). Similarly, CF increases gradually from increasing 515 aerosols and may take approximately three to four hours to reach its maximum effect after the initial perturbation 516 (Gryspeerdt et al., 2021). Therefore, we hypothesize that if clouds change state during the adjustment time, clouds 517 may still retain the "memory" of their susceptibilities responses to  $N_d$  perturbations from the previous state. The 518 possible physical processes and mechanisms for this hypothesis is that the LWP susceptibility is mainly driven by 519 cloud top evaporation and entrainment rate. The positive feedback among entrainment, evaporative cooling, long-520 wave radiative cooling, and mixing from cloud top form a positive feedback loop and set up an environment 521 conductive to enhanced entrainment and evaporation. These feedback and environment will not change immediately 522 even when the cloud LWP decrease and cloud transition to a thin state or vice versa. With the diurnal variation in 523 cloud properties and transition in cloud state, it leads to a diurnal evolution in cloud susceptibility.
- 524 To quantify the dependence of current cloud susceptibility on previous cloud states, we track the cloud state 525 for each  $1^{\circ} \times 1^{\circ}$  box backward in time for two hours and classify the non-precipitating thin clouds into three groups 526 (Fig.5): (1) thin clouds that are currently classified as thin clouds and didn't change states in the past two hours (thin 527  $\rightarrow$  thin), (2) thin clouds that evolved from precipitating clouds (rain  $\rightarrow$  thin), and (3) thin clouds that decayed from 528 non-precipitating thick clouds (thick  $\rightarrow$  thin). This backward tracking classification is applied at each time step. As 529 shown in Fig.5a, at 9 LST, ~50% of the non-precipitating thin clouds originate from thick clouds in previous hours. 530 The transition from thick to thin clouds is likely caused by the increased solar radiation after sunrise, leading to 531 cloud turbulent decoupling from the ocean surface and a decrease in cloud LWP. In the evening, on the other hand, 532 around 80% of the thin clouds are thin clouds in previous hours. In addition, less than 20% of the non-precipitating 533 thin clouds transition from precipitating clouds.
- 534 In consistent with our hypothesis, non-precipitating thin clouds that are previously thick have significantly 535 more negative LWP and  $\alpha_c$  susceptibilities than thin clouds that are previously thin or precipitating (Figs. 5b and c). 536 The differences between the two categories are most pronounced from late morning to early afternoon and less 537 pronounced in the early morning and evening. Such pattern is likely attributed to the daytime evolution of marine 538 boundary layer and cloud coupling state. For example, in the early morning (e.g., 9-10 LST), even with higher 539 frequency of occurrence of thick clouds transitioning to thin clouds, the LWP susceptibility for the thick-to-thin 540 category is less negative compared to later time (dashed line with diamond symbols in Figs 5a, b). This is attributed 541 to the less negative LWP susceptibility for non-precipitating thick clouds in earlier time (e.g., 7-9 LST, not shown), 542 in connection with a well-mixed boundary layer able to transport moisture from the ocean to the cloud, which 543 compensates the moisture loss from aerosol-enhanced entrainment (e.g., Sandu et al., 2008), so that both thick and 544 thin clouds exhibit less negative LWP susceptibilities. From late morning to early afternoon, with increasing solar 545 radiation, deepening of boundary layer and clouds decoupled from surface, LWP susceptibility for thick clouds 546 largely decreases and reaches a daily minimum, which contributes to the largest difference between the thin-to-thin 547 and thick-to-thin categories shown in Fig.5b. The opposite processes occur fFrom afternoon to evening, LWP of
  - 19

- 548 <u>thick clouds become less susceptible to  $N_d$  perturbations, with the increase of LWP susceptibility for non-</u>
- 549 precipitating thick clouds, and the difference between the two categories is less pronounced. These results support
- 550 our hypothesis that clouds retain the memory of their responses to  $N_d$  perturbations from their previous states.

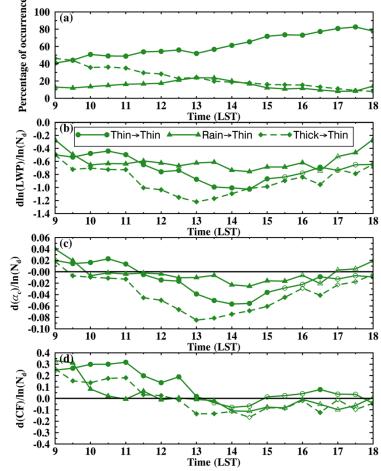
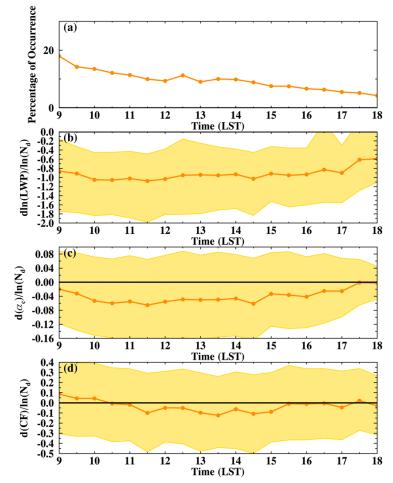


Figure 5. Daytime variation of non-precipitating thin clouds transition from non-precipitating thin clouds (thin  $\rightarrow$ thin, solid line with circle symbols), precipitating clouds (rain  $\rightarrow$  thin, solid line with triangle symbols), and nonprecipitating thick clouds (thick  $\rightarrow$  thin, dashed line with diamond symbols) in previous two hours. Symbols for different state transitions are noted in (b). In (b)-(d), filled markers indicate data points that are significantly different from the other two groups (p<0.05), while open markers indicate statistical insignificance. Similar to LWP, responses of CF to  $N_d$  perturbations in the morning retain the memory of the previous state of clouds. As seen in Figure 5d, thin clouds that transitioned from thick clouds or precipitating clouds have

- significantly less positive CF susceptibility than thin clouds that were previously thin, particularly in the morning.
- 560 As the CF susceptibility for thin clouds that evolved from precipitating and thick clouds greatly decrease from
- 561 morning to noon, the CF susceptibility for thin clouds decrease from large positive to near zero from morning to
- 562 noon (Fig.4c). A maximum in CF susceptibility in the early morning is likely associated with the influence of
- aerosols on boundary layer mixing and the evolution of boundary layer from morning to noon. The enhanced
- be entrainment rate and radiative cooling rate from  $N_d$  perturbations help to destabilize the boundary layer and
- transport moisture from the ocean surface to clouds, facilitating new cloud formation (e.g., Christensen et al. 2020).
- 566 As the boundary layer is typically well mixed in the morning with clouds coupled to the surface, the impact of

- aerosols on CF is strongest in the morning and gradually decrease from morning to noon. In the afternoon, thin
- 568 clouds transition from all three states have near-zero CF responses to  $N_d$  perturbations. Further analyses and model
- simulations are needed to better understand <u>the impacts of aerosols' impact</u> and the associated diurnal evolution of
- 570 entrainment rate, boundary layer mixing <u>on</u>, cloud cover and lifetime, to <u>better</u> explain the observed daytime
- variation of CF susceptibility for non-precipitating thin clouds.
- 572 Lastly, Thethe impact of the cloud memory of AIE on current cloud susceptibility is also evident within a 573 30-minute window when a transition of cloud state just occurs (Fig.S4). Consistent with the findings in Fig.5, thin 574 clouds that transition from thick clouds exhibit much more negative LWP and  $\alpha_c$  susceptibilities compared to thin 575 clouds that remain thin during the 30 minutes. Yet, the number of cases experiencing a transition in cloud state 576 within a 30-miniute window is limited (Fig.S4a). In addition, the impact of the transition in cloud state on the 577 current cloud susceptibility persists for at least four hours (Fig.S5). As our tracking method does not follow 578 individual cloud parcels to track changes in their states, the influence of cloud advection may become significant 579 over longer tracking time, such as four hours. Therefore, a two-hour tracking window is used in this study.
- As discussed in the method section, while the advective effects in our study are expected to be modest, we further isolate their impact, by performing an analysis for cloud scenes with wind speed of less than 7 m/s (60% of time), when clouds are somewhat stationary in two hours. Influence of transition in cloud state is consistent as in Fig.5 with more negative LWP and  $\alpha_c$  susceptibilities for thin clouds transitioned from thick clouds, while the signal is slightly stronger (not shown). This consistency confirms that our tracking method can capture the signal of cloud state transition and its impact on cloud susceptibilities during summer in the study region.
- 586 In summary, the "U-shaped" daytime variations in LWP and  $\alpha_c$  susceptibilities for non-precipitating thin 587 clouds are likely due to cloud retaining the memory of AIE. From morning to noon, as non-precipitating thick 588 clouds evolve to thin clouds, they retain their memory of the large negative LWP susceptibility. Therefore, both
- 589 LWP and  $\alpha_c$  susceptibilities decrease from morning to noon for thin clouds and reach their daily minima at noon. In
- 590 the afternoon, as a growing percentage of thin clouds persist as thin clouds during the next hours, LWP and  $\alpha_c$
- 591 susceptibilities gradually increase to less negative and near zero, respectively.

## 592 3.4.2 Non-precipitating thick clouds



593 Time (LST) 594 Figure 6. Daytime variation of (a) percentage of occurrence of non-precipitating thick clouds to warm boundary 595 layer clouds, (b) cloud LWP susceptibility  $(dln(LWP)/dln(N_d))$ , (c) cloud albedo susceptibility  $(d\alpha_c/dln(N_d))$ , 596 and (d) cloud fraction susceptibility  $(dCF/dln(N_d))$  for non-precipitating thick clouds. The shaded areas represent 597 the lower and upper 25<sup>th</sup> percentile of the cloud susceptibilities for each time step and the solid lines with dots 598 represent the mean values.

Consistent with Fig.2e, non-precipitating thick clouds are the least frequent warm boundary layer cloud
 state during summer over the ENA region. Their percentage of occurrence continuously decreases from 20% in the

- 601 morning to less than 5% in the evening (Fig.6a). For LWP and  $\alpha_c$ , their susceptibilities first decrease from less
- 602 negative to more negative in the morning and then increase from noon to evening (Fig.6b and c, respectively). CF
- 603 susceptibility is weakly positive in the early morning, becomes weakly negative from late morning to early
- afternoon, and increases to near zero in the evening (Fig.6d). The daytime evolutions of LWP and  $\alpha_c$  susceptibilities
- 605 for thick clouds exhibit consistent trend with cloud susceptibilities for thin clouds transition from thick clouds
- shown in Fig.5 but with a lag of two hours. For example, the LWP susceptibility for thick clouds decreases from
- -0.8 to -1.1 from 9 to 11 LST and it increase from -1.1 to -0.8 from 11 to 16 LST; while the LWP susceptibility
- for the thick-to-thin category in Fig.5b decreases from -0.8 to -1.2 from 11-13 LST and increases to -0.6 from 13
- to 18 LST. This result supports our hypothesis on cloud retaining its memory of AIE of its previous cloud state.

610 To gain insight into the observed evolution of LWP and  $\alpha_c$  susceptibility from morning to evening, we 611 investigate the influence of cloud state transition on cloud susceptibility for non-precipitating thick clouds, which is 612 summarized as H1 in Table 1. As shown in Fig.7a, around 40% of thick clouds sustain as thick clouds from the 613 previous two hours in the morning; whereases during the late afternoon to evening, with decreasing solar radiation, 614 more than 60% of thick clouds are developed from thin clouds. Consistent with the findings presented in Fig.5, thick 615 clouds that are previously thick exhibit significantly more negative LWP susceptibility compared to thick clouds that 616 are previously thin (Fig.7b). These differences are particularly prominent from late morning to noon and become 617 insignificant in the afternoon. As discussed before, difference between the thick-to-thick and the thin-to-thick 618 categories are due to the LWP susceptibilities for thick and thin clouds of previous time, while the smaller 619 differences in the early morning and afternoon could be attributed to the expected stronger turbulence and cloud 620 coupling at these times. Additionally, Fig.7d indicates that transition in cloud state cannot explain the daytime 621 variation in CF susceptibility for thick clouds, as all three groups are insignificantly different from each other.

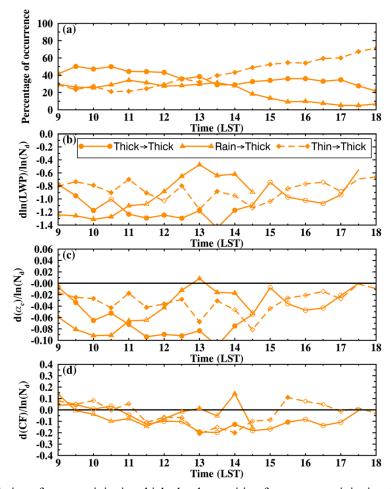


Figure 7. Daytime variation of non-precipitating thick clouds transition from non-precipitating thick clouds (thick  $\rightarrow$ 624 thick, solid line with circle symbols), precipitating clouds (rain  $\rightarrow$  thick, solid line with triangle symbols), and non-625 precipitating thin clouds (thin  $\rightarrow$  thick, dash line with diamond symbols) in previous two hours. Symbols for 626 different state transitions are noted in (b). In (b)-(d), filled markers indicate data points that are significantly 627 different from the other two groups (p<0.05), while open markers indicate statistical insignificance.

- 628 To understand the driving mechanism for the daytime variation in CF susceptibility shown in Figure 6d, we
- 629 calculate the mean cloud properties for non-precipitating thin and thick clouds, as shown in Fig.8. In the morning,
- 630 non-precipitating thick clouds are predominantly overcast clouds with a mean CF of 75% (Fig.8a). To distinguish
- between overcast and broken clouds, we calculate the diameter-to-height ratio (DHR) for each cloud, where
- diameter is estimated by the square root of the area and height is defined as the 90th percentile of cloud tops. As
- 633 shown in Fig.8c, thick clouds are mostly overcast in the morning with a mean DHR of 230. Compared to broken
- 634 clouds, overcast clouds have less room for CF to increase, which results in a less positive CF susceptibility for thick
- 635 clouds compare to thin. After 10 am, non-precipitating thick clouds start to break. The mean CF decreases from 75%
- at 10 am to 60% at 2 pm and the DHR decreases from 230 to 170. As CF for broken clouds is more sensitive to  $N_d$
- 637 perturbations, CF susceptibility decreases to  $-0.13 \ln(N_d)^{-1}$ , which is consistent with the daytime mean negative
- 638 CF susceptibility shown in Fig.2c. From afternoon to evening, clouds transition to overcast again (Fig.8), and the CF
- 639 susceptibility increases back to zero. This impact of cloud morphology (e.g., overcast or broken clouds) on daytime
- 640 variation of CF susceptibility is summarized as H3 in Table 1.

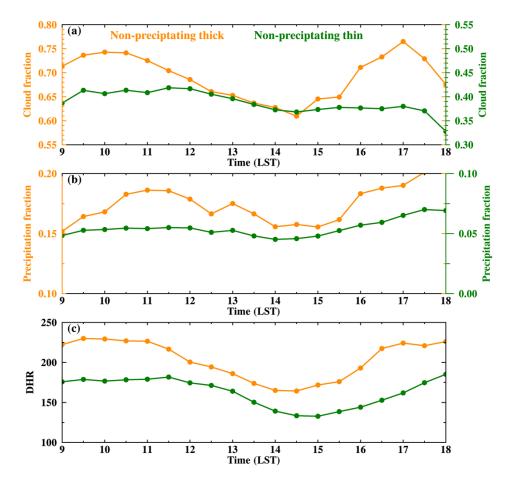
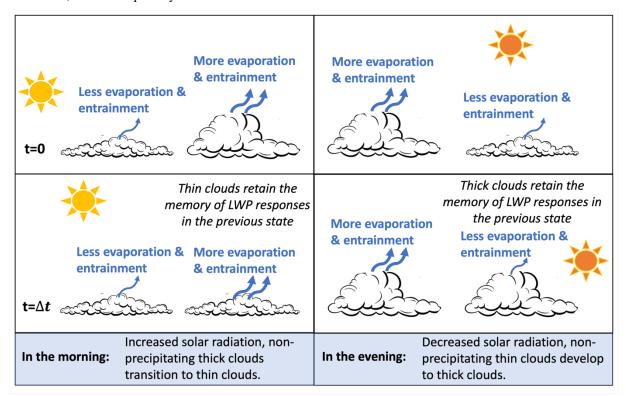


Figure 8. Diurnal variation of (a) cloud fraction, (b) pixel-level precipitation fraction, and (c) diameter-to-height
ratio (DHR) for non-precipitating clouds. Different colors represent different cloud states as indicated in (a). Please
note that the non-precipitating thin cloud in (a) and (b) use the y-axis on the right side.

- 645 The previous results are summarized as follows: LWP susceptibility for non-precipitating thick clouds first
- decreases from less negative to more negative in the morning and then increase from noon to evening, which is
- 647 likely attributed to the transition from thin to thick clouds. In the morning, 40% to 50% of thick clouds are
- 648 previously thick clouds, these clouds exhibit a large negative LWP susceptibility. In the afternoon, with increasing
- be percentage of thick clouds develop from thin clouds and retain the memory of LWP susceptibility responses to  $N_d$
- 650 <u>perturbations of the thin clouds</u>. LWP susceptibility gradually increases and becomes similar to that of thin clouds
- (Fig.4b, 6b). Daytime variation in CF susceptibility for thick clouds is likely attributed to changes in cloud
- morphology. In the morning and evening, thick clouds are mostly overcast with CF less sensitive to  $N_d$
- 653 perturbations, resulting in a near zero CF susceptibility. From late morning to early afternoon, the overcast thick
- 654 clouds break down and CF decrease with increasing  $N_d$  likely due to <u>the increased shortwave absorption</u>, the 655 enhanced entrainment, and evaporation.
- The impact of cloud memory and transition of cloud state on the daytime variation of LWP susceptibility is summarized as a schematic figure shown in Fig.9. From morning to noon, as non-precipitating thick clouds transition to thin clouds, thin clouds retain their memory of <u>AIE the large negative LWP susceptibility</u> of their previous state. Therefore, LWP susceptibility for thin clouds decreases from morning to noon and reach its daily minima in the early afternoon. From early afternoon to evening, with non-precipitating thin clouds developing to thick clouds, LWP susceptibility for thick clouds increase.



662 663 664

Figure 9. Schematic figure of influence of cloud memory and transition of cloud state on the LWP susceptibility and its daytime variation.

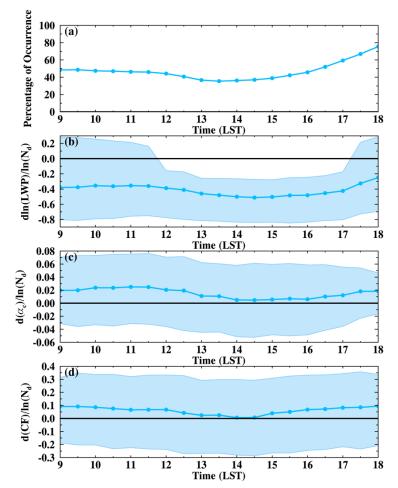


Figure 10. Daytime variation of (a) percentage of occurrence of precipitating clouds to warm boundary layer clouds, (b) cloud LWP susceptibility  $(dln(LWP)/dln(N_d))$ , (c) cloud albedo susceptibility  $(d\alpha_c/dln(N_d))$ , and (d) cloud fraction susceptibility  $(dCF/dln(N_d))$  for precipitating clouds. The shaded areas represent the lower and upper 25<sup>th</sup> percentile of the cloud susceptibilities for each time step.

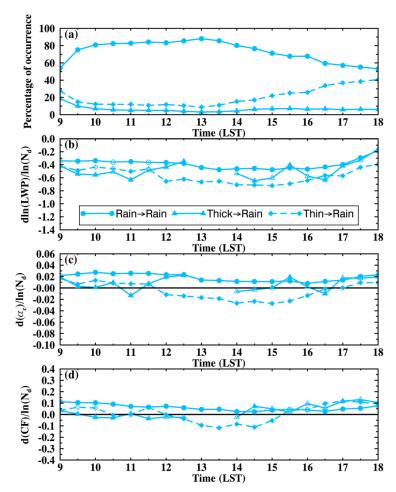
671 Precipitating clouds, depicted in Figs.10a, are the dominant cloud state in this region, accounting for 46%672 of the warm boundary layer clouds, compared to 44% of non-precipitating thin clouds. The frequency of

- 673 precipitating clouds is higher in the morning and evening compared to noon. Throughout the day, the mean LWP
- 674 susceptibility remain consistently negative, fluctuating between -0.5 to -0.3, with minimum values between 14-16
- 675 LST (Figs.10b). The daytime variability in LWP susceptibility for precipitating clouds is much lower than that for
- 676 non-precipitating thin (e.g., from -0.9 to -0.4) and thick (e.g., from -1.1 to -0.6) clouds. The negative LWP
- 677 susceptibility is likely due to the prevalence of lightly precipitating clouds, with a mean precipitating fraction
- 678 ranging from 0.2 to 0.5 (Fig.S2d). The influence of precipitation suppression is smaller than that of the entrainment
- 679 enhancement. Similarly,  $\alpha_c$  susceptibility fluctuates between 0 to 0.02 throughout the day, with near zero  $\alpha_c$
- 680 susceptibility in early afternoon (Figs. 10c). Despite the minimal daytime variation, the LWP and  $\alpha_c$  susceptibilities
- at 13-16 LST are statistically significant different from cloud susceptibilities in the morning and evening at 95%

682 confidence level with the two-tailed t-test. The CF susceptibility for precipitating clouds also shows minimal683 daytime variation compared to non-precipitating clouds, with a mean value ranging from 0 to 0.1 (Figs.10d).

684 Consistent with non-precipitating clouds, the daytime variation of LWP and  $\alpha_c$  susceptibilities for 685 precipitating clouds can be attributed to the transition of cloud states. For example, as shown in Figs.11b-d, 686 precipitating clouds that transition from non-precipitating thin clouds exhibit significantly more negative/less 687 positive cloud susceptibilities than precipitating clouds that are previously precipitating. Meanwhile,  $\alpha_c$  and CF 688 susceptibilities switch signs from positive to negative in the afternoon for precipitating clouds transition from non-689 precipitating thin clouds compared to that are previously precipitating (dash line with diamond symbols in Figs. 11c, 690 d). Starting from 13 LST, when non-precipitating thin clouds transition to precipitating clouds (Fig.11a), LWP and 691  $\alpha_c$  susceptibilities begin to decrease and reach their daily minimum in the late afternoon. Interestingly, as non-692 precipitating clouds transition to precipitating clouds (Figs.11b and c, thin  $\rightarrow$  rain, thick  $\rightarrow$  rain), their LWP and  $\alpha_c$ 693 susceptibilities exhibit both less negative values and smaller daytime variations compared to thin/thick clouds that 694 remain as thin/thick (Figs. 5b and c, thin  $\rightarrow$  thin, Figs. 7b and c, thick  $\rightarrow$  thick). The underlying reason for this 695 observation is currently unclear and warrants further investigation on the sensitivity of AIE for clouds experiencing 696 transition in cloud states, especially between precipitating and non-precipitating clouds. Lastly, the percentage of 697 precipitating clouds that transition from non-precipitating thick clouds is less than 7% (Fig.11a).

698 In conclusion, precipitating clouds exhibit smaller daytime variation in cloud susceptibilities compared to 699 non-precipitating thin and thick clouds. The decrease of LWP and  $\alpha_c$  susceptibilities for precipitating clouds in the 690 afternoon is likely contributed by the transition of non-precipitating thin clouds to precipitating clouds.



701 702

Figure 11. Daytime variation of precipitating clouds transitioned from precipitating clouds (rain  $\rightarrow$  rain, solid line with circle symbols), non-precipitating thick clouds (thick  $\rightarrow$  rain, solid line with triangle symbols), and nonprecipitating thin clouds (thin  $\rightarrow$  rain, dash line with diamond symbols) in previous two hours. Symbols for different state transitions are noted in (b). In (b)-(d), filled markers indicate data points that are significantly different from the other two groups (p<0.05), while open markers indicate statistical insignificance.

- 707 Combining the results shown here and results in section 3.4.1, we can answer which cloud state contributes 708 the most to the daytime variation of cloud susceptibility. The non-precipitating thin clouds exhibit similar daytime 709 variations in LWP,  $\alpha_c$ , and CF susceptibility than the warm boundary layer clouds (Fig.4 vs. Fig.3), with clouds 710 being less susceptible to  $N_d$  perturbations in the morning and evening and more susceptible at noon. Additionally, 711 non-precipitating thin clouds have highest frequency at noon. On the other hand, precipitating clouds, despite their 712 higher percentage of occurrence than thin clouds, exhibit minimal daytime variation in cloud susceptibility. 713 Therefore, the pronounced daytime variations in cloud susceptibilities for warm boundary layer clouds primarily 714 stem from non-precipitating thin clouds. The distinct daytime evolution patterns for the three clouds states highlight
- stem nom non-precipitating unit clouds. The distinct daytime evolution paterns for the three clouds states night;
- the importance of cloud state classification in quantification of cloud susceptibility.

## 716 3.5 Contribution to the daytime variation of cloud susceptibility

717 As discussed in the previous section, both the frequency of occurrence of cloud states and the intensity of 718 cloud responses to  $N_d$  perturbations exhibit pronounced daytime variations. In this section, we aim to compare the

- contribution of these two components to the overall daytime variation in cloud susceptibilities by fixing one
- 720 component constant at a time. The contribution from changes in the frequency of cloud states is represented by the
- red lines in Fig.12, which is estimated by weighting the daytime mean cloud susceptibility (Figs. 2a-c) with the half-
- hourly frequency of occurrence of clouds in the LWP- $N_d$  parameter space, assuming a constant intensity of cloud
- 723 susceptibility during the daytime. The contribution from changes in the intensity of cloud susceptibility is depicted
- 724 by the blue lines, which is estimated by weighting the half-hourly cloud susceptibility in the LWP- $N_d$  parameter
- space with the daytime mean frequency of occurrence of clouds (Fig.2e), assuming a constant frequency during the
- daytime. The black line in Fig.12 represents the observed susceptibility shown in Fig.3, and it includes the
- 727 contributions from daytime variations in both components.

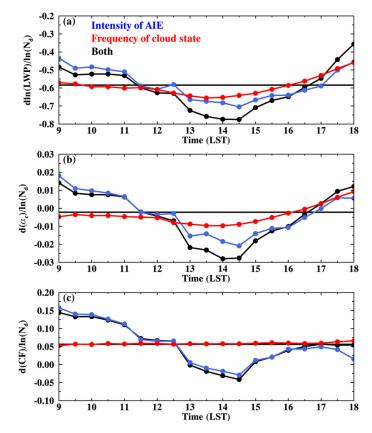


Figure 12. Daytime variation in cloud susceptibility contributed from the variability in the intensity of susceptibility (blue lines with symbols), variability in the frequency of occurrence of cloud state (red lines with symbols), and from both (black lines with symbols). (a) cloud LWP susceptibility  $(dln(LWP)/dln(N_d))$ , (b) cloud albedo susceptibility  $(d\alpha_c/dln(N_d))$ , (c) cloud fraction susceptibility  $(dCF/dln(N_d))$ . The horizontal black solid lines in (a)-(c) are the daytime mean susceptibility.

734 When comparing the net observed daytime variation of cloud susceptibilities (black lines) with the 735 contributions from changes in the intensity and the frequency of cloud state (blue and red lines, respectively), we 736 find that the daytime changes in cloud susceptibility is primarily driven by changes in the intensity of cloud 737 susceptibilities during the day. Additionally, as shown in Figs. 12a and b, the red lines are close to the daytime mean 738 values in the morning, which indicates that variations in the frequency of different cloud states have minimal impact 739 on changes in LWP and  $\alpha_c$  susceptibilities in the morning. On the other hand, in the afternoon, both shifts in cloud

- states and changes in intensities contribute to the changes in LWP and  $\alpha_c$  susceptibilities. Compared with LWP and
- 741  $\alpha_c$  susceptibilities, the daytime variation of CF susceptibility shows minimal sensitivity to changes in cloud state
- frequency. This limited impact stems from the fact that the daytime fluctuation in cloud state frequency is
- 743 predominantly influenced by precipitating and non-precipitating thin clouds. Meanwhile, the daytime mean CF
- susceptibilities for precipitating and non-precipitating thin clouds closely align, measuring at 0.08 and 0.09,
- respectively (Fig.2c). This convergence diminishes the influence of alterations in the frequency of these two cloud
- states.

In summary, the daytime variation of cloud susceptibility is largely driven by the variation in its intensity. Since polar-orbiting satellites only observe the cloud responses to  $N_d$  perturbations across different cloud states at their overpass time, they cannot fully capture the diurnal variation of cloud susceptibilities driven by the variation in the intensity of cloud susceptibility. Given that all three cloud susceptibilities reach their daily minimum at around 13:30 LST, studies based on polar-orbiting satellite with overpass time at noon may underestimate the daily mean

value of cloud susceptibility.

#### 753 4. Discussions

In this study, we quantify the susceptibility of warm boundary layer clouds to  $N_d$  perturbation using the pixel-level SEVIRI cloud retrievals of each time step. For heavily precipitating clouds, LWP increases under pristine condition (e.g.,  $N_d < 30 \ cm^{-3}$ , Fig.2a). For lightly precipitating and non-precipitating clouds, LWP decreases with  $N_d$ . The  $N_d$ -LWP relationship found in this study is consistent with that in Gryspeerdt et al. (2019) using global mean cloud retrievals from MODIS and AMSR-E at coarser resolution of  $1^\circ \times 1^\circ$  and daily timescale. This consistency between different satellite measurements at different temporal and spatial scales greatly enhance our confidence in the retrieved relationship.

761 This study further distinguishes non-precipitating clouds into thin and thick clouds based on their LWP. A 762 consistent decreasing trend in cloud water is found for both states, yet non-precipitating thick clouds exhibit more negative LWP susceptibility  $\left(\frac{dln(LWP)}{dln(N_d)} = -0.94\right)$  compared to thin clouds  $\left(\frac{dln(LWP)}{dln(N_d)} = -0.71\right)$ . The LWP 763 764 susceptibilities estimated in this study are more negative than those in Zhang et al. (2022) and Zhang and Feingold 765 (2023), based on similar classification of cloud states. Particularly, we found that non-precipitating thin clouds have 766 a decreasing trend in cloud water and a warming effect at the surface radiation while these are opposite in Zhang et 767 al. (2022) and Zhang and Feingold (2023). This is due to different seasons and study regions between our and their 768 studies. The summer boundary layer in the ENA region is deeper and less stable with higher cloud tops (e.g., Klein 769 and Hartmann, 1993; Ding et al., 2021; King et al., 2013) compared to the NE Pacific in Zhang et al. (2022) and the 770 NE Atlantic region in Zhang and Feingold (2023). The less stable condition, deeper boundary layer, and deeper 771 clouds could lead to a stronger cloud-top entrainment rate and result in a more negative LWP susceptibility (Possner 772 et al., 2020; Toll et al., 2019).

773Regarding the CF adjustment to  $N_d$  perturbation, a daytime mean positive response is found for774precipitating and non-precipitating thin clouds and a negative response for non-precipitating thick clouds (Fig.2c).

Few studies have quantified the CF adjustment rate at 30-minute intervals for a direct comparison of CF

- susceptibility. However, similar results are found using measurements and retrievals from different platforms at
- various spatial and timescales, which greatly increase our confidence in the observed CF responses toward  $N_d$
- perturbation. For example, using MODIS measurement, Kaufman et al. (2005) found an increase in the longitudinal
- mean cloudiness for warm boundary layer clouds with increasing AOD in all four regions of the Atlantic Ocean
- 780 characterized by distinct aerosol types. Using the natural experiment of volcanic eruption at Holuhraun in Iceland,
- 781 Chen et al. (2022) found that aerosols from the eruption increase the monthly mean cloud cover by 10% over the
- 782 North Atlantic. By tracking the cloud trajectory using geostationary satellites, Christensen et al. (2020) found that
- aerosol enhance both CF and cloud lifetime in the timescale of 2-3 days, especially under stable conditions. It is
- 784 worth noting that a decrease in CF was not observed in these studies, likely due to the prevalence of non-
- precipitating thin clouds and precipitating clouds in the Atlantic or the NE Pacific (e.g., Zhang and Feingold, 2023)
  that mask the signal from non-precipitating thick clouds without distinguishing cloud states.
- 787 Lastly, the distinct "U-shaped" daytime variation in all three cloud properties found in this study (Fig.3a-c) 788 is *unlikely* due to the systematic bias in  $r_e$  and  $\tau$  retrievals at large SZA based on the following two aspects. Firstly, 789 if the daytime variation is driven by retrieval bias at large SZA, we would expect the susceptibility exhibiting a 790 symmetric pattern at local noon. As shown in Figs. 10 and 6, the LWP and  $\alpha_c$  susceptibilities for precipitating and 791 non-precipitating thick clouds exhibit asymmetric pattern at local noon: with a decreasing trend from 13 LST and a 792 daily minimum at 16 LST, and a continuously increasing trend from 11 to 18 LST, respectively. In addition, the CF 793 susceptibilities for all three cloud states show asymmetric patterns at local noon. Secondly, if the retrieval 794 uncertainty dominates the signal, we would expect less variation in cloud susceptibilities for overcast clouds, which 795 suffer less uncertainties in cloud retrievals from the plane-parallel assumption and the cloud 3-D effect. However, 796 the opposite is found from the sensitivity test where overcast clouds exhibit stronger daytime variation in cloud 797 susceptibilities (not shown).
- 798 5. Conclusions

Using  $N_d$  as an intermediary variable, this study investigates the aerosol indirect effect (AIE) for warm boundary layer clouds and its daytime variation over the ENA region with the half-hourly and 3-km cloud property retrievals from SEVIRI on the Meteosat-11. To constrain meteorological impacts on clouds and aerosol-cloud interaction, cloud susceptibilities are estimated within a 1° × 1° grid box for each satellite time step. Based on the daytime mean cloud susceptibilities in the LWP- $N_d$  parameter space, the sign and magnitude of cloud susceptibilities strongly depend on the cloud states (Fig.2). Accordingly, warm boundary layer clouds are classified into three states: precipitating clouds ( $r_e > 15 \ \mu m$ ), non-precipitating thick clouds ( $r_e < 15 \ \mu m$ , LWP > 75 gm<sup>-2</sup>), and

806 non-precipitating thin clouds ( $r_e < 15 \ \mu m$ , LWP < 75 gm<sup>-2</sup>).

807 Precipitating clouds exhibit contrasting responses in cloud LWP, with increases observed for heavily 808 precipitating clouds and decreases for lightly precipitating clouds. Positive  $\alpha_c$  and CF susceptibilities are identified 809 for both heavily and lightly precipitating clouds. The net all-sky radiative forcing of the AIE on precipitating clouds

- 810 is estimated to be  $-13 W m^{-2} ln(N_d)^{-1}$ , with contributions from the CF and  $\alpha_c$  effects of -9.5 and -3.5
- 811  $W m^{-2} ln(N_d)^{-1}$ , respectively. For non-precipitating clouds, both thick and thin clouds show negative LWP

susceptibility with more negative values found for thick clouds with higher LWP and  $N_d$ . This is likely attributed to

- 813 the stronger shortwave absorption, larger cloud top radiative cooling rate and stronger entrainment for thick clouds.
- 814 Consistent with the evaporation-entrainment feedback, non-precipitating thick clouds exhibit a decrease in CF and
- 815  $\alpha_c$  with increasing  $N_d$ , and results in a net warming effect at the surface and a radiative forcing of +4.4
- 816  $W m^{-2} ln(N_d)^{-1}$ . On the other hand, non-precipitating thin clouds show an increasing response in CF and a less
- 817 negative  $\alpha_c$  susceptibility. Additionally, the radiative effect from increasing CF (-8.3  $W m^{-2} ln(N_d)^{-1}$ ) outweighs
- 818 that from a darker cloud  $(+3.1 W m^{-2} ln(N_d)^{-1})$  and leads to a net cooling effect of  $-5.2 W m^{-2} ln(N_d)^{-1}$ .
- 819 Warm boundary layer clouds manifest distinct and significant (p<0.05) daytime variations in LWP,  $\alpha_c$ , and 820 CF susceptibilities. All three cloud susceptibilities exhibit "U-shaped" diurnal patterns with clouds being less 821 susceptible in the morning and evening and more susceptible at local noon (Fig.3).
- 822 Daytime variation in LWP and  $\alpha_c$  susceptibilities is likely attributed to the transition in cloud state while 823 clouds sustaining the memory of responses to  $N_d$  of the previous state (H1 in Table 1). From morning to noon, with 824 increasing solar radiation, non-precipitating thick clouds evolve to thin clouds. Thin clouds decayed from thick show 825 significantly more negative LWP and  $\alpha_c$  susceptibilities than thin clouds that are previously thin (Fig.5). Therefore, 826 LWP and  $\alpha_c$  susceptibilities decrease from morning to noon for thin clouds and reach their daily minima at noon 827 (Fig.4). In the afternoon, thin clouds develop to thick clouds while retaining the memory of less susceptible to  $N_d$ 828 perturbations (Fig.7) and therefore leads to an increase in LWP and  $\alpha_c$  susceptibilities for nonprecipitating thick 829 clouds in the afternoon (Fig.6). Meanwhile, daytime variation in CF susceptibility for non-precipitating thick clouds 830 is more likely driven by changes in cloud morphology rather than the transition of cloud state (Fig.8, H3 in Table 1). 831 Compared to non-precipitating clouds, precipitating clouds exhibit smaller daytime variation in cloud susceptibility 832 (Fig.10).
- The daytime variation in cloud susceptibility is primarily driven by changes in the intensity of cloud
  susceptibilities from morning to noon, rather than changes in the frequency of occurrence of different cloud states
  (Fig.12). As the polar-orbiting satellites only observe cloud susceptibilities across different cloud states during a
  specific overpass time, they overlook the change in the intensity of cloud susceptibilities during the day. More
- 837 specifically, based on the daytime variation of cloud susceptibilities found in this study, LWP susceptibility
- estimated at 13:30 LST could underestimate the daytime mean value by 26.3% (-0.76 compared to -0.60),
- underestimate the  $\alpha_c$  susceptibility by 475% (-0.023 compared to -0.004), and underestimate the CF susceptibility
- by 120% (-0.019 compared to +0.055). It is worth noting that both the daytime variation and the daytime mean
- values of cloud susceptibilities in this study are estimated based on the regression analysis on spatial data within
- 842 each satellite time step, with the assumption that the temporal change of cloud properties from  $N_d$  perturbations can
- be represented by the spatial relationships.
- 844 This study underscores the importance of considering the diurnal cycle of cloud susceptibilities when845 quantifying AIE and their impacts on clouds and radiation. The classification of cloud states enables us to
- 846 distinguish the sign, magnitude, and underlying processes driving the diurnal variation of AIE.
  - 32

- 847 To further advance our understanding of the diurnal variation of AIE, several avenues for future research 848 can be pursued. Firstly, it is important to address uncertainties associated with satellite retrievals, which can 849 propagate into uncertainties in the retrieved  $N_d$ , as discussed in Grosvenor et al. (2018). Future study could utilize 850 active sensors to reduce these uncertainties, particularly during nighttime conditions. Moreover, using the retrieved 851  $N_d$  as a proxy of aerosol concentration may introduce uncertainties related to cloud processes that can act as sources 852 or sinks of  $N_d$ , potentially buffer the relationships between  $N_d$  and cloud condensation nuclei. Future investigations 853 are needed to better understand the relationships, and how they vary with different cloud processes and throughout 854 the day. Lastly, this study encompasses all warm boundary layer clouds without considering the highly diverse 855 meteorological regimes and the associated cloud types in the ENA region. Classification of the synoptic and 856 meteorological conditions associated with different cloud states and aerosol properties would contribute to a more 857 comprehensive understanding, allowing for the disentanglement of the impacts of meteorology from AIE. 858
- 859

#### 860 Data availability:

861 SEVIRI Meteosat-11 cloud retrieval products, produced by NASA LaRC SatCORPS group, are available from the

862 Atmospheric Radiation Measurement (ARM) Data Discovery website at <u>https://adc.arm.gov/discovery/</u>, Minnis

863 Cloud Products Using Visst Algorithm (visstgridm11minnis). The ARM ground-based radar and lidar observations

are available from ARM Data Discovery, KAZRARSCL, (arsclkazr1kollias).

865

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# 880 Competing interests:

881 882	The authors declare that they have no conflict of interest.
883	

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