# Multi-instrumental analysis of ozone vertical profile and total column in South America: comparison between subtropical and equatorial latitudes

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# Abstract

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The behavior of ozone gas  $(O_3)$  in the atmosphere varies according to the region of the globe. Its formation occurs mainly in the tropical stratosphere through the photodissociation of molecular oxygen with the aid of the incidence of ultraviolet solar

- 15 radiation. Still, the highest concentrations of  $O_3$  content are found in high-latitude regions (poles) due to the Brewer-Dobson circulation, a large-scale circulation that takes place from the tropics to the pole in the winter hemisphere. This work presents a multi-instrumental analysis at two Brazilian sites, a subtropical one (Santa Maria 29.4°S; 53.8°W) and an equatorial one (Natal 5.4°S; 35.4°W), to investigate ozone distributions in terms of vertical profiles (2002–2020) and total abundance (total columns of ozone (1979–2020). The study is based on the use of ground-based and satellite observations. Ozone profiles over
- 20 Natal, from the ground up to the mesosphere, are obtained by radiosonde experiments (0-30 km) in the framework of the SHADOZ program, and by satellite measurements from the SABER instrument (15-60km). This enabled the construction of a continuous time series for ozone, including monthly values and climatological trends. There is a good agreement between the 2 measurements in the common observation layer, mainly for altitudes above 20 km. Below 20km, SABER ozone profiles showed high variability and overestimated ozone mixing ratios by over 50%. Dynamic and photochemical effects can interfere
- 25 with O<sub>3</sub> formation and distribution along higher latitudes through the Brewer-Dobson Circulation. The measurements of the total ozone columns used are in good agreement with each other (TOMS/OMI x Dobson for Natal and TOMS/OMI x Brewer for Santa Maria) in time and space, in line with previous studies for these latitudes. Wavelet analysis was used over 42 years. The investigation revealed a significant annual cycle in both data series for both sites. The study highlighted that the Quasi-Biennial Oscillation (QBO) plays a significant role in the variability of stratospheric ozone at the two study sites Natal and

- 30 Santa Maria. The QBO's contribution was found to be stronger at the equator (Natal) than at the subtropics (Santa Maria). Additionally, the study showed that the 11-year solar cycle also has a significant impact on ozone variability at both locations. Given the study latitudes, the ozone variations observed at the two sites showed different patterns and amounts. Only a limited number of studies have been conducted on stratospheric ozone in South America, particularly in the region between the equator and the subtropics. The primary aim of this work is to investigate the behavior of stratospheric ozone at various altitudes and
- 35 latitudes using ground-based and satellite measurements in terms of vertical profiles and total columns of ozone.

## **1** Introduction

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About 90% of the atmospheric ozone content is found in the stratosphere between 15 and 35 km altitude (London, 1985; WMO, 1995). In this altitude range, the formation of a protective barrier against UV radiation harmful to human health and to the biosphere is observed in the well-known Ozone Layer (Kirchhoff et al., 1991). A combination of dynamic and photochemical processes determines the abundance of ozone concentration in the stratospheric layer. Thus, in the upper stratosphere (35-50 km), the ozone abundance is determined by the photochemical production and destruction processes of this gas (Chapman, 1930), while in the lower stratosphere (15-30 km), the abundance of O<sub>3</sub> is mainly controlled by dynamical processes (Dobson, 1930; Brewer, 1949; Bencherif et al., 2007, 2011).

- Several instruments have been used to improve the analysis and understanding of atmospheric ozone. Vertical analysis of ozone content is important because it contributes to better understand O<sub>3</sub> distributions in the troposphere and in the stratosphere. Ozone in the atmosphere is measured by various experiments from the ground or on-board satellites. The Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) instrument aboard the TIMED (Thermosphere Ionosphere Mesosphere Energetics Dynamics) satellite (Russell et al., 1999) has been providing continuous ozone profiles since 2002. They were used in this study to investigate ozone distributions and variations with height and time over tropical
- 50 and subtropical latitudes. SABER data was used in several studies on ozone and temperature variability (Dutta et al., 2022). Remsberg et al. (2003) reported on the behavior of the SABER temperature profiles for February 2002 between 52°S to 83°N. They showed that these data are useful for analyzing the dynamics and transport of chemical tracers in the middle atmosphere. Nath et al. (2014) identified the main variabilities and trends in O<sub>3</sub> content and temperature behavior using SABER data between 20 and 100 km altitude in the 10 - 15°N region between 2002 - 2012. Their results showed an intense biannual
- oscillation during March to May and August to September (between 25 and 30 km altitude). Joshi et al. (2020) analyzed the seasonal and annual cycle variability of O<sub>3</sub> over 14 years of SABER data (2002-2015) in mid-latitude regions of both hemispheres. The results by Joshi et al. (2020) showed an accumulation of O<sub>3</sub> starting in late winter and peaking in early spring in both hemispheres, underlining the dynamical effects induced by the polar vortex and pre-formation of the Antarctic Ozone Hole (AOH). In addition, they showed that the annual cycle occurred in the middle atmosphere of both hemispheres (between
- 60 the stratosphere and the lower mesosphere), while the semi-annual cycle peaked between 40-60 km and 80-100 km altitude.

Ozonesondes measurements proved to be effective in tropospheric and stratospheric ozone analysis (Thompson et al., 2003a, 2003b; Diab et al., 2004, Bencherif et al., 2020, Bencherif et al., 2024), and the SHADOZ network presents a wide area of Ozonesondes measurements mainly deployed at tropical latitudes. In this way, using satellite instruments helps to investigate the vertical distribution of  $O_3$  in different latitudes of the globe, other than tropical regions, and to characterize its vertical

- 65 distribution. Sivakumar et al. (2007) reported on O<sub>3</sub> variability and climatology in the stratospheric layer over Réunion Island (21.06°S, 55.31°E), using satellite (HALOE, SAGE-II, TOMS) observations in combination with ground-based measurements, i.e., radiosonde, and a UV-visible spectrometer SAOZ (Système d'Analyse par Observations Zénithales). Due to the limited number of radiosondes carried out at SM, a comparison between radiosondes and SABER was only made for the equatorial site Natal. Natal is one of the oldest ozone stations in South America with a record of ozone profiles dating back to 1979,
- <sup>70</sup> although there are large gaps in the data. The most continuous ozone profile experiment in Natal is a weekly-based one, which started in 1998 in the SHADOZ framework. Thus, in this work, only comparisons between measurements of the vertical profiles of the atmosphere recorded at Natal were used. Latitudinal differences can modulate the content and variability of O<sub>3</sub> in different layers of the atmosphere, mainly due to the characteristics of each region in terms of seasonal and dynamic forcings (Reboita et al., 2010). To investigate these latitudinal differences in ozone distributions, ozone measurements at two Brazilian
- 75 stations were used, i.e., Santa Maria in the subtropical region and Natal in the equatorial region. Two kinds of ozone data are combined as derived from ground and satellite observations: vertical profiles and total ozone columns (TCO). Analysis of the TCO times series for both sites (both latitudinal regions) are based over 42 years of quasi-continuous measurements (1979–2020). The Santa Maria SM (29.72°S; 53.41°W) station is in the suburb of the Santa Maria city in the central region of Rio Grande do Sul state. This region in south of Brazil is subject to dynamical and indirect effects of the polar vortex disturbances
- 80 and of the Antarctic Ozone Hole during its active periods. The Natal NT (5.42°S; 35.40°W) station is an equatorial one. The Natal site is one of the oldest ozone stations in Brazil and Latin America. It has been operating in the framework of the SHADOZ programme since its beginning in 1998. In global, the two locations (SM and NT) are the most important stations in Brazil with ground-based total ozone measurements which began monitoring in 1992 for SM and in 1994 for NT.
- Additional measurements and continuous monitoring of ozone, especially through radiosonde observations, are necessary in mid-latitude and subtropical latitudes of the Southern Hemisphere, such as Santa Maria. In fact, some balloonsondes were launched in SM, but not enough for a long-term comparison of O<sub>3</sub> content. Therefore, radiosonde data recorded at Natal were used to validate satellite ozone observations as obtained over Natal by the TIMED/SABER overpasses from 2002 to 2020. In this work, after inter-comparing ozone profiles and validating TIMED/SABER satellite data for the Natal site, the obtained ozone time series were analyzed and compared for the two study regions (equatorial - NT and subtropical -SM) to identify the main characteristics in terms of ozone behavior during the study period. The combination of TCO timeseries obtained from satellite measurements (TOMS and OMI) and from ground-based Brewers and Dobson spectrometers allowed to extend TCO time-series over a large period of 42 years for both stations (Santa Maria and Natal). The TCO series

obtained are used for the analysis of interannual and intra-annual variability, allowing for a comparison between the two study 95 regions.

### 2. Database and Methodology

## 2.1 Regions of study and data

This work consists of a multi-instrumental analysis of the vertical profile of stratospheric ozone and TCO to investigate ozone variability at different latitudes in Brazil. The Brazilian selected sites are Santa Maria (SM), in the subtropics, and Natal (NT) near to the equator. SM is a region under strong dynamics, leading to the variability of O<sub>3</sub> throughout the year. It is characterized by a baroclinic atmosphere, under influences of the passage of frontal systems and cold fronts, as well as the proximity of the jet-stream (Shapiro et al., 2015). The associated winds are crucial in the vertical distribution of O<sub>3</sub>, being considered the main tropospheric pattern directly linked to the transport of O<sub>3</sub> from the stratosphere to the troposphere. The position and speed of the jet-streams (subtropical or polar), because of change or discontinuity in the meridional temperature gradient, can determine the ozone variation in the atmosphere (Reboita et al., 2010; Bukin et al., 2011). These dynamical contexts in subtropical latitudes have significant relevance in studies with O<sub>3</sub> because they have an indirect relationship with the Antarctic Ozone Hole (AOH), which modifies the O<sub>3</sub> content in the region mainly during the austral spring through the ejection of air-masses with low O<sub>3</sub> content from the polar region, where the Antarctic Ozone Hole is located, to subtropics, as

it was observed and reported for the SM location (Kirchhoff et al., 1996; Peres et al., 2012a,b; 2017; Bittencourt et al., 2018, 2019).

The equatorial region of Brazil (NT) presents a barotropic atmosphere with less variation in the temperature gradient. According to Reboita et al. (2010), the Brazilian northeast region near to the equator experiences different meteorological systems throughout the year, thus impacting the accumulated precipitation. In this region, the primary driver of weather systems is thermodynamics, unlike in higher latitudes where dynamic forcing is more common. During the wet season (which

runs from November to March), the area experiences daily bouts of rainfall caused by the Intertropical Convergence Zone (ITCZ) shifting towards the summer hemisphere. The circulation of the breeze helps to transport humidity throughout the region, while the South Atlantic High Pressure (ASAS) also contributes by causing radiative heating and increasing humidity,

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which in turn leads to convection. Some studies showed that in the future, there is a possible trend of acceleration of the BDC
in the lower stratosphere region mainly caused by the increase of greenhouse gases in the atmosphere (Weber et al., 2011;
WMO, 2022). In addition, NT has one of the oldest SHADOZ stations with data available since 1998 (Thompson et al., 2003a, 2017). Ozone profiles recorded by radiosonde at this station are used to validate SABER satellite observations. Figure 1 depicts the map of South America, highlighting the two Brazilian study sites, SM and NT.

# 2.1.1 Database: SHADOZ Observations

The SHADOZ program has been operating since 1998. It brings together 14 stations equipped to measure ozone profiles, from ground up to the stratosphere (~30km) by balloon-sonde experiment in the southern tropics (Thompson et al., 2003a). The ozone concentration is measured in situ by an electrochemical cell (ECC), simultaneously with pressure, temperature, and humidity measurements during the balloon's ascent. In South America, the SHADOZ network has a station in NT, which has been in operation since 1998. Nineteen years of data were analyzed using the new version available at this link dei org/10.57721/SHADOZ V06, which offers many variables ozone, temperature, pressure, relative humidity, wind

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30 link doi.org/10.57721/SHADOZ-V06, which offers many variables: ozone, temperature, pressure, relative humidity, wind direction, and speed (Thompson et al., 2021). From this, ~580 daily profiles were obtained at Natal from 2002 to 2020. Indeed, ozone and temperature profiles obtained from balloon-sonde were vertically interpolated from the surface up to ~30km altitude, with a resolution of 50m, which corresponds to 604 altitude bins.

#### 2.1.2 Database: Vertical Ozone data

## 135 2.1.2.1 TIMED/SABER observations

In this work, vertical ozone profiles were used to investigate ozone variability over the study sites, SM and NT. This was based on satellite-based measurements from the SABER instrument on board the TIMED (Thermosphere-Ionosphere-Mesosphere Energetics and Dynamics) satellite (Russel et al., 1999; Dawkins et al., 2018), which has been operating since 2002. The SABER instrument observes the Earth in narrow spectral ranges, with high accuracy of carbon dioxide (15 µm), ozone (9.6

- µm), and nitric oxide (5.3 µm) emissions (Mlynczak et al., 1993; 2007). The main objective of the SABER experiment is to better understand fundamental atmospheric processes in the middle atmosphere and the thermosphere (Russell et al., 1999; Joshi et al., 2020). SABER is one of four experiments on NASA's TIMED mission, launched in May 2000 by a Delta II rocket into a circular orbit of 74.1° ± 0.1° inclined, 625 ± 25 km. It provides vertical profiles of ozone and temperature in the 15-110 km altitude range. Ozone profiles measured by SABER are known to have good accuracy at altitudes above 20km, this
- 145 accuracy tends to drop off at lower altitudes, in the UT-LS (Wing et al, 2020). In fact, Wing et al. (2020) compared SABER ozone profiles during the Lidar Validation NDACC Experiment (LAVANDE) at the Observatoire de Haute-Provence and found good agreement between SABER and other ozone measurements from LiDAR and RS between 20 and 40 km, with less than ~5 % differences. They also showed that below 20 km, SABER measured significantly overestimates ozone than the LiDAR or RS. Given the availability of ozone profiles by radiosonde at the Natal site, this study aims at the first stage to
- 150 compare the SABER and RS ozone profiles obtained in the 15-30km altitude range over NT during the common period, between 2002 and 2020. One of the aims of this study is to provide a detailed comparison of the vertical behavior of ozone in the subtropical latitudes of Brazil, particularly at SM. To do this, we used data from the SABER satellite, since unlike Natal this region does not currently have a station for continuous measurement of vertical ozone profiles. For this work, the SABER OMR (ozone mixing ratio) profiles were selected at  $\pm 2^{\circ}$  in latitude and longitude from the coordinates of the NT and SM study
- 155 sites. During the 19 years of data, approximately 6,715 daily OMR vertical profiles were identified where the satellite mapped

the SM, and 3,681 daily vertical profiles for NT from 2002 – 2020. This means that the SABER OMR profiles over SM are expected to have greater statistical power for variability and trend analysis than those for NT (Jaffe and Ray, 2007). The profiles were interpolated with a vertical resolution of 0.1 km, providing 954 altitude levels in the 15 to 110 km height range. The SABER satellite provides data on altitude (in km), latitude and longitude, temperature (in Kelvin), and ozone mixing ratio

160 - OMR - (in ppmv). Complementary analysis includes monthly mean and climatology of the OMR profile over the two study regions.

#### 2.1.3 Database: Total Column Ozone data

The TCO measurements were also used in this work, as 42 years of ozone data available at the two latitudes were studied with data from satellites and ground-based instruments. The period for TCO analysis was between 1979 and 2020, using mainly ground-based instruments (Brewer Spectrophotometer and Dobson Spectrophotometer) and satellite instruments (TOMS, OMI). For SM, the ground-based instrument used is the Brewer spectrophotometer, which performs daily measurements of ultraviolet radiation, TCO, and sulfur dioxide column (SO<sub>2</sub>). The beginning of TCO monitoring in SM started with the Brewer Spectrophotometer model MKIV #081 from 1992 to 1999, while the model MKII #056 operated from 2000 to 2002, and the model MKIII #167 from 2002 to 2017. For NT, the ground-based instrument used was the Dobson Spectrophotometer #093. The Dobson instrument is a dual-beam monochromator that measures TCO through absorbed radiation at two wavelengths (305.5 nm and 325.4 nm) by direct sun (DS) observations, measured since 1978 in NT.

Satellite TCO time series were obtained from two main instruments: from the Total Ozone Mapping Spectrometer (TOMS) and the Ozone Monitoring Instrument (OMI) experiments. The TOMS instrument began its activities in 1978, with the launch of the Nimbus-7 satellite, and remained operational until 1994. In 1991, the Meteor-3 satellite was launched and

- 175 provided TOMS measurements until December 1994, when it was replaced by the Earth Probe satellite in August 1996 and remained in operation until December 2006. The TOMS instrument ended its activities on December 2005, while OMI has been operating since July 2004 aboard the AURA satellite. OMI is derived from the TOMS and from the Global Ozone Monitoring Experiment (GOME) onboard the ERS-2 satellite.
- In comparison with TOMS and GOME, OMI can measure more atmospheric constituents at better horizontal resolution (13 km × 25 km for OMI vs. 40 km × 320 km for GOME). This study analyzed the monthly time series of TCO from both NT and SM stations. The measurements were mainly based on ground-based instruments, i.e., Dobson and Brewer spectrometers, respectively. However, some data were missing, and to fill those gaps, satellite measurements were used like the method followed by Peres et al. (2017) and Sousa et al. (2020). The TCO time series for NT and SM were created by filling in the gaps with TOMS and IMO data, successively. This covered the study period from 1979 to 2020. The resulting TCO
- 185 time series were then analyzed on a statistical and analytical basis using the wavelet transform to study ozone variability.

#### 2.2 Statistics, Comparisons, and Variabilities

The investigation of the ozone content at the two selected stations over these 42 years of data, consisting of onboard satellite instruments (TOMS, OMI) and ground-based instruments (Brewer, Dobson, RS), has distinct particularities that are of paramount importance in monitoring ozone content in tropical and subtropical latitudes. Statistical analyses were performed on the obtained TCO time series. Comparisons were made between different types of instruments (TOMS/OMI  $\times$ 

BREWER/Dobson). Through the monthly TCO time series over 42 years, the following calculations were performed:

✓ Pearson's correlation coefficient (R):

$$R = \frac{\sum_{m} \sum_{n} (SATELLITE - \overline{SATELLITE}) (BREWER - \overline{BREWER})}{\sqrt{(\sum_{m} \sum_{n} (SATELLITE - \overline{SATELLITE})^{2} (\sum_{m} \sum_{n} (BREWER - \overline{BREWER^{2}}))}}$$
(1)

The  $R^2$  shown in the results is the value of the squared correlation coefficient. The root means square error (RMSE), often used to estimate the difference between the values predicted by a model (which, in this case, is a satellite) and the observed values (Brewer spectrophotometer), aggregates the predictive strength of the variable in a single measurement:

✓ Root mean square error (RMSE):

$$RMSE = \sqrt{\sum_{i=1}^{n} \frac{(SATELLITEi - BREWERi)^2}{n}}$$
(2)

200 The mean bias error (MBE) represents a systematic error in which positive values of MBE represent an overestimation of the data and negative values an underestimation of the observed data relative to the satellite data:

$$MBE = \frac{100}{n} \sum_{i=1}^{n} \frac{(SATELLITEi - BREWERi)}{BREWERi}$$
(3)

205 Statistical calculations enable a quantitative analysis of each instrument and each region studied. Regarding the vertical distribution of ozone, simultaneous OMR profiles were analyzed. On this basis, the coincident OMR monthly profiles from SABER and RS were used and compared for NT and SM. The percentage differences were calculated as given by Eq. (4):

$$RD(\%) = 100 * \frac{(SABER - RS)}{(RS)}$$
(4)

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# 2.2.1 Ozone Variabilities

This section presents the results on ozone variability based on time series constructed over a 42-year period. The wavelet transform method was used by many authors to identify the dominant forcings governing this variability (Torrence and Compo, 1998; Rigozo et al., 2012). Monthly TCO anomalies were used in the wavelet transform method to reveal the main modes of

215 ozone variability (Hadjinicolaou et al., 2005). In this work, we used the Morlet transformed wavelet. It comprises a Gaussian function modulated a plane wave, represented by:

$$\Psi_{0}(\eta) = \pi \frac{1}{4} e^{i\omega_{0}\eta} e^{\frac{-\eta^{2}}{2}}$$
(5)

where  $\omega_0$  is the non-dimensional frequency;  $\eta$  is the non-dimensional time parameter. Considering the discrete time series (X<sub>n</sub>), with a fixed time spacing ( $\Delta t$ ) and n = 0, ..., N-1, the continuous wavelet transform is in Equation (6):

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$$W_n(s) = \sum_{n'=0}^{N-1} X_n \Psi^* \left[ \frac{(n'-n)\Delta t}{s} \right]$$
 (6)

where (\*) is the complex conjugate in the period (wavelet scale). The global wavelet spectrum in Equation (7) allows for calculating the unbiased estimate of the real power spectrum of the time series by calculating the average wavelet spectrum over a period.

$$W^{2}(s) = \frac{1}{N} \sum_{n=0}^{N-1} |W_{n}(s)|$$
(7)

225 The wavelet transform analysis is a highly effective mathematical and numerical tool that provides the power spectrum of a series analyzed as a function of time. The edge effects are displayed as a U-shaped curve called the cone of influence. Within this cone, the confidence level is set at 95%, marking the boundary for the most significant results from the analyzed data series.

## 230 3. Results and Discussion

(QBO).

### **3.1 Ozone Vertical Profile**

Here, we analyzed SABER data for Natal and Santa Maria. First, we compared OMR (ozone mixing ration) profiles from SABER and RS for NT. We used 19 years (2002-2020) of data for these analyses for both instruments. Figure 2 presents the OMR height×time cross-sections from both instruments, focusing on the stratospheric layer. Figure 2a shows the monthly average of RS OMR profiles between the surface up to 30 km altitude. In contrast, in 2b, the SABER OMR profile ranges from 15 to 50 km altitude. Figure 2 shows the maximum of OMR from RS is mainly between 23 and 30 km altitude with values ranging from 6 to 10 ppmv. Thompson et al (2017) published a study comparing ozone data recorded at the SHADOZ network stations at different latitudes with satellite and ground-based measurements. The results showed that ozone at the Natal site agreed with the satellite and RS data. In Figure 2b with SABER data, the highest OMR is observed in the stratospheric region, between 23-40 km altitude with values between 6 and 10 ppmv, being more intense in early spring, from late August to March. Nath et al (2014) analyzed SABER OMR profiles in the tropics (in the 10°-15°S band). They showed that stratospheric ozone variability is mainly modulated by the semi-annual oscillation and the quasi-biennial oscillation

# 3.1.1 SABER x SHADOZ comparisons in NT

- Regarding the comparison between the two instruments, the relative differences were calculated, allowing the analysis of how the two databases (satellite and ozone soundings) behave in the tropical region over Brazil. In this way, due to the difference in the measures of the instruments, the analysis of coincident profiles was conducted, that is, profiles identified for the same days of analysis and the same region, in the 15 30 km altitude range, through the climatology of each time series. The first difference is in the vertical range of the two measurements. While SABER provides data between 15 and 110 km height, RS measurements are ranging from the surface up to 30 km height, approximately where the balloon bursts. Figure 3
- displays the monthly climatological OMR profiles as derived from the two measurements over the NT station during the common observation period (2002-2020).

The most evident differences are in the initial heights in relation to the SABER satellite (black lines). These could be explained mainly by divergences and errors in the boundary region of the satellite measurements (Fig. 3). These large differences below 20 km were also identified by Bahramvash et al. (2022). They reported large percentage differences between reanalysis data (MERRA-2), satellite profiles (AURA/MLS) and RS data, which show a good concordance mainly in the stratosphere between 22km and 34 km. Figure 3 shows that the most significant differences appear to be greatest during the summer and autumn, from December to April. In the middle stratosphere, between 20 and 26 km, the two OMR profiles (SABER in black and SHADOZ in blue) show similar behavior and begin to diverge in the upper stratosphere (beyond 26 km). This is probably due to the increasing uncertainty in the ozone measurement by RS as altitude increases. As a result, ozone at the top of RS profiles tends to be underestimated.

- Some previous studies have examined ozone anomalies and variability using SHADOZ and SABER data for different regions of the world. For example, in southern Brazil (SM), Bresciani et al. (2018) performed a multi-instrument analysis to study an intense ozone depletion event in which the air mass came from the Antarctic Ozone Hole and influenced the region in 2016. The study compared satellite data, ground-based instruments, Brewer, and RS launched during the event. Toihir et al. (2018) identified long-term O<sub>3</sub> variability in the tropics and subtropics from January 1998 to December 2012, using TCO data from ground-based instruments, satellites, and vertical profiles through ozone soundings. The analyses were conducted at 8 stations in the SH, including equatorial, tropical, and subtropical latitudes, and the results showed that the main variability that dominates the behavior of O<sub>3</sub> in the studied regions is the annual oscillation, with greater influence in the subtropics than in the tropics. Sivakumar et al. (2017) conducted a comparative study at the Irene site (25.9°S, 28.2°E), where they analyzed the climatological behavior of O<sub>3</sub> between troposphere-stratosphere and its variability through data from RS and vertical profile of O<sub>3</sub> from the AURA/MLS satellite. Based on the comparison of two instruments, they found that there were minor differences
- 275 they found slightly larger differences, ranging from 15% to 20%. As seen from Figure 3 there are significant differences in the UTLS region (below 20 km) between RS and SABER measurements at the NT site. To better quantify these differences, the OMR relative differences (RDs), as given in the previous section, were analysed. Figure 4 illustrates these RDs in percentage

between RS and MLS ozone profiles. These differences were typically within  $\pm 10\%$  above 20 km. However, below 20 km,

for each month in the 20-30 km altitude range. The largest RDs occur in the lower stratosphere. On average, for altitudes above 22 km, RDs range from 5% to 10%, while the most significant differences are, as expected, obtained between December and April.

# 3.2 O<sub>3</sub> vertical profile at subtropical and tropical latitudes

The comparison of the SABER satellite and RS data from Natal reveals good agreement between the two data series from altitudes higher than 20 km. We have therefore used SABER measurements to compare the vertical distributions of ozone over the 2 study sites, NT, and SM, in the stratosphere, for altitudes ranging from 20 to 50 km. Figure 5 depicts the monthly climatological OMR derived over 19 years of SABER measurements over subtropics at SM (blue line), and over equator at NT (black line). Comparing the profiles indicates that the OMR climatological values are higher over Natal than over Santa Maria, particularly at the altitudes ranging between 25 and 35 km. This is likely due to a greater ozone production resulting from photochemical reactions at the equator than in the subtropics.

- 290 This shows that the OMR varies according to latitude, altitude, and season of the year. As expected, this underlines its dependence on the intensity of incident solar radiation and the zenith angle (WMO, 2006; Seinfield and Pandis, 2016). However, despite these factors for  $O_3$  formation to occur at high altitudes at equatorial latitudes, the highest concentrations of O<sub>3</sub> are found at mid- and high-latitudes, which is due to the large-scale air movement known as the Brewer-Dobson circulation (BDC) (Brewer, 1949; Dobson, 1956). The BDC transports  $O_3$  from low latitudes, where it forms, to mid and high-latitude 295 regions. The balance between the  $O_3$  production and destruction processes, in addition to being directly influenced by the amount of sunlight available in each region, also causes variations in the  $O_3$  content as the air moves to different locations. Few studies have shown this difference between subtropical and tropical latitudes in South America in relation to the vertical variation of O<sub>3</sub>, de Sousa et al (2020) compared total ozone columns over a tropical station (Cachoeira Paulista) and an equatorial station (Natal) using terrestrial and satellite data, presenting a trend analysis for Cachoeira Paulista and Natal. In 300 fact, it has been established that  $O_3$  production depends on factors such as latitude, altitude, and the season of the year. These factors explain why there are differences observed in  $O_3$  distributions between regions where  $O_3$  is generated and regions where it is transported. During the spring season, the ozone column is highest at mid-latitudes around 45°N in the northern hemisphere and from  $45^{\circ}$  to  $60^{\circ}$ S in the southern hemisphere. However, during winter, ozone abundance in equatorial regions is lower than in polar regions (Holton, 1992; Gettelman et al., 2011). The O<sub>3</sub> maxima in spring are due to the increased transport 305 of ozone from the tropics to the mid- and high-latitudes in late autumn and winter. This is due to the BDC, which tends to
- accelerate in the context of climate change (Neu et al., 2014), with impacts on ozone distributions at different latitudes.

From the ozone time series obtained from SABER measurements over the study period, it is possible to extract monthly series of Ozone Mixing Ratio (OMR) by altitude and the associated climatological variations. Figures 6 and 7 depict the monthly and climatological variations of OMR in the stratosphere at altitudes of 24, 32, 40, and 48 km, over the two study sites, SM (blue lines) and NT (black lines). At 24 km, the two latitudes are influenced by dynamic processes in the lower

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stratosphere. However, for most of the heights, the two stations appear to be almost in opposition phase, which can be explained by the different dynamic forcings at each region, such as the Biennial Quasi-Oscillation (QBO) showing a direct influence at tropical latitudes impacting stratospheric ozone chemical and dynamic processes (Anstey and Shepherd, 2014; Naoe et al., 2017). At this altitude, one can also observe, from figure 7a, that the two sites exhibit different climatological behaviors. A

- 315 well-marked annual cycle is observed at SM, with maximums in winter and minimums in summer months. At the same time, in NT, the behavior of O<sub>3</sub> is more constant, without much variation in OMR, which can be related to effect of transport induced by the BDC (Butchart et al., 2014). At the height of 32 km, ozone is expected to be more controlled by photochemical processes than dynamics. Figure 6b aligns with this. It shows that at 32km height, the OMR above Natal (equatorial region) consistently remains higher than that above Santa Maria (subtropics). The monthly climatology shows the influence of the seasons, where
- 320 the maximum values of OMR (9.5 12 ppmv for NT, and 8 10 ppmv for SM) occur during austral summer and minimum values of OMR (9 11 ppmv for NT and 7 9 ppmv for SM) during austral winter. The high altitudes are shown in Figure 7c for 40 km and 7d for 48 km. At 40km, the semi-annual cycle seems to prevail at NT, while the annual cycle tends to dominate at SM. In comparison, at 48 km, OMR over SM also shows a semi-annual trend. The observed variability of ozone is mainly due to the combined effect of its production in tropical regions and its transport to mid-latitudes and polar regions. Sousa et al.
- 325 (2020) showed that Cachoeira Paulista and NT present maximum values in spring regarding the seasonality of  $O_3$  content in both seasons, with a well-established annual cycle, in agreement the results found in the present work.

### 3.3 Total Column Ozone in subtropical and equatorial latitudes

This subsection examines the TCO time series obtained for the 2 study sites, NT, and SM. The TCO time series 330 construction is described in subsection (2.1.3).

Figures 8a and 8bshow the daily TCO values in SM and NT from ground-based and satellite instruments. There is a well-defined annual cycle in all TCO data sets. In addition, the comparison between the instruments shows a good agreement. Toihir et al. (2018) analyzed satellite data and ground instrument trends and changes in O<sub>3</sub> at tropical and subtropical latitudes in the southern hemisphere. One of their results showed a good correlation between the instruments, which showed that they were used correctly, mainly satellite data for O<sub>3</sub> content analysis. Peres et al. (2017) for subtropical latitudes and Sousa et al. (2020) for equatorial latitudes showed a good correlation in TCO data for a shorter period of data, indicating good agreement between satellite and ground-based data in southern Brazil.

A comparative statistical analysis was performed based on the difference between ground-based and satellite instruments. The SM data series presented (BREWER vs. TOMS) from June 1992 to December 2005, containing 2,164 pairs

of data (daily), and between October 2004 to December 2017 (BREWER vs. OMI), with 4,621 pairs of data. In SM, each dataset represents the monthly series of each instrument. It is observed that the correlation coefficient (R<sup>2</sup>) concerning the instruments presented considerably good values, where the values of the correlation coefficient were 0.88 (BREWER vs. TOMS) and 0.92 (BREWER vs. OMI). Improvements in satellite equipment over the years may explain this good correlation between TOMS and OMI. Regarding data from TOMS and OMI satellites, previous studies have shown similar results

- 345 concerning comparisons between these TCO measurement instruments over different regions. Anton et al. (2009) compared data from the OMI satellite with different ground-based instruments in the Iberian Peninsula and identified a good correlation between the instruments and the behavior of the TCO. Toihir et al. (2015a) analyzed the average monthly behavior of TCO at 13 locations in tropical and subtropical latitudes, comparing satellite data from the EUMETSAT program, OMI, and ground-based data from the SAOZ and DOBSON spectrometers available at these stations, the results found showed good correlations
  350 between these instruments with values around 0.87
- between these instruments with values around 0.87.

The root mean squared error (RMSE) showed less than 3% differences in all data sets, which is coherent with the high correlation values between the instruments presented above. The mean bias error (MBE) in the analysis of the daily TCO data showed an overall overestimation of the TOMS measurements (0.34) and an underestimation of the IMO satellite data (-0.07) in comparison with the Brewer measurements, as reported in Table 1. These results confirm the effectiveness of TCO

355 measurements at the subtropical station of Santa Maria during the 42 years of data studied in this work, agreeing with previously presented works that perform similar analyses. Peres et al. (2017) showed a good correlation in TCO data for a shorter period of data, indicating a good agreement between satellite and ground-based data in the southern region of Brazil. In this work, during the period 1979 - 2020 in NT, the coefficient of determination (R<sup>2</sup>) between Dobson vs. TOMS was 0.87, and in the comparison between Dobson and OMI, the R<sup>2</sup> found was 0.88. For Natal, the RMSE values were 1.49% for the DOBSON vs. TOMS comparison and 1.74% for DOBSON vs. OMI, which agrees with results reported by Souza et al. (2020), who identified values around 2.81% considering TOMS data and 1.94% with OMI. For the MBE values, it was observed that both TOMS and OMI underestimate the values of the Dobson Spectrophotometer, presenting negative values of -0.41 (TOMS)

and -0.66 (OMI).

Table 1 summarizes the R<sup>2</sup>, RMSE, and MBE values for comparing the TCO series in SM and NT. Sousa et al. (2020) 365 presented similar comparison values for the period 1974/1978 – 2013 for equatorial and tropical latitudes, with R<sup>2</sup> values around 0.83 (DOBSON vs. TOMS) and 0.91 (DOBSON vs. OMI). These high coefficients of determination indicate improvements in the new generation of satellites (the replacement of TOMS by OMI in 2005).

#### 3.3.1 TCO Climatology

370 The monthly climatological TCO values and the associated standard deviation are presented in Figure 9 for the subtropical station in SM (blue) and the equatorial station in NT (black) based on data collected from 1979 to 2020. Previous work has highlighted the annual variability in the TCO to SM series, with minimum values in autumn (April and May) of between ~255 and 260 DU and maximum values during the austral spring (September and October) of between ~295 and 300 DU (Peres et al., 2017; Bittencourt et al., 2019). For the NT station, one can identify a well-established annual cycle with minimum during autumn, ranging from 255 DU in May to 280 DU in September/October when it reaches its maximum peak. These results agree with Bencherif et al. (2024) and with Sousa et al. (2020), who identified an annual cycle over the NT equatorial latitude. This variability with minimums in autumn and maximums during spring is mainly explained by the large-

scale transport due to the BDC. This transport is the dominant process that determines through its meridional circulation that

the  $O_3$  produced in low latitudes is transported to medium and high latitudes, causing this maximum to occur during late 380 winter/early spring (London et al., 1985).

Sivakumar et al. (2007) conducted a study on the climatology and stratospheric ozone variability over Réunion Island (a tropical site), from 15 years of data available in satellite instruments (HALOE, SAGE-II, TOMS), Ozonesondes, where they also identified maximum in O<sub>3</sub> values during spring and minimum values during autumn. Peres (2017) identified a similar behavior in analyzing O<sub>3</sub> content at Santa Maria, south of Brazil, at the Southern Space Observatory. Oliveira (2016) showed the stratosphere-troposphere exchanges (STE) for southern Brazil, where a greater number of exchanges was identified during

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At NT, comparisons between instruments (DOBSON vs. TOMS, DOBSON vs. OMI) were satisfactory for the tropical region, showing that satellite and ground-based measurements agree with previous works. Toihir et al. (2018) analyzed the trend and variability of  $O_3$  content in 8 locations in equatorial, tropical, and subtropical latitudes, comparing data from satellite ground based instruments, and Ozonesondes through the SHADOZ network. The comparison between the

390 satellite, ground-based instruments, and Ozonesondes through the SHADOZ network. The comparison between the instruments for the period from 1998 to 2012 showed good agreement, and SHADOZ vertical profile data were considered of good quality to study and identify the main variables in addition to the trend behavior of the  $O_3$  content with this database and well-established data for the equatorial, tropical, and subtropical regions.

the winter and spring months, with a lower frequency during the summer, also explained by the BDC.

#### **395 3.3.2 Variabilities in subtropical and equatorial latitudes**

The wavelet transform enables to identify the main forcing cycles/components contained in a signal (ozone series in our case) and their evolution over time. For SM and NT, the wavelet analysis was applied to the monthly anomaly series of TCO for both stations. Figure 10 presents the set of wavelet analysis for Santa Maria (Figure 10a) and Natal (Figure 10b) for the 42 years (1979-2020). In all analyses, the seasonal variability was removed. The white contours include regions with a confidence level greater than 95%, and the U-shaped curve indicates the cone of influence. The cone of influence is a numerical parameter used in wavelet analysis to define the region of the spectrum which should be considered in the analyses with confidence. It indicates areas where edge effects occur in the analyzed time series. (Torrence and Compo, 1998; Loua et al., 2019).

- In the power spectrum for the two analyzed latitudes, the 11-year solar cycle, in the range of 132 months, stands out 405 as an important variability for ozone in subtropics and equatorial region of South America. The Quasi-Biennial Oscillation (QBO) between 16 - 32 months also stood out, mainly for NT, which showed a strong influence on the TCO data series in the equatorial latitudes, with greater intensity within the influence cone from 1983 to 1995 and between 2000 and 2015. Naoe et al. (2017) analyzed the future of QBO in ozone in the tropical stratospheric region where, through simulations related to the increase in the greenhouse gases and the decrease of  $O_3$  destroying substances, identified a maximum in the amplitude of QBO
- 410 between 5-10 hPa suggesting that, at this time, the photochemistry of the region will depend on temperature to modulate ozone in the tropical stratosphere. Newman (2016) showed an anomalous QBO phase shift over the past 60 years. The anomaly showed a rapid upward shift from the westerly phase of the equatorial winds to an easterly phase between 2015-2016. This

sudden QBO phase change resulted in the shortest east phase ever seen in records from 1953 to 2016. In this way, ozone's response to changes in solar irradiation also plays a potentially important role in climate change, regulating stratospheric

- 415 temperatures and winds. These changes in the stratosphere can affect tropospheric climate through direct radiative effects and dynamic coupling, affecting patterns of extratropical variability (WMO, 2018). Changes in the BDC also modulate the behavior of ozone in the stratosphere, both by its transport, which is influenced by the strongest and/or weakest impulse in the tropics, and by the chemistry in the formation of O<sub>3</sub> at tropical latitudes (WMO, 2022).
- Despite having a low frequency for subtropics (SM), this QBO signal was observed at a lower frequency than NT and
  in other studies at subtropical latitudes (Rigozo et al., 2012; Toihir et al., 2018). Peres et al. (2017) presented the TCO monitoring using ground-based and satellite instruments for Santa Maria from 1992 to 2014, where the main periodicities related to this station were identified. Figure 10b shows the behavior of the TCO time series at Natal from 1979 to 2020. With a periodicity ranging from 24 to 32, the QBO appears to appear to be one of the main forcings of ozone at Natal. In fact, many previous studies showed that QBO and solar cycle are among the most dominating variability of O<sub>3</sub> in tropics (Baldwin, 2001;
  Salby, Callaghan, 2000).

Moreover, studies have shown that the QBO and Solar cycle forcings are mainly important and dominant in the stratosphere than in the troposphere (Bencherif et al., 2024). To highlight this, we applied the same wavelet analysis to a stratospheric OMR time series (24 km) at both sites, NT and SM. Figure 11 depicts the set of wavelet analysis at 24km for SM (Fig.11a) and NT (Fig.11b) using SABER OMR data. The influence of QBO appears to be evident at NT (equator), but less

- 430 evident for SM (subtropics). Peres et al. (2017) reported that there is a phase opposition between the QBO modulation and the TCO anomalies obtained for the SM site over the period 1992-2014. In addition, from Figure 11, it should also be noted that the ENSO cycle (El Niño Southern Oscillation) appears to have little impact on O<sub>3</sub> variability at both sites. This is agreement with the finding from Toihir et al. (2018). They reported that the influence of ENSO on the behavior of stratospheric O<sub>3</sub> counts for less than 1%.
- Globally, from the wavelet analyses, the solar cycle seems to be an important forcing for the two locations, in the tropics and the Equator. In addition, it evidenced that the QBO has more contribution to ozone variability, particularly to stratospheric ozone, at the Equator (NT) than subtropics (SM).

# Conclusions

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This work describes the behavior of ozone content through a multi-instrumental analysis for two different latitudes in Brazil: Santa Maria (29.4°S; 53.8°W) comprising subtropical latitudes, and Natal (5.4°S; 35.4°W) representing the equatorial region of Brazil, through the analysis of the vertical profile data in the period of 19 years of data (from 2002 to 2020), and analysis of the total column ozone (TCO) for the two stations between 1979 to 2020, in order to identify the main climatic variables that influence  $O_3$  at both latitudes. Vertical profile data were obtained by the TIMED/SABER satellite, and to compare these data, Ozonesondes were also analyzed through the SHADOZ measurement network at the Natal station. For the TCO data series, satellite data (TOMS and OMI) and ground-based data (such as Brewer and Dobson Spectrophotometers) installed and operating in Santa Maria and Natal, respectively, were used.

- Comparative studies between satellite data (SABER) and Ozonesondes from the SHADOZ network in Natal showed that the most significant relative differences between the two databases are below 20 km altitude, for the most part. These differences, between 15 and 20 km, can be explained by inconsistencies of the satellites, not representing the UTLS region measurements very well since the SABER satellite starts its analysis around 15 km altitude. On the other hand, above 22 km, the differences are relatively smaller, varying by less than 20% in most months in the comparison between the two instruments (SABER and SHADOZ), and therefore, vertical profiles of the SABER satellite can carefully represent the behavior of O<sub>3</sub> for the latitudes that are being studied in this work. These results showed that studies using data from the SABER satellite to analyze the lower stratosphere, below 20 km altitude, is not a good option for Natal station. Furthermore, few studies compare stations at different latitudes in South America regarding the analysis of vertical O<sub>3</sub> content using data provided by satellites. On the other hand, studies that monitor the TCO also present good comparative results between different measuring
  - instruments for different latitudes.

460 TCO and stratospheric ozone levels tend to remain steadier and vary with latitude. This highlights the significance of establishing stratospheric ozone measurement stations in regions beyond equatorial and tropical latitudes, especially in the southern hemisphere. The SM site is an excellent location to participate in this mission of monitoring and observing stratospheric ozone since the site often experiences AOH events. Additionally, there are no long-term ozone profiling stations at this latitude or nearby in the SH.

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The latitudinal differences of the study regions show different behavior of the ozone content. For example, the altitude of 24 km for both Santa Maria and Natal is influenced by dynamic processes in the lower stratosphere region, where each region has an important characteristic dynamic forcing in this variation. At altitudes between 32 and 40 km, the photochemical factor controls the  $O_3$  content at both latitudes in the middle and upper stratosphere. An annual variation stands out at both latitudes, mainly at 32 km altitude, with maximums during spring/summer and minimums in autumn/winter due to the low incidence of radiation. The small amount of ozone at altitudes such as 48 km could explain the little variation during the year for subtropical latitudes (Santa Maria).

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Using TCO data from satellites and ground-based instruments, climate variability for the two latitudes showed a strong influence from the solar cycle at subtropical and equatorial latitudes. The annual cycle is also a dominant variability in the TCO series in Santa Maria. Although it has been removed from the wavelet analyses, the climatology with mixing ratio

475 data from the SABER satellite and TCO analysis shows this well-defined pattern. At the equatorial latitudes in Natal, in addition to the solar cycle, the other influence that stands out is the QBO, which modulates the behavior of  $O_3$  in the tropical region where the main photochemical processes in the formation of ozone gas occur. Changes in this oscillation (QBO) can interfere with the dynamics of the large-scale movement of the Brewer-Dobson Circulation, modulating the distribution of  $O_3$ to other regions.

*Data availability.* The data from the SHADOZ network used were from the station in Natal, available at (https://tropo.gsfc.nasa.gov/shadoz/Natal.html). The vertical profile TIMED/SABER satellite data used in this work was downloaded using the SABER Custom Data Services tool available at (https://data.gats-inc.com/saber/custom/Temp\_O3\_H2O/ v2.0/). The ozone ground-based data obtained by the Brewer spectrophotometer at

- 485 Santa Maria station are available online at this link https://zenodo.org/records/10019128. More information about these data can be obtained by contacting the corresponding authors or, alternatively, José Valentin Bageston (jose.bageston@inpe.br), Gabriela Dornelles Bittencourt (gadornellesbittencourt@gmail.com) or Damaris Kirsch Pinheiro (damaris@ufsm.br). The ground-based data by Dobson Spectrophotometer used in Natal station no 219 and available online at (https://woudc.org/data/explore.php?dataset=ozonesonde), more information contacting the operator Francisco Raimundo da
- 490 Silva (francisco.raimundo@inpe.br). The TOMS and OMI satellite data are available at https: //ozoneaq.gsfc.nasa.gov/data/toms/ and https://aura.gsfc.nasa.gov/omi.html.

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710 Figure 1: Map of South America showing the two stations used in this work, Natal/RN at equatorial latitude and Santa Maria/RS, subtropical region of Brazil.



Figure 2: Time-height cross-section of monthly averages of ozone mixing ratio (in ppmv) over Natal (a) from radiosonde, and (b) from SABER instrument, respectively in the 0-30 km and 15-50 km altitude ranges, from January 2002 to December 2020.



Figure 3: Monthly climatological OMR vertical profiles (in ppmv) as derived from SABER (black line) and RS (blue line) observations over Natal, between 15 and 30 km of altitude. The horizontal bars represent  $\pm 1\sigma$  (standard-deviation).



Figure 4: Profiles of percentage differences between monthly climatological OMR values from RS and SABER measurements over Natal station, from 2002 to 2020. The horizontal bars represent  $\pm 1\sigma$  (standard-deviation).

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Figure 5: Comparison of monthly climatological OMR profiles between Santa Maria (blue) and Natal (black) using SABER data, during 2002 – 2020, between 20 and 50 km of altitude. The horizontal bars represent  $\pm 1\sigma$  (standard-deviation). The monthly climatological OMR vertical profiles er given in ppmv.



Figure 6: Time series of monthly mean OMR at 24 km (a), 32 km (b), 40 km (c) and 48 km altitude (d), as dérived from SABER measurements over Santa Maria (blue) and Natal (black), in ppmv.



Figure 7: Monthly climatological OMR values (in ppmv) for SM (in blue) and NT (in black) from SABER records from 2002 to 2020 at 4 selected heights: 24 km, b) 32 km, c) 40 km and d) 48 km. The vertical bars represent  $\pm 1\sigma$  (standard-deviation).



Figure 8: Time series of daily average TCO for Santa Maria with Brewer (a) and satellite – TOMS and OMI (b). Natal showing Dobson data (c) and satellite - TOMS and OMI (d) between 1979 and 2020.





Figure 9: Monthly climatology for SM (blue) and NT (black) of TCO in DU between the period 1979 to 2020. The vertical bars represent  $\pm 1\sigma$  (standard-deviation).



Figure 10: Morlet wavelet power spectrum, normalized by  $(1/\sigma^2)$  of TCO anomaly time series at Santa Maria (a) and Natal (b). The TCO anomaly time series is shown in the pannel above the power spectrum, while the global wavelet spectrum is given on its right.



Figure 11: Same as Figure 11, but the wavelet analysis is for the SABER OMR time series at 24km height for Santa Maria (a) and Natal (b) over the 2002 – 2020 period.