



# **1 Extreme Weather exacerbates Ozone Pollution in the Pearl**

# 2 River Delta, China: Role of Natural Processes

- <sup>3</sup> Nan Wang<sup>1</sup>, Hongyue Wang<sup>2</sup>, Xin Huang<sup>3</sup>, Xi Chen<sup>4</sup>, Xiaopu Lyu<sup>5\*</sup>, Yu
- 4 Zou<sup>6</sup>, Tao Deng<sup>6</sup>, Tingyuan Li<sup>7</sup>, Fumo Yang<sup>1\*</sup>
- 5
- <sup>6</sup> <sup>1</sup>College of Carbon Neutrality Future Technology, Sichuan University, Sichuan, China
- <sup>7</sup> <sup>2</sup>Department of Atmospheric Science, China University of Geosciences, Wuhan,
- 8 430078, China
- 9 <sup>3</sup>School of Atmospheric Science, Nanjing University, Nanjing, China
- 10 <sup>4</sup>Institute of Mass Spectrometry and Atmospheric Environment, Guangdong
- 11 Provincial Engineering Research Center for On-line Source Apportionment System of
- 12 Air Pollution, Jinan University, Guangzhou, PR China
- 13 <sup>5</sup>Department of Geography, Hong Kong Baptist University, Hong Kong, 000000,
- 14 China
- 15 <sup>6</sup>Institute of Tropical and Marine Meteorology, China Meteorological Administration
- 16 (CMA), Guangzhou, China
- 17 <sup>7</sup>Guangdong Ecological Meteorological Center, Guangzhou, China
- 18 Correspondence to xiaopu\_lyu@hkbu.edu.hk and fmyang@scu.edu.cn
- 19
- 20
- 21 **KEYWORDS:** ozone pollution, extreme weather, natural process, BVOC
- 22 emission, STE
- 23





#### 24

#### Abstract

Ozone (O<sub>3</sub>) pollution research and management in China have mainly 25 focused on anthropogenic emissions, while the importance of natural 26 processes is often overlooked. With the increasing frequency of extreme 27 weather events, the role of natural processes in exacerbating O<sub>3</sub> pollution 28 is gaining attention. In September 2022, the Pearl River Delta (PRD) in 29 South China experienced an extended period (25 days) of regional O<sub>3</sub> 30 exceedances and high temperatures (2<sup>nd</sup> highest over last 2 decades) due to 31 32 extreme weather conditions influenced by the Subtropical High and typhoon peripheries. Employing an integrated approach involving field 33 measurements, machine learning, and numerical model simulations, we 34 investigated the impact of weather-induced natural processes on O<sub>3</sub> 35 pollution by considering meteorological factors, natural emissions, 36 chemistry pathways, and atmospheric transport. It was found that the hot 37 weather significantly promoted regional photochemical reactions, with 38 meteorological factors contributing to an additional 10.8 ppb of O<sub>3</sub> levels 39 compared to normal conditions. Temperature was identified as the 40 dominant factor influencing O<sub>3</sub> pollution. The hot weather also intensified 41 the emission of biogenic volatile organic compounds by ~10%. Notably, 42 isoprene and biogenic formaldehyde accounted for about half of the in-situ 43  $O_3$  production. The chemical mechanism of isoprene contributing to  $O_3$ 44 formation was further explored, with O<sub>3</sub> production more attributable to 45 the further degradation of early generation isoprene oxidation products 46 than the direct isoprene oxidation itself. Furthermore, the typhoon nearing 47 landfall significantly enhanced the cross-regional transport of O<sub>3</sub> from 48 northern to southern China through stratosphere-to-troposphere exchange 49 (STE). The CAM-Chem model simulations revealed that the STE-induced 50  $O_3$  on the PRD surface could reach a maximum of ~ 8 ppb, highlighting 51 the non-negligible impact of STE. This study highlights the importance of 52 natural processes exacerbated by extreme weather events in O<sub>3</sub> pollution 53 and provides valuable insights for O<sub>3</sub> pollution control under global 54 warming. 55





#### 56 1 Introduction

Ground-level ozone  $(O_3)$  is a secondary air pollutant with adverse effects 57 on human health, vegetation, crop yields, and climate (Knowlton et al., 58 2004; Ashmore, 2005; Eyring et al., 2013). The formation of tropospheric 59 O<sub>3</sub> is a result of sun-light driven photochemical reactions involving 60 nitrogen oxides  $(NO_x)$ , volatile organic compounds (VOC), and other 61 pollutants (Derwent et al., 1998; Jacob, 2000). The relationship between 62 O<sub>3</sub> and its precursors exhibits a non-linear pattern that varies across 63 different regions (Jenkin and Clemitshaw, 2000). O<sub>3</sub> and its precursors 64 originate from both anthropogenic activities and natural processes such as 65 fossil fuel combustion, biogenic volatile carbon (BVOC) emissions and 66 stratosphere-troposphere exchange (STE). Meteorological conditions also 67 play a crucial role in influencing O<sub>3</sub> pollution, adding complexity to 68 mitigation efforts (Wang et al., 2017). 69

Since the industrial revolution, the northern hemisphere has experienced a 70 significant increase in O<sub>3</sub> pollution, particularly in mid-latitude cities with 71 large populations and industries (Gaudel et al., 2018). In recent decades, 72 Europe and the United States have made notable progress in mitigating O<sub>3</sub> 73 pollution through emission control efforts. However, eastern Asia, notably 74 China, continues to face a severe O<sub>3</sub> pollution problem. Despite the 75 implementation of strict emission control measures, such as the Air 76 Pollution Prevention and Control Action Plan and the reduction in fine 77 78 particulate matter concentrations, O<sub>3</sub> levels in China have continued to rise (Wang et al., 2019). Lu et al. (2018) reported that O<sub>3</sub> pollution days in 79 China are 93 to 575% higher compared to other developed countries, 80 indicating the significant public concern surrounding this issue. 81 Despite human activities being recognized as major contributors to severe 82 O<sub>3</sub> pollution, it is also important to acknowledge the role of meteorological 83 conditions on the dynamics of tropospheric O<sub>3</sub> concentrations. For example, 84

- temperature has a direct impact on chemical reaction rates involved in O<sub>3</sub>
   formation as well as the emissions of biogenic volatile organic compounds
- 87 (BVOCs) from vegetation (Lu et al., 2019). Atmospheric water vapor, on
- the other hand, plays a crucial role by providing  $HO_x$  radicals and directly
- <sup>89</sup> influencing O<sub>3</sub> photochemistry (Camalier et al., 2007). Additionally, wind





patterns contribute to the transport and dispersion of pollutants, thereby 90 influencing the spatial distribution of  $O_3$  and its precursors (Wang et al., 91 2022). Nonetheless, the local meteorological parameters are controlled by 92 synoptic weather system. Generally, the role of weather systems manifests 93 in two aspects, one is via the influence on the regional transport of 94 pollutants, and the other is modulating the aggregation and dispersion of 95 local air pollutants (Ding et al., 2017). Extensive research conducted in 96 eastern China has shed light on the importance of weather patterns and 97 addressed on the impact of extreme weather contributing to O<sub>3</sub> pollution. 98 Notably, anticyclones (such as high-pressure systems) and the periphery of 99 typhoons have emerged as prominent factors (Chan and Chan, 2000; Han 100 et al., 2020; Gao et al., 2021). Besides, nature processes, including the 101 natural sources, chemistry and atmospheric transport of O<sub>3</sub>, are highly 102 meteorology-sensitive and might further aggravate O<sub>3</sub> pollution under 103 extreme weather. For example, the wildfire caused by hot and dry weather 104 could emit large amount of CO,  $NO_x$  and VOCs and exacerbate  $O_3$ 105 pollution (Westerling et al., 2006; Yue and Unger, 2018; Lei et al., 2022); 106 Vegetation-released BVOCs emissions are sensitive to temperature and 107 have been proven to increase during hot season and thus accelerate urban 108 O<sub>3</sub> formation (Pusede et al., 2015; Wang et al., 2022); An STE event would 109 bring stratospheric O<sub>3</sub> to the troposphere under a largescale/mesoscale 110 process such as tropopause folds, gravity wave breaking, and deep 111 convections (Stohl et al., 2003; Wang et al., 2020). As global warming 112 progresses, there is an increase in the frequency of extreme weather events 113 which further impact surface  $O_3$  (Banerjee et al., 2016; Lu et al., 2019). 114 These impacts may undermine or offset the efforts by anthropogenic 115 emission reductions, posing risks to the ecological environment. Therefore, 116 understanding the influence of natural process on the formation of ground-117 level O<sub>3</sub> is essential for gaining insights into the dynamics of O<sub>3</sub> pollution 118 and developing effective strategies for managing air quality. 119 The Pearl River Delta (PRD) region, known for its high levels of 120

anthropogenic emissions and surrounded by significant vegetation cover, frequently suffers from extreme weather events such as heat waves and tropical cyclones. The PRD region has emerged as a typical hotspot





witnessing an increase in O<sub>3</sub> pollution, making it an ideal location to 124 investigate the impact of extreme weather on O<sub>3</sub> pollution. In September 125 2022, the PRD endured a prolonged period of hot weather, leading to more 126 than 20 days of regional O<sub>3</sub> exceedance. Here, by integrating simultaneous 127 measurements, machine learning, and numerical model simulations, we 128 aim to improve the understanding of how natural processes induced by 129 extreme weather events affecting O<sub>3</sub> pollution and provide new insights for 130 future O<sub>3</sub> pollution control efforts. 131

## 132 **2 Methods and Materials**

## 133 **2.1 Data Source**

In-situ observations were conducted at the Guangzhou Haizhu Urban 134 Ecological Meteorological Comprehensive Observation Base (HZ Base, 135 23°05'N, 113°22'E), which is located in the North area of the Guangzhou 136 Haizhu District National Wetland Park (as shown in Figure 1a). The 137 observation base is surrounded by basic farmland protection areas and 138 represents a typical composite wetland system consisting of river channels, 139 creeks, fruit orchards, and the Jiangxinzhou Island. It also encompasses 140 commercial streets, residential areas, and major transportation routes, 141 providing a representation of wetland climate and human activities. 142 Synchronous online observations of O<sub>3</sub>, NO<sub>x</sub>, SO<sub>2</sub>, CO, components of 143 VOCs, and meteorological parameters (surface winds, temperature and 144 solar radiation) were carried out at this observation base. Detailed 145 information about the data used, including the monitoring instruments, data 146 coverage, and access method, was summarized in Table S1. Briefly, 147 ambient concentrations of O<sub>3</sub>, NO<sub>x</sub>, CO and SO<sub>2</sub> were routinely measured 148 using instruments produced by Thermo Scientific (49i-D1NAA, 42i-149 DNMSDAA, 48i-DNSAA and 43i-DNSAA, respectively). The species of 150 VOC components were monitored by GC5000 analysis systems coupled 151 with flame ionization detectors (FID) from AMA Instruments GmbH 152 (AMA, Germany). All instruments were regularly calibrated and 153 maintained for different durations. Meteorological data, including 154 temperature, solar radiation, precipitation, relative humidity and winds, 155 were obtained at the same site from the China Meteorological 156 Administration. The in-situ measurements were mainly used to drive a 157





photochemical box model as described below. Additionally, the air quality
monitoring network established by the Ministry of Ecology and
Environment of China was utilized to identify O<sub>3</sub> pollution event in PRD.
There were 56 monitoring site distributed in the whole region (Figure 1a)
and the 90th percentile of the maximum daily 8-hour average (MDA8-90)
O<sub>3</sub> concentration was employed to assess the regional degree of O<sub>3</sub>
pollution.

In addition to the in-situ data, ancillary data from the fifth generation of the 165 European Centre for Medium-Range Weather Forecasts atmospheric 166 reanalysis (ERA5) were utilized. The ERA5 data, at a resolution of 0.25° 167  $\times$  0.25° grid, corresponded to the same time period and interval as the 168 observed data and provided information such as boundary layer height, 169 potential vorticity, vertical velocity, geopotential height, specific humidity 170 and O3 mass mixing ratio. Besides, typhoon tracks occurred in West Pacific 171 Ocean were collected from China Meteorology Administration Tropical 172 Cyclone Data Center (https://tcdata.typhoon.org.cn). 173

174

191

#### 2.2 Stepwise Regression Analysis

The stepwise regression analysis, as a common method of machine 175 learning, was used to simulate the dynamics of O<sub>3</sub> concentrations and 176 quantitatively assess the influence of various meteorological factors on 177 pollutant variations. The method was designed to construct an optimal 178 equation by iteratively selecting significant factors while eliminating non-179 significant ones to address autocorrelation concerns (Johnsson, 1992). In 180 this study, simple regressions were firstly performed for each explanatory 181 variable, and the variable with the most significant contribution was 182 identified. Subsequently, additional variables were gradually introduced, 183 and the F-test and t-test were employed to evaluate their significance. Non-184 significant variables were progressively eliminated until we obtained a 185 final set of critical explanatory variables. Following variable selection, a 186 multivariate linear regression equation was established to capture the 187 variation of O<sub>3</sub> concentrations: 188

189  $O_3(t) = \alpha VAR_1(t) + \beta VAR_2(t) + \gamma VAR_3(t) + \dots + \eta VAR_n(t) + R(t)$  (1) 190 In Eq. (1), O<sub>3</sub> (t) represents the temporal changes in O<sub>3</sub> concentration at

6

hour t. The coefficients  $(\alpha, \beta, \gamma, \eta)$  for each variable (VAR) were





determined during the stepwise regression process, while R(t) represents 192 the residual error term. This approach enables us to effectively analyze the 193 intricate relationship between meteorological factors and  $O_3$ 194 concentrations, providing valuable insights into the dynamics of O<sub>3</sub> 195 pollution. This utilized approach has proven effective in our previous 196 pollutant simulations (Chen et al., 2022). In this study, the model's 197 performance was validated through Figure S1, demonstrating a strong 198 correlation (R>0.84 and P<0.01) between the observed and simulated O<sub>3</sub> 199 concentrations. 200

#### 201 2.3 Lagrangian Dispersion Modeling

We conducted backward Lagrangian particulate dispersion modeling 202 (LPDM) using the Hybrid Single-Particulate Lagrangian Integrated 203 Trajectory model (HYSPLIT) to identify the dominant air flow impacting 204 the receptor area. The meteorology fields were from the Global Data 205 Assimilation System (GDAS) data. In this study, we released 3000 206 particulates at 100 m above sea level (a.s.l) over the site (HZ Base) and 207 tracked their backward movement for 48 hours with a time resolution of 1 208 hour. The positions of the particulates were determined using both vertical 209 and horizontal calculations, considering mean wind and turbulence 210 transport. The model finally identified the "retroplume" footprint 211 representing the spatial residence time of the particulates and could be 212 regarded as the distribution of the simulated air mass's surface probability 213 or residence time. 214

#### 215 **2.4 MEGAN model**

The Model of Emissions of Gases and Aerosols from Nature (MEGAN, 216 version 2.1) was used to estimate BVOC emissions from terrestrial 217 ecosystems (Guenther et al. (2012). The model could calculate 147 218 individual biogenic compounds and lump them into the appropriate VOC 219 mechanisms such as CB05, RACM, SAPRC99 and etc. Herein, the CB05 220 mechanism was adopted for VOC treatment. Due to the versatility and 221 compatibility, the MEGAN model could be incorporated into many widely 222 used chemical transport models with horizontal resolution ranging from a 223 few kilometers to several hundred kilometers. In this study, the plant 224 function type (PFT) data was from MODIS (Moderate-Resolution Imaging 225





Spectroradiometer) MCD12Q1 product and the leaf area index (LAI) data 226 was from MODIS MCD15A2H product. The input meteorology including 227 temperature, solar radiation, and relative humidity was obtained from 228 WRF-CMAQ (Weather Research Forecast-Community Multi Scale Air 229 Quality) simulations with a horizontal resolution of 12km×12km. The 230 configuration of the model was summarized in Table S2. In particular, to 231 emphasize the influence of extreme weather, comparisons of BVOCs 232 emissions from parallel simulations were conducted. In detail, we 233 employed two sets of meteorological fields to drive the MEGAN model, 234 respectively. One set was based on the WRF-simulated meteorology from 235 September 2022, while the other utilized the average meteorological fields 236 from the preceding three years (2019-2021). 237

238

## 2.5 In-situ photochemistry modeling

In-situ O<sub>3</sub> formation was simulated using the Framework for 0-D 239 Atmospheric Modeling (F0AM) incorporating Master Chemical 240 Mechanism v3.3.1. The application method was roughly in line with that 241 in (Lyu et al., 2022). Briefly, the model was constrained by observations 242 including O<sub>3</sub>, NO<sub>x</sub>, CO, SO<sub>2</sub>, VOCs species and meteorological parameters 243 collected at HZ Base at hourly resolution. Specifically, HCHO was not 244 measured and was constrained by the WRF-CMAQ simulation results (The 245 validation of WRF-CMAQ was summarized in Table S3). A 'family 246 conservation' that set the total  $NO_x$  to the observed value every hour and 247 allowed NO and NO<sub>2</sub> to evolve over time was applied (Wolfe et al., 2016). 248 Photolysis frequency of NO<sub>2</sub> observed at HZ Base was input and used to 249 correct the photolysis frequencies of other species. Net O<sub>3</sub> production rate 250 (OPR) was calculated as the difference between O<sub>3</sub> production rate (NO<sub>2</sub> 251 production through NO oxidation by peroxyl radicals) and destruction rate 252 (NO<sub>2</sub> reacting with OH, O<sub>3</sub> photolysis, ozonolysis of alkenes, and O<sub>3</sub> 253 consumption by OH and HO<sub>2</sub>), in line with the way adopted in previous 254 studies (Lyu et al., 2019; Wang et al., 2017). The reaction rates for a total 255 of 17,224 reactions were exacted to diagnose the main isoprene-related 256 pathways leading to O<sub>3</sub> formation. 257

258





#### 260 **2.6 CAM-Chem model**

The CAM-Chem (Chemical Lagrangian Model of the Stratosphere), a 261 component of the NCAR Community Earth System Model (CESM) 262 version 2.2.0, was utilized to simulate the impact of stratosphere-to-263 troposphere exchange (STE) transported  $O_3$  on the troposphere. The 264 meteorological fields were obtained from MERRA2 and regridded to a 32-265 vertical layer with a horizontal resolution of 0.9×1.25°. The chemistry 266 mechanism employed was the MOZART-T1. Further information about the 267 model can be found in the CAM-Chem Wiki 268 (https://wiki.ucar.edu/display/camchem). То validate the model's 269 performance, we compared the distribution of the simulated  $O_3$  with  $O_3$ 270 from AIRS (Atmospheric Infrared Sounder). Both the monthly averaged 271 distribution and an STE-induced O<sub>3</sub> intrusion case were compared (Figure 272 S2). Although the CAM-Chem simulations showed slightly higher O<sub>3</sub> 273 levels in southern China, it was worth noting that the satellite retrievals 274 themselves contain uncertainties, mainly from the impact of clouds, 275 aerosols, surface albedo and the inversion algorithms. Overall, the 276 simulated O<sub>3</sub> showed good agreement with the AIRS data in terms of 277 magnitude and spatial pattern, indicating satisfactory model performances. 278 Furthermore, a comparison of the CAM-Chem simulated ground-level O<sub>3</sub> 279 with the surface network monitoring was also conducted (Figure S3). The 280 daily magnitude and variation trend were successfully captured in 281 282 Guangzhou, with a mean bias error (MBE) of -7.9 ppb and a root mean square error (RMSE) of 16.3 ppb. This demonstrated a good reproduction 283 of surface O<sub>3</sub> concentrations. Indeed, our previous paper have also shown 284 the good performance of the CAM-Chem model application in eastern 285 China (Wang et al., 2023). 286

#### 287 3 Results and discussion

# 288 3.1 Exacerbation of O<sub>3</sub> Pollution due to Extreme Weather 289 Conditions

In September 2022, the PRD region experienced continuous extreme weather, resulting in a prolonged period of hot weather conditions. As a consequence, the region encountered 25 consecutive days of regional O<sub>3</sub> pollution (Figure 1b). Monthly O<sub>3</sub> concentration (MDA8-90 O<sub>3</sub>) were





situated in high levels reaching up to 92 ppb, approximately 20 ppb higher 294 than the average of the same period during past three years. Meanwhile, 295 the monthly average daily-maximum temperature soared to 32°C, making 296 it the second-highest temperature recorded in September over the past 2 297 decades (Figure S4). The extremely high temperature appeared to be a 298 significant driver of O<sub>3</sub> pollution, as evidenced by a high correlation 299 coefficient of 0.70 (p<0.05) between O<sub>3</sub> levels and air temperature (Figure 300 1b). From a synoptic weather perspective, the occurrence of hot weather 301 was the combined effect of multiple tropical cyclones and Western Pacific 302 Subtropical High (WPSH) (Figure 1c). It was recorded that there were four 303 tropical cyclones within the one-month time (2022 September) influenced 304 PRD (described in Table S4). As shown in Figure 1c, the combined effects 305 of tropical cyclones and WPSH resulted in the splitting of the subtropical 306 high into two parts. One part lingered over the western Pacific Ocean, 307 while the other remained over the southern region of China, leaving the 308 PRD under the fully control of the mainland high-pressure system. 309 Affected by the sinking air flow under the mainland high, the PRD region 310 experienced conditions characterized by high temperatures, intense solar 311 radiation, low humidity, and reduced precipitation, creating a favorable 312 environment for photochemical pollution (Figure 1d). 313

To assess the influence of meteorological parameters on O<sub>3</sub> concentrations, 314 we developed a stepwise regression model to simulate regional O<sub>3</sub> 315 316 concentrations. By incorporating an extensive range of input variables, including surface and 850hPa meteorological factors, we rigorously tested 317 and identified ten significant factors through T-test analysis. These factors 318 comprised the following: 2m temperature (T2), boundary layer height 319 (BLH), surface relative humidity (RH), surface wind speed (WS), 10m U-320 component of wind (U10), vertical wind speed (W), 850hPa U-component 321 of wind (U850), total cloud coverage (TCC), 10m V-component of wind 322 (V10), and 850hPa V-component of wind (V850). As illustrated in Figure 323 2, meteorological parameters exerted a crucial influence on  $O_3$ 324 concentrations in 2022, surpassing levels in previous years (2019-2021). 325 This underscored the profound impact of meteorology on  $O_3$  pollution. 326 Notably, the factor associated with photochemistry, such as T2, BLH, and 327





RH, played a substantial role, contributing 43.1 ppb, 35.7 ppb, and -9.3 ppb, 328 respectively, to the overall O<sub>3</sub> concentration. In particular, the average 329 daily-maximum air temperature in September maintained at a typically 330 high level (32 °C), which not only accelerated the rates of photochemical 331 reactions, but also stimulated the emission of BVOCs from vegetation, 332 thereby exacerbating O<sub>3</sub> concentrations. Furthermore, the increase in BLH 333 and WS compared to previous years indicated relatively favorable 334 ventilation conditions, which facilitated the transport of local and upstream 335 pollutants. Subsequent investigations unveiled that air pollutants from 336 northern regions could be transported to the PRD, contributing to the 337 observed O<sub>3</sub> concentrations (refer to Section 3.3). Additionally, September 338 exhibited relatively dry conditions with lower relative humidity (RH) and 339 less precipitation. Our model revealed a negative correlation between O<sub>3</sub> 340 and RH, suggesting that the presence of water vapor contributed to the 341 photochemical removal of  $O_3$  concentrations (e.g., through  $HO_x$  reactions). 342 The reduced RH in September also likely facilitated the persistence of O<sub>3</sub> 343 pollution in the region. 344





**3.2 Weather-boosted BVOC emissions aggravating O<sub>3</sub> production** 346 As one important precursor of O<sub>3</sub> formation, BVOC emissions are sensitive 347 to ambient temperature and solar radiation. Here, we utilized MEGAN 348 model to calculate the regional BVOC emissions. Parallel simulations 349 driven by different meteorological inputs, i.e., meteorological fields in 350 September 2022 and the average meteorological fields in September of the 351 previous three years, were conducted, respectively. It was found that the 352 hot weather in September 2022 led to an increase in BVOC emissions in 353 the PRD region by approximately 10%, relative to that in the same period 354 in the past (Figure 3a). Besides, the in-situ observed isoprene exhibited a 355 significant concentration difference between day and night, i.e., 0.52 - 1.25356 ppb during 6:00 - 17:00 and an average of 0.10 ppb at other times. Not 357 surprisingly, isoprene contributed 7.77 ppb  $h^{-1}$  (~40%) to the in-situ net O<sub>3</sub> 358 production rate (OPR) in daytime (Figure 3b). Nevertheless, this was likely 359 a conservative estimate of the biogenic contributions, due to lack of 360 consideration of other biogenic VOC. HCHO, as an important O<sub>3</sub> precursor, 361 is of both anthropogenic and biogenic origin. Here, we utilized WRF-362 CMAQ simulated biogenic HCHO as input to examine its impact on O<sub>3</sub> 363 formation with F0AM. It was found that biogenic HCHO at an average 364 concentration of 2.46 ppb elevated the OPR by 1.29 ppb h<sup>-1</sup>. This increased 365 the contribution to OPR of biogenic emissions to 47%. Overall, the 366 modeling results underlined the crucial role of biogenic emissions in 367 building up O<sub>3</sub> levels in September 2022. 368

Next, we explored the detailed mechanisms of O<sub>3</sub> formation enhancement 369 induced by the rise in isoprene levels due to hot weather. Simulations were 370 performed for a base case with observations in 2022 and a hypothetical 371 case of lower isoprene levels. We used the ratio of isoprene emissions 372 between 2022 and previous years to scale the observed isoprene in 373 September 2022. So, isoprene in the base case was 10% higher than that in 374 the hypothetical case. HCHO was constrained by the same profile in both 375 cases. It was simulated that the 10% increase in isoprene would lead to an 376 additional OPR of 1.00 ppb h<sup>-1</sup> at 12:00 when photochemical reactions 377 were intense. While there was little change in the O<sub>3</sub> destruction pathways, 378 the production of NO<sub>2</sub> through  $RO_2 + NO$  and  $HO_2 + NO$  (pathways 379

405





leading to O<sub>3</sub> formation following NO<sub>2</sub> photolysis) increased by 0.63 ppb 380 h<sup>-1</sup> and 0.38 ppb h<sup>-1</sup>, respectively. As shown in Figure 4, this overall effect 381 was caused by multiple reactions involving several generations of isoprene 382 oxidation products/intermediates. The direct oxidation of isoprene by OH 383 and the following transformation from RO<sub>2</sub> through RO to HO<sub>2</sub> only 384 accounted for 30.3% and 42.8% of the increase in total rate of  $RO_2 + NO$ 385 and HO<sub>2</sub> production, respectively. The rest was contributed by the 386 degradation of methyl vinyl ketone (MVK) and methacrolein (MACR), 387 two typical isoprene oxidation products (Pierotti et al., 1990). In particular, 388 the formation of peroxyacetyl radical (CH<sub>3</sub>CO<sub>3</sub>) was enhanced by 0.16 ppb 389 h<sup>-1</sup>, which further accelerated the rate of RO<sub>2</sub> oxidizing NO by 0.30 ppb h<sup>-</sup> 390 <sup>1</sup> (45.6%) and HO<sub>2</sub> production rate by 0.15 ppb  $h^{-1}$  (32.5%). Methylglyoxal 391 (MGLYOX) and CH<sub>3</sub>CO<sub>3</sub> were the key intermediates in photochemical 392 degradation of MVK and MACR that largely enhanced O<sub>3</sub> formation. The 393 effect of MVK was much more significant than MACR, which was 394 reasonable, due to the presence of a more reactive vinyl group in the MVK 395 molecule. 396

It is well documented that isoprene emitted from vegetation is highly 397 reactive in the troposphere and is therefore not prone to transport over long 398 distances. Here, we show that the primary oxidation products of isoprene 399 that may be formed during air mass transport (Wang et al., 2022), 400 especially MVK and MACR, make significant contributions to O<sub>3</sub> 401 formation. This presents to be an important mechanism of isoprene 402 contributing to O<sub>3</sub> formation. Hence, the impacts of BVOC oxidation 403 intermediates on downwind air quality warrant more attention. 404

# **3.3 O3 enhancement by STE and cross-regional transport**

In addition to its influence on meteorological factors and natural emissions, 406 extreme weather can also impact atmospheric transport, modulating the 407 regional air quality. For instance, the Stratosphere-Troposphere Exchange 408 (STE) process is a significant natural process that facilitates the exchange 409 of O<sub>3</sub>-rich air from the stratosphere to the troposphere, impacting O<sub>3</sub> levels 410 in the lower atmosphere (Wang et al., 2023). STE often occurs in 411 association with synoptic weather systems such as cyclones, westerly jet 412 stream, frontal activities and troughs of low pressure (Banerjee et al., 2016). 413





Being affected by the combined influence of the Subtropical High and
typhoons, we diagnosed a continuous STE event occurring from September
13, 2022, to September 16, 2022.

Initially, on September 13, a trough of low pressure extended from 417 northwest Inner Mongolia to central China, affecting a large portion of 418 mainland China (Figure S5). Concurrently, Typhoon "Muifa" developed 419 near the coastline in the western Pacific Ocean, leading to the gradual 420 development of this trough towards the southeastern part of China. On the 421 15th, the typhoon made landfall in the Yangtze River Delta region. The 422 combined influence of the typhoon's low-pressure center and the external 423 strong anticyclone further extended the trough of low pressure southward 424 (Figure S5). The dynamic evolution of the weather system facilitated the 425 favorable conditions for cross-regional transport from higher latitudes of 426 China to the lower latitudes, such as the Pearl River Delta region. 427

Here, we utilized multiple methods to illustrate the impact of the STE-428 induced O<sub>3</sub>. First of all, we employed potential vorticity (PV) at 300 hPa 429 to distinguish between stratospheric and tropospheric air masses, 430 considering a threshold of 2 potential vorticity units (PVU) as the 431 dynamical tropopause (Li et al., 2023; Wang et al., 2020). According to 432 Figure 5a, a notable high value of potential vorticity (PV) was observed in 433 eastern China, specifically spanning from the North China Plain (NCP) 434 area to southern China. This extensive cross-regional transport area is 435 closely associated with typhoon "Muifa" (as depicted in Figure S5). The 436 presence of a strong anticyclone on the outer periphery of the typhoon 437 further intensified the cross-regional transport in eastern China. This was 438 true with the LPDM simulation, as it revealed that the PRD region was 439 predominantly influenced by northerly air flow originating from central 440 China (Figure S6). As a result, the potential impact of stratospheric  $O_3$ 441 intrusion on the troposphere formed a distinct and extensive band that 442 stretched from the north to the south over eastern China. The subsequent 443 investigations further supported this finding, as we found similar patterns, 444 including notable high O<sub>3</sub> distribution at 300 hPa (Figure 5b), dry air 445 (Figure 5c), and low geopotential height (Figure 5d) along the high PV area. 446 These patterns suggested that the stratospheric intrusion did transport both 447





dry and O<sub>3</sub>-rich air masses to the troposphere. Meanwhile, the transported region exhibited a prevailing downward airflow with positive vertical velocity (Figure 5e), and a distinct high O<sub>3</sub> area was also observed along the transported band at 700 hPa (Figure 5f), indicating that the O<sub>3</sub> induced by STE could impact the lower troposphere. Similar patterns were consistently observed on other days between September 13-16, 2022 (Figure S7-S9), confirming the continuous nature of the STE event.

The CAM-Chem model was further adopted to quantify the impact of STE-455 induced O<sub>3</sub>. In this model, we introduced a tracer, O<sub>3</sub>S, to represent the 456 concentration of O3 from stratosphere. Figure 6 convinced again the 457 previous analyses that the transport of O<sub>3</sub>-rich air from the stratosphere to 458 the troposphere, spanning from the northern to the southern China. The 459 cross-regional transport of O<sub>3</sub>S was notable at higher levels (between 460 500hPa and 300hPa) in the troposphere with substantial contributions 461 exceeding 50 ppb. Though the influence reduced in the lower of the 462 troposphere, the impacted contribution was still high. The simulated 463 maxima of O<sub>3</sub>S at the surface level could reach up to approximately 8 ppb, 464 indicating a non-negligible impact of STE. 465

466

#### 467 4 Conclusion and implication

This study adopted an integrated methodology, utilizing concurrent 468 observations, machine learning techniques, and numerical simulations, to 469 probe how natural processes triggered by extreme hot weather conditions 470 (the continuous combined influence of the Subtropical High and typhoon 471 peripheries) on O<sub>3</sub> pollution. Various natural processes, including 472 473 meteorological factors, natural emissions, chemistry pathway and atmospheric transport, were investigated and summarized in Figure 7. 474 Firstly, we found that meteorological conditions during extreme weather 475 events, characterized by high temperatures, high pressure, and low 476 humidity, greatly facilitated regional photochemical reaction. Through the 477 application of machine learning techniques, we identified that 478 meteorological factors contribute an additional 10.8 ppb to O3 levels 479 compared to the same period in previous years, with surface temperature 480 exerting the most prominent influence. Furthermore, our investigation 481 revealed that the hot weather stimulated BVOC emissions (increased by 482





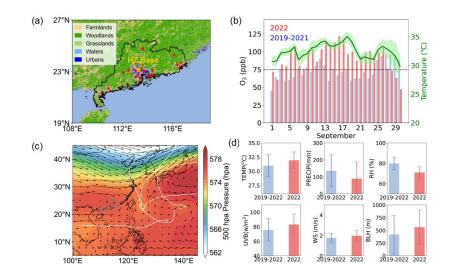
10%). Due to the typical high  $NO_x$  environment (mainly from 483 anthropogenic emission) in the PRD region, BVOC emissions aggravated 484 photochemical reaction and contributed nearly half of in-situ O<sub>3</sub> production. 485 The chemical transformation pathways of isoprene and its intermediate 486 products were further explored, it was found that the further degradation of 487 initial oxidation products of isoprene was responsible for a large fraction 488 of isoprene contributions to O<sub>3</sub> formation. This could be an important 489 mechanism of isoprene affecting downwind air quality. In addition, the 490 impact of extreme weather on atmospheric transport was also investigated. 491 The phenomenon of STE usually takes place in high latitudes. Interestingly, 492 we discovered that the outer periphery of a typhoon, aggravated the cross-493 regional transport of STE-induced O<sub>3</sub>, spanning from the northern China 494 to southern China. This process resulted in a non-negligible contributor to 495 the surface levels in downwind area (such as the PRD). 496 Our study underscores the importance of natural processes induced by 497

extreme weather events in O<sub>3</sub> pollution and provides valuable insights for 498 future endeavors in O<sub>3</sub> pollution control. Given the impact of climate 499 change, many regions around the world are experiencing an increase in the 500 frequency of extreme weather events, thereby intensifying natural 501 processes. This trend is particularly notable in developed regions with high 502 levels of anthropogenic emissions, such as eastern China, southeastern 503 America and northern India. The interaction between natural process and 504 505 human activities might further exacerbate air pollution. Future pollution control and prevention efforts should not solely focus on reducing 506 anthropogenic emissions. Instead, a comprehensive consideration of both 507 anthropogenic impact and natural impact should be taken into account, and 508 a coordinated cross-regional joint emission control is highly recommended. 509 510



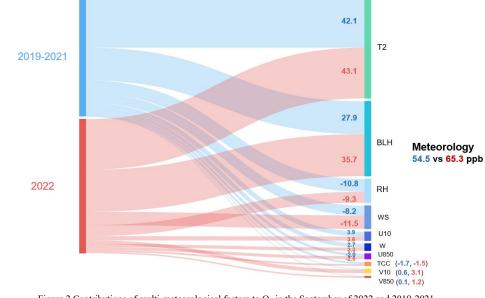


#### 511 List of Figures



512

Figure 1 (a) Map showing the geographical distribution of PRD. The red dots show the air quality monitoring
network and the yellow star shows the in-situ site at Guangzhou Haizhu Urban Ecological Meteorological
Comprehensive Observation Base (HZ Base); (b) Variation of MDA8-90 O<sub>3</sub> concentrations and regional daily max
temperature (The green line shows the average, and the upper and lower shade indicate the 75<sup>th</sup> and 25<sup>th</sup> percentile,
respectively); (c) Distribution of 500 hPa pressure and winds. The white line shows the typhoon track; (d)
Comparisons of meteorological parameters between 2022 and 2019-2021









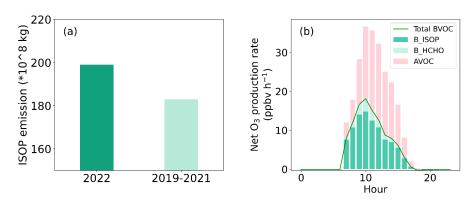
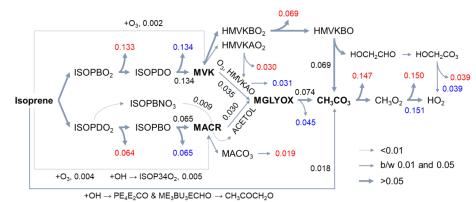


Figure 3 (a) Isoprene emissions in PRD in September in 2022 and 2019 – 2021; (b) Net OPR attributed to biogenic
 isoprene (B\_ISOP), HCHO (B\_HCHO), total BVOC and anthropogenic VOC (AVOC) in September 2022.

524

521

525



526

Figure 4 Changes in the rates (numbers; unit: ppbv h<sup>-1</sup>) of major reactions leading to O<sub>3</sub> formation at 12:00 induced
 by 10% increase in isoprene concentrations. Red and blue fonts indicate the production rates of NO<sub>2</sub> (via RO<sub>2</sub> + NO)
 and HO<sub>2</sub>, respectively. Abbreviations of the species conform to the MCM naming convention

(http://chmlin9.leeds.ac.uk/MCMv3.3.1/home.htt).

530 531

532





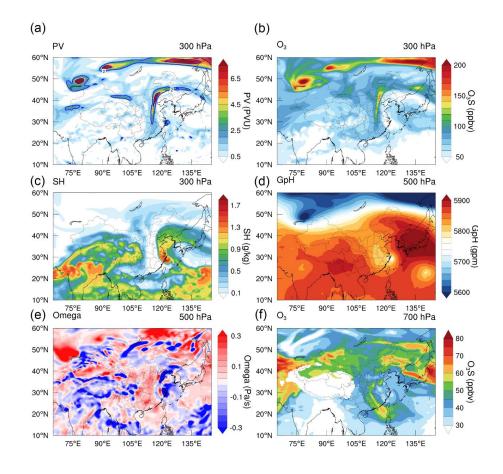
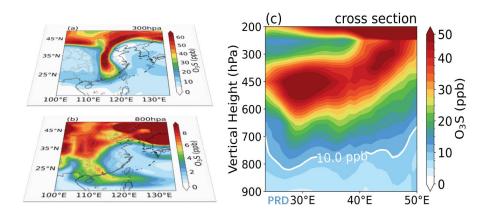


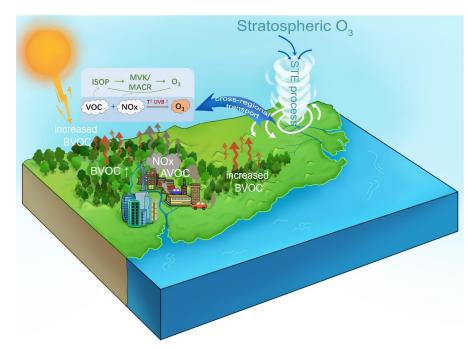
Figure 5 Evidence illustrating STE O<sub>3</sub> intrusion on September 14, 2022. (a) Spatial distribution of potential vorticity
(PV) at 300hPa over China (The blue solid line indicates the dynamical tropopause of 2PVU, 1 PVU=10<sup>-6</sup> m<sup>2</sup> s<sup>-1</sup> K
kg-1); (b-e) The distribution of O<sub>3</sub> concentration (at 300 hPa), specific humidity (at 300 hPa, SH), geopotential height
(at 500 hPa, Gph), vertical velocity (at 500 hPa, Omega), and O<sub>3</sub> concentration (at 700 hPa), respectively. All the
data were identified based on ERA5 database.







- Figure 6 Distribution of CAM-chem simulated O<sub>3</sub>S. (a) O<sub>3</sub>S distribution at 300 hPa; (b) same as (a) but at 800 hPa;
  (c) Vertical transection of O<sub>3</sub>S along the 113°E.



- Figure 7 Conceptual scheme illustrating how extreme weather induced natural processes affecting O<sub>3</sub> in PRD.





# 554 Associated Content (Supporting Information)

- Validation of the stepwise regression model(Figure S1); Synoptic weather
  distribution (Figure S2); LPDM simulated 48h retroplume (footprint
  residence time) (Figure S3); Evidence illustrating STE O3 intrusion
  (Figure S4-S6); Introduction of monitoring instruments (Table S1);
  Introduction of the recorded tropical cyclones (Table S2)
  Author Contributions
- 561 N.W. designed the research. N.W. and X.L. wrote the manuscript. N.W.,
- 562 X.L., H.W., X.C. and F.Y. contributed to the interpretation of the results.
- 563 All authors provided critical feedback and helped shape the research,
- analysis, and manuscript.

# 565 **Competing Interests**

- 566 The contact author has declared that none of the authors has any competing
- 567 interests.

# 568 Acknowledgement

This study is funded by Fundamental Research Funds for the Central Universities (Grant No. YJ202313), the Guangdong Basic and Applied Basic Research Foundation (Grant No. 2022A1515011753, and 2023A1515012205), the Science and Technology Research Project of Guangdong Meteorological Bureau (Grant No. GRMC2020Z06), and the Young Talent Support Project of Guangzhou Association for Science and Technology (Grant No. QT-2023-048).

- 576
- 577
- 578





#### 579 **Reference**

Ashmore, M.: Assessing the future global impacts of ozone on vegetation, Plant, Cell &
Environment, 28, 949-964, 2005.

- 582 Banerjee, A., Maycock, A. C., Archibald, A. T., Abraham, N. L., Telford, P., Braesicke, P., and Pyle, J.
- 583 A.: Drivers of changes in stratospheric and tropospheric ozone between year 2000 and 2100,
- 584 Atmospheric Chemistry and Physics, 16, 2727-2746, 2016.
- Camalier, L., Cox, W., and Dolwick, P.: The effects of meteorology on ozone in urban areas and
  their use in assessing ozone trends, Atmospheric environment, 41, 7127-7137, 2007.
- 587 Chan, C. and Chan, L.: Effect of meteorology and air pollutant transport on ozone episodes at a
- subtropical coastal Asian city, Hong Kong, Journal of Geophysical Research: Atmospheres, 105,20707-20724, 2000.
- 590 Chen, X., Wang, N., Wang, G., Wang, Z., Chen, H., Cheng, C., Li, M., Zheng, L., Wu, L., Zhang, Q.,
- 591 Tang, M., Huang, B., Wang, X., and Zhou, Z.: The Influence of Synoptic Weather Patterns on
- Spatiotemporal Characteristics of Ozone Pollution Across Pearl River Delta of Southern China,
  Journal of Geophysical Research: Atmospheres, 127, 10.1029/2022jd037121, 2022.
- 594 Derwent, R. G., Jenkin, M. E., Saunders, S. M., and Pilling, M. J.: Photochemical ozone creation 595 potentials for organic compounds in northwest Europe calculated with a master chemical 596 mechanism, Atmospheric environment, 32, 2429-2441, 1998.
- 597 Ding, A., Huang, X., and Fu, C.: Air pollution and weather interaction in East Asia, in: Oxford
  598 Research Encyclopedia of Environmental Science, 2017.
- 599 Eyring, V., Arblaster, J. M., Cionni, I., Sedláček, J., Perlwitz, J., Young, P. J., Bekki, S., Bergmann, D.,
- 600 Cameron-Smith, P., and Collins, W. J.: Long-term ozone changes and associated climate impacts
- 601 in CMIP5 simulations, Journal of Geophysical Research: Atmospheres, 118, 5029-5060, 2013.
- Gao, D., Xie, M., Liu, J., Wang, T., Ma, C., Bai, H., Chen, X., Li, M., Zhuang, B., and Li, S.: Ozone
  variability induced by synoptic weather patterns in warm seasons of 2014–2018 over the Yangtze
- River Delta region, China, Atmospheric Chemistry and Physics, 21, 5847-5864, 2021.
- 605 Gaudel, A., Cooper, O. R., Ancellet, G., Barret, B., Boynard, A., Burrows, J. P., Clerbaux, C., Coheur,
- 606 P.-F., Cuesta, J., and Cuevas, E.: Tropospheric Ozone Assessment Report: Present-day distribution
- and trends of tropospheric ozone relevant to climate and global atmospheric chemistry modelevaluation, Elementa: science of the anthropocene, 6, 2018.
- 609 Guenther, A., Jiang, X., Heald, C. L., Sakulyanontvittaya, T., Duhl, T. a., Emmons, L., and Wang, X.:
- 610 The Model of Emissions of Gases and Aerosols from Nature version 2.1 (MEGAN2. 1): an extended
- and updated framework for modeling biogenic emissions, Geoscientific Model Development, 5,1471-1492, 2012.
- Han, H., Liu, J., Shu, L., Wang, T., and Yuan, H.: Local and synoptic meteorological influences on
  daily variability in summertime surface ozone in eastern China, Atmospheric Chemistry and Physics,
  20, 203-222, 2020.
- Jacob, D. J.: Heterogeneous chemistry and tropospheric ozone, Atmospheric Environment, 34,2131-2159, 2000.
- 618 Jenkin, M. E. and Clemitshaw, K. C.: Ozone and other secondary photochemical pollutants:
- chemical processes governing their formation in the planetary boundary layer, AtmosphericEnvironment, 34, 2499-2527, 2000.
- 621 Johnsson, T.: A procedure for stepwise regression analysis, Statistical Papers, 33, 21-29, 1992.
- 622 Knowlton, K., Rosenthal, J. E., Hogrefe, C., Lynn, B., Gaffin, S., Goldberg, R., Rosenzweig, C., Civerolo,





- 623 K., Ku, J.-Y., and Kinney, P. L.: Assessing ozone-related health impacts under a changing climate,
- 624 Environmental health perspectives, 112, 1557-1563, 2004.
- Lei, Y., Yue, X., Liao, H., Zhang, L., Zhou, H., Tian, C., Gong, C., Ma, Y., Cao, Y., and Seco, R.: Global
- perspective of drought impacts on ozone pollution episodes, Environmental science & technology,56, 3932-3940, 2022.
- Li, T., Wu, N., Chen, J., Chan, P.-w., Tang, J., and Wang, N.: Vertical exchange and cross-regional
  transport of lower-tropospheric ozone over Hong Kong, Atmospheric Research, 292, 106877,
  10.1016/j.atmosres.2023.106877, 2023.
- 631 Lu, X., Zhang, L., and Shen, L.: Meteorology and Climate Influences on Tropospheric Ozone: a
- Review of Natural Sources, Chemistry, and Transport Patterns, Current Pollution Reports, 5, 238-260, 10.1007/s40726-019-00118-3, 2019.
- Lu, X., Hong, J., Zhang, L., Cooper, O. R., Schultz, M. G., Xu, X., Wang, T., Gao, M., Zhao, Y., and
  Zhang, Y.: Severe surface ozone pollution in China: a global perspective, Environmental Science &
  Technology Letters, 5, 487-494, 2018.
- Lyu, X., Guo, H., Zou, Q., Li, K., Xiong, E., Zhou, B., Guo, P., Jiang, F., and Tian, X.: Evidence for
   Reducing Volatile Organic Compounds to Improve Air Quality from Concurrent Observations and
- 639 In Situ Simulations at 10 Stations in Eastern China, Environmental Science & Technology, 56,
- 640 15356-15364, 2022.
- 641 Lyu, X., Wang, N., Guo, H., Xue, L., Jiang, F., Zeren, Y., Cheng, H., Cai, Z., Han, L., and Zhou, Y.:
- 642 Causes of a continuous summertime O 3 pollution event in Jinan, a central city in the North China
  643 Plain, Atmospheric Chemistry and Physics, 19, 3025-3042, 2019.
- Pierotti, D., Wofsy, S., Jacob, D., and Rasmussen, R.: Isoprene and its oxidation products:
  Methacrolein and methyl vinyl ketone, Journal of Geophysical Research: Atmospheres, 95, 18711881, 1990.
- Pusede, S. E., Steiner, A. L., and Cohen, R. C.: Temperature and recent trends in the chemistry ofcontinental surface ozone, Chemical reviews, 115, 3898-3918, 2015.
- 649 Stohl, A., Bonasoni, P., Cristofanelli, P., Collins, W., Feichter, J., Frank, A., Forster, C., Gerasopoulos,
- 650 E., Gäggeler, H., and James, P.: Stratosphere-troposphere exchange: A review, and what we have
- learned from STACCATO, Journal of Geophysical Research: Atmospheres, 108, 2003.
- 652 Wang, H., Wang, W., Shangguan, M., Wang, T., Hong, J., Zhao, S., and Zhu, J.: The Stratosphere -
- 653 to-Troposphere Transport Related to Rossby Wave Breaking and Its Impact on Summertime
- Ground-Level Ozone in Eastern China, Remote Sensing, 15, 2647, 10.3390/rs15102647, 2023.
- 655 Wang, N., Huang, X., Xu, J., Wang, T., Tan, Z.-m., and Ding, A.: Typhoon-boosted biogenic emission
- aggravates cross-regional ozone pollution in China, Science Advances, 8, eabl6166, 2022.
- Wang, N., Lyu, X., Deng, X., Huang, X., Jiang, F., and Ding, A.: Aggravating O3 pollution due to
  NOx emission control in eastern China, Science of the Total Environment, 677, 732-744, 2019.
- 659 Wang, T., Xue, L., Brimblecombe, P., Lam, Y. F., Li, L., and Zhang, L.: Ozone pollution in China: A
- 660 review of concentrations, meteorological influences, chemical precursors, and effects, Science of
- 661 the Total Environment, 575, 1582-1596, 2017.
- 662 Wang, Y., Wang, H., and Wang, W.: A stratospheric intrusion-influenced ozone pollution episode
- associated with an intense horizontal-trough event, Atmosphere, 11, 164, 2020.
- Westerling, A. L., Hidalgo, H. G., Cayan, D. R., and Swetnam, T. W.: Warming and earlier spring
   increase western US forest wildfire activity, science, 313, 940-943, 2006.
- 666 Wolfe, G. M., Marvin, M. R., Roberts, S. J., Travis, K. R., and Liao, J.: The framework for 0-D





- atmospheric modeling (F0AM) v3. 1, Geoscientific Model Development, 9, 3309-3319, 2016.
- 668 Yue, X. and Unger, N.: Fire air pollution reduces global terrestrial productivity, Nature
- 669 Communications, 9, 5413, 2018.
- 670
- 671