



## Climate Intervention using marine cloud brightening (MCB) compared with stratospheric aerosol injection (SAI) in the UKESM1 climate model.

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**Abstract.** The difficulties in using conventional mitigation techniques to maintain global mean temperatures well below 2 °C compared with preindustrial levels have been well documented, leading to so-called ‘climate intervention’ or ‘geoengineering’ research whereby the planetary albedo is increased to counterbalance global warming and ameliorate some impacts of climate change. In the scientific literature, the most prominent climate intervention proposal is that of stratospheric aerosol injection (SAI), although proposals for marine cloud brightening (MCB) have also received considerable attention. In this study, we design a new MCB experiment (G6MCB) for the UKESM1 Earth system model which follows the same baseline and cooling scenarios as the well-documented G6sulfur SAI scenario developed by the Geoengineering Model Intercomparison Project (GeoMIP) and compare the results from G6MCB with those from G6sulfur. The deployment strategy used in G6MCB injects sea-salt aerosol into four cloudy areas of the eastern Pacific. Despite MCB being intended as a technique to modify clouds, much of the radiative effect in G6MCB is found to derive from the direct interaction of the injected sea-salt aerosols with solar radiation. The results show that while G6MCB can achieve its target in terms of reducing high-end global warming to moderate levels, there are several side-effects. Some are common to SAI, including overcooling of the tropics, and residual warming of mid-and high latitudes. Others side effects specific to common choices of MCB regions include changes in monsoon precipitation, year-round increases in precipitation over Australia and the maritime continent and increased sea-level rise around western Australia and the maritime continent; these results are all consistent with a permanent and very strong La Niña-like response being induced in G6MCB. It should be stressed that the results are extremely dependent upon the strategy chosen for MCB deployment. As demonstrated by the development of SAI strategies which can achieve multiple temperature targets and ameliorate some of the residual impacts of climate change, much further work is required in multiple models to obtain a robust understanding of the practical scope, limitations, perils and pitfalls of any proposed MCB deployment.

## 1 Introduction

The difficulties in ameliorating global warming and the associated climate change via conventional mitigation are well documented (e.g., Rogelj et al., 2016; Millar et al., 2017; Tollefson, 2018; IPCC, 2018). Such difficulties have led to growing interest in so-called ‘climate intervention’ (also known as geoengineering) which includes proposals to deliberately brighten



the planet, thereby acting to offset some of the global warming due to increased concentrations of greenhouse gases (e.g., Royal Society, 2009; Lawrence et al., 2018; Haywood and Tilmes, 2022; UNEP, 2023). Such methods for increasing the planetary albedo are generally referred to as ‘solar radiation management’ (SRM). In the scientific literature, the most prominent SRM method is via stratospheric aerosol injection (SAI; e.g., Kravitz et al., 2011, 2013a, 2021; Vioni et al., 2021, 2023a), although marine cloud brightening (MCB) has also received considerable attention (e.g., Rasch et al., 2008, Jones et al., 2009, 2011; Alterskjær et al., 2012, 2013; Mahfouz et al., 2023).

Early studies of the potential impacts of MCB (e.g., Rasch et al., 2008; Jones et al., 2009) simply increased the reflectance of low-lying marine stratocumulus clouds by setting cloud droplet number concentration (CDNC) to an asymptotic maximum that was informed by aircraft observations (e.g., Martin et al., 1994; Jones et al., 2001). These early studies were subsequently improved upon by more explicit modelling through the injection of sea-salt aerosol (Jones et al., 2012; Partanen et al., 2012). However, when comparing the results from these earlier studies, difficulties became apparent in distinguishing the climatic response in each model from the differences due to the climate intervention scenario or strategy used. Here we use ‘scenario’ to refer to the amount of cooling the climate intervention is intended to produce and its evolution over time, and ‘strategy’ for the details of the climate intervention deployment chosen to achieve the specified cooling. These difficulties contributed to the formation of the Geoengineering Model Intercomparison Project (GeoMIP; e.g., Kravitz et al., 2011, 2013a, 2015; Vioni et al., 2021, 2023b), where the primary objective was to provide standardised scenarios and strategies that could be performed by a number of models to provide a multi-model analysis of the impacts of climate intervention proposals.

A number of studies relevant to both SAI and MCB have since been performed under the aegis of GeoMIP. The scenario most commonly used for recent GeoMIP studies of the climate impacts of SAI (experiment G6sulfur; Kravitz et al., 2015) is to reduce global mean temperature from that in a high global warming scenario to that of a more moderate one (see section 2.2 for more details). Impacts on surface climate variables (Vioni et al., 2021), stratospheric dynamics such as the North Atlantic Oscillation and Quasi-Biennial Oscillation (Jones et al., 2022), stratospheric ozone (Tilmes et al., 2022), vegetation (Xia et al., 2021) and permafrost (Liu et al., 2023) have all been assessed. The earliest GeoMIP study relevant to MCB was the G3-SSCE experiment (Alterskjaer et al., 2013) where the top-of-atmosphere radiative forcing was maintained at 2020 levels in a scenario with rising greenhouse-gas concentrations. The three participating models treated sea-salt with different degrees of complexity ranging from fully prognostic sea-salt and CDNC, through using a climatology of sea-salt concentrations and diagnostic CDNC, to prescribed sea-salt and CDNC. Subsequently, a simpler GeoMIP experiment was defined (G4cdnc; Kravitz et al., 2013b) where a 50% increase in the CDNC of low marine clouds was imposed over the oceans on a global basis; the simplicity of this experimental design meant that nine climate models were able to participate (Stjern et al., 2018). A more complex GeoMIP experiment called G4sea-salt (Kravitz et al., 2013b) was performed by three models that could all explicitly represent sea-salt injection into the marine boundary layer at latitudes between 30°S-30°N; this experiment highlighted that the aerosol direct effect could contribute a significant fraction of the modelled cooling (Ahlm et al., 2017).



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A previous comparison of results from MCB with those from SAI (Jones et al., 2011) had a number of shortcomings. The SAI and MCB scenarios were not consistent resulting in global mean radiative forcing and temperature changes being different. The SAI simulations injected sulfur dioxide globally rather than at a specific location as the version of the model used in the study (HadGEM2; Collins et al., 2011) did not have sufficient vertical resolution or a high enough model top to allow for accurate simulation of stratospheric dynamics. Also, MCB in Jones et al. (2011) was simulated quite crudely by simply increasing CDNC in specified regions. Subsequent improvements to the treatment of MCB (Jones and Haywood, 2012) included explicit representation of injected sea-salt aerosol but the injected aerosol size distribution was assumed to be the same as that of naturally occurring sea-salt. Furthermore, all aerosols in HadGEM2 were treated as external mixtures.

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In this study we present a new experiment (G6MCB) using a more up-to-date model, UKESM1. We use this experiment to examine the potential effects of MCB and compare them with those of SAI as simulated in the same model's GeoMIP G6sulfur experiment. Section 2 provides further details of UKESM1 and of the G6sulfur and G6MCB experiments. Section 3 first presents results from preliminary tests of the MCB configuration, then assesses the impact of both SAI and MCB on standard meteorological variables such as temperature, precipitation, sea-ice, and sea-level rise. A discussion and conclusions are presented in section 4.

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## 2 Model description and experimental design

### 2.1 UKESM1

UKESM1 (Sellar et al., 2019) is an Earth-system model developed jointly by the UK's Met Office and UK Universities funded under the Natural Environment Research Council and was used extensively to deliver simulations for the Coupled Model Intercomparison Project Phase 6 (CMIP6; Eyring et al., 2016). It includes an 85-level atmosphere model (Walters et al., 2019) extending to approximately 85 km altitude at a resolution of 1.25° latitude by 1.875° longitude, coupled to a 1° ocean model of 75 levels (Storkey et al., 2018). Also included are components to simulate sea ice (Ridley et al., 2018), ocean biogeochemistry (Yool et al., 2013), the land surface and vegetation (Best et al., 2011) and tropospheric and stratospheric chemistry (Archibald et al., 2020). Aerosols are represented as internal mixtures in five different log-normal modes using the GLOMAP-mode scheme (Mann et al., 2010). The activation of aerosols to form cloud droplets is described by West et al. (2014) and Mulcahy et al. (2018) and is based on the approach of Abdul-Razzak and Ghan (2000).

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### 2.2 G6sulfur

The comparison between MCB and SAI was conducted using the 'G6' framework established by Phase 6 of GeoMIP (Kravitz et al., 2015). This framework uses future scenarios developed for ScenarioMIP (O'Neill et al., 2016) and involves reducing

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the global mean temperature in an experiment which follows a high-emissions scenario (SSP5-8.5, experiment ssp585) to the levels in a medium-emissions scenario (SSP2-4.5, experiment ssp245) by including some form of SRM. For the G6sulfur experiment this involves injecting SO<sub>2</sub> at 18-20 km along the Greenwich meridian between 10° N and 10° S. The injection rate was modified so that, for each decade between 2021 and 2100, the decadal mean temperature in G6sulfur was within ±0.2  
100 °C of that in ssp245. The appropriate injection rate for each decade was determined by trial and error. Three-member ensembles were used for each experiment: the three members of G6sulfur were based on three members of the ssp585 ensemble, themselves extensions of members of UKESM1's CMIP6 'historical' ensemble which in turn were initialised from different points in the pre-industrial control. Results from UKESM1's G6sulfur experiment have been documented in previous studies, e.g., Jones et al. (2021) and Visioni et al. (2021).

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### 2.3 G6MCB

Sea-salt injection was implemented by modifying the primary sea-salt emissions scheme in GLOMAP-mode which uses the Gong-Monahan approach (Gong, 2003). This is a 20-bin sectional scheme: after emission, bins 1-12 (mid-bin dry radii 1.6 nm to 0.21 µm) are mapped to GLOMAP-mode's accumulation mode, while bins 13-20 (mid-bin dry radii 0.32 to 7.0 µm) are  
110 mapped to the coarse mode. We modified emissions from a single size bin of this scheme to simulate sea-salt injection as a monodisperse spray following Salter et al. (2008) and Wood (2021); the choice of bin is described in Section 3.1 below. The extra sea-salt is injected into the lowest model layer (layer centre at 20 m above the surface).

Sea-salt for climate intervention was emitted concurrently and at the same rate in four ocean regions designated NP (north  
115 Pacific: 30°-50° N, 170°-240° E), NEP (north-east Pacific: 0°-30° N, 210°-250° E), SEP (south-east Pacific: 0°-30° S, 250°-290° E) and SP (south Pacific: 30°-50° S, 190°-270° E) as shown in Fig. 1. Within the latitude-longitude ranges indicated, only those model grid-cells which were 100% ocean were used for sea-salt injection. These regions were selected as they contain large areas of low-level marine cloud and are symmetrically distributed in latitude about the equator to try to avoid the detrimental effects on tropical precipitation seen previously for hemispherically-asymmetric SAI (Haywood et al., 2013).  
120 Such detrimental results have been found to be applicable to any hemispherically asymmetric forcing mechanism that induces a significant temperature gradient across the equator (e.g. Frierson et al., 2013; Haywood et al., 2016). Previous studies using the HadGEM2 model (Jones et al., 2009; Jones and Haywood, 2012) indicated that applying MCB to clouds in the south-east Atlantic stratocumulus region could cause significant reductions in precipitation and net primary productivity over the Nordeste and Amazon regions of Brazil owing to changes in the Walker circulation. Robust correlations have been identified  
125 between highly reflectant clouds over the south-east Atlantic, the associated localised SST reduction, and rainfall over the Nordeste region of Brazil (Hastenrath, 1990; Utide et al., 2019) and also appear to operate in UKESM1 so this region was not included in the injection strategy presented here.



Using this approach to implementing sea-salt injection, a new experiment was set up following the GeoMIP G6 protocol; this experiment was designated G6MCB (note that this is not an official GeoMIP-endorsed experiment). G6MCB is also a 3-member ensemble based on the same ssp585 ensemble members as G6sulfur. As in the G6sulfur simulations, the goal of G6MCB was to reduce the global mean temperature from that of ssp585 to that of ssp245 to within  $\pm 0.2$  °C for each decade from 2021-2100, and as with G6sulfur the sea-salt injection rates for each decade were determined by trial and error.

### 3 Results

#### 3.1 Selecting the optimal size bin for sea-salt injection

Before commencing G6MCB, preliminary simulations were performed with UKESM1 to determine the optimum size bin for sea-salt injection by injecting sea-salt separately into each of bins 7-12 of the sea-salt emissions scheme (see Table 1 for the sizes of each bin). Sea-salt was injected with emission rates of 20, 50, 100, and 200 Tg yr<sup>-1</sup> into all four of the oceanic regions shown in Fig. 1. Simulations were performed for 15 years and the impact on CDNC, cloud fraction, top-of-atmosphere (ToA) net radiation and global mean temperature were assessed using data from the last 10 years of the simulations and are shown in Fig. 2. It is obvious that the injection of significant amounts of sea-salt into bin 7 (mid-bin radius 23 nm) is very ineffective. The change in cloud-top CDNC is small across the range of injection rates and, along with cloud fraction, actually decreases with increasing injection rate, thereby acting counter to the objectives of MCB. These results are not dissimilar to those found for over-seeding by Alterskjær et al. (2012) and Alterskjær and Kristjánsson (2013). This reduction in cloud fraction translates to the weakest perturbation to global ToA radiative fluxes and the least global mean cooling of all the bins investigated. As the size of the injected aerosols increases through to bin 10, progressively more change in CDNC, cloud fraction, ToA flux perturbation and global mean temperature is obtained, particularly at high injection rates, before smaller changes are seen for injections into bins 11 and 12. It therefore appears that, for UKESM1's cloud droplet activation scheme, the optimal size for aerosol injection to maximise the cooling from MCB is when the sea-salt dry radius is around 85 nm. We therefore chose injection into bin 10 for G6MCB.

#### 3.2 G6MCB compared with G6sulfur

Many of the results presented below, whether climate intervention is included or not, are compared with a nominal 'present-day' (PD); this is taken as the mean over 2015-2034 from the ssp245 experiment. Unless otherwise stated, all results are ensemble means. Figure 3(a) shows the decadal mean injection rates of climate intervention SO<sub>2</sub> and sea-salt (as dry aerosol) in G6sulfur and G6MCB, respectively. By the final decade the annual injection rate of SO<sub>2</sub> in G6sulfur (21.1 Tg yr<sup>-1</sup>) is broadly similar to estimates of the SO<sub>2</sub> injected by the 1991 eruption of Mt. Pinatubo (Guo et al., 2004; Dhomse et al., 2020) although of course the injection in G6sulfur is continuous rather than a pulse injection. By the same time, the sea-salt injection rate in G6MCB (413 Tg yr<sup>-1</sup>) is a little under 10% of estimates the observed natural global sea-salt emission rate, although the latter



160 has a large degree of uncertainty (Lewis and Schwartz, 2004), and much of the mass of natural sea-salt emissions is in larger  
particles sizes not influenced by climate intervention. Figure 3(b) shows the relationships between injection rate and the  
resulting decadal-mean cooling for both experiments; the data for G6sulfur are replotted with an expanded abscissa in Fig.  
3(c). The two CI strategies require quite different emissions to achieve a similar cooling because of differences in: 1) particle  
size, 2) aerosol lifetime near the surface or in the stratosphere, and 3) cloud effects. Of course, practical considerations for  
165 deployment must also be considered (i.e. the cost of deployment of SAI and MCB), but this is beyond the scope of this work.  
The relationship is approximately linear for SO<sub>2</sub> in G6sulfur but clearly non-linear for sea-salt in G6MCB. The temperature-  
change efficiency of stratospheric SO<sub>2</sub> injection in G6sulfur is approximately constant at -126 mK / Tg [SO<sub>2</sub>] yr<sup>-1</sup> whereas for  
sea-salt injection in G6MCB the efficiency falls by over a factor of three from -19.4 to -6.5 mK / Tg [sea-salt] yr<sup>-1</sup> as the  
injection rate increases over the course of the experiment (Table 2). The linearity of temperature response in G6sulfur found  
170 here may appear to run counter to the findings of Niemeier and Timmreck (2015) who found a non-linear response of radiative  
forcing with increasing SO<sub>2</sub> injection rates. However, they were assessing a far wider range of injection rates (0-100 Tg[SO<sub>2</sub>]  
yr<sup>-1</sup>) than those used in G6sulfur and the response in Niemeier and Timmreck is more linear when considered only over the  
more limited range of 0-20 Tg[SO<sub>2</sub>] yr<sup>-1</sup> of G6sulfur.

175 Figure 4 shows an estimate of the comparative contributions to changes in ToA net shortwave (SW) radiation from cloudy  
and clear-sky effects in each decade of G6MCB compared with the corresponding decade in ssp245. The comparison is  
presented with respect to ssp245 because G6MCB and ssp245 have, by design, the same global-mean near-surface temperature  
through the 21<sup>st</sup> century; the comparison is restricted to the SW as the two experiments have very different greenhouse-gas  
levels. The cloudy-sky effect is estimated as the difference in SW cloud radiative effect (CRE<sub>SW</sub>) between G6MCB and ssp245,  
180 with CRE<sub>SW</sub> defined as the difference between all-sky and clear-sky ToA SW fluxes:

$$\text{CRE}_{\text{SW}} = N_{\text{SW}} - N_{\text{SW\_CS}} \quad (1)$$

Here  $N_{\text{SW}}$  is the net ToA all-sky SW flux and  $N_{\text{SW\_CS}}$  the same but for clear sky, and follows the convention that a negative  
185 CRE<sub>SW</sub> corresponds to a net loss of energy from the Earth-atmosphere system and hence a cooling effect on climate. The clear-  
sky effect is estimated from the difference in  $N_{\text{SW\_CS}}$  between G6MCB and ssp245. By the final decade of the century, Fig. 4  
shows that the sum of these estimates of cloudy and clear sky radiative effects is approximately -4 W m<sup>-2</sup>. This is the same as  
the difference between the nominal forcings at 2100 of SSP5-8.5 (8.5 W m<sup>-2</sup>) and SSP2-4.5 (4.5 W m<sup>-2</sup>), suggesting that our  
method for diagnosing the components is adequate. The clear-sky effect dominates after ~2070 and is responsible for the large  
190 forcings generated by sea-salt injection towards the end of the century when the amount of cooling required to match ssp245's  
temperature is greatest. Although envisioned as a mechanism for cloud modification, the substantial impact of MCB on the  
clear sky (sometimes called 'marine sky brightening', MSB) has been found in previous studies of MCB (Jones and Haywood,  
2012; Partanen et al., 2012; Muri et al., 2015; Ahlm et al., 2017).



195 Figure 5 shows the distribution of the cloudy- and clear-sky effects during the decades when they are at their maxima. For  
the cloudy-sky effect, this is 2061-2070 and Fig. 5(a) shows that the areas of greatest impact of clouds on net ToA SW  
correspond fairly closely with the injection regions (Fig. 1) with maxima over the sub-tropical stratocumulus regions. Even  
during its period of maximum impact on clouds, the change of ToA SW in G6MCB ( $-0.80 \text{ W m}^{-2}$ ) is only  $0.13 \text{ W m}^{-2}$  stronger  
than the clear-sky effect during this same period ( $-0.67 \text{ W m}^{-2}$ ; Fig. 5c). The decade of maximum clear-sky effect on ToA SW  
200 is 2091-2100 (Fig. 5d): the global-mean impact is  $-4.44 \text{ W m}^{-2}$  with regional values in the NEP and SEP injection areas in  
excess of  $-40 \text{ W m}^{-2}$ . This large clear-sky effect also has to offset the fact that by 2091-2100 the global-mean cloudy-sky effect  
is now positive at  $+0.32 \text{ W m}^{-2}$  (Fig. 5c). The areas where sea-salt is injected are still areas of negative  $\text{CRE}_{\text{SW}}$  changes, but  
dynamical feedbacks due to the large amounts of sea-salt being injected result in reductions in cloud cover and consequently  
positive  $\text{CRE}_{\text{SW}}$  impacts in other areas. Such a warming response of clouds in simulations of MCB has also been found in  
205 earlier studies using the same cloud droplet activation scheme as UKESM1 (e.g., Alterskjær and Kristjánsson, 2013) and also  
in more recent studies (Mahfouz et al., 2023) that use different parameterisations (Ming et al., 2006).

Although operating at different levels of the atmosphere, G6sulfur and G6MCB both affect the climate by increasing aerosol  
concentrations and therefore affect aerosol optical depth (AOD). Figure 6 shows the perturbations to AOD for 2081-2100 in  
210 G6sulfur and G6MCB compared with PD: Figs. 6(a) and 6(b) show the absolute differences compared with PD while Figs.  
6(c) and 6(d) show the ratio to PD. In global-mean terms the perturbation is largest for G6sulfur where AOD is more than  
tripled compared with the PD mean of 0.13. G6sulfur also has a more widespread distribution of geoengineering aerosol due  
to the transport in the stratosphere from the injection point in the tropics and the very much longer lifetime of aerosols in the  
stratosphere compared with the troposphere. These changes would lead to whiter skies globally, as noted by Robock (2008).  
215 Although smaller in global-mean terms, the AOD perturbation in G6MCB is very high in the areas of sea-salt injection,  
especially in the tropical east Pacific with a peak local AOD of 2.4, twice the peak value in G6sulfur, reaching values that  
exceed present day AOD values found over continental South East Asia (e.g., Zhao et al, 2018). The AOD perturbation in  
G6MCB is much more localised to the source compared with G6sulfur due to the sea-salt being injected close to the surface  
and the greater efficiency of aerosol removal processes in the lower troposphere which reduces the likelihood of long-range  
220 transport, especially for hygroscopic aerosol such as sea-salt.

A consequence of the greater inhomogeneity of the aerosol perturbation in G6MCB compared with G6sulfur can be seen  
in Fig. 7, which shows differences between PD temperatures and the experiments. Although global-mean temperatures in  
G6sulfur and G6MCB follow that of ssp245, the same is not true for the latitudinal distribution of temperature. By the end of  
225 the century, Fig. 7(a) shows cooler tropics in G6sulfur and warmer polar regions compared with ssp245, with a mean pole  
( $66.5\text{--}90^\circ \text{ N/S}$ )–to–tropics ( $23.4^\circ \text{ S} - 23.4^\circ \text{ N}$ ) difference of  $1.27^\circ \text{ C}$  for 2081-2100. This is perhaps unsurprising given that  
the SAI strategy in G6sulfur only injects between  $10^\circ \text{ N}$  and  $10^\circ \text{ S}$  and it might be assumed that G6MCB, which injects sea-



salt up to latitudes of 50° N and S, would have a smaller difference between tropics and poles. However, Fig. 7(b) indicates that this is not the case, and indeed the pole-to-tropics difference is increased to 1.87 °C. While G6sulfur shows the expected maximum zonal mean residual warming for 2081-2100 between 60-90 °N which has been evident in GeoMIP simulations which inject aerosol at Equatorial latitudes (e.g., Kravitz et al., 2013a, 2015), Fig. 7(c) shows that G6MCB has another maximum at 30-60 °N. Just as for SAI, where considerable research has been performed into strategies to ameliorate residual temperature impacts by injecting at latitudes outside of the tropics (e.g., Kravitz et al., 2017; Henry et al., 2023), these details are likely to be a function of the deployment strategy. Increasing relative emissions in the NP region which spans 30-50 °N might rectify this issue.

The global distributions of the differences in near-surface air temperature between 2081-2100 and PD are shown in Fig. 8 for June-August (JJA) and Fig. 9 for December-February (DJF) for ssp585, ssp245, G6sulfur and G6MCB. The general patterns of warming are similar in all cases (naturally more exaggerated in ssp585) with the greatest warming at high northern latitudes. However, there are some differences: there is obvious cooling over the eastern Pacific in G6MCB compared with the other experiments, as might be expected from the extremely high sea-salt AODs there, but in contrast North America is warmer in G6MCB than G6sulfur or ssp245 in both seasons. This is borne out by the probability density function of the changes which shows much wider distributions for G6MCB compared with G6sulfur (Figs. 8e and 9e).

Figures 10 (JJA) and 11 (DJF) show the changes in the precipitation rate over land between the same periods as the temperature changes. For JJA, G6sulfur and G6MCB show some similarities in the patterns of precipitation change, for example the reductions in precipitation over northern and western Eurasia and parts of North America, and increased rainfall over the Sahel region in Africa and over the Indian subcontinent. However, the changes in G6MCB are more intense than in G6sulfur: e.g., the area of increased precipitation over India is more extensive, and the precipitation reduction over North America is more even than in ssp585. There are also areas where G6MCB shows quite different changes to G6sulfur, the most obvious being the increased precipitation over Australia and the pattern of changes over South America. In both cases G6sulfur shows changes very similar to ssp245 and ssp585 while G6MCB is significantly different. The situation is similar in DJF (Fig. 11) where ssp585, ssp245 and G6sulfur show broadly similar patterns of precipitation changes, while G6MCB is a clear outlier: the increased precipitation over Australia in both seasons is a noteworthy feature of G6MCB, as is the distinct increase in DJF precipitation over South America. The increase in precipitation over Australia has been diagnosed in both the GeoMIP G4cdnc (Stjern et al., 2018) and G4sea-salt (Ahlm et al., 2017) simulations with changes of the order of 10%. The simulations presented here show changes over northern Australia in JJA that exceed 500%.

The changes in annual-mean net primary productivity (NPP) over land in 2081-2100 compared with PD are shown in Fig. 12. NPP schemes within Earth-system models generally show a strong dependence on atmospheric concentrations of carbon dioxide (the CO<sub>2</sub> fertilisation effect) and a weaker dependence on soil moisture which is a function of both precipitation and





temperature: increasing precipitation increases NPP, while increasing temperature decreases NPP (e.g., O’Sullivan et al., 2020, 2022). Figure 12(a) shows a general NPP increase in ssp585 compared with PD owing to increased photosynthesis under high CO<sub>2</sub> concentrations. However, there is a significant decrease in NPP over parts of the Amazon rainforest that appears to be  
265 linked to higher temperatures and reduced precipitation (Figs. 8-11). These patterns are similar but less strong in ssp245 (Fig. 12b). NPP is higher in G6sulfur than in ssp585 owing to plant productivity not being curtailed by the high temperatures evident in ssp585, and is also higher than in ssp245 owing to the CO<sub>2</sub> fertilisation effect. The patterns of NPP change in G6MCB show rather different behaviour compared with the other experiments (Fig 12d). G6MCB shows a reduction in NPP below PD levels in the central regions of the USA, linked to the hotter and drier conditions compared with the other experiments. G6MCB also  
270 shows significant enhancement of NPP in the tropics. In contrast to the other experiments, NPP is notably increased over Amazonia, which is the opposite effect to that found in MCB studies where the south-east Atlantic stratocumulus cloud area was targeted (Jones et al., 2009; Jones and Haywood, 2012). This indicates a strong dependence of response on the chosen injection strategy and thus a lack of generalisability of results for MCB simulations.

275 The change in sea-level over this period is shown in Fig. 13. All three experiments with approximately the same temperature (ssp245, G6sulfur and G6MCB) have similar amounts of global-mean sea-level rise compared with PD. G6sulfur has a fairly similar distribution of sea-level rise to ssp245, but the distribution in G6MCB is rather different, although still showing local maxima in the North Atlantic and Southern Ocean. Compared with G6sulfur and ssp245, G6MCB shows less sea-level rise in the eastern Pacific where the sea-salt injection occurs and more in the western Pacific, around the Indonesian archipelago and  
280 to the west of Australia, where the sea-level rise in G6MCB in these areas exceeds that in ssp585.

Finally, the maximum (March) and minimum (September) Arctic sea-ice areas are shown in Figure 14. Both G6sulfur and G6MCB maintain the maximum sea-ice area very close to the ssp245 levels (Fig. 14a), contrasting starkly with the area in ssp585 which diverges strongly from the others after about 2060. In contrast, there is little difference between any of the  
285 experiments for minimum sea-ice area (Fig. 14b) with all four showing an essentially ice-free Arctic in September by 2050.

#### 4 Discussion and Conclusions

The objective of the simulations presented in this study was to reduce global mean temperatures from those of the SSP5-8.5 scenario to those of SSP2-4.5 using SAI (G6sulfur) and MCB (G6MCB). Such simulations have been performed by multiple models for the G6sulfur experiment (e.g., Vioni et al., 2021; Jones et al., 2022; Tilmes et al., 2022). These simulations  
290 generally show that such an approach reduces many detrimental impacts associated with climate change in SSP5-85 such as global and regional temperatures and high-latitude precipitation (Vioni et al., 2022), permafrost loss (Liu et al., 2023), or changes in sub-tropical atmospheric river activity (Liang and Haywood, 2023). However, there remain significant residual impacts on stratospheric dynamics and ozone (Jones et al., 2022; Tilmes et al., 2022) and on climate impacts at the surface



such as a general reduction in global precipitation, particularly in mid-latitude and tropical areas (Visioni et al., 2021) and  
295 increased drought over southern Europe (Jones et al., 2022). It is also thought that high aerosol concentrations from SO<sub>2</sub>  
injections into the lower stratosphere, in its non-neutralised form of sulfuric acid, could cause long-term issues for aircraft  
engines, airframes and other aviation components such as windows (e.g., Schmidt et al., 2014, and references therein),  
significantly reducing their servicing intervals and increased the associated operating costs.

300 The latitudinal distribution of aerosol optical depth in G6sulfur peaks in tropical regions which is due to the specified  
injection strategy of injecting between 10° N and 10° S. Significant work has been done examining the utility of alternative  
strategies using latitudinally variable injections (e.g., Kravitz et al., 2017; Bednarz et al., 2023; Visioni et al., 2023a; Henry et  
al., 2023) that reduce the tropical AOD peak and the associated over-cooling of tropical regions with continued warming at  
high latitudes (Fig. 7c). The magnitude of the peak in AOD for equatorial injections is also affected by the model-dependent  
305 strength of the tropical pipe which acts as a barrier to equator-to-pole transport. Compared with UKESM1, the CESM2 model  
for example displays less confinement of sulfate aerosol to the tropics for equatorial injections (Jones et al., 2021).

The G6MCB simulations presented here also deliver the primary objective of the climate intervention scenario. The strategy  
for achieving this is by targeting those areas where clouds are considered to be most susceptible to aerosol injection (e.g.,  
310 Latham et al., 2008), as shown in Fig. 1. It was found that the optimal size for injection of sea-salt aerosols in UKESM1 was  
around 85 nm radius, considerably larger than that suggested by process-level modelling studies (e.g., Connolly et al., 2014;  
Wood, 2021), although this may be an artifact of the choice of aerosol activation parameterization as discussed below. The  
aerosol indirect effect (aerosol-cloud interaction) was found to saturate i.e., suffer significantly from diminishing returns,  
becoming secondary to the cooling impact of the aerosol direct effect (aerosol-radiation interaction), an effect which has been  
315 noted before (e.g., Ahlm et al., 2017). At sufficiently large injection rates, the forcing from aerosol-cloud interactions was  
found to swap sign from negative to positive, corroborating the result of Alterskjær and Kristjánsson (2013) and Mahfouz et  
al. (2023). Alterskjær and Kristjánsson (2013) suggest that deliberate injections into the nucleation mode can lead to a  
significant positive forcing (warming effect), because of the strong competition for water vapour between a large number of  
small sea-salt particles. This leads to many hydrated aerosols, but a reduction in the relative humidity and a reduction in the  
320 cloud fraction. The injection of coarse mode particles (Alterskjær and Kristjánsson, 2013) and over-seeding of accumulation  
mode aerosols in areas of high background aerosol concentrations (Alterskjær et al., 2012) have also been found to exert a  
significant positive forcing due to a decrease in the activation of background aerosols. These results contrast with those of  
Wood (2021) who used a heuristic model and large eddy simulations to suggest a maximum radiative forcing efficiency for  
much smaller aerosols in the range 15-30 nm radius (i.e. in the Aitken mode). Wood (2021) also notes that the results may be  
325 specific to climate models that utilise the parameterization of Abdul-Razzak and Ghan (2000; hereafter ARG) for aerosol  
activation and the positive radiative forcings reported by Alterskjær and Kristjánsson (2013) may be an artefact of the scheme's  
incorrect representation for water vapour competition at very high concentrations of small particles. Limitations of the ARG



330 activation scheme are also highlighted by Ming et al. (2006) and by Nenes and Seinfeld (2003) who suggest that the scheme does not perform well for marine aerosol owing to biases introduced by empirical correlation. It is plausible that the ARG scheme may produce reasonable results when the injection rates of sea-salt are low, but that it becomes progressively less reasonable when the injection rates become very high. Thus the swap-over seen in G6MCB from the cooling being dominated by aerosol-cloud interactions to being dominated by aerosol-radiation interactions may be an artifact of pushing the ARG activation scheme beyond the conditions that it was designed for. Work is ongoing to examine whether other activation schemes such as those based on Nenes and Seinfeld (2003) might produce significantly different results.

335

In G6MCB, the distribution of aerosol optical depths shown in Figure 6 suggests a semi-permanent MCB-induced ‘hydrated-aerosol fog’ over the injection regions by 2100, particularly over the NEP and SEP regions. In these areas the AOD at 550nm reaches values of around 2, which would mean that even in cloud-free conditions, less than 2% of direct solar radiation would reach the surface of the Earth for a mean solar zenith angle of 60°. Impacts of changes in the diffuse/direct fraction of sunlight have been investigated for terrestrial ecosystems (e.g., Mercado et al., 2009) but less attention has been given to any potential impacts on marine ecosystems (e.g., Morel, 1991). Using an empirical relationship between the surface layer aerosol extinction coefficient and visibility (Koschmeider, 1924) suggests that, averaged over the injection regions, the annual mean atmospheric visibility is reduced to approximately 6 km. Whether such a permanent fog over the eastern Pacific could cause a hazard to shipping is beyond the scope of this study.

345

The fact that sea-level rise in areas such as western Australia and the maritime continent is more significant in G6MCB than in the baseline high-end global warming SSP5-8.5 scenario is a notable feature. This, and many of the features evident in the seasonal changes in precipitation, appears to be associated with the deployment strategy used in G6MCB inducing a La Niña-like response. Given that G6MCB targets regions of low cloud associated with the upwelling of cold water off the western coasts of the North and South American continents, it is not surprising that the cooling pattern over the Pacific resembles that of La Niña. There is clear observational evidence from tide gauge and satellite altimetry data of enhanced sea-levels along the entirety of the western and northern Australian coasts during La Niña conditions (McInnes et al., 2016) while the opposite occurs during El Niño (e.g., Nerem et al., 2009; Widlansky et al., 2017). While the physical attribution of erosion of coastlines is complicated by the impacts of storm frequency and intensity and of rainfall, enhanced erosion has been attributed to La Niña in areas of the west Pacific including the north and west Australian coastlines (e.g., Vos et al., 2023). Sea-level variations of as much as +20-30cm have been observed over low lying islands of the Western Pacific during La Niña conditions and with similar magnitude negative anomalies during El Niño conditions (Becker et al., 2012). Given the vulnerability of these islands to sea-level rise, these implications clearly motivate additional study and further exploration of MCB emission scenario choices.

360



In addition to the impacts of sea-level rise, La Niña is associated with increased precipitation over Australia, the maritime continent, north-eastern South America, the north of the Indian subcontinent and the Sahel region of Africa during JJA; La Niña is also associated with decreased precipitation over central and southern USA and southern areas of south America (e.g., Ropelewski and Halpert, 1989; Mason and Goddard, 2001). These patterns are all evident in the G6MCB simulations. While  
365 there has been much debate as to whether the cooling due to stratospheric aerosols from explosive volcanic eruptions induces an El Niño type of response, the analyses reveal no generalisable conclusions (Self et al., 1997; McGregor et al., 2020) and there is little evidence of a general El Niño-like induced response in G6sulfur.

Taking the annual-mean difference in mean sea-level pressure (MSLP) between Tahiti and Darwin as a simple measure of the  
370 Southern Oscillation (Fig. 15a), neither ssp245 or ssp585 show any obvious trend, both having mean gradients of  $-0.02$  hPa decade<sup>-1</sup> over 2020-2100. Note that CMIP5 simulations suggest an increase in frequency of La Niña-like conditions under global warming scenarios (Cai et al., 2015), so UKESM1 results may not be representative of the multi-model response. Over the same period the gradient in G6sulfur is  $-0.13$  hPa decade<sup>-1</sup> indicating a slight tendency to more El Niño-like conditions, whereas in G6MCB the gradient is  $+1.02$  hPa decade<sup>-1</sup> indicating a marked increase in La Niña-like conditions. Considering  
375 the spatial distribution of the change in the MSLP pressure pattern (Fig. 15b) induced by MCB under this deployment strategy, there is a strong agreement with the observed spatial patterns evident in La- Niña conditions (e.g. Trenberth and Shea, 1987). Locking the future climate into La Niña-like conditions would have profound impacts on regional climate, with implications for climate resilience and adaptation. On a global basis, fish provides around 11% of human protein consumption (FAO, 2014). While Peruvian fisheries generally report increased yields under La-Niña conditions in the observational record (e.g. Bertrand  
380 et al., 2020), the La-Niña like conditions induced under this specific scenario and strategy are many times stronger than those that occur due to natural variability. Figure 15 reveals that the variability in the simple Southern Oscillation Index (SOI) in UKESM1 for the SSP2-4.5, is around  $\pm 2$  hPa (2 standard deviations), while the mean change in SOI by the end of the century is around  $+8$  hPa. It is possible, that such changes could lead to large-scale marine ecosystem collapse. Impacts of global warming on the productivity of regional fisheries are underway (Tittensor et al., 2018) but it would be prudent to examine  
385 impacts under any proposed future MCB strategies.

It needs to be emphasised that the MCB results presented here are strongly dependent on both the scenario (the amount of cooling required) and the deployment strategy (the regions where sea-salt is injected) being considered. The areas chosen for sea-salt injection here are simply plausible, i.e. they have large amounts of low-level cloud that are susceptible to cloud-  
390 seeding. This is just one choice from any number of injection distributions which could be defined, especially in the absence of any real-world constraints because of the purely theoretical nature of large-scale marine cloud brightening technology. The results from G6MCB are therefore specific to this choice of injection strategy. The results suggest that MCB may indeed be relatively effective for this scenario and strategy during initial deployment: for example, Fig. 3(b) suggests a global-mean cooling of around  $1.5$  °C for an injection rate of  $\sim 100$  Tg yr<sup>-1</sup> of sea-salt. However, this cooling efficiency falls (Table 2) as



395 areas that were initially susceptible to modification become progressively less susceptible as injection rates increase, and the  
direct aerosol radiative effect starts to dominate. However, the clear evidence for a La Niña-like climate response produced by  
this and similar injection strategies (more cooling in the eastern compared to the western pacific, also found in Jones and  
Haywood (2009), Rasch et al (2009) and Hill and Ming (2012)) clearly needs to be considered. Designing a more nuanced  
strategy should be the focus of more research. Note also that MCB will be more susceptible than SAI to the termination effect  
400 if climate intervention is stopped abruptly (e.g., Jones et al., 2013) due to the different lifetimes of MCB aerosols in the  
troposphere (a few days) compared with SAI aerosols the stratosphere (around a year).

Despite the difficulty of generalising with regard to MCB, some factors are nevertheless likely to remain constant. For a  
given global-mean forcing, MCB will be characterised by smaller regions of high forcing compared with the larger (or global)  
405 areas of lower forcing characteristic of SAI, i.e. the forcing from MCB is always likely to be more inhomogeneous than that  
from SAI. There is always likely to be some ambiguity between MCB per se (effects on clouds) and MSB (direct aerosol  
radiative effects). It is therefore important that modelling studies should specifically simulate the injected sea-salt aerosol and  
not just modify CDNC values as was done in early investigations. Clearly much more research is needed if the complexities  
of aerosol-cloud-interactions are to be fully understood and if MCB strategies are to be represented with fidelity in future  
410 climate scenarios.

### **Code and data availability**

UKESM1 model data for the ssp585, ssp245 and G6sulfur experiments are available from the Earth System Grid Federation  
(WCRP, 2021). Data from G6MCB are available on request from the authors.

### 415 **Author contributions**

JMH and AJ devised the G6MCB experiment with input from PJR, performed the analysis and wrote the paper. AJ performed  
the G6sulfur and G6MCB simulations. ACH and PJR provided comments on earlier versions of the manuscript.

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### Competing interests

425 The authors declare that they have no competing interests.

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## Tables

805 **Table 1.** The sea-salt emission scheme bin sizes tested for G6MCB (nm).

Bin number	Mid-bin dry radius (nm)
7	22
8	36
9	55
10	86
11	133
12	207



810 **Table 2.** The average efficiency of sea-salt injection in changing global-mean near-surface temperature as a function of the rate of sea-salt injection in G6MCB.

Injection rate ( $\text{Tg yr}^{-1}$ )	Efficiency ( $\text{mK Tg}^{-1} \text{ yr}$ )
<100	-19.4
100 – 200	-12.3
200 – 300	-8.5
300 – 400	-7.3
>400	-6.5

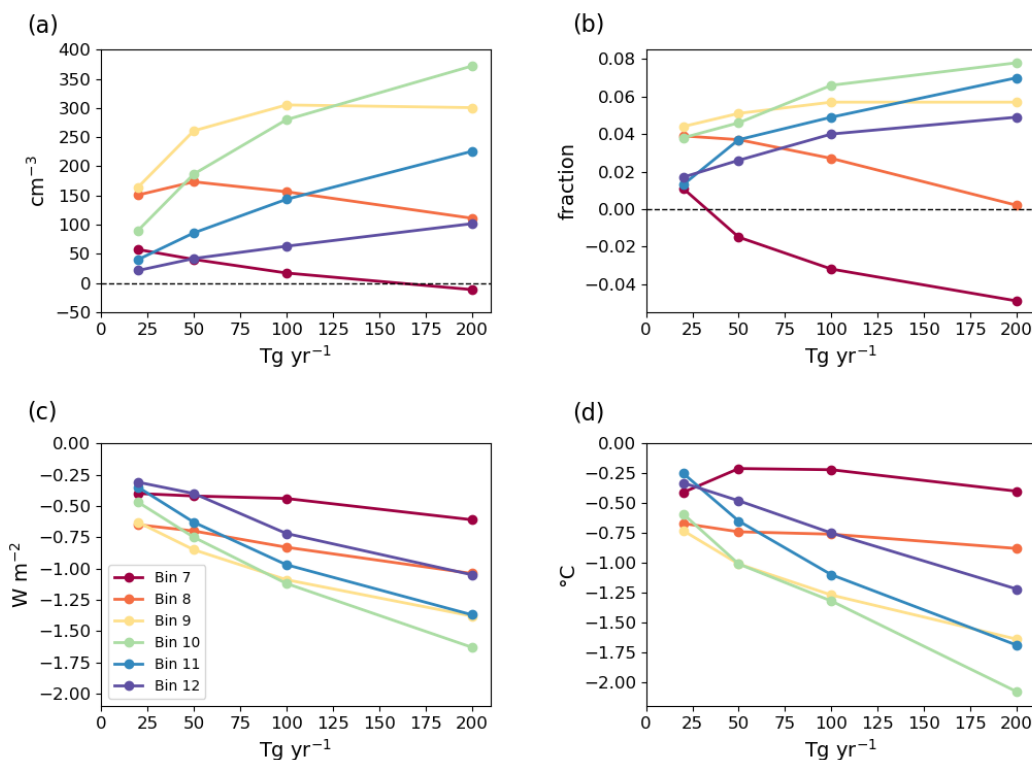
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### Figures

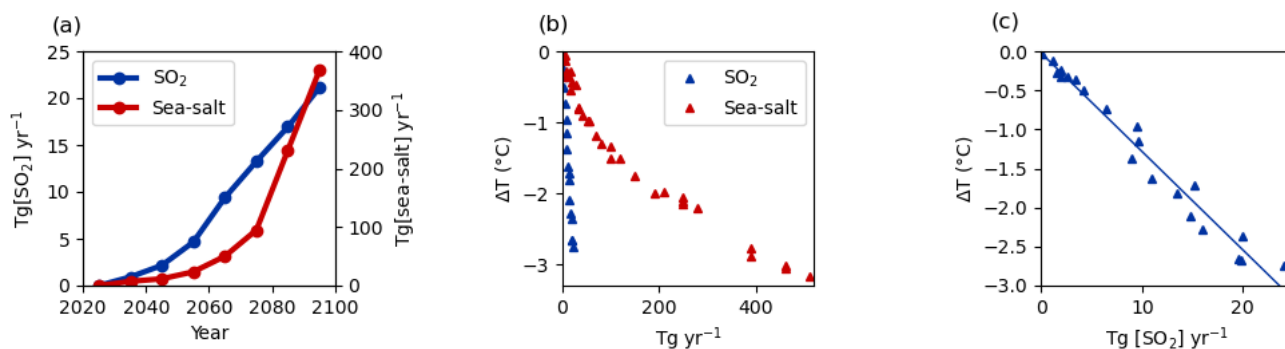


**Figure 1:** The regions used for sea-salt injection in G6MCB; only ocean points within each region were used.

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**Figure 2:** 10-year mean changes with respect to a non-perturbed control as a function of sea-salt injection rate in UKESM1 simulations using different sea-salt emission size bins: (a) cloud-top CDNC averaged over the four injection regions ( $\text{cm}^{-3}$ ), (b) cloud fraction averaged over the four injection regions, (c) global-mean ToA net radiation ( $\text{W m}^{-2}$ ), (d) global-mean near-surface air temperature ( $^{\circ}\text{C}$ ). The sizes of bins 7-12 are given in Table 1.



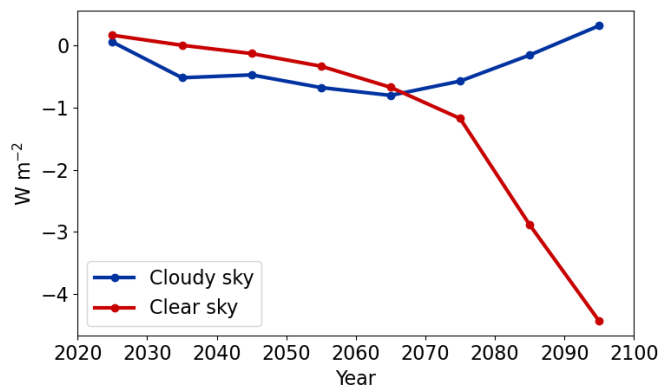
**Figure 3:** (a) Ensemble mean decadal injection rates of  $\text{SO}_2$  and dry sea-salt mass in G6sulfur and G6MCB ( $\text{Tg yr}^{-1}$ ); note the different scales. (b) Decadal-mean temperature changes due to  $\text{SO}_2$  and sea-salt injections as a function of injection rate



(°C). (c) The same as (b) but rescaled to only show SO<sub>2</sub> with a least-squares straight line fit added. Panels (b) and (c) show data from individual G6sulfur and G6MCB ensemble members. Panel (b) also includes G6MCB data from attempts which did not meet the G6 protocol's temperature criterion but are included as they are still indicative of the relation between sea-salt injection rate and temperature change.

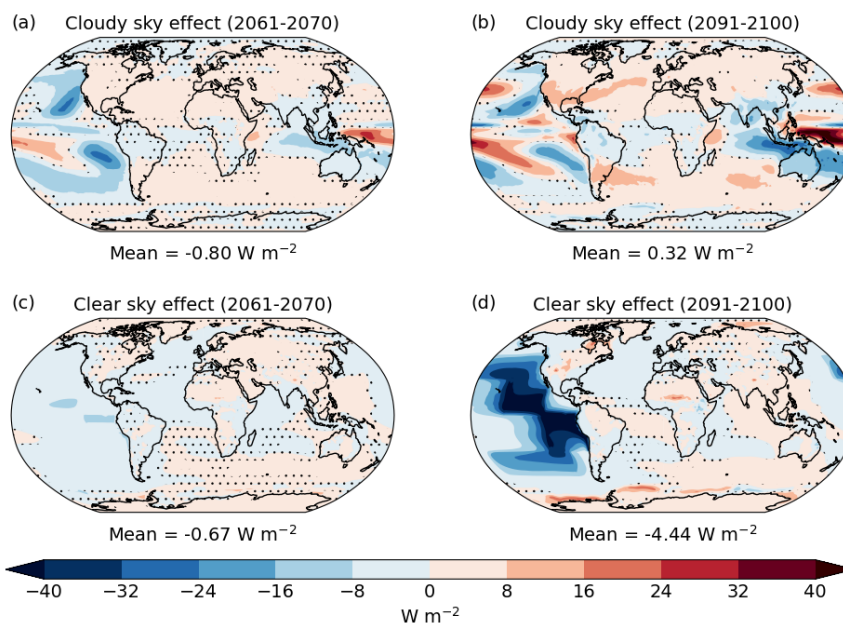
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**Figure 4:** Ensemble-mean estimates of the cloudy and clear-sky contributions to the difference in decadal-mean ToA net downwards SW radiation between G6MCB and ssp245 (W m<sup>-2</sup>).

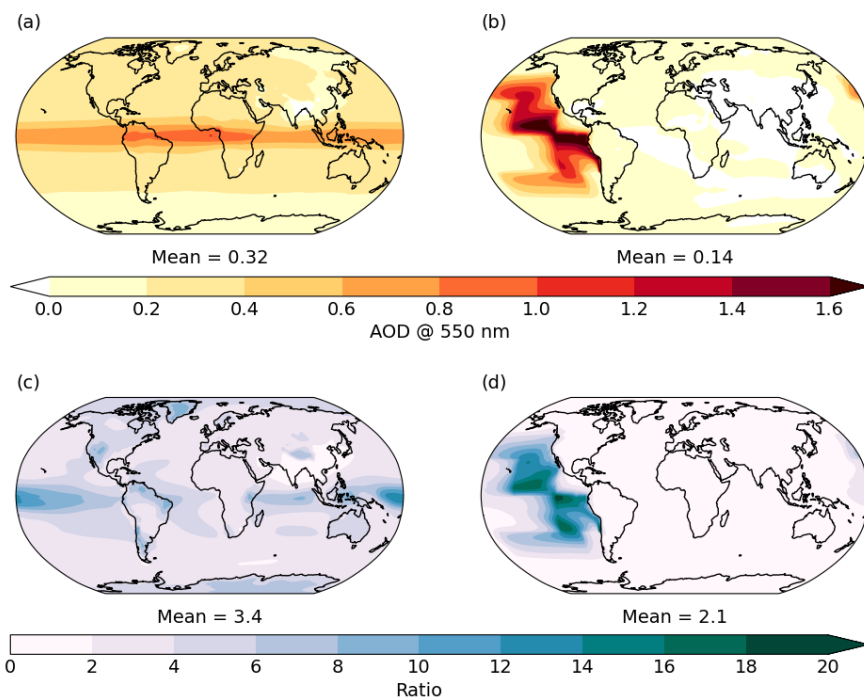
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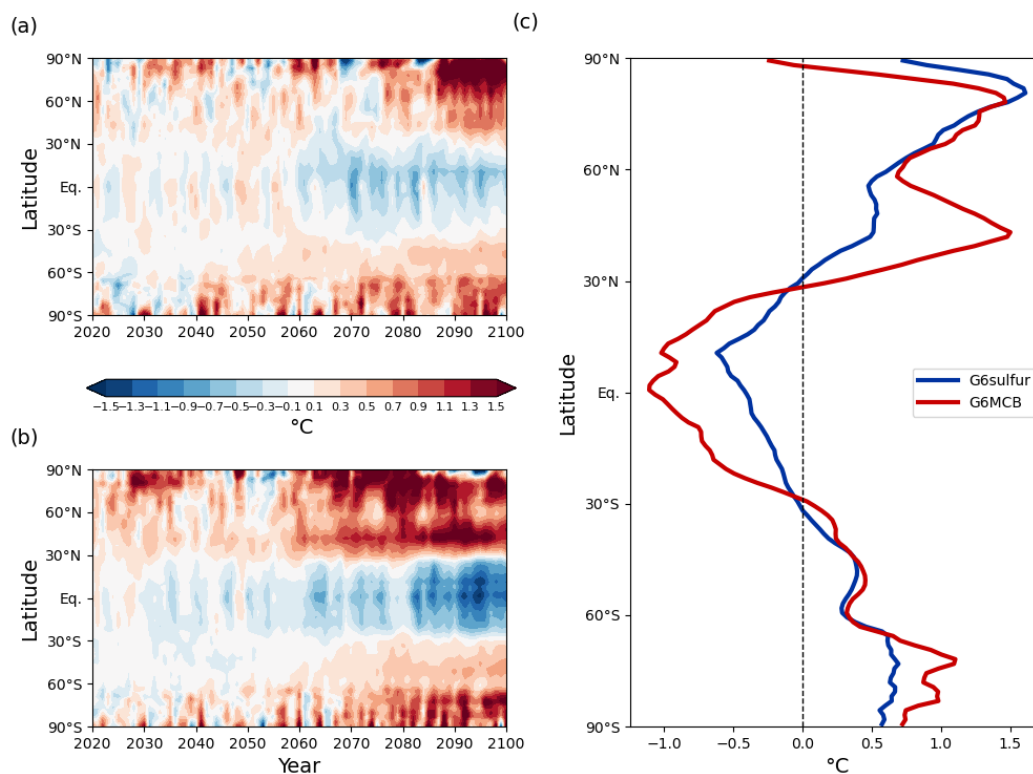
**Figure 5:** The decades of maximum contribution from the cloudy- and clear-sky effects of MCB in terms of ToA net SW (G6MCB minus ssp245;  $W m^{-2}$ ): 2061-2070 is the decade of maximum cloudy-sky effect (panels (a) and (c): left column) and 2091-2100 the maximum for the clear-sky effect (panels (b) and (d): right column). Stippled areas show where the differences are not significant at the 5% level in a two-tailed  $t$ -test.

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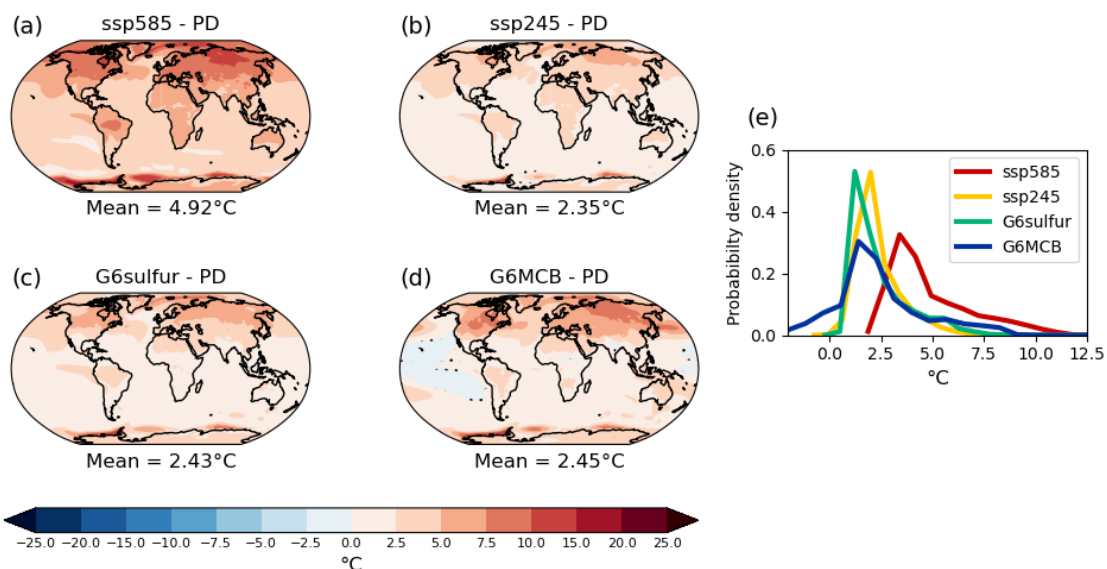


**Figure 6:** (a) The difference in AOD at 550 nm for 2081-2100 in G6sulfur compared with present-day. (b) Same as (a) but for G6MCB. (c) The ratio of AOD between G6sulfur (2081-2100) and PD. (d) Same as (c) but for G6MCB.



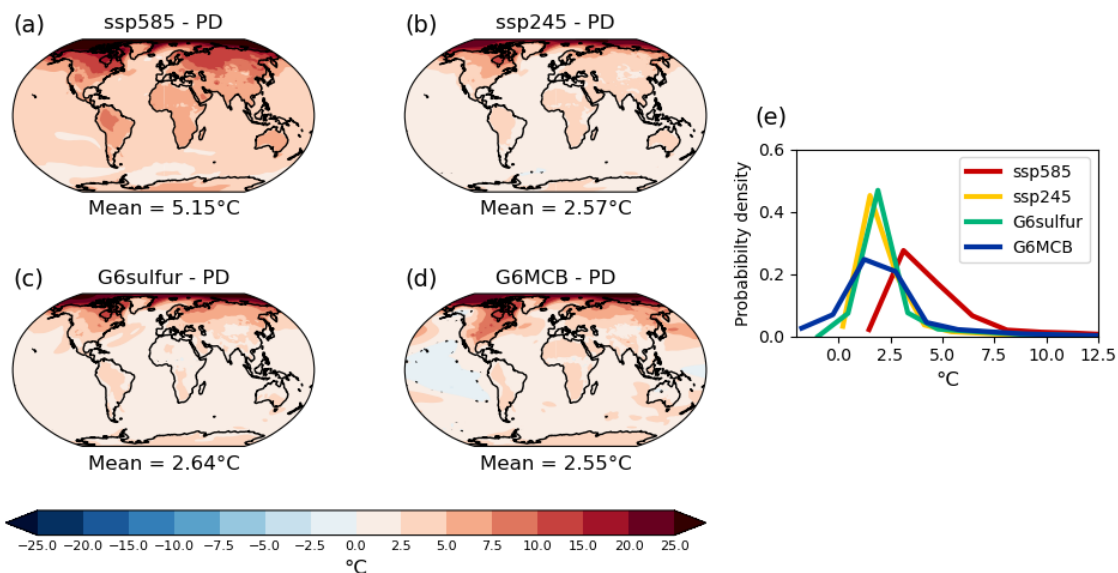
**Figure 7:** (a) Time-latitude evolution of the difference in near-surface air temperature (°C) between G6sulfur and ssp245. (b) 865 The same as (a) but for the difference between G6MCB and ssp245. (c) Zonal means of the temperature differences for 2081-2100.

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**Figure 8:** Change in JJA near-surface air temperature (°C) for 2081-2100 compared with PD in (a) ssp585, (b) ssp245, (c) G6sulfur and (d) G6MCB. Stippled areas show where the differences are not significant at the 5% level in a two-tailed *t*-test. (e) Probability density function of the changes.

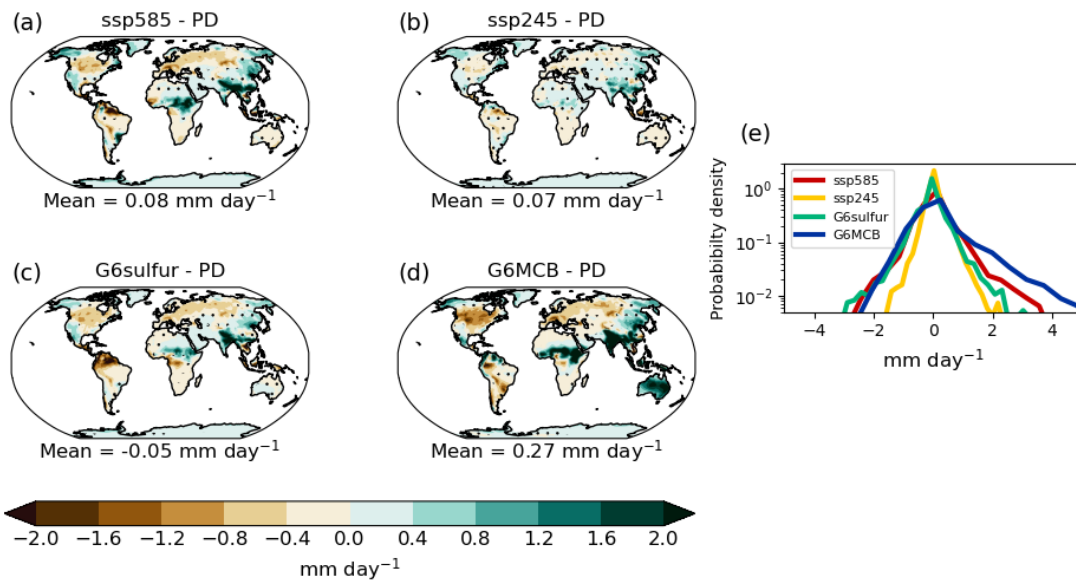




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**Figure 9:** Same as Fig. 8 but for DJF.

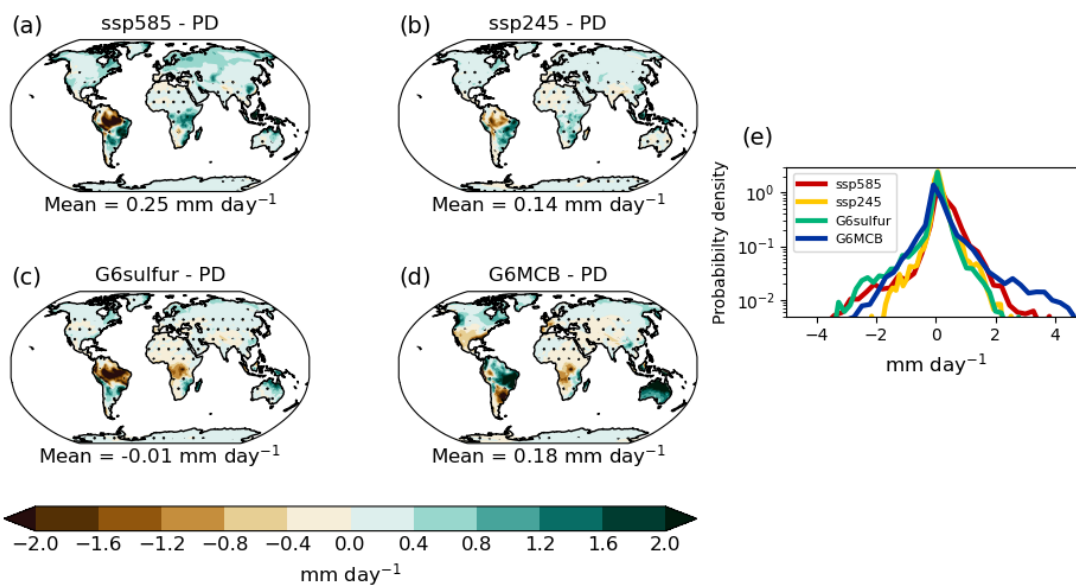
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**Figure 10:** Same as Fig. 8 but for JJA land precipitation rate (mm day<sup>-1</sup>).

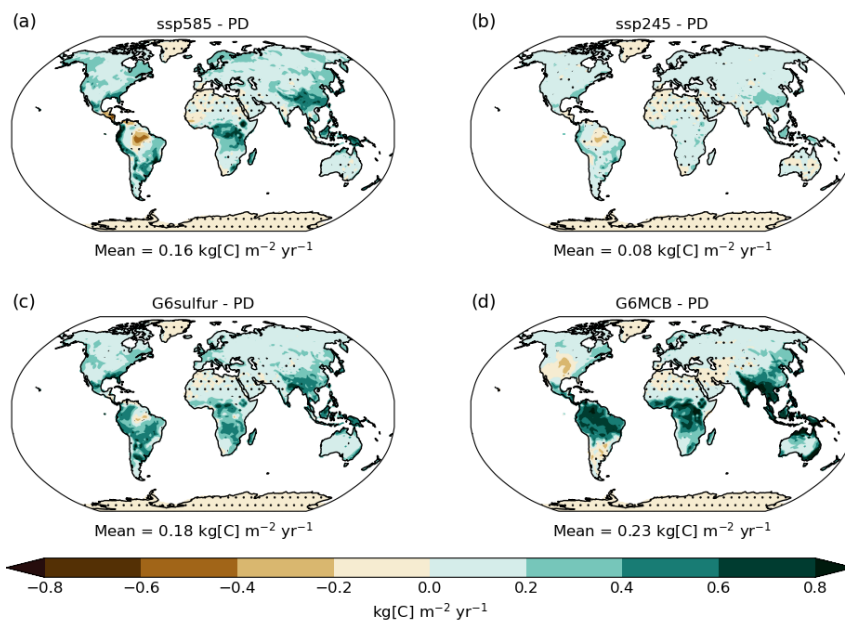
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**Figure 11:** Same as Fig. 10 but for DJF.

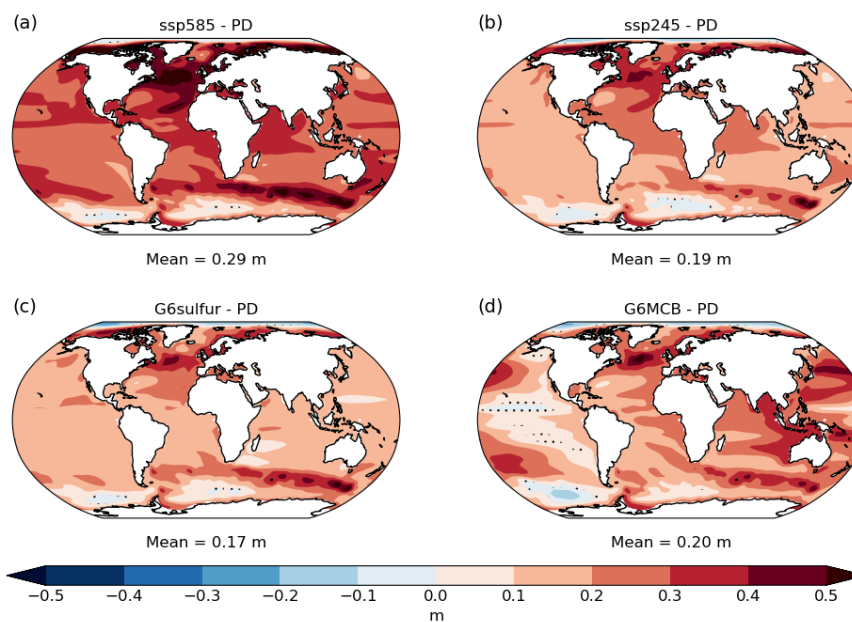
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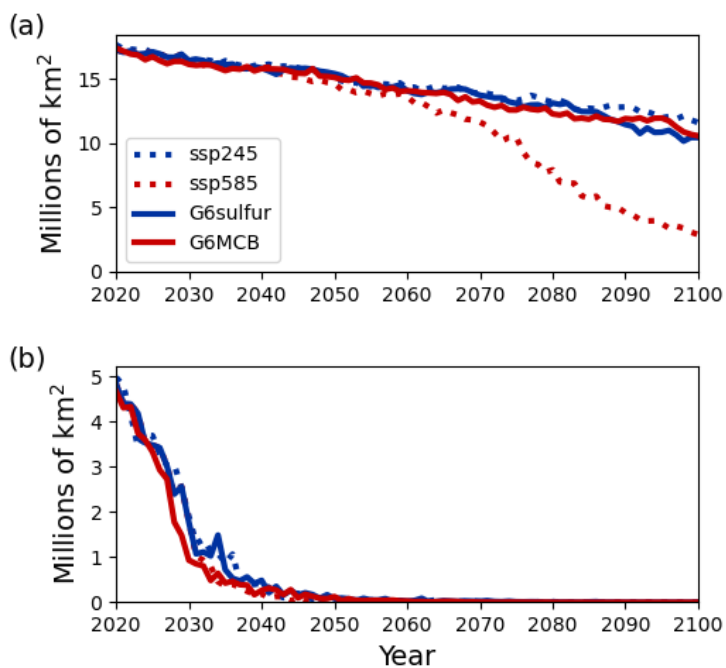


910 **Figure 12:** Change in annual-mean NPP ( $\text{kg of carbon m}^{-2} \text{ yr}^{-1}$ ) for 2081-2100 compared with PD in (a) ssp585, (b) ssp245,  
915 (c) G6sulfur and (d) G6MCB. Stippled areas show where the differences are not significant at the 5% level in a two-tailed  $t$ -  
test.

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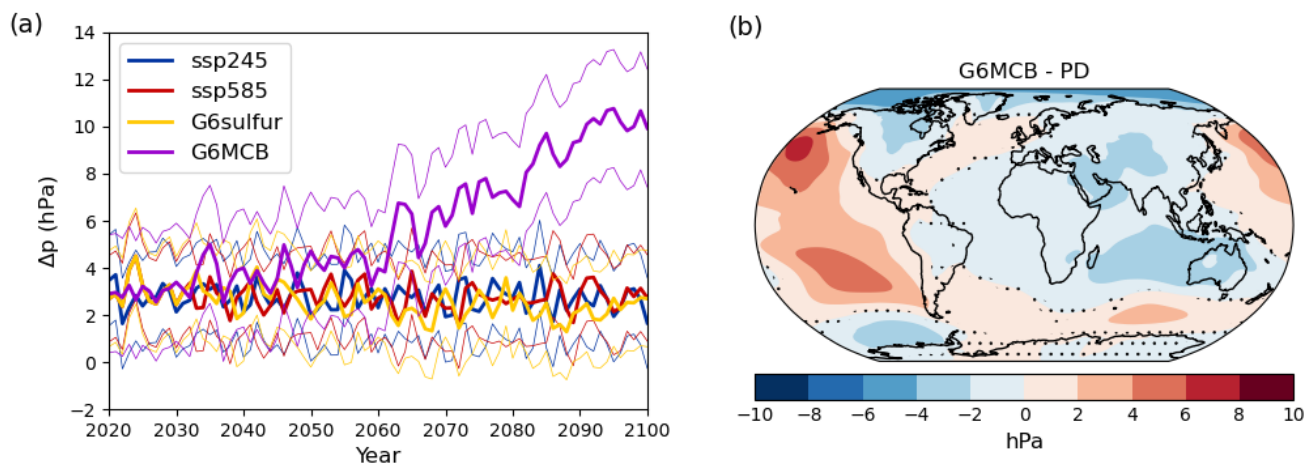


**Figure 13:** Change in sea-level for 2081-2100 compared with PD in (a) ssp585, (b) ssp245, (c) G6sulfur and (d) G6MCB. Stippled areas show where the differences are not significant at the 5% level in a two-tailed  $t$ -test.



**Figure 14:** Arctic sea-ice area ( $10^6 \text{ km}^2$ ) for (a) March, showing the maximum sea-ice extent, and for (b) September, showing the minimum extent.

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**Figure 15:** a) The SOI (hPa) derived as the simple difference in pressure between Tahiti and Darwin as a function of time for the simulations described in the text. b) The spatial distribution of the change in the pressure pattern (hPa) determined for 2081-2100 for G6MCB compared with present day (PD).

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