



1	Global and Indian precipitation responses to anthropogenic aerosol and carbon dioxide
2	forcings from PDRMIP experiments
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## 29 Abstract

30 Global precipitation change in response to climate change is closely related to surface 31 temperature, the forcing agent and the atmospheric dry energy budget, but regional 32 precipitation change is more complex. In this study we use experiments from the Precipitation Driver and Response Model Intercomparison Project (PDRMIP) wherein carbon 33 34 dioxide, sulfate aerosols and black carbon aerosols are perturbed to study the global 35 precipitation response in contrast with the regional response over India. The response to 36 global warming from carbon dioxide increases precipitation both globally and regionally, 37 whereas the cooling response to sulfate aerosol leads to a reduction in precipitation in both 38 cases. The response to black carbon aerosols, however, is a global decrease but a regional 39 increase of precipitation over India. The mechanism is increased atmospheric heating driving 40 a stronger monsoon circulation and stronger low level winds. This intensification of the 41 Indian monsoon is, somewhat surprisingly, stronger for global black carbon emissions than 42 when the emissions are limited to those from the Asian region. Overall, our study presents 43 heterogeneity in precipitation responses at both global and regional levels and the potential 44 underlying physical processes under a variety of climate forcings that would be useful in 45 designing further model experiments with higher spatial resolution.

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47 **Keywords:** aerosols, precipitation, PDRMIP, Indian monsoon, dynamics

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#### 49 **1. Introduction**

50 Human-induced changes in precipitation are evident in the present and in the past 51 century (IPCC, 2023). It is well known that precipitation shows high spatial and temporal 52 variability depending on different regions and seasons (Gu and Adler, 2022). The eco-system 53 is dependent on water balance over the globe and any imbalance could have significant





54 effects. Precipitation is the source through which the earth replenishes its water content that 55 drives the livelihood of the global population and its economy (Kotz et al., 2022). Several studies have been conducted to estimate the changes in the precipitation occurring in present 56 57 day to future using observations and modelling techniques. However, quantifying 58 precipitation changes is challenging due to several climate forcing agents e.g., greenhouse 59 gases, aerosols, land use changes etc. acting together. Greenhouse gases (GHGs) such as 60 carbon dioxide are considered to be one of the main drivers of the observed temperature and precipitation change because of its warming through the greenhouse effect. The GHGs have 61 significantly warmed the climate by 1.5°C causing frequent heat waves and extreme 62 precipitation events over different parts of the globe (IPCC, 2023). On the other hand, the 63 64 anthropogenic aerosols are short-lived pollutants that can either cool or warm the atmosphere 65 depending upon their species and hence can change the precipitation and temperature estimates. Aerosol can affect the radiation through their direct and indirect effects. Aerosols 66 affect the radiation budget directly by absorbing and scattering incoming solar radiation 67 68 (Haywood and Boucher, 2000) and indirectly by acting cloud condensation nuclei and 69 modifying cloud microphysical properties (Albercht, 1989; Twomey, 1974).

70 Extensive studies have been carried out to estimate annual precipitation responses to 71 climate forcings at a global scale. The variability in the precipitation response is mostly 72 governed by changes in the energy budget imposed by climate forcings (O' Gorman et al., 73 2011). The modulation in the energy budget could be due to both natural and anthropogenic 74 climate forcings, and the responses could be seen in weeks to years (fast) or after many years 75 (slow). For example, annual precipitation is found to decrease initially and then tends to 76 increase with an increase in surface temperature due to CO<sub>2</sub> forcings on a global scale 77 (Andrews and Forster, 2010). The anthropogenic aerosols have continuously evolved from 78 the preindustrial era and are known to alter the hydrological global and local cycle through





79 influencing the dynamics that controls the precipitation (Bollasina et al., 2011). Zhao and 80 Suzuki (2021) found that aerosols can potentially shift the Intertropical convergence zone 81 (ITCZ) that can affect the spatial variability in the global precipitation patterns. Additionally, 82 on the global scale, the anthropogenic aerosol tends to alter the atmospheric stability through 83 perturbation in vertical temperature profiles and surface cooling (Li et al., 2022). Zhao and 84 Suzuki (2019) using MIROC5.2 found a global decrease in annual precipitation due to black 85 carbon (BC) and attributed it to negative tendency of fast precipitation response scaling with instantaneous atmospheric absorption. High amount of atmospheric cooling is noticed by 86 87 injecting sulfate aerosols in the Community Earth System Model (CESM) model (Krishnamohan et al., 2019). The relative cooling due to sulfate aerosols decrease the 88 89 precipitation over the northern hemisphere resulting in southward migration of the ITCZ 90 (Hwang et al., 2013)

91 In general, it is found that anthropogenic aerosols decrease the global precipitation 92 due to their overall cooling effect, but the decrease is not consistent uniformly across the 93 globe and there is significant modulation with opposite response in various regions. It is 94 mostly due to regional dynamics that plays a crucial role in determining the precipitation 95 response. Therefore, investigating precipitation changes on a regional scale is necessary. The 96 regional changes could be more amplified or dampened than the global changes due to these 97 climate drivers. From a regional point of view, monsoon systems are widely seen to be highly 98 impacted by anthropogenic aerosols (Monerie et al., 2022, Wang et al., 2009). The 99 heterogeneity in aerosol spatial distribution over highly polluted regions such as the South 100 and East Asian regions could trigger changes in the distribution of monsoonal precipitation 101 (Ganguly et al. 2012, Dong et al., 2019). The Indian Summer Monsoon (ISM) season is one 102 of the strongest monsoons that contribute to nearly 80% of the annual precipitation during the 103 summer months from June to September (JJAS) over India (Dash et al., 2009). The strength





104 of ISM precipitation depends both on land-sea thermal contrast and on interhemispheric 105 temperature differences (Jin and Wang, 2017). Any perturbation over the land or sea could 106 affect thermal and dynamical processes leading to changes in the characteristics of monsoon 107 precipitation. Ramanathan et al., (2005) first pointed out that aerosol induced solar dimming 108 over the northern Indian Ocean could weaken the land sea contrast and reduce the 109 precipitation during monsoon season. Bollasina et al., (2011) attributed the weakening of 110 meridional circulations due to anthropogenic aerosols to the decrease in precipitation during 111 the summer season. On the contrary, aerosol induced heating over the Tibetan Plateau (Lau et 112 al., 2006) and the tropospheric layer along the Himalayan foothills can facilitate moisture 113 transport from the adjoining seas leading to increase in precipitation over India following Lau 114 and Kim (2006) 'Elevated Heat Pump' hypothesis. Additionally, natural aerosols like mineral 115 dust can increase precipitation over India both remotely as well as locally through their 116 dynamical effects (Vinoj et al., 2014, Das et al., 2020). In terms of anthropogenic aerosol 117 species, sulfate has been found to be more strongly related with the precipitation decrease compared to BC as shown by Guo et al., (2016). They also found that BC amplifies the 118 119 radiative warming that enhances precipitation over northern India. Similar results were 120 reported by Menon et al., (2002) where both an increase and a decrease in precipitation due to 121 BC are noticed over different subregions of India. Very few studies examined the fast and 122 slow responses of anthropogenic aerosols during the ISM, but Ganguly et al., (2012) using 123 CESM found that the feedbacks associated with the sea surface temperature (SST) play a 124 more important role than atmospheric absorption. The aerosol induced SST cooling slows 125 down the Hadley circulation due to which lesser moisture transport occurs toward the Indian 126 landmass thereby decreasing the ISM precipitation.

127 It is evident from previous studies that aerosols are critical in determining the fate of 128 global and regional precipitation due to inhomogeneity in aerosol climate forcings. However,





129 most of these studies examined the fast responses of precipitation and the associated 130 dynamics to aerosol forcings as the simulations varied from few years to 30 years due to 131 computational constraints. Also, most experiment designs are performed commonly with 132 atmospheric models where there is no interaction between atmosphere and ocean. This limits 133 our understanding as the response of sea surface temperature (SST) to anthropogenic aerosols 134 or slow responses is neglected. Moreover, the signals obtained by using a single model for 135 the study may lack robustness in attributing climate responses to the anthropogenic aerosol 136 forcings. To obtain the total responses of anthropogenic aerosols, at least a hundred years of 137 aerosol perturbed simulations in a fully coupled or slab ocean model configuration is required. In order to address this issue, a Precipitation Driver and Response Model 138 139 Intercomparison Project (PDRMIP) is designed where several model institutions partnered to 140 carry out simulations forced with individual climate forcers. To quantify the response to 141 various climate forcings, dedicated experiments were designed to identify the precipitation 142 responses (Myhre et al., 2017). Some studies have already been carried out to quantify the 143 global climate signals due to aerosol and greenhouse gases forcings using PDRMIP suite of 144 perturbed experiments (Samset et al., 2016, Liu et al., 2018, Misios et al., 2021). Very few 145 studies have been carried out to identify responses on a regional scale, especially in the 146 Indian subcontinent. Only a single study using PDRMIP models, Sherman et al., (2021) 147 found ISM precipitation to be sensitive to Indian and Chinese aerosol emissions. They also 148 pointed out that the role of BC in modulating precipitation over India is highly uncertain. 149 However, the changes in the precipitation in relation to changes in near surface temperature, 150 dry energy budget and dynamics are not investigated. Additionally, the intercomparison 151 between the global and Indian precipitation responses is needed to understand the 152 heterogeneity in the responses due to different anthropogenic aerosol types as well as carbon 153 dioxide forcings.





154 To fill these gaps, we extensively carried out comparative analysis to answer three 155 primary questions. What are the characteristics of annual precipitation change on global scale 156 and over India in response to aerosol and carbon dioxide forcing respectively? What governs 157 precipitation on global scale and in India? What physical mechanisms could explain the ISM 158 precipitation changes due to aerosol and carbon dioxide forcing? All these questions are 159 addressed here by using the PDRMIP simulated model outputs through several perturbed 160 experiments as described in the next section. In Section 3, we present and discuss the results, 161 and Section 4 presents our main conclusion.

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## 163 2. Methodology

164 In this paper, we procure monthly data variables from the PDRMIP project to 165 examine the precipitation responses to aerosols as well as carbon dioxide forcings. 11 166 coupled models participated in the project to carry out a base simulation for each global and regional perturbed experiment (Myhre et al., 2017). Regional perturbed experiments are 167 carried out by changing aerosol emissions or concentrations in Europe (35°-70°N, 10°W-168 40°E) and Asia (10°-50°N, 60°-140°E) in the models. These regional experiments include 169 170 changing the BC and sulfate emissions across Europe and Asia to understand regional 171 precipitation responses, as well as to identify their remote effects. The details of the models 172 and their configurations used in the study are shown in Table 1. We study eight perturbed experiments performed by PDRMIP models in our study as shown in Table 2. The 173 174 experiments are i) co<sub>2</sub>×2, ii) bc×10, iii) sul×5, iv) bc×10asia, v) sul×10asia, vi) sul×10eur, vii) sulasiared and viii) sulred and the responses are detected by taking the difference between the 175 176 perturbed experiment and baseline simulations.

177 As noticed in Table 1, each model has an interactive ocean component coupled with 178 the atmosphere and its composition. All the models do consider the aerosol direct effects. Out





of 11 models, 2 models viz. GISS-E2-R and MPI-ESM do not consider the aerosol indirect effects. Besides, 3 models viz. NorESM1, NCAR-CESM-CAM5 and MIROC-SPINTARS also consider BC treatments on snow (Stjern et al., 2019). It should be noted that aerosol physics and the representation of the aerosol emission/concentration could differ in the participating models causing variability in the precipitation estimates.

184 Though the PDRMIP project provides simulations with the fixed SSTs (sea-surface 185 temperatures), to identify the fast responses, we focus to determine the total responses (i.e., 186 fast + slow responses). Therefore, we consider the last 50 years of each coupled model 187 experiment to quantify the total responses to climate forcings (Myhre et al., 2017). Some 188 models did not carry out all experiments (Table 2) and do not have variables that are required 189 for analysis, as mentioned in Table 3. For the ensemble analysis, we interpolated all the 190 model data grids into  $1^{\circ} \times 1^{\circ}$  resolution. We intercompare the annual precipitation responses 191 to changes in the near-surface temperature, dry energy budget, and vertical velocity at 500 192 hPa in all the model experiments for both global and Indian regions in all the models. The dry 193 energy budget in the atmosphere is computed by equation given by  $(SW_{TOA}^{\dagger}-SW_{TOA}^{\dagger})$  $LW^{\uparrow}_{TOA}$  + ( $SW^{\uparrow}_{SUR}$  -  $SW^{\downarrow}_{SUR}$  +  $LW^{\uparrow}_{SUR}$  -  $LW^{\downarrow}_{SUR}$  + hfss) where 194

- 195  $SW_{TOA}^{\downarrow}(rsdt) = TOA$  incident shortwave radiation
- 196  $SW^{\uparrow}_{TOA}$  (rsut) = TOA outgoing shortwave radiation
- 197  $LW^{\uparrow}_{TOA}$  (rlut) = TOA outgoing longwave radiation
- 198  $SW^{\uparrow}_{SUR}$  (rsus) = surface upwelling shortwave radiation
- 199  $SW^{\downarrow}_{SUR}$  (rsds) = surface downwelling shortwave radiation
- 200  $LW^{\uparrow}_{SUR}$  (rlus) = surface upwelling longwave radiation
- 201  $LW^{\downarrow}_{SUR}$  (rlds) = surface downwelling longwave radiation
- 202 hfss = surface upward sensible heat flux





- The spatial variability in the patterns of annual precipitation and temperature in response to anthropogenic aerosol forcings along with the changes in the ISM precipitation and the potential physical mechanisms are presented and discussed. For changes in the ISM precipitation, the changes in the near surface temperature gradient, wind patterns at 850 hPa, meridional circulations and vertical temperature are also investigated.
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#### 210 3. Results and Discussion

211 To begin with, we show the changes in the ensemble mean of annual precipitation in 212 all experiments relative to the base experiment (in Figure 1). It is evident that annual 213 precipitation over tropical regions (-30°N to 30°N) is highly sensitive compared to mid-214 latitudes and polar regions to both carbon dioxide and anthropogenic aerosol forcings. The 215 annual precipitation patterns and intensity differ depending on the climate forcing agents. In 216 general, there is an increase in precipitation over most of continental land regions in  $co_2 \times 2$ 217 (Figure 1a) due to an increase in the global surface temperature (Figure 2a). Some 218 precipitation decrease is noticed over central America specifically in Mexico and parts of 219 Brazil. In the  $bc \times 10$  experiment (Figure 1b), precipitation mostly decreases over western 220 Europe, and north and south America and increases over India and parts of central Africa. 221 However, the magnitude of precipitation increase is less in India compared to that in  $c_{0,x}$ . 222 Unlike  $co_2 \times 2$  and  $bc \times 10$ , a substantial decrease in precipitation is observed over India, China 223 and Southeast Asian region in *sul×5* (Figure 1c) associated with large-scale cooling induced 224 on land mass and over ocean (Figure 2c). The relative cooling in the northern continents 225 inhibits the northward progression of ITCZ, and therefore an increase in precipitation is seen 226 over southern oceans in sulx5. A sensitivity experiment where the sulfate emissions are 227 reduced from present day to pre-industrial state shows increases in precipitation over India, 228 China, central Africa and parts of north and South America (Figure 1h) and surface





229 temperature (Figure 2h). Only two models from PDRMIP viz. MIROC-SPRINTARS and 230 HadGEM3 performed these experiments and tend to show relative increases in global 231 precipitation with increase in surface temperature (Table 3). Reducing the sulfate aerosols 232 enhances the surface warming as noticed in the Figure 2h, which can alter the climate 233 sensitivity leading to various feedbacks that can cause changes in the precipitation. Overall, 234 the responses in precipitation and surface temperature are quite opposite in *sulred* to that 235 noticed in  $sul \times 5$ . This implies that reducing the sulfate emissions through policy 236 implementation increases the global precipitation.

237 Amongst all the global forcing experiments, precipitation responses in the Indian 238 region are quite large. In the regional perturbed experiments, bc×10asia causes an increase in 239 precipitation whereas *sul×10asia* causes a decrease in precipitation over the Indian region. It 240 is to be noted that the increase in precipitation over India is less in  $bc \times 10asia$  than when 241 forced at a global scale  $(bc \times 10)$  implying global BC aerosols contribute more to precipitation 242 increase than the Asian emitted BC aerosols. Simultaneously, the increase in surface 243 temperature over the Tibetan Plateau and northern continents in  $bc \times 10$  is greater than the *bc×10asia* case (Figure 2b and 2d). Previously, Kovilakam and Mahajan, (2015) using the 244 245 Community Atmosphere Model (CAM4) found that BC induced mid-latitude tropospheric 246 heating leads to shift the location of ITCZ northward leading to increase in precipitation at 247 the northern hemisphere. Further sensitivity experiments performed by Kovilakam and 248 Mahajan, (2016) showed that the BC induced TOA warming linearly increases with linear 249 increase BC aerosol burden. They further concluded that the ISM precipitation also increases 250 linearly with an increase in BC burden. The study by Meehl et al., (2008) found increases in 251 precipitation over India due to BC induced heating over the Tibetan Plateau mostly during 252 March to April, but they also reported slight decrease in precipitation during the monsoon 253 months using the Community Climate System Model, version 3 (CCSM3). In our study, we





254 used multiple coupled models that suggest a major increase in precipitation during the 255 monsoon over India, adding robustness to the attained results presented here. In the case of 256 sulfate aerosol experiments, global sulfate aerosols (sul×5) causes more decrease in surface 257 temperature over India compared to regionally perturbed *sul×10asia* (Figure 2c and 2e). In 258 the sulfate aerosol perturbed experiments over Europe ( $sul \times 10eur$ ), a negligible decrease in 259 precipitation (Figure 1f) is noticed globally. However, the temperature decrease is maximum 260 over Europe (-1 to -2 K) as most of the scattering sulfate aerosol are present over Europe. 261 Apart from sulfate reduction globally, the reduction of the sulfate over Asia (sulasiared) also causes an increase in precipitation over India and China (Figure 1g) and a slight increase in 262 263 surface temperature (Figure 1g).

264 To intercompare the changes in the responses between precipitation and surface 265 temperature on a global scale and in the Indian region in individual experiments, scatter plots for all models are shown in Figure 3. It is interesting to note that on a global scale, the change 266 267 in precipitation has a strong linear relationship with the change in surface temperature (Figure 268 3a), whereas for the Indian region a linear relation is not clear (Figure 3b). A spread in the 269 precipitation estimates across different models can be seen in all of the global and regional 270 aerosol perturbation experiments. At a global scale, the increase in annual mean precipitation 271 is mostly observed in the  $co_2 \times 2$  across all models (Figure 3a). In the  $co_2 \times 2$  experiments, the 272 maximum increase in precipitation of about  $\sim 5\%$  and the temperature of  $\sim 3.8$  K is observed 273 in HadGEM3 and the minimum increase is about ~1% and ~1.5 K in precipitation and 274 temperature, respectively, in MIROC-SPRINTARS and GISS-E2-R models. Other models 275 are within the range of these estimates. On the other hand, a strong decrease in precipitation 276 (~17%) and temperature (~6.4 K) is noticed in *sul×5* experiments seen in HadGEM3 model at 277 a global scale. The *sulred* experiment shows an increase in precipitation and temperature 278 globally, while  $bc \times 10$  shows a decrease in precipitation despite some increase in surface





279 temperature. The regional perturbed experiments i.e., sul×10asia and sul×10eur show both a 280 decrease in precipitation and temperature with less magnitude compared to the global 281 perturbed experiments. Over India, a synchronous direction of change with global responses 282 is observed in  $co_2 \times 2$  and all sulfate experiments (Figure 3b). The experiments with  $bc \times 10$  and 283  $bc \times 10asia$  tend to have an opposite response over India compared to global responses, where 284 the increase in precipitation is associated with an increase in temperature, which implies that 285 regional thermodynamics plays a significant role. This becomes clearer when we look at 286 changes in precipitation in relation to changes in dry energy budget in both the global and 287 Indian regions (Figure 4). The linear relationship shown in Figure 4a indicates that globally 288 precipitation, apart from temperature changes, is also driven by the changes in the dry energy 289 budget in the atmosphere. If there is a decrease in the dry energy budget in the atmosphere, 290 there is moisture available for cloud formation leading to precipitation e.g., in the case of 291  $co_2 \times 2$ , and sulred. The  $co_2 \times 2$  induced warming increases the water holding capacity of the 292 atmosphere, leading to a decrease in dry energy budget (~-1 to -5 Wm<sup>2</sup>) and an increase in 293 precipitation (up to 5%). Likewise, removing the scattering type aerosols in *sulred*, the 294 atmospheric absorption of water content increases, thereby increasing the precipitation. The 295 climate forcing agents such as sulfate aerosols induce cooling of the atmosphere mostly 296 through their scattering effects leading to a drier state (~2-15 Wm<sup>-2</sup>). In addition to 297 atmospheric cooling, there are feedbacks generated that constrain the movement of the 298 Hadley cells limiting the moisture transport. Therefore, a higher decrease in precipitation is 299 noticed (Figure 1c) over the tropical regions. Overall, the changes in precipitation are 300 strongly related to changes in dry energy budget on a global scale and this relationship does 301 not hold for the corresponding changes over the Indian region (Figure 4b). Although in some 302 perturbed experiments, the direction of regional changes is similar but with different 303 magnitudes to that noticed on a global scale, there is no linearity in the responses across all





the models. The increase in precipitation change estimated in the case of the BC experiments and decrease in precipitation change in the case of the sulfate exhibits high variability. The responses in regional perturbed *sul×10asia* show a decrease in precipitation in some models (MIROC\_SPRINTARS, HadGEM3, NorESM1, NCAR-CESM1-CAM4) despite a decrease in dry energy budget, which is inconsistent.

309 From our analysis it is clear that globally the precipitation responses could be driven 310 by the changes induced in temperature and dry energy budget by the forcing agents, whereas 311 this does not hold true for regional precipitation changes over India. Therefore, we look into 312 the relationship between the changes in precipitation and changes in the vertical pressure 313 velocity ( $\Delta$ vert. velocity) at 500 hPa. Vertical pressure velocity is the manifestation of both 314 surface and atmospheric conditions in the climate model. The warmer air rises up due to the 315 convergence of winds at the surface to lower atmospheric levels. The atmospheric heat 316 content can also trigger updrafts, which can uplift moisture from the lower levels to the 317 troposphere for the formation of clouds. Looking at Figure 5a,  $\Delta$  vert. velocity is minimal and 318 clustered around zero for global average. Ideally, the  $\Delta$ vert, velocity should be zero while 319 averaging globally to conserve the mass, however, certain models do have imbalances 320 leading to some deviations. The relationship between the changes in precipitation and  $\Delta vert$ . 321 velocity over the Indian region on the other hand is quite robust in all the models (Figure 5b). 322 The negative values in the  $\Delta$ vert. velocity indicates updrafts signifying more convective 323 activities occurring in the  $co_2 \times 2$ ,  $bc \times 10$ ,  $bc \times 10$  and sulred, which enhances the 324 precipitation. The positive values in the  $\Delta$  vert. velocity indicate descending motion, 325 inhibiting convective processes and leading to a decrease in the precipitation in sulfate 326 (sul×5, sul×10asia) sets of experiments. Over India, a lot of convective activity occurs during 327 the ISM and therefore, the precipitation responses are much larger in magnitude due to 328 anthropogenic climate forcings compared to annual time scales (Figure S1). The reduction in





sulfate globally enhances the mean ISM precipitation over India. The magnitude of increase in precipitation in the  $co_2 \times 2$  and  $bc \times 10$  experiments and decrease in precipitation in  $sul \times 10asia$  and  $sul \times 5$  experiments is higher than that of global perturbation experiments.

The climatological annual mean ensemble cycle of precipitation over the Indian 332 region is shown in Figure 6a. The maximum changes in precipitation are mostly during the 333 334 ISM compared to winter months in all the experiments. The reduction of sulfate aerosols on a 335 global (sulred) and regional scale (sulasiared) increases precipitation over India the most, 336 followed by  $co_2 \times 2$  and  $bc \times 10$ . One of the key features that determine the strength of ISM is 337 the land sea thermal contrast. The temperature gradient is calculated by taking the difference 338 between the surface temperature on the Indian land mass (70-85°E, 10-30°N) and the 339 western Indian Ocean (50-65°E, 5°S-10°N) following Roxy et al., (2015). Consistently, there 340 is an increase in temperature gradient in the *sulred* and *sulasiared* experiments that facilitates 341 more moisture transport from the Arabian Sea towards India causing the increase in 342 precipitation over India (Figure 6b). All other experiments show a positive increase in the 343 surface temperature gradient starting from the month of April until September. Note that the 344 variability in the gradient depends upon the type of the aerosols and region of forcings as well 345 as number of models that carried out similar experiments. Interestingly, there is evidence of 346 an increase in the temperature gradient in the sulx10eur experiment compared to the  $co_2 \times 2$ 347 and all BC experiments but the relative increase in precipitation is less. This is because not only the surface temperature affects the dynamics but also the atmospheric heating profiles 348 349 determine the circulations and moisture transport pathways leading to changes in the 350 precipitation over India. Figure 7 show the changes in the vertical cross section of air 351 temperature and meridional circulation in all the perturbed experiments relative to their base 352 experiments. High warming in the troposphere is noticed in  $co_2 \times 2$  with stronger updrafts over 353 the Indian region during the ISM. The high warming at the surface and tropospheric region





354 facilitates the convective processes leading to formation of clouds, which increases the 355 precipitation. Similar patterns of warming with updrafts are noticed in  $bc \times 10$  with higher 356 magnitude compared to *bc×10asia* experiments. This could be reason for having lesser 357 increase in precipitation in  $bc \times 10asia$  compared to  $bc \times 10$  during the ISM (Figure S1b and 358 S1d). On the other hand, large atmospheric cooling is seen in  $sul \times 5$  with strong downdrafts. 359 The atmospheric cooling inhibits the formation of convective cells that leads to cloud formations over the Indian landmass. The cooling is weaker in the case of regional increase in 360 361 sulfate in *sul×10asia* (Figure 7e). In both the cases there is weakening of ISM precipitation 362 due to both surface and atmospheric cooling as well as weaker land-sea contrast. This 363 suggests more presence of dry air over the Indian landmass, which are relatively heavier and provide unfavourable conditions to trigger local convections. There are possible signatures of 364 365 remote forcings from sulfate aerosols over Europe that also can impact on the circulations 366 over India as seen in *sul×10eur*. The cooling existed mostly over the mid tropospheric 367 regions over the Tibetan Plateau up to the northern part of India (Figure 7f). There is slight warming noticed over central to southern latitudinal region of India, however, the mid 368 tropospheric cooling causes downdrafts over northern part of India leading to decrease in 369 370 precipitation as noticed in Figure S1f. This is quite interesting and similar precipitation 371 decrease over India due to sulfate aerosols was reported by Liu et al., (2018). More 372 investigation is needed to understand the teleconnection between the European sulfate aerosol 373 emission and their effects on Indian monsoon. Reduction in sulfate aerosols switches the 374 atmospheric cooling to warming over the Indian landmass as seen in both sulred and sulasiared. The atmospheric warming is greater in sulred compared to sulasiared causing 375 376 stronger meridional circulations over the Indian landmass, which leads to more precipitation. 377 It is to be noted that the large increase in precipitation in *sulred* could be due to movement of 378 ITCZ northward as well as the increase in land-sea contrast. In the sulasiared, the northward





movement of ITCZ could still be hindered as there are still sulfate aerosol emissions occurring in the northern hemisphere except Asia. Broadly from the analysis, it is noticed that the atmospheric heating or cooling is more sensitive to global aerosol forcings than regional aerosol perturbed experiments due to their larger magnitude in responses (Figure 7).

383 During ISM, apart from the temperature profiles and meridional circulations, the 384 dynamics associated with transporting moisture from the adjoining seas i.e., the Arabian Sea 385 and the Bay of Bengal is also important. The winds are stronger in the Arabian Sea relative to 386 that over the Bay of Bengal. Evaluation analysis against ERA5 shows that multi-model 387 ensemble mean of base experiments (Base ENS) captures the mean wind field at 850 hPa and low level specific humidity reasonably well. The low-level jet at 850 hPa is a semi-permanent 388 389 feature during the ISM, which carries moisture from the adjoining seas towards the Indian 390 landmass as shown in Figure 8a. The winds are slightly underestimated in *Base ENS* over the 391 Arabian Sea and Bay of Bengal partly due to coarser resolution used in the models. The 392 averaged low-level specific humidity (1000 to 850 hPa) is also shown in Figure 8b suggesting 393 a large amount of moisture available over both the seas surrounding the Indian landmass. 394 However, there are some underestimations of specific humidity, which could be due to the 395 weaker winds in the Base ENS. The changes induced due to the climate-forcing agents could 396 potentially affect the low-level jet leading to changes in the precipitation distribution over the 397 Indian landmass. Figure 9 shows the changes in the responses of the low-level jets in all the 398 experiments relative to base experiment. It is noticed that there is a strengthening of the wind 399  $(>0.6 \text{ m s}^{-1})$  over the northern Arabian Sea in  $co_2 \times 2$  and with a higher magnitude  $(>1.2 \text{ m s}^{-1})$ 400 in  $bc \times 10$ . This causes an increase in the moisture transport from the Arabian Sea towards the 401 Indian region resulting increase in precipitation. Other factors include the near-surface 402 warming induced over the continental landmass compared to that over the Arabian Sea, 403 which creates a thermal gradient as discussed earlier. In the  $bc \times 10$  and  $bc \times 10$  asia





404 experiment, it could be seen that there is aerosol cooling effect on surface temperature over 405 India during ISM (Figure S1b and S1d) but still we see increase in precipitation. This is 406 because of the tropospheric thermal gradient that creates a stronger low-level jet. In the 407  $bc \times 10asia$ , the strengthening of winds persists, causing an increase in precipitation. As the 408 BC emissions are increased 10 times regionally over Asia, the warming over the Tibetan 409 Plateau (Figure 7d) creates a pathway for moisture transport towards northern India and 410 southern China. In the sulx5 experiment, large-scale induced cooling weakens the land-sea 411 contrast, leading to a weakening of wind circulation (>  $1.8 \text{ ms}^{-1}$ ) over the Arabian Sea (Figure 412 9c), resulting in a decrease in precipitation over India. The weakening of winds is also 413 noticed in the regional experiments, which include the sul×10asia and sul×10eur. 414 Interestingly, the weakening in wind is greater in  $sul \times 10asia$  compared to  $sul \times 5$  during ISM. 415 Inter-comparison in the precipitation response due to sulfate aerosols indicates that a greater 416 decrease in precipitation occurs during ISM due to the regional increase in sulfate than the 417 global increase in sulfate (Figure S1c and S1d). In the sulred experiment, since the sulfates 418 are drastically reduced to a preindustrial state, the surface and atmospheric heating over land 419 strengthen the winds to carry more moisture from the Arabian Sea towards India contributing 420 to increase in precipitation.

#### 421 4. Summary and Conclusions

In this paper, we used the PDRMIP models to quantify the total responses of anthropogenic aerosols and carbon dioxide forcing on global and regional annual precipitation over India. In particular, we presented the precipitation response to individual forcings of anthropogenic aerosols and carbon dioxide using coupled models. The perturbed experiments included  $co_2 \times 2$ ,  $bc \times 10$ ,  $sul \times 5$ ,  $bc \times 10asia$ ,  $sul \times 10asia$ ,  $sul \times 10eur$ , sulasiared and sulred, and the corresponding base experiments. The total responses were derived by considering the last 50 years of individual model simulations and contrasting them with their





base experiments. Until now, most studies have attributed the changes using single-model perturbing experiments. Here, we showcase a multi-model ensemble analysis as well as individual models to classify the climate signals caused by these forcings. We also identify several meteorological variables driving the changes in precipitation on both a global scale and in India. In addition, we investigated the potential dynamics associated with the total changes observed in precipitation in India during the ISM season. The main conclusions of the study are as follows: -

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1. The multi-model ensemble analysis suggests that the precipitation over the
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443 2. On the global scale, the annual precipitation responses are mostly governed by 444 the changes in surface temperature and dry energy budget. In fact, the global mean 445 precipitation changes display a strong positive linear relationship with changes in 446 surface temperature and a negative linear relationship with changes in the dry energy budget across all the perturbation experiments. Among all the experiments, 447 448 the maximum increase in global precipitation is found in  $co_2 \times 2$  forcings with an 449 increase in surface temperature, while the greater decrease in precipitation is 450 found in *sul×5* with a decrease in surface temperature. Likewise, the increase in 451 global precipitation is found in  $co_2 \times 2$  forcings with a decrease in dry energy 452 budget and a decrease in precipitation is found in sulx5 with an increase in dry 453 energy budget.





454	
455	3. The annual precipitation responses over India do not hold strong relationship
456	with the changes in the surface temperature and dry energy budget. The changes
457	in precipitation over India are mostly driven by the changes in vertical velocity at
458	500 hPa implying that regional dynamics are more important for the regional
459	precipitation responses.
460	
461	4. Contrasting effects of BC aerosols are observed when comparing precipitation
462	responses at a global scale and over India. Globally, most of the models show
463	decrease in annual precipitation and increase in annual and summer monsoon
464	precipitation over India.
465	
466	5. The maximum change in precipitation is found during the summer monsoon
467	season over India. High atmospheric and surface heating induced in $co_2 \times 2$ and BC
468	$(bc \times 10 \text{ and } bc \times 10asia)$ experiments facilitate more updrafts over the Indian
469	landmass leading to increase in precipitation during the ISM. The BC induced
470	heating in the troposphere creates a thermal gradient that strengthens the low-level
471	jet at 850 hPa and meridional circulation. Consequently, high atmospheric and
472	surface cooling in <i>sul×5</i> and <i>sul×10asia</i> leads to weakening of low-level winds
473	and downdrafts induced by cooling inhibit convective activity over India leading
474	to decrease in precipitation during ISM.
475	
476	6. Reduction of sulfate aerosols globally and over Asia increases the atmospheric
477	warming tendency causing an increase in precipitation over India. Interestingly,





- 478 larger increase in precipitation is observed over India during the ISM while
  479 reducing the sulfate aerosols globally rather than only over Asia.
  480
- 481
- 482

### 483 Acknowledgements

484 We thank the PDRMIP project for providing data for carrying out analysis. All the PDRMIP

485 simulation data used in the paper are publicly available online link from the WDCC server

https://www.wdc-climate.de/ui/entry?acronym=PDRMIP 2012-2021. Special thanks to 486 487 Norwegian Research Infrastructure Services (NRIS) for providing us with an account to 488 access all the data from the PDRMIP model used in the study. For analysis and plotting, we acknowledge the usage of climate data operator (CDO), python packages and GrADS. This 489 490 work was funded by the European Union's Horizon 2020 research and innovation program 491 projects NextGEMS and CONSTRAIN (Grant agreements No.101003470 and No.820829) 492 and the European Research Council (ERC) (Grant agreement No.770765). We acknowledge 493 support from the Swedish e-Science Research Centre (SeRC). The partly data storage was 494 enabled by resources provided by the National Academic Infrastructure for Supercomputing 495 in Sweden (NAISS) and the Swedish National Infrastructure for Computing (SNIC) at MISU, 496 Stockholm University partially funded by the Swedish Research Council through grant 497 agreements no. 2022-06725 and no. 2018-05973.

498

## 499 Code/Data availability

All the PDRMIP simulation data used in the paper are publicly available online link from the WDCC server <u>https://www.wdc-climate.de/ui/entry?acronym=PDRMIP\_2012-2021</u>. For analysis and plotting, data operator (CDO), python packages and GrADS have been used.





503	The codes for plotting the figures are available from the corresponding author upon			
504	reasonable request.			
505				
506	Author contributions			
507	SD along with FB and TM conceptualized the study. SD carried out all the analysis taking			
508	feedbacks from FB and TM. SD wrote first version of the paper and all authors contributed in			
509	preparing the final version of the draft. The funds for carrying out this study is acquired by			
510	FB and TM.			
511				
512	Competing interests			
513	The corresponding author has declared that none of the authors has any competing interests.			
514				
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# 798 Tables

Model, (version) reference	Horizontal resolution, (vertical levels)	Ocean coupling	Aerosol setup
MIROC-SPRINTARS, (5.9.0)- Watanabe et al. (2010)	1.4° × 1.4°, (40)	Coupled	HTAP2 emissions
NorESM1, (NorESM1-M, Intermediate resolution) Bentsen et al. (2013)	2.5° × 1.9°, (26)	Coupled	Fixed concentrations
NCAR-CESM1-CAM5, (1.1.2) Otto-Bliesner et al. (2016)	2.5° × 1.9° (30)	Coupled	Emissions
HadGEM2, (6.6.3) Martin et al. (2011)	1.875°×1.25° (38)	Coupled	Emissions
HadGEM3, (GA 4.0) Walters et al. (2014)	1.875°×1.25° (85)	Coupled	Fixed concentrations
GISS-E2-R, (E2-R) Schmidt et al. (2014)	$2^{\circ} \times 2.5^{\circ}$ (40)	Coupled	Fixed concentrations
NCAR-CESM1-CAM4, (1.0.3) Gent et al. (2011)	2.5° × 1.9° (26)	Slab ocean	Fixed concentrations
CanESM2, (2010) Arora et al. (2011)	2.8° × 2.8° (35)	Coupled	Emissions
ECHAM-HAM (6.3) Roeckner et al. (2003)	1.875°×1.875° (17)	Slab ocean	Emissions
MPI-ESM, (1.1.00p2) Stevens et al. (2013)	T63 (47)	Coupled	Climatology year 2000
IPSL-CM5A, (CMIP5) Dufresne et al. (2013)	3.75°×1.875° (39)	Coupled	Fixed concentration

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801 Table 1: Description of the 11 models used from the Precipitation Driver Model 802 Intercomparison Project. HTAP2 is the Hemispheric Transport Air Pollution, phase 2. The 803 usage of emissions or concentrations of carbon dioxide and anthropogenic aerosols as input 804 depends upon the inbuilt model type configurations for carrying out simulations.





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Experiment	Details		
base All anthropogenic and natural climate forcings agents at prese			
	pre-industrial abundances.		
$co_2 \times 2$	Doubling of CO <sub>2</sub> concentration relative to base experiment.		
bc×10	Increase in the anthropogenic black carbon concentrations or emissions by		
	10 times relative to base experiment		
sul×5	Increase in the anthropogenic sulfate emissions by 10 times relative to base		
	experiment.		
bc×10asia	Increase in the black carbon present day concentrations 10 times over Asia		
	only.		
sul×10asia	Increase in the sulfate present day concentrations 10 times over Asia only.		
sul×10eur	Increase in the sulfate present day concentrations 10 times over Europe		
	only.		
sulasiared	Sulfate concentration from present-day to pre-industrial concentration over		
	Asia only.		
sulred	Sulfate concentration from present-day to pre-industrial concentration		
	globally.		

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**Table 2:** List of PDRMIP model experiments performed with coupled model configurations

810 used in the study.





Model	Experiments	Variables used
MIROC-SPRINTARS	base, co <sub>2</sub> ×2, bc×10, sul×5, bc×10asia,	pr, tas, ta, wap, ua, va, rsdt, rsut,
	sul×10asia, sul×10eur, sulasiared,	rlut, rsus, rsds, rlus, rlds, hfss
	sulred	
NorESM1	base, co <sub>2</sub> ×2, bc×10, sul×5, bc×10asia,	pr, tas, ta, wap, ua, va, rsdt, rsut,
	sul×10asia, sul×10eur	rlut, rsus, rsds, rlus, rlds, hfss
NCAR-CESM1-CAM5	base, co <sub>2</sub> ×2, bc×10, sul×5, bc×10asia,	pr, tas, ta, wap, ua, va, rsdt, rsut,
	sul×10asia, sul×10eur	rlut, rsus, rsds, rlus, rlds, hfss
HadGEM2	base, co <sub>2</sub> ×2, bc×10, sul×5	pr, tas, ta, wap, ua, va, rsdt, rsut,
		rlut, rsus, rsds, rlus, rlds, hfss
HadGEM3	base, co <sub>2</sub> ×2, bc×10, sul×5, bc×10asia,	pr, tas, ta, wap, ua, va, rsdt, rsut,
	sul×10asia, sul×10eur, sulred	rlut, rsus, rsds, rlus, rlds, hfss
GISS-E2-R	base, co <sub>2</sub> ×2, bc×10, sul×5, bc×10asia,	pr, tas, ta, wap, ua, va, rsdt, rsut,
	sul×10asia, sul×10eur	rlut, rsus, rsds, rlus, rlds, hfss
NCAR-CESM1-CAM4	base, co <sub>2</sub> ×2, bc×10, sul×5, sul×10asia,	pr, tas, ta, wap, ua, va, rsdt, rsut,
	sul×10eur	rlut, rsus, rsds, rlus, rlds, hfss
CanESM2	base, co <sub>2</sub> ×2, bc×10, sul×5	pr, tas, ta, wap, ua, va, rsdt, rsut,
		rlut, rsus, rsds, rlus, rlds, hfss
ECHAM-HAM	base, $co_2 \times 2$ , $bc \times 10$	pr, tas, ta, wap, ua, va, rsdt, rsut,
		rlut, rsus, rsds, rlus, rlds, hfss
MPI-ESM	base, co <sub>2</sub> ×2	pr, tas, ta, wap, ua, va, rsdt, rsut,
		rlut, rsus, rsds, rlus, rlds, hfss
IPSL-CM5A	base, $co_2 \times 2$ , $bc \times 10$ , $sul \times 5$ , $bc \times 10$ asia,	pr, tas, ua, va
	sul×10asia, sul×10eur	

**Table 3:** List of experiments performed by the PDRMIP models and variable simulated.





Variable used from PDRMIP models (short name)	Long name
pr	Total precipitation
tas	Near surface temperature
ta	Air temperature
wap	Vertical component of velocity (omega)
ua	Zonal component of velocity
va	Meridional component of velocity
rsdt	TOA incident shortwave radiation
rsut	TOA outgoing shortwave radiation
rlut	TOA outgoing longwave radiation
rsus	Surface upwelling shortwave radiation
rsds	Surface downwelling shortwave radiation
rlus	Surface upwelling longwave radiation
rias	Surface downwelling longwave radiation







(mm/day) in (a)  $co_2 \times 2$ , (b)  $bc \times 10$ , (c)  $sul \times 5$ , (d)  $bc \times 10asia$ , (e)  $sul \times 10asia$ , (f)  $sul \times 10eur$ , (g) sulasiared and (h) sulred with respect to their base experiments. The values in the brackets represent number of models carried out the experiment. The ensemble mean of change in annual precipitation for each perturbed experiment is given on the top right corner.







Figure 2: Spatial distribution of ensemble mean of annual near surface temperature responses (K) in (a)  $co_2 \times 2$ , (b)  $bc \times 10$ , (c)  $sul \times 5$ , (d)  $bc \times 10asia$ , (e)  $sul \times 10asia$ , (f)  $sul \times 10eur$ , (g) sulasiared and (h) sulred with respect to their base experiments. The values in the brackets represent number of models carried out the experiment. The ensemble means of change in annual near surface temperature for each perturbed experiment is given on the top right corner.







943 Figure 3: Scatter plot of the percentage change in the (a) global mean precipitation (%) vs. 944 the change in the global near surface temperature (K) and changes in (b) regional 945 precipitation (%) vs. changes in the near surface temperature (K) over India for all the 946 perturbed model experiments.







Figure 4: Scatter plot of the percentage change in the (a) global mean precipitation (%) vs.
the global changes in the dry energy (Wm<sup>-2</sup>) and changes in the (b) regional precipitation (%)
vs. regional changes in the dry energy (Wm<sup>-2</sup>) over India for all the perturbed model
experiments.









Figure 5: Scatter plot of the percentage change in the (a) global mean precipitation (%) vs. the changes in the global mean vertical velocity at 500 hPa (Pa s<sup>-1</sup>) and changes in the (b) regional precipitation (%) vs. changes in the regional mean vertical velocity over India at 500 hPa (Pa s<sup>-1</sup>) for all the perturbed model experiments.

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Figure 6: Annual cycle of (a) ensemble mean precipitation over India for all the model experiments. (b) Annual cycle of temperature gradient calculated by by taking difference between the surface temperature over Indian land mass (70–85°E, 10–30°N) and western Indian Ocean (50–65°E, 5°S-10°N) for all the model experiments. The number in the brackets depicts number of models carried out the particular perturbed experiment.







1061 sul×10eur, (g) sulasiared and (h) sulred with respect to their base experiments during the







Indian summer monsoon period. The number in the brackets depicts number of models

monsoon season.







**Figure 9:** Spatial distribution of total responses in the ensemble mean of wind circulation (m/s) at 850 hPa in (a)  $co_2 \times 2$ , (b)  $bc \times 10$ , (c)  $sul \times 5$ , (d)  $bc \times 10asia$ , (e)  $sul \times 10asia$ , (f) *sul × 10eur*, (g) *sulasiared* and (h) *sulred* with respect to their base experiments during the Indian summer monsoon season. The number in the brackets depicts number of models carried out the particular perturbed experiment.