

1 Sustained intensification of the Aleutian Low induces weak  
2 tropical Pacific sea surface warming

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14

15 **Abstract**

16

17 It has been proposed that externally forced trends in the Aleutian Low can induce a basin-wide  
18 Pacific SST response that projects onto the pattern of the Pacific Decadal Oscillation (PDO). To  
19 investigate this hypothesis, we apply local atmospheric nudging in an intermediate complexity  
20 climate model to isolate the effects of an intensified winter Aleutian Low sustained over several  
21 decades. An intensification of the Aleutian Low produces a basin-wide SST response with a  
22 similar pattern to the model's internally-generated PDO. The amplitude of the SST response in  
23 the North Pacific is comparable to the PDO, but in the tropics and southern subtropics the  
24 anomalies induced by the imposed Aleutian Low anomaly are a factor of 3 weaker than for the  
25 internally-generated PDO. The tropical Pacific warming peaks in boreal spring, though anomalies  
26 persist year-round. A heat budget analysis shows the northern subtropical Pacific SST response  
27 is predominantly driven by anomalous surface turbulent heat fluxes in boreal winter, while in the  
28 equatorial Pacific the response is mainly due to meridional heat advection in boreal spring. The  
29 propagation of anomalies from the extratropics to the tropics can be explained by the seasonal  
30 footprinting mechanism, involving the wind-evaporation-SST feedback. The results show that low  
31 frequency variability and trends in the Aleutian Low could contribute to basin-wide anomalous  
32 Pacific SST, but the magnitude of the effect in the tropical Pacific, even for the extreme Aleutian  
33 Low forcing applied here, is small. Therefore, external forcing of the Aleutian Low is unlikely to

34 account for observed decadal SST trends in the tropical Pacific in the late 20th and early-21st  
35 centuries.

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37

38 Key points (140 chars)

39

40 1. A sustained intensification of the winter Aleutian Low produces weak warming in the  
41 tropical Pacific that peaks in spring.

42 2. Changes to surface heat fluxes (subtropics) during boreal winter and meridional advection  
43 (equatorial) during boreal spring in the upper ocean drive the SST warming.

44 3. A combination of the seasonal footprint mechanism and wind-evaporation-SST  
45 mechanism generate the surface climate anomalies in the tropical Pacific.

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## 50 **1. Introduction**

51

52 The Aleutian Low has a well-known role in determining the North Pacific component of the Pacific  
53 Decadal Oscillation (PDO) (e.g. Schneider and Cornuelle, 2005; Zhang et al., 2018; Hu and Guan,  
54 2018; Sun and Wang, 2006; Newman et al. 2016). Fluctuations in Aleutian Low intensity affect  
55 the North Pacific subpolar gyre (Pickart et al. 2008), upper ocean temperatures (e.g. Latif and  
56 Barnett, 1996) and sea surface height (Nagano and Wakita, 2019) through anomalous thermal  
57 forcing and wind stress. Oceanic Rossby waves initiated by Aleutian Low variability can propagate  
58 westward and cause lagged signals in the Kuroshio-Oyashio Extension (KOE) region (e.g.,  
59 Kwon and Deser, 2007).

60

61 The traditional paradigm for the PDO describes the integrated effect of mid-latitude stochastic  
62 variability, which induces SST anomalies through turbulent heat flux and wind stress curl  
63 anomalies, and driving from tropical processes (ENSO variability) via excitation of Rossby wave  
64 trains and tropical-extratropical teleconnections (Newman et al. 2016; Zhao et al. 2021; Vimont.  
65 2005; Knutson and Manabe 1998; Jin 2001). We note that recent definitions separate low  
66 frequency PDO variability and show this is predominantly associated with stochastic extratropical  
67 atmospheric variability (i.e. the Aleutian Low) (Wills et al., 2018, 2019). However, decadal  
68 changes in the Aleutian Low may arise via other mechanisms including Arctic sea ice trends  
69 (Simon et al. 2021; Deser et al. 2016), stratospheric polar vortex variability (Richter et al., 2015),  
70 or as a local response to external forcings (Smith et al. 2016; Dow et al. 2021; Dittus et al. 2021).  
71 It has been proposed that observed shifts in the PDO in the late 20th and early 21st centuries  
72 were driven by anthropogenic forcing of the Aleutian Low, which was then communicated to a  
73 basin-wide PDO signal (Smith et al. 2016; Gan et al. 2017). However, the mechanisms by which  
74 North Pacific anomalies linked to decadal Aleutian Low changes may be communicated into a  
75 basin-wide SST response including the tropics, and whether the amplitude of such a response  
76 matches observed variations, remain unclear.

77

78 In this study, we aim to better understand the role of long-term changes in the Aleutian Low in  
79 governing the multi-annual behaviour of tropical Pacific SSTs. We perform an ensemble of  
80 atmospheric nudging simulations in an intermediate complexity coupled climate model to isolate  
81 the effect of a sustained anomaly in the Aleutian Low. The response to this regional perturbation  
82 is compared to the internally-generated low frequency Pacific variability in a free running  
83 simulation. The manuscript is structured as follows: section 2 describes the methodology and

84 details of the model used. Section 3 compares the results of the nudging simulations with the free  
85 running simulation. Discussion of the results is provided in section 4 and conclusions in section  
86 5.

87

## 88 **2. Data and Methods**

89

### 90 **2.1 FORTE 2.0**

91

92 Simulations were performed using FORTE2.0, an intermediate complexity coupled Atmosphere-  
93 Ocean General Circulation Model (AOGCM) (Blaker et al., 2021). The atmospheric model IGCM4  
94 (Intermediate General Circulation Model 4) (Joshi et al., 2015) uses a truncated series of spherical  
95 harmonics run at T42 resolution with 20  $\Sigma$ -levels to a height of  $\Sigma = 0.05$ . IGCM4 is coupled to the  
96 MOMA (Modular Ocean Model – Array) (Webb, 1996) ocean model run at  $2^\circ \times 2^\circ$  resolution with  
97 15 vertical levels. The two components are coupled once per day using OASIS version 2.3 (Terray  
98 et al., 1999) and PVM version 3.4.6 (Parallel Virtual Machine). As described in Blaker et al. (2021),  
99 between  $5^\circ$  N/S and the equator the horizontal ocean diffusion increases by a factor of 20 to  
100 balance equatorial upwelling and parameterise the eddy heat convergence. For more details on  
101 the model see Blaker et al. (2021). The model simulates multi-decadal SST variability in the  
102 Pacific with a similar pattern to that seen in observations but a weaker amplitude by around a  
103 factor of 4 to 5 (Figure S1). While the model is run at relatively low horizontal and vertical  
104 resolution, the model code is sufficiently flexible to apply the nudging method described in Section  
105 2.2 and the model is computationally efficient to run enabling a large ensemble to be produced.

106

### 107 **2.2 Grid-point nudging method**

108

109 Atmospheric nudging has been used to investigate climate and weather relationships between  
110 remote phenomena (e.g. Martin et al., 2021; Knight et al., 2017; Watson et al., 2016). A nudging  
111 code was added to IGCM4. Nudging was performed by adding tendencies to horizontal winds,  
112 temperature and surface pressure. The nudging code is publicly available at  
113 (<https://github.com/NOC-MSM/FORTE2.0>).

114 The nudging configuration is similar to that in Watson et al. (2016), with two additional terms to  
115 account for vertical (z) and temporal (t) variation in the nudging strength:

116 
$$\delta x(\lambda, \phi, z, t) = -\gamma(\lambda, \phi)g(z)h(t) \left( x(\lambda, \phi, z, t) - x_{ref}(\lambda, \phi, z, t) \right) / \tau, \quad (\text{Eqn 1})$$

117 where  $x$  is the variable being relaxed as a function of longitude ( $\lambda$ ) and latitude ( $\phi$ ),  $x_{ref}$  is the  
 118 reference state, and  $\tau$  is the nudging strength (set to 6hr). The spatial extent of the nudging was  
 119 tested extensively to avoid any shock at the boundaries and spurious effects of nudging near  
 120 polar regions. The regional extent was determined as:

121 
$$\gamma(\phi, \lambda) = f_1(\phi, \phi_1, \phi_2)f_2(\lambda, \lambda_1, \lambda_2), \quad (\text{Eqn 2})$$

122 where

123 
$$f_1(\phi, \phi_1, \phi_2) = [1/(1 + e^{-(\phi-\phi_1)/\delta_1})][1 - 1/(1 + e^{-(\phi-\phi_2)/\delta_2})] \quad (\text{Eqn 3})$$

124 and

125 
$$f_2(\lambda, \lambda_1, \lambda_2) = [1/(1 + e^{-(\lambda-\lambda_1)/\delta_3})][1 - 1/(1 + e^{-(\lambda-\lambda_2)/\delta_3})] \quad (\text{Eqn 4})$$

126  $\Phi_1 = 30^\circ\text{N}$  and  $\Phi_2 = 65^\circ\text{N}$  represent the southern and northern nodal points of the nudging region  
 127 and  $\lambda_1 = 160^\circ\text{E}$  and  $\lambda_2 = 140^\circ\text{W}$  are the western and eastern nodal points of the nudging region.  
 128 The coefficients  $\delta_1 = 0.05$ ,  $\delta_2 = 1$ ,  $\delta_3 = 0.2$ . The horizontal limits follow the commonly defined North  
 129 Pacific Index (NPI) (Trenberth and Hurrell, 1994) as a proxy for the region encompassed by the  
 130 Aleutian Low. Within the nudging patch shown in Fig. S2, the values are scaled so that the  
 131 maximum value equals 1.

132 The temporal and nudging variations are determined as:

133 
$$g(z) = a \exp(-bz) \quad (\text{Eqn 5})$$

134 
$$h(t) = \exp\left(\frac{-d^2}{(2b^2)^{2\mu}}\right) \quad (\text{Eqn 6})$$

135 The strength of the tropospheric nudging is set to 1 (constant  $a$ , Equation 5) at  $z = 0.96$  (lowest  
 136 atmospheric level), decreasing exponentially to 0 at  $z = 0.05$  (tropopause) (Equation 5). Nudging  
 137 is applied during the extended boreal winter season (NDJFM) peaking on 15 January, with a  
 138 Gaussian function in time to increase the nudging strength from 0 to 1 between 1 to 30 November  
 139 and a reverse ramp-down during March. Term  $d$  (Equation 6) is the time difference relative to  
 140 maximum nudging time in months (e.g.  $d = 0$  on 15<sup>th</sup> Dec,  $d = -1$  on 15<sup>th</sup> Jan, etc.),  $\beta$  is a constant

141 set to 1.2,  $\mu$  is a constant set to 2. Outside of the nudging window,  $h = 0$ . The spatio-temporal  
142 forms of the nudging coefficients are shown in Figure S2.

143

144 The strong Aleutian Low state is taken from a 100 year long control run (CONTROL) based on a  
145 winter month with an NPI anomaly of -10.76 hPa, or  $-3.02\sigma$ , where  $\sigma = 3.53$  hPa is the standard  
146 deviation calculated over all winter months in CONTROL (Figure S3). Therefore, the target state  
147 represents an extreme intense Aleutian Low state as simulated in FORTE2.0. Comparing with  
148 ERA5 reanalysis data from 1979-2020, a  $1\sigma$  NPI anomaly is 5.20 hPa. The imposed  
149 atmospheric forcing is therefore weaker than if an equivalent experiment was conducted using a  
150 comparably sized NPI anomaly in reanalysis data. A 50 member NUDGED ensemble was  
151 generated using initial conditions drawn from each January 1<sup>st</sup> of the final 50 years of  
152 CONTROL. Each member is integrated for 30 years with nudging commencing on 1 November  
153 of the first year and repeating each winter of the simulation. Unless otherwise stated, the  
154 analysis shows ensemble mean anomalies in the NUDGED simulation compared to the long-  
155 term climatology of CONTROL. Statistical significance of the ensemble mean difference is  
156 estimated as being where the anomaly  $\pm 2$  standard errors does not overlap zero. Standard error  
157 (SE) is calculated as

158 
$$SE = \sigma/\sqrt{n} \quad (\text{Eqn. 7})$$

159 Where  $\sigma$  is the inter-ensemble standard deviation of the time averaged anomaly of interest and  
160  $n$  is the ensemble size, 50.

### 161 **2.3 Mixed Layer Heat Budget Analysis**

162 The heat budget of the upper 30m of the ocean (representing the mixed layer) is analysed for the  
163 regions shown by the boxes in Figure 1, where the temperature tendency is given by:

164 
$$dT/dt = ADV + DIFF_{\text{vert}} + DIFF_{\text{horiz}} + CONV \quad (\text{Eqn. 8})$$

165 Daily tendencies due to advection (ADV), vertical and horizontal diffusion ( $DIFF_{\text{vert}}$  and  $DIFF_{\text{horiz}}$ )  
166 and convection (CONV) are output from the model. Further granularity in the heat budget terms  
167 (e.g. turbulent fluxes) was not possible due to the limited availability of diagnostics from the  
168 model. Vertical diffusion represents the contribution to the mixed layer heat budget from surface  
169 turbulent and radiative fluxes. ADV is composed of zonal, meridional and vertical components:

170 
$$ADV = u \frac{\delta T}{\delta x} + v \frac{\delta T}{\delta y} + w \frac{\delta T}{\delta z} \quad (\text{Eqn. 9})$$

171 where  $u$ ,  $v$  and  $w$  are the zonal, meridional and vertical components of the ocean velocity and  
 172  $dT/dx$  represents the local zonal gradient of temperature. We linearize the meridional advection  
 173 term to investigate the relative roles of changes to ocean current velocity and temperature  
 174 gradient as follows:

175 
$$\left(v \frac{\delta T}{\delta y}\right)' = v' \frac{\delta T_0}{\delta y} + v_0 \left(\frac{\delta T}{\delta y}\right)' + v' \left(\frac{\delta T}{\delta y}\right)' \quad (\text{Eqn. 10})$$

176 where the subscript 0 denotes CONTROL values and primes denote anomalies in NUDGED.

177 **2.4 PDO Index**

178 The PDO index is calculated as the first EOF of monthly SST anomalies, calculated as deviations  
 179 from the climatological seasonal cycle, over the region 20-65°N, 120-260°E (Mantua et al. 1997).  
 180 Before calculating the leading EOF, the temperature anomalies are weighted by the square-root  
 181 of the cosine of latitude to account for the decrease in area towards the pole. The monthly principal  
 182 component, corresponding to the PDO index, is normalised by the standard deviation to give it  
 183 unit variance. The pattern of temperature anomalies that covaries with the PDO is found by  
 184 linearly regressing the time series of the monthly mean temperature anomalies onto the monthly  
 185 PDO index (Figure 1b). Here we define the PDO using the common index based on the leading  
 186 EOF of North Pacific SST variability. Wills et al. (2019) showed that the tropical Pacific SST  
 187 anomalies associated with this index are predominantly related to high frequency (e.g., ENSO)  
 188 SST variability, while the extratropical part is related to turbulent heat flux and wind stress  
 189 anomalies associated with intrinsic Aleutian Low variability. The discrepancy between the  
 190 modelled and observed SST anomalies associated with the PDO index in Figure S1 could be due  
 191 to the slightly weaker than observed ENSO amplitude in the model by around 33% (Figure S4)  
 192 (see also Blaker et al., 2021).

193 **3. Results**

194

195 *3.1 Surface temperature response*

196 Figure 1a shows annual mean surface temperature anomalies in NUDGED expressed as a  
 197 change per standard deviation ( $\sigma$ ) of the PDO index. Here, the anomaly between NUDGED and

198 CONTROL is projected onto the first EOF from the control run to generate a pseudo-PC. The  
199 anomaly is divided by the pseudo-PC to calculate the anomaly per standard deviation of the PDO  
200 index, expressed in a similar way to that derived from CONTROL. A horse-shoe pattern of  
201 anomalous temperature extends across the North Pacific, comprising warming in the north and  
202 eastern Pacific and along the west coast of North America and cooling in the western North  
203 Pacific/KOE region. The strongest warming ( $0.2-0.3 \text{ K}/\sigma$ ) is seen over the North Pacific and  
204 western North America. There is weaker ( $0.02-0.04 \text{ K}/\sigma$ ) but statistically significant warming in the  
205 equatorial Pacific. Across the Pacific Ocean, the pattern of temperature anomalies in NUDGED  
206 closely resembles unforced multidecadal Pacific variability in CONTROL (Figure 1b), with a  
207 pattern correlation coefficient of 0.53. Therefore, a sustained increase in Aleutian Low strength  
208 forces a basin-wide SST response which resembles that associated with internally-generated  
209 coupled variability in CONTROL. However, there are clear differences in the sign of the anomaly  
210 outside the North Pacific basin and nudging region, such as over north-eastern Siberia and south-  
211 central USA. Furthermore, while the extratropical SST anomalies are somewhat larger in  
212 NUDGED, particularly in the subpolar gyre, the tropical Pacific signal is substantially weaker by a  
213 factor of  $\sim 3$ . This indicates that atmospheric forcing by the Aleutian Low alone is not sufficient to  
214 generate a basin-wide SST response that is consistent with the intrinsic variability of the model.  
215 Note the Aleutian Low state in  $x_{\text{ref}}$  is extreme ( $-3\sigma$ ), meaning a more realistic amplitude for  
216 sustained Aleutian Low intensification can be expected to induce a weaker response.

217 The seasonality of the surface temperature anomalies in NUDGED is shown in Figure 2 separated  
218 for years 1-2, years 3-4 and years 5-30. The initial response to the intensified Aleutian Low is a  
219 warming in the subpolar gyre in boreal autumn (SON). This amplifies in DJF during the peak of  
220 the nudging period, where a tongue of warming extends into the subtropical North Pacific. This  
221 pattern persists into MAM after nudging ceases but is also accompanied by warming in the  
222 eastern tropical Pacific. By JJA, the tropical and subtropical temperature changes have weakened  
223 leaving residual warming in the subpolar gyre that persists into the following winter. The  
224 temperature anomalies over land quickly dissipate due to the low specific heat capacity. A similar  
225 seasonal evolution occurs in years 3-4, but the tropical warm anomaly emerges earlier in DJF  
226 and extends further westward at its peak in MAM. The anomalies in years 5-30 show a similar  
227 spatiotemporal pattern to the first 4 years, suggesting the mechanisms by which the anomalies  
228 manifest do not evolve strongly when the signals are maintained over multi-year timescales. Small  
229 differences between years 1-4 and 5-30 are the extent of the robust signal in the tropical Pacific;  
230 there is a small reduction in the amplitude of the tropical warming in JJA and no significant western

231 tropical Pacific warming in MAM for years 5-30. The signal of peak tropical warming in MAM in  
232 NUDGED qualitatively agrees with observed low frequency Pacific variability (Figure S1), though  
233 we note that FORTE2.0 shows a narrower band of tropical warming compared to observations.  
234 Furthermore, the weak (up to ~10x weaker) footprint of modelled PDO variability in the equatorial  
235 Pacific (Fig. S1) is consistent with a notion that Aleutian Low driven SST variability in the extra-  
236 tropics has little influence on tropical variability (Wills et al., 2019; Zhao et 2021).

237

### 238 *3.2 Mixed layer heat budget*

239 The mixed layer heat budget in the subtropical North Pacific and Niño 3.4 regions shows different  
240 annual cycles in the anomalous temperature tendencies (Figure 3 a,b). The largest anomalous  
241 surface temperature tendency in the subtropical North Pacific occurs during the nudging period  
242 (DJF), whereas the peak warming tendency in the Niño3.4 region occurs in February-April. In the  
243 subtropics in winter, warming from vertical diffusion is offset by meridional advection. In contrast  
244 in the Niño 3.4 region, anomalous meridional advection contributes to a warming tendency year-  
245 round, with the maximum (~0.3 K/month) in MAM. This warming is partly offset by anomalous  
246 vertical diffusion and convection. Meridional advection therefore contributes to cooling in the  
247 subtropical North Pacific but causes warming in the Niño 3.4 region.

248

249 The anomalous meridional advection in the subtropical North Pacific is dominated by the change  
250 in meridional velocity, whilst in the Niño3.4 region the change in meridional temperature gradient  
251 is the largest contributor throughout most of the year (apart from Sept-Dec) (Figure 3 c,d). The  
252 enhanced warming tendency from Feb-June in the Niño3.4 region is driven by changes in  
253 meridional velocity. The difference in contributing terms implies different mechanisms governing  
254 the changing mixed layer temperatures in the two regions.

255

256 The net surface heat flux anomalies in NUDGED are shown in Figure 4(a-d). There are positive  
257 (downward) net surface heat flux anomalies across the North Pacific and within a SW-NE oriented  
258 band in the subtropical North Pacific. The largest heat flux anomalies occur during DJF, with  
259 values in excess of  $4 \text{ W m}^{-2}/\sigma$ . The net surface heat flux anomalies in NUDGED are dominated  
260 by the latent heat flux (Fig. 4 e-h). The pattern of surface latent heat flux anomalies in JJA in the  
261 extratropical North Pacific represents a damping of the SST anomalies; positive flux anomalies  
262 extend eastward from the KOE region, which are enveloped by negative anomalies in the

263 northeast Pacific and subtropical North Pacific.. The positive heat fluxes exhibited in the KOE  
264 region in all seasons outside of DJF are evidence that cold SST anomalies in this region reduce  
265 heat loss to the atmosphere throughout the simulations. Regions such as those in the north-east  
266 North Pacific appear to dampen the SST anomalies during MAM and JJA, which may indicate  
267 limited dynamic feedback to the atmosphere. However, across the central North Pacific, the  
268 persistence of surface latent flux anomalies year-round is expected given the surface temperature  
269 persistence and alludes to ocean-atmosphere feedbacks.

270

### 271 *3.3 Atmospheric circulation response*

272 Figure 5 shows the seasonal mean zonal and meridional near-surface wind anomalies in  
273 NUDGED. As expected, the largest anomalies occur in the period over which nudging is applied  
274 (DJF), with a westerly zonal wind anomaly of up to  $\sim 0.5 \text{ ms}^{-1}/\sigma$  in the subtropics and an easterly  
275 anomaly of a similar magnitude in the subpolar extratropics. The meridional wind shows  
276 alternating southerly-northerly anomalies across the North Pacific orientated with a north-easterly  
277 tilt suggesting that a persistently strong AL invokes a modulation of the climatological Rossby  
278 wave train providing a pathway for atmospheric communication between the North Pacific and  
279 eastern tropical Pacific. Evidence for the modulation of the Rossby wave train is further evident  
280 in the upper tropospheric winds (Figure S5). Recall that the nudging strength in the upper  
281 troposphere is several times weaker than at the surface (Fig. S2), so the upper-level circulation  
282 anomalies likely represent a response to the lower tropospheric forcing. The subtropical zonal  
283 wind anomalies represent a southerly shift of the westerlies compared to the climatology in  
284 CONTROL, with persistent anomalies extending into the spring after nudging ceases (April – not  
285 shown). Interestingly, there is an emergence of a westerly wind anomaly near the coast of Central  
286 America in DJF that extends southward and westward into the equatorial Pacific in MAM.  
287 Although zonal wind anomalies are evident in JJA, they are not strongly statistically significant.

288 Figure 6 shows the latitude-time evolution of surface temperature, near-surface wind and surface  
289 pressure anomalies in NUDGED averaged over the central and eastern tropical Pacific (which is  
290 entirely outside the nudging region). There is year-round warming in subtropical and equatorial  
291 regions, with the largest magnitude in the subtropics from November through April ( $\sim 0.05 \text{ K}/\sigma$ )  
292 and in the equatorial region from March through July ( $\sim 0.3 \text{ K}/\sigma$ ). The nudging invokes concurrent  
293 warming in the subtropics, while there is a seasonal delay in the emergence of warming in the  
294 equatorial Pacific. From July to November in the subtropics (around  $15^\circ\text{N}$ ) there is substantially  
295 less warming than during the rest of the year, with values close to zero. The westerly wind

296 anomalies coincide with the timing of the temperature anomalies, with south-westerly anomalies  
297 of  $\sim 0.05 \text{ m s}^{-1}/\sigma$  in the subtropics and  $\sim 0.03 \text{ m s}^{-1}/\sigma$  in the equatorial region. In addition to the  
298 cross-equatorial temperature gradient generated by the subtropical anomaly, the lower surface  
299 pressure in the northern subtropics ( $\sim 1.5 \text{ hPa}$ ), which is largest in February and March, creates a  
300 pressure gradient across the equator, a key component of the WES mechanism. At this time there  
301 is evidence of cooling in the southern subtropics (south of  $15^\circ\text{S}$ ).

302

#### 303 **4. Discussion**

304

305 The impact of an intensified Aleutian Low on the tropical Pacific in this study suggests an  
306 excitation of the SFM mechanism (e.g. Vimont et al. 2003; Alexander et al. 2010; Chen and Yu,  
307 2020; Sun and Okumura, 2019). In accordance with the SFM, the SST anomalies persist into the  
308 summer season, with anomalous temperatures found in the North Pacific year-round. The signals  
309 in winter and spring show a similar spatial signature to that found by Liguori and Di Lorenzo  
310 (2019), who show an SST signature in the subtropics as a precursor to ENSO dynamics. Here  
311 we find a similar effect on multi-year timescales in response to an anomalous Aleutian Low.

312

313 The midlatitude westerly winds show a southerly shift throughout the year which, in agreement  
314 with Liu et al. (2021), acts to prevent heat loss from the surface in the northern subtropics due to  
315 reduced evaporation. This in turn drives the SST anomaly towards the equator. Liu et al. (2021)  
316 show the SFM as the mechanism that propagates SST anomalies southward, through a change  
317 in latent heat fluxes. However, in DJF the westerly winds imposed by the nudging cause a  
318 weakening of the subtropical trades; hence the southerly shift of westerlies starts to occur within  
319 the season of nudging. We show anomalous latent heat flux is responsible for the change in  
320 subtropical North Pacific SSTs. The limitation of the Liu et al. (2021) study is that the atmosphere  
321 was coupled to a thermodynamic slab-ocean, whereas we integrate a fully coupled ocean model  
322 allowing for a role of ocean dynamical feedbacks. Sun and Okumura (2019) conducted a related  
323 investigation by imposing heat flux anomalies associated with the North Pacific Oscillation (NPO)  
324 , but they imposed a fixed year round anomaly whereas the Aleutian Low shows strongest  
325 variability in winter and therefore we only impose relaxation during boreal winter in our  
326 experimental design. The simulations presented use an anomalous Aleutian Low state taken from  
327 a single month (Figure S3). An area for future research is to impose a suite of varying Aleutian  
328 Low states with different spatial and temporal profiles to test the sensitivity of the responses  
329 described here to details of the imposed relaxation state.

330

331 In the tropical Pacific, the dominant mechanism responsible for the increase in SSTs is meridional  
332 advection, with the change to meridional current velocity driving the accelerated warming in boreal  
333 spring. This coincides with an anomalous northward cross-equatorial SST gradient and the  
334 development of an anomalous cross-equatorial southward pressure gradient. Cross-equatorial  
335 winds are generated, which, due to Coriolis force act to weaken the trades in the northern  
336 equatorial region, decreasing the surface latent heat flux and leading to a local warming. The heat  
337 budget analysis shows that surface heat fluxes are the primary warming agent during the nudging  
338 period, whereas a change to surface advection drives the warming in the central near-equatorial  
339 Pacific. A comprehensive review of this mechanism, commonly referred to as the wind-  
340 evaporation-SST (WES) mechanism, is provided in Mahajan et al. (2008). Further, the  
341 mechanism has been posited as a pathway through which North Pacific SSTs can influence  
342 ENSO variability (Amaya et al. 2019). The equatorial thermocline depth shows a slight deepening  
343 of the thermocline in all seasons apart from SON, which is supported by changes in the vertical  
344 advection term (not shown). Figure 7 gives a pictorial representation of the combined mechanisms  
345 involved in translating the Aleutian Low anomaly into the deep tropics.

346

347 While the results make conceptual sense and are in broad agreement with studies using more  
348 comprehensive modelling tools (see earlier references), the amplitude of the response could be  
349 verified in other more detailed coupled climate models. The coarseness of the coupled model,  
350 specifically the vertical dimension of the oceanic component, is a limitation of the study.  
351 Furthermore, the model's relatively low resolution and inability to resolve mesoscale processes in  
352 the ocean and atmosphere may affect the results of the study. Future studies using observations  
353 and higher resolution GCMs to test the results herein would be valuable. Furthermore, to ensure  
354 model stability, the anomalous nudging state was drawn from the coupled atmosphere-ocean  
355 control simulation. The Aleutian Low variability sampled from this simulation therefore includes  
356 effects from tropical variability. The month used as the reference state for the nudging coincides  
357 with an ENSO state (magnitude = 0.55) in the tropical Pacific. Further study could investigate  
358 more idealised AL states and their effects on extra-tropical-tropical communication.

359

## 360 **5. Conclusions**

361

362 Externally-forced Aleutian Low trends have been implicated as a potential driver of recent  
363 variations in the Pacific Decadal Oscillation (Smith et al., 2016; Dittus et al., 2021). Here, we have

364 investigated the potential influence of Aleutian Low trends on basin-wide low frequency Pacific  
365 sea surface temperature variability using nudging simulations in an intermediate complexity  
366 climate model. The target Aleutian Low state represents an extremely intense Aleutian Low state  
367 ( $-3\sigma$  of winter monthly variability) applied during boreal winter. The intensified Aleutian Low  
368 induces a basin-wide SST response that resembles the model's internally-generated PDO with a  
369 comparable amplitude in the extratropics, but a substantially weaker amplitude in the equatorial  
370 Pacific by a factor of 4 to 5. The pattern of SST variability exhibited across the basin is evident on  
371 interannual timescales as well as throughout the duration of the 30 year simulation.

372

373 The findings presented here support that the PDO can, at least in part, be driven by remotely  
374 forced changes in the North Pacific atmospheric circulation independent of the tropics. However,  
375 in our experiment the amplitude appears to be too weak to fully explain a multi-annual shift in the  
376 PDO across the tropics. This suggests that the hypothesis posed by Smith et al. (2016) that  
377 anthropogenically forced changes in the Aleutian Low drove the observed shift in the phase of  
378 the basin-wide PDO in the late 20th and early 21st centuries, should be revisited.

379

#### 380 **Code availability**

381

382 The nudging code used in the analysis can be found:

383 (<https://github.com/NOC-MSM/FORTE2.0>).

384

#### 385 **Data availability**

386

387 Underlying model data found in this paper is available from the corresponding author upon  
388 request.

389

390 HadISST data available: <https://www.metoffice.gov.uk/hadobs/hadisst/data/download.html>

391

#### 392 **Author contribution**

393

394 WJD and ACM designed the study. WJD developed the nudging code in FORTE2.0 with support  
395 from CMM, MMJ and RR. ATB and RR helped with installation of FORTE2.0 at Leeds. WJD  
396 performed the analysis and produced the figures. WJD and ACM wrote the manuscript with

397 comments from all authors. All simulations were performed on the ARC4 HPC at the University  
398 of Leeds.

399

#### 400 **Competing interests**

401

402 The authors declare that they have no conflict of interest.

403

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405

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413

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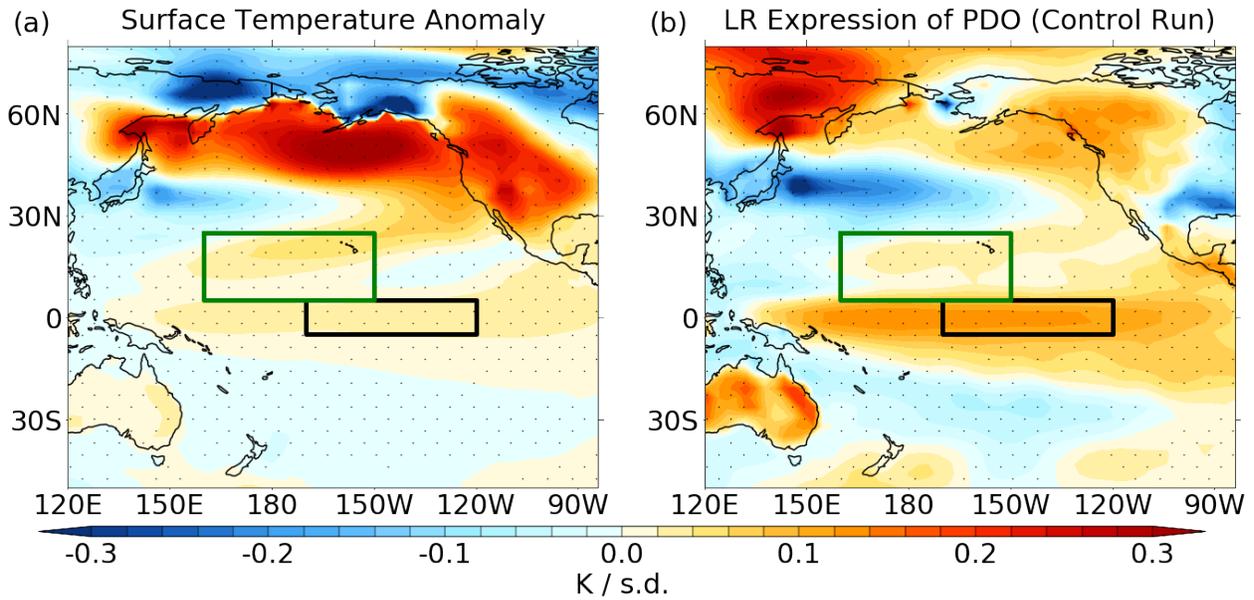
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587 **Figures**

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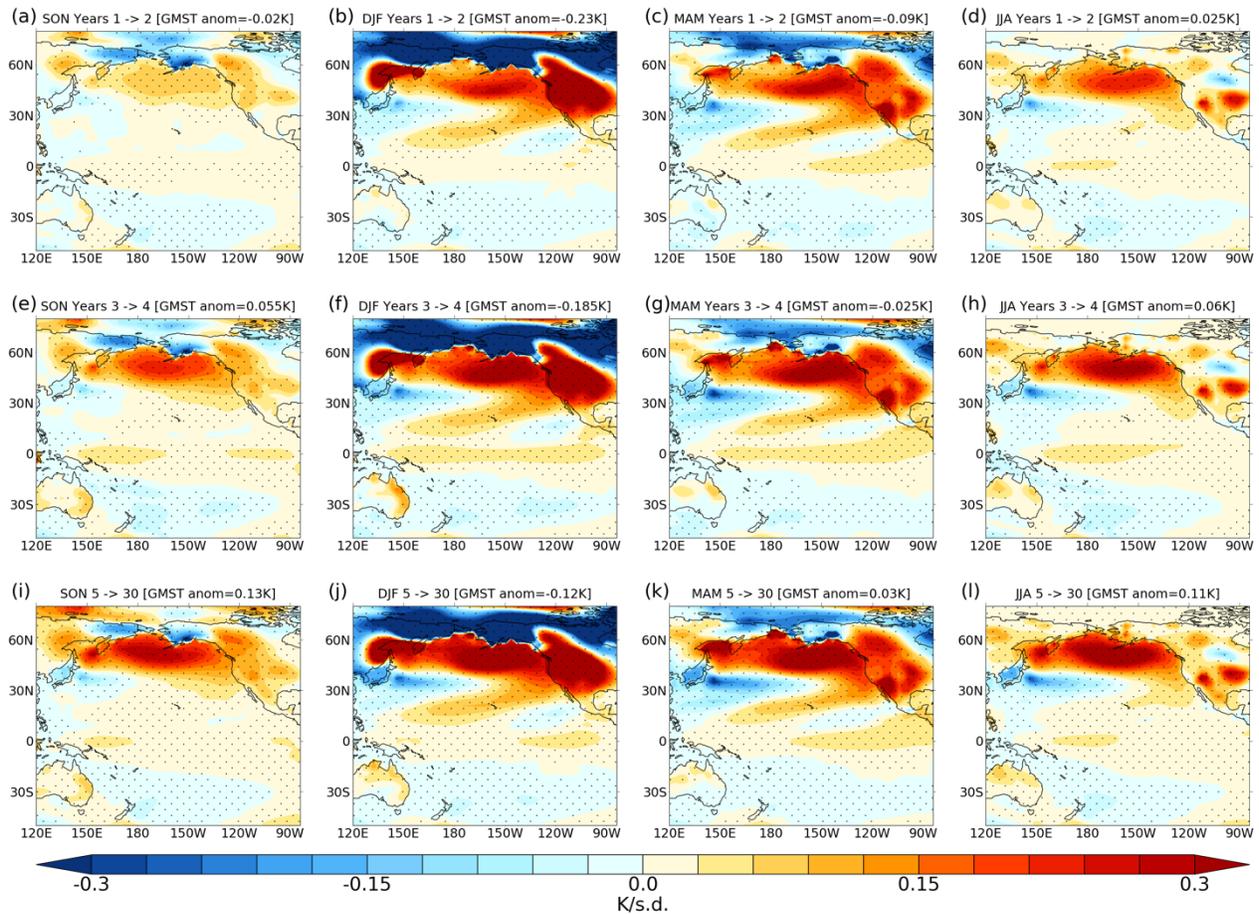


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590 **Figure 1:** Annual mean surface temperature anomalies for (a) ensemble mean anomaly  
 591 in NUDGED averaged over years 1-30; (b) linear regression (LR) onto the PDO index in  
 592 CONTROL. The anomaly between NUDGED and CONTROL is projected onto the first  
 593 EOF from the control run to generate a pseudo-PC. The anomaly is divided by the  
 594 pseudo-PC to calculate the anomaly per standard deviation of the PDO index, expressed  
 595 in a similar way to that derived from CONTROL. Units are K per standard deviation.  
 596 Stippling denotes anomalies that are significant at the 95% level. Green and black boxes  
 597 show the regions for the mixed layer heat budget analysis.

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602 **Figure 2:** Seasonal mean surface temperature anomalies in NUDGED expressed per  
 603 unit PDO index  $[K/\sigma]$  for SON, DJF, MAM and JJA. Composite anomalies are shown for  
 604 years 1-2 (a-d), years 3-4 (e-h) and years 5-30 (i-l). Global mean surface temperature  
 605 anomalies are shown in the header. Stippling denotes anomalies that are significant at  
 606 the 95% level.

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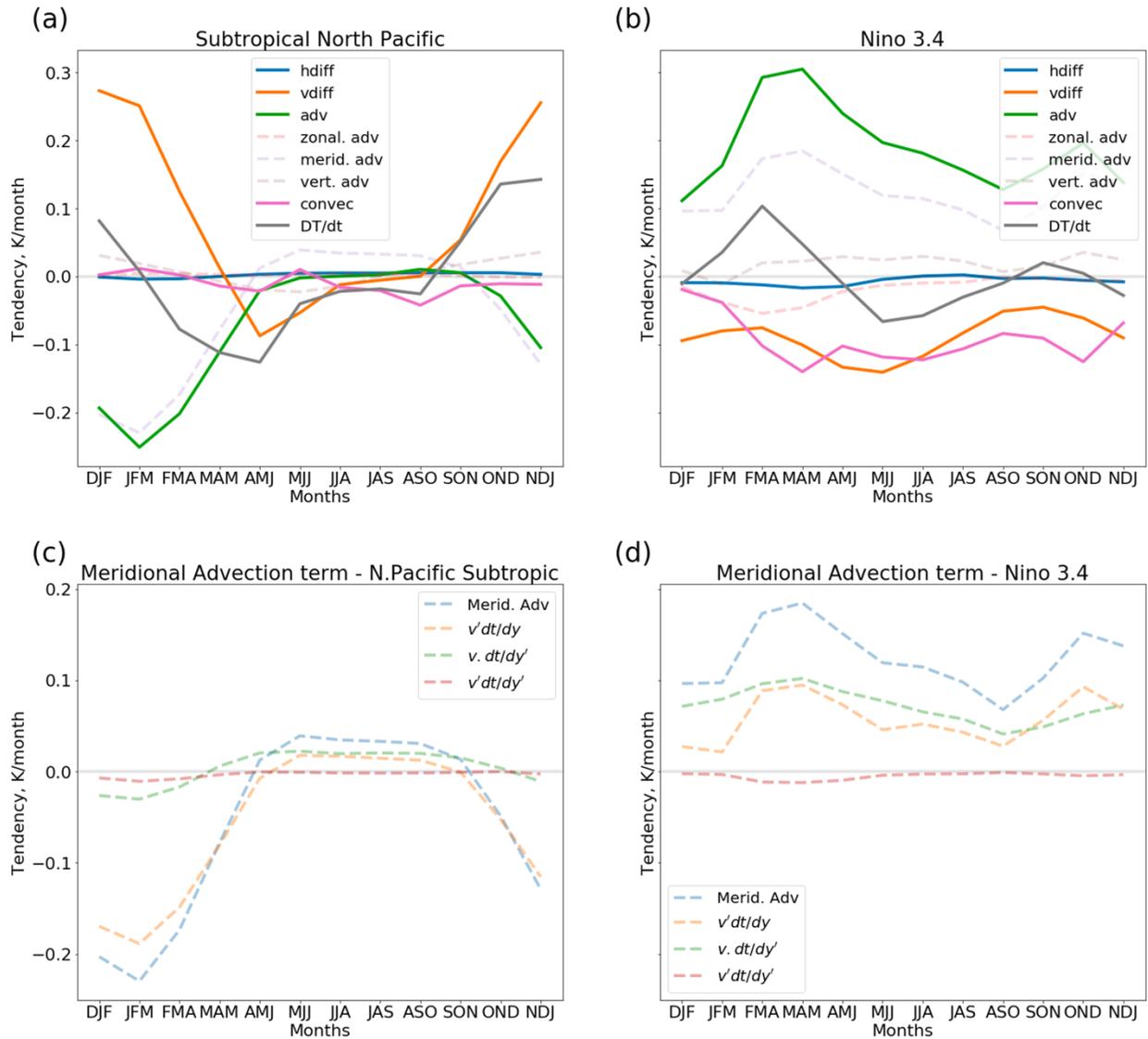
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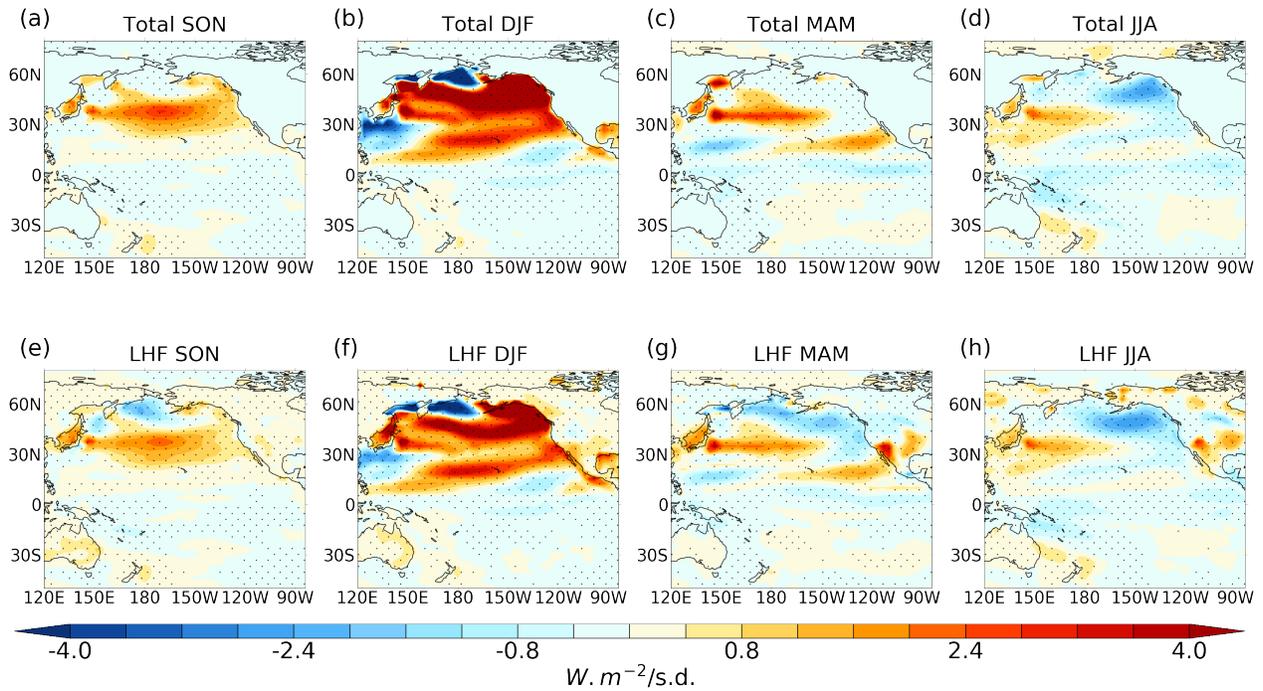


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618 **Figure 3:** Years 1-30, 3-month moving average of anomalous NUDGED minus  
619 CONTROL mixed layer temperature tendencies and constituent heat budget terms for the  
620 (a) subtropical North Pacific and (b) Niño 3.4 regions. (c,d) show the meridional advection  
621 term and its linear expansion. The subtropical North Pacific and Niño 3.4 domains are  
622 indicated by the boxes in Fig. 1.

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627 **Figure 4:** (a-d) Years 1-30 seasonal mean net surface heat flux anomalies in NUDGED.

628 (e-h): Years 1-30 seasonal mean latent heat flux anomaly in NUDGED. Positive denotes

629 downward flux. Stippling denotes anomalies that are statistically significant at the 95%

630 level. Units:  $W \cdot m^{-2}$  per standard deviation.

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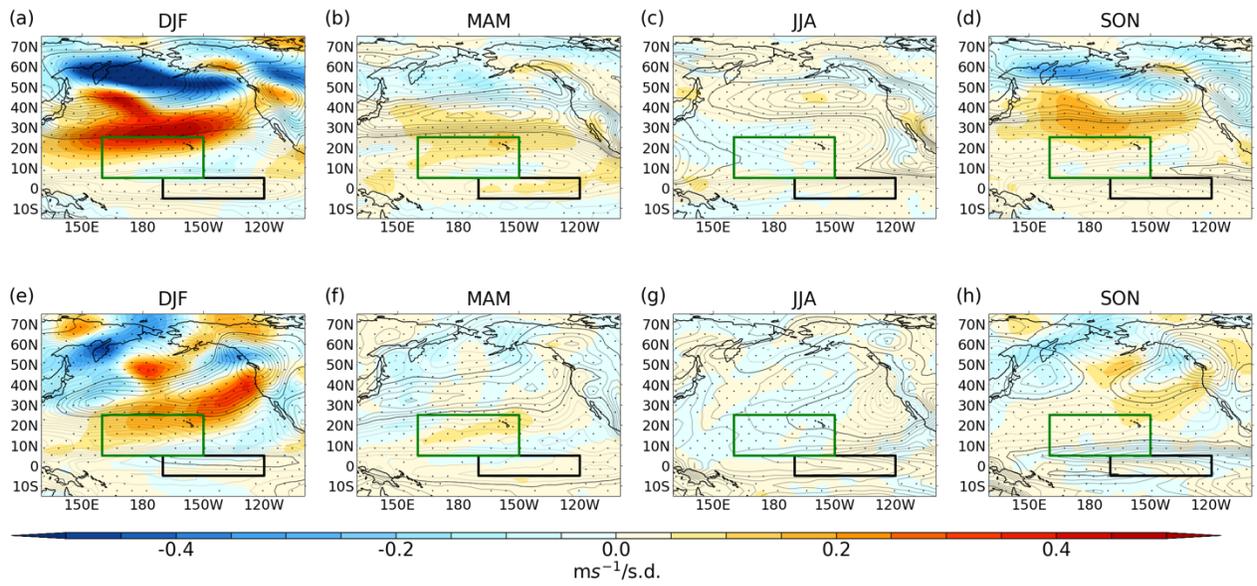
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640 **Figure 5:** Years 1-30 seasonal mean NUDGED-CONTROL near-surface (lowest model  
 641 level) wind anomalies for (a-d) zonal and (e-h) meridional wind. Contours show  
 642 climatology of CONTROL (dashed lines are negative values, contour interval 1 m s<sup>-1</sup>).  
 643 Stippling denotes anomalies that are significant at the 95% level.

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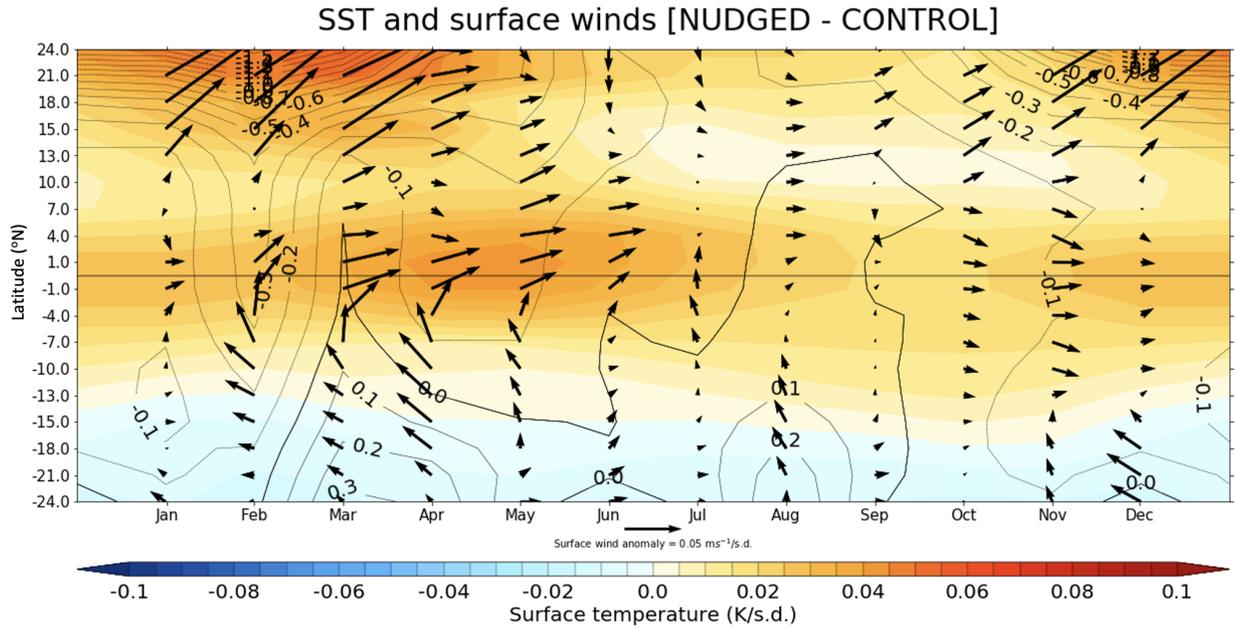
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652 **Figure 6:** Years 1-30 latitude-time section of NUDGED minus CONTROL SST anomaly  
 653 (K/ $\sigma$ : shading), surface pressure (hPa/ $\sigma$ : contours) and near-surface wind anomaly (m s<sup>-1</sup>/  
 654  $\sigma$ : vectors) averaged over the central-eastern tropical Pacific (205°W-80°W).

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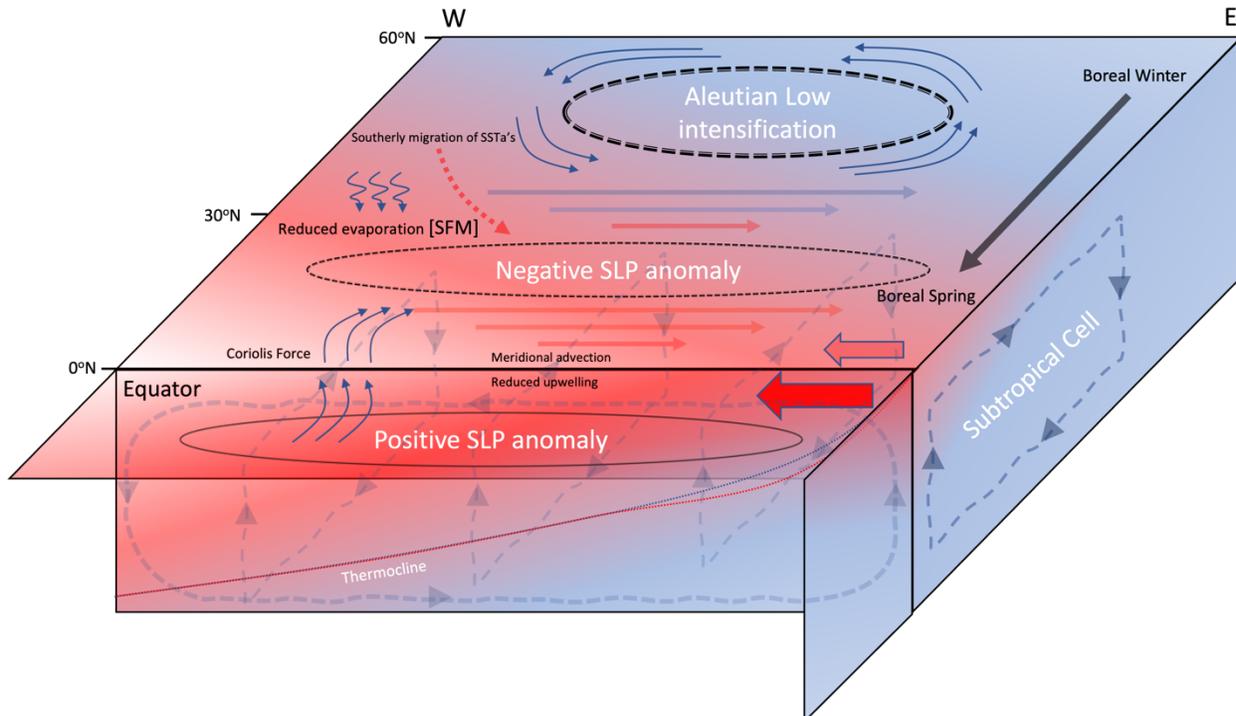
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 664 **Figure 7:** Schematic depicting the mechanisms involved in the tropical SST anomalies  
 665 manifest as a result from an intensification of the AL. An intensified AL (dashed black  
 666 line) imposed during boreal winter is associated with intensified westerlies (reduced  
 667 easterlies; solid arrows) in the subtropics and downward latent heat transfer. The  
 668 migration of the SST anomalies southward during boreal winter is associated with  
 669 westerly anomalies in the subtropics (reduced trades). The westerly anomalies act to  
 670 weaken the background trades (filled red arrow) which reduces latent heating cooling  
 671 due to decreased evaporation and hence an increase in subtropical Pacific SSTs. In the  
 672 season after nudging, the temperature asymmetry about the equator induces an SLP  
 673 gradient (solid line – positive SLP; dashed line – negative SLP) that drives southerly  
 674 winds across the equator. The Coriolis force acts to turn the southerly winds in the  
 675 southern hemisphere westward and in the northern hemisphere eastward. When these  
 676 anomalous winds are imposed on the background easterly trade winds (filled red  
 677 arrows), the southerlies south of the equator increase the wind speed and therefore  
 678 evaporative cooling, whilst north of the equator the background trades are weakened,  
 679 reducing evaporative cooling. The westerly wind anomalies along the equator deepen

680 the thermocline in the eastern tropical Pacific (red dotted line) and reduce  
681 upwelling/divergence of cooler waters at the equator.

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