

# Future tropospheric ozone budget and distribution over east Asia under a net-zero scenario

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**Abstract.** Under future net-zero emission policies, reductions in emissions of ozone ( $O_3$ ) precursors are expected to alter the temporal and spatial distributions of tropospheric  $O_3$ . In this study, we quantify changes in the tropospheric  $O_3$  budget and in the spatiotemporal distribution of surface  $O_3$  in east Asia and the contributions of regional emissions, intercontinental transport and climate change between the present day and 2060 under a net-zero scenario using the NCAR Community Earth System Model (CESM) with online tagging of  $O_3$  and its precursors. The results reveal that the global tropospheric  $O_3$  burden is likely to decrease by more than 20 %, from 316 Tg in the present day to 247 Tg in 2060, under a net-zero scenario. The burden of stratospheric  $O_3$  in the troposphere is expected to increase from 69 to 77 Tg. The mean lifetime of tropospheric  $O_3$  is expected to increase by 2 d ( $\sim 10\%$ ). Changes in climate under a net-zero pathway are relatively small and only lead to small increases in tropospheric  $O_3$ . Over eastern China, surface  $O_3$  increases in winter due to the weakened titration of  $O_3$  by NO associated with reduced anthropogenic NO emissions and due to enhanced stratospheric input. In summer, surface  $O_3$  decreases by more than 30 ppbv, and peak concentrations shift from July to May. Local contributions from anthropogenic emissions to surface  $O_3$  over east Asia are highest in summer but drop substantially, from 30 % to 14 %, under a net-zero scenario. The contribution of biogenic NO sources is enhanced and forms the dominant contributor to future surface  $O_3$ , especially in summer ( $\sim 40\%$ ). This enhanced contribution is mainly due to the increased  $O_3$  production efficiency under lower anthropogenic precursor emissions. Over eastern China, local anthropogenic contributions decrease from 50 % to 30 %. The decreases in surface  $O_3$  are strongly beneficial and are more than sufficient to counteract the increases in surface  $O_3$  observed in China over recent years. This study thus highlights the important co-benefits of net-zero policies that target climate change in addressing surface  $O_3$  pollution over east Asia.

## 1 Introduction

Although ozone ( $O_3$ ) occurs naturally in small quantities in the lower troposphere, unhealthy levels of tropospheric  $O_3$  are created when high levels of anthropogenic pollutants, such as nitrogen oxides ( $NO_x$ ), and volatile organic compounds (VOCs) are oxidized in the presence of solar radiation. This excess  $O_3$  acts as a pollutant and greenhouse gas, contributing to harmful smog that damages human health and ecosystems (Jerrett et al., 2009; Malley et al., 2017; Ember-  
son, 2020) and contributing to higher tropospheric temperatures (Myhre et al., 2013; Stevenson et al., 2013). The relatively short lifetime of  $O_3$  in the troposphere ( $\sim 3$  weeks; Young et al., 2013) means that it is classified as a near-term climate forcer (NTCF), having an important influence on climate over shorter timescales compared to the long-lived greenhouse gases such as  $CO_2$ . Tropospheric  $O_3$  is also an oxidant and a precursor for the hydroxyl (OH) radical (Griffiths et al., 2021). OH (and, by implication,  $O_3$ ) controls the lifetime of methane (Voulgarakis et al., 2013), the second most important anthropogenic greenhouse gas after carbon dioxide (Myhre et al., 2013). Oxidant levels mediate the formation of secondary aerosols such as sulfate and nitrate and play a major role in the aerosol budget and burden, with important consequences for radiative forcing (Shindell et al., 2009; Karset et al., 2018). Understanding how tropospheric  $O_3$  changes is important for both future air quality and climate (Turnock et al., 2019).

A multi-model assessment of future changes in tropospheric  $O_3$  was made in the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP), using future changes in climate and  $O_3$  precursor emissions from the Representative Concentration Pathways (RCPs) (Lamarque et al., 2013). The models participating in ACCMIP projected changes in global annual mean surface  $O_3$  concentrations between 2000 and 2030 of  $\pm 1.5$  ppbv under the different RCPs (Young et al., 2013). More recent single-model estimates by O'Connor et al. (2014) and Kim et al. (2015) predict surface  $O_3$  responses across the different RCPs of between  $-4.0$  and  $+2.0$  ppbv by 2050 relative to 2000. The global annual mean tropospheric  $O_3$  burden was projected to change by between  $-18\%$  and  $+20\%$  from 2000 to 2100 under the different RCPs (Cionni et al., 2011; Kawase et al., 2011; O'Connor et al., 2014; Young et al., 2013). Whether tropospheric  $O_3$  increases or decreases in future is dependent on the climate mitigation measures and air pollution policies that are implemented. In preparation for the sixth Coupled Model Intercomparison Project (CMIP6), a new set of future pathways was created. Five different socio-economic pathways (SSPs) were developed with centennial trends based on different combinations of social, economic and environmental developments (O'Neill et al., 2014). Different levels of emission mitigation were included within each SSP to meet particular climate and air pollution targets (Rao et al., 2017; Riahi et al., 2017). They incorporate stronger links

between socio-economic development patterns and climate change risks than previous assessments and provide better hypothetical scenarios for future projections. The five most widely used scenarios are SSP1-1.9, SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5, where SSP1–SSP5 represent differing socio-economic pathways, and the suffixes 1.9–8.5 indicate the total radiative forcing ( $W m^{-2}$ ) at the end of the 21st century compared with that before the Industrial Revolution. These pathways provide a good foundation for an assessment of air quality, radiative forcing, ecological environmental effects and human health effects in the future. Many studies have focused on the pessimistic SSP3-7.0 scenario reflecting regional rivalry, and Griffiths et al. (2021) demonstrate that the tropospheric  $O_3$  burden increases from  $356 \pm 31$  Tg in the present day to  $416 \pm 35$  Tg in 2100 under this pathway. Liu et al. (2022) show that the tropospheric  $O_3$  burden increases by 4% between 2010 and 2050 under SSP3-7.0. Based on multi-model simulations conducted for the Aerosol and Chemistry Model Intercomparison Project (AerChemMIP), Allen et al. (2020) and Zanis et al. (2022) reveal a global surface  $O_3$  decrease in the future under the SSP3-7.0 scenario due to enhanced ozone destruction from higher water vapor abundances under a warmer climate. The sustainability-focused SSP1-1.9 pathway is the scenario that is mostly closely aligned with recent pledges aiming for net zero greenhouse gas emissions, limiting warming to  $1.5^\circ C$  by 2100, but the impacts of this pathway on tropospheric  $O_3$  are less well studied and remain unclear.

In east Asia, surface  $O_3$  has increased rapidly since 2000 (Lu et al., 2020) and is expected to increase by another  $\sim 10$  ppbv by 2050 following the IPCC A1B (Wang et al., 2013), RCP6.0 (Zhu and Liao, 2016) and RCP4.5 (Hong et al., 2019) scenarios. In September 2020, China committed to achieving carbon neutrality by 2060, following the commitments of many developed countries to achieving net-zero emissions by 2050. The effect of these strong mitigation measures on surface  $O_3$  has not been explored thoroughly, but the proposed emission pathway to net zero loosely aligns with the SSP1-1.9 pathway. Turnock et al. (2019) showed large reductions of more than 8 ppbv in surface  $O_3$  over east Asia by 2050 under this pathway due to large reductions in precursor emissions and  $CH_4$ . The study also shows that any benefits to surface  $O_3$  from reducing local emission sources over east Asia could be offset by intercontinental transport of  $O_3$  formed from sources remote to the region and from global  $CH_4$  sources. This analysis used an  $O_3$  parameterization to rapidly assess changes in  $O_3$  and source attribution (Wild et al., 2012; Turnock et al., 2018) which did not account for changes in climate, stratosphere-to-troposphere exchange or chemical regime. Other recent assessments exploring the implications of carbon neutrality in China have suggested that  $O_3$  concentrations may decline to  $63\text{--}94 \mu g m^{-3}$  by 2060 (Shi et al., 2021; Wang and Liao, 2022; Xu et al., 2022). The differences between these results have been attributed to the emission and climate scenarios used. Wang

and Liao (2022) also found that the annual mean contribution of southeast Asia to surface MDA8 O<sub>3</sub> in China is 3–19 μg m<sup>-3</sup>, about 2–10 ppbv, and this contribution is reduced in future under the SSP1-1.9 pathway.

While previous studies have quantified possible changes in surface O<sub>3</sub> under carbon neutrality, the wider impact on the global tropospheric O<sub>3</sub> budget and the changing contributions of different sources remain unclear. In this study, we quantify the changes in surface O<sub>3</sub> over east Asia and especially over eastern China, which currently has high anthropogenic emissions, and consider the contribution of different sources based on emissions and climate change under the SSP1-1.9 pathway using the NCAR Community Earth System Model (CESM) with online tagging of O<sub>3</sub> and its precursors. We present a self-consistent assessment of the changes in surface O<sub>3</sub> associated with changes in emissions and climate, along with the first attribution of these changes. The paper is organized as follows. Section 2 describes the model configurations, experimental settings, O<sub>3</sub>-tagging method and evaluation datasets. In Sect. 3, O<sub>3</sub> and NO<sub>x</sub> in present-day simulations are evaluated against observations. In Sect. 4, changes in tropospheric O<sub>3</sub> under the net-zero scenario are presented. In Sect. 5, the contributions of O<sub>3</sub> chemistry and intercontinental transport are discussed under present-day and future conditions. We close with a summary in Sect. 6.

## 2 Materials and methods

### 2.1 Model configurations and experiments

The NCAR CESM is a coupled climate model incorporating components for simulating the Earth's atmosphere, ocean, land, land ice and sea ice (e.g., Neale et al., 2013; Lamarque et al., 2012; Tilmes et al., 2015; Danabasoglu et al., 2020), allowing fundamental research into the Earth's past, present and future climate states. CESM showed excellent performance in CMIP6 (Eyring et al., 2016; Fan et al., 2020; Yang et al., 2021). The experiments here use CESM version 1.2.2 (<https://www.cesm.ucar.edu/models/cesm1.2/>, last access: 30 November 2023) and the latest version 2.2.0 (<https://www.cesm.ucar.edu/models/cesm2/>, last access: 30 November 2023) to reproduce present-day O<sub>3</sub> mixing ratios and to predict O<sub>3</sub> responses to emissions and climate in the future under the SSP1-1.9 pathway. All model simulations are performed with prescribed sea surface temperatures and sea ice distribution data for climatological conditions in the present day and future net zero since we focus on the atmospheric component. Dry deposition of gases and aerosols is implemented in the Community Land Model (Oleson et al., 2010) as described in Lamarque et al. (2012).

Atmospheric chemistry of gas phase and aerosol species in the global Community Atmosphere Model (CAM version 4, Neale et al., 2013; CAM version 6, Danabasoglu et al., 2020), the atmospheric component of CESM, is represented by CAM-chem. CAM-chem provides the flexibility of using the same code to perform climate simulations (online) and simulations with specified meteorological fields (offline). The chemical mechanism is based on the Model for Ozone and Related chemical Tracers (MOZART) version 4 mechanism for the troposphere (Emmons et al., 2010), extended for stratospheric chemistry (Kinnison et al., 2007), with further updates as described in Lamarque et al. (2012), including additional reaction rate updates following JPL-2010 recommendations (Sander et al., 2011).

In this paper, offline simulations are used to investigate the effect of emission changes on tropospheric O<sub>3</sub> under fixed meteorological parameters, while online simulations are used for the effects of emission and climate changes with a two-way feedback of atmospheric components and meteorological parameters. Two different versions of CESM are used in this study due to the application of online tagging of O<sub>3</sub> and its precursors, which is only fully tested and evaluated in CESM1. The use of a similar chemical mechanism (MOZART) in different model versions may reduce the uncertainties in the simulation results. All simulations discussed in this paper are performed at a horizontal resolution of 1.9° (latitude) and 2.5° (longitude). The model has 26 vertical levels in the online configuration and 56 levels in the offline configuration using specified meteorological fields; in all these cases, the model extends to approximately 4 hPa (≈ 40 km). Offline simulations were driven by Modern Era Retrospective analysis for Research and Applications version 2 (MERRA2) meteorology (Rienecker et al., 2011). Simulations using present-day emissions (2015) are labeled PD, while those using future net-zero emissions (2060) are labeled NZ, and these are prefixed with online or offline depending on whether the model is run online or driven by MERRA2 meteorology. To ensure the stability of the response to climate change, the future online simulations are run for 15 years, with the first 10 years being used as spin-up. The CH<sub>4</sub> concentrations are prescribed following the SSP1-1.9 pathway using a fixed lower-boundary condition. A summary of the simulations is provided in Table 1.

2.2 Emissions

For this analysis, we use estimates of global future anthropogenic and biomass burning emissions and future abundances of greenhouse gases and aerosols provided by the SSP1-1.9 pathway (<https://esgf-node.llnl.gov/projects/input4mips/>, last access: 30 November 2023) along with more recent estimates for China using the Ambitious-pollution-Neutral-goals scenario from the Dynamic Projection model for Emissions in China (DPEC, <http://meicmodel.org/>, last access: 30 November 2023). The SSP1-1.9 pathway results in a climate radiative forcing of 1.9 W m<sup>-2</sup> by 2100 under the sustainable-development path. The SSP1-1.9 pathway is a strong pollution control scenario and is the only route to limit the global average temperature increase since

### 2.2 Emissions

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**Table 1.** Experimental settings.

Case name	Climate change and emissions		Emissions	
	Online-PD	Online-NZ	Offline-PD	Offline-NZ
Model	CESM1.2.2	CESM1.2.2	CESM2.2.0	CESM2.2.0
Component	FMOZ	FMOZ	FCSD	FCSD
Physics	CAM4	CAM4	CAM6	CAM6
Chemical mechanism	Tropospheric chemistry with bulk aerosols, MOZART-4		Troposphere/stratosphere chemistry with simplified VBS-SOA, MOZART-TS1	
Dynamics	Free running	Free running	MERRA2 nudging	MERRA2 nudging
Spin-up	2012–2014	2050–2059	2014	2014
Analyzed year	2015–2016	2060–2064	2015	2015
Resolution	1.9° × 2.5° with 26 levels	1.9° × 2.5° with 26 levels	1.9° × 2.5° with 56 levels	1.9° × 2.5° with 56 levels
Emission	in China	2015–DPEC	2060–DPEC	2060–DPEC
	outside China	2015–SSP119	2060–SSP119	2060–SSP119
CH <sub>4</sub>	2015–SSP119	2060–SSP119	2015–SSP119	2060–SSP119

the preindustrial period to 1.5 °C by 2100 (O'Neill et al., 2014; Rao et al., 2017; Riahi et al., 2017). The emissions inventory includes monthly **CE1** O<sub>3</sub> precursors, aerosols and their precursors (NO<sub>x</sub>, CO, non-methane volatile organic (VOCs), sulfur dioxide (SO<sub>2</sub>), ammonia (NH<sub>3</sub>), black carbon (BC), organic carbon (OC), dimethyl sulfide (DMS)) and concentrations of greenhouse gases such as CH<sub>4</sub>. Biogenic emissions of VOCs are calculated online in CESM using the Model of Emissions of Gases and Aerosols from Nature model (MEGAN; Guenther et al., 2006, 2012). We use emissions for the years 2015 and 2060. Over China, the anthropogenic emissions are replaced by the Ambitious-pollution-Neutral-goals scenario from DPEC (Tong et al., 2020; Cheng et al., 2021). This considers a scenario in which China achieves carbon neutrality by 2060. The DPEC anthropogenic emissions are based on SSP scenarios and MEIC but give anthropogenic emissions at a higher resolution in China, which more accurately characterizes China's emission sources and reflects recent rapid changes in emissions. The total anthropogenic NO emission in China in the Ambitious-pollution-Neutral-goals scenario from DPEC is 1.1 Tg yr<sup>-1</sup> lower in the present day than in SSP1-1.9 and 1.5 Tg yr<sup>-1</sup> lower in 2060, but in most regions of eastern China, it is slightly higher. The combined emission distributions for NO<sub>x</sub> and its changes in future are shown in Fig. S1 in the Supplement. The total annual mean surface emissions of key pollutants from anthropogenic (ANT), biomass burning (BB) and biogenic (BIO) sources for the present day (2015) and future net zero (2060) over the globe and in east Asia are listed in Table 2.

The global anthropogenic emissions of all O<sub>3</sub> precursors are significantly reduced in the net-zero scenario. Due to strict control policies on pollutant emissions and changes in technology and behavior, global anthropogenic NO emissions decrease from 87 Tg yr<sup>-1</sup> in the present day to 19 Tg yr<sup>-1</sup> in 2060, and total anthropogenic VOC emissions decrease from 125 to 28 Tg yr<sup>-1</sup>. Biomass burning emissions also decrease slightly. Natural NO soil emission, VOC biogenic emission and CO ocean emission are assumed not to change in this study as changes in land use are relatively

small. Anthropogenic emissions over east Asia account for more than 35 % of the global total, with biomass combustion emissions accounting for a smaller proportion at ~ 10 % and with natural emissions of NO, VOCs and CO accounting for ~ 20 %. The decrease of anthropogenic emissions over east Asia (about 80 % for NO) is greater than the global average of > 70 %, which may be due to the high present-day emissions over the region, especially in eastern China. The global CH<sub>4</sub> concentration decreases from the current 1831 ppbv to 1312 ppbv due to the lower global CH<sub>4</sub> emissions under net zero.

### 2.3 Tagging of ozone

In this study, we use the Tropospheric Ozone Attribution of Sources with Tagging (TOAST) ozone methodology in CESM1.2.2 previously described by Butler et al. (2018, 2020) to perform separate source attributions of ground-level O<sub>3</sub> to NO<sub>x</sub>. The parameterizations based on the work of Butler et al. (2018, 2020) include tagging the biogenic, biomass burning and anthropogenic emissions of NO<sub>x</sub> or VOCs by their geographical source regions. This tagging methodology allows us to examine the seasonal cycle of the surface O<sub>3</sub> attribution in receptor regions using the geographical locations defined in the Hemispheric Transport of Air Pollutants Phase 2 (HTAP2, Janssens-Maenhout et al., 2015; Koffi et al., 2016). We consider 16 sources, including 11 geographical source regions for anthropogenic NO<sub>x</sub> emission, shown in Table 3 and Fig. 1; NO<sub>x</sub> emissions from biogenic sources (BIO); biomass burning (BB); aircraft (AIR) and lightning (LIG); and O<sub>3</sub> originating in the stratosphere (STR).

### 2.4 Measurement data

To evaluate tropospheric column O<sub>3</sub> in the model simulations, we use a present-day satellite dataset of tropospheric column O<sub>3</sub> which was derived by combining retrievals from the Aura Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder (MLS) observations ([https://acd-ext.gsfc.nasa.gov/Data\\_services/cloud\\_slice/](https://acd-ext.gsfc.nasa.gov/Data_services/cloud_slice/), last ac-

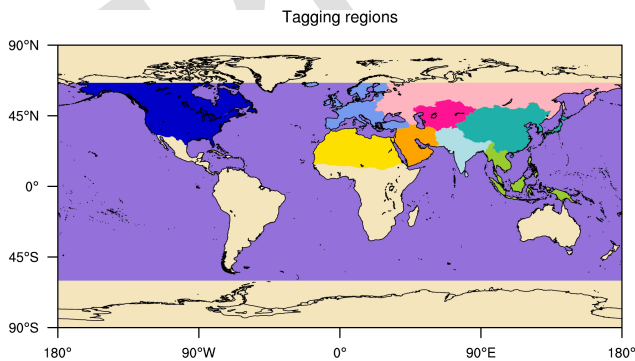


**Table 2.** Annual mean time-varying surface emissions of  $\text{NO}_x$ , VOCs and CO from anthropogenic (ANT), biomass burning (BB) and biogenic (BIO) emissions for the present day (2015) and future (2060, net zero) in east Asia and over the globe. Annual mean surface  $\text{CH}_4$  mixing ratios (ppbv) are also shown.

Emission ( $\text{Tg yr}^{-1}$ )		Globe		East Asia	
		Present day	Net zero	Present day	Net zero
NO	ANT	87.5	19.1	36.9	7.5
	BB	8.9	7.5	0.7	0.5
	Soil	10.6	10.6	2.3	2.3
	Total	106.9	37.2	39.8	10.2
VOCs	ANT	125.0	27.5	42.9	11.0
	BB	66.6	50.2	6.3	4.0
	BIO	868.5	868.5	111.0	111.0
	Total	1060.1	946.2	160.3	126.0
CO	ANT	559.8	151.7	266.9	72.7
	BB	325.5	248.2	30.2	18.9
	Ocean	20.0	20.0	1.3	1.3
	Total	905.2	419.9	298.4	92.9
$\text{CH}_4$ (ppbv)		1830.5	1312.2	1860.8	1337.3

**Table 3.** Source sector tagging of anthropogenic  $\text{NO}_x$  emissions by geographical source region,  $\text{NO}_x$  emissions from biogenic burning, soil emission, aircraft and lightning and the contribution of stratospheric  $\text{O}_3$  input.

ID	Geographical region, $\text{NO}_x$	ID	Geographical region, $\text{NO}_x$	ID	Source
OCN	Oceans	NAF	Northern Africa	BIO	Biogenic $\text{NO}_x$
NAM	N. America	MDE	Middle East	BB	Bioburn $\text{NO}_x$
EUR	Europe	CAS	Central Asia	AIR	Aircraft $\text{NO}_x$
SAS	South Asia	SEA	Southeast Asia	LIG	Lightning $\text{NO}_x$
EAS	East Asia	RBU	Russia, Belarus, Ukraine	STR	Stratospheric $\text{O}_3$
RST	Rest of world				



**Figure 1.** Geographical source regions for tagging anthropogenic  $\text{NO}_x$  emissions in this study, as defined in HTAP Phase 2.

cess: 30 November 2023). More details about the generation of this dataset are provided by Ziemke et al. (2011). The dataset resolution used in this study is  $1^\circ$  (latitude)  $\times$   $1.25^\circ$  (longitude), and the year is 2015. The monthly mean ther-

mal tropopause pressure is used to separate tropospheric and stratospheric  $\text{O}_3$  for the model results and satellite observations.

A high-resolution Air Quality Reanalysis Dataset over China (CAQRA, Kong et al., 2021; Tang et al., 2020a, b) is used to evaluate the simulated present-day surface  $\text{O}_3$  over China. This dataset is generated by assimilating surface observations from the China National Environmental Monitoring Centre (CNEMC) into the Nested Air Quality Prediction Modeling System (Tang et al., 2011; Wang et al., 2000), and it provides self-consistent concentration fields of  $\text{O}_3$  in China from 2013 to 2019 at high spatial (15 km) and temporal (1 h) resolutions. The year used in this study is 2015.

In addition, monthly observational surface  $\text{O}_3$  concentration are taken from 12 regional stations of the Acid Deposition Monitoring Network in east Asia (EANET; <https://www.eanet.asia/>, last access: 30 November 2023) for 2015: Rishiri, Ochiishi, Tappi, Sado-Seki, Happo, Oki, Ysuhara,

Hedo, Mondy, Listvyanka, Kanghwa and Cheju. The locations and altitudes of these sites are shown in Fig. S2.

### 3 Tropospheric ozone evaluation

We compared the simulated monthly mean tropospheric column  $O_3$  (TCO) with that derived from OMI/MLS for January and July in 2015 (Fig. 2). The model captures the general features of the observed tropospheric column, reproducing the seasonal pattern, with a minimum of 15 DU at  $180^\circ$  E in the tropics during January and a maximum of  $> 50$  DU in the Northern Hemisphere at mid-latitudes during July. The highest values in the northern mid-latitudes are overestimated in both offline and online simulations, especially during July. In the simulations, TCO was calculated by integrating the  $O_3$  from the surface to the tropopause. Some of the differences between the simulated TCO and OMI/MLS may be due to the relatively coarse vertical resolution of the model (26 levels in online simulations and 56 levels in offline simulations). Uncertainty in the satellite dataset (exceeding 5 DU in high latitudes; Ziemke et al., 2011) might also contribute to these differences. The accuracy of the emission inventory also affects the simulation results, especially at the surface. The global ( $60^\circ$  S– $60^\circ$  N) annual mean tropospheric  $O_3$  columns from the offline and online simulations are 29.0 and 32.3 DU, respectively, which match those from OMI/MLS (31.7 DU) and the ACCMIP models' mean values (30.8 DU; Young et al., 2013) well. The online simulated tropospheric ozone column global annual average is the highest due to the coarser vertical resolution in the online simulation (Lamarque et al., 2012).

As shown in Fig. 3, surface  $O_3$  shows substantial seasonal variations with low concentrations in winter and high concentrations in summer. The spatial distributions of simulated surface  $O_3$  concentrations match the observations well. The online simulated surface  $O_3$  (ppbv) is overestimated by 9.2 ppbv on average in winter, especially in Mongolia, north and middle of China, Korea, and Japan, while the offline simulation is much closer to the observation with a bias of 3.6 ppbv. The coarser resolution of the online model is likely a reason for its higher bias. The comparison of simulated surface  $O_3$  (ppbv) with EANET observations shows that the simulations reproduce the seasonal variations at these 12 sites (Fig. S2 in the Supplement). In general, the performance of these simulations is very similar to those from other chemical model studies (Li et al., 2019; Young et al., 2018).

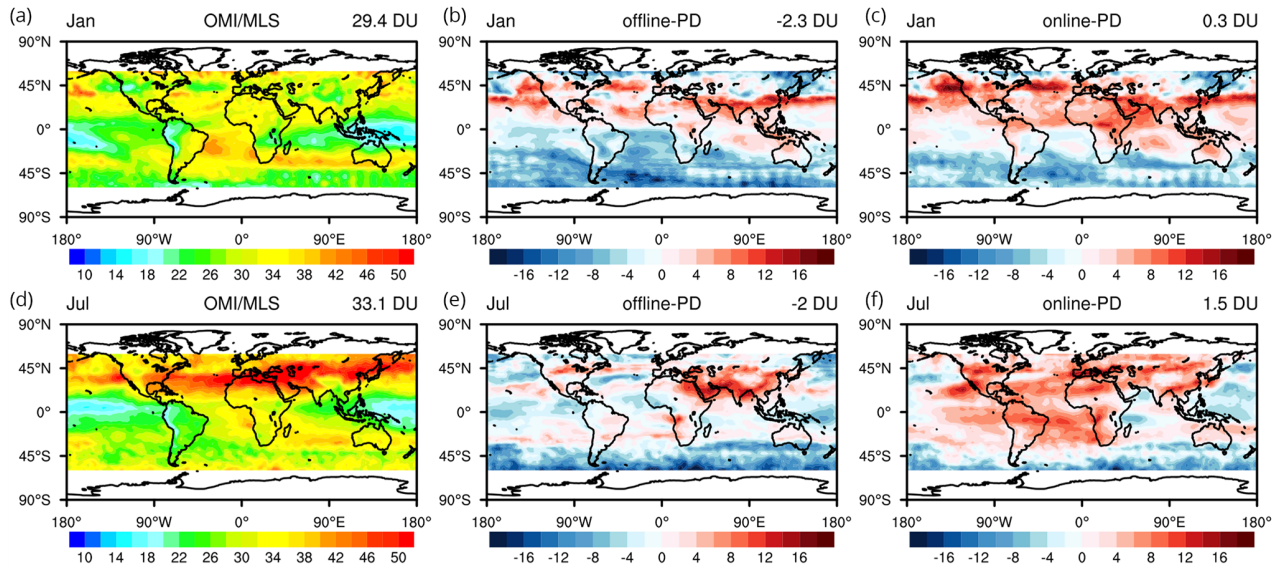
### 4 Tropospheric ozone budgets and distributions under the net-zero scenario

An overview of the global model diagnostics for the simulation experiments is given in Table 4. The tropospheric  $O_3$  burden and budget terms for present-day conditions in this study match previous results well. Under

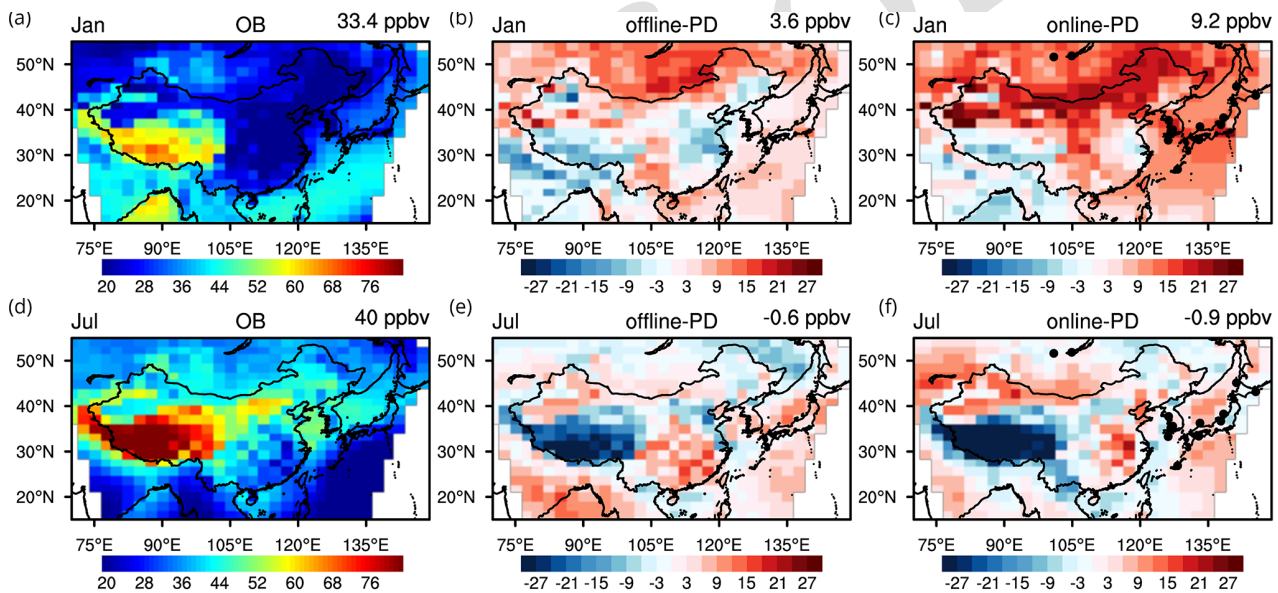
net zero, the chemical production decreases from 5038 to 3392  $Tg(O_3)yr^{-1}$ , and the chemical loss decreases from 4641 to 3311  $Tg(O_3)yr^{-1}$ . The net chemical tendency of tropospheric  $O_3$  (NetChem in Table 4) drops substantially, decreasing from the current 397 to 81  $Tg(O_3)yr^{-1}$  due to the large reduction in  $O_3$  precursor emissions (Table 2). This results in an increase in the lifetime of tropospheric  $O_3$  from 20 to 22 d. The residual term, which principally reflects net transport from the stratosphere, increases from the current 595 to 626  $Tg(O_3)yr^{-1}$ . The global tropospheric  $O_3$  burden decreases by about 20%, from 316 to 247 Tg, bringing it close to the mean burden of  $239 \pm 22$  Tg estimated for the pre-industrial period (Young et al., 2013; Griffiths et al., 2021). The burden of  $O_3$  of stratospheric origin in the troposphere ( $O_3S$ ) increases from 69 to 77 Tg. This increased stratospheric contribution may be due to the enhancement of stratospheric circulation and increased stratosphere–troposphere exchange caused by climate change (Sudo et al., 2003; Lu et al., 2019) and has been seen in previous studies (e.g., Zanis et al., 2022). In addition, the longer chemical lifetime allows stratospheric  $O_3$  to persist for longer in the troposphere, enhancing the stratospheric contribution. Compared with pre-industrial conditions (Griffiths et al., 2021; Table 4), the net chemical production rate is slower, while the stratospheric contribution is higher. This may indicate that anthropogenic influence is somewhat weaker than that in the pre-industrial. Compared with other SSP scenarios, particularly the much-studied SSP3-7.0 pathway (Liu et al., 2022; Griffiths et al., 2021), SSP1-1.9 provides a more positive perspective on the opportunities for controlling future tropospheric  $O_3$  and the benefits for air quality.

Over east Asia, the net photochemical production of tropospheric  $O_3$  also decreases significantly, from the current 227 to 137  $Tg(O_3)yr^{-1}$  under net zero, but the reduction is less than the global average, and this is attributed to the higher emissions and smaller reductions in precursors over east Asia. The negative residual budget term for east Asia indicates that the production is larger than the sink, and the total contribution of vertical and horizontal transport from outside of east Asia is negative. This indicates that there is net outflow from east Asia with transport of tropospheric  $O_3$  to other regions, and this outflow is weakened in the future, from 89  $Tg(O_3)yr^{-1}$  under present-day conditions to 38  $Tg(O_3)yr^{-1}$  under net zero. The tropospheric  $O_3$  burden in east Asia decreases from 25 to 19 Tg, while the burden of  $O_3$  from the stratosphere increases slightly from 5 to 6 Tg. The tropospheric  $O_3$  lifetime in east Asia is 15 d, slightly lower than the global average due to the faster photochemical processing under relatively high anthropogenic emissions. But the increase of  $\sim 2$  d matches that of the global average.

The changes in surface  $O_3$  over east Asia between 2015 and 2060 in winter and summer are shown in Fig. 4. The left panels show the changes in surface  $O_3$  under net zero (online-NZ minus online-PD), which include the effects of



**Figure 2.** Tropospheric column O<sub>3</sub> (DU) from OMI/MLS (a, d) and the biases of offline (b, e) and online (c, f) simulations for January and July under present-day conditions. The biases are the simulated result minus the satellite (OML/MLS) result. The values in the right corner of each sub-figure are the average over the globe (−60° S to 60° N).



**Figure 3.** Surface O<sub>3</sub> mixing ratios in east Asia (ppbv) from the CAQRA reanalysis (a, d) and the biases from offline (b, e) and online (c, f) present-day simulations in January and July. The biases are the simulations minus the observations, and black dots show the locations of EANET observation sites. The values in the right corner of each sub-figure are the regional mean for east Asia (15–55° N, 70–149° E).

climate change and emissions changes. The climate change in this study includes changes in atmospheric parameters (air temperature, relative humidity, atmospheric circulation, etc.) from the free run of atmospheric simulation experiments (online). The climate change under SSP1-1.9 is much weaker than under other pathways, and the change in global surface air temperature in this study is not significant (Fig. S3 in Supplement). Over east Asia, the surface air temperature is

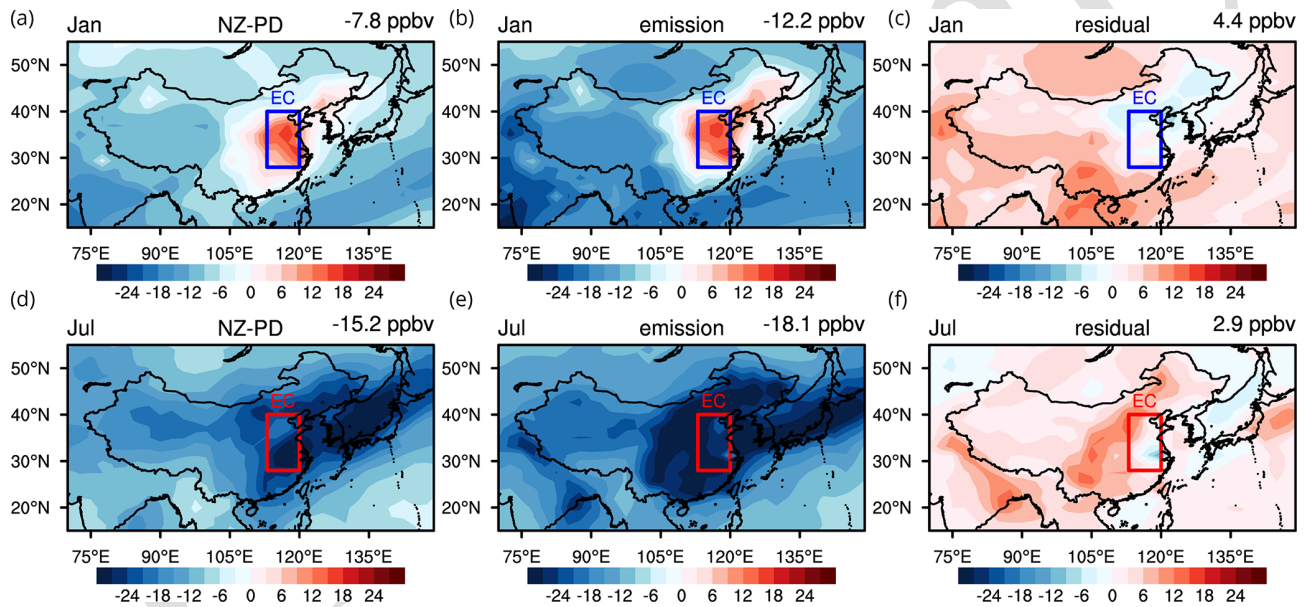
increased by an annual average of 0.2 °C. The middle panels show the changes in surface O<sub>3</sub> under the effect of emission changes only (offline-NZ minus offline-PD). The right panels show the residual changes in surface O<sub>3</sub> which reflect the effect of climate change but are also influenced by differences in the model setup between the online and offline simulations (left panels minus middle panels). Surface O<sub>3</sub> decreases in east Asia under net zero, with a mean reduction of 7.7 ppbv



**Table 4.** Global tropospheric O<sub>3</sub> burden (Tg) and budget terms (Tg yr<sup>-1</sup>) in chemical transport models. Bold font represents the simulated results of this study. [TS1](#)

Models	Prod	Loss	NetChem	Residual	DryDep	Burden (O <sub>3</sub> /O <sub>3</sub> S)	Lifetime (days)	Reference
Globe				STE				
33	3948 ± 761	3745 ± 554	245 ± 346	636 ± 273	902 ± 255	307 ± 38	21–25	Wild (2007)
17	4465 ± 514	4114 ± 409	396 ± 247	529 ± 105	949 ± 222	314 ± 33	22 ± 2	Stevenson et al. (2006)
15	5110 ± 606	4668 ± 727	442 ± 309	552 ± 168	1003 ± 200	344 ± 39	22 ± 2	Young et al. (2013)
PI	2549	2437	112	415	528	241	29	Griffiths et al. (2021)
PD	4510	3948	562	284	846	337	26	
<b>PD</b>	<b>5038</b>	<b>4641</b>	<b>397</b>	<b>595</b>	<b>992</b>	<b>316/69</b>	<b>20</b>	<b>This study</b>
<b>NZ</b>	<b>3392</b>	<b>3311</b>	<b>81</b>	<b>626</b>	<b>707</b>	<b>247/77</b>	<b>22</b>	<b>This study</b>
East Asia				Transport				
<b>PD</b>	<b>682</b>	<b>455</b>	<b>227</b>	<b>-89</b>	<b>138</b>	<b>25/5</b>	<b>15</b>	<b>This study</b>
<b>NZ</b>	<b>430</b>	<b>293</b>	<b>137</b>	<b>-38</b>	<b>99</b>	<b>19/6</b>	<b>17</b>	<b>This study</b>

Prod is for chemical production, Loss is for chemical loss, Prod-Loss is for net chemical production (NetChem), and DryDep is for dry deposition; Residual is the term balance by Residual = Loss-Prod + DryDep. Units of Prod, Loss, NetChem, Residual and DryDep are in Tg(O<sub>3</sub>) yr<sup>-1</sup>, Burden is in Tg(O<sub>3</sub>), and Lifetime is in days. The climatological pressure tropopause is used. PD is the online present-day experiment simulation. NZ is the online net-zero experiment simulation. The results of Griffiths et al. (2021) are the average of four models (UKESM1, CESM2-WACCM, GFDL-ESM4, MRI-ESM2-0), and PD is the average from 1995 to 2004, while PI (pre-industrial) is the average from 1850 to 1859.

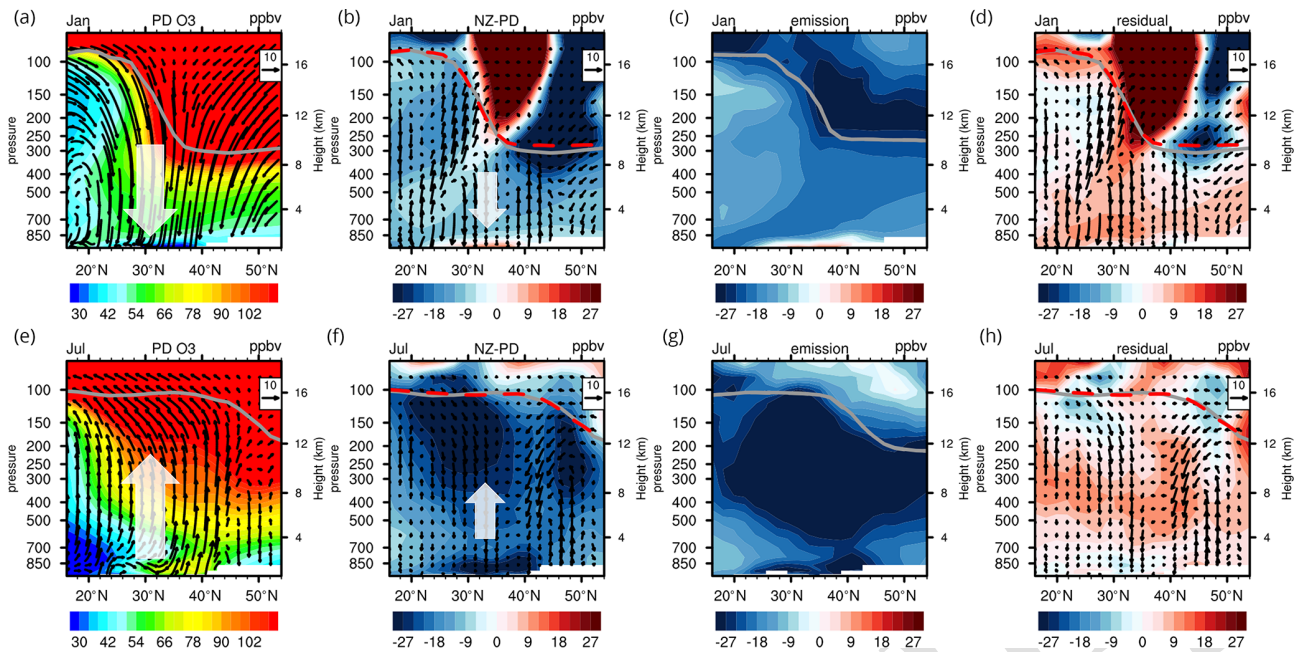


**Figure 4.** Changes in surface O<sub>3</sub> mixing ratio (ppbv) over China in January and July between present day and net zero (online-NZ minus online-PD; **a, d**) and changes due to emissions (offline-NZ minus offline-PD; **b, e**) and the residual (left minus middle panel; **c, f**). The values in the right corner of each panel are the region means over east Asia (15–55° N, 70–149° E). The frame is the region of eastern China (EC, 28–40° N, 113–120° E).

in winter and a greater reduction of 16.2 ppbv in summer. Turnock et al. (2019) estimated an annual mean reduction of 8 ppbv in 2050 under the SSP1-1.9 pathway, slightly less than we find here. However, we have used the more stringent  
 5 DPEC Ambitious-pollution-Neutral-goals emission scenario for China rather than the standard SSP1-1.9 pathway, and we note that anthropogenic NO emissions in China are 1.5 Tg (NO) yr<sup>-1</sup> lower in this scenario than those in SSP1-1.9. Surface O<sub>3</sub> over eastern China and South Korea increases in  
 10 winter in these scenarios, driven by the reduction in emissions (left and middle panels). This increase in surface O<sub>3</sub>

is caused by a weakening of titration under lower regional NO emissions in the future. The influence of climate change on surface O<sub>3</sub> is relatively weak and leads to an increase in surface O<sub>3</sub> in most parts of east Asia (right panels). This is partly due to enhanced vertical circulation leading to an increased contribution from stratospheric O<sub>3</sub> (Akritidis et al., 2019; shown in Fig. S4) and the photochemical change under warmer climate (Zanis et al., 2022). Xu et al. (2022) also showed that emission reduction is far more effective than climate change in improving air quality (PM<sub>2.5</sub> and O<sub>3</sub>) over east Asia under a carbon-neutral reduction pathway. Here,  
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**Figure 5.** Zonal mean  $\text{O}_3$  cross-section (ppbv) and wind speed (vectors,  $v$ :  $\text{m s}^{-1}$ ,  $w$ :  $*(-500) \text{ pa s}^{-1}$ ) over eastern China (longitudes  $111\text{--}122^\circ \text{ E}$ ) in January and July under present-day conditions (online-PD, **a**, **d** [CE2](#)), the changes in  $\text{O}_3$  and wind speed (**b**, **f**) and changes due to emissions (**c**, **g**), and the residual (second panels minus third panels; **d**, **h**). Grey lines show the tropopause location under present-day conditions; the dashed red lines show the tropopause location under net zero. [CE3](#)

we will use tagging simulations to quantify the contributions of different sources to surface  $\text{O}_3$  changes over east Asia, especially over eastern China where surface  $\text{O}_3$  increases in winter and decreases in summer.

It can be seen from the vertical distribution of  $\text{O}_3$  and circulation (shown in the first panels of Fig. 5) that the  $\text{O}_3$  concentration increases with altitude under present-day conditions. At the same altitude, the  $\text{O}_3$  concentration is higher in middle and high latitudes than in low latitudes. In winter, there is a strong net descent of air over eastern China ( $30\text{--}40^\circ \text{ N}$ ), which weakens in spring and turns to updraft in summer. These may be due to the weakened Brewer–Dobson circulation and strengthened convection (Butchart, 2014; Wild and Akimoto, 2001). As shown in the second panels of Fig. 5, there is a net decrease in tropospheric  $\text{O}_3$  in future, with an increase only seen near  $30^\circ \text{ N}$  very close to the surface. In summer, the reduction in tropospheric  $\text{O}_3$  is greatest, especially near the tropopause where it exceeds 30 ppbv. In addition, due to the temperature increase and circulation enhancement in the future, the tropopause height increases, especially in the mid-latitude region in winter, where the increase is about 7 hPa. As seen from the third panels of Fig. 5, the reduction in emissions from aircraft (NO emissions in Fig. S1) leads to a reduction in  $\text{O}_3$  production, and the  $\text{O}_3$  concentration near the tropopause decreases substantially in the future. However, other factors such as climate change (the fourth panel in Fig. 5) lead to increases in tropospheric  $\text{O}_3$  by 2060.

## 5 The contribution of $\text{O}_3$ chemistry and intercontinental transport

Surface  $\text{O}_3$  shows substantial seasonal variations over east Asia, with a peak in spring, as shown in Fig. 6a. It reaches a maximum (56 ppbv) in March and is lowest (41 ppbv) in August under present-day conditions. Under net zero, the concentration of surface  $\text{O}_3$  is lower throughout the year, and while the peak is still in March, the mixing ratio drops to 43 ppbv. The decrease is greatest in July at 16 ppbv, which reflects weaker chemical production in summertime under lower future emissions (Fig. 6e). In contrast, surface  $\text{O}_3$  over eastern China is highest (71 ppbv) in July and lowest (21 ppbv) in December under present-day conditions (Fig. 6b). Under net zero, surface  $\text{O}_3$  increases in winter and decreases in summer, and the peak shifts from July to May due to the changes in  $\text{O}_3$  precursor emissions (Bowman et al., 2022). This shifts the seasonal peak from summer towards spring, when it is more greatly influenced by stratosphere–troposphere exchange. The decrease is highest in July, as seen over the wider east Asian region, but it is twice as large at 34 ppbv, reflecting the stronger present-day emissions over eastern China. There is a substantial increase in  $\text{O}_3$  in January of 12 ppbv, reflecting reduced titration by NO. The concentration of surface  $\text{NO}_x$  decreases by more than 60% and by an even larger factor in winter ( $\sim 90\%$ , 14 ppbv), and its seasonal variation is reduced, which accounts for the reduction in anthropogenic emissions (Fig. 6d). In terms of the  $\text{O}_3$

chemical budget, local chemical production and destruction are both reduced in the future. The peak in net O<sub>3</sub> chemical production still occurs in summer, which highlights that photochemical processes continue to dominate the seasonal variation of surface O<sub>3</sub> in eastern China in future (Fig. 6f). However, the net chemical destruction that currently occurs in winter is replaced with a small net O<sub>3</sub> production, reflecting the reduced titration of O<sub>3</sub> by NO under future emissions, which are very greatly reduced under net zero (Liu et al., 2022, 2023).

We quantify the contributions of regional transport and stratospheric input to surface O<sub>3</sub> on a monthly basis in Fig. 7. In the present day (Fig. 7a), we find that the contribution of anthropogenic NO emissions from east Asia (EAS) is highest, especially in summer, when it reaches 30 % (12 ppbv in Fig. 8). The total contributions from anthropogenic NO emissions outside east Asia (EAS\_out, without ocean) are 33 % (16 ppbv) on average, with little seasonal variation, and these are highest over south Asia (SAS), accounting for 12 % (6 ppbv). The contribution from biogenic NO emissions from soils (BIO) is also important, exceeding 10 % (5 ppbv in Fig. 8) in summer. The contributions from the ocean (OCN) show little seasonal variation, contributing 15 % (6 ppbv). Under net zero (Fig. 7c), the anthropogenic contribution from east Asia drops dramatically to 14 % (4 ppbv in Fig. 8) in summer due to the reduced emissions of O<sub>3</sub> precursors. The total contributions from anthropogenic NO emissions outside east Asia decrease to 28 % (10 ppbv) on average and to 7 ppbv in summer (in Fig. 8). The contribution of biogenic sources is enhanced and forms the dominant contributor to surface O<sub>3</sub> under net zero, especially in summer at ~ 40 % (9 ppbv in Fig. 8). The emissions from biogenic sources do not vary from year to year in this study. The enhanced contribution of biogenic sources is mainly due to the increased O<sub>3</sub> production efficiency, which is a consequence of lower O<sub>3</sub> precursor concentrations (Kleinman et al., 2002; Zaveri et al., 2003). The contribution of oceanic sources decreases to 4 % (1 ppbv) due to reduced emissions from shipping. The contribution from stratospheric O<sub>3</sub> (STR) is highest in March (26 %, 14 ppbv) and lowest in August (7 %, 3 ppbv) under present-day conditions. Under net zero, the highest contribution is increased to 39 % (17 ppbv), and the lowest contribution is also increased to 12 % (3 ppbv). This may be due to enhanced stratospheric circulation, slower photochemical loss and a longer lifetime of O<sub>3</sub> in the troposphere, allowing greater transport of stratospheric O<sub>3</sub> to the ground.

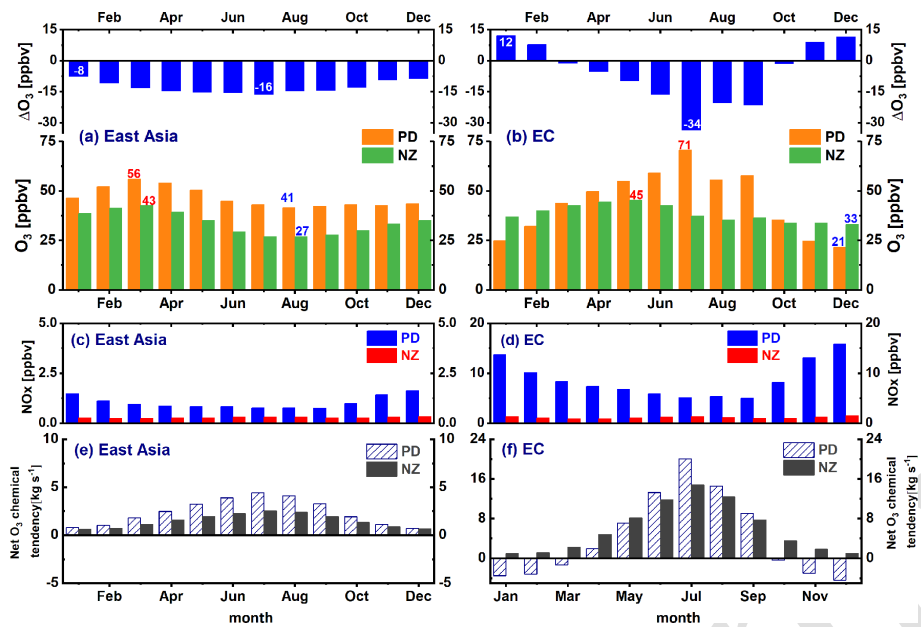
Over eastern China (Fig. 7b), the contribution from east Asian anthropogenic sources is highest, especially in summer, when it exceeds 70 % (43 ppbv, shown in Fig. 8). The total contributions from anthropogenic NO emissions out of east Asia are 16 % (6 ppbv) on average and 4 ppbv in summer (in Fig. 8). Biogenic and oceanic sources make a smaller contribution over this region, only 6 % (3 ppbv) and 10 % (5 ppbv) on average, respectively. Under net zero (Fig. 7d), the contribution of east Asian anthropogenic sources drops to

42 % (16 ppbv) in summer but remains the dominant source of surface O<sub>3</sub> in eastern China. The total contributions from anthropogenic NO emissions outside east Asia show little change. The contribution of biogenic sources is enhanced, especially in summer, reaching 40 % (14 ppbv in Fig. 8), close to the contribution from east Asian sources. The stratospheric contribution is highest in early spring (25 %, 11 ppbv) and lowest in summer (2 %, 1 ppbv). Under net zero, the stratospheric contribution is enhanced to 40 % (17 ppbv) in March and 3 % (1 ppbv) in summer, similarly to the seasonal contributions over east Asia. In addition, the high NO concentration in heavily urbanized eastern China has a titration effect on O<sub>3</sub>, but the strong future decreases in NO weaken this effect, reducing the loss of stratospheric O<sub>3</sub> and of O<sub>3</sub> from local sources. Overall, surface O<sub>3</sub> shows substantial decreases through much of the year, and the local contribution is reduced, which highlights the beneficial role that net-zero policies may have for controlling surface O<sub>3</sub> pollution in China.

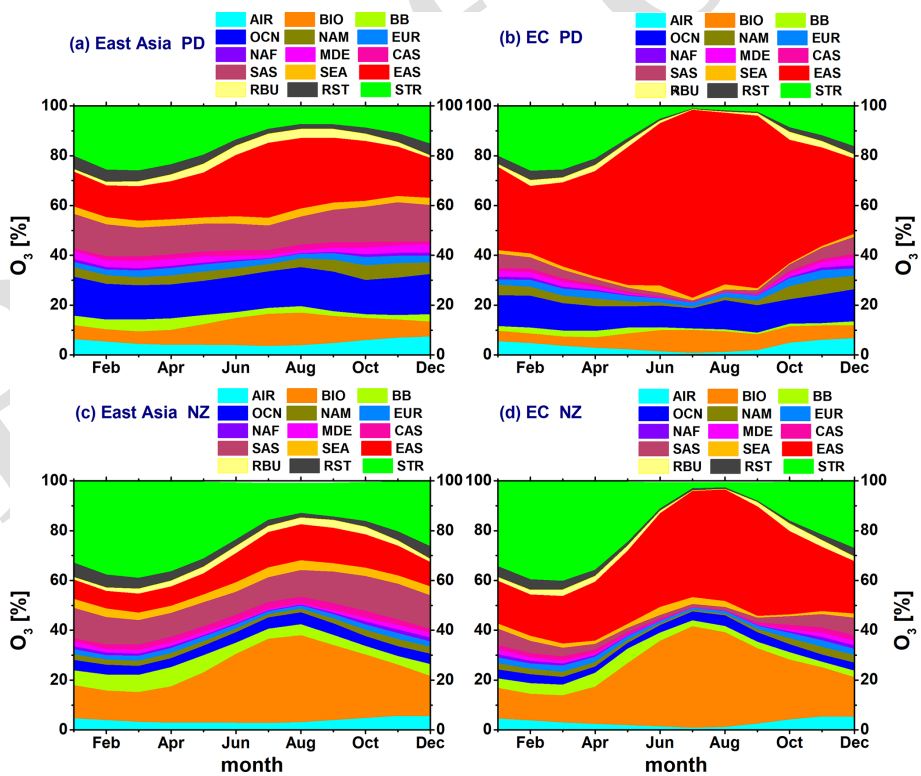
## 6 Summary and conclusions

We quantify tropospheric O<sub>3</sub> budgets, spatiotemporal distributions of future surface O<sub>3</sub> in east Asia and regional O<sub>3</sub> source contributions for 2060 under a net-zero scenario using the NCAR Community Earth System Model (CESM) and online O<sub>3</sub>-tagging methods. The simulated monthly mean global tropospheric column O<sub>3</sub> and surface O<sub>3</sub> mixing ratios over east Asia capture the general features in observations well under present-day conditions. The offline simulations perform better than online simulations as the nudging provides a closer match to observed meteorological conditions. The tropospheric O<sub>3</sub> burden and budget terms under present-day conditions in this study also match those of previous model studies well.

The simulated tropospheric O<sub>3</sub> burden is likely to decrease from 316 Tg under present-day conditions to 247 Tg by 2060 under the net-zero scenario. This brings it close to that found in previous studies under preindustrial conditions of  $239 \pm 22$  Tg (Young et al., 2013). Future tropospheric O<sub>3</sub> chemical production and loss are both reduced, and the net chemical tendency decreases from 397 to 81 Tg(O<sub>3</sub>) yr<sup>-1</sup>. The contribution of stratospheric O<sub>3</sub> increases from 69 to 77 Tg due to the enhancement of atmospheric circulation and the increased stratosphere–troposphere exchange that is caused by climate change and the longer chemical lifetime of stratospheric O<sub>3</sub> in the troposphere under decreased anthropogenic emissions of pollutants. The mean tropospheric lifetime of O<sub>3</sub> is increased by 2 d (~ 10 %). Over east Asia, one of the highest anthropogenic emission regions, the O<sub>3</sub> burden decreases from 25 to 19 Tg, and the net chemical tendency drops from 227 to 137 Tg(O<sub>3</sub>) yr<sup>-1</sup>. East Asia is a region of net O<sub>3</sub> production, and the outflow is expected to decrease from 89 to 38 Tg(O<sub>3</sub>) yr<sup>-1</sup>. The burden of O<sub>3</sub> from the stratosphere increases from 5 to 6 Tg. The lifetime of tro-

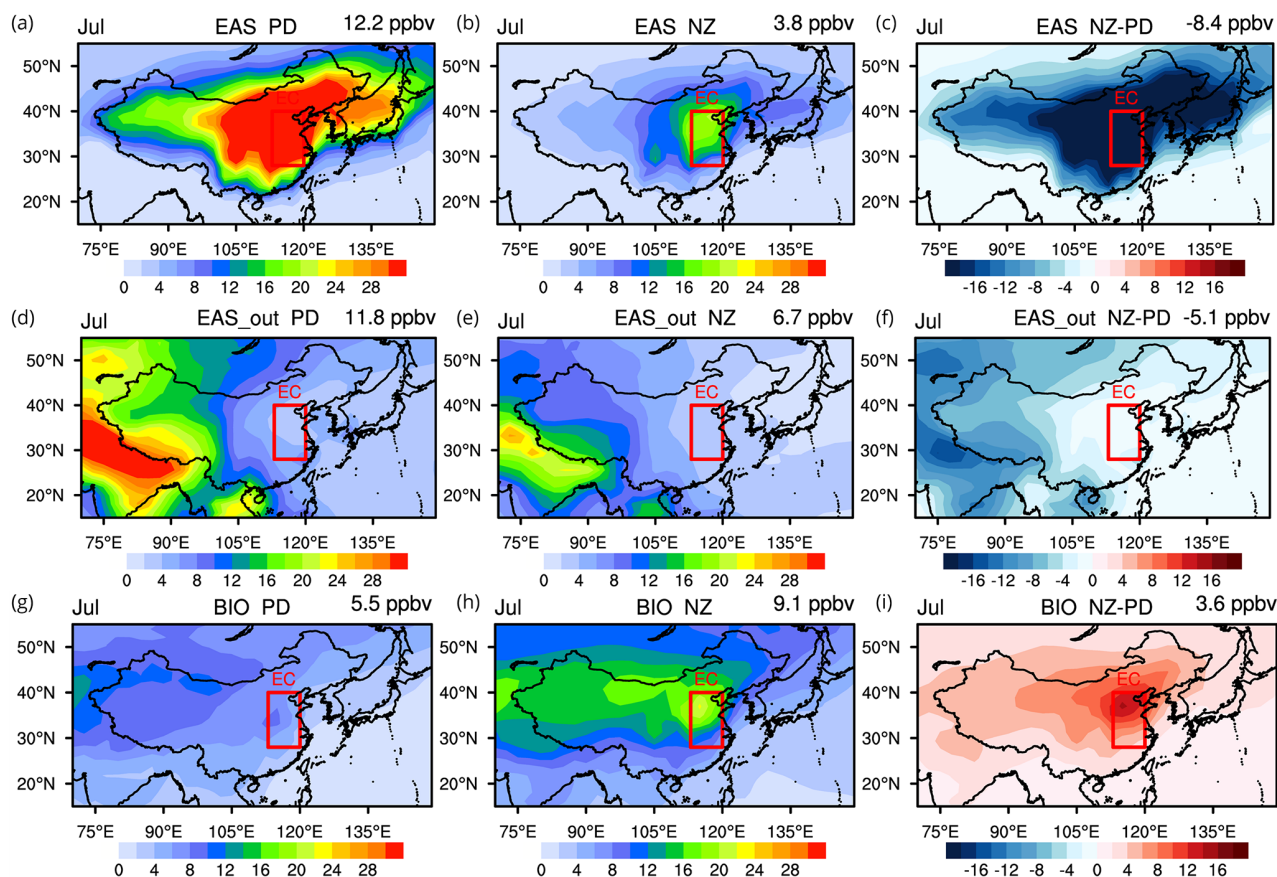


**Figure 6.** Comparison of  $O_3$  (a, b),  $NO_x$  (c, d) and net  $O_3$  chemical tendency (e, f) at the surface under present-day and net-zero conditions over east Asia (a, c, e) and eastern China (b, d, f). Results are from the online simulations (online-PD and online-NZ). Maximum and minimum  $O_3$  mixing ratios are highlighted in red and blue, respectively, and the largest and smallest  $O_3$  changes are indicated in white. The net  $O_3$  chemical tendency is the net photochemical production rate of  $O_3$  ( $kg\ s^{-1}$ ).



**Figure 7.** Contributions of different sources to surface  $O_3$  under present-day and net-zero conditions over east Asia (a, c) and eastern China (b, d). Results are from the online simulations (online-PD and online-NZ). A total of 11 geographical source regions are used for anthropogenic  $NO_x$  emission. BIO, BB, AIR and LIG are the contributions of  $NO_x$  emissions from biogenic sources, biomass burning, aircraft and lightning to  $O_3$ . STR is the contribution of  $O_3$  originating in the stratosphere.





**Figure 8.** The contributions of anthropogenic NO emissions over east Asia (EAS, **a–c**) and outside east Asia (EAS\_out; **d–f**) and of biogenic emission (BIO; **g–i**) on surface O<sub>3</sub> (ppbv) over east Asia in July in the present day (online-PD; **a, d, g**) and under net zero (online-NZ; **b, e, h**) and the differences between PD and NZ (online-NZ minus online-PD; **c, f, i**). The values in the right corners of each sub-figure are the regional means over east Asia. The box shows the region of eastern China.

ospheric O<sub>3</sub> over east Asia is shorter than the global average at  $\sim 15$  d due to the high anthropogenic emissions, but it increases by 2 d, similarly to the global mean. Compared with other SSP scenarios, particularly the much-studied SSP3-7.0 pathway, SSP1-1.9 provides a more positive perspective on the opportunities for controlling future tropospheric O<sub>3</sub> and the benefits for the improvement of air quality.

Regional average surface O<sub>3</sub> decreases throughout the year over east Asia, with the highest decreases in summer (16 ppbv) in the future under the net-zero scenario. Over eastern China, the peak in surface O<sub>3</sub> shifts from July to May. Surface O<sub>3</sub> decreases strongly in July (34 ppbv) and increases in winter, especially in January at 12 ppbv. The increased O<sub>3</sub> in winter is caused by reduced titration of O<sub>3</sub> by NO associated with lower anthropogenic NO emissions and by enhanced stratospheric input. The tropospheric O<sub>3</sub> over most regions decreases due to the large decrease in O<sub>3</sub> precursor emissions. Climate change leads to only a small increase in tropospheric O<sub>3</sub> under this scenario. Local anthropogenic emissions play a dominant role in controlling O<sub>3</sub> changes over east Asia in summer, but this will drop substan-

tially from 30 % in the present day to 14 % under net zero. The contribution of biogenic sources is enhanced and forms the dominant contributor to future surface O<sub>3</sub>, especially in summer at  $\sim 40$  %. Here, this enhanced contribution of biogenic sources is due to increased O<sub>3</sub> production efficiency associated with reduced O<sub>3</sub> precursor concentrations, but this may be underestimated if biogenic emissions also increase in future as expected. The lower extent of climate change under SSP1-1.9 leads to relatively little impact on tropospheric O<sub>3</sub> under net zero, while the emission reductions associated with net-zero policies are sufficient to mitigate surface O<sub>3</sub> pollution over east Asia, especially in summer.

The combined emissions and O<sub>3</sub>-tagging method used here provide a reliable way to quantify the changes in tropospheric O<sub>3</sub> and its sources in future under a net-zero scenario. The results of this study clarify the separate impacts of climate change and emissions on tropospheric O<sub>3</sub> changes over east Asia and highlight the significance of controlling O<sub>3</sub> precursor emissions along the net-zero scenario, especially anthropogenic emissions. The reduction in anthropogenic O<sub>3</sub> precursor emissions should be the most effective way to con-



trol the increase in tropospheric O<sub>3</sub>, and this requires joint efforts on a global scale.

**Data availability.** CAQRA can be freely downloaded at <https://doi.org/10.11922/sciencedb.00053> (Tang et al., 2020a), and the prototype product, which contains the monthly and annual means of the CAQRA dataset, is available at <https://doi.org/10.11922/sciencedb.00092> (Tang et al., 2020b). The simulated O<sub>3</sub> data generated in this study are available on <https://doi.org/10.5281/zenodo.8137796> (Hou, 2023).

**Supplement.** The supplement related to this article is available online at: <https://doi.org/10.5194/acp-23-1-2023-supplement>.

**Author contributions.** XH, OW and BZ jointly developed the concept for this study. XH set up the model and conducted the simulations and data analysis. XH and OW contributed to the writing. BZ and JL discussed the results and offered valuable comments.

**Competing interests.** The contact author has declared that none of the authors has any competing interests.

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