- 1 Morphological and optical properties of carbonaceous aerosol particles from ship emissions and biomass burning
- 2 during a summer cruise measurement in the South China Sea
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Abstract. Carbonaceous aerosols constitute a crucial component of atmospheric marine aerosols among which black carbon 16 17 (BC) and brown carbon (BrC) are important contributors to light absorption and hence the positive climatic radiative forcing in the marine atmosphere. We conducted a month-long (May 05-June 09, 2021) onboard sample collections and online 18 measurements of carbonaceous aerosols to characterize their morphological and optical properties during a ship cruise in the 19 South China Sea (SCS), covering a marine region of 11.9–24.5 °N and 111.1–118.2 °E. Single particles were collected by a 20 21 single particle sampler and offline analyses were performed to investigate the mixing state and morphology using a transmission electron microscope (TEM) coupled with energy dispersive X-ray spectroscopy (EDS). Online measurements of 22 BC in  $PM_{2.5}$  were made by a seven-wavelength aethalometer and organic carbon (OC)/elemental carbon (EC) mass 23 24 concentrations were measured by a semi-online OC/EC analyzer. Single particle samples were classified into two modes: "stop"

25 when the ship was anchored and "navigation" when the ship sailed at high speed. Feret diameters of the single particles during 26 navigation and stop showed size distributions with the lognormal fitting peaks at 307 and 325 nm, respectively. The fresh 27 (without coating) and aged BC particles (after removal of coating by the electron beams in TEM) showed comparable same 28 median fractal dimensions ( $\frac{1.65 \text{ vs } 1.661.61}{1.61}$ ), in contrast to their different median lacunarities (0.53 vs 0.59). The aged BC 29 particles showed narrower Feret diameters (298229 1980-2557 nm) during navigation than those (30478 2982 2926 nm) of freshly-emitted BC from the own ship during stop. Moreover, tar balls, as one important component of single particles from 30 31 ship emissions and as the tracer of biomass burning, were identified with geometrical diameters of 160-420 nm in the TEM 32 images. The energy dispersive X-ray spectroscopy (EDS)EDS analyses showed those tar balls are mainly mixed with sea salt, 33 organics, BC, and sulfate. We also found a significant fraction of aged BC in various mixing states (core-shell, embedded) with other components of the aerosol particles after long-range transport. 34

The campaign was further divided into several periods (before monsoon period, BMP; transition monsoon period, TMP; 35 after monsoon period, AMP; and ship pollution period, SPP) according to the wind direction during monsoon and the own ship 36 37 pollution. The median OC/EC ratios were 8.14, 5.20, 6.35, and 2.63 during BMP, TMP, AMP, and SPP, respectively, showing higher OC/EC ratios for biomass burning emissions than for fossil fuel emissions. Additionally, the median absorption 38 Angström exponent (AAE) values derived from all wavelengths were 1.14, 1.02, 1.08, and 1.06 for BMP, TMP, AMP and SPP, 39 40 respectively. Particularly, a median AAE value of 1.93 was obtained during two significant biomass burning events. These 41 results showed that biomass burning (BB) and fossil fuel (FF) combustion contributed to 18–22% and 78–82% of all the BC light absorption without the two intense biomass burning events, during which BB and FF accounted for 42% and 58%, 42 respectively. The two BB events originated from the Philippines and Southeast Asia before and after the summer monsoon. 43 Our results demonstrated that BC can serve as the core of aged particles but the fractal dimensions of BC aggerates were 44 45 subject to little variation; moreover, such BC particles become much more aggerated after aging in the marine atmosphere, which further affects the light absorption of the BC particles in the SCS. This study provides information about the morphology 46 and the optical properties of carbonaceous aerosols which can be used to evaluate their effects on light absorption and hence 47 the climatic radiative forcing in the SCS region. 48

# 50 1 Introduction

51 Carbonaceous aerosols (e.g., organic carbon (OC), elemental carbon (EC)/black carbon (BC), and brown carbon (BrC)) 52 profoundly impact regional and global climate (Corbin et al., 2019; Lu et al., 2020; Rabha and Saikia, 2020). As an important 53 component of carbonaceous aerosols, BC can serve as a tracer of anthropogenic pollution once emitted from the incomplete 54 combustion of fossil fuels and biomass burning. Moreover, BC particles are generally soot-aggerated with onion-like graphite graphene-like layer microstructures which can be observed under electron microscopy (Adachi et al., 2019). BC and EC are 55 56 two components of carbonaceous aerosols that are measured differently. BC is typically quantified based on its light-absorbing 57 properties, while EC is measured using thermal-optical methods (Duarte et al., 2021). However, EC can also be referred to as 58 graphitic carbon or soot, with some overlap in their definitions. Another important component of carbonaceous aerosols, BrC, 59 represents a series of light-absorbing organic compounds, contributing significantly to the light absorption of atmospheric 60 aerosols (Wang et al., 2020b). BrC and BC show different light absorption patterns as a wavelength function. BrC typically 61 absorbs more strongly in the visible range (400-700 nm), while BC absorbs more strongly in the ultraviolet (UV) and near-62 infrared (NIR) ranges (200 400 nm and 700 1000 nm, respectively). Therefore, BrC and BC can be distinguished by measuring the absorption spectra of aerosol particles at different wavelengths (Andreae and Gelencsér, 2006; Bond et al., 2013; 63 64 Laskin et al., 2015; Li et al., 2020; Yus-Díez et al., 2021). Tar balls are commonly used as typical tracers of biomass and biofuel burning due to their composition of amorphous carbon. These particles also belong to BrC due to their light absorption 65 properties over a broad range of solar spectra, from around 300 nm in the UV range to 2500 nm in the NIR range because they 66 are light absorbing organics (Adachi et al., 2019; Hand et al., 2005). Spherical tar balls emitted from biomass burning have 67 68 been observed in cases of both wild fire burning (Adachi et al., 2019) and laboratory generated tar ball particles (Tóth et al., 2014). In the atmosphere, biomass burning produces a significant amount of tar balls, which are not deliquescent but can 69 absorb water at high relative humidity (RH =  $\sim$ 80%), thereby affecting their ability to scatter and absorb light (Hand et al., 70 71 2005).

The optical properties of BC and BrC particles are affected by several factors including the emission source, coating component, particle size, morphology, and mixing state of the particles (Wei et al., 2020). The BC configuration in the single 74 particles would influence their radiative effects (Luo et al., 2021). For example, core-shell BC particles show enhanced light absorption compared to bare BC particles, especially when BC particles are coated with absorptive materials such as BrC 75 76 (Budhavant et al., 2020; Cappa et al., 2012; Shamjad et al., 2012; You et al., 2016). "Lensing effect" refers to the absorption 77 enhancement if BC is coated with non-absorbing organic or inorganic materials (Luo et al., 2021; Yang et al., 2009). In contrast, 78 if the BC coating materials are highly absorptive, no absorption enhancement may occur at shorter visible and UV wavelengths. a phenomenon known as "shielding effect". The shielding and lensing effects depend on the coating thickness over BC (Lack 79 and Cappa, 2010). When BC is well internally mixed with BrC, its total absorption enhancement becomes smaller than the 80 81 enhancements of not well mixed counterparts due to the absorptive coating that acts as a shield When BC is well internally mixed with BrC, its total absorption enhancement becomes smaller than those of not well mixed counterparts due to the 82 83 absorptive coating that acts as a shield (Feng et al., 2021). Moreover, it is impossible for BC and other materials to be homogeneously distributed. 84

85 The extent to which of BC and BrC contribute to light absorption in atmospheric aerosols can be assessed using the absorption Ångstrom exponent (AAE) (Wang et al., 2020a). AAE is a parameter used to quantify the spectral dependence of 86 87 aerosol light absorption. It is calculated by fitting a power-law relationship between the aerosol absorption and wavelengths over a given spectral range. The AAE is used to identify sources and types of aerosols and a higher AAE value is associated 88 89 with sources such as biomass burning or urban pollution, while a lower AAE value suggests absorption by larger particles, 90 such as mineral dust or sea salt (Blanco-Donado, 2022; Duarte et al., 2021). However, many factors such as mixing state, 91 coating, particle size, refractive index, wavelength, and emission source, would affect the AAE values for BC and BrC aerosols, 92 leading to large variations among different studies (Moschos et al., 2021). For example, a previous study showed that the AAE values derived from wavelengths of 405 and 781 nm are very sensitive to refractive index and particle diameter (Chylek et al., 93 94 2019). The AAE values of 0.8–1.6 at 470 and 950 nm are attributed to traffic emissions and fuel combustion (Ezani et al., 2021). Comparatively, those AAE values can be as large as 2.0 for ship emissions (Helin et al., 2021). Moreover, the 95 96 recommended AAE value for fossil fuel (FF) and biomass burning (BB) is 1 and 2 (or higher), respectively (Liu et al., 2023). 97 Other AAE values were also found in previous studies for FF (0.9) and BB (1.68) (Zotter et al., 2017) or FF (1.2) and BB (2.2) 98 as the mostly used optical pair (Milinković et al., 2021). The AAE values of 1.4 and 1.7 for BC and BrC were set to be the

99 lower and upper limits in the modelling study of biomass burning particles mixed with BC and BrC (Chylek et al., 2019).
100 However, the use of constant AAE values for calculating the BC fractions from BB and FF led to large uncertainties without
101 knowledge of the core size or coating thickness of the BC particles (Virkkula, 2021). Currently, the effect of the light absorption
102 is not well known for the carbonaceous particles in the marine atmosphere due to scarce ship-based measurements.

103 The optical properties of BC and BrC particles can also be investigated through fractal dimension (D<sub>f</sub>) analysis based on the fractal properties of BC aggerates. D<sub>f</sub>illustrates how particles aggregate and grow and it can be determined through boxing 104 105 counting calculation, ensemble method, or soot parameter method with TEM images (Pang et al., 2022). The  $D_{\rm f}$  values are 106 mainly related to emission sources and aging process of the particles. Previous studies showed that the D<sub>f</sub> values of fresh BC 107 particles tend to be small but become larger after aging because the particles are more compact due to coatings (Luo et al., 108 2022; Wang et al., 2017). D<sub>f</sub> values of 1.8 and 2.6 were used respectively for fluffy and compact BC particles in a numerical 109 study to investigate the impact of the BC morphology on light absorption (Luo et al., 2021). Laboratory experiments simulating wildfires showed that the D<sub>f</sub> values of freshly emitted BC were in a range of 1.74–1.92 (Chakrabarty et al., 2006), compared 110 111 to the range of 1.67-1.83 from a field study of the Las Conchas fire (China et al., 2013). A similar range of the D<sub>f</sub> values of 112 1.67-1.93 were found at a remote site in the southeastern Tibetan Plateau (Wang et al., 2017). The obtained D<sub>f</sub> values for the traffic emissions were as large as 3 (Wei et al., 2020). In addition to the emission sources and aging process, D<sub>f</sub> is also dependent 113 114 on the particle size. A previous experimental study found that for polystyrene latexes (PSL) particles, the D<sub>f</sub> values decreased 115 with the increase of particle size up to 200 nm (Wu et al., 2013b). Nevertheless, knowledge of the fractal dimension for 116 carbonaceous particles in the marine atmosphere is currently very limited, hindering our ability to understand the aging process 117 and the optical properties of these particles.

In the past years, carbonaceous aerosols in the marine atmosphere have been extensively studied on regional and global scales, focusing on the transport of anthropogenic emissions to the sea areas. The BC background concentrations in Antarctic and Arctic regions are below 20 ng m<sup>-3</sup> (Fossum et al., 2022). The BC outflows from Asia to the Pacific Ocean exhibit seasonal variations and originate from anthropogenic and biomass-burning sources in China, Siberia, and Southeast Asia (Matsui et al., 2013). Ship-based BC and EC measurements reveal significant influence of continental transport on remote oceanic regions, including the Bay of Bengal (Kedia et al., 2012), Indian Ocean (Kompalli et al., 2021), Southern Indian Ocean and the Southern 124 Ocean (Ueda et al., 2018), North Sea (Bencs et al., 2020), Antarctic (Chaubev et al., 2013; Schmale et al., 2019), North Pacific 125 (Taketani et al., 2016; Xing et al., 2014), Arctic (Pankratova et al., 2021; Sharma et al., 2019), Northeast Atlantic (Fossum et 126 al., 2022), the Yellow Sea (Kwak et al., 2022), and Western Pacific (Ma et al., 2022). However, to our knowledge, the BC mass 127 concentrations have been found to vary significantly across different oceans and seasons, with levels from 3 to 2800 ng m<sup>-3</sup> 128 and being influenced by anthropogenic activities and seasonal factors. The online BC measurements in the South China Sea 129 (SCS) region are limited. An early study reported BC concentrations on Yongxing Island during the rainy season (May 16-130 June 20, 2008) and the dry season (Dec. 12, 2008–Jan. 8, 2009), with average concentrations of 0.54 and 0.67  $\mu$ g m<sup>-3</sup>, 131 respectively (Wu et al., 2013a). Recent studies conducted at coastal sites in the SCS found that BC concentrations are strongly 132 impacted by the land anthropogenic emissions (Wang et al., 2022). The time-resolved BC concentration varies with the vertical heights (Sun et al., 2020c) and the carbonaceous materials of OC and EC account for 31–62% in PM<sub>2.5</sub> (Yan et al., 2018). 133 134 Nevertheless, quantification of the light absorption potential of BC and BrC aerosols remains challenging due to the limited 135 knowledge regarding the morphology, particle size, and mixing state of carbonaceous particles in the SCS (Kompalli et al., 136 2021). Furthermore, the atmosphere in the SCS is typically influenced by the southwesterly monsoon from May to August 137 (Wang and Wu, 2020), which affects the air masses from Southeast Asia. In this study, we conducted ship-based measurements of BC, OC/EC, and single particle sampling during summer (May 05–June 09, 2021) in the SCS. The morphology (i.e., the 138 139 fractal dimension and the size of the single BC particles) and light absorption properties of carbonaceous particles were 140 characterized. The source origins, relationships between the Df and BC size, as well as the impact of summer monsoon on the 141 light absorption of the BC particles are discussed.

## 142 2 Methods

## 143 **2.1** Cruise route and instrumentation

The cruise measurements were carried out from May 5 to June 9, 2021, covering a marine area of 11.9–24.5 °N and 111.1– 145 118.2 °E in the SCS. Single particles were collected on the TEM grids (3.05 mm I.D., copper meshed and covered with lacey 146 carbon film) located on the front deck during ship navigation and stop using a single-stage particle sampler (DKL-2, Genstar 147 Electronic Technology Co., Ltd., China) which is the same as other studies (Liu et al., 2021; Pang et al., 2022). The sampling flow rate and time were set at 1 L min<sup>-1</sup> and 10 min, respectively, for each collection. The nozzle diameter of this single-cascade 148 149 impactor is 0.3 mm. The particles with aerodynamic diameters above 0.2 µm were collected with a collection efficiency of 150 50%, assuming a particle density of 1.5 kg m<sup>-3</sup> (Marple and Olson, 2011). More details can be found in the supplementary 151 information (Section 1 of SI). The mixing state and morphology of the single particles were analyzed utilizing a transmission 152 electron microscope (TEM, FEI Tecnai G2 Spirit, Holland) operated at an accelerating voltage of 120 kV, in conjunction with 153 an energy dispersive spectrometer (EDS, Bruker Nano GmbH Berlin, Esprit 1.9, Germany) for elemental analysis. The 154 thickness of the EDS detector (type XFlash 5060) is 0.45 mm with a Si dead layer of 0.029 mm. Notably, in the EDS spectra, 155 when analyzing particle composition, Cu should be excluded, and a considerable level of C and Si should be observed in the 156 background signals due to the presence of Si in the detector, Cu and C in the TEM grid. The substrate holder of TEM was tilted 157 25° for thorough inspection during imaging and EDS analysis.

158 The sampling inlets were installed on the bow of the research vessel with a height of  $\sim 15$  m above sea level. The own ship 159 emissions (e.g., engine, cooking, etc.) were exhausted from the chimney on the stern with a linear distance of  $\sim 22$  m to the 160 inlets. The BC mass concentrations were measured by an aethalometer (Model AE33, Magee Scientific, USA) with a high 161 time resolution of one second or one minute (Drinovec et al., 2015). Note that the BC mass concentrations derived from AE33 162 are referred to as equivalent BC mass concentrations due to the light absorption of both BC and BrC at 880 nm. The sampling 163 air was regulated by a PM<sub>2.5</sub> cyclone (BGI Inc., Waltham, MA, USA) and subsequently dried by a Nafion dryer (Model MD-164 700 series, Perma Pure Inc., USA) with a relative humidity below 40% through the filter tape (type 8060) at a flow rate of 5 L 165 min<sup>-1</sup>. Data corrections were made for the employed Aethalometer AE33, considering the multiple scattering parameters  $(C(\lambda)=1.39)$  for the used filter type, the leakage factor ( $\zeta=0.01$ ), and the compensation parameters ( $K_{min}=-0.005, K_{max}=0.015$ ). 166 167 The optical attenuation (ATN) was measured simultaneously from the two spots on the filter. The measured attenuation at 168 seven wavelengths (7 channels) is used to determine the wavelength-dependent absorption coefficient. The mass specific 169 absorption cross-sections (MAC,  $\sigma_{air}$ ) applied in the BC calculations were 18.47, 14.54, 13.14, 11.58, 10.35, 7.77, and 7.19 m<sup>2</sup> 170 g<sup>-1</sup> for wavelengths of 370, 470, 520, 590, 660, 880, and 950 nm, respectively (Ausmeel et al., 2020). The measured values at 171 880 nm (channel 6) are for black carbon concentration calculation, and at 370 nm (channel 1) for UV particulate matter (UVPM) 172 concentration (Drinovec et al., 2015). The detection limit of AE33 aethalometer is approximately 0.03  $\mu$ g m<sup>-3</sup> for 1-min 173 integration period and below 0.005  $\mu$ g m<sup>-3</sup> for 1-hour integration period. The instrument was automatically calibrated by zero 174 air every day. Notably, significant spikes were observed during periods when the ship was stationary, when it was travelling at 175 low speeds, and when the wind was blowing from the stern of the vessel.

176 The OC/EC concentrations were measured by a semi-continuous OC/EC analyzer (Model-4, Sunset Laboratory Inc., USA) based on the optical attenuation and thermo-optical transmittance methods (Geron, 2009) under the NIOSH 5040 thermal-177 178 optical protocol (Lappi and Ristimaki, 2017). Similarly, the sampling air passed through a PM<sub>2.5</sub> cyclone (BGI Inc., Waltham, 179 MA, USA) and was dried by a Nafion dryer (Model MD-700 series, Perma Pure Inc., USA) with a relative humidity below 180 40% at a flow rate of 8 L min<sup>-1</sup>. The air then passed through a denuder for the removal of volatile organic compounds (VOCs) 181 and the particles were collected on the quartz filter with 45-min accumulation and 15-min analysis. The instrument was 182 calibrated with the standard sucrose solution as recommended. The manufacturer-claimed detection limits are 0.4 and 0.2 µg 183 m<sup>-3</sup> for OC and EC, respectively (Brown et al., 2019). However, several previous studies showed that these values may vary substantially in a range of 0.04–2 and 0.001–0.5 µg m<sup>-3</sup> for OC and EC, respectively, due to the artifact of the quartz filters 184 185 (Bao et al., 2021; Bauer et al., 2012; Chen et al., 2017; Jung et al., 2011; Karanasiou et al., 2020; Park et al., 2018; Zhang et 186 al., 2021). Here, we estimated the detection limits of 0.15 and 0.012  $\mu$ g m<sup>-3</sup> for OC and EC based on 26 effective blank 187 measurements with 3 times standard deviation ( $3\sigma$ ) during the campaign. The limit of detection (LOD) for OC and EC is 0.18 188 and 0.19 µg m<sup>-3</sup>, respectively, calculated as three times the standard deviation of replicate measurements of a standard sucrose 189 solution with a carbon content of 10.516 µg m<sup>-3</sup>. The Sunset OC/EC analyzer also measures optical EC based on the 190 transmission of 660 nm wavelength light through the quartz fiber filter employed for sampling, similar to the AE33 for optical BC measurements. Optical EC is defined as the apparent EC on the filter based on the measured apparent absorbance and the 191 192 fixed absorption coefficient according to the user's manual of the Sunset OC/EC. Both our study and a previous study (Brown 193 et al., 2019) showed that the optical EC concentrations from Sunset were comparable with the BC concentrations from AE33. Note that the resultant optical EC concentrations from the instrument output may be overestimated due to the limitation of the 194 195 filter-based optical measurements.

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The measurements of solar radiation (SR), temperature (T), pressure (P), relative humidity (RH), relative wind direction (RWD), and relative wind speed (RWS) were provided by the automatic weather station (AWS430, Vaisala Inc., Finland) (Song et al., 2022) equipped on the front deck of the research vessel. This station comprises a range of integrated sensors, including a wind speed and direction sensor (model WMT702), a temperature and humidity sensor (model HMP155), and an atmospheric pressure sensor (model BARO-1). The cruise route for ship navigation is from the global positioning system (GPS) onboard the ship (Seapath 330+, Kongsberg Inc., Norway). equipped on the research vessel. The cruise route is from the global positioning system (GPS) onboard the ship.

- 204 2.2 Data analyses and processing
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# 206 2.2.1 Analyses of single particles

A total of 34 samples (15 during navigation and 19 at stop) were analyzed and more than 20 bright-field TEM images were 207 randomly captured for each sample except for those at the center of the grids where particles were easily overlapped. A total 208 209 of 15624 single particles were statistically analyzed to obtain morphology information (i.e., the Feret diameter, area, perimeter) 210 for each particle using the software Image-J (1.53g, National Institute of Health, USA) (Cheng et al., 2021). In the analysis of 211 particle size, the Feret diameter is defined as the distance between the parallel tangential lines that constrain the particle 212 perpendicularly. In this study, we applied the Feret diameter as the longest distance between any two points along the boundary 213 of the selected particles. Moreover, we utilized "geometrical diameter" to describe the size of tar balls with circular shape, 214 which signifies the distance between two points located on the surface of a geometric shape, with this line passing through the shape's center. Using "Geometrical diameter" is suitable to quantify the size of the observed tar balls which excluded any 215 216 coatings or additional materials. Specifically, we employed TEM data acquisition software to measure the geometrical diameters of observed tar balls. The D<sub>f</sub> values of the BC particles were estimated using the boxing counting method using the 217 218 plugin Fraclac. An example was given in the SI (section 2, Fig. S1) to show how to calculate D<sub>f</sub> using the software. A detailed 219 description of the procedure using the boxing counting method and the software ImageJ can be found in the SI. The D<sub>f</sub> values 220 are very sensitive to the fill extent and sizes of the particles. A previous study showed low fractal dimensions when the particles 221 contain void volumes (Peyronel et al., 2010).

222	The own ship emissions can be identified using various measures, for example, high CO, NO <sub>x</sub> concentrations (Sun et al.,
223	2020b), high BC concentrations (Alroe et al., 2019; Shank et al., 2012), regular cooking emissions (Cai et al., 2020), and wind
224	speeds/directions between the ship stop and start operation (Ausmeel et al., 2020; Kwak et al., 2022). The contribution of ship
225	emissions to BC sources on the marine atmosphere depends on engine types, operation modes, fuel types, and loadings (Gagne
226	et al., 2021; Jiang et al., 2018; Karjalainen et al., 2022; Lack and Corbett, 2012; Wu et al., 2021; Zhao et al., 2020). Here, we
227	classify two sampling modes (navigation vs stop) of single particle analyses according to ship operation, and relative wind
228	direction/speed. In this study, the relative wind direction/speed is relative to the ship heading. The navigation mode is
229	constrained by the relative wind direction of 0-80° or 280-360°, and the relative wind speed greater than 5 m s <sup>-1</sup> , averaged
230	for every 10 minutes (consistent with the collection time of TEM samples). The stop mode is set with the relative wind direction
231	of greater than 80° and less than 280°, or the relative wind speed lower than 5 m s <sup>-1</sup> . The navigation mode samples are mainly
232	from marine air and air masses of long-range transport while the stop mode collected air masses which are mixed with the own
233	ship emissions. The wind direction (speed) and relative wind direction (speed) are calculated by Eq. (1) (Aijjou et al., 2020).
234	$V_R = \sqrt{V_s^2 + V_w^2 + 2 * V_s * V_w * \cos\alpha} $ (1)
235	where $V_R$ is the relative wind direction (speed), $V_s$ is the ship direction (speed), $V_w$ is the true wind direction (speed), $\alpha$ is the
236	angle between the ship heading and the true wind direction.
237	The temporal profiles of ship heading directions, and relative wind direction/speed are shown in the SI (section 3, Fig. S2).
238	Details of the two sampling modes (navigation vs stop) on a vector average of 10 minutes are listed in Table S1 and Fig. S3.
239	Here, we distinguished the own ship emissions (research vessel) from those of other ships or long-range transport based on
240	the following criteria: low relative wind speed ( $\leq 5 \text{ m s}^{-1}$ ), relative wind direction encompassing ship exhaust (80–280°), and
241	a substantial AE33-derived hourly averaged BC mass concentration (>2 µg m <sup>-3</sup> ). Other ship emissions far from the research
242	vessel are treated as a part of the transported air masses in this study.

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243	Here, we distinguished the own ship emissions (ship pollution) from those of other ships or from long-range transport.
244	In addition, an hourly averaged BC concentration above 2 μg m <sup>-3</sup> was also considered as ship pollution based on the
245	time series of BC concentration without significant interference of self-emission.
246	2.2.2 BC, OC, EC and optical EC data
247	In this study, BC data obtained from the AE33 instrument are referred to as BC, while data from the OC/EC analyzer is
248	expressed as thermal OC, thermal EC, and optical EC. Here, we averaged the BC mass concentrations over one minute and
249	excluded those below the detection limit of 0.03 µg m <sup>-3</sup> for-to minimizing the variations. We also removed the own ship
250	emissions which are characterized by spikes in particle number concentrations according to the wind directions (Fossum et al.,
251	2022). BC mass concentration was calculated using Eqs. (2, 3) which are cited from the AE33 aethalometer user's manual (Ver
252	<u>1.54).</u>
253	$\underline{ATN} = -100 * \ln (I/I_0) $ (2)
254	where ATN is optical attenuation, $I_0$ is reference signal, I is spot signal.
255	$BC = \frac{S*(\Delta ATN_1/100)}{F_1(1-\zeta)*\sigma_{air}*C*(1-k*ANT_1)*\Delta t} $ (3)
256	where BC is black carbon concentration, S is spot area, $F_1$ is measured flow, $\zeta$ is leakage factor, $\sigma_{air}$ is the mass absorption
257	cross-section (MAC), C is multiple scattering parameter, k is compensation parameter, and t is time.
258	AAE was calculated according to Eq. (14) using the light absorption at wavelengths of 470 and 950 nm, which are built-in
259	algorithms in the AE33 aethalometer as described elsewhere (Helin et al., 2021; Kang et al., 2022; Milinković et al., 2021;
260	Zotter et al., 2017). This method serves as a two-composition source apportionment for BC emitted from fossil fuels and
261	biomass burning (AAE model).
262	, which applied AAE=1 for fossil fuel and AAE=2 for biomass. The calculations for BC(BB) and BC(FF) are shown in Eqs.
263	(5-7) which are referred to the AE33 aethalometer user's manual and publication (Sandradewi et al., 2008). The optical
264	absorption coefficient is the sum of biomass burning and fossil fuel burning contributions. Basic equations are using Beer-
265	Lambert's Law.

$$AAE = -\frac{\ln \frac{\sigma_{abs}(\lambda_1)}{\sigma_{abs}(\lambda_2)}}{\ln \frac{\lambda_1}{\lambda_2}}$$
(4)  
where  $\sigma_{abs}$  is aerosol absorption coefficient,  $\sigma_{air}$  is mass absorption cross-section (MAC),  $\sigma_{abs} = BC^* \sigma_{air}$ .  $\lambda_1 = 470$  nm and  $\lambda_2 = 100$ 

- $\frac{\sigma_{abs}(470 \text{ nm})_{FF}}{\sigma_{abs}(950 \text{ nm})_{FF}} = \left(\frac{470}{950}\right)^{-AAE_{FF}}$ 269 (5) $\frac{\sigma_{abs}(470 \text{ nm})_{BB}}{\sigma_{abs}(950 \text{ nm})_{BB}} = \left(\frac{470}{950}\right)^{-AAE_{BB}}$ 270 (6) $\sigma_{abs}(\lambda) = \sigma_{abs}(\lambda)_{FF} + \sigma_{abs}(\lambda)_{BB}$ 271(7)where  $\sigma_{abs}(470 \text{ nm})_{FF}$  and  $\sigma_{abs}(950 \text{ nm})_{FF}$  are the aerosol absorption coefficients at wavelengths of 470 and 950 nm for fossil 272 fuel (FF),  $\sigma_{abs}(470 \text{ nm})_{BB}$  and  $\sigma_{abs}(950 \text{ nm})_{BB}$  are the aerosol absorption coefficients at wavelengths of 470 and 950 nm for 273 274 biomass burning (BB),  $AAE_{FF}$  and  $AAE_{BB}$  are equals to 1 and 2, respectively.
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950 nm.

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$$AAE = -\frac{\ln\frac{\sigma_{ap}(\lambda_{\pm})}{\sigma_{ap}(\lambda_{2})}}{\ln\frac{\lambda_{\pm}}{\lambda_{2+}}}$$
(1)

#### 277 where $\sigma_{ap}$ is the aerosol absorption coefficient, $\sigma_{ap} = BC^*MAC$ . $\lambda_1 = 470$ nm and $\lambda_2 = 950$ nm.

278 Alternatively, AAE can be obtained from the negative slope of linear regression between the log-transformed  $\sigma_{abs}\sigma_{ap}$  and all 279 the wavelength spectra so that hourly AAE values (all  $\lambda$ ) can be obtained following a similar method in Retama et al. (2022). 280 Details are shown in the SI (Section 4, Figure S4). Here, we define Delta-C as the difference between the concentration derived 281 from the aforementioned UVPM data (at 370 nm) and BC concentration (at 880 nm). This Delta-C parameter was employed 282 as an indicator of smoke from biomass burning in previous wood biomass burning studies (Harrison, 2020; Zhang et al., 2017). 283 The OC and EC (thermal) concentrations lower than the detection limits (0.15 and 0.012  $\mu$ g m<sup>-3</sup> for OC and EC, respectively) 284 were excluded. Additional data were removed for those with laser correction factors below 0.88 and calibration peak areas lower than the initial calibration levels (within 10%), and a total of 550-551 h data were used for further analysis. In comparison, 285 the Sunset optical EC (at 660 nm) is generally consistent with the Magee AE33 aethalometer derived BC (at 880 nm) within 286 287 9% (Brown et al., 2019) which is shown in Section 3.3. The EC concentration data from Sunset were considered as ship

(4)

288 pollution and were discarded when the BC concentrations from the AE33 aethalometer were higher than  $2 \mu g m^{-3}$ , in addition

289 to those with relative wind directions of 80-280° regardless of the BC concentrations as mentioned before.

## 290 2.2.3 HYSPLIT backward trajectory and MODIS fire data

The backward trajectories were calculated using NOAA HYSPLIT (Hybrid Single-Particle Lagrangian Integrated Trajectory) (Version 5) at heights of 100, 500 and 1000 m above the sea level (AGL). Daily meteorological data with 1.0°×1.0° spatial resolution for trajectory calculation were downloaded from the global data assimilation system (GADS) (<u>ftp://arlftp.arlhq.noaa.gov/pub/archives/gdas1/</u>). Here, we calculated the 72-h back trajectories of air masses arriving at the single particles sampling sites along the cruise route.

Moderate Resolution Imaging Spectrometer (MODIS) data are available from the Near real-time MODIS Collection 6 products (<u>https://firms.modaps.eosdis.nasa.gov/download/</u>). Here, we selected a region of 102–127 °E and 0–30 °N fully covering the campaign area. The number of fire hotspots was counted each day during the campaign with a confidence level of higher or equal to 80% as recommended (Giglio et al., 2020). <u>Detailed A detailed</u> description of the fire detection algorithms is available online (<u>https://earthdata.nasa.gov/what-is-new-collection-6-modis-active-fire-data</u>).

## 301 3 Results and discussion

#### 302 **3.1 Overview**

Figure 1 shows the time series of ship cruise route and the single particle sampling locations during ship navigation (marked as solid triangles) and stop (marked as open squares) over the SCS during the campaign. The cruise sequences are  $AB \rightarrow C \rightarrow$  $D \rightarrow EB \rightarrow D \rightarrow A$ , with AB and EB being non-stop cruise, otherwise the ship stopped occasionally along the arrow routes for other research tasks. Figure 2 shows the time series of the meteorological variables (i.e., solar radiation (SR), temperature, pressure, relative humidity (RH), wind direction (WD), and wind speed (WSWS) during the whole campaign (May 05–June 09, 2021). The measurements were conducted mostly <u>under-on</u> sunny days prior to June 02 as shown by the SR data. Subsequently, it became rainy and cloudy due to the summer monsoon in the SCS. One notable meteorological feature during the campaign was the occurrence of the summer monsoon starting from May 27 close to the site E, during which (May 27– June 01), an increase of RH (~9% from campaign-averaged 78.7% to monsoon period-averaged 85.6%) and a slight decrease of pressure (~0.2% from 1007.4 to 1005.4 hPa) were observed. Meanwhile, the wind directions were mainly southerly during this period and later changed to southwest.

It should be noted that Typhoon 202103 (CHOI-WAN) travelled across  $B \rightarrow D$ , resulting in a bulge in the middle of the cruise route for avoidingto avoid the typhoon during June 03–05, 2021. The typhoon track is available online with the last accessed date Mar. 25, 2023: <u>http://agora.ex.nii.ac.jp/digital-typhoon/summary/wnp/s/202103.html.en</u>. <u>The typhoon was</u> initiated at 02:00 local time on May 31 and dissipated at 14:00 on June 05, 2021 (Figure S5). It passed over our cruise route from June 03 to June 05, 2021. While no significant increase of absolute wind speed was seen in Figure 2, a significant increase of relative wind speed was shown in Figure S2, along with an obvious decrease of atmospheric pressure during the typhoon period (Figure S5). The measured relative humidity increased from May 27 to June 01, prior to the presence of the typhoon,

321 which can be attributed to the decrease of ambient temperature during this period.

322 Figure 3 shows the time series of the mass concentrations of carbonaceous aerosol components in PM<sub>2.5</sub> (i.e., BC, UVPM, 323 OC, and EC) during the whole campaign. Frequently high spikes of the mass concentrations of carbonaceous aerosol 324 components were observed due to the ship pollution from the research vessel-. We notice that ship pollution was significantly 325 pronounced on the first two days after the ship left the harbor and on the last 3-4 days before the ship returned to the harbor, 326 during which the spikes of BC and UVPM concentrations were measured by the Magee AE33 aethalometer with the relative 327 wind direction of 80-280°. Before May 08 and after June 05, higher UVPM, OC, and EC concentrations were observed, which can be attributed to significant fresh ship emissions from the research vessel, as evidenced by simultaneous higher BC 328 329 concentrations. Similar spikes in BC concentrations were observed during other measurement periods, either preceding or following the monsoon period, which were caused by emissions from the frequent stops and starts of the ship. Note that no 330 331 significant diurnal trend for OC was observed during those aforementioned periods. 332 Figure 4 shows the time series of fire spots distribution and the 72-h backward trajectories at three AGLs (100, 500 and

333 1000 m) over the SCS during the campaign. Only several backward trajectories are shown to avoid massive overlapping.

334 Several fire spots located in the sea were attributed to oil or natural gas drilling processes which generate thermal energy,

335 combustion, and exhaust. Such processes included the prevalent hydrocarbon exploration and production activities in this region. A comprehensive cartographic representation of these endeavors within the SCS can be accessed via the online 336 337 platforms (https://amti.csis.org/south-china-sea-energy-exploration-and-development/). Note that since the ship moved along the cruise route, the air mass backward trajectories also changed with the movement of the ship. For example, significant 338 339 biomass burning was detected in Laos, northern Vietnam, and the Philippines during May 15–24, as indicated by the 340 corresponding fire spots. However, the back trajectories to the sampling route  $(C \rightarrow D)$  during this period were mainly from the 341 Philippines. 342 Here, we classified the campaign period into several groups based on the cruise route, change of wind direction during 343 monsoon, backward trajectories, and ship pollution, as listed in Table 1: (1) BMP-1 (before monsoon period 1), AB route 344 mainly with northeast wind direction during May 05–09; (2) BMP-2,  $B \rightarrow C \rightarrow D$  route close to the Philippines primarily with 345 southeast wind direction during May 10–22; (3) BMP-3, D $\rightarrow$ E close to mainland China with the same wind direction as BMP-346 2 during May 23–26; (4) TMP (transition monsoon period), EB route with south wind direction during May 27–Jun 01; (5) 347 AMP (after monsoon period),  $B \rightarrow D \rightarrow A$  route with southwest wind direction during June 02–09; (6) SPP (ship pollution 348 period), ~35% of the online measurement data could be attributed to this category in this study due to the interference from 349 the research vessel own emissions.

# 350 **3.2 Single particle analysis of BC and tar balls**

351 Particle size distribution, composition, and size-dependent BC fractals were investigated based on TEM images. The Feret 352 diameter is commonly used in microscopy for particle size analysis (Zefirov et al., 2018). The size distribution of all the single 353 particles from the analyzed TEM images is depicted in Fig. 4 Fig. 5. The distribution is represented with histograms starting 354 at 50 nm, a width interval of 20 nm, and a bin number of 200. The choice of bin width may vary depending on cases but it is 355 close to the quotient value of the square root of the measured particle number divided by the overall width of the distribution (Pabst and Gregorova, 2007). Moreover, lognormal fitting is used for the peak size identification of particle size distribution 356 357 (Rice et al., 2013). Figure 5aFigure 4a shows a fitted peak Feret diameter of 307 nm for a total of 6613 particles from 15 358 samples during navigation, while a fitted peak Feret diameter of 325 nm was obtained for a total of 9011 particles from 19 15

359 samples during stop (Fig. 5b<del>Fig. 4b</del>). Note that we could not successfully obtain a bimodal or multi-peak fit for the data of the stop cases using multi-peak fitting function in the Igor Pro software, as shown in Figure S6. Hence, we believe that single peak 360 fitting best described the distribution in our stop cases, as illustrated in Figure 5. Particles collected during navigation were 361 predominantly aged at high wind speeds, while particles during stop were mixed significantly with freshly emitted particles 362 363 from the own ship and from other merchant ships or those from long-range transport, possibly leading to the variation of the 364 size distribution. Although the bimodal distribution was observed from particles in the indoor air, which was likely caused by 365 fresh emissions and secondary formation (Pipal et al., 2021), we did not obtain significant bimodal peaks for both navigation 366 and stop particles.

367 We obtained characteristic values for the particle shape descriptors such as circularity  $(0.7 \pm 0.2)$  and aspect ratio  $(1.2 \pm 0.3)$ for all the particles collected during navigation and stop, implying that these particles are not perfectly spherical and may vary 368 in their mixing states. Figure 6Figure 5 (top images) shows a comparison of the mixing states during navigation (a-c) and stop 369 370 (d-f) from typical BC TEM images. The BC particles collected during navigation are in the embedded (a), external (b), or core-371 shell (c) mixing states classified with the methods which are based on single particle analysis of island and mountain samples 372 across East China Sea and Japan (Adachi et al., 2014; Sun et al., 2020a). More TEM images for the heavily coated internal 373 and external BC particles from navigation can be found in the SI (section 47, Fig. S7Fig. S3). The EDS analysis showed that 374 the single particles during navigation were predominantly composed of carbon (C), oxygen (O), sulfur (S), potassium (K), 375 sodium (Na), chloride (Cl), magnesium (Mg), and calcium (Ca) (Fig. S8Fig. S4), indicating that those BC particles were coated 376 with sulfate, sea salt, and organics. Furthermore, small externally mixed BC particles can be transported over the sea and easily 377 coated during long-range transport. Under the TEM electron beam, these coated volatile components were easily vaporized to 378 expose the BC fractal frame (Fig. S7d-fFig. S3d-f).

<u>Comparatively, a mixture of aged BC particles and much larger fresh BC particles as well as smaller scattered BC particles</u>
 <u>during stop were found (Fig. 6d-f), which were likely emitted from other ships (Fig. 6d) and the research vessel (e, f). These</u>
 <u>TEM images showed that the compressed BC particles are typically more aged and atmospherically processed, while the fractal</u>
 <u>BC particles are fresh. Moreover, EDS analysis showed that sulfate formed from aqueous processes and less viscous organic</u>
 <u>coating indicate an aging process. Those BC particles with Feret diameters larger than 2 µm during stop were fractal aggerates</u>

384	which could unlikely survive due to deposition during long-range transport. In addition, heavily coated internal BC particles
385	were found during stop due to the mixing between ship pollution and the marine air (Fig. S9). Moreover, such particles could
386	also be condensation of organics during the cooling process after they were emitted from the ship engine. Comparatively, much
387	larger BC particles as well as smaller scattered BC particles during stop were found (Fig. 5d f), among which were apparently
388	emitted from the own ship (e, f). Those BC particles with Feret diameters greater than 2 µm during stop were fractal aggerates
389	which unlikely survived during long range transport due to deposition. In addition, heavily coated internal BC particles were
390	found during stop due to the mixing between ship pollution and the marine air (Fig. S5). The bottom panels of Fig. 6 Fig. 5 (a-
391	f) show the corresponding images obtained by boxing counting in fractal analysis with the resultant D <sub>f</sub> , Feret diameter (D),
392	Lacunarity (L), and sampling number underneath for each TEM sample image. Figure 7 Figure 6 shows Df and L as a function
393	of D for some representative BC particles during both navigation and stop. The BC particles showed narrower Feret diameters
394	(229–2557 nm) during navigation than those (78-2926 nm) of BC from the own ship during stop. These particles were exposed
395	to electron beam and volatile coatings were removed so that the morphology of bare BC was clearly shown regardless of the
396	mixing state of the original BC. The D <sub>f</sub> values during navigation were in a range of $1.281.50-1.77$ with a median of $1.651.61$ ,
397	while the $D_f$ values during stop were $1.43-1.76+1.48-1.75$ with a median of $1.666-1$ , indicating no significant differences of $D_f$
398	(<1%) for the exposed BC particles during navigation and stop. Note that the particles in Figure 7 include pure BC and BC
399	without thick coatings. These particles were exposed to the electron beam and volatile coatings were removed so that the
400	morphology of BC was clearly shown regardless of the mixing state of the original BC particles (Figure S7). Most BC particles
401	were below 1 µm in Feret diameter during navigation (Figure 7), while their sizes cover a wide range below 3 µm during stop,
402	implying that the aged BC particles become smaller after long-range transport. Despite only a total of 134 BC data points
403	shown in Figure 7, the results are still statistically meaningful due to the wide range of BC sizes covered in our analysis. Note
404	that the size change of a BC particle cannot be determined because the original size of the particle is unknown before the
405	removal of the coatings. Figure 6 also shows that most of the BC particles during navigation were below 1 µm in Feret diameter,
406	implying that smaller BC particles were more susceptible to be coated and aged during transport. Comparatively, the
407	lacunarities during navigation $(0.3234-0.8290, \text{median: } 0.53)$ and stop $(0.3534-0.9277, \text{median: } 0.59)$ were different, with the
408	former being smaller than the latter, indicating that the lacunarity tended to become smaller (~10%) after coating or aging of

409 the BC particles.

410 Tar balls were frequently observed during the campaign with an estimated sample fraction of about 11.8%. Fractal-like tar ball aggregates were usually found in wildfire smokes (Girotto et al., 2018); however, in this study, spherical tar ball particles 411 were observed in the marine atmosphere and were mixed with sea salt (Fig. 8a and d for TEM image and EDS spectrum, 412 413 respectively), organic carbon and sulfate (Fig. 8b and e) from the samples collected on May 27 during navigation. In contrast, the particles collected on June 01 were found to be amorphous carbon agglomerates (Fig. 8c and f) which were referred to OC. 414 Tar balls were frequently observed during the campaign. The spherical tar ball particles in the marine atmosphere were mixed 415 416 with sea salt (Fig. 7a and d for TEM image and EDS spectrum, respectively), organic carbon and sulfate (Fig. 6b and c) from 417 the samples collected on May 27 during navigation. In contrast, the particles collected on June 01 were found to be amorphous 418 earbon agglomerates which were mixed with sulfate (Fig. 6c and f). During these days, the wind directions were from the 419 southwest, with air masses originating from both the Philippines and Southeast Asia. The shape difference between the tar ball 420 spheres and the amorphous carbon agglomerates may be related to the type of biomass burning or the origin of the ship 421 engines. The difference between tar ball spheres and amorphous carbon agglomerates may be related to biomass burning type 422 or source origin. Similar particle morphologies were found in other studies on brown carbon during aircraft measurements 423 over the Yellow Sea in 2001 (Zhu et al., 2013). Tar balls mixed with BC during stop were also observed (Fig. S10Fig. S6), 424 with geometrical diameters of 160–420 nm, much larger than nano-soot spheres (40–50 nm) (Fig. S11Fig. S7). In comparison, 425 the laboratory-generated tar balls were measured to have AAE values of 2.7–3.4, with an average of 2.9 at 467–652 nm (Hoffer 426 et al., 2016).

### 427 3.3 Light absorption of carbonaceous aerosols Air mass trajectories and mass concentrations of carbonaceous aerosols

Figure 8 shows the time series of fire spots distribution and the 72 h backward trajectories at three AGLs (100, 500 and 1000 m) over the SCS during the campaign. Only several backward trajectories are shown to avoid massive overlapping. Several fire spots located in the sea were attributed to oil or natural gas drilling processes which generate thermal energy, combustion, and exhaust. Such processes included the prevalent hydrocarbon exploration and production activities in this region. A comprehensive cartographic representation of these endeavors within the SCS can be accessed via the online platforms

433	(https://amti.csis.org/south-china-sea-energy-exploration-and-development/). Note that since the ship moved along the cruise
434	route, the air mass backward trajectories also changed with the movement of the ship. For example, significant biomass burning
435	was detected in Laos, northern Vietnam, and the Philippines during May 15-24, as indicated by the corresponding fire spots.
436	However, the back trajectories to the sampling route ( $C \rightarrow D$ ) during this period were mainly from the Philippines.
437	Here, we classified the campaign period into several groups based on the cruise route, change of wind direction during
438	monsoon, backward trajectories, and ship pollution, as listed in Table 1: (1) BMP 1 (before monsoon period 1), AB route
439	mainly with northeast wind direction during May 05–09; (2) BMP 2, B→C→D route close to the Philippines primarily with
440	southeast wind direction during May 10–22; (3) BMP 3, D $\rightarrow$ E close to mainland China with the same wind direction as BMP-
441	2 during May 23 26; (4) TMP (transition monsoon period), EB route with south wind direction during May 27 Jun 01; (5)
442	AMP (after monsoon period), B→D→A route with southwest wind direction during June 02-09; (6) SPP (ship pollution
443	period), ~35% of the online measurement data could be attributed to this category in this study due to the interference of the
444	research vessel self emission.
445	The BC concentrations measured by the Magee AE33 aethalometer agree excellently with the optical EC concentrations
446	obtained from the Sunset OC/EC analyzer, as evidenced by a linear regression coefficient of 0.97. The BC measurements
447	obtained from the AE33 instrument do not agree with the OC, EC values, yet their overall trends exhibit consistency. However,
448	the BC concentrations were considerably higher than the thermal EC concentrations, exhibiting linear regression coefficients
449	of 1.66 and 1.55, respectively. These findings, presented in Fig. S12 of Section 8 in the SI Fig. S8 of Section 5 in the SI, are in
450	line with previous research conducted by Brown et al. (2019). The OC/EC ratios can be used as an indicator for the source
451	origins of the air masses. Figure 9 shows the distribution of the OC/EC ratios and the corresponding EC concentrations. Figure
452	9 shows tThe median OC/EC ratios (are 8.14, 5.20, 6.35 and 2.63) for the classified periods (BMP, TMP, AMP, and SPP,
453	respectively). Notably, EC median mass concentrations (0.24, 0.25 and 0.17 µg m <sup>-3</sup> ) for the marine air masses during BMP
454	(0.013–0.69 μg m <sup>-3</sup> ), TMP (0.015–0.60 μg m <sup>-3</sup> ), AMP (0.014–0.74 μg m <sup>-3</sup> ) were lower than the median concentration (1.70 μg
455	m <sup>-3</sup> ) during SPP. Compared with Figure 9d, the scattered higher OC/EC ratios in Figure 9a/b/c are caused by the very low EC
456	concentrations. The presence of extremely low EC concentrations, often falling below or near the detection limit, can introduce
457	discrepancies in the calculation of the OC/EC split, ultimately resulting in inaccurate EC concentrations (Bauer et al., 2009).

458 In addition, this study revealed a significant variation in EC concentrations during SPP, ranging from 0.15 to 22.8 ug m<sup>-3</sup>. 459 Previous studies showed that OC/EC ratio could be characterized by various sources, ranging from 1.37–1.71 for residential 460 cookstoves, 1.63–2.23 for rural emissions, 1.05–1.24 for diesel exhaust, and 0.80–1.12 for urban environments (Khan et al., 461 2012). A low OC/EC ratio (<3) corresponded to the predominant contribution of the primary OC in submicron particles 462 reported in a previous study in the Southern Indian Ocean, Northern Indian Ocean and Bay of Bengal (Neusüß, 2002). Here, 463 the median OC/EC ratio of 2.63 during SPP is much higher than the characteristic values of diesel combustion, most likely because the sample air during SPP is composed of marine air and the own ship exhaust. Our results are consistent with a recent 464 465 study which showed that the diesel combustion from ships accounted for 15% of BrC in the total light absorption at a coastal 466 site in Shanghai during June–July, 2021 (Kang et al., 2022). In contrast, the OC/EC ratios during other periods (i.e., BMP, 467 TMP and AMP) were even much higher (5.20–8.13), indicating that the aerosols were highly aged during the long-range 468 transport of biomass burning aerosols. This is also consistent with our recent study in the SCS which showed that during 469 monsoon periods in the summer of 2019. The biomass burning organic aerosols became aged through atmospheric processes 470 during transport (Sun et al., 2023).

#### 471 3.5 Light absorption of carbonaceous aerosols

472 The long-range biomass burning transport affects the air mass in the SCS. Figure 10 illustrates the wavelength-dependent 473 mass concentration measured by the AE33 aethalometer during the campaign, showing (a) an example of a ship plume, and 474 (b, c) two significant biomass burning events during BMP (BB-1: 6:00-7:00 on May 15 and 15:00-22:00 on May 16) and 475 during TMP (BB-2: 15:00 on May 30–00:00 on May 31). The ship plumes, predominantly emitted from fossil fuel combustion, 476 showed similar absorption at all seven wavelengths. In contrast, significant absorption at low wavelengths was detected during 477 the biomass burning events, a phenomenon also observed in other field measurements in urban cities and towns where air 478 masses were susceptible to biomass burning (Zhang et al., 2017). A comparison of the two methods for AAE calculation is 479 presented in the SI (Section 4, Fig. S4Section 6, Fig. S9). The fitting results demonstrate that the AAE calculated for all 480 wavelengths was lower than the AAE calculated for only 470 and 950 nm wavelengths. The fitting slope is 0.78, and the 481 determination coefficient ( $\mathbb{R}^2$ ) is 0.98, indicating a strong correlation between the two methods.

482 Figure 11 shows the hourly averaged AAE derived from all wavelengths as a function of the BC concentrations by AE33 483 aethalometer with the median (range) AAE values of 1.14 (0.57-1.48), 1.02 (0.51-1.36), 1.08 (0.54-1.42), and 1.06 (0.65-1.42), 1.08 (0.5484 1.37), respectively, for the classified periods (BMP, TMP, AMP, and SPP), and the corresponding BC median (range) mass concentrations of 0.28 (0.033 - 1.17), 0.14 (0.042 - 2.86), 0.17 (0.055 - 1.08), and 3.01 (0.21 - 36.5) ug m<sup>-3</sup>, respectively. Like485 486 EC, ship pollution led to emissions of high BC concentrations, reaching as high as 36.5 µg m<sup>-3</sup>. The median BC concentrations decreased significantly during TMP and AMP, likely due to the increase of the RH which further increased the scavenging of 487 488 the BC particles during navigation as reported previously (Girach et al., 2014). Note that the biomass burning events were 489 excluded from the above calculations and are further discussed below.

490 During the biomass burning events, the correlations of AAE with AE33 BC and Delta-C concentrations are respectively 491 shown in Figs. 11 and 12, characterized by very high median AAE values (1.85 and 1.86, respectively for BB-1 and BB-2) and 492 BC concentrations (1.93 and 1.67 ug m<sup>-3</sup>). The BC mass concentration ranged from 1.45 to 3.62 ug m-3 during biomass burning 493 events based on light absorption at wavelength of 880 nm. The mass concentration in Figure 10 corresponds to BC mass 494 concentration obtained at each wavelength. We have emphasized that BC mass concentration in this study is equivalent BC at 495 individual wavelength. Notably, efficient light absorption of BrC in the range at 370-660 nm was observed during the biomass 496 burning events, while no significant wavelength-dependent BC concentrations were found during the own ship pollution (Fig. 497 10a). The AAE values below 1 in Figure 11 are not noises, in some cases due to aerosols from fossil fuel (Ezani et al., 2021) and in other cases, they can be even lower than 0.5 when paired with wavelengths of 470 and 660 nm (Laing et al., 498 499 2020). Notably, high BC (1.45-3.62 ug m<sup>-3</sup>) mass concentrations at 370-660 nm were observed during the biomass burning 500 events due to more efficient light absorption of BrC in the range than at higher wavelengths, while no significant wavelength 501 dependent BC concentrations were found during ship pollution (Fig. 10a). The higher AAE values imply much stronger 502 absorption of non-BC light-absorbing particles (BrC) at shorter (UV-vis) wavelengths, which mainly originated from the 503 biomass burning emissions (Ponczek et al., 2022). Moreover, the median OC/EC ratios were 5.03 and 5.29 respectively for the two biomass burning events, even much higher than those for SPP (Fig. 9). The 72-h backward trajectories also showed that 504 505 the BB-1 air masses mainly originated from the Philippines while the BB-2 air masses were from the mainland Vietnam, both 506 with high densities of fire spots (Fig. 4Fig. 8). The AAE values were also highly correlated with the Delta-C values with a 507 determination coefficient ( $R^2$ ) of 0.92 (Fig. 12), further demonstrating a significant contribution of BrC to the AAE 508 enhancement. In addition, we further correlated the observed high AAE values with the Delta-C values for the two biomass 509 burning events and confirmed that these high AAE values (1.45–3.62) were indeed attributed to biomass burning rather than 510 ship emitted tar balls which covered an AAE range of 2.7–3.7 at 405 and 781 nm wavelengths in a previous wood burning 511 study (Chylek et al., 2019).

512 Our study found that the AAE values from all wavelengths for the marine atmosphere and ship pollution were 1.02-1.14513 and 1.06, respectively, except for a higher AAE value (1.93) during the two biomass burning events. The AAE values for ship 514 pollution are dependent on the fuel types and loading conditions (Laskin et al., 2015). For example, heavy fuel oil operated at 515 high loads can result in AAE values (at 470/950 nm, and hereafter unless specified) of 2.0, while the intermediate fuel oil has 516 an AAE value of 1.3 at high loads (Helin et al., 2021). In addition, the presence of tar balls may contribute to the enhancement of BrC absorption as mentioned in Section 3.2, as tar balls from ship emission have higher AAE values (2.5-6 depending on 517 518 the wavelengths) (Corbin et al., 2019). The occurrence of tar balls in this study was about 12% in the analyzed single samples. 519 These tar balls were likely aged during long-range transport from biomass burning and hence affected the light absorption of 520 BrC in the SCS.

# 521 3.63.4-BC sources from fossil fuel vs biomass burning

522 The source origins of BC particles can be investigated using the AAE model. In the model, we employed respectively the 523 characteristic AAE values of 1 and 2 for FF and BB to calculate their corresponding BC concentrations, namely BC(FF) and 524 BC(BB). Figure 13 shows the time series of BC(FF) and BC(BB) for different classified periods. The BC(FF) and BC(BB) 525 values were much higher before the monsoon than during/after the monsoon, except for the periods during BB-1 and BB-2 with significantly high BC(FF) and BC(BB) values (peaks  $> 1 \mu g m^{-3}$ ), while high BC(FF) values were seen during SPP. Table 526 527 2 summarizes the average concentrations and the ranges of BC(FF) and BC(BB), along with their corresponding fractions. In general, both the average BC(FF) and BC(BB) values were low during BMP, TMP, and AMP, compared to those during the 528 529 biomass burning events and SPP. BC(FF) contributed over 80% of ship pollution during SPP, whilst the BC(BB) could 530 contribute to more than 40% of the total black carbon during the two BB events. We hence conclude that fossil fuel combustion 22 is the major contributor to the light absorption of BC except during the seasonal biomass burning events and biomass burning
can have a profound contribution to the BC light absorption in the SCS.

533 Active biomass burning pollution during January-May in Southeast Asia occurs routinely because of crop residue and sugar 534 cane burning. A previous study showed that during dry and wet seasons, the annual contribution of BC(BB) was 11% and 30% 535 respectively in the Peninsular India (Soyam, 2021) based on the two-component AAE model (Drinovec et al., 2015; Yus-Díez 536 et al., 2021). Table 3 summarizes the BC concentrations, AAE values, and the corresponding fraction of biomass burning (or 537 fossil fuel) in previous and present studies for the marine atmosphere conducted at coastal sites or ship-based cruise 538 measurements using the AE series instrument. The BC(FF) and BC(BB) fractions of 58% and 42% were obtained respectively 539 during the two BB events, while they accounted for 78-83% and 18-22% during other periods, similar to those found at the 540 coastal site in Central Adriatic (79% and 21%), and significantly different from those reported at a coastal site in the East China 541 Sea (Yu et al., 2018). However, observation data are still lacking on the contribution of BC from fossil fuel vs biomass burning 542 in the sea regions which warrants more future studies during different seasons.

## 543 3.7-3.5 Limitation of this study

544 This cruise campaign for carbonaceous aerosols has several limitations which might need to be aware of due to the time and 545 area coverage constraints. The presence of other light-absorption aerosol components, polluted dusts, oil and gas drilling 546 emissions, as well as fishery policy may contribute to the uncertainties in the AAE model used for the BC source 547 apportionment in this study. Firstly, the composition of aerosols and refractive index may strongly affect the AAE calculation. 548 The source apportionment of BC for biomass burning and foil fuel is based on the AAE two-component model which only 549 considered BC(BB) and BC(FF) as the light absorption materials. An AAE range of 0.9–1.4 is used for pure BC from foil fuel 550 emissions, while it is 1.68–2.2 for biomass burning as mentioned earlier. The current AAE two-component model does not 551 include other potential light-absorbing materials, such as mineral dust and biological particles (Pileci et al., 2021). Interestingly, 552 two types of possible biological particles were observed during the campaign (Fig. S13Fig. S10, in the SI, section 79). A similar 553 type of biological particles was observed and identified as brocosomes in another campaign near the East China Sea (Fu et al., 554 2012). However, more future studies are needed to identify the types and species of biological particles and to evaluate their 555 contributions to the light-absorption.

Secondly, based on the Cloud-Aerosol Lidar & Infrared Satellite Observation (CALIPSO) data on May 15 and June 07 when the orbit just passed over the SCS region and the Southeast Asia (Fig. S14 and S15Fig. S11 and S12, in the SI, section <u>\$10</u>), we found the presence of polluted dust in the vertical profile over the Philippines, Indonesia, Thailand and Malaysia. Longrange transport of dust may affect our measured AAE data. Thirdly, oil and natural gas drilling (Liu and Li, 2021) is active in the SCS region and the distribution map is available online (<u>https://www.oilmap.xyz/</u>). These activities potentially contribute to the BC emissions (Cordes et al., 2016), and these BC are similar to those of continental emitted BC from incomplete burning of oil or natural gas.

Lastly, Chinese fishery policy enacts fishing prohibition for about three and a half months every year in the SCS during summer which corresponds to May 01–Aug 16 in the year of 2021 in 12°N within our campaign region. Therefore, the cruise measurements mainly captured ship emissions from the commercial ships in the SCS whose routes are available online (https://www.marinetraffic.com/en/ais/home/centerx:116.6/centery:20.5/zoom:4). The average BC mass concentrations (~0.2  $\mu$ g m<sup>-3</sup> for BC(FF) and 0.05–0.08  $\mu$ g m<sup>-3</sup> for BC(BB) are only limited to data of about a month and the coverage area. Hence, more future measurements covering more seasons and wider areas are needed to better understand the morphology and optical properties of the carbonaceous aerosols in the SCS.

# 570 5. Conclusions

571 As important components of carbonaceous aerosols, BC and BrC in the marine atmosphere may exert significantly positive 572 climatic radiative forcing through light absorption on the regional and global scales. However, quantification of their absorption 573 potential is tremendously challenging due to little knowledge on the microphysical properties, such as morphology, particle 574 size, and mixing state of BC or BrC in the marine region such as SCS. This ship-based study is intended to investigate the 575 morphological and optical properties of the BC particles in the SCS during summer using a combined online aethalometer, semi-online OC/EC analyzer, and offline TEM/EDS analyses. The results showed that the lognormal fitted Feret diameter 576 577 distribution of the single particles peaks at 325 nm when the ship stopped, while it peaks at 307 nm when the ship navigated. 578 This minor difference in the size distribution could be attributed to the distinguishable air mass origins of the own ship 579 emissions for the former and the mixed other ship emissions and long-range transport for the latter. Furthermore, the Feret 580 diameters of the single particles spread much more narrowly during navigation (229-2557298-1980 nm) than those of the 581 freshly emitted particles during stop (78-2926<del>304 2982</del> nm). In addition, the two types of single particles have similar same 582 median fractal dimension values ( $\frac{1.65 \text{ vs} \cdot 1.66}{1.61}$ ) but different lacunarity values (0.53 vs 0.59), indicating their different 583 aging degrees. The aged BC particles are present in various mixing states (core-shell, embedded, external) with other aerosol 584 components after long-range transport. Interestingly, a fraction of single particles was-were identified as tar balls with 585 geometrical diameters of 160-420 nm which were primarily mixed with sea salt, organics, BC, and sulfate, and those tar balls 586 were found to originate from either ship emissions or long-range transport of biomass burning.

587 Since the marine atmosphere is mainly subject to the influence of biomass burning and fossil fuel combustion, a two-588 component (BB and FF) AAE model was employed to evaluate the source contributions to the light absorption of the BC 589 particles. The modelling results indicated that BB and FF contributed respectively to 18-22% and 78-82% of all the BC light 590 absorption except for a substantial percentage of 42% for BB (hence 58% for FF) during the two observed significant biomass 591 burning events. The results from trajectory calculations showed that biomass burning was predominantly from the Philippines 592 and South East Asia before and after the summer monsoon during the cruise campaign. However, this highly simplified two-593 component AAE model may have substantial uncertainties on-in the evaluation of the source contributions when other sources 594 of BC particles were present and those included dust, biological materials, oil and gas drilling emissions during the 595 measurements. Nevertheless, this study demonstrates that emissions from the commercial ships and biomass burning from 596 Southeast Asia contribute to the enhanced light absorption of the BC particles in the SCS, especially during the crop harvest 597 seasons before monsoon, and the aged BC particles became more aggerated after long-range transport of air masses containing biomass burning emissions. 598

## 599 Author contributions

600 JZ, CZS, and SZZ planned the cruise campaign. CZS, YYZ, BLL, MG, and XS performed the measurements. CZS performed 601 the data analysis and wrote the original draft. CZS and DXC performed funding acquisition. JZ and SZZ performed funding 602 acquisition and supervision. All authors reviewed and edited the manuscript.

#### 603 Declaration of competing interest

604 The authors declare no conflict of interest.

#### 605 Data availability

606 Data for figures and tables, along with raw data from online measurements and offline analyses of this study are available from

507 JZ via <u>zhaojun23@mail.sysu.edu.cn</u> upon request. The supplementary data are available online at xxx.

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921	Table 1.	Classification	of the	campaign	period	during	May	05–Ju	ine 09,	2021.
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Name	Date	Cruise route	Monsoon	Wind direction
BMP-1	May 05–09	AB	before	0–90°, northeast
BMP-2	May 10-22	$B \rightarrow C \rightarrow D$	before	90–180°, southeast
BMP-3	May 23–26	D→E	before	around 180°, southeast
ТМР	May 27–Jun 01	EB	transition	around 180°, south
AMP	June 02–09	B→D→A	after	180–270°, southwest
SPP*	Screened	Screened	N/A	Screened

922 \* Ship pollution period is screened based on BC concentration and relative wind direction as mentioned in the method section.

924 **Table 2.** Source apportionment of BC based on the two-component AAE model.

р <sup>1</sup> 1	a.BC(FF)*	a.BC(BB)*	r.BC(BB)*	r.BC(FF)*	f.BC(FF)*	f.BC(BB)*
Period	(µg m <sup>-3</sup> )	(%)	(%)			
BMP	$0.2\pm0.1$	$0.08\pm0.06$	0–0.9	0–0.3	$77.9\pm5.8$	$22.1\pm5.8$
TMP	$0.2\pm0.3$	$0.06\pm0.1$	0.02-1.8	0–1.1	$82.2\pm 6.2$	$17.8\pm 6.2$
AMP	$0.2\pm0.1$	$0.05\pm0.05$	0.01–0.9	0–0.3	$80.8\pm4.0$	$19.2\pm4.0$
SPP	$4.4\pm5.7$	$0.7\pm0.9$	0.2–32.8	0.04–10.3	$83.0\pm6.7$	$17.0\pm6.7$
Bio. **	$0.8 \pm 0.3$	$0.8\pm0.4$	0.05-1.2	0–1.5	$58.1\pm16.7$	$41.9 \pm 16.7$

925 \* a represents average, r for range, f for fraction;

926 \*\* Bio. stands for the two biomass burning events as noted in the main text.

927
Danian	Time	AAE values	BC avg. conc.	Emotion $(0/)$	Reference	
Region	Time	(at wavelengths, nm)	(µg m <sup>-3</sup> )	Fraction (%)		
East China Sea <sup>c</sup>	Mar. 2017	0.9–1.3	0.8–3.6	2.5–11 or	(V ( 1 2010)	
	May, 2017	(370–950)		45–60 for BrC	(Yu et al., 2018)	
Central Adriatic <sup>c</sup>	Feb.–Jul., 2019	1.25–1.49	$0.57\pm0.64$	79 for BC(FF)	(Milinković et al.,	
		(470/950)		21 for BC(BB)	2021)	
Bay of Bengal <sup>c, n</sup>	Dec., 2008–Jan.,	1.81–1.98	$5.1 \pm 3.0^{\circ};$	<10 for BC(FF)	(Kedia et al., 2012)	
	2009	(370–950)	$2.5\pm1.4^{\rm n}$	>85 for BC(BB)		
South China Sea <sup>n</sup>	SepOct. 2019	-	$1.9\pm0.4$	-	(Wang et al., 2022)	
South China Sea <sup>c</sup>	Dec. 2017	1.2–1.5	6.6–4.9	-	(Sun et al., 2020c)	
		(375/880)				
South China Sea <sup>n</sup>	May–Jun. 2021	1.02–1.14 or 1.93	$0.33\pm0.38$	78-82 for BC(FF)	This study	
		(370–950)		18–22 for BC(BB)		
				BB events:		
				58 for BC(FF)		
				42 for BC(BB)		

929 and cruise measurements using the AE series aethalometer.

930 coastal site measurement;

931 <sup>n</sup> cruise (remote) measurement.





937 and navigation, respectively.

938 Figure 1. Map of the cruise route for the campaign in the South China Sea during May 05 Jun 9, 2021. The ship route is dated by

939 the intensity bar at the top. The open squares and solid triangles indicate the single particle sampling location, collected during stop

940 and navigation, respectively.

941





- 952 see). All data points are shown in dots style.







962 All data points are shown in stick to <u>-to-</u>zero style.





Figure 5Figure 4. Lognormal fitting of particle size distribution using Feret diameter determined from the TEM images with the
ImageJ analysis during navigation (a) and stop (b). The histograms are set with a bin starting at 50 nm, a bin width of 20 nm, and a
total bin number of 200.





Figure 6Figure 5. Examples of the BC TEM images and their corresponding Feret diameter (D), fractal dimension (D<sub>f</sub>) and
 Lacunarity (L) based on the boxing counting method from the fractal analysis: (a-c) BC particles collected during navigation and
 (d-f) during stop. More sampling information can be found in Table S1 of SI (serial numbers N4, N7, N10, S2, S13, S18, etc.).









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995 sulfate (c, f) at 18:07 on Jun 01. The EDS spectra were collected by focusing the electron beam in the TEM and the illuminated area

- 996 covers the center of the particle for elemental analysis. The blue arrows indicate tar balls and the red arrow indicates amorphous
- 997 carbon. The EDS is obtained from beam focus on the center of the particle.
- 998 Figure 7. The example TEM images and their corresponding EDS spectra of tar ball particles collected during navigation: tar balls
- 999 mixed with sea salt (a, d) at 8:55 on May 27, tar balls mixed with OC, sulfate (b, e), and tar balls mixed with sulfate (e, f) at 18:07
- 000 on Jun 01. The EDS spectra were collected by focusing the electron beam in the TEM and the illuminated area covers the particle
- 1001 for elemental analysis.



1006 100, 500 and 1000 m using the HYSPLIT model.













Figure 11. The absorption Angström exponent (AAE, all wavelengths) vs AE33 BC concentration for before monsoon period (BMP),
 transition monsoon period (TMP), after monsoon period (AMP), and ship pollution period (SPP).



Figure 12. The absorption Angström exponent (AAE) vs the Delta-C concentration for the two biomass burning events: BB-1 at
6:00-7:00 on May 15 and 15:00-22:00 on May 16 during BMP, and BB-2 at 15:00-23:00 on May 30 and 00:00 on May 31 during
TMP. The BB-1 and BB-2 data points are marked in solid circles and open squares, respectively.





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2021/05/06

2021/05/16

2021/05/26

Local time (UTC+8)

(b)

SPp (Screened) I BC(FF) I BC(BB)

2021/06/05

1040



1044 Figure 13. Source apportionment of the BC particles using the two-component AAE model (AAE=1 for foil fuel (FF) and AAE=2

1045 for biomass burning (BB): (a) before monsoon period (BMP), transition monsoon period (TMP), after monsoon period (AMP), and

046 (b) ship pollution period (SPP). The shaded and unshaded areas sequentially indicate the cruise routes from AB, B to C, C to D, D

1047 to E, E to E (ship stop), E to B, B to D, and D to A, as marked in Figure 1.

1048 Figure 13. Source apportionment of the BC particles using the two two-component AAE model (AAE=1 for foil fuel (FF) and

049 AAE=2 for biomass burning (BB): (a) before monsoon period (BMP), transition monsoon period (TMP), after monsoon period

1050 (AMP), and (b) ship pollution period (SPP).

# 1 Supporting Information for

2	Morphological and optical properties of carbonaceous aerosol particles from ship emissions and							
3	biomass burning during a summer cruise measurement in the South China Sea							
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20 21	This supplement contains 12 sections, 1 table, and 16 figures.							

#### 22 1. Calculation of the cut size diameter of the TEM sampler

A single-stage cascade impactor, equipped with a jet nozzle of 0.3 mm in diameter, was used for single
particle sampling. The Stokes number is defined in Eqs. (1–2) (Marple and Olson, 2011). The cut-size
diameter, which is defined as the diameter corresponding to a 50% collection efficiency, can be derived
using Eq. (3).

27

28

$$Stk = \frac{\rho_p \, c_c d_p^2 U}{_{9\eta W}} \tag{1}$$

$$U = \frac{Q}{\pi (\frac{W}{2})^2}$$
(2)

30 
$$d_{p_{50}} = \sqrt{Stk_{50}} \sqrt{\frac{9\eta\pi W^3}{4\rho_p C_c Q}}$$
(3)

31

where Stk is Stokes number, and the square root of the Stk corresponding to 50% collection efficiency ( $\sqrt{Stk_{50}}$ ) is 0.47 assumed a jet Reynolds number of 3000;  $\rho_p$  is particle density assumed as 1.5 g cm<sup>-3</sup>; C<sub>c</sub> is Cunningham's slip correction factor, approximately 1;  $\eta$  is air (or gas) viscosity, 1.8134×10<sup>-5</sup> Pa·s at 293 K, a constant under normal atmospheric condition. U represents the average air (or gas) velocity at the nozzle exit; Q is the volumetric flow rate through the nozzle and is equal to 1 L min<sup>-1</sup>; W is the nozzle diameter and is 0.3 mm;  $d_{p_{50}}$  is the cut point particle diameter at the 50% collection efficiency.

# 38 2. Single particle analysis using the ImageJ's plugin

39 Figure S1 shows examples of TEM images using the software program ImageJ for single particle analysis. 40 Figure S1a is captured before beam focus, which is subsequently used for single particle analysis in Figure S1b. However, particles No. 2 and No. 13 (indicated by the red arrow) were manually excluded 41 42 from the statistical analysis due to overcounting. In Figure S1c, volatile components were vaporized after 43 beam focus, leaving nonvolatile compositions such as BC residual on the substrate (e.g., particles indicated by the blue arrow). The outline of BC aggregates was extracted using ImageJ's Frac Lac plugin 44 (deep ImageJ) for fractal dimension calculation, which is based on the boxing counting method, for 45 46 example, the image inside the blue rectangle on the lower right corner of Figure S1c.

47 In the boxing counting method, the theoretical basis for  $D_f$  calculation is following Eq. (4).

$$D_f = \frac{\ln N}{\ln \varepsilon} \tag{4}$$

49 where  $D_f$  is fractal dimension, N is the number of the primary monomers of the aggregate,  $\varepsilon$  is the 50 scale factor relating to the radius of gyration, the average radius of the monomer and fractal prefactor 51 (Sorensen and Roberts, 1997). 52 Lacunarity measures gap and heterogeneity to complement fractal dimensions in describing

complexity. It uses box mass instead of box count as mentioned in the Fraclac guidelines in the ImageJ
software. The Fraclac calculates L from the pixel distribution in the TEM binary image.



Figure S1. Example images of the single particle analysis using ImageJ's plugin: (a) Before beam focus
in the TEM image, (b) particles marked with numbers in yellow using ImageJ, and (c) after beam focus
in the TEM image.

### 59 **3.** Meteorological data for single particle sampling during navigation and stop

The time series of ship heading, relative wind direction (RWD), and relative wind speed (RWS) with a 3-sec time resolution in the South China Sea during the campaign (May 05–June 09, 2021) is shown in Figure S2. The RWD and RWS varied considerably and frequently due to the operational starts and stops (halts) of the ship for other tasks. The 10-min averaged RWD and RWS data were determined based on vector calculations. Detailed meteorological data, encompassing the 10-min average for single particle sampling during navigation and stop, are listed in Table S1. The sampling location for single particle sampling is shown in Figure S3.

Note that the samples collected during navigation were free from interference from the own ship emission due to high relative wind speeds (>5 m s<sup>-1</sup>) and appropriate relative wind directions (0°–80°,  $280^{\circ}-360^{\circ}$ ). Samples collected with wind speeds below 5 m s<sup>-1</sup> or at relative wind direction in the range



70 of  $80^{\circ}$ -280° were air masses mixed with the own ship emissions.

Figure S2. Time series of ship heading, relative wind direction (RWD), and relative wind speed (RWS) during the campaign in the South China Sea (SCS). The shaded and unshaded areas sequentially indicate the cruise routes from A to B, B to C, C to D, D to E, E (ship stop), E to B, B to D, and D to A, as marked in Figure 1 in the main text.

	<b>TILOINE</b> 1 1 1 1	1 1 10 1	• 1	. 1 1 1	1 • •	1 .
/6	<b>Ignie NI</b> Meteorological c	lata on the 10-min ave	erage single har	rficle sampling c	11111110 navio	ration and ston
10	rable of meteorological e		nuge single put	there sumpring c	rai ing na i ig	unon una stop

Serial	Sampling	Р	RH	S.R.	Temp.	RWS*	RWD*
number	start time	(hPa)	(%)	(W m <sup>-2</sup> )	(°C)	(m s <sup>-1</sup> )	(°)
N1	2021/5/10 11:18	$1008.3\pm0.0$	$81.0\pm0.7$	$961.9\pm42.4$	$29.7\pm 0.0$	$10.5\pm0.6$	$341.7\pm50.7$
N2	2021/5/11 8:24	$1007.9\pm0.0$	$83.3\pm0.5$	$491.6\pm10.1$	$28.9\pm 0.1$	$9.8\pm0.5$	$320.2\pm46.7$
N3	2021/5/11 19:00	$1006.6\pm0.1$	$75.5\pm 0.5$	-	$29.7\pm 0.0$	$6.4\pm 0.8$	$336.1\pm21.1$
N4	2021/5/12 8:13	$1007.5\pm0.1$	$78.8\pm 0.6$	$474.5\pm32.9$	$29.4\pm 0.1$	$7.0\pm 0.4$	$327.7\pm53.1$
N5	2021/5/15 19:15	$1006.6\pm0.0$	$77.8\pm 1.9$	-	$30.2\pm0.1$	$6.2\pm0.7$	$60.1\pm37.2$
N6	2021/5/16 12:35	$1007.5\pm0.0$	$76.9\pm0.7$	$989.8 \pm 13.2$	$29.8\pm 0.0$	$10.8\pm0.7$	$340.3\pm59.0$

N7	2021/5/17 14:40	$1006.6\pm0.0$	$72.2\pm0.9$	$758.7\pm7.7$	$30.0\pm0.0$	$10.0\pm0.6$	$16.9\pm47.7$
N8	2021/5/18 8:47	$1009.3\pm0.0$	$79.3\pm 0.5$	$647.1\pm68.5$	$30.1\pm0.0$	$7.3\pm 0.5$	$12.3\pm59.7$
N9	2021/5/18 18:10	$1007.0\pm0.0$	$75.8\pm 0.4$	$28.5\pm 6.1$	$30.7\pm0.0$	$5.3\pm0.4$	$14.2\pm50.4$
N10	2021/5/21 16:16	$1006.2\pm0.1$	$74.0\pm0.5$	$244.8\pm 62.2$	$30.2\pm0.0$	$6.0\pm1.2$	$16.8\pm29.5$
N11	2021/5/22 15:32	$1005.3\pm0.0$	$82.1\pm0.7$	$551.3\pm140.5$	$28.5\pm 0.1$	$6.3\pm1.0$	$57.4 \pm 19.6$
N12	2021/5/27 8:55	$1009.2\pm0.0$	$76.1\pm0.7$	$666.6 \pm 16.4$	$29.8\pm 0.1$	$7.0\pm0.4$	$291.8\pm 45.9$
N13	2021/6/1 18:07	$1004.7\pm0.0$	$76.1\pm0.3$	$78.6 \pm 14.6$	$30.3\pm0.0$	$8.0\pm0.5$	$40.0\pm45.2$
N14	2021/6/3 10:50	$1005.4\pm0.1$	$77.9\pm 0.7$	$151.9\pm 6.7$	$30.1\pm0.0$	$10.1\pm0.5$	$313.2\pm 61.3$
N15	2021/6/8 10:18	$1008.8\pm0.0$	$86.2\pm0.4$	$259.7\pm40.5$	$28.4\pm 0.1$	$5.1\pm0.7$	$58.6 \pm 19.7$
S1	2021/5/9 14:36	$1007.2\pm0.0$	$74.6\pm0.5$	$739.4 \pm 164.0$	$29.4\pm 0.1$	$1.8\pm0.7$	$242.4\pm 62.3$
S2	2021/5/9 15:30	$1006.6\pm0.1$	$75.3\pm 0.8$	$686.9\pm32.3$	$29.5\pm0.2$	$3.0\pm 0.6$	$238.5\pm42.3$
S3	2021/5/13 9:07	$1006.6\pm0.0$	$77.1\pm0.6$	$709.6 \pm 12.6$	$30.4\pm0.0$	$0.1\pm1.3$	$222.1\pm32.9$
S4	2021/5/13 19:15	$1005.8\pm0.0$	$65.0\pm1.4$	-	$30.4\pm0.1$	$5.5\pm2.1$	$95.9\pm67.1$
S5	2021/5/14 10:50	$1006.9\pm0.0$	$75.4\pm0.5$	$932.7\pm4.1$	$30.9\pm0.1$	$2.4\pm0.7$	$193.3\pm38.7$
S6	2021/5/16 21:50	$1008.3\pm0.1$	$77.3\pm 0.8$	-	$29.8\pm 0.1$	$3.7\pm 0.5$	$68.3 \pm 48.3$
S7	2021/5/18 21:12	$1008.0\pm0.0$	$77.0\pm 0.0$	-	$30.5\pm0.0$	$0.5\pm0.4$	$128.1\pm51.4$
S8	2021/5/19 8:42	$1008.2\pm0.0$	$74.8\pm0.6$	$661.4\pm 6.3$	$31.0\pm0.1$	$2.1\pm0.6$	$123.0\pm32.5$
S9	2021/5/20 18:00	$1007.7\pm0.1$	$68.5\pm0.5$	$54.4\pm8.6$	$31.6\pm0.1$	$0.3\pm0.3$	$109.5\pm40.4$
S10	2021/5/22 8:40	$1008.0\pm0.1$	$73.8\pm0.6$	$278.1\pm136.2$	$30.2\pm0.1$	$1.3\pm0.3$	$43.1\pm 66.1$
S11	2021/5/23 8:39	$1007.6\pm0.1$	$74.9\pm0.7$	$646.7\pm9.9$	$30.1\pm0.1$	$3.2\pm 0.6$	$83.0\pm 61.8$
S12	2021/5/23 20:43	$1008.6\pm0.0$	$80.4\pm0.7$	-	$29.1\pm 0.1$	$3.6\pm 0.6$	$91.5\pm 69.4$
S13	2021/5/24 8:01	$1009.3\pm0.0$	$74.9\pm0.3$	$526.1\pm10.5$	$30.3\pm0.1$	$2.5\pm0.5$	$133.4\pm43.6$
S14	2021/5/24 16:03	$1007.1\pm0.0$	$75.7\pm 0.8$	$94.5\pm4.9$	$30.4\pm0.1$	$2.4\pm0.7$	$76.9\pm 48.1$
S15	2021/5/25 9:21	$1010.1\pm0.0$	$77.6\pm0.5$	$734.1\pm86.0$	$29.8\pm 0.1$	$4.7\pm0.5$	$317.3\pm47.0$
S16	2021/5/30 22:11	$1002.9\pm0.1$	$96.0\pm0.0$	-	$27.3\pm 0.0$	$2.0\pm0.3$	$108.9\pm68.7$
S17	2021/6/2 9:10	$1007.2\pm0.0$	$78.5\pm 0.5$	$675.9 \pm 17.1$	$29.8\pm 0.1$	$3.1\pm 0.4$	$17.6\pm42.1$
S18	2021/6/5 18:23	$1003.0\pm0.1$	$83.8\pm0.4$	$2.3\pm0.5$	$29.0\pm 0.1$	$11.8\pm0.9$	$279.0\pm36.0$
S19	2021/6/7 8:45	$1006.7\pm0.1$	$86.3\pm0.9$	$110.9\pm36.6$	$27.8\pm 0.1$	$4.1\pm3.2$	$105.5\pm45.3$

\* The relative wind direction and wind speed are 10-min vector average.







Figure S3. Map of the ship route in the South China Sea during the campaign. The open triangles in (a)
and squares in (b) indicate the single particle sampling location, collected during navigation and stop.
The samples marked in N1 to N15 for navigation sampling and S1 to S19 for stop sampling in serial. The
solid circles indicate the fire spots with a confidence level greater than 80% using MODIS satellite data.

#### 84 4. AAE calculation

The long-range biomass burning transport affects the air mass in the South China Sea (SCS). Two methods were used to obtain the hourly absorption Ångström exponent (AAE) values from the AE33 measurements. Figure S4a shows an example of the AAE calculation for a ship plume at 18:00 on May 5. Figure S4b demonstrates the linear relationship between the AAE values obtained from all wavelengths and those obtained from a pair of wavelengths at 470 and 950 nm. The fitting results indicate that AAE (all wavelengths) was lower than AAE (470, 950 nm) with a fitting slope of 0.78 and a determination coefficient (R<sup>2</sup>) of 0.98.



93



94 Figure S4. (a) A ship plume at 18:00 on May 05 for the wavelength-dependent absorption Angström 95 exponent (AAE) based on the hourly averaged data, (b) AAE obtained from all the wavelengths vs the 96 AAE obtained from two wavelengths at 470 and 950 nm based on hourly averaged data during the 97 campaign.

#### 98 5. Typhoon 202103 (CHOI-WAN)

Typhoon 202103 (CHOI-WAN) was born on 18:00 UTC, May 30, 2021, and dead on 6:00 UTC, June 5,
2021. We met this typhoon during our cruise measurement. Figure S5 shows the best track of the map
and central pressure chart. Basic information is available online (<u>http://agora.ex.nii.ac.jp/digital-</u>
typhoon/summary/wnp/s/202103.html.en).



# 109 6. Multi-peak fitting of single particles

110 We didn't successfully obtain a bimodal or multi-peak fit for data of the stop cases using the multi-peak

#### 111 fitting function in Igor Pro software, as shown in Figure S15-6.



112

Figure S6. Multi-peak fit particle size distribution using Feret diameter determined with Igor Prosoftware during stop (b).

115

# 116 7. TEM images and EDS spectrum of the BC particles and the tar balls

Figure S7 shows the TEM images of the three Navigation samples before and after beam focus, revealing the presence of external and internal BC particles. Figure S8 presents the representative single particles and their corresponding EDS spectra for the navigation samples, indicating that the major components are: (a, c) BC and sulfate, (b) sulfate, (d) sea salt, organics and BC. Notably, detecting nitrogen (N) element in EDS is challenging due to its high vaporization rate, whereas potassium (K) serves as a tracer for biomass-burning in the BC- and sulfate-containing particles.

The stop samples, shown in Figure S9, exhibit both internal mixtures and externally large aggregates of the BC particles. The EDS point analysis of freshly emitted BC particles in Figure S9c reveals the presence of very thin coating elements. In summary, the stop single particles were influenced by both the own ship emissions and long-range transport air masses.

Figure S10 depicts example images of tar balls mixed with black carbon in the geometrical size range of 159–190 nm from the single particles collected during stop on May 14 and 23, 2021. The backward trajectories suggest that the air masses were originated from the Philippines, possibly due to biomass burning during those days. Figure S11 shows example images of pure BC particles, consisting of nanosoot particles with a diameter of 40–50 nm. Obviously, the size of tar balls is significantly larger than

# 132 that of nano-soot spheres.



133

Figure S7. The example TEM images before (a, b, and c) and after (d, e and f) electron beam focus for the single particles collected during navigation. The same color arrows in each pair of images (a and d, b and e, c and f) indicate the same single particles.



137

Figure S8. Examples of the EDS spectra for the single particles from the navigation samples. Si and Cu are excluded from the particle composition. (a) BC, and thin sulfate coating  $(Na_2SO_4, K_2SO_4)$ , (b) sulfate  $(Na_2SO_4, K_2SO_4)$ , (c) BC, and thick sulfate coating, and (d) BC, sea salt. The orange spots indicate the point analysis of EDS spectra. The right spectrum corresponds to each left particle. The Y-axis is the intensity (counts) and X-axis is the energy (KeV).



145 Figure 89. The example TEM images (a, b, c) of BC particles collected during stop. The orange spots

146 indicated the point analysis of the EDS spectra (the left part c1 and the right part c2) are for the image c.






Figure S10. Example images of tar ball-containing particles collected during stop: (a) tar balls (170–190
nm) mixed with black carbon (BC) and sea salt on 10:50 May 14 2021; (b) tar balls consisting of 159 nm
spherical particles on 8:39 May 23, 2021. The red arrows indicated BC particles and the blue arrows
indicated tar balls.



- 154 Figure S11. Images of (a) aggregated BC particles, (b) BC made of small 40–50 nm nano-soot spheres.
- 155 The S11(b) image is a magnification of the part in the red rectangle in panel a.

#### 156 8. The diurnal average variation of OC, EC

Figure S12(a, b) shows the linear relationship between the Magee AE33 derived BC at 880 nm and the 157 158 Sunset derived optical EC at 660 nm, with a time resolution of 1 min and 1h, respectively. The limit of detection (LOD) for optical EC, as determined by the Sunset OC/EC analyzer, is 0.062 µg C m<sup>-3</sup>, based 159 160 on the blank filter analysis of three times the standard deviation ( $3\sigma$ ). The fitted correlation between the 161 two variables in Figure S12a has a slope and intercept of the 0.97 and 0.44, respectively, with a 162 determination coefficient ( $R^2$ ) of 0.68. However, the linear correlation between the AE33 derived BC and the Sunset EC at a time resolution of 1 h has a slope and intercept of 1.66 and -0.01, respectively, with a 163 164 higher  $R^2$  of 0.91 (Figure S12b). In addition, Figure S12c displays the correlation between the optical EC 165 and thermal EC data measured by the Sunset instrument. The slope and intercept of the fitted line are 1.55 and -0.21, respectively, with  $R^2=0.97$ . The differences of the two instruments are mainly attributed 166 167 to the technical principles of the methods used for the data processing. Similar results have been reported 168 in other studies (Brown et al., 2019).

169

170



Figure S12. The linear relationship between the AE33 derived BC and the Sunset derived optical EC
with 1-min time resolution (a), thermal EC with 1-h time resolution (b), and Sunset derived optical EC
vs thermal EC with 1-h time resolution (c) for all the data during the campaign in the SCS.

# 174 **9.** Possible biological particles collected during the campaign

175 Two examples of possible biological particles were collected on two different days. Figure S13a displays

176 brocosomes, which are known to be produced by leaf-hopping insects. This finding is supported by a

177 previous study (Fu et al., 2012). Figure S13b depicts a rod-like particle that has yet to be identified.



Figure S13. (a) Flower-like biological particles collected at 10:50 on May 14, (b) Rod-like biological
particles collected at 8:01 on May 24.

### 181 10. CALIPSO observation

Cloud-Aerosol Lidar & Infrared Satellite Observation (CALIPSO) is a remote sensor on board the 182 TERRA and AQUA satellites. CALIPSO observation can provide vertical and horizontal distribution of 183 184 the cloud and aerosol layers using the elastic backscatter intensities (extinction-to-backscatter ratio) at an Nd: YAG laser wavelength of 532 and 1064 nm near the nadir of the orbit track. CALIPSO L1 Standard 185 186 V4.20 products are available from the NASA Langley Research Center (https://wwwcalipso.larc.nasa.gov/tools/data avail/). Images of vertical feature mask (VFM) and aerosol subtype (AS) 187 188 were used to show the vertical and horizontal properties of clouds, aerosol layer and identification (Liu 189 et al., 2019; Omar et al., 2009). Convective transport is important to the vertical distribution of aerosols 190 (Niu et al., 2019).

Figures S14 and S15 show the orbit track location, vertical feature mask, and aerosol subtype at 6:00 on May 15, and 19:30 on June 07, respectively. These images show that polluted continental/smoke and elevated smoke exist in the aerosol layer with an altitude of 1–3 km over the SCS regions and Southeast Asia.



196 Figure S14. (a) Orbit track location indicated by blue curve, (b) vertical feature mask, and (c) aerosol

197 subtype at UTC 6:00 on May 15, a time before the summer monsoon started in the SCS.

195



Figure S15. (a) Orbit track location indicated by blue curve, (b) vertical feature mask, and (c) aerosol subtype at UTC 19:30 on June 07, a time after summer monsoon passed in the SCS.

199

#### 203 11. Time resolution and accuracy for the automatic weather station

The time resolutions for the original meteorological and GPS data are 3 seconds. The position accuracies for the X and Y axes are 1 cm +1 ppm RMS (root mean square), and for Z axis is 2 cm +1 ppm RMS. The accuracy of wind speed and wind direction is  $\pm 0.2$  m s-1 (or 3% of reading) and  $\pm 2^{\circ}$ , respectively. The accuracy of temperature with RS-485 output at +20 to +60 °C is  $\pm (0.07 + 0.0025 \times$ temperature) °C. The accuracy of relative humidity at -20 to + 40 °C is  $\pm (1 + 0.008 \times \text{reading})$  %RH. The accuracy of pressure with factory calibration is  $\pm 0.15$  hPa (Class A).

210

# 211 12. Additional BC fractal analysis

212 A combination of BC particles in this study collected in the South China Sea and previous BC



213 particles collected on an island in the East China Sea (Sun et al, 2020) is shown in Figure S16.

215 Figure S16. The size-dependent fractal dimension (Df) and lacunarity (L) for each BC particle during navigation

and stop. A total number of 240 data points are shown in Figure S16. LRT and indicated particles from long-range

217 transport and local pollution, respectively.

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