

# Real-Time Pollen Identification using Holographic Imaging and Fluorescence Measurements

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**Abstract.** Over the past few years, a diverse range of automatic real-time instruments has been developed to respond to the needs of end users in terms of information about atmospheric bioaerosols. One of them, the SwisensPoleno Jupiter, is an airflow cytometer used for operational automatic bioaerosol monitoring. The instrument records holographic images and fluorescence information for single aerosol particles, which can be used for identification of several aerosol types, in particular different pollen taxa. To improve the pollen identification algorithm applied to the SwisensPoleno Jupiter and currently based only on the holography data, we explore the impact of merging fluorescence spectra measurements with holographic images. We demonstrate, using measurements of aerosolised pollen, that combining information from these two sources results in a considerable improvement in the classification performance compared to using only a single source (balanced accuracy of 0.992 vs. 0.968 and 0.878). This increase in performance can be ascribed to the fact that often classes which are difficult to resolve using holography alone can be well identified using fluorescence and vice versa. We also present a detailed statistical analysis of the features of the pollen grains that are measured and provide a robust, physically-based insight into the algorithm's identification process. The results are expected to have a direct impact on operational pollen identification models, particularly improving the recognition of taxa responsible for respiratory allergies.

## 1. Introduction

Over the past decades a considerable increase in aeroallergen-related diseases such as asthma or allergic rhinitis has been observed (Ring et al. 2001; Woolcock et al. 2001; Woolcock et Peat 2007). This has resulted in a rise in associated direct and indirect health costs in terms of hospitalisation, medication costs and absence from work (Zuberbier et al. 2014; Greiner et al. 2011). Currently, the prevalence of pollen allergy ranges between 10 to 30% of the population in Westernised countries and up to 40% of children in high-income countries (Pawankar et al. 2011). In future, the relevance of pollen as an allergen may increase further as a result of climate change, which perturbs the life cycle of plants through drier environmental conditions and increased temperatures. Stressed plants tend to have an earlier and/or longer blooming season (Ziello et al. 2012) and

33 produce more pollen with higher concentrations of allergens (Damialis et al. 2019; Beggs 2016; D'Amato et al. 2016), possibly  
34 further contributing to the increase and severity of allergic diseases. For these reasons, systems to measure airborne pollen  
35 concentrations are essential to meet public health challenges associated with respiratory allergies. Through real-time  
36 measurements and the development of forecast models (Chappuis et al. 2020), they can help reduce health costs with better  
37 diagnosis and prevention, thus helping patients to better manage their symptoms.

38  
39 Most European countries started monitoring pollen in the second half of the 20<sup>th</sup> century using Hirst-type instruments (Hirst  
40 1952) with manual identification and counting part of the process (Clot 2003; Spieksma 1990). However, this method provides  
41 data at low time resolution, typically daily mean values, after a processing time of up to 10 days. The spread of pollen grains  
42 on the collection band and the limited sampling (Oteros et al. 2017) mean that data at higher temporal resolutions, or at low  
43 concentrations (below 10 pollen grains/m<sup>3</sup>), have considerably increased uncertainty (Adamov et al. 2021). Although little  
44 data is available to study atmospheric pollen phenomena at high temporal resolutions, it is widely expected that pollen  
45 production and dispersal processes take place at sub-daily scales since they are highly influenced by local meteorological  
46 environmental conditions (Rojo et al. 2015; Rantio-Lehtimäki 1994). Provision of real-time pollen data is also crucial for  
47 forecasting purposes, since models can then integrate these real-time data to deliver considerably improved forecasts (Sofiev  
48 2019).

49  
50 Over the past few years, several instruments designed for real-time pollen monitoring have come onto the market (Crouzy et  
51 al. 2016; Oteros et al. 2015), as comprehensively reviewed in previous work (Huffman et al. 2020; Buters et al. 2022; Maya-  
52 Manzano et al. 2023). Among the most promising instruments are airflow cytometers which allow the characterisation of  
53 particles almost in real-time as they pass through the instrument and enable continuous monitoring with high temporal  
54 resolution (10 minutes as for weather parameters or below) over a whole season. In particular, the SwisensPoleno Jupiter  
55 (developed by Swisens AG, Switzerland) is an instrument for bioaerosol identification which can take in-flight holographic  
56 images of particles and measure their fluorescence (FL hereafter) (Sauvageat et al. 2020; Tummon et al. 2021; Lieberherr et  
57 al. 2021). Coupled with a machine learning (ML) algorithm, it has been shown to perform well for pollen monitoring even if  
58 the algorithm uses just the holographic data (Sauvageat et al. 2020; Crouzy et al. 2022; Maya-Manzano et al. 2023).

59  
60 The FL data has to date not been used for pollen identification with the SwisensPoleno Jupiter. Sauvageat et al. 2020 reached  
61 an accuracy above 96% for eight of the main allergenic pollen species in central Europe (*Ambrosia artemisiifolia*, *Corylus*  
62 *avellana*, *Dactylis glomerata*, *Fagus sylvatica*, *Fraxinus excelsior*, *Pinus sylvestris*, *Quercus robur* and *Urtica dioica*) using  
63 only holographic images. However, some species have similar morphologies which can cause misclassifications and thus lower  
64 the algorithm performance, as previously identified in Sauvageat et al. 2020. In this paper, we investigate whether FL helps  
65 discriminate single pollen grains between different allergenic taxa based on their chemical compositions to reduce the level of

66 confusion resulting from their similar shapes. Moreover, we also verify whether the FL measurements are consistent for each  
67 species when using different SwisensPoleno units.

## 68 **2. Material and Methods**

69 In this work we investigate the impact of including the set of FL measurements, constituting the particle FL spectra, as input  
70 for pollen identification using artificial neural networks. We trained and assessed the performance of three neural networks  
71 with the same dataset but using different inputs: only holographic images (holo), only FL spectra (FL), or both (combined).  
72 The performance of each model is evaluated using classical metrics, here the balanced accuracy, the F1-score, and Matthew's  
73 Correlation Coefficient (MCC) as defined in Chicco et al. 2020, as well as the (relative) error rate derived from the accuracy.

### 74 **2.1. Pollen holography and fluorescence dataset**

75 The SwisensPoleno Jupiter measures particles in flight, in the size range from 0.5 to 300  $\mu\text{m}$ , as they pass through the  
76 instrument. When a particle triggers the detector, holographic images are taken by two cameras which are both orthogonal to  
77 the direction of flight and at  $90^\circ$  to each other. These images are greyscale with a resolution of 200 by 200 pixels after numerical  
78 reconstruction and cropping, with each pixel representing a square of  $0.595 \times 0.595 \mu\text{m}$  in the physical domain. Right after the  
79 holographic images, FL is measured using the Laser Induced Fluorescence (LIF) method. FL is then sequentially induced by  
80 three excitation sources and captured in five different wavelength channels, for a total of 15 measured FL intensities. For each  
81 source, the FL is induced by shooting at the particle at the moment it passes the detector and the FL subsequently emitted by  
82 the particle is captured by Silicon Photomultipliers (SiPM). The FL lifetime is also measured but is not used in the present  
83 work. The three different excitation wavelengths are 405, 365, and 280 nm, while the reception wavebands are 333-381, 411-  
84 459, 465-501, 539-585, and 658-694 nm. In the following, we will refer to each waveband by its central wavelength, i.e., 357,  
85 435, 483, 562, and 676 nm. Note that the first measurement channel is saturated by scattered light when the 365 nm excitation  
86 source is activated. Also, for single-photon excitation, we expect to measure no signal in the first measurement channel when  
87 the 405 nm source is active. This effectively reduces the useful intensity measurements to 13. The FL data requires additional  
88 pre-processing to simplify its usability and improve robustness. More details on these steps are provided in Section 2.2. Finally,  
89 the SwisensPoleno Jupiter also performs polarised scattered light measurements, which are however not used in the present  
90 work. We therefore limit the analysis to characterisation of particle morphology using digital holography and chemical  
91 composition with FL intensity measurements. From hereon, we refer to the set of holographic images and FL measurements  
92 for each individual particle as "an event". A more extensive description of the data collection process is provided in Sauvageat  
93 et al. 2020.

94  
95 This study is based on a pollen dataset created by aerosolising freshly collected pollen at the Swiss Federal Office of  
96 Meteorology and Climatology MeteoSwiss (hereafter MeteoSwiss) station in Payerne, Switzerland. In total, the dataset  
97 consists of measurements from 57'300 pollen grains distributed among seven different wind-pollinated and allergy relevant

98 plant taxa as reported in Table 1. For simplicity, we will refer to these taxa also as "classes" and only the genus name will be  
 99 used to refer to each of them. In Figure 1, we present examples of reconstructed images for the different classes considered in  
 100 this work. To compare results across different instruments (of the same type), all measurements were performed using two  
 101 SwisensPoleno Jupiter systems denoted P4 and P5. The counts for each pollen taxa and SwisensPoleno are also given in Table  
 102 1.

103  
 104 The pollen samples were collected from a single tree for *Alnus*, *Betula*, *Corylus*, *Fagus*, and *Quercus*, from two different trees  
 105 for *Fraxinus*, and from a few neighbouring stems for the grass *Cynosurus*. After collection, pollen was brought to the outdoor  
 106 measurement site and aerosolised. This was achieved using a SwisensAtomizer which disperses particles using a vibrating  
 107 membrane and an airstream. Samples are thus scattered in a chamber and drawn into the instrument, producing a regular flow  
 108 of pollen grains. To prevent the pollen from drying out, plants that were not more than 15 km away from the MeteoSwiss  
 109 station were selected, which means it was possible to aerosolise samples soon after collection (usually within one hour). Pollen  
 110 samples were analysed using two instruments one after another implying a time lag between the data for P4 and P5, which  
 111 ranges from just 35 minutes for *Alnus* to 80 minutes for *Quercus* (the mean time lag is 60 minutes). For *Fraxinus* there is no  
 112 such lag since the data come from two different samples that were measured on different days. Datasets for all the considered  
 113 pollen taxa were created in 2020, except *Alnus* and *Corylus* which are from early 2021.

114

Class (common name)	Latin scientific name	Number of events for P4	Number of events for P5
Alder	<i>Alnus glutinosa</i>	8416	2643
Birch	<i>Betula pendula</i>	6128	5458
Hazel	<i>Corylus avellana</i>	4714	4444
Crested Dog's-Tail (Grass)	<i>Cynosurus cristatus</i>	5895	2117
Beech	<i>Fagus sylvatica</i>	2178	2827
Ash	<i>Fraxinus excelsior</i>	2557	4837
Oak	<i>Quercus robur</i>	3036	2050
	TOTAL	32924	24376

115 **Table 1: Distribution of pollen counts per taxa and Poleno.**

116

## 117 2.2. Data pre-processing

118 The datasets required to train the algorithms were generated as follows. First, the holographic data for each class were cleaned  
 119 to eliminate any non-pollen events or events associated with other pollen taxa. This was achieved with additional filters on  
 120 shape properties (image features computed after binarisation as described in Sauvageat et al. 2020), which were appropriately  
 121 selected for every class by heuristic visual inspection of the holographic images. Thereafter, for each event the background

122 signal caused by scattered light was subtracted from the raw FL measurement. This background especially disturbs the low FL  
123 intensity measurements where the scattered light dominates relative to the particle signal. The background signal was obtained  
124 by conducting measurements with no particles present in the measurement chamber, leaving just the scattered light induced  
125 by the excitation source. If the subtraction caused the final signal to be negative due to noise, the resulting value was set to  
126 zero to avoid numerical instabilities that our ML model would not be able to deal with. Finally, since the absolute FL  
127 compensated by the scattered light is still dependent on the measuring system, the particle size, and the particle position within  
128 the measurement volume, we transformed it into relative FL. Namely, the relative fluorescence intensity  $r_{ij}$  for measurement  
129 channel  $i$  and excitation source  $j$  is obtained by dividing the absolute FL intensity  $a_{ij}$  by the sum of the FL intensities on all  
130 channels  $k$  for the same excitation source  $j$  :

$$131 \quad r_{ij} = \frac{a_{ij}}{\sum_k a_{kj}}$$

132 Using relative FL, although we lose the absolute FL intensities, allows measurement systems to be compared without specific  
133 data modification. The inter-compatibility aspect is especially important when considering a measurement network. Thanks to  
134 this standardisation, the same algorithm can be used for all systems in the network rather than adjusting the classification  
135 algorithm individually for each measurement system.

### 136 **2.3. Data exploration**

137 Before applying any ML algorithm, it is important to explore the data to better understand their characteristics. In the following,  
138 the distributions of the various holographic image features as well as typical relative FL spectra for the different pollen types  
139 are investigated. We also explore the structure of the data using dimension reduction.

140  
141 To get other characteristic features from the reconstructed holographic images, further image processing steps are conducted  
142 using the Python package "Scikit" (Van der Walt et al. 2014). Physically-based particle features, such as the minor and major  
143 axes, the area, the eccentricity, and the particle brightness (mean intensity of the pixels reproducing the particle) are computed  
144 for each image separately. Other statistics were calculated based on image features, e.g., the equivalent area diameter defined  
145 as the diameter of a circle with the same area as the particle. The distribution of these features for each pollen class and each  
146 measurement system were analysed separately and are presented in the Results section.

147  
148 As previously discussed, alongside the holography images, relative FL spectra are used for enhanced characterisation of the  
149 pollen grains. During data exploration, we observed inconsistent results for the 405 nm laser excitation, which upon further  
150 inspection revealed a misalignment of this laser in one of the measurement systems. For this reason, we will only use the 280  
151 nm and 365 nm excitation throughout the rest of the present work. The distributions of the valid FL spectra are presented and  
152 discussed in the Results section.

153

154 As a way to explore all the features of the dataset at once, we performed dimensionality reduction. We used the Uniform  
155 Manifold Approximation and Projection (McInnes et al. 2018), called UMAP, on the input data of each model (holo, FL and  
156 combined). This technique allows us to plot multidimensional data as points on a plane, therefore it gives an insight on how  
157 similar/different data points are depending on how far from another they are in the plane.

## 158 **2.4. Machine Learning Model**

159 To handle the classification task, we randomly split the data into training (75%) and test (25%) sets and chose a multi-layer  
160 "deep" artificial neural network to learn how to identify pollen grains based on the training set. This network maps input data  
161 from the holographic images and relative FL spectra to the different pollen classes. The full network, built using the ML  
162 framework Keras (Chollet et al. 2015), is shown in Figure 2. To handle the image input, an EfficientNet B0 model pre-trained  
163 on ImageNet is used (Tan et Le 2019). It achieves state-of-the-art performance for classification tasks. For treating the spectral  
164 information, a single fully connected (denoted FC hereafter) hidden layer with 255 neurons is used. As a pre-trained model,  
165 the parameters of EfficientNet B0 are frozen and therefore not modified in the training on the pollen dataset. However, the  
166 parameters of the layers after it are optimised according to the training data. The results of the two feature extraction networks  
167 are concatenated, then dropout is added and finally the result is passed to the decision layer. The width of this FC decision  
168 layer matches the number of classes (seven in this case). Lastly, the output is normalised by a softmax layer to obtain a  
169 probability distribution. To compute the loss, we used the cross-entropy function between the predicted and reference classes.  
170 To ensure a fair comparison, each model was trained for exactly 200 epochs. In training runs where only images or only relative  
171 FL spectra were used, the path not used was removed from the model graph (Figure 2). The figure shows the model with both  
172 features active.

173 The models were evaluated using a test set consisting of 25% of the data from both instruments, sampled randomly. We used  
174 balanced accuracy, F1 score and Matthew's Correlation Coefficient (MCC) as metrics to assess the model performance. For  
175 accuracy, the corresponding confidence intervals were calculated via normal approximation as explained in Raschka 2020. It  
176 is important to note that the model used here is a baseline and has not undergone hyper-parameter optimisation, therefore no  
177 validation set has been defined in order to keep a maximum of data for training. This means that a degradation of scores is  
178 possible when applying the model to operational data as all sorts of pollen taxa can be encountered considering that other  
179 particles are filtered out before the classification. Nonetheless, the present study does not aim to provide an operational model  
180 but simply investigate the potential of using FL as a complement to holography for single particle identification.

## 181 **3. Results**

### 182 **3.1. Feature observations**

183 Important observations can already be made by looking at basic geometrical features derived from holographic images. As an  
184 example, we consider the distributions of equivalent area diameter and eccentricity in Figure 3 (a) and (b). Note that for

185 geometrical features, the value associated with each particle is the largest result obtained for the pair of holographic images.  
186 Regarding the equivalent area diameter, its distribution provides information about the size of the pollen grains for a given  
187 class. As illustrated in Figure 3 (a), *Fagus* pollen grains are typically large with a maximum equivalent area diameter of 45-55  
188  $\mu\text{m}$ , which corresponds to the literature (Halbritter et al. 2021) and is clearly larger than all other classes we considered in our  
189 study. Conversely, the distribution of the eccentricity gives an insight on how round the pollen grains are. In that case,  
190 *Cynosurus* pollen grains have the roundest shape with a maximal eccentricity between 0.4 and 0.55 (0 representing a circle  
191 and 1 an ellipse), whereas *Quercus*' values are in the range 0.8-0.9 due to its more elliptical shape. These characteristics can  
192 also be observed on the holographic images in Figure 1. While the eccentricity is used to give a hint on the symmetry of the  
193 pollen grain, further metrics could be introduced to further quantify symmetry. This was not implemented in the present study  
194 as feature extraction is done automatically by the convolutional neural network.

196 The distributions of the relative FL spectra allow us to identify some classes that have distinct FL signatures. Figure 3 (c) and  
197 (d) show the distribution of the relative FL for the two excitation-emission combinations where the differences between taxa  
198 are the largest. The excitation sources are at 280 and 365 nm with emission channels at 357 and 435 nm respectively. In Figure  
199 3, we observe, for both plot (c) and (d), clear differences in relative FL for *Cynosurus*, which presents considerably higher  
200 values compared to the other taxa. In addition, differences between instruments show that P4 and P5 have similar  
201 measurements in the 280/357 nm but P5 has significantly lower measurements for *Corylus* and *Cynosurus* in the 365/435 nm.  
202 Overall, all combinations of excitation sources and emission channels provide relevant information for pollen characterisation  
203 and the ones presented in Figure 3 (c) and (d) represent well the type of patterns that can be observed.

205 Finally, the UMAP plots, given in the left column of Figure 4, show how different or similar are the image and FL features of  
206 each taxon. We observe a clear distinction based on morphology (Figure 4 (a)) for *Fagus* and *Quercus*, with *Cynosurus* also  
207 having only little overlap with *Corylus*. However, the latter and especially *Betula* and *Alnus* are clearly mixed up. In Figure 4  
208 (b), the UMAP on FL spectra does not exhibit the same group structure as for morphology. Here, *Fagus* and *Cynosurus* are  
209 plainly detached from the remaining groups which are themselves imbricated. Ultimately, all groups are fully separated when  
210 building the UMAP on both morphology and FL features. We observe a correspondence between the separation of groups on  
211 the UMAPs and the capacity of the ML model to classify those classes correctly.

### 212 3.2. Classification performance

213 The classification results for each model are given as confusion matrices in Figure 4 and summarised in Table 2. We observe  
214 in these results that the holo model globally performs better than the FL model when training on a single modality. The FL  
215 model indeed encounters difficulties distinguishing some classes such as *Quercus* and *Fraxinus* or *Betula* and *Corylus* (Figure  
216 4 (b)) which exhibit similar relative FL spectra. When considering the morphology of *Quercus* and *Fraxinus* (Figure 3 (a) and  
217 (b)), it is not surprising that the holography model performs better at differentiating these classes as they present significantly

218 distinct shapes. As the performance for the single-input models here is already (very) high, minor dips in performance can  
 219 make a notable difference. Combining holography and FL improves the performance compared to the single input models for  
 220 every taxon considered, except for *Fagus* and *Cynosurus* that already obtain perfect scores with single input models. The  
 221 performance gain is noteworthy as the combined model achieves an overall balanced accuracy of 99.2% compared to either  
 222 96.8% or 87.8% for the individual holography or FL models respectively. As a complement, the confidence intervals associated  
 223 with the accuracy of each model for each taxon are displayed in Figure 5. The non-overlapping of the confidence intervals  
 224 indicates a statistical difference between accuracies. The combined model outperforms both single-input models for five of the  
 225 seven taxa, namely, *Alnus*, *Betula*, *Corylus*, *Fraxinus* and *Quercus*. Thus, logically, the balanced accuracies of the holo and  
 226 FL models are significantly lower than that of the combined model (see Table 2). It follows that the absolute error rates, defined  
 227 as 1 minus the accuracy, of the holo- (3.2%) and FL-only (12.2%) models are respectively 4 and 15 times higher than that of  
 228 the combined model (0.8%). This indicates that mistakes in particle identification occur for roughly 3 particles over 100 for  
 229 the holo model, 12 particles over 100 for the FL model but less than 1 particle over 100 for the combined model.

230

Model	Balanced accuracy	F1-score	MCC
Holography only	0.968, [0.965; 0.970]	0.964	0.958
FL only	0.878, [0.874; 0.882]	0.890	0.874
Combined	0.992, [0.991; 0.993]	0.992	0.991

231 **Table 2: Classification performance of each model. The balanced accuracy, with its associated 95% confidence interval,**  
 232 **represents the average of the recalls (ratio of correct prediction over total count for each class), ranging from 0 to 1.**  
 233 **The F1-score is the harmonic mean of the precision and recall, ranging from 0 to 1 and MCC stands for Matthew's**  
 234 **Correlation Coefficient and is a robust metric for classification performance, ranging from -1 to 1.**

#### 235 4. Discussion

236 The results, based on measurements of aerosolised pollen grains, show that combining FL with holography leads to a  
 237 substantial identification performance gain. The differences between the combined model accuracy and both single-input  
 238 models confirm the findings from the UMAPs. This demonstrates that by combining the two inputs, the complementary  
 239 morphological and biochemical properties of pollen grains can be used for a better classification. Although it seems small, the  
 240 gain in accuracy is important for the field of aerobiology and specifically pollen monitoring since pollen grains only represent  
 241 a minor part of all the particles in the air. Since pollen concentrations typically range from a few grains (< 10) to a few hundred  
 242 grains per cubic metre, and the thresholds for allergy symptoms are usually around a few tens of grains per cubic metre (Gehrig  
 243 Bichsel et al. 2017; Pollen.lu 2003), misclassifications can have an impact on the information provided to allergic people.  
 244 Above all, high identification accuracy is particularly important for plants with highly allergenic pollen such as *Ambrosia*  
 245 *artemisiifolia* (common ragweed) as a few grains are sufficient to cause allergy symptoms.

246



247 Not only is the combined model's accuracy superior to the other models, but this gain is specifically important for some key  
248 pollen taxa. Indeed, the group of *Alnus*, *Betula* and *Corylus*, all from the Betulaceae family, is known to be difficult to classify  
249 accurately and presents a very high allergic potency with possible cross-reactivity in central and northern Europe (Puc et  
250 Kasprzyk 2013). Thus, the excellent classification performance obtained here opens the gate towards better monitoring by  
251 using holography together with fluorescence data. In addition, the consistent FL signal in between instruments and the available  
252 excitation sources and measurement channels characterise single pollen grains precisely even though the 405 nm excitation  
253 source was set aside. Also, the combinations of excitation and emission wavelengths used in the Poleno correspond to the most  
254 prominent fluorescence modes for a variety of dry pollen studied in (Pöhlker et al. 2013). The coherence between our results  
255 and those from Pöhlker et al. 2013 brings confidence into our measurements and the stability of the Poleno. In future work,  
256 the 405 nm excitation source needs to be included to verify its potential for improvement.

257

258 When working with images, choosing neural networks for classification is the obvious solution to be sure not to lose  
259 information by using the image itself as input. However, the discrimination of pollen taxa using the UMAP dimension  
260 reduction method shows that working with features derived from the holographic images is also a possibility for pollen  
261 classification. Future work testing other machine learning methods on image features and fluorescence spectra needs to be  
262 conducted as other classifiers may perform similarly while being cheaper in terms of computational resources. In addition, the  
263 main limitation of this study, focusing on a reduced number of pollen taxa and manually aerosolised pollen, should be  
264 overcome in following work by gathering more data to train a broader model and test it on operational data.

265

266 In the end, we expect the benefit of combining holography with FL measurements for pollen classification to have a positive  
267 impact on the capacity of models to discriminate different pollen taxa. Moreover, in an operational setup, the benefit of using  
268 FL in addition to holography could be even higher as it would allow for an easy distinction between biological and non-  
269 biological particles (e.g. water droplets, sand particles or dust) assuming that they do not fluoresce. Yet, the extent of the gain  
270 in the real case scenario remains to be quantified as the dataset used in this study probably does not catch all the environmental  
271 variability. For example, in ambient air, pollen can break into fragments also impacting allergy sufferers but not currently  
272 monitored.

## 273 5. Conclusion

274 The present study demonstrates the potential of using FL measurements as a complementary input to holographic images for  
275 single-grain pollen identification using the SwisensPoleno and ML algorithms for the most important allergy causing pollen  
276 taxa in Central Europe. The capacity of the ML model to identify pollen grains depends on both inputs and they compensate  
277 each other when one does not provide enough information for accurate identification. As a result, the performance of the  
278 combined model is systematically higher than either of the models trained with a single input. The restricted and artificially

279 aerosolisedpollen dataset used in this study has several limitations, but it still provides strong evidence for the complementary  
280 role of FL and holography.

281

282 In conclusion, we recommend the use of relative FL as a secondary input for automatic pollen identification using the  
283 SwisensPoleno Jupiter. In this study, we tested its contribution on a restricted dataset, showing that the contribution of FL is  
284 of great value for operational networks where similar pollen taxa can be encountered. Finally, the use of relative FL for  
285 automatic pollen identification further opens the door towards a larger and more precise monitoring of bioaerosols. For  
286 example, objects which are challenging to identify using holographic imaging only, such as fungal spores, could be added to  
287 the panel of particles.

288

#### 289 **Author contribution**

290 EG, SE and YZ conducted the study and contributed equally as main authors. SL guided the machine learning aspects and  
291 supervised PW in his work on the relative fluorescence. AB, BCl, GL and FT contributed to writing, and BC<sub>r</sub> supervised the  
292 study and contributed to writing.

293

#### 294 **Competing interests**

295 EG and YZ are employees of Swisens AG, and AB is a member of the editorial board of AMT. The investigations were carried  
296 out in compliance with good scientific practices and the declared relationships have no effect on the results presented. The  
297 peer-review process was guided by an independent editor, and the authors have also no other competing interests to declare.

298

#### 299 **Acknowledgements**

300 We would like to thank all the co-authors for their support, advice and help in the various aspects of this study. This work was  
301 partly funded by the Swiss National Science Foundation (IZCOZO\_198117) and by Swisens (AG).

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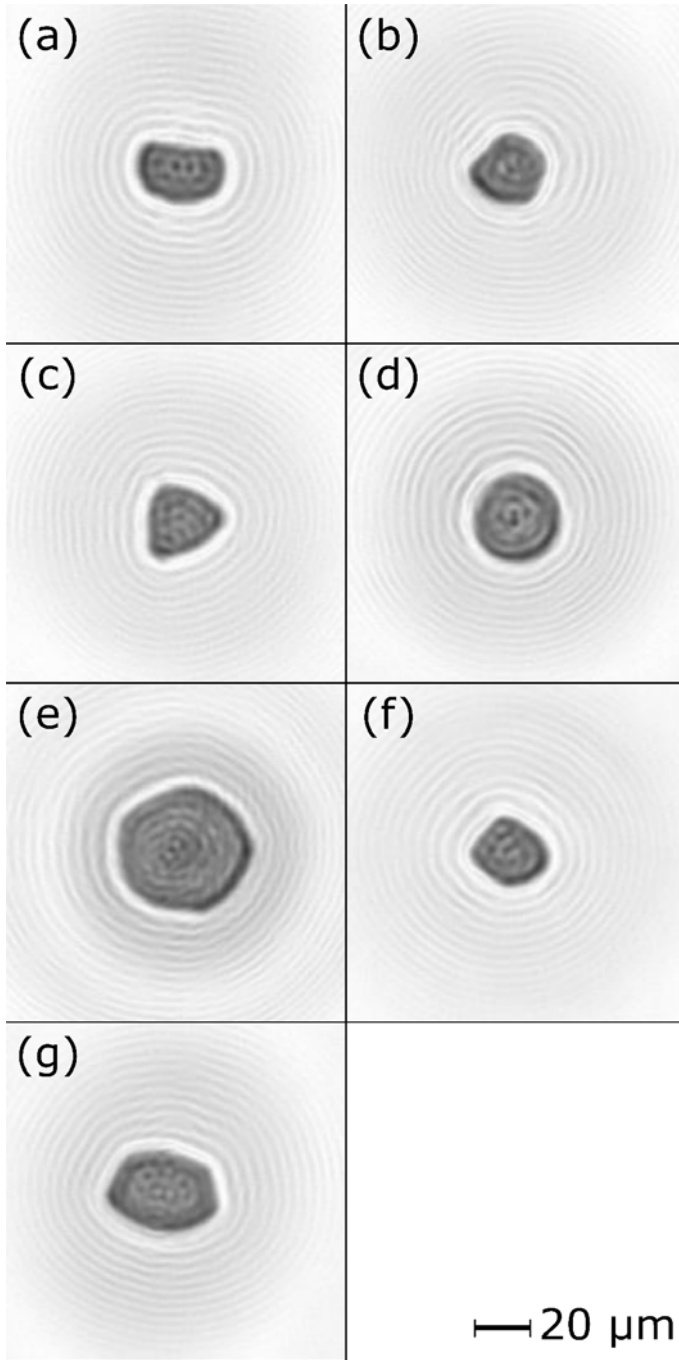
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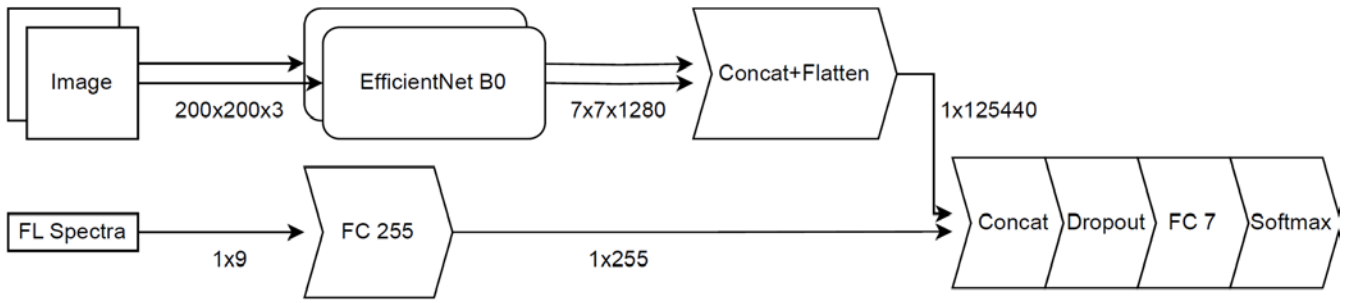
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399

400 **Figure 1: Holographic images of pollen after numerical reconstruction: (a) *Alnus glutinosa* (b) *Betula pendula*, (c)**  
401 ***Corylus avellana*, (d) *Cynosurus cristatus*, (e) *Fagus sylvatica*, (f) *Fraxinus excelsior*, (g) *Quercus robur***



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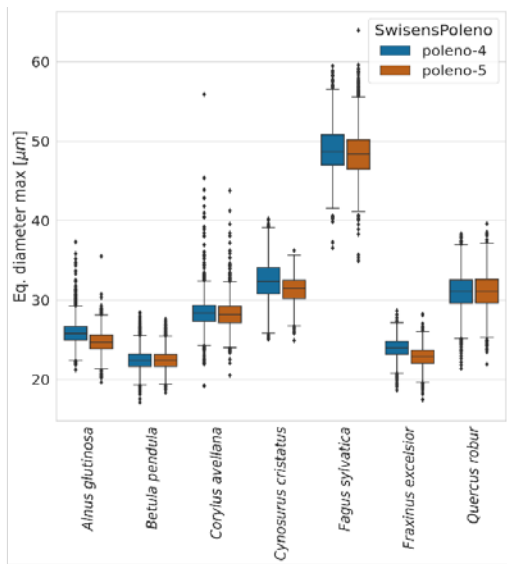
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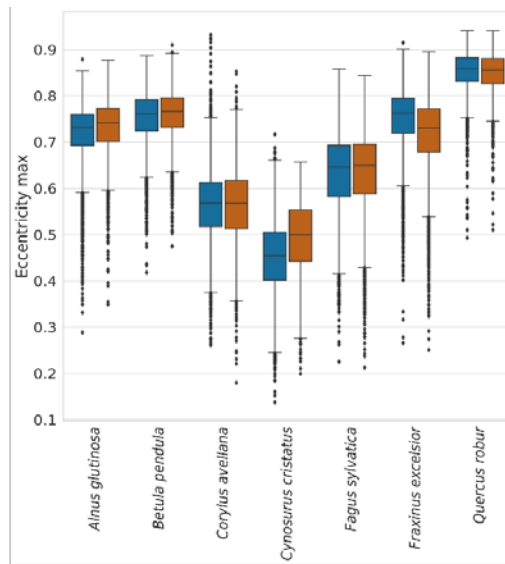
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**Figure 2: ML model structure used to classify the pollen data. The top path handles the holographic image data while the bottom path processes the relative FL spectra data. The numbers on the connecting lines denote the dimensions of the data.**

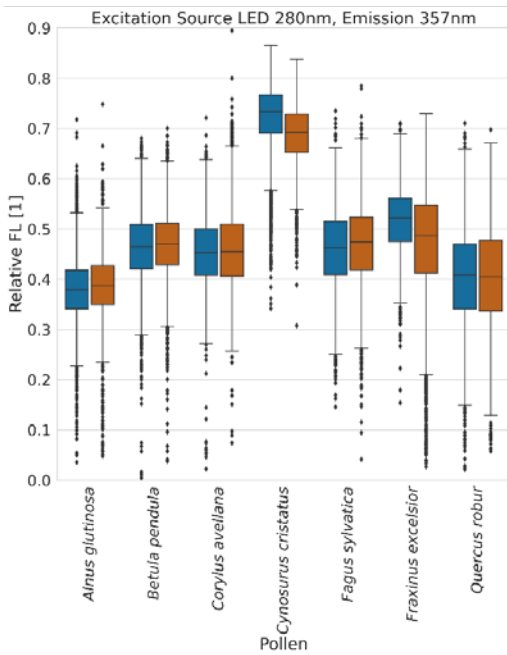




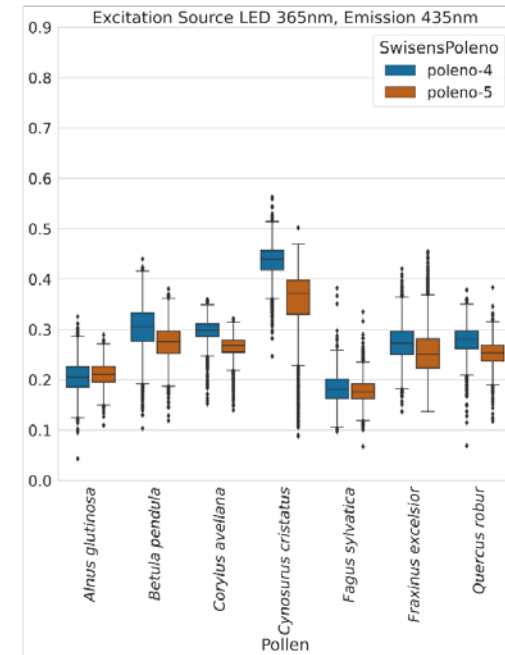
(a)



(b)



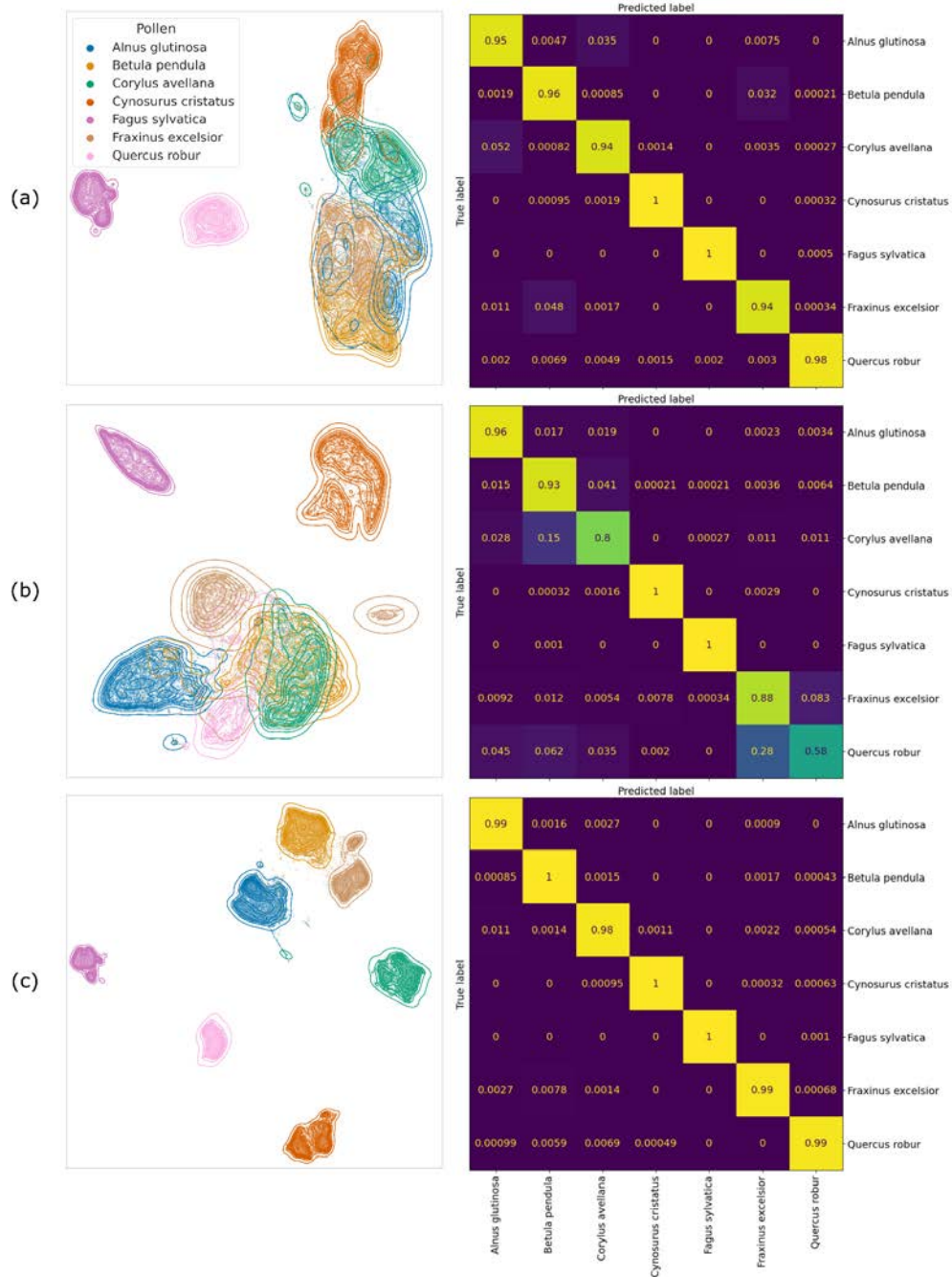
(c)



(d)

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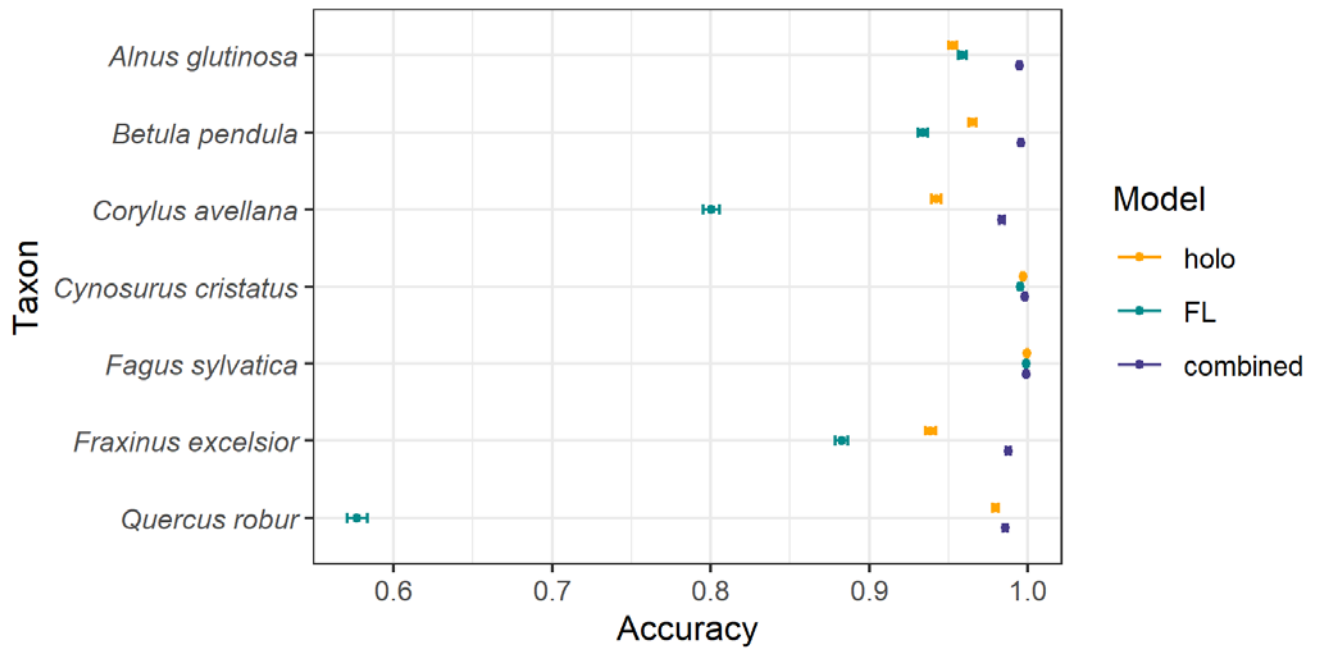
407 **Figure 3: Distribution of holographic image features (upper plots) and relative FL (bottom plots) for each pollen class**  
 408 **and measurement system. (a) Maximum equivalent area diameter in  $\mu\text{m}$ , defined as the diameter of a circle with the**  
 409 **same area as the particle, (b) Maximum eccentricity, defined as the deviation of the ellipse fitted to the particle from a**  
 410 **perfect circle, ranging from 0 for a circle to close to 1 for an ellipse. (c) Measured relative FL intensity with 280 nm**  
 411 **excitation and detector with centre wavelength 357 nm and (d) with 365 nm excitation and detector 562 nm.**



412

413 **Figure 4: Left side: Uniform Manifold Approximation and Projection (UMAP) of particle features (morphology or/and**  
 414 **FL features) of all the data. Right side: Confusion matrices indicating the performance of each model on the test set.**  
 415 **Line (a) holography only, line (b) relative FL only and line (c) combined relative FL and holography. UMAP settings:**  
 416 **neighbours = 15, minimum distance = 0.001, random state = 42.**

417



418 **Figure 5: Accuracy of each model for each taxon. The error bars represent the 95% confidence intervals.**