1	High-resolution automated detection of headwater streambeds for large watersheds
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15 Abstract: Streams are defined by the presence of a streambed, which is a linear 16 depression where water flows between discernible banks. The upstream 17 boundary of a stream is called a channel head. Abstract: Headwater streams, which are small streams at the top of a watershed, account for the majority of 18 19 the total length of streams, yet their exact locations are still not well known. 20 For years, many algorithms were used to produce hydrographic networks that 21 represent headwater streams with varying degrees of accuracy. Although digital elevation models derived from LiDAR have significantly improved 22 23 headwater stream detection, the performance of the algorithms on landscapes 24 with different geomorphicgeomorphologic characteristics remains unclear. 25 Here, we address this issue by testing different combinations of algorithms 26 using classification trees. Homogeneous hydrological processes were identified through hydrological classification. Quaternary deposits. The results 27 28 showed that in shallow soil that mainly consists of till deposits, the use of 29 algorithms that recreatesimulate the surface runoff process provide the best 30 explanation for the presence of a streambed. In contrast, streambeds in thick soil with high infiltration rates were primarily explained by a small-scale 31 incision algorithm. Furthermore, the use of an iterative process that 32 33 recreates simulate water diffusion made it possible to more accurately detect 34 streambeds more accurately than all other methods tested, regardless of the 35 hydrological classification. The method developed in this paper shows the 36 importance of considering hydrological processes when aiming to identify 37 headwater streams.

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40 1. Introduction

41 Streams are characterized by the presence of natural linear depressions, called streambeds. Streambeds, which are mostly formed by fluvial processes, consist of a bed floor and banks, 42 and are identified morphologically. The upstream location of a streambed is generally 43 recognized as being the beginning of a stream and is referred as the channel head. At times, 44 streambeds can be discontinuous or diffuse, leading to subjective identification of 45 46 streambeds in the field and influence the determined location of the surveyed channel head 47 (Dietrich and Dunne, 1993; Wohl, 2018). On a large scale, headwater streams are 48 extremely important to maintain natural hydrological processes. Indeed, they are representing about two-thirds of the total length of streams in a large watershed (Leopold 49 50 et al., 1964). Because they have varied ecosystems that include ecotones, headwater 51 streams support rich and diverse fauna and flora (Meyer et al., 2007). In addition, 52 headwater streams provide many ecological services to humans, including good quality 53 drinking water (Alexander et al., 2007; Freeman et al., 2007) and flood control (St-Hilaire et al., 2016). Creed et al. (2017) estimated that for 2.9 million km of headwater streams in 54 the United States, 15.7 trillion US \$ in ecological services are provided annually. 55

56 Cartographic information on headwater streams at national or provincial scales are largely 57 derived from photointerpretation of stereoscopic aerial photography. This is the main 58 method used for the Géobase du réseau hydrographique du Québec (GRHQ) in Quebec 59 province, Canada. This geodatabase combines and standardizes several sources of 60 hydrographic data, covering an area of 154 million hectares and representing millions of 61 hydrographic features identified from aerial photos. Unfortunately, this method, as other
62 National Hydrography Dataset (NHD) underestimates the true length of streams and is
63 especially inaccurate when identifying where streams begin and where they become
64 permanent-perennial (Hafen et al., 2020). Streambeds are often imperceptible on
65 stereoscopic images where only the wide valleys are evident (Montgomery and Dietrich,
66 1994).

67 Other methods based on a digital elevation model (DEM) have been used for several years to detect streams. These methods, used to produce hydrographic networks, can be divided 68 69 into two main categories: channel initiation and valley recognition (Lindsay, 2006). The 70 channel initiation method can be used to identify the potential locations of streambeds by thresholding a flow accumulation raster by a minimum drainage area (Band, 1986; Fairfield 71 72 and Leymarie, 1991; Jenson and Dominque, 1988; O'Callaghan and Mark, 1984). Valley 73 recognition can be used to detect streambeds locally through a moving window that identifies specific pattern depending on the algorithm used (Passalacqua et al., 2012; 74 75 Peucker and Douglas, 1975; Tribe, 1992). Other authors have attempted to include the 76 slope to a flow accumulation raster in order to produce more explicit models (Elmore et 77 al., 2013; Henkle et al., 2011; James et al., 2010; Montgomery and Foufoula-Georgiou, 78 1993). These methods have been widely used with coarse resolution DEMs (greater than 79 10 m) that have generally been derived from aerial photos. 80 High resolution geospatial data from Light Detection and Ranging (LiDAR) technology 81 allows for more accurate detection of headwater streams. These data have recently been

82 made available over large areas, by providing topographic data on the microtopography

83 under the forest canopy and allowing the creation of DEMs with unprecedented accuracy

84 (Murphy et al., 2008; Wulder et al., 2008). The hydrographic networks generated with 85 these new DEMs are much more accurate than those derived from photointerpretation or those produced from DEMs with a coarser resolution (Goulden et al., 2014). These DEMs 86 87 allow for the subdivision of a larger number of small, previously undetected watersheds, 88 thus generating multiple headwater streams, and consequently, many branches. Various 89 authors have attempted to use these DEMs to improve the accuracy of hydrographic 90 networks and the position of channel heads. LiDAR-derived DEMs have been used to detect streams both locally (Cho et al., 2011; James et al., 2007) and through channel 91 92 initiation using a drainage area threshold (Murphy et al., 2008; Persendt and Gomez, 2016). 93 Other authors have attempted to include the slope to a flow accumulation raster in order to oduce more explicit models (Elmore et al., 2013; Henkle et al., 2011; James et al., 2010; 94 95 Montgomery and Foufoula-Georgiou, 1993). While these methods LiDAR-derived DEMs 96 are more representative of the local impact of water, they still ignore the heterogeneity of 97 an area and the many other elementsQuaternary deposits that can affect bedstreambed 98 formation. Among other things, some authors noted the sensitivity of local flow direction 99 to the elevation error of the DEM (Hengl et al., 2010; O'Neil and Shortridge, 2013; 100 Schwanghart and Heckmann, 2012). DEMs derived from LiDAR data were also used to 101 quantify the variability of permanent perennial stream flow lengths, although those studies 102 did not specify where the streambed begins (Jensen et al., 2018, 2019; Van Meerveld et al., 103 2019). To the best of our knowledge, no study has addressed streambed detection using 104 LiDAR data while considering both channel initiation and valley recognition methods 105 (Heine et al., 2004) on a territory with heterogeneous territory at the geomorphological 106 levelgeomorphologic characteristics, such as slope or Quaternary deposits (Wu et al.,

107	2021). Also, no study uses such a large validationcalibration database from real
108	observations acquired in the field.
109	The main objective of our <u>this</u> study is to detect headwater streambeds at a provincial scale.
110	OurSpecific objectives are to consider hydrological processes through Quaternary deposits
111	and to use simple, well-documented streambed detection methods that can be exported to
112	different geomorphologic contexts with local calibration data. The proposed method
113	overcomes the many challenges that have limited this information efficient streambed
114	detection in the past. These challenges include highly heterogeneous
115	geomorphologicalgeomorphologic characteristics (such as surfaceQuaternary deposits)
116	and strong anthropization of the land-, as observed in numerous agricultural watersheds
117	where headwater streams have been linearized and deepened (Couture, 2023; Sanders et
118	<u>al., 2020).</u>
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119 2. Study areas

120 The study areas were located in the Appalachian Mountains, St. Lawrence Lowlands, Southern Laurentides Highlands and Abitibi Lowlands natural provinces, according to the 121 Quebec Ecological Reference Framework (Fig. 1). This reference framework divides the 122 123 territory of Quebec into spatially homogeneous units at various, intertwined levels. The 124 different levels describe homogeneous units in terms of landform, spatial organization, and 125 hydrographic network configuration (Direction de l'expertise en biodiversité, 2018). The 126 diversity of the natural provinces thus selected provides a general description representation 127 of the headwater streams in Quebec. These natural provinces have distinct hydrological 128 processes resulting from geological structure and Quaternary deposits.

129 The Southern Laurentides Highlands is mostly covered by till, the most widespread 130 surfaceQuaternary deposit in the province of Quebec (Blouin and Berger, 2004; Gosselin, 131 2002). This natural province is mountainous, with altitudes varying from 200 to 1200 m. 132 The bedrock mainly consists of gneiss. SurfaceQuaternary deposits are generally thin on 133 summits and steep slopes and thicker on valley bottoms and gentle slopes. The land in the 134 Southern Laurentides Highlands is largely forested. In the Appalachian Mountains, the 135 surfaceQuaternary deposits are somewhat similar in distribution to those in the Southern Laurentides Highlands, although they are thicker in certain areas. However, the bedrock in 136 137 the Appalachian Mountains is sedimentary and therefore very different from the Southern 138 Laurentides Highlands. The altitude here varies from 0 to 1200 m. Unlike the Southern Laurentides Highlands, there is high anthropization of this natural province due to 139 140 urbanization and agriculture (Gosselin, 2005a). In the St. Lawrence Lowlands, agricultural activity is also widespread. The surfaceQuaternary deposits in this region are highly 141

142 heterogeneous and are mainly derived from marine and glaciolacustrine 143 geomorphic geomorphologic processes. These processes lead to thick soils of sorted material, including clay and sand. These, in turn, create deposits that range from 144 145 impermeable to very permeable. In addition to clay and sand, organic deposits are also 146 present. The elevation of the St. Lawrence Lowlands is generally less than 100 m, as it was 147 formed from the Champlain Sea during deglaciation (Gosselin, 2005b). In the Abitibi 148 Lowlands, the surfaceQuaternary deposits are rather thick and consist of silt and clay. These deposits were produced by marine and lacustrine invasions and are conducive to the 149 150 formation of large peatlands. Therefore, the area is relatively flat with altitudes varying 151 from 0 to 350 m. Where present, the bedrock is made of basalt and gneiss (Blouin and Berger, 2002). 152

153 Precipitation is not seasonal, but rather constant throughout the year in all study areas. 154 Precipitation amounts are quite homogeneous and range from 900 mm/year to 1100 mm/year, except in Southern Laurentides Highlands where it can reach 1450 mm/year. 155 156 Approximately 20 % of the precipitation falls as snow during the cold season, except in the 157 coldest regions such as the Abitibi Lowlands and the higher altitude areas of the Southern 158 Laurentides Highlands where the proportion of snow can reach 30%. Indeed, the average annual temperature of all the study areas is 3° C to 5° C, except for these two regions where 159 160 it is 0° C (MELCC, 2022).





Figure 1 : Study areas in the Appalachian Mountains, St. Lawrence Lowlands, Southern
 Laurentides Highlands and Abitibi Lowlands natural provinces. <u>Red polygons represent</u>
 <u>watersheds where field surveys were carried out.</u> [Color is not required for this figure.]
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168 3. Methods
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169 3.1. Field surveys
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Field based data collection is essential to fully understand stream flow patterns. Field
surveys were conducted from 2017 to 2021 during summer periods using an EOS GNSS
Arrow 100 sub-meter precision GPS. The horizontal accuracy of these devices is

173 \pm 0.6 m in open areas and \pm 1.2 m in forested areas (Estrada, 2017). These devices were 174 connected to rugged cell phones in order to use the ArcGIS Field Maps application to 175 integrate data collection forms as well as relevant background maps.

176 The positions of streams were recorded from downstream at drainage area generally under 177 10001 000 ha to upstream until the streambed completely disappeared. The flow regime, 178 the width of the streambed, the extent of the water occupation in the streambed and the 179 presence or the absence of a water flow were collected along de stream path to establish a high level of understanding. A position was taken on the streams every 50 m or so where 180 181 a streambed was present, i.e. where the stream had a bed floor and banks formed by a 182 fluvial process. Other positions were also taken to identify where there was no streambed. 183 These This information were was essential for consistent calibration and validation of 184 streambeds.

To ensure consistent data collection, a 50 m x 50 m grid was used to determine which areas should be fully surveyed. These areas were mostly located at headwater streams in order to be able to include channel heads. This procedure was essential to properly assess the upstream boundary of the headwater streams and precisely record where the streambeds begin, where they flow from the watershed to the <u>permanentperennial</u> stream, and where they are absent.

191 *3.2. Variables used for analysis*

The geomatic manipulations were mainly performed with the ArcGIS Desktop 10.7
software package, including the Spatial Analyst and 3D Analysis extensions. The opensource SAGA-GIS (Conrad et al., 2015) and WhiteboxTools (Lindsay, 2016a)
software'ssoftware were also used.

196 The variables used for analysis were produced from 1 m resolution DEMs of the different 197 areas. These were generated from LiDAR data fromby the MFFP (Ministère des Forêts, de la Faune et des Parcs), with a density of around 2.5 points/m². LiDAR acquisitions were 198 199 conducted from 2016 to 2019 (Leboeuf and Pomerleau, 2015), with the exception of except 200 for a few areas. The road network was carefully examined in order to include and burn all 201 culverts that could affect the flow direction (Lessard et al., 2023). HydrographicIndeed, 202 hydrographic networks are greatly affected by deviations caused by the embankment of the roads. This type of anthropic influence must therefore be minimized in order to generate 203 204 coherent flow direction (Li et al., 2013). Furthermore, the use of a breaching algorithm 205 allowed to generate hydrologically coherent DEMs prior to hydrographic modeling 206 (Lindsay, 2016b; Lindsay and Dhun, 2015). Physiographic factors must also be considered 207 during the modeling process as they significantly influence the location of channel heads 208 and the flow regime along streams. On the local scale, where the precipitation regime is 209 uniform (Tucker and Slingerland, 1996), slope, hydraulic force and sediment cohesion 210 generally dictates streambed formation (Dietrich and Dunne, 1978). The influence of these 211 factors is variable depending on the type of surfaceQuaternary deposit (Dietrich and 212 Dunne, 1993; Dunne and Black, 1970; Montgomery and Dietrich, 1994).

213 SurfaceQuaternary deposits can be used to assess which processes are involved in the 214 formation of a streambed. Indeed, thereThere are two major types of streambed formation 215 processes. The first type involves surface processes, which occurs when soil that has low 216 permeability is exposed to rainfall amounts that exceed the infiltration capacity of the 217 ground, causing surface runoff (Horton, 1945). Then, when the power of the water exceeds 218 the cohesion of the sediments, usually in concavities, a streambed forms (Dietrich and

219 Dunne, 1978). The second type involves subsurface processes that occur when the 220 surfaceQuaternary deposits are thick and infiltrative. Water vertically infiltrates into the ground and eventually reaches saturation at a junction with the water table, the bedrock, or 221 222 an inferior and less infiltrating deposit. Then, lateral movement of the groundwater occurs. 223 Water emerges from the ground when there is a change in slope or soil permeability. 224 Streambeds formed in this way tend to be heavily incised, with flow regimes that are more 225 stable than those formed through surface processes. Thus, the hydrological response of the streams from subsurface processes is slightly affected by the intensity of rainfall (Dunne 226 227 and Black, 1970; Jensen et al., 2019; Wohl, 2018). Furthermore, it should be noted that 228 there is a gradient between these two processes for each stream. In order to properly detect 229 streambeds, it is essential to distinguish these processes through hydrological classification 230 according to surfaceQuaternary deposit type and land use.

231 SurfaceQuaternary deposit mapping has been standardized across the province, including 232 our study area. Information of Quebec and information was collected through 233 photointerpretation conducted several years ago. Since photointerpretation was mainly 234 used to distinguish forest structures and land use, the true boundaries of the 235 surfaceQuaternary deposits are imprecise, in some cases. SurfaceQuaternary deposit boundaries in agricultural areas are more accurate than those in forested areas because no 236 237 other information was mapped during the process. Regardless of these drawbacks, 238 standardized mapping provides a rough description of the nature and thickness of 239 surfaceQuaternary deposits.

Spatially heterogeneous surfaceQuaternary deposits in Quebec have been classified into
three categories and are described in Table 1 (Saucier et al., 1994). The purpose of this

242	classification step is to differentiate the two types of hydrological processes for headwater
243	stream formation that were previously described (Dietrich and Dunne, 1993; Lessard,
244	2020). These classifications consider the infiltration capacity and the water storage
245	capacity of the ground (Dunne and Black, 1970). The two main variables considered were
246	the potential thickness and the granulometry of the surfaceQuaternary deposits (Dietrich
247	and Dunne, 1993; Wohl, 2018). Thus, the hydrological classes in Table 1 allow us to group
248	together streams whose formation is driven by similar, and therefore theoretically
249	homogeneous, hydrological processes.

Table 1 : Hydrological classification according to surface<u>Quaternary</u> deposit types and land

252 use

Hydrological class	SurfaceQuaternary deposits and land use-involved			
Shallow soil	Glacial deposits without morphology such as till, frequent rock outcrops.			
	Glacial deposits with morphology such as moraines, glaciofluvial deposits, fluvial			
Thick soil with	deposits, coarse lacustrine and marine			
high infiltration	deposits, slope deposits and eolian			
rate (including	deposits;			
anthropogenic land	Anthropogenic Agricultural land use-were,			
use)	regardless of anthropic modifications due			
	to linearization and deepening of			
	streambeds, has been included in this class			

	(Treeless areas including agricultural
	fields, roads, urbanized areas and
	powerlines)as agriculture is mainly carried
	out on the above deposits.
Thick soil with low	Lacustrine and fine marine deposits,
infiltration rate	organic deposits.

The first analysis variable, called 'D8', refers to the D8 flow accumulation (O'Callaghan 254 255 and Mark, 1984) produced with a 1 m resolution DEM. This variable was selected as it is 256 the most common algorithm used to produce hydrographic networks. For meaningful correspondence analysis between this variable and field surveyed streams, the flow 257 accumulation raster was aggregated at 3 m resolution according to the maximum value. 258 259 Then, a maximum focal statistic of two pixels was applied. The purpose of this treatment was to ensure a 6 m analysis distance between the D8 and the edge of a real stream, 260 261 represented in the database by a geospatial vector line feature. This prevents the omission 262 error from being overestimated.

The second analysis variable uses the D8 flow accumulation algorithm while considering 263 264 flow direction error due to the elevation uncertainty of the LiDAR-derived DEM (Hengl et 265 al., 2010; O'Callaghan and Mark, 1984). This variable, called 'PROB', quantifies the 266 uncertainty associated with the position of the drainage network. This variable allows water diffusion processes to be simulated more adequately than the multiple flow direction 267 268 algorithms that have been developed for this purpose (Freeman, 1991). Murphy et al., 269 (2009) noted a convergence of results between the single and multiple flow direction algorithms using high-resolution DEMs derived from LiDAR data. The use of a multiple 270

271 direction algorithm did not provide better results for simulating soil moisture. Indeed, the 272 dendritic flow pattern still appeared visible in the wetlands, even with the use of a multiple flow direction algorithm, probably due to the microtopography present in these DEMs. The 273 274 elevation error in the DEM is directly related to the uncertainty of the LiDAR data 275 (Wechsler, 2007) and impacts the position of the hydrographic network (Lindsay, 2006). 276 This type of error is affected by the landform, and mainly occurs on gentle slopes and 277 slightly convex terrain (Hengl et al., 2010). Since this type of error is inherent to the shape of the land, it is not affected by the size of the drainage area implied. The iterative method 278 279 described in Hengl et al. (2010) was reproduced in order to create the PROB variable. The 280 method is based on repeatedly computing a flow accumulation raster from an initial DEM and several altered versions of the DEM. These altered versions are created by adding 281 282 random elevation errors to the initial DEM in order to reproduce the elevation errors from 283 the LiDAR data. The elevation As describe by Richardson and Millard (2018) the typical ground return elevations errors therefore had a standard deviation of 0.08 m, randomly 284 285 distributed over the DEM. A focal statistic of 3 m was used on the error raster to ensure 286 the spatial autocorrelation of errors. Based on the convergence observed by (Lindsay, 287 (2006), 50 iterations were carried out. Then, each of the flow accumulation rasters were thresholded to a 1.5 ha drainage area to sum the resulting binary stream network, where a 288 289 value of 1 indicated the presence of a streambed and a 0 indicated the absence of a 290 streambed. The matrix of the cumulative value was then normalized as a percentage to be 291 used as an analysis variable. This PROB variable revealed the extent of the diffusion 292 process of the water in hillsides in valley bottoms, small wetland or riparian areas, where the slope is relatively uniform.low or the topography slightly convex. The PROB variable 293

was produced with a 3-m resolution DEM from a 1 m resolution DEM that was aggregated using the mean values. An average flow accumulation raster that corresponded to the average of the 50 flow accumulations raster without thresholding was also produced. This raster was used to create the analysis database and to calculate the drainage area of the channel heads. To ensure a 6 m analysis distance as well as the D8 variable, a maximum focal statistic of two cells was performed before summing or averaging the iterated ruster<u>rasters</u>.

The third variable used for analysis is morphometric and allows for the complementary 301 302 detection of headwater streams (Lindsay, 2006; Tribe, 1992). The morphometric algorithm 303 used was the topographic position index, referred to as 'TPI'. This algorithm allowed for the local detection of small incisions that might represent streambeds (Tribe, 1992). The 304 305 scale at which this variable is calculated strongly influences the morphometric feature that 306 is identified. When the scale is large, the variable will tend to identify valleys, while it tends towards streambeds when the scale is small (Montgomery and Dietrich, 1992, 1994). 307 308 For the purposes of this paper, a relatively small scale of 6 to 30 m was used. This scale is 309 consistent with the width of the majority of inventoried streambeds. The DEM used to 310 calculate this variable had a resolution of 2 m and was derived from aggregating a 1 m resolution DEM with the minimum values. The tool named 'Topographic Position Index' 311 312 in SAGA-GIS software was used to produce this variable (Guisan et al., 1999; Weiss, 313 2001). The TPI variable has not been normalized to keep the homogeneity allow comparison of the values between the different study areas. 314

315 3.3. Analysis database

316 In order to perform the subsequent analyses, all actual streambeds were vectorized and geo-317 interpreted according to the stream positions recorded in the field. It should be noted that 318 information on the flow regime was not used in this database. Instead, the presence of a 319 streambed was used to describe the presence or absence of a stream. Although some 320 bedsstreambeds have been excavatedlinearized and channelizeddeepened, particularly in 321 anthropogenicanthropic lands, a bedstreambed was considered to be present only when 322 natural fluvial processes allow it to be maintained. The geospatial The presence of geointerpreted vector lines indicatingfeatures indicated the exact location of the streambeds 323 324 and were complemented by a 50 m x 50 m grid to represent the complete surveyed area. 325 Thus, areas without a geospatial vector line feature have been assumed toas not 326 containing streambeds.

327 Positions representing the presence of streamsstreambeds were systematically located 328 every 20 m along geospatial vector lines features that described real streams. Then, 329 positions representing the absence of a streambed were located according to a sampling 330 principle based on minimum flow accumulation where it was still possiblecoherent to 331 observe the presence of a streamstreambed. First, within the grid of the surveyed area, the 332 average flow accumulation raster was thresholded at 0.11 ha. This threshold represents the 333 lowest drainage area for initiation of a channel head according to (Lessard, (2020). Then, 334 the resulting raster was converted to a polygon. Following that step, a 20 m buffer zone 335 was removed around the geospatial vector lines features that represent real streams. Finally, absence positions were systematically located according to a hexagonal distribution in the 336 337 final resulting polygon. Thus, polygons identifying absence positions were located only in areas with a minimum 1100 m² of 0.11 ha mean drainage area and a minimum distance of 338

339	20 m	from	any	real	streams.	Finally	, absence	positions	were	systematically	located

340 <u>according to a hexagonal distribution in the final resulting polygon.</u> The number of absence

341 positions was equalized with the number of presence positions for each natural region

342 within the Quebec ecological reference framework.

343 The analysis database was therefore composed of positions describing both the presence

344 and the absence of streambeds (Fig. 2). The values for the three variables described in the

345 previous section (D8, PROB and TPI) were extracted for all presence and absence

346 positions.





Figure 2 : Analysis database of positions indicating the presence and absence of
streambeds (Aerial images from continuous imagery of the Government of Quebec;
MRNF). [Color is not required for this figure. Single column fitting figure.]

352

353 3.4. Statistical analysis

A total of nine logistic regression models were produced, one for each explanatory variable and hydrologic class combination. Response variable was the presence (1) or the absence (0) of a streambed. The area under the ROC (Receiver Operating Characteristic) curve was used to evaluate model performance (Fawcett, 2006). The ROC curve plots the true positive rate (1 minus omission) relative to the false positive rate (commission). This curve shows the performance of a given variable by determining the Area Under the Curve (AUC) and how the increase in the true positive rate will lead to an increase in the false positive rate. A model with a high AUC will provide a better balance between these two measurements and will produce better results. Thus, the AUC provides a measure of the ability of the individual variables to detect a streambed.

Next, four streambed models were compared to each other. Detection performance was calculated according to hydrological class and using Cohen's kappa, which is a measure of agreement between the true positive rate and the false positive rate (Cohen, 1960).

367 The first model examined was the GRHO. An analysis distance of 6 m was used in order to compare properly the performance of the GRHQ with the other models. Two of the other 368 369 three models corresponded to two different thresholds that were applied to the D8 variable, 370 which is one of the most commonly used variables for generating stream networks. The first threshold was the median of the average drainage area of the channel heads surveyed 371 372 in the field (referred to as Channel head; Fig. 3). The second threshold was the one that 373 maximized Cohen's kappa for the variable D8 (referred to as Max Kappa). The last model 374 that was compared is based on a supervised classification approach. This approach groups observations according to explanatory variables based on previously determined groups, 375 376 also known as the response variable. In this case, the response variable was the presence 377 or absence of a streambed. Classification And Regression Tree (CART) approach was used 378 because it is simple to apply of its ease of understanding the results and applying them over 379 a large territorywide area (Breiman et al., 1984). This model was called CART. One tree 380 was produced for each hydrologic class in order to describe the formation of headwater



393 therefore not necessary to split the data into a training and a testing set (Fürnkranz, 1997).

in the classification trees have been pruned in order to prevent overfitting and it was

392



395	Figure 3 : Flowchart showing the methodology used to produce a raster describing the
396	presence of a streambed using classification trees [Color is not required for this figure.
397	2 column fitting figure.]
398	
399	4. Results
400	A total of 464.7 km of streams were surveyed over a known territoryan area of 161.5 km ² .
401	The positions for 1033 of 1 033 channel heads indicating the beginnings of streambeds were
402	determined. The average drainage areas of the channel <u>headheads</u> are presented in Fig. 34
403	using whisker boxes according to hydrological class. Figure 34 shows that for shallow soil,
404	the average drainage area is less variable than for thick soils. For thick soils with low
405	infiltration ratesrate, the average drainage area tends to be higher. Slope-drainage area
406	curves and a visualization of different streambeds for each hydrological class are presented
407	in Supplementary Materials.
408	





class. Median values are shown. [Color is not required for this figure. Single column

413 fitting figure.]

414

415 The analysis database contains a total of 40 354 positions describing streambeds (20 177

with streambeds present and 20 177 with streambeds absent) located in the entire surveyed
area.). A correlation matrix between the analysis variables showed that PROB is negatively
correlated with TPI, with an R of -0.57. This variable therefore identifies where the water
converges, which usually corresponds with the locations of incisions. The other variables
wereD8 variable was not correlated with each other ones.

421 Three The classification trees according to hydrological class are presented in Fig. 45. The 422 tree for shallow soil shows that when PROB exceeds a threshold of 0.33, a streambed is 423 generally present. At the left side of the tree, when the PROB is very low, below 0.05, the 424 streambed is generally absent. Otherwise, the TPI indicates whether a streambed is present 425 or absent. For thick soil with a high infiltration rate, the incision indicated by the TPI first 426 explains the presence of a streambed. When the incision is greater or equal to -0.41, 427 indicating a small incision, PROB must be very high in order to indicate the presence of a streambed, at 0.99. When there is a larger incision, a lower value for PROB can identify 428 429 the presence of a streambed. Thus, when the ground is relatively well incised with a TPI 430 value smaller than -0.41, PROB only needs to be higher than 0.39 to detect a streambed. 431 In thick soil with a low infiltration rate, PROB provides the initial information regarding the presence or absence of a streambed. Depending on the different PROB thresholds, TPI 432 433 then determines the presence or absence of a streambed.



streambed. The performance of the four streambed models is also presented. This figure
shows that for the three hydrological classes, PROB performs more effectively than D8

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445 when it comes to detecting streambeds. For thick soil classes, the incision variable TPI has 446 a higher AUC than D8. For shallow soil, the opposite is true. Compared to the other models, the GRHQ has a very low true positive rate, meaning it omits many streams regardless of 447 448 the hydrologic class. However, the performance of GRHQ is higher for thick soilssoil than 449 for shallow soilssoil. For shallow soilssoil, although the false positive rate is slightly lower 450 for D8 thresholded with channel heads (Channel head), the Cohen's kappa of the 451 classification tree (CART) is still higher. The performance of the maximum Kappa of D8 452 (Max Kappa) is still very similar to the one of the classification tree (CART). Figure 5 also 453 shows that the performance of the classification tree (CART) for shallow soil is not in the 454 upper left part of the ROC curve of the variable PROB. This observation is consistent with 455 the fact that only this variable was used to calibrate this model. Nevertheless, for both thick 456 soil classesFigure 6 also shows that for each class, the performance of the classification 457 trees (CART) is in the upper left part of the ROC curve of the variable PROB.variables 458 used alone. This means that the additioncombination of the incision variable TPI with the 459 PROB variable improves the detection of streambeds. For thick soils soil with high 460 infiltration rates rate, the two thresholding methods (Channel head and Max Kappa) yielded 461 similar performances, although they did not perform as well as the classification tree (CART). The performance of the classification tree (CART) is also higher than both D8 462 463 thresholding methods for thick soils soil with low infiltration rates rate. However, the 464 method using the maximum Kappa (Max Kappa) yields a higher rate of true positives than the thresholding method using the channel heads (Channel head). 465



474 5. Discussion

475 The results suggest that the classification tree (CART) can detect streambeds more 476 accurately than the other methods tested. By integrating different topographic indices and 477 ground information such as surfaceQuaternary deposits, the detection of headwater 478 streambeds is much more efficient in large watersheds, despite the high-anthropization of 479 the ground as agricultural fields that is are sometimes present. In addition, as the results of 480 the classification trees are rasters (Fig. 6 a)),7a), they can be easily integrated within 481 attribute table of a drainage network by calculating the mean using a zonal statistic to assess 482 the probability presence of a streambed (Fig. 6 b)).7b). This integration can be done without 483 altering the course or thresholds of the hydrographic network. Each segment can therefore be truncated according to the presence or absence of the stream predicted by the model. 484





Figure 67: Classification tree that has been integrated into the segments of a hydrographic
network to assess the probability presence of a streambed (b) (Aerial images from
continuous imagery of the Government of Quebec; MRNF). [Color is not required for

492 this figure. 1.5 column fitting figure.]

493

The classification tree (CART) drastically increases the true positive rate compared to the GRHQ. This is because the GRHQ was based on aerial photographs that were primarily used to characterize vegetation and forest structure. Photointerpretation of these images did not allow for the detection of streambeds formed by local fluvial processes under the forest cover (Lessard, 2020). At most, photointerpretation enables the identification of valleys, for example, on thick <u>soilssoil</u> (Montgomery and Dietrich, 1994). For this reason, the GRHQ omits fewer streams in thick soil than in shallow soil.

501 The PROB variable improved the detection of streambeds compared to the conventional use of only the D8 variable, since it has been thresholded to accurately match the lowest 502 503 drainage areas of the channel heads. According to Fig. 34, the 1.5 ha threshold accounts 504 for the majoritymost of the channel heads. However, the drainage areas of the channel heads are generally higher for thick soilssoil with low infiltration rates. The majorityrate 505 506 and could therefore lead to higher false positive rate. Most of the surveyed streams in this 507 hydrologic class are located in the Abitibi Lowlands natural province. SomeFurthermore, 508 it is important to note that some of the drainage areas of the channel heads in shallow soil are smaller than 1.5 ha. 509

For the shallow soil hydrological class, the PROB variable improves streambed detection only when a false positive rate of at least 0.12 is specified. Figure 56 shows that for a false positive rate of 0.25, for example, PROB has a higher true positive rate than the D8 variable. Streambeds that were not omitted with a PROB threshold greater than 0.12 were mostly small streams with highly variable positions due to the slightly upstream convex

515 topography (Hengl et al., 2010). It seems that these streambed presence positions have very 516 low PROB values (48% of these positions have a probability below the 0.33 threshold used; Fig. 45). The 0.33 PROB threshold enabled a false positive rate that is much lower than 517 518 0.25. In fact, the false positive rate was only 0.12. With this 0.33 threshold, the performance 519 of PROB was almost identical to D8. This is indicated on the figure by the two ROC curves 520 that were at their closest to each other at approximately the same place as the classification tree model (CART) (Fig. 5). In order to (Fig. 6). To increase the true positive rate while 521 using the PROB variable, the threshold could be decreased to allow the smallest streams to 522 523 be identified. However, this modification would increase the false positive rate.

524 The poor performance of the TPI variable for shallow soil is due to the fact that the 525 surfaceQuaternary deposits are generally thin and the slopes are frequently steep. The 526 ground is therefore less prone to erosion and incision than for the other two hydrological 527 classes (Jensen et al., 2018; Montgomery and Dietrich, 1994). Indeed, the parameters used to compute TPI do not enable the detection of small streambeds if they are not located in a 528 529 valley or in a larger incision. Furthermore, the hydrological processes involved in this class 530 are mostly surface flow and not subsurface flow. It is for this reason that D8 and PROB, 531 which tend to be able to quite precisely recreate surface flow quite precisely, are the best performing variables in this hydrological class (Julian et al., 2012; Wohl, 2018). 532

The incision variable TPI performed better in thick <u>soilssoil</u> with high infiltration <u>ratesrate</u>. This seems to be due to the fact that unlike shallow <u>soilssoil</u> which are generally thin, infiltrative <u>soilssoil</u> are thick and unconsolidated. Thus, the main hydrological process for this hydrological class is a subsurface process, where the water table plays an important role in the initiation of streambeds. Water infiltrates vertically into the permeable <u>surface</u> 538 deposits deposit and recharges the groundwater (Dunne and Black, 1970). The locations of 539 the channel heads do not correspond to specific drainage areas that can be identified by flow accumulation variables, but rather to local incisions formed by gullying processes 540 541 where groundwater intersects the ground surface (Dietrich and Dunne, 1993; Wohl, 2018). 542 This process occurs where there is a significant change in slope or soil permeability. The 543 emergence of water from the ground leads to progressive gullying that can be detected by 544 incision variables (Montgomery and Dietrich, 1994). In this context, groundwater depth variables such as depth-to-water (DTW; (White et al., 2012)) could be used to explain the 545 546 presence of streams in areas where a water table is present. It is important to mention that 547 the DTW is very sensitive to parameterization and more research is needed for its proper use (Drolet, 2020). 548

549 Streambeds were better detected using solely PROB instead of D8 for thick soilssoil with 550 low infiltration ratesrate, which occur in territories where there is a high proportion of wetlands and gentle slopes. The PROB variable mostly reduces the number of commission 551 552 cases. For example, in Fig. 56, PROB had a much lower false positive rate than D8 for the 553 same true positive rate of 0.75. This large reduction in the false positive rate achieved with 554 PROB reflects the ability of this variable to reproduce a diffuse flow on very flat or slightly convex terrains (Hengl et al., 2010). Indeed, in 78 % of cases, the positions that correspond 555 556 to an absence of a streambed and that are corrected with PROB are wetlands. This is 557 noteworthy because wetlands represent only 64 % of these positions in this hydrological 558 class. Thus, the PROB variable, using uncertain DEM elevation information, can recreate 559 more realistic behavior of the water, especially in thick soilssoil with low infiltration ratesrate. By using both PROB and TPI variables (Fig. 45), streambed detection for this 560

hydrological class can be improved compared to the use of a single variable. Because the deposits are unconsolidated and the ground can be incised (Dietrich and Dunne, 1993), the classification tree is in the upper left part of the ROC curve for the PROB variable as well as for the hydrological class with the high infiltration. The use of the TPI variable therefore provides an advantage.

A limitation of the classification tree method is that the <u>surfaceQuaternary</u> deposit mapping is not accurate enough for all local hydrological issues. A visual inspection revealed some inconsistencies in the <u>surfaceQuaternary</u> deposit mapping within the same hydrological class.

570 Another limitation is associated with the anthropization and linearization of natural 571 streams. While a streambed is the result of a natural fluvial formation process that leads to 572 ground erosion, an anthropogenicanthropic ditch is an artificial bed that is formed by 573 mechanized digging. However, it is common for naturally formed streambeds to have been excavated and linearized in agricultural areas. In these cases, it becomes very difficult to 574 575 distinguish a streambed from an anthropogenicanthropic ditch, even in the field. 576 Excavation concentrates the flow of water in the artificial bed (Moussa et al., 2002). Thus, 577 an area with previously no water flow could now be considered a streamstreambed (Roelens et al., 2018). Automated detection methods are therefore likely to be much less 578 579 reliable in these situations.

580 We believe that the method described for calibrating the classification tree model is simple
581 and robust enough to be applied in a different climatic and geomorphicgeomorphologic
582 context with local data describing headwater streambeds. An accurate LiDAR derived

headwater streambed mapping is a powerful tool for government and local organizationsinvolved in water management and protection.

585

586 6. Conclusion

587 The classification tree method presented in this paper has improved the detection of 588 headwater streambeds for different hydrological contextsprocesses over large watersheds. 589 Reliable and consistent results were obtained by developing a comprehensive field database. The variable PROB, which describes the probability of occurrence of a 590 591 streambed, was used to correct errors associated with the positioning of streambeds. This 592 variable allowed for marginal corrections of streambeds in shallow soil, particularly when a high threshold was used. In order to more precisely explain where streams initiate in 593 594 shallow soil, variables characterizing the composition of the upstream watershed such as 595 the average upstream slope or the composition of deposits should be explored. The variable TPI, which characterized small-scale incisions, significantly improved the detection of 596 streambeds in both thick soil hydrological classes when combined with the PROB variable. 597 598 The small-scale incision variable worked better in soilssoil with high infiltration rates rate 599 and the probability of occurrence worked better in soilssoil with low infiltration ratesrate. The increased complexity of the methods (inputs and parameterization) makes the 600 601 optimizations more difficult for very-large and complex territories. It is difficult to integrate 602 the influence of The integration of all physiographic variables into a single model and improvements requirerequires multiple iterations which leads to high complexity. The 603 604 integration of caseCase studies could improve models by directly focusing on some of the 605 identified limitations. It is also important to consider that the input data may sometimes be

606	unreliable, such as those for the road network, culverts, surfaceQuaternary deposits, and
607	land use. Thus, <u>future</u> developments, such as those integrating <u>surfaceQuaternary</u> deposits,
608	will nothardly be improvepossible if the quality of the raw data remains unchanged. Visual
609	interpretation of map products and verification by an expert with a good knowledge of the
610	area is an essential step that should not be neglected under any circumstances.

612 Author contribution

Francis Lessard and Naïm Perreault contributed to the research project by providing
expertise in methodology, software development, formal analysis, investigation, data
curation, writing, and visualization. Their contributions encompassed various stages, from
data collection and analysis to manuscript preparation.

617

Sylvain Jutras supervised the project, provided conceptual guidance, and played a role in
writing and reviewing the manuscript. Additionally, Jutras secured funding for the project
and managed administrative tasks related to its execution.

622 Competing interest

623 The authors declare that they have no conflict of interest.

624

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631	numerous field surveys.	
632		
633	Data Availability	
634	Data and code can be found at https://github.com/FraLessard/headwater_streambeds.git,	
635	hosted at GitHub (Lessard and Perreault, 20222023).	
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