

1 Quantifying the tropospheric ozone radiative effect and its temporal evolution in the satellite-era

2 Richard J. Pope^{1,2}, Alexandru Rap¹, Matilda A. Pimlott¹, Brice Barret³, Eric Le Flochmoen³, Brian J.
3 Kerridge^{4,5}, Richard Siddans^{4,5}, Barry G. Latter^{4,5}, Lucy J. Ventress^{4,5}, Anne Boynard^{6,7}, Christian
4 Retscher⁸, Wuhu Feng^{1,9}, Richard Rigby^{1,10}, Sandip S. Dhomse^{1,2}, Catherine Wespes¹¹ and Martyn P.
5 Chipperfield^{1,2}

6

7 *1: School of Earth and Environment, University of Leeds, Leeds, UK*

8 *2: National Centre for Earth Observation, University of Leeds, Leeds, UK*

9 *3: LAERO/OMP, Université de Toulouse, Toulouse, France*

10 *4: Remote Sensing Group, STFC Rutherford Appleton Laboratory, Chilton, UK*

11 *5: National Centre for Earth Observation, STFC Rutherford Appleton Laboratory, Chilton, UK*

12 *6: LATMOS/IPSL, Sorbonne Université, UVSQ, CNRS, Paris, 75005, France*

13 *7: SPASCIA, Ramonville-Saint-Agne, 31520, France*

14 *8: ESA/ESRIN, Frascati, Italy*

15 *9: National Centre for Atmospheric Science, University of Leeds, Leeds, UK*

16 *10: Centre for Environmental Modelling and Computation, University of Leeds, Leeds, UK*

17 *11: Université libre de Bruxelles (ULB), Spectroscopy, Quantum Chemistry and Atmospheric Remote*
18 *Sensing, Brussels, Belgium*

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20 Correspondence to: Richard J. Pope (r.j.pope@leeds.ac.uk)

21 Key Points:

- 22 • Using satellite data and model simulations, we quantify the decadal (2008-2017) global
23 average tropospheric ozone radiative effect (TO₃RE) to range between 1.21 and 1.26 W/m².
- 24 • Satellite/modelled decadal (2008-2017) trends in the TO₃RE show negligible change with
25 time.
- 26 • This negligible trend in TO₃RE is caused by competing processes (meteorological variability
27 and temporal changes in precursor emissions) over the decade.

28 Abstract:

29 Using state-of-the-art satellite ozone profile products, and chemical transport model, we provide an
30 updated estimate of the tropospheric ozone radiative effect (TO₃RE) and observational constraint on
31 its variability over the decade 2008-2017. Previous studies have shown the short-term (i.e. a few
32 years) globally weighted average TO₃RE to be 1.17±0.03 W/m². However, from our analysis, using
33 decadal (2008-2017) ozone profile datasets from the Infrared Atmospheric Sounding Interferometer,
34 average TO₃RE ranges between 1.21 and 1.26 W/m². Over this decade, the modelled/observational
35 TO₃RE linear trends show negligible change (e.g. ±0.1%/year). Two model sensitivity experiments
36 fixing emissions and meteorology to one-year (i.e. start year – 2008) show that temporal changes in

37 ozone precursor emissions (increasing contribution) and meteorological factors (decreasing
38 contribution) have counteracting tendencies leading to a negligible globally weighted average TO₃RE
39 trend.

40 **Plain Language Summary:**

41 Tropospheric ozone is a potent air pollutant and an important short-lived climate forcer (SLCF). It is a
42 secondary pollutant formed through chemical reactions of precursor gases and sunlight. As a SLCF, it
43 influences both the incoming solar short-wave radiation and the outgoing long-wave radiation
44 throughout the troposphere but has the largest radiative impact in the upper troposphere where the
45 balance between the two yields a net positive (i.e. warming) effect at the surface. The majority of
46 previous estimates of the tropospheric ozone radiative effect (TO₃RE) have been quantified from
47 atmospheric chemistry climate model simulations. However, satellite retrievals of tropospheric
48 ozone now have decadal records and provide the opportunity to quantify the TO₃RE and
49 complement estimates based on model simulations. In this study, we utilise satellite ozone profile
50 retrievals from the Infrared Atmospheric Sounding Interferometer (IASI), on-board the MetOp-A
51 satellite, to derive a decadal average TO₃RE estimate of 1.21-1.26W/m². While this builds upon
52 previous studies (e.g. TO₃RE estimates of 1.17±0.03 W/m²), the improved spatial coverage and
53 temporal record of IASI also allows for the assessment of TO₃RE variability and tendencies on a
54 decadal scale. Here, we find negligible trends in the TO₃RE (2008-2017) suggesting that the decadal
55 contribution of tropospheric ozone to climate, via radiative properties, has been limited.

56 **1. Introduction**

57 Tropospheric ozone (TO₃) is a short-lived climate forcer (SLCF; Forster et al., 2021; Szopa et al.,
58 2021). It is the third most important greenhouse gas (GHG; Forster et al., 2021; Myhre et al., 2013)
59 and a hazardous air pollutant with adverse impacts on human health (WHO, 2018; Fleming et al.,
60 2018) and the biosphere (e.g. agricultural and natural vegetation; Mills et al., 2018; Sitch et al.,
61 2007). Since the pre-industrial (PI) period, anthropogenic activities have increased the atmospheric
62 loading of ozone (O₃) precursor gases, most notably nitrogen oxides (NO_x) and methane (CH₄),
63 resulting in an increase in TO₃ of 25-50% since 1900 (Gauss et al., 2006; Lamarque et al., 2010; Szopa
64 et al., 2021; Young et al., 2013). More recently, since the mid-twentieth century, northern
65 hemispheric TO₃ has increased by 30-70%. The PI to present day (PD) radiative forcing (RF) from TO₃
66 is estimated by the Intergovernmental Panel on Climate Change (IPCC) to be 0.47 W m⁻² (Forster et
67 al., 2021) with an uncertainty range of 0.24–0.70 W m⁻². While models provide a valuable framework
68 to quantify the TO₃ RF, observations are required to validate the models' representation of TO₃ and
69 TO₃ RF. Observations are not available for the PI, but multiple satellite products of TO₃ are readily
70 available in the PD (e.g. Richards et al., 2008; Boynard et al., 2018; Barret et al., 2020). The
71 tropospheric ozone radiative effect (TO₃RE) is defined as the radiative flux imbalance at the
72 tropopause between incoming short-wave solar radiation and the outgoing long-wave radiation due
73 to the presence of TO₃ (Rap et al., 2015). Therefore, satellite ozone profile datasets from infrared
74 instruments, in combination with off-line ozone radiative kernels (e.g. Bowman et al., 2013; Rap et
75 al., 2015), can be used to quantify the PD TO₃RE. This can then either constrain model estimates of
76 PD TO₃RE or be used directly with modelled PI TO₃RE to derive the TO₃RF.

77 Several studies have previously used satellite data to derive short-term estimates of the TO₃RE (i.e.
78 from a few months of data). Joiner et al., (2009) used tropospheric column ozone (TCO₃) data based
79 on two satellite instruments: Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder

80 (MLS) measurements, also known as OMI-MLS product for January and July 2005, to estimate the
81 resultant instantaneous TO₃RE at the tropopause to be 1.53 W/m². Worden et al., (2008) used ozone
82 profile data for 2006 from the Tropospheric Emissions Spectrometer (TES), on-board NASA's Aura
83 satellite, to estimate the average instantaneous long-wave TO₃RE at the top-of-the-atmosphere
84 (TOA) over the oceans (45°S-45°N) to be 0.48±0.14 W/m². Worden et al., (2011), using TES data for
85 August 2006, estimated the instantaneous long-wave TO₃RE at TOA to be 0.33 W/m². Later, Bowman
86 et al., (2013) also used TES data (averaged between 2005 and 2009) to constrain the simulated
87 instantaneous long-wave TO₃RE from an ensemble model average. They found that seasonally, TES
88 long-wave TO₃RE peaks in northern Africa/Mediterranean/Middle East in June-July-August over 1.0
89 W/m² with minimum values (0.0-0.2 W/m²) over the winter-time high-latitudes. Overall, the
90 ensemble average long-wave TO₃RE low bias was 0.12 W/m². Doniki et al., (2015) took this further
91 by calculating the instantaneous long-wave TO₃RE from the Infrared Atmospheric Sounding
92 Interferometer (IASI), though using a small subset of the data, and found estimates from Worden et
93 al., (2008), using TES, had a low bias of ~25%. Rap et al., (2015) also used TES satellite ozone profile
94 observations (2005-2008) in combination with the TOMCAT chemical transport model (CTM) and
95 provided the first robust satellite constraint on annual globally weighted resultant TO₃RE (after
96 stratospheric temperature adjustment) with a range of 1.17±0.03 W/m².

97 Following the methodology adopted in Rap et al. (2015), we exploit satellite ozone profile data from
98 IASI, on the MetOp-A satellite, which has a longer-term record and considerably denser spatial
99 coverage than TES, in combination with the TOMCAT CTM, to improve the TO₃RE estimate and
100 provide the first quantification of its decadal variability. The satellite data, radiation model and CTM
101 used are discussed in Section 2, our results are presented in Section 3 and Section 4 summarises our
102 conclusions.

103 **2. Observations and Model**

104 **2.1. Satellite Observations**

105 IASI is a Michelson interferometer with a nadir-viewing spectral range between 645 and 2760 cm⁻¹
106 with spectral sampling of 0.25 cm⁻¹ (Illingworth et al., 2011). It measures simultaneously in four fields
107 of view (FOV, each circular at nadir with a diameter of 12 km) in a 50 x 50km square which are
108 scanned across track to sample a 2200 km-wide swath (Clerbaux et al., 2009). IASI, on Eumetsat's
109 MetOp-A satellite, is in a sun-synchronous polar orbit with equator crossing local times of 9.30 (day)
110 and 21.30 (night). Though, we only use IASI daytime data in this study.

111 The three IASI products we use in this study are the IASI-FORLI product (vn 20151001, IASI-FORLI,
112 2020; Boynard et al., 2018; Wespes et al., 2018), the IASI-SOFRID product (vn 3.5, IASI-SOFRID, 2022;
113 Barret et al., 2020) and the RAL IASI-IMS product (IASI-IMS, 2022; Pope et al., 2021; Pimlott et al.
114 ,2022) between 2008 and 2017 (i.e. period of consistent data coverage for all the IASI products). All
115 three products use an optimal estimation method (OEM, Rogers, 2000) to retrieve ozone. Both IASI-
116 SOFRID and IASI-IMS use the RTTOV radiative transfer model (Saunders et al. 1999), while the IASI-
117 FORLI product uses look-up tables to speed up its radiative transfer calculations (Hurtmans et al.,
118 2012). Meteorological inputs (pressure, water vapour, temperature and clouds) for IASI-FORLI come
119 from Eumetsat level-2 data, while IASI-SOFRID uses ECMWF operational analyses and IASI-IMS uses
120 ECMWF surface pressures and co-retrieves other meteorological and surface variables. For the
121 ozone apriori, IASI-FORLI and IASI-IMS use the ozone climatology of McPeters et al., (2007), while
122 IASI-SOFRID uses the dynamical ozone climatology described in Sofieva et al., (2014).

123 The IASI-FORLI level-2 data are filtered for a geometric cloud fraction <0.13, degrees of freedom >
 124 2.0, O₃ values > 0.0, solar zenith angle < 80.0° and the surface to 450 hPa sub-column O₃ / total
 125 column O₃ < 0.085. The IASI-SOFRID data were provided on a 1.0°×1.0° horizontal grid (i.e. level-3
 126 product, but daily temporal resolution) with filtering already applied as in Barret et al., (2020). Here,
 127 only O₃ values > 0.0 were used. For IASI-IMS level-2, the data are filtered for a geometric cloud
 128 fraction <0.5, O₃ values > 0.0, solar zenith angle < 80.0° and a cost function < 1000.0. For IASI-IMS,
 129 we relaxed the geometric cloud fraction threshold to 0.5 as it retains more data as the data product
 130 in this study has only been processed for 1 in 10 days and 1 in 4 pixels.

131 Overall, IASI provides substantially denser spatial sampling and a longer-term record than its
 132 predecessor instruments. For instance, TES provided homogenous global coverage, albeit with
 133 sparse spatial sampling, every 16 days (Rap et al., 2013) over a 6-year period (2005-2010), while IASI
 134 on MetOp-A provided comparatively dense global coverage twice per day between 2008 and 2020
 135 (though we focus on 2008-20217 where the IASI products have consistent records). Thus, making it
 136 suitable to investigate decadal average spatial patterns in TO₃RE and decadal scale interannual
 137 variability.

138 2.2. Ozonesondes

139 Despite the three IASI ozone profile products using the same radiance data, the three retrieval
 140 schemes produced systematic differences between the products in the long-term TCO₃ average (e.g.
 141 **Figures S2 and S3** from the **Supporting Information (SI)**). Though, the spatial structure in the three
 142 products compares well. Therefore, to harmonise the three IASI TCO₃ data sets (i.e. absolute values
 143 but not long-term variability) we use ozonesonde data from the World Ozone and Ultraviolet
 144 Radiation Data Centre (WOUDC; WOUDC, 2023), the Southern Hemisphere ADditional Ozonesondes
 145 (SHADOZ; SHADOZ, 2023) project and the Global Monitoring Laboratory, National Oceanic and
 146 Atmospheric Administration (NOAA; NOAA, 2023). Here, O₃ measurements were rejected if the O₃ or
 147 pressure values were unphysical (i.e. < 0.0), if the O₃ partial pressure > 2000.0 mPa or the O₃ value
 148 was set to 99.9, and whole ozonesonde profiles were rejected if least 50% of the measurements did
 149 not meet these criteria. These criteria are similar to those applied by Keppins et al., (2018) and
 150 Hubert et al., (2016). To allow for direct like-for-like comparisons between the two quantities,
 151 accounting for the vertical sensitivity of the satellite, the instrument averaging kernels (AKs) are
 152 applied the ozonesonde profiles as:

$$153 \quad \mathit{sonde}_{AK} = AK. (\mathit{sonde}_{int} - \mathit{apr}) + \mathit{apr} \quad (1)$$

154 where sonde_{AK} is the modified ozonesonde sub-column profile, AK is the averaging kernel matrix,
 155 sonde_{int} is the ozonesonde sub-column profile interpolated on the satellite pressure grid and apr is
 156 the a priori for the satellite retrieval. For the application of the AKs to the ozonesonde profiles, the
 157 full ozone profile is required which is not available from the ozonesondes (i.e. mid-stratosphere and
 158 above). Therefore, the ozonesonde profile above its minimum pressure level is extended using the
 159 apriori profile from the corresponding satellite product. The profile is smoothed vertically across the
 160 joining pressure level to avoid a profile discontinuity.

161 Once the ozonesondes had been co-located with the satellite data (i.e. within 6-hours and 500 km)
 162 and the AKs applied, the two datasets were compared across the full 2008-2017 period. We typically
 163 find a global annual TCO₃ systematic bias of 14.9%, 2.7% and 17.4% for IASI-FORLI, IASI-SOFRID and
 164 IASI-IMS, respectively, which is consistent with Boynard et al., (2018), Barret et al., (2020) and

165 Pimlott et al., (2022). Here, we generated annual-latitude (30° bins) bias correction factors (BCF)
166 which were applied to the gridded satellite records (**see SI-2**) to harmonise the retrieved TCO₃ (i.e.
167 remove the systematic errors) and scale the derived TO₃RE. This is an important exercise as it
168 provides a more accurate absolute range in satellite retrieved TCO₃ (and the ozone values used to
169 derive the TO₃RE) but as the ozonesondes generally have poor spatial coverage, the global coverage
170 and spatial distribution of the satellite data is critical in our analysis. Note, that as a climatology was
171 used, the systematic biases in the satellite records were affected but their long-term temporal
172 variability retained.

173 **2.3. TOMCAT**

174 In this study, we use the 3D global chemical transport model TOMCAT (Chipperfield, 2006), which
175 has a detailed tropospheric chemistry scheme including 229 gas-phase reactions and 82 advected
176 tracers (Monks et al., 2017). Model heterogeneous chemistry uses size-resolved aerosol from the
177 GLOMAP module (Mann et al., 2010). The model was run between 2008 and 2017 at a 2.8°×2.8°
178 spatial resolution with 31 vertical levels between the surface and 10hPa. Here, climatological fields
179 of trace gases/aerosols are used as the vertical boundary conditions (including stratospheric ozone).
180 The model is forced by meteorological reanalyses (ERA-Interim) from the European Centre for
181 Medium-Range Weather Forecasts (ECMWF; Dee et al., 2011) including reanalysis cloud fields and
182 mass fluxes (e.g. as in Rowlinson et al., 2020, Pimlott et al., 2022). Annually varying anthropogenic
183 emissions come from the Coupled Model Intercomparison Project Phase 6 (CMIP6, Feng et al.,
184 2020). Climatological biogenic emissions are from the Chemistry-Climate Model Initiative (CCMI;
185 Morgenstern et al., 2017) but isoprene and monoterpene emissions are annually varying from the
186 Joint UK Land Environment Simulator (JULES, Pacifico et al., 2011) within the free-running UK Earth
187 System Model (UKESM Sellar et al., 2019) from a CMIP6 historical setup. Other natural emissions
188 come from the Precursors of Ozone and their Effects in the Troposphere (POET, Olivier et al., 2003)
189 and biomass burning emissions from the Global Fire Emissions Database (GFED) version 4 (van der
190 Werf et al., 2017). For methane (CH₄), the model tracer is scaled to the annually varying global
191 averaged surface CH₄ value from NOAA (Dlugokencky, 2020). The model was spun up for 1-year
192 (2007) and the model tracers output daily at 09:30 local time (LT) globally to match the MetOp-A
193 daytime overpass time. When comparing with IASI, the satellite AKs are applied to the TOMCAT
194 vertical ozone profiles in the same way as the ozonesondes (i.e. **Equation 1**). Here, the TOMCAT
195 ozone profile (already temporally co-located) is co-located from the model grid box the retrieval sits
196 in. To investigate the importance of emissions and meteorology on TO₃ and TO₃RE, two sensitivity
197 experiments were run between 2008 and 2017 using repeating emissions and meteorology for 2008
198 (i.e. start of the time-series) annually in the model simulation over the time period.

199 **2.4. Radiative Transfer Model and Kernel**

200 The TO₃RE was calculated using a radiative kernel, derived from the SOCRATES off-line radiative
201 transfer model (Edwards and Slingo, 1996), in combination with TOMCAT and the three IASI ozone
202 products. SOCRATES has six bands in the short-wave and nine in the long-wave. Meteorological
203 inputs (temperature, water vapour, surface albedo) into SOCRATES to derive the radiative kernel are
204 based on climatological ECMWF ERA-Interim reanalysis. Cloud fields are based on 2000 data from
205 International Satellite Cloud Climatology Project data (Rossow and Schiffer, 1999), while aerosols
206 have been ignored. To account for stratospheric temperature adjustments, Rap et al., (2015) used
207 the dynamical heating approximation (Fels et al., 1980). This involved accounting for changes in the

208 stratospheric heating rate determined from the model due to the O₃ perturbation, which were
209 applied to the temperature field, with the model run iteratively until stratospheric temperatures
210 reached equilibrium (Rap et al., 2015). This approach of using the SOCRATES off-line radiative kernel
211 with output from model simulations to derive the TO₃ radiative effect has been used in several
212 studies e.g. Rap et al., (2015), Scott et al., (2018), Iglesias-Suarez et al. (2018) and Rowlinson et al.,
213 (2020).

214 To derive the satellite TO₃RE, the annual average IASI 3D ozone field is multiplied by the off-line
215 radiative kernel (grid box by grid box) and then summed from the surface to the tropopause
216 pressure. Here, the IASI ozone data is mapped onto the spatial resolution of the radiative kernel and
217 then interpolated vertically onto its pressure grid. The equation for each grid box is:

$$218 \quad TO_3RE = \sum_{i=surf}^{trop} RK_i \times O_{3i} \times dp_i/100 \quad (2)$$

219 where TO_3RE is the tropospheric ozone radiative effect (W/m²), RK is the radiative kernel
220 (W/m²/ppbv/100 hPa), O_3 is the satellite ozone grid box value (ppbv), dp is the pressure difference
221 between vertical levels (hPa) and i is the grid box index between the surface pressure level and the
222 tropopause pressure. The tropopause pressure is based on the World Meteorological Organisation
223 (WMO) definition of “the lowest level at which the temperature lapse rate decreases to 2 K/km or
224 less” (WMO, 1957).

225 3. Results

226 3.1. Tropospheric Ozone Radiative Effect

227 **Figure 1** shows the IASI derived TCO₃, TO₃RE and normalised TO₃RE (NTO₃RE, i.e. the TO₃RE divided
228 by its TCO₃ as in Rap et al., (2015)). For the TCO₃, the three harmonised IASI products have good
229 spatial agreement in the decadal (2008-2017) average, with a background north-south hemisphere
230 gradient of approximately 30.0-40.0 to 15.0-25.0 DU. Peak TCO₃ (>40.0 DU) occurs over East Asia,
231 the Middle East and in ozone outflow from central Africa (e.g. production from lightning and
232 biomass burning precursor gases (Moxim & Levy, 2000)). The global average TCO₃ values for IASI-
233 FORLI, IASI-SOFRID and IASI-IMS are 32.6 DU, 29.9 DU and 29.9 DU, respectively (**Figure 1 left**
234 **column** and **Table 1**). From **Table 1**, degrees of freedom of signal (DOFS) are approximately 1.0 for
235 the troposphere (i.e. DOFS_{trop}) and also in the upper troposphere – lower stratosphere (UTLS,
236 DOFS_{utls}) – i.e. the vertical region where the O₃ radiative effect is most prominent). These DOFS are
237 derived on a global scale using IASI data for 2008. They show there to be sufficient information in
238 the troposphere from IASI to derive radiative effect metrics. Therefore, like in Rap et al., (2015), we
239 are confident in our approach to directly use the satellite data to derive the observational TO₃RE.

240 When the TO₃RE is calculated (**Figure 1 middle column**), peak values occur over the sub-tropics,
241 Africa and Australia ranging consistently between approximately 2.0 and 2.5 W/m² for each IASI
242 product. The minimum values are found at high latitudes ranging between 0.0 and 0.8 W/m². The
243 bottom panel of **Figure 1** shows the zonally average profiles weighted by the cosine of latitude
244 (similar to Rap et al., 2015). This accounts for area weighting in the derived TO₃RE for different
245 latitude bands on the global weighted average. Here, TCO₃ is near-zero at high-latitudes,
246 approximately 15.0-20.0 DU at mid-latitudes, peaking at 28.0-33.0 DU in the sub-tropics and then
247 decreasing by several DU in the tropics. The corresponding TO₃RE profiles follow a similar pattern
248 with near-zero values at high-latitudes, approximately 0.5-1.0 W/m² at mid-latitudes, peaking at 1.5
249 W/m² in the sub-tropics and then decreasing to 1.1-1.2 W/m² in the tropics. Therefore, the sub-

250 tropics have the largest contribution to the global TO₃RE. The global weighted TO₃RE averages for
251 IASI-FORLI, IASI-SOFRID and IASI-IMS are 1.23, 1.21 and 1.21 W/m², respectively (**Figure 1** and **Table**
252 **1**).

253 The NTO₃RE (**Figure 1 right column**) provides an estimate of where the TO₃RE is most sensitive to
254 changes in TCO₃ (i.e. the unit of TO₃RE per unit of TCO₃). Peak NTO₃RE (>50.0 mW/m²/DU) occurs at
255 similar locations to the peak TO₃RE (e.g. Africa and Australia), while the minimum values (10.0-20.0
256 mW/m²/DU) occur at high-latitudes. Over the sub-tropical oceans, there are NTO₃RE values of
257 similar magnitude (approximately 45.0 mW/m²/DU). Therefore, despite some regions having lower
258 TCO₃ and TO₃RE values (e.g. the South Pacific vs. the South Atlantic and Indian Ocean), the sensitivity
259 to ozone perturbations (i.e. radiative effect per unit of TO₃) is similar in these regions.

260 Overall, the global weighted average NTO₃RE is 37.78, 40.43 and 40.60 mW/m²/DU for IASI-FORLI,
261 IASI-SOFRID and IASI-IMS, respectively. It is likely that differences between the three ozone retrieval
262 schemes could be causing the differences between globally averaged NTO₃RE values. As the IASI-
263 FORLI NTO₃RE is lower, while having the highest global average TCO₃ and TO₃RE, it suggests that
264 IASI-FORLI has a larger fraction of TO₃ is located in the mid-troposphere, where the radiative kernel
265 has less sensitivity than the upper troposphere. Further to this, as the IASI ozone products only have
266 approximately 1.0 DOFS in the troposphere (**Table 1**), the harmonisation of the products using the
267 ozonesondes can best be done on a tropospheric column level. As a result, the scaling of the satellite
268 derived TO₃RE is done based on the relationship between the original IASI and IASI-sonde corrected
269 TCO₃. Thus, a limitation being that though the upper troposphere is the most sensitive region to
270 ozone radiative properties, the scaling of the TO₃RE is applied based on the satellite-ozonesonde
271 TCO₃ relative differences.

272 TOMCAT allows for a further quantification of the TO₃RE in the satellite-era and the ability to run
273 sensitivity experiments to explore some important top-level processes. Evaluation of the model
274 using the IASI products and ozonesondes (see **SI-2, Figure S3 & S4**) shows the model generally
275 captures the TCO₃ spatial pattern and absolute values. In the tropics (mid/high-latitudes), the model
276 underestimates (overestimates) by approximately 10-20% on average. These biases are comparable
277 with other modelling studies evaluating models against satellite TO₃ observations (e.g. Archibald et
278 al., 2020; Monks et al., 2017; Nassar et al., 2009; Young et al., 2013), indicating TOMCAT to be
279 suitable for this study.

280 The global mean TCO₃ from TOMCAT (2008-2017) (**Figure 2 – top panel**) is 30.7 DU and consistent
281 with the IASI data sets in **Figure 1**. When translated into TO₃RE, described above, the peak values
282 from TOMCAT range between 2.0 and >2.5 W/m² over Africa, Australia and the sub-tropics. The
283 global area-weighted TO₃RE for TOMCAT is 1.26 W/m², thus slightly larger than for IASI (1.21-1.23
284 W/m²). As TOMCAT has a positive TCO₃ bias with respect to the observations in the sub-tropics,
285 where the TO₃RE influence is most pronounced, this probably explains the slightly larger model
286 TO₃RE value. In the bottom panel of **Figure 2**, the zonal profiles (weighted by cosine of latitude to
287 highlight the relative influence on the global weighted average) for TCO₃ (TO₃RE) have similar values
288 to that of IASI. Here, the TOMCAT high-latitude values are near-zero (constrained by cos(90°) = 0),
289 mid-latitude values range between 10.0 and 20.0 DU (0.5 to 1.0 W/m²) and sub-tropical values range
290 between 30.0 and 38.0 DU (1.5 and 1.7 W/m²). There is a decrease to approximately 25.0 DU (1.0-
291 1.3 W/m²) in the tropics. In terms of the NTO₃RE, the TOMCAT global area-weighted average is 41.0

292 mW/m²/DU, which is similar to IASI. The peak NTO₃RE values are over the oceans (50.0-60.0
293 mW/m²/DU) and over Africa/Australia (>60.0 mW/m²/DU).

294 3.2. Temporal Evolution of the Tropospheric Ozone Radiative Effect

295 As IASI has daily global coverage (Clerbaux et al., 2009), we are able to derive annual average 3D
296 ozone fields between 2008 and 2017, thus providing the first assessment of interannual variability
297 and decadal tendency in satellite derived TO₃RE. **Figure 3** shows the annual TO₃RE time series for all
298 three IASI products. First thing to note is that the Eumetsat meteorological data used to retrieve
299 ozone for the IASI-FORLI product is subject to discontinuities (Boynard et al., 2018; Wespes et al.,
300 2018). As a result, we include decadal analysis of the IASI-FORLI data for the full time period (2008-
301 2017) and then a sub-time period (2011-2017) given the large discontinuity in September 2010
302 reported by Boynard et al., (2018) and Wespes et al., (2018). Here, we can derive the TO₃RE to
303 quantify the absolute values and how they compare between products over the two time periods. In
304 the near future, a new consistent IASI-FORLI ozone climate data record will be available using a more
305 stable set of level-2 Eumetsat meteorological data retrieved from MetOp IASI and microwave
306 sounders.

307 For IASI-SOFRID and IASI-IMS, the annual TO₃RE values range between 1.19 and 1.24 W/m² across
308 the 2008-2017 decade. IASI-FORLI has somewhat larger values at the start of the record (1.26-1.28
309 W/m²) before tending to that of IASI-SOFRID/IASI-IMS from 2011 onwards. Correlations (squared) in
310 the annual TO₃RE time-series between IASI-FORLI and IASI-SOFRID (IASI-IMS) are poor at R²=0.148
311 (R²=0.132). However, IASI-SOFRID and IASI-IMS have a much stronger agreement with R²=0.591
312 sharing nearly 60% of the temporal variability. We also calculate the coefficient of variation (CoV,
313 i.e., time series standard deviation divided by its mean) to assess the inter-annual variability. For
314 IASI-SOFRID and IASI-IMS, this is 1.1%, but for IASI-FORLI it is 2.5%. Therefore, there is more year-to-
315 year variability in the IASI-FORLI TO₃RE record. However, when focussing on IASI-FORLI data for
316 2011-2017, the CoV drops to 1.2% in-line with IASI-SOFRID and IASI-IMS. The correlation (squared)
317 values are now R²_{FORLI-SOFRID}=0.496 and R²_{FORLI-IMS}=0.137, which shows improved agreement between
318 IASI-FORLI and IASI-SOFRID, but slightly surprisingly not with IASI-IMS. This may potentially be due to
319 the lower sampling sizes of the IASI-IMS data record. Using ordinary least squares fit regression, IASI-
320 FORLI, IASI-SOFRID and IASI-IMS have global average weighted TO₃RE linear trends of -0.64 (-0.99, -
321 0.28; 95% confidence interval) %/year, -0.01 (-0.14, 0.12) %/year and -0.13 (-0.36, 0.10) %/year (see
322 **Table 1**). As the IASI-FORLI product has known discontinuities (hence the larger CoV), the near-zero
323 IASI-SOFRID and IASI-IMS trends are more robust. This is supported by IASI-FORLI when only
324 considering 2011-2017 with a linear trend of -0.21 (-0.66, 0.23) %/year. Therefore, this suggests
325 negligible change in the contribution of TO₃ to the tropospheric radiative effect over the recent past
326 (i.e. 2008-2017).

327 TOMCAT global average weighed TO₃RE ranges between 1.24 and 1.29 W/m² between 2008 and
328 2017. The CoV is 1.5% for TOMCAT and is comparable to the IASI products (i.e. IASI-FORLI for later
329 years). The TOMCAT TO₃RE time-series also has similar temporal variability (e.g. peaks in 2008, 2010
330 and 2017 and troughs in 2009 and 2014 to that of the IASI products. The underlying TOMCAT TO₃RE
331 decadal trend is -0.05 (-0.40, 0.30) %/year and consistent with the IASI products. So, between 2008
332 and 2017, there has been limited overall change in TO₃, despite reasonable interannual variability,
333 and thus its decadal impact on the TO₃RE has been relatively minor.

334 To investigate the importance of emissions and meteorology on the decadal TO₃RE trends, TOMCAT
335 was run twice for the full time-period, once using repeating emissions and once using repeating
336 meteorology for 2008 (i.e. start of the time-series). Using fixed emissions reduced the TO₃ burden
337 and the TO₃RE values dropped to 1.22 to 1.28 W/m² (i.e. minima in 2014 and 2015 more
338 pronounced). However, the trend in TO₃RE (-0.23 (-0.59, 0.23) %/year) remained small indicating
339 that temporal changes in emissions yield a relatively small influence on the decadal tendency in
340 TO₃RE. By comparison with the fixed meteorology run, temporal changes in meteorological
341 processes over the period 2008-17 were found not to dramatically alter the TO₃RE values either, but
342 there is an increase to 1.26 to 1.30 W/m² when the model meteorology is fixed to 2008. The
343 corresponding TO₃RE trend in the fixed meteorology run is 0.26 (0.13, 0.39) %/year leading to a
344 steady increase in TO₃RE, though with a similar magnitude to that of the fixed emissions experiment.
345 Therefore, temporal changes in pre-cursor emissions and meteorological processes appear to be
346 balancing each other leading to the near-zero TOMCAT control run TO₃RE trend. However, the
347 largest changes in TO₃RE between the control and fixed meteorology runs are towards the end of
348 the decade, coinciding with the 2015/2016 El Niño event (i.e. TO₃ spatiotemporal variability has
349 previously been linked to El Niño activity – e.g. Ziemke et al., (2015) and Rowlinson et al., (2019)).
350 The largest difference between the TOMCAT control and fixed meteorology runs is 0.6 W/m² in
351 2015, large El Niño year. Overall, the year-to-year variability in meteorology appears to be
352 contracting any decadal TO₃RE trend arising from temporal changes in precursor emissions with the
353 net result being no substantial underlying change in TO₃RE over the 2008-2017 decade.

354 **Figure 4** shows the horizontal and vertical impact of the two sensitivity experiments on TOMCAT O₃
355 radiative effect (note the different colour bar scales). Consistent with **Figures 1** and **2**, the TOMCAT
356 control TO₃RE has peak values (>2.50 W/m²) over northern Africa and throughout the sub-tropics
357 (approximately 2.0 W/m², **Figure 4a**). Vertically, the TOMCAT peak ozone radiative effect (>0.25
358 W/m²) is in the upper troposphere (**Figure 4b**) with the largest impact in the sub-tropics of both
359 hemispheres (500-200 hPa). Similar values extend through mid-latitudes of both hemispheres but in
360 a smaller pressure range (400-300 hPa).

361 In **Figure 4c**, TO₃RE is seen to be higher in the fixed meteorology run than the control by 0.1 to >0.2
362 W/m² throughout the tropics and sub-tropics, although there is considerable spatial variability,
363 including an area in the sub-tropical Pacific where TO₃RE is lower in the fixed meteorology run by -
364 0.15 W/m². In high and mid-latitudes, TO₃RE is lower than the control by between -0.1 and 0.0
365 W/m². In the upper troposphere (**Figure 4d**), the zonal averaged contribution to TO₃RE in the fixed
366 meteorology run is consistently higher than the control, by up to 0.02 W/m² at approximately 200
367 hPa in the tropics and sub-tropics and persisting at approximately 0.01 W/m² down to 600 hPa in the
368 same latitudinal range. Poleward of 50°N and 50°S, TO₃RE is lower in the fixed meteorology run,
369 peaking at -0.02 to -0.015 W/m² at 300 hPa and extending down to 500 hPa at -0.005 W/m².

370 With fixed emissions, TO₃RE is higher at northern mid- and high latitudes by up to 0.02 W/m²,
371 whereas in the tropics/sub-tropics and southern mid-latitudes it is generally lower than in the
372 control run by up to -0.02 W/m² (**Figure 4e**). However, over tropical Asia, Indonesia and Australia,
373 TO₃RE is seen to be lower by a more substantial amount, -0.05 to -0.04 W/m². In regard to its height
374 dependence, contributions to TO₃RE are seen in **Figure 4f** to be lower in the fixed emissions run by
375 up to -0.005 W/m² in the tropics/sub-tropics between 600 and 200 hPa, and also in a tongue
376 stretching to southern high latitudes at around 300hPa (**Figure 4f**). In the northern hemisphere, on

377 the other hand, TO₃RE in the layer between 400 and 600 hPa is seen to be higher by up to 0.003 at
378 latitudes from the pole to 50°N, and down to higher pressures at latitudes below 50°N.

379 In summary, the two model sensitivity experiments indicate that, except for southern high latitudes,
380 precursor emissions and meteorology exerted counteracting influences of comparable magnitude on
381 TO₃RE in the 2008-17 decade, and this is specifically so in the sub-tropical regions of the upper
382 troposphere, where contributions to global average area weighted TO₃RE are largest. At southern
383 high latitudes, precursor emissions and meteorology are seen to have both increased TO₃RE over
384 this period, specifically through contributions in the uppermost troposphere, although area
385 weighting minimized their combined impact in the global averaged TO₃RE.

386 **4. Conclusions**

387 By using state-of-the-art satellite ozone profile retrievals from the Infrared Atmospheric Sounding
388 Interferometer (IASI), on-board MetOp-A, in combination with the TOMCAT chemical transport
389 model (CTM) and the offline radiative transfer model, SOCRATES, we provide an updated estimate of
390 the tropospheric ozone radiative effect (TO₃RE) and provide the first observational constraint on its
391 variability over the decade 2008-2017. Building upon the previous study of Rap et al., (2015), who
392 quantified the globally weighed average TO₃RE to be 1.17 ± 0.03 W/m² (based on data between 2005
393 and 2008), we find the decadal average TO₃RE, between 2008 and 2017, to range from 1.21 and 1.26
394 W/m². This represents an update on the estimates from Rap et al., 2015 using an improved version
395 of the TOMCAT model (as in Monks et al., (2017) compared to Richards et al., 2013)) and improved
396 satellite products with better spatial and temporal coverage. However, these two studies do cover
397 different time periods, which may be contributing to the differences between the studies. Secondly,
398 neither the modelled, nor the observed TO₃RE suggest any substantial change during this decade.
399 Investigations of the importance of ozone precursor emissions and meteorology, through targeted
400 sensitivity experiments repeating emissions and meteorology for 2008 (i.e. year at start of time-
401 series), suggest that temporal changes in both factors have counteracted each other. Fixing
402 emissions reduces the TO₃RE values/tendency, so changes in emissions are driving a steady increase
403 in the TO₃RE. Conversely, fixing the meteorology drives an increase in the TO₃RE values/tendency,
404 thus is yielding to a net decrease in TO₃RE despite its large variability. Therefore, the net
405 tropospheric ozone contribution to atmospheric radiative properties, and potentially climate, has
406 remained relatively stable with time during 2008-2017.

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417

418

419 **Data Availability**

420 The IASI-FORLI and IASI-SOFRID data can be obtained from <https://iasi.aeris-data.fr/O3> and
421 <https://iasi-sofrid.sedoo.fr/>. The IASI-IMS data is available via the NERC Centre for Environmental
422 Data Analysis (CEDA) Jasmin platform subject to data requests. However, the IASI-IMS data and
423 TOMCAT simulations used in this study are available from
424 <https://homepages.see.leeds.ac.uk/~earripo/to3re/>. The ozonesonde data for WOUDC, SHADOZ and
425 NOAA is available from <https://woudc.org/>, <https://tropo.gsfc.nasa.gov/shadoz/> and
426 <https://gml.noaa.gov/ozwv/ozsondes/>.

427 **Author Contributions**

428 RJP conceptualised, planned and undertook the research study. AR provided the SOCRATES radiative
429 kernel. BB, ELF, BJK, RS, BGL, LJV, AB and CW provided the IASI ozone data and advice on using the
430 products. MAP performed the TOMCAT model simulations with support from MPC and WF. CR
431 provided advice and help during RP's ESA CCI fellowship. RJP prepared the manuscript with
432 contributions from all co-authors.

433 **Conflicts of Interest**

434 The authors declare no conflicts of interest.

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629 **Figures and Tables:**

Dataset	TCO ₃ (DU)	TO ₃ RE (W/m ²)	NTO ₃ RE (W/m ² /DU)	TO ₃ RE Trend (%/yr)	TO ₃ RE CoV (%)	DOFS _{trop}	DOFS _{utls}
FORLI	32.6	1.23	37.8	-0.64 (-0.99, -0.28; p = 0.00) -0.21 (-0.66, 0.23; p = 0.35)*	2.5 (1.2)*	1.1	1.2
SOFRID	29.9	1.21	40.4	-0.01 (-0.14, 0.12; p = 0.94)	1.1	0.9	1.0
IMS	29.8	1.21	40.6	-0.13 (-0.36, 0.10; p = 0.25)	1.1	1.2	1.0
TC-CLT	30.7	1.26	41	-0.05 (-0.40, 0.30; p = 0.78)	1.5		
TC-EMS	30.6	1.25	40.8	-0.23 (-0.59, 0.13; p = 0.20)	1.7		
TC-MET	30.1	1.27	41	0.26 (0.13, 0.39; p = 0.00)	0.9		

630 **Table 1:** Summary statistics of the satellite and TOMCAT TCO₃, TO₃RE and NTO₃RE global average
631 (2008-2017) metrics and the corresponding linear trends and covariance of variation (CoV) from
632 **Figures 1-3**. TC-CTL, TC-EMS and TC-MET represent the control, fixed emissions and fixed
633 meteorology runs, respectively. The global average (2008) degrees of freedom of signal (DOFS) for
634 the IASI products are shown for the troposphere (approximately the surface to 200 hPa) and the
635 upper troposphere – lower stratosphere (UTLS – approximately 400-100 hPa). * represents the IASI-
636 FORLI trends for 2011-2017.

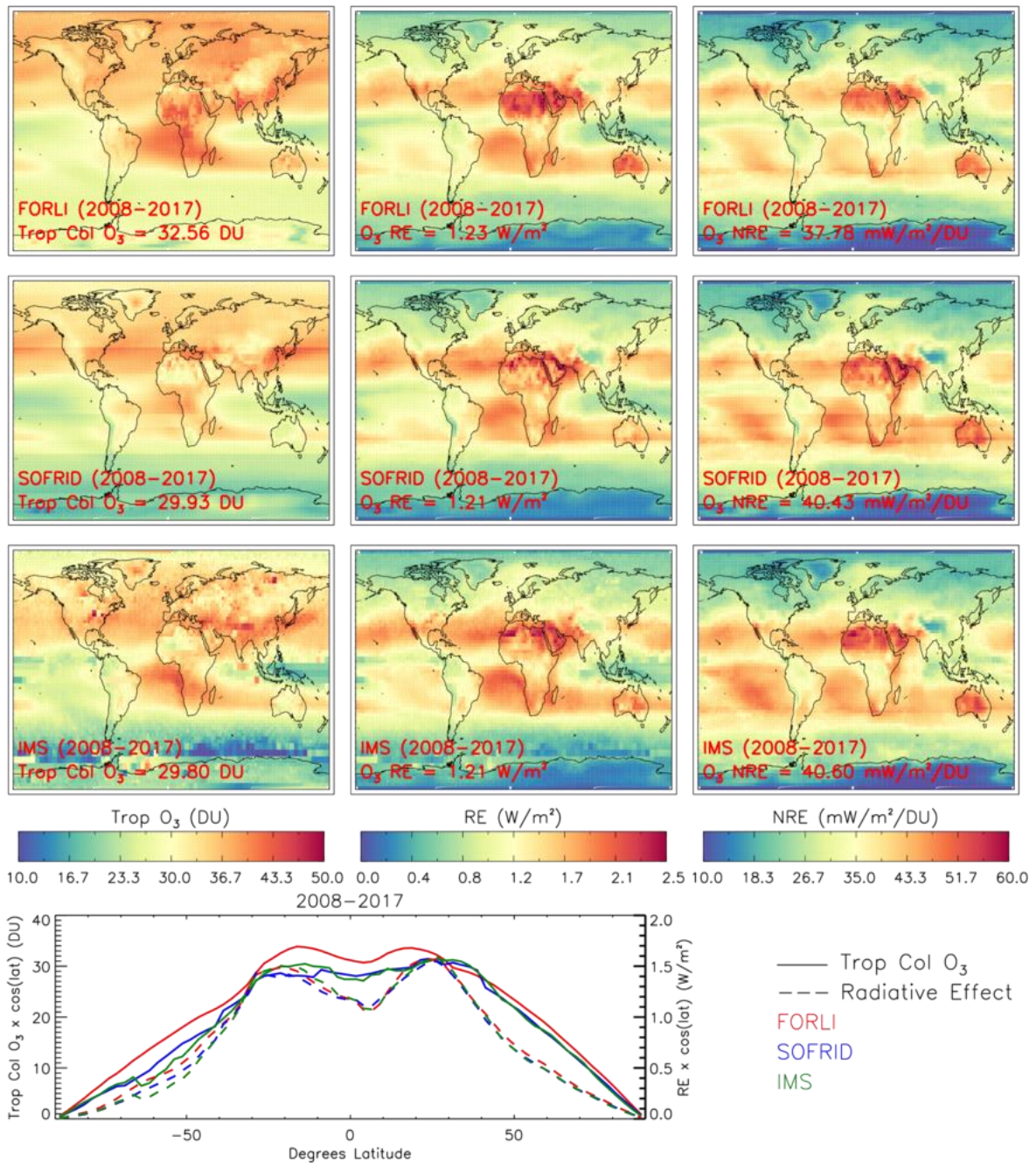
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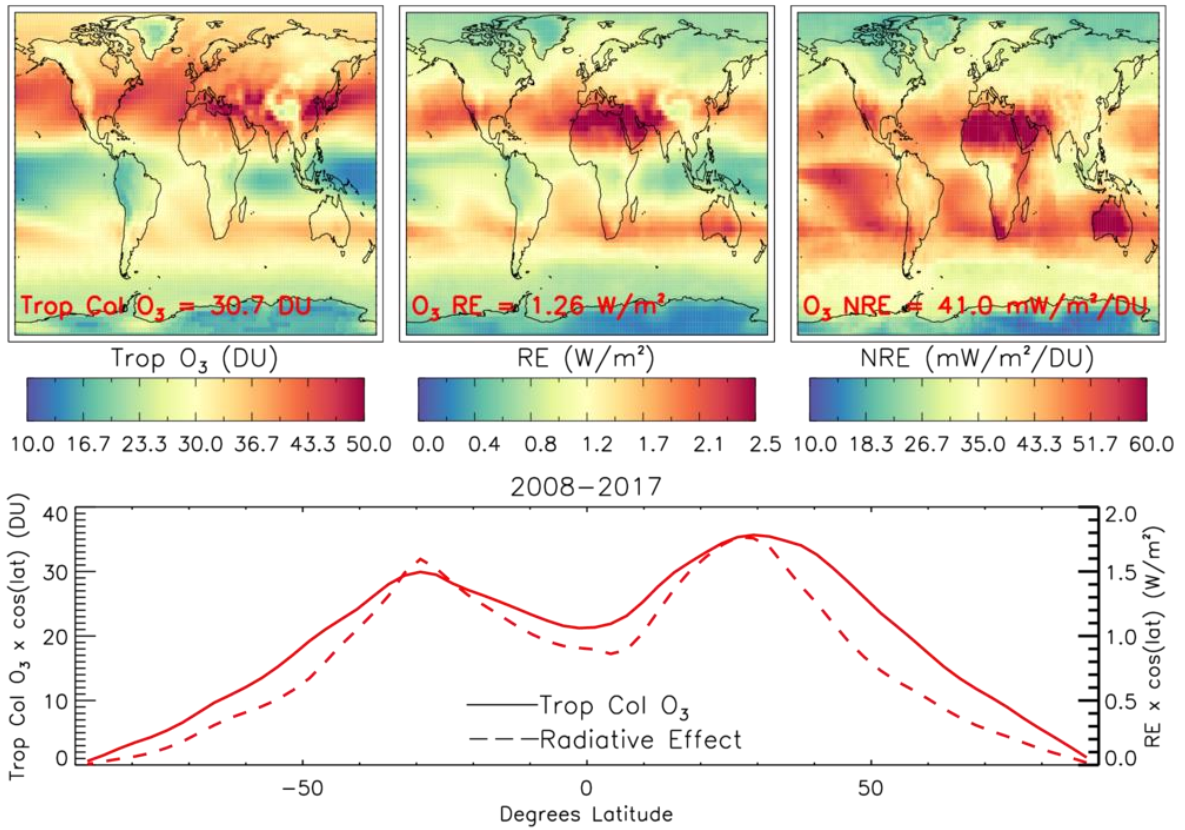
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Figure 1: Tropospheric column O₃ (TCO₃, DU), tropospheric O₃ radiative effect (TO₃RE, W/m²) and normalised TO₃RE (NTO₃RE, mW/m²/DU) averaged for 2008 to 2017 for IASI-FORLI (top row), IASI-SOFRID (middle row) and IASI-IMS (bottom row). Zonal averages of TCO₃ (DU, solid lines) and TO₃RE (W/m², dashed lines), both weighted by cosine of latitude, is shown in the bottom panel from all the IASI instruments.



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 649 **Figure 2:** TCO_3 (DU), TO_3RE (W/m^2) and NTO_3RE ($mW/m^2/DU$) averaged for 2008 to 2017 for
 650 TOMCAT. Zonal averages of TCO_3 (DU, solid lines) and TO_3RE (W/m^2 , dashed lines), both weighted by
 651 cosine of latitude, is shown in the bottom panel from TOMCAT.

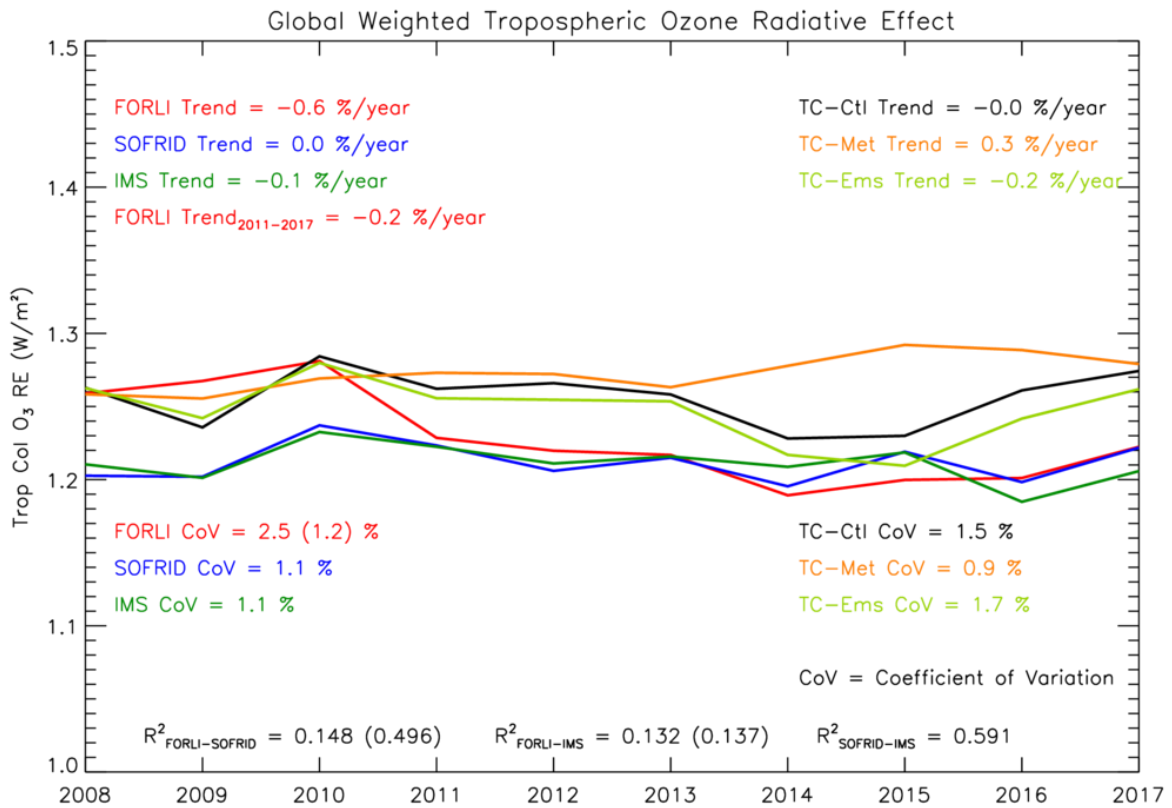
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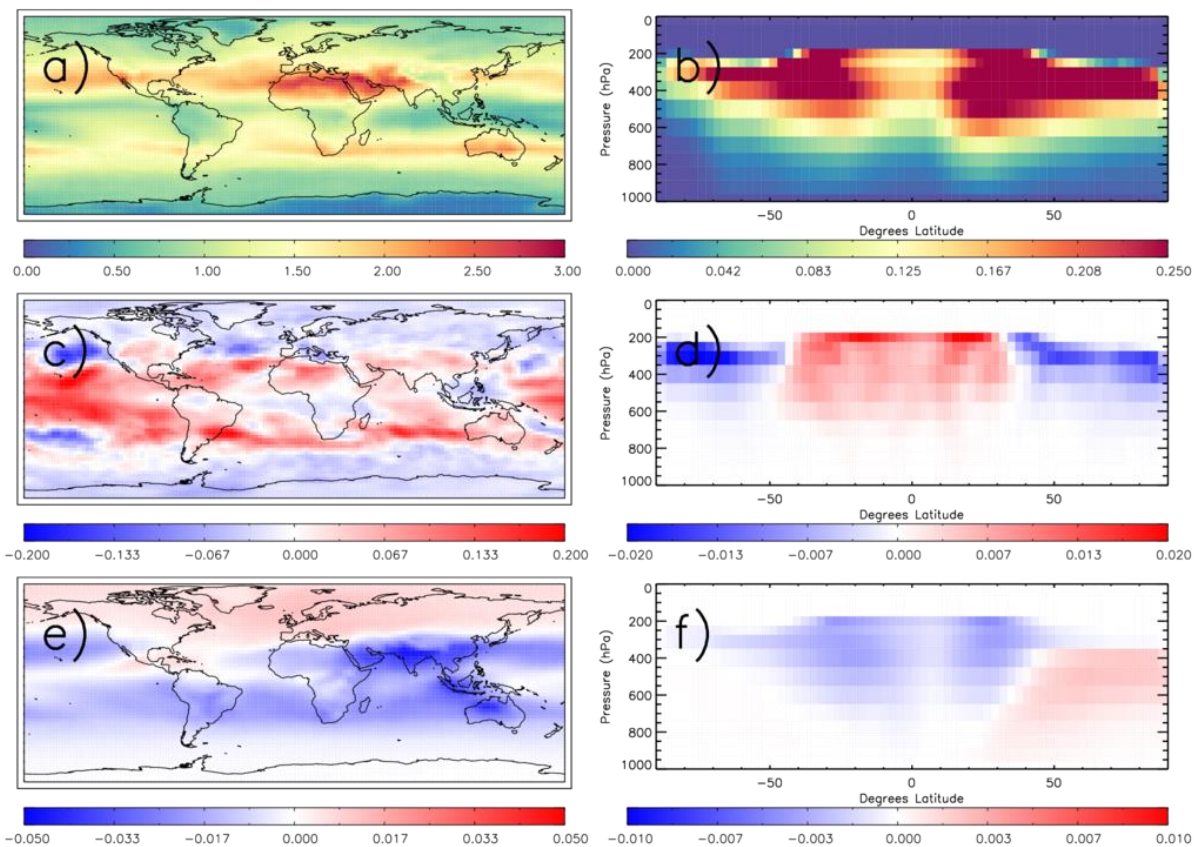
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658 **Figure 3:** Annual global mean time-series of TO_3RE (W/m^2), between 2008 and 2017, for IASI-FORLI
659 (red-solid), IASI-SOFRID (blue-solid) and IASI-IMS (green-solid). TOMCAT simulation (black-solid),
660 TOMCAT with fixed emissions (lime-solid) and TOMCAT with fixed meteorology (orange-solid) are
661 also shown. The linear trend (%/year) is shown as well as the percentage coefficient of variation
662 (CoV). The correlation between IASI time-series are shown by the R^2 values. TC represents TOMCAT.
663 The IASI-FORLI trend for 2011 to 2017 is also shown as well as the CoV and R^2 in brackets in addition
664 to the statistical metrics over the full time period due to record inhomogeneities prior to 2011
665 (Boynard et al., 2018).

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667

668 **Figure 4:** a) TOMCAT control run TO₃RE (W/m²), b) TOMCAT control run zonal average grid box O₃
 669 radiative effect (W/m²), c) TOMCAT fixed meteorology – TOMCAT control TO₃RE difference (W/m²),
 670 d) TOMCAT fixed meteorology – TOMCAT control zonal average grid box O₃ radiative effect
 671 difference (W/m²), e) TOMCAT fixed emissions – TOMCAT control TO₃RE difference (W/m²), f)
 672 TOMCAT fixed emissions – TOMCAT control zonal average grid box O₃ radiative effect difference
 673 (W/m²).

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