



# 1 Resolving the mesoscale at reduced computational cost with FESOM 2.5: efficient modeling

- 2 approaches applied to the Southern Ocean
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#### 11 Abstract

12	Several cost-efficient, high-resolution modeling approaches are applied to simulations of the
13	Southern Ocean in past, present, and future climates. The results are compared with an ensemble of
14	medium-resolution, eddy-present simulations and evaluated based on their ability to reproduce observed
15	mesoscale activity and to reveal a response to climate change distinct from natural variability. The high-
16	resolution simulations reproduce the observed magnitude of Southern Ocean eddy kinetic energy (EKE)
17	well, but differences remain in local magnitudes and the spatial distribution of EKE. The coarser, eddy-
18	present ensemble simulates a similar pattern of EKE but underrepresents observed levels by 50%. Five
19	years of simulated data in each time period is found to produce consistent results when evaluating mean
20	conditions and assessing change in the region as a whole. At 1 °C of warming, the high-resolution
21	simulations produce no change in overall EKE, in contrast to the increase projected by the eddy-
22	permitting ensemble and despite full ensemble agreement. At 4 °C of warming, both datasets produce
23	consistent levels of EKE rise in relative terms, although not absolute magnitudes, as well as an increase in
24	EKE variability. Simulated EKE rise is concentrated where flow interacts with topographic features in
25	regions already known to be eddy-rich. Regional EKE change in the high-resolution simulations is
26	consistent with changes seen in at least four of five eddy-permitting ensemble members at 1 °C of
27	warming, and all ensemble members at 4 °C. However, substantial noise would make these changes
28	difficult to distinguish from natural variability without an ensemble.
29	Plain Language Summary
30	Ocean models struggle to simulate small-scale ocean flows due to the computational cost of high-
31	resolution simulations. Several cost-reducing strategies are applied to simulations of the Southern Ocean
32	and evaluated with respect to observations and traditional, lower-resolution modelling methods. The high-

33 resolution simulations effectively reproduce small-scale flows seen in satellite data and are largely

34 consistent with traditional model simulations regarding their response to climate change.





#### 36 1 Introduction

37	Mesoscale activity in the Southern Ocean has been the subject of much research and interest in
38	recent years due to the intensification of Southern Hemisphere westerlies (Marshall, 2003), the
39	phenomena of eddy saturation and compensation (Munday et al., 2013; Bishop et al., 2016), and the
40	potential for carbon sequestration in the face of ongoing anthropogenic emissions (Sallée et al., 2012;
41	Landschützer et al., 2015; Frölicher et al., 2015). Observations already reveal an intensification of eddy
42	activity in the Antarctic Circumpolar Current (ACC) and changes are attributed primarily to wind stress
43	(Marshall, 2003; Hogg et al., 2015; Martínez-Moreno et al., 2021). Modeling studies have been able to
44	reproduce the observed changes, as well as project continued intensification throughout the 21st century
45	(Beech et al., 2022), but the modeled results rely on only partially resolved eddy activity relative to
46	observations, leaving open the possibility for new findings or greater clarity.
47	Advances in computational capabilities have enabled ocean modeling science to make great
48	progress in overcoming the substantial computational burden of simulating the mesoscale, but
49	shortcomings remain, particularly in the Southern Ocean where the Rossby radius can be as small as 1
50	km, increasing the computational cost of resolving eddies (Hallberg, 2013). Even model resolutions that
51	can generally be considered eddy-resolving are only eddy-permitting poleward of 50° if they do not vary
52	in space (Hewitt et al., 2020). This highlights an efficiency challenge in simulating the mesoscale with
53	traditional model grids; resolutions necessary to resolve high-latitude, small-radius eddies are both
54	prohibitively expensive and unnecessary to resolve mesoscale eddies in the lower latitudes. Fortunately, a
55	growing number of modelling alternatives to traditional grids now enable dynamic spatial allocation of
56	resources (Danilov, 2013; Ringler et al., 2013; Danilov et al., 2017; Jungclaus et al., 2022), creating the
57	opportunity to more efficiently resolve the mesoscale.
50	As resource allocation in high resolution modeling becomes spatially flavible in the surguit of

58 As resource allocation in high-resolution modeling becomes spatially flexible in the pursuit of 59 more efficient configurations, the temporal component must also be scrutinized for efficiency. Traditional 60 modeling approaches require long spin-up periods in order to equilibrate the deep ocean and reduce





61	model drift (Irving et al., 2021). Although the impacts of drift are not negligible, it generally affects large-
62	scale processes in the deep ocean; mesoscale processes that require high resolutions to simulate are
63	typically fast-to-equilibrate and will appear relatively quickly wherever large-scale ocean conditions lead
64	to their creation. Admittedly, one cannot entirely disentangle the two scales, as mesoscale activity does
65	affect the position of fronts and the paths of ocean circulation (Marzocchi et al., 2015; Chassignet and Xu,
66	2017). Yet, with equilibration times for the deep ocean on the scale of thousands of years (Irving et al.,
67	2021), the possibility, and ultimately necessity, to reduce the resolution of spin-up runs relative to
68	production runs must be investigated.
69	Advancing the concept of dynamic temporal allocation of resources further, the traditional
70	transient climate change simulation also represents an efficiency bottleneck for some applications; by
71	modifying the climate continuously in time, each year of a transient simulation is effectively a single
72	realization of a global mean climatic state that varies from the following and preceding years by only a
73	fraction of a degree. For some applications, like hindcasts of real events or trend analysis, this approach
74	may be desirable, but for assessing the impacts of climate change with limited resources and a low signal-
75	to-noise ratio, a larger sample of realizations for a consistent climatic state may be more suitable.
76	Aside from oceanic concerns, the atmosphere can have substantial impacts on mesoscale activity
77	in climate models. Most simply, a coupled atmosphere will react to ocean eddy activity, whereas
78	atmospheric forcing will not, resulting in more eddy killing by wind stress (Renault et al., 2016).
79	Additionally, an atmosphere coupled to a high-resolution ocean must be of similarly high resolution for
80	certain mesoscale interactions to be resolved (Byrne et al., 2016). Ultimately, the modeled atmosphere
81	further escalates the already exponential cost of increasing ocean resolution by requiring more
82	computational resources in order for the benefits of the resolved mesoscale to fully transfer to the broader
83	climate.

To address the computational inefficiencies outlined above, an experimental configuration is
proposed, combining several experimental modeling approaches. Simulations will exploit the multi-





86	resolution Finite volume Sea-ice Ocean Model (FESOM) (Danilov et al., 2017) employing a nign-
87	resolution unstructured mesh that concentrates computational resources on the Southern Ocean, while
88	maintaining grid resolution in the remainder of the global ocean that can still be considered high-
89	resolution, as in, for example, HighResMIP (Haarsma et al., 2016). The multi-resolution strategy
90	overcomes the efficiency challenges of resolving high-latitude eddies without needlessly increasing
91	tropical resolutions, as well as limiting the focus and computational requirements to one hemisphere. The
92	high-resolution simulations will make use of a spin-up simulation on a medium-resolution, eddy-
93	permitting mesh to avoid the computational burden of allowing an eddy-resolving ocean to equilibrate
94	deep, slow-changing processes. The eddy-permitting mesh will also be used to simulate the transient
95	periods between shorter, high-resolution time slices, increasing the signal-to-noise ratio of the results by
96	separating the production data further in time and the progression of anthropogenic climate change.
97	Finally, the ocean model will be forced with atmospheric data from existing coupled simulations
98	(Semmler et al., 2020). Although this will not facilitate mesoscale atmosphere-ocean interaction, the
99	simulation will reflect the climatic development of an eddy-permitting simulation of the future
100	atmosphere without the additional computational requirements.

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The Southern Ocean is one of the world's hotspots for mesoscale activity and a region where 101 substantial change is anticipated in the context of anthropogenic climate change (Beech et al., 2022). 102 103 Simultaneously, the high latitude of the region makes eddy-resolving model simulations computationally demanding and observational data relatively scarce (Auger et al., 2023; Hallberg, 2013). Yet, as the 104 105 climate changes, the importance of the Southern Ocean grows as a heat and carbon sink, an ecosystem, and a medium for feedback between the atmosphere and ocean (Byrne et al., 2016; Frölicher et al., 2015). 106 Thus, the study of the Southern Ocean demands innovation in the modeling field to produce high-107 108 resolution simulations at reduced computational cost. This study maximizes grid resolution relative to 109 computational cost using an unstructured, multi-resolution grid, a medium-resolution spin-up simulation, 110 and atmospheric forcing from lower-resolution coupled simulations in order to focus resources as much as





111	possible on resolving mesoscale activity in the study region. The resulting simulations enable an
112	exploratory analysis of the past, present, and future of the Southern Ocean with a fully resolved
113	mesoscale. Simulations with this cost-efficient, high-resolution configuration are presented in comparison
114	to a comprehensive ensemble of eddy-permitting simulations to assess the performance of the efficiency-
115	focused approach in reproducing mesoscale activity and its response to climate change.
116	2 Methods
117	2.1 Experimental setup
118	This analysis is broadly a comparison of results from AWI-CM-1-1-MR's contribution to CMIP6
119	(hereafter referred to as AWI-CM-1) (Semmler et al., 2020) and simulations using an updated version of
120	FESOM (FESOM 2.5) and a mesh substantially refined to a resolution reaching less than 3 km in the
121	Southern Ocean (hereafter referred to as SO3) (Supplementary Figure 1). Observations of ocean surface
122	velocity derived from satellite altimetry data are also used to evaluate the model performance of each
123	simulation. The AWI-CM-1 simulations are state-of-the-art CMIP6 experiments and benefit from the
124	multiple ensemble members and long spin-up times that CMIP simulations typically boast. However,
125	while the AWI-CM-1 ensemble reproduces eddy activity remarkably well within the context of CMIP6
126	(Beech et al., 2022), high-resolution ocean modeling now far surpasses even the highest ocean resolutions
127	in the CMIP6 ensemble. Conversely, the SO3 simulations push the limits of ocean resolution but rely on
128	several measures for maximizing computational efficiency that may impact the robustness of the
129	simulations. Details on the experimental setup for CMIP6 and ScenarioMIP are widely available (Eyring
130	et al., 2016; O'Neill et al., 2016) and information more specific to AWI-CM-1-1-MR's contribution has
131	been published previously (Semmler et al., 2020). The following sections will outline the details of the
132	SO3 simulations.

133 The model experiments with SO3 consist of a medium-resolution, eddy-permitting, ocean-only134 transient simulation from 1851-2100 and three shorter simulations with the SO3 mesh at different time





135	periods during the progression of anthropogenic climate change. The medium-resolution transient run is a
136	stand-alone ocean simulation using a medium-resolution mesh that has been shown to effectively
137	reproduce eddy activity in active regions while maintaining a computational cost comparable to a
138	traditional $\frac{1}{4}$ ° model (Beech et al., 2022). The model is initialized with conditions for ocean temperature
139	and salinity, as well as sea ice concentration, thickness, and snow cover taken from the end of the first
140	year (1850) and first ensemble member (r1i1p1f1) of AWI-CM-1-1-MR's historical simulations in
141	CMIP6 (Semmler et al., 2018, 2020, 2022a, b). In this way, the model undergoes a semi-cold start in
142	which ocean conditions are not exact continuations of the previous coupled simulation, but nonetheless
143	benefit from more realistic and partially equilibrated ocean properties. The stand-alone ocean is forced
144	using atmospheric data from the same ensemble member of the historical CMIP6 simulations until 2014
145	(Semmler et al., 2022a), and thereafter using the first ensemble member of AWI-CM-1-1-MR's
146	ScenarioMIP simulations for shared socioeconomic pathway (SSP) 3-7.0 (Eyring et al., 2016; O'Neill et
147	al., 2017; Semmler et al., 2022b). This approach to forcing takes advantage of a coupled simulation,
148	CMIP6, to produce a forcing dataset of better temporal and spatial coverage than the observational record
149	and which maintains a realistic transient climate throughout anthropogenic impacts during the 21st
150	century.

151 In the years 1950, 2015, and 2090, the model is reinitialized with the higher-resolution ocean 152 grid, SO3 (Supplementary Figure 1), using the same semi-cold start approach and forcing dataset, as well as initial conditions from the end of the previous year of the eddy-permitting transient simulation. The 153 154 high-resolution grid is, in truth, a regionally refined mesh in which a 25 km global resolution is increased to approximately 2.5 km primarily south of 40 °S, but with other pertinent regions, such as the Agulhas 155 Current and several narrow straits, also refined. In this way, the model is able to simultaneously achieve 156 eddy-rich conditions in the Southern Ocean and many of the nearby active regions as well as a global 157 158 resolution that would still be considered high in the context of CMIP6 (Hallberg, 2013; Hewitt et al., 159 2020). The high-resolution simulations are each integrated for six years with the first year ignored as a





160	true spin-up, leaving five years of data for each time period. While model drift may be a concern with
161	such a short true spin-up period, this should affect each of the high-resolution time slices similarly and to
162	a limited extent due to their short integration lengths. Thus, the differences between the high-resolution
163	ocean simulations should primarily reflect anthropogenic climate impacts simulated during the eddy-
164	permitting transient run.

## 165 **2.2 Model configuration**

166 The Finite volume Sea-ice Ocean Model version 2.5 is a post-CMIP6 era model, having been

refactored to a finite-volume configuration from the finite-element version (FESOM1.4, Q. Wang et al.,

168 2014) employed in CMIP6, and transitioned to arbitrary Lagrangian Eulerian (ALE) vertical coordinates,

among other improvements (Danilov et al., 2017; Scholz et al., 2019, 2021). FESOM's most

170 distinguishing feature among mature ocean models is the unstructured horizontal grid that exploits

triangular grid cells which can smoothly vary in size to change the horizontal grid resolution in space. In

these simulations, full free surface, or z\*, vertical coordinates were used, allowing the vertical model

173 layer thicknesses to change in time. Gent-McWilliams eddy parameterization (Gent and McWilliams,

174 1990) is scaled with resolution according to (Ferrari et al., 2010) and vertical mixing is simulated by a k-

175 profile parameterization scheme (Large et al., 1994).

The SO3 mesh consists of over 22 million surface elements (triangle faces) or 11 million surface nodes (triangle vertices) and 70 vertical layers. The simulations produce about 1.1 terabytes of data per year of 3D data stored on nodes. The model was run on 8192 CPU cores and with a typical throughput of approximately 0.65 simulated years per day, consuming approximately 5.5 million CPU hours in total despite the various cost-saving modeling approaches. The simulations and following analysis were performed using the high-performance computing system, Levante, at the German Climate Research Center (DKRZ).





183	The ocean model is forced by several atmospheric variables at a six-hour resolution, although one
184	forcing variable, humidity, is interpolated monthly data. The forcing data is first interpolated to a regular
185	grid which can be interpreted by the model and applied to the multi-resolution grid used in the respective
186	simulations. Runoff data is a monthly climatology and dynamic ice sheet coupling is not included,
187	meaning the freshwater influx from the Antarctic continent does not react to warming which may impact
188	certain processes, such as the timing and intensity of sea ice loss (Pauling et al., 2017; Bronselaer et al.,
189	2018).

#### 190 2.3 Altimetry data

Daily geostrophic velocities are taken from a gridded altimetry product derived from crossover data available from the Data Unification and Altimeter Combination System (DUACS) (Taburet et al., 2019). The gridded product has a resolution of 0.25 °, although effective resolution at high latitudes may be much lower (Ballarotta et al., 2019). Recently, improved data has become available in the ice-covered regions of the Southern Ocean (Auger et al., 2022), but does not yet cover the present-day simulated period (2016-2020) in this study. Absolute velocities from the gridded altimetry product were used to calculate anomalies and EKE using equations (3) and (4) below for consistency with the modeled dataset.

#### 198 2.4 Geostrophic velocities

Ocean velocities in the SO3 simulations are saved on a daily timescale as direct model output, whereas in the AWI-CM-1 ensemble, only monthly data is available and daily data must first be derived from sea surface height data and geostrophic balance as in equations (1) and (2). To avoid including ageostrophic contributions to ocean surface velocity in this analysis, model output velocity between 25 m and 30 m depth is used for the SO3 dataset. This depth should be close enough to the surface to closely match surface geostrophic flows while also avoiding Ekman transport, a major contributor to ageostrophic ocean flow. What ageostrophic flow remains in the model output velocities should be primarily large-





- scale and overshadowed by geostrophic flow in the high-energy regions of the ocean, including the ACC
- 207 (Yu et al., 2021).
- 208  $u = -gf^*\partial SSH/\partial y$  (1)
- 209  $v = gf^* \partial SSH / \partial x$  (2)
- 210 *e. EKE analysis*
- 211 Velocity anomalies are defined by subtracting the multi-year monthly climatology of each

respective 5-year period from daily velocities with equation (3).

- $213 u'_i = u_i \overline{u_m} (3)$
- 214 Where  $u_i$  is the daily zonal velocity, ' denotes an anomaly, and  $\overline{u_m}$  is a monthly mean. For meridional

215 velocities (v) substitute u with v.

Eddy kinetic energy is calculated from ocean velocities according to equation (4).

217 
$$EKE_i = 0.5(u'_i^2 + v'_i^2)$$
 (4)

218 Where (i) denotes a daily value and (') denotes an anomaly.

219 EKE was calculated on the native grid of each dataset and then interpolated to a 0.25 ° grid for all

analyses. EKE in Figures 1 and 3 was coarsened to five-day means before analysis. Area-integrated EKE

221 is calculated by summing the area-weighted EKE of each grid cell in the study region defined as the zonal

band between 45 °S and 60 °S with the Brazil/Malvinas confluence region between 57 °E and 29 °E and

- 223 northward of 40 °S removed. EKE anomalies (Figure 1) were calculated by subtracting the 2016-2020
- 224 mean from the EKE data of each period. Normalized EKE was calculated by further dividing EKE
- anomaly by the standard deviation of EKE during the 2016-2020 period. In Figure 4, ensemble agreement
- 226 is determined by ordering the  $\Delta$ EKE values within each grid cell from lowest to highest, plotting the





227 positive values in increasing order from left to right and negative values in decreasing order from left to

228	right.
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229 3 Results

230 3.1 Agreement with observations

During the five-year period of overlap with observations, the SO3 simulation is a drastic 231 232 improvement on the AWI-CM-1 ensemble in reproducing median observed EKE (Figure 1a, c); only a slight underrepresentation of EKE remains in the SO3 simulation, although the simulated distribution 233 234 remains distinct from observations, whereas the AWI-CM-1 ensemble underrepresents observations by 235 about 50% (Figure 1a, c). EKE in SO3 appears more variable than the observations as can be inferred from the larger range (Figure 1c, e) and its distribution appears less Gaussian than observations or the 236 237 eddy-permitting dataset (Figure 1a, b, c). Taking the AWI-CM-1 ensemble as an example, there is no 238 consistent pattern of multimodality or skewness and the ensemble mean more closely approximates the 239 normal distribution than the individual members (Figure 3), suggesting that deviations from normality 240 could be primarily due to sample size rather than the character of the data. Relative to the AWI-CM-1 model bias and the magnitude of EKE resolved, the ensemble spread within the AWI-CM-1 dataset is 241 242 small (Figure 3), suggesting that a single ensemble member of five years duration is sufficient to assess how well a model captures the overall magnitude of Southern Ocean EKE (Figure 1c). 243







Figure 1. Violin plots of EKE in simulations and observations. Central points of each plot indicate the
median, thick bars span the first and third quartiles, thin bars span the range, and the violin body is a
kernel density estimation of the data. a-c) Real magnitudes of EKE (note the different y axes) a) The
AWI-CM-1 ensemble. b) the first member of the AWI-CM-1 ensemble, from which the SO3 simulations
take their atmospheric forcing. c) The So3 simulations and observations. d-f) EKE anomalies relative to
the 2016-2020 mean for each dataset respectively. d) 1951-1955. e) 2016-2020. f) 2091-2095. g-i)





- 251 Normalized values relative to the mean and standard deviation of EKE during the 2016-2020 period for
- 252 each dataset respectively. g) 1951-1955. h) 2016-2020. i) 2091-2095.
- From a regional perspective, the SO3 simulation accurately reflects local magnitudes of observed
- EKE and also generally captures the spatial distribution well (Figure 2). However, there are regional
- shortcomings, such as between 90 and 145 °E. Grid resolution in this region should be sufficient to
- resolve eddy activity (Supplementary Figure 1), indicating that the bias arises from another source. In the
- 257 AWI-CM-1 ensemble, the regional representation of EKE reinforces a broad underrepresentation relative
- to observed magnitudes, but the major geographic features of eddy activity are fairly well represented
- 259 (Figure 2). Once again, the ensemble spread within the AWI-CM-1 simulations reveals remarkable
- 260 consistency, this time in terms of the spatial pattern and regional magnitudes (Supplementary Figure 2),
- 261 reinforcing the conclusion that a single ensemble member of five years duration is sufficient to assess the
- 262 mean state of EKE in the Southern Ocean. The consistency of the AWI-CM-1 ensemble further suggests
- that regional shortcomings in eddy activity in the SO3 simulations are not a product of variability within a
- single realization of Southern Ocean conditions (Supplementary Figure 2).







265

Figure 2. Mean eddy kinetic energy between 2016 and 2020. a) The AWI-CM-1 ensemble. b) The first
member of the AWI-CM-1 ensemble. c) The SO3 simulation. d) The gridded satellite altimetry dataset.





## 269 **3.2 EKE change and significance**

270	Southern Ocean eddy activity has been shown to intensify over the recent decades both using
271	satellite altimetry (Martínez-Moreno et al., 2021), and the complete AWI-CM-1 dataset from CMIP6
272	(Beech et al., 2022). Even after reducing the AWI-CM-1 CMIP6 dataset to five-year periods preceding
273	the apparent change (1951-1955) and at the end of the altimetry era (2016-2020), this intensification is
274	still discernable within the AWI-CM-1 ensemble (Figure 1a). Despite this, the SO3 simulations do not
275	demonstrate any substantial change in magnitude over the same period (Figure 1). Further reducing the
276	ensemble to its individual members, the EKE rise is still relatively clear in each case considering the
277	median, mode, and first and third quartiles of the distributions (Figure 3), suggesting again, that natural or
278	internal variability is not responsible for the discrepancies between simulations. Therefore, despite the
279	first AWI-CM-1 ensemble member, from which the SO3 simulations receive their atmospheric forcing,
280	producing lower-than-average EKE rise over this period (Figure 3), it is likely that other factors also
281	contribute to the lack of change in the SO3 simulations.
282	The intensification of EKE becomes clear in both the AWI-CM-1 ensemble (Figure 1a), its
283	members (Figure 3), and the SO3 simulations (Figure 1c) by the end of the 21st century. Over this period,
284	the variability of EKE, indicated by the range of the distribution, also increases for each dataset (Figure
285	1f, i). EKE rise in SO3 is approximately three times that of the AWI-CM1 ensemble in absolute terms
286	(Figure 1f), but expressing EKE as a relative value normalized by the mean and standard deviation of
287	each dataset during the observational period (Figure 1g, h, i), reveals greater consistency between the
288	changes until the end of the 21st century. EKE in each dataset appears to increase by approximately 3
289	standard deviations, and the range of EKE distributions increases by approximately one to two standard
290	deviations (Figure 1h, i).
291	







Figure 3. Ensemble spread of EKE in AWI-CM-1. a) Violin plots of mean Southern Ocean EKE in the
AWI-CM-1 ensemble. b) Violin plots of mean Southern Ocean EKE in each member of the AWI-CM-1
ensemble. Grey plots represent the period 1951-1955, blue plots represent 2016-2020, purple plots
represent 2091-2095.

297 Before considering the regional impacts of warming on EKE in the SO3 simulations, it is useful 298 to refer to the ensemble spread within the AWI-CM-1 simulations to approximate the reliability of a 299 single ensemble member in revealing the ensemble-mean change as an analogue to the signal-to-noise 300 ratio. At 1 °C of warming, EKE change in the ensemble is weak, with at least one ensemble member 301 tending to show little or no EKE change in most regions (Figure 4a,c). Only a few clear patterns of 302 change emerge throughout the ensemble, namely the regions of EKE intensification downstream of the 303 Kerguelen Plateau and the Campbell Plateau where four to five out of five ensemble members show clear 304 EKE intensification (Figure 4a). It should be noted that even in these regions of relatively high confidence 305 (4 to 5 ensemble members, Figure 4a) EKE rise can be interspersed with lower-confidence (1 to 2 306 ensemble members, Figure 4c) EKE decline; this is also illustrated by the ensemble mean changes 307 themselves (Supplementary Figures 2, 3). Despite this, the consistency of EKE rise in these regions, and 308 their geographic positions in already EKE-rich regions, suggests that the intensification patterns are





- 309 robust changes within substantial noise. This level of noise suggests that EKE changes in the SO3
- 310 simulations at 1 °C of warming will be difficult to distinguish from natural variability when taken on their
- 311 own; indeed, in the SO3 simulations, the large variability of both sign and magnitude of change within
- relatively small spatial scales does not lend confidence to any significant change at 1 °C of warming
- 313 (Figure 5c). However, building on the changes observed in the AWI-CM-1 ensemble, the intensification
- 314 of EKE downstream of the Kerguelen and Campbell Plateaus seems to be reinforced by the high-
- 315 resolution simulations.







317	Figure 4. Ensemble agreement regarding EKE rise (a, b) and decline (c, d) within the AWI-CM-1
318	ensemble after one (a, c) and four (b, d) °C of warming. Ensemble agreement refers to the number of
319	ensemble members that simulate at least the pictured magnitude of EKE rise or decline for each grid cell.
320	Rank 5/5 indicates the lowest magnitude of EKE rise or decline within the ensemble for a given grid cell,
321	meaning the entire ensemble agrees on at least this much change. Rank 1/5 indicates the highest
322	magnitude of EKE rise or decline within the ensemble for each grid cell, representing the upper limit of
323	projected EKE change.
324	
325	At 4 °C of warming, change in eddy activity becomes clearer; EKE intensification downstream of
326	the Kerguelen and Campbell Plateaus is now consistent throughout the entire AWI-CM-1 ensemble, along
327	with additional intensifications south of the Falkland/Malvinas Plateau, around the Conrad Rise, and
328	along the Antarctic Slope Current at approximately 5 °E (Figure 4b). Four fifths of the ensemble also
329	include a broad increase in EKE throughout the ACC across most longitudes. Interestingly, a consistent
330	pattern of EKE decline also emerges upstream of the Campbell Plateau in the entire ensemble (Figure 4d).
331	The spatial pattern of EKE rise is relatively consistent regardless of confidence, with only the magnitude
332	increasing in the lower confidence composites (Figure 4b). The same tendency is observable between the
333	EKE changes at 1 and 4 °C of warming, where the magnitude of change is greater after further warming
334	but follows the same spatial pattern. Thus, regions of intensification can be identified more reliably than
335	the magnitude of change and tend to be concentrated where flow interacts with topographic features, in
336	already eddy-rich regions (Figure 2). Conversely, low confidence EKE decline appears nearly throughout
337	the Southern Ocean in at least one ensemble member, but only consistently upstream of the Campbell
338	Plateau and, to a far lesser extent, downstream of the Drake Passage and Campbell Plateau (Figure 4d).
339	Changes of negative sign tend to be of lower magnitude at 4 °C of warming than at 1 °C. This suggests
340	that in any given single ensemble simulation, a robust general intensification of EKE tends to occur but

341 can be interspersed with spurious signals of decline. Yet, small regions of high-confidence EKE decline

are also possible, however uncommon. Consequently, it would be difficult to confidently separate reliable 342





343 EKE change from natural variability in simulations without an ensemble to compare with. In the SO3 344 simulations, EKE rise downstream of the Drake Passage and Kerguelen and Campbell Plateaus is 345 substantial (Figure 5f). EKE rise is also projected south of the Falkland/Malvinas Plateau, around the Conrad Rise, and along the Antarctic Slope Current at approximately 5 °E, and a slight EKE decline 346 appears upstream of the Campbell Plateau. All of this is comparable to the AWI-CM-1 ensemble, and the 347 348 interspersed areas of EKE decline within these regions, for example, around the Conrad Rise, are not improbable based on the example set by AWI-CM-1 (Figure 4d). However, considering that some high-349 confidence EKE decline is present in the AWI-CM-1 ensemble, it is difficult to confidently dismiss 350 regional EKE decline in the SO3 simulations as noise. 351







Figure 5. EKE change. Spatial representations of the difference in EKE between (a-c) 1951-1955 and
2016-2020, (d-f) 1951-1955 and 2091-2095. a,d) The AWI-CM-1 ensemble. b,e) the first member of the
AWI-CM-1 ensemble. c,f) The SO3 simulations.

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#### 358 4 Discussion

Intensification of eddy activity in the Southern Ocean is now widely accepted as a consequence 359 360 of anthropogenic climate change (Hogg et al., 2015; Patara et al., 2016; Martínez-Moreno et al., 2021; 361 Beech et al., 2022), and Is understood to be caused primarily by stronger westerly winds imparting more 362 energy to the Antarctic Circumpolar Current (Munday et al., 2013; Marshall, 2003). The results presented here reinforce the notion of EKE intensification and further project increased EKE variability as the 363 364 climate warms (Figure 1, 3). Understanding of regional changes within the Southern Ocean eddy field is generally limited to regions defined by oceanic sectors (Atlantic, Indian, Pacific) (Hogg et al., 2015), or 365 366 incremental longitudinal delimitations (Patara et al., 2016). By expressing EKE change in terms of ensemble agreement on a cell-by-cell basis, the results presented here are able to identify regions of 367 368 reliable and substantial change as those where flow interacts with major bathymetric features, and high 369 eddy activity is already known to occur (Figure 4). In future research, regional analyses of both the 370 significance and cause of EKE trends could focus on these regions to avoid confounding results with 371 those of physically unrelated change within the chosen geographic delimitations. 372 Considering the consistency of the AWI-CM-1 ensemble both in terms of representing mean EKE 373 distribution (Supplementary Figure 2), and projecting overall Southern Ocean EKE change (Figure 2), it 374 appears that a larger ensemble of high-resolution simulations is not necessary to assess local mean states 375 and changes over the entire region. This also means that the discrepancies between the SO3 simulations 376 and AWI-CM-1 ensemble remain unexplained. The SO3 simulations failed to reproduce the clear intensification of EKE present in the ensemble at 1 °C of warming, which has already been identified in 377 378 observations (Martínez-Moreno et al., 2021). One potential explanation for this is the atmospheric forcing



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atmosphere or coupled atmosphere of the AWI-CM-1 ensemble can. Consequently, atmospheric forcing
has been found to cause 27% more eddy killing by wind stress than coupled simulations (Renault et al.,
2016). Since intensifying wind is widely considered the cause of EKE intensification in the Southern
Ocean (Meredith and Hogg, 2006; Munday et al., 2013; Hogg et al., 2015; Beech et al., 2022), the

applied to the SO3 simulations which cannot react to oceanic conditions in the same way that the real

- increase may be counteracted by a simultaneous increase in eddy killing.
- 385 Assuming that the medium-resolution spin-up simulation effectively imparts a generally equilibrated ocean to the SO3 simulations in terms of baroclinic and barotropic instability, the remaining 386 387 discrepancies between eddy activity in SO3 and observations should be largely identifiable. In terms of 388 grid resolution, resolving the first Rossby radius of deformation with at least two grid points is not enough to comprehensively reproduce mesoscale activity (Hallberg, 2013; Sein et al., 2017), meaning 389 grid refinement may need to be expanded to regions that impact eddy dynamics in the Southern Ocean. 390 391 Other sources of bias may include ocean-atmosphere interactions which are absent or unrealistic within the uncoupled simulations (Byrne et al., 2016; Rai et al., 2021; Renault et al., 2016). As well, some small-392 393 scale, slow-to-equilibrate ocean processes may be resolved in the high-resolution simulations, but not be 394 integrated long enough for their effects to impact eddy activity (van Westen and Dijkstra, 2021; Rackow et al., 2022). Finally, the gridded altimetry product itself may be responsible for some disagreement, as 395 396 the along-track data is known to underrepresent eddy activity at scales less than 150km and 10 days 397 (Chassignet and Xu, 2017), which will be particularly impactful at high latitudes.
- To distinguish a meaningful signal of anthropogenic impacts from natural variability, this analysis relies primarily on consistency among ensemble members (Figures 3, 4). This is distinct from more traditional methods like assessment of error relative to observations or ensemble mean, commonly applied to weather forecasting (Ferro et al., 2012). Performance evaluation relative to observations would undoubtedly point to the high-resolution simulation as superior due to the drastic underrepresentation of EKE in the eddy-permitting ensemble (Figure 1). Yet, the effects of climate change are still apparent in





404	the AWI-CM-1 ensemble (Figure 1, 5), and the AWI-CM-1 dataset has been used to make similar
405	projections of EKE already (Beech et al., 2022). Moreover, the eddy response to forcing seems to be
406	consistent between the model resolutions when expressed in relative (Figure 1g, h, i), rather than absolute
407	terms (Figure 1a, b, c). While more verification of this result is necessary both regionally, and with other
408	models, these results suggest that eddy-permitting resolutions can be interpreted with their shortcomings
409	in mind in order to discern the real-world implications: as is often necessary with model data. Thus, based
410	on the test case of the Southern Ocean, the usefulness of the AWI-CM-1 ensemble and the effectiveness
411	of model simulations in identifying physically significant and reproduceable impacts of climate change
412	may be greater than would be identified using traditional methods and comes at a much lower cost
413	relative to the eddy-resolving simulations.
414	This study has focused on EKE as an evaluation metric for the simulations since mesoscale
415	activity is the primary motivation for increasing ocean model resolution. It has stopped short of assessing
416	the improvements that resolving the mesoscale has on climate and ocean dynamics, many of which are
417	discussed in detail elsewhere (eg. (Hewitt et al., 2017). Rather than repeat an assessment of the benefits of
418	resolving smaller scales, we assume that the accurate reproduction and evolution of eddy activity

419 indicates that these improvements are transferred to broader processes. Certainly, inaccurate simulation of

420 the mesoscale would raise questions regarding the improvements that this mesoscale activity should have

421 on the simulations as a whole. Nonetheless, further evaluation of the modeling approaches employed in

422 this study will be necessary to determine if these methods are appropriate for studying broader elements

423 of the climate system. Since the high-resolution simulations derive their deep-ocean climate primarily

from the medium-resolution spin-up simulation, improving the initialization process (Thiria et al., 2023)

425 may be the critical barrier to extending these results from the mixed layer to the deeper ocean.

#### 426 5 Conclusion

Resolving the ocean mesoscale has become a focus for the climate and ocean modeling
community as computational capabilities expand and models become increasingly complex. The benefits





429	that explicitly resolved eddy activity can have on climate simulations are clear (Hewitt et al., 2017; Sein
430	et al., 2017) along with the impact that mesoscale variability has on local (Lachkar et al., 2009; Wang et
431	al., 2017) and global environments (Falkowski et al., 1991; Sallée et al., 2012). However, state-of-the-art
432	climate models will be unable to fully resolve the mesoscale for the foreseeable future, particularly in
433	large-scale modeling endeavors such as CMIP (Hewitt et al., 2020). Thus, modelers must make informed
434	choices regarding the explicit processes needed to answer research questions and where resources must be
435	allocated to achieve specific goals. Existing analysis of resource allocation has typically addressed short-
436	term weather forecasting or the ability to reproduce observations with low error (Ferro et al., 2012), but
437	the question of how to best allocate resources for climate change impact assessment remains. This study
438	has applied several cost-efficient modeling approaches to an analysis of the impacts of climate change on
439	a key focus of high-resolution modeling: the mesoscale. Applying these results to broader climate change
440	impact studies should improve the efficiency of resource allocation and focus modeling studies.
441	Resolution can be dynamically adjusted both spatially, by focusing resources in study regions and where
442	they are necessary to resolve local dynamics, and temporally, by allowing lower-resolution workhorse
443	configurations to perform spin-up and transient runs. Limited simulation length and ensemble size can be
444	sufficient for certain research questions and validation, but simulations must ultimately be designed to
445	meet their specific goals. Where resources are limited, studies may best include a combination of eddy-
446	resolving simulations able to fully capture the local eddy field, as well as eddy-present simulations that
447	can attest to the significance of results through consistency and repetition.
448	This work represents a contribution to the growing wealth of research that points to an
449	intensification of eddy activity in the Southern Ocean (Hogg et al., 2015; Martínez-Moreno et al., 2021;
450	Beech et al., 2022). The further conclusions that EKE variability may increase and that EKE
451	intensification appears concentrated in key regions based on topography can both expand the present state
452	of knowledge, as well as direct future research. The cost-efficient modelling approaches of regional grid
453	refinement, reduced-resolution spin-up and transient runs, and limited simulation lengths distinguished by





- 454 longer periods of change are demonstrated to be effective at reproducing change within a more traditional
- 455 eddy-permitting ensemble. When resources are limited and resolution demands are high, these approaches
- 456 can be adapted to address specific research questions. Where assessing the robustness of change is
- 457 critical, the complimentary eddy-permitting ensemble represents an effective, low-cost supplement to the
- 458 high-resolution simulations.
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#### 462 Data Availability

- 463 Geostrophic velocities derived from satellite altimetry data are publicly available at
- 464 https://doi.org/10.48670/moi-00148. Daily sea surface height data from AWI-CM-1-1-MR in CMIP6
- 465 used to compute geostrophic velocities in this study is archived at the World Data Center for Climate at
- 466 the DKRZ (https://doi.org/10.26050/WDCC/C6sCMAWAWM,
- 467 https://doi.org/10.26050/WDCC/C6sSPAWAWM) (Semmler et al., 2022a, b). Model output from AWI-
- 468 CM-1-1-MR in the CMIP6 framework, including all variables used to force the standalone ocean
- 469 simulations conducted for this study, is publicly available at <u>https://doi.org/10.22033/ESGF/CMIP6.359</u>
- 470 (Semmler et al., 2018). Eddy kinetic energy datasets calculated from FESOM output velocities are
- 471 available at (<u>https://doi.org/10.5281/zenodo.8046792</u>) (Beech, 2023b).

#### 472 Code Availability

- 473 Source code for the ocean model FESOM version 2.5 is available at
- 474 (<u>https://doi.org/10.5281/zenodo.7737061</u>) (patrickscholz et al., 2023). Code used for data analysis and
- 475 visualization in this study is publicly available at (<u>https://doi.org/10.5281/zenodo.8046783</u>) (Beech,
- 476 2023a). Code used to calculate geostrophic velocities from sea surface height data from AWI-CM-1-1-
- 477 MR is available from <u>https://doi.org/10.5281/zenodo.7050573</u>.

### 478 Author Contributions

- 479 NB, TJ, TR, and TS conceived of the study. NB carried out the simulations, analyzed the data, and drafted
- 480 the manuscript. All authors reviewed the manuscript.
- 481 Competing Interests
- 482 The authors declare no competing interests.
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