

1 **Exploring the ocean mesoscale at reduced computational cost with FESOM 2.5: efficient**  
2 **modeling strategies applied to the Southern Ocean**

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10

11 **Abstract**

12 Modeled projections of climate change typically do not include a well-resolved ocean mesoscale  
13 due to the high computational cost of running high-resolution models for long time periods. This  
14 challenge is addressed using efficiency-maximizing modeling strategies applied to 3 km simulations of  
15 the Southern Ocean in past, present, and future climates. The model setup exploits reduced-resolution  
16 spin-up and transient simulations to initialize a regionally refined, high-resolution ocean model during  
17 short time periods. The results are compared with satellite altimetry data and more traditional eddy-  
18 present simulations and evaluated based on their ability to reproduce observed mesoscale activity and to  
19 reveal a response to climate change distinct from natural variability. The high-resolution simulations  
20 reproduce the observed magnitude of Southern Ocean eddy kinetic energy (EKE) well, but differences  
21 remain in local magnitudes and the distribution of EKE. The coarser, eddy-permitting ensemble simulates  
22 a similar pattern of EKE, but underrepresents observed levels by 55%. At approximately 1 °C of  
23 warming, the high-resolution simulations produce no change in overall EKE, in contrast to full ensemble  
24 agreement regarding EKE rise within the eddy-permitting simulations. At approximately 4 °C of  
25 warming, both datasets produce consistent levels of EKE rise in relative terms, although not absolute  
26 magnitudes, as well as an increase in EKE variability. Simulated EKE rise is concentrated where flow  
27 interacts with bathymetric features in regions already known to be eddy-rich. Regional EKE change in the  
28 high-resolution simulations is consistent with changes seen in at least four of five eddy-permitting  
29 ensemble members at 1 °C of warming, and all ensemble members at 4 °C. However, substantial noise  
30 would make these changes difficult to distinguish from natural variability without an ensemble.

31 **Plain Language Summary**

32 Cost-reducing modeling strategies are applied to high-resolution simulations of the Southern  
33 Ocean in a changing climate. They are evaluated with respect to observations and traditional, lower-  
34 resolution modeling methods. The simulations effectively reproduce small-scale ocean flows seen in

35 satellite data and are largely consistent with traditional model simulations after 4 °C of warming. Small-  
36 scale flows are found to intensify near bathymetric features and to become more variable.

## 37 **1 Introduction**

38           Mesoscale activity in the Southern Ocean has been the subject of much research and interest in  
39 recent years due to the intensification of Southern Hemisphere westerlies (Marshall, 2003), the  
40 phenomena of eddy saturation and compensation (Munday et al., 2013; Bishop et al., 2016), and the  
41 potential for carbon sequestration in the face of ongoing anthropogenic emissions (Sallée et al., 2012;  
42 Landschützer et al., 2015; Frölicher et al., 2015). Satellite observations already reveal an intensification of  
43 eddy activity in the Antarctic Circumpolar Current (ACC) and changes are attributed primarily to wind  
44 stress (Marshall, 2003; Hogg et al., 2015; Martínez-Moreno et al., 2021). Modeling studies have been  
45 able to reproduce the observed changes, as well as project continued intensification throughout the 21<sup>st</sup>  
46 century (Beech et al., 2022), but the modeled results rely on only partially resolved eddy activity relative  
47 to observations, leaving open the possibility for new findings or greater clarity.

48           Advances in computational capabilities have enabled ocean modeling science to make great  
49 progress in overcoming the substantial computational burden of simulating the mesoscale. However,  
50 shortcomings remain, particularly in the Southern Ocean where the Rossby radius can be as small as 1  
51 km, increasing the computational cost of resolving eddies (Hallberg, 2013). Even model resolutions that  
52 can generally be considered eddy-resolving are only eddy-permitting poleward of 50° if grid spacing does  
53 not vary in space (Hewitt et al., 2020). This highlights an efficiency challenge in simulating the mesoscale  
54 with traditional model grids; resolutions necessary to resolve high-latitude, small-radius eddies are both  
55 prohibitively expensive and unnecessary to resolve mesoscale eddies in the lower latitudes. Fortunately, a  
56 growing number of modeling alternatives to traditional grids now enable dynamic spatial allocation of  
57 resources (Danilov, 2013; Ringler et al., 2013; Danilov et al., 2017; Jungclaus et al., 2022), creating the  
58 opportunity to more efficiently resolve the mesoscale.

59           As resource allocation in high-resolution modeling becomes spatially flexible in the pursuit of  
60 more efficient configurations, the temporal component must also be scrutinized for efficiency. Traditional  
61 modeling approaches require long spin-up periods in order to equilibrate the deep ocean and reduce

62 model drift (Irving et al., 2021). Although the impacts of drift are not negligible, they generally affect  
63 large-scale processes in the deep ocean; mesoscale processes that require high resolutions to simulate are  
64 typically fast-to-equilibrate and will appear relatively quickly wherever large-scale ocean conditions lead  
65 to their creation. Admittedly, one cannot entirely disentangle the two scales, as mesoscale activity does  
66 affect the position of fronts, stratification, and the paths of ocean circulation (Marshall et al., 2002;  
67 Marzocchi et al., 2015; Chassignet and Xu, 2017). Yet, with equilibration times for the deep ocean on the  
68 scale of thousands of years (Irving et al., 2021), the possibility, and ultimately necessity, to reduce the  
69 resolution of spin-up runs relative to production runs must be investigated.

70           Advancing the concept of dynamic temporal allocation of resources further, the traditional  
71 transient climate change simulation also represents an efficiency bottleneck for some applications; by  
72 modifying the climate continuously in time, each year of a transient simulation is effectively a single  
73 realization of a global mean climatic state that varies from the following and preceding years by only a  
74 fraction of a degree. For some applications, like hindcasts of real events or trend analysis, this approach  
75 may be desirable, but for assessing the impacts of climate change with limited resources and a low signal-  
76 to-noise ratio, a larger sample of realizations for a consistent climatic state may be more suitable.

77           Aside from oceanic concerns, the atmosphere can have substantial impacts on mesoscale activity  
78 in climate models. Most simply, with a coupled atmosphere, absolute surface winds will react to ocean  
79 eddy activity, whereas atmospheric forcing will not, resulting in more eddy killing by wind stress  
80 (Renault et al., 2016). Additionally, an atmosphere coupled to a high-resolution ocean must be of  
81 similarly high resolution for certain mesoscale interactions to be resolved (Byrne et al., 2016). Ultimately,  
82 the modeled atmosphere further escalates the already exponential cost of increasing ocean resolution by  
83 requiring more computational resources in order for the benefits of the resolved mesoscale to fully  
84 transfer to the broader climate.

85           To address the computational inefficiencies outlined above, a novel simulation configuration is  
86 proposed, combining several experimental modeling approaches. Simulations will exploit the multi-

87 resolution Finite volume Sea-ice Ocean Model (FESOM) (Danilov et al., 2017) employing a high-  
88 resolution unstructured mesh that concentrates computational resources on the Southern Ocean, while  
89 maintaining grid resolution in the remainder of the global ocean that can still be considered high-  
90 resolution, as in, for example, HighResMIP (Haarsma et al., 2016). The multi-resolution strategy  
91 overcomes the efficiency challenges of resolving high-latitude eddies without needlessly increasing  
92 tropical resolutions, as well as limiting the focus and computational requirements to one hemisphere. The  
93 high-resolution simulations will make use of a spin-up simulation on a medium-resolution, eddy-  
94 permitting mesh to avoid the computational burden of allowing an eddy-resolving ocean to equilibrate  
95 deep, slow-changing processes. The eddy-permitting mesh will also be used to simulate the transient  
96 periods between shorter, high-resolution time slices, increasing the signal-to-noise ratio of the results by  
97 separating the production data further in time and the progression of anthropogenic climate change.  
98 Finally, the ocean model will be forced with atmospheric data from existing coupled simulations  
99 (Semmler et al., 2020). Although this will not facilitate mesoscale atmosphere-ocean interaction, the  
100 simulation will reflect the climatic development of an eddy-permitting simulation of the future  
101 atmosphere without the additional computational requirements.

102         The Southern Ocean is one of the world's hotspots for mesoscale activity and a region where  
103 substantial change is anticipated in the context of anthropogenic climate change (Beech et al., 2022).  
104 Simultaneously, the high latitude of the region makes eddy-resolving model simulations computationally  
105 demanding and observational data relatively scarce (Auger et al., 2023; Hallberg, 2013). Yet, as the  
106 climate changes, the importance of the Southern Ocean grows as a heat and carbon sink, an ecosystem,  
107 and a medium for feedback between the atmosphere and ocean (Byrne et al., 2016; Frölicher et al., 2015).  
108 Thus, the study of the Southern Ocean demands innovation in the modeling field to produce high-  
109 resolution simulations at reduced computational cost. This study maximizes grid resolution relative to  
110 computational cost using an unstructured, multi-resolution grid, a medium-resolution spin-up simulation,  
111 and atmospheric forcing from lower-resolution coupled simulations in order to focus resources as much as

112 possible on resolving mesoscale activity in the study region. The resulting simulations enable an  
113 exploratory analysis of the past, present, and future of the Southern Ocean with a fully resolved  
114 mesoscale. Simulations with this cost-efficient, high-resolution configuration are presented in comparison  
115 to a comprehensive ensemble of eddy-permitting simulations to assess the performance of the efficiency-  
116 focused approach in reproducing mesoscale activity and its response to climate change.

## 117 **2 Methods**

### 118 **2.1 Experimental setup**

119 This analysis contrasts a subset of simulations from AWI-CM-1-1-MR's contribution to the sixth  
120 phase of the Coupled Model Intercomparison Project (CMIP6; Semmler et al., 2020), (hereafter referred  
121 to as the AWI-CM-1 ensemble) with single-member stand-alone ocean simulations using an updated  
122 version of FESOM (FESOM 2.5) and a mesh substantially refined to a resolution surpassing 3 km in the  
123 Southern Ocean (hereafter referred to as the SO3 simulations) (Supplementary Figure 1). Observations of  
124 ocean surface velocity derived from satellite altimetry data are also used to evaluate model performance  
125 for both modeled datasets during the period of overlap with the altimetry record. The AWI-CM-1  
126 simulations consist of the five-member ensemble of historical simulations and the five-member ensemble  
127 of climate change projections under shared socioeconomic pathway (SSP) 3-7.0 which were performed by  
128 AWI-CM-1-1-MR in CMIP6 (Semmler et al., 2020). These are state-of-the-art CMIP6 experiments and  
129 benefit from the multiple ensemble members and long spin-up times that CMIP simulations typically  
130 boast. However, while the AWI-CM-1 ensemble reproduces eddy activity remarkably well within the  
131 context of CMIP6 (Beech et al., 2022), high-resolution ocean modeling now far surpasses even the  
132 highest ocean resolutions in the CMIP6 ensemble. Conversely, the SO3 simulations push the limits of  
133 ocean resolution but rely on several measures for maximizing computational efficiency that may impact  
134 the robustness of the simulations. Details on the experimental setup for CMIP6 and ScenarioMIP are  
135 widely available (Eyring et al., 2016; O'Neill et al., 2016) and information more specific to AWI-CM-1-

136 1-MR's contribution has been published previously (Semmler et al., 2020). The following sections will  
137 outline the details of the SO<sub>3</sub> simulations.

138 To produce initial conditions for the high-resolution model simulations on the SO<sub>3</sub> mesh, a  
139 medium-resolution, eddy-permitting, ocean-only transient simulation was first run from 1851 to 2100  
140 using the same ocean mesh employed by AWI-CM-1-1-MR in CMIP6 (Semmler et al., 2020). This mesh  
141 has been shown to effectively reproduce eddy activity in active regions while maintaining a  
142 computational cost comparable to a traditional  $\frac{1}{4}^\circ$  model (Beech et al., 2022). The transient simulation  
143 was initialized with conditions for ocean temperature and salinity, as well as sea ice concentration,  
144 thickness, and snow cover taken from the end of the first year (1850) and first ensemble member  
145 (r1i1p1f1) of AWI-CM-1-1-MR's historical simulations in CMIP6 (Semmler et al., 2018, 2020, 2022a,  
146 b). In this way, the model undergoes a semi-cold start in which ocean conditions are not exact  
147 continuations of the previous coupled simulation, but should be far closer to equilibrium than a true cold  
148 start initialization. The eddy-permitting transient simulation was forced using atmospheric data from the  
149 same ensemble member of the historical CMIP6 simulations until 2014 (Semmler et al., 2022a), and  
150 thereafter using the first ensemble member of AWI-CM-1-1-MR's ScenarioMIP simulations for SSP 3-  
151 7.0 (Eyring et al., 2016; O'Neill et al., 2017; Semmler et al., 2022b). This approach to forcing takes  
152 advantage of a coupled simulation, CMIP6, to produce a forcing dataset of better temporal and spatial  
153 coverage than the observational record and which maintains a realistic transient climate throughout  
154 anthropogenic impacts during the 21<sup>st</sup> century.

155 In the years 1950, 2015, and 2090, FESOM is reinitialized with the high-resolution ocean grid,  
156 SO<sub>3</sub> (Supplementary Figure 1), using the same semi-cold start approach and forcing dataset that was  
157 implemented for the eddy-permitting transient simulation described previously. These years were chosen  
158 to represent a historical period, beginning in 1950, when the effects of climate change on EKE should be  
159 small or none (Beech et al., 2022); a near-present period, beginning in 2015, in which the simulations will  
160 overlap with satellite altimetry data; and a projected period, beginning in 2090, which should include a



161 strong climate change signal. The latter two simulated periods represent 1.07 °C and 3.74 °C of warming,  
162 respectively, in the first ensemble member of the AWI-CM-1 ensemble defined as a rise in the 21-year  
163 running mean of global mean two-meter air temperatures. Warming of the ensemble mean is similar: 1.08  
164 and 3.76 °C respectively, and warming is henceforth approximated as 1 °C and 4 °C in Fig. 4 and the text.  
165 Initial conditions for these shorter time-slice simulations are taken from the end of the previous year of  
166 the eddy-permitting transient simulation. The high-resolution simulations are each integrated for six years  
167 with the first year ignored as a true spin-up, leaving five years of data for each time period. The high-  
168 resolution grid is, in truth, a regionally refined mesh in which a 25 km global resolution is refined to  
169 approximately 2.5 km, following Danilov (2022), primarily south of 40 °S, but with other pertinent  
170 regions, such as the Agulhas Current and several narrow straits, also refined. In this way, the model is  
171 able to simultaneously achieve eddy-rich conditions in the Southern Ocean and many of the nearby active  
172 regions, as well as a global resolution that would still be considered high in the context of CMIP6  
173 (Hallberg, 2013; Hewitt et al., 2020). While model drift may be a concern with such a short true spin-up  
174 period, this should affect each of the high-resolution time slices similarly and to a limited extent due to  
175 their short integration lengths. Thus, the differences between the high-resolution ocean simulations should  
176 primarily reflect anthropogenic climate impacts simulated during the eddy-permitting transient run and  
177 present in the forcing dataset.

## 178 **2.2 Model configuration**

179 The Finite volume Sea-ice Ocean Model version 2.5 is a post-CMIP6 era model, having been  
180 refactored to a finite-volume configuration from the finite-element version (FESOM1.4, Q. Wang et al.,  
181 2014) employed in CMIP6, and transitioned to arbitrary Lagrangian Eulerian vertical coordinates, among  
182 other improvements (Danilov et al., 2017; Scholz et al., 2019, 2021). FESOM's most distinguishing  
183 feature among mature ocean models is the unstructured horizontal grid that exploits triangular grid cells  
184 which can smoothly vary in size to change the horizontal grid resolution in space. In these simulations,  
185 full free surface, or  $z^*$ , vertical coordinates were used, allowing the vertical model layer thicknesses to

186 change in time. Gent-McWilliams eddy parameterization (Gent and McWilliams, 1990) is scaled with  
187 resolution according to Ferrari et al. (2010) and vertical mixing is simulated by a  $k$ -profile  
188 parameterization scheme (Large et al., 1994).

189 The SO3 mesh consists of over 22 million surface elements (triangle faces) or 11 million surface  
190 nodes (triangle vertices) and 70 vertical layers. The simulations produce about 1.1 terabytes of data per  
191 year of 3D data stored on nodes. For reference, the medium-resolution mesh used in the AWI-CM-1  
192 ensemble is 1.6 million surface elements or 0.83 million surface nodes and 46 vertical layers and  
193 produces approximately 56 GB per year of 3D data stored on nodes. The model was run on 8192 CPU  
194 cores and with a typical throughput of approximately 0.65 simulated years per day, consuming  
195 approximately 5.5 million CPU hours in total despite the various cost-saving modeling approaches. It  
196 should be noted, however, that the throughput in high-resolution production simulations like this is highly  
197 dependent on the volume and choice of data being saved. The simulations and following analysis were  
198 performed using the high-performance computing system, Levante, at the German Climate Computing  
199 Center (DKRZ).

200 The ocean model is forced by several atmospheric variables at a six-hour resolution, although one  
201 forcing variable, humidity, is interpolated monthly data. The forcing data is supplied to the model on the  
202 regular atmospheric grid used in the coupled setup during AWI-CM-1-1-MR's CMIP6 simulations  
203 (Semmler et al., 2018) and interpolated to the multi-resolution grid used in the respective simulations by  
204 FESOM. Runoff data is a monthly climatology and dynamic ice sheet coupling is not included, meaning  
205 the freshwater influx from the Antarctic continent does not react to warming which may impact certain  
206 processes, such as the timing and intensity of sea ice loss (Pauling et al., 2017; Bronselaer et al., 2018).

### 207 **2.3 Modeled ocean velocity data**

208 Geostrophic balance is an idealized approximation that does not match real ocean velocities for  
209 several reasons, including the presence of ageostrophic flow, such as Ekman transport, as well as

210 assumptions made in the derivation of equations (1) and (2). Specifically, geostrophic balance between  
211 the Coriolis effect and the pressure gradient is valid under the assumption that the curl of horizontal  
212 velocities or vorticity is small relative to the magnitude of overall flow. In models, this assumption is  
213 relatively close to reality in coarse-resolution simulations where geostrophic flow dominates, but on  
214 higher-resolution meshes, where submesoscale flows are well-resolved, these omitted terms become  
215 larger. Therefore, while using geostrophic velocities for both high-resolution and coarse-resolution  
216 modeled datasets would be methodologically consistent, the error introduced would be systemically larger  
217 for the finer-resolution dataset than the coarser. Therefore, we do not consider the use of geostrophic  
218 velocities for both modeled datasets in this analysis to bring the data into closer agreement. Rather, for the  
219 AWI-CM-1 dataset, where daily ocean velocities were not saved (Semmler et al., 2018), geostrophic  
220 velocities derived from sea surface height with equations (1) and (2) are the best possible choice, and  
221 fortunately, as described earlier, the error introduced by the assumptions of geostrophic balance will be  
222 small. For the SO3 simulations, direct model output was saved and is preferred, particularly given the  
223 high resolution of the mesh.

$$224 \quad u = -g/f*\partial SSH/\partial y \quad (1)$$

$$225 \quad v = g/f*\partial SSH/\partial x \quad (2)$$

226 The omission of Ekman transport, the primary source of ageostrophic oceanic flow from atmospheric  
227 influences, can be relatively well addressed in the SO3 dataset by selecting modeled velocities just below  
228 the Ekman layer. At depths of 25-30m below sea level, the bulk of Ekman transport can be avoided (Price  
229 et al., 1987), while velocities should not substantially differ from those at the surface. What ageostrophic  
230 flow remains in the model output velocities should be primarily large-scale and small relative to  
231 geostrophic flow in the high-energy regions of the ocean, including the ACC (Yu et al., 2021).

## 232 **2.4 Altimetry data**

233 An observational data product of gridded, daily geostrophic velocities derived from along-track  
234 satellite altimetry from crossover data is taken from the Data Unification and Altimeter Combination  
235 System (DUACS) (Taburet et al., 2019). The gridded product has a resolution of  $0.25^\circ$ , although  
236 effective resolution at high latitudes may be much lower (Ballarotta et al., 2019). Recently, improved data  
237 has become available in the ice-covered regions of the Southern Ocean (Auger et al., 2022), but does not  
238 yet cover the full present-day simulated period (2016-2020) in this study. Absolute velocities from the  
239 gridded altimetry product were used to calculate anomalies and EKE using equations (3) and (4) below  
240 for consistency with the modeled dataset.

## 241 **2.5 EKE analysis**

242 Velocity anomalies are defined by subtracting the multi-year monthly climatology of each  
243 respective 5-year period from daily velocities with equation (3).

$$244 \quad u'_i = u_i - \overline{u_m} \quad (3)$$

245 Where  $u_i$  is the daily zonal velocity,  $'$  denotes an anomaly, and  $\overline{u_m}$  is a monthly mean. For meridional  
246 velocities ( $v$ ) substitute  $u$  with  $v$ .

247 Eddy kinetic energy is calculated from ocean velocities according to equation (4).

$$248 \quad EKE_i = 0.5(u'_i{}^2 + v'_i{}^2) \quad (4)$$

249 Where  $(i)$  denotes a daily value and  $(')$  denotes an anomaly.

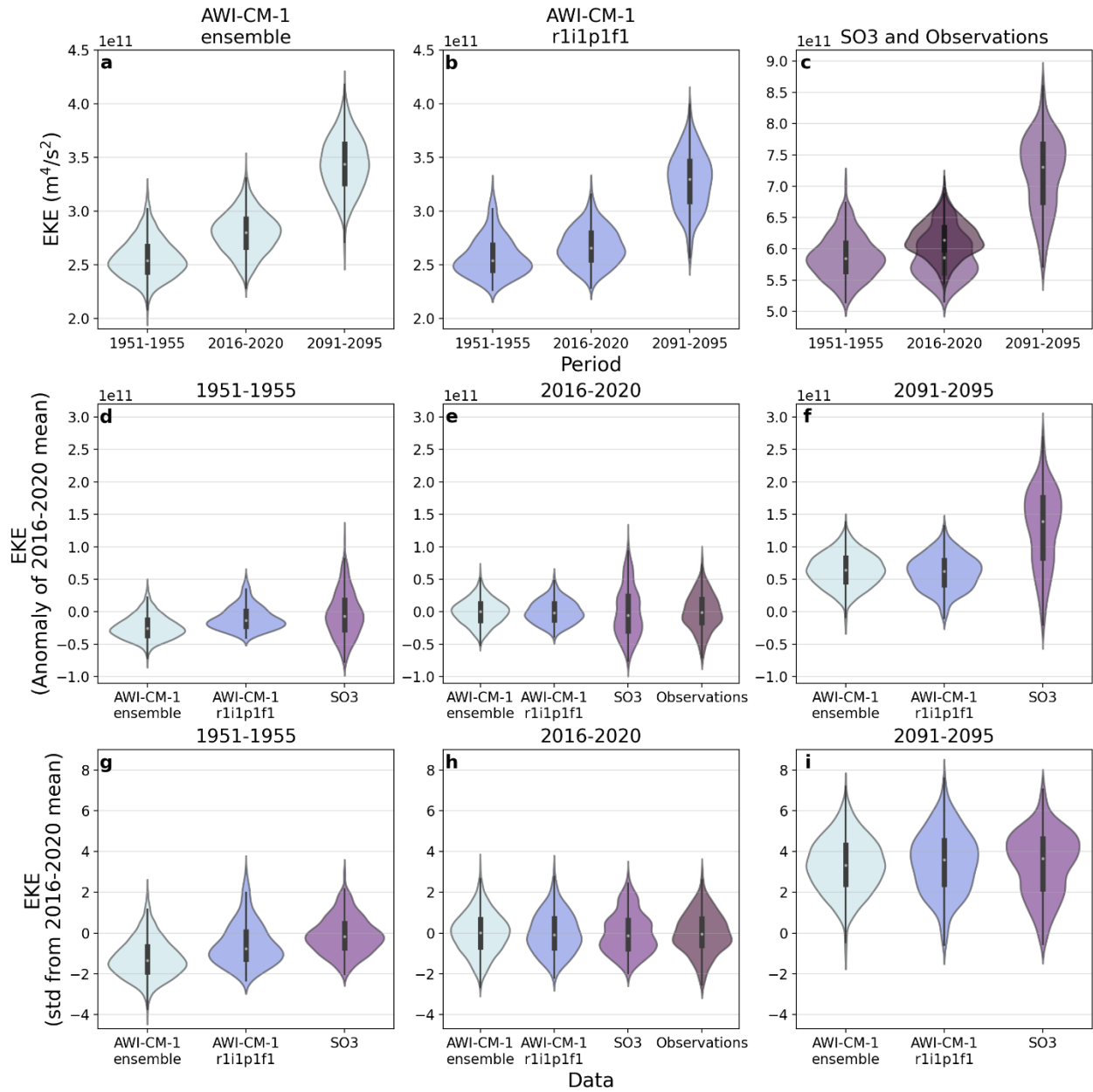
250 EKE was calculated on the native grid of each dataset and then interpolated to a  $0.25^\circ$  grid for all  
251 analyses. In Fig. 1 and 3, EKE was first calculated on a daily timescale and coarsened to five-day means  
252 before analysis to reduce computational costs during post-processing. Area-integrated EKE (Figure 1, 3)  
253 is calculated by summing the area-weighted EKE of each grid cell in the study region defined as the zonal  
254 band between  $45^\circ\text{S}$  and  $65^\circ\text{S}$ . The Brazil/Malvinas confluence region between  $57^\circ\text{E}$  and  $29^\circ\text{E}$  and  
255 northward of  $40^\circ\text{S}$  is removed to focus the study on a region with consistent physical drivers theorized to

256 be responsible for the changes in eddy activity (Beech et al., 2022). As a precaution, Each dataset was  
257 linearly detrended before analysis in Fig. 1 and 3 to avoid artificially increasing the range of the later  
258 distributions due to the accelerating climate change signal. Select statistical properties are reported in  
259 Supplementary Tables 1-3 to indicate deviations from normality (D’Agostino and Belanger, 1990; Fisher,  
260 1997) and autocorrelation (Durbin and Watson, 1950). Rather than attempt to manipulate the data to meet  
261 certain statistical assumptions, complex statistical tests are avoided and the statistical properties reported  
262 can be used to interpret the EKE data in a physical sense. EKE anomalies (Figure 1) were calculated by  
263 subtracting the 2016-2020 mean of area-integrated EKE from the 5-day mean values of each period.  
264 Normalized EKE was calculated by further dividing EKE anomaly by the standard deviation of EKE  
265 during the 2016-2020 period. In Fig. 4, ensemble agreement is determined by ordering the  $\Delta$ EKE values  
266 within each grid cell from lowest to highest, plotting the positive values in increasing order from left to  
267 right and negative values in decreasing order from left to right.

## 268 **3 Results**

### 269 **3.1 Agreement with observations**

270 During the five-year period of overlap with observations, the SO3 simulation is a drastic  
271 improvement on the AWI-CM-1 ensemble in reproducing median observed EKE (Figure 1a, c, note the  
272 different y axes); only a slight underrepresentation of EKE remains in the SO3 simulation, although the  
273 simulated distribution is somewhat distinct from observations. In comparison, the AWI-CM-1 ensemble,  
274 being effectively eddy-permitting in the Southern Ocean, underrepresents observations by approximately  
275 55% (Figure 1a, c, note the different y-axis). EKE in SO3 appears more variable than the observations  
276 considering its larger range, (Figure 1c, e), and in general, the modeled datasets display greater deviations  
277 from a normal distribution than the observations (Figure 1a, b, c; Supplementary Table 2). Nonetheless,  
278 relative to the AWI-CM-1 model bias and the magnitude of EKE resolved, the ensemble spread within the  
279 AWI-CM-1 dataset is small (Figure 3), suggesting that a single ensemble member of five years duration is  
280 sufficient to assess how well a model captures the magnitude of overall Southern Ocean EKE (Figure 1c).



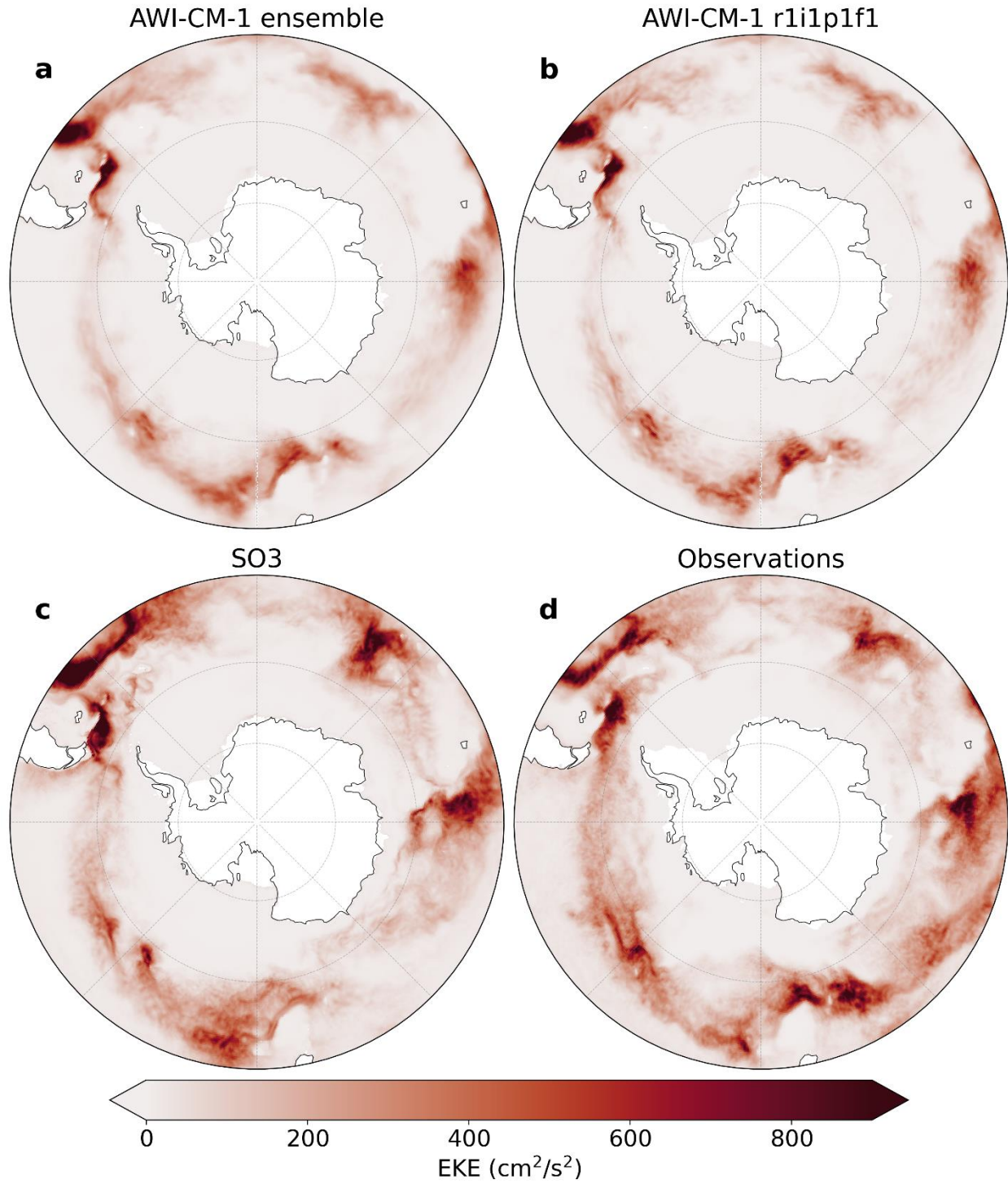
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282 **Figure 1. Violin plots of area-integrated Southern Ocean EKE in simulations and observations.**

283 Central points of each plot indicate the median, thick bars span the first and third quartiles, thin bars span  
 284 the range, and the violin body is a kernel density estimation of the data. **a-c)** Magnitudes of area-  
 285 integrated EKE (note the different y axes) **a)** The AWI-CM-1 ensemble. **b)** the first member of the AWI-  
 286 CM-1 ensemble, from which the SO3 simulations take their atmospheric forcing. **c)** The SO3 simulations  
 287 and observations. **d-f)** Anomalies relative to the 2016-2020 mean of area-integrated EKE for each dataset

288 respectively. **d)** 1951-1955. **e)** 2016-2020. **f)** 2091-2095. **g-i)** Normalized values relative to the mean and  
289 standard deviation of EKE during the 2016-2020 period for each dataset respectively. **g)** 1951-1955. **h)**  
290 2016-2020. **i)** 2091-2095.

291           From a regional perspective, the SO3 simulation accurately reflects local magnitudes of observed  
292 EKE and also generally captures the spatial distribution well (Figure 2). However, there are regional  
293 shortcomings, such as between 90 and 145 °E. Grid resolution in this region should be sufficient to  
294 resolve eddy activity (Supplementary Figure 1), indicating that the bias arises from another source. In the  
295 AWI-CM-1 ensemble, the regional representation of EKE reinforces a broad underrepresentation relative  
296 to observed magnitudes, but the major geographic features of eddy activity are fairly well represented  
297 (Figure 2). Once again, the ensemble spread within the AWI-CM-1 simulations reveals remarkable  
298 consistency, this time in terms of the spatial pattern and regional magnitudes (Supplementary Figure 2),  
299 reinforcing the conclusion that a single ensemble member of five years duration is sufficient to assess the  
300 mean state of EKE in the Southern Ocean. The consistency of the AWI-CM-1 ensemble further suggests  
301 that regional shortcomings in eddy activity in the SO3 simulations are not a product of variability within a  
302 single realization of Southern Ocean conditions (Supplementary Figure 2).



303

304 **Figure 2. Mean eddy kinetic energy between 2016 and 2020. a)** The AWI-CM-1 ensemble. **b)** The first

305 member of the AWI-CM-1 ensemble. **c)** The SO3 simulation. **d)** The gridded satellite altimetry dataset.

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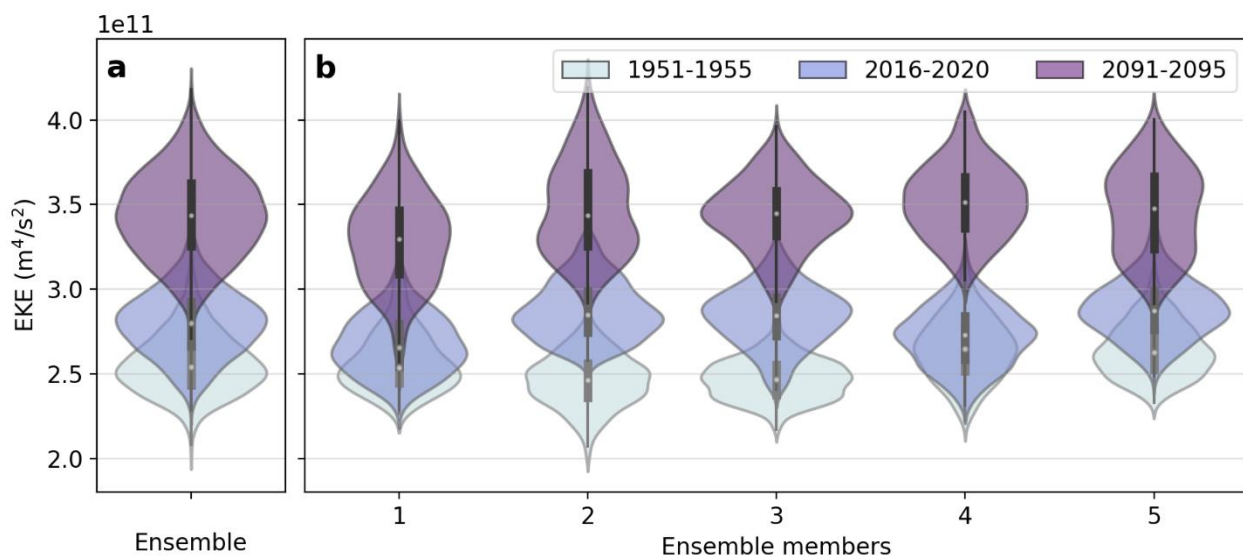
### 307 **3.2 EKE change and significance**

308 Southern Ocean eddy activity has been shown to intensify over the recent decades both using  
309 satellite altimetry (Martínez-Moreno et al., 2021), and the complete AWI-CM-1-1 dataset from CMIP6  
310 (Beech et al., 2022). Even after reducing the AWI-CM-1 CMIP6 dataset to five-year periods preceding  
311 the apparent change (1951-1955) and at the end of the altimetry era (2016-2020), this intensification is  
312 still discernable within the AWI-CM-1 ensemble (Figure 1a). Despite this, the SO3 simulations do not  
313 demonstrate any substantial change in EKE magnitude over the same period (Figure 1). Further reducing  
314 the ensemble to its individual members (Figure 3), the EKE rise is still relatively robust in each case,  
315 including clear separation of the datasets considering the median, mode, and distribution of the data.  
316 However, the first ensemble member, from which the atmospheric forcing of SO3 is taken, demonstrates  
317 less EKE rise than the ensemble average (Figure 3), suggesting that natural variability in atmospheric  
318 conditions may contribute to the disagreement. Further investigation reveals several differences between  
319 the SO3 simulations and the AWI-CM-1 ensemble members that may play a role. Mean zonal ocean  
320 velocity in SO3 is faster and broader than the AWI-CM-1 ensemble (Supplementary Figure 3), meaning  
321 wind speed intensification may be misaligned with peak ocean velocities in SO3, particularly around 47  
322 to 51 °S. Moreover, considerably less zonal wind stress is imparted to the ocean in SO3 despite identical  
323 wind speeds as the first AWI-CM-1 ensemble member (Supplementary Figure 4), possibly due to the  
324 higher ocean surface velocity.

325 The intensification of EKE becomes clear in both the AWI-CM-1 ensemble (Figure 1a), its  
326 members (Figure 3), and the SO3 simulations (Figure 1c) by the end of the 21<sup>st</sup> century. Over this period,  
327 the variability of EKE, indicated by the range of the distribution, also increases for each dataset (Figure  
328 1f, i). EKE rise in SO3 is approximately twice that of the AWI-CM1 ensemble in absolute terms (Figure  
329 1f), but expressing EKE as a relative value normalized by the mean and standard deviation of each dataset  
330 during the observational period (Figure 1g, h, i), reveals greater consistency between the changes until the  
331 end of the 21<sup>st</sup> century. EKE in each dataset appears to increase by approximately 3.5 standard deviations,

332 and the range of EKE distributions increases by approximately two to three standard deviations (Figure  
333 1h, i). However, the datasets also tend to become more autocorrelated, which can inflate the distribution  
334 range (Supplementary Tables 1, 3).

335

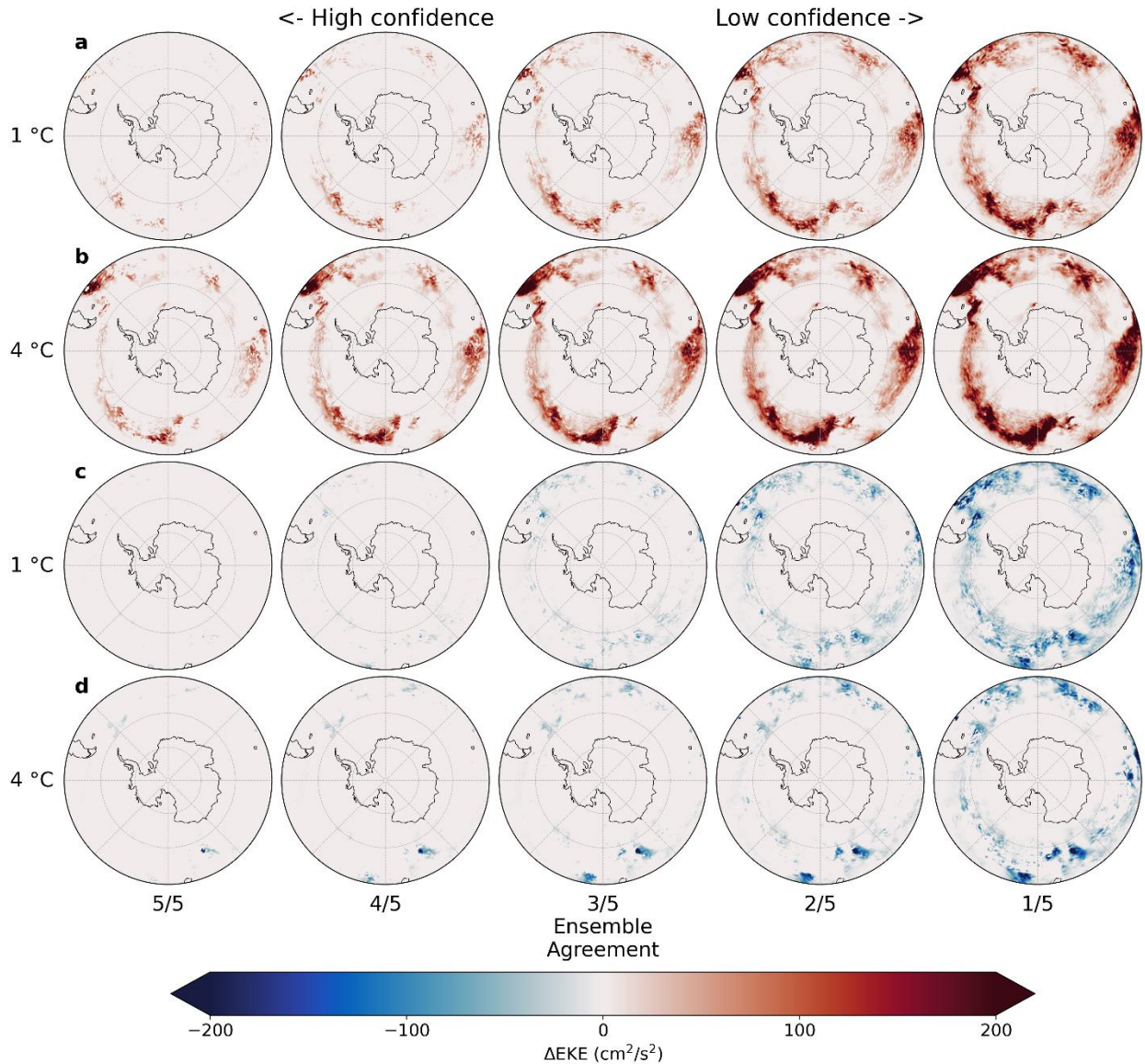


336

337 **Figure 3. Ensemble spread of EKE in AWI-CM-1.** a) Violin plots of area-integrated Southern Ocean  
338 EKE in the AWI-CM-1 ensemble. b) Violin plots of mean Southern Ocean EKE in each member of the  
339 AWI-CM-1 ensemble. Grey plots represent the period 1951-1955, blue plots represent 2016-2020, and  
340 purple plots represent 2091-2095.

341 Before considering the regional impacts of warming on EKE in the SO3 simulations, it is useful  
342 to refer to the ensemble spread within the AWI-CM-1 simulations to approximate the reliability of a  
343 single ensemble member in revealing the ensemble-mean change as an analogue to the signal-to-noise  
344 ratio. At 1 °C of warming, EKE change in the ensemble is weak, with at least one ensemble member  
345 tending to show little or no EKE change in most regions (Figure 4a,c). Only a few clear patterns of  
346 change emerge throughout the ensemble, namely the regions of EKE intensification downstream of the  
347 Kerguelen Plateau and the Campbell Plateau where four to five out of five ensemble members show clear  
348 EKE intensification (Figure 4a). It should be noted that even in these regions of relatively high confidence

349 (4 to 5 ensemble members, Figure 4a) EKE rise can be interspersed with lower-confidence (1 to 2  
350 ensemble members, Figure 4c) EKE decline; this is also illustrated by the ensemble mean changes  
351 themselves (Supplementary Figures 5, 6). Despite this, the consistency of EKE rise in these regions, and  
352 their geographic positions in already EKE-rich regions, suggests that the intensification patterns are  
353 robust changes within substantial noise. This level of noise suggests that EKE changes in the SO3  
354 simulations at 1 °C of warming will be difficult to distinguish from natural variability when taken on their  
355 own; indeed, in the SO3 simulations, the large variability of both sign and magnitude of change within  
356 relatively small spatial scales does not lend confidence to any significant change at 1 °C of warming  
357 (Figure 5c). However, building on the changes observed in the AWI-CM-1 ensemble, the intensification  
358 of EKE downstream of the Kerguelen and Campbell Plateaus seems to be reinforced by the high-  
359 resolution simulations.



360

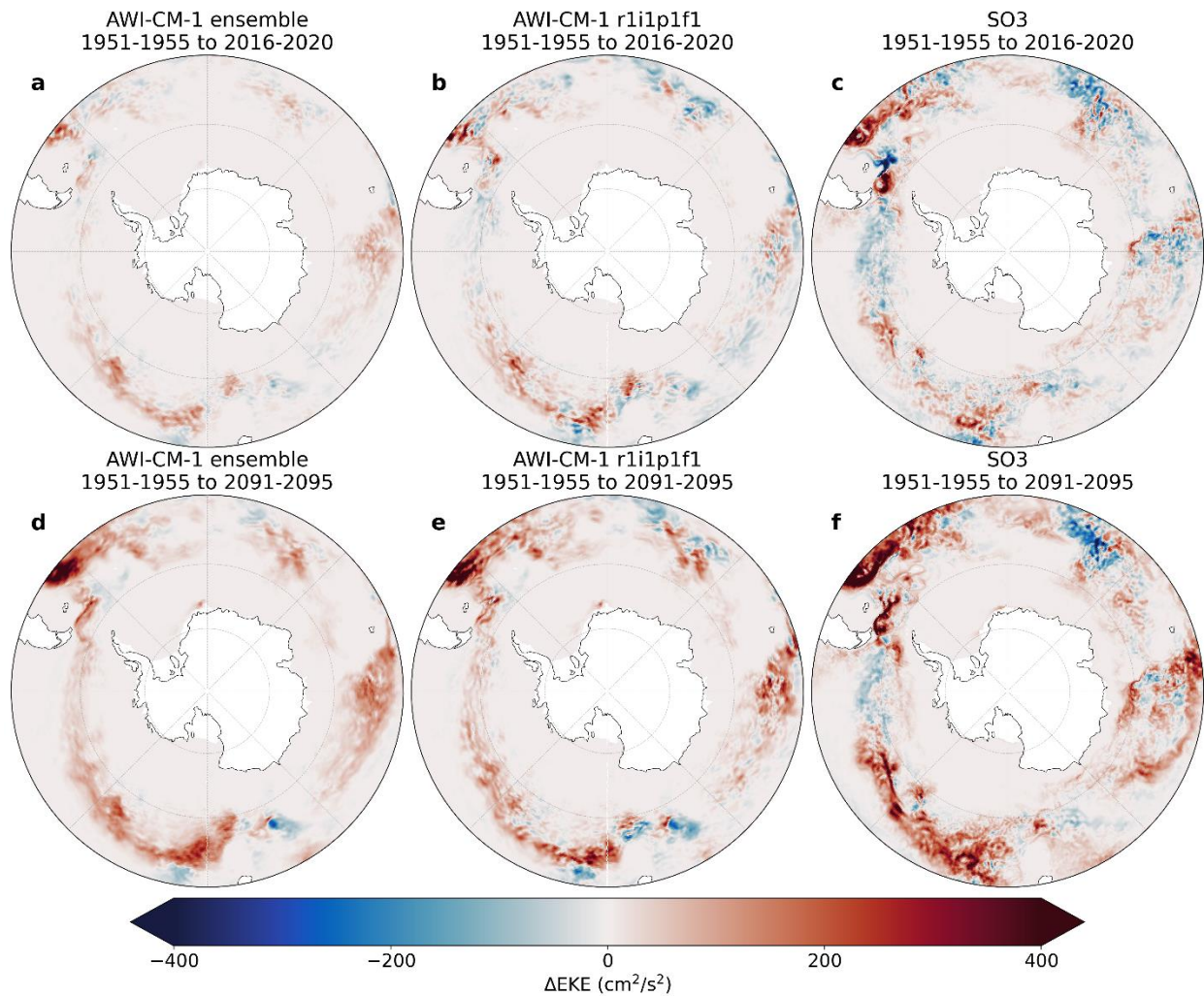
361 **Figure 4. Ensemble agreement regarding EKE change.** EKE rise (a, b) and decline (c, d) within the  
 362 AWI-CM-1 ensemble after one (a, c) and four (b, d) °C of warming or between 1951-1955 and 2016-  
 363 2020 and 2091-2095, respectively, arranged in order of decreasing ensemble agreement regarding change  
 364 in each grid cell. Ensemble agreement refers to the number of ensemble members that simulate at least the  
 365 pictured magnitude of mean EKE rise or decline for each grid cell. Mean EKE change is defined as the  
 366 difference of mean EKE between 1951-1955 and each of the two latter periods, as in Supplementary  
 367 Figures 5 and 6 but arranged in ascending order of magnitude for each grid cell and for positive and  
 368 negative signs separately. Rank 5/5 indicates the lowest magnitude of mean EKE rise (a, b) or decline (c,

369 d) within the ensemble for a given grid cell, meaning the entire ensemble agrees on at least this much  
370 change. Rank 1/5 indicates the highest magnitude of EKE rise or decline within the ensemble for each  
371 grid cell, representing the upper limit of projected EKE change.

372 At 4 °C of warming, change in eddy activity becomes clearer; EKE intensification downstream of  
373 the Kerguelen and Campbell Plateaus is now consistent throughout the entire AWI-CM-1 ensemble, along  
374 with additional intensifications south of the Falkland/Malvinas Plateau, around the Conrad Rise, and  
375 along the Antarctic Slope Current at approximately 5 °E (Figure 4b). Four fifths of the ensemble also  
376 include a broad increase in EKE throughout the ACC across most longitudes. Interestingly, a consistent  
377 pattern of EKE decline also emerges upstream of the Campbell Plateau in the entire ensemble (Figure 4d).  
378 The spatial pattern of EKE rise is relatively consistent regardless of confidence, with only the magnitude  
379 increasing in the lower confidence composites (Figure 4b). The same tendency is observable between the  
380 EKE changes at 1 and 4 °C of warming, where the magnitude of change is greater after further warming  
381 but follows the same spatial pattern. Thus, regions of intensification can be identified more reliably than  
382 the magnitude of change and tend to be concentrated where flow interacts with topographic features, in  
383 already eddy-rich regions (Figure 2). Conversely, low confidence EKE decline appears nearly throughout  
384 the Southern Ocean in at least one ensemble member, but only consistently upstream of the Campbell  
385 Plateau and, to a far lesser extent, downstream of the Drake Passage and Campbell Plateau (Figure 4d).  
386 Changes of negative sign tend to be of lower magnitude at 4 °C of warming than at 1 °C. This suggests  
387 that the general EKE response to climate change in the Southern Ocean is that of intensification, and the  
388 interspersed signals of decline tend to be the result of natural variability. Yet, small regions of high-  
389 confidence EKE decline also appear. Consequently, it would be difficult to confidently separate reliable  
390 EKE change from natural variability in simulations without an ensemble to compare with. In the SO3  
391 simulations, EKE rise downstream of the Drake Passage and Kerguelen and Campbell Plateaus is  
392 substantial (Figure 5f). EKE rise is also projected south of the Falkland/Malvinas Plateau, around the  
393 Conrad Rise, and along the Antarctic Slope Current at approximately 5 °E, and a slight EKE decline

394 appears upstream of the Campbell Plateau. All of this is comparable to the AWI-CM-1 ensemble, and the  
 395 interspersed areas of EKE decline within these regions, for example, around the Conrad Rise, are not  
 396 improbable based on the example set by AWI-CM-1 (Figure 4d). However, considering that some high-  
 397 confidence EKE decline is present in the AWI-CM-1 ensemble, it is difficult to confidently dismiss  
 398 regional EKE decline in the SO3 simulations as noise.

399



400

401 **Figure 5. EKE change.** Spatial representations of the difference in EKE between (a-c) 1951-1955 and  
 402 2016-2020, (d-f) 1951-1955 and 2091-2095. a,d) The AWI-CM-1 ensemble. b,e) the first member of the  
 403 AWI-CM-1 ensemble. c,f) The SO3 simulations.

#### 404 **4 Discussion**

405           Intensification of eddy activity in the Southern Ocean is now widely accepted as a consequence  
406 of anthropogenic climate change (Hogg et al., 2015; Patara et al., 2016; Martínez-Moreno et al., 2021;  
407 Beech et al., 2022), and is understood to be caused primarily by stronger westerly winds imparting more  
408 energy to the Antarctic Circumpolar Current (Munday et al., 2013; Marshall, 2003). The results presented  
409 here reinforce the notion of EKE intensification and further project increased EKE variability as the  
410 climate warms (Figure 1, 3). By expressing EKE change in terms of ensemble agreement on a cell-by-cell  
411 basis, the results presented here are also able to identify regions of reliable and substantial change as those  
412 where flow interacts with major bathymetric features and high eddy activity is already known to occur  
413 (Figure 4). Analysis of regional changes within the Southern Ocean eddy field has generally been limited  
414 to regions defined by oceanic sectors (Atlantic, Indian, Pacific) (Hogg et al., 2015), or incremental  
415 longitudinal delimitations (Patara et al., 2016). In future research, regional analyses of the significance,  
416 rate, or cause of EKE trends could focus on the bathymetrically defined regions identified in this analysis  
417 to produce physically related and consistent results.

418           The consistency of the AWI-CM-1 ensemble in projecting clear EKE rise in the Southern Ocean  
419 as a whole suggests that a single ensemble member of five-years simulation length should be sufficient to  
420 reliably identify change, even after 1 °C of temperature rise. Despite this, the SO3 simulations fail to  
421 reproduce the EKE rise that is already observable through observations (Martínez-Moreno et al., 2021). A  
422 potential source for this discrepancy is the uncoupled model setup in the SO3 simulations which omits  
423 ocean-atmosphere feedbacks. In this regard, the SO3 simulations experience lower wind stress imparted  
424 to the ocean surface than AWI-CM-1 ensemble member one by the same surface winds (Supplementary  
425 Figure 4), and a mismatch between peak zonal wind speeds and mean zonal ocean velocities  
426 (Supplementary Figure 3). Confounding the comparison further, is the fact that strengthening winds can  
427 both increase and dampen eddy activity; as westerlies intensify, the additional energy imparted to the  
428 ocean is expected to strengthen eddy activity (Munday et al., 2013; Meredith and Hogg, 2006), but winds

429 are also known to dampen mesoscale activity through eddy killing (Rai et al., 2021) and this impact is  
430 greater in uncoupled model configurations (Renault et al., 2016). While the lack of change at 1 °C is  
431 difficult to explain, the disagreement is limited to these more subtle changes and the simulations tend to  
432 agree on the strong EKE rise at 4 °C of warming.

433         The remaining discrepancies between eddy activity in SO<sub>3</sub> and observations are relatively small,  
434 but exploring potential sources of disagreement may help to interpret the simulations and guide future  
435 modeling endeavors. Greater skew in the distribution of EKE in the modeled dataset (Supplementary  
436 Table 2) could reflect multiple modes of circulation or seasonality. While seasonality of eddy activity in  
437 the ACC is low, seasonal ice cover likely affects eddy activity in the modeled dataset, and certainly  
438 affects the observational dataset by producing gaps in its spatio-temporal coverage. Beyond differences in  
439 skew, this could contribute to the greater range of EKE seen in the SO<sub>3</sub> simulations by systemically  
440 obscuring seasonal conditions from the observational dataset. Regional deficiencies of EKE in SO<sub>3</sub> could  
441 be explained in terms of grid resolution outside of the study region; resolving the first Rossby radius of  
442 deformation with at least two grid points is not enough to comprehensively reproduce mesoscale activity  
443 (Hallberg, 2013; Sein et al., 2017), and grid refinement may need to be expanded to upstream regions that  
444 impact eddy dynamics in the Southern Ocean. Other sources of bias may include ocean-atmosphere  
445 interactions which are absent or unrealistic within the uncoupled simulations (Byrne et al., 2016; Rai et  
446 al., 2021; Renault et al., 2016). As well, some small-scale, slow-to-equilibrate ocean processes may be  
447 resolved in the high-resolution simulations, but not be integrated long enough for their effects to impact  
448 eddy activity (van Westen and Dijkstra, 2021; Rackow et al., 2022). Finally, the gridded altimetry product  
449 itself may be responsible for some disagreement, as the along-track data is known to underrepresent eddy  
450 activity at scales less than 150km and 10 days (Chassignet and Xu, 2017), which will be particularly  
451 impactful at high latitudes.

452         To distinguish a meaningful signal of anthropogenic impacts from natural variability, this  
453 analysis relies primarily on consistency among ensemble members (Figures 3, 4). This is distinct from



454 more traditional methods like assessment of error relative to observations or ensemble mean, commonly  
455 applied to weather forecasting (Ferro et al., 2012), but can be compared to measures of ensemble  
456 agreement used extensively in the IPCC reports (Fox-Kemper et al., 2021). Performance evaluation  
457 relative to observations would undoubtedly point to the high-resolution simulation as superior due to the  
458 drastic underrepresentation of EKE in the eddy-permitting ensemble (Figure 1). Yet, the effects of climate  
459 change are still apparent in the AWI-CM-1 ensemble (Figure 1, 5), and the AWI-CM-1 dataset has been  
460 used to make similar projections of EKE already (Beech et al., 2022). Moreover, the eddy response to  
461 forcing seems to be consistent between the model resolutions when expressed in relative (Figure 1g, h, i),  
462 rather than absolute terms (Figure 1a, b, c). While more verification of this result is necessary both  
463 regionally, and with other models, these results suggest that eddy-permitting resolutions can be  
464 interpreted with their shortcomings in mind in order to discern the real-world implications: as is often  
465 necessary with model data. Thus, based on the test case of the Southern Ocean, the usefulness of the  
466 AWI-CM-1 ensemble and the effectiveness of model simulations in identifying physically significant and  
467 reproduceable impacts of climate change may be greater than would be identified using traditional  
468 methods and comes at a much lower cost relative to the eddy-resolving simulations.

469         This study has focused on EKE as an evaluation metric for the simulations since mesoscale  
470 activity is the primary motivation for increasing ocean model resolution. It has stopped short of assessing  
471 the improvements that resolving the mesoscale has on climate and ocean dynamics, many of which are  
472 discussed in detail elsewhere (eg. Hewitt et al., 2017). Rather than repeat an assessment of the benefits of  
473 resolving smaller scales, we assume that the accurate reproduction and evolution of eddy activity  
474 indicates that these improvements are transferred to broader processes. Certainly, inaccurate simulation of  
475 the mesoscale would raise questions regarding the improvements that this mesoscale activity should have  
476 on the simulations as a whole. Nonetheless, further evaluation of the modeling approaches employed in  
477 this study will be necessary to determine if these methods are appropriate for studying broader elements  
478 of the climate system. Since the high-resolution simulations derive their deep-ocean climate primarily

479 from the medium-resolution spin-up simulation, improving the initialization process (Thiria et al., 2023)  
480 may be the critical barrier to extending these results from the mixed layer to the deeper ocean.

## 481 **5 Conclusion**

482         Resolving the ocean mesoscale has become a focus for the climate and ocean modeling  
483 community as computational capabilities expand and models become increasingly complex. The benefits  
484 that explicitly resolved eddy activity can have on climate simulations are clear (Hewitt et al., 2017; Sein  
485 et al., 2017) along with the impact that mesoscale variability has on local (Lachkar et al., 2009; Wang et  
486 al., 2017) and global environments (Falkowski et al., 1991; Sallée et al., 2012). However, state-of-the-art  
487 climate models will be unable to fully resolve the mesoscale for the foreseeable future, particularly in  
488 large-scale modeling endeavors such as CMIP (Hewitt et al., 2020). Thus, modelers must make informed  
489 choices regarding the explicit processes needed to answer research questions and where resources must be  
490 allocated to achieve specific goals. Existing analysis of resource allocation has typically addressed short-  
491 term weather forecasting or the ability to reproduce observations with low error (Ferro et al., 2012), but  
492 the question of how to best allocate resources for climate change impact assessment remains. This study  
493 has applied several cost-efficient modeling approaches to an analysis of the impacts of climate change on  
494 a key focus of high-resolution modeling: the mesoscale. Applying these results to broader climate change  
495 impact studies should improve the efficiency of resource allocation and focus modeling studies.

496 Resolution can be dynamically adjusted both spatially, by focusing resources in study regions and where  
497 they are necessary to resolve local dynamics, and temporally, by allowing lower-resolution workhorse  
498 configurations to perform spin-up and transient runs. Limited simulation length and ensemble size can be  
499 sufficient for certain research questions and validation, but simulations must ultimately be designed to  
500 meet their specific goals. Where resources are limited, studies may best include a combination of eddy-  
501 resolving simulations able to fully capture the local eddy field, as well as eddy-permitting simulations that  
502 can attest to the significance of results through consistency and repetition.

503           This work represents a contribution to the growing wealth of research that points to an  
504 intensification of eddy activity in the Southern Ocean (Hogg et al., 2015; Martínez-Moreno et al., 2021;  
505 Beech et al., 2022). The further conclusions that EKE variability may increase and that EKE  
506 intensification appears concentrated in key regions based on topography can both expand the present state  
507 of knowledge, as well as direct future research. The cost-efficient modeling approaches of regional grid  
508 refinement, reduced-resolution spin-up and transient runs, and limited simulation lengths distinguished by  
509 longer periods of change are demonstrated to be effective at reproducing change within a more traditional  
510 eddy-permitting ensemble. When resources are limited and resolution demands are high, these approaches  
511 can be adapted to address specific research questions. Where assessing the robustness of change is  
512 critical, the complimentary eddy-permitting ensemble represents an effective, low-cost supplement to the  
513 high-resolution simulations.

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516

## 517 **Data Availability**

518 Geostrophic velocities derived from satellite altimetry data are publicly available at  
519 <https://doi.org/10.48670/moi-00148>. Daily sea surface height data from AWI-CM-1-1-MR in CMIP6  
520 used to compute geostrophic velocities in this study is archived at the World Data Center for Climate at  
521 the DKRZ (<https://doi.org/10.26050/WDCC/C6sCMAWAWM>,  
522 <https://doi.org/10.26050/WDCC/C6sSPAWAWM>) (Semmler et al., 2022a, b). Model output from AWI-  
523 CM-1-1-MR in the CMIP6 framework, including all variables used to force the standalone ocean  
524 simulations conducted for this study, is publicly available at <https://doi.org/10.22033/ESGF/CMIP6.359>  
525 (Semmler et al., 2018). Eddy kinetic energy datasets calculated from FESOM output velocities are  
526 available at <https://doi.org/10.5281/zenodo.8046792> (Beech, 2023b).

## 527 **Code Availability**

528 Source code for the ocean model FESOM2 is available at ([https://doi.org/](https://doi.org/10.5281/zenodo.7737061)  
529 [10.5281/zenodo.7737061](https://doi.org/10.5281/zenodo.7737061)) (patrickscholz et al., 2023). Code used for data analysis and visualization in  
530 this study is publicly available at (<https://doi.org/10.5281/zenodo.8046782>) (Beech, 2023a). Code used  
531 to calculate geostrophic velocities from sea surface height data from AWI-CM-1-1-MR is available from  
532 <https://doi.org/10.5281/zenodo.7050573>.

## 533 **Author Contributions**

534 NB, TJ, TR, and TS conceived of the study. NB carried out the simulations, analyzed the data, and drafted  
535 the manuscript. All authors reviewed the manuscript.

## 536 **Competing Interests**

537 The authors declare no competing interests.

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