

Addressing Class Imbalance in Soil Movement Predictions

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Abstract

Landslides threaten human life and infrastructure, resulting in fatalities and economic losses. Monitoring stations provide valuable data for predicting soil movement, which is crucial in mitigating this threat. Accurately predicting soil movement from monitoring data is challenging due to its complexity and inherent class imbalance. This study proposes developing machine learning (ML) models with oversampling techniques to address the class imbalance issue and develop a robust soil movement prediction system. The dataset, comprising two years (2019-2021) of monitoring data from a landslide in Uttarakhand, was split into a 70:30 ratio for training and testing. To tackle the class imbalance problem, various oversampling techniques, including Synthetic Minority Oversampling Technique (SMOTE), K-Means SMOTE, Borderline SMOTE, ~~Support Vector Machine SMOTE~~, and Adaptive SMOTE (ADASYN), were applied to the training dataset. Several ML models, namely Random Forest (RF), Extreme Gradient Boosting (XGBoost), Light Gradient Boosting Machine (Light GBM), Adaptive Boosting (AdaBoost), Category Boosting (CatBoost), ~~Multilayer Perceptron (MLP)~~, Long Short-Term Memory (LSTM), ~~Multilayer Perceptron (MLP)~~, and dynamic ensemble models, were trained and compared for soil movement prediction. ~~A 5-fold cross-validation method was applied to optimize the ML models on the training data, and the models were tested on the testing set.~~ Among these ML models, the dynamic ensemble model with K-Means SMOTE performed the best in testing, with an accuracy, precision, and recall rate of 99.68% each and an F1 score of 0.9968. ~~The RF model with K-Means SMOTE stood out as the second-best performer, achieving an impressive accuracy, precision, and recall rate of 99.64% each and an F1 score of 0.9964.~~ These results show that ML models with class imbalance techniques have the potential to significantly improve soil movement predictions in landslide-prone areas. ~~0.995, 0.995, and 0.995, respectively, and an F1 score of 0.995. Additionally, models without oversampling exhibited poor performance in training and testing, highlighting the importance of incorporating oversampling techniques to enhance predictive capabilities.~~

Keywords: Soil Movement Prediction; Class Imbalance; Oversampling; Machine Learning; Landslide Prone Areas.

1. Introduction

Landslides pose a significant threat to infrastructure, resulting in numerous fatalities and substantial economic losses each year (Parkash, 2011). These destructive events occur globally, particularly in hilly and mountainous regions, driven by gravity and characterized by the movement of large rocks, debris, and soil (Crosta, 1998). Factors such as heavy rainfall, earthquakes, and the impacts of climate change contribute to the occurrence and severity of landslides (Crosta, 1998).

Monitoring, predicting, and warning people about slope movements in landslide-prone areas are crucial for mitigating landslide risks. Advanced technologies like ~~Global Positioning System (GPS), LiDAR, Light Detection and Ranging (LiDAR), Geographic Information System (GIS),~~ and remote sensing have proven effective for assessing and analyzing slope failure hazards (Ray et al., 2020). However, their high cost and the need for specialized expertise limit their accessibility, especially in developing countries where ~~low-cost, effective~~ IoT technologies are necessary (Pathania et al., 2020).

Machine learning (ML) models have been extensively studied for predicting soil movement in landslide-prone areas (Kumar et al., 2021a; Kumar et al., 2021b; ~~Kumar et al., 2023~~). This prediction problem could be divided into classification and regression tasks. The classification task aims to predict the degree of soil movement using various ML models. On the other hand, the regression task involves estimating the acceleration or displacement of soil under observation.

One common challenge in landslide prediction is a class imbalance, where certain classes have significantly more data samples than others. This imbalance can adversely affect the performance of ML models. To address

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46 class imbalance issues, techniques such as Synthetic Minority Oversampling Technique (SMOTE), K-Means
47 SMOTE, ~~Support Vector Machine SMOTE (SVM SMOTE)~~, Borderline SMOTE, and Adaptive Synthetic
48 Minority Oversampling Technique (ADASYN) are employed to balance the dataset (Chawla et al., 2002;
49 Douzas et al., 2018; ~~Tang et al., 2008~~; Han et al., 2005; He et al., 2008).

50 ~~One crucial literature gap~~ Several researchers have dedicated their efforts to addressing class imbalance
51 problems in landslide ML. Notably, Chawla et al. (2002) introduced the SMOTE, Douzas et al. (2018) devised
52 the K-Means SMOTE, Han et al. (2005) proposed the Borderline SMOTE, and He et al. (2008) introduced the
53 Adaptive Synthetic Minority Oversampling Technique (ADASYN). These techniques were developed to
54 generate synthetic data and balance imbalanced datasets.

55 The field of soil movement prediction is the need for more focus on addressing the challenges posed by
56 requires further investigation, particularly considering the complexities associated with a class imbalance in
57 landslide datasets. Although machine learning models have been extensively studied ~~Despite extensive~~
58 research on ML models' predictive abilities for predicting soil movement in landslides, the impact of there still
59 needs to be more understanding regarding how class imbalance on their affects the models' performance and
60 accuracy has received less attention. In this paper, we explore the development. This study aims to bridge this
61 knowledge gap by examining different approaches to tackle class imbalance and exploring diverse ML models
62 to improve the prediction of different ML models and class imbalance techniques to predict landslides. By
63 developing SMOTE variants and other balancing techniques, we aim to overcome the challenge of imbalanced
64 data soil movement. Various multivariate classification models, including Random Forest (RF), Adaptive
65 Boosting (AdaBoost), Extreme Gradient Boosting (XGBoost), Light Gradient Boosted Machine (Light GBM),
66 Category Boosting (CatBoost), ~~Multilayer Perceptron (MLP)~~, Long Short-Term Memory (LSTM), ~~Multilayer~~
67 ~~Perceptron (MLP)~~, and an ensemble of RF, AdaBoost, XGBoost, Light GBM, and CatBoost are developed to
68 predict soil movement when coupled with class imbalance techniques (Kumar et al., 2019; Semwal et al., 2022;
69 Wu et al., 2020; Pathania et al., 2021; Zhang et al., 2022; Sahin, 2022; Kumar et al., 2020; ~~Kumar et al., 2023~~).

70 The novelty of this paper lies in its exploration and development of different ML models and class imbalance
71 techniques designed explicitly for landslide prediction. While previous research has studied ML models for
72 predicting ~~This study delves into the field of soil movement in landslides, this paper focuses on~~
73 addressing prediction, making significant advancements by developing specialized ML models and techniques
74 tailored to this domain. A notable aspect that has received limited attention in the existing literature is the
75 challenge of class imbalance in landslide datasets, which has received limited attention in the literature. The
76 paper introduces and applies various SMOTE variants and other balancing techniques to overcome. While
77 previous research has primarily focused on ML models for soil movement prediction, this work addresses the
78 issue of imbalanced data, improving the performance head-on. Multiple variants of the SMOTE and other
79 balancing strategies are introduced and implemented to enhance the efficacy and accuracy of the ML models.

80 Additionally, the paper presents the development of a range of multivariate classification models, including
81 RF, AdaBoost, XGBoost, Light GBM, CatBoost, MLP, LSTM, and an ensemble of RF, AdaBoost, XGBoost,
82 Light GBM, and CatBoost, showcasing the comprehensive and innovative approach taken to predict soil
83 movement in landslide-prone areas. The findings of this study have significant implications for mitigating
84 landslide risks and improving the prediction of soil movements in landslide-prone areas. By effectively
85 predicting landslides, it becomes possible to reduce economic losses and prevent fatalities caused by these
86 destructive events. Furthermore, developing and deploying low-research explores using cost-effective Internet
87 of Things (IoT) technologies in developing countries can enhance the regions to improve the investigation and
88 assessment and analysis of landslide hazards, making them more accessible and efficient. In this study, we
89 utilized data from a low-cost IoT monitoring station located in Uttarakhand, spanning. The dataset used in this
90 study spans two years, from June 2019 to June 2021. This dataset, and was used to train the ML models. By
91 leveraging this real-world data, we aimed to capture the specific collected by an inexpensive IoT monitoring
92 station in Uttarakhand, India. This real-world dataset captures the distinctive characteristics and patterns of soil
93 movements prevalent in the landslide-prone area. By employing a comprehensive methodology, this work
94 advances soil movement prediction and effectively addresses the challenge of class imbalance. It commences
95 with a thorough overview of the collected data, emphasizing the measured weather and soil-related factors.

Various SMOTE variants and other balancing techniques are employed to rectify the class imbalance, resulting in the generation of synthetic samples and ensuring a balanced representation of soil movement classes. The intricate correlations and patterns in the soil movement data are captured using a variety of ML models, including RF, AdaBoost, XGBoost, Light GBM, CatBoost, MLP, LSTM, and a dynamic ensembling of RF, AdaBoost, XGBoost, and CatBoost. Overall, this study's findings show potential for accurately reducing landslide risks, increasing the accuracy of landslide prediction, and encouraging the use of cost-effective IoT technologies in landslide-prone locations.

In what follows, we discuss the methodology employed in this study to address the class imbalance challenge and improve landslide prediction. First, we provide an overview of the dataset collected from the monitoring station in Uttarakhand, India, highlighting the weather and soil-related parameters measured over two years. Next, we describe the application of class imbalance techniques, including SMOTE variants and other balancing methods, to overcome the imbalanced nature of the dataset. We explain how these techniques help generate synthetic samples and balance the representation of different landslide classes. Then, we present the development and implementation of various ML models, including RF, AdaBoost, XGBoost, Light GBM, CatBoost, MLP, LSTM, and an ensemble of RF, AdaBoost, XGBoost, Light GBM, and CatBoost. We highlight each model's unique features and advantages in capturing the complex relationships and patterns in the landslide data. Finally, we discuss the implications of our findings for mitigating landslide risks, improving landslide prediction accuracy, and the potential for deploying low-cost IoT technologies in landslide-prone areas.

2. Background

Several techniques have been proposed to address the challenge of learning from imbalanced datasets, where the classification categories are not evenly represented. For example, Chawla et al. (2002) proposed the SMOTE, which involves generating synthetic minority class examples to balance the dataset. SMOTE has been shown to improve model performance compared to only undersampling the majority class. Douzas et al. (2018) introduced K-Means SMOTE, a method that combines SMOTE with k-means clustering to effectively overcome imbalances between and within classes without generating unnecessary noise. Tang et al. (2008) modified SVMs by incorporating different rebalancing heuristics, such as cost-sensitive learning, over-sampling, and undersampling. Among the variations of SVM, the granular SVMs repetitive undersampling model (GSVM-RU) has been found to be the most effective and efficient. Additionally, Han et al., (2005) developed a Borderline SMOTE method that focuses on oversampling only the minority examples near the class boundary. Experimental results indicate that Borderline SMOTE1 and Borderline SMOTE2 outperform SMOTE and random oversampling methods in terms of true positive rate and F-value. Lastly, He et al. (2008) developed the ADASYN, which addresses class imbalance by generating more synthetic data for minority class examples that are harder to learn. ADASYN reduces bias and adaptively shifts the classification decision boundary toward challenging examples. Simulation analyses have demonstrated the effectiveness of ADASYN across various evaluation metrics. These techniques offer valuable approaches to mitigate the impact of imbalanced data in classification tasks. These class imbalance techniques have limited exploration and application for landslide datasets. Existing studies primarily focus on the general imbalanced dataset scenario but need to consider the unique characteristics and challenges associated with landslide datasets. Therefore, research is required for systematic studies that compare the performance and effectiveness of techniques such as SMOTE, K-Means SMOTE, Borderline SMOTE, and ADASYN in the specific context of soil movement prediction across various evaluation metrics. By bridging this literature gap, we can enhance the accuracy and reliability of models for predicting soil movement in landslide-prone areas and contribute to improved landslide risk mitigation strategies.

Several researchers have worked on developing different developed various ML models to predict soil movement and solve prediction problems in other fields (Kumar et al., 2019; Semwal et al., 2022; Wu et al., 2020; Pathania et al., 2021; Zhang et al., 2022; Sahin, 2022; Kumar et al., 2020). For example, Kumar et al. (2019) predicted the landslide debris flow using developed an ensemble and non-ensemble of ML models focuses

on (RF, Bagging, Stacking, and Voting) for predicting debris-flow soil movement at the Tangni landslide in Uttarakhand, India. The study compares ensemble ML models (RF, Bagging, Stacking, and Voting). These models were compared with non-ensemble model (Sequential Minimal Optimization (SMO) and Autoregression (AR)). The results indicate that the ensemble models, specifically Bagging, Stacking, and RF, performed better than the non-ensemble outperformed the SMO and AR models in predicting weekly debris-flow soil movement. Furthermore, Semwal et al. (2022) developed the Sequential Minimal Optimization Regression (SMOreg), Instance-based Learning (IBk), RF, Linear Regression (LR), Multi-layer Perceptron (MLP), as well as ensemble ML models to predict root tensile strength for different vegetation species. The researchers investigate the relationship between root tensile strength and root and shoot characteristics of vegetation. The results show that the ensemble via-MLP outperformed all performed better than the other individual models, providing more accurate predictions of root tensile strength. Next, Wu et al. (2020) developed the decision tree (DT) with AdaBoost and bagging ensembles for landslide mapping the susceptibility mapping of landslides in Longxian County, Shaanxi Province, China. They used Researcher developed the technique with ensemble of Alternating Decision Tree (ADTree) along with ensemble techniques such as with Bagging and AdaBoost to map landslide susceptibility. The performance of the models was evaluated using receiver operating characteristic (ROC) curve, area under the ROC curve (AUC), and statistical measures. The results showed revealed that the ensemble of ADTree- and AdaBoost model had the highest success rate and prediction rate, outperforming the performed better than the individual ADTree model and ensemble of ADTree- and Bagging model. Similarly, Pathania et al. (2021) developed a novel ensemble gradient boosting model, called SVM-XGBoost, for generating specific warnings about impending soil movements warning at a Gharpa landslide site in Gharpa Hill, Mandi, India. They compared the performance of SVM-XGBoost with other models such as individual SVMs, DTs, RF, XGBoost, Naïve Bayes (NB), decision trees (DTs), RF, SVMs, XGBoost, and different variants of XGBoost. The results showed that the SVM-XGBoost model outperformed the performed better than other models in soil movement prediction. In their research, Kumar et al. (2021b) directed their attention toward predicting soil movement alerts 10 minutes ahead of time. Similarly, Kumar et al. (2021b) developed different variants of LSTM and an ensemble of LSTM models named BS-LSTM in their research to predict soil movement, specifically at the Tangni landslide site in India. The results demonstrated To enhance the accuracy of their predictions, they explored various variants of Long Short-Term Memory (LSTM) models. They introduced a novel ensemble approach called BS-LSTM, which combined bidirectional and stacked LSTM models. The findings of their study indicated that the BS-LSTM model outperformed the other LSTM variants for accurately predicting soil movement prediction. Furthermore, Similarly, Zhang et al. (2022) conducted a study on earthquake-induced landslide to assess the susceptibility assessment of landslides using a novel model based on gradient-boosting ML and techniques coupled with class-balancing methods. The research Their investigation specifically focused on the aftermath of the 2018 Hokkaido earthquake and used different employed diverse datasets and methods methodologies to predict the susceptibility of specific parts of areas prone to landslides. The results demonstrated that Compared to well-established models such as XGBoost and Light GBM, the proposed model with the dice-cross entropy (DCE) loss function and either XGBoost or Light GBM achieved more balanced and precise showed superior performance in accurately assessing landslide susceptibility assessments compared to existing models. Next, Furthermore, Sahin (2022) compared four recent gradient-boosting developed multiple ML models, including XGBoost, CatBoost, Gradient Boosting Machine (GBM), Categorical Boosting (CatBoost), Extreme Gradient Boosting (XGBoost), and and Light GBM, for modeling landslide to model the susceptibility of landslides. By leveraging a comprehensive landslide inventory map and relevant conditioning factors stored in a geodatabase, the study employed feature selection techniques and compared the predictive capabilities of ensemble methods with the widely used RF model. The results highlighted that CatBoost exhibited the highest predictive capability, followed by XGBoost, Light GBM, and GBM, while RF demonstrated comparatively lower predictive capability. The study used a geodatabase with a landslide inventory map and conditioning factors. Feature selection techniques were applied, and the prediction performance of ensemble methods XGBoost, CatBoost, GBM, and Light GBM was compared to RF. The results showed revealed that CatBoost had the highest prediction capability, followed by XGBoost, Light GBM, and GBM. RF had the lowest prediction capability. The literature gap in the context of soil

93 movement prediction is the limited exploration and evaluation of ML models in combination with synthetic data
 94 generated by SMOTE techniques. While various ML models, such as ensemble models (e.g., RF), neural
 95 networks models (MLP and LSTM), and gradient boosting ML models (e.g., AdaBoost, XGBoost, Light GBM,
 96 CatBoost), have been developed and applied for soil movement prediction, their utilization in conjunction with
 97 synthetic data generated by SMOTE techniques has received less attention in the literature. Incorporating
 98 SMOTE-generated synthetic data into the training process of these models can address the issue of class
 99 imbalance in landslide datasets and improve their performance in predicting soil movement. Therefore, further
 00 research is needed to investigate the effectiveness of these ML models when combined with SMOTE techniques
 01 in the context of soil movement prediction, thereby filling the existing literature gap.

02 The RF, AdaBoost, XGBoost, Light GBM, CatBoost, MLP, LSTM, and an ensemble of RF, AdaBoost,
 03 XGBoost, Light GBM, and CatBoost models were chosen to predict soil movement based on their proven
 04 effectiveness in previous research. RF is excellent at capturing complex relationships and has outperformed
 05 non-ensemble models in predicting debris flow and landslide susceptibility. AdaBoost has successfully
 06 predicted soil movement alerts ahead of time. At the same time, XGBoost and Light GBM have demonstrated
 07 their ability to achieve balanced and precise predictions, especially in earthquake-induced landslide
 08 susceptibility assessments. ~~Among gradient-boosting models, CatBoost has the highest stands out for its superior~~
 09 ~~prediction capability among gradient-boosting models, making it a suitable choice well-suited option for~~
 10 ~~modeling/modelling landslide susceptibility. MLP as the base model, has provided more accurate predictions~~
 11 ~~On the other hand, when it comes to predicting root tensile strength. Finally, MLP has demonstrated higher~~
 12 ~~accuracy in its predictions. Additionally, LSTM is a robust recurrent neural network architecture that captures,~~
 13 ~~is particularly effective in capturing~~ temporal dependencies and long-term patterns in sequential data.
 14 ~~These Collectively, these models collectively offer a rangediverse set of capabilities, making them that prove~~
 15 ~~valuable tools for in the prediction of soil movement prediction.~~

16 3. Data Collection and Description

17 The ~~data used~~dataset for predicting soil movement prediction was collected from a real-world ~~an actual~~ landslide
 18 ~~location~~site in Uttarakhand, India, ~~over~~. The monitored landslides are characterized as shallow landslides with
 19 debris flow, occurring at elevations ranging from 1450 m to 1920 m. The slopes in the landslide zones in the
 20 upper parts are made up of weathered limestone and dolomitic limestone, whereas the lower slopes exhibit black
 21 carbonaceous slate. The slates are highly weathered and leached, adorned with white and yellow encrustation.
 22 These are covered with a thin veneer of debris, mainly consisting of pebble- and cobble-sized limestone,
 23 sandstone, and slate embedded in a ~~period~~sand-silt-clay matrix. Additional context includes an annual rainfall
 24 of 4190 mm in the area, as reported by Gupta et al. (2015). Spanning a duration of two years, from June 2019
 25 to June 2021. ~~The monitoring was performed using a low-~~ this dataset holds valuable insights into the behaviour
 26 of soil in response to various environmental factors. To gather this data, a cost-to-effective landslide
 27 monitoring station (LMS) ~~specifically installed~~was carefully deployed at the site. ~~The LMS was~~
 28 ~~equipped~~landslide. Equipped with ~~various a range of~~ sensors to measure different, the LMS diligently recorded
 29 critical weather and soil-related parameters. In terms of weather parameters, the LMS recorded the ~~Weather-~~
 30 ~~wise, it diligently captured~~ temperature readings in degrees Celsius, humidity ~~in levels as a~~ percentage, rainfall
 31 (~~Rain~~)measurements in inches per hour (in/hr), atmospheric pressure in millibars (mb), and ~~even~~ sunlight
 32 (~~Light~~)intensity in lux. These measurements provided valuable information about ~~These meticulous recordings~~
 33 ~~shed light on~~ the prevailing weather conditions experienced at the ~~precise location of the~~ landslide location. To
 34 monitor the soil conditions, the ~~The~~ LMS employed ~~relied on~~ an accelerometer sensor to measure the soil
 35 monitor the soil conditions with utmost precision. An advanced sensor was utilized to measure the acceleration
 36 (~~in m/s^2~~)of the soil in three directions: Ax, Ay, and Az, (in m/s^2). This provided valuable insights into the soil's
 37 movement and stability. Additionally, a gyroscope sensor was ~~utilized~~employed to capture the angular rotation
 38 of the soil along the Wx, Wy, and Wz axes (in degrees per second, represented by Wx, Wy, and Wz. These
 39 measurements helped in understanding the movement and stability of the soil. ~~The LMS's~~). This sensor

enhanced the understanding of the soil's behaviour by accurately detecting its angular movements. Furthermore, the LMS was equipped with a capacitive soil moisture sensor-measures, enabling it to measure the soil's volumetric moisture content (M_{os}) of the soil in percentpercentage. The LMS transmitted all these twelve attributes, including weather parameters, soil g-force, angular rotation, and soil moisture content, to the cloud every ten minutes. The dataset obtained from the LMS consisted of approximately thirty-nine thousand data points, covering a wide range of environmental and soil-related attributes. Table 1 is showeasingshowcases the statistics for the recorded soil movement prediction parameters. For each attribute, the table provides the mean value, representing the average measurement, along with the standard deviation (Std. Dev.-stdev), indicating the variability of the data. The minimum and maximum values highlight the range of measurements observed, offering insights into the extreme values and overall data distribution.

Table 1. Summary statistics of recorded parameters for soil movement prediction dataset.

Parameter	Mean	Std. Dev.-stdev	Min	Max
Temperature (°C)	16.18	10.48	0.00	39.00
Humidity (%)	66.69	35.46	0.00	99.00
Rain (in/hr)	0.00	5.60	0.00	15.00
Pressure (mb)	1040.96	27.96	921.61	1065.41
Light (lux)	5025.35	10154.75	0.00	54612.00
Ax (m/s ²)	0.02	1.23	-28.02	40.25
Ay (m/s ²)	0.00	1.37	-100.08	100.08
Az (m/s ²)	0.00	2.28	-149.61	315.61
Wx (°/s)	0.00	15.86	-1994.51	1997.24
Wy (°/s)	0.00	15.85	-1998.05	1998.73
Wz (°/s)	0.00	6.95	-932.00	932.00
Moisture (%)	80.00	20.30	40.00	100.00

4. Methodology

4.1. Data Pre-processing

The sensors installed at the landslide locations experienced malfunctions, resulting in multiple missing values within the collected data. To address this issue, we employed a method to fill these gaps by replacing the missing values with the average values recorded at the corresponding timestamps during the previous week. By calculating the average values for parameters such as light intensity, humidity, temperature, and pressure from the same time periods in the preceding week, we obtained estimates to replace the skewed or missing data points.

4.2. Class Labeling

The dataset included three contained values for acceleration due to gravity in the x, y, and z directions and three values for angular rotation in degree per second in the three directions: x, y, and z directions. The changechanges in acceleration and angular rotation was derived by calculating the difference between were calculated by subtracting the current and values from the past values. The, allowing for the assessment of movement. Four categories were defined to classify the movement data were categorized into four classes (-: no movement, smalllow movement, moderate movement, and largehigh movement) using. These categories were determined based on standard deviation thresholds ealculated-for-derived from the acceleration and angular rotation values. Specifically, values within ± 1 standard deviation from the mean of acceleration and angular rotation were categorized as no movement, ± 2 standard deviations as smalllow movement, ± 3

standard deviations as moderate movement, and values exceeding ± 3 standard deviations as ~~large~~ high movement. This ~~classification~~ approach ~~enabled the determination of movement intensity based on considered~~ the variability ~~of in acceleration and angular rotation changes to determine the change in acceleration and angular rotation. In our intensity of movement.~~

~~During the analysis, we categorized each timestamp was assigned to a movement class based on the class that corresponds to associated with the maximum/highest standard deviation of observed in any acceleration or velocity/angular rotation element. This means that if any/If an individual element among the acceleration and velocity measurements had the highest standard deviation at a particular/specific timestamp, we assigned that timestamp was assigned to the corresponding movement class associated with that/the maximum standard deviation.~~

Table 2 ~~provides/presents~~ the distribution of movement intensity within the dataset. ~~The dataset consists of a total, which consisted of 38,900 data points. #The table shows the percentage distribution of different movement categories, including: high-intensity, medium-intensity, moderate, low-intensity, and no movement. Most/The majority of the dataset (97.8%) falls under the "No Movement" category, while the-indicating a lack of significant movement. On the other hand, the high movement category represents only a small fraction (1.1%). The-% of the dataset. Additionally, the moderate movement category comprises 0.7% of the samples, while the low movement category accounts for 0.4% of the dataset. This distribution of movement highlights the class imbalance issue present in the dataset, which needs to be addressed/taken into account when building/developing a classification model for predicting soil movement.~~

Table 2. Class distribution of soil movement data points.

Movement Class	Number of Data Points	Percentage
High Movement	423	1.1%
Moderate Movement	146	0.7%
Low Movement	268	0.4%
No Movement	38063	97.8%

4.3. Sliding Window Packets

The sliding window packets technique involves ~~creating/dividing a given dataset into~~ fixed-length subsequences or packets ~~from a given dataset~~ and their corresponding labels. ~~The To achieve this, a sequence length parameter determines/is used to determine~~ the length of each subsequence.

~~To generate these subsequences, the The sliding window approach is applied. The sliding then employed, where a window starts at the beginning of the dataset and moves across/through the data with a step size of 1. At each position of the window, a subsequence of the specified length is extracted from the dataset, and the at each window position. The label for prediction is taken from the next position after the window. This means that the sliding window packets technique is typically used for predicting future values or events based on the preceding subsequence.~~

~~The sliding window packets technique aims to predict future values or events based on preceding subsequences. For instance, if the sequence length is set to five, the sliding window will select five consecutive values from the dataset as a subsequence at each step. The label for prediction will be the value at the 6th/sixth position. This process continues until the end of the dataset is reached, resulting in multiple subsequences and their corresponding/respective labels.~~

~~After creating/Once the packets are created, they are flattened to form a single feature vector. For example/in instance, if the sequence length is five and the dataset has twelve features, each packet will contain sixty feature/elements (5x12). This transformation allows the packets to be treated as individual samples with multiple features suitable for ML models.~~

The primary objective purpose of creating these packets is to address sequence-based prediction tasks, involving sequences where the input data's order and dependencies of the input data play a crucial role. The model can effectively capture and learn patterns and relationships within the sequential data by utilizing the sliding window packets. The flattened packets generated through using the sliding window technique were used as inputs in oversampling techniques.

4.4. Oversampling

In our analysis, we encountered a significant class imbalance issue in the labeled data. The "No Movement" class, which represents the majority of the data, had a large number of data points. On the other hand, the classes, including "High Movement" class, which represents the, "Moderate Movement," and "Low Movement," represent minority classes, each constituting only a minimal representation with just 1%, 0.7%, and 0.4% of the total data, respectively. This class imbalance posed a challenge for building an effective classification model, as the skewed data distribution made it difficult to classify the minority class accurately.

To overcome the class imbalance challenge, we implemented several oversampling techniques to address this issue, focusing on the, with a particular focus on SMOTE and its extensions (Chawla et al., 2002; Douzas et al., 2018; Tang et al., 2008; Han et al., 2005; He et al., 2008). SMOTE creates, which stands for Synthetic Minority Oversampling Technique, addresses the imbalance by generating synthetic data points for the minority class to balance its representation (Chawla et al., 2002). By generating new data points using the characteristics of existing minority class samples, we increased the number of instances in from the "High Movement" class. Additionally, minority classes, we created new data points, thereby increasing the representation of the "High Movement," "Moderate Movement," and "Low Movement" classes. In addition to the standard SMOTE, we also explored other variations of SMOTE, including KMeans such as K-Means SMOTE (Douzas et al., 2018), SVM-SMOTE (Tang et al., 2008), and Borderline SMOTE (Han et al., 2005) to further improve the balance of the class distribution.

Furthermore, we utilized the ADASYN, an extension of SMOTE that explicitly addresses the classification boundary of the minority class (He et al., 2008). ADASYN assigns higher weights to the minority examples that are more challenging to classify, leading to the generation of additional artificial data points for these instances. By incorporating ADASYN into our oversampling strategy, we enhanced the balance of the class distribution further and improved the classification accuracy for all classes.

Figure 1 illustrates the application of the K-Mean SMOTE technique for addressing the class imbalance. The figure depicts a scatter plot where the red crosses represent the minority class samples, while the black dots represent the majority class samples. The green crosses indicate the newly generated synthetic samples by the K-Mean SMOTE algorithm. The dashed line represents the decision boundary separating the two classes. K-Mean SMOTE operates by following two simple steps iteratively (Douzas et al., 2018). Firstly, it assigns each observation to the nearest cluster centroid among the k available. Secondly, it updates the position of the centroids so that they are positioned at the center between the assigned observations. The information imbalance ratio (IR) shown in Fig. 1 helps K-Means SMOTE determine the appropriate amount of

44 oversampling for the minority class, ensuring a balanced representation of the classes in synthetic samples. The
 45 value of k . The parameter ' k ' in all SMOTE techniques was selected 4 varied from 2 to 5 in this experiment to
 46 observe how different numbers of nearest neighbors impact the diversity and quality of synthetic samples
 47 created, thereby affecting the performance of the model on imbalanced data.

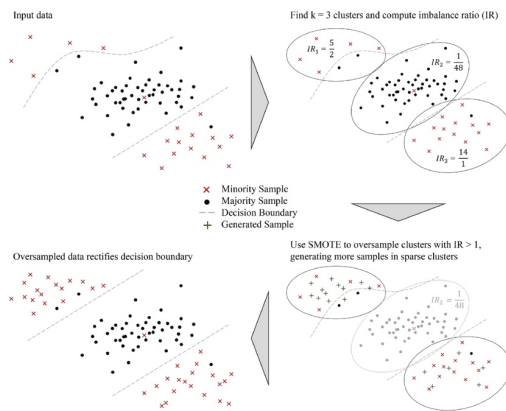


Figure 1. K-Means SMOTE effectively addresses within-class imbalance by oversampling safe areas (Douzas et al., 2018).

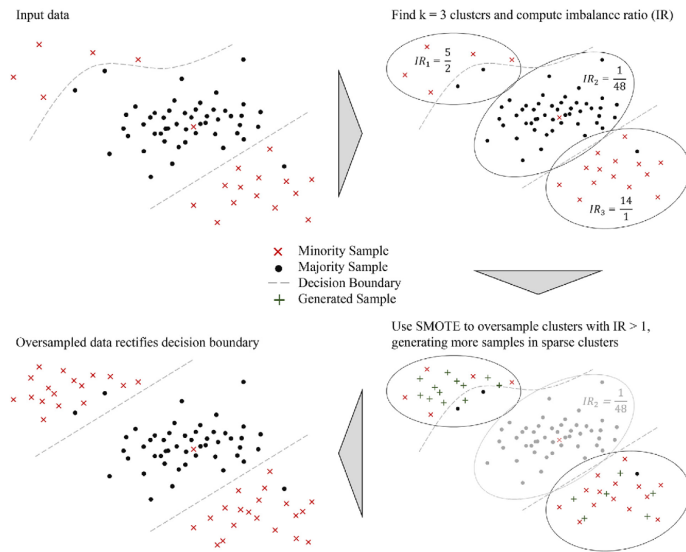


Figure 1: K-Means SMOTE effectively addresses within-class imbalance by oversampling safe areas (Douzas et al., 2018).

4.5. Machine Learning Models

Various models were employed to classify the soil movement. The specific models will be discussed in the following subsection. To evaluate the accuracy of these models, the dataset was divided into two groups: training data (70%) and testing data (30%). Random sampling was used to select 70% of the data points for training the classification models mentioned below, while the remaining 30% of the dataset was reserved for model evaluation.

4.5.1. AdaBoost

AdaBoost, also known as Adaptive Boosting, is a probabilistic classification meta-enhances ML model designed to enhance the performance of ML models (Wu et al., 2020). It achieves this by combining the results of multiple weak learners, which are learning techniques with slightly better than random guessing (Wu et al., 2020), capabilities. Through an adaptive process, AdaBoost adjusts subsequent weak models to focus on the cases that were misclassified by earlier models. This adaptive nature helps improve the overall accuracy of the model and reduces the risk of overfitting in certain situations. Although individual weak learners may not perform well on their own, their collective strength allows the final model to converge as a powerful learner capable of making more accurate predictions.

For in the AdaBoost model, the number of estimators determines trees sets the maximum number of weak models to be combined. Increasing the number of estimators can improve the model's weak models, impacting

performance but may also increase the risk of and overfitting. The learning rate controls the influences each model's contribution of each weak model, with a higher learning rate giving more weight to each model. The maximum depth parameter limits the depth of individual prevents weak models, preventing them from becoming too complex and overfitting the data. Table 3 shows the details the AdaBoost model's parameter range of hyperparameters for the AdaBoost model.

4.5.2. XGBoost

XGBoost is an a gradient-boosting ensemble ML model based on gradient-boosting and uses with decision trees (Chen and Guestrin, 2016). While deep neural networks excel, excels in predicting unstructured data such as images and text, decision tree-based methods are considered superior for dealing with structured data. Decision trees are particularly effective when the data has a well-defined structure or specific features, making them a preferred choice for certain prediction problems involving structured information. In the XGBoost model, the handling. The number of estimators trees in XGBoost determines the number of boosting rounds or iterations. Increasing the number of estimators can improve the model's, impacting performance but also increases with a computational complexity trade-off. The learning rate controls the step size during the boosting process and affects the model's influences convergence speed and generalization ability. The, and the maximum depth parameter restricts the depth of the decision trees in the ensemble, preventing prevents overfitting and promoting for enhanced interpretability. See Table 3 shows the range of hyperparameters for the XGBoost model model's parameter range.

4.5.3. Light GBM

Light GBM is, a gradient-boosting framework that utilizes a decision-branching technique for various ML for tasks such as like ranking and classification (Ke et al., 2017). Unlike other boosting methods that divide the tree lengthwise or layerwise, Light GBM employs a), stands out with its leaf-wise approach, where the tree is divided leaf by leaf, selecting the best split at each step. This leaf-wise strategy reduces reducing loss and improves accuracy compared to traditional boosting techniques. Additionally, Light GBM is known for its speed and efficiency, earning its name "Light" due to its fast execution. In the Light GBM model, the number of estimators determines the number of, improving accuracy, and ensuring efficient learning. The number of trees in the model influences boosting rounds, with a higher number potentially improving the model's for potential performance enhancement. The learning rate controls the step size during boosting and affects the trade-off between parameter balances convergence speed and model accuracy. The, while the maximum depth parameter limits the depth of the decision trees, controlling the model's controls complexity and the risk of prevents overfitting. See Table 3 shows the for the Light GBM model's parameter range of hyperparameters for the Light GBM model.

4.5.4. CatBoost

CatBoost, short for Category Boosting, is an ML model developed by Yandex and recently made available released as an open-source tool (Prokhorenkova et al., 2018). It is designed to be easily integrated with other popular frameworks like TensorFlow and Core ML, expanding its compatibility across different platforms. CatBoost is known for its versatility and ability to handle diverse datasets, making it suitable for solving various industry problems. In addition, it boasts industry-leading performance, delivering high-quality results and predictive accuracy. In the CatBoost model, the choice of the loss function can significantly impact the model's impacts performance. Different loss Loss functions, such as like log, entropy, or hinge, handle various are tailored for specific classification problems and may yield different, influencing results. Table 3 shows outlines the range of

hyperparameters for the CatBoost model for fine-tuning and optimizing CatBoost's performance on a given dataset.

4.5.5. Random Forest

The regression or classification model was constructed using the RF technique, an ensemble learning method combining predictions from multiple decision trees (Breiman, 2001). This approach offers several advantages. It is commonly employed in scenarios involving various regression or classification tasks, as it does not require the assumption of variable independence in the data distribution. Using a combination of categorical and numerical variables, RF can account for complex relationships and non-linearities without the need for dummy variables. The RF model has been widely used across various industries and has demonstrated exceptional performance. It has also shown promise in, including landslide prediction and site recognition applications.

The RF technique utilizes several parameters to optimize its performance. The first parameter is the number of estimators, which determines the number of decision trees in the ensemble. The second parameter is the criteria for (DTs) splitting nodes (Gini and/or Entropy) in the decision trees. Gini measures node impurity based on the probability of misclassifying a randomly chosen element, while Entropy measures information gain at each split. The third parameter is the maximum tree depth of the decision trees. It limits the number of levels a tree can grow, controlling robustness, accuracy, and complexity. Table 3 shows the range of hyperparameters details parameter ranges for the RF model.

4.5.6. Multilayer Perceptron

The MLP is a popular neural network architecture for classification (introduced by Rosenblatt, in 1961). It consists of three interconnected layers, including an input layer, hidden layers, and an output layer (Rosenblatt et al., 1961). Neurons in the MLP compute a weighted sum of inputs and pass it through an activation function to learn complex relationships. Hidden layers extract informative features from the input data. Dropout layers are used to prevent overfitting by randomly deactivating neurons during training. This regularization technique improves the MLP's generalization ability and reduces reliance on specific patterns. The MLP is a versatile and effective approach for solving classification problems.

In the MLP model, the look-back period determines the number of previous time steps considered for prediction, affecting the model's ability to capture temporal dependencies. The number of influences temporal dependency capture, while the number of layers and nodes per layer control the network's complexity and capacity to learn complex representations from the data. Table 3 shows the range of hyperparameters outlines parameter ranges for the MLP model.

4.5.7. LSTM

The LSTM is a recurrent neural network architecture designed to analyze and capture long-term dependencies in sequential data (Hochreiter and Schmidhuber, 1997). It overcomes the vanishing gradient problem and excels at capturing long-term dependencies in sequences. LSTM networks use memory cells and gating mechanisms to selectively retain or forget information over time, allowing them to process and predict sequences of varying lengths. They have been successfully applied in various domains, including natural language processing, speech recognition, and time series forecasting.

In our LSTM model, we conducted experiments to analyze the effects of various parameters on its performance. We investigated the impact of different parameters: LSTM unit sizes (32, 64, 128, and 256) to understand how the model's complexity influences its ability to capture patterns in the data. Additionally, we explored different activation functions (sigmoid, tanh, and ReLU) to assess their impact on the model's

ability to learn complex relationships within the dataset. The γ and a look-back period ranging from 3 to 10. We chose the categorical cross-entropy loss function was chosen for its suitability in multi-class classification tasks. Furthermore, we varied the look-back period from 3 to 10 to evaluate how it affects the model's ability to capture temporal dependencies. Table 3 shows details the parameter range of hyperparameters for the LSTM model.

4.5.8. Dynamic Ensembling

Dynamic ensembling is a powerful highly effective technique in ML that leverages takes advantage of the adaptability and continuous ongoing improvement of predictive models (Ko et al., 2008). Dynamic ensembling creates it involves creating a flexible versatile and continuously evolving ensemble by combining harnessing the strengths of multiple models, such as including RF, CatBoost, XGBoost, Light GBM, and AdaBoost. Traditionally, ensembling methods like bagging and boosting have focused on fixed ensembles. However, dynamic ensembling goes beyond this by introducing the ability to add or remove models based on their performance dynamically. In the case of dynamic ensembling with the models, as mentioned earlier, the monitoring criterion used is accuracy. Accuracy as the monitoring criterion ensures that the dynamic ensemble maintains a high level of accuracy in its predictions. If a model falls below a predefined accuracy threshold, it is considered underperforming and may be replaced to enhance the ensemble's overall performance.

Dynamic ensembling offers numerous advantages, including handling concept drift, where the underlying data distribution changes over time. By incorporating new models that capture updated patterns and relationships in the data, the dynamic ensemble can effectively adapt to concept drift and maintain accurate predictions.

The dynamic ensembling model utilized base models such as RF, CatBoost, XGBoost, Light GBM, and AdaBoost. Each base model was trained individually with the same default parameter settings as their standalone counterparts. The parameter values range of parameters for each the dynamic ensemble model were mentioned in Table 3.

Table 3. The range of hyperparameters parameters varied in the models.

Model	HyperparameterParameter	Range of HyperparameterParameter
AdaBoost	Number of EstimatorsTrees	[15, 200] in steps of 10
	Learning Rate	[0.1, 2] in steps of 0.1
	Maximum Depth	[3, 33] in steps of 3
XGBoost	Number of EstimatorsTrees	[50, 1400] in steps of 50
	Learning Rate	[0.05, 0.55] in steps of 0.05
	Maximum Depth	[3, 35, 50] in steps of 3
Light GBM	Number of EstimatorsTrees	[20, 400] in steps of 20
	Learning Rate	[0.05, 0.55] in steps of 0.05
	Maximum Depth	[3, 35, 50] in steps of 3
CatBoost	Loss Function	Log, Entropy, Hinge
	Learning Rate	[0.1, 2] in steps of 0.1
	Maximum Depth	[3, 33] in steps of 3
RF	Number of EstimatorsTrees	[10, 100] in steps of 5
	Criteria	Gini, Entropy
	Maximum Depth	[1, 100, 50] in steps of 5
MLP	Look-back Period	3 to 10
	Layers	[1, 3]

	Nodes Per Layer	[50, 250] in steps of 50
	<u>Learning Rate</u>	<u>[0.1, 0.9] in step of 0.1</u>
LSTM	Look-back Period	3 to 10
	LSTM Units	32, 64, 128, 256
	Activation Function	Sigmoid, tanh, ReLU
	<u>Learning Rate</u>	<u>[0.1, 0.9] in step of 0.1</u>

5. Model Execution, Minimization, and Handling Class Imbalance

A rigorous process was followed to develop an effective model for predicting the intensity of soil movement. The model was trained using grid search techniques, which systematically explored different combinations of hyperparameters to optimize its performance. The training phase utilized the labelled training data, split into The dataset was partitioned into a 70:30 ratio, with 70% allocated for training and 30% for testing purposes. One challenge encountered during the training process was, To tackle the class imbalance issue. The number of samples available for the minority class was insufficient compared to the majority class. To address this, in the training data, oversampling techniques were applied exclusively to the training set, ensuring a balanced representation of all three classes. The oversampling methods were not extended to the testing data, preserving its original distribution. In this study, we developed two methods, referred to as method 5 Training Datasets (5-TD) and method 5-fold cross-validation (5-CV). Method 5-TD was employed. By generating synthetic data points for the minority class, we were able to balance the dataset and mitigate the bias toward the majority class. Once the parameter variation analysis across different datasets. On the other hand, method 5-CV was utilized for conducting 5-fold cross-validation to analyze the performance of the ML models.

5.1. Method 5-TD

For method 5-TD, the training dataset was balanced, multiple ML models were trained using the training data. The primary objective was to optimize the models' parameters for improved performance. Each model was subjected to the grid search technique, systematically exploring split into five training datasets, each utilized for parameter variation analysis. This involved training and optimizing the ML model on each dataset independently using the grid search method. Since each dataset possessed different parameter combinations to identify the optimal settings. After training parameters, we calculated the models with mean and stdev of the ML-optimized parameters, they were tested on separate test data parameter values across all datasets to assess their predictive capability. The evaluation process parameter variability. This enabled us to observe parameter variations across the ML models, providing insights into the sensitivity of the models to different dataset characteristics and parameter configurations. A lower stdev implied that the model maintained consistency across each dataset and demonstrated robust generalization capabilities. Conversely, a higher stdev suggested that the model encountered difficulties maintaining consistency across datasets, potentially hindering its ability to learn general patterns effectively. The evaluation primarily focused on measuring accuracy, F1 score metrics to determine the models' effectiveness in predicting how effectively the models predicted the intensity of soil movements in each of the 5 datasets.

5.2. Method 5-CV

For method 5-CV, a suite of ML models underwent training using a 5-fold cross-validation approach (Kumar et al., 2023). In the 5-CV method, the training data was split into 5 datasets, where each dataset was alternately used for validation while the others were used for training. The models were optimized by employing grid search methodology and optimized based on performance on the 5 validation sets, and a single set of best-performing parameters was selected for each model. Subsequently, the models with the best parameters found during

training were tested on the independent testing data, and their performance metrics were reported as indicative of their predictive capabilities. The evaluation primarily focused on F1 score metrics to determine how effectively the models predicted the intensity of soil movement across the 5 validation sets and the test set.

6. Results

6.1. Parameter Analysis Result

Upon scrutinizing the parameter analysis presented in Table 3, a discernible trend emerged: models trained with oversampling techniques exhibit notably smaller stdevs than their counterparts trained without oversampling. For instance, when examining the AdaBoost model, we observe that the stdev of the number of trees parameter was 0 for the oversampling case. In contrast, it stood at 16.43 for the dataset without oversampling. This phenomenon underscores the stabilizing effect of oversampling on parameter estimates, mitigating the variability that may arise from imbalanced datasets.

Similarly, in the case of the RF model, the stdev of the number of trees parameter was 0 with oversampling, indicating consistent parameter values across folds. Conversely, for the dataset without oversampling, the stdev increased to 21.21, suggesting greater variability in parameter estimates. This trend persisted across various models and parameters, highlighting the robustness imparted by oversampling techniques in stabilizing model performance.

Overall, these examples underscore the importance of oversampling in reducing parameter variability and ensuring consistent model behaviour, particularly in scenarios involving imbalanced datasets.

Table 4. The result of parameter variation analysis across five datasets from method 5-TD.

Model	Parameter	With Oversampling		Without Oversampling	
		Mean	stdev	Mean	stdev
AdaBoost	Number of Trees	80	0	62	16.43
	Learning Rate	0.66	0.22	0.9	0
XGBoost	Number of Trees	50	0	50	0
	Maximum Depth	20	0	10	0
	Learning Rate	0.5	0	0.68	0.16
Light GBM	Number of Trees	50	0	50	0
	Maximum Depth	20	0	20	0
	Learning Rate	0.5	0	0.6	0.12
CatBoost	Number of Trees	50	0	50	0
	Maximum Depth	20	0	20	0
	Learning Rate	0.8	0	0.66	0.11
RF	Number of Trees	80	0	50	21.21
	Maximum Depth	20	0	20	0
MLP	Look-back Period	2.8	0.44	3.6	1.34
	Layers	2	0	2	0
	Nodes in First Layer	130	67.08	130	67.08
	Nodes in Second Layer	200	0	60	54.77
LSTM	Learning Rate	0.78	0.16	0.64	0.28
	Look-back Period	4.6	0.89	4	1.4

Layers	2	0	2	0
Nodes in First Layer	90	22.36	70	27.39
Nodes in Second Layer	160	54.77	100	61.24
Learning Rate	0.84	0.08	0.86	0.05

6.2. Optimized Parameters

In method 5-CV, we optimized hyperparameter values determined through the parameters separately for the ML models using a 5-fold cross-validation process on the full training dataset. In analyzing various SMOTE techniques, the parameter 'k', representing the count of nearest neighbors for synthesizing new samples, was consistently optimized at a value of four. Table 5 presents each model's optimized parameter values obtained through the grid search method in 5-CV on the training dataset. These hyperparameters were carefully fine-tuned to find the best fit for the given data. In the XGBoost case of AdaBoost, the optimized values included 800 for the number of estimators, 0.3 for the 80 trees and a learning rate, and 9 for the of 0.6. The optimized values for the XGBoost model consisted of 50 trees, a learning rate of 0.3, and a maximum depth of 10. These parameter settings were selected after careful evaluation determined to enhance the model's ability to generalize and capture complex patterns in the data. In the Light-GBM model, the optimized values consisted of 220 for the number of estimators, 0.25 for the number of hidden layers, and 12 for the maximum depth. These settings were determined to improve the model's performance in terms of performance in terms of both speed and accuracy.

Similarly, the AdaBoostLight GBM model had its hyperparameters underwent parameter optimization, selecting 50 trees, a learning rate of 0.5, and a maximum depth of 20. Next, the CatBoost model was also optimized, resulting in the leading to entropy selection of 25 for the number of estimators, 1.7 for the learning rate, and 20 for the maximum depth. These parameter values were chosen to enhance the model's adaptability and accuracy in classification tasks. The CatBoost model also underwent optimization, resulting in the selection of entropy as the loss function, 0.9 as the learning rate of 0.8, 50 trees, and 20 as the maximum depth. These settings of 20. In the RF model, the optimized values were chosen to maximize 80 for the model's performance in terms of accuracy number of trees and robustness. Similarly 20 for the maximum depth, and the evaluation criteria were set to "Gini." Likewise, the MLP model had its hyperparameters optimized its parameters with a look-back period of 3, 2 layers, and 200 nodes per layer. These settings were selected to enhance the model's ability to capture complex relationships and improve classification accuracy. Similarly, LSTM has 128 units the LSTM model consists of two layers with 100 and tanh 200 nodes in the first and second layers and utilizes a ReLU activation function. In the RF model, the optimized values were 45 for the number of estimators, 25 for the maximum depth, and the evaluation criteria were set to "entropy." These values were chosen to maximize the model's accuracy and predictive power performance. Lastly, the dynamic ensemble model in this study incorporated the optimized RF, CatBoost, XGBoost, Light GBM, and AdaBoost models to improve the accuracy of landslide analysis predictions. By leveraging the strengths of these individually optimized models, as mentioned above, the dynamic ensemble model aimed to improve the accuracy and reliability of landslide analysis predictions.

Table 45. The best value of the hyperparameters was calibrated from the training data using method 5-CV.

Model	Hyperparameter	Best Value of Hyperparameter
AdaBoost	Number of Estimators	2580
	Learning Rate	1.70.6
	Maximum Depth	20
XGBoost	Number of Estimators	80050
	Learning Rate	0.3

	Maximum Depth	910
Light GBM	Number of Estimators Trees	22050
	Learning Rate	0.255
	Max Maximum Depth	1220
	Loss Function	Entropy
CatBoost	Learning Rate	0.98
	Number of Trees	50
	Max Maximum Depth	20
	Criteria	EntropyGini
RF	Number of Estimators Trees	45-80
	Criteria	EntropyGini
	Maximum Depth	2520
MLP	Look-back Period	3
	Layers	2
	Nodes Per Layer	200 in both layers
	Learning Rate	0.6
LSTM	Look-back Period	5
	LSTM Units	128100 in first and 200 in second layer
	Activation Function	tanhReLU
	Learning Rate	0.9

6.2.1. Train-Test Results

Table 56 presents the training results of different classification models ~~combined with~~ evaluated using 5-fold cross-validation on the training dataset and various oversampling techniques for landslide prediction-, utilizing method 5-CV. In Table 6, C0, C1, C2, and C3 represent no movement, low movement, moderate movement, and high movement classes' accuracies, respectively. These results provide valuable insights into the performance of each model when trained on the training dataset with and without oversampling. The RF XGBoost model with K-Mean SMOTE ~~emerges~~ emerged as the best model in training, achieving outstanding accuracy, precision, recall, and F1 ~~score of 100% and 1, respectively-~~ scores of 0.999, 0.999, 0.999, and 0.999, respectively. The dynamic ensemble model with K-Mean SMOTE and Borderline SMOTE techniques also performed similarly with 0.998 F1 scores. It demonstrates remarkable predictive capability by achieving perfect accuracy in both oversampling and non-oversampling scenarios. When the XGBoost model was trained without oversampling, its accuracy, precision, recall, and F1 score were notably lower, with values of 0.999, 0.999, 0.971, and 0.985, respectively.

Table 6 ~~shows~~ 7 presents the test results of various classification models combined with different oversampling techniques for landslide prediction. ~~The dynamic ensemble model with~~ (here models were trained using the method 5-CV). In Table 7, C0, C1, C2, and C3 represent no movement, low movement, moderate movement, and high movement classes' accuracies, respectively. Among them, the dynamic ensemble model utilizing the K-Mean SMOTE technique ~~exhibits~~ demonstrated exceptional performance in accurately predicting landslides on unseen data. It achieves impressive accuracy, precision, and recall ~~rates~~ rates of 99.68% each 0.995, 0.995, and 0.995, respectively, along with an F1-score of 0.9968995. These outstanding results ~~reaffirm~~ confirm the effectiveness of the dynamic ensemble approach in combination when combined with K-Mean SMOTE for accurate ~~landslide~~ soil movement prediction. The best-performed Similarly, the Borderline SMOTE technique also showed similar performance with accuracy, precision, recall, and an F1 score of 0.995 for all. When the

model is ~~shown~~ tested without oversampling, its accuracy, precision, recall, and F1 score are notably lower, with values of 0.981, 0.646, 0.397, and 0.462, respectively. The best-performing model is ~~highlighted~~ in bold in Table 6 and Table 7.

Furthermore, the RF model with K-Mean SMOTE stands as the second best model in the test phase, delivering a high accuracy, precision, and recall rate of 99.64% each and an F1 score of 0.9964. This highlights the reliability and robustness of the RF model in landslide prediction tasks.

Moreover, it is noteworthy that K-Means SMOTE consistently outperformed other oversampling techniques across all models during the test performance evaluations, establishing itself as the optimal technique. Notably, it is crucial to highlight the impact of oversampling on the performance of the dynamic ensemble model. This underscores the discernible effectiveness of K-Means SMOTE in generating oversampling for the soil movement dataset. The success of K-Means SMOTE can be attributed to its ability to identify clusters within the minority class and select similar features for oversampling. The IR employed by K-Means SMOTE aids in determining the appropriate degree of oversampling for the minority class, ensuring a balanced representation of classes in synthetic samples.

Moreover, the absence of oversampling techniques negatively impacted the models' performance in both training and testing. Without oversampling, the models exhibited lower accuracy, precision, recall, and F1 scores during training and testing, emphasizing the challenges posed by class imbalance. In the absence of balanced representation through oversampling, the models struggled to effectively learn and generalize from the imbalanced dataset. Consequently, this underscores the pivotal role of oversampling in mitigating class imbalance issues, leading to substantial enhancements in predictive accuracy and overall model robustness during training and testing evaluations.

Models trained with oversampling techniques consistently demonstrate comparable performance across both training and testing datasets, indicating a lack of overfitting. Conversely, models trained without oversampling, notably RF, MLP, LSTM, and Dynamic Ensemble, exhibit signs of overfitting, as evidenced by significantly higher performance metrics on the training dataset relative to the testing dataset. This observation underscores the effectiveness of oversampling techniques in mitigating overfitting by enhancing the model's ability to generalize to unseen data.

Comparing the dynamic ensemble ~~and RF models~~ model with the other classification models ~~and oversampling techniques~~, it becomes evident that the dynamic ensemble model with K-Mean SMOTE ~~and the RF model with K-Mean SMOTE~~ consistently outperformed the rest, ~~showeasing~~ highlighting their effectiveness in ~~accurate landslide prediction~~ accurately predicting landslides.

These findings underscore the ~~significance~~ importance of carefully selecting appropriate ML models and employing suitable oversampling techniques to address the class imbalance challenge in ~~landslide~~ soil movement prediction. They provide valuable insights into the performance and suitability of these models and techniques for enhancing landslide prediction accuracy, ~~thereby~~ ultimately enabling proactive measures to mitigate landslide risks.

Table 5. The results of the ML models from training dataset.

In Fig. 2, we juxtaposed the performance metrics obtained using K-Means SMOTE against those obtained without oversampling across various machine learning models. In Fig. 2, the blue bars represent the F1 score achieved with K-Means SMOTE (oversampling), while the orange bars represent the F1 score without oversampling. Notably, when comparing the performance in the test dataset using the F1 score metric, the oversampling dataset generated with K-Means SMOTE consistently yielded superior results compared to the without oversampling approach. For instance, in the case of the AdaBoost model, K-Means SMOTE resulted in an F1 score of 0.412 for the without oversampling technique, whereas it achieved an F1 score of 0.445 for K-Means SMOTE. Similarly, in the XGBoost model, the F1 score improved from 0.447 without oversampling to 0.842 with K-Means SMOTE. This trend persisted across various other models such as Light GBM, CatBoost, RF, MLP, LSTM, and Dynamic Ensemble, where K-Means SMOTE consistently demonstrated superior

performance in terms of F1 score compared to without oversampling. These results underscore the effectiveness of K-Means SMOTE in enhancing the predictive performance of ML models for soil movement prediction tasks.

Figure 3 illustrates the confusion matrix depicting the performance of the Dynamic Ensemble model on both the training and testing datasets, utilizing the K-Mean SMOTE oversampling technique. The confusion matrix provides a comprehensive overview of the model's classification accuracy by presenting the true and predicted labels across different classes. The Dynamic Ensemble model demonstrates robust performance in the training dataset, as evidenced by the high counts along the diagonal, indicating a substantial number of correct predictions across all classes. Similarly, in the testing dataset, the model maintains its efficacy, with the majority of samples correctly classified across various classes.

Table 6. Results of ML models obtained from the training dataset using 5-fold cross-validation in method 5-CV.

Model	Oversampling Technique	Accuracy (in %)					Precision (in %)	Recall (in %)	F1 Score
		C0	C1	C2	C3	Overall			
AdaBoost	SMOTE	71.450 942	71.410 562	71.450 640	0.71428 17	0.747	0.748	0.747	0.747
	K-Means SMOTE	71.130 948	71.070 760	71.130 675	0.71058 55	0.807	0.809	0.807	0.806
	Borderline SMOTE	77.540 919	77.480 565	77.540 667	0.77488 15	0.740	0.741	0.740	0.740
	ADASYN	72.970 934	72.910 552	72.970 649	0.72767 98	0.740	0.741	0.740	0.740
	Without Oversampling	97.870 995	97.730 250	97.870 243	0.97793 41	0.980	0.575	0.465	0.506
	CatBoost XGBoost	SMOTE	99.850 995	99.850 999	99.850 999	0.99859 97	0.998	0.998	0.998
K-Means SMOTE		99.860 997	99.860 999	99.860 999	0.99869 98	0.999	0.999	0.999	0.999
Borderline SMOTE		99.780 996	99.780 999	99.780 999	0.99789 98	0.998	0.998	0.998	0.998
ADASYN		99.850 994	99.850 999	99.850 999	0.99859 97	0.998	0.998	0.998	0.998
Without Oversampling		99.721 000	99.720 995	99.720 953	0.99719 06	0.999	0.999	0.971	0.985
LightGBM		SMOTE	99.970 984	99.970 994	99.970 999	0.99979 88	0.991	0.991	0.991
	K-Means SMOTE	99.980 991	99.980 998	99.980 998	0.99989 96	0.996	0.996	0.996	0.996
	Borderline SMOTE	99.980 985	99.980 999	99.980 999	0.99989 95	0.995	0.995	0.995	0.995
	ADASYN	99.970 983	99.970 994	99.970 998	0.99979 87	0.991	0.991	0.991	0.991
	Without Oversampling	99.991 000	99.991 000	99.991 000	0.99999 76	0.994	0.999	0.999	0.996
	CatBoost LightGBM	SMOTE	99.870 990	99.870 999	99.870 999	0.99879 97	0.997	0.997	0.997
K-Means SMOTE		99.910 991	99.910 999	99.910 999	0.99919 97	0.997	0.997	0.997	0.997
Borderline SMOTE		99.970 992	99.970 999	99.970 999	0.99979 97	0.997	0.997	0.997	0.997

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	ADASYN	<u>99.880</u> 991	<u>99.880</u> 999	<u>99.880</u> 999	<u>0.99889</u> 97	<u>0.996</u>	<u>0.996</u>	<u>0.996</u>	<u>0.996</u>
	Without Oversampling	<u>99.870</u> 999	<u>99.870</u> 924	<u>99.870</u> 916	<u>0.99877</u> 35	<u>0.997</u>	<u>0.997</u>	<u>0.903</u>	<u>0.946</u>
RF	SMOTE	<u>1000.92</u> 0	<u>1000.89</u> 2	<u>1000.95</u> 1	<u>10.905</u>	<u>0.921</u>	<u>0.923</u>	<u>0.921</u>	<u>0.922</u>
	K-Means SMOTE	<u>1000.92</u> 0	<u>1000.92</u> 1	<u>1000.95</u> 2	<u>10.902</u>	<u>0.925</u>	<u>0.928</u>	<u>0.925</u>	<u>0.926</u>
	Borderline SMOTE	<u>1000.94</u> 8	<u>1000.96</u> 9	<u>1000.98</u> 8	<u>10.959</u>	<u>0.967</u>	<u>0.967</u>	<u>0.967</u>	<u>0.967</u>
	ADASYN	<u>1000.92</u> 1	<u>1000.89</u> 8	<u>1000.94</u> 5	<u>10.899</u>	<u>0.915</u>	<u>0.917</u>	<u>0.915</u>	<u>0.915</u>
	Without Oversampling	<u>1001.00</u> 0	<u>1000.70</u> 1	<u>1000.68</u> 2	<u>10.537</u>	<u>0.992</u>	<u>0.995</u>	<u>0.742</u>	<u>0.841</u>
MLP	SMOTE	<u>90.320</u> 959	<u>90.490</u> 976	<u>90.320</u> 997	<u>0.90289</u> 52	<u>0.961</u>	<u>0.961</u>	<u>0.961</u>	<u>0.961</u>
	K-Means SMOTE	<u>60.160</u> 940	<u>73.240</u> 996	<u>60.160</u> 984	<u>0.57859</u> 57	<u>0.974</u>	<u>0.974</u>	<u>0.974</u>	<u>0.974</u>
	Borderline SMOTE	<u>95.660</u> 968	<u>95.720</u> 974	<u>95.660</u> 989	<u>0.95669</u> 13	<u>0.964</u>	<u>0.964</u>	<u>0.964</u>	<u>0.964</u>
	ADASYN	<u>88.540</u> 929	<u>88.810</u> 975	<u>88.540</u> 981	<u>0.88549</u> 84	<u>0.961</u>	<u>0.961</u>	<u>0.961</u>	<u>0.961</u>
	Without Oversampling	<u>97.810</u> 997	<u>96.430</u> 016	<u>97.810</u> 000	<u>0.96940</u> 56	<u>0.980</u>	<u>0.693</u>	<u>0.336</u>	<u>0.381</u>
LSTM	SMOTE	<u>68.730</u> 882	<u>68.810</u> 841	<u>68.730</u> 881	<u>0.67998</u> 96	<u>0.875</u>	<u>0.884</u>	<u>0.875</u>	<u>0.877</u>
	K-Means SMOTE	<u>79.510</u> 980	<u>79.890</u> 996	<u>79.510</u> 992	<u>0.79599</u> 68	<u>0.984</u>	<u>0.984</u>	<u>0.984</u>	<u>0.984</u>
	Borderline SMOTE	<u>86.400</u> 946	<u>86.660</u> 954	<u>86.400</u> 997	<u>0.86279</u> 65	<u>0.966</u>	<u>0.966</u>	<u>0.966</u>	<u>0.966</u>
	ADASYN	<u>77.470</u> 955	<u>77.720</u> 979	<u>77.470</u> 997	<u>0.77369</u> 55	<u>0.971</u>	<u>0.971</u>	<u>0.971</u>	<u>0.971</u>
	Without Oversampling	<u>97.880</u> 999	<u>95.800</u> 859	<u>97.870</u> 925	<u>0.96837</u> 00	<u>0.995</u>	<u>0.979</u>	<u>0.871</u>	<u>0.919</u>
Dynamic Ensemble	SMOTE	<u>99.940</u> 992	<u>99.940</u> 999	<u>99.940</u> 999	<u>0.99949</u> 99	<u>0.997</u>	<u>0.997</u>	<u>0.997</u>	<u>0.997</u>
	K-Means SMOTE	<u>99.960</u> 994	<u>99.960</u> 999	<u>99.960</u> 999	<u>0.99969</u> 99	<u>0.998</u>	<u>0.998</u>	<u>0.998</u>	<u>0.998</u>
	Borderline SMOTE	<u>99.970</u> 997	<u>99.970</u> 999	<u>99.970</u> 999	<u>0.99979</u> 98	<u>0.998</u>	<u>0.998</u>	<u>0.998</u>	<u>0.998</u>
	ADASYN	<u>99.930</u> 992	<u>99.930</u> 999	<u>99.930</u> 999	<u>0.99939</u> 98	<u>0.997</u>	<u>0.997</u>	<u>0.997</u>	<u>0.997</u>
	Without Oversampling	<u>99.991</u> 000	<u>99.990</u> 951	<u>99.990</u> 944	<u>0.99997</u> 70	<u>0.997</u>	<u>0.999</u>	<u>0.916</u>	<u>0.954</u>

Table 6. The results of the ML models obtained from the testing dataset in method 5-CV.

Model	Oversampling Technique	Accuracy (in %)					Precision (in %)	Recall (in %)	F1_Score
		C0	C1	C2	C3	Overall			
AdaBoost	SMOTE	<u>71.570</u> 939	<u>71.540</u> 548	<u>71.570</u> 436	<u>0.71557</u> 63	<u>0.932</u>	<u>0.383</u>	<u>0.671</u>	<u>0.442</u>
	K-Means SMOTE	<u>71.030</u> 946	<u>70.890</u> 583	<u>71.030</u> 436	<u>0.70916</u> 81	<u>0.939</u>	<u>0.382</u>	<u>0.662</u>	<u>0.445</u>

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	Borderline SMOTE	<u>77.650</u> 917	<u>77.570</u> 595	<u>77.650</u> 462	<u>0.77577</u> 56	<u>0.911</u>	<u>0.374</u>	<u>0.682</u>	<u>0.423</u>
	ADASYN	<u>72.830</u> 995	<u>72.770</u> 226	<u>72.830</u> 205	<u>0.72632</u> 30	<u>0.978</u>	<u>0.514</u>	<u>0.414</u>	<u>0.447</u>
	Without Oversampling	<u>97.590</u> 931	<u>97.440</u> 524	<u>97.590</u> 436	<u>0.97496</u> 81	<u>0.924</u>	<u>0.360</u>	<u>0.643</u>	<u>0.412</u>
XGBoost CatBoost	SMOTE	<u>99.560</u> 991	<u>99.560</u> 976	<u>99.560</u> 974	<u>0.99568</u> 37	<u>0.989</u>	<u>0.774</u>	<u>0.945</u>	<u>0.846</u>
	K-Means SMOTE	<u>99.570</u> 993	<u>99.570</u> 952	<u>99.570</u> 949	<u>0.99577</u> 85	<u>0.990</u>	<u>0.787</u>	<u>0.920</u>	<u>0.842</u>
	Borderline SMOTE	<u>99.500</u> 994	<u>99.500</u> 905	<u>99.500</u> 769	<u>0.99507</u> 33	<u>0.990</u>	<u>0.803</u>	<u>0.850</u>	<u>0.823</u>
	ADASYN	<u>99.580</u> 990	<u>99.580</u> 988	<u>99.580</u> 974	<u>0.99578</u> 30	<u>0.988</u>	<u>0.761</u>	<u>0.946</u>	<u>0.837</u>
	Without Oversampling	<u>98.140</u> 996	<u>97.850</u> 250	<u>98.140</u> 026	<u>0.97873</u> 33	<u>0.980</u>	<u>0.553</u>	<u>0.401</u>	<u>0.447</u>
	Light GBM XGB Boost	SMOTE	<u>99.630</u> 983	<u>99.630</u> 905	<u>99.630</u> 974	<u>0.99637</u> 48	<u>0.980</u>	<u>0.656</u>	<u>0.903</u>
K-Means SMOTE		<u>99.620</u> 984	<u>99.620</u> 917	<u>99.620</u> 872	<u>0.99627</u> 04	<u>0.980</u>	<u>0.654</u>	<u>0.869</u>	<u>0.737</u>
Borderline SMOTE		<u>99.570</u> 990	<u>99.570</u> 738	<u>99.570</u> 667	<u>0.99576</u> 37	<u>0.983</u>	<u>0.695</u>	<u>0.758</u>	<u>0.720</u>
ADASYN		<u>99.640</u> 981	<u>99.640</u> 917	<u>99.640</u> 974	<u>0.99647</u> 41	<u>0.978</u>	<u>0.638</u>	<u>0.903</u>	<u>0.735</u>
Without Oversampling		<u>98.090</u> 996	<u>97.630</u> 214	<u>98.090</u> 205	<u>0.97753</u> 26	<u>0.980</u>	<u>0.547</u>	<u>0.435</u>	<u>0.472</u>
CatBoost Light GBM		SMOTE	<u>99.550</u> 986	<u>99.550</u> 964	<u>99.550</u> 974	<u>0.99558</u> 52	<u>0.984</u>	<u>0.705</u>	<u>0.944</u>
	K-Means SMOTE	<u>99.600</u> 988	<u>99.600</u> 952	<u>99.600</u> 974	<u>0.99608</u> 15	<u>0.986</u>	<u>0.726</u>	<u>0.932</u>	<u>0.810</u>
	Borderline SMOTE	<u>99.540</u> 990	<u>99.540</u> 798	<u>99.540</u> 641	<u>0.99546</u> 89	<u>0.984</u>	<u>0.720</u>	<u>0.779</u>	<u>0.743</u>
	ADASYN	<u>99.580</u> 987	<u>99.580</u> 988	<u>99.580</u> 974	<u>0.99588</u> 59	<u>0.985</u>	<u>0.722</u>	<u>0.952</u>	<u>0.814</u>
	Without Oversampling	<u>98.000</u> 997	<u>97.590</u> 226	<u>98.000</u> 179	<u>0.97703</u> 11	<u>0.981</u>	<u>0.611</u>	<u>0.428</u>	<u>0.487</u>
	RF	SMOTE	<u>99.520</u> 988	<u>99.530</u> 988	<u>99.520</u> 974	<u>0.99529</u> 70	<u>0.988</u>	<u>0.763</u>	<u>0.980</u>
K-Means SMOTE		<u>99.640</u> 995	<u>99.640</u> 917	<u>99.640</u> 821	<u>0.99648</u> 67	<u>0.993</u>	<u>0.885</u>	<u>0.900</u>	<u>0.889</u>
Borderline SMOTE		<u>99.580</u> 991	<u>99.580</u> 976	<u>99.580</u> 974	<u>0.99589</u> 56	<u>0.991</u>	<u>0.801</u>	<u>0.974</u>	<u>0.875</u>
ADASYN		<u>99.540</u> 989	<u>99.540</u> 988	<u>99.540</u> 974	<u>0.99539</u> 78	<u>0.988</u>	<u>0.757</u>	<u>0.982</u>	<u>0.848</u>
Without Oversampling		<u>98.090</u> 998	<u>97.670</u> 190	<u>98.090</u> 051	<u>0.97632</u> 89	<u>0.980</u>	<u>0.676</u>	<u>0.382</u>	<u>0.440</u>
MLP		SMOTE	<u>89.890</u> 958	<u>90.071</u> 000	<u>89.891</u> 000	<u>0.89859</u> 48	<u>0.958</u>	<u>0.554</u>	<u>0.977</u>
	K-Means SMOTE	<u>59.840</u> 965	<u>73.340</u> 988	<u>59.840</u> 974	<u>0.57548</u> 30	<u>0.964</u>	<u>0.578</u>	<u>0.939</u>	<u>0.689</u>
	Borderline SMOTE	<u>95.440</u> 937	<u>95.530</u> 750	<u>95.440</u> 641	<u>0.95456</u> 59	<u>0.932</u>	<u>0.444</u>	<u>0.747</u>	<u>0.518</u>
	ADASYN	<u>88.190</u> 927	<u>88.441</u> 000	<u>88.190</u> 974	<u>0.88189</u> 63	<u>0.928</u>	<u>0.554</u>	<u>0.966</u>	<u>0.652</u>

	Without Oversampling	97.640 995	96.000 012	97.640 026	0.96700 15	0.974	0.380	0.262	0.270
LSTM	SMOTE	69.020 878	69.090 774	69.040 897	0.68298 15	0.877	0.451	0.841	0.522
	K-Means SMOTE	78.560 981	78.980 869	78.550 923	0.78647 63	0.977	0.693	0.884	0.766
	Borderline SMOTE	86.230 948	86.520 917	86.221 000	0.86099 19	0.948	0.527	0.946	0.636
	ADASYN	76.780 953	77.060 952	76.771 000	0.76649 11	0.953	0.552	0.954	0.661
	Without Oversampling	97.790 996	95.630 488	97.780 667	0.96694 15	0.985	0.804	0.642	0.704
Dynamic Ensemble	SMOTE	99.580 978	99.580 999	99.580 999	0.99589 97	0.994	0.994	0.994	0.994
	K-Means SMOTE	99.680 999	99.681 000	99.680 979	0.99681 000	0.995	0.995	0.995	0.995
	Borderline SMOTE	99.550 982	99.550 999	99.550 999	0.99559 97	0.995	0.995	0.995	0.995
	ADASYN	99.580 979	99.580 999	99.580 999	0.99589 97	0.994	0.994	0.994	0.994
	Without Oversampling	98.290 998	97.830 167	98.290 128	0.97832 96	0.981	0.646	0.397	0.462

46

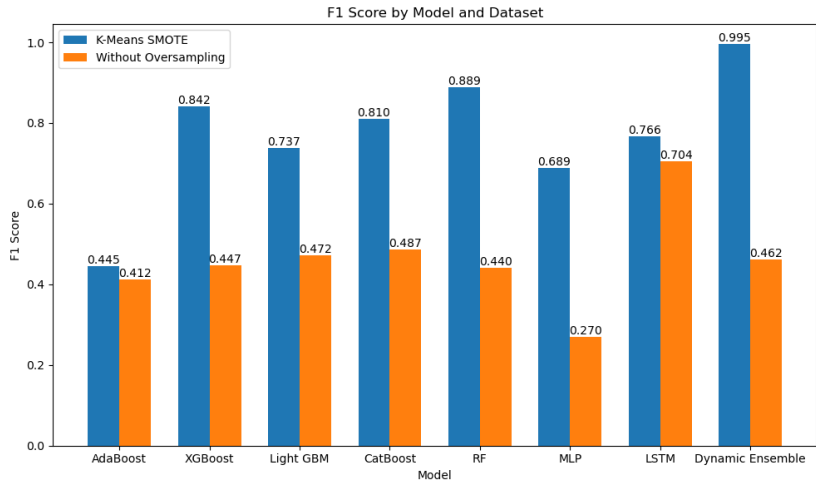


Figure 2: Comparison of F1 Score performance between K-Means SMOTE and without oversampling techniques across various ML models for soil movement prediction in testing. Blue bars represent F1 scores achieved with K-Means SMOTE, while orange bars represent F1 scores obtained without oversampling.

47

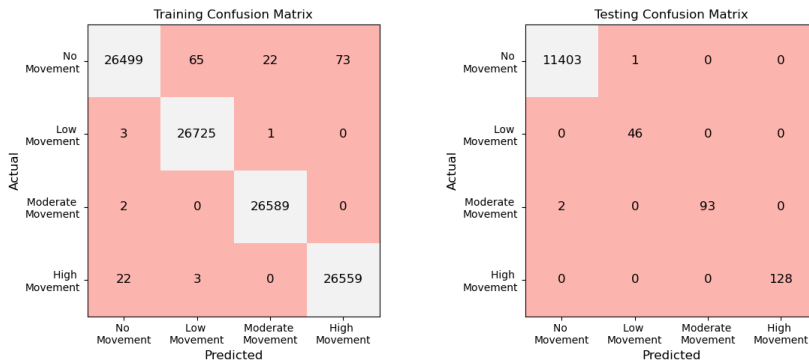


Figure 3: Confusion matrix depicting the performance of the Dynamic Ensemble model on the training and testing datasets using K-Mean SMOTE oversampling technique.

7. Discussion and Conclusions

In conclusion, the threat posed by landslides pose significant threats to lives and properties, necessitating the development of effective landslide prediction frameworks. While IoT devices have been used to notify people in advance about potential landslides, modeling, although modelling the chaotic nature of natural data remains a challenge. The analyzed dataset used in the analysis described above shows a significant class imbalance, with the minority classes representing only 2% of the data while the majority class accounts for 98% of the samples. This substantial disparity in sample distribution poses challenges in analysis and modeling, requiring careful consideration and appropriate techniques to address the class imbalance issue.

To address the class imbalance, various oversampling techniques such as SMOTE, Borderline SMOTE, and ADASYN were employed. ADASYN, which focuses on the classification minority class boundary of the minority class, was particularly effective in generating synthetic data points and improving the balance of the class distribution.

Multiple classification models were evaluated for predicting soil movement, including ADABOOST, XGBOOST, Light GBM, CatBoost, RF, MLP, LSTM, and a dynamic ensemble of ADABOOST, XGBOOST, Light GBM, CatBoost, and RF. The hyperparameters of each model were optimized using a grid search approach. The dynamic ensemble with K-Mean SMOTE and RF with K-Mean SMOTE emerged as the top-performing models, with dynamic ensemble achieving slightly higher accuracy.

The combination of K-Mean SMOTE oversampling with the dynamic ensemble model yielded the highest accuracy, precision, and recall of 99.68% and an F1 score of 0.9968 in predicting soil movement. These results demonstrate the effectiveness of oversampling techniques and the dynamic ensemble model for addressing class imbalance and improving the accuracy of landslide prediction.

73 This study highlights the importance of preprocessing, class labeling, addressing class imbalance, and
74 selecting appropriate classification models in predicting soil movement. The findings can contribute to a better
75 understanding and mitigation of landslide risks, ultimately aiding in developing effective preventive measures.

76 There are several limitations to consider in this study. Firstly, the findings may not be directly applicable to
77 other regions or geological conditions due to the specific dataset used. Secondly, while oversampling techniques
78 and 5-CV were employed to address class imbalance, the synthetic data points may not fully capture the
79 complexity of real-world landslide occurrences. Additionally, the choice of classification models and
80 hyperparameter settings may introduce bias and alternative configurations could yield optimized parameters
81 of each model. Within the 5-CV framework, the parameter analysis was conducted on each fold treated as an
82 independent dataset, allowing for a comprehensive assessment of parameter variability across different results.
83 The study also relied on historical data, potentially limiting its ability to account for future changes. Finally,
84 factors such as rainfall intensity, seismic activity, and human influences were not fully captured, suggesting the
85 need for further research to enhance landslide prediction accuracy dataset splits. This approach facilitated the
86 identification of optimal parameter configurations that yielded consistent performance across diverse dataset
87 distributions. By treating each fold as an independent dataset, the parameter analysis provided insights into the
88 variability of parameter values, thereby enhancing our understanding of how the models generalize to unseen
89 data.

90 In future work, we plan to evaluate the ML models' training results highlight oversampling's significant
91 impact on model performance. The dynamic ensemble model, particularly when coupled with K-Means
92 SMOTE, emerges as the standout performer in the training phase. This model demonstrates superior predictive
93 capabilities by achieving remarkable accuracy, precision, recall, and F1 scores of 0.998, 0.998, 0.998, and 0.998,
94 respectively.

95 Furthermore, these models were tested to assess their ability to generalize well to unseen data. The testing
96 results showcased the dynamic ensemble model with K-Means SMOTE as the top performer, achieving an
97 outstanding accuracy of 0.995, precision of 0.995, recall of 0.995, and an F1 score of 0.995. This confirms that
98 the exceptional performance observed in training extends to the testing phase, emphasizing the robustness and
99 reliability of the dynamic ensemble approach with K-Means SMOTE. Moreover, the dynamic ensemble model
00 incorporating Borderline SMOTE emerges as the second-best model in the test phase, showcasing high
01 accuracy, precision, and recall rates of 0.995, 0.995, and 0.995, respectively, along with an F1 score of 0.995.
02 This result reinforces the reliability and robustness of the model in tackling landslide prediction tasks.

03 The superior performance of encoder-decoder the K-Means SMOTE technique can be attributed to its ability
04 to identify clusters within the minority class and generate synthetic samples that maintain the underlying
05 structure of the data. By considering the IR, K-Means SMOTE ensures a balanced representation of classes in
06 the synthetic samples, contributing to improved model generalization and predictive accuracy. Furthermore, the
07 lack of oversampling adversely affected both training and testing performances. The models faced challenges
08 in learning and generalizing from the imbalanced dataset without a balanced representation.

09 On the other hand, the success of the dynamic ensemble model, comprising AdaBoost, XGBoost, Light
10 GBM, CatBoost, and RF, can be attributed to the complementary strengths of these diverse algorithms.
11 Ensemble methods leverage the collective decision-making power of multiple models, each capturing different
12 aspects of the underlying data patterns. The combination of boosting algorithms like AdaBoost, gradient
13 boosting methods like XGBoost, tree-based models like Light GBM and CatBoost, and the robustness of RF
14 creates a robust and versatile ensemble that excels in handling various aspects of the dataset, contributing to its
15 overall superior performance.

16 In summary, the findings underscore the critical role of oversampling techniques, especially K-Means
17 SMOTE, in enhancing the predictive performance of landslide prediction models. The success of the dynamic
18 ensemble model further highlights the importance of ensemble techniques in aggregating diverse model
19 predictions for improved accuracy.

20 The superior performance demonstrated by oversampling techniques compared to without oversampling can
21 be attributed to several factors. Firstly, oversampling techniques address class imbalance by generating synthetic
22 samples for minority classes, thus providing the model with more representative training data. This allows the

ML model to learn the underlying patterns of the minority class more effectively, leading to improved classification performance. Additionally, oversampling techniques help reduce the risk of overfitting by providing a more balanced representation of the dataset, enhancing the model's ability to generalize to unseen data. Moreover, by increasing the diversity of the training data, oversampling techniques enable the model to capture a wider range of variation within the dataset, resulting in better generalization performance. Overall, using oversampling techniques ensures that the ML model is better equipped to handle imbalanced datasets, leading to enhanced predictive performance in soil movement prediction tasks.

Furthermore, the parameter analysis reveals that oversampling techniques add generalized information to the dataset, making it more consistent across different datasets. This reduced variability in the dataset allows ML models to learn these generalized patterns more effectively. As evident in the parameter analysis results, oversampling techniques lead to smaller stdev in parameter values across different models, indicating improved consistency and generalization. This further supports the notion that oversampling techniques help mitigate overfitting and enhance the overall performance of ML models in soil movement prediction tasks.

Despite these achievements, it is crucial to acknowledge the study's limitations. The generalizability of the findings to different geological conditions or regions may be restricted due to the specificity of the dataset. While effective, the synthetic data points generated through oversampling may only capture part of the complexity inherent in real-world landslide occurrences. The choice of classification models and parameter settings introduces a level of bias, with alternative configurations potentially yielding different results. Additionally, relying on historical data may limit the model's ability to account for future changes or unforeseen events, such as changes in rainfall intensity, seismic activity, or human influences.

In future work, the exploration of encoder-decoder or transformer models on the class-imbalanced movement dataset is planned. These models have demonstrated known success in sequence-to-sequence tasks and could potentially improve classification accuracy and address class imbalance challenges. This avenue of experimentation will aim to provide valuable insights into the suitability of advanced models for analyzing and modeling imbalanced movement data.

To sum up, the study contributes to understanding landslide risks and supports the development of effective preventive measures. The combination of robust oversampling techniques, ensemble modelling, and a systematic approach to parameter tuning yields a promising framework for accurate landslide prediction. The work presented lays the groundwork for future research to refine models and address the inherent challenges in landslide prediction tasks.

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