

# Reviews and syntheses: Recent advances in microwave remote sensing in support terrestrial carbon cycle science of arctic-boreal regions

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15 **Abstract.** Spaceborne microwave remote sensing (300 MHz – 100 GHz) provides a valuable method for characterizing environmental changes, especially in arctic-boreal regions (ABR) where ground observations are generally spatially and temporally scarce. Although direct measurements of carbon fluxes are not feasible, spaceborne microwave radiometers and radar can monitor various important surface and near-surface variables that affect terrestrial carbon cycle processes such as respiratory carbon dioxide (CO<sub>2</sub>) fluxes, photosynthetic CO<sub>2</sub> uptake, and processes related to net methane (CH<sub>4</sub>) exchange including CH<sub>4</sub> production, transport, and consumption. Examples of such controls include soil moisture and temperature, surface freeze/thaw cycles, vegetation water storage, snowpack properties and land cover. Microwave remote sensing also provides a means for independent aboveground biomass estimates that can be used to estimate aboveground carbon stocks. The microwave data record spans multiple decades going back to the 1970s with frequent (daily to weekly) global coverage independent of atmospheric conditions and solar illumination. Collectively, these advantages hold substantial untapped potential to monitor and better understand carbon cycle processes across the ABR. Given rapid climate warming across the ABR and the associated carbon cycle feedbacks to the global climate system, this review argues for the importance of rapid integration of microwave information into ABR terrestrial carbon cycle science.

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**Keywords:** Microwave remote sensing, Carbon cycle science, Carbon dioxide, Methane, Arctic-boreal regions

## 1 Introduction

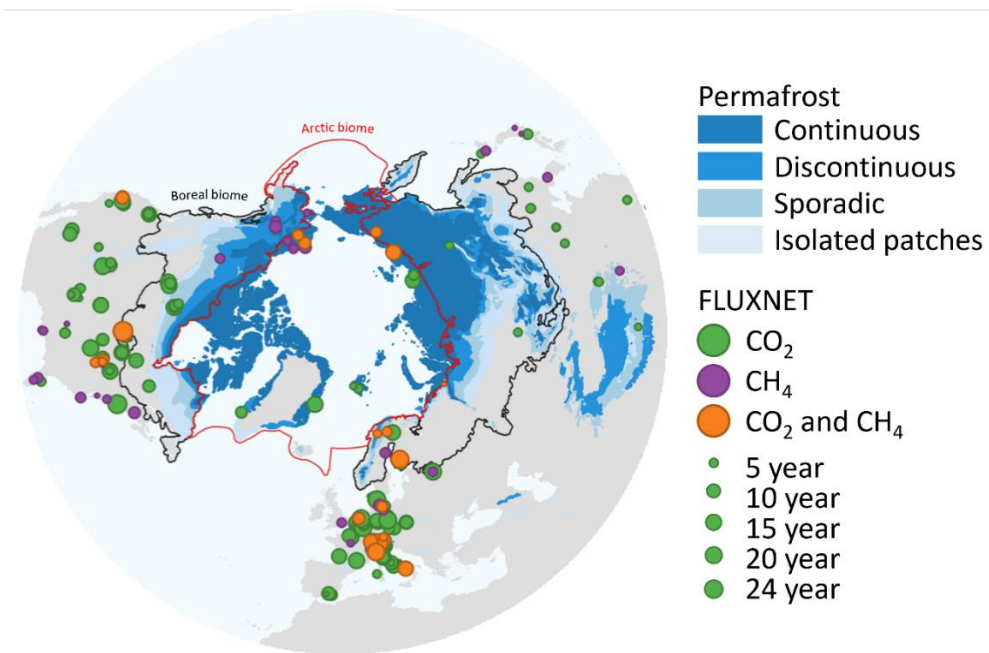
Northern regions host two important terrestrial biomes, the boreal and the Arctic (hereafter called arctic-boreal regions; ABR). Belowground carbon (C) stocks in the ABR comprise 30-40% of the planetary

terrestrial carbon and as such, understanding changes in carbon cycle processes in this vast region has global importance (Pan et al., 2011; Tarnocai et al., 2009). Arctic-boreal regions store substantial quantities of belowground C due to their inherently slow decomposition rates, largely attributable to cold temperatures (Ravn et al., 2020). A large portion of the ABR is underlain by permafrost (perennially frozen ground), which  
40 contains approximately half of the world's belowground C stocks (1672 Pg C in the top three meters of soil; Tarnocai et al., 2009; van Huissteden and Dolman, 2012). In contrast, C stocks in above-ground biomass in the ABR are relatively trivial. For example, although the boreal biome constitutes the second-largest terrestrial biome with a third of the world's forested area, it contains only approximately 15 % of the global forest aboveground biomass (FAO, 2001; Pan et al., 2011 and 2013; Carreiras et al., 2017) but 32 % of the  
45 global forest carbon stocks (Pan et al., 2011).

Above- and belowground C pools in the ABR are vulnerable to climate change (Grosse et al., 2011). Arctic-boreal regions are warming at a disproportional rate compared to the rest of the planet with potential feedbacks to the global climate system (Box et al., 2019; Derksen et al., 2019; IPCC, 2019; Rantanen et al.,  
50 2022). In conjunction with warming temperatures, the ABR is experiencing altered precipitation regimes (Callaghan et al., 2011; Bokhorst et al. 2016; Dolant et al., 2018), permafrost thawing and deepening of the hydrologically and biogeochemically active layer (Liljedahl et al., 2016; Miner et al., 2022), spatially variable vegetation responses associated with 'greening' and 'browning' (i.e., increasing and decreasing productivity, respectively; Sulla-Menashe et al., 2018; Myers-Smith et al., 2020), earlier spring thaw, later freeze-up and  
55 lengthening of the growing season (Kimball et al., 2004a; Euskirchen et al., 2006; Kim et al., 2012), modifications of land cover (Wang et al., 2020), and intensifying disturbance regimes such as fire, drought, pervasive insect infestation and disease (Peng et al., 2011; Yi et al., 2013; Foster et al., 2022). Although ongoing warming has the potential to enhance photosynthesis and plant growth across the ABR, which would also increase above-ground C storage (Sturm et al., 2005; McMahon et al., 2010; Myers-Smith et al., 2020),  
60 the vegetation response to climate change is variable and complex. Furthermore, warmer air and soil temperatures enhance soil organic matter decomposition and subsequent release of carbon dioxide (CO<sub>2</sub>) via respiration (Schädel et al., 2016). Large uncertainties remain in terrestrial biosphere models used to estimate CO<sub>2</sub> and CH<sub>4</sub> fluxes in the ABR (Tei and Sugimoto, 2020; Fisher et al., 2018, including the amount of CO<sub>2</sub> and CH<sub>4</sub> released during winter (Natali et al., 2019; Zona et al., 2015). If increases in ecosystem respiration  
65 exceed those of photosynthetic CO<sub>2</sub> uptake from enhanced plant growth, the ABR may shift from a weak net CO<sub>2</sub> sink to a net CO<sub>2</sub> source, thereby generating a potentially non-negligible, positive feedback to the global climate system. This potential change underlines the importance of understanding changes in ABR C pools and fluxes (Hayes et al. 2011; Schuur et al., 2015; Gauthier et al., 2015; Virkkala et al., 2021).

70 The vastness and remoteness of ABR make *in situ* observations challenging and costly. For example, although FLUXNET, the global initiative of tower-based eddy covariance flux observations (Baldocchi et al., 2001; Pastorello et al., 2020), is the most broadly used reference for C flux measurements at the ecosystem

scale, ABR are notoriously underrepresented in this network (Fig. 1; Baldocchi et al., 2001; Pastorello et al., 2020; Pallandt et al., 2022). Satellite remote sensing and terrestrial biosphere models show promise for monitoring land surface-atmosphere interactions across ABR (Fisher et al., 2018; Lees et al., 2018). Although direct measurement of C fluxes is not yet possible through remote sensing, it is possible to use spaceborne sensors to monitor variables and ecosystem structural parameters that exert control over various ecosystem processes (Du et al., 2019). In the last decade, radiometers sensitive to wavelengths in the visible and infrared portions of the electromagnetic spectrum have been used widely to support C cycle science in the ABR (Turner et al., 2004; Mao et al., 2016, Lees et al., 2018, Xiao et al. 2019). Visible and infrared remote sensing observations have been used in C cycle science to map spectral vegetation indices as proxies for vegetation abundance and productivity (e.g., normalized difference vegetation index [NDVI]; Tucker, 1979; Lees et al., 2018; Du et al., 2019), forest disturbance (e.g., fire, tree mortality; Kim et al., 2012), land cover (Kimball et al., 2009), vegetation structure (e.g., light detection and ranging [LiDAR]; Xiao et al., 2019), snow cover extent (Hori et al., 2017), land surface temperature (Sitch et al., 2007; Xiao et al., 2019), albedo (Xiao et al., 2019), solar-induced chlorophyll fluorescence (Wohlfahrt et al., 2018; Magney et al., 2019), and atmospheric CO<sub>2</sub> concentration (Buchwitz et al., 2007; Tu et al., 2020; Lorente et al., 2021). However, spaceborne visible and infrared radiometers are affected by signal degradation from atmospheric effects, have minimal signal penetration depth in vegetation, and depend on solar illumination meaning they are restricted to daytime, clear sky observations (Kim et al., 2012).



**Figure 1:** Permafrost extent (Brown et al., 2002) and distribution of eddy covariance sites where ecosystem fluxes are monitored continuously (Baldocchi et al., 2001 and Pallandt et al., 2022; dot size represents the number of years of available data). The Arctic biome is delineated following the Conservation of Arctic Flora and Fauna working group of the Arctic Council and the boreal biome is delineated following Potapov et al. (2008). Permafrost extent is estimated in percent areal coverage: continuous (>90-100 % areal extent), discontinuous (>50-90 %), sporadic (10-50 %) and isolated patches (<10 %).

Spaceborne microwave remote sensing can be used in synergy with visible and infrared radiometers  
100 to maximize the benefits of a wider frequency span in the electromagnetic spectrum (Sitch et al., 2007;  
Kimball et al., 2009; Arslan et al., 2011; Kim et al., 2012; Xiao et al., 2019). Microwaves encompass  
electromagnetic radiation within the frequency range of 0.3–300 GHz, which corresponds to wavelengths  
from 1 mm to 1 m. Microwave remote sensing provides several advantages such as its relative insensitivity  
to atmospheric attenuation, cloud cover, and solar illumination at frequencies below 100 GHz, which is  
105 essential during winter months in regions undergoing polar night (Sitch et al., 2007; Du et al., 2019). The  
spatial resolution of microwave imagery ranges from coarse (several-kilometer scale) to fine (meter scale)  
with varying temporal resolutions that can reach more than one observation per day. Microwave remote  
sensing is particularly suitable for the ABR since the signal penetration depth range allows the retrieval of  
(1) volumetric information such as certain snow properties including density and microstructure (e.g., Nagler  
110 and Rott, 2000; Takala et al., 2011; Lievens et al., 2019) and vegetation optical depth (VOD), which relates  
to aboveground biomass and vegetation liquid water content (Konings et al., 2017 and 2019; Mialon et al.,  
2020), as well as (2) near-surface information on variables such as soil moisture (Kerr et al., 2012; Colliander  
et al. 2017) and freeze/thaw state (Kim et al., 2012; Roy et al., 2015; Rautiainen et al., 2016; Derksen et al.,  
2017; Prince et al., 2019) because of its sensitivity to liquid water.

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This paper aims to introduce to the C cycle science community the potential of spaceborne  
microwave remote sensing to help overcome some of the challenges specifically posed by the ABR for  
terrestrial C cycle science and monitoring. We focus on the main vertical C fluxes between the land surface  
and the atmosphere, specifically, respiratory CO<sub>2</sub> fluxes, photosynthetic CO<sub>2</sub> uptake, and processes related  
120 to net methane (CH<sub>4</sub>) fluxes governed by CH<sub>4</sub> production, transport, and consumption. After summarizing  
the principles of microwave remote sensing (2), we review how spaceborne microwave remote sensing can  
be exploited to help monitor key variables important for CO<sub>2</sub> and CH<sub>4</sub> fluxes in the ABR. These include soil  
moisture (3.1) and temperature (3.2), surface freeze/thaw status (3.3), aboveground biomass (3.4), vegetation  
water status (3.5), landcover (3.6) and snow cover (3.7). For each variable, we will first explain how the  
125 variable at least partially governs C exchanges, outline the potential of microwave remote sensing to monitor  
the key variable, and then presently available microwave remote sensing products related to each variable.

## 2 Principles of microwave remote sensing

Microwave remote sensing can be used passively, by measuring the natural microwave emission from  
the planetary surface (using radiometers; receiving only), or actively, by measuring the backscattering of a  
130 previously emitted signal (using radar instruments; emitting and receiving).

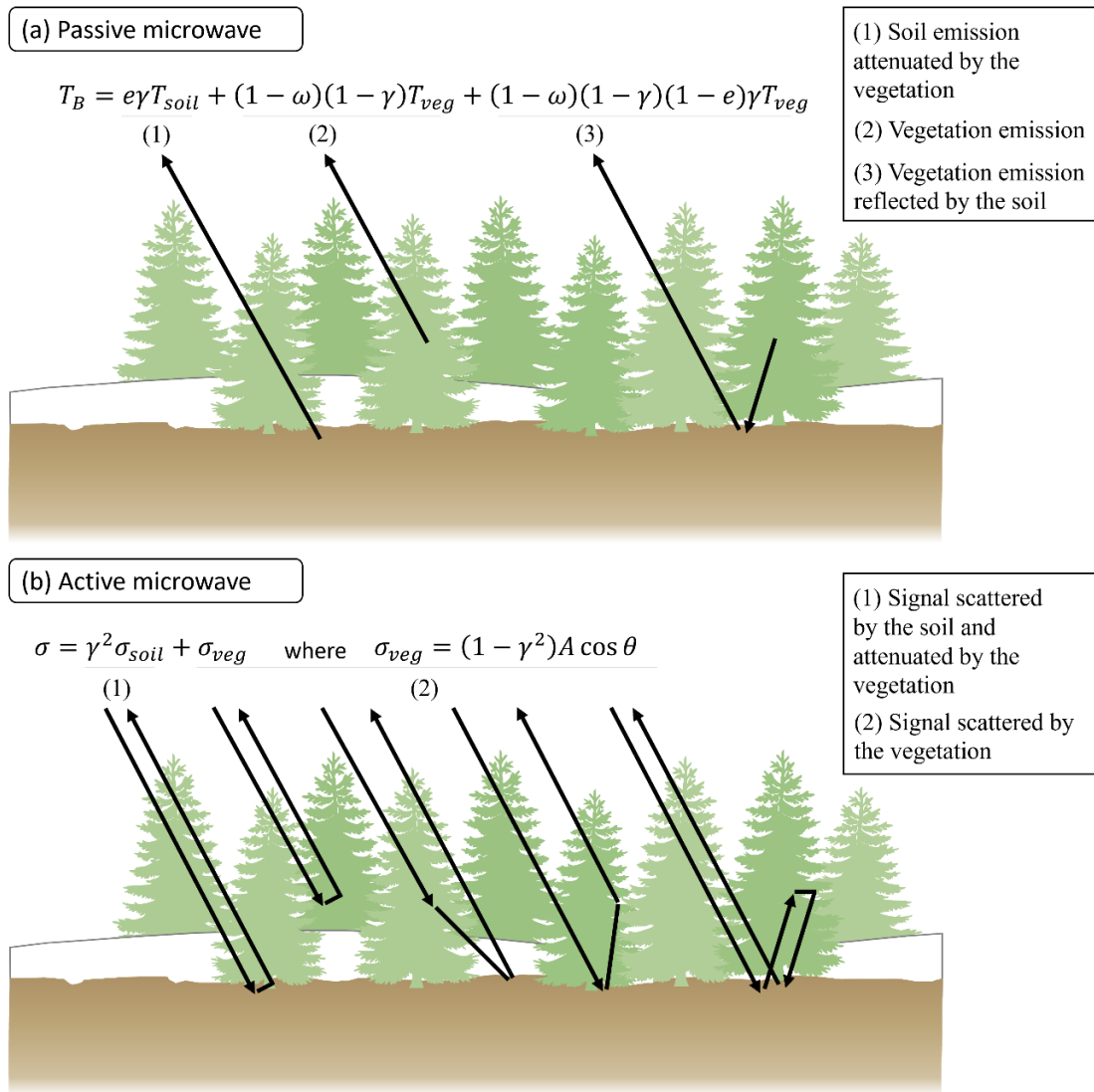
Radiometers measure the natural microwave emission coming from the planetary surface. This emission  
is quantified as the brightness temperature ( $T_B$ ; Fig. 2b), which corresponds to the temperature of a blackbody  
delivering the same luminance as the studied surface. Brightness temperature is related to the physical

135 temperature of a surface ( $T_B = e \cdot T$ ) through emissivity ( $e$ ; unitless). Emissivity is an inherent material  
property ranging from 0 for a perfectly non-emitting material, to 1 for a purely emitting material (blackbody).  
The microwave electric field generally displays a preferred orientation, called the microwave polarization,  
and is often related to the geometric structure of the source or target (Ulaby et al., 1982 and 1983). The spatial  
140 resolution of passive microwave radiometers is generally coarser than many visible and infrared radiometers  
owing to the longer wavelengths and practical restrictions of the receiving antenna dimensions on spacecraft  
(Jenson, 2006). Spaceborne passive microwave radiometers typically have spatial resolutions in the 10- to  
100-km range. Although passive microwave spatial resolutions are often too coarse to capture small-scale  
landscape heterogeneity (meter to kilometer scale), it is not an obstacle for regional or global applications of  
145 terrestrial biosphere models commonly run at spatial resolutions of several kilometers. The temporal  
resolution of most microwave instruments is generally less than 2-3 days, and in polar regions several  
overpasses a day may be achieved. The fine temporal resolution might be more critical for terrestrial  
biosphere models than good spatial resolution since computational power limits the spatial resolution of such  
models (Washington et al., 2009; Schär et al., 2020).

150 Radars emit electromagnetic waves to calculate the backscattering coefficient ( $\sigma$ ; Fig. 2b) of a target  
area from the power ratio between the emitted and returned pulse (Ulaby et al., 1982 and 1986). The return  
signal of a radar carries three main pieces of information, the magnitude of  $\sigma$ , the phase of the electromagnetic  
wave returning, and a delay between the emitted and received signal which is related to the distance between  
the radar and the target area. Scatterometers focus particularly on the magnitude of the backscattered signal  
155 by a medium to extract backscattering coefficient (Figa-Saldaña et al., 2002). Synthetic aperture radar (SAR)  
measures backscattering coefficients using a radar technique that can achieve spatial resolutions at a meter  
scale by combining scenes of the target area from multiple points of view (Tomiyasu, 1978; Balmer, 2000).  
Synthetic aperture radars achieve comparable resolutions to visible and infrared radiometers (i.e., below 10  
m of spatial resolution). However, radar transmitters are much more energy-consuming than radiometers  
160 because of the power requirement for emitting microwaves. Because of high energy demands, most SAR  
transmitters operate during a small fraction (1-30 %; Gasso et al., 2021; Leanza et al., 2019; Dubock et al.,  
2001) of their orbit around the earth. The lower the transmission time, the greater the duration between  
measurements at a given point, often several days (Marghany, 2019). This is currently being overcome via  
deployment of several satellites equipped with SAR instruments flying in tandem (Sentinel-1; Radarsat  
165 Constellation Mission).

Unlike visible and infrared remote sensing that are limited to information from the surface of any target,  
the longer wavelengths of microwave allow microwaves to penetrate vegetation, ground, and snowpack  
allowing subsurface measurements (Ulaby et al., 1986); the longer the microwave, the deeper the penetration  
170 depth. However, deeper penetration means that the received signal is a combination of different contributing  
components, i.e., usually vegetation, soil, snow, and atmosphere (Kerr et al., 2012, Roy et al., 2012 and

2014), challenging the interpretation of the signal. Consequently, microwave remote sensing is often used together with radiative transfer models to decouple and extract the information on terrestrial surface conditions from microwave observations. These models generally calculate the scattering, reflection, and attenuation of the electromagnetic waves of the different components of the surface (Fig. 2) (Mo et al., 1982; El-Rayes and Ulaby, 1987; Huang et al., 2017; Picard et al., 2018). By considering the contribution of each component of the surface (i.e., soil, vegetation and/or snow), radiative transfer models allow disentangling microwave signals to retrieve key surface state variables of interest (Wigneron et al., 2007).

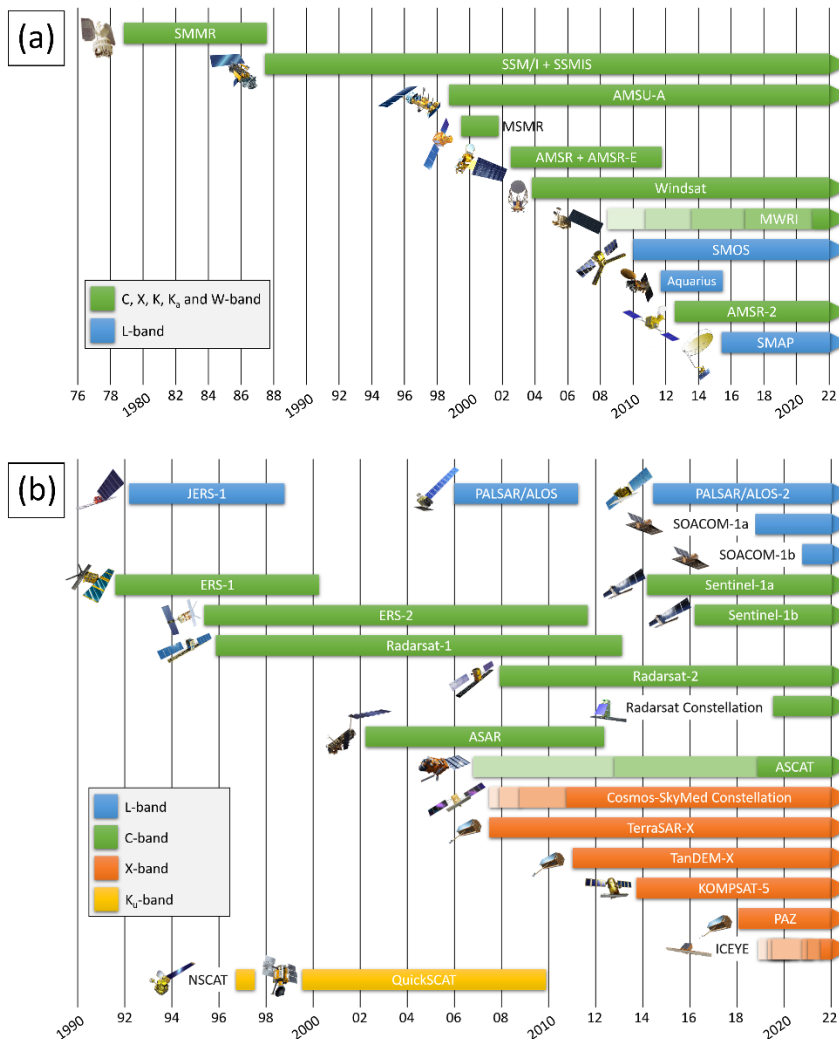


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**Figure 2:** Microwave signal decoupling through electromagnetic wave interaction with matter. (a) Mo et al., (1982) model for passive microwave with  $T_B$  representing the brightness temperature,  $e$  the soil emissivity,  $\gamma$  the vegetation attenuation,  $\omega$  the vegetation single-scattering albedo, and  $T$  the thermodynamic temperature of soil and vegetation (Mo et al., 1982). (b) water cloud model for active microwave with  $\sigma$  representing the backscattering coefficient,  $\gamma$  vegetation attenuation,  $A$  is a vegetation empirical parameter and  $\theta$  the radar incident angle (Attema and Ulaby, 1978). The  $\sigma_{veg}$  term is an approximation for the first-order vegetation scattering processes. Snow increases the complexity of the interaction between the microwave and the ground level because it is acting as an additional semi-opaque layer comparable to the vegetation layer. Snow and atmosphere are not accounted for in the equations presented.

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Multiple microwave radiometers have been launched in recent decades covering most of the microwave portion of the electromagnetic spectrum: L-band (1-2 GHz), C-band (4-8 GHz), X-band (8-12 GHz), K-band (18-26.5 GHz), K<sub>a</sub>-band (26.5-40 GHz) and W-band (75-110 GHz) (Table A1). There is a nearly continuous publicly available radiometric dataset from 1978 to the present covering the microwave bands from C-band to W-band (Fig. 3a). Additionally, in the last decade, L-band data have become accessible through the launch of the Soil Moisture Ocean Salinity mission (SMOS; Kerr et al., 2010), the Aquarius mission (Brucker et al., 2004) and the NASA (National Aeronautics and Space Administration) Soil Moisture Active Passive mission (SMAP; Entekhabi et al., 2010). An exhaustive list of radars would be too long to present here; instead we focus on a selection of recent radar missions (Fig. 3b). These spaceborne radars were selected for the purpose of the review to represent a range of frequencies and available temporal coverage.



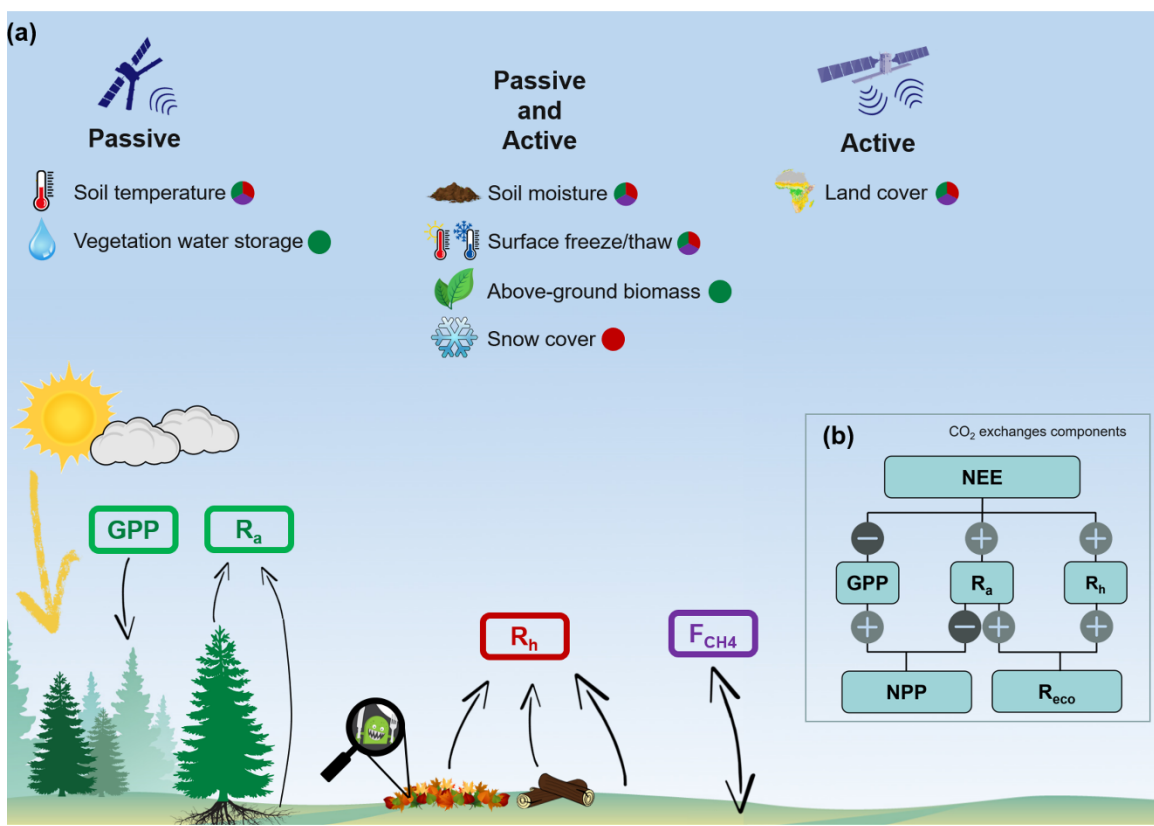
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**Figure 3:** a) Temporal coverage of spaceborne passive microwave instruments (radiometers between 1-100 GHz, sun-synchronous nearly polar orbits, more details in Table A1). b) Selected number of spaceborne radar missions (active sensors) covering the L-band (1-2 GHz), C-band (4-8 GHz), X-band (8-12 GHz) and K<sub>u</sub>-band (12-18 GHz). More details are provided in Table A2.

205 **3 Microwave remote sensing for retrieval of surface variables**

Although direct measurements of vertical C fluxes are not feasible through microwave remote sensing, it is possible to use spaceborne radiometers and radar to monitor key variables important for different C cycle processes (Fig. 4). Carbon dioxide and CH<sub>4</sub> fluxes, two of the most potent greenhouse gases, are continuously exchanged between the Earth's surface and the atmosphere. Carbon dioxide is absorbed through photosynthesis by vegetation and is released by plants and soil through respiration (i.e., autotrophic respiration, R<sub>a</sub>, and heterotrophic respiration, R<sub>h</sub>, respectively) (Chapin et al., 2006). In ecosystems, CH<sub>4</sub> is produced by methanogens under anaerobic conditions and consumed during oxidation by methanotrophs under aerobic conditions (Lai, 2009). Methane transport through the soil column and to the atmosphere occurs through diffusion, ebullition, and plant-mediated transport (Lai, 2009).

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220 **Figure 4:** a) Carbon dioxide and methane fluxes between the land surface and the atmosphere including gross primary production (GPP), heterotrophic respiration (R<sub>h</sub>), autotrophic respiration (R<sub>a</sub>), and net methane fluxes (F<sub>CH4</sub>) (units: gC m<sup>-2</sup> yr<sup>-1</sup>). The fluxes are color-coded (green: GPP and R<sub>a</sub>; red: R<sub>h</sub>; purple: F<sub>CH4</sub>) to match which relevant key variables important for CO<sub>2</sub> and CH<sub>4</sub> fluxes and derivable with microwave remote sensing, and b) relationships of net primary productivity and net ecosystem productivity (NEP = - net ecosystem exchange [NEE]), and their component fluxes with ecosystem respiration [ER] = R<sub>a</sub> + R<sub>h</sub>.



### 3.1 Soil moisture

225 In the ABR, water availability, and air and soil temperatures are considered important environmental  
constraints on photosynthesis and thus C sequestration (Lieffers and Rothwell, 1987; Angert et al., 2005;  
Jones et al., 2017). For example, both heat stress and drought can limit tree growth across latitudes in boreal  
forest stands (Walker et Johnstone, 2014; Sniderhan et al., 2021). Near-surface soil moisture also affects  
methanogenesis and methanotrophy, which depends strongly on oxygen availability (Lai, 2009).  
230 Environmental controls on soil respiration, i.e., the sum of belowground  $R_a$  and  $R_h$ , include soil moisture and  
temperature, which in the ABR are both influenced by permafrost and active (seasonally thawed) layer  
dynamics (Huntzinger et al., 2020). Hence, in a changing climate where soils across the ABR are generally  
expected to be drying (e.g., Gauthier et al., 2015; Andresen et al., 2020), reliably quantifying soil moisture  
dynamics and thus water availability will become essential for a predictive understanding of C cycle  
235 dynamics.

The sensitivity of the microwave signal to soil moisture content has been widely demonstrated previously  
but several challenges remain including accounting for vegetation attenuation and scattering related to the  
surface roughness (Das and Paul, 2015; Colliander et al., 2022), as well as organic soil model parametrization  
240 (Mironov and Savin, 2015; Bircher et al., 2016). The sensitivity to soil moisture generally increases with  
lower microwave frequencies, making L-band the most sensitive to soil moisture content. The depth over  
which soil moisture content can be estimated from microwave remote sensing is limited at best to the top 5  
cm of the soil profile (roots are most dense in the top 20 cm). Measurement depth depends on the frequency  
used (longer wavelengths realize greater depths; Adams et al. 2015), the soil moisture content and the  
245 vegetation type and density (Wigneron et al. 2007). These measurement depths do not capture the water  
availability in the full rooting zone, which will be most relevant to predicting photosynthetic  $CO_2$  uptake.  
However, it remains possible to estimate root zone soil moisture content from near-surface soil moisture  
content using pedotransfer equations meaning these surface measurements can be quite useful (Stefan et al.,  
2021; Dimitrov et al., 2022).

250 Extensive methodological research to develop soil moisture retrievals from microwave remote sensing  
has been motivated for agricultural applications (Engman, 1991; Wigneron et al., 2007; Lakhankar et al.,  
2009). Soil moisture retrieval was the main motivation behind several passive L-band satellite missions,  
including SMAP and SMOS. The resulting expertise and soil moisture products present a promising data  
255 stream to inform terrestrial biosphere models (see sect 4). Table 1 presents several soil moisture products  
available. The European Space Agency (ESA) Climate Change Initiative (CCI) project produces a soil  
moisture product merging active and passive microwave sensors exploiting the microwave instruments  
temporal coverage since 1978 (Gruber et al., 2019).

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**Table 1:** Presently available soil moisture products from spaceborne microwave remote sensing.

	Windsat <sup>1</sup>	SMOS <sup>2</sup>	Aquarius <sup>3</sup>	AMSR-E/2 <sup>4</sup>	SMAP <sup>5</sup>	ERS 1-2 <sup>6</sup>	ASCAT <sup>7</sup>	RADARSAT-2 <sup>8</sup>	Sentinel-1 A/B <sup>9</sup>	Climate Change Initiative <sup>10</sup>
Sensor type	Passive	Passive	Passive	Passive	Passive	Active	Active	Active	Active	Passive and Active
Spatial Resolution	25 km	25 km	36 km	25 km	9 km	25 km	25 km	18 m	1 km	25 km
Temporal coverage	2003-2012	2010-ongoing	2011-2015	2002-ongoing	2015-ongoing	1996-2011	2007-ongoing	2007-ongoing	2015-ongoing	1978-ongoing
Reference	Parinussa et al., 2012	Al Bitar et al., 2017	Bindlish et al., 2015	Du et al., 2017	Chan et al., 2016 and 2018	Naeimi et al., 2009; Das et al., 2017	Wagner et al., 2013	Merzouki et al., 2011	El Hajj et al., 2017	Gruber et al., 2019

<sup>1</sup>Windsat/Coriolis surface soil moisture (LPRM) L3 1 day 25 km x 25 km daytime V001

<sup>2</sup>SMOS L1 and L2 Science data

<sup>3</sup>Aquarius L3 Weekly Polar-Gridded Sea Surface Salinity, Version 5 (AQ3\_SSS)

<sup>4</sup>AMSR-E/AMSR2 Unified L2B Half-Orbit 25 km EASE-Grid Surface Soil Moisture, Version 1 (AU\_Land)

<sup>5</sup>SMAP L4 Global 3-hourly 9 km EASE-Grid Surface and Root Zone Soil Moisture Geophysical Data, Version 6 (SPL4SMGP)

<sup>6</sup>ERS-2 SCATTEROMETER Surface Soil Moisture Time Series and Orbit product in High and Nominal Resolution

<sup>7</sup>ASCAT Soil Moisture at 25 km Swath Grid in NRT - Metop

<sup>8</sup>RADARSAT-2 Surface Soil Moisture

<sup>9</sup>1km SSM Version 1 product (SSM1km)

<sup>10</sup>ESA CCI SM

### 3.2 Soil temperature

265 Rates of photosynthesis and ecosystem respiration ( $R_{eco}$ ; Fig. 4) are generally strongly controlled by soil temperature (Anger et al., 2005; Jones et al., 2017; Stocker et al., 2018). Similarly, laboratory and field observations have shown that  $R_h$ -related  $CO_2$  fluxes are non-negligible below freezing point and increase with warming soil temperatures (Fahnestock et al., 1998 and 1999; Welker et al., 2000; Mikan et al., 2002; Panikov et al., 2006; Natali et al. 2019). Despite lower fluxes, winter  $CO_2$  emissions from soil respiration 270 may constitute an important contribution to annual net ecosystem productivity (NEP), especially in ABR with very short growing seasons (Elberling et al., 2007; Webb et al., 2016; Natali et al., 2019).

Microwave  $T_B$  depends on thermodynamic temperature ( $T_B = e \cdot T$ ), thus it is possible to retrieve land surface temperature (LST) from radiometric data (Duan et al., 2020). Retrieval of LST from microwave 275 remote sensing is technically more challenging and results in lower precision than similar products from thermal infrared remote sensing, 1-5 K for microwave LST vs. 0.2-2K for thermal infrared (Jiménez-Muñoz and Sobrino, 2006; Jones et al., 2010; Osińska-Skotak, 2007; Krishnan et al., 2020; Zhang and Cheng, 2020). Microwave emissivity is also more sensitive to environmental changes (e.g., liquid water content) than thermal infrared emissivity. Although infrared LST is more precise than microwave LST, there are instances 280 where microwave LST may be important. Specifically, microwave LST can support gap-filling when thermal infrared LST is unavailable because of extended periods of cloud cover or when fine temporal resolution is required. Microwave can be used to sense soil temperature up to a few centimeters deep because of its soil penetration depth. It is an important advantage over thermal infrared remote sensing, which can only detect LST and is subject to significant atmospheric contamination (Jones et al., 2007). Some studies have also 285 shown the potential to use microwave observations to retrieve soil temperature under the snow (Kohn et al., 2010; Marchand et al., 2018).

Although several studies have obtained LST from microwave data, no operational microwave LST product is operationally available. Microwave LST algorithms typically achieve a precision of 2-5 K using data from AMSR-E/2 (Jones et al., 2010; Zhang and Cheng, 2020) and SMM/I-SMMR (Pulliainen et al., 1997; Basist et al., 1998; Fily et al.; 2003; Mialon et al., 2007; Royer and Poirier, 2010). Other studies have used machine learning approaches, obtaining precision around 1-3 K with AMSR-2 data (Aires et al., 2001; Mao et al., 2018).

### 3.3 Surface freeze/thaw state

During the short growing season in the ABR, photosynthetic CO<sub>2</sub> uptake exceeds the respiratory CO<sub>2</sub> losses, thus ABR generally act as net growing season CO<sub>2</sub> sinks (Ciais et al., 2019; Virkkala et al.; 2021). However, in winter, plants become dormant and photosynthesis and R<sub>a</sub> cease (gross primary productivity, GPP = 0 and R<sub>a</sub> ≈ 0) owing to the cold temperatures and highly reduced photoperiod (Kimball et al., 2004b; Rafat et al. 2021). Although R<sub>b</sub> may continue in frozen soil, it decreases substantially (Natali et al., 2019). Modelling annual NEP in areas undergoing seasonal surface freeze/thaw cycles requires the ability to estimate the length and timing of the growing season (Seiler et al., 2022; El-Amine et al., 2022). Growing season length has a direct impact on annual GPP and thus NEP but there is also a strong relationship between surface freeze/thaw timing and photosynthetic CO<sub>2</sub> uptake (Frolking et al., 1996; McDonald et al., 2004; Kim et al. 2012; Fu et al., 2017; Pierrat et al., 2021). Changes in the timing of spring thaw can create a shift in growing conditions when photosynthesis is initiated (Jarvis and Linder, 2000; Tanja et al., 2003; Kimball et al., 2004a; Piao et al., 2008; Kim et al., 2012; El-Amine et al., 2022). The timing of the start of the growing season has been shown to be more important to annual GPP than the timing of the end because of the superior light and water availability during the spring period (Tanja et al., 2003; El-Amine et al., 2022). The surface freeze/thaw state is a useful proxy for the timing and thus duration of photosynthetic activity (Harrison et al., 2020), and can potentially be used to track CH<sub>4</sub> emissions in the ABR (Tenkanen et al., 2021).

Satellite detection of surface freeze/thaw state is based on the dielectric contrast between water and ice at microwave frequencies. Therefore, soil emissivity is highly sensitive to phase state changes of its liquid content. When water freezes,  $\epsilon_{\text{soil}}$  drops drastically as liquid water changes to ice because of the crystalline structure of frozen water. The rapid decrease of  $\epsilon_{\text{soil}}$  in freezing soils translates into a much higher microwave emission and backscattering from the surface. This allows for surface freeze/thaw state retrieval from passive and active microwave measurements using temporal change detection algorithms (Mortin et al., 2012; Rautiainen et al. 2012; Roy et al., 2015; Chen, et al., 2019) and threshold-based methods (Kim et al., 2012 and 2017; Derksen et al., 2017). Furthermore, for oblique incidence angles, horizontal polarization is more affected than its vertical counterpart during surface freeze/thaw transition which favors the use of a polarization ratio as an effective tool for determining surface freeze/thaw state (Rautiainen et al., 2016; Roy et al., 2017a and 2017b).

The use of passive and active microwave remote sensing for surface freeze/thaw state detection has been widely studied, improving quickly and steadily in the last decade, and resulting in various publicly available products (Table 2). As for soil moisture studies, lower microwave frequencies such as L-band, have been the most exploited because of the prominent water phase dielectric contrast and reduced attenuation from above-ground vegetation and snow (Rautiainen et al., 2014). Surface freeze/thaw state products offer the opportunity to constrain vegetation growing season timing and photosynthetic CO<sub>2</sub> uptake in terrestrial biosphere models.

**Table 2:** Available Surface freeze/thaw state products from spaceborne microwave remote sensing.

	<b>MEaSURES program<sup>1</sup></b>	<b>Aquarius<sup>2</sup></b>	<b>SMOS<sup>3</sup></b>	<b>SMAP<sup>4</sup></b>	<b>NSCAT</b>	<b>QuickSCAT</b>	<b>PALSAR</b>	<b>ASCAT<sup>5</sup></b>
Sensor type	Passive	Passive	Passive	Passive	Active	Active	Active	Active
Spatial Resolution	25 km (6 km starting in 2002)	36 km	25 km	9 km	25 km	25 km	5-500 m	25 km
Temporal coverage	1979-2020	2011-2015	2010-ongoing	2015-ongoing	1996-1997	1999-2009	2006-2011	2007-2021
Reference	Kawanishi et al., 2003	Xu et al., 2016; Prince et al., 2018	Rautiainen et al., 2016	Kim et al., 2019	Kimball et al., 2001	Brucker et al., 2014	Kerr et al., 2010	Naeimi et al., 2012

<sup>1</sup>Sensors: SMMR, SSM/I, SSMIS, AMSR-E and AMSR-2

<sup>2</sup>Aquarius L3 Weekly Polar-Gridded Landscape Freeze/Thaw Data, Version 5 (AQ3\_FT)

<sup>3</sup>SMOS Level 3 Freeze and Thaw (F/T)

<sup>4</sup>SMAP Enhanced L3 Radiometer Global and Northern Hemisphere Daily 9 km EASE-Grid Freeze/Thaw State, Version 3 (SPL3FTP\_E)

<sup>5</sup>ASCAT Surface Soil Moisture/Freeze-Thaw V2 product

### 3.4 Above-ground biomass

Although not a direct control on C cycle processes, aboveground biomass (AGB) can be used to estimate aboveground C stocks. The annual net gain or loss of C by vegetation (NPP) leads to a proportional increase or decrease in AGB, respectively (Turner et al., 2004; Gough, 2011). Therefore, C storage in aboveground vegetation over a defined period can be inferred from AGB estimates from either terrestrial, aerial, or spaceborne techniques (Das et al., 2021). However, using AGB only provides average C exchanges between two data acquisitions and provides no understanding of the underlying ecophysiological and biogeochemical processes. It should be noted that AGB is a minor component of the C stocks in ABR where most of the C is stored belowground (Houghton, 2005; Pappas et al., 2020; Walker et al., 2020). Information on AGB allows initialization, parameterization and evaluation of terrestrial biosphere models and helps to understand, for example, the impact of discrete disturbances such as wildfire and insect outbreaks (Chirici et al., 2016).

Microwave remote sensing can provide information on AGB since microwave wavelengths typically penetrate and interact with moderately dense vegetation cover, depending on the microwave length (Liu et al., 2011a, 2011b and 2015). Vegetation attenuation of microwaves is characterized by the vegetation optical depth (VOD;  $\gamma = e^{-VOD/\cos\theta}$ ) which is proportional to vegetation density (i.e., biomass) and water content. Vegetation optical depth is frequency-dependent and affected by the geometry (e.g., canopy structure) of the vegetation (Ulaby et al., 1990). The impact of vegetation geometry on attenuation is challenging to

parametrize because of the complexity of forest canopies, therefore it is typically accounted for through vegetation type-dependent, empirically determined parameters introduced in the relationship between VOD, vegetation water content and biomass (Jackson and Schmugge, 1991; Konings et al. 2019). Microwave VOD is better suited to directly monitor vegetation compared to spectral vegetation indices obtained using visible and infrared wavelengths such as NDVI that saturate at relatively low AGB of around 50-80 Mg ha<sup>-1</sup> (Rodríguez-Fernández et al., 2018; Mialon et al. 2020; Turner et al., 2004). In contrast, VOD was shown to saturate at AGB of up to 350 Mg ha<sup>-1</sup> (Vittucci et al., 2019). It has also been shown that there is a significant difference in what phenological aspects of the growing season are captured by vegetation indices such as NDVI versus microwave VOD (Mialon et al., 2020). VOD seems to correspond better to key physiological processes such as sap flow and vegetation water storage than vegetation indices such as NDVI, which better capture dynamics of canopy phenology (Lawrence et al., 2014; Cui et al., 2015; Tian et al. 2016; Holtzman et al. 2021; Wigneron et al., 2021).

There is clear potential to improve C cycle science in boreal forests using VOD-derived AGB (Rodríguez-Fernández et al., 2018). Several recent studies have also shown good correlations between VOD and GPP (Teubner et al., 2018 and 2019). The L-band spaceborne radiometer record goes back to 2010 with SMOS, while higher frequency VOD estimates extend back to the early 1980s. Table 3 presents several VOD products available. Santoro and Cartus (2018) counted 221 studies on SAR data applied to AGB retrieval from 1987 to 2017 using frequencies from 30 MHz up to 12 GHz. AGB investigations using active sensors can achieve a much finer spatial resolution than their passive counterparts, down to the 10 m scale. Also, recent promising advances in SAR interferometry (InSAR), polarimetric InSAR (PolInSAR) and SAR tomography (TomoSAR) techniques provide new opportunities for AGB estimates by surveying the 3D structure of vegetation (Neumann et al., 2012; Tebaldini et al., 2019). InSAR can be used to measure the vertical motion in peatlands which is a direct indicator of the mass gain or loss by those ecosystems which constitute a major global C pool (Alshammari et al., 2019; Zhou et al., 2019; Loisel et al. 2021). Some global biomass surveys have also exploited the multi-frequency synergy of data products in the microwave, visible and infrared wavelengths, and LiDAR technologies (e.g., GlobBiomass: Santoro et al., 2018; Mialon et al., 2020). Although the low AGB of the Arctic tundra is challenging to monitor from microwave observations, studies have shown that it is possible to estimate the upper soil organic C (up to 30 cm from the soil/atmosphere interface) using active and passive microwave observations (Bartsch et al. 2016; Yi et al. 2022).

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**Table 3:** Available vegetation optical depth products from spaceborne microwave remote sensing.

	AMSR-E/2 <sup>1</sup>	SMOS <sup>2</sup>	SMAP	221 SAR studies	ASCAT
Sensor type	Passive	Passive	Passive	Active	Active
Spatial Resolution	25 km	25 km	36 km	>= 10m	25 km
Temporal coverage	2002-2020	2010-ongoing	2015-ongoing	1987-2017	2015-2019
Reference	Jones et al., 2011; Du et al., 2017	Wigneron et al., 2021	Li et al., 2022	Santoro and Cartus, 2018	Liu et al., 2021

<sup>1</sup>Daily Global Land Parameters Derived from AMSR-E and AMSR2, Version 3 (NSIDC-0451)

<sup>2</sup>L3 SMOS IC L-VOD VERSION 2

<sup>3</sup>SMAP-IB L-VOD

<sup>4</sup>ASCAT-IB VOD

### 390 3.5 Vegetation water storage

Water availability is considered an important environmental limitation on photosynthetic processes in the ABR (Ruiz-Pérez et Vico, 2020). In terrestrial biosphere models, lack of water availability is an environmental stress reducing the photosynthetic capacity (i.e., NPP) (Mu et al., 2007). Water stored in vegetation is critical for stomatal regulation, therefore it is strongly correlated to vegetation growth (Kocher et al. 2013; Matheny et al., 2015). In addition, vegetation water storage can act as a buffer for the daily demands of transpiration (Matheny et al., 2015). However, soil moisture and/or precipitation are generally used to estimate water availability since vegetation water storage estimates are rarely available (Zhang et al., 2015; Stocker et al., 2018).

400 Since vegetation water storage strongly affects microwave VOD because of the high absorption of microwave by water (Konings et al., 2019), microwave attenuation holds potential for estimating vegetation water storage, which can be used to evaluate vegetation water stress (Holtzman et al., 2021). To the best of our knowledge, no large-scale vegetation water storage product yet exists, but efforts toward this goal are underway (Liu et al., 2021). The microwave VOD sensitivity to both AGB and vegetation water status complicates its interpretation, although the study of the temporal and spatial trends of VOD can allow to 405 distangle AGB vs the vegetation water content (Dou et al., 2023). At short timescales (i.e., diurnal), biomass variation is small and VOD trends can largely be attributed to vegetation water status. At longer timescales (i.e., annual), VOD trends come mostly from biomass dynamics (Mialon et al., 2020). Another method to distinguish water storage and biomass related VOD changes is to use periods with similar water stress levels 410 for VOD comparison (Konings et al., 2019).

### 3.6 Land cover

Regional and global studies on C exchanges require information on land cover (Gasser et al., 2020). Repeated satellite-based image classification provides large scale monitoring of land cover evolution (Wang et al., 2019). Land cover and wetland classifications based on SAR imagery have been developed with the same supervised or unsupervised classification algorithms used for classifying imagery obtained with visible and infrared remote sensing (van Zyl, 1989; Pierce et al., 1994; Dobson et al., 1995; Ranson and Sun, 2000; Bartsch et al., 2007; Witcomb et al., 2009; Lönnqvist et al., 2010; Merchant et al., 2017; Merchant et al., 2022). Recent studies have explored the use of machine learning for land cover classification based on SAR data (Merchant et al., 2019). SAR land cover classifications are enhanced when benefiting from multi-frequency instruments (Saatchi and Rignot; 1997) and multiple polarizations (Lee et al., 2001). The complementarity of SAR and visible/infrared imagery has already been exploited to reinforce spatial and temporal coverage and improve precision of land cover classifications (Töyrä et al., 2001; Ulman et al., 2014; Merchant et al., 2019). SAR imagery has shown to be especially useful for delineating inundated areas (Bowling et al., 2003) or wet and moist tundra (Morrissey et al., 1996; Merchant et al., 2022) which has a strong impact on CH<sub>4</sub> emission (Watts et al., 2014). Microwave observations can also be used to monitor freshwater bodies (FW) extent dynamics (Murfitt and Duguay, 2021). FW can act as important CH<sub>4</sub> emissions sources, especially during ice melt but aquatic carbon cycle processes are much different than terrestrial carbon processes and were not within of the scope of this review (Matthews et al., 2020).

### 3.7 Snow cover

Unlike photosynthesis and  $R_a$ ,  $R_h$  can continue through winter in the cold regions. The insulating properties of snow cover have an important indirect impact on C fluxes, keeping the ground warmer than the air during winter thereby maintaining microbial activity and therefore  $R_h$  (Brooks et al., 1997; Brooks and Williams, 1999; Welker et al., 2000; Elberling et al., 2007; Ravn et al., 2020). Through modelling the land surface energy exchanges, the impact of snow on the soil thermal regime can be estimated (Melton et al., 2020). Several studies have demonstrated a correlation between C fluxes and snow depth due to the insulating properties of snow (Björkman et al., 2010; Rogers et al., 2010; Natali et al., 2019). Furthermore, snow density is the main factor controlling snow thermal conductivity (Sturm et al., 1997), which should consequently influence soil temperature, winter CO<sub>2</sub> and CH<sub>4</sub> fluxes.

Estimating snow accumulation in the ABR represents a challenge for microwave remote sensing. Microwaves are partially absorbed and scattered by snow cover, with an additional challenge in the boreal forest because of snow interception by the forest canopy (Li et al., 2019). Active and passive microwaves can retrieve information about the snowpack status based on microwave interaction with the snow microstructure (Picard et al., 2018). Because multiple snowpack characteristics influence microwaves in many ways (i.e., height, density, microstructure, layering, liquid water content), retrieving snowpack characteristics often leads to an underdetermined equation system (i.e., fewer equations than unknowns).

During springtime and winter rain-on-snow events, wet snow becomes a major limitation for microwave remote sensing. Liquid water absorbs microwave radiation, reducing microwave penetration depth in wet snow and preventing acquisition of information about either the snow or the underlying ground conditions. This is especially problematic for the retrieval of surface freeze/thaw state during the spring thaw (Rautiainen et al., 2016). However, snowmelt is easily detected (Forster et al., 2001) and can serve as a proxy for the beginning of the growing season, and the resulting initiation of C uptake (Pulliainen et al., 2017).

Estimating the snow water equivalent (SWE, i.e., the product of snow depth and density) is widely used to monitor bulk snow cover using microwaves (Chang et al., 1982; Pulliainen, 2006; Shi et al., 2016; Pulliainen et al., 2020; Saberi et al., 2020). It should be noted that uncertainties are higher in the presence of vegetation because of the ensuing microwave attenuation (Mortimer et al., 2020) and for deep snow conditions (SWE > 150 mm: Larue et al., 2017).

**Table 4: Available** snow water equivalent (SWE) products from spaceborne microwave remote sensing.

	<b>GlobSnow<sup>2</sup></b>	<b>AMSR-E/2<sup>1</sup></b>
Sensor type	Passive	Passive
Spatial Resolution	25 km	25 km
Temporal coverage	1980-2018	2002-ongoing
Reference	Luojus et al., 2021	Tedesco and Jeyaratnam, 2016

<sup>1</sup>AMSR-E/AMSR2 Unified L3 Global Daily 25 km EASE-Grid Snow Water Equivalent, Version 1 (AU\_DySno)

<sup>2</sup>GlobSnow v3.0 NH SWE; Sensors: SMMR, SSM/I, SSMIS

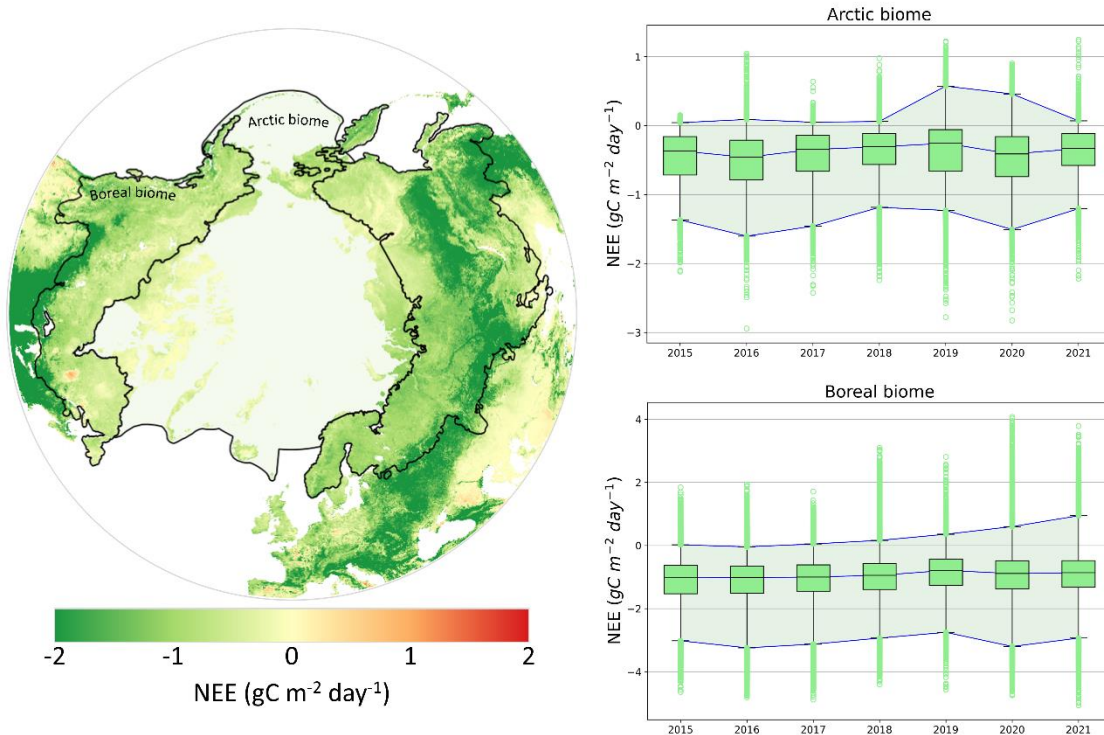
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#### 4 Assimilating microwave data in terrestrial biosphere models in Arctic-boreal regions

Microwave remote sensing has the potential to greatly improve predictions of C fluxes in terrestrial biosphere models. However, the use of key variables obtained from microwave remote sensing to inform terrestrial biosphere models is still limited (Lees et al., 2018). A recent effort assimilates a microwave remote sensing soil moisture data product into a simple C cycle model to compute the SMAP L4 global daily 9km EASE-Grid carbon net ecosystem exchange product (SPL4CMDL, Jones et al., 2017; Fig. 5).. The SPL4CMDL product provides daily NEE, GPP, R<sub>h</sub>, soil organic C, and environmental constraints for eight plant functional types at 9 km spatial resolution. The product is publicly available with data starting in 2015. The L4C carbon exchange model estimates GPP using a light use efficiency model, R<sub>a</sub> is defined as a fraction of GPP and R<sub>h</sub> is estimated using a soil decomposition model with cascading soil organic C. The GEOS-5 atmospheric model is used for the meteorological inputs and MODIS visible/infrared products is used for



land cover and photosynthetically active radiation. There is ongoing work to improve L4C estimates by  
 475 integrating surface freeze/thaw state information from microwave remote sensing. The SMAP L4C product  
 is currently the only operational C exchange model using satellite microwave information, which provides  
 direct information for studying seasonal cycles and long-term trends in ecosystem C exchange (Fig. 6).  
 SMOS soil moisture data has similarly been used in terrestrial biosphere models but for a shorter period  
 (2010-2015; Wu et al. 2020). Lastly, the terrestrial C flux (TCF; Kimball et al., 2009) model used AMSR-E  
 480 soil moisture and temperature information to provide environmental constraints on  $R_h$  in Arctic-boreal  
 regions (Baldocchi et al., 2001; Pastorello et al., 2020). Although limited so far, those examples show the  
 potential of integrating microwave products in terrestrial biosphere models.



485 **Figure 5:** Mean monthly net ecosystem exchange (NEE) from the SPL4CMDL for July and August (i.e., peak growing season) between 2015 and 2021. The Arctic biome is delimited following the Conservation of Arctic Flora and Fauna (CAFF) working group of the Arctic Council and the boreal biome is delineated following Potapov et al. (2008). The yearly mean NEE for the Arctic- ( $N_{\text{pixel}} = 282\,288$ ) and boreal regions ( $N_{\text{pixel}} = 187\,003$ ) is presented as boxplots for the 25-75 percentiles (box) and the 1-99 percentiles (bracket). SMAP L4C reference: Kimball et al. (2017).

490 **5 Challenges and opportunities in microwave remote sensing for supporting carbon cycle science**

Although microwave remote sensing data processing is reaching a certain maturity after decades of development, challenges remain in the advancement of microwave data and their assimilation into terrestrial biosphere models (Jones et al., 2017).

## 5.1 Disentangling the integrated microwave signal

495 Disentangling the integrated microwave signal originating from varying mixtures of soil, vegetation  
and snow remains challenging for all microwave remote sensing applications (Kerr et al., 2012; Roy et al.,  
2012 and 2014). Recent advances have been made for both passive and active data to decouple the signal in  
boreal forests by exploiting multi-polarization, multi-angular and multi-frequency measurements (Larue et  
al., 2018; Cohen et al., 2019; Konings et al., 2019; Roy et al., 2020). Even in the Arctic biome where AGB  
500 is relatively low, the plot-scale heterogeneity in vegetation composition and structure can pose a challenge  
since repeated field estimates of vegetation distribution are limited (Myers-Smith et al., 2011; Du et al.,  
2019). Furthermore, the low C fluxes of the ABR can be more challenging to estimate, and vegetation  
production trends can be lost in interannual variability fluctuations (i.e., low signal:noise ratio). The  
decoupling challenge can be exemplified clearly with the respective effects of soil and vegetation on surface  
505 freeze/thaw state detection since soil and vegetation might not freeze or thaw concurrently with implications  
for detection of surface freeze/thaw events (Roy et al., 2020). Microwave data processing must account for  
the high density of shallow water bodies in ABR, and recent efforts have sought to remove their effect on  
passive microwave observations mixed pixels (Touati et al., 2019). These challenges highlight the importance  
of continued efforts to improve radiative transfer modelling in a way that will allow for the decoupling the  
510 contribution of different components to the microwave signal.

## 5.2 Intra-pixel variability

Due to the coarse spatial resolution of passive microwave data products, intra-pixel variability and  
downscaling are important considerations. For example, a recent study from Prince et al. (2019) upholds that  
515 the time span of the surface freeze/thaw signal transition in passive microwave measurements might be  
related to the spatial variability of the soil state (frozen or thawed) through this transition (i.e., patchy frozen  
soil). Intra-pixel variability also includes partial presence of snow during transition seasons, vegetation types  
mixing and snow depth distribution (Meloche et al., 2022). A recent study from Du et al. (2020) showed  
promising results in downscaling soil moisture to 3 m spatial resolution using machine-learning with  
520 microwave spaceborne data. Although challenging for sub-regional studies, the typical passive microwave  
remote sensing spatial resolution is well suited for regional and global climate studies. Still, most of the  
terrestrial biosphere model performance evaluation is done using eddy covariance data which is the most  
trusted and widely used reference for C flux measurements at large scales. However, the sparsity of the  
measurement network in ABR reduce our capacity to represent ABR heterogeneity in terrestrial biosphere  
525 model (Fig. 1; Fisher et al., 2018). However, the scale mismatch between measurements is important to keep  
in mind; tower-based measurements of C exchanges represent footprints of approximately 1 km or less, which  
is a much smaller scale than many spaceborne microwave remote sensing data products.

### 5.3 Potential and upcoming spaceborne microwave remote sensing missions

530 Upcoming spaceborne microwave remote sensing missions will provide new microwave sensors with additional frequencies and generally improved radiometric and spatial resolution (Table 5). These upcoming missions will increase remote sensing capabilities and extend the continuous microwave coverage over the next decade. The technical and scientific advances made through these missions should be seen as an additional motivation to actively identify ways to integrate microwave data products in C cycle science.

535 Furthermore, the novel approach of opportunistic use of spaceborne reflectometry of the Global Navigation Satellite System (GNSS) (Li et al., 2022; Yu et al., 2022) already showed promising results in evaluating soil moisture (Edokossi et al., 2020), soil freeze/thaw state (Rautiainen et al., 2021) and snow water equivalent (Royer et al. 2021).

540 **Table 5:** Overview of selected future microwave satellite missions with a polar or near-polar orbit.

Mission	SMOS-HR	CIMR	BIOMASS	NISAR	Tandem-L	ROSE-L
Space agency	CNES	ESA (Copernicus program)	ESA	NASA and ISRO	DLR	ESA (Copernicus program)
Frequency (GHz)	L-band	1.4, 6.9, 10.65, 18.7 and 36.5	P-band	L- and P-band	X-band	L-band
Sensor type	Radiometer	Radiometer	SAR	SAR	SAR constellation	SAR
Spatial resolution expected	10 km	5 – 55 km	200 m	3 – 10 m	7 – 100 m	5 – 10 m
Revisit coverage expected (days)	N/A	N/A	25-45	12	16	3-6
Main objective	SMOS continuity	AMSR continuity	Biomass	Land elevation (including biomass)	Biomass, soil moisture and permafrost	SWE, soil moisture and permafrost
Expected launch	Development phase	Development phase	2022	2022	2022	Development phase
References	Rodríguez-Fernández et al., 2019a and 2019b	Kilic et al., 2019	Le Toan et al., 2011; Schlund et al., 2018; Quegan et al., 2019	Alvarez-Salazar et al., 2014; Rosen et al., 2015 and 2016; Kim et al., 2017	Bachmann et al., 2016; Huber et al., 2016; Krieger et al., 2016; Moreira et al., 2018	Pierdicca et al., 2019

## 6 Conclusions

Microwave remote sensing is an efficient tool for monitoring soil, seasonal snow and vegetation properties affecting water and C cycle processes and thus has great potential to enhance our understanding of rapidly changing arctic-boreal regions. Understanding C cycle processes in arctic-boreal regions will greatly benefit from an increased use of microwave data which can only result from expanded collaboration between the microwave remote sensing and C cycle science communities. The potential applications of

satellite-based microwave remote sensing in support of C cycle science have not been fully realized. We propose four key aspects where increased use of microwave remote sensing could support advances in C cycle science and monitoring: 1) Improve radiative transfer model skills and capabilities and the understanding of microwave signals associated with different surface material; 2) improve assimilation approaches of microwave products in terrestrial biosphere models to overcome the challenges associated with remote cold regions where ground observations are spatially and temporally sparse; 3) develop and maintain long-term, spatially-distributed, land-based measurement networks in arctic-boreal regions to improve microwave-based products; 4) keep a long-term perspective and coherency between space agencies to maintain the historical trends of microwave observations.

### **7 Author contribution**

AM, OS, and AR conceptualized the manuscript goal and objectives. AM prepared the manuscript with contributions from all co-authors.

### **8 Competing interests**

The authors declare that they have no conflict of interest.

### **9 Acknowledgments**

This work was made possible thanks to a PhD scholarship obtained from by the Natural Sciences and Engineering Research of Canada Council (NSERC), and funding obtained from the Fonds Québécois de la Recherche sur la Nature et les Technologies (FQRNT) and the Canadian Space Agency (CSA).

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## 10 Acronyms and abbreviations

ASAR	Advanced Synthetic Aperture Radar
ASCAT	Advanced SCATterometer
AGB	Above-Ground Biomass
AMSR	Advanced Microwave Scanning Radiometer
AMSR-E	AMSR for Earth Observing System
APAR	Absorbed Photosynthetically Active Radiation
CARDAMOM	Carbon data model framework
CCI	Climate Change Initiative
CIMR	Copernicus Imaging Microwave Radiometer
CLM	Community Land Model
CNES	Centre National d'Études Spatiales
CO <sub>2</sub>	Carbone dioxide
CH <sub>4</sub>	Methane
CSA	Canadian Space Agency
DLR	German Aerospace Center
<i>e</i>	Soil emissivity
ERS	European Remote sensingsatellites
ESA	European Space Agency
EVI	Enhanced Vegetation Index
FAO	Food and Agriculture Organization of the United Nations
FY-3	FenYun-3
FW	Freshwater
<i>f</i> PAR	Fraction of Photosynthetically Active Radiation
<i>f</i> ( <i>x<sub>i</sub></i> )	General environmental stress function
GEOS-5	Goddard Earth Observing System, Version 5
GNSS	Global Navigation Satellite System
GPP	Gross Primary Production
H <sub>2</sub> O	Water
IFOV	Instantaneous Field Of View
InSAR	SAR interferometry
ISRO	Indian Space Research Organisation
LAI	Leaf Area Index

LiDAR	Light Detection And Ranging
LPJ-wsl	Lund–Potsdam–Jena dynamic global vegetation model - Wald Schnee und Landschaft version
LST	Land Surface Temperature
MEaSURES	Making Earth System data records for Use in Research Environments
MODIS	MODerate-resolution Imaging Spectroradiometer
MPI	Max Planck Institute
MWRI	Micro-Wave Radiation Imager
NASA	National Aeronautics and Space Administration
NDVI	Normalized difference vegetation index
NEE	Net Ecosystem Exchange
NEP	Net Ecosystem Production
NISAR	NASA-ISRO SAR mission
NPP	Net Primary Production
NSCAT	NASA Scatterometer
PALSAR	Phased Array type L-band SAR
PAR	Photosynthetically Active Radiation
PolInSAR	Polatrimetric InSAR
PRI	Photochemical Reflectance Index
QuickSCAT	Quick Scatterometer
$R_a$	Autotrophic respiration
$R_{eco}$	Ecosystem respiration
$R_h$	Heterotrophic respiration
REP	Red Edge Position
ROS	Rain-On-Snow events
ROSE-L	Radar Observing System for Europe - L-Band
SAR	Synthetic Aperture Radars
SMAP	Soil Moisture Active Passive
SMAP L4C	SMAP Level 4 Carbon product
SMMR	Scanning Multichannel Microwave Radiometer
SMOS	Soil Moisture and Ocean Salinity
SMOS-HR	SMOS High-Resolution
SMRT	Snow Microwave Radiative Transfer model
SWE	Snow Water Equivalent
SSM/I	Special Sensor Microwave/Imager

$T_B$	Brightness temperature
TCF	Terrestrial Carbon Flux
TomoSAR	SAR tomography
UNAVCO	University NAVstar COntortium
VOD	Vegetation Optical Depth
$\gamma$	Vegetation attenuation
$\eta$	Light conversion efficiency
$\eta_{\max}$	Light conversion efficiency maximum in optimal conditions
$\epsilon$	Relative permittivity
$\theta$	Incident angle
$\sigma$	Backscattering coefficient
$\tau$	Optical depth
$\Omega$	Vegetation single-scattering albedo

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## 12 Appendix A

**Table A1:** Microwave radiometers in the 1-100 GHz frequency range on sun-synchronous nearly polar orbits. Instrument specifications from Das and Paul (2015) and specific references.

Mission	SMMR	SSM/I SSMIS	AMSU-A	MSMR	AMSR AMSR-E	Windsat	MWRI	SMOS	Aquarius	AMSR-2	SMAP
Temporal coverage	1978 (Oct.) - 1987 (Aug.)	1987 (July) - Ongoing	1998 (Aug.) - Ongoing	1999 (May) - 2001 (Oct.)	2002 (June) - 2011 (Oct.)	2003 (Feb.) - Ongoing	2008 (May) - Ongoing	2010 (Jan.) - Ongoing	2011 (Aug.) - 2015 (June)	2012 (July) - Ongoing	2015 (April) - Ongoing
Frequency (GHz)	6.6, 10.7, 18.0, 21.0 and 87.0	19.3, 22.2, 37.0 and 85.5	23.8, 31.4 and 89.0	6.6, 10.65, 18.0 and 21.0	6.925, 10.65, 18.7, 23.8, 36.5 and 89.0	6.8, 10.7, 18.7, 23.8 and 37.0	10.65, 18.7, 23.8, 36.5 and 89.0	1.4	1.413 (3 of them)	6.925, 10.65, 18.7, 23.8, 36.5 and 89.0	1.41
IFOV (km)	148 × 95 91 × 59 55 × 41 46 × 30 27 × 18	69 × 43 60 × 40 37 × 28 15 × 13	48×48	150 × 144 75 × 72 50 × 36 50 × 36	75 × 43 51 × 29 27 × 16 32 × 18 14 × 8 6 × 4	60×40 38×25 27×16 20×12 13×8	51×85 30×50 27×45 18×30 13×15	43×43	94×76 120×84 156×97	62 × 35 42 × 24 22 × 14 19 × 11 12 × 7 5 × 3	36×47
Revisit coverage (days)	2	1	1-29	2	2	8	1	3	7	2	3
Swath width (km)	822	1400	2200	1360	1445	950	1400	1000	390	1450	1000
Platform	Nimbus-7	Defense Meteorological Satellite Program	NOAA-15 to 19, Aqua, MetOp-A, B and C	Oceansat-1	ADEOS-II (AMSR) and Aqua (AMSR-E)	Coriolis	FengYun-3 constellation	SMOS	SAC-D	GCOM-W1	SMAP
Reference	Gloersen and Barath, 1977	Hollinger et al., 1990	Yang et al., 2012	Misra et al., 2002	Kawanishi et al., 2003	Gaiser et al., 2004	Xian et al., 2021	Kerr et al., 2010	Brucker et al., 2014	Maeda et al., 2016	Entekhabi et al., 2010

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**Table A2:** Microwave radar missions.

Mission	Launch date	Frequency (GHz)	Band	Spatial resolution (m)	Repeat cycle (days)	
JERS-1	1992 (Feb.)	1.275	L	18	44	
PALSAR / ALOS	2006 (Janv.)	2011 (May)	1.270	L	10-100	46
PALSAR / ALOS-2	2014 (May)	Ongoing	1.270	L	10-100	46
SOACOM-1a	2018 (Oct.)	Ongoing	1.275	L	7-100	16
SOACOM-1b	2020 (Oct.)	Ongoing	1.275	L	7-100	16
ERS-1	1991 (July)	2000 (March)	5.3	C	25-100	35
ERS-2	1995 (April)	2011 (July)	5.3	C	25-100	35
Sentinel-1a	2014 (April)	Ongoing	5.405	C	5-40	12
Sentinel-1b	2016 (April)	Ongoing	5.405	C	5-40	12
Radarsat-1	1995 (Nov.)	2013 (March)	5.3	C	8-100	24
Radarsat-2	2007 (Dec.)	Ongoing	5.405	C	3-100	24
Radarsat Constellation	2019 (June)	Ongoing	5.405	C	3-100	12
ASAR / ENVISAT	2002 (March)	2012 (May)	5.331	C	30-1000	35
ASCAT Constellation METOP-A METOP-B METOP-C	2006 (Oct.) 2012 (Sept.) 2018 (Nov.)	Ongoing	5.255	C	12.5-25 km	29
Cosmos-SkyMed Constellation	2007 (June) 2007 (Dec.) 2008 (Oct.) 2010 (Nov.)	Ongoing	9.6	X	1-100	16
TerraSAR-X	2007 (June)	Ongoing	9.65	X	0.5-40	11
TanDEM-X	2010 (June)	Ongoing	9.65	X	0.5-40	11
KOMPASAT-5	2018 (Aug.)	Ongoing	9.66	X	1-20	28
PAZ	2018 (Feb.)	Ongoing	9.65	X	1-15	11
ICEYE Constellation	2018 (Dec.; 2) 2019 (May; 1) 2019 (July; 2) 2020 (Sept.; 2) 2021 (Jan.; 3) 2021 (July; 4)	Ongoing	9.65	X	3	22
NSCAT / ADEOS	1996 (Aug.)	1997 (June)	13.995	K <sub>v</sub>	25-50 km	41
QuickSCAT	1999 (June)	2009 (Nov.)	13.46	K <sub>v</sub>	12.5-25 km	4