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# HESS Opinions: Are soils overrated in hydrology?

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## Abstract

Traditional ~~"physically-based"~~ hydrological ~~modelstheories~~ are based on the assumption that soil is key in determining water's fate- in the hydrological cycle. According to these ~~modelstheories~~, soil hydraulic properties determine water movement in both saturated and unsaturated zones, described by matrix flow formulas ~~knownsuch~~ as the Darcy-Richards equations. ~~Soil properties-~~ ~~wouldThey~~ also determine plant available moisture and thereby control transpiration. ~~These-~~ ~~models are data-demanding, computationally intensive, parameter rich and, as we shall show, Here~~ we argue that these theories are founded on a wrong assumption. Instead, we ~~argueadvocate~~ the reverse: ~~it is the movement of the~~ the terrestrial ecosystem manipulates the soil to satisfy specific water ~~through a porous medium, creating preferential patterns, that determines soil properties;-~~ ~~while water movement is-~~ management strategies, which are primarily controlled by ~~the-~~ ~~ecosystem's~~ sits reaction to ~~the~~ climatic drivers- and by prescribed boundary conditions such as topography and lithology. According to this assumption, soil hydraulic properties are a- ~~"consequence"~~ an "effect", rather than a "cause" of water movement. ~~It is not the soil~~ We further argue that is in control of hydrology, it is the ecosystem. the integrated hydrological behaviour of an ecosystem can be inferred from considerations about ecosystem survival and growth, without

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28 relying on internal process descriptions. An important and favourable consequence of this climate  
29 and ecosystem-driven approach is that models developed with this approach it provides a physical  
30 justification for catchment models that do not require rely on soil information, are computationally  
31 cheap, and parsimonious. Our assumption is motivated by several arguments. Firstly, in well-  
32 developed soils the dominant flow mechanism is preferential, which is not particularly related to  
33 soil properties, such as pF curves. Secondly, we observe that it is the ecosystem, rather than the  
34 soil, that determines the land surface water balance and hydrological processes. Top-down  
35 analysis by large sample datasets reveal that soil properties are often a poor predictor of  
36 hydrological signatures. Bottom-up hydrological models usually do not directly use field-  
37 measurements of the soil, but "rebalance" the observed soil texture and translate it to soil structure  
38 by vegetation indices. Thus, soil-based models may be appropriate at small spatio-temporal scale-  
39 in non-vegetated and agricultural environments, but introduce unnecessary on the complexity and  
40 sub-optimal results in catchments with permanent vegetation. Progress in hydrology largely relies-  
41 on abandoning the compartmentalized approach, putting ecosystem at the centre of hydrology, and  
42 moving to a landscape-based associated to the description of soil water dynamics. Another  
43 consequence is that modelling approach. This change in water movement in the soil, if required,  
44 can benefit from the constraints that are imposed by the embedding ecosystem. Here we illustrate  
45 our ecosystem perspective is needed to build of hydrological processes and the arguments that  
46 support it. We suggest that advancing our understanding of ecosystem water management  
47 strategies is key to building more realistic and simpler hydrological models that go beyond current  
48 stationarity assumptions, but instead regard catchments as the result of ecosystems' coevolution  
49 with atmosphere, biosphere, hydrosphere, pedosphere, and lithosphere. theories and catchment  
50 models that are predictive in the context of environmental change.

## 51

## 52 **1 Introduction A change in perspective**

53 Soil is important. It in hydrology. Soil forms the substrate of the terrestrial ecosystem and hence it  
54 is a crucial element of the critical zone of life on Earth (Lin et al., 2006; Banwart et al., 2017).

55 ~~Recently it~~ Through its porous structure, exercising capillarity against gravity, it provides water  
56 storage against droughts and nutrients for plant growth.

57 It has been ~~demonstrated~~ argued that the soil forms an ecosystem in itself, full of micro-biotic and  
58 macrobiotic life (Ponge, 2015); Weil and Brady, 2017). Fungi forming dense underground  
59 networks live in symbiosis with vegetation, exchanging nutrients for carbon, which makes them  
60 responsible for the larger part of subterranean carbon storage (Domeignoz-Horta et al., 2021).

61 Soils are full of life. Above ground live life cannot survive without sub-surface life; they are ~~both~~  
62 part of the same ecosystem. ~~How this ecosystem functions, evolves and survives depends on~~

63 Soils are embedded in the ~~climatic and geological boundary conditions. Through~~ terrestrial  
64 ecosystems, which through evolution and natural selection, ~~the ecosystem has~~ found ways to  
65 make best use of ~~the climatic and geological~~ its resources. ~~In doing so,~~ The processes and structure  
66 of a terrestrial ecosystem are mainly controlled by external factors which are largely prescribed.

67 Among them, climate plays a major role, as rainfall patterns and seasonal temperatures strongly  
68 affect the distribution of vegetation types; other external factors include topography, lithology,  
69 which determines parental material, and potential biota (Chapin et al., 2011). Given these  
70 boundary conditions, a terrestrial ecosystem adjust its internal behaviour to satisfy its needs, and it  
71 manipulates the substrate on which it grows. ~~It manipulates~~

72 In particular, the soil is the result of a long-term evolution of terrestrial ecosystems given their  
73 boundary conditions. The classic *clorpt* model presented by Hans Jenny's famous 1941 book "The  
74 Factors of Soil Formation" states that  $s = f(cl, o, r, p, t, \dots)$ , where soil properties ( $s$ ) are seen as  
75 a function of climate ( $cl$ ), biotic effects ( $o$  for organisms), topography ( $r$  for relief), parent material  
76 ( $p$ ), time ( $t$ ), additional factors such as fire (represented by the dots) (Huggett, 2023). This model  
77 suggests that soil properties are largely determined by the embedding ecosystems.

78 Managing water is an essential task of terrestrial ecosystems, as water is essential to life. And it is  
79 not a trivial task, as it implies bridging dry weather periods, but also avoiding troubles caused by  
80 sustained or heavy rainfall, such as water stagnation or soil erosion. We argue that terrestrial  
81 ecosystems achieve this balance by manipulating key hydrological characteristics such as:

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82 interception capacity, infiltration capacity, moisture storage capacity, preferential pathways to  
83 replenish moisture stocks and recharge, and subsurface drainage. ~~So, soil is regarded as the key to~~  
84 ~~hydrological processes (Lin et al., 2006). Yet, in (most established) hydrological models, soils are~~  
85 ~~simplified to soil classifications (Freeze and Harlan, 1969; Refsgaard et al., 2022), such as sandy,~~  
86 ~~clayey, or loamy soils with their related soil characteristics, such as porosity, moisture retention~~  
87 ~~capacity, wilting point, plant available moisture, etc., which are subsequently combined by the~~  
88 ~~rooting depth of the dominant vegetation.~~ According to this view, a terrestrial ecosystem  
89 manipulates the soil hydraulic properties to satisfy specific water management strategies ~~(e.g.~~  
90 ~~Drewniak, 2019, Lu et al., 2019).~~ But this is the wrong way round.

91 Yet, the most established hydrological theories parameterize water fluxes using soil attributes such  
92 as texture, porosity, moisture retention capacity, wilting point, plant available moisture, etc. (e.g.  
93 Drewniak, 2019, Lu et al., 2019). ~~Traditionally, hydrologists assume that the root zone storage is a~~  
94 ~~function of plant available moisture and rooting depth (Yang et al., 2016). This approach considers~~  
95 ~~plant available moisture and rooting depth as the independent variables, and root zone storage as~~  
96 ~~the dependent variable. But it is the opposite. Plant available moisture and rooting depth are a~~  
97 ~~function of root zone storage, given some environmental constraints such as soil type and soil~~  
98 ~~depth. Obtaining the detailed spatio-temporal root and soil information at a global scale is~~  
99 ~~virtually impossible (Or, 2019), but fortunately not necessary.~~

100 ~~It is not the soil that determines the hydrology, it is the interaction between ecosystem and climate.~~  
101 ~~The climate provides the driver to the survival strategy of the vegetation. As a result, the~~  
102 ~~vegetation develops a rooting strategy, which results in a certain moisture buffering capacity (Gao~~  
103 ~~et al., 2014). These theories assume that soil properties are controlling processes such as infiltration,~~  
104 ~~drainage, or plant evaporation. But this is the wrong way round. Soil properties are the effect,~~  
105 ~~rather than the cause of water movement, which itself, is governed by the behaviour of the~~  
106 ~~embedding terrestrial ecosystem.~~

107 We therefore argue in favour of an ecosystem-based approach where the integrated hydrological  
108 behaviour of an ecosystem is inferred based on its water management strategies needed to survive  
109 and grow, without relying on internal process descriptions. As we shall see, this is not a

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110 prohibitive task. The very existence of an ecosystem already provides many indications about its  
111 ability to manage its water resources.

112 This ecosystem-based approach has several beneficial consequences for hydrology. First, it  
113 provides a physical justification for the development of catchment scale hydrological models that  
114 directly rely on the external factors that influence terrestrial ecosystems, such as climate,  
115 topography, lithology, etc. These models would be more realistic than soil-based models because  
116 based on the correct cause-effect relationships. Moreover, they would be less data demanding and  
117 simpler, as they would not require soil texture information and detailed description of soil water  
118 dynamics. Second, it would allow digging into the small scale, if this is deemed necessary,  
119 exploiting the constraints that are imposed by the behaviour of the larger scale system.

120 In the following, we first present the soil-centred hydrological perspective and its limitations  
121 (Section 2), we then argue that there is limited evidence that soil properties actually matter in  
122 catchment hydrology (Section 3), next we illustrate our terrestrial ecosystem perspective (Section  
123 4), and provide an interpretation of why the soil-based modelling tradition has proliferated in  
124 hydrology (Section 5), finally we illustrate the limitations of our approach (Section 6), and present  
125 our conclusions (Section 7).

## 126 **2 Limitations in the soil-centred hydrological perspective**

### 127 **2.1 Challenges in small-scale theories of soil water dynamics**

128 It is a deeply rooted perception in hydrology that small-scale soil water dynamics are key in  
129 determining the integrated catchment behaviour at larger scales such as the partitioning of rainfall  
130 between evaporation, drainage and storage (Vereecken et al., 2022). For example, soil is assumed  
131 to control plant evaporation, as plant available water content is often parameterized as a function  
132 of soil texture (Yang et al., 2016). Processes such as Hortonian overland flow, saturation excess  
133 overland flow, or percolation are often described in relation to water movement in the unsaturated  
134 zone, using laboratory-scale matrix flow theory developed by soil physicists, ~~Wang Erlandsson et~~  
135 ~~al., 2016).~~ Depending on the soil, this buffer translates in a root depth, extent, density and possibly  
136 access to deeper groundwater (McCormick et al., 2021). So, for hydrological modelling it is not

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137 ~~useful to measure soil characteristics and rooting depth. We do not need to know these dependent~~  
138 ~~variables if we can determine root zone storage directly.~~

## 139 **2—The soil-centred hydrological perspective**

140 ~~It is a deeply rooted perception in hydrology that soil is key in controlling rainfall runoff~~  
141 ~~processes (Vereecken et al., 2022). Over a century ago, soil physicists developed the laboratory~~  
142 ~~scale matrix flow theory, which is still widely used in so-called "physically-based" hydrological~~  
143 ~~and land surface models (LSMs) (Brutsaert et al., 2015; Xie et al., 2020). This theory describes~~  
144 ~~flow in porous media based on equations that depend on soil hydraulic properties (e.g. porosity,~~  
145 ~~hydraulic conductivity), which are typically associated to soil texture classes (e.g. clay, loam,~~  
146 ~~sand).~~ Darcy's law describes matrix flow under saturated conditions through a porous medium  
147 under a head gradient. Richards' equation regards matrix flow under unsaturated conditions in the  
148 vadose zone, determining water flow direction and velocity. Numerous simplified semi-empirical  
149 soil infiltration equations were also derived to simulate the infiltration excess overland flow, such  
150 as the Philip and Horton equations (Schoener et al., 2021). ~~The matrix flow in most cases is~~  
151 ~~regarded as a well-established theory, much like classical mechanics. To simulate catchment~~  
152 ~~hydrology, matrix flow equations have been extended to distributed physically-based models,~~  
153 ~~cutting catchments into rectangles or triangle fragments, and using topography to drive soil water~~  
154 ~~movement vertically and laterally (Duffy, 1998; Refsgaard et al., 2022). The water infiltration and~~  
155 ~~vertical redistribution in most land surface models are also based on simplified Richards~~  
156 ~~equations, for example in diffusivity form (Vereecken et al., 2019). These models generally~~  
157 ~~require many space and soil-dependent parameters that require substantial calibration and~~  
158 ~~generally lead to equifinality~~ The matrix flow theory is regarded as well-established, much like  
159 classical mechanics.

160 ~~However, by tracer~~ For a hydrological model to be considered "physically-based", it is generally  
161 assumed that it needs to be based on these small-scale theories. Land surface models (LSMs) are  
162 strongly based on these matrix flow equations (Freeze and Harlan, 1969; Lawrence et al., 2019),  
163 which determine soil water movement vertically and laterally (Duffy, 1996; Refsgaard et al.,

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164 2022). Even the representative elementary watershed (REW) approach (Reggiani et al., 1998), a  
165 physically based framework that describes catchment scale processes, is based on the integration  
166 of small-scale conservation equations developed for porous media.

167 This soil-centred perspective is highly rated in the hydrological community. Some of the most  
168 prestigious hydrology awards exemplify the tribute of the hydrological community to this  
169 perspective, such as the Henry Darcy medal of hydrological sciences in the European Geosciences  
170 Union (EGU), the Robert Horton American Geophysical Union (AGU) hydrological science  
171 medal, which are named after two hydrologists that pioneered the soil-centred approach.

172 Tracer field experiments, such as dye and isotope studies, have shown that matrix flow ~~has been~~  
173 ~~scarcely~~ rarely observed, ~~simply because a well-prepared homogenous soil under lab conditions~~  
174 ~~does not exist in nature~~. Most soils contain crevices, preferential channels, and openings that  
175 transmit free water quite rapidly to the sub-surface, which is termed ~~as~~ preferential flow (Beven  
176 and Germann, ~~1982~~ 2013; McDonnell et al., 2007; Beven, 2018; Zehe et al., 2021). Hence, natural  
177 conditions do not resemble well-prepared homogenous soil that can be recreated in a laboratory.

178 ~~To allow for preferential flows, modelers proposed more complicated models, which involved~~  
179 ~~even more free parameters to fit with observations. Unlike matrix flow, which has clear~~  
180 ~~description of water movement in homogenous porous media, it is much more challenging to~~  
181 ~~describe preferential flow by succinct mathematic equations. Although there have been~~ In response  
182 to this criticism, soil-water theories have become more complex, allowing for preferential flow,  
183 which required even more detailed soil characterizations. These challenges have stimulated the  
184 development of dual-continuum, dual-porosity, or dual-permeability modifications (Jarvis et al.,  
185 2016), most models are still based on matrix flow theory (Weiler, 2017). Because of the extreme  
186 complexity of soil preferential flow in nature, it is extremely hard, ~~if not impossible~~, to develop an  
187 accurate ~~model to~~ models that describe ~~and predict it~~, even ~~microscale water movement, at the plot~~  
188 scale. The challenge is exponentially greater when ~~we upscale~~ upscaling preferential flow from  
189 ~~spot~~ plot-scale to hillslope or catchment scales (Davies et al., 2013; Germann, 2014; Or, ~~2019~~).  
190 ~~However, this increase of model complexity, with more free parameters to calibrate, did not show~~  
191 ~~a clear improvement in~~ 2020). At the simulation of soil moisture, groundwater head, and discharge

~~(Glaser global scale, hyper-resolution land surface models, which are deemed necessary to addressing critical water cycle science questions and applications, can have up to  $10^9$  unknowns (Wood et al., 2019), 2011)!~~

~~From the beginning its establishment, preferential flow theory was regarded as the main culprit challenging the foundation of “physically-based” hydrological models, but it did not help the model builder. The focus on preferential flow appeared not. This avenue has led to shed light on a solution, but rather made the fog even denser.~~

~~Both matrix flow and preferential flow models are based on the *a priori* assumption that: require many space and soil properties are key in describing dependent parameters that are difficult to measure, that require massive computational resources, and that when calibrated are prone to equifinality. Arguably, the avenue of building more complex models by increasingly detailed representation of soil water movement is a steep one. But is it a necessary one, if the objective is to build a physically-based model of catchment scale hydrological processes. In this study, we question the general validity of this assumption, and ask whether thinking outside of the box of the soil based modelling framework could promote alternative, perhaps simpler theories, that help explain the observed patterns of?~~

## ~~2.2 Limitations in the pedotransfer functions approach~~

~~Soil-centred bottom-up hydrological variability.~~

## ~~3.1 Does soil variability matter in catchment hydrology?~~

### ~~3.1 Does soil influence the long-term water budget~~

~~Globally, based on ERA-5 data (the fifth generation of ECMWF atmospheric reanalysis), annual average terrestrial precipitation ( $P$ ) is 745 mm/yr ( $111 \text{ km}^3/\text{yr}$ ), of which 440 mm/yr ( $65.5 \text{ km}^3/\text{yr}$ , 60% of  $P$ ) returns to the atmosphere as evaporation ( $E$ ), and 305 mm/yr ( $45.5 \text{ km}^3/\text{yr}$ , 40% of  $P$ ) generates runoff ( $Q$ ) (Figure 1). It is clear that evaporation rather than runoff is the dominant flux after precipitation.~~

~~For terrestrial evaporation, the total global land area is 145 million  $\text{km}^2$ , of which 104 million  $\text{km}^2$  (72%) is vegetated. Of total evaporation ( $E_{\text{total}}$ ), soil evaporation only happens in the topsoil, with~~

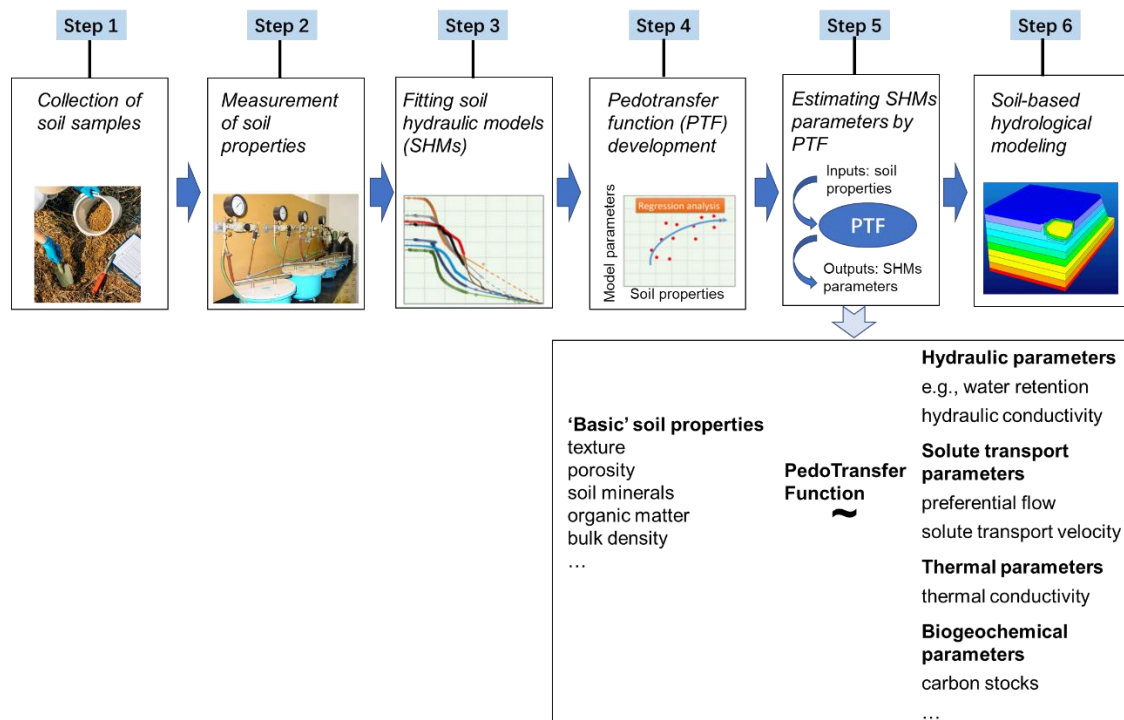


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219 a limited amount, only 6% of  $E_{\text{total}}$  (Good et al., 2015). As evaporation from vegetation and ground  
220 interception accounts for 27%, transpiration is the largest flux at about 60–70% of  $E_{\text{total}}$  (Coenders-  
221 Gerrits et al., 2014; Lian et al., 2018). An increasing number of studies confirmed that landuse-  
222 change, e.g. deforestation and afforestation, significantly alter runoff generation (Fenicia et al.,  
223 2009; Nijzink et al., 2016; Sun et al., 2020). Thus, vegetation, and more correctly the ecosystem,  
224 rather than soil, is the determining factor of the global and catchment water balance.

### 225 **3.2 Do soil-centred models reproduce observed patterns of** 226 **hydrological variability?**

227 In reductionist thinking the lead paradigm is that “the whole is the sum of the parts” (Sivapalan et-  
228 al., 2003). Bottom-up models are developed on the basis of small laboratory scale laws, where the  
229 assumption is that microscale laws remain valid after upscaling. Due to the difficult to-  
230 measure rely on estimates of soil hydraulic properties (SHPs), such as the water retention  
231 characteristics, and unsaturated and saturated hydraulic conductivity, modelers have used. As these  
232 properties are difficult to measure at appropriate scales, soil pedotransfer functions (PTFs) to-  
233 correlate readily available have been developed to express SHPs as a function of more accessible  
234 soil properties, such as soil texture (i.e. sand-, silt-, clay- content), organic matter, and bulk density  
235 with SHPs (Figure 1; van Looy et al., 2017; Or, 2019; 2020; Haghverdi et al., 2020; Gupta et al.,  
236 2021; Hohenbrink et al., 2023).



237

238 Figure 1. Schematic illustration of data collection, laboratory measurements, fitting soil hydraulic  
 239 models (SHMs), pedotransfer function (PTF) development, and soil-based hydrological modelling  
 240 workflow (adapted from Van Looy et al., 2017 and Haghverdi et al., 2020).

241 There are several critical issues with the practicality and accuracy of this approach, which result in  
 242 inevitable biases: 1) most soil property parameters are measured by pedologic surveys, at great  
 243 expense and efforts (Van Looy et al., 2017). Due to limited field measurement, most soil property  
 244 maps rely on uncertain interpolations and upscaling, which are based on soil forming factors such  
 245 as climate, parent material, topography, biota, and time (van Looy, 2017);2017). 2) PTFs are  
 246 usually obtained by using measurements from uniform soil samples, and performed in laboratory-  
 247 scale experiments, which merely reflect disturbed and therefore unnatural conditions; 3) the  
 248 parameters obtained at the laboratory scale are not necessarily the same as at the modelled model  
 249 scale, which requires upscaling assumptions, which are difficult to verify; or recalibration, which  
 250 isrecalibrate, hampered by equifinality; 4) as emerging properties are scale dependent, the  
 251 processes that are important at lab or plot scale are not necessarily important at catchment scale  
 252 (Blöschl and Sivapalan, 1995).

253 Unfortunately, readily-available soil information (e.g., texture, bulk density, organic matter)

254 correlates poorly with soil hydraulic properties. Gutmann and Small (2007) have shown that soil  
255 textural classes, across a range of climates and vegetation covers, merely explained 5% of the  
256 variance of real SHPs. In another study, it was found that 95% of the default soil hydraulic  
257 parameters in a state-of-the-art land surface model, largely based on soil textural data, were  
258 significantly different from region-specific observations (Kishné et al., 2017).

259 Recent studies showed that in order to achieve more realistic estimates of soil hydraulic properties  
260 it is necessary to include information about vegetation or biophysical activity (Or, 2020). For  
261 example, Bonetti et al. (2021) proposed soil structure corrections into pedotransfer functions,  
262 informed by remote-sensing vegetation metrics and local soil texture. Additional studies  
263 "rebalance" the soil texture information and highlight the importance of soil structure, originated  
264 by soil biophysical activity (Or, 2020; Fatichi et al., 2020). Not only the "physically-based"  
265 models, but also the empirical soil-based models, for example the soil conservation service (SCS)  
266 method in the SWAT model (soil water assessment tool), involve land-use data to "rebalance" the  
267 soil-based curve number in catchment simulations (Arnold et al., 2012).

268 Building realistic pedotransfer functions requires detailed characterization of the soil, requiring a  
269 large number of parameters that are difficult to estimate. This approach, while feasible for a  
270 hillslope or a headwater catchment, becomes impractical at regional or global scales. For  
271 hydrological purposes, the ultimate goal is often to determine integrated fluxes of hydrological  
272 response at large scales. Hence, it is worth asking: can this integrated behaviour be determined  
273 directly from observations, without resorting to small-scale theories and upscaling assumptions?

### 274 **3 Does soil variability matter in catchment hydrology?**

#### 275 **3.1 Do soil-centred models reproduce hydrological variability?**

276 A key objective of "physically based" models is to represent hydrological variability, such as  
277 spatial patterns of soil moisture, runoff or evaporation. Figure 4-2 is a ~~mis~~revealing illustration of  
278 how a "physically based" model, ~~making use of that relies on~~ detailed soil information, can make  
279 ~~completely wrong~~inconsistent predictions under extreme circumstances (Beekman et al., 2014).

280 On average, these models may function adequately, as almost all hydrological models do under

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281 average conditions, but the example of Figure 4.2 shows how during a relatively extreme drought  
282 in The Netherlands the modelled evaporation ~~diverts considerably from observations~~ is unrealistic.

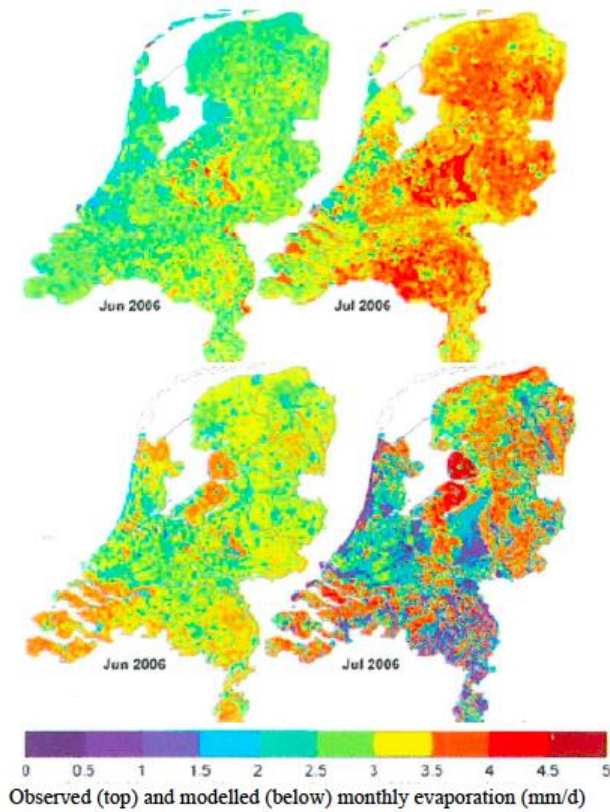
283 The ~~observed~~ top panels in Figure 2 show remote sensing derived evaporation ~~was~~ obtained by  
284 interpolation of eddy-covariance and lysimeter observations using ETLook, an energy balance-  
285 based evaporation product (Bastiaanssen et al., 2012). The ~~modelled~~ bottom panels in Figure 2  
286 show evaporation ~~was generated by~~ modelled with the ~~dominant Dutch hydrological~~-  
287 ~~model~~ Netherlands Hydrological Instrument (NHI) distributed model, which heavily relies on  
288 detailed soil ~~maps~~ data. The two methods for estimating evaporation are independent, and  
289 ~~so~~ arguably, the ETLook approach is more realistic, as it is based on eddy-covariance  
290 observations. The comparison is presented for two dry summer months in 2006: June (left  
291 panel) and July (right panel).

292 Two aspects of this comparison are striking. First, in terms of temporal dynamics, ETLook  
293 evaporation estimates show an increase in response to increased evaporative demand, whereas the  
294 NHI evaporation estimates are decreasing, in response to water stress. Second, in terms of spatial  
295 patterns, ETLook estimates are more uniform in response to relatively uniform climatic  
296 conditions, whereas NHI estimates are highly variable, mimicking the variability of the soil maps  
297 used in the model, which are used to determine plant available storage. The July 2006 picture in  
298 the lower part ~~bottom panel~~, in fact, mimics the soil map. Red (high evaporation) is seen on clay  
299 soils and purple (almost no evaporation) on sand.

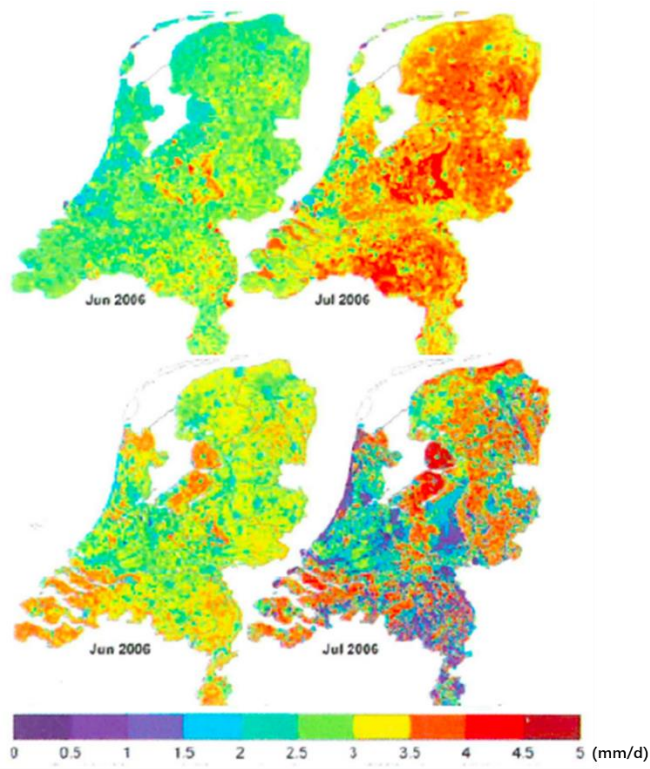
300 It is ~~funny~~ interesting to ~~see~~ observe that ~~in reality~~ according to ETLook (top right) the forested  
301 sandy part at the centre of The Netherlands was evaporating lushly, whereas ~~in~~ according to the  
302 hydrological model (bottom right), this ecosystem appeared to be dead. ~~In fact, all~~ Apparently, the  
303 ecosystems continued to evaporate well during ~~this~~ July 2006, in spite of the dry ~~month.~~  
304 ~~Apparently,~~ weather conditions. Our interpretation is that the ecosystems had prepared for this  
305 eventuality and had created enough ~~root zone~~ rootzone buffer to overcome this period of drought,  
306 compensating for the variability of soils.

307 Although such mismatch between distributed model outputs and ~~observed~~ remote sensing

308 monitored patterns are not infrequent, they are typically not regarded as a challenge to the basic  
309 model assumptions, but rather, as a problem associated to the uncertainty in model inputs. Hence,  
310 such soil-centred hydrological models remain vivid under the hope that “novel, highly resolved  
311 soil information at higher resolutions than the grid scale of LSMs may help in better quantifying  
312 sub-grid variability of key infiltration parameters” (Vereecken et al., 2022). But is this a realizable  
313 hope?



314



315

316 Figure 12. Evaporation during June (left) and July (right) of 2006 in The Netherlands.

317 ~~Modelled~~Remote sensing derived above, modelled with a “physically-based” hydrological model  
 318 below ~~and observed on top~~ (from Beekman et al., 2014)

319 **3.33.2 Is soil a good predictor for hydrological signatures streamflow**  
 320 **spatial variability?**

321 The top-down approach, ~~as is~~ a genuine common way ~~of learning to infer internal catchment~~  
 322 behaviour and its controlling factors from catchment response data, ~~is amenable to systematic~~  
 323 learning and hypothesis testing (Sivapalan et al., 2003), ~~which is more suitable to understand the~~  
 324 complex hydrological system. There are many predictors for hydrological signatures, including).  
 325 For example, this approach has often been used to interpret spatial variability of streamflow based  
 326 on controlling factors such as climate, vegetation, topography, geology and ~~soil~~soils. Interestingly,  
 327 in ~~catchment hydrology~~these applications, soil properties are often a poor predictor of  
 328 hydrological signatures. streamflow variability. For example, Addor et al., (2018) used 671  
 329 catchments in the USA and found that, compared to soil properties, landscape features, i.e.  
 330 vegetation and topography, have stronger correlations with hydrologic signatures, not only for  
 331 mean runoff average streamflow, but also for high-flow, low-flow, and runoff streamflow

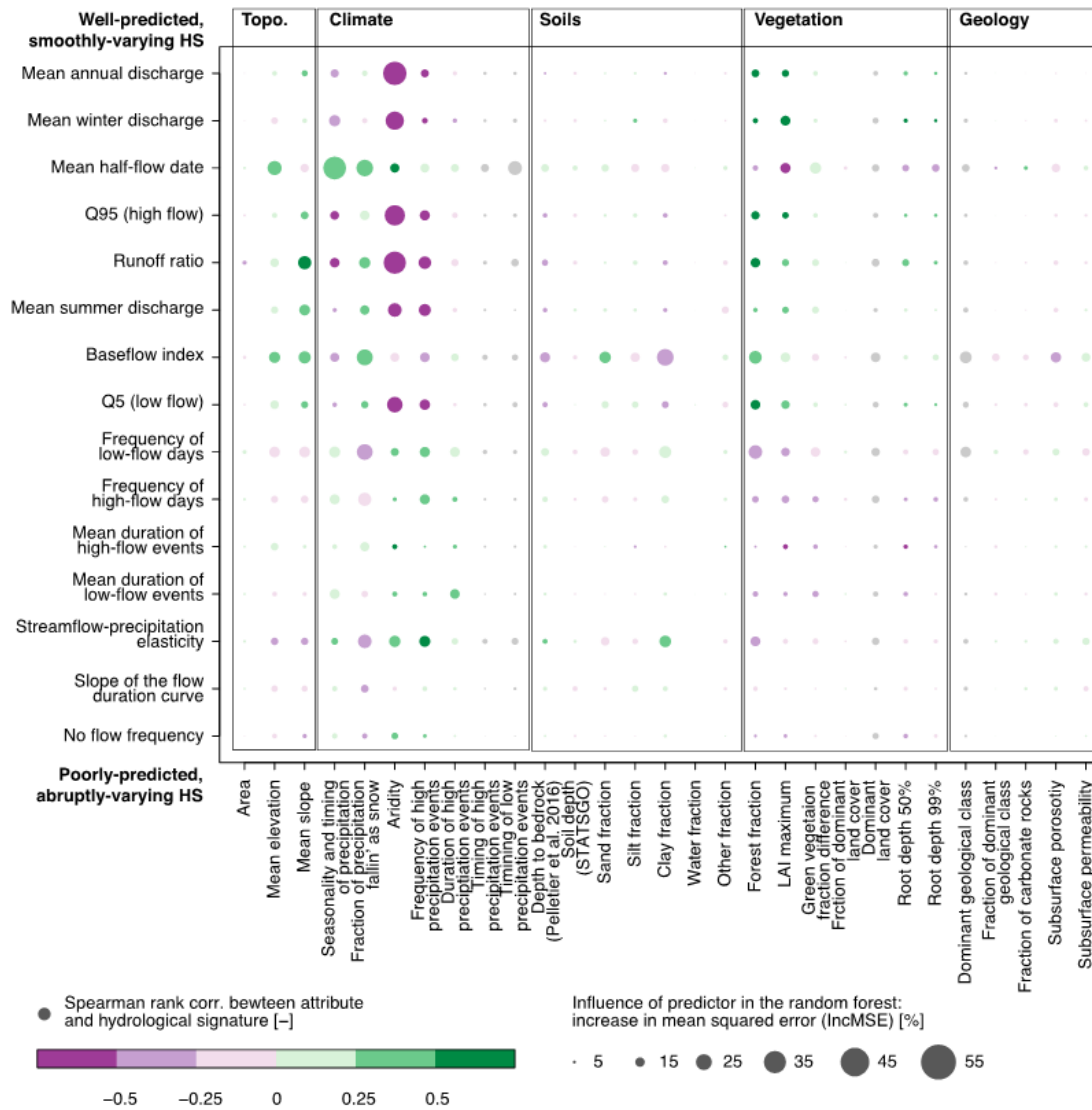
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332 seasonality (Figure 23).

333 ~~The soil may~~One the arguments in favour of high resolution distributed models has been their  
334 ~~ability of spatial extrapolation, such as capturing the spatial variability of streamflow. Such~~  
335 ~~extrapolation ability cannot be important for small scale (agricultural) hydrology, but this~~  
336 ~~importance is easily overridden~~achieved by other factors at larger scale, for example climate,  
337 topography, vegetation, and geology. All these factors are intertwined, and result in preferential  
338 flow not only in the soil profile, but for all hydrological processes at multi-scales (Uhlenbrook,  
339 2006), ranging from fingering, macropores, forest canopy, leaves, roots, fractures, rills and gullies,  
340 all the way to the river network, and even in the groundwater system (Savenije, 2018). These  
341 preferential flow patterns have great influence~~lumped models that rely on all hydrological~~  
342 ~~processes, including infiltration, percolation, groundwater discharge, and river channel routing~~  
343 (Rodrigues-Iturbe and Rinaldo, 1997; Sivapalan, 2006; Savenije and Hrachowitz, 2017). The  
344 infiltration capacity, in the absence of vegetation, may be a determining factor for infiltration  
345 excess overland runoff (Hortonian flow), but this scarcely occurs in natural vegetated landscapes  
346 where the ecosystem facilitates infiltration, even in arid regions (Zhao et al., 2019). In mature  
347 ecosystems, runoff is dominated by subsurface flow, which is a storage-discharge relationship.  
348 The preferential flow path, as the connector between small and large scale observations, makes  
349 the surface, rootzone and ground water systems react to rainfall as an integrated fill-and-spill  
350 system (Tromp-van Meerveld and McDonnell, 2006). This allows modellers to merely  
351 ~~focus~~calibration on input-output and storage change, to reproduce the complex rainfall-runoff  
352 processes through the heterogeneous but fractal patterned surface and subsurface.

353 Interestingly, in practice, simple~~each individual~~ catchment hydrological models, even without  
354 explicitly considering detailed matrix flow or preferential flow, can reproduce complex rainfall-  
355 runoff processes, with surprisingly good performance. The reliability and robustness of the widely  
356 used HBV (Seibert, 2018), GR4J (Perrin et al., 2001), Xinanjiang (Zhao, 1992), and FLEX-  
357 (Fenicia et al., 2011; Gao et al., 2022), have been tested and validated in a wide range of regional-  
358 and global hydrological studies (Bergström and Lindström, 2015; Mao and Liu, 2019; Wang et al.,  
359 2021). There are also. However, there are now several examples of catchment scale distributed

360 models that describe the observedspatial variability of hydrological signaturesstreamflow without  
 361 relying on soil information (e.g. De Boer-Euser et al., 2016; Fenicia et al., 2016; Gao et al., 2019;  
 362 Dal Molin et al., 2020; Fenicia et al., 2022). These models are clearly more complex than lumped  
 363 models, but not orders of magnitude more complex, as they distribute parameters according to a  
 364 small number of landscape units.



365  
 366 Figure 23. Comparison of the influence of catchments attributes and hydrological signatures for  
 367 671 U.S. watersheds (from Addor et al., 2018). Large, brightly coloured circles imply strong  
 368 correlations and high influence. (Reprinted by permission of John Wiley and Sons).



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## 369 4 Putting the terrestrial ecosystem at the centre of hydrology

### 370 4.1 Ecosystem hierarchy

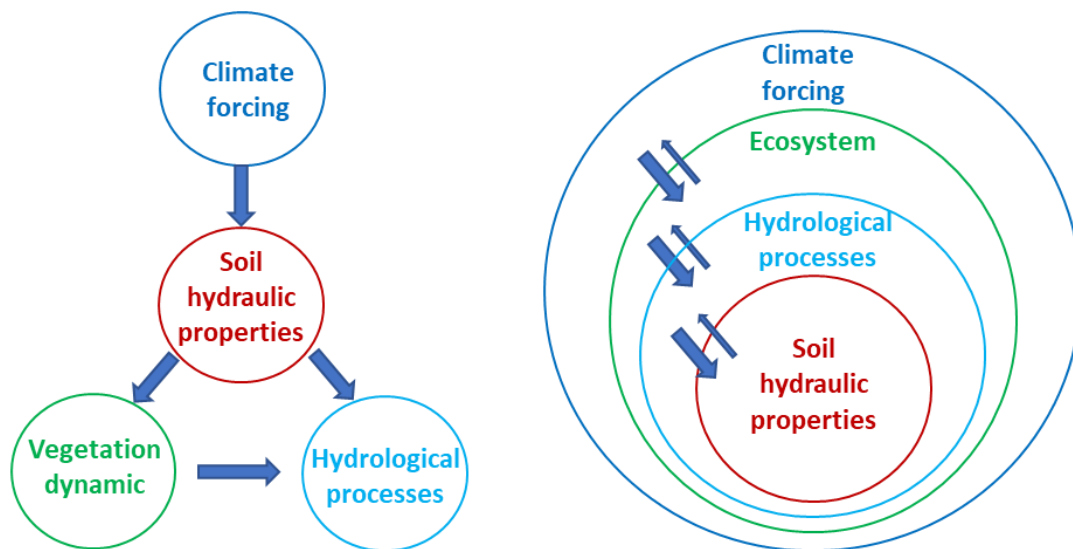
371 It has been shown that terrestrial ecosystems largely respond to external climate forcing, and to the  
372 lower boundary conditions determined by topography and lithology (Chapin et al, 2011). With  
373 time, terrestrial ecosystems organize themselves to make best use of the available solar energy and  
374 resources. Hence, they adapt to the climate, by developing vegetation types in response to rainfall  
375 patterns and seasonal temperatures. They also develop the soil given the climate, organisms,  
376 topography and parental material as suggested by the *clorpt* model (see Section 1).

377 Our view, consistent with this perspective, is that an ecosystem adjusts the soil hydraulic  
378 properties to fulfil specific water management criteria. Hence, understanding the water  
379 management strategies of the ecosystem is a prerequisite to understanding and modelling soil  
380 processes. This perspective is opposite to the classical soil-centred hydrological perspective  
381 presented in Section 2, which sees water fluxes, such as evaporation and drainage, as a function of  
382 soil properties.

383 Figure 4 further illustrates our ecosystem view and how it differs from the classical approach in  
384 hydrological science. The traditional view is represented by the four isolated circles in the left  
385 panel of Figure 4. This view assumes that soil plays a central role in governing the terrestrial water  
386 cycle. In particular, depending on climate forcing, soil hydraulic properties will determine water  
387 availability for vegetation and water fluxes such as percolation and surface runoff. According to  
388 this view, the understanding of soil water processes is a prerequisite to simulate vegetation  
389 dynamics and water fluxes. The circles are isolated from each other, reflecting that in this view  
390 soil properties, vegetation cover and climate are seen as independent on each other, and can  
391 influence independently hydrological processes. Indeed, hydrological models typically  
392 parameterize soil and vegetation independently from each other and from climate forcing.

393 Our view is represented by the nested circles in the right panel of Figure 4. Climate sets the  
394 boundaries for the terrestrial ecosystem, and in turn, the ecosystem manages its water resources,  
395 determining hydrological processes. Soil hydraulic properties are a function of the ecosystem

396 water management strategies. The circles are nested to reflect the hierarchy between them, in the  
 397 sense that internal circles are dependent on the external ones. The double arrows indicate that  
 398 there are feedbacks between these circles, but the influence of the external circles on the internal  
 399 ones is much greater than vice versa. More specifically, local climate has a strong effect on an  
 400 individual ecosystem, which intentionally adapts to it, developing strategies to grow, survive and  
 401 reproduce. In turn, an individual ecosystem cannot change the local climate significantly  
 402 according to its needs. Hence, the feedback of an ecosystem on the climate is smaller and less  
 403 “intentional” than the effect that the climate exerts on an ecosystem. Similarly, the control that the  
 404 terrestrial ecosystem exerts on soil hydraulic properties, mediated by its water management  
 405 strategies, is much greater and purposeful than the control of the soil on the embedding ecosystem.  
 406 In our perspective, such hierarchy and interactions can reduce rather than add complexity and  
 407 facilitate hydrological process understanding and modelling. For example, it provides a  
 408 justification for the level of detail of catchment models. In many applications of catchment  
 409 hydrology, the ‘ecosystem circle’ represents the necessary level of detail, and as the effect of soil  
 410 on the ecosystem is rather minor, it is unnecessary to dig into what happens within the ‘soil water  
 411 circle’.



412  
 413 Figure 4. The isolated circles (left) represent the traditional soil-centred hydrological perspective.  
 414 The nested circles (right) represent our view of ecosystem hierarchy and cause-effect

---

415 relationships.

#### 416 **4.14.2 The ecosystem is the ultimate water manager**

417 ~~Engineering hydrologists have a long tradition to focus on runoff generation, which represents at~~  
418 ~~the same time the most valuable water resources and the cause of water related disasters, i.e.~~  
419 ~~floods and droughts. There is limited realisation that runoff is merely the ecosystem's by-product~~  
420 ~~of water use (Bonan, 2015), only accounting for 40% of the precipitation, while the ecosystem~~  
421 ~~evaporates 60% of precipitation back to the atmosphere in its drive to grow and survive.~~

422 ~~A natural~~ An ecosystem that results from a process of evolution contains traits that are functional  
423 to its survival. In this perspective, it is important to understand what the system is trying to  
424 achieve in order to explain and predict its behaviour. In the context of hydrology, this approach  
425 requires to understand (i) which water management strategies the ecosystem needs to adopt in  
426 order to sustain itself and survive, (ii) how hydrological processes, such as interception, surface  
427 runoff (or lack thereof), subsurface stormflow, contribute to satisfy the water needs of the  
428 ecosystem, and (iii) which physical characteristics the system needs to demonstrate to enable such  
429 processes. This evolutionary perspective considers the structure and internal processes of the  
430 ecosystem dependent on its overall behaviour, and it is contrary to the static approach which  
431 underlies typical description of soil hydrology, where the system structure is seen as prescribed,  
432 and small-scale processes are assumed to determine overall system behaviour.

433 So, what are the water strategies of the ecosystem, and how do they affect its structure and internal  
434 hydrological processes? Humans are well aware that water management is critical to their  
435 survival. For this reason, they have developed activities for optimum use of water resources such  
436 as flood control, water storage, water conservation, river regulation, irrigation, and water  
437 treatment. Similarly, a natural ecosystem can only survive if it organizes its water resilience. This  
438 In other words, if an ecosystem had not organized its water resilience requires not only, it would  
439 not have survived and would no longer exist. The very existence of an ecosystem tells us several  
440 aspects of its water management strategies. In particular:

- 441 • An ecosystem needs to provide sufficient moisture storage in the root zone, to rootzone, so

---

442 that vegetation can overcome critical dry spells, but also sufficient infiltration capacity  
443 and subsurface drainage to maintain moisture levels between acceptable boundaries: not  
444 too wet and not too dry. ~~If given sufficient time,~~

- 445 ~~• Runoff ,the excess water after precipitation has replenished the ecosystem ~~will improve~~  
446 ~~the infiltration capacity both's water deficit needs~~ to ~~replenish water in the root zone~~  
447 ~~be drained as quickly and efficiently as possible.~~~~
- 448 ~~• Preventing surface runoff is an essential need of an ecosystem, which serves to  
449 ~~prevent~~avoid soil erosion. Indeed, surface runoff; ~~is seldom do we observe surface runoff~~  
450 ~~in a natural environment. The ecosystem~~observed on vegetated hillslopes. It does occur  
451 ~~on bare rocks, where there is no vegetation, or on floodplains, where saturation overland~~  
452 ~~flow does not cause significant erosion. Also, it occurs in disturbed ecosystems, such as~~  
453 ~~urbanised areas, roads, paths and ploughed agricultural fields. In rare cases, such as the~~  
454 ~~Loess Plateau in China, the failure of surface runoff prevention caused severe soil erosion~~  
455 ~~at local scale and disastrous sediment deposition and flooding in the lower Yellow River.~~~~
- 456 ~~• The ecosystem needs to retain nutrients and soil particles and to retain water for plants.  
457 ~~For this reason, it~~ creates preferential flow paths that facilitate infiltration ~~in order to~~  
458 ~~retain nutrients and soil particles and to direct rainfall to where it is most needed, while~~  
459 ~~retaining moisture and nutrients in retention zones.~~ If there is too much ~~infiltration~~water,  
460 then ~~sub-surface drainage by preferential~~excess water ~~bypasses the rootzone where it can~~  
461 recharge ~~and the groundwater or is evacuated through preferential~~ sub-surface drainage  
462 patterns on hillslopes ~~evacuates excess water. Preferential flow rapidly delivers the excess~~  
463 ~~water, bypassing the rootzone, to the groundwater system (McDonnell, 2014). By wave~~  
464 ~~propagation. This type of drainage generates subsurface storm flow and recharges~~ the  
465 groundwater ~~head created by the recharge pushes pre-event groundwater to the streams~~  
466 ~~(Kirchner et al., 2003; Hrachowitz et al., 2016; Worthington, 2019) also through~~  
467 ~~preferential flow patterns (Savenije, 2018). In summary, prevailing preferential flow~~  
468 ~~paths, mostly as a result of a variety of biological activities, determine rainfall~~  
469 ~~partitioning and runoff generation, moving beyond plot-scale heterogeneity and process~~~~

---

470 ~~complexity, where landscape and ecosystem are the determining factors rather system.~~

471 • ~~Ecosystems will generally avoid catastrophic events such as death from drought,~~

472 ~~temperature stress, landslides, windthrows or fires. If such disruptive events occur, it is~~

473 ~~generally at time scales longer than the soil-ecosystem memory. If disturbances occur~~

474 ~~more frequently, ecosystem generally develop resilience to them, such as in the case of~~

475 ~~frequent fire, where ecosystems can develop fire resistant species, or vegetation that can~~

476 ~~recover biomass more quickly (Chapin et al., 2011).~~

477 ~~Modelers utilizing a bottom-up approach also noticed that the simple paradigm of using soil-~~

478 ~~texture as a main predictor of SHPs is problematic (Bonetti et al., 2021). Gutmann and Small-~~

479 ~~(2007) have shown that soil textural classes, across a range of climates and vegetation covers,-~~

480 ~~merely explained 5% of the variance obtained from the real SHPs. It was found that 95% of the-~~

481 ~~default soil parameters in a state-of-the-art landsurface model were significantly different from-~~

482 ~~region-specific observations (Kishné et al., 2017).-~~

483 ~~With the biased observation and the scale effect, modelers need to recalibrate numerous free-~~

484 ~~parameters. There are studies that "rebalance" the soil texture information and highlight the-~~

485 ~~importance of soil structure, originated by soil biophysical activity (Or, 2019; Fatichi et al., 2020)-~~

486 ~~These models do not directly use field measurements of the soil, but "rebalance" the observed soil-~~

487 ~~texture and translate it to soil structure by vegetation indices, e.g. the aboveground vegetation-~~

488 ~~biomass and leaf area index (LAI) (Bonetti et al., 2021). Involving vegetation cover and-~~

489 ~~productivity prominently improved the hydrologic response with respect to runoff generation,-~~

490 ~~infiltration and drainage (Or, 2019). Not only the physically based models, but also the empirical-~~

491 ~~soil-based models, for example the soil conservation service (SCS) method in SWAT model (soil-~~

492 ~~water assessment tool), also involve landuse data to "rebalance" the soil-based curve number in-~~

493 ~~catchment simulation~~ Considering hydrological processes in the context of their purpose from an

494 ecosystem perspective can clarify cause-effect relationships and therefore help their

495 conceptualization and modelling. For example, it can constrain plausible values of SHPs, which

496 can be determined based on considerations about overall system behaviour.

---

~~(Arnold et al., 2012).~~

### **4.24.3 The rootzone is the key element in hydrology ~~not the soil~~**

~~Vegetation as a living organism optimizes its rootzone water storage to stabilize water supply at minimal carbon cost, and sometimes access to the deeper groundwater, to cater for periods of drought (Gao et al., 2014; McCormick et al., 2021). From a hydrology perspective, the~~  
~~From a catchment hydrology perspective, a key objective is to determine the partitioning of precipitation between evaporation, drainage and storage. This partitioning mostly takes place in the rootzone.~~  
~~The~~ vertical profile of the critical zone can be divided into different layers, i.e.: canopy, litter layer, ~~root zone~~rootzone, water transition zone, unconfined groundwater, and confined groundwater. ~~The most active layers in the critical zone defining rainfall partitioning and related hydrological processes include:~~  
~~The most significant phase change of water happens in the canopy, litter layer, and~~ root zone~~.rootzone. Once water overtakes these zones, evaporation is relatively small and water is routed to the stream through various pathways.~~ Globally, the vegetation interception storage capacity of terrestrial ecosystems is about 1-2 mm, as estimated by ~~remote~~remote sensing-based LAI data (De Roo et al., 1996). The litter layer storage capacity differs ~~in different~~among ecosystems, but it is likely to increase the total interception storage capacity to around 2-5 mm (Shi et al., 2004). ~~For determining the;~~ Gerrits et al., 2010). Global average rootzone storage capacity ~~of ecosystems, the mass curve technique appeared to work well locally in vegetated regions is about 146-242 mm, as estimated by multiple approaches and globally (Gao et al., 2014~~datasets (Kleidon, 2004; Wang-Erlandsson et al., 2016) whereby use was made of ERA-5 evaporation data to estimate, resulting in a global average root zone storage capacity of 228 mm (Figure 3). Hence, rootzone storage capacity2016), which is significantly larger than interception and litter layer water storage capacities. ~~Beneath the rootzone, water in the transition zone between root zone and groundwater and the unconfined groundwater does not have phase changes, and will be discharged as runoff under natural condition. The deep confined groundwater has a very long transit time, without much connection to surface processes, and is not considered in surface runoff calculation in most cases. Hence, the root zone storage plays the dominant role in catchment hydrology, determining how catchments respond to rainfall.~~

---

525 Therefore, the rootzone storage is the one with the longest memory, which influence how much  
526 precipitation eventually becomes streamflow.

527 Referring to common hydrological models, the rootzone storage can be assimilated to the  
528 “production” reservoir in the GR4J model (Perrin et al., 2003), the “upper zone” reservoir in HBV  
529 (Lindström et al., 1997), the “tension water” storage in Xinanjiang model (Zhao, 1992), or the  
530 “soil moisture” storage in probability distributed model (PDM) (Moore, 2007). In these models,  
531 the size of this reservoir is typically obtained by calibration. This approach is clearly  
532 unsatisfactory from a theoretical point of view as it makes these models not predictive under  
533 environmental change.

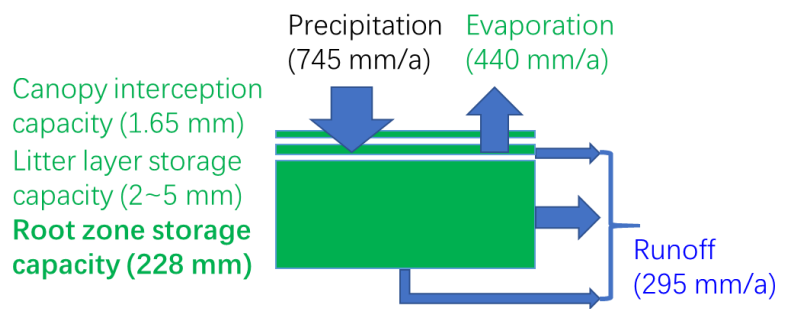
534 From a soil-based perspective, the rootzone storage is commonly estimated as a function of plant  
535 available moisture and rooting depth (Yang et al., 2016). In our view, this approach is also not  
536 satisfactory, as it considers plant available moisture and rooting depth as independent variables,  
537 and rootzone storage as the dependent variable. We argue the reverse: plant available moisture and  
538 rooting depth are a function of the rootzone storage that is created by the ecosystem to fulfil its  
539 water management strategies. Moreover, the classical approach is impractical, as obtaining the  
540 detailed spatio-temporal root and soil information at a global scale is virtually impossible (Or,  
541 2020).

542 So, how to determine rootzone storage without resorting to calibration, or in situ measurements?

543 As mentioned in the previous section, our ecosystem approach would start with understanding the  
544 ecosystem water management strategies, and using this understanding to figure out how the  
545 ecosystem needs to organize its internal behaviour. Vegetation will try to maintain evaporation  
546 close to potential to maximise net carbon profit. It will therefore optimize its rootzone water  
547 storage so that it is sufficiently large to overcome typical dry spells, much like humans size dams  
548 to sustain droughts (Gao et al., 2014). An approach that appeared to work well locally and globally  
549 for estimating the rootzone storage capacity is the mass curve technique, originally developed for  
550 reservoir design at an acceptable probability of failure (Gao et al., 2014; Wang-Erlandsson et al.,  
551 2016). Here the supply is represented by precipitation, and the demand by potential evaporation.  
552 This technique is uniquely based on climate data. This technique has an important benefit over

553 approaches based on calibration or field observations: it can also be used to describe how the  
 554 rootzone would evolve in response to climate change.

555 It is worthwhile noting that rootzone and soil have a strong connection, but are essentially  
 556 different things. The soil profile can reach over hundreds of meters<sup>2</sup> depth, e.g. the Loess Plateau  
 557 in China (Zhang et al., 2014), of which only the rootzone is the active area, whereby the soil is  
 558 merely the substrate of it. Rootzone storage can also be larger than soil water storage, for example  
 559 in karst mountainous areas where soil is thin and discontinuous, bedrock storage serves as an  
 560 important source of plant-available water (McCormick et al., 2021). ~~Rootzone water storage is a~~  
 561 ~~more accurate term in hydrology, which cannot be measured directly, but with clear physical~~  
 562 ~~meaning, similar to the runoff depth and runoff efficiency.~~In very dry climates, roots can even  
 563 reach the deep groundwater, thus in this case, the rootzone also includes some part of the  
 564 groundwater (see Singh et al., 2020). In cold regions, it is necessary to take account of snowmelt  
 565 and soil freeze/thaw processes on rootzone water storage and resulting hydrologic connectivity  
 566 (Gao et al., 2020; 2022). In cropland, where irrigation provides an extra water supply to rootzone  
 567 during dry seasons, the rootzone water storage capacity is often smaller than under natural  
 568 conditions with similar climate conditions (Xi et al., 2021).



569 Figure 3. The importance of root zone storage capacity in catchment hydrology. All the numbers  
 570 are global average values in vegetated area, obtained by ERA-5 data from 1980 to 2020.  
 571 are global average values in vegetated area, obtained by ERA-5 data from 1980 to 2020.

#### 572 **4.34.4 Landscape-based model: the giant view of hydrology**

573 A soil-based model of catchment scale processes is like ~~an~~the ant's perspective, observing a  
 574 complex world of heterogeneities and randomness. (Savenije, 2010). According to this  
 575 perspective, small-scale processes are the basis for integrated system behaviour. As a result, a



---

576 model can be “physically-based” only if it relies on small scale physics.

577 Seeing the patterns of hillslope, landscape and catchment is rather the giant's perspective, as these  
578 patterns only become visible when we zoom out well beyond the microscale of the soil or the  
579 human scale (Savenije, 2010; Gao et al., 2018). Landscape, as the integration of topography and  
580 landcover, beingis seen as the long-term co-evolution of ecosystem, atmosphere, lithosphere,  
581 pedosphere, hydrosphere, and human activities (~~Mücher et al., 2010; Wu, 2013; Troch et al., 2015~~)  
582 is rather the giant's). According to this perspective (~~Savenije, 2010~~). The pattern of hillslope,  
583 landscape and catchment only becomes visible when we zoom out well beyond the microscale of  
584 the soil or the human, a “physically-based” model needs to be based on large-scale, ~~for that matter~~  
585 system behaviour.

586 ~~At~~Both approaches can produce models that provide good results. However, in our perspective,  
587 for catchment hydrology applications it is the giant’s perspective that wins. First, the giant’s  
588 model captures the right cause-effect relationships, and is therefore more satisfactory from a  
589 theoretical point of view. For example, it is a tool to test how an ecosystem would adapt to  
590 changes in climatic drivers. Second, landscape-based catchment models will generally be simpler  
591 than fragmented catchment models, as natural system exhibits emergent properties, which  
592 effectively enable a description of large-scale, hydrological laws can be processes independent  
593 on what happens at the smaller scale. Such emergent properties are often characterized by simple  
594 laws, such as the fill and spill bucket model with thresholds and associated time scales  
595 (~~McDonnell et al., 2021~~), and the linear reservoir for groundwater ~~are physically sound~~ at  
596 hillslope and catchment scale (Savenije, 2010; Fenicia et al., 2011; Savenije and Hrachowitz,  
597 2017). ~~Landscape based models also use conservation of mass and energy, but momentum transfer~~  
598 ~~is made of conceptual relations that relate discharge to storage using landscape and ecosystem~~  
599 ~~characteristics, such as travel times and a probability function for runoff thresholds. Geology as~~  
600 ~~the substrates of hydrological processes also plays an important role on slow processes.~~

601 Interestingly, the underground hydrogeology groundwater system ~~seems~~ also appears to be self-  
602 organized and structured (Savenije, 2018). For example, the recession parameter  $k$  is around 4345  
603 days in worldwide catchments regardless of their climate, topography, soil, and geology (Brutsaert

---

604 ~~and Sugita, 2008). Discovering these properties and related signatures benefit our understanding~~  
605 ~~and prediction of the dynamic adaption of ecosystems to environmental change, and the~~  
606 ~~subsequent impacts on hydrology (Gharari et al., 2014; Jackisch et al., 2021).~~

607 ~~Explosive growth of remote sensing technology and powerful geographic information system~~  
608 ~~(GIS) toolkits provide unprecedented opportunities, with a giant's view, to closely read landscapes~~  
609 ~~and monitor their hydrological components in high spatial and temporal resolutions (Duan et al.,~~  
610 ~~2021; Crow et al. 2022). Various measurement techniques, e.g. eddy covariance, make it possible~~  
611 ~~to quantify the mass and energy budget at landscape scale (Jung et al., 2020; Lan et al., 2021). The~~  
612 ~~GRACE satellite provides independent estimates of moisture storage on Earth (Famiglietti et al.,~~  
613 ~~2015; Dong et al., 2022). These datasets form crucial complementary information to constrain~~  
614 ~~landscape-based model parameters, validate the internal fluxes, and eventually give more~~  
615 ~~physically-based and reliable simulation (Winsemius et al., 2006; Nijzink et al., 2018; Gao et al.,~~  
616 ~~2020).~~

617 This ecosystem perspective provides a physical justification for catchment scale models that do  
618 not rely on small scale physics, as they are independent on what happens at the smaller scale.  
619 Moreover, they can provide a constraint to smaller scale processes, and therefore facilitate their  
620 representation. For example, the partitioning of water between evaporation, storage and release  
621 that characterize the larger scale system can be used to constrain plausible values of difficult to  
622 measure soil properties such as rooting depth, plant available moisture and hydraulic conductivity.  
623 This can favour more accurate descriptions of soil water dynamics, which, although often  
624 unnecessary for typical catchment scale applications, can may be important for other purposes.

#### 625 **4.5 Proposed modelling steps in poorly gauged catchments**

626 How can this approach be implemented in modelling an ungauged catchment? There are the  
627 following steps to be considered as a quick guide to model building.

628 The first thing is to classify the basin on landscape and geology. This determines model structure.  
629 It defines the proportion between the major three fast runoff mechanisms: rapid subsurface flow  
630 (for Hillslope), saturation overland flow (for Wetland) and Hortonian overland flow (for Plateau,

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631 bare rock). Theory and application of landscape-based modelling are presented in (Savenije, 2010;  
632 Gharari et al., 2011, Fenicia et al., 2011; Gao et al., 2014, 2018; De Boer-Euser et al., 2016;  
633 Hulsman et al., 2021a; Bouaziz et al.,2022).

634 Subsequently classify landscape units on ecosystem, land use and climate. The climate and the  
635 ecosystem determine hydrological parameters such as rootzone storage, interception capacity,  
636 infiltration capacity and subsurface drainage. Spatial variability of rootzone storage determines the  
637 Beta function of the non-linear rootzone reservoir (Gao et al., 2018). This results in hydrological  
638 response units based on landscape and geology (defining model structure), ecosystem and climate  
639 (defining parameter values), which can be grouped per sub-basin.

640 Recession time scales can be derived from limited observations, if available, or otherwise  
641 estimated; they do not affect the overall water balance. The longer time scales of groundwater  
642 recession may be derived from Gravity Recovery and Climate Experiment (GRACE) data, which  
643 can also be used to constrain groundwater dynamics (Winsemius et al., 2006; Hulsman et al.,  
644 2021b).

645 Minor calibration parameters remain, such as the splitter between fast subsurface runoff and  
646 recharge. These have a limited effect on the water balance and can be estimated if no observations  
647 are available.

## 648 **5 Why is the soil-based modelling tradition so rooted in** 649 **hydrology?**

650 ~~Occam's razor tells us "Entities are not to be multiplied beyond necessity". This principle gives~~  
651 ~~precedence to simplicity: of two competing theories, the simpler explanation of an entity is to be~~  
652 ~~preferred. Therefore, if soil does not play a significant role neither in the water balance nor in~~  
653 ~~rainfall-runoff processes, and if disregarding soil does not significantly affect model performance,~~  
654 ~~there is sufficient and fair reason to exclude soil properties in hydrological modelling. But then:~~  
655 ~~why are soil-based models so rooted in hydrology?~~

---

## 656 5.1 Agricultural bias

657 Since hydrology was born from chapters of agricultural and hydraulics textbooks (Rodríguez-  
658 Iturbe and Rinaldo, 2004), the ~~misunderstanding that soils are the determining factor in hydrology-~~  
659 ~~is probably caused by the~~ “agricultural bias”- has probably played a major role in overemphasizing  
660 the importance of soils. In agriculture, the focus is on seasonal crops. A seasonal crop has limited  
661 time to develop a ~~root zone~~rootzone storage that can buffer for longer term variability. At best, it  
662 can buffer for average dry spells that may occur within an average year. This is why modern  
663 agriculture requires water management by the farmer to buffer for natural fluctuations. In  
664 agriculture, ploughing destroys preferential infiltration and sub-surface drainage. It also limits the  
665 ~~root zone~~rootzone storage capacity to the relatively small soil layer above the plough pan. In such  
666 cases it is indeed the moisture holding capacity of the soil that determines the ~~root zone~~rootzone  
667 storage capacity.

668 ~~As regards evaporation, it occurs in many ways: by transpiration, direct evaporation from leaf and-~~  
669 ~~ground interception, bare soil evaporation, open water evaporation, sublimation, and in general-~~  
670 ~~from all phase changes where liquid or solid water turns into vapour. All these mechanisms obey-~~  
671 ~~different constraints and need to be modelled separately to arrive at a correct representation of-~~  
672 ~~total evaporation. In that respect, the dominant~~The widely used Penman-Monteith equation, ~~so-~~  
673 ~~successful~~ for estimating reference evaporation works well in agriculture, where the dominant  
674 evaporation is from crops. However, it is likely not appropriate to describe land-atmosphere  
675 interaction- of natural ecosystems. Unfortunately, this “agricultural bias” only applicable in small  
676 proportion of terrestrial area has been dominant in most hydrological work. We argue that this  
677 deeply rooted soil-based perception may limit or even mislead the further development of  
678 hydrological science, especially for next generation professionals.

679 Even in the Anthropocene, where human impacts on essential planetary processes have become  
680 profound, and hydrological processes are affected by human activities such as agriculture,  
681 urbanization and deforestation, we believe it is still essential to emphasize the importance of  
682 ecosystem understanding. There are two reasons: 1) the majority of our earth, and particularly the  
683 uphill runoff generating parts of catchments, is still dominated by natural ecosystems, although

---

684 human modification has modified 14.5% or 18.5 M km<sup>2</sup> of land (Theobald et al., 2020), and 2)  
685 also for human modified systems the ecological approach applies, provided that the ecosystem is  
686 given sufficient time to become self-sufficient and manage its own resources.

## 687 **5.2 Unreliable intuition**

688 Hydrologists intuitively ~~concern about~~ see the soil as the critical agent. It ~~could~~ may very well by  
689 the perspective of the ant that causes it. As people, we are biased by our perspective and the scale  
690 at which we observe processes. We are therefore just too small to ~~observe~~ perceive the larger scale  
691 processes that dominate landscape hydrology. We tend to dig holes in the Earth and try to infer  
692 larger scale behaviour from what we observe inside this hole. The human scale prevents us from  
693 seeing the larger picture. We need the giant's perspective to recognise the patterns present in the  
694 landscape.–

695 At the human scale, assuming that soil properties, such as texture and porosity, matter makes  
696 intuitive sense. People tend to describe what they see ~~(i.e. the consequence) rather than the cause,~~  
697 and if they see water flowing or disappearing in the ground they think that it is because of such  
698 soil properties. The role of the ecosystem as the driver of the system is much more difficult to  
699 recognize, ~~because it is hidden to the eye, especially within its evolutionary history.~~ It requires  
700 seeing the environment as a living ~~thing~~ organism, which continuously evolves and adjusts to  
701 changing circumstances. It also implies that the hydrological properties are not constant over time.  
702 The ~~root-zone~~ rootzone storage, the most critical control on rainfall-runoff processes, is  
703 continuously changing in response to changing climatic and human drivers. ~~(Nijzink et al., 2016;~~  
704 Bouaziz et al., 2022). Instead of describing the 'now' as an invariant and static condition, with  
705 environmental properties as a given, ~~one has~~ we have to think of the history that determined these  
706 environmental conditions, which is much more difficult to realise.

## 707 **6—Conclusion**

### 708 **6 Hydrology is the blood stream of the Limitations**

709 We stress that our ecosystem—Runoff is merely— approach is subject to certain limitations. First, it  
710 applies at the so-called ecosystem scale. This spatial scale can vary depending on the environment.

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711 It can be a few square meters for grass, in the excess order or hectares for forest, even larger for  
712 sparse vegetation. Catchment scales are usually larger than the ecosystem scale. Therefore, our  
713 approach is generally suited for scales that are typical in hydrological modelling application.  
714 Second, we are talking about ecosystems that have reached a certain level of equilibrium and are  
715 self-sustained. We are not limiting ourselves to natural ecosystem. They can also be artificially  
716 induced. But they do not need to rely on artificial help for their survival, such as irrigation or  
717 fertilization. Third, our arguments are mostly related to water after precipitation has replenished  
718 ecosystem's fluxes, and they do not pertain to water deficit chemistry. The variability of soils can  
719 have a pronounced influence on predicting water quality, solute transport, and transit times (Weiler  
720 et al., 2017; Sternagel et al., 2021).

## 721 7 Conclusions

722 Traditional ~~"physically-based" models~~ hydrological theories place soil physical properties at  
723 the heart of hydrology, considering them as the driver of water fluxes, which is misleading for  
724 both process understanding and model development. In contrast we need an ecosystem-~~centred~~  
725 ~~approach. Nowadays there is much concern about the Critical Zone of the Earth~~ based approach,  
726 where ~~virtually all life takes place. The~~ the structure of the terrestrial ecosystem and its internal  
727 processes are seen as a consequence of ecosystem water management strategies needed for its  
728 survival and growth. Hence, the ecosystem is the ultimate manager of the ~~critical zone, with~~  
729 ~~hydrology as its blood stream~~ soil. We advocate a ~~paradigm shift to put~~ change in perspective that  
730 places the ecosystem and landscape at the heart of terrestrial hydrology, and develop holistic and  
731 alive ecosystem-based hydrological models, with ~~better~~ more realistic representation of  
732 hydrological processes ~~in Earth system science~~.

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739

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