- Simulating *Ips Typographustypographus (L.)*, Outbreak-
- Dynamicsoutbreak dynamics and their Influence on Carbon Balance
- Estimatesinfluence on carbon balance estimates with ORCHIDEE
- r7791r8627
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Abstract : New (a)biotic conditions resulting from climate change are expected to change disturbance dynamics, such as wind throwwindthrow, forest fires, droughts, and insect outbreaks, and their interactions. These unprecedented natural disturbance dynamics might alter the capability of forest ecosystems to buffer atmospheric CO**2** increases, potentially leading forests to transform from sinks into sources of CO**2**. This study aims to enhance the ORCHIDEE land surface model to study the impacts of climate change on the dynamics of the bark beetle *Ips*

typographus dynamics and subsequent effects on forest functioning. The bark beetle (*Ips typographus*) outbreak model is inspired by previous work from Temperli et al. 2013 for the LandClim landscape model. The new implementation of this model in ORCHIDEE $\frac{r7794r8627}{r7794r8627}$ accounts for key differences between ORCHIDEE and LandClim: (1) the coarser spatial resolution of ORCHIDEE, (2) the higher temporal resolution of ORCHIDEE, and (3) the pre-existing process representation of windthrow, drought, and forest structure in ORCHIDEE. Simulation experiments demonstrated the model's capacitycapability of ORCHIDEE to simulate a broad spectrumyariety of post-disturbance forest dynamics observed in empirical studies. Through an array of simulation experiments across various climatic conditions and disturbancewindthrow intensities, the enhanced model was rigorously tested for sensitivity. The results indicated that by using different setsits sensitivity to climate, initial disturbance, and selected parameter values. The results of these tests indicated that with a single set of parameters, modelORCHIDEE outputs spanned the range of observed dynamics, highlighting the significant. Additional tests highlighted the substantial impact of incorporating beetle*Ips typographus* outbreaks on carbon dynamics. Notably, the study revealed that modeling abrupt mortality events, as opposed to a continuous mortality framework, provides valuablenew insights into the short-term carbon sequestration potential of forests under disturbance regimes by showing that the continuous mortality framework tends to overestimate the carbon sink capacity of forests in the 20 to 50 year range in ecosystems under high disturbance pressure, compared to scenarios with abrupt mortality events. This model enhancement underscores the critical need to include disturbance dynamics in land surface models to refine predictions of forest carbon dynamics in a changing climate. 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52

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1. Introduction

Future climate will likely bring new abiotic constraints through the co-occurrence of multiple connected hazards, e.g., "hotter droughts", which are droughts combined with heat waves (Allen et al., 2015; Zscheischler et al., 2018), but also new biotic conditions from interacting natural and anthropogenic disturbances, e.g., insect outbreaks following windthrow or forest fires (Seidl et al., 2017). Unprecedented natural disturbance dynamics might alter biogeochemical cycles specifically the capability of forest ecosystems to buffer the $CO₂$ increase in the atmosphere (Hicke et al., 2012; Seidl et al., 2014) and the risk that forests are transformed from sinks into sources of $CO₂$ (Kurz et al., 2008a). The magnitude of such alteration, however, remains uncertain principally due to the lack of impact studies that include disturbance regime shifts at global scale (Seidl et al., 2011). 55 56 57 58 59 60 61 62

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Land surface models are used to study the relationships between climate change and the biogeochemical cycles of carbon, water, and nitrogen (CoxCiais et al., 2000; Ciais2005; Cox et al., 20052000; Friedlingstein et al., 2006; Zaehle and Dalmonech, 2011; Luyssaert et al., 2018Luyssaert et al., 2018; Zaehle and Dalmonech, 2011). Many of these models use background mortality to obtain an equilibrium in their biomass pools. This classic approach towards forest dynamics, which assumes steady-state conditions over long periods of time, may not be suitable for assessing the impacts of disturbances on shorter time scales under a fast changing climate. This could be considered a shortcoming in the land surface models because disturbances can have significant impacts on ecosystem services, such as water regulation, carbon sequestration, and biodiversity (Quillet et al., 2010). Mechanistic approaches that 64 65 66 67 68 69 70 71

account for a variety of mortality causes, such as age, size, competition, climate, and disturbances, are now being 72

considered and tested to simulate forest dynamics more accurately (Migliavacca et al., 2021). For example, the land 73

- surface model ORCHIDEE accounts for mortality from interspecific competition for light in addition to background 74
- mortality (Naudts et al., 2015). Implementing a more mechanistic view on mortality is thought to be essential for 75
- improving our understanding of the impacts of climate change on forest dynamics and the provision of ecosystem 76
- services. 77
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Land surface models also face the challenge of better describing mortality particularly when it comes to ecosystem responses to "cascading disturbances", where legacy effects from one disturbance affect the next (Buma, 2015; Zscheischler et al., 2018; Buma, 2015). Biotic disturbances, such as bark beetle outbreaks, strongly depend on previous disturbances as their infestation capabilities are higher when tree vitality is low, for example following drought or storm events (Seidl et al., 2018). This illustrates how interactions between biotic and abiotic disturbances can have substantial effects on ecosystem dynamics and must be accounted for in land surface models to improve our understanding of the impacts of climate change on forest dynamics (TemperliSeidl et al., 2013; Seidl2011; Temperli et al., 20112013a). While progress has been made towards including abrupt mortality from individual disturbance types such as wildfire (Yue et al., 2014; Lasslop et al., 2014; Migliavacca et al., 2013; Yue et al., 2014), windthrow (Chen et al., 2018) and drought (Yao et al., 2022), the interaction of biotic and abiotic disturbances remains both a knowledge and modeling gap (Kautz et al., 2018). 79 80 81 82 83 84 85 86 87 88 89

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Bark beetle infestations are increasingly recognized as disturbance events of regional to global importance (KurzBentz et al., 2008b; Bentz2010; Kurz et al., 20102008b; Seidl et al., 2018). Notably, a bark beetle outbreak ravaged over 90% of Engelmann spruce trees across approximately 325,000 hectares in the Canadian and American Rocky Mountains between 2005 and 2017 (Andrus et al., 2020). In Europe, the spruce bark beetle, *Ips typographus*, has been involved in up to 8% of total tree mortality due to natural disturbances from 1850 to 2000 (Hlásny et al., 2021a). A recent increase in beetle activity, particularly following mild winters (KurzAndrus et al., 2008b; Andrus2020; Kurz et al., 20202008c), windthrow (Mezei et al., 2017), and droughts (Nardi et al., 2023) have been well-documented (Hlásny et al., 2021a; Pasztor et al., 2014), underscoring the need to integrate bark beetle (*Ips typographus*) dynamics into land surface modeling. 91 92 93 94 95 96 97 98 99

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Past studies used a variety of approaches to model the impacts of bark beetles on forests. While some modelmodels treated bark beetle outbreaks as background mortality (NaudtsLuyssaert et al., 2016; Luyssaert2018; Naudts et al., 20182016), others dynamically modeled these outbreaks within ecosystems (TemperliJönsson et al., 20132012; Seidl and Rammer, 2016; JönssonTemperli et al., 20122013b). Studies with prescribed beetle outbreaks tend to focus on the direct effects of the outbreak on forest conditions and carbon fluxes, but are likely to overlook more complex feedback processes, such as interactions with other disturbances and longer-term impacts. Conversely, dynamic modeling of beetle outbreaks, provides a more comprehensive view by incorporating the lifecycle of bark beetles, tree defense mechanisms, and ensuing alterations in forest composition and functionality. 101 102 103 104 105 106 107 108

Simulation experiments for *Ips typographus* outbreaks using the LPJ-GUESS vegetation model highlighted regional variations in outbreak frequencies, pinpointing climate change as a key exacerbating factor (Jönsson et al., 2012). Simulation experiments with the iLand landscape model suggested that almost 65% of the bark beetle (*Ips typographus*) outbreaks are aggravated by other environmental drivers (Seidl and Rammer, 2016). A 4°C temperature increase could result in a 265% increase in disturbed areasarea and a 1800% growth in the average patch size of the disturbance (Siedl and Rammer 2016). Disturbance interactions were ten times more sensitive to temperature changes, boosting the disturbance regime's climate sensitivity. The results of these studies justify the inclusion of interacting disturbances in land surface models, such as ORCHIDEE, which are used in future climate predictions and impact studies (Boucher et al., 2020). 110 111 112 113 114 115 116 117 118

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The objectives of this study are: (1) to develop and implement a spatially implicit bark beetle (*Ips Typographus*) outbreak modeloutbreak model for *Ips typographus* in the land surface model ORCHIDEE inspired by the work from Temperli et al. (2013), and (2) use simulation experiments to characterize the behavior of this newly added model functionality. 120 121 122 123

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2. Model description 125

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2.1. The land surface model ORCHIDEE

ORCHIDEE is the land surface model of the IPSL (Institut Pierre Simon Laplace) Earth system model (KrinnerBoucher et al., 2005; Boucher2020; Krinner et al., 20202005). ORCHIDEE can, however, also be run uncoupled as a stand-alone land surface model forced by temperature, humidity, pressure, precipitation, and wind conditionsfields. Unlike the coupled setup, which needs to run on the global scale, the stand-alone configuration cancover any area ranging from a single grid point to the global domain. In this study we decide to run ORCHIDEE uncoupledORCHIDEE was run as a stand-alone land surface model. 127 128 129 130 131 132

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ORCHIDEE does not enforce any particular spatial resolution. The spatial resolution is an implicit user setting that is determined by the resolution of the climate forcing (or the resolution of the atmospheric model in a coupled configuration). ORCHIDEE can run on any temporal resolution. This apparent flexibility is somewhat restricted as processes are formalized at given time steps: half-hourly (e.g., photosynthesis and energy budget), daily (i.e., net primary production), and annual (i.e. vegetation demographic processes). With the current model architecture meaningful simulations should have a temporal resolution of one minute to one hour for the calculation of energy balance, water balance, and photosynthesis. 134 135 136 137 138 139 140

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ORCHIDEE utilizes meta-classes to describe different types of discretize the global diversity in vegetation. The model includes 13 meta-classes by default, including one class for bare soil, eight classes for various combinations of leaf-type and climate zones of forests, two classes for grasslands, and two classes for croplands. Each meta-class can be further subdivided into an unlimited number of plant functional types (PFTs). The current default setting of 142 143 144 145

ORCHIDEE distinguishes 15 PFTs where the C3 grasslands have now a separate PFT in themeta-class of C3 grasslands have been separated into a boreal, temperate and tropical \overline{zone} grassland PFT. 146 147

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At the beginning of a simulation, each forest PFT in ORCHIDEE contains a monospecific forest stand that is definedstructured by a user-defined but fixed number of diameter classes (three by default). Throughout the simulation, the boundaries of the diameter classes are adjusted to accommodate changes in the stand structure, while the number of classes remains constant. Flexible class boundaries provide a computationally efficient approach to simulate different forest structures. For instance, an even-aged forest is simulated by using a small diameter range between the smallest and largest trees, resulting in all trees belonging to the same stratum. Conversely, an unevenaged forest is simulated by applying a wide range between diameter classes, such that different classes represent different canopy strata. 149 150 151 152 153 154 155 156

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The model uses allometric relationships to link tree height and crown diameter to stem diameter. Individual tree canopies are not explicitly represented, instead a canopy structure model based on simple geometric forms (Haverd et al. 2012) has been included in ORCHIDEE (Naudts et al., 2015). Diameter classes represent trees with different mean diameter and height, which informs the user about the social position of trees within the canopy. Intra-stand competition is based on the basal area of individual trees, which accounts for the fact that trees with a higher basal area occupy dominant positions in the canopy and are therefore more likely to intercept light and thus contribute more to stand-level photosynthesis and biomass growth compared to suppressed trees (Deleuze et al., 2004). If recruitment occurs, diameter classes evolve into cohorts. However, in the absence of recruitment, all diameter classes contain trees of the same age. 158 159 160 161 162 163 164 165 166

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Individual tree mortality from self-thinning, wind storms, and forest management is explicitly simulated. Other sources of mortality are implicitly accounted for through a so-called constant background mortality rate. Furthermore, age classes (four by default) can be used after land cover change, forest management, and disturbance events to explicitly simulate the regrowth of the forest. Following a land cover change, biomass and soil carbon pools (but not soil water columns) are either merged or split to represent the various outcomes of a land cover change. The ability of ORCHIDEE to simulate dynamic canopy structures (NaudtsChen et al., 2015; Ryder2016; Naudts et al., 2016; Chen2015b: Ryder et al., 2016), a feature essential to simulate both the biogeochemical and biophysical effects of natural and anthropogenic disturbances, is exploited in other parts of the model, i.e., precipitation interception, transpiration, energy budget calculations, the radiation scheme, and the calculation of the absorbed light for photosynthesis. 168 169 170 171 172 173 174 175 176 177

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Since revision 7791, mortality from bark beetle (*Ips*from*Ips typographus*) outbreaks is now explicitly accounted for and thus conceptually excluded from the so-called environmental background mortality. Subsequently, changes in canopy structure resulting from growth, forest management, land cover changes, wind storms, and bark beetle (*Ips typographus*) outbreaks are accounted for in the calculations of the carbon, water, and energy exchanges between 179 180 181 182

the land surface. ORCHIDEE's functionality and the atmosphere. For details on the functionality of the ORCHIDEE model that is not of direct relevance for this study, e.g., energy budget calculations, soil hydrology, snow phenology, albedo, roughness, photosynthesis, respiration, phenology, carbon and nitrogen allocation, land cover changes, product use, and the nitrogen cycle are detailed in (readers are referred to Krinner et al., 2005; Zaehle and Friend, 2010; Naudts et al., 2015; Vuichard et al., 2019). 183 184 185 186 187

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2.2. Origin of the bark beetle (*Ips typographus***) modulemodel: the LANDCLIM legacy**

Although mortality from windthrow (Yi-Ying et al., 2018) and forest management (NaudtsLuyssaert et al., 2016; Luyssaert2018; Naudts et al., 20182016) were already accounted for in ORCHIDEE prior to r7791r8627, insect outbreaks and their interaction with other disturbances were not. The LandClim model (Schumacher, 2004) and more specifically the bark beetle (*Ips typographus*) module*Ips typographus* model developed by Temperli et al. (2013) has been used as basis to develop the bark beetle module*Ips typographus* model in ORCHIDEE r7791r8627. 190 191 192 193 194 195

LandClim is a spatially explicit stochastic landscape model in which forest dynamics are simulated at a yearly time step for 10–100 km² landscapes consisting of 25 m \times 25 m patches. Within a patch recruitment, growth, mortality and competition among age cohorts of different tree species are simulated with a gap model (Bugmann, 1996) in response to monthly mean temperature, climatic drought, and light availability. LandClim, for which a detailed description can be found in (Schumacher, 2004; Temperli et al., 2013), includes the functionality to simulate the decadal dynamics and consequences of bark beetle*Ips typographus* outbreaks at the landscape‐scale (Temperli et al., 2013). In the LandClim approach, the extent, occurrence and severity of beetle-induced tree mortality are driven by the landscape susceptibility, beetle pressure, and infested tree biomass. While the LandClim beetle **modulemodel** was designed and structured to be generally applicable for northern hemisphere climate‐sensitive bark beetle‐host systems, it was originally parameterized to represent disturbances by the European spruce bark beetle (*Ips typographus*) in Norway spruce (*Picea abies* Karst.; Temperli et al. 2013). 196 197 198 199 200 201 202 203 204 205 206

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As LandClim and ORCHIDEE are developed for different purposes, their temporal and spatial scales differ. These differences in model resolution justify developing a new model while still following the principles embedded in the LandClim approach. LandClim assesses bark beetle damage at 25 m x 25 m patches and to do so it uses information from other nearby patches as well as landscape characteristics such as slope, aspect and altitude. The susceptibility of a landscape to bark beetle infestations is calculated using multiple factors such as drought-induced tree resistance, age of the oldest spruce cohort, proportion of spruce in the patch's basal area, and spruce biomass damaged by windthrow. These factors, drivers are presented as sigmoidal relationships, ranging from 0 to 1 (denoting none to maximum susceptibility respectively) that are combined in a susceptibility index for each Norway spruce cohort in a patch. Bark beetle pressure is quantified as the potential number of beetles that can infest a patch, and its calculation considers, among others, previous beetle activity, maximum possible spruce biomass that beetles could kill, and temperature-dependent bark beetle phenology. Finally, the susceptibility index and beetle pressure are used to estimate the total infested tree biomass and total biomass killed by bark beetles for each cohort within a patch. 208 209 210 211 212 213 214 215 216 217 218 219

DRbeetles. 251

Time window of a bark beetle outbreak

Time window of a bark beetle outbreak

Figure 1 : This figure illustrates the dynamic interplay of factors during a bark beetle (*Ips typographus***) outbreak. It depicts the intensity and timeline of key variables such as beetle survival, beetles mass attack, and host weakness (section 2.4). The time window spans four outbreak development stages: build-up, epidemic, post-epidemic, and endemic. The curves represent key** **variables, showing the escalation of beetle attacks and subsequent decline in host population, which eventually leads to a** stabilization of the system in the endemicDynamic interplay of the different host and beetle characteristics during a bark **beetle (***Ips typographus***) outbreak. The time window spans four outbreak development stages: build-up, epidemic, postepidemic, and endemic. The curves represent key characteristics, showing the growth in beetle population and subsequent decline in host population.** *Ihosts dead* **characterizes the presence of defenseless uprooted or cut spruce trees;** *ihosts alive***, characterizes living spruce trees that could become hosts for the bark beetles;** *ihosts susceptibility***, susceptibility of spruce trees to bark beetle attack;** *ibeetles mass attack***, quantifies the capability of the bark beetles to mass attack;** *ibeetles survival***, characterizes the survival of bark beetles. Host and bark beetle characteristics are detailed in the subsequent text. When the density of the host trees is declining due to an increased host mortality from the bark beetle outbreak itself, the competition between trees for light and nutrients declines as well. As a consequence, the host susceptibility decreases which in ORCHIDEE is the main pathway for an outbreak to move back to the endemic phase. After 1 year the wood from a storm is not fresh enough for bark beetles to breed in. In ORCHIDEE, the bark beetle population needs to be capable of mass attacking living trees within a year to make the transition from the build-up to the epidemic phase.**

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2.4. bark beetle (*Ips typographus***) damage in ORCHIDEE**

Table 1: List of symbols

2.5. Table 1: List of symbols

(*Psuccess, age class*) averaged over the number of spruce age classes and weighted by their actual fraction (*Fage class*) for a given tree species ($\perp F_{space}$). The approach assumes that a successful beetle colonization always results in the death of the attacked tree which is a simplification from reality (A. Leufvén et al. 1986). 264 265 266

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B \square_{beetles\,kill} = \sum_{n \text{b} \text{ age classes}}^{age\,class=1} P \square_{success, \text{ age class}} \times B \square_{beetles\,attacked} \times \frac{F \square_{age\,class}}{F \square_{spruce}}
$$
(1)

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During the endemic stage, *Bbeetles attacked* and *Bbeetles kill* are at their lowest values and the damage from bark beetles has little impact on the structure and function of the forest. Losses from $B_{beetles\,kill}$ can be considered to contribute to the background mortality. 270 271 272

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The biomass of trees attacked by bark beetles (*Bbeetles attacked*) is defined as an attempt from the bark beetles to overcomethe outcome of bark beetles that successfully overcame the tree defenses and thus succeedingsucceeded in boring holes in the bark in order to reach the sapwood. **B**_{beetles attacked} is calculated at the pixel levelgridcell by multiplying the actual stand biomass of spruce (*Btotal*) and the probability that bark beetles attack spruce trees in the pixelgridcell (*Pattacked*). 274 275 276 277 278

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 $B\Box_{\text{beetles attached}} = B\Box_{\text{total}} \times P\Box_{\text{attacked}}$ (2)

 $P\Box_{\text{attacked}} = i\Box_{\text{hosts attractive}} \times i\Box_{\text{beetles pressure}}$ (3)

*P*_{attacked} represent the ability of the bark beetles to spread and to locate new suitable spruce trees as hosts for breeding. *P*_{attacked} is calculated by the product of two indexes (all indexes in this study are denoted i and are analogue the the susceptibility indexes from Temperli et al. 2013): (1) the beetle pressure index (*ibeetles pressure*) which a proxy of the bark beetle population and (2) the stand attractivenessattractivity index (*i*_{hosts attractivity}) which is a proxy of the overall stand health. Health was here defined asis related to its health and reflects the ability of the forest to resist an external stressor such as bark beetle attacks. 282 283 284 285 286 287

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\begin{array}{c} 289 \\ 290 \end{array}
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2.6. Stand attractivenessHost attractivity

The stand attractivenessattractivity index (*i*_{hosts attractivity}) varies between 0.5 and 1_{represents how interesting a stand is} for a new bark beetle colony. When *ihosts attractivity* tends to 0.50, the stand is constituted mainly by healthy trees which are less attractive for beetles whereas an *i_{hosts attractivity* approaching 1 represents a highly stressed forestspruce stand} suitable for colonization by bark beetles. Factors that contribute to the stress of a forest in ORCHIDEE are: nitrogen limitation, limited carbohydrate reserves, and monospecific spruce forest. Trees experiencing extended periods of environmental stress are expected to have less carbon and nitrogen reserves available for defense compounds, making them vulnerable for bark beetle attacks even at relatively low beetle population densities (Raffa et al., 2008). Nonetheless, reserves pools in ORCHIDEE r7791r8627 have not yet been evaluated so, instead proxies were used 292 293 294 295 296 297 298 299

300 such as long term drought (PWS_{max}) and relative density index (RBi_{ns}) which were already simulated in
301 ORCHIDEE
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r^2
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794+16627.
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303 *i*_{hassomaction} = *max* (*i*_{hosbromaction}, *i*_{hosbother}) × *i*_{hosbother} (4)
305 W here *i*_{max compulsion} and *i*_{base} both represent proxies for the reduction of the nitrogen and carbohydrate reserve
306 due to strong competition for light and soil resources, and repetitive_{consecutive versus that are after than average.
307 For this study, the averagemax drought intensity during the last three years (PWS_{max}) is considered, as a proxy of
308 spurce stand healthiness:
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310 \Box _{hossother} \Box ^{S_{max}} \Box ^{S<}

outbreak which is very dependent on the size of the pixel. When a pixel represents a single stand (~1 ha) all trees may be killed during an outbreak so RDi_{limit} will be setup close to 0. When an ORCHIDEE pixel is used to represent an area of The severity of bark beetle-caused tree mortality decreases when we increase the spatial resolution from 331 332 333

the stand to the landscape scale. At the landscape scale, which can cover areas up to 2500 km², the duration of 334

mortality may be longer and the severity lower because beetles disperse across the landscape and cause mortality at different times. This distinction is important for interpreting model results, particularly when considering parameters like *ird limit* in the ORCHIDEE model. *ird limit* describes the proportion of trees surviving after an outbreak and should therefore be adjusted for the spatial scale of a gridcell in ORCHIDEE. In model set-up where a gridcell represents a single stand $(\sim 1$ ha), *i_{rd limit* should be close to 0, indicating that nearly all trees may be killed. However, in a} simulation with gridcells representing 2500 km², not all trees will be killed, which is reflected in setting RD_i _{limit} = i _{*rd*} *limit* to 0.4. 335 336 337 338 339 340 341

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343 | R**Di**_{spruce} *l_{rd spruce*} is computed as follows:

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345 \quad \Box_{spruce} \Box_{rd\,spruce} \sum_{\Box}^{age\,class=1} \Box \sum_{\Box}^{age\,class=1} \Box \frac{D \Box_{age\,class}}{D \Box_{max}} \times \frac{F \Box_{age\,class}\Box}{F \Box_{spruce}\Box}
$$
(6b)

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Where *Dage class* is the current tree density of an age class and *Fage class* is the fraction of spruce in the pixelgridcell that resides in this age class. *Dmax* represents the maximum stand density of a stand given its diameter. In ORCHIDEE D_{max} is calculated based on the mean quadraticquadratic mean diameter (cm) of the age class and two species specific parameters, *α* and *β*: 347 348 349 350

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352 \quad D \square_{max} = (Dia \square_{quadratic, age class}/\alpha) \square^{(1/\beta)}
$$
(6c)

The index *ihosts share* (used in eq. 4) takes into account that in a mixed tree species landscape, even a few non-host trees may chemically hinder bark beetles in finding their host trees (Zhang and Schlyter, 2004) explaining why insect pests, including *Ips typographus* outbreaks, often cause more damage in pure compared to mixed stands (Nardi et al., 2023). ORCHIDEE r7791r8627 does not simulate multi-species stands but does account for landscape-level heterogeneity of forests with different plant functional types. The bark beetle modulemodel in ORCHIDEE assumes that within a **pixelgridcell**, the fraction of spruce over other tree species is a proxy for the degree of mixture: 354 355 356 357 358 359

361	$\Box_{\text{hosts share}} \Box^{\text{S}} \Box_{\text{share}} \cdot (sh \Box_{\text{spuce}} - sh \Box_{\text{limit}})$	(7a)
362	Where,	
363	Where,	
364	$\Box_{\text{spruce}} \Box_{\text{Li}} \Box_{\text{spruce}} \Box_{\text{non-spruce}} \Box_{\text{spruce}}$	(7b)
365	$\Box_{\text{spruce}} \Box_{\text{Li}} \Box_{\text{spruce}} \Box_{\text{non-spruce}} \Box_{\text{spruce}}$	(7b)
366	2.7.	Implicit representation of bark beetle populations

2.7. Implicit representation of bark beetle populations

The bark beetle pressure Index *(i*_{beetles pressure}) is now formulated based on two components: (1) the bark beetle breeding index of the current year (*ibeetles generation*), and (2) an index of the loss of tree biomass in the previous year due 368 369

- to bark beetle infestation ($\frac{1}{1-\text{beetles}$ *activity*). $\frac{1}{1-\text{beetles}$ *activity* is thus a proxy of the previous year's bark beetle activity. 370
- The expression accounts for the legacy effect of bark beetle activities by averaging activities over the current and 371
- previous years. In this approach, the susceptibility index (*ibeetles survival*) serves as an indicator for increased bark beetle 372
- survival which could result from favorable conditions for beetle demography (see next section). 373
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375 \quad i \square_{beetles pressure} = i \square_{beetles survival} \times \frac{(i \square_{beetles generation} + i_{beetlesactivity})}{2}
$$
(8)

The model calculates *ibeetles generation* from a logistic function, which depends on the number of generations a bark beetle population can sustain within a single year: 377 378

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$$
380 \qquad i \square_{beetles \, generation} = 1/(1+e^{-s\square_{gen\,eration} \cdot (\frac{DD\square_{eff}}{DD\square_{ref}} - G\square_{limit}})} \qquad (9)
$$

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Where *Sgeneration* and *Glimit* are tuning parameters for the logistic function, *DDeff* represents the sum of effective temperaturestemperature</u> for bark beetle reproduction in □⁻¹°C.Day⁻¹, while *DD_{ref}* denotes the thermal sum of degree days for one bark beetle generation in \Box^{-1} ^oC.Day⁻¹. Saturation of *i*_{beetles generation} represents the lack of available breeding substrate when many generations develop over a short period. 382 383 384 385

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 D_{Def} is calculated from January 1st until the diapause of the first generation. In ORCHIDEE, diapause is triggered when daylength exceeds 14.5 hours (e.g., April 27th for France). Each day before the diapause with a daily average temperature <u>around the bark</u> above 88.3° C is accounted for in sumTeff(T_{min}) and below 38.4°C (T_{max}) is accounted for in the summation of *DDeff* (eq.10). This approach simulates the phenology of bark beetles, which tend to breed earlier when winter and spring were warmer, thus allowing for multiple generations in the same year (Hlásny et al., 2021a). More details on the phenology model are available in 387 388 389 390 391 392

$$
393 \left(\Box_{\text{eff}} \sum_{\Box_{\text{diapause}}}^{i=1} \Box_{\text{opt}} \Box_{\text{min}} \Box^{(0.0288 \ast \Box_{\text{bark},i})} \Box^{(0.0288 \ast \Box_{\text{eff}} - (40.99 - \Box_{\text{bark},i})/3.59)} \underline{\hspace{1cm}} (10)
$$

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Where *i* is a day*, ndiapause* is the number of days between the 1st of january and the day of the diapause. *Topt (30.3°C)* is the optimal bark temperature for beetles development and *Tmin (8.3°C)* is the temperature below which the beetles developpement stop. *Tbark, i* is the average daily bark temperature. *Tbark, i* is calculated as the daily average air temperature minus 2°C. All parameters values are taken from Temperli et al. 2013. 395 396 397 398 399

- The bark beetle activity of the previous year (*ibeetles activity*) is calculated as: 400
- 401

$$
402 \left| \bigoplus_{\text{beetles activity}} S^{\Box_{\text{activity}}(\Box_{\text{Bulv}})} 402 \right| \left(\bigoplus_{\text{beetles activity}} 1 \right) \left(\bigoplus_{\text{Bulv}} S^{\Box_{\text{total}}} \right)
$$

Where *ibeetles activity* denotes the biomass of the stand damaged by bark beetles in the previous year, *Btotal* is the total biomass of the stand, and *Sactivity* and *actlimit* are parameters that drive the intensity of this negative feedback. 404 405

406

During the build-up stage (Fig. 1) the population of bark beetles can either return to its endemic stage (Fig. 1) if tree defense mechanisms are preventing bark beetles from successfully attacking healthy trees, or evolve into an epidemic stage (Fig. 1) if the tree defense mechanisms fail. During this stage, tree canopies remain green, therefore, this stage is also known as the green stage (Fig. 1). During the post-epidemic stage, the forest is still subject to higher mortality than usual but signs of recovery appear (Hlásny et al., 2021a). Recovery may help the forest ecosystem to return to its original state or switch to a new state (different species, change in the forest structure) depending on the intensity and the frequency of the disturbance (Van Meerbeek et al., 2021). 407 408 409 410 411 412 413

- 414 415
- **2.8. Bark beetle survival**

The capacitycapability of the bark beetles to survive the winter in between two breeding seasons is a crucial mechanism explaining massive tree mortality due to an outbreakcritical in simulating epidemic outbreaks. During regular winters, winter mortality for bark beetles is around 40% for the adults and 100% for the juveniles (Jönsson et al. 2012). In our scheme, this mortality rate is implicitly accounted for in the calculation of the bark beetle survival index (*i*_{beetles survival)}. A lack of data linking bark beetle survival to anomalous winter temperatures-prevented us from, justifies the implicit approach and prevented including this information as a modulator of *ibeetles survival*. The latter explains why winter temperatures do not appear in eq. 11. Instead the model simulates the excess of survival due tosurvival as a function of the abundance of suitable tree hosts which decreases the competition for shelter and food: 416 417 418 419 420 421 422 423

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425 426

The availability of wood necromass from trees that died recently, particularly following windstorms, plays a critical role in bark beetle survival and proliferation. In the year following a windstorm, uprooted and broken trees may offer an ideal breeding substrate for bark beetles, facilitating their population growth. 427 428 429

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In Temperli et al. (2013) an empirical correlation between windthrow events and bark beetle susceptibility was established. ORCHIDEE enhances realism by considering the actual suitable hosts (living or recently dead trees) as the primary driver of bark beetle survival. To avoid overestimating bark beetle population growth, *max_{Nwood}* has been introduced. This ensures that an excess of breeding substrate does not artificially inflate beetle numbers, acknowledging that recent dead trees lose their freshness and thus suitability for breeding after a year. Any addition of dead trees beyond max_{Nwood} is considered ineffective in affecting the bark beetle population. Any addition of dead trees beyond *max*_{*Nwood*} is considered ineffective in affecting the bark beetle population. This 431 432 433 434 435 436 437

- ensures that an excess of breeding substrate does not artificially inflate beetle numbers. 438
-

 $i_{\text{beetles survival}} = max(i_{\text{hosts}} | i_{\text{hosts} \text{ alive}})$ (112)

This relationship is quantitatively represented in ORCHIDEE through the dead host index, *ihosts dead*, which is driven by the availability of recent dead trees. The formulation of *ihosts dead* is as follows: 439 440

441

442
$$
i_{\text{hosts dead}} = \min\left(\frac{N\Box_{\text{wood}}}{B\Box_{\text{wood}}}/\max \Box_{\text{Nwood}}, 1\right)
$$
 (4213)

443

Here, *Nwood* represents the quantity of woody necromass from the current year, *Bwood* is the total living woody biomass in the stand, and *maxNwood* is the threshold of the ratio *Nwood/Bwood* signifying the maximum level. This index captures the immediate increase in dead trees post-windthrow, which may drive bark beetle breeding. However, after a year, this substrate becomes unsuitable for breeding and is excluded suitable for bark beetle breeding following a windthrow event. However, it takes about a year for dead wood to lose its freshness and suitability for bark beetle breeding. This is accounted for by excluding woody necromass that is older than 1 year from the *ihosts dead* calculation.444 445 446 447 448 449 450

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Finally, *maxNwood* can also be considered as a parameter that depends on the spatial scale of the simulation. The mortality rate of trees (*DR*_{windrow}) that will trigger an outbreak is very different across spatial scales. Where a relatively high share of dead wood is needed to trigger an outbreak at the patch-scale, a much lower share of dead wood suffices at the landscape-scale to trigger a widespread bark beetle outbreak. So these parameters must be set up according to the spatial resolution of the simulation experiment. 452 453 454 455 456

- *i*_{hosts alive} denotes the survival of bark beetles which is facilitated by the abundance of suitable trees which reduces the competition among bark beetles for breeding substrates and therefore increases their survival. 458 459
- 460

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- ❑*hosts alive*❑*beetlesmass attack*❑*hostsweakness*❑*hosts alive*❑*beetlesmass attack*❑*hostssusceptibility* (1314) 461 462
- 463

The amount of suitable tree hosts *ihosts alive* is driven by two factors: (1) the abundance of weak trees which can be more easily infected by bark beetles. ORCHIDEE does not explicitly represent weak trees, but tree health is thought to decrease with an increasing density given the stand diameter. The index for host suitability is thus calculated by making use of the relative density index (RDispruce*ird spruce*). 464 465 466 467

468

$$
469 \mid \square_{\text{hosts weakness}} \square^{S\square_{\text{wechness}} \cdot (RDi\square_{\text{spuce}} - RDi\square_{\text{wechess}})} \square_{\text{hosts susceptibility}} \square^{S\square_{\text{susceptibility}} \cdot (i\square_{\text{rdspace}} - i\square_{\text{rd} \text{susceptibility}})}.
$$
\n
$$
470 \tag{6a'}
$$

Equation 6a' is close to equation 6a but the parameter Sweakness*Ssusceptibility* has been reduced by a factor of two in order to reflect that i_{hosts} weakness $\frac{area}{d}$ are susceptibility is more sensitive to $\frac{R\oplus i_{lcd}}{s_{pulks}$ spruce than i_{hosts} *competition*. (2) i_{hosts} *mass attack* which represent 471 472

the ability of bark beetles to attack healthy trees when the number of bark beetles is large enough. This index only depends on the size of the bark beetle population (*ibeetles pressure* see eq. 8) 473 474

475

 i □ $_{\textit{hosts}~maxstate}$ $=$ 1 $/($ 1 $+$ e □ S □ $_{\textit{mass}~max}$ \cdot $(i$ □ $_{\textit{beetles}~pressure}$ BP \Box $_{\textit{limit}}$ $)}$ (4415) 476

477

Where $S_{\text{hosts mass attack}}$ and BP_{limit} are parameters. $S_{\text{mass attack}}$ controls the steepness of the relationship while BP_{limit} is the bark beetle pressure index at which the population is moving from endemic to epidemic stage where mass attacks are possible. 478 479 480

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The epidemic stage corresponds to the capability of bark beetles to mass attack healthy trees and overrule tree defenses (Biedermann et al., 2019). At this point in the outbreak, all trees are potential targets irrespective of their health. Owing to the widespread mortality of individual trees, the forest dies resulting in a stage also known as the red stage (Fig. S2, stage 3). Three causes mayThree causes have been suggested to explain the end of anthe epidemic phase: (1) the most likely cause is a high interspecific competition among beetles for tree host when the density is decreasing (decreasing *i_{hosts alive*) (PineauKomonen et al., 2017; Komonen2011; Pineau et al., 20112017),} (2) a series of very cold years will decrease their ability to reproduce (decreasing *ibeetles generation*), and (3) a rarely demonstrated increasing population of beetle predators (Berryman, 2002). In ORCHIDEE r⁷⁷⁹¹r8627, the first two causes are represented but the last, i.e., the predators are not represented. 482 483 484 485 486 487 488 489 490

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2.9. Tree mortality from bark beetle infestation

When bark beetles attack a tree, the success of their attack will likely depend on the envelotive apability of the tree to defend itself from the attack. Trees defend themselves against beetle attacks by producing secondary metabolites (Huang et al., 2020). The high carbon and nitrogen costs of these compounds limit their production to periods with environmental conditions favorable for growth (Lieutier, 2002). The probability of a successful bark beetle attack is driven by the size of the bark beetle population (ibeetle*ibeetles pressure*) and the weaknesshealth of each tree. ORCHIDEE, however, is not simulating individual trees but rather diameter classes within an age class. An index of tree weaknesshealth for each age class (*ihosts health, age class*) was calculated as: 493 494 495 496 497 498 499

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- 501
- $P\Box_{\text{success, age class}} = i\Box_{\text{hosts health, age class}} \times i\Box_{\text{beetles pressure}}$ (1516)
- 502

A tree rarely dies solely from bark beetle damage (except during mass attacks) as female beetles often carry bluestain fungi, which colonizes the phloem and sapwood, blocking the water-conducting vessels of the tree (Ballard et al., 1982). This results in tree death from carbon starvation or desiccation. As ORCHIDEE $\frac{r7791r8627}{r7791r8627}$ does not simulate the effects of changes in sapwood conductivity on photosynthesis and the resultant probability of tree mortality, the index of weakened trees index (*ihosts health, age class*) makes use of two proxies similarly to equation 5 and 6 but simplified to be calculated only for one age class at thea time: 503 504 505 506 507 508

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$$
i \Box_{hostseldth, age \text{ class}} = \frac{(i_{hostsompetition, age \text{ class}} + i_{hostseferose, age \text{ class}})}{2}
$$
(4617)
\n511
$$
i_{hostseferuse, age \text{ class}} = 1/(1 + e \Box^{S \Box_{eugup}.(1 - PWS \Box_{eigcdotuse} - PWS \Box_{eugn})})
$$
(5a') (5a')
\n513 (514 | Contrary to equation 5a, *PWS_{age class}* is the plant water stress from the current year.
\n515 (516
$$
\Box_{hostsompetition, age \text{ class}} \Box^{S \Box_{eugputsia}} \Box^{S \Box_{eugputsia}} \Box_{hostsompetition, age \text{ class}} \Box^{S \Box_{eugputsia}} \Box_{hostsompetition, age \text{ class}} \Box^{S \Box_{eugputsia}} \Box^{(i \Box_{eugputsia} - i \Box_{eignu})}
$$
(6a'')
\n517 (6b'')
\n518
$$
\Box_{age \text{ class}} \Box_{rd\text{ age class}} \frac{D \Box_{age \text{ class}}}{D \Box_{max}}
$$
(6b'')
\n520 | To access the *Barkbar* that beetle damage rate (*DR_{between}*), we simply divide *B_{because} still* has to be divided by *B_{out}*.
\n521 2.10. Flow of the calculations
\n523 | As the The equations presented above contain feedback loops the flow of the calculation is shown in Fig. 2 which have been visualized in Fig. 2. In ORCHIDEE these feedback loops are accounted for in subsequent time steps
\n525 | rather than the same time step.

Figure 2: FlowOrder of the calculations in the bark beetle outbreak module developed in this studyand feedback in the *Ips typographus* **outbreak model of ORCHIDEE. The numbers correspond to the equation numbers provided in this study. The dotted line boxes represent 5 main concepts of the outbreak model described in section 2.4, 2.5, 2.6, 2.7, 2.8.**

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3. Methods and material 528

3.1. Model configuration

Given the large-scale nature of the ORCHIDEE we carried out, a sensitivity experiment of the bark beetle outbreak functionality was carried out rather than focusing the model evaluation on matching observed damage volumes at specific case studies. Such an approach Focussing on model sensitivity for a range of environmental conditions is thought to reduce the risk of overfitting the model to specific site conditions (Abramowitz et al., 2008). 530 531 532 533

ORCHIDEE r7791r8627 including the bark beetle modulemodel was run for 8at the location of eight FLUXNET sites, selected to simulate a credible temperature and precipitation gradient for spruce (see further below). For each location, the half-hourly meteorological data from the flux tower were gap filled and reformatted so that they could be used as climate forcing by the ORCHIDEE. Boundary conditions for ORCHIDEE, such as soil texture, pH and soil color were retrieved from the USDA map, for the corresponding **pixelgridcell**. The observed land cover and land use for the **pixelgridcell** were ignored and set to pure spruce because this study did not investigate the effect of species mixture in the simulation experiments. The resolution of the **pixelgridcell** chosen for this analysis is 2500 km². ItAlthough this corresponds to a finehigh resolution for ORCHIDEE large-scale simulations but with ORCHIDEE it is a coarse resolution for studying bark beetle outbreaks. 535 536 537 538 539 540 541 542 543

The climate forcings were looped over as much as needed to bring the carbon, nitrogen, and water pools to equilibrium during a 340 years long spinup followed by a windthrow event and a 100-years simulation. Following the spinup, a 100-years simulation was run starting with a windthrow event on the first day of the first year. The results presented in this study come from the 100-years long site-simulations. Given the focus on even-aged monospecific spruce forests in regions where spruce growth is not constrained by precipitation, variables such as *i*^{*hosts share* and *i*_{*hosts defense* were omitted from this study. Note that ORCHIDEE dodoes not account for possible}} acclimation of the bark beetle population to each location. 545 546 547 548 549 550 551

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Site selectione.g., temporal changes in bark beetle behavior or bark beetle resistance to external stressor such as winter temperature. 553 554

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3.2. Selection of locations

Bark beetle populations are known to be sensitive to temperature as they are more likely to survive a mild winter (Lombardero et al., 2000) and tend to breed earlier when winter and spring are warmer than usual, allowing for multiple generations in the same year (Hlásny et al., 2021a, also see eq. 10 from section 2.6). In order to assess the temperature effect of the bark beetle outbreak modulemodel in ORCHIDEE, eight locations in Europe were selected (Table 2) which represent the range of climatic conditions within the distribution area of Norway spruce (*Picea Abies* Karst L.) which is, the main host plant for *Ips typographus,* the bark beetle species under investigation. 557 558 559 560 561 562

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Table 2: Climate characteristics of the eight siteslocations used in the simulation experiments gradient underlying our experimental setup. The site acronyms refer to the site names used in the FLUXNET database (Pastorello et al. 2020).

For these eight locations, half-hourly weather data from the FLUXNET database (Pastorello et al., 2020) were used to drive ORCHIDEE. Some of these locations (FON, SOR, HES, COL, WET) are in reality not covered by spruce but all sites are, however, located within the distribution of Norway spruce. In this study, site locations were selected to use the observed weather data to simulate a credible temperature and rainfall gradient for spruce. HHES location is no longer part of the FLUXNET network but the previous data are still available are relevant for this analysis. 565 566 567 568 569

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3.3. Sensitivity to model parameters

The sensitivity assessment evaluates the responsiveness of four key variables (*ihosts weaknesssusceptibility, ibeetles mass attack, ibeetles generation, ibeetles activity*) of the bark beetle model of*Ips typographus* outbreak model implemented in ORCHIDEE. The assessment aims to demonstrate the ability of ORCHIDEE to simulate diverse dynamics of bark beetle infestations. The selection of *ihosts weaknesssusceptibility, ibeetles activity, ibeetles mass attack*, and *ibeetles generation* was based on two criteria: (1) their substantial influence on the dynamics of the bark beetle epidemic*Ips typographus* outbreak noted during model development, and (2) their independence from direct measurable data, rendering them less suitable for evaluation through literature review. 572 573 574 575 576 577 578

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For each variableof the four variables, three distinct values were assigned to two parameters labeled "*S*Shape" and "limit*Limit*". The *SShape* parameter determines the shape of the logistic relationship, with three values tested for each variable: (a) *S=-1Shape=*-1.0, yielding a linear relationship, (b) *-1<S<-1005.0<Shape<-30.0*, resulting in a logistic curve, and (c) $\frac{S}{2}$ -100Shape=-500.0, turning the logistic relationship into a step function. For the logistic curve, the exact *Shape* value between -30.0 and -5.0 is chosen according to each index under study: (1) *S*_{susceptibility =} -5.0; (2) *S*activity= -20.0; (3) *Smass attack*= -30.0; and (4) *S*generation=5.0 . For Smass attack and Sactivity, higher values have been chosen because the slope of the logistic curve has a significant impact in order to trigger an outbreak. 580 581 582 583 584 585 586

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The second parameter called "*Limit*" determines the threshold, derived from expert insights, at which the logistic relationship will reach its midpoint value of 0.5 (*RDiweaknessird susceptibility, BPlimit, Actlimit, or Glimit*). For instance, *RDiweaknessird susceptibility* is set at 0.55, indicating *ihosts weaknesssusceptibility* midpoint sensitivity (Eq. 6'). Setting *BPlimit* at 0.12 results in an *ibeetles mass attack* midpoint when *ibeetles pressure* is 0.12, selected for its proximity to scenarios where *ihosts dead* equals 1.0 (Eq. 14). *Act_{limit}*, was positioned at 0.06, signifiessignifying the *i*_{beetles} activity midpoint at a *DR*_{beetles} = 6% from the preceding year, exceeding endemic levels yet not reaching epidemic outbreaks (Eq. 10). Lastly, *Glimit* is fixed at 1.0, denoting *ibeetles generation*'s midpointthe midpoint for *ibeetles generation* upon completing one generation annually, underpinning the rarity of bark beetle outbreaks with fewer than one generation per year (Eq. 9). Starting from these reference values, a "*restrictive*" simulation was run in which the "*Limit*" parameter values were reduced by 50%. Likewise a "*permissive*" simulation was run to test 50% higher "*Limit*" parameter values. This assessment explores 36 parameters value combinations (3 x 3 parameter values x 4 parametersvalues for 588 589 590 591 592 593 594 595 596 597 598 599

"*Limit*". 600

- Based on Table S1 and the reference range in Table 3, scores are calculated for each parameter set. The Credibility 640
- Score (*CS*) is the sum of four scores, indicating that the result falls within the four reference ranges described above 641
- and no outbreak is triggered when DRwindthrow = 0.1%. The *CS* is computed as follows: *CS = (score1 + score2 +* 642
- *score3 + score4) x score*5. Only parameter sets achieving a *CS* of 4 will be selected. If multiple parameter values are 643
- possible for a given equation, the most frequently selected value will be preferred. 644
- 645

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Table 3 : Literature-based summary of characteristics of large-scale bark beetle outbreaks. Due to data spacity, the characteristics combine outbreak dynamics of different bark beetle species, different host species, and different locations. The reference range is used to calculate the credibility score (CS) of each set of parameters (but see table s1).

Figure 3: Relationship between windthrow damage rate (*DRwindthrow***) and dead host index (***ihosts dead)***. For each site a** *DRwindtrow= 0.1%* **was used as the controlreference simulation because an endemic bark beetle population is expected following such a low intensity windthrow event. Four** *DRwindthrow***The four** *DRwindthrow* **shown in blue were selected for subsequent presentation of the results because they cover the entire range for the** *ihosts dead***.**

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Site selection was based on the average numbers of generation a bark beetle population can achieve in one year. As described in Temperli 2013, the main driver of numbers of generationThe main driver of the number of generations a bark beetle population can achieve in one year is the number of days higher than $7.58.3^{\circ}$ C during winter time (Temperli et al., 2013) which is the reason why temperature is so important for bark beetle reproduction. By taking REN, THA, WET and HES, we can investigate a range in bark beetle generations between 0.8 and 3.5 (Fig. 4) which is a relevant range already observed in Europe. Restraining ourthe number of bark beetle generations ranged from 0.8 to 3.5 (Fig. 4) which is similar to the number of generations observed across Europe (Faccoli and Stergulc, 2006; Jönsson et al., 2009, 2011). Limiting the analysis to only four sites will simplify simplifies the presentation in the results sectionwithout affecting the range under investigation. 662 663 664 665 666 667 668 669 670

Figure 4: Average number of bark beetle generations during the 5 years following the wind storm for the 8 sitesat eight locations along a climate gradient. The HYY sitelocation in Finland was selected as the control sitereference for the REN, THA, WET and HES siteslocations. Only results from the **controlreference** and selected **sitesfour selected locations (shown in blue) are shown in the results to enhance readability of the figures. Although all simulations were also run for SOR, COL and FON their results were found to be too similar to the results of selected sites to present them as well. .**

For the climate gradient, the HYY site was chosen to servesimulation for HYY served as a controlreference since the numbers of generationnumber of generations is lower than 1 for which no outbreak should happen under any circumstances. Under present climate conditions, an outbreak in HYY should be considered as a false positivean undesirable model result. Likewise, a DR_{windthrow}=0.1%—is considered too low to trigger an outbreak and was therefore used as the **control**reference for the wind damage rate tests. 672 673 674 675 676

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The experiment consisted of 2540 simulations, i.e., 5 selected8 sites (including a controlthe reference) x 5 wind 678

damage rates (including a control)the reference). Although the simulations were also run for SOR, COL and FON 679

their results were found to be too similar to the results of selected sites to present them as well. Hence, the result 680

section presents only 25 out of the 40 simulations. Three output variables were assessed: bark beetle damage rate 681

(*DRbeetles*), total biomass (*Btotal*), and net primary production (*NPP*). Total biomass was investigated over 100 years whereas *DRbeetles* and *NPP* were assessed for the first 20 years following a windthrow. 682 683

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3.7. Continuous vs abrupt mortality

Where most land surface models use a fixed turnover time to simulate continuous mortality (ThurnerPugh et al., 2017; PughThurner et al., 2017), ecological reality is better described by abrupt mortality events. An idealized simulation experiment was used to qualify the impact of abrupt mortality on net biome productivity by changing from a framework in which mortality is approximated by a constant background mortality to a framework in which mortality occurs in abrupt, discrete events. To test the The impact of a change in mortality framework two versions of ORCHIDEE were compared to create an idealized simulation experimentwas assessed with an idealized simulation experiment that compares three configurations of ORCHIDEE: (1) a version simulating configuration that simulates mortality as a continuous process, labeled "the smooth version" continuous configuration" which corresponds to previous versions of ORCHIDEE, and (2) the versiona configuration capable of simulating abrupt mortality from windthrow and subsequent bark beetle outbreaks, labeled "the abrupt versionconfiguration" and (3) a versionconfiguration in which windthrow is activated but bark beetles outbreak is include in the mortality backgroundimplicitly accounted for in the background mortality. This third configuration enabled attributing the impact to windthrow. The effect of simulating abrupt mortality was evaluated over 20, 50, and 100 year time horizons. 686 687 688 689 690 691 692 693 694 695 696 697 698 699

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The effectimpact of changing the framework of simulating mortalitymortality framework from continuous to abrupt was qualifiedquantified on the basis of 120 simulations (8 siteslocations x 7 windthrow damage rates x 2 model versions configurations $+ 8$ sites x 1 smooth version configuration) of 100 years each. 701 702 703

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The simulations with abrupt mortality were run first. Subsequently, the number of trees killed was quantified and used as a reference value for the continuous mortality set-up. This approach resulted in the same quantities of dead trees at the end of the simulation for both frameworks, which then differed only in the timing of the simulated mortality. This precaution is necessary to avoid comparing two different mortality regimes where the result would mainly be explained by the intensity of the mortality rather than by its underlying mechanisms. 705 706 707 708 709

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Changes in forest functioning were evaluated through the temporal evolution of accumulated net biome productivity (*NBP*) over a 100-years time frame. *NBP* is defined as the regional net carbon accumulation after considering losses of carbon from fire, harvest, and other episodic disturbances. NBP is a key variable in the carbon cycle of forest ecosystems) as it integrates photosynthesis, autotrophic, and heterotrophic respiration. In ORCHIDEE, NBP is estimated as proposed in Chapin et al. (2006). Changes in net biome productivity are thus the result of changes in photosynthesis, which in turn is driven by changes in leaf area, autotrophic respiration, and heterotrophic respiration. The latter is influenced by the availability of litter inputs, including litter from trees that died from the bark beetle outbreakIn ORCHIDEE, *NBP* is calculated following the definition by Chapin et al. (2006) as the carbon remaining 711 712 713 714 715 716 717 718

in the biomass, litter and soil after accounting for photosynthesis, and respiration because fire, harvest, leaching and volatile emissions were not accounted for in this simulations experiment. 719 720

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4. Results 722

4.1. Sensitivity to model parametersparameter sets

 The impact of spruce stand competition (*ihosts weaknesssusceptibility*) on outbreak dynamics was examined by adjusting the parameters S_{weakness} and RDi_{weakness} S_{susceptibility} and i_{rd} susceptibility in equation 6a'. When S_{weakness} Ssusceptibility resulted in a linear relationship $(S_{\text{weakness}} S_{\text{susceptibility}} = -11.0)$, no peak in bark beetle damage occurred for the three tested values of *RDi*_{weakness}i_{rd susceptibility} (permissive, reference, restrictive) at a 10% windthrow damage rate (Fig. 5, 4th-row, 2nd columnpanel h). However, employing a step function *(Sweakness > -100Ssusceptibilty = -500.0*) led to either sporadic peaks of bark beetle damage with a permissive *RDiweaknessird susceptibility* or a two-year outbreak with a maximum damage rate of 60% with a restrictive *RDiweaknessird susceptibility* (Fig. 5, 4 th row, 2nd columnpanel h), neither of which aligns with the observations summarized in Table 3. 724 725 726 727 728 729 730 731

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The most favorable outcomeclosest outcome to observation from table 3 was obtained with a logistic relationship (*- 1 < Sweakness << -100*), where *RDiweakness* dictated*Ssusceptibility = -5.0*), where *ird susceptibility* determined the duration of the outbreak: 11, 16, and 25 years for restrictive, reference, and permissive parameter values, respectively (Fig. 5, $4th$ row, 2nd column panel h). Either the restrictive or reference parameter value could be utilized since a range of 11-16 years aligns with the observations (Table 3). To examine false positivesthe occurrence of improbable outbreaks, sensitivity tests were repeated for a 0.1% windthrow damage rate. None of the nine parameter combinations triggered an outbreak (Fig. 5, 4th row, 1st column panel g), suggesting that false positives improbable outbreaks due to the calculation of *i*_{hosts weakness} are improbable. 733 734 735 736 737 738 739 740

- The feedback effect*susceptibility* are unlikely. 742
- 743

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From the calculation of the credibility score, only one set obtains a score of 4 (*Ssusceptibility = -5.0, ird susceptibility=0.55,* Table s1). The concerning parameters value has been selected and reported in table 4. 744 745

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The effect of the capability of bark beetle to mass attack eapability (*ibeetles mass attack*) when the bark beetle population reachesexceeds a certain threshold was evaluated by varying *Smass attack* and *BPlimit* (Eq. 14). Linear relationships (Smass $_{\text{attack}}$ = $-\frac{1}{1.0}$) resulted in similar outbreak dynamics for all **BP**_{*limit*} values, with the model settling on a constant endemic damage post-following an outbreak, though higher than observed (Table 3, Fig. 5, panel f). Introducing a logistic or step function *minimallyslightly* altered outbreak dynamics except when assuming a step function for the restrictive value, which prevented an outbreak.Repeatingoutbreak. Repeating sensitivity tests for a 0.1% windthrow damage rate showed that assuming linear or logistic relationships could trigger an outbreak (Fig. 5, $3th$ row, $1st$ **columnpanel e)**, indicating that false positivesimprobable outbreaks may arise from the calculation of i_{hosts mass attack}. 747 748 749 750 751 752 753 754

Figure 5: Simulation results from the sensitivity experiment at the THA site. Eight parameters from four equations were evaluated. Each equation represents an index from the bark beetle outbreak modulemodel (inosts weakness_{5ussceptibility} inosts mass attack, ibeetles activity, ibeetles generation). Each index is represented by a **logistic function defined by a shape parameter (S***Shape***) and a limit parameter (L***Limit)***. Three values were chosen for each parameter resulting in 9 pairs of parameters for each index. Colored lines represent the shape parameter varying from linear : S***Shape* **= -1, logistic -1< S < -100, to step function where S** \leftarrow **-1001.0** (red), logistic -5.0 \leq *Shape* \leq -30.0 (green), to step function where *Shape* = -500.0 **(blue). Line type represents three different values for L***Limit* **parameters where references (dashed line) are values of RDiweakness***ird susceptibility, BPlimt, actlimit* **and** *Glimit* **(given in Tabletable 4), whereas permissive and restrictive représent(full line) and restrictive (dashed dotted) represent a 50% decrease or increase respectively.**

4.2. Model tuning 783

By comparing the outcomes of the sensitivity tests (section 4.1) to a summary compilation of observations (Table 3), 784

a first estimate of the values offor several parameters was proposed (Table 4). 785

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4.3. Impact of climate and windthrow on bark beetle damage

In ORCHIDEE, the **hottestwarmest** sites, HES and WET, experienced significant bark beetle outbreaks across a wide spectrum of windthrow mortality rates, whereas colder sites like REN and THA saw outbreaks only in response to the most severe windthrow events (Fig. 6, panel b, c). A greater average number of bark beetle generations in the years following windthrow events led to higher bark beetle damage rates at the peak of outbreaks. 790 791 792 793

For instance, at a 35% windthrow mortality rate, HES reached a maximum bark beetle damage rate of 50%, whereas 794

- REN's maximum was 22% (Fig. 6 panel a, b). 795
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Interestingly, high windthrowtree mortality rates from windthrow could also lead to delays and lower maximum 797

DRbeetles (Fig. 6). For instance, at the HES site, 10%, 20%, and 35% windthrow damage rates triggered maximum *DRbeetles* of 50%, 43%, and 37%, respectively (Fig. 6 panel a). Conversely, low *DRwindthrow*, like 5% at WET, delayed 798 799

the peak of bark beetle outbreaks by 9 years (Fig. 6, panel d). Additionally, the model simulated a post-epidemic 800

stage during which the outbreak damage rate remained relatively low (<10%) and lasted between 3 to 10 years (Fig. 801

6). Overall, the simulated outbreaks lasted between 11 to 20 years, consistent with field observations (Table 3). 802

Figure 6: Simulation results of 2416 simulations (4 siteslocations x 4 windthrow damage raterates *DRwindthrow***). Lines represent the annual bark beetle damage rate as a fraction of the total biomass (***DRbeetles***).** *Nbgen* **is the average number of bark beetle generations during five years after the windthrow event.** *DRwindthrow* **represents the percentage of biomass loss by a windthrow event at the start of the simulation.**

At the coldest site, HYY, ORCHIDEE predictedsimulated only a small number of bark beetle generations, preventing outbreaks from occurring. This observation validates the initial parameter tuning (Table 4), indicating that it is robust enough to prevent false positivesimprobable outbreaks, such as the model triggering outbreaks in sites where bark beetles cannot reproduce. 805 806 807 808 809

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4.4. Impact of climate and windthrow on stand biomass and Net Primary Production

With the exception of REN, all sites experience a decrease in total biomass until around 9.000 gC.m⁻² by the end of the outbreak, which typically lasted 10 to 20 years (Fig. 7). It is noteworthy that regardless of the severity of maximum damage inflicted by bark beetles, the overall cumulative damage consistently results in the same amount of biomass loss (Fig. 7). This characteristic is a key objective of the bark beetle module. Essentially, the model can 811 812 813 814

simulate significant epidemic events even if the initial trigger, such as the windthrow event in our study, is not particularly intense. Once a tipping point is reached, at All locations experienced a 10 to 20 years decrease in total biomass until at most 9 kgC.m⁻² at which time the outbreak ended (Fig. 7, panel a, b, c, d). The model can simulate significant epidemic events even if the initial trigger, such as the windthrow event in our study, is not particularly intense. Once the bark beetles can mass attack living trees, the bark beetle population (*ibeetles pressure*) will increase and kill more and more trees until so many trees are killed that the stand density of the remaining living trees drops below the threshold of $i_{rd\,sync} = i_{rd\, limit} = 0.4$. In ORCHIDEE, an $i_{rd\, limit} = 0.4$ for spruce forest corresponds to a biomass level of 9.000 gC.m⁻² or RDi_{limit} = 0.4, there's no turning back until that threshold is passed. Interestingly, at the REN site where the number of generations is approximately one, the outbreak only reaches the tipping point with a high windthrow damage rate (35%) around 9 kgC.m⁻² which in ORCHIDEE is too low to maintain an epidemic population of bark beetles at the 2500 km2 grid cell. Interestingly, for the climate observed at REN where the number of generations is approximately one, the bark beetle population can only become epidemic t following an intense windthrow event with a 35% damage rate (Fig. 7). 815 816 817 818 819 820 821 822 823 824 825 826 827 828

Throughout the outbreak period, there was a notable decrease in Net Primary Productivity (NPP), as illustrated in the second panel in Fig. 7, primarily attributed to a sharp decline in leaf area index, although not explicitly depicted. Subsequent to the epidemic phase, the forest undergoes recovery by regenerating its leaf area index. Consequently, individual leaf area indices tend to escalate to attain the overall stand leaf area index, concurrently boosting individual growth ratesnet primary production (*NPP*)(Fig. 7). This decrease is primarily attributed to a sharp decline in leaf area index (not shown). Following the epidemic phase, the leaf area recovers. Following the outbreak,: the reduction in stand tree density due to bark beetle damage mitigatesdecreases autotrophic respiration, albeit not displayed, and fosters recruitment, also not depicted, thereby augmenting (not shown) and the sparser canopy allows more light to reach the forest floor where it fosters recruitment (not shown), resulting a higher *NPP* or forest growth (Fig. 7). Consequently, carbon use efficiency tends to be higher in sparsely populated stands compared to densely populated ones. 829 830 831 832 833 834 835 836 837 838 839

Figure 7: Simulation results of 2416 simulations (4 sites x 4 windthrow mortality rate). Lines represent the annual average net primary production (NPP) in gC.m-2.y-1 or Totaltotal stand biomass (*Btotal***) in gC.mkgC.m-2 .** *Nbgen* is the average number of **achieved** bark beetle generations during the five

years after the windthrow event. *DRwindthrow* **represents the percentage of biomass loss by a windthrow event at the start of the simulation. Grey areas represent the epidemic phase.**

4.5. Continuous vs. abrupt mortality

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Version: Abrupt Abrupt, no beetles Continuous ₿ ₿ **** **** **** Cummulated Net Bioshere Productivity (tC.ha⁻¹) 25 $\mathbf 0$ \vdots -25 $\overline{20}$ 50 100 Years since the simulations start

Figure 8: Difference in cumulative net biome production at three discrete time horizons (i.e. 20, 50 and 100 years) between a continuousfixed continuous mortality rate (blue, n=8), abrupt (red, n=56), abrupt with notree mortality from a windstorm and the subsequent bark beetle outbreak (red, n=56), abrupt mortality from a windstorm not followed by a bark beetles outbreak (green, n=56) mortality framework. Note that in the continuous mortality frameworkconfiguration the mortality rate was adjusted to obtain a similar number of trees killed after 100 years as in the abrupt mortality frameworkconfiguration. The variation of each boxplot arises due to different locations and prescribed storm intensities. Each boxplot displays the median value (thick horizontal line), the quartile range (box border), and the 95% confidence interval (vertical line). A Wilcoxon test between the three versionsconfigurations at each time horizon has been carried out. When the pvalue<0001 four stars are plotted above the boxesshowed significant differences (p-value<0001) denoted by the four stars.

The total accumulated net biome production (*NBP*) was evaluated using the ORCHIDEE model across three different timeframes: 20, 50, and 100 years. At the 20-years mark, the average accumulated *NBP* notably differed between the continuous, abrupt'continuous', 'abrupt' and the abrupt without bark beetles outbreak (abrupt, 'no beetles') mortality frameworks: -19.5±2.7 tC.ha⁻¹, -3.7±0.7 tC.ha⁻¹ and 9.3±0.2 tC.haconfigurations: -7.12±0.97, - 1.37 ± 0.28 and 3.39 ± 0.74 kgC.m⁻².y⁻¹ for the abrupt, abrupt'abrupt', 'no beetles' and continuous' continuous' mortality **frameworks** configurations, respectively. These differences were statistically significant (Wilcoxon, pvalue<0001), indicating a substantial initial reduction in *NBP* with the 'Abrupt' models'abrupt' configurations, as ecosystems behaved as carbon sources, whereas under the 'Continuous' model continuous' configuration, they acted as carbon sinks (Fig. 8). The variability in *NBP* demonstrated the broad temperature gradient in Europe and indicated that despite many locations potentially acting as sources under the 'Abrupt' frameworkabrupt' configuration, some may transition to carbon sinks within the first 20 years following a disturbance. 844 845 846 847 848 849 850 851 852 853 854

Moving to the 50-years horizon, the difference between the three frameworks decreased, with net biome productions of -3.8 ± 1.6 , 11.7 ± 0.4 and 14.9 ± 0.5 tC.ha 0.81 ± 0.60 , 4.43 ± 0.15 and 5.61 ± 0.18 kgC.m⁻².y⁻¹ for the abrupt, abrupt, 'abrupt', 'no beetles' and continuous' continuous' mortality frameworks configuration, respectively. The difference in sink strength difference remained statistically significant (Wilcoxon, p-value<0.001), with the *NBP* in the 'Abrupt' framework'abrupt' configuration approaching carbon neutrality while without the consecutive bark beetles outbreak the ecosystems already becomebecame a sink of carbon. The variability of responses depending on climatic conditions persistedcarbon sink. The climate conditions had a lasting effect on the responses, with the 'Abrupt' framework 'abrupt' configuration showing a greater range in responses compared to the 'Continuous' one. Some locations transitioned from carbon sources to carbon sinks under the 'Continuous' framework, indicating a more resilient and gradual recovery in ecosystem productivity (Fig. 8)'continuous' one. 856 857 858 859 860 861 862 863 864 865

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At the 100-years mark, the average accumulatedcumulative *NBP* for the 'Abruptsabrupts' and 'Continuous' frameworks became much closer (Wilcoxon, p-value<0.001), with values of 12.6±0.7, 18.9±0.5 and 19.9±1.2 tC.ha-⁺, respectively (Fig. 8). The data showed a return to continuous' configurations approached each other with values of 4.85 ± 0.26 , 7.09 ± 0.17 and 7.73 ± 0.40 kgC.m⁻².y⁻¹, respectively (Fig. 8) but were still significantly different (Wilcoxon, p-value<0.001). ORCHIDEE simulated a return to a carbon sink (indicated by positive Cumulativecumulative *NBP* values,) suggesting a long-term recovery and potential return to pre-disturbance productivity levels within thea century following the windthrow eventsand beetle outbreak event. The 'continuous' model versionconfiguration displayed a consistently higher median value, suggesting a more resilient recovery overweaker impact of tree mortality dynamics on the long term carbon cycle. 867 868 869 870 871 872 873 874 875

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5. Discussion 877

5.1. Simulating the dynamics of bark beetle outbreaks and their interaction with windthrow

Our Bark beetle*Ips typographus* outbreak model formulation has demonstrated its capability to simulate a broad range of disturbance dynamics. The variation in the outbreak dynamics and the response of the outbreak to its main 879 880

drivers (Fig. 5 & 6) give confidence in the ability of ORCHIDEE to simulate various outbreak scenarios observed across the temperate and boreal zones under changing climate conditions. 881 882

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Windthrow events have significant ecological meaningimpact because such disturbances offer fresh breeding substrates, which in turn increase bark beetle populations (Lausch et al., 2011). Our modelingmodel results align with these findings, indicating that windthrows causing damage of 5% or more may trigger beetle outbreaks (Fig. 6). Additionally, Wermelinger (2004) reported a strong increase in bark beetle populations post-windthrow, a pattern that our ORCHIDEE simulations also reflecthas been observed following a windthrow event (Wermelinger, 2004), a pattern reflected in the ORCHIDEE simulations. The model pinpointssimulates a buildup stage— spanning 1 to 9 years, where bark beetle numbers increase prior to peaking, with the duration influenced by the severity of the windthrow and the prevailing climate (Fig. 6). 884 885 886 887 888 889 890 891

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Temperature is another critical factor affecting bark beetle life cycles. Studies by Benz et al. (2005) have highlighted how intraIntra- and interannual variation in temperature impact bark beetles, with warmer conditions fostering multiple generations per year, whereas cooler, damp climates slow breeding and survival rates (Benz et al., 2005). In line with these findings, ORCHIDEE's temperature-dependent simulations show variations in bark beetle impacts across different sites; the temperature dependence of the ORCHIDEE simulations show that cold winters at locations such as SOR and REN reduced bark beetle activity compared to warmer siteslocations like THA and WET (Fig. 6). Lieutier et al. (2004) documented that significant bark beetle numbers can trigger mass attacks onif the population i<u>s</u> large enough, bark beetles can mass attack healthy trees. Our model incorporates this dynamic, illustrated by epidemic stages where living trees become viable hosts, which then exacerbates the growth of the beetle population (Fig. 1). 893 894 895 896 897 898 899 900 901 902

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The aftermath of a windthrow and subsequent bark beetle infestationsoutbreak also affects the forest carbon and nitrogen cycles. This impact is observed in the form of snags— which are standing dead trees that undergo decomposition. As Rhoades, (2019) observed, this canSnags can temporarily disrupt the link between soil and ecosystem carbon and nitrogen dynamics, a point echoed by ((Rhoades, 2019; Custer et al., 2020)Custer et al., 2020). While ORCHIDEE modelsin ORCHIDEE, the decay of fallen logs, it does not account for snags-Nevertheless yet, the model suggests a recovery period ranging from 5 to 15 years, contingent upon the intensity of the bark beetle outbreak (Fig. 7). As snags create gaps in the canopy, conditions favorable to natural forest regeneration emerge, corroborating the affirmation of Jonášová and Prach, 2004. The ORCHIDEE model forecasts an increase in tree recruitment due to the sharp reduction in stand density, allowing more sunlight to penetrate to the forest floor, thereby stimulating growth (Fig. 7) (Jonášová and Prach, 2004) **.** 904 905 906 907 908 909 910 911 912 913

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5.2. Emerging propertyproperties from interacting disturbances

While this study hasn't provided a precise quantification of the impact of incorporating abrupt mortality versus a fixed continuous background mortalitydid not precisely quantified the impact of simulating abrupt mortality rather 916 917

than approaching mortality as a continuous process, it demonstrated that the impact of abrupt mortality can vary across locations and overvaries across location and time, i.e., ecosystem functions, such as carbon storage, are affected by natural disasters like pestdisturbances like *Ips typographus* outbreaks, having significant impacts on short-to- to mid-term carbon balance estimates (Fig. 8). The simulation experiments also highlighted that the legacy effects of disturbances can endure for decades, even for a simplified representation of forest ecosystems such as ORCHIDEE, where the recovery might be too fast due to the absence of snags (Senf et al., 2017). 918 919 920 921 922 923

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The ability to simulate resistance *(i.e., staying essentially unchanged despite the presence of disturbances; Grimm* and Wissel, 1997) as an emerging property is evident from FigFigs. 6 and 7 for locations REN, where no bark beetle outbreaks were observed following a medium windthrow event (5%-20%). However, in all simulated locations that couldn'tcould not resist a bark beetle outbreak, the forest was resilient and ecosystem functions were restored to the level from before the windthrow. The elasticity of, e.g.,(i.e., returning to the reference state or dynamic after a temporary disturbance; Grimm and Wissel, 1997) and ecosystem functions were restored to the level from before the windthrow. The elasticity (the speed of return to the reference state or dynamic after a temporary disturbance; Grimm and Wissel, 1997) of the carbon sink capacity ranged from 7 to 14 years. This elasticity is in line with current observational evidence from Millar and Stephenson, 2015 who found very littlethe little observational evidence of ecosystem shifts due to natural disturbances in forests, (Millar and Stephenson, 2015). Finally, after the disturbance and the recovery of vegetation structure, the ecosystems simulated by ORCHIDEE showed persistence, (i.e. the ability to continue along their initial developmental path. In this study we follow the definitions of Grimm and Wissel, 1997 for resistance, resilience, elasticity, and persistence; Grimm and Wissel, 1997). 925 926 927 928 929 930 931 932 933 934 935 936 937

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5.3. Are cascading disturbances important for carbon balance estimates ?

The enhanced complexity introduced into the ORCHIDEE model by incorporating abrupt mortality events, as opposed to a fixed-rate-continuous mortality, prompts the question: does this model refinement yield significant new insights into carbon balance estimates? Our century-long timeframe-analysis demonstratesdemonstrated that the net biome production (NBP; as defined in Chapin et al., 2006)—, a the metric for carbon balance—ultimately alignssequestration, ultimately converges between the continuous and abrupt mortality frameworks, thereby affirming the model's capacity for convergence (Fig. 8). This suggests that irrespective of the nature of the mortality events, the forest ecosystem exhibitsgoes through a recovery phase, marked by aincreased growth boost that compensates for the growth deficits **incurred** during the disturbance. 940 941 942 943 944 945 946 947

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Yet, our experiment has not taken into account the frequency of disturbances. Given the profound influence of disturbance legacies on carbon dynamics, a recurrence interval shorter than the forest's recovery time of the forest might result in a tipping point. Such a scenario could diminish the forest's carbon sequestration potential in the postof the forest beyond 100-year periodtimeframe, and in extreme cases, may even lead to ecosystem collapse—, outcomes not explored in the current simulations nor reflecteddocumented in recent literature, such as the review by the recent literature (Millar and Stephenson, (2015). 949 950 951 952 953 954

In the mid-term, spanning 20 to 50 years, the widely used continuous mortality model appears to inflate the carbon sink capabilities of forests when juxtaposed with abrupt mortality scenarios. Since policy frameworks, including the Green Deal for Europe (2023) and the Paris Agreement | CCNUCC (2023), often hinged (UNFCCC, 2023), upon these medium-term predictions, they would benefit from adopting model simulations that integrate abrupt mortality events to avoid an overestimation of forests' carbon sink capacities of forest. Furthermore, the accuracy of carbon balance estimates strongly depends upon the initial state of the forest in the model. Forest conditions markedly affect carbon uptake rates. Thus, incorporating an abrupt mortality framework into the ORCHIDEE model could substantially refine and fortifystrengthen the predictive power of our carbon balance assessments across short, medium, and long-term scales. 956 957 958 959 960 961 962 963 964

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5.4. Shortcomings of the bark beetle outbreak model

The bark beetle outbreak **modulemodel** developed in this study builds upon the strengths of the previously established LandClim model, though it also inherited some of its limitations. One notable shortcoming is the modulemodel for bark beetle phenology, which is an empirical model making use of accumulated degrees-days. Since the module's conceptionconception of the phenology model a decade ago, Europe's climate has undergone substantial changes, primarily manifested in warmer winters and springs (Copernicus, 2024). Because of these changes, chances have increased for two or even more bark beetle generations within a calendar year (Hlásny et al., 2021a). These changes call for an update of the beetle's phenology model to align with these more recent observations (Ogris et al., 2019). 967 968 969 970 971 972 973 974

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A second limitation is that our study, ORCHIDEE, has been parameterized to simulate only *Ips* Typographus*typographus* in Europe. In order to change the Beetles/trees hosts ecosystembeetles and tree host interactions e.g. pine bark beetle in North America (*Dendroctonus monticolae Hopkins*), the sensitivity of indexes must be revised, for example, pine beetle is not breeding on the dead wood falling from withrow but very sensitive to drought eventevents (Preisler et al., 2012). *ihosts defense*, and *ihosts dead* as well as the phenology model will need to be revised. 976 977 978 979 980 981

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Another issue is the model's consideration of drought. As outlined in the method section, drought is treated as an exacerbating factor, rather than a primary trigger as is the case for windthrow. This understanding was accurate for *Ips typographus* a decade ago (Temperli et al., 2013); however, emerging evidence increasingly suggests that drought events may indeed trigger bark beetle outbreaks across Europe (NethererNardi et al., 2015; Nardi2023; Netherer et al., 20232015). Consequently, this extreme drought as a trigger should be incorporated in a future revision of ORCHIDEE's bark beetle outbreak module*Ips typographus* outbreak model. 983 984 985 986 987 988

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- **6. Outlook** 990

This study simulated how windthrow interacts with bark beetle infestationsthe one-way interaction between 991

windthrow and *Ips typographus* outbreaks in unmanaged forests. Future research will incorporate additional interactions, such as: the interplay between droughts, storms, and bark beetles; storms, bark beetles, and fires; as 992

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- well as forest management, storms, and bark beetles. 994
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The bark beetle outbreak *modulemodel* could also be enhanced by simulating: (a) standing dead trees (or snags), which would help account for differences in wood decomposition between snags and logs (Angers et al., 2012; Storaunet et al., 2005), (b) the migration of bark beetles to neighboring locations, which becomes significant to account for in a model that operates at spatial resolutions below approximately 10 kilometers, and (c) an up-to-datebeetle phenology modulemodel which accounts for the recent change in their behavior induced by climate change. 996 997 998 999 1000

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This research providesprovided an initial qualitative assessment of a new model feature. However, the application of the model necessitates an evaluation of the simulations against observations of cascading disturbances at the regional scale, which is the topic of an ongoing study. 1002 1003 1004

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7. Conclusion 1006

Our approach enables improving the realism of the bark beetle*Ips typographus* model in ORCHIDEE without reducing its generality (Levins, 1966). The integration of a bark beetle outbreak modulemodel in interaction with other natural disturbance such as windthrow into the ORCHIDEE land surface model has resulted in a broader range of disturbance dynamics and has demonstrated ORCHIDEE's capacitythe importance to simulate various disturbance interaction scenarios under different climatic conditions. Incorporating abrupt mortality events instead of a fixed continuous mortality calculation—provided new insights into carbon balance estimates. The study showed that the continuous mortality framework, which is commonly used in the land-surface modeling community, tends to overestimate the carbon sink capacity of forests in the 20 to 50 year range in ecosystems under high disturbance pressure, compared to scenarios with abrupt mortality events. 1007 1008 1009 1010 1011 1012 1013 1014 1015

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Apart from these advances, the study revealed—possible shortcomings in the bark beetle outbreak model including the need to update the beetle's phenology model to reflect recent climate changes, and the need to consider extreme drought as a trigger for bark beetle outbreaks in line with emerging evidence. Looking ahead, future work will further develop the capability of ORCHIDEE to simulate interacting disturbances such as the interplay between extreme droughts, storms, and bark beetles, and between storms, bark beetles, and fires. 1017 1018 1019 1020 1021

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The final step wouldwill be to realize a complete quantitative evaluation based on observationobserved data such as **produced by** (Marini et al., 2017) in order to assess the capability of ORCHIDEE to simulate complex interaction between multiple sources of tree mortality affecting the carbon balance at large scale. 1023 1024 1025

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- **8. Code availability** 1027

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- **Supplementary material:**

Table s1: selection of 32 parameter sets used to access the sensitivity of four main equations driving the ips

were evaluated. Each equation represents an index from the bark beetle outbreak model (*ihosts susceptibility ihosts mass attack, ibeetles action*). Each index is represented by a logistic function defined by a shape parameter (*Shape*) and a limit **parameter (***Limit)***. Three values were chosen for each parameter resulting in 9 pairs of parameters for each index. Colored lines represent the shape parameter varying from linear :** *Shape* **= -1.0 (red), logistic -5.0 <** *Shape* **< -30.0 (green), to step function where** *Shape* **= -500.0 (blue). Line type represents three different values for** *Limit* **parameters where references (dashed line) are values of** *ird susceptibility, BPlimt, actlimit* **and** *Glimit* **(given in table 4), whereas permissive (full line) and restrictive (dashed dotted) represent a 50% decrease or increase respectively.**

were evaluated. Each equation represents an index from the bark beetle outbreak model (*ihosts susceptibility ihosts mass attack, ibeetles activity, ibeetles generation***). Each index is represented by a logistic function defined by a shape parameter (***Shape***) and a limit parameter (***Limit)***. Three values were chosen for each parameter resulting in 9 pairs of parameters for each index. Colored lines represent the shape parameter varying from linear :** $Shape = -1.0$ **(red), logistic** $-5.0 \leq Shape \leq -30.0$ **(green), to step function where** *Shape* **= -500.0 (blue). Line type represents three different values for** *Limit* **parameters where references (dashed line) are values of** *ird susceptibility, BPlimt, actlimit* **and** *Glimit* **(given in table 4), whereas permissive (full line) and restrictive (dashed dotted) represent a 50% decrease or increase respectively.**