Carbon cycle feedbacks in an idealized and a scenario simulation of negative emissions in CMIP6 Earth system models

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- Ali Asaadi¹, Jörg Schwinger¹, Hanna Lee^{1,2}, Jerry Tjiputra¹, Vivek Arora³, Roland Séférian⁴, Spencer
 Liddicoat⁵, Tomohiro Hajima⁶, Yeray Santana-Falcón⁴, Chris D. Jones^{5,7}
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- ⁷ ¹NORCE Norwegian Research Centre & Bjerknes Centre for Climate Research, Bergen, Norway
- 8 ²Department of Biology, Norwegian University of Science and Technology, Trondheim, Norway
- 9 ³Canadian Centre for Climate Modelling and Analysis, Environment and Climate Change Canada,
- 10 Victoria, BC, Canada
- 11 ⁴CNRM, Université de Toulouse, Meteo-France, CNRS, Toulouse, France
- 12 ⁵Met Office Hadley Centre, Exeter, United Kingdom
- 13 ⁶Research Institute for Global Change, Japan Agency for Marine-Earth Science and Technology,
- 14 Yokohama 236-0001, Japan
- 15 ⁷School of Geographical Sciences, University of Bristol, UK
- 16
- 17 *Corresponding author, jrsc@norceresearch.no

18

19 Abstract

- 20 Limiting global warming to well below 2°C by the end of the century is an ambitious target that requires
- 21 immediate and unprecedented emission reductions. In the absence of sufficient near term mitigation,
- 22 this target will only be achieved by carbon dioxide removal (CDR) from the atmosphere later during this
- 23 century, which would entail a period of temperature overshoot. Next to the socio-economic feasibility
- of large-scale CDR, which remains unclear, the effect on biogeochemical cycles and climate are key to
- assessing CDR as a mitigation option. Changes in atmospheric CO_2 concentration and climate alter the CO₂ exchange between the atmosphere and the underlying carbon reservoirs of land and the ocean.
- Here, we investigate carbon cycle feedbacks under idealized and more realistic overshoot scenarios in
- an ensemble of Earth system models. The response of oceanic and terrestrial carbon stocks to changes in atmospheric CO_2 concentration and changes in surface climate (the carbon-concentration and
- in atmospheric CO₂ concentration and changes in surface climate (the carbon-concentration and carbon-climate feedback, quantified by the feedback metrics β and γ , respectively) show a large
- 31 hysteresis. This hysteresis leads to growing absolute values of β and γ during phases of negative
- emissions. We find that this growth over time occurs such that the spatial patterns of feedbacks do not change significantly for individual models. We confirm that the β and γ feedback metrics are a
- 34 relatively robust tool to characterize inter-model differences in feedback strength since the relative
- 35 feedback strength remains largely stable between phases of positive and negative emissions and
- 36 between different simulations, although exceptions exist. When emissions become negative, we find
- 37 that the model uncertainty (model disagreement) in β and γ increases stronger than expected from
- 38 the assumption that the uncertainties would accumulate linearly with time. This indicates that the 39 model response to a change from increasing to decreasing forcing introduces an additional layer of
- 39 model response to a change from increasing to decreasing forcing introduces an additional layer of
- 40 uncertainty, at least in idealized simulations with a strong signal. We also briefly discuss the existing
- 41 alternative definition of feedback metrics based on instantaneous carbon fluxes instead of carbon
- 42 stocks and provide recommendations for the way forward and future model intercomparison projects.

43 **1. Introduction**

44 Estimated remaining carbon budgets compatible with limiting anthropogenic warming to 1.5 or 2 °C 45 above pre-industrial levels are extremely tight and will be exhausted within the next few years if the 46 current emission rate is maintained (e.g., Rogelj et al. 2015; Goodwin et al. 2018; V. Masson-Delmotte 47 et al. 2018; Forster et al. 2023; Smith et al. 2023). Therefore, unless CO₂ emissions are reduced 48 immediately at an unprecedented rate, the 1.5 or 2°C targets can only be reached after a period of 49 temperature overshoot (Rogelj et al. 2015; Ricke et al. 2017; Geden and Löschel 2017; Riahi et al. 2021). 50 Although the option to remove large quantities of carbon from the atmosphere remains speculative 51 (Gasser et al. 2015; Larkin et al. 2018; Fuss et al. 2018; Creutzig et al. 2019; Smith et al. 2023), in such 52 overshoot pathways, too large near-term carbon emissions would be compensated by large-scale 53 carbon dioxide removal (CDR) later in this century. Research on negative emissions exploring the 54 reversibility of CO₂-induced climate change has been conducted for more than a decade (e.g., Boucher 55 et al. 2012; Wu et al. 2015; Tokarska and Zickfeld 2015; Li et al. 2020; Jeltsch-Thömmes et al. 2020; 56 Yang et al. 2021; Schwinger et al. 2022; Bertini and Tjiputra 2022). These studies generally report a 57 hysteresis behavior of the Earth system under negative emission, resulting in greatly varying 58 reversibility for different aspects of the Earth system. While the surface temperature trend follows a 59 reduction in atmospheric CO₂ relatively closely (e.g., Boucher et al. 2012; Jeltsch-Thömmes et al. 2020), 60 hysteresis can be large in the interior ocean, making for example ocean heat content and steric sea 61 level rise as well as interior ocean oxygen content and acidification largely irreversible on policy relevant 62 timescales (Mathesius et al. 2015; Li et al. 2020; Schwinger et al. 2022; Bertini and Tjiputra 2022). The 63 same is true for the loss of carbon from thawing permafrost soils (MacDougall et al. 2015; Gasser et al. 64 2018; Park and Kug 2022; Schwinger et al. 2022).

65 Carbon emissions drive multiple responses of the Earth system via changes in its physical climate and 66 the biogeochemical carbon cycle. Under increasing atmospheric CO₂ concentrations, increasing carbon 67 uptake by the ocean and terrestrial biosphere slows down global climate change by removing the 68 greenhouse gas CO_2 from the atmosphere, a process that is mainly driven by the dissolution of CO_2 into 69 the oceans (e.g., Revelle and Suess 1957, Siegenthaler and Oeschger 1978) and the CO₂-fertilisation 70 effect on the terrestrial biosphere (Schimel et al. 2015). On the other hand, Earth system model (ESM) 71 simulations show that this carbon uptake is reduced by progressive global warming due to, among 72 others, changes in ocean circulation and a reduction of CO₂ solubility in warmer waters, as well as 73 increased respiration rates from soils (Tharammal et al. 2019; Arora et al. 2020; Canadell et al. 2021), 74 and carbon release from degrading permafrost. These two feedback processes, the response to rising 75 CO₂ concentrations and the response to climate change, are termed carbon-concentration and carbon-76 climate feedback, respectively (Gregory et al. 2009). In the context of overshoot pathways, carbon cycle 77 feedbacks determine the efficiency of negative emissions as the oceans and the terrestrial biosphere 78 will first take up carbon at reduced rates and eventually turn into sources of carbon to the atmosphere 79 (Jones et al. 2016a; Schwinger and Tjiputra 2018).

The carbon-concentration and carbon-climate feedbacks can be characterized by feedback metrics, for example, by feedback factors β and γ (Friedlingstein et al. 2003) that quantify the gain/loss of carbon in terrestrial or marine reservoirs per unit change in atmospheric CO₂ concentration and temperature, respectively (see Section 2 for details). These feedback factors are valuable tools to compare the

feedback strength among different models (Friedlingstein et al. 2003, 2006; Yoshikawa et al. 2008; Boer 84 85 and Arora 2009; Gregory et al. 2009; Roy et al. 2011; Arora et al. 2013, 2020) and can be calculated 86 using idealized model simulations, in which the effect of CO₂ on the carbon cycle and the radiative effect 87 of CO₂ are decoupled. In a biogeochemically coupled (BGC) simulation, the radiation code of an ESM 88 does not respond to increasing atmospheric CO_2 concentrations, but the terrestrial and marine carbon 89 cycles do. There is little climate change in such a simulation, which can therefore be used to quantify 90 the carbon-concentration feedback. The difference between a standard (fully coupled, COU) simulation 91 and the BGC simulation is used to quantify the carbon-climate feedback. In the last two phases of the 92 Coupled Model Intercomparison Project (CMIP5 and CMIP6, Taylor et al. 2012; Eyring et al. 2016) 93 carbon cycle feedbacks were addressed by conducting additional decoupled simulations of the standard 94 1% CO₂ simulation, which prescribes an increase in atmospheric CO₂ by 1% per year until quadrupling 95 (Arora et al. 2013, 2020). Next to this idealized simulation, the protocol for the CMIP6 Coupled Climate-96 Carbon Cycle Model Intercomparison Project (C4MIP, Jones et al. 2016b) also proposes a BGC 97 simulation for the SSP5-3.4-OS (hereafter ssp534-over) scenario (O'Neill et al. 2016). This scenario 98 describes an overshoot pathway, in which emissions increase unmitigated until 2040, but strong 99 mitigation (including CDR) is undertaken thereafter. In contrast to the 1% CO₂ simulation, where no 100 forcing other than atmospheric CO₂ is varied, the quantification of feedbacks in this scenario simulation 101 is complicated by the presence of land use change and changes in radiative forcing through emissions 102 of aerosols and non-CO₂ greenhouse gasses (Melnikova et al. 2021, 2022).

103 One open question regarding carbon cycle feedbacks under negative emissions is relative to which state 104 the feedbacks should be measured. A sensible definition requires that any gain or loss of carbon is 105 calculated relative to a state where the carbon cycle is in equilibrium. Schwinger and Tiputra (2018) 106 have opted to keep the pre-industrial state as the reference also after the onset of negative emissions. 107 We follow this approach here, but we note that recently Chimuka et al. (2023) proposed an alternative 108 approach, which defines the feedbacks during the negative emission phase relative to the state at the 109 onset of negative emissions. Since, the Earth system will be in disequilibrium at this point in time, this 110 approach requires an additional simulation that allows to estimate and remove the lagged response of 111 the Earth system to this disequilibrium.

112 Permafrost soils in the northern high latitudes have accumulated roughly 1100-1700 Pg of carbon in 113 the form of frozen organic matter, about twice as much as currently contained in the atmosphere 114 (Hugelius et al. 2014; Schuur et al. 2015). The release of CO₂ and methane (CH₄) from thawing 115 permafrost will amplify global warming due to anthropogenic emissions and further accelerate 116 permafrost degradation and microbial decomposition (Feng et al. 2020; Smith et al. 2022). This positive 117 feedback and the fact that Arctic temperatures are increasing at a much faster rate than the global 118 average (Liang et al. 2022; Rantanen et al. 2022) have made permafrost to be considered among the 119 key tipping elements of the climate system, although it may not be an abrupt but irreversible process 120 (Armstrong McKay et al. 2022; Yokohata et al. 2020; Lenton et al. 2019). A temporary temperature 121 overshoot might entail important legacy effects as high latitude ecosystems and the state of 122 permafrost-affected soils take several centuries to adjust to the new atmospheric condition (de Vrese 123 and Brovkin 2021). Current generation ESMs are still in their infancy when it comes to representing the 124 complex and often small-scale processes of permafrost carbon degradation. Here we take advantage 125 of the fact that one of the CMIP6 ESMs considered in this study has a vertically resolved representation

of soil carbon, which enables us to estimate the contribution of permafrost degradation to the total carbon-climate feedback for this model.

128 Except for the recent studies by Schwinger and Tjiputra (2018), Melnikova et al. (2021, 2022), and 129 Chimuka et al. (2023) all previous studies that quantify carbon-concentration and carbon-climate 130 feedbacks are based on ESM simulations with increasing atmospheric CO₂. Here, we take advantage of a simulation conducted for the CMIP6 Carbon Dioxide Removal Model Intercomparison Project 131 132 (CDRMIP, Keller et al. 2018) that mirrors the 1% CO₂ simulation by prescribing a decrease of 133 atmospheric CO₂ by 1% per year. For simplicity, we refer to these two simulations as $1pctCO_2$ -cdr in the 134 following text. We complement this simulation with a BGC simulation $(1pctCO_2-cdr-bgc)$ to quantify, in 135 a manner consistent with previous feedback studies (Arora et al. 2013, 2020), carbon-concentration 136 and carbon-climate feedbacks under negative emissions in an ensemble of CMIP6 ESMs. We 137 complement these previous studies by a spatial analysis of feedback patterns, and compare the 138 feedbacks from the positive and negative emission phases of the 1pctCO₂-cdr simulation to the 139 feedbacks obtained from the ssp534-over scenario. For the latter, land use change has been shown to 140 have a dominant effect over carbon-concentration or carbon-climate feedbacks by Melnikova et al. 141 (2021, 2022), and these authors present a more detailed analysis of the role of land use change in the 142 ssp534-over scenario. Since land use change is not a feedback process, we focus in this study on regions 143 that are not dominated by agricultural areas when comparing feedbacks between the ssp534-over and 144 1pctCO₂-cdr simulations.

The purpose of this study is to investigate the evolution of carbon cycle feedbacks and their uncertainty under deployment of negative emissions. Since feedback metrics are known to depend on the emission (or concentration) pathway, we investigate the relative feedback strength and the spatial patterns of feedbacks between positive and negative emission phases as well as between idealized and scenario simulations. We also briefly explore the contribution of permafrost carbon losses to the carbon-climate feedback and the impact of alternative feedback metric definitions that rely on instantaneous carbon fluxes rather than carbon stocks in the context of negative emissions.

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2. Description of feedback metrics, simulations, and models

154 **2.1 Carbon cycle feedback metrics**

The sensitivity of the carbon cycle to changes in atmospheric CO₂ concentration ([CO₂]) and its sensitivity to changes in physical climate can be measured using two feedback metrics, which can be based on either changes in carbon stocks (as introduced by Friedlingstein et al., 2003) or instantaneous carbon fluxes (as introduced by Boer and Arora 2009). Changes in carbon stocks are equivalent to the time-integrated carbon fluxes across the air-land and air-sea interfaces, such that for the Friedlingstein et al. approach (referred to as integrated flux-based approach), the two feedback metrics are:

161 1. β (PgC/ppm), which quantifies the strength of the carbon-concentration feedback, i.e., the 162 changes in oceanic and terrestrial carbon stocks ($\Delta C_{L,O}$) in response to changes in atmospheric 163 CO₂ concentration ($\Delta [CO_2]$), and 164 2. γ (PgC/°C), which measures the strength of the carbon-climate feedback, i.e., changes in land 165 and ocean carbon stocks ($\Delta C_{L,O}$) in response to changes in global average surface temperature 166 (ΔT), where ΔT serves as a proxy for climate change.

167 Carbon feedback analysis requires, in addition to a standard fully coupled (COU) simulation, a 168 biogeochemically (BGC) coupled simulation. In a BGC simulation, atmospheric [CO₂] is kept constant at 169 its pre-industrial values for the radiative transfer calculations, to isolate the response of land and ocean 170 biogeochemistry to rising [CO₂] in the absence of CO₂-induced climate change. Using this pair of 171 simulations (COU and BGC) results in the following expressions for β and γ (see Schwinger et al. 2014 172 for a derivation).

173
$$\beta_{X} = \frac{1}{\Delta[CO_{2}]} \left(\frac{\Delta C_{X}^{BGC} \Delta T^{COU} - \Delta C_{X}^{COU} \Delta T^{BGC}}{\Delta T^{COU} - \Delta T^{BGC}} \right)$$
174
$$\simeq \frac{\Delta C_{X}^{BGC}}{\Delta[CO_{2}]}$$
(1)

175

176 $\gamma_X = \frac{\Delta C_X^{COU} - \Delta C_X^{BGC}}{ATTCOU}$

177
$$\simeq \frac{\Delta C_X^{COU} - \Delta C_X^{BGC}}{\Delta T^{COU}}$$
(2)

178

179 where X can be either L for land or O for ocean. Although there is no change in the radiative forcing of CO₂ in the BGC simulation (such that we could expect $\Delta T^{BGC} = 0$), surface temperature can vary due 180 181 to changes in other radiative forcing agents (aerosols and non-CO₂ greenhouse gases). Even in the 182 idealized 1pctCO₂-cdr simulation, where CO₂ is the only variable forcing, there are some climatic changes over the land surface due to a reduction in latent heat fluxes associated with stomatal closure 183 184 at higher CO₂ levels, as well as changes in vegetation structure, coverage, and composition (Arora et al. 2020), which result in a small temperature increase along with changes in precipitation and soil 185 moisture. The assumption of $\Delta T^{BGC} = 0$ will simplify equations (1) and (2) such that the rightmost term 186 holds. However, results presented here are calculated using the complete expression for β and γ 187 (without the assumption $\Delta T^{BGC} = 0$), unless otherwise noted. For comparison, we also provide 188 feedback factors calculated using the simplified (rightmost) definition of β and γ in some figures. The 189 190 instantaneous flux-based approach is equivalent to equations (1) to (2) except that the deviation of the carbon pools ΔC_X are replaced by the instantaneous air-sea or air-land carbon fluxes F_X . To distinguish 191 192 these feedback metrics from the integrated flux-based ones, the capital letters B and Γ are used to denote them. The units of B and Γ are PgCyr⁻¹ppm⁻¹ and PgCyr⁻¹°C⁻¹, respectively. 193

194 By combining equations (1) and (2) to yield

195
$$\beta_X = \frac{1}{\Delta[CO_2]} \left(\Delta C_X^{BGC} - \gamma_X \Delta T^{BGC} \right)$$
(3)

196 it can be seen that, in order to calculate β_X , the carbon stock changes in the biogeochemically coupled 197 simulation are corrected for global mean temperature changes using γ_X . Hence, temperature changes 198 in the biogechemically coupled simulation are fully accounted for as long as the underlying assumption 199 of linearity holds. However, this assumption might be problematic, for example, if the spatial pattern 200 of warming in a biogeochemically coupled scenario simulation arising from non-CO₂ forcings is very

- 201 different from the warming patterns in the fully coupled simulation, particularly if the sign of the local
- 202 temperature change is different from the global average (e.g., local cooling vs. global average warming).
- 203 Such effects could become important on regional to local scales and will be discussed in Section 3.4.
- It is worth mentioning that these feedback frameworks should be seen as a technique for assessing the relative sensitivities of models and understanding their differences (i.e. the model uncertainty of the estimated feedbacks), rather than as absolute measures of invariant system properties (Gregory et al. 2009; Ciais et al. 2013). The values of carbon cycle feedback metrics can vary over time within a model
- simulation (e.g., Arora et al. 2013) or between different scenarios (Hajima et al. 2014).
- To gain insight into the reasons for differing responses among models, we apply the decomposition of the simplified expression for β_L (Eq. 1, assuming $\Delta T^{BGC} = 0$) following Arora et al. (2020). This allows us to investigate the contributions from different processes to changes in vegetation and soil carbon reservoirs (ΔC_V and ΔC_S , respectively).

213
$$\beta_L = \frac{\Delta C_L^{BGC}}{[CO_2]} = \frac{\Delta C_V^{BGC} + \Delta C_S^{BGC}}{[CO_2]} = (\frac{\Delta C_V^{BGC}}{\Delta NPP^{BGC}} \frac{\Delta NPP^{BGC}}{\Delta GPP^{BGC}} \frac{\Delta GPP^{BGC}}{[CO_2]}) + (\frac{\Delta C_S^{BGC}}{\Delta R_h^{BGC}} \frac{\Delta R_h^{BGC}}{\Delta LF^{BGC}} \frac{\Delta LF^{BGC}}{[CO_2]})$$

$$214 = \tau_{cveg\Delta} CUE_{\Delta} \frac{\Delta GPP^{DOC}}{[CO_2]} + \tau_{csoil\Delta} \frac{\Delta R_h^{BOC}}{\Delta LF^{BGC}} \frac{\Delta LF^{DOC}}{[CO_2]}$$
(4)

216 ΔNPP , ΔGPP , ΔR_h , and ΔLF represent deviations of the net primary productivity, gross primary 217 productivity, heterotrophic respiration, and litterfall flux, respectively, from their pre-industrial values. The terms $\tau_{cveg\Delta}$ and $\tau_{csoil\Delta}$ are turnover times (in years) of carbon in the vegetation and litter plus 218 soil pools. $\frac{\Delta NPP}{AGPP}$ is a measure of carbon use efficiency for the fraction of GPP (above its pre-industrial 219 value) that turned into NPP after subtracting autotrophic respiration losses (denoted as CUE_{Δ}). 220 $\frac{\Delta GPP}{[CO_2]}$ (PgCyr⁻¹ppm⁻¹) and $\frac{\Delta R_h}{\Delta LF}$ are a measure of the global CO₂ fertilization effect and the increase in 221 heterotrophic respiration per unit increase in litterfall rate, respectively. Also, $\frac{\Delta LF}{[CQ_2]}$ (PgCyr⁻¹ppm⁻¹) 222 223 measures the global increase in litterfall rate per unit increase in CO₂.

224 225

226 **2.2 Model simulations**

227 The 1% CO₂ experiment is a highly idealized model experiment that prescribes a rate of 1% per year 228 increase in $[CO_2]$ from pre-industrial values until quadrupling after 140 years. No other forcings are 229 varied in this experiment, i.e., land use as well as non-CO₂ greenhouse gasses and aerosol 230 concentrations are held constant at their pre-industrial levels. This experiment has already been 231 performed by the first coupled atmosphere-ocean general circulation models in the late 1980s, and 232 important climate metrics such as the transient climate response (TCR; Meehl et al. 2020) and the 233 transient response to cumulative emissions (TCRE; e.g., Gillett et al. 2013) are formally defined through 234 the 1pctCO₂ simulation. Likewise, the C4MIP carbon cycle feedback analysis for the last two phases of 235 CMIP (Arora et al. 2013, 2020) relied on this simulation. Given the importance of the 1% CO₂ 236 experiment, the CMIP6 CDRMIP protocol proposes an experiment that mirrors this simulation by

- 237 starting from its endpoint at year 140 and decreasing atmospheric CO_2 at a rate of 1% per year until 238 pre-industrial $[CO_2]$ is restored. This experiment is designed to investigate the response of the Earth 239 system to carbon dioxide removal in an idealized fashion. As noted above, in this study we refer to the 240 1% CO₂ simulation and the mirrored -1% CO₂ CDRMIP simulation collectively as 1pctCO₂-cdr for 241 simplicity. We note that the implied rates of CDR in the 1pctCO₂-cdr simulation are huge and not 242 practically feasible. Also, there is a jump from very large positive to very large negative diagnosed 243 emissions at the end of year 140, which is clearly unrealistic. To investigate carbon cycle feedbacks 244 under CDR, we have complemented the 1pctCO₂-cdr simulation with a biogeochemical coupled 245 $1pctCO_2$ -cdr-bgc simulation that starts from the endpoint of the $1pctCO_2$ -bgc simulation at year 140.
- 246 The family of Shared Socioeconomic Pathways (SSPs, O'Neill et al. 2014) is designed to represent 247 different socio-economic futures that social, demographic, political, and economic developments could 248 lead to. These narrative SSPs are the basis for a set of quantitative future scenarios, a selection of which 249 is now being used as input for scenario simulations by the latest ESMs in the frame of the CMIP6 250 Scenario MIP (O'Neill et al. 2016). The ssp534-over scenario follows the high emission SSP5-8.5 pathway 251 until 2040 at which point strong mitigation policies are introduced to rapidly reduce emissions to zero 252 by about 2070 and to net-negative levels thereafter (Fig. 3 of O'Neill et al. 2016). In contrast to the 253 $1pctCO_2$ -cdr simulation, the ssp534-over scenario includes land use change as well as time varying 254 forcing from aerosols and non-CO₂ greenhouse gasses throughout the simulation period (Fig. 1 of 255 Liddicoat et al. 2021). For this study, we use the 1pctCO₂-cdr and ssp534-over simulations from the 256 CMIP6 archive together with the corresponding biogeochemically coupled simulations of these 257 experiments. We note that the biogeochemically coupled 1pctCO₂-cdr-bgc experiment is not part of 258 CMIP6, but has been performed for this study by participating modelling groups.
- 259 The C4MIP simulation protocol does not allow to separate carbon release (or uptake) through land use 260 changes from the carbon-concentration feedback, since land use is active in the biogeochemically 261 coupled ssp534-over simulation. To focus on carbon cycle feedbacks, we eliminate the effect of land 262 use changes as much as possible by identifying regions that are mostly unaffected by human activity 263 (referred to as "natural land"). To accomplish this in a way that available CMIP6 output permits, we 264 define natural land as grid cells with a maximum cropland fraction of less than 25% at all time steps 265 during the period 2015-2100. The threshold of 25% used here for our heuristic approach is a 266 compromise between accuracy (some signal of land use change is still present) and spatial coverage 267 (with increasingly lower thresholds, larger areas of the globe are excluded). Our results are not very 268 sensitive to variations in the threshold between approximately 10 and 30%. Maps of maximum ssp534-269 over cropland fraction for each model (Fig. S1) indicates that a 25% threshold reasonably identifies 270 hotspots of agricultural production. To make our analysis comparable between the ssp534-over and 271 1pctCO₂-cdr simulations, we use the same set of grid cells also for the 1pctCO₂-cdr simulation (unless 272 otherwise noted), even though land cover is not changed from its pre-industrial state in this simulation. 273 We acknowledge that our approach does not explicitly address pasture gridcells or transition from other 274 land use types to pasture. Nonetheless, in the ssp534-over scenario, a substantial expansion of 275 bioenergy crops between 2040 and 2070 is assumed to replace pasture areas, while the area of land 276 used as pasture remains relatively stable thereafter (see O'Neill et al. 2016). Hence, our approach, for 277 this specific scenario, captures the majority of gridcells with transitions from pasture to cropland, while 278 transitions from pasture to forest remain small.

280 **2.3 Participating Earth System Models**

281 Table 1 summarizes the five ESMs that contributed to this study along with the experiments used for 282 the analyses presented here. The primary features of these models are listed in Table 2 of Arora et al. 283 (2020). MIROC-ES2L, NorESM2-LM (which employs version 5 of the Community Land Model, CLM5), 284 and UKESM1-0-LL have a representation of the terrestrial nitrogen cycle implemented and coupled to 285 their carbon cycle. Only the UKESM1-0-LL model has a land component that dynamically simulates 286 vegetation cover and competition between their plant functional types (PFTs). Fire is included in the 287 CNRM-ESM2-1 and NorESM2-LM models. NorESM2-LM is the only ESM with vertically resolved soil 288 carbon, which allows studying the impact of warming on the carbon stored in permafrost soils in more 289 detail. In this study, a gridcell was considered permafrost where the pre-industrial maximum active 290 layer thickness was shallower than three meters. A description and a comparison of the ocean 291 biogeochemistry models used in the five ESMs can be found in the review of Seférian et al. (2020).

292

293 **Table 1:** List of CMIP6 ESMs used in this study, names of their biogeochemical component models, resolution 294 and experiment variants used.

	CanESM5	CNRM-ESM2-1	MIROC-ES2L	NorESM2-LM	UKESM1-0-LL	
Atmosphere and land resolution	2.81°x2.81°*	1.4°x1.4°	2.81°x2.81°	1.9°x2.5°	1.875°x1.25°	
variant	r1i1p1f1 & r1i1p2f1*	r1i1p1f2	r1i1p1f2	r1i1p1f1	r4i1p1f2 & r1i1p1f2	
Ocean resolution	1° (finer in the tropics)	1° (finer in the tropics)	1° (finer close to North Pole and Equator)	1° (finer near the Equator)	1°	
Ocean biogeochem istry model name	CMOC (biology); carbonate chemistry follows OMIP protocol	PISCESv2-gas	OECO2	iHAMOCC	MEDUSA-2.1	
Land model name	CLASS-CTEM	ISBA–CTRIP	MATSIRO (physics), VISIT- e (BGC)	CLM5	JULES-ES-1.0	
Reference	Swart et al. (2019)	Séférian et al. (2019)	Hajima et al. (2020)	Tjiputra et al. (2020); Seland et al. (2020)	Sellar et al. (2019)	

²⁹⁵ *CMIP6 experiment variant used across different simulations including: piControl, historical, hist-bgc, ssp585, ssp585-bgc,

 $296 \qquad \text{ssp534-over, ssp534-over-bgc, 1pctCO}_2, 1pctCO}_2-\text{bgc, 1pctCO}_2-\text{cdr, and 1pctCO}_2-\text{cdr-bgc experiments}.$

3. Results and Discussion

299 **3.1** Atmospheric CO₂, temperature, and carbon fluxes

300 The atmospheric CO_2 concentration ([CO_2]) for the concentration-driven ssp534-over scenario peaks at 301 571 ppm (a doubling of pre-industrial CO₂ concentration) in the year 2062 and decreases to 497 ppm 302 in 2100 (Fig. 1a). According to the scenario design (see O'Neill et al. 2016), strong mitigation policies 303 (including deployment of bioenergy with carbon capture and storage (BECCS) and other carbon dioxide 304 removal technologies) start in 2040 resulting in an immediate decrease in the CO₂ growth rate that 305 peaks in 2041 (Fig. 1e). In the 1pctCO₂-cdr simulation, the prescribed [CO₂] is symmetric around its 306 4xCO₂ peak of 1133 ppm in the year 140 (Fig. 1c). The rate of change of the CO₂ concentration (Fig. 1e) 307 is very different between ssp534-over and 1pctCO₂-cdr experiments. In particular, the CO₂ growth rate 308 in the idealized 1pctCO₂-cdr experiment has a sudden and large jump from positive to negative values 309 at the transition from the ramp-up to the ramp-down phase.

310 The five participating ESMs show large differences in global mean surface air temperature change,

relative to pre-industrial values, under the ssp534-over simulation (Fig. 1b). Peak temperatures vary

312 from 2°C in NorESM2-LM to 4.35°C in CanESM5. The timing of the global surface air temperature peak

varies from 2062 for the MIROC-ES2L and UKESM1-0-LL models to 2100 for CNRM-ESM2-1. After this

peak, the temperature declines again (except for CNRM-ESM2-1) reaching end-of-the-century values that range from 1.39°C above pre-industrial in NorESM2-LM to 3.47°C in CanESM5. The multi-model

316 mean global surface air temperature is 2.66°C at the end of the 21st century. The model-mean growth

317 rate of the global surface air temperature (Fig. 1f) plateaus at about 0.05°C/yr between approximately

318 2030-2050 before it starts to decline to below zero towards the end of the simulation.

Temperature changes in the BGC simulation of ssp534-over are not negligible since the non-CO₂ forcing agents as well as land use change do evolve in time in this scenario, in contrast to the idealized 1pctCO₂cdr simulation. Positive peak temperature anomalies range from 0.37°C (CNRM-ESM2-1 in 2098) to

322 1.29°C (CanESM5 in 2057). UKESM1-0-LL also shows a pronounced negative temperature anomaly

during the historical period of the BGC simulation of -0.80°C in the year 1990.



Figure 1: Atmospheric CO₂ concentration and surface air temperature changes in the fully coupled (solid lines) and biogeochemically coupled (dashed lines) configurations of the ssp534-over (a,b) and 1pctCO₂cdr (c,d) experiments. The rates of change in the prescribed atmospheric CO₂ concentrations is shown in panel e, and the model mean rate of surface temperature change from the fully coupled simulations is shown in panel f. The dotted (solid) vertical lines in panels e and f indicate the peak of the CO₂ growth rate (CO₂ concentration) in the ssp534-over scenario. Shadings in panel f show the range across the models. An 11-year moving average has been used in panels b, d, and f.

- 333 In the $1pctCO_2$ -cdr simulation, the peak temperature anomalies vary from $3.57^{\circ}C$ (in year 144) in 334 NorESM2-LM to 6.84°C (in year 151) in CanESM5 (Fig. 1d). Thereafter, temperature anomalies decline 335 to values ranging from 0.29°C in NorESM2-LM to 2.2°C in UKESM1-0-LL at the end of the ramp-down 336 period (year 280). The 1pctCO₂-cdr BGC simulation shows, compared to the ssp534-over BGC 337 simulation, smaller temperature anomalies ranging from -0.22°C (CNRM-ESM2-1 in year 149) to 0.79°C 338 (UKESM1-0-LL in year 207). The relatively large magnitude of the temperature anomaly in the ssp534-339 over-bgc simulation (peak warming of 12% - 29% of the peak warming in the fully coupled simulation) 340 suggests that warming due to non-CO₂ forcings might contribute substantially to the carbon-climate
- 341 feedback in the ssp534-over scenario.
- 342 For atmosphere-land fluxes, our analysis is complicated by the fact that land use changes are present 343 in the ssp534-over scenario. Here, we focus on comparing fluxes and feedbacks for grid cells that are 344 dominated by "natural land" (see Sec. 2.2 for more details). Note that, for comparability, we consider 345 the same set of grid cells in the 1pctCO₂-cdr simulation, even though land cover stays at its pre-346 industrial state in this simulation. In the ssp534-over simulations, the model-mean annual CO₂ fluxes 347 (Fig. 2) continue rising until the rate of change of [CO₂] reaches its peak in 2041. After the peak, 348 atmosphere-land and atmosphere-ocean fluxes start to decline rapidly in all models with little time lag. 349 UKESM1-0-LL and MIROC-ES2L simulate negative fluxes (i.e., natural land turns into a carbon source) 350 before the end of the 21st century in the COU simulation (Fig. 2a). Without the effect of CO₂ induced 351 warming (BGC simulation, Fig. 2b), only MIROC-ES2L shows a significant carbon source from the 352 terrestrial biosphere before 2100, while the model-mean still shows a sink. In the fully coupled 1pctCO₂-353 cdr experiment, sink-to-source transition of the terrestrial biosphere occurs around year 165 in the 354 model mean, 25 years after the rate of change of [CO₂] peaks (Fig. 2c). Consistent with what is seen in 355 the biogeochemically coupled ssp534-over, the sink-to-source transition occurs 10 years later without 356 the effect of warming in the $1pctCO_2$ -cdr-bgc experiment. However, the terrestrial CO₂ source at the 357 end of the biogeochemically coupled 1pctCO₂-cdr simulation is *larger* than in the fully coupled 358 simulation. We also observe that models which take up more (less) terrestrial carbon during the CO_2 359 ramp-up phase release more (less) carbon towards the end of the CO₂ ramp-down phase (1pctCO₂-cdr-360 bgc; Fig. 2c,d), indicating that these models have a larger (smaller) sensitivity $(\Delta C_L/\Delta CO_2)$ to both 361 atmospheric CO₂ increase and decrease. We therefore interpret the increased source of carbon at the 362 end of the 1pctCO₂-bgc simulation as a release of additional carbon that has been taken up in the 363 absence of climate warming during the biogeochemically coupled simulation. The net negative emission 364 phase of the ssp534-over scenario is too short to show this effect in 2100 (where the warming effect 365 still reduces the model mean terrestrial carbon sink).
- Likewise, the warming of the world's oceans in both simulations tends to reduce the carbon uptake or increase the oceanic carbon source. The model spread for atmosphere-ocean carbon fluxes (Fig. 2, panels e to h) appears to be much smaller than for the atmosphere-land fluxes. In the ssp534-over simulation, the ocean remains a sink of carbon in all models until the end of the simulation in 2100. In the 1pctCO₂-cdr simulation the ocean turns into a source of CO₂ to the atmosphere around year 175, and in the BGC simulation without warming this transition is delayed by 7 years.
- 372



Figure 2: Time series of annual mean natural atmosphere-land (a-d) and atmosphere-ocean (e-h) carbon fluxes for the fully and biogeochemically coupled ssp534-over and 1pctCO₂-cdr experiments as indicated in the panel titles. The dotted vertical lines indicate where [CO₂] growth rate peaks in each experiment. An 11-year moving average has been used in all panels.

373

379 **3.2 Global mean carbon cycle feedbacks**

380 3.2.1 Ocean

381 In the BGC simulation, where the effect of changing atmospheric CO₂ concentration on terrestrial and 382 marine carbon uptake (the carbon-concentration feedback) is isolated, cumulative atmosphere-ocean 383 carbon fluxes indicate an almost linear growth with $[CO_2]$ as long as atmospheric CO₂ concentrations 384 are increasing in both ssp534-over and 1pctCO₂-cdr simulations (Fig. 3a-c). When [CO₂] starts to decline, 385 the atmosphere-ocean carbon flux in the 1pctCO₂-cdr simulation shows pronounced hysteresis with a 386 continued ocean carbon uptake (until the $[CO_2]$ -anomaly has been roughly reduced to 500 ppm) before starting to decrease towards the end of the ramp-down phase (Fig. 3b). In the ssp534-over-bgc 387 388 simulation, where the onset of net negative emissions is more gradual, the relationship between 389 cumulative atmosphere-ocean fluxes and $[CO_2]$ during the phase of declining atmospheric CO_2 390 concentration also shows hysteresis; but due to the relative short period of net-negative emissions, the 391 ocean remains a sink of carbon in all models until the end of the simulation.

392 Differences in the cumulative atmosphere-ocean CO₂ flux between the COU and the BGC simulations 393 versus surface temperature changes (carbon-climate feedback) are shown in Fig. 3d-f. Increasing 394 temperature results in less carbon uptake by the ocean, except for the CNRM-ESM2-1 which simulates 395 slightly more uptake in the first half of the warming period under the ssp534-over. During the negative 396 emission phases of the simulations when the air surface temperature is decreasing, the carbon-climate 397 feedback still decreases the ocean carbon content, albeit at reduced rates. Even when pre-industrial 398 CO₂ concentrations are restored at the end of the 1pctCO₂-cdr simulation all models agree that the 399 ocean is still losing carbon due to the effect of (legacy) warming (Fig. 3e). Using the global average 400 ocean potential temperature (averaged over the full ocean depth) instead of the surface air 401 temperature as a proxy for oceanic climate change as proposed by Schwinger and Tijputra (2018), gives 402 a much more linear relationship between changes in the ocean carbon stock and changes in 403 temperature in the majority of models (Fig. 3 g-i). At the end of the ssp534-over and 1pctCO₂-cdr 404 simulations, the ocean still holds a large part of the carbon taken up from the atmosphere since pre-405 industrial time, between roughly 300-400 PgC in 1pctCO₂-cdr, and around 350 PgC in ssp534-over (Fig. 406 S2).

407 Generally, the ocean carbon-concentration feedback (as indicated by the cumulative carbon uptake per 408 unit increase of CO₂ concentration, Fig. 3a-c) is larger in the ssp534-over scenario, which can most likely 409 be explained with the slower growth rate of $[CO_2]$ in this scenario compared to the 1pctCO₂-cdr 410 simulation (Fig. 3c). For slower growth rates, the ocean has more time to mix and partly transport the 411 adsorbed anthropogenic carbon away from the ocean surface to the interior, increasing the capacity 412 for more uptake. A larger carbon uptake at slower CO₂ growth rates has already been reported by 413 Gregory et al. 2009 and Hajima et al. 2014, although only for combined land and ocean fluxes or land 414 fluxes only. The ocean carbon-climate feedback, in contrast, is slightly smaller in the ssp534-over 415 scenario, i.e., the carbon loss for a given warming is smaller.



Figure 3: Ocean carbon cycle feedbacks in the ssp534-over (left column) and 1pctCO₂-cdr (middle column) simulations for individual models. The model means for both simulations are shown in the right column. Global mean ocean potential temperature is used on the x-axis of panels (g-i). An 11-year moving average has been used in all panels.



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Figure 4: Terrestrial carbon cycle feedbacks in the ssp534-over (left column) and 1pctCO₂-cdr (middle column) simulations for grid cells that are dominated by "natural land" (less than a maximum of 25% crop fraction over the period 2015-2100 in ssp534-over). Note that we consider the same grid cells in the 1pctCO₂-cdr simulation, even though land use stays at pre-industrial state. The model means for both simulations are shown in the right column. An 11-year moving average has been used in all panels.

433 3.2.2 Land

434 For grid cells representing natural land, the response of the cumulative terrestrial carbon flux to 435 changes in $[CO_2]$ and surface temperature (Fig. 4) is qualitatively similar to the response of the 436 atmosphere-ocean fluxes. In both ssp534-over and 1pctCO₂-cdr simulations, a roughly linear 437 relationship can be seen between the carbon flux change and both the changes in [CO₂] and surface air 438 temperature during positive emission phases. An exception is the carbon-climate feedback of the 439 CanESM5 model, which is about zero up to 4 degrees of warming, and becomes positive for higher 440 temperature increases. This unique behavior is caused by CanESM5's high climate sensitivity combined 441 with larger carbon use efficiency amongst CMIP6 models (as shown later) which causes high latitude 442 vegetation to take up large amounts of carbon in response to warming. This more than compensates 443 for the carbon loss elsewhere associated with climate warming. During negative emission phases both 444 feedbacks show a considerable hysteresis behavior, as for the ocean (see also below). It is worth 445 mentioning that, unlike for the ocean, the COU-BGC accumulated atmosphere-land flux starts to 446 increase, albeit with a lag, in response to cooling during the negative emissions phase in most models 447 (Figs. 3e and 4e).

448 The carbon-concentration feedback (as indicated by the cumulative carbon uptake per unit increase of 449 CO₂ concentration) is slightly smaller for the ssp534-over scenario compared to the 1pctCO₂-cdr 450 experiment (see Fig. 4c), but this difference might be attributed to the remaining influence of land-use 451 changes. This is because, for "cropland grid cells" (maximum crop-fraction of more than 25% in the 452 ssp534-over scenario), the cumulative carbon fluxes are markedly smaller in the ssp534-over scenario 453 compared to the 1pctCO₂-cdr simulation (compare panel c on Figs. S3 and 4). This indicates, consistent 454 with Melnikova et al. (2022) who demonstrate that carbon losses from land use changes dominate over 455 gains through CO₂ fertilization in crop dominated areas (see their Fig. 4, panels a and c), that the 456 prescribed land use change in the SSP scenario is the driver behind the small (negative for NorESM2-457 LM and UKESM1-0-LL) carbon accumulation for crop land grid cells. Since grid cells that are dominated 458 by natural land according to our separation approach, may contain up to 25% croplands, we expect a 459 reduction of cumulative carbon fluxes due the remaining land use (changes) in the natural land grid 460 cells. We note that land use change is externally prescribed rather than a feedback process in our 461 simulations. It is only due to the simulation design used here (see Section 2.2 for details), that the 462 carbon release (or uptake) due to land use changes modifies the net atmosphere-land CO₂ flux which 463 is then seen as a carbon-concentration feedback in the ssp534-over-bgc simulation.

The model-mean carbon-climate feedback for natural land is very similar for the ssp534-over and 1pctCO₂-cdr simulations during the positive emission phases, but deviates thereafter due to hysteresis behavior (Fig. 4f). Interestingly, in contrast to the carbon-concentration feedback, the model-mean carbon-climate feedback for cropland and natural land remains very similar between the ssp534-over and 1pctCO₂-cdr simulations (Fig. S3f). This is likely due to the similar response of the soil carbon to changes in surface air temperature.

470

471 **3.2.3 Hysteresis**

472 For the 1pctCO₂-cdr simulation, hysteresis can be defined as the difference in, for example, cumulative 473 carbon uptake during the ramp-up and the ramp-down period at the same level of atmospheric CO_2 474 concentration. Here, to quantify hysteresis, we choose the years 70 and 210, which represent a state 475 where atmospheric CO_2 has been doubled (570 ppm) or returned to this value after the overshoot. We 476 define hysteresis as the difference between cumulative carbon uptake in year 210 minus cumulative 477 carbon uptake in year 70 (i.e., hysteresis is positive, if cumulative carbon uptake is larger on the ramp-478 down side of the 1pctCO₂-cdr simulation). We refrain from quantifying hysteresis for the ssp534-over 479 scenario, because of the relatively short period of declining [CO₂].

The model mean hysteresis in the carbon-concentration feedback is 443±29 PgC (model uncertainty measured as one standard deviation) for the ocean and 524±205 PgC for natural land, which for both cases is larger than the feedback at year 70 itself. Although the hysteresis of the ocean carbonconcentration feedback is smaller than the terrestrial feedback in absolute terms, it is larger in relative terms (179% of the accumulated carbon uptake at year 70 for the ocean versus 168% for land). In general, the hysteresis seems to be related to the magnitude of the carbon-concentration feedback, since models with a large (small) carbon uptake at year 140, tend to show a large (small) hysteresis at 487 year 210 for both ocean and land. However, towards the end of the ramp-down period, this relationship
 488 breaks down for CanESM5 and MIROC-ES2L, particularly over land.

For the carbon-climate feedback, the hysteresis in climate induced carbon loss or gain (difference between COU-BGC evaluated at years 70 and 210) is -102±22 and -158±181 PgC for ocean and natural land, respectively. As for the carbon-concentration effect, a relationship between the magnitude of carbon loss or gain at year 140 and the hysteresis is found. Models with a large (small) climate induced loss of carbon tend to have a large (small) hysteresis.

494 For the ocean carbon cycle, hysteresis in the carbon-concentration feedback occurs mainly due to the 495 long time scales of ocean overturning circulation. Schwinger and Tjiputra (2018) have shown that 496 hysteresis strongly increases with water mass age. Young waters, which reside close to the ocean 497 surface, exchange quickly with the atmosphere and show little hysteresis, whereas old, deep ocean 498 water masses' responses to declining atmospheric CO₂ will be delayed, and thus show considerable 499 hysteresis. Over land, both the vegetation and soil carbon pools show a lagged response to decreasing 500 CO_2 due to the fact that transient changes in $[CO_2]$ lead to a long term disequilibrium between the CO_2 501 fertilization effect, vegetation biomass, litterfall, and soil carbon (e.g., Krause et al. 2020). Therefore, 502 despite declining $[CO_2]$ levels at the beginning of the ramp-down phase there is still an increase in 503 vegetation biomass due to CO₂ fertilization, and consequently an increase in soil carbon due to still 504 increasing litterfall. Warming-induced hysteresis appears to be larger for soil carbon in most models. 505 Similar to the large warming induced hysteresis (e.g., Schwinger and Tjiputra 2018; Schwinger et al. 506 2022; Santana-Falcón et al. 2023) in the ocean, this is caused by the fact that even though warming 507 levels start to decline shortly after the onset of the ramp-down phase, environmental conditions remain 508 warmer than in the pre-industrial period over the whole time of the ramp-down simulation.

509

510 **3.3 Carbon cycle feedback metrics**

511 **3.3.1 Model mean global land and ocean responses**

512 We now discuss the model-mean time evolution of the feedback metrics β and γ (Eqs. 1 and 2) derived 513 from the 1pctCO₂-cdr and ssp534-over simulations. In the ssp534-over scenario (Fig. 5a) the model-514 mean feedback metric β_L increases monotonically from about 0.7 to 1.9 PgC ppm⁻¹ during the period 515 2000-2100. Over the ocean, β_0 in the ssp534-over scenario decreases slightly until the mid-21st 516 century, and then it rises to about 1.7 PgC ppm⁻¹. Due to the much larger spread in carbon fluxes over

517 land (Fig. 2), the resulting model spread for both β_L and γ_L is also much larger than for β_0 and γ_0 .

For the 1pctCO₂-cdr simulation, during the ramp-up phase over both land and ocean (Fig. 5b), β initially increases and then decreases slightly with increasing [CO₂] consistent with the results of Arora et al. (2013) for the same experiment but using CMIP5 ESMs. In contrast, during the ramp-down phase of the 1pctCO₂-cdr experiment, β reaches very high values over both land and ocean (Fig. 5c). This is because, during the carbon removal phase of the 1pctCO₂-cdr experiment, there is a much larger amount of accumulated ocean and terrestrial carbon for the same atmospheric CO₂ concentration due to the large

- 524 hysteresis seen in Figs. 3 and 4. Eventually, while $[CO_2]$ is approaching pre-industrial values (i.e., $\Delta[CO_2]$ 525 reaches zero), changes in cumulative fluxes (i.e., carbon stocks) relative to their pre-industrial values
- 526 remain positive, making β ill-defined towards the end of the 1pctCO₂-cdr ramp-down. For the same

527 reason, an increase of β_L and β_O is also seen in the ssp534-over scenario after the CO₂ concentration

528 peak in 2062.



529

Figure 5: Model-mean β (a-c) and γ (d-f) feedback metrics in the ssp534-over and 1pctCO₂-cdr experiments for natural land and ocean. Panels b and e show a zoom into the ramp-up phase of the time series shown on panels c and f. Shadings show the range across the models. The dotted vertical line on panel a indicates where [CO₂] growth rate peaks in the fully coupled ssp534-over experiment. Dotted curves on panel d indicate the model mean with the assumption of negligible temperature change in the BGC simulation (Eq. 2). An 11-year moving average has been used in all panels.

537 The model mean feedback factor γ is negative as the impact of climate change generally reduces the 538 carbon stocks of land and ocean. In both ssp534-over and 1pctCO₂-cdr experiments, the carbon-climate 539 feedback is increasing over time (more negative γ , Fig. 5d and e), similar to figure 6 of Arora et al. 540 (2013). The carbon-climate feedback is generally much smaller for the ocean than for land, and the 541 model uncertainty for γ_O is only a small fraction of γ_L . Note that the same globally averaged surface air 542 temperature anomaly is being used for the calculation of both γ_O and γ_L (Eq. 2). As noted above, the 543 CanESM5 model simulates a globally increasing land uptake due to climate change towards the end of 544 the 1pctCO₂-cdr simulation (Fig. 4e), resulting in a positive γ_L for this model. During the ramp-down 545 phase of the 1pctCO₂-cdr experiment (Fig. 5f), γ reaches very large negative values. Similar to β , this is 546 caused by the large hysteresis of the climate change impact on cumulative carbon stock while the 547 surface temperature change becomes small (see Eq. 2). The assumption of $\Delta T^{BGC} = 0$ generally works 548 well except for γ_L in the ssp534-over scenario where non-CO₂ forcings have a significant contribution 549 to ΔT^{BGC} (dashed curves in Fig. 5d).

The global feedback factors B and Γ for the ssp534-over and 1pctCO₂-cdr simulations are shown in Fig. 550 551 S4. This feedback metric directly reflects the instantaneous fluxes, not cumulative fluxes, and is 552 therefore less influenced by the history of carbon fluxes, unlike β and γ . Consistent with Fig. 2, the 553 model-mean B remains positive during the ssp534-over simulation and during the positive emission 554 phase of the 1pctCO₂-cdr both over natural land and ocean. Only one model indicates a negative 555 carbon-concentration feedback over natural land towards the very end of the ssp534-over simulation 556 during its relatively short negative emission phase. B reflects the saturation of carbon sinks in the 557 $1pctCO_2$ -cdr simulation with time and decreases monotonically during the positive emission phase. 558 Similar to what we have seen earlier for β , B shows large but negative values towards the end of the 559 1pctCO₂-cdr ramp-down phase (Fig. S4c).

560 An interesting difference between the γ and Γ feedback metrics is seen towards the end of the 1pctCO₂-561 cdr negative emissions phase (Fig. S4f), where Γ_{L} turns positive around year 180. This indicates that the 562 land biosphere starts gaining carbon that was previously lost due to the impacts of climate change. In 563 contrast, Γ_0 remains negative indicating that the ocean continues to lose carbon due to warmer than 564 pre-industrial conditions until the end of the 1pctCO₂-cdr ramp-down phase. Because they are based 565 on cumulative emissions, both γ_0 and γ_L remain negative throughout the 1pctCO₂-cdr ramp-down. This illustrates that the use of a feedback metric based on time-integrated carbon fluxes might obscure 566 567 changes in important processes during net-negative emission phases. Eventually, both approaches for 568 calculating feedback metrics become ill-defined when the deviation of [CO₂] or temperature from their 569 pre-industrial values becomes small. This implies that both feedback metrics are not suited to describe 570 feedbacks towards the end (and beginning) of a concentration driven simulation set-up where pre-571 industrial concentrations are restored. We note that this problem is connected to the choice of the 572 reference relative to which the feedbacks are calculated. In the approach of Chimuka et al. (2023), 573 where the reference is chosen to be at the transition from positive to negative emissions, singularities 574 towards the end of the 1pctCO₂-cdr simulation are avoided.

575

576 **3.3.2 Model uncertainties and relative feedback strength in global feedback metrics**

577 Figure 6 shows the model spread of feedback metrics at different points in time for the 1pctCO₂-cdr 578 simulation and the ssp534-over scenario (see also Table 2). The larger model-mean values during the 579 negative emission phases have been discussed in the previous section, but Fig. 6 also shows a strong 580 increase in model uncertainty (measured as the standard deviation around the model mean, Table 2) 581 between the ramp-up and ramp-down phase of the 1pctCO₂-cdr simulation. For both β_L and β_O , there 582 is either no (β_0) or only a small (β_L) increase in model uncertainty between the years 70 and 140 of the 583 1pctCO₂-cdr simulation, whereas at year 210 uncertainty has increased by about a factor of four. This 584 "jump" in uncertainty in β is solely caused by differences in how the models react to the sharp change in forcing from increasing to decreasing CO₂ at year 140 (see Eq. 1, note that atmospheric CO₂ is 585 prescribed and ΔT^{BGC} is small). A similar behavior is seen for γ_0 , while for γ_L the increase in model 586

- 587 uncertainty is more gradual, i.e., the increase between years 70 and 140 is about the same as between
- 588 years 140 and 210. There is also a consistent increase in model uncertainty in all feedback metrics from
- 589 the positive to the negative emissions phase in the ssp534-over scenario.
- 590 The relative strength of the feedback among the models remains relatively stable over time, between 591 positive and negative emission phases, and between the different experiments. Model A having a 592 stronger (weaker) feedback than model B at one of the instances depicted in Fig. 6, indicates that model
- 593 A will have a stronger (weaker) feedback than model B for the other instances with only few exceptions.
- 594 Most of these exceptions arise because modeled feedbacks are very similar such that small changes in
- 595 feedback strength can lead to a different ranking. In a few cases relative feedback strength evolves
- 596 differently in the models. For example, NorESM2-LM evolves from having a weaker than average γ_L in
- the positive emission phase of the 1pctCO₂-cdr simulation to having a stronger than average γ_L in the
- 598 negative emission phase.
- 599 Finally, it is worth noting that while the model uncertainty in γ_0 is much smaller than in γ_L during the
- for ramp-up phase of the 1pctCO₂-cdr simulation (uncertainty in γ_0 is only 15% of those in γ_L at year 140),
- this situation has changed for the ramp-down phase. At year 210, the uncertainty in the ocean carbon-
- 602 climate feedbacks has grown much stronger than the uncertainties of the terrestrial carbon-climate
- 603 feedback, such that model uncertainties in γ_0 are 42% of those in γ_L .



Figure 6: Globally averaged values of β (a and c) and γ (b and d) in the 1pctCO₂-cdr (years 70, 140, and 607 210) and ssp534-over (years 2045 and 2090) experiments for natural land and ocean. The bars show 608 the mean ± 1 standard deviation range, and the individual colored dots represent individual models.

Table 2: Globally averaged values of β (Pg C ppm⁻¹) and γ (Pg C °C⁻¹) at years 70, 140, and 210 of the 1pctCO₂-612 cdr simulation and years 2045 and 2090 of the ssp534-over experiment for natural land and ocean.

	MIROC-ES2L	UKESM1-0-LL	CNRM-ESM2-1	CanESM5	NorESM2-LM	Mean
$eta_{L(70)}$	1.15	1.02	1.36	1.09	0.94	1.11 (SD=0.16)
$\beta_{L(140)}$	0.94	0.76	1.36	1.03	0.87	0.99 (SD=0.23)

β _{L(210)}	2.48	2.43	4.51	3.05	2.88	3.07 (SD=0.85)
$eta_{L(2045)}$	1.04	0.56	1.59	0.88	0.57	0.93 (SD=0.42)
$eta_{L(2090)}$	1.55	1.22	2.63	1.59	1.14	1.63 (SD=0.59)
γL(70)	-42.14	-19.54	-41.58	0.82	-14.12	-23.31 (SD=18.5)
γL(140)	-44.17	-31.19	-74.97	17.78	-16.31	-29.77 (SD=34.3)
γL(210)	-77.26	-60.45	-144.01	12.77	-71.64	-68.12 (SD=55.8)
γL(2045)	-41.08	-6.80	-48.46	-10.93	-15.78	-24.61 (SD=18.9)
γL(2090)	-56.43	-34.76	-69.66	-10.41	-25.95	-39.44 (SD=23.7)
β ₀₍₇₀₎	0.85	0.93	0.82	0.92	0.90	0.88 (SD=0.05)
β ₀₍₁₄₀₎	0.76	0.81	0.73	0.81	0.82	0.78 (SD=0.04)
β ₀₍₂₁₀₎	2.49	2.60	2.35	2.50	2.70	2.53 (SD=0.13)
β ₀₍₂₀₄₅₎	1.08	1.09	0.97	1.09	1.08	1.06 (SD=0.05)
β _{O(2090)}	1.59	1.57	1.43	1.55	1.60	1.55 (SD=0.07)
γο(70)	-10.09	-7.95	-3.60	-10.13	-10.84	-8.52 (SD=2.96)
γο(140)	-22.40	-14.56	-9.44	-16.77	-20.48	-16.61 (SD=5.10)
γο(210)	-58.94	-29.78	-20.16	-32.75	-76.28	-43.59 (SD=23.2)
γ _O (2045)	-7.88	-5.43	0.78	-6.75	-9.56	-5.77 (SD=3.96)
γο(2090)	-14.50	-11.98	-1.10	-11.05	-21.50	-12.03 (SD=7.35)

615

616 **3.3.3 Model differences in the terrestrial carbon-concentration feedback**

Figure 7 shows the individual components of the decomposition of β (Eq. 4), separately for tropical and subtropical (30°S-30°N) and higher latitudes (between 30°N/S and the poles), both on the ramp-up and ramp-down phases (years 70 and 210, respectively) of the 1pctCO₂-cdr-bgc experiment. The time periods are selected such that the atmospheric CO₂ concentration is the same (569 ppm, a doubling of pre-industrial CO₂ concentration). All models consistently show increases in both $\tau_{cveg\Delta}$ and $\tau_{csoil\Delta}$

622 during the ramp-down compared to the ramp-up phase, since these metrics are based on cumulative

623 vegetation and soil carbon (Eq. 4), which are slower than NPP and GPP in reacting to decreasing $[CO_2]$. Lower (higher) latitudes are associated with higher $\tau_{cveg\Delta}$ ($\tau_{csoil\Delta}$). Likewise, the litterfall term $\frac{\Delta LF}{[CO_2]}$ is 624 larger during the ramp-down phase in all models due to lagged reaction of vegetation carbon to the 625 626 decrease in [CO₂], with this effect being generally most pronounced at low latitudes. There is also a consistent but small increase in the term $\frac{\Delta GPP}{[CO_2]}$, which represents the CO₂ fertilization effect. This 627 628 increase implicitly includes the effect of changes (typically an increase) in standing vegetation biomass 629 and leaf area index for all models but also changes in vegetation cover as [CO₂] varies for UKESM1-0-LL that simulates dynamic vegetation cover. For the dimensionless fractions $\frac{\Delta R_h}{\Delta L_F}$ and CUE_A, changes 630 631 between ramp-up and ramp-down phases are less consistent between the models. For CUE_∆, three 632 models show an increase and two models a decrease, although the changes between ramp-up and ramp-down phases are generally small. For $\frac{\Delta R_h}{\Delta LF}$ changes range from a 115% increase (CNRM-ESM2-1 633 at low latitudes) to a small decrease (UKESM1-0-LL). It is worth noting that for four out of six terms of 634 Eq. 4 ($\tau_{cveg\Delta}$, $\tau_{csoil\Delta}$, $\frac{\Delta R_h}{\Delta L_F}$, and $\frac{\Delta L_F}{[CO_2]}$) the model disagreement is significantly larger during the ramp-635 636 down phase of the 1pctCO₂-cdr simulation, indicating that changes in these processes are responsible for the strong increase in model uncertainty in β_L between positive and negative emission phases 637 pointed out in the previous section. 638

639 The decomposition applied here helps to understand some of the model differences visible in Fig. 4. As 640 already pointed out in Arora et al. (2020), the high accumulation of terrestrial carbon by the CNRM-641 ESM2-1 model in the BGC simulation (Fig. 4b) is not caused by a particularly strong CO₂ fertilization 642 effect or CUE_{Δ} but rather by relatively high values of $\tau_{cveg\Delta}$ and $\tau_{csoil\Delta}$, indicating long residence 643 timescales in vegetation and soil. Likewise, CanESM5's higher than average atmosphere-land C flux (Fig. 644 4b), despite its near-average strength of the CO_2 fertilization effect and soil and vegetation turnover times is due to its high CO₂ fertilization effect at lower latitudes and also its high CUE_A through which 645 646 the model converts a much larger fraction of GPP to NPP. Compared to the other models, CanESM5 647 also shows the largest relative increase (85% and 134% for lower and higher latitudes, respectively) in 648 $\tau_{csoil\Delta}$ between years 70 and 210.

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Figure 7: Individual terms of Eq. (4) contributing to β_L . Values for tropical and subtropical (between 30°S and 30°N) regions are in green, and for northern and southern latitudes (above 30°S and 30°N) are in blue. Lighter (darker) color on each panel corresponds to the middle of the ramp-up (ramp-down) phase of the 1pctCO₂-cdr-bgc experiment (years 70 and 210, respectively).

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658 **3.3.4** Northern hemisphere high-latitude permafrost and non-permafrost regions

Of the ESMs considered here, only NorESM2-LM has a terrestrial model that vertically resolves soil carbon (CLM5, Lawrence et al. 2019). Since this is a prerequisite to skillfully simulate carbon release during gradual permafrost degradation, we restrict our analysis of high latitude and permafrost feedbacks to the NorESM2-LM model. If only natural land is considered, the area associated with permafrost and non-permafrost regions north of 45°N is about 14.7 and 17.5 x10⁶ km², respectively (total area is 14.7 and 24.1 x10⁶ km²).

665 The effect of warming on carbon uptake in the high-latitude non-permafrost region is positive ($\gamma > 0$, increased uptake) in NorESM2-LM in both the ssp534-over and the 1pctCO₂-cdr simulation (Fig. 8a-c, 666 667 blue lines). Within the permafrost region, γ is close to zero for the ssp534-over simulation up to 2100 668 and the ramp-up phase of the 1pctCO₂-cdr simulation (Fig. 8a,b, red line), albeit with a decreasing (more 669 negative) trend. This is due to a compensation of vegetation carbon gain and soil carbon losses (Fig. 670 S5). During the ramp-down phase of the 1pctCO₂-cdr simulation, permafrost soil carbon losses increase 671 approximately until year 210 of the simulation (Fig. S5). Thereafter, permafrost soil carbon stays roughly constant with a cumulative loss of about 55 PgC over the simulation. Vegetation carbon over the 672 permafrost region still increases for the first 30 years of the ramp-down phase of the 1pctCO₂-cdr 673

simulation, after which it decreases mainly due to decreasing temperature (Fig. S5g). The γ value calculated for the permafrost region, therefore, shows a sharp decrease during the ramp-down period of the 1pctCO₂-cdr simulation (Fig. 8c). Eventually, when Δ*T* approaches small values γ loses its significance as seen before for the global feedback factors.



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Figure 8: γ (a-c) and β (d-f) for northern hemisphere high latitude (above 45°N) natural land permafrost and non-permafrost regions in the ssp534-over and 1pctCO₂-cdr simulations using the NorESM2-LM model. An 11-year moving average has been used in all panels.

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683 In both the ssp534-over scenario and the 1pctCO₂-cdr simulations, β is positive (except initially in the 684 ssp534-over simulation) although the absolute values remain very small. The carbon-concentration 685 feedback is stronger over the non-permafrost area, where both soil and vegetation carbon increase, 686 than over the permafrost area, where soil and vegetation carbon stay almost constant in the BGC 687 simulation (Fig. S5).

NorESM2-LM has the smallest transient climate response (TCR) of the models considered here, and it can be expected that the permafrost carbon-climate feedback estimated here would be larger in a model with higher TCR. Nevertheless, the permafrost carbon loss of 26.9 Pg C °C⁻¹ in the year 210 of the simulation contributes 38% of the total carbon-climate feedback at this point in time in NorESM2-LM.

693 **3.4 Geographical pattern of carbon cycle feedback metrics**

694 We have calculated β and γ feedback factors at grid-scale to assess the spatial patterns of feedbacks 695 over the land and ocean (Figs. 9 and 10). In order to compare positive and negative emission phases, 696 we selected 21-year time intervals centered at years 70 and 210 of the ramp-up and ramp-down phases 697 of the $1pctCO_2$ -cdr simulation, at an atmospheric CO₂ concentration of 570 ppm (corresponding to a 698 doubling of pre-industrial CO₂ concentration). We also selected a 21-year time-interval centered at year 699 2045 (corresponding to CO_2 concentration of 523 ppm), shortly before the CO_2 peak of the ssp534-over 700 scenario. We have also analyzed a 21-year time interval during the net-negative emission phase of the 701 SSP scenario (centered at year 2090), but since the time-period of net-negative emissions in the SSP-702 scenario is relatively short, we focus on comparing the feedbacks during the positive and negative 703 emission phases of the 1pctCO₂-cdr simulation alongside with the feedbacks during the positive 704 emission phase of ssp534-over. For completeness, Fig. S6 shows the spatially resolved feedback during 705 the net-negative emission phase of ssp534-over.

706 In the $1pctCO_2$ -cdr simulation, rising [CO₂] increases the modeled carbon sinks almost everywhere (i.e., 707 positive β) over the land and ocean (Fig. 9a-e). CanESM5 shows a weak negative β over northern high-708 latitude land areas, and there are some spurious negative values of β over desert areas in some models. 709 For the ocean, all models agree that the regions with the strongest increase of the oceanic CO_2 sinks in 710 response to higher [CO₂] are the North Atlantic and the Southern Ocean. As seen for the global average 711 (Fig. 5), β remains positive and increases in magnitude during the ramp-down phase (Fig. 9 f-j, note the 712 different color scale). As an overarching observation, the large scale patterns of the carbon-713 concentration feedback are remarkably similar during the ramp-up and ramp-down phases of the 714 1pctCO₂-cdr simulation (with spatial correlations, averaged across all the models, of 0.93 and 0.80 over 715 land and the ocean, respectively) but the magnitude of the feedback is about two times larger in the 716 ramp-down phase, consistent with the lagged response of cumulative carbon uptake to the decrease 717 in atmospheric CO₂ (Figs. 3 and 4). The most prominent change in the spatial pattern of β occurs in 718 the equatorial Pacific. All models consistently show that this area has turned from a cumulative carbon 719 sink at year 70 to a cumulative carbon source at year 210.

720 We find the largest values of β over tropical land and to a lesser extent over northern hemisphere 721 temperate and boreal ecosystems coincident with areas of large biomass (forests). For three of the 722 models (NorESM2-LM, CanESM5, and UKESM1-0-LL), the feedback is clearly dominated by tropical and 723 subtropical regions, while for MIROC-ES2L the feedback is approximately of the same strength in 724 northern temperate and high-latitude regions. For CNRM-ESM2-1, the carbon-concentration feedback 725 is on average stronger north of 30° latitude than in tropical/subtropical regions. For NorESM2-LM and 726 UKESM1-0-LL, the tropical dominance of the carbon-concentration feedback stems from vegetation 727 carbon, while for CanESM5 both vegetation and soil carbon contribute about equally (Figs. S7 and S8).

The results presented in Section 3.3.3 provide to some extent a mechanistic understanding of these model differences. CNRM-ESM2-1 has the highest CO₂ fertilization effect $\frac{\Delta GPP}{[CO_2]}$ in high latitudes and the lowest CUE Δ at low latitudes. This, combined with a large high-latitude $\tau_{csoil\Delta}$ leads to a larger carbon accumulation in vegetation and soil in higher latitudes than in the tropics/subtropics in this model. The three models with tropical dominance of β (NorESM2-LM, CanESM5, and UKESM1-0-LL) have a relatively high $\tau_{cveg\Delta}$ and relatively low $\tau_{csoil\Delta}$. CanESM5, shows the strongest tropical/subtropical CO₂ fertilization effect, but also a large response of the litterfall term leading to large responses in both vegetation and soil carbon.

736 In the ssp534-over simulation, the ocean β magnitude is similar to that of the 1pctCO₂-cdr simulation 737 and the spatial distribution of the ocean response to the [CO₂] rise is roughly consistent between the 738 models (Fig. 9k-o). In contrast, the feedback pattern over natural land is different in some regions and 739 models between the SSP scenario simulation and the idealized 1pctCO₂-cdr experiment. UKESM1-0-LL, 740 CanESM5, and to a lesser extent NorESM2-LM project negative β values in some northern high latitude 741 regions (e.g., Siberia). These negative β values are either not seen at all (UKESM1-0-LL, NorESM2-LM) 742 or are weaker (CanESM5) in the 1pctCO₂-cdr simulation, and they originate from a combination of 743 vegetation and soil carbon pools (Figs. S7 and S8). Unlike in the 1pctCO₂-cdr experiment, temperature 744 changes are not negligible in the BGC simulation of the ssp534-over experiment (Fig. 1). Furthermore, 745 the spatial pattern of temperature changes is very different for some models, particularly for UKESM1-746 0-LL, NorESM2-LM, and CNRM-ESM2-1, which show local cooling that is not present (or much weaker) 747 in the fully coupled simulations (Fig. S9). This cooling (and other changes in surface climate related to 748 non-CO₂ forcings) lead to local carbon losses and negative β -values in UKESM1-0-LL and NorESM2-LM 749 in northern high latitudes. In addition, according to Eq. 3, these negative values are reinforced by 750 positive γ -values in this region and a positive global mean temperature change in ssp534-over in these 751 models (see Eq. 3). In contrast, CNRM-ESM2-1 does not show negative values of β in northern high 752 latitudes (despite local cooling), which can be explained by much larger β -values to begin with, and a 753 smaller (and negative) temperature sensitivity γ in high latitudes.

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Figure 9: The spatial distribution of β (kg C m⁻² ppm⁻¹) at year 70 of the ramp-up phase of the 1pctCO₂cdr simulation (a-e), at year 210 of the ramp-down phase of the 1pctCO₂-cdr simulation (f-j), and at year 2045 (natural land only, gray areas are crop-dominated grid cells) during the positive emission phase of the ssp534-over scenario (k-o).

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Figure 10 indicates that the ESMs considered here simulate predominantly negative values of γ_0 over the ocean. Positive values of γ_0 are found in the Arctic, and in the Southern Ocean most models simulate a banded pattern of positive (adjacent to Antarctica), negative (centered between 60 and 50°S), and positive (between approximately 50 and 40°S) values. In the region adjacent to Antarctica, climate change increases the ocean CO₂ sink mainly due to a reduction in sea ice coverage (Roy et al. 2011; Schwinger et al. 2014). The North Atlantic Ocean and the Southern Ocean have the largest negative γ_0 values due to changes in ocean circulation and deep water formation. In tropical and subtropical ocean regions, the reduced oceanic carbon uptakes can be attributed to warming-induced decreased CO₂ solubility and increased stratification (Roy et al. 2011).

772 Over land, climate change generally reduces carbon sinks in the tropics and mid-latitudes. In the high latitudes models disagree on the strength and the sign of the carbon-climate feedback. CNRM-ESM2-1 773 774 shows relatively strong soil carbon losses in northern high latitudes, which overcome vegetation carbon 775 gains (Figs. S10 and S11) leading to mostly negative values of γ_L in this region. As mentioned above, 776 CanESM5's carbon-climate feedback switches from weakly negative at 2xCO₂ to positive at 4xCO₂. 777 Figure 10c clearly shows that the positive global γ values originate from the northern hemisphere high 778 latitudes. Also, the positive γ_L in CanESM5 over the northern high latitudes is seen in both vegetation 779 and soil carbon reservoirs, but with a time lag for soil carbon. Consistent with our analysis in Sect. 3.3.4, 780 NorESM2-LM shows permafrost carbon loss in north-eastern Siberia and northern Alaska, but these 781 losses become significant only during the ramp-down phase of the 1pctCO₂-cdr simulation (Fig. 10j).

The spatial pattern of the carbon-climate feedback is similar during the ramp-up and ramp-down phases of the 1pctCO₂-cdr simulation, but the magnitude has roughly doubled during the ramp-down phase, consistent with the cumulative nature of the γ feedback metric used here (note the different colorscales in Fig. 10). The correlations of the spatial patterns (at years 70 and 210) are lower than for β and range from 0.41 (MIROC-ES2L) to 0.66 (UKESM1-0-LL) for γ_0 and from 0.49 (NorESM2-LM) to 0.88 (UKESM1-0-LL) for γ_L .

788 The value of the γ feedback metric in the ssp534-over scenario simulation is less affected by land-use 789 change, since the same land-use changes are imposed in both the COU and the BGC simulation. In 790 contrast to β , which is directly altered by carbon stock changes due to land-use changes, γ is only 791 influenced indirectly, possibly by different sensitivities of the new vegetation cover after a land-use 792 transition, or by changes in local to regional climatic conditions. In the global mean, the carbon-climate 793 feedback during the positive emission phase is very similar for the SSP scenario and the 1pctCO₂-cdr 794 simulation (Fig. 5d and e). Also, the spatial patterns of γ_L are largely similar between the ssp534-over 795 and the ramp-up phase of the 1pctCO₂-cdr simulation with correlations ranging from 0.71 (NorESM2-796 LM) to 0.84 (CNRM-ESM2-1). The largest difference between the two simulations is an enhanced 797 positive feedback over northern high-latitude land in the UKESM1-0-LL model in the SSP scenario 798 compared to the $1pctCO_2$ -cdr simulation, which is seen in both vegetation and soil carbon pools (Figs. 799 S10 and S11). These differences are related to the negative β -values (discussed above) for these models, which make the carbon gain due to warming (the difference $\Delta C^{cou} - \Delta C^{bgc}$) considerably 800 801 larger than in the 1pctCO₂ simulation. Again, this is reinforced by the fact that the global average temperature change in the ssp534-over simulation is positive and thus ($\Delta T^{cou} - \Delta T^{bgc}$) is smaller than 802 803 the actual (local) temperature differences. This indicates that, if the global mean temperature change 804 due to non-CO₂ forcings does not broadly reflect local changes correctly (e.g., local cooling vs. global 805 warming), regional scale feedback factors might show unexpected results.

806 Over the ocean the global mean carbon-climate feedback is slightly smaller in ssp534-over compared 807 to the 1pctCO₂-cdr simulation (Fig. 3f), but again, the spatial pattern is largely similar with correlations 808 ranging from 0.47 (CNRM-ESM2-1) to 0.78 (MIROC-ES2L).

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Figure 10: same as Fig. 9 but for γ (kg C m⁻² °C⁻¹). Note that cropland areas are not excluded from panels (k-o) as in Fig. 9.

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814 **4. Summary and conclusions**

We have investigated carbon cycle feedbacks in a highly idealized model experiment with exponentially increasing and decreasing atmospheric CO₂ concentration (1pctCO₂-cdr) and in a more realistic 817 overshoot scenario simulation (ssp534-over). We employ an ensemble of five CMIP6 ESMs that have

818 run additional (biogeochemically coupled) simulations that allow us to separate the effects of changing

819 atmospheric CO₂ and of changing surface climate on the simulated carbon cycle.

820 We find that both the carbon-concentration (β) and the carbon-climate (γ) feedbacks show a 821 considerable hysteresis behavior during negative emission phases. The well-known reduction of ocean 822 and land carbon uptake with increasing temperatures continues long into the negative emissions 823 phases of the simulations (when temperature is decreasing), albeit at a reduced rate. For the ocean, 824 there is still a reduction in carbon stocks due to legacy warming when pre-industrial atmospheric CO₂ 825 is restored in the 1pctCO₂-cdr simulation, consistent with the single-model studies of Schwinger and 826 Tjiputra (2018) and Bertini and Tjiputra (2022). In contrast, all models agree that the effect of legacy 827 warming is less important for the terrestrial carbon-climate feedback as the reduction of global mean 828 surface temperature leads to a reduction in temperature-induced losses of terrestrial carbon towards 829 the end of the 1pctCO₂-cdr simulation.

830 Carbon cycle feedback metrics vary over time, and between different scenarios. When the deviations 831 in surface temperature and atmospheric CO₂ become small towards the end of a modeled negative 832 emission scenario, the magnitude of these feedback metrics "explodes" since they are defined as the 833 ratio between the deviations in carbon stocks and the change in temperature and atmospheric CO_2 , 834 respectively. Arguably, the latter is mainly a problem due to the strongly idealized simulation design of 835 the 1pctCO₂-cdr experiment, not for more realistic scenarios as the ssp534-over. Also, a different 836 definition of the reference state for the feedback metrics, as proposed by Chimuka et al. (2023), avoids 837 this problem.

838 We find that the relative strength of the feedback remains relatively robust between positive and 839 negative emission phases and between the different simulations considered here. For example, a model 840 with a stronger than average terrestrial carbon-concentration feedback (β_L) during the positive 841 emission phase of the 1pctCO₂-cdr simulation will also show a stronger than average β_L during the 842 negative emission phase or for the ssp534-over scenario. Regarding the model uncertainty of feedback 843 metrics we find that there is an increase in uncertainty in all feedback metrics between the positive and 844 negative emission phases of the 1pctCO₂-cdr simulation. Except for γ_L , this increase is much larger than 845 expected from an accumulation of uncertainty over time. This indicates that there is an additional 846 component of model uncertainty resulting from differences in the lagged model responses to the 847 change from increasing to decreasing radiative forcing.

848 The geographical patterns of terrestrial β and γ feedback metrics highlight differences in the responses 849 of tropical/subtropical versus temperate/boreal ecosystems as a major source of model disagreement. 850 For individual models, however, the spatial feedback patterns are remarkably similar during phases of 851 increasing CO_2 compared to phases of decreasing CO_2 concentrations, indicating that the increase of 852 global mean values of β and γ due to lagged responses of the carbon cycle during negative emissions 853 phases does not stem from a particular region but is generally seen over the whole globe. We estimate 854 the contribution of permafrost carbon release to the carbon-climate feedback only for one of the five 855 ESMs (NorESM2-LM, which vertically resolves soil carbon). Permafrost carbon release is clearly seen as 856 a strong positive feedback (i.e., negative γ) over the permafrost area, but it emerges only relatively late in the simulations. Permafrost carbon release accounts for 38% of NorEMS2-LM's carbon-climate

858 feedback at the midpoint of the negative emission phase of the 1pctCO₂-cdr simulation.

In the ssp534-over simulation, the presence of land-use change complicates the analysis of feedbacks. Land-use change is not a feedback process, yet owing to the C4MIP simulation design, carbon losses (or gains) due to land use change are confounded with the carbon-concentration feedback derived from a biogeochemically coupled scenario simulation. If we disregard agricultural areas, terrestrial carbon cycle feedback patterns in the ssp534-over scenario are largely similar to those in the 1pctCO₂-cdr simulation, although some differences particularly in high northern latitudes due to the influences of non-CO₂ forcings exist.

866 We conclude with some recommendations for future research and the design of future model 867 intercomparison projects (MIPs) like C4MIP and CDRMIP. Identifying and better understanding the causes of differences in the lagged model response to decreasing emissions, which we have shown to 868 869 increase the model disagreement under negative emissions should be pursued further with high 870 priority. Both the integrated-flux (β and γ) and instantaneous-flux (B and Γ) based feedback metrics 871 and their uncertainties become difficult to interpret in scenarios where atmospheric CO₂ concentration 872 decreases, particularly in the extreme case when atmospheric CO_2 concentration and surface 873 temperature approach their pre-industrial level. In the light of the discussion around CDR perhaps it is 874 timely to rethink other but related forms of these metrics (e.g., see Chimuka et al. 2023) that describe 875 the response of land and ocean carbon systems in scenarios of decreasing atmospheric CO_2 in a more 876 robust manner.

877 The $1pctCO_2$ simulation combined with the $1pctCO_2$ -cdr simulation is an extremely idealized model 878 experiment with huge (and infeasible) amounts of implied net-negative emissions and a discontinuity 879 at year 140, where implied emissions jump from large positive to large negative values. As we know 880 that carbon cycle feedbacks are scenario dependent, it would be preferable to assess these feedbacks 881 using model simulations that have a more realistic emission pathway and that include more realistic 882 amounts of net-negative emissions. Alternative idealized simulation designs that include negative 883 emissions have been proposed in the literature (MacDougall 2019; Schwinger et al. 2022) and we have 884 also considered the ssp534-over scenario in this study. However, the presence of land-use change and 885 variable non- CO_2 forcings in SSP scenarios complicates the quantification of carbon cycle feedbacks. 886 Whether this problem can be solved for future phases of C4MIP by providing more detailed model 887 output or by requesting additional idealized experiments (e.g., scenario simulations with fixed land use) 888 should be discussed in the C4MIP community.

889 Finally, most proposed negative emission options would be realized by manipulating the terrestrial or 890 oceanic carbon sinks (e.g., bioenergy with carbon capture and storage, afforestation or ocean 891 alkalinization), thereby not only changing the atmospheric CO_2 concentration and possibly the surface 892 climate but also the carbon cycle feedbacks themselves. Such interactions go beyond what can be 893 addressed with the traditional C4MIP design of fully- and biogeochemically coupled ESM simulations. 894 Consequently, a new framework for determining feedbacks caused by large scale CDR in realistic 895 scenarios of CDR deployment is needed and should be developed in close collaboration with the 896 integrated assessment modeling community that will create such scenarios.

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900 Data availability

All CMIP6 model output data is freely available through the Earth System Grid Federation (for example,

902 under <u>https://esgf-data.dkrz.de/search/cmip6-dkrz/</u>). The model output data of the 1pctCO₂-cdr-bgc 903 simulation are available through the Norwegian Research Data Archive and can be accessed 904 at https://doi.org/10.11582/2023.XXXXX.

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906 **Competing interests**

907 None of the authors has any competing interests.

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