

1 **Carbon cycle feedbacks in an idealized and a scenario simulation of negative emissions in CMIP6**  
2 **Earth system models**

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18  
19 **Abstract**

20 Limiting global warming to well below 2°C by the end of the century is an ambitious target that requires  
21 immediate and unprecedented emission reductions. In the absence of sufficient near term mitigation,  
22 this target will only be achieved by carbon dioxide removal (CDR) from the atmosphere later during this  
23 century, which would entail a period of temperature overshoot. Next to the socio-economic feasibility  
24 of large-scale CDR, which remains unclear, the effect on biogeochemical cycles and climate are key to  
25 assessing CDR as a mitigation option. Changes in atmospheric CO<sub>2</sub> concentration and climate alter the  
26 CO<sub>2</sub> exchange between the atmosphere and the underlying carbon reservoirs of land and the ocean.  
27 Here, we investigate carbon cycle feedbacks under idealized and more realistic overshoot scenarios in  
28 an ensemble of Earth system models. The response of oceanic and terrestrial carbon stocks to changes  
29 in atmospheric CO<sub>2</sub> concentration and changes in surface climate (the carbon-concentration and  
30 carbon-climate feedback, quantified by the feedback metrics  $\beta$  and  $\gamma$ , respectively) show a large  
31 hysteresis. This hysteresis leads to growing absolute values of  $\beta$  and  $\gamma$  during phases of negative  
32 emissions. We find that this growth over time occurs such that the spatial patterns of feedbacks do not  
33 change significantly for individual models. We confirm that the  $\beta$  and  $\gamma$  feedback metrics are a  
34 relatively robust tool to characterize inter-model differences in feedback strength since the relative  
35 feedback strength remains largely stable between phases of positive and negative emissions and  
36 between different simulations, although exceptions exist. When emissions become negative, we find  
37 that the model uncertainty (model disagreement) in  $\beta$  and  $\gamma$  increases stronger than expected from  
38 the assumption that the uncertainties would accumulate linearly with time. This indicates that the  
39 model response to a change from increasing to decreasing forcing introduces an additional layer of  
40 uncertainty, at least in idealized simulations with a strong signal. We also briefly discuss the existing  
41 alternative definition of feedback metrics based on instantaneous carbon fluxes instead of carbon  
42 stocks and provide recommendations for the way forward and future model intercomparison projects.

## 43 1. Introduction

44 Estimated remaining carbon budgets compatible with limiting anthropogenic warming to 1.5 or 2 °C  
45 above pre-industrial levels are extremely tight and will be exhausted within the next few years if the  
46 current emission rate is maintained (e.g., Rogelj et al. 2015; Goodwin et al. 2018; V. Masson-Delmotte  
47 et al. 2018; Forster et al. 2023; Smith et al. 2023). Therefore, unless CO<sub>2</sub> emissions are reduced  
48 immediately at an unprecedented rate, the 1.5 or 2°C targets can only be reached after a period of  
49 temperature overshoot (Rogelj et al. 2015; Ricke et al. 2017; Geden and Lösschel 2017; Riahi et al. 2021).  
50 Although the option to remove large quantities of carbon from the atmosphere remains speculative  
51 (Gasser et al. 2015; Larkin et al. 2018; Fuss et al. 2018; Creutzig et al. 2019; Smith et al. 2023), in such  
52 overshoot pathways, too large near-term carbon emissions would be compensated by large-scale  
53 carbon dioxide removal (CDR) later in this century. Research on negative emissions exploring the  
54 reversibility of CO<sub>2</sub>-induced climate change has been conducted for more than a decade (e.g., Boucher  
55 et al. 2012; Wu et al. 2015; Tokarska and Zickfeld 2015; Li et al. 2020; Jeltsch-Thömmes et al. 2020;  
56 Yang et al. 2021; Schwinger et al. 2022; Bertini and Tjiputra 2022). These studies generally report a  
57 hysteresis behavior of the Earth system under negative emission, resulting in greatly varying  
58 reversibility for different aspects of the Earth system. While the surface temperature trend follows a  
59 reduction in atmospheric CO<sub>2</sub> relatively closely (e.g., Boucher et al. 2012; Jeltsch-Thömmes et al. 2020),  
60 hysteresis can be large in the interior ocean, making for example ocean heat content and steric sea  
61 level rise as well as interior ocean oxygen content and acidification largely irreversible on policy relevant  
62 timescales (Mathesius et al. 2015; Li et al. 2020; Schwinger et al. 2022; Bertini and Tjiputra 2022). The  
63 same is true for the loss of carbon from thawing permafrost soils (MacDougall et al. 2015; Gasser et al.  
64 2018; Park and Kug 2022; Schwinger et al. 2022).

65 Carbon emissions drive multiple responses of the Earth system via changes in its physical climate and  
66 the biogeochemical carbon cycle. Under increasing atmospheric CO<sub>2</sub> concentrations, increasing carbon  
67 uptake by the ocean and terrestrial biosphere slows down global climate change by removing the  
68 greenhouse gas CO<sub>2</sub> from the atmosphere, a process that is mainly driven by the dissolution of CO<sub>2</sub> into  
69 the oceans (e.g., Revelle and Suess 1957, Siegenthaler and Oeschger 1978) and the CO<sub>2</sub>-fertilisation  
70 effect on the terrestrial biosphere (Schimel et al. 2015). On the other hand, Earth system model (ESM)  
71 simulations show that this carbon uptake is reduced by progressive global warming due to, among  
72 others, changes in ocean circulation and a reduction of CO<sub>2</sub> solubility in warmer waters, as well as  
73 increased respiration rates from soils (Tharammal et al. 2019; Arora et al. 2020; Canadell et al. 2021),  
74 and carbon release from degrading permafrost. These two feedback processes, the response to rising  
75 CO<sub>2</sub> concentrations and the response to climate change, are termed carbon-concentration and carbon-  
76 climate feedback, respectively (Gregory et al. 2009). In the context of overshoot pathways, carbon cycle  
77 feedbacks determine the efficiency of negative emissions as the oceans and the terrestrial biosphere  
78 will first take up carbon at reduced rates and eventually turn into sources of carbon to the atmosphere  
79 (Jones et al. 2016a; Schwinger and Tjiputra 2018).

80 The carbon-concentration and carbon-climate feedbacks can be characterized by feedback metrics, for  
81 example, by feedback factors  $\beta$  and  $\gamma$  (Friedlingstein et al. 2003) that quantify the gain/loss of carbon  
82 in terrestrial or marine reservoirs per unit change in atmospheric CO<sub>2</sub> concentration and temperature,  
83 respectively (see Section 2 for details). These feedback factors are valuable tools to compare the

84 feedback strength among different models (Friedlingstein et al. 2003, 2006; Yoshikawa et al. 2008; Boer  
85 and Arora 2009; Gregory et al. 2009; Roy et al. 2011; Arora et al. 2013, 2020) and can be calculated  
86 using idealized model simulations, in which the effect of CO<sub>2</sub> on the carbon cycle and the radiative effect  
87 of CO<sub>2</sub> are decoupled. In a biogeochemically coupled (BGC) simulation, the radiation code of an ESM  
88 does not respond to increasing atmospheric CO<sub>2</sub> concentrations, but the terrestrial and marine carbon  
89 cycles do. There is little climate change in such a simulation, which can therefore be used to quantify  
90 the carbon-concentration feedback. The difference between a standard (fully coupled, COU) simulation  
91 and the BGC simulation is used to quantify the carbon-climate feedback. In the last two phases of the  
92 Coupled Model Intercomparison Project (CMIP5 and CMIP6, Taylor et al. 2012; Eyring et al. 2016)  
93 carbon cycle feedbacks were addressed by conducting additional decoupled simulations of the standard  
94 1% CO<sub>2</sub> simulation, which prescribes an increase in atmospheric CO<sub>2</sub> by 1% per year until quadrupling  
95 (Arora et al. 2013, 2020). Next to this idealized simulation, the protocol for the CMIP6 Coupled Climate-  
96 Carbon Cycle Model Intercomparison Project (C4MIP, Jones et al. 2016b) also proposes a BGC  
97 simulation for the SSP5-3.4-OS (hereafter ssp534-over) scenario (O'Neill et al. 2016). This scenario  
98 describes an overshoot pathway, in which emissions increase unmitigated until 2040, but strong  
99 mitigation (including CDR) is undertaken thereafter. In contrast to the 1% CO<sub>2</sub> simulation, where no  
100 forcing other than atmospheric CO<sub>2</sub> is varied, the quantification of feedbacks in this scenario simulation  
101 is complicated by the presence of land use change and changes in radiative forcing through emissions  
102 of aerosols and non-CO<sub>2</sub> greenhouse gasses (Melnikova et al. 2021, 2022).

103 One open question regarding carbon cycle feedbacks under negative emissions is relative to which state  
104 the feedbacks should be measured. A sensible definition requires that any gain or loss of carbon is  
105 calculated relative to a state where the carbon cycle is in equilibrium. Schwinger and Tjiputra (2018)  
106 have opted to keep the pre-industrial state as the reference also after the onset of negative emissions.  
107 We follow this approach here, but we note that recently Chimuka et al. (2023) proposed an alternative  
108 approach, which defines the feedbacks during the negative emission phase relative to the state at the  
109 onset of negative emissions. Since, the Earth system will be in disequilibrium at this point in time, this  
110 approach requires an additional simulation that allows to estimate and remove the lagged response of  
111 the Earth system to this disequilibrium.

112 Permafrost soils in the northern high latitudes have accumulated roughly 1100-1700 Pg of carbon in  
113 the form of frozen organic matter, about twice as much as currently contained in the atmosphere  
114 (Hugelius et al. 2014; Schuur et al. 2015). The release of CO<sub>2</sub> and methane (CH<sub>4</sub>) from thawing  
115 permafrost will amplify global warming due to anthropogenic emissions and further accelerate  
116 permafrost degradation and microbial decomposition (Feng et al. 2020; Smith et al. 2022). This positive  
117 feedback and the fact that Arctic temperatures are increasing at a much faster rate than the global  
118 average (Liang et al. 2022; Rantanen et al. 2022) have made permafrost to be considered among the  
119 key tipping elements of the climate system, although it may not be an abrupt but irreversible process  
120 (Armstrong McKay et al. 2022; Yokohata et al. 2020; Lenton et al. 2019). A temporary temperature  
121 overshoot might entail important legacy effects as high latitude ecosystems and the state of  
122 permafrost-affected soils take several centuries to adjust to the new atmospheric condition (de Vrese  
123 and Brovkin 2021). Current generation ESMs are still in their infancy when it comes to representing the  
124 complex and often small-scale processes of permafrost carbon degradation. Here we take advantage  
125 of the fact that one of the CMIP6 ESMs considered in this study has a vertically resolved representation

126 of soil carbon, which enables us to estimate the contribution of permafrost degradation to the total  
127 carbon-climate feedback for this model.

128 Except for the recent studies by Schwinger and Tjiputra (2018), Melnikova et al. (2021, 2022), and  
129 Chimuka et al. (2023) all previous studies that quantify carbon-concentration and carbon-climate  
130 feedbacks are based on ESM simulations with increasing atmospheric CO<sub>2</sub>. Here, we take advantage of  
131 a simulation conducted for the CMIP6 Carbon Dioxide Removal Model Intercomparison Project  
132 (CDRMIP, Keller et al. 2018) that mirrors the 1% CO<sub>2</sub> simulation by prescribing a decrease of  
133 atmospheric CO<sub>2</sub> by 1% per year. For simplicity, we refer to these two simulations as 1pctCO<sub>2</sub>-cdr in the  
134 following text. We complement this simulation with a BGC simulation (1pctCO<sub>2</sub>-cdr-bgc) to quantify, in  
135 a manner consistent with previous feedback studies (Arora et al. 2013, 2020), carbon-concentration  
136 and carbon-climate feedbacks under negative emissions in an ensemble of CMIP6 ESMs. We  
137 complement these previous studies by a spatial analysis of feedback patterns, and compare the  
138 feedbacks from the positive and negative emission phases of the 1pctCO<sub>2</sub>-cdr simulation to the  
139 feedbacks obtained from the ssp534-over scenario. For the latter, land use change has been shown to  
140 have a dominant effect over carbon-concentration or carbon-climate feedbacks by Melnikova et al.  
141 (2021, 2022), and these authors present a more detailed analysis of the role of land use change in the  
142 ssp534-over scenario. Since land use change is not a feedback process, we focus in this study on regions  
143 that are not dominated by agricultural areas when comparing feedbacks between the ssp534-over and  
144 1pctCO<sub>2</sub>-cdr simulations.

145 The purpose of this study is to investigate the evolution of carbon cycle feedbacks and their uncertainty  
146 under deployment of negative emissions. Since feedback metrics are known to depend on the emission  
147 (or concentration) pathway, we investigate the relative feedback strength and the spatial patterns of  
148 feedbacks between positive and negative emission phases as well as between idealized and scenario  
149 simulations. We also briefly explore the contribution of permafrost carbon losses to the carbon-climate  
150 feedback and the impact of alternative feedback metric definitions that rely on instantaneous carbon  
151 fluxes rather than carbon stocks in the context of negative emissions.

152

## 153 **2. Description of feedback metrics, simulations, and models**

### 154 **2.1 Carbon cycle feedback metrics**

155 The sensitivity of the carbon cycle to changes in atmospheric CO<sub>2</sub> concentration ([CO<sub>2</sub>]) and its  
156 sensitivity to changes in physical climate can be measured using two feedback metrics, which can be  
157 based on either changes in carbon stocks (as introduced by Friedlingstein et al., 2003) or instantaneous  
158 carbon fluxes (as introduced by Boer and Arora 2009). Changes in carbon stocks are equivalent to the  
159 time-integrated carbon fluxes across the air-land and air-sea interfaces, such that for the Friedlingstein  
160 et al. approach (referred to as integrated flux-based approach), the two feedback metrics are:

- 161 1.  $\beta$  (PgC/ppm), which quantifies the strength of the carbon-concentration feedback, i.e., the  
162 changes in oceanic and terrestrial carbon stocks ( $\Delta C_{L,O}$ ) in response to changes in atmospheric  
163 CO<sub>2</sub> concentration ( $\Delta[CO_2]$ ), and

164 2.  $\gamma$  (PgC/°C), which measures the strength of the carbon-climate feedback, i.e., changes in land  
 165 and ocean carbon stocks ( $\Delta C_{L,O}$ ) in response to changes in global average surface temperature  
 166 ( $\Delta T$ ), where  $\Delta T$  serves as a proxy for climate change.

167 Carbon feedback analysis requires, in addition to a standard fully coupled (COU) simulation, a  
 168 biogeochemically (BGC) coupled simulation. In a BGC simulation, atmospheric [CO<sub>2</sub>] is kept constant at  
 169 its pre-industrial values for the radiative transfer calculations, to isolate the response of land and ocean  
 170 biogeochemistry to rising [CO<sub>2</sub>] in the absence of CO<sub>2</sub>-induced climate change. Using this pair of  
 171 simulations (COU and BGC) results in the following expressions for  $\beta$  and  $\gamma$  (see Schwinger et al. 2014  
 172 for a derivation).

$$173 \quad \beta_X = \frac{1}{\Delta[CO_2]} \left( \frac{\Delta C_X^{BGC} \Delta T^{COU} - \Delta C_X^{COU} \Delta T^{BGC}}{\Delta T^{COU} - \Delta T^{BGC}} \right)$$

$$174 \quad \approx \frac{\Delta C_X^{BGC}}{\Delta[CO_2]} \quad (1)$$

$$175 \quad \gamma_X = \frac{\Delta C_X^{COU} - \Delta C_X^{BGC}}{\Delta T^{COU} - \Delta T^{BGC}}$$

$$176 \quad \approx \frac{\Delta C_X^{COU} - \Delta C_X^{BGC}}{\Delta T^{COU}} \quad (2)$$

178 where  $X$  can be either  $L$  for land or  $O$  for ocean. Although there is no change in the radiative forcing of  
 179 CO<sub>2</sub> in the BGC simulation (such that we could expect  $\Delta T^{BGC} = 0$ ), surface temperature can vary due  
 180 to changes in other radiative forcing agents (aerosols and non-CO<sub>2</sub> greenhouse gases). Even in the  
 181 idealized 1pctCO<sub>2</sub>-cdr simulation, where CO<sub>2</sub> is the only variable forcing, there are some climatic  
 182 changes over the land surface due to a reduction in latent heat fluxes associated with stomatal closure  
 183 at higher CO<sub>2</sub> levels, as well as changes in vegetation structure, coverage, and composition (Arora et al.  
 184 2020), which result in a small temperature increase along with changes in precipitation and soil  
 185 moisture. The assumption of  $\Delta T^{BGC} = 0$  will simplify equations (1) and (2) such that the rightmost term  
 186 holds. However, results presented here are calculated using the complete expression for  $\beta$  and  $\gamma$   
 187 (without the assumption  $\Delta T^{BGC} = 0$ ), unless otherwise noted. For comparison, we also provide  
 188 feedback factors calculated using the simplified (rightmost) definition of  $\beta$  and  $\gamma$  in some figures. The  
 189 instantaneous flux-based approach is equivalent to equations (1) to (2) except that the deviation of the  
 190 carbon pools  $\Delta C_X$  are replaced by the instantaneous air-sea or air-land carbon fluxes  $F_X$ . To distinguish  
 191 these feedback metrics from the integrated flux-based ones, the capital letters  $B$  and  $\Gamma$  are used to  
 192 denote them. The units of  $B$  and  $\Gamma$  are PgCyr<sup>-1</sup>ppm<sup>-1</sup> and PgCyr<sup>-1</sup>°C<sup>-1</sup>, respectively.

194 By combining equations (1) and (2) to yield

$$195 \quad \beta_X = \frac{1}{\Delta[CO_2]} (\Delta C_X^{BGC} - \gamma_X \Delta T^{BGC}) \quad (3)$$

196 it can be seen that, in order to calculate  $\beta_X$ , the carbon stock changes in the biogeochemically coupled  
 197 simulation are corrected for global mean temperature changes using  $\gamma_X$ . Hence, temperature changes  
 198 in the biogeochemically coupled simulation are fully accounted for as long as the underlying assumption  
 199 of linearity holds. However, this assumption might be problematic, for example, if the spatial pattern  
 200 of warming in a biogeochemically coupled scenario simulation arising from non-CO<sub>2</sub> forcings is very

201 different from the warming patterns in the fully coupled simulation, particularly if the sign of the local  
 202 temperature change is different from the global average (e.g., local cooling vs. global average warming).  
 203 Such effects could become important on regional to local scales and will be discussed in Section 3.4.

204 It is worth mentioning that these feedback frameworks should be seen as a technique for assessing the  
 205 relative sensitivities of models and understanding their differences (i.e. the model uncertainty of the  
 206 estimated feedbacks), rather than as absolute measures of invariant system properties (Gregory et al.  
 207 2009; Ciais et al. 2013). The values of carbon cycle feedback metrics can vary over time within a model  
 208 simulation (e.g., Arora et al. 2013) or between different scenarios (Hajima et al. 2014).

209 To gain insight into the reasons for differing responses among models, we apply the decomposition of  
 210 the simplified expression for  $\beta_L$  (Eq. 1, assuming  $\Delta T^{BGC} = 0$ ) following Arora et al. (2020). This allows  
 211 us to investigate the contributions from different processes to changes in vegetation and soil carbon  
 212 reservoirs ( $\Delta C_V$  and  $\Delta C_S$ , respectively).

$$\begin{aligned}
 213 \quad \beta_L &= \frac{\Delta C_L^{BGC}}{[CO_2]} = \frac{\Delta C_V^{BGC} + \Delta C_S^{BGC}}{[CO_2]} = \left( \frac{\Delta C_V^{BGC}}{\Delta NPP^{BGC}} \frac{\Delta NPP^{BGC}}{\Delta GPP^{BGC}} \frac{\Delta GPP^{BGC}}{[CO_2]} \right) + \left( \frac{\Delta C_S^{BGC}}{\Delta R_h^{BGC}} \frac{\Delta R_h^{BGC}}{\Delta LF^{BGC}} \frac{\Delta LF^{BGC}}{[CO_2]} \right) \\
 214 \quad &= \tau_{cveg\Delta} CUE_{\Delta} \frac{\Delta GPP^{BGC}}{[CO_2]} + \tau_{csoil\Delta} \frac{\Delta R_h^{BGC}}{\Delta LF^{BGC}} \frac{\Delta LF^{BGC}}{[CO_2]} \quad (4)
 \end{aligned}$$

215  $\Delta NPP$ ,  $\Delta GPP$ ,  $\Delta R_h$ , and  $\Delta LF$  represent deviations of the net primary productivity, gross primary  
 216 productivity, heterotrophic respiration, and litterfall flux, respectively, from their pre-industrial values.  
 217 The terms  $\tau_{cveg\Delta}$  and  $\tau_{csoil\Delta}$  are turnover times (in years) of carbon in the vegetation and litter plus  
 218 soil pools.  $\frac{\Delta NPP}{\Delta GPP}$  is a measure of carbon use efficiency for the fraction of GPP (above its pre-industrial  
 219 value) that turned into NPP after subtracting autotrophic respiration losses (denoted as  $CUE_{\Delta}$ ).  
 220  $\frac{\Delta GPP}{[CO_2]}$  (PgCyr<sup>-1</sup>ppm<sup>-1</sup>) and  $\frac{\Delta R_h}{\Delta LF}$  are a measure of the global CO<sub>2</sub> fertilization effect and the increase in  
 221 heterotrophic respiration per unit increase in litterfall rate, respectively. Also,  $\frac{\Delta LF}{[CO_2]}$  (PgCyr<sup>-1</sup>ppm<sup>-1</sup>)  
 222 measures the global increase in litterfall rate per unit increase in CO<sub>2</sub>.

224  
 225

## 226 2.2 Model simulations

227 The 1% CO<sub>2</sub> experiment is a highly idealized model experiment that prescribes a rate of 1% per year  
 228 increase in [CO<sub>2</sub>] from pre-industrial values until quadrupling after 140 years. No other forcings are  
 229 varied in this experiment, i.e., land use as well as non-CO<sub>2</sub> greenhouse gasses and aerosol  
 230 concentrations are held constant at their pre-industrial levels. This experiment has already been  
 231 performed by the first coupled atmosphere-ocean general circulation models in the late 1980s, and  
 232 important climate metrics such as the transient climate response (TCR; Meehl et al. 2020) and the  
 233 transient response to cumulative emissions (TCRE; e.g., Gillett et al. 2013) are formally defined through  
 234 the 1pctCO<sub>2</sub> simulation. Likewise, the C4MIP carbon cycle feedback analysis for the last two phases of  
 235 CMIP (Arora et al. 2013, 2020) relied on this simulation. Given the importance of the 1% CO<sub>2</sub>  
 236 experiment, the CMIP6 CDRMIP protocol proposes an experiment that mirrors this simulation by

237 starting from its endpoint at year 140 and decreasing atmospheric CO<sub>2</sub> at a rate of 1% per year until  
238 pre-industrial [CO<sub>2</sub>] is restored. This experiment is designed to investigate the response of the Earth  
239 system to carbon dioxide removal in an idealized fashion. As noted above, in this study we refer to the  
240 1% CO<sub>2</sub> simulation and the mirrored -1% CO<sub>2</sub> CDRMIP simulation collectively as 1pctCO<sub>2</sub>-cdr for  
241 simplicity. We note that the implied rates of CDR in the 1pctCO<sub>2</sub>-cdr simulation are huge and not  
242 practically feasible. Also, there is a jump from very large positive to very large negative diagnosed  
243 emissions at the end of year 140, which is clearly unrealistic. To investigate carbon cycle feedbacks  
244 under CDR, we have complemented the 1pctCO<sub>2</sub>-cdr simulation with a biogeochemical coupled  
245 1pctCO<sub>2</sub>-cdr-bgc simulation that starts from the endpoint of the 1pctCO<sub>2</sub>-bgc simulation at year 140.

246 The family of Shared Socioeconomic Pathways (SSPs, O'Neill et al. 2014) is designed to represent  
247 different socio-economic futures that social, demographic, political, and economic developments could  
248 lead to. These narrative SSPs are the basis for a set of quantitative future scenarios, a selection of which  
249 is now being used as input for scenario simulations by the latest ESMs in the frame of the CMIP6  
250 ScenarioMIP (O'Neill et al. 2016). The ssp534-over scenario follows the high emission SSP5-8.5 pathway  
251 until 2040 at which point strong mitigation policies are introduced to rapidly reduce emissions to zero  
252 by about 2070 and to net-negative levels thereafter (Fig. 3 of O'Neill et al. 2016). In contrast to the  
253 1pctCO<sub>2</sub>-cdr simulation, the ssp534-over scenario includes land use change as well as time varying  
254 forcing from aerosols and non-CO<sub>2</sub> greenhouse gasses throughout the simulation period (Fig. 1 of  
255 Liddicoat et al. 2021). For this study, we use the 1pctCO<sub>2</sub>-cdr and ssp534-over simulations from the  
256 CMIP6 archive together with the corresponding biogeochemically coupled simulations of these  
257 experiments. We note that the biogeochemically coupled 1pctCO<sub>2</sub>-cdr-bgc experiment is not part of  
258 CMIP6, but has been performed for this study by participating modelling groups.

259 The C4MIP simulation protocol does not allow to separate carbon release (or uptake) through land use  
260 changes from the carbon-concentration feedback, since land use is active in the biogeochemically  
261 coupled ssp534-over simulation. To focus on carbon cycle feedbacks, we eliminate the effect of land  
262 use changes as much as possible by identifying regions that are mostly unaffected by human activity  
263 (referred to as "natural land"). To accomplish this in a way that available CMIP6 output permits, we  
264 define natural land as grid cells with a maximum cropland fraction of less than 25% at all time steps  
265 during the period 2015-2100. The threshold of 25% used here for our heuristic approach is a  
266 compromise between accuracy (some signal of land use change is still present) and spatial coverage  
267 (with increasingly lower thresholds, larger areas of the globe are excluded). Our results are not very  
268 sensitive to variations in the threshold between approximately 10 and 30%. Maps of maximum ssp534-  
269 over cropland fraction for each model (Fig. S1) indicates that a 25% threshold reasonably identifies  
270 hotspots of agricultural production. To make our analysis comparable between the ssp534-over and  
271 1pctCO<sub>2</sub>-cdr simulations, we use the same set of grid cells also for the 1pctCO<sub>2</sub>-cdr simulation (unless  
272 otherwise noted), even though land cover is not changed from its pre-industrial state in this simulation.  
273 We acknowledge that our approach does not explicitly address pasture gridcells or transition from other  
274 land use types to pasture. Nonetheless, in the ssp534-over scenario, a substantial expansion of  
275 bioenergy crops between 2040 and 2070 is assumed to replace pasture areas, while the area of land  
276 used as pasture remains relatively stable thereafter (see O'Neill et al. 2016). Hence, our approach, for  
277 this specific scenario, captures the majority of gridcells with transitions from pasture to cropland, while  
278 transitions from pasture to forest remain small.

279

280 **2.3 Participating Earth System Models**

281 Table 1 summarizes the five ESMs that contributed to this study along with the experiments used for  
 282 the analyses presented here. The primary features of these models are listed in Table 2 of Arora et al.  
 283 (2020). MIROC-ES2L, NorESM2-LM (which employs version 5 of the Community Land Model, CLM5),  
 284 and UKESM1-0-LL have a representation of the terrestrial nitrogen cycle implemented and coupled to  
 285 their carbon cycle. Only the UKESM1-0-LL model has a land component that dynamically simulates  
 286 vegetation cover and competition between their plant functional types (PFTs). Fire is included in the  
 287 CNRM-ESM2-1 and NorESM2-LM models. NorESM2-LM is the only ESM with vertically resolved soil  
 288 carbon, which allows studying the impact of warming on the carbon stored in permafrost soils in more  
 289 detail. In this study, a gridcell was considered permafrost where the pre-industrial maximum active  
 290 layer thickness was shallower than three meters. A description and a comparison of the ocean  
 291 biogeochemistry models used in the five ESMs can be found in the review of Seférian et al. (2020).

292

293 **Table 1:** List of CMIP6 ESMs used in this study, names of their biogeochemical component models, resolution  
 294 and experiment variants used.

	<b>CanESM5</b>	<b>CNRM-ESM2-1</b>	<b>MIROC-ES2L</b>	<b>NorESM2-LM</b>	<b>UKESM1-0-LL</b>
<b>Atmosphere and land resolution</b>	2.81°x2.81°*	1.4°x1.4°	2.81°x2.81°	1.9°x2.5°	1.875°x1.25°
<b>variant</b>	r1i1p1f1 & r1i1p2f1*	r1i1p1f2	r1i1p1f2	r1i1p1f1	r4i1p1f2 & r1i1p1f2
<b>Ocean resolution</b>	1° (finer in the tropics)	1° (finer in the tropics)	1° (finer close to North Pole and Equator)	1° (finer near the Equator)	1°
<b>Ocean biogeochemistry model name</b>	CMOC (biology); carbonate chemistry follows OMIP protocol	PISCESv2-gas	OECO2	iHAMOCC	MEDUSA-2.1
<b>Land model name</b>	CLASS-CTEM	ISBA-CTRIP	MATSIRO (physics), VISIT-e (BGC)	CLM5	JULES-ES-1.0
<b>Reference</b>	Swart et al. (2019)	Séférian et al. (2019)	Hajima et al. (2020)	Tjiputra et al. (2020); Seland et al. (2020)	Sellar et al. (2019)

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\*CMIP6 experiment variant used across different simulations including: piControl, historical, hist-bgc, ssp585, ssp585-bgc, ssp534-over, ssp534-over-bgc, 1pctCO<sub>2</sub>, 1pctCO<sub>2</sub>-bgc, 1pctCO<sub>2</sub>-cdr, and 1pctCO<sub>2</sub>-cdr-bgc experiments.

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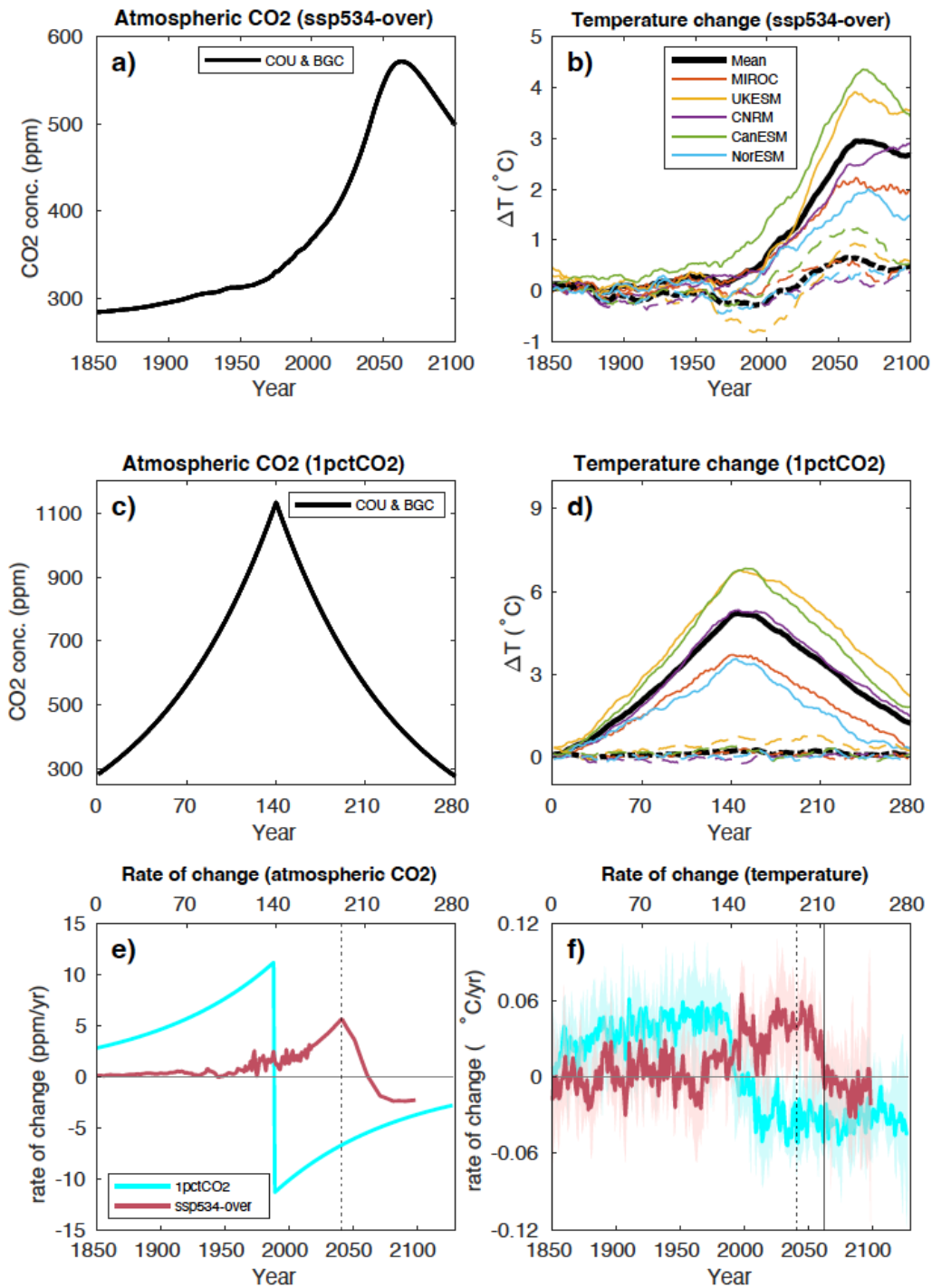
## 298 **3. Results and Discussion**

### 299 **3.1 Atmospheric CO<sub>2</sub>, temperature, and carbon fluxes**

300 The atmospheric CO<sub>2</sub> concentration ([CO<sub>2</sub>]) for the concentration-driven ssp534-over scenario peaks at  
301 571 ppm (a doubling of pre-industrial CO<sub>2</sub> concentration) in the year 2062 and decreases to 497 ppm  
302 in 2100 (Fig. 1a). According to the scenario design (see O'Neill et al. 2016), strong mitigation policies  
303 (including deployment of bioenergy with carbon capture and storage (BECCS) and other carbon dioxide  
304 removal technologies) start in 2040 resulting in an immediate decrease in the CO<sub>2</sub> growth rate that  
305 peaks in 2041 (Fig. 1e). In the 1pctCO<sub>2</sub>-cdr simulation, the prescribed [CO<sub>2</sub>] is symmetric around its  
306 4xCO<sub>2</sub> peak of 1133 ppm in the year 140 (Fig. 1c). The rate of change of the CO<sub>2</sub> concentration (Fig. 1e)  
307 is very different between ssp534-over and 1pctCO<sub>2</sub>-cdr experiments. In particular, the CO<sub>2</sub> growth rate  
308 in the idealized 1pctCO<sub>2</sub>-cdr experiment has a sudden and large jump from positive to negative values  
309 at the transition from the ramp-up to the ramp-down phase.

310 The five participating ESMs show large differences in global mean surface air temperature change,  
311 relative to pre-industrial values, under the ssp534-over simulation (Fig. 1b). Peak temperatures vary  
312 from 2°C in NorESM2-LM to 4.35°C in CanESM5. The timing of the global surface air temperature peak  
313 varies from 2062 for the MIROC-ES2L and UKESM1-0-LL models to 2100 for CNRM-ESM2-1. After this  
314 peak, the temperature declines again (except for CNRM-ESM2-1) reaching end-of-the-century values  
315 that range from 1.39°C above pre-industrial in NorESM2-LM to 3.47°C in CanESM5. The multi-model  
316 mean global surface air temperature is 2.66°C at the end of the 21st century. The model-mean growth  
317 rate of the global surface air temperature (Fig. 1f) plateaus at about 0.05°C/yr between approximately  
318 2030-2050 before it starts to decline to below zero towards the end of the simulation.

319 Temperature changes in the BGC simulation of ssp534-over are not negligible since the non-CO<sub>2</sub> forcing  
320 agents as well as land use change do evolve in time in this scenario, in contrast to the idealized 1pctCO<sub>2</sub>-  
321 cdr simulation. Positive peak temperature anomalies range from 0.37°C (CNRM-ESM2-1 in 2098) to  
322 1.29°C (CanESM5 in 2057). UKESM1-0-LL also shows a pronounced negative temperature anomaly  
323 during the historical period of the BGC simulation of -0.80°C in the year 1990.



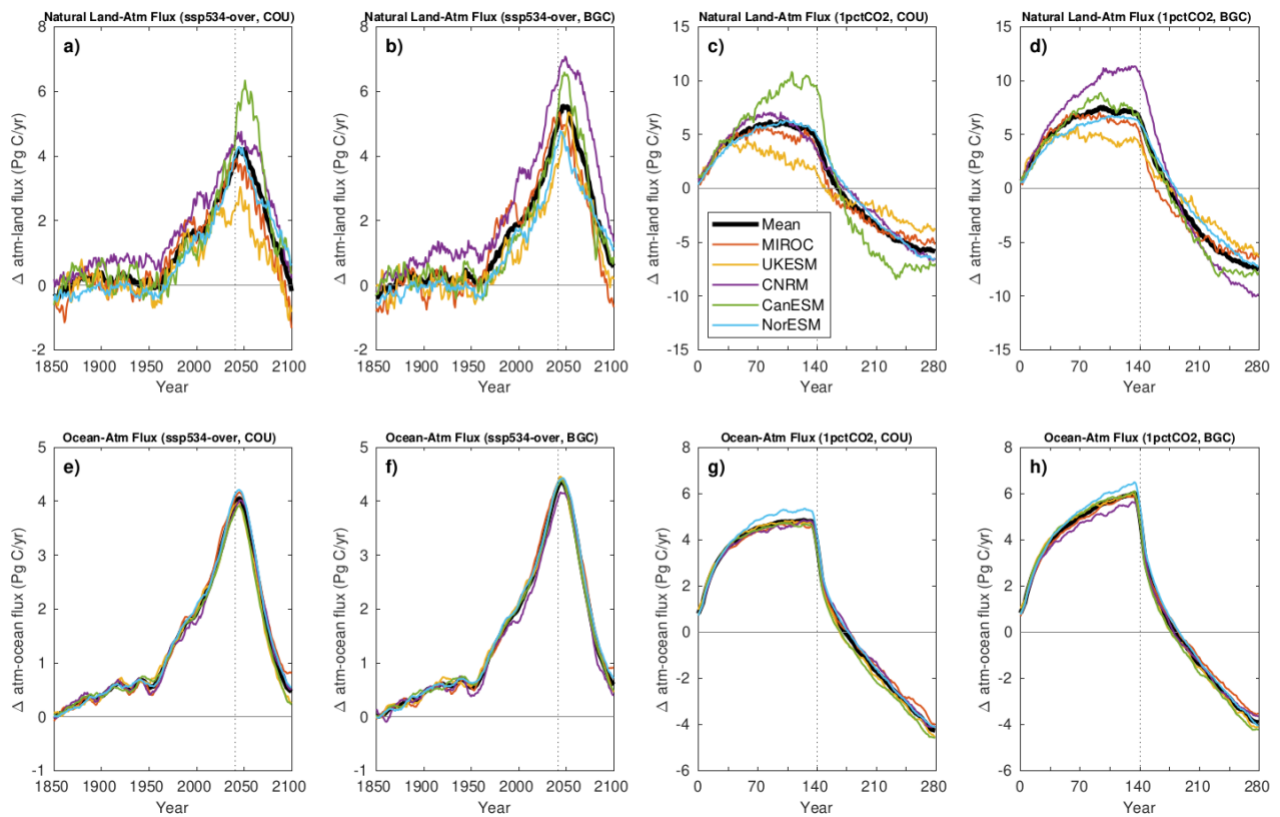
324  
 325 **Figure 1:** Atmospheric CO<sub>2</sub> concentration and surface air temperature changes in the fully coupled (solid  
 326 lines) and biogeochemically coupled (dashed lines) configurations of the ssp534-over (a,b) and 1pctCO<sub>2</sub>-  
 327 cdr (c,d) experiments. The rates of change in the prescribed atmospheric CO<sub>2</sub> concentrations is shown  
 328 in panel e, and the model mean rate of surface temperature change from the fully coupled simulations  
 329 is shown in panel f. The dotted (solid) vertical lines in panels e and f indicate the peak of the CO<sub>2</sub> growth  
 330 rate (CO<sub>2</sub> concentration) in the ssp534-over scenario. Shadings in panel f show the range across the  
 331 models. An 11-year moving average has been used in panels b, d, and f.  
 332

333 In the 1pctCO<sub>2</sub>-cdr simulation, the peak temperature anomalies vary from 3.57°C (in year 144) in  
334 NorESM2-LM to 6.84°C (in year 151) in CanESM5 (Fig. 1d). Thereafter, temperature anomalies decline  
335 to values ranging from 0.29°C in NorESM2-LM to 2.2°C in UKESM1-0-LL at the end of the ramp-down  
336 period (year 280). The 1pctCO<sub>2</sub>-cdr BGC simulation shows, compared to the ssp534-over BGC  
337 simulation, smaller temperature anomalies ranging from -0.22°C (CNRM-ESM2-1 in year 149) to 0.79°C  
338 (UKESM1-0-LL in year 207). The relatively large magnitude of the temperature anomaly in the ssp534-  
339 over-bgc simulation (peak warming of 12% - 29% of the peak warming in the fully coupled simulation)  
340 suggests that warming due to non-CO<sub>2</sub> forcings might contribute substantially to the carbon-climate  
341 feedback in the ssp534-over scenario.

342 For atmosphere-land fluxes, our analysis is complicated by the fact that land use changes are present  
343 in the ssp534-over scenario. Here, we focus on comparing fluxes and feedbacks for grid cells that are  
344 dominated by “natural land” (see Sec. 2.2 for more details). Note that, for comparability, we consider  
345 the same set of grid cells in the 1pctCO<sub>2</sub>-cdr simulation, even though land cover stays at its pre-  
346 industrial state in this simulation. In the ssp534-over simulations, the model-mean annual CO<sub>2</sub> fluxes  
347 (Fig. 2) continue rising until the rate of change of [CO<sub>2</sub>] reaches its peak in 2041. After the peak,  
348 atmosphere-land and atmosphere-ocean fluxes start to decline rapidly in all models with little time lag.  
349 UKESM1-0-LL and MIROC-ES2L simulate negative fluxes (i.e., natural land turns into a carbon source)  
350 before the end of the 21st century in the COU simulation (Fig. 2a). Without the effect of CO<sub>2</sub> induced  
351 warming (BGC simulation, Fig. 2b), only MIROC-ES2L shows a significant carbon source from the  
352 terrestrial biosphere before 2100, while the model-mean still shows a sink. In the fully coupled 1pctCO<sub>2</sub>-  
353 cdr experiment, sink-to-source transition of the terrestrial biosphere occurs around year 165 in the  
354 model mean, 25 years after the rate of change of [CO<sub>2</sub>] peaks (Fig. 2c). Consistent with what is seen in  
355 the biogeochemically coupled ssp534-over, the sink-to-source transition occurs 10 years later without  
356 the effect of warming in the 1pctCO<sub>2</sub>-cdr-bgc experiment. However, the terrestrial CO<sub>2</sub> source at the  
357 end of the biogeochemically coupled 1pctCO<sub>2</sub>-cdr simulation is *larger* than in the fully coupled  
358 simulation. We also observe that models which take up more (less) terrestrial carbon during the CO<sub>2</sub>  
359 ramp-up phase release more (less) carbon towards the end of the CO<sub>2</sub> ramp-down phase (1pctCO<sub>2</sub>-cdr-  
360 bgc; Fig. 2c,d), indicating that these models have a larger (smaller) sensitivity ( $\Delta C_L/\Delta CO_2$ ) to both  
361 atmospheric CO<sub>2</sub> increase and decrease. We therefore interpret the increased source of carbon at the  
362 end of the 1pctCO<sub>2</sub>-bgc simulation as a release of additional carbon that has been taken up in the  
363 absence of climate warming during the biogeochemically coupled simulation. The net negative emission  
364 phase of the ssp534-over scenario is too short to show this effect in 2100 (where the warming effect  
365 still reduces the model mean terrestrial carbon sink).

366 Likewise, the warming of the world's oceans in both simulations tends to reduce the carbon uptake or  
367 increase the oceanic carbon source. The model spread for atmosphere-ocean carbon fluxes (Fig. 2,  
368 panels e to h) appears to be much smaller than for the atmosphere-land fluxes. In the ssp534-over  
369 simulation, the ocean remains a sink of carbon in all models until the end of the simulation in 2100. In  
370 the 1pctCO<sub>2</sub>-cdr simulation the ocean turns into a source of CO<sub>2</sub> to the atmosphere around year 175,  
371 and in the BGC simulation without warming this transition is delayed by 7 years.

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 374 **Figure 2:** Time series of annual mean natural atmosphere-land (a-d) and atmosphere-ocean (e-h)  
 375 carbon fluxes for the fully and biogeochemically coupled ssp534-over and 1pctCO<sub>2</sub>-cdr experiments as  
 376 indicated in the panel titles. The dotted vertical lines indicate where [CO<sub>2</sub>] growth rate peaks in each  
 377 experiment. An 11-year moving average has been used in all panels.

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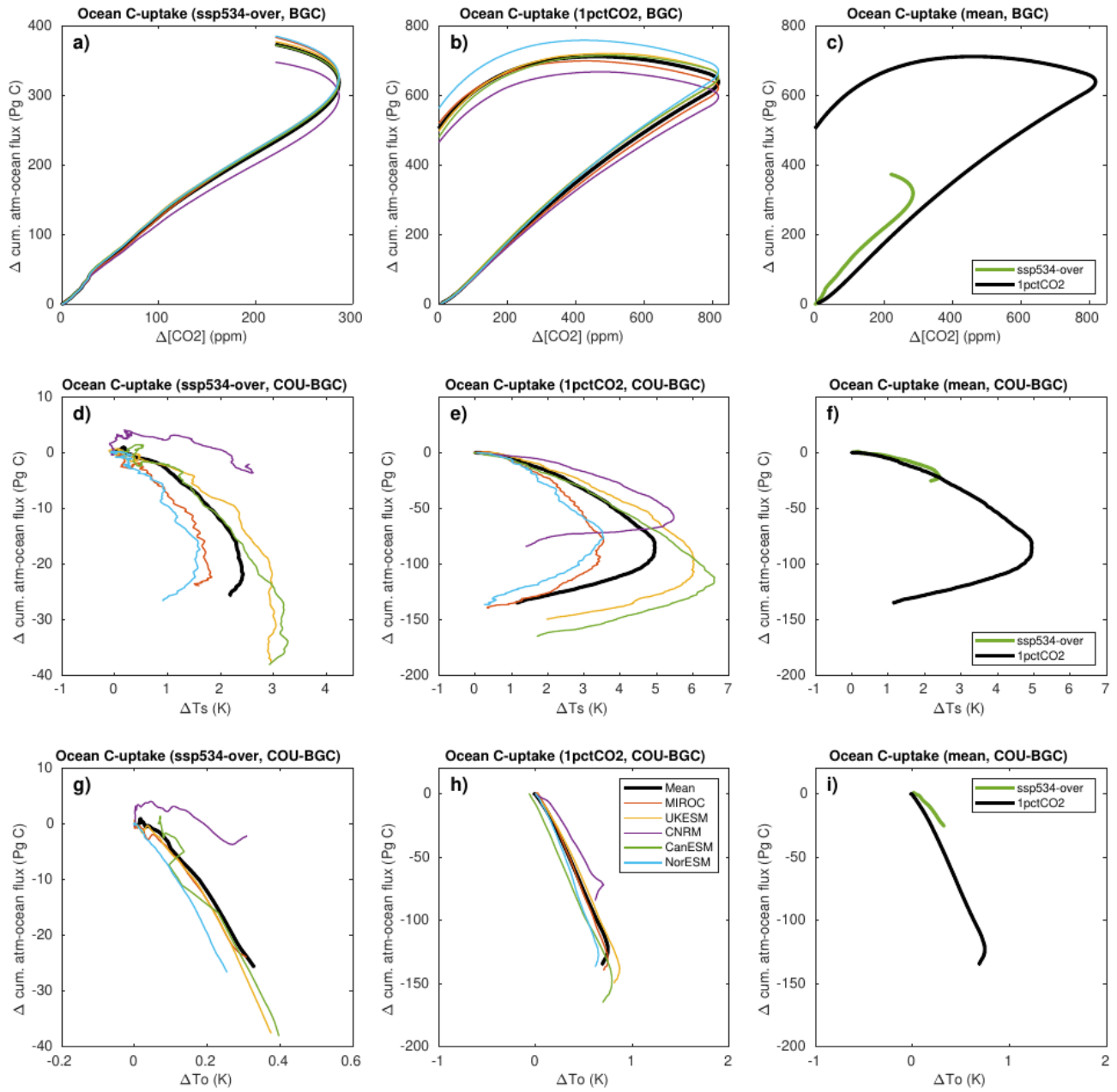
### 379 3.2 Global mean carbon cycle feedbacks

#### 380 3.2.1 Ocean

381 In the BGC simulation, where the effect of changing atmospheric CO<sub>2</sub> concentration on terrestrial and  
 382 marine carbon uptake (the carbon-concentration feedback) is isolated, cumulative atmosphere-ocean  
 383 carbon fluxes indicate an almost linear growth with [CO<sub>2</sub>] as long as atmospheric CO<sub>2</sub> concentrations  
 384 are increasing in both ssp534-over and 1pctCO<sub>2</sub>-cdr simulations (Fig. 3a-c). When [CO<sub>2</sub>] starts to decline,  
 385 the atmosphere-ocean carbon flux in the 1pctCO<sub>2</sub>-cdr simulation shows pronounced hysteresis with a  
 386 continued ocean carbon uptake (until the [CO<sub>2</sub>]-anomaly has been roughly reduced to 500 ppm) before  
 387 starting to decrease towards the end of the ramp-down phase (Fig. 3b). In the ssp534-over-bgc  
 388 simulation, where the onset of net negative emissions is more gradual, the relationship between  
 389 cumulative atmosphere-ocean fluxes and [CO<sub>2</sub>] during the phase of declining atmospheric CO<sub>2</sub>  
 390 concentration also shows hysteresis; but due to the relative short period of net-negative emissions, the  
 391 ocean remains a sink of carbon in all models until the end of the simulation.

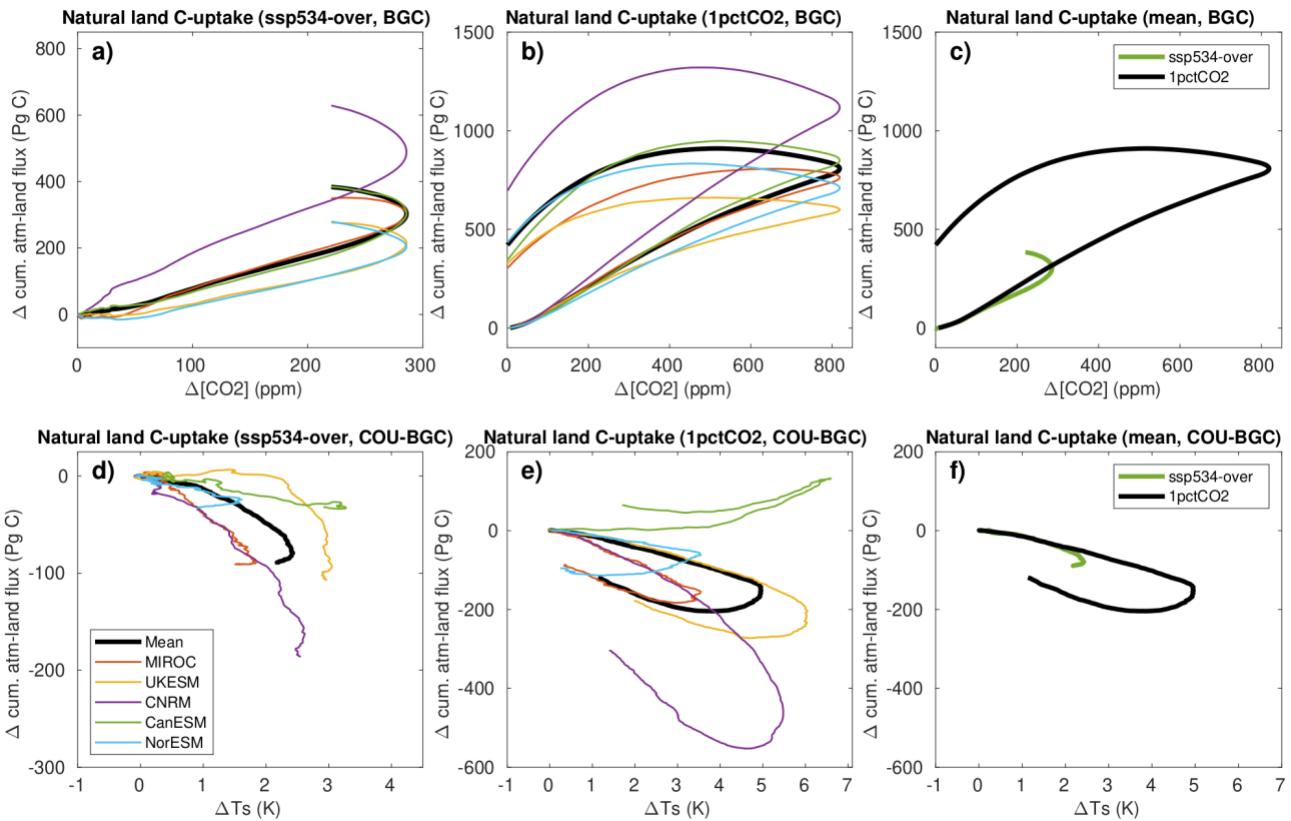
392 Differences in the cumulative atmosphere-ocean CO<sub>2</sub> flux between the COU and the BGC simulations  
393 versus surface temperature changes (carbon-climate feedback) are shown in Fig. 3d-f. Increasing  
394 temperature results in less carbon uptake by the ocean, except for the CNRM-ESM2-1 which simulates  
395 slightly more uptake in the first half of the warming period under the ssp534-over. During the negative  
396 emission phases of the simulations when the air surface temperature is decreasing, the carbon-climate  
397 feedback still decreases the ocean carbon content, albeit at reduced rates. Even when pre-industrial  
398 CO<sub>2</sub> concentrations are restored at the end of the 1pctCO<sub>2</sub>-cdr simulation all models agree that the  
399 ocean is still losing carbon due to the effect of (legacy) warming (Fig. 3e). Using the global average  
400 ocean potential temperature (averaged over the full ocean depth) instead of the surface air  
401 temperature as a proxy for oceanic climate change as proposed by Schwinger and Tjiputra (2018), gives  
402 a much more linear relationship between changes in the ocean carbon stock and changes in  
403 temperature in the majority of models (Fig. 3 g-i). At the end of the ssp534-over and 1pctCO<sub>2</sub>-cdr  
404 simulations, the ocean still holds a large part of the carbon taken up from the atmosphere since pre-  
405 industrial time, between roughly 300-400 PgC in 1pctCO<sub>2</sub>-cdr, and around 350 PgC in ssp534-over (Fig.  
406 S2).

407 Generally, the ocean carbon-concentration feedback (as indicated by the cumulative carbon uptake per  
408 unit increase of CO<sub>2</sub> concentration, Fig. 3a-c) is larger in the ssp534-over scenario, which can most likely  
409 be explained with the slower growth rate of [CO<sub>2</sub>] in this scenario compared to the 1pctCO<sub>2</sub>-cdr  
410 simulation (Fig. 3c). For slower growth rates, the ocean has more time to mix and partly transport the  
411 adsorbed anthropogenic carbon away from the ocean surface to the interior, increasing the capacity  
412 for more uptake. A larger carbon uptake at slower CO<sub>2</sub> growth rates has already been reported by  
413 Gregory et al. 2009 and Hajima et al. 2014, although only for combined land and ocean fluxes or land  
414 fluxes only. The ocean carbon-climate feedback, in contrast, is slightly smaller in the ssp534-over  
415 scenario, i.e., the carbon loss for a given warming is smaller.



416  
 417 **Figure 3:** Ocean carbon cycle feedbacks in the ssp534-over (left column) and 1pctCO<sub>2</sub>-cdr (middle  
 418 column) simulations for individual models. The model means for both simulations are shown in the  
 419 right column. Global mean ocean potential temperature is used on the x-axis of panels (g-i). An 11-year  
 420 moving average has been used in all panels.

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**Figure 4:** Terrestrial carbon cycle feedbacks in the ssp534-over (left column) and 1pctCO<sub>2</sub>-cdr (middle column) simulations for grid cells that are dominated by “natural land” (less than a maximum of 25% crop fraction over the period 2015-2100 in ssp534-over). Note that we consider the same grid cells in the 1pctCO<sub>2</sub>-cdr simulation, even though land use stays at pre-industrial state. The model means for both simulations are shown in the right column. An 11-year moving average has been used in all panels.

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### 3.2.2 Land

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For grid cells representing natural land, the response of the cumulative terrestrial carbon flux to changes in [CO<sub>2</sub>] and surface temperature (Fig. 4) is qualitatively similar to the response of the atmosphere-ocean fluxes. In both ssp534-over and 1pctCO<sub>2</sub>-cdr simulations, a roughly linear relationship can be seen between the carbon flux change and both the changes in [CO<sub>2</sub>] and surface air temperature during positive emission phases. An exception is the carbon-climate feedback of the CanESM5 model, which is about zero up to 4 degrees of warming, and becomes positive for higher temperature increases. This unique behavior is caused by CanESM5’s high climate sensitivity combined with larger carbon use efficiency amongst CMIP6 models (as shown later) which causes high latitude vegetation to take up large amounts of carbon in response to warming. This more than compensates for the carbon loss elsewhere associated with climate warming. During negative emission phases both feedbacks show a considerable hysteresis behavior, as for the ocean (see also below). It is worth mentioning that, unlike for the ocean, the COU-BGC accumulated atmosphere-land flux starts to increase, albeit with a lag, in response to cooling during the negative emissions phase in most models (Figs. 3e and 4e).

448 The carbon-concentration feedback (as indicated by the cumulative carbon uptake per unit increase of  
449 CO<sub>2</sub> concentration) is slightly smaller for the ssp534-over scenario compared to the 1pctCO<sub>2</sub>-cdr  
450 experiment (see Fig. 4c), but this difference might be attributed to the remaining influence of land-use  
451 changes. This is because, for “cropland grid cells” (maximum crop-fraction of more than 25% in the  
452 ssp534-over scenario), the cumulative carbon fluxes are markedly smaller in the ssp534-over scenario  
453 compared to the 1pctCO<sub>2</sub>-cdr simulation (compare panel c on Figs. S3 and 4). This indicates, consistent  
454 with Melnikova et al. (2022) who demonstrate that carbon losses from land use changes dominate over  
455 gains through CO<sub>2</sub> fertilization in crop dominated areas (see their Fig. 4, panels a and c), that the  
456 prescribed land use change in the SSP scenario is the driver behind the small (negative for NorESM2-  
457 LM and UKESM1-0-LL) carbon accumulation for crop land grid cells. Since grid cells that are dominated  
458 by natural land according to our separation approach, may contain up to 25% croplands, we expect a  
459 reduction of cumulative carbon fluxes due the remaining land use (changes) in the natural land grid  
460 cells. We note that land use change is externally prescribed rather than a feedback process in our  
461 simulations. It is only due to the simulation design used here (see Section 2.2 for details), that the  
462 carbon release (or uptake) due to land use changes modifies the net atmosphere-land CO<sub>2</sub> flux which  
463 is then seen as a carbon-concentration feedback in the ssp534-over-bgc simulation.

464 The model-mean carbon-climate feedback for natural land is very similar for the ssp534-over and  
465 1pctCO<sub>2</sub>-cdr simulations during the positive emission phases, but deviates thereafter due to hysteresis  
466 behavior (Fig. 4f). Interestingly, in contrast to the carbon-concentration feedback, the model-mean  
467 carbon-climate feedback for cropland and natural land remains very similar between the ssp534-over  
468 and 1pctCO<sub>2</sub>-cdr simulations (Fig. S3f). This is likely due to the similar response of the soil carbon to  
469 changes in surface air temperature.

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### 471 **3.2.3 Hysteresis**

472 For the 1pctCO<sub>2</sub>-cdr simulation, hysteresis can be defined as the difference in, for example, cumulative  
473 carbon uptake during the ramp-up and the ramp-down period at the same level of atmospheric CO<sub>2</sub>  
474 concentration. Here, to quantify hysteresis, we choose the years 70 and 210, which represent a state  
475 where atmospheric CO<sub>2</sub> has been doubled (570 ppm) or returned to this value after the overshoot. We  
476 define hysteresis as the difference between cumulative carbon uptake in year 210 minus cumulative  
477 carbon uptake in year 70 (i.e., hysteresis is positive, if cumulative carbon uptake is larger on the ramp-  
478 down side of the 1pctCO<sub>2</sub>-cdr simulation). We refrain from quantifying hysteresis for the ssp534-over  
479 scenario, because of the relatively short period of declining [CO<sub>2</sub>].

480 The model mean hysteresis in the carbon-concentration feedback is  $443 \pm 29$  PgC (model uncertainty  
481 measured as one standard deviation) for the ocean and  $524 \pm 205$  PgC for natural land, which for both  
482 cases is larger than the feedback at year 70 itself. Although the hysteresis of the ocean carbon-  
483 concentration feedback is smaller than the terrestrial feedback in absolute terms, it is larger in relative  
484 terms (179% of the accumulated carbon uptake at year 70 for the ocean versus 168% for land). In  
485 general, the hysteresis seems to be related to the magnitude of the carbon-concentration feedback,  
486 since models with a large (small) carbon uptake at year 140, tend to show a large (small) hysteresis at



487 year 210 for both ocean and land. However, towards the end of the ramp-down period, this relationship  
488 breaks down for CanESM5 and MIROC-ES2L, particularly over land.

489 For the carbon-climate feedback, the hysteresis in climate induced carbon loss or gain (difference  
490 between COU-BGC evaluated at years 70 and 210) is  $-102 \pm 22$  and  $-158 \pm 181$  PgC for ocean and natural  
491 land, respectively. As for the carbon-concentration effect, a relationship between the magnitude of  
492 carbon loss or gain at year 140 and the hysteresis is found. Models with a large (small) climate induced  
493 loss of carbon tend to have a large (small) hysteresis.

494 For the ocean carbon cycle, hysteresis in the carbon-concentration feedback occurs mainly due to the  
495 long time scales of ocean overturning circulation. Schwinger and Tjiputra (2018) have shown that  
496 hysteresis strongly increases with water mass age. Young waters, which reside close to the ocean  
497 surface, exchange quickly with the atmosphere and show little hysteresis, whereas old, deep ocean  
498 water masses' responses to declining atmospheric  $\text{CO}_2$  will be delayed, and thus show considerable  
499 hysteresis. Over land, both the vegetation and soil carbon pools show a lagged response to decreasing  
500  $\text{CO}_2$  due to the fact that transient changes in  $[\text{CO}_2]$  lead to a long term disequilibrium between the  $\text{CO}_2$   
501 fertilization effect, vegetation biomass, litterfall, and soil carbon (e.g., Krause et al. 2020). Therefore,  
502 despite declining  $[\text{CO}_2]$  levels at the beginning of the ramp-down phase there is still an increase in  
503 vegetation biomass due to  $\text{CO}_2$  fertilization, and consequently an increase in soil carbon due to still  
504 increasing litterfall. Warming-induced hysteresis appears to be larger for soil carbon in most models.  
505 Similar to the large warming induced hysteresis (e.g., Schwinger and Tjiputra 2018; Schwinger et al.  
506 2022; Santana-Falc3n et al. 2023) in the ocean, this is caused by the fact that even though warming  
507 levels start to decline shortly after the onset of the ramp-down phase, environmental conditions remain  
508 warmer than in the pre-industrial period over the whole time of the ramp-down simulation.

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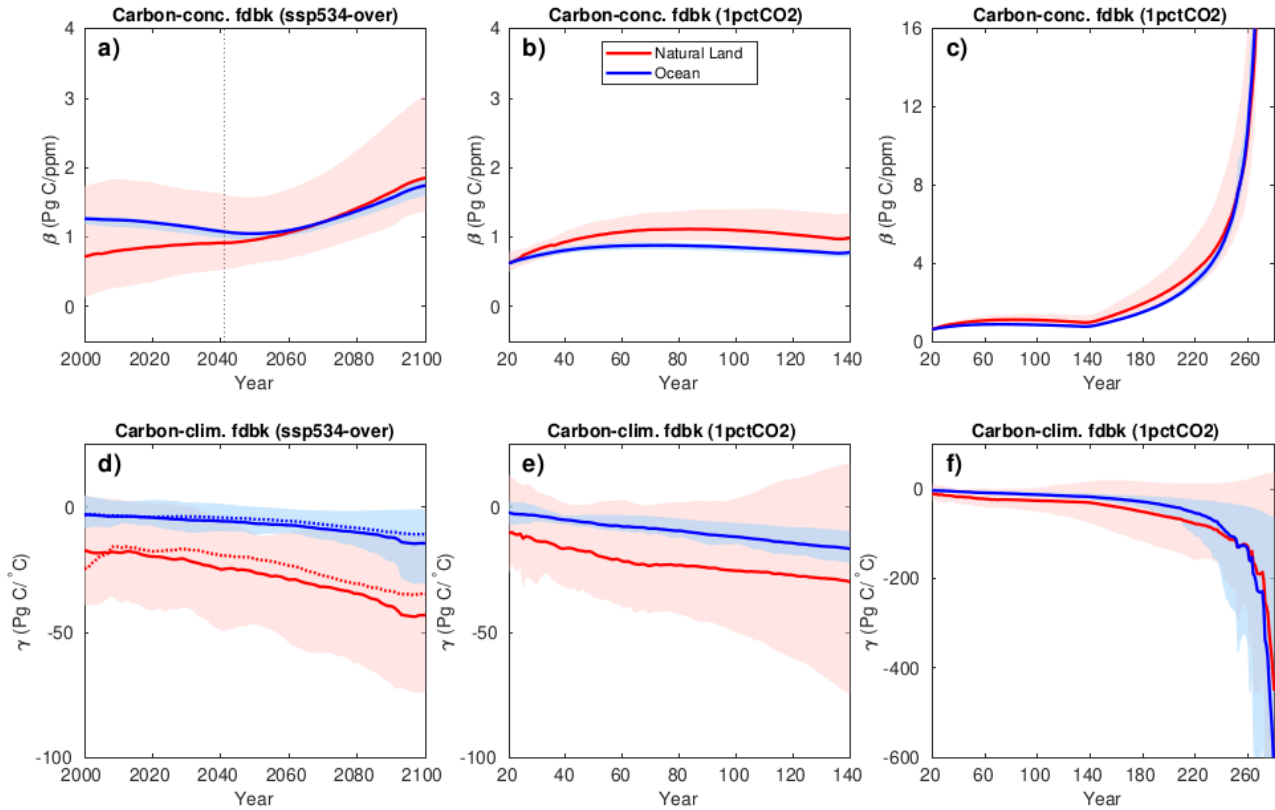
### 510 3.3 Carbon cycle feedback metrics

#### 511 3.3.1 Model mean global land and ocean responses

512 We now discuss the model-mean time evolution of the feedback metrics  $\beta$  and  $\gamma$  (Eqs. 1 and 2) derived  
513 from the 1pct $\text{CO}_2$ -cdr and ssp534-over simulations. In the ssp534-over scenario (Fig. 5a) the model-  
514 mean feedback metric  $\beta_L$  increases monotonically from about 0.7 to 1.9 PgC ppm<sup>-1</sup> during the period  
515 2000-2100. Over the ocean,  $\beta_O$  in the ssp534-over scenario decreases slightly until the mid-21st  
516 century, and then it rises to about 1.7 PgC ppm<sup>-1</sup>. Due to the much larger spread in carbon fluxes over  
517 land (Fig. 2), the resulting model spread for both  $\beta_L$  and  $\gamma_L$  is also much larger than for  $\beta_O$  and  $\gamma_O$ .

518 For the 1pct $\text{CO}_2$ -cdr simulation, during the ramp-up phase over both land and ocean (Fig. 5b),  $\beta$  initially  
519 increases and then decreases slightly with increasing  $[\text{CO}_2]$  consistent with the results of Arora et al.  
520 (2013) for the same experiment but using CMIP5 ESMs. In contrast, during the ramp-down phase of the  
521 1pct $\text{CO}_2$ -cdr experiment,  $\beta$  reaches very high values over both land and ocean (Fig. 5c). This is because,  
522 during the carbon removal phase of the 1pct $\text{CO}_2$ -cdr experiment, there is a much larger amount of  
523 accumulated ocean and terrestrial carbon for the same atmospheric  $\text{CO}_2$  concentration due to the large  
524 hysteresis seen in Figs. 3 and 4. Eventually, while  $[\text{CO}_2]$  is approaching pre-industrial values (i.e.,  $\Delta[\text{CO}_2]$   
525 reaches zero), changes in cumulative fluxes (i.e., carbon stocks) relative to their pre-industrial values  
526 remain positive, making  $\beta$  ill-defined towards the end of the 1pct $\text{CO}_2$ -cdr ramp-down. For the same

527 reason, an increase of  $\beta_L$  and  $\beta_O$  is also seen in the ssp534-over scenario after the CO<sub>2</sub> concentration  
 528 peak in 2062.



529 **Figure 5:** Model-mean  $\beta$  (a-c) and  $\gamma$  (d-f) feedback metrics in the ssp534-over and 1pctCO<sub>2</sub>-cdr  
 530 experiments for natural land and ocean. Panels b and e show a zoom into the ramp-up phase of the  
 531 time series shown on panels c and f. Shadings show the range across the models. The dotted vertical  
 532 line on panel a indicates where [CO<sub>2</sub>] growth rate peaks in the fully coupled ssp534-over experiment.  
 533 Dotted curves on panel d indicate the model mean with the assumption of negligible temperature  
 534 change in the BGC simulation (Eq. 2). An 11-year moving average has been used in all panels.

536  
 537 The model mean feedback factor  $\gamma$  is negative as the impact of climate change generally reduces the  
 538 carbon stocks of land and ocean. In both ssp534-over and 1pctCO<sub>2</sub>-cdr experiments, the carbon-climate  
 539 feedback is increasing over time (more negative  $\gamma$ , Fig. 5d and e), similar to figure 6 of Arora et al.  
 540 (2013). The carbon-climate feedback is generally much smaller for the ocean than for land, and the  
 541 model uncertainty for  $\gamma_O$  is only a small fraction of  $\gamma_L$ . Note that the same globally averaged surface air  
 542 temperature anomaly is being used for the calculation of both  $\gamma_O$  and  $\gamma_L$  (Eq. 2). As noted above, the  
 543 CanESM5 model simulates a globally increasing land uptake due to climate change towards the end of  
 544 the 1pctCO<sub>2</sub>-cdr simulation (Fig. 4e), resulting in a positive  $\gamma_L$  for this model. During the ramp-down  
 545 phase of the 1pctCO<sub>2</sub>-cdr experiment (Fig. 5f),  $\gamma$  reaches very large negative values. Similar to  $\beta$ , this is  
 546 caused by the large hysteresis of the climate change impact on cumulative carbon stock while the  
 547 surface temperature change becomes small (see Eq. 2). The assumption of  $\Delta T^{BGC} = 0$  generally works

548 well except for  $\gamma_L$  in the ssp534-over scenario where non-CO<sub>2</sub> forcings have a significant contribution  
549 to  $\Delta T^{BGC}$  (dashed curves in Fig. 5d).

550 The global feedback factors B and  $\Gamma$  for the ssp534-over and 1pctCO<sub>2</sub>-cdr simulations are shown in Fig.  
551 S4. This feedback metric directly reflects the instantaneous fluxes, not cumulative fluxes, and is  
552 therefore less influenced by the history of carbon fluxes, unlike  $\beta$  and  $\gamma$ . Consistent with Fig. 2, the  
553 model-mean B remains positive during the ssp534-over simulation and during the positive emission  
554 phase of the 1pctCO<sub>2</sub>-cdr both over natural land and ocean. Only one model indicates a negative  
555 carbon-concentration feedback over natural land towards the very end of the ssp534-over simulation  
556 during its relatively short negative emission phase. B reflects the saturation of carbon sinks in the  
557 1pctCO<sub>2</sub>-cdr simulation with time and decreases monotonically during the positive emission phase.  
558 Similar to what we have seen earlier for  $\beta$ , B shows large but negative values towards the end of the  
559 1pctCO<sub>2</sub>-cdr ramp-down phase (Fig. S4c).

560 An interesting difference between the  $\gamma$  and  $\Gamma$  feedback metrics is seen towards the end of the 1pctCO<sub>2</sub>-  
561 cdr negative emissions phase (Fig. S4f), where  $\Gamma_L$  turns positive around year 180. This indicates that the  
562 land biosphere starts gaining carbon that was previously lost due to the impacts of climate change. In  
563 contrast,  $\Gamma_O$  remains negative indicating that the ocean continues to lose carbon due to warmer than  
564 pre-industrial conditions until the end of the 1pctCO<sub>2</sub>-cdr ramp-down phase. Because they are based  
565 on cumulative emissions, both  $\gamma_O$  and  $\gamma_L$  remain negative throughout the 1pctCO<sub>2</sub>-cdr ramp-down. This  
566 illustrates that the use of a feedback metric based on time-integrated carbon fluxes might obscure  
567 changes in important processes during net-negative emission phases. Eventually, both approaches for  
568 calculating feedback metrics become ill-defined when the deviation of [CO<sub>2</sub>] or temperature from their  
569 pre-industrial values becomes small. This implies that both feedback metrics are not suited to describe  
570 feedbacks towards the end (and beginning) of a concentration driven simulation set-up where pre-  
571 industrial concentrations are restored. We note that this problem is connected to the choice of the  
572 reference relative to which the feedbacks are calculated. In the approach of Chimuka et al. (2023),  
573 where the reference is chosen to be at the transition from positive to negative emissions, singularities  
574 towards the end of the 1pctCO<sub>2</sub>-cdr simulation are avoided.

575

### 576 3.3.2 Model uncertainties and relative feedback strength in global feedback metrics

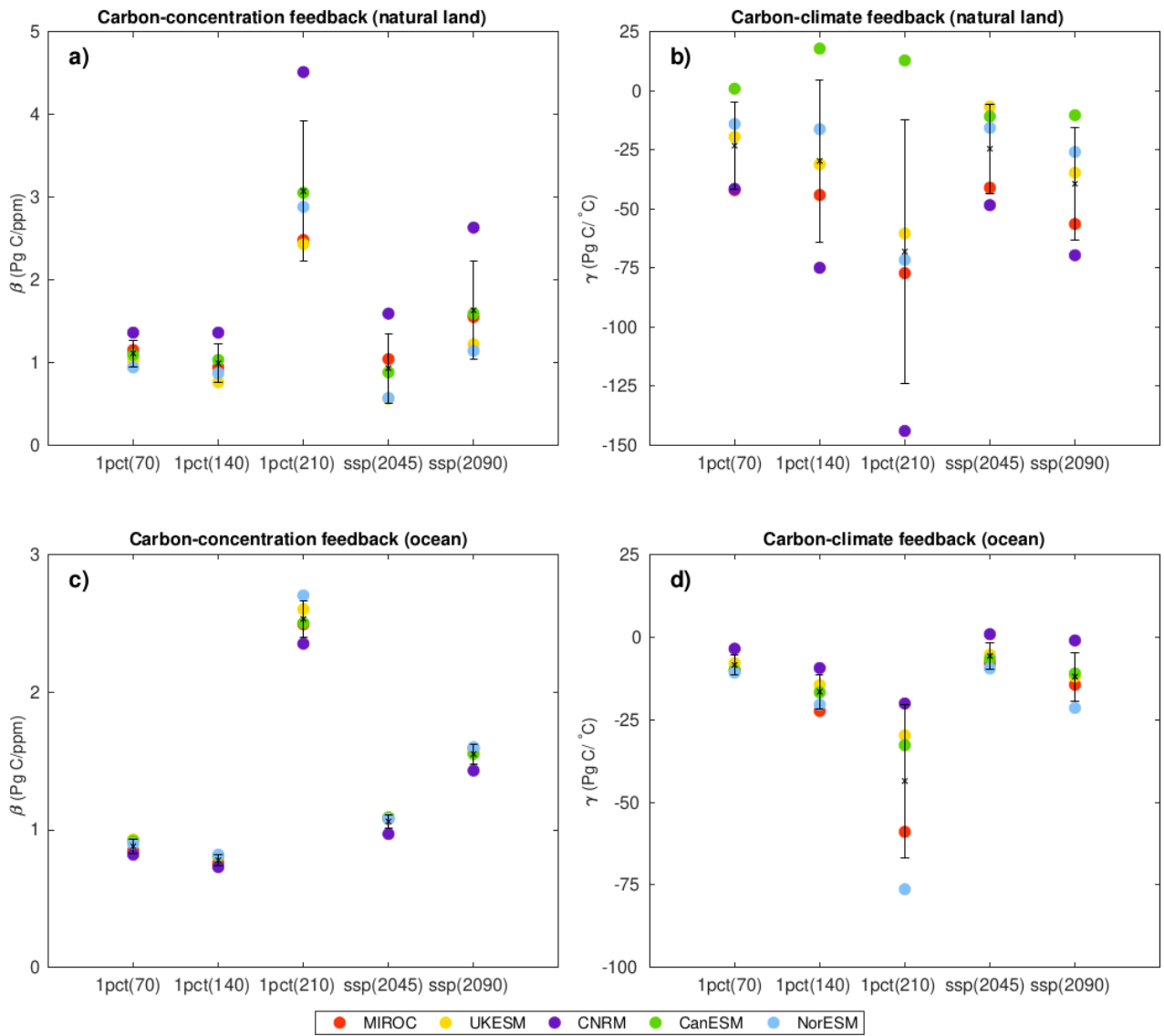
577 Figure 6 shows the model spread of feedback metrics at different points in time for the 1pctCO<sub>2</sub>-cdr  
578 simulation and the ssp534-over scenario (see also Table 2). The larger model-mean values during the  
579 negative emission phases have been discussed in the previous section, but Fig. 6 also shows a strong  
580 increase in model uncertainty (measured as the standard deviation around the model mean, Table 2)  
581 between the ramp-up and ramp-down phase of the 1pctCO<sub>2</sub>-cdr simulation. For both  $\beta_L$  and  $\beta_O$ , there  
582 is either no ( $\beta_O$ ) or only a small ( $\beta_L$ ) increase in model uncertainty between the years 70 and 140 of the  
583 1pctCO<sub>2</sub>-cdr simulation, whereas at year 210 uncertainty has increased by about a factor of four. This  
584 “jump” in uncertainty in  $\beta$  is solely caused by differences in how the models react to the sharp change  
585 in forcing from increasing to decreasing CO<sub>2</sub> at year 140 (see Eq. 1, note that atmospheric CO<sub>2</sub> is  
586 prescribed and  $\Delta T^{BGC}$  is small). A similar behavior is seen for  $\gamma_O$ , while for  $\gamma_L$  the increase in model

587 uncertainty is more gradual, i.e., the increase between years 70 and 140 is about the same as between  
588 years 140 and 210. There is also a consistent increase in model uncertainty in all feedback metrics from  
589 the positive to the negative emissions phase in the ssp534-over scenario.

590 The relative strength of the feedback among the models remains relatively stable over time, between  
591 positive and negative emission phases, and between the different experiments. Model A having a  
592 stronger (weaker) feedback than model B at one of the instances depicted in Fig. 6, indicates that model  
593 A will have a stronger (weaker) feedback than model B for the other instances with only few exceptions.  
594 Most of these exceptions arise because modeled feedbacks are very similar such that small changes in  
595 feedback strength can lead to a different ranking. In a few cases relative feedback strength evolves  
596 differently in the models. For example, NorESM2-LM evolves from having a weaker than average  $\gamma_L$  in  
597 the positive emission phase of the 1pctCO<sub>2</sub>-cdr simulation to having a stronger than average  $\gamma_L$  in the  
598 negative emission phase.

599 Finally, it is worth noting that while the model uncertainty in  $\gamma_O$  is much smaller than in  $\gamma_L$  during the  
600 ramp-up phase of the 1pctCO<sub>2</sub>-cdr simulation (uncertainty in  $\gamma_O$  is only 15% of those in  $\gamma_L$  at year 140),  
601 this situation has changed for the ramp-down phase. At year 210, the uncertainty in the ocean carbon-  
602 climate feedbacks has grown much stronger than the uncertainties of the terrestrial carbon-climate  
603 feedback, such that model uncertainties in  $\gamma_O$  are 42% of those in  $\gamma_L$ .

604



605

606 **Figure 6:** Globally averaged values of  $\beta$  (a and c) and  $\gamma$  (b and d) in the 1pctCO<sub>2</sub>-cdr (years 70, 140, and  
 607 210) and ssp534-over (years 2045 and 2090) experiments for natural land and ocean. The bars show  
 608 the mean  $\pm$  1 standard deviation range, and the individual colored dots represent individual models.

609

610

611 **Table 2:** Globally averaged values of  $\beta$  (Pg C ppm<sup>-1</sup>) and  $\gamma$  (Pg C °C<sup>-1</sup>) at years 70, 140, and 210 of the 1pctCO<sub>2</sub>-  
 612 cdr simulation and years 2045 and 2090 of the ssp534-over experiment for natural land and ocean.

613

	MIROC-ES2L	UKESM1-0-LL	CNRM-ESM2-1	CanESM5	NorESM2-LM	Mean
$\beta_{L(70)}$	1.15	1.02	1.36	1.09	0.94	1.11 (SD=0.16)
$\beta_{L(140)}$	0.94	0.76	1.36	1.03	0.87	0.99 (SD=0.23)

$\beta_{L(210)}$	2.48	2.43	4.51	3.05	2.88	3.07 (SD=0.85)
$\beta_{L(2045)}$	1.04	0.56	1.59	0.88	0.57	0.93 (SD=0.42)
$\beta_{L(2090)}$	1.55	1.22	2.63	1.59	1.14	1.63 (SD=0.59)
$\gamma_{L(70)}$	-42.14	-19.54	-41.58	0.82	-14.12	-23.31 (SD=18.5)
$\gamma_{L(140)}$	-44.17	-31.19	-74.97	17.78	-16.31	-29.77 (SD=34.3)
$\gamma_{L(210)}$	-77.26	-60.45	-144.01	12.77	-71.64	-68.12 (SD=55.8)
$\gamma_{L(2045)}$	-41.08	-6.80	-48.46	-10.93	-15.78	-24.61 (SD=18.9)
$\gamma_{L(2090)}$	-56.43	-34.76	-69.66	-10.41	-25.95	-39.44 (SD=23.7)
$\beta_{O(70)}$	0.85	0.93	0.82	0.92	0.90	0.88 (SD=0.05)
$\beta_{O(140)}$	0.76	0.81	0.73	0.81	0.82	0.78 (SD=0.04)
$\beta_{O(210)}$	2.49	2.60	2.35	2.50	2.70	2.53 (SD=0.13)
$\beta_{O(2045)}$	1.08	1.09	0.97	1.09	1.08	1.06 (SD=0.05)
$\beta_{O(2090)}$	1.59	1.57	1.43	1.55	1.60	1.55 (SD=0.07)
$\gamma_{O(70)}$	-10.09	-7.95	-3.60	-10.13	-10.84	-8.52 (SD=2.96)
$\gamma_{O(140)}$	-22.40	-14.56	-9.44	-16.77	-20.48	-16.61 (SD=5.10)
$\gamma_{O(210)}$	-58.94	-29.78	-20.16	-32.75	-76.28	-43.59 (SD=23.2)
$\gamma_{O(2045)}$	-7.88	-5.43	0.78	-6.75	-9.56	-5.77 (SD=3.96)
$\gamma_{O(2090)}$	-14.50	-11.98	-1.10	-11.05	-21.50	-12.03 (SD=7.35)

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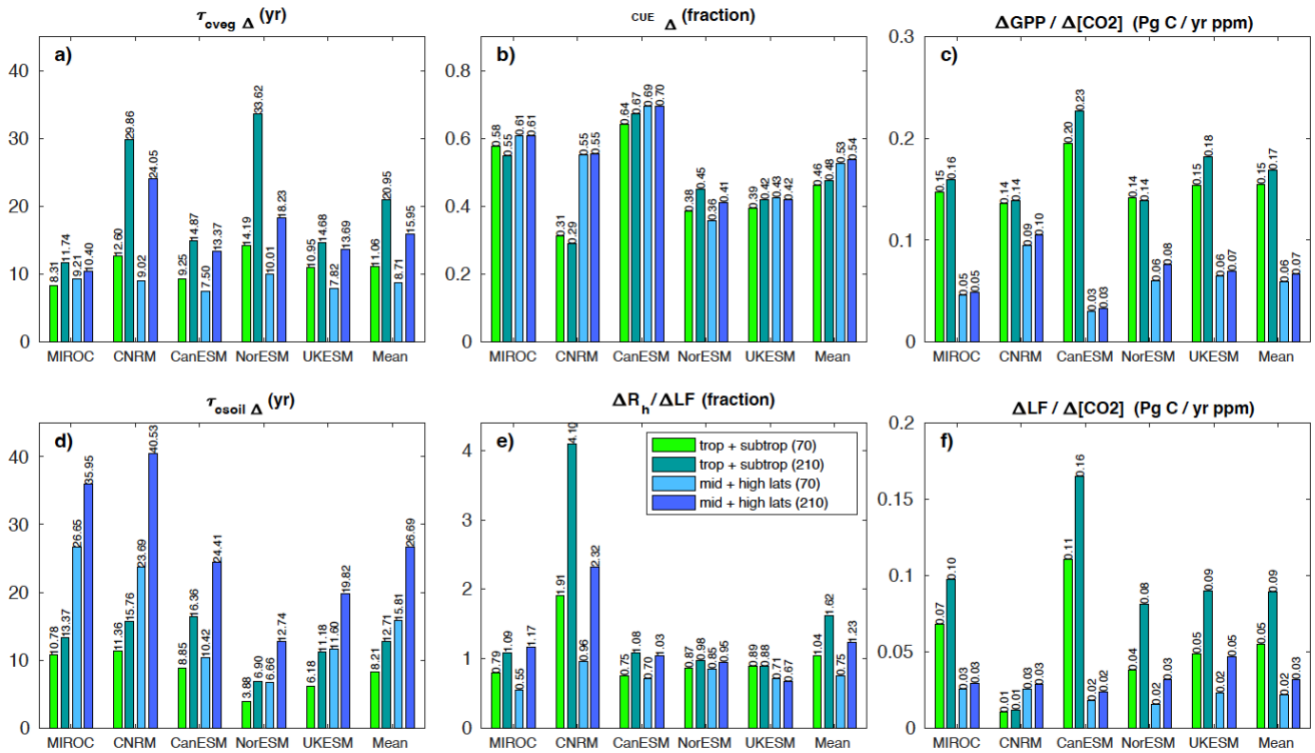
### 3.3.3 Model differences in the terrestrial carbon-concentration feedback

617 Figure 7 shows the individual components of the decomposition of  $\beta$  (Eq. 4), separately for tropical and  
618 subtropical (30°S-30°N) and higher latitudes (between 30°N/S and the poles), both on the ramp-up and  
619 ramp-down phases (years 70 and 210, respectively) of the 1pctCO<sub>2</sub>-cdr-bgc experiment. The time  
620 periods are selected such that the atmospheric CO<sub>2</sub> concentration is the same (569 ppm, a doubling of  
621 pre-industrial CO<sub>2</sub> concentration). All models consistently show increases in both  $\tau_{cveg\Delta}$  and  $\tau_{csoil\Delta}$   
622 during the ramp-down compared to the ramp-up phase, since these metrics are based on cumulative

623 vegetation and soil carbon (Eq. 4), which are slower than NPP and GPP in reacting to decreasing  $[\text{CO}_2]$ .  
624 Lower (higher) latitudes are associated with higher  $\tau_{cveg\Delta}$  ( $\tau_{csoil\Delta}$ ). Likewise, the litterfall term  $\frac{\Delta LF}{[\text{CO}_2]}$  is  
625 larger during the ramp-down phase in all models due to lagged reaction of vegetation carbon to the  
626 decrease in  $[\text{CO}_2]$ , with this effect being generally most pronounced at low latitudes. There is also a  
627 consistent but small increase in the term  $\frac{\Delta GPP}{[\text{CO}_2]}$ , which represents the  $\text{CO}_2$  fertilization effect. This  
628 increase implicitly includes the effect of changes (typically an increase) in standing vegetation biomass  
629 and leaf area index for all models but also changes in vegetation cover as  $[\text{CO}_2]$  varies for UKESM1-0-LL  
630 that simulates dynamic vegetation cover. For the dimensionless fractions  $\frac{\Delta R_h}{\Delta LF}$  and  $\text{CUE}_\Delta$ , changes  
631 between ramp-up and ramp-down phases are less consistent between the models. For  $\text{CUE}_\Delta$ , three  
632 models show an increase and two models a decrease, although the changes between ramp-up and  
633 ramp-down phases are generally small. For  $\frac{\Delta R_h}{\Delta LF}$  changes range from a 115% increase (CNRM-ESM2-1  
634 at low latitudes) to a small decrease (UKESM1-0-LL). It is worth noting that for four out of six terms of  
635 Eq. 4 ( $\tau_{cveg\Delta}$ ,  $\tau_{csoil\Delta}$ ,  $\frac{\Delta R_h}{\Delta LF}$ , and  $\frac{\Delta LF}{[\text{CO}_2]}$ ) the model disagreement is significantly larger during the ramp-  
636 down phase of the 1pctCO<sub>2</sub>-cdr simulation, indicating that changes in these processes are responsible  
637 for the strong increase in model uncertainty in  $\beta_L$  between positive and negative emission phases  
638 pointed out in the previous section.

639 The decomposition applied here helps to understand some of the model differences visible in Fig. 4. As  
640 already pointed out in Arora et al. (2020), the high accumulation of terrestrial carbon by the CNRM-  
641 ESM2-1 model in the BGC simulation (Fig. 4b) is not caused by a particularly strong  $\text{CO}_2$  fertilization  
642 effect or  $\text{CUE}_\Delta$  but rather by relatively high values of  $\tau_{cveg\Delta}$  and  $\tau_{csoil\Delta}$ , indicating long residence  
643 timescales in vegetation and soil. Likewise, CanESM5's higher than average atmosphere-land C flux (Fig.  
644 4b), despite its near-average strength of the  $\text{CO}_2$  fertilization effect and soil and vegetation turnover  
645 times is due to its high  $\text{CO}_2$  fertilization effect at lower latitudes and also its high  $\text{CUE}_\Delta$  through which  
646 the model converts a much larger fraction of GPP to NPP. Compared to the other models, CanESM5  
647 also shows the largest relative increase (85% and 134% for lower and higher latitudes, respectively) in  
648  $\tau_{csoil\Delta}$  between years 70 and 210.

649  
650



651  
 652 **Figure 7:** Individual terms of Eq. (4) contributing to  $\beta_L$ . Values for tropical and subtropical (between  
 653 30°S and 30°N) regions are in green, and for northern and southern latitudes (above 30°S and 30°N) are  
 654 in blue. Lighter (darker) color on each panel corresponds to the middle of the ramp-up (ramp-down)  
 655 phase of the 1pctCO<sub>2</sub>-cdr-bgc experiment (years 70 and 210, respectively).  
 656  
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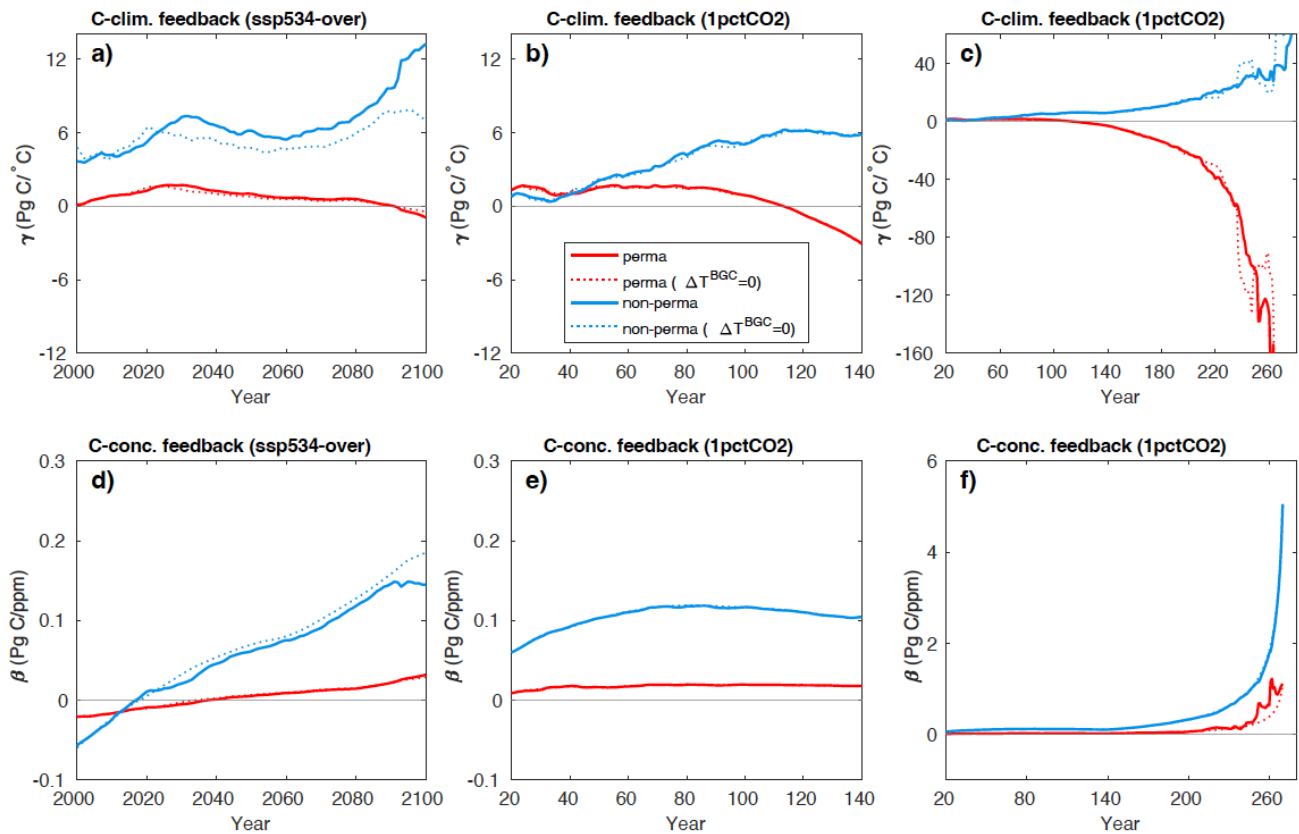
### 658 3.3.4 Northern hemisphere high-latitude permafrost and non-permafrost regions

659 Of the ESMs considered here, only NorESM2-LM has a terrestrial model that vertically resolves soil  
 660 carbon (CLM5, Lawrence et al. 2019). Since this is a prerequisite to skillfully simulate carbon release  
 661 during gradual permafrost degradation, we restrict our analysis of high latitude and permafrost  
 662 feedbacks to the NorESM2-LM model. If only natural land is considered, the area associated with  
 663 permafrost and non-permafrost regions north of 45°N is about 14.7 and 17.5 x10<sup>6</sup> km<sup>2</sup>, respectively  
 664 (total area is 14.7 and 24.1 x10<sup>6</sup> km<sup>2</sup>).

665 The effect of warming on carbon uptake in the high-latitude non-permafrost region is positive ( $\gamma > 0$ ,  
 666 increased uptake) in NorESM2-LM in both the ssp534-over and the 1pctCO<sub>2</sub>-cdr simulation (Fig. 8a-c,  
 667 blue lines). Within the permafrost region,  $\gamma$  is close to zero for the ssp534-over simulation up to 2100  
 668 and the ramp-up phase of the 1pctCO<sub>2</sub>-cdr simulation (Fig. 8a,b, red line), albeit with a decreasing (more  
 669 negative) trend. This is due to a compensation of vegetation carbon gain and soil carbon losses (Fig.  
 670 S5). During the ramp-down phase of the 1pctCO<sub>2</sub>-cdr simulation, permafrost soil carbon losses increase  
 671 approximately until year 210 of the simulation (Fig. S5). Thereafter, permafrost soil carbon stays roughly  
 672 constant with a cumulative loss of about 55 PgC over the simulation. Vegetation carbon over the  
 673 permafrost region still increases for the first 30 years of the ramp-down phase of the 1pctCO<sub>2</sub>-cdr



674 simulation, after which it decreases mainly due to decreasing temperature (Fig. S5g). The  $\gamma$  value  
 675 calculated for the permafrost region, therefore, shows a sharp decrease during the ramp-down period  
 676 of the 1pctCO<sub>2</sub>-cdr simulation (Fig. 8c). Eventually, when  $\Delta T$  approaches small values  $\gamma$  loses its  
 677 significance as seen before for the global feedback factors.



678

679 **Figure 8:**  $\gamma$  (a-c) and  $\beta$  (d-f) for northern hemisphere high latitude (above 45°N) natural land permafrost  
 680 and non-permafrost regions in the ssp534-over and 1pctCO<sub>2</sub>-cdr simulations using the NorESM2-LM  
 681 model. An 11-year moving average has been used in all panels.  
 682

683 In both the ssp534-over scenario and the 1pctCO<sub>2</sub>-cdr simulations,  $\beta$  is positive (except initially in the  
 684 ssp534-over simulation) although the absolute values remain very small. The carbon-concentration  
 685 feedback is stronger over the non-permafrost area, where both soil and vegetation carbon increase,  
 686 than over the permafrost area, where soil and vegetation carbon stay almost constant in the BGC  
 687 simulation (Fig. S5).

688 NorESM2-LM has the smallest transient climate response (TCR) of the models considered here, and it  
 689 can be expected that the permafrost carbon-climate feedback estimated here would be larger in a  
 690 model with higher TCR. Nevertheless, the permafrost carbon loss of 26.9 Pg C °C<sup>-1</sup> in the year 210 of the  
 691 simulation contributes 38% of the total carbon-climate feedback at this point in time in NorESM2-LM.

692

### 693 3.4 Geographical pattern of carbon cycle feedback metrics

694 We have calculated  $\beta$  and  $\gamma$  feedback factors at grid-scale to assess the spatial patterns of feedbacks  
695 over the land and ocean (Figs. 9 and 10). In order to compare positive and negative emission phases,  
696 we selected 21-year time intervals centered at years 70 and 210 of the ramp-up and ramp-down phases  
697 of the 1pctCO<sub>2</sub>-cdr simulation, at an atmospheric CO<sub>2</sub> concentration of 570 ppm (corresponding to a  
698 doubling of pre-industrial CO<sub>2</sub> concentration). We also selected a 21-year time-interval centered at year  
699 2045 (corresponding to CO<sub>2</sub> concentration of 523 ppm), shortly before the CO<sub>2</sub> peak of the ssp534-over  
700 scenario. We have also analyzed a 21-year time interval during the net-negative emission phase of the  
701 SSP scenario (centered at year 2090), but since the time-period of net-negative emissions in the SSP-  
702 scenario is relatively short, we focus on comparing the feedbacks during the positive and negative  
703 emission phases of the 1pctCO<sub>2</sub>-cdr simulation alongside with the feedbacks during the positive  
704 emission phase of ssp534-over. For completeness, Fig. S6 shows the spatially resolved feedback during  
705 the net-negative emission phase of ssp534-over.

706 In the 1pctCO<sub>2</sub>-cdr simulation, rising [CO<sub>2</sub>] increases the modeled carbon sinks almost everywhere (i.e.,  
707 positive  $\beta$ ) over the land and ocean (Fig. 9a-e). CanESM5 shows a weak negative  $\beta$  over northern high-  
708 latitude land areas, and there are some spurious negative values of  $\beta$  over desert areas in some models.  
709 For the ocean, all models agree that the regions with the strongest increase of the oceanic CO<sub>2</sub> sinks in  
710 response to higher [CO<sub>2</sub>] are the North Atlantic and the Southern Ocean. As seen for the global average  
711 (Fig. 5),  $\beta$  remains positive and increases in magnitude during the ramp-down phase (Fig. 9 f-j, note the  
712 different color scale). As an overarching observation, the large scale patterns of the carbon-  
713 concentration feedback are remarkably similar during the ramp-up and ramp-down phases of the  
714 1pctCO<sub>2</sub>-cdr simulation (with spatial correlations, averaged across all the models, of 0.93 and 0.80 over  
715 land and the ocean, respectively) but the magnitude of the feedback is about two times larger in the  
716 ramp-down phase, consistent with the lagged response of cumulative carbon uptake to the decrease  
717 in atmospheric CO<sub>2</sub> (Figs. 3 and 4). The most prominent change in the spatial pattern of  $\beta$  occurs in  
718 the equatorial Pacific. All models consistently show that this area has turned from a cumulative carbon  
719 sink at year 70 to a cumulative carbon source at year 210.

720 We find the largest values of  $\beta$  over tropical land and to a lesser extent over northern hemisphere  
721 temperate and boreal ecosystems coincident with areas of large biomass (forests). For three of the  
722 models (NorESM2-LM, CanESM5, and UKESM1-0-LL), the feedback is clearly dominated by tropical and  
723 subtropical regions, while for MIROC-ES2L the feedback is approximately of the same strength in  
724 northern temperate and high-latitude regions. For CNRM-ESM2-1, the carbon-concentration feedback  
725 is on average stronger north of 30° latitude than in tropical/subtropical regions. For NorESM2-LM and  
726 UKESM1-0-LL, the tropical dominance of the carbon-concentration feedback stems from vegetation  
727 carbon, while for CanESM5 both vegetation and soil carbon contribute about equally (Figs. S7 and S8).

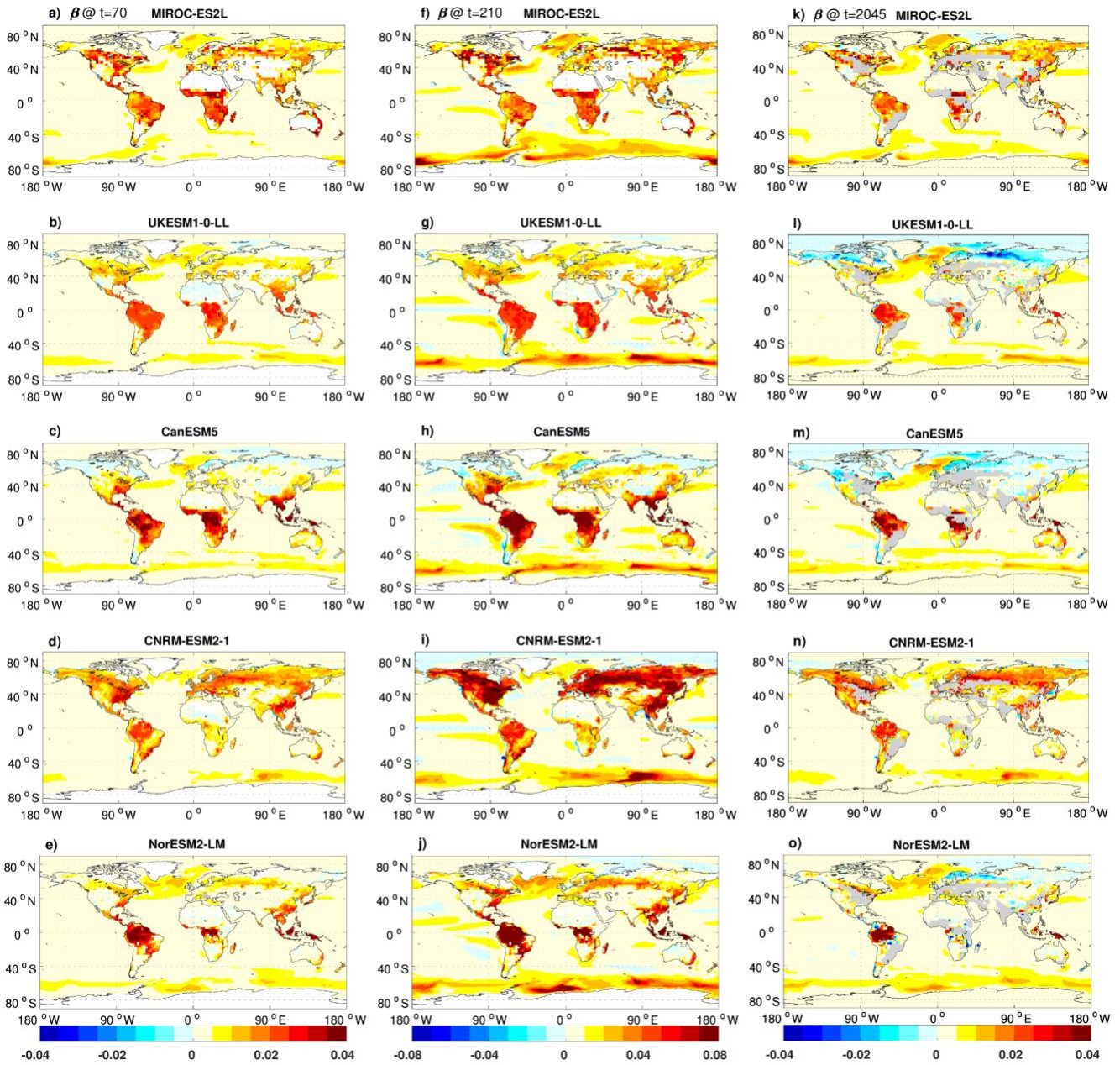
728 The results presented in Section 3.3.3 provide to some extent a mechanistic understanding of these  
729 model differences. CNRM-ESM2-1 has the highest CO<sub>2</sub> fertilization effect  $\frac{\Delta GPP}{[CO_2]}$  in high latitudes and

730 the lowest  $CUE\Delta$  at low latitudes. This, combined with a large high-latitude  $\tau_{csoil\Delta}$  leads to a larger  
731 carbon accumulation in vegetation and soil in higher latitudes than in the tropics/subtropics in this  
732 model. The three models with tropical dominance of  $\beta$  (NorESM2-LM, CanESM5, and UKESM1-0-LL)  
733 have a relatively high  $\tau_{cveg\Delta}$  and relatively low  $\tau_{csoil\Delta}$ . CanESM5, shows the strongest  
734 tropical/subtropical  $CO_2$  fertilization effect, but also a large response of the litterfall term leading to  
735 large responses in both vegetation and soil carbon.

736 In the ssp534-over simulation, the ocean  $\beta$  magnitude is similar to that of the 1pct $CO_2$ -cdr simulation  
737 and the spatial distribution of the ocean response to the  $[CO_2]$  rise is roughly consistent between the  
738 models (Fig. 9k-o). In contrast, the feedback pattern over natural land is different in some regions and  
739 models between the SSP scenario simulation and the idealized 1pct $CO_2$ -cdr experiment. UKESM1-0-LL,  
740 CanESM5, and to a lesser extent NorESM2-LM project negative  $\beta$  values in some northern high latitude  
741 regions (e.g., Siberia). These negative  $\beta$  values are either not seen at all (UKESM1-0-LL, NorESM2-LM)  
742 or are weaker (CanESM5) in the 1pct $CO_2$ -cdr simulation, and they originate from a combination of  
743 vegetation and soil carbon pools (Figs. S7 and S8). Unlike in the 1pct $CO_2$ -cdr experiment, temperature  
744 changes are not negligible in the BGC simulation of the ssp534-over experiment (Fig. 1). Furthermore,  
745 the spatial pattern of temperature changes is very different for some models, particularly for UKESM1-  
746 0-LL, NorESM2-LM, and CNRM-ESM2-1, which show local cooling that is not present (or much weaker)  
747 in the fully coupled simulations (Fig. S9). This cooling (and other changes in surface climate related to  
748 non- $CO_2$  forcings) lead to local carbon losses and negative  $\beta$ -values in UKESM1-0-LL and NorESM2-LM  
749 in northern high latitudes. In addition, according to Eq. 3, these negative values are reinforced by  
750 positive  $\gamma$ -values in this region and a positive global mean temperature change in ssp534-over in these  
751 models (see Eq. 3). In contrast, CNRM-ESM2-1 does not show negative values of  $\beta$  in northern high  
752 latitudes (despite local cooling), which can be explained by much larger  $\beta$ -values to begin with, and a  
753 smaller (and negative) temperature sensitivity  $\gamma$  in high latitudes.

754

755



756  
 757 **Figure 9:** The spatial distribution of  $\beta$  ( $\text{kg C m}^{-2} \text{ppm}^{-1}$ ) at year 70 of the ramp-up phase of the 1pctCO<sub>2</sub>-  
 758 cdr simulation (a-e), at year 210 of the ramp-down phase of the 1pctCO<sub>2</sub>-cdr simulation (f-j), and at year  
 759 2045 (natural land only, gray areas are crop-dominated grid cells) during the positive emission phase of  
 760 the ssp534-over scenario (k-o).

761  
 762  
 763 Figure 10 indicates that the ESMs considered here simulate predominantly negative values of  $\gamma_0$  over  
 764 the ocean. Positive values of  $\gamma_0$  are found in the Arctic, and in the Southern Ocean most models  
 765 simulate a banded pattern of positive (adjacent to Antarctica), negative (centered between 60 and  
 766 50°S), and positive (between approximately 50 and 40°S) values. In the region adjacent to Antarctica,  
 767 climate change increases the ocean CO<sub>2</sub> sink mainly due to a reduction in sea ice coverage (Roy et al.

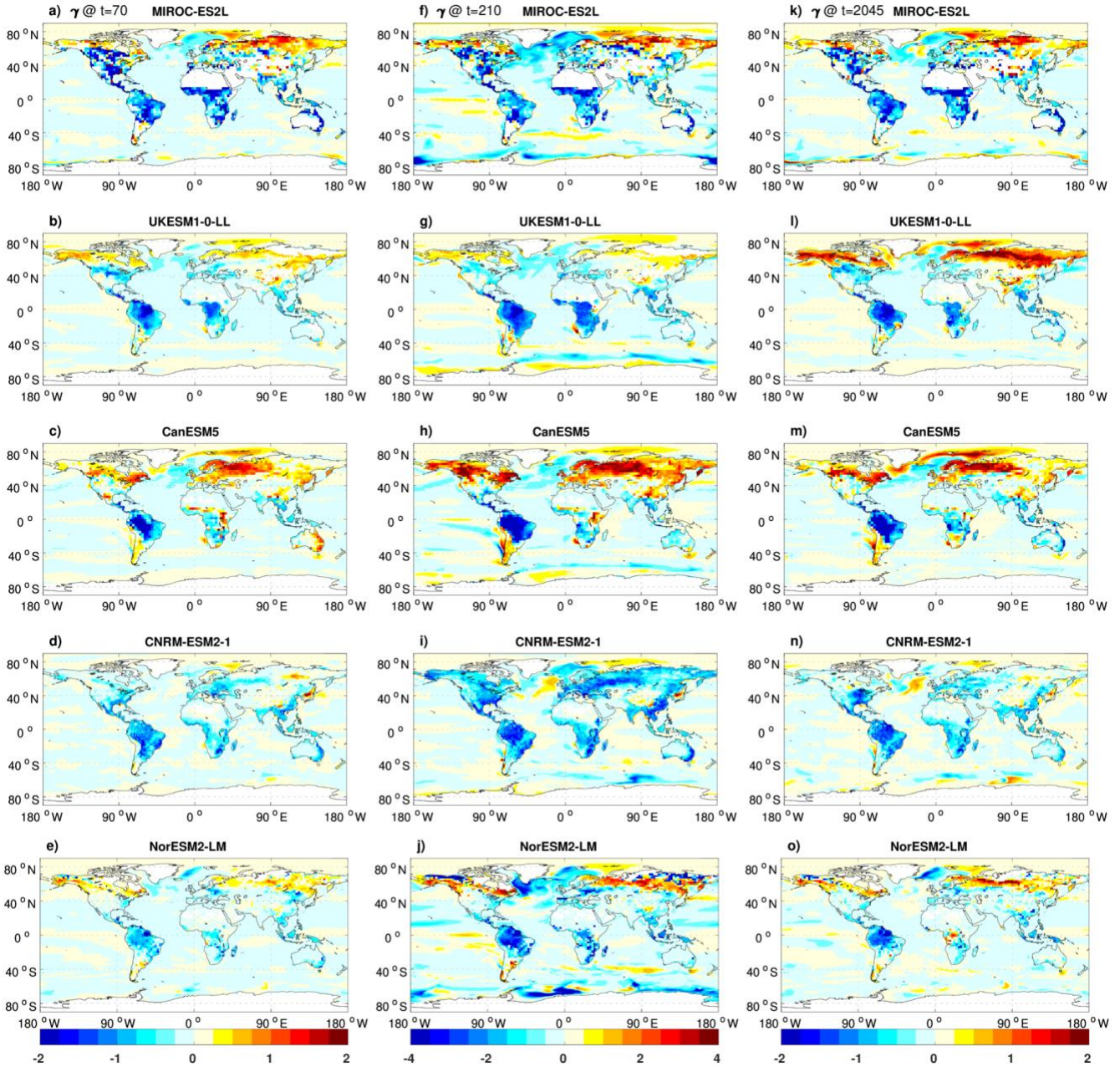
768 2011; Schwinger et al. 2014). The North Atlantic Ocean and the Southern Ocean have the largest  
769 negative  $\gamma_O$  values due to changes in ocean circulation and deep water formation. In tropical and  
770 subtropical ocean regions, the reduced oceanic carbon uptakes can be attributed to warming-induced  
771 decreased CO<sub>2</sub> solubility and increased stratification (Roy et al. 2011).

772 Over land, climate change generally reduces carbon sinks in the tropics and mid-latitudes. In the high  
773 latitudes models disagree on the strength and the sign of the carbon-climate feedback. CNRM-ESM2-1  
774 shows relatively strong soil carbon losses in northern high latitudes, which overcome vegetation carbon  
775 gains (Figs. S10 and S11) leading to mostly negative values of  $\gamma_L$  in this region. As mentioned above,  
776 CanESM5's carbon-climate feedback switches from weakly negative at 2xCO<sub>2</sub> to positive at 4xCO<sub>2</sub>.  
777 Figure 10c clearly shows that the positive global  $\gamma$  values originate from the northern hemisphere high  
778 latitudes. Also, the positive  $\gamma_L$  in CanESM5 over the northern high latitudes is seen in both vegetation  
779 and soil carbon reservoirs, but with a time lag for soil carbon. Consistent with our analysis in Sect. 3.3.4,  
780 NorESM2-LM shows permafrost carbon loss in north-eastern Siberia and northern Alaska, but these  
781 losses become significant only during the ramp-down phase of the 1pctCO<sub>2</sub>-cdr simulation (Fig. 10j).

782 The spatial pattern of the carbon-climate feedback is similar during the ramp-up and ramp-down phases  
783 of the 1pctCO<sub>2</sub>-cdr simulation, but the magnitude has roughly doubled during the ramp-down phase,  
784 consistent with the cumulative nature of the  $\gamma$  feedback metric used here (note the different color-  
785 scales in Fig. 10). The correlations of the spatial patterns (at years 70 and 210) are lower than for  $\beta$  and  
786 range from 0.41 (MIROC-ES2L) to 0.66 (UKESM1-0-LL) for  $\gamma_O$  and from 0.49 (NorESM2-LM) to 0.88  
787 (UKESM1-0-LL) for  $\gamma_L$ .

788 The value of the  $\gamma$  feedback metric in the ssp534-over scenario simulation is less affected by land-use  
789 change, since the same land-use changes are imposed in both the COU and the BGC simulation. In  
790 contrast to  $\beta$ , which is directly altered by carbon stock changes due to land-use changes,  $\gamma$  is only  
791 influenced indirectly, possibly by different sensitivities of the new vegetation cover after a land-use  
792 transition, or by changes in local to regional climatic conditions. In the global mean, the carbon-climate  
793 feedback during the positive emission phase is very similar for the SSP scenario and the 1pctCO<sub>2</sub>-cdr  
794 simulation (Fig. 5d and e). Also, the spatial patterns of  $\gamma_L$  are largely similar between the ssp534-over  
795 and the ramp-up phase of the 1pctCO<sub>2</sub>-cdr simulation with correlations ranging from 0.71 (NorESM2-  
796 LM) to 0.84 (CNRM-ESM2-1). The largest difference between the two simulations is an enhanced  
797 positive feedback over northern high-latitude land in the UKESM1-0-LL model in the SSP scenario  
798 compared to the 1pctCO<sub>2</sub>-cdr simulation, which is seen in both vegetation and soil carbon pools (Figs.  
799 S10 and S11). These differences are related to the negative  $\beta$ -values (discussed above) for these  
800 models, which make the carbon gain due to warming (the difference  $\Delta C^{cou} - \Delta C^{bgc}$ ) considerably  
801 larger than in the 1pctCO<sub>2</sub> simulation. Again, this is reinforced by the fact that the global average  
802 temperature change in the ssp534-over simulation is positive and thus ( $\Delta T^{cou} - \Delta T^{bgc}$ ) is smaller than  
803 the actual (local) temperature differences. This indicates that, if the global mean temperature change  
804 due to non-CO<sub>2</sub> forcings does not broadly reflect local changes correctly (e.g., local cooling vs. global  
805 warming), regional scale feedback factors might show unexpected results.

806 Over the ocean the global mean carbon-climate feedback is slightly smaller in ssp534-over compared  
 807 to the 1pctCO<sub>2</sub>-cdr simulation (Fig. 3f), but again, the spatial pattern is largely similar with correlations  
 808 ranging from 0.47 (CNRM-ESM2-1) to 0.78 (MIROC-ES2L).  
 809



810  
 811 **Figure 10:** same as Fig. 9 but for  $\gamma$  ( $\text{kg C m}^{-2} \text{ } ^\circ\text{C}^{-1}$ ). Note that cropland areas are not excluded from panels  
 812 (k-o) as in Fig. 9.  
 813

814 **4. Summary and conclusions**

815 We have investigated carbon cycle feedbacks in a highly idealized model experiment with exponentially  
 816 increasing and decreasing atmospheric CO<sub>2</sub> concentration (1pctCO<sub>2</sub>-cdr) and in a more realistic

817 overshoot scenario simulation (ssp534-over). We employ an ensemble of five CMIP6 ESMs that have  
818 run additional (biogeochemically coupled) simulations that allow us to separate the effects of changing  
819 atmospheric CO<sub>2</sub> and of changing surface climate on the simulated carbon cycle.

820 We find that both the carbon-concentration ( $\beta$ ) and the carbon-climate ( $\gamma$ ) feedbacks show a  
821 considerable hysteresis behavior during negative emission phases. The well-known reduction of ocean  
822 and land carbon uptake with increasing temperatures continues long into the negative emissions  
823 phases of the simulations (when temperature is decreasing), albeit at a reduced rate. For the ocean,  
824 there is still a reduction in carbon stocks due to legacy warming when pre-industrial atmospheric CO<sub>2</sub>  
825 is restored in the 1pctCO<sub>2</sub>-cdr simulation, consistent with the single-model studies of Schwinger and  
826 Tjiputra (2018) and Bertini and Tjiputra (2022). In contrast, all models agree that the effect of legacy  
827 warming is less important for the terrestrial carbon-climate feedback as the reduction of global mean  
828 surface temperature leads to a reduction in temperature-induced losses of terrestrial carbon towards  
829 the end of the 1pctCO<sub>2</sub>-cdr simulation.

830 Carbon cycle feedback metrics vary over time, and between different scenarios. When the deviations  
831 in surface temperature and atmospheric CO<sub>2</sub> become small towards the end of a modeled negative  
832 emission scenario, the magnitude of these feedback metrics “explodes” since they are defined as the  
833 ratio between the deviations in carbon stocks and the change in temperature and atmospheric CO<sub>2</sub>,  
834 respectively. Arguably, the latter is mainly a problem due to the strongly idealized simulation design of  
835 the 1pctCO<sub>2</sub>-cdr experiment, not for more realistic scenarios as the ssp534-over. Also, a different  
836 definition of the reference state for the feedback metrics, as proposed by Chimuka et al. (2023), avoids  
837 this problem.

838 We find that the relative strength of the feedback remains relatively robust between positive and  
839 negative emission phases and between the different simulations considered here. For example, a model  
840 with a stronger than average terrestrial carbon-concentration feedback ( $\beta_L$ ) during the positive  
841 emission phase of the 1pctCO<sub>2</sub>-cdr simulation will also show a stronger than average  $\beta_L$  during the  
842 negative emission phase or for the ssp534-over scenario. Regarding the model uncertainty of feedback  
843 metrics we find that there is an increase in uncertainty in all feedback metrics between the positive and  
844 negative emission phases of the 1pctCO<sub>2</sub>-cdr simulation. Except for  $\gamma_L$ , this increase is much larger than  
845 expected from an accumulation of uncertainty over time. This indicates that there is an additional  
846 component of model uncertainty resulting from differences in the lagged model responses to the  
847 change from increasing to decreasing radiative forcing.

848 The geographical patterns of terrestrial  $\beta$  and  $\gamma$  feedback metrics highlight differences in the responses  
849 of tropical/subtropical versus temperate/boreal ecosystems as a major source of model disagreement.  
850 For individual models, however, the spatial feedback patterns are remarkably similar during phases of  
851 increasing CO<sub>2</sub> compared to phases of decreasing CO<sub>2</sub> concentrations, indicating that the increase of  
852 global mean values of  $\beta$  and  $\gamma$  due to lagged responses of the carbon cycle during negative emissions  
853 phases does not stem from a particular region but is generally seen over the whole globe. We estimate  
854 the contribution of permafrost carbon release to the carbon-climate feedback only for one of the five  
855 ESMs (NorESM2-LM, which vertically resolves soil carbon). Permafrost carbon release is clearly seen as  
856 a strong positive feedback (i.e., negative  $\gamma$ ) over the permafrost area, but it emerges only relatively late

857 in the simulations. Permafrost carbon release accounts for 38% of NorEMS2-LM's carbon-climate  
858 feedback at the midpoint of the negative emission phase of the 1pctCO<sub>2</sub>-cdr simulation.

859 In the ssp534-over simulation, the presence of land-use change complicates the analysis of feedbacks.  
860 Land-use change is not a feedback process, yet owing to the C4MIP simulation design, carbon losses (or  
861 gains) due to land use change are confounded with the carbon-concentration feedback derived from a  
862 biogeochemically coupled scenario simulation. If we disregard agricultural areas, terrestrial carbon  
863 cycle feedback patterns in the ssp534-over scenario are largely similar to those in the 1pctCO<sub>2</sub>-cdr  
864 simulation, although some differences particularly in high northern latitudes due to the influences of  
865 non-CO<sub>2</sub> forcings exist.

866 We conclude with some recommendations for future research and the design of future model  
867 intercomparison projects (MIPs) like C4MIP and CDRMIP. Identifying and better understanding the  
868 causes of differences in the lagged model response to decreasing emissions, which we have shown to  
869 increase the model disagreement under negative emissions should be pursued further with high  
870 priority. Both the integrated-flux ( $\beta$  and  $\gamma$ ) and instantaneous-flux (B and  $\Gamma$ ) based feedback metrics  
871 and their uncertainties become difficult to interpret in scenarios where atmospheric CO<sub>2</sub> concentration  
872 decreases, particularly in the extreme case when atmospheric CO<sub>2</sub> concentration and surface  
873 temperature approach their pre-industrial level. In the light of the discussion around CDR perhaps it is  
874 timely to rethink other but related forms of these metrics (e.g., see Chimuka et al. 2023) that describe  
875 the response of land and ocean carbon systems in scenarios of decreasing atmospheric CO<sub>2</sub> in a more  
876 robust manner.

877 The 1pctCO<sub>2</sub> simulation combined with the 1pctCO<sub>2</sub>-cdr simulation is an extremely idealized model  
878 experiment with huge (and infeasible) amounts of implied net-negative emissions and a discontinuity  
879 at year 140, where implied emissions jump from large positive to large negative values. As we know  
880 that carbon cycle feedbacks are scenario dependent, it would be preferable to assess these feedbacks  
881 using model simulations that have a more realistic emission pathway and that include more realistic  
882 amounts of net-negative emissions. Alternative idealized simulation designs that include negative  
883 emissions have been proposed in the literature (MacDougall 2019; Schwinger et al. 2022) and we have  
884 also considered the ssp534-over scenario in this study. However, the presence of land-use change and  
885 variable non-CO<sub>2</sub> forcings in SSP scenarios complicates the quantification of carbon cycle feedbacks.  
886 Whether this problem can be solved for future phases of C4MIP by providing more detailed model  
887 output or by requesting additional idealized experiments (e.g., scenario simulations with fixed land use)  
888 should be discussed in the C4MIP community.

889 Finally, most proposed negative emission options would be realized by manipulating the terrestrial or  
890 oceanic carbon sinks (e.g., bioenergy with carbon capture and storage, afforestation or ocean  
891 alkalization), thereby not only changing the atmospheric CO<sub>2</sub> concentration and possibly the surface  
892 climate but also the carbon cycle feedbacks themselves. Such interactions go beyond what can be  
893 addressed with the traditional C4MIP design of fully- and biogeochemically coupled ESM simulations.  
894 Consequently, a new framework for determining feedbacks caused by large scale CDR in realistic  
895 scenarios of CDR deployment is needed and should be developed in close collaboration with the  
896 integrated assessment modeling community that will create such scenarios.



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#### **Data availability**

901 All CMIP6 model output data is freely available through the Earth System Grid Federation (for example,  
902 under <https://esgf-data.dkrz.de/search/cmip6-dkrz/>). The model output data of the 1pctCO<sub>2</sub>-cdr-bgc  
903 simulation will be made publicly available upon final acceptance of this manuscript.

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#### **Competing interests**

907 None of the authors has any competing interests.

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