

Understanding offshore high-ozone events during TRACER-AQ 2021 in Houston: Insights from WRF-CAMx photochemical modeling

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Abstract. Mechanisms for high offshore ozone (O_3) events in the Houston area have not been systematically examined due to limited O_3 measurements over water. In this study, we used the datasets collected by three boats deployed in Galveston Bay and the Gulf of Mexico during the Tracking Aerosol Convection Interactions Experiment/Air Quality (TRACER-AQ) field campaign period (September 2021) in combination with the Weather Research and Forecasting (WRF) coupled Comprehensive Air quality Model with Extensions (CAMx) modeling system (WRF-CAMx) to investigate the reasons for high offshore O_3 . The model can capture the spatiotemporal variability of daytime (10:00-18:00) O_3 for the three boats ($R > 0.7$) but tends to overestimate O_3 by ~ 10 ppb on clean days and underestimate O_3 by ~ 3 ppb during high- O_3 events. The process analysis tool in CAMx identifies O_3 chemistry as the major process leading to high O_3 concentrations. The region-wide increase of long-lived VOCs through advection transits O_3 formation to be more sensitive to NO_x , leading to more O_3 production under a NO_x -limited regime. In addition, the VOC-limited O_3 formation is also boosted along western Galveston Bay and the Gulf coast under high- NO_x conditions brought by the northeasterly winds from the Houston Ship Channel. Two case studies illustrate that high offshore O_3 events can develop under both large- and meso-scale circulations, indicating both the regional and local emissions need to be stringently controlled. Wind conditions are demonstrated to be important meteorological factors in such events, so they must be well represented in photochemical models to forecast air quality over the urban coastal regions accurately.

1. Introduction

The greater Houston area has been designated as ozone (O_3) nonattainment by U.S. Environmental Protection Agency (EPA) under the National Ambient Air Quality Standards (NAAQS) standards (Nonattainment Areas for Criteria Pollutants (Green Book), 2023). O_3 is a secondary criteria pollutant whose formation is non-linearly dependent on the relative abundance of its precursors: volatile organic compounds and nitrogen oxides. Houston experiences significant anthropogenic emissions of these precursors, mainly from transportation and petrochemical facilities along the Houston Ship Channel (Leuchner and Rappenglück, 2010; Soleimanian et al., 2022). In addition, due to its unique location at the land-water interface, high O_3 events in Houston are known to be related to complex

33 meteorological conditions with the interactions between synoptic and mesoscale circulations. Dry and polluted
34 continental air masses brought by northerly winds after the cold front passage are often linked with O₃ exceedances
35 (Darby, 2005; Rappenglück et al., 2008; Ngan and Byun, 2011). Extremely high O₃ can occur under a land-sea
36 breezes recirculation, in which the land breeze in the morning transports the pollution-laden air toward Galveston
37 Bay or the Gulf of Mexico, followed by the return of the aged pollutants in the afternoon by the onshore bay or sea
38 breeze (Banta et al., 2005; Caicedo et al., 2019; Li et al., 2020). Such high-O₃ events in coastal urban regions are
39 challenging for air quality models to capture as the physical and chemical processes of O₃ over both land and water
40 need to be well-constrained (Caicedo et al., 2019; Bernier et al., 2022).

41 To understand the interplay among meteorology, emissions, and chemistry, various field campaigns have been
42 deployed in the Houston area, such as the Texas Air Quality Study in 2000 and 2006 and the Deriving Information
43 on Surface Conditions from COlumn and VERTically Resolved Observations Relevant to Air Quality (DISCOVER-
44 AQ) in 2013. A common goal of these field campaigns was to evaluate the predictive ability of numerical weather
45 and air quality models using the collected observations (Misenis and Zhang, 2010; Yu et al., 2012; Li and
46 Rappenglück, 2014; Mazzuca et al., 2016; Pan et al., 2017). Although these studies greatly improve our
47 understanding of the reasons for high ozone events in Houston, they mainly focused on the onshore area due to the
48 absence of offshore measurements. Higher levels of O₃ over water bodies than the adjacent land have been observed
49 in other coastal regions with poor air quality, such as the Chesapeake Bay and Lake Michigan, due to several factors
50 including but not limited to the offshore advection of polluted air masses, photochemical productions from local
51 (e.g., marine traffic) and aged land emissions, shallow marine planetary boundary layers (PBL), the lack of NO_x
52 titration, and low dry deposition rates (Dye et al., 1995; Goldberg et al., 2014; Sullivan et al., 2019; Abdi-Oskouei et
53 al., 2022; Dreessen et al., 2023). Air quality modeling evaluations against these observations show difficulties in
54 numerical prediction of O₃ over water with an overall positive bias for low O₃ and negative bias for high O₃ due in
55 part to the misrepresentation of marine meteorology and PBL (Dreessen et al., 2019; Abdi-Oskouei et al., 2020;
56 Dacic et al., 2020; Baker et al., 2023). However, to our knowledge, high O₃ events off the Houston coast in
57 Galveston Bay and the Gulf of Mexico have not been systematically examined. The predictive ability of
58 photochemical models in capturing such events has yet to be quantified.

59 More recently, the Tracking Aerosol Convection Interactions ExpeRiment/Air Quality (TRACER-AQ) field
60 campaign revisited the Houston area in September 2021. The campaign implemented a variety of observational
61 platforms covering both offshore and onshore locations, such as stationary sites, boats, lidar, ozonesondes, and
62 airborne remote sensing. In particular, instruments onboard three boats continuously collected O₃ and
63 meteorological data from July to October over Galveston Bay and the Gulf of Mexico, which provides a valuable
64 opportunity to understand the reasons driving high O₃ concentrations over water and the O₃ non-attainment at air
65 quality monitors near the Houston coastline. Furthermore, the Texas Commission on Environmental Quality
66 (TCEQ) has created a new emission inventory for its 2019 state implementation plan (SIP) modeling platform to
67 conduct photochemical simulations using the Comprehensive Air quality Model with Extensions (CAMx) driven by
68 the Weather Research and Forecasting (WRF) meteorology. Using the established new emission inventory and

69 observations, an evaluation of offshore O₃ prediction can provide insights into model deficiencies over water and
70 help improve air quality forecasting in coastal urban regions.

71 This study aims to improve our understanding of high offshore O₃ concentrations in the Houston coastal zone during
72 the TRACER-AQ 2021 field campaign based on observations and WRF-CAMx modeling, a regulatory model used
73 by TCEQ. We first evaluate the performance of model simulations of O₃ and then investigate the reasons causing
74 high-O₃ events relative to clean days, taking advantage of the process analysis tools from CAMx. Lastly, we present
75 two case studies to better understand the development of elevated O₃ over water. Potential sources of model bias are
76 also discussed.

77 **2. Data and model setup**

78 **2.1 Meteorological and air quality observations**

79 TCEQ has O₃ and other pollutants routinely measured at the continuous ambient monitoring stations (CAMS) across
80 the Houston region. Some of these stations also observe meteorological variables, such as wind speed and direction,
81 temperature, and relative humidity (RH). These data can be downloaded from the Texas Air Monitoring Information
82 System ([TAMIS, last access: 22 September 2023](#)) website. A commercial shrimp boat and a pontoon boat owned by
83 the University of Houston (UH) were operated mainly on the east and west sides of Galveston Bay, respectively.
84 Another commercial boat, the Red Eagle, was docked to the north of Galveston Island and typically traveled up to
85 90 km offshore in the Gulf of Mexico and occasionally northward through the Ship Channel to the port of Houston.
86 Automated O₃ sampling instruments were installed on the three boats with a compact weather station measuring
87 temperature, pressure, RH, and wind conditions. The sample inlet was attached to an elevated location on the boats
88 to avoid titration from the boats' exhausts. Details of these devices can be found in Griggs et al. (submitted). In
89 addition, ozonesondes were launched from the pontoon and Red Eagle boats on selected days and locations to
90 investigate the vertical O₃ profiles. All the campaign data can be found on the TRACER-AQ website ([https://www-
91 air.larc.nasa.gov/cgi-bin/ArcView/traceraq.2021](https://www-air.larc.nasa.gov/cgi-bin/ArcView/traceraq.2021), last access: 22 September 2023).

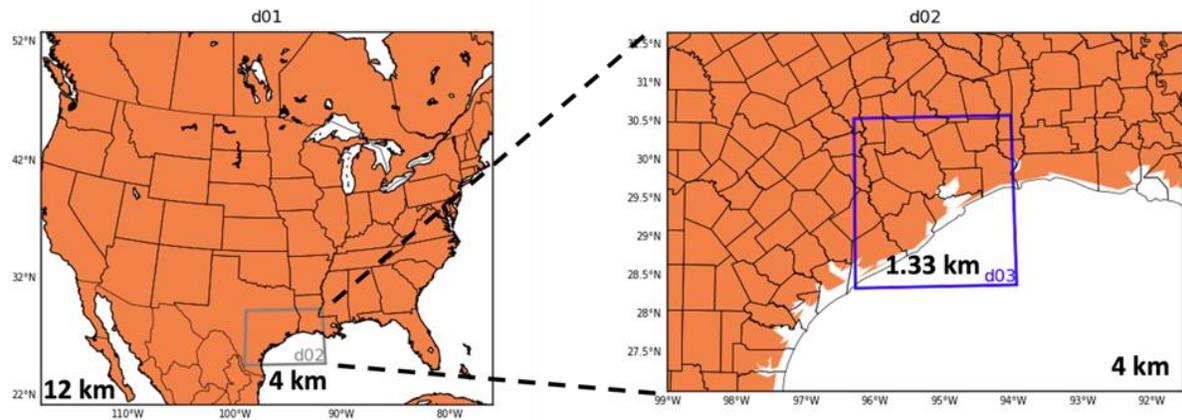
92 During the offshore operational period of July to October, hourly averaged O₃ mixing ratios exceeded 100 ppb
93 several times. We identified O₃ exceedance days when offshore boat O₃ observations registered a daily maximum 8-
94 hour average (MDA8) O₃ in exceedance of 70 ppb, the current criteria of the NAAQS for O₃. Six episodes with high
95 O₃ were obtained: July 26 – 28, August 25, September 6 – 11, September 17 – 19, September 23 – 26, and October 6
96 – 9. These episodes are accompanied by at least one CAMS site exceeding the 70 ppb MDA8 O₃ threshold,
97 indicating an extensive land-water air mass interaction.

98 **2.2 WRF and CAMx model configuration**

99 This study used the WRF model v3.9.1.1. We set up three domains with different horizontal resolutions that cover
100 the contiguous United States, Southeast Texas, and the Houston-Galveston-Brazoria region, referred to as domains
101 d01, d02, and d03, respectively, as shown in Figure 1. The corresponding horizontal resolutions and grid numbers

102 for d01 – d03 are 12 km × 12 km (373 × 310 grids), 4 km × 4 km (190 × 133 grids), and 1.33 km × 1.33 km (172 ×
103 184 grids), respectively. All domains have identical vertical resolutions with 50 hybrid sigma-eta vertical levels
104 spanning from the surface to 10 hPa. Boundary conditions of the two inner domains were generated from the outer
105 domain.

106 To select the WRF configurations that best represent the monitoring data, we designed eight model experiments with
107 different initial and boundary condition (IC/BC) inputs, microphysics options, PBL schemes, data assimilation
108 method (e.g., observation nudging), and reinitializing techniques. Details of the design and evaluation of each
109 experiment can be found in Liu et al. (2023). Based on the campaign-wide evaluation of the modeled meteorology,
110 the best simulation was used to drive the CAMx model. The model configuration of the best simulation includes the
111 hourly High-Resolution Rapid Refresh (HRRR) meteorological data as IC/BC inputs, the local closure Mellor-
112 Yamada-Nakanishi-Niino (MYNN) PBL option (Nakanishi and Niino, 2009), and the Morrison double moment
113 (2M) microphysics scheme (Morrison et al., 2009) with no nudging and reinitializing techniques applied. Other
114 settings used for the WRF simulation include the Monin-Obukhov Similarity surface layer (Foken, 2006), the Noah
115 land surface scheme (Chen and Dudhia, 2001), the Rapid Radiative Transfer Model (RRTM) longwave and
116 shortwave radiation schemes (Iacono et al., 2008), and the New Tiedtke cumulus parameterization (Zhang et al.,
117 2011).



118

119 **Figure 1. WRF nested modeling domains and horizontal resolutions.**

120

121 This study also used the CAMx model v7.10. The three CAMx domains aligned with the WRF grids but had smaller
122 spatial coverage. The corresponding horizontal resolutions and grid numbers for domains 1–3 are 12 km × 12 km
123 (372 × 244 grids), 4 km × 4 km (156 × 126 grids), and 1.33 km × 1.33 km (153 × 162 grids), respectively. All
124 domains have identical vertical resolutions with 30 vertical levels from the surface to ~100 hPa. The IC/BC inputs
125 for the outmost domain are from the GEOS-Chem (v12.2.1) global simulation with NEI 2011 nitrogen oxides (NO_x)
126 emissions scaled down to 2021. The Carbon Bond version 6 revision 5 (CB6r5) was used for gas-phase chemistry,

127 including the inorganic iodine depletion of O₃ over oceanic water (Burkholder et al., 2019). The first-order eddy
128 viscosity (K-theory) diffusion scheme was selected for vertical mixing within the PBL, in which the vertical
129 diffusion coefficients (K_v) were supplied from WRF outputs. Dry deposition is based on the Wesely scheme
130 (Wesely, 1989).

131 Emission files with 12 km and 4 km spatial resolutions from the preliminary 2019 SIP modeling platform provided
132 by TCEQ are used in the simulation. These emissions include anthropogenic emissions, biogenic emissions
133 generated from the Biogenic Emission Inventory System (BEIS), wildfire emissions based on the Fire INventory
134 from NCAR (FINNv2), ship emissions estimated from the Gulfwide Emissions Inventory (GWEI). No lightning
135 emissions are included in the model. Since our domains are smaller than those in the SIP modeling, the original
136 emission files were cropped to match the grid boundaries for CAMx to read properly. In addition, we redistributed
137 the on-road emissions from 4 km to 1.33 km over the Houston area. The 4 km emission fluxes were first
138 disaggregated evenly to the 1.33 km grids and then collected onto major roads using a 1 km rasterized road shapefile
139 to produce on-major-road 1.33 km emissions. Some 1.33 km grid points off the major roads had missing values,
140 which were filled using a smoothing method that averaged eight nearby grid points. The scaling factors for on- and
141 off-major-road emissions were kept in order to maintain the on-road emission budget consistent before and after the
142 spatial redistribution. Finally, total emissions were calculated by adding the 1.33 km on- and off-major-road
143 emissions. The emissions for other sectors were also similarly interpolated to 1.33 km without separating into no- or
144 off-major-road temporary emissions. The redistributed emissions were tested to perform better in capturing the on-
145 road distributions than using the Flexi-nesting function in CAMx (Figure S1 and Table S1), which can regrid the
146 emissions on the fly.

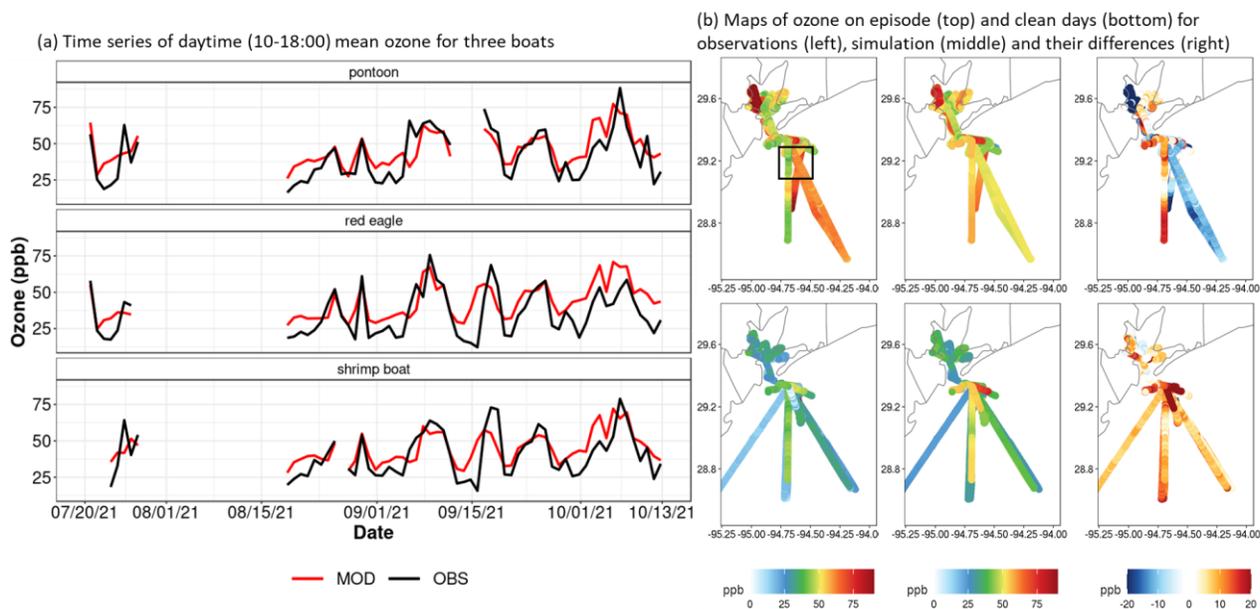
147 The simulation was performed for two periods, July 20 – 30 and August 20 – October 13, to cover the six high-O₃
148 episodes defined in Section 2.1. A 10-day spin-up before each period was applied. Other days in the two periods are
149 considered clean scenarios with low O₃ concentrations. Process analysis, including integrated process rate analysis
150 (IPR), integrated reaction rate analysis (IRR), and chemical process analysis (CPA), was turned on when running the
151 model. IPR contains O₃ change rate from several chemical and physical processes, such as chemistry (CHEM),
152 horizontal and vertical advection (ADV) and diffusion (DIF), and deposition (DEP). IRR provides detailed
153 information about the reaction rate of all the chemical reactions in the CB6r5 scheme. CPA improves upon IRR by
154 computing parameters useful for understanding O₃ chemistry, such as O₃ production rate and regime. The abundance
155 and reactivity of ozone precursors determine the ozone production regime, which can be indicated by the loss of
156 HO_x radicals (HO_x=OH+HO₂) as the termination of ozone chain reactions. Under low NO_x conditions, the most
157 important HO_x loss is the self-reaction of hydroperoxyl radical (HO₂), producing hydrogen peroxide (H₂O₂), which
158 is used to represent NO_x-limited ozone production. In urban areas with high NO_x concentrations, the dominant sink
159 for HO_x radicals is the oxidation of nitrogen dioxide (NO₂) by hydroxyl radical (OH), resulting in the production of
160 nitric acid (HNO₃). Thus, the O₃ formation regime in the model is determined based on the ratio of H₂O₂ production
161 rate from HO₂ self-reaction to HNO₃ production rate from OH reaction with NO₂, in which P(H₂O₂)/P(HNO₃) <

162 0.35 indicates a VOC-limited regime and ≥ 0.35 indicates a NO_x-limited regime (Sillman, 1995). There is no
163 transition scheme available in this method.

164 3. Results

165 3.1 Evaluation of O₃ simulations

166 The time series of the daytime (10:00 – 18:00) mean O₃ at the three boats are shown in Figure 2a, and the evaluation
167 statistics are listed in Table 1. The evaluation excludes nighttime data to reduce the effects from land as the boats
168 stayed at the dock at night. Indeed, an hourly time series evaluation with nighttime data included (Figure S2 and
169 Table S2) shows a larger bias between modeled ozone and boat observations. The spatiotemporal variability of
170 daytime O₃ at the three boats is well captured by the model with a correlation coefficient (R) value greater than 0.70.
171 Overall, the model overestimates daytime O₃ by 4.57 ppb (11%), 7.82 ppb (22%), and 4.35 ppb (9%) for the
172 pontoon boat, Red Eagle, and shrimp boat, respectively. On episode days, high O₃ mixing ratios can be found over
173 Galveston Bay and the Gulf of Mexico (Figure 2b). The model captures some of the variability (R=0.42 – 0.51),
174 with negative mean bias (MB) values of ~4.5 ppb (8%) for the pontoon and shrimp boats and a nearly unbiased
175 simulation (MB=0.05 ppb) for the Red Eagle boat. While the O₃ variability is better predicted on clean days (R=0.69
176 – 0.76), the model shows higher values of MB than those on high-O₃ days ranging from 9.15 ppb (29%) to 11.28
177 ppb (41%), which drives the overall model overestimation.



178
179 **Figure 2. (a) Time series of daytime (10:00 – 18:00) mean ozone for observations at three boats (black) and simulations**
180 **(red). (b) Maps of observed (left column), simulated (middle column), and their difference (right column) of ozone during**
181 **ozone episodes (top row) and clean days (bottom row). The black box shows the selected offshore region for process analysis**
182 **in the next section.**

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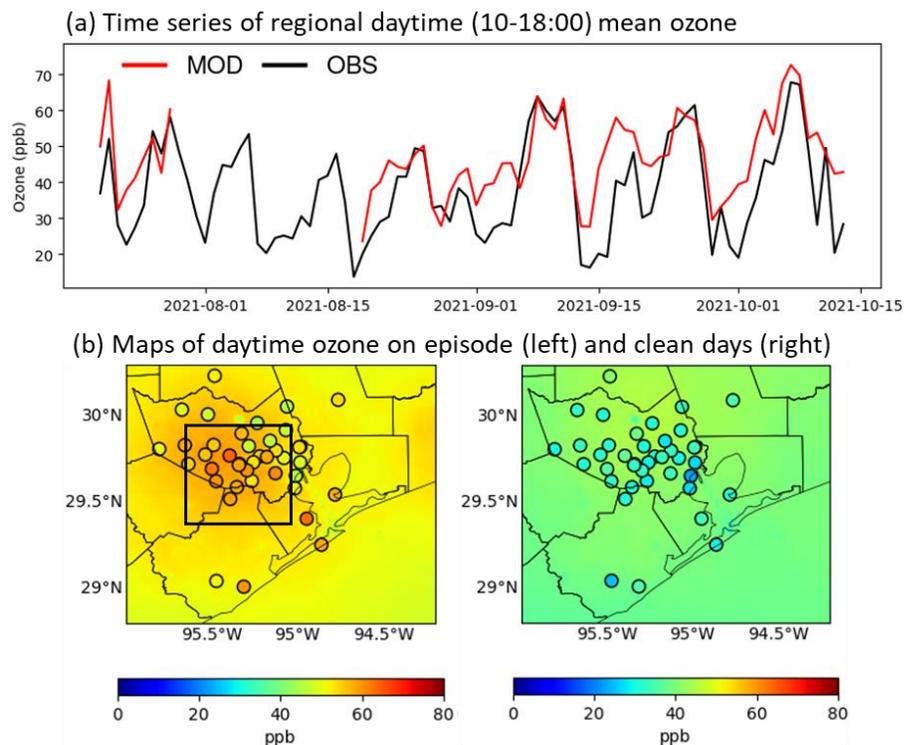
184 While we did not find any previous efforts modeling offshore O₃ in the Houston area to compare our results, an
 185 evaluation against onshore measurements can help validate our model performance. The time series of the daytime
 186 mean O₃ from simulations and observations from CAMS sites are displayed in Figure 3, and the evaluation statistics
 187 are summarized in Table 2. The model captures the onshore O₃ variability (R=0.79) with an overall overestimation
 188 of 7.89 ppb (20%), mainly due to the high positive bias of 10.93 ppb (34%) on clean days. This result is comparable
 189 with the model performance from previous studies focusing on the same area (e.g., Xiao et al., 2010; Pan et al.,
 190 2015; Kommalapati et al., 2016), which further verifies the reliability of our model settings.

191

192 **Table 1. Daytime (10:00 – 18:00) ozone evaluation metrics at three boats, including the observed and simulated mean values,**
 193 **correlation coefficient (R), mean bias (MB), normalized mean bias (MB), mean absolute error (MAE), and root mean square**
 194 **error (RMSE).**

Boat	Period	Observed mean (ppb)	Simulated mean (ppb)	R	MB (ppb)	NMB (%)	MAE (ppb)	RMSE (ppb)
pontoon	all days	41.18	45.76	0.77	4.57	11.12	9.75	11.57
	ozone episode	58.57	54.21	0.51	-4.36	-7.44	8.34	11.31
	clean days	32.06	41.33	0.76	9.27	28.93	10.50	11.71
Red Eagle	all days	34.86	42.69	0.71	7.82	22.45	11.15	13.42
	ozone episode	51.20	51.25	0.42	0.05	0.08	9.71	11.92
	clean days	27.60	38.88	0.69	11.28	40.89	11.80	14.03
shrimp boat	all days	39.99	44.35	0.73	4.35	10.89	9.15	11.47
	ozone episode	57.22	52.22	0.43	-5.00	-8.74	8.88	11.65
	clean days	31.17	40.32	0.69	9.15	29.36	9.28	11.38

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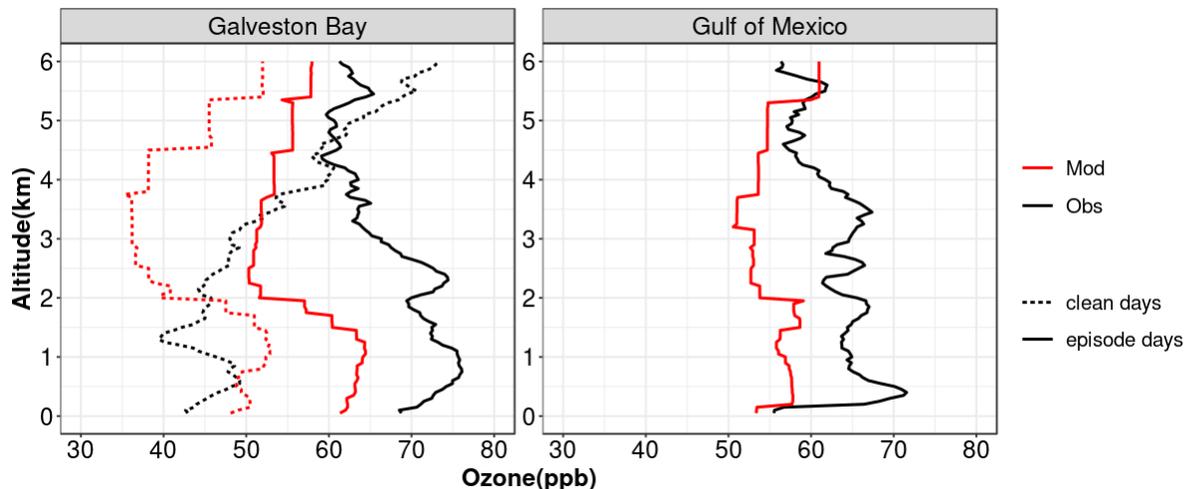
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 197 **Figure 3. (a) Time series of daytime (10:00 – 18:00) mean ozone for observations at CAMS sites (OBS; black line) and**
 198 **simulations (MOD; red line). (b) Maps of observed (points) and simulated (background) daytime ozone during ozone**
 199 **episodes (left) and clean days. The black box shows the selected onshore region for process analysis in the next section.**

200

201 **Table 2. Daytime (10:00 – 18:00) ozone evaluation metrics at CAMS sites. The metrics are the same as in Table 1.**

Sites	Period	Observed mean (ppb)	Simulated mean (ppb)	R	MB (ppb)	NMB (%)	MAE (ppb)	RMSE (ppb)
CAMS	all days	38.87	46.76	0.79	7.89	20.32	9.41	11.72
	ozone episode	54.63	56.17	0.64	1.54	2.81	5.31	7.15
	clean days	31.34	42.28	0.64	10.93	34.88	11.35	13.37

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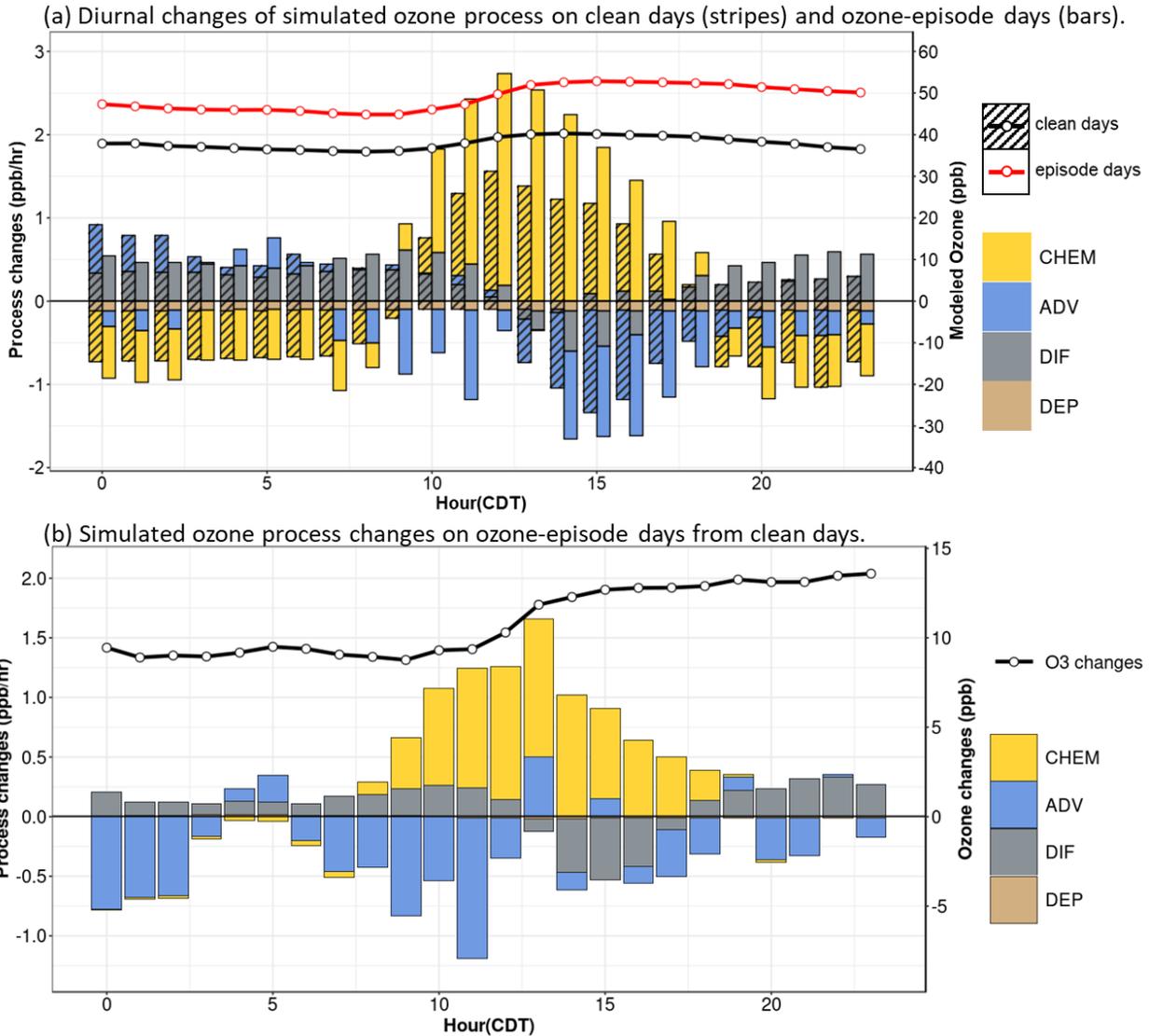


203

204 **Figure 4. Ozone vertical distribution from the afternoon (12:00-18:00) ozonesonde launches (Obs; black lines) and**
 205 **simulations (Mod; red lines) at Galveston Bay averaged on clean days (dashed lines) and ozone-episode days (solid lines).**
 206 **The Gulf of Mexico only sampled ozone on high-ozone days.**

207 We also evaluated the modeled vertical O_3 profiles against the afternoon (12:00-18:00) ozonesondes launched over
 208 Galveston Bay and the Gulf of Mexico. During the study period, there were five and nine afternoon launches over
 209 Galveston Bay on clean and O_3 -episode days, respectively, while the Gulf of Mexico only had five afternoon
 210 launches during high- O_3 events. All the ozonesondes available for high- O_3 conditions are from the two episodes of
 211 September 6-11 and 23-26. The average O_3 profiles from these launches are shown in Figure 4. Free tropospheric O_3
 212 with altitudes greater than 2 km is underestimated for both locations on both clean and O_3 -episode days, which
 213 indicates the long-range transported O_3 is underrepresented by the model. Over Galveston Bay, the overestimation
 214 of O_3 within the mixed layer below 2 km on clean days changes to underestimation on episode days, and the
 215 underestimation increases from 5 ppb at the surface to 10 ppb near 1 km. The two high- O_3 episodes in September
 216 are featured by a O_3 plume between 2 – 3 km as shown by the O_3 lidar observations in Liu et al. (2023), which is
 217 missed by the model as shown by the two example days on September 9 and 24 of each episode over Galveston Bay
 218 (Figure S3). The underestimation of O_3 in the lower free troposphere and the mixed layer on episode days can be
 219 partly explained by the missing of the high- O_3 plumes which can be mixed down when the cap inversion is weak
 220 (Liu et al., 2023). There is an approximately 10 ppb underestimation across all altitudes below 4 km over the Gulf of
 221 Mexico. An ozonesonde from the Gulf of Mexico on September 9 recorded high ozone up to the top of the marine
 222 layer at 370 m, which is missed by the model and leads to the highest bias. This case will be discussed in the case
 223 study of Section 3.3.

224 To conclude, despite the overall model bias for vertical O_3 distributions, the acceptable model performance for
 225 offshore and onshore O_3 prediction at the surface indicates that the modeling system can be applied to conduct
 226 process analysis and help identify the processes influencing high O_3 concentrations over the water surface.



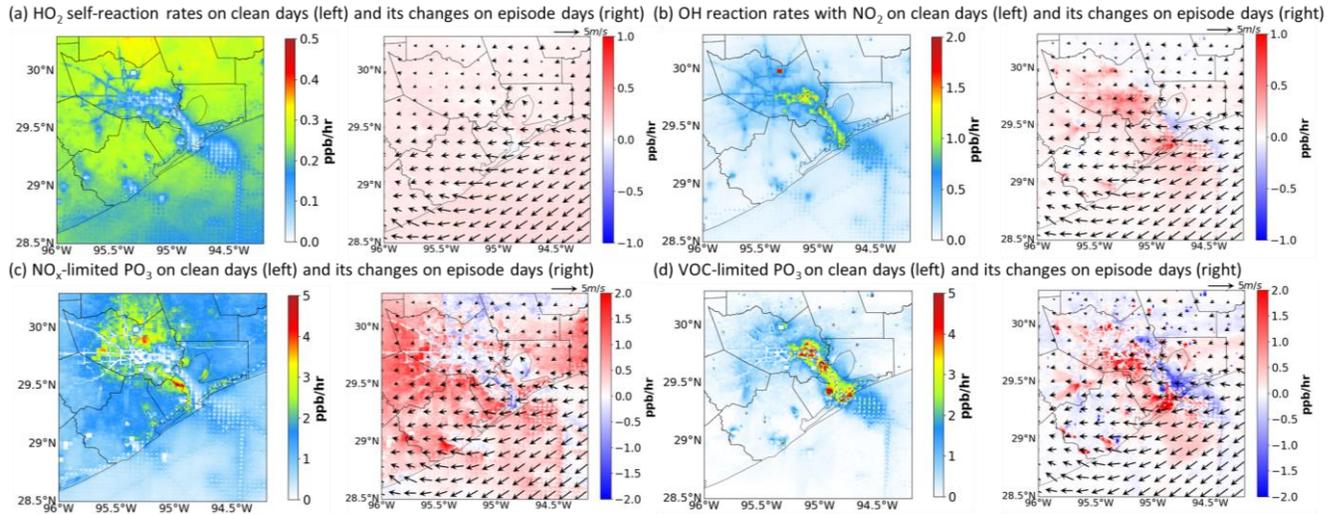
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229 **Figure 5. (a) Diurnal changes of simulated ozone processes over the Gulf of Mexico (black box in Figure 2), including**
 230 **chemistry (CHEM), advection (ADV), vertical diffusion (DIF), and deposition (DEP) on clean days (stripes) and O₃-episode**
 231 **days (bars) integrated across the lowest five model layers. Overlaid lines and points are simulated hourly ozone on clean**
 232 **(black) and O₃-episode (red) days. (b) Process (filled bars) and O₃ (black line) changes during high-O₃ episodes relative to**
 233 **clean days.**

234 This section examines how the CAMx simulated O₃ processes change during high-O₃ episodes relative to clean
 235 days. The process analysis is calculated over a subregion of the Gulf of Mexico with high O₃ mixing ratios observed
 236 (black box in Figure 2b) and integrated across the lowest five model layers comparable to the morning PBL heights
 237 over water. The diurnal average of each process on clean and O₃ episode days is shown in Figure 5a. Chemistry
 238 (CHEM) is the major O₃ source during daytime and becomes the primary O₃ sink after sunset. Advection (ADV)
 239 serves as a pathway for an O₃ sink for most hours, especially during the day, while vertical diffusion (DIF) mostly
 240 contributes as an O₃ source. Deposition (DEP) constantly removes O₃ from the atmosphere at all hours, yet with a

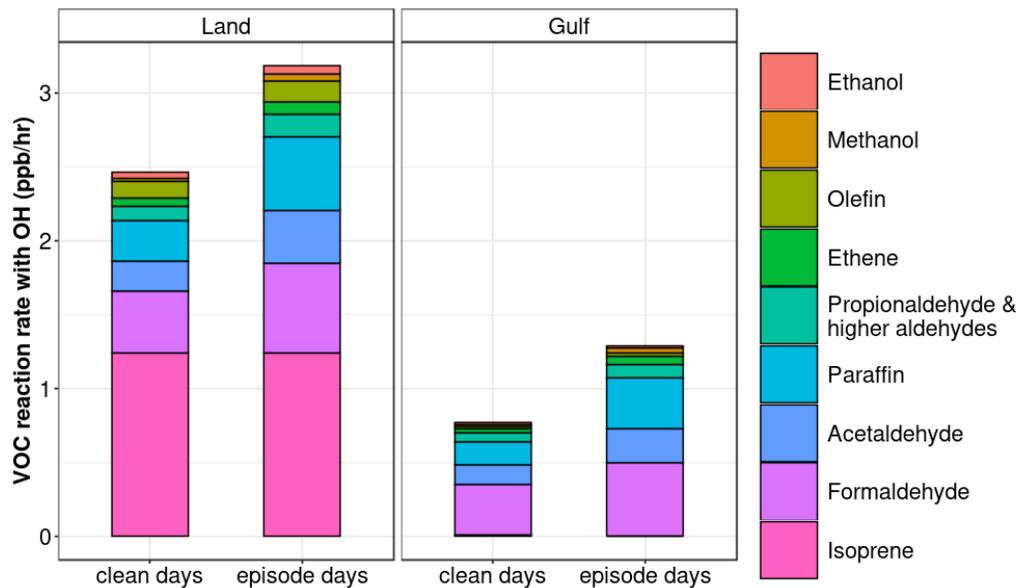
241 marginal value of 0.1 ppb/hr. Similar patterns can be found over the Houston urban area with a much bigger
242 magnitude (Figure S4). During high-O₃ events, CHEM is the most important process causing higher O₃ levels over
243 water relative to clean days, followed by vertical DIF (Figure 5b). We examined the simulated O₃ vertical profiles
244 and PBL heights averaged over the process analysis region on clean and episode days in Figure S5. O₃ across the
245 entire profile is higher on episode days than clean days, indicating an elevated O₃ background on high-O₃ days. In
246 addition, the profiles of potential temperature observed by the ozonesondes show an inversion layer at ~1.5 km on
247 episode days (Figure S6). More vertical diffusion can occur if high O₃ in the inversion layer is mixed down from
248 above the PBL when the capping inversion is weak (Liu et al., 2023).

249 The CPA analysis can provide more insights into the enhanced O₃ production during high-O₃ events. We first
250 investigated the rates of HO₂ self-reaction and OH reaction with NO₂ in Figure 6a-b since they are used by the
251 model to determine the O₃ chemical regime. A region-wide increase in the HO₂ self-reaction rate leads to the
252 enhancement of PO₃ under a NO_x-limited regime (Figure 6c). Similarly, the frequency of PO₃ under a NO_x-limited
253 regime also increases regionally (Figure S7). The frequency at each grid cell is the ratio of the number of hours with
254 a greater than zero NO_x-limited PO₃ to the total midday hours (11:00 – 15:00) during the study period. HO₂ is
255 formed following the oxidation of VOCs by OH. Thus, we further compared the OH reactivity of VOCs averaged
256 from 11:00 to 15:00 on clean and episode days in Figure 7. Isoprene has the highest contribution to the total VOC
257 reactivity on the land, but its reactivity does not increase during high-O₃ events. Instead, paraffin, formaldehyde, and
258 acetaldehyde are the three VOCs experiencing the highest increase of reaction rate with OH over both land by 0.22
259 ppb/hr (84%), 0.19 ppb/hr (45%) and 0.15 ppb/hr (73%) and water by 0.18 ppb/hr (114%), 0.15 ppb/hr (44%) and
260 0.11 ppb/hr (82%), respectively, which indicates a higher contribution from regional transport on episode days as
261 they are relatively long-lived VOCs capable of traveling long distances. Indeed, the paraffin IPR analysis shows that
262 the ADV process dominates the increase of paraffin during morning hours from 06:00 to 11:00 over water (Figure
263 S8). The trajectory analysis focusing on two O₃ episodes in September shows air masses were transported from the
264 northern/central states (Soleimanian et al., 2023), consistent with the wind directions demonstrated in Figure 6. Such
265 wind conditions can also bring NO_x emissions from the Houston Ship Channel downwind towards the western side
266 of Galveston Bay and the Gulf of Mexico, causing a higher OH reaction rate with NO₂ (Figure 6b) and enhanced
267 PO₃ under a VOC-limited regime (Figure 6d) therein.



268

269 **Figure 6.** Maps of the rate (ppb/hr) of HO₂ self-reaction (a), OH reaction with NO₂ (b), ozone production (PO₃) under NO_x-
 270 limited (c) and VOC-limited (d) regimes on clean days (left) and its changes under episode days (right) during midday
 271 (11:00 – 15:00). Black arrows indicate the simulated wind speed and directions averaged on high-O₃ days.



272

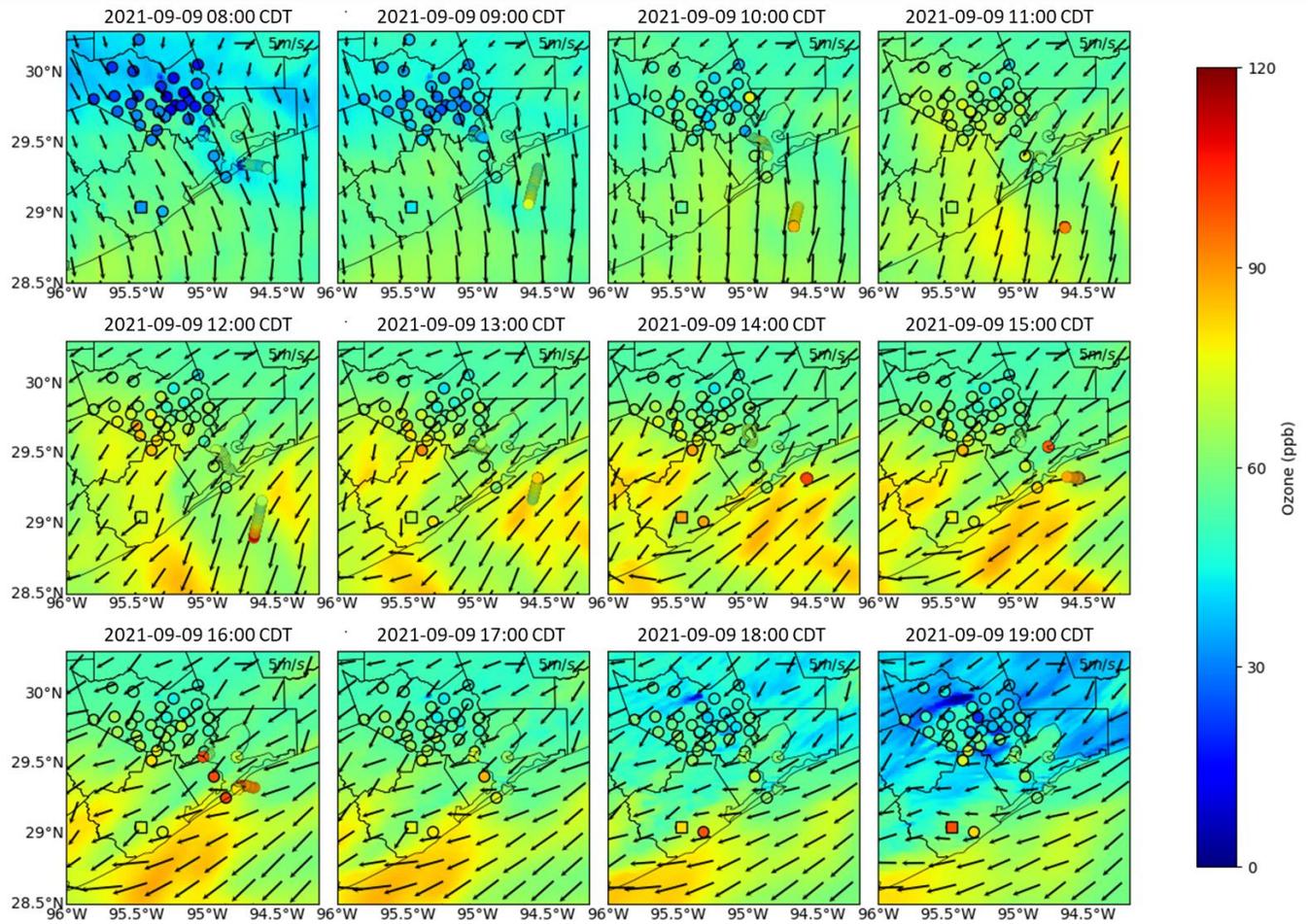
273 **Figure 7.** OH reaction rates with different VOCs on clean days and ozone-episode days during 11:00 – 15:00 over the urban
 274 area (Land; black box in Figure 3) and the Gulf of Mexico (Gulf; black box in Figure 2).

275 In summary, O₃ chemistry is the major process responsible for the high O₃ mixing ratios over the Gulf of Mexico
 276 during the study period. The VOC species with a long lifetime advected from the northeast increase over land and
 277 water, leading to a region-wide enhancement of PO₃ under a NO_x-limited regime. The downwind transport of NO_x
 278 from the Ship Channel also expands the VOC-limited area towards the west side of Galveston Bay and the Gulf of
 279 Mexico, contributing to the higher-than-normal PO₃.

280 **3.3 Case studies**

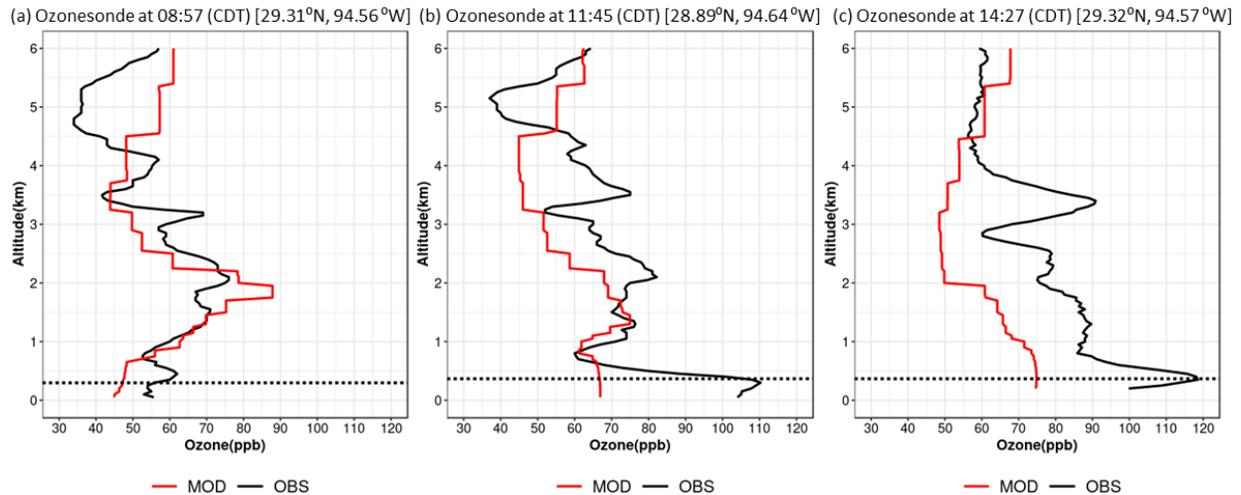
281 Although the above analysis reveals the general reasons responsible for the high offshore O₃ events, the multiple-
282 day average can miss out on some important aspects regarding the causes of these events. In this section, we selected
283 two case days, September 9 and October 7, to further demonstrate the development process of high O₃ in detail.

284 **3.3.1 Case study of September 9, 2021**



285

286 **Figure 8. Hourly simulated ozone distributions (color contours) from 08:00 to 19:00 in Central Daylight Time (CDT) on**
287 **September 9 overlaid with winds (arrows). Onshore and offshore dots indicate ozone from CAMS sites and boat**
288 **observations. The square mark highlights the Lake Jackson CAMS site.**



289

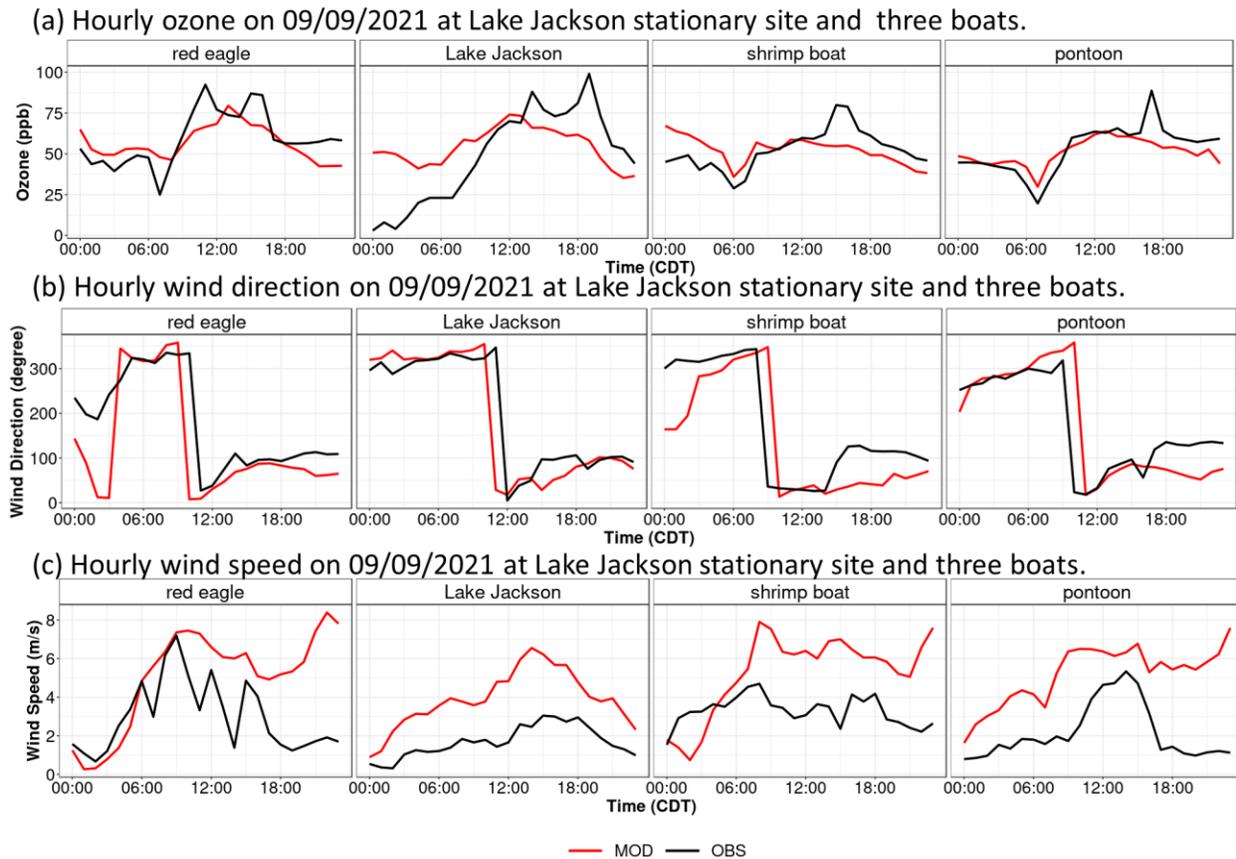
290 **Figure 9. Ozone vertical profiles from ozonesondes (black line) and model simulations (red line) at 08:57 (a), 11:45 (b), and**
 291 **14:27 (c) on September 9. Black dash lines indicate the observed boundary layer height.**

292 Multiple CAMS sites exceeded the 70 ppb MDA8 O₃ standard on September 9, with the Red Eagle boat sampling
 293 the up to 115 ppb 1-minute O₃ in the Gulf of Mexico off the coast of Galveston Island. The hourly progression of the
 294 observed and simulated O₃ is displayed in Figure 8, overlaid with modeled winds. In the morning, the study area
 295 was dominated by northerly winds bringing the fresh emissions offshore while the pontoon boat was sampling over
 296 the west side of Galveston Bay and the Red Eagle boat was traveling in the Gulf of Mexico off the coast of
 297 Galveston Island. The ozonesonde launched near 09:00 shows a moderate level of O₃ (~55 ppb) below the shallow
 298 marine boundary layer of 200 m overlaid by a residual layer with a maximum O₃ mixing ratio of 63 ppb at ~500 m
 299 (Figure 9a). Around 11:00-12:00, with high solar radiation, the seaward-transported emissions formed O₃ through
 300 photochemical reactions over water, which was captured by the Red Eagle boat with an hourly peak O₃ mixing ratio
 301 of 92 ppb (Figure 10a). Correspondingly, the O₃ vertical profile from the 11:45 balloon launch at the Red Eagle deck
 302 recorded the highest O₃ of 110 ppb at ~315 m (Figure 9b).

303 However, the model missed these peak values because the simulated wind speed is up to 4 m/s higher than
 304 observations (Figure 10c), making the plume advect faster. This also leads to a two-hour earlier arrival of the
 305 modeled O₃ peak at the Lake Jackson coastal site (square mark in Figure 8) than the observed first peak at 14:00
 306 (Figure 10a). At the same time, another plume was brought into the Gulf of Mexico from the east boundary of the
 307 domain as the wind directions changed from north to east. As the Red Eagle boat steered back to Galveston Island,
 308 all three boats sampled this plume at 14:00-17:00, resulting in the second O₃ peak at the Red Eagle boat and the only
 309 O₃ peak at the other two boats. The ozonesonde launched at 14:27 from the Red Eagle boat (Figure 9c) observed O₃
 310 reaching 118 ppb in the plume at ~370 m. This plume was continuously transported southwestward and reached the
 311 Lake Jackson site at 19:00, producing a second O₃ peak. Due to the overestimated wind speed and the simulated
 312 wind direction not completely veering to the east as observations (about 100° in Figure 10b), the model failed to
 313 predict the timing and the magnitude of the O₃ peaks caused by the second plume. The process analysis on this day
 314 over the Gulf of Mexico (black box in Figure 2) shows ADV, in addition to CHEM, contributes to the enhanced O₃

315 levels at 10:00 and 13:00 (Figure S9), which respectively corresponds to the two plumes under northerly and
 316 easterly winds and highlights the importance of regional transport. This also demonstrates that the contributions
 317 from ADV to the increase of O₃ can be high on some specific cases, which can be averaged out in our composite
 318 analysis of Figure 5.

319



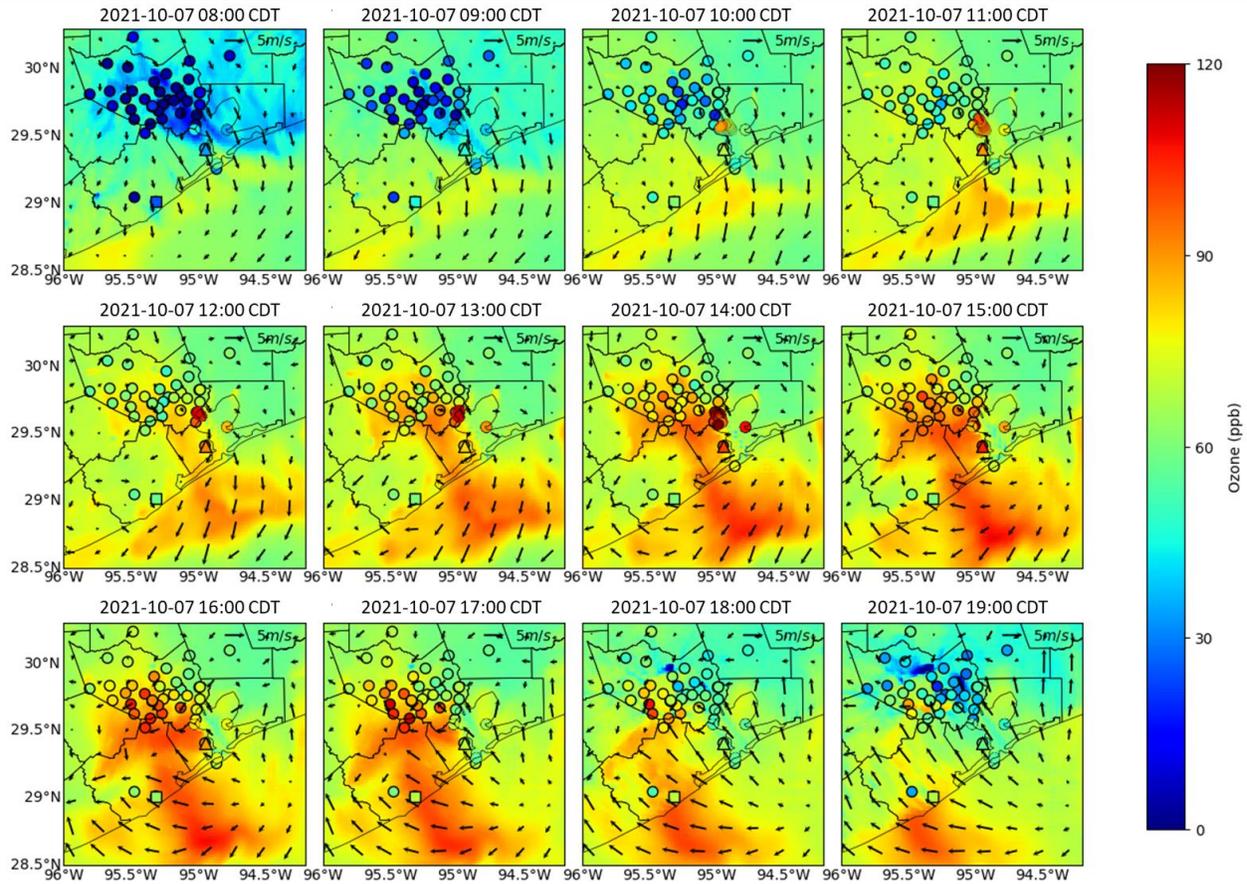
320

321 **Figure 10. Hourly ozone (a), wind direction (b), and wind speed (c) on September 9 from observations at the Lake Jackson**
 322 **CAMS site (square mark in Figure 8) and three boats (black) in comparison with model simulations (red).**

323

324 In summary, the wind direction changes from the north to the east on September 9 caused two O₃ peaks, as captured
 325 by the Red Eagle boat and the Lake Jackson site. This corresponds to the two simulated ozone plumes shown in the
 326 maps. One plume is produced locally and the other is transported from the eastern boundary of the domain. The
 327 model overestimates the wind speed, and the simulated wind direction does not change entirely to easterly, leading
 328 to lower or totally missed and temporally mismatched O₃ peaks relative to observations.

329 **3.3.2 Case study of October 7, 2021**



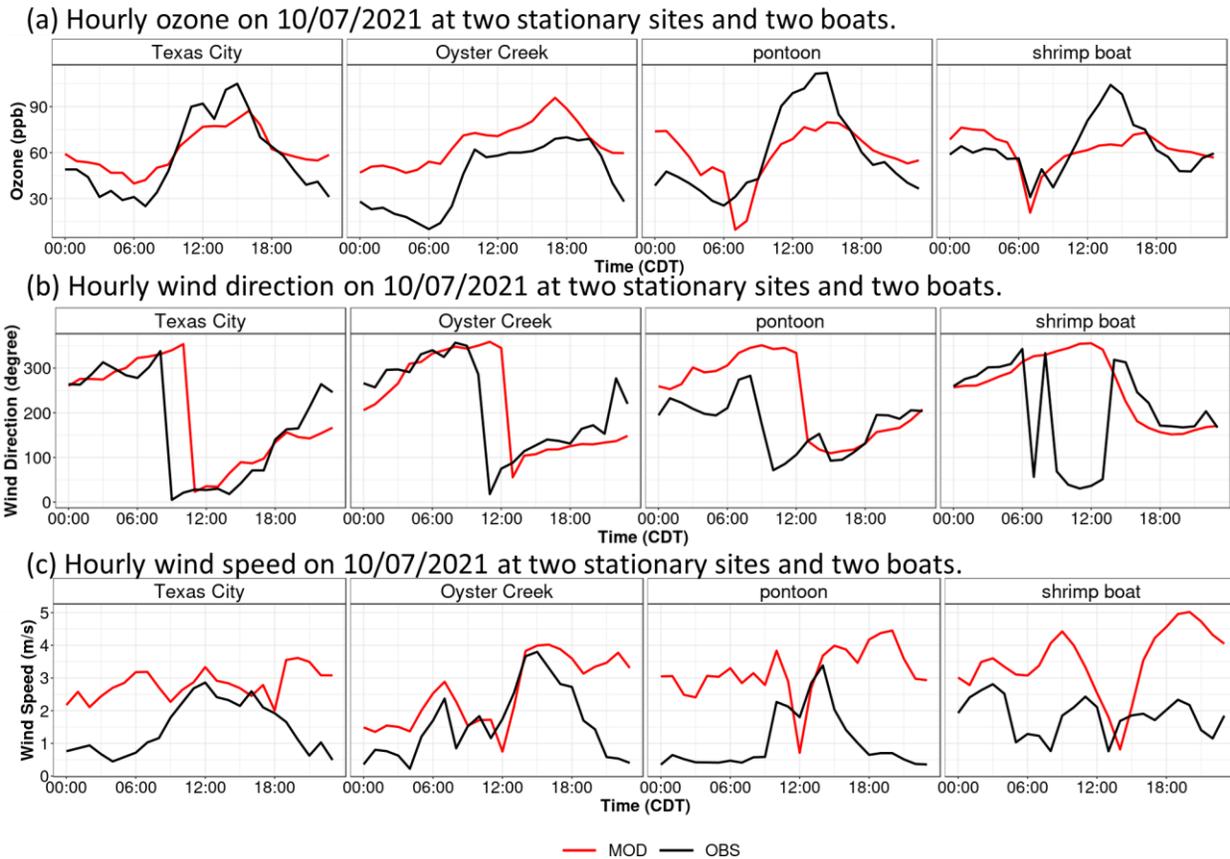
330

331 **Figure 11. Same as Figure 8 but on October 7 with the square and triangle marks representing the Oyster Creek and Texas**
 332 **City CAMS sites, respectively.**

333 On October 7, the pontoon boat observed the highest one-minute O_3 concentration (135 ppb) throughout the entire
 334 campaign period. This day started with weak northwesterly winds in the morning under post-frontal conditions,
 335 leading to high O_3 concentrations along the Gulf coast (Figure 11). The winds transitioned to northeasterly near
 336 11:00 (Figure 12b), marking the onset of the Galveston Bay breeze at the pontoon and shrimp boat and the Texas
 337 City site (triangle label in Figure 11) and the Gulf breeze at the Oyster Creek site (square label in Figure 11), both
 338 accompanied by an increase of O_3 (Figure 12a) and wind speed (Figure 12c). By contrast, the model predicted a late
 339 onset of the Bay/Gulf breezes by two to three hours with a generally higher wind speed than was observed.

340 Afterward, the wind directions further shifted to the east to southeast between 14:00 to 18:00 as the Gulf breezes
 341 propagated to all four locations in Figure 12b, causing the highest O_3 mixing ratios therein. Similarly, the model
 342 overestimated the Gulf breeze intensity, leading to the underestimation of O_3 at the three locations along Galveston
 343 Bay. The model also continuously overestimated the moderate level of O_3 (60-70 ppb) at the Oyster Creek site under
 344 the Gulf breeze from 11:00 to 20:00, implying that the lifetime of O_3 or its precursors over water was likely
 345 overpredicted. Different from September 9, the process analysis on this local-scale event indicates CHEM is the
 346 major process leading to high O_3 concentrations over the Gulf of Mexico (Figure S10). ADV only contributes to the

347 increase of O₃ at 08:00-09:00, corresponding to the offshore transport of O₃ in the morning under northwesterly
348 winds.



349
350 **Figure 12.** Same as Figure 10 but on October 7 with the Texas City (triangle mark in Figure 11) and Oyster Creek (square
351 mark in Figure 11) CAMS sites and two boats.

352

353 To sum up, the high O₃ event on October 7 was related to the mesoscale Galveston Bay and Gulf breeze
354 recirculation. Two boats and the Texas City site captured the start of the Bay breeze at ~11:00 and the development
355 of the Gulf breeze at 14:00 – 18:00, the latter of which leads to peak hourly O₃ by bringing the aged O₃ and
356 emissions back to land. Affected continuously by the Gulf breeze from 11:00 to 20:00, O₃ at the Oyster Creek site
357 stayed at 60 – 70 ppb. The model predicts the onset of the Bay and Gulf breezes two to three hours late with higher
358 wind speed, causing the delayed and lower O₃ peaks along Galveston Bay.

359 4 Conclusions

360 As part of the TRACER-AQ 2021 field campaign in the Houston area, three boats, a UH pontoon boat and two
361 commercial vessels, equipped with an automatic sampling system and ozonesonde launches were deployed in
362 Galveston Bay and the Gulf of Mexico from July to October. The resulting datasets, including the surface and

363 vertical O₃ concentrations and various meteorological parameters, provide a unique opportunity to evaluate the
364 performance of TCEQ's regulatory WRF-CAMx modeling system regarding its ability to capture the high offshore
365 O₃ events. Driven by the optimized WRF meteorological outputs, the CAMx model can satisfactorily capture the
366 spatiotemporal variability of daytime O₃ for the three boats ($R > 0.70$) with an overall 4 – 8 ppb (9% – 22%)
367 overestimation mainly caused by the high positive biases on clean days. During high-O₃ events, the model tends to
368 underestimate O₃ by 5 ppb near the surface and by 10 ppb up to 4 km aloft.

369 The reasonable model performance provides credibility for relying on the model's process analysis tool to
370 investigate the factors responsible for the high-O₃ episodes over the Gulf of Mexico. The results show that O₃
371 chemistry is the major process leading to high O₃ concentrations relative to clean conditions. A region-wide increase
372 of long-lived VOC species through advection, such as paraffin, formaldehyde, and acetaldehyde, accelerated O₃
373 production rates under a NO_x-limited regime. In the meantime, the enhanced VOCs can produce more O₃ near
374 western Galveston Bay and off the Gulf coast under high-NO_x concentrations brought by the northeasterly winds
375 from the Houston Ship Channel. Thus, the higher O₃ chemical production over water can be from both NO_x- and
376 VOC-limited regimes.

377 Two cases, September 9 and October 7, were then selected to illustrate the development of high-O₃ events further.
378 Both cases involved north/northeast morning winds transporting the inland emissions toward the sea, shifting to the
379 east/southeast in the afternoon, and transporting the offshore O₃ and its precursors to the land. Therefore, well-
380 represented wind conditions are of great importance for air quality models to accurately capture the timing and
381 magnitude of elevated O₃ levels in these cases. However, the two cases differ in terms of atmospheric scale. The
382 event on September 9 was influenced by a large-scale circulation with regionally homogeneous wind conditions.
383 The easterly winds in the afternoon brought a second air plume from the eastern boundary of the domain following
384 the first locally produced plume, illustrating the contributions of regional advection, in addition to chemistry, to the
385 high O₃ mixing ratios in this case. Conversely, the October 7 case was dominated by the mesoscale development of
386 Bay and Gulf breezes, characterized by a generally lower wind speed and higher O₃ level. Double O₃ peaks can also
387 be observed near Galveston Bay, such as the Texas City site in this case, corresponding to the arrival of the Bay and
388 Gulf breezes, respectively. The model mispredicted the timing of the wind direction shift and overestimated the
389 wind speed in both cases, leading to the temporally mismatched and numerically buffered O₃ peaks.

390 This study reveals the important role of chemical O₃ production over Galveston Bay and the Gulf of Mexico from
391 precursors emitted from adjacent land and the Ship Channel or transported regionally from the northeastern states.
392 The high O₃ produced offshore can then be transported back to land and cause O₃ exceedances at the air quality
393 monitors. Therefore, local and regional emissions need to be stringently regulated to reduce the frequency of such
394 events. Additionally, wind conditions are critical meteorological factors leading to these high-O₃ episodes and thus
395 need to be well represented in photochemical models to have an accurate air quality forecast in urban coastal
396 regions.

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402 2021 filed campaign datasets.

403 **Data Availability**

404 CAMx and WRF models are publicly available at <https://www.camx.com/> (last access: 22 September 2023) and
405 https://www2.mmm.ucar.edu/wrf/users/download/get_source.html (last access: 22 September 2023), respectively.
406 CAMS data can be downloaded from the TAMIS web interface
407 (<https://www17.tceq.texas.gov/tamis/index.cfm?fuseaction=home.welcome>, last access: 22 September 2023), and
408 other campaign data is archived in the TRACER-AQ website ([https://www-air.larc.nasa.gov/cgi-
409 bin/ArcView/traceraq.2021](https://www-air.larc.nasa.gov/cgi-bin/ArcView/traceraq.2021), last access: 22 September 2023).

410 **Competing interests**

411 The authors declare that they have no conflict of interest.

412 **Author contributions**

413 YW conceived the research idea. WL, XL and ES conducted the model simulation. TG, JF and PW provided the
414 field observations. WL performed the data analysis and drafted the initial manuscript. All authors contributed to the
415 interpretation of the results and the preparation of the manuscript.

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