



1	An improved dynamic bidirectional coupled hydrologic-
2	hydrodynamic model for efficient flood inundation prediction
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6	Abstract: To improve computational efficiency while maintaining numerical accuracy,
7	coupled hydrologic-hydrodynamic models based on non-uniform grids are used for
8	flood inundation prediction. In those models, a hydrodynamic model using a fine grid
9	can be applied for flood-prone areas, and a hydrologic model using a coarse grid can
10	be used for the rest of the areas. However, it is challenging to deal with the separation
11	and interface between the two types of areas because the boundaries of the flood-prone
12	areas are time-dependent. We present an improved Multigrid Dynamical Bidirectional
13	Coupled hydrologic-hydrodynamic Model (IM-DBCM) with two major improvements:
14	1) automated non-uniform mesh generation based on the $D\infty$ algorithm was
15	implemented to identify the flood-prone areas where high-resolution inundation
16	conditions are needed; 2) ghost cells and bilinear interpolation were implemented to
17	improve numerical accuracy in interpolating variables between the coarse and fine grids.
18	A hydrologic model, two-dimensional (2D) nonlinear reservoir (NLR) model was
19	bidirectionally coupled with a 2D hydrodynamic model that solves the shallow water
20	equations. Three cases were considered to demonstrate the effectiveness of the
21	improvements. In all cases, the mesh generation algorithm was shown to efficiently and
22	successfully generate high-resolution grids only in those flood-prone areas. Compared
23	with the original M-DBCM (OM-DBCM), the new model had lower RMSEs and higher

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NSEs, indicating that the proposed mesh generation and interpolation were reliable and 24 25 stable. It can be adapted adequately to the real-life real flood evolution process in 26 watersheds and provide practical and reliable solutions for rapid flood prediction. 27 Key words: Coupled hydrologic-hydrodynamic model; Multi-grid generation; Bilinear interpolation; Computational efficiency and accuracy; Flood simulation 28 29 **1** Introduction 30 Floods are the most frequent natural disasters that seriously harm human health and economic growth. Numerical models are critical for predicting flooding processes 31 32 to help prevent or mitigate the damaging effects of floods on people and communities 33 (Bates, 2022). Coupled hydrologic-hydrodynamic models are widely used to translate the amount of rainfall obtained from weather forecasting models or rain gauge 34 35 observations into surface inundation (Xia et al., 2019). 36 Coupled hydrologic-hydrodynamic models can be generally divided into two

37 categories. The first category is full 2D hydrodynamic models (HM2D), where the 2D 38 hydrodynamic model is used to simulate the overland flow (runoff routing and flood inundation), and only the runoff generation is calculated by the hydrologic model and 39 40 added into the mass source term of the 2D hydrodynamic model (Singh et al., 2011; 41 Garcia-Navarro et al., 2019; Hou et al., 2020; Costabile and Costanzo, 2021). The 42 development and simulation of HM2D require high-resolution topographic data at the catchment scale and extensive computational time, which hinder their application in 43 large-scale flood forecasting. A promising way to achieve computational speedups is 44 execution in a massively parallel fashion on supercomputers (Noh et al., 2018; Kuffour 45 et al., 2020) or graphic processing unit (GPU) (Kuffour et al., 2020; Ming et al., 2020; 46 Morales-Hernández et al., 2021; Buttinger-Kreuzhuber et al., 2022). Besides the 47 48 computational efficiency, the numerical stability of HM2D can be problematic,





49 especially in thin-layer water regions (Kim et al., 2012).

The second category of coupled hydrologic-hydrodynamic models uses 50 51 hydrologic models for upland areas and hydrodynamic models for main channels and 52 flood-prone areas, and the hydrologic model provides the discharge boundary for the hydrodynamic model (Hdeib et al., 2018; Munar et al., 2018; Shin et al., 2019; Gomes, 53 54 et al., 2021). And therefore, this category can be more efficient and thus applied to 55 large-scale studies. This category is further divided into one-way and two-way coupling models, according to whether the hydrodynamic model provides feedback to the 56 57 hydrologic model.

58 In one-way coupling models, the hydrologic model is run first and independently from the hydrodynamic model. The hydrographs obtained from hydrologic models are 59 60 used as an input for the hydrodynamic models in a fixed position (Schumann et al., 61 2013; Feistl et al., 2014; Choi and Mantilla, 2015; Bhola, 2018; Wing et al., 2019). This one-way flow information cannot capture the mutual interaction between runoff 62 production and flood inundation, and the fixed interface is inconsistent with the actual 63 flood process where the inflow discharge positions, flow path, and discharge values 64 change with accumulating rainfall. 65

In two-way coupling models, the governing equations of the hydrologic and 66 hydrodynamic models are solved simultaneously in each time step, with information at 67 the shared interface updated and exchanged at each or several computational time steps. 68 Most existing two-way models are the coupling of hydrologic and one-dimensional (1D) 69 hydrodynamic models, such as the coupling of Mike SHE and Mike 11, SWMM 70 (Thompson et al., 2004; Laganier et al., 2013; Rossman, 2015; Chalkidis et al., 2016). 71 The application of 1D modeling of overland flow is limited when developing precise 72 73 and reliable flood maps in 2D inundation regions. Jiang et al. (2021) proposed a





dynamic bidirectional coupling model (DBCM), where the hydrologic and 2D hydrodynamic models were solved synchronously in each time step. The hydrologic and 2D hydrodynamic models are coupled through a coupling moving interface (CMI), and the inflow discharge positions and flow path change with accumulating rainfall, it had better numerical accuracy than the one-way coupling models.

79 However, because uniform grids were adopted in DBCM, high-resolution 80 simulations in a large domain inevitably involved numerous computational nodes and 81 substantially increased the computational cost. An essential consideration to reduce 82 computational time is mesh coarsening (Caviedes-Voullième et al., 2012). Adaptive 83 mesh refinement (AMR) has been used to optimize the grid resolution during flood simulations (Donat et al., 2014; Hu et al., 2018; Ghazizadeh, 2020; Ding et al., 2021; 84 85 Kesserwani and Sharifian, 2023). Aiming to increase computational efficiency by reducing computing nodes, it adjusts grid size for local grid refinement by domain 86 features or flow conditions. Yu (2019) used quadtree grids to divide the computational 87 domain and applied the DBCM to simulate the flooding process. It needs to segment 88 and merge the grid elements repeatedly during the calculation, which can be time-89 consuming and offset the calculation time saved by the optimized grid. Besides, the 90 91 mesh generation and flood simulation were compiled in the same code base, which increased the computational cost and total execution time. 92

93 Static non-uniform grids have increasingly received attention in recent years, 94 which simplified grid generation procedure compared with AMR (Caviedes-Voullième 95 et al., 2012; Hou et al., 2018; Bomers et al., 2019; Ozgen-Xian et al., 2020). Compared 96 with uniform grids and AMR, it can not only reduce computational nodes, but use 97 different time steps in different grid sizes to further reduce computation time. Shen et 98 al. (2021) and Shen and Jiang (2023) divided the computational domain based on static





multi-grids, where the different grid size ratios of coarse to fine grids were designed. 99 100 But there were two limitations to this scheme. One limitation is that the grids need to be generated manually, which can be subjective and uncertain. It also needs a heavy 101 102 workload, especially for large watersheds. Besides the grid generation, the variable interpolation between the coarse and fine cells was also not reasonable. There are 103 104 shared and hanging nodes at the interpolation interface. Shen et al. (2021) assumed the 105 variables at the shared nodes were equal to that at the cell center, and the hanging nodes were obtained from shared nodes. The results showed that this scheme has 106 107 unsatisfactory accuracy and frequently fails to converge. Although the multi-grid-based 108 model can reduce computational time, there are remarkable challenges such as the grid partition technique, determination of coarse and fine regions, and variables 109 110 interpolation between coarse and fine grids.

111 The objective of this study is to develop an integrated system that fully couples 112 the hydrologic and 2D hydrodynamic models, utilize an automated method for efficient 113 multi-grid mesh generation, and resolve variable interpolation between coarse and fine grids more accurately. An improved dynamic bidirectional coupling model (IM-DBCM) 114 was presented, where the 2D nonlinear reservoir (NLR) model was coupled with the 115 116 full 2D hydrodynamic model through a CMI. The D∞ algorithm was implemented to 117 divide the computational domain into non-uniform grids automatically. Ghost cells and bilinear interpolation were used to interpolate variables between the coarse and fine 118 grids. Three case studies, two laboratory experiments and one real-world watershed, 119 were conducted, and the simulation results were compared with the original M-DBCM 120 (OM-DBCM) to evaluate the effectiveness of the improvements. 121

# 122 2 Methodology

123 The Fortran programming language was adopted to apply the coupling model. The





framework of IM-DBCM is illustrated in Figure 1. The model consists of two components: a hydrologic model (i.e., 2D NLR model) that simulates the runoff generation and routing, and 2D hydrodynamic model simulating the flood inundation process. Before the model setup, it is required to first design the grids. For the model execution, the variables interpolation between coarse and fine grids and the coupling of hydrologic and hydrodynamic models are the two main issues that must be addressed.

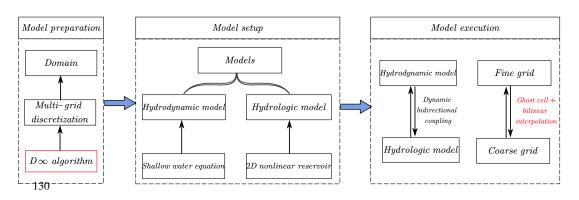




Figure 1 Framework of IM-DBCM

### 132 2.1 Automated multi-grid generation

Associated with flood models, the design of computational grids that are scalable 133 and suitable for all applications is challenging. The grid generation can be considered 134 135 as a model preprocess, which is the foundation of flood simulation and can influence both computational accuracy and efficiency. In this study, a multi-grid generation 136 137 method was proposed based on the Do algorithm, to generate refined grid cells at flood-138 prone areas where high-resolution representation of topographic features is essential for flood simulation while discretizing the rest of the domain using coarse grids. The  $D\infty$ 139 algorithm is a method of representing flow directions based on triangular facets in grid 140 141 DEM proposed by Tarboton (1997). It allocates the flow fractionally to each lower 142 neighboring grid in proportion to the slope toward that grid. The flow direction is determined as the direction of the steepest downward slope on the eight triangular facets 143



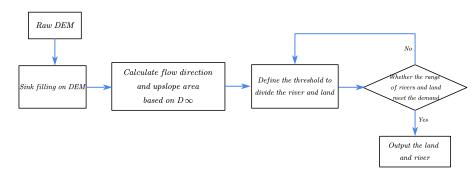


formed across a  $3 \times 3$ -pixel window centered on the pixel of interest, which was detailed by Tarboton (1997). Compared with the D8 algorithm, where the flow is discretized into only one of eight possible directions, separated by  $45^{\circ}$ , the D $\infty$  algorithm is more reasonable and accurate for delineating the actual river trend.

The process of discretizing computational domain based on the  $D\infty$  algorithm is 148 149 shown in Figure 2. First, a raw DEM was prepared, and sink filling was performed on 150 the DEM. Second, the Do algorithm was applied to determine the flow direction on grids. Subsequently, the upslope area, defined as the total catchment area that is 151 152 upstream of a grid center or short length of contour (Moore et al., 1991), was calculated 153 based on the flow direction. Finally, an area threshold was defined to identify the slope 154 lands and derive the river drainage networks from accumulated drainage areas. In a grid 155 cell, if the upslope area was larger than the predefined threshold, it was considered as a 156 river drainage network; otherwise, it was defined as slope lands. The generated slope lands and river network were verified through field surveys or satellite images-based 157 158 estimates. Generally, the river drainage networks present low slopes and hydraulic conveyance, which is subject to flooding. Therefore, these areas should be discretized 159 using fine grids to represent the flooding process in high resolution. However, in the 160 161 slope lands, fine grids were not required and coarse grids were used to improve computational efficiency. Because the regions of interest were of high resolution, the 162 reliability of the prediction would not deteriorate, although the number of grid cells was 163 considerably reduced, which can increase model efficiency and capability for flood 164 simulations over large domains. Compared with manual work, the grid generation 165 based on the  $D\infty$  algorithm can both reduce workload and time. 166







167 168

# Figure 2 Grid generation based on the $D\infty$ algorithm

169 A schematic of grid generation is shown in Figure 3. Two types of connecting interfaces are presented, which divide the computing domain into three parts. The first 170 type is the red line (Variable Interpolation Interface, VII) between the coarse and fine 171 172 grids. The grid cell size changes suddenly on both sides of this line. The second type (Coupling Moving Interface, CMI) is marked in blue on fine grids, which is moving 173 and time-dependent. The first part represents the coarse-grid areas, where the 174 hydrologic model is used to simulate rainfall-runoff. The other two parts are located in 175 the fine-grid areas. The regions between VII and CMI are defined as intermediate 176 177 transition zones, where the hydrologic model is used to simulate the flooding process. 178 These transition zones facilitate the application of different time steps in different grid 179 cell sizes to improve computational efficiency. The hydrologic and hydrodynamic 180 models are dynamically coupled to represent the flooding process on fine grids, and the CMI is a coupling boundary. 181

182





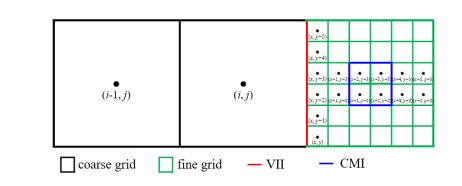


Figure 3. Schematic diagram of grid generation, where *i* and *j* are the coordinates of coarse grid; *x* and *y* are the coordinates of fine grid; VII is the Variable Interpolation Interface and CMI is the Coupling Moving Interface

# 186 **2.2 Variable interpolation between coarse and fine grids**

During a flow computation, if a cell has a neighbor of different size, interpolation 187 may be required to approximate variables in certain locations so that the governing 188 equation can be solved smoothly. An example is presented in Figure 4(a), where the 189 coarse grid has two eastern neighbors that are half its size. In this case, the variable 190 191 values of the smaller cells are obtained from those of larger cells. In the traditional method, these variables are directly calculated using certain interpolation methods. 192 There are shared  $(P_1, P_2)$  and hanging (Q) nodes at the interface between the coarse and 193 194 fine grids. In Shen et al. (2021), the variable values on shared nodes can be transmitted directly, while the values on hanging nodes were obtained by linear interpolation of the 195 196 shared nodes. This method is simple, feasible and easy to use. However, the variable 197 values are stored at the cell center, and there are no values at the interface nodes. Shen 198 et al. (2021) assumed that the values at the interface nodes were equal to that at the cell 199 center. It is inaccurate to make such an assumption, which can bring errors. And the resulting error will increase as the cell size increases. 200

201 To overcome these drawbacks, ghost cells and bilinear interpolation method were





used to interpolate variables between coarse and fine grids. Figure 4(a) shows the 202 variable interpolation between the coarse and fine grids. Two ghost fine cells were 203 created, which were overlaid with partial coarse grids. The variables on the ghost fine 204 205 cells were interpolated through the coarse and fine grids between the interface, which were then used as the boundary conditions for the calculation of the fine grids at the 206 207 next time step. The bilinear interpolation method was applied. The variable 208 interpolation may involve variables at locations  $c_1, c_2, c_3, f_{v1}, f_{v2}, f_1$  and  $f_2$ . As the variables are stored at the cell center, the variables at  $c_1$ ,  $c_2$ ,  $c_3$ ,  $f_1$  and  $f_2$  are available 209 directly. The values at  $f_{v1}$  and  $f_{v2}$  are obtained via natural neighbor interpolation, as 210 follows: 211

212 
$$U_{f'_{v_1}} = U_{c_1} + \frac{U_{c_2} - U_{c_1}}{y_{c_2} - y_{c_1}} (y_{f'_{v_1}} - y_{c_1})$$
(1)

213 
$$U_{f_{v_2}} = U_{c_3} + \frac{U_{c_1} - U_{c_3}}{y_{c_1} - y_{c_3}} (y_{f_{v_2}} - y_{c_3})$$
(2)

where  $U_{f'_{v1}}, U_{f'_{v2}}, U_{c_1}, U_{c_2}, U_{c_3}$  are the variables at locations  $f'_{v1}, f'_{v2}, c_1, c_2, c_3$  respectively;  $y_{f'_{v1}}, y_{f'_{v2}}, y_{c_1}, y_{c_2}, y_{c_3}$  are the coordinates in y directions at  $f'_{v1}, f'_{v2}, c_1, c_2, c_3$  respectively. And then, the variables of ghost fine cells at  $f_{v1}$  and  $f_{v2}$  can be calculated based on that at  $f'_{v1}$  and  $f'_{v2}$ , as follows:

218 
$$U_{f_{v1}} = U_{f_{v1}'} + \frac{U_{f_1} - U_{f_{v1}'}}{x_{f_1} - x_{f_{v1}'}} (x_{f_{v1}} - x_{f_{v1}'})$$
(3)

219 
$$U_{f_{v_2}} = U_{f_{v_2}'} + \frac{U_{f_2} - U_{f_{v_2}'}}{x_{f_2} - x_{f_{v_2}'}} (x_{f_{v_2}} - x_{f_{v_2}'})$$
(4)

220 where  $U_{f_{v1}}$ ,  $U_{f_{v2}}$  are the variables of ghost fine cells;  $U_{f1}$ ,  $U_{f_2}$  are the variables at  $f_1$ ,  $f_2$ , 221 respectively, which were calculated in the last time step;  $x_{f_1}$ ,  $x_{f_2}$ ,  $x_{f_{v1}}$ ,  $x_{f_{v2}}$ ,  $x_{f_{v1}}$  and  $x_{f_{v2}}$ .





are the coordinates in x directions at  $f_1$ ,  $f_2$ ,  $f_{v1}$ ,  $f_{v2}$ ,  $f_{v1}$ ,  $f_{v2}$  respectively.

223 The values at  $f_{v1}$ ,  $f_{v2}$  were used as the boundary conditions for the calculation of

fine grids.

The variable interpolation from fine to coarse grids is presented in Figure 4(b), where one ghost coarse cell was established. The variables of ghost coarse cells were determined according to the fine and coarse grids between the interface. The variable interpolation may involve variables at locations  $c_v$ ,  $c_1$ ,  $f_1$ ,  $f_2$ . As the variables are stored at the cell center, the variables at  $c_1$ ,  $f_1$ ,  $f_2$  are available directly. The values at  $c_v$  are obtained via natural neighbor interpolation, as follows:

231 
$$U_{c_{v}} = U_{f_{2}} + \frac{U_{f_{1}} - U_{f_{2}}}{y_{f_{1}} - y_{f_{2}}} (y_{c_{v}} - y_{f_{2}})$$
(5)

232 where  $U_{c_v}, U_{f_1}, U_{f_2}$  are the variables at  $c_v, f_1, f_2$  respectively;  $y_{c_v}, y_{f_1}, y_{f_2}$  are the

233 coordinates in y direction at  $c_y$ ,  $f_1$ ,  $f_2$  respectively.

And then, the variables of ghost coarse cells at  $c_v$  can be calculated based on that at  $c'_v, c_1$ , as follows:

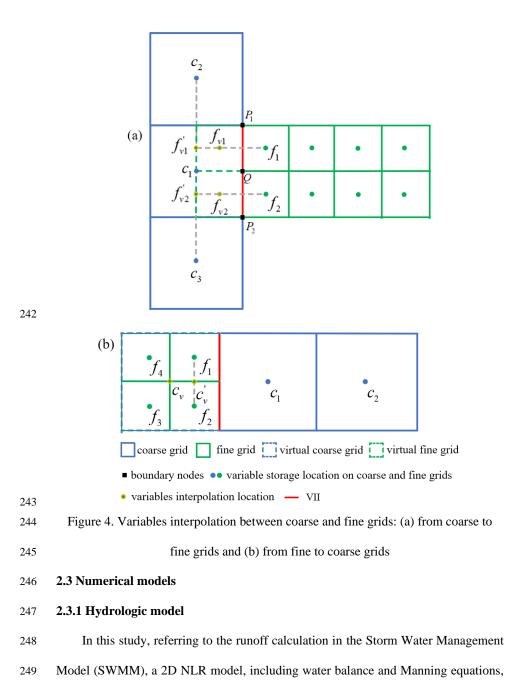
236 
$$U_{c_{v}} = U_{c_{v}} + \frac{U_{c_{1}} - U_{c_{v}}}{x_{c_{1}} - x_{c_{v}}} (x_{c_{v}} - x_{c_{v}})$$
(6)

where  $U_{c_v}$  are the variables of ghost fine cells;  $U_{c_1}$  are the variables at  $c_1$ , which were calculated in the last time step;  $x_{c_1}, x_{c_v}, x_{c_v}$  are the coordinates in x direction at  $c_1, c_v, c_v$ respectively. The values at  $c_v$  were used as boundary conditions for the calculation of coarse

241 grids at the next time step.







250 was used to simulate rainfall-runoff. In SWMM, the watershed is divided into many

251 water tanks or reservoirs, where 1D NLR model including water balance and 1D

252 Manning equations is used to simulate the runoff (Rossman, 2015). It is a simple and





- efficient method to calculate the runoff routing. In reality, however, the runoff routingis a 2D way, so it is not accurate to calculate the 2D runoff routing using 1D NLR model.
- 255 Also, it is difficult to directly couple the 1D NLR model with 2D hydrodynamic model.
- 256 Therefore, the 2D NLR model was used to simulate the 2D surface runoff routing in
- this study, as shown in Eqs. (7-11).

258 
$$\frac{V_i^{n+1} - V_i^n}{\Delta t} = (Q_x)_{in\,i} - (Q_x)_{out\,i} + (Q_y)_{in\,i} - (Q_y)_{out\,i} + A_i q_{r\,i}^n \tag{7}$$

259 
$$\left( Q_x \right)_{in i} - \left( Q_x \right)_{out i} = -\sum_{l=1}^{L} \left( q_x^n \cdot n_x \right)_l \Delta L_l$$
 (8)

260 
$$\left( Q_y \right)_{in\,i} - \left( Q_y \right)_{out\,i} = -\sum_{l=1}^L \left( q_y^n \cdot n_y \right)_l \Delta L_l$$
 (9)

261 
$$q_x = \frac{h^{5/3} S_x^{1/2}}{n_r}$$
(10)

262 
$$q_{y} = \frac{h^{5/3} S_{y}^{1/2}}{n_{r}}$$
(11)

263 where the superscript *n* and n+1 is the time step; *V* is the water volume of grid (m<sup>3</sup>); 264  $(Q_x)_{ini}, (Q_x)_{out i}$  is the inflow and outflow of grid *i* in *x* direction (m<sup>3</sup>/s);  $(Q_y)_{ini}, (Q_y)_{out}$  is the inflow and outflow of grid *i* in *y* direction (m<sup>3</sup>/s);  $q_{ri}$  indicates 265 runoff rate of grid *i* (mm/h), which is rainfall intensity minus infiltration rate;  $A_i$  is the 266 267 area of grid i (m<sup>2</sup>);  $q_x, q_y$  are the unit discharge stored at cell-center along x and y direction (m<sup>2</sup>/s), with h, u and v being water depth (m), flow velocity (m/s) in x and y 268 directions, respectively;  $q_{x\,\Gamma}, q_{y\,\Gamma}$  are the unit discharge at grid boundary in x and y 269 direction, respectively (m<sup>2</sup>/s), which are calculated based on  $q_x, q_y$ ;  $\Delta L_t$  is the side 270 271 length of grid (m); l = 1, 2, 3, ..., L is the number of edges of cell;  $n_r$  is the Manning roughness coefficient;  $S_x$  and  $S_y$  are water level gradients along x and y direction, 272





273 respectively, 
$$S_x = -\frac{\partial}{\partial x}(z_b + h), S_y = -\frac{\partial}{\partial y}(z_b + h)$$
, where  $z_b$  is the surface elevation.

# 274 2.3.2 Hydrodynamic model

275 The 2D shallow water equations (SWEs), consisting of mass and momentum conservation equations (Toro 2001), were used to represent the hydrodynamic model. 276 The Godunov-type fine volume scheme with a Harten-Lax-van Leer contact (HLLC) 277 approximate Riemann solver (Toro et al., 1994) was adopted to solve the 2D SWEs, 278 279 and the second-order accuracy in temporal and spatial discretization was obtained based on the Runge-Kutta method and Monotone Upstream-centered Schemes for 280 281 Conservation Laws (MUSCL) (Van Leer, 1979). The solution of SWEs was detailed in 282 many references (Toro 2001; Jiang et al., 2021).

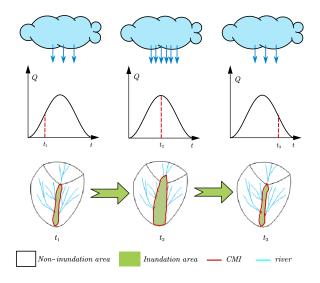
#### 283 **2.4 Dynamic bidirectional coupling of hydrologic and hydrodynamic models**

The hydrologic and hydrodynamic models were coupled dynamically and bi-284 285 directionally. A water depth threshold was defined in advance and used to determine the state of the cell. In a grid cell, if the water depth was lower than the predefined 286 287 threshold, it was defined as a non-inundation region where the hydrologic model was applied. Conversely, if the water depth was higher than the threshold, it was considered 288 an inundation region where the 2D hydrodynamic model was applied. When the rainfall 289 intensity increased, the water depth increased because of the gradual accumulation of 290 surface water volume. Once the water depth exceeds the predefined threshold, the non-291 inundation regions defined last time step may change to the inundation regions. The 292 inflow discharge positions, flow path, and discharge values subsequently changed, as 293 294 shown in Figure 5. Therefore, a CMI was formed between the inundation and noninundation regions. The hydrologic and 2D hydrodynamic models were coupled bi-295 directionally, and the coupling interface was moving and time-dependent. The key issue 296 297 with the coupled model was to establish a reasonable approach for determining the





- 298 fluxes passing through the coupling interface, which should integrate the effect of the
- 299 current flow state obtained from these two models on both sides of the coupling
- 300 interface. The coupling method was described by Jiang et al. (2021).



### 302 Figure 5. Variation in non-inundation and inundation regions with changing rainfall

303

301

conditions

304 **2.5 Time step** 

An explicit scheme was used to solve the hydrologic and hydrodynamic models over time. The time step was constrained by the Courant-Friedrichs-Lewy condition (Delis and Nikolos, 2013), where the time step was a dynamic adjustment based on the velocity and water depth in the computational domain. Different time steps were adopted for the coarse and fine grids, and the time step of the fine grids was determined as follows:

311 
$$\Delta t_f = C \cdot min\left(\frac{min(\Delta x_f)}{max(|u_f| + \sqrt{gh_f})}, \frac{min(\Delta y_f)}{max(|v_f| + \sqrt{gh_f})}\right)$$
(12)

312 where  $\Delta t_f$  is the time step of fine grids; *C* is a constant used to maintain format stability;





- 313  $\Delta x_f$  and  $\Delta y_f$  are the side lengths of fine grid in x and y directions;  $u_f$  and  $v_f$  are the
- flow velocities on fine grids along x and y directions, respectively;  $h_f$  is the water depth
- on fine grids.
- 316 The time step of the coarse grids  $(\Delta t_c)$  was determined based on that of the fine
- 317 grids. If the size of the coarse grid was k times that of the fine grid, the time step of the
- 318 coarse grid was determined to be  $\Delta t_c = k \Delta t_f$ .
- 319 3 Results

The performance of the IM-DBCM was analyzed by applying it to two 2D rainfallrunoff experiments and one real-world flooding process. And the OM-DBCM developed by Shen et al. (2021) was applied to the same cases for comparison with the IM-DBCM.

# 324 **3.1 Rainfall over a plane with varying slope and roughness**

In this case, a sloping plan measuring  $500m \times 400m$  was designed, with slopes  $S_{ox} = 0.02 + 0.0000149x$  and  $S_{oy} = 0.05 + 0.0000116y$  along the x and y directions, respectively (Jaber and Mohtar, 2003). The Manning coefficient is equal to  $n = \sqrt{n_x^2 + n_y^2}$ , where  $n_x = 0.1 - 0.0000168x$  and  $n_y = 0.1 - 0.0000168y$ . The rainfall intensity is given by a symmetric triangular hyetograph r = r(t), with r(0) = r(200 min) = 0 and  $r(100 \text{ min}) = 0.8 \times 10^{-5} \text{ m/s}$ . The total simulation time was 14,400 s.

Different cases with various grid resolutions were developed to divide the computational domain based on the D $\infty$  algorithm, as listed in Table 1. In these cases, the size of all the fine grids was  $1m \times 1m$ . The grid discretization of different cases is shown in Figure S1 in Supplement.

336 Table 1 Different cases designed to simulate





Cases	The ratio of coarse to fine grids	Number of grids
case12	1:2	112,100
case15	1:5	86,840
case10	1:10	83,220

The hydrographs at the outlet node of coordinates of (500m, 400m) obtained from different models were shown in Figure 6. A model proposed by Jaber and Mohtar (2003) was also used to simulate the overland runoff. Because very fine grids and small time step were used to divide the computational domain to obtain more accurate results in the model developed by Jaber and Mohtar (2003), the results calculated by Jaber and Mohtar (2003) can be used as a reference solution.

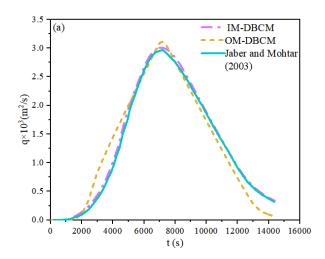
From Figure 6, the IM-DBCM held a shape close to the results simulated by Jaber 343 and Mohtar (2003) in all cases, as well as the peak discharge. But the peak discharge 344 of the hydrograph is slightly overestimated by the OM-DBCM, which may be attributed 345 to the difference in the variable interpolation between the coarse and fine grids. In the 346 OM-DBCM, variables at the interpolation interface were equal to that at the cell center, 347 which was then used to interpolate variables between the coarse and fine grids through 348 shared and hanging nodes. This interpolation method had two drawbacks. Firstly, it is 349 not reasonable to assume the variables at the interpolation interface are equal to that at 350 351 the cell center, and the resulting error could increase as the grid size increases. Besides, compared with bilinear interpolation, the values at the hanging nodes are calculated by 352 353 linear interpolation through shared nodes, which may result in relatively large errors. 354 The results show that the methods to interpolate variable between the coarse and fine 355 grids by developing ghost cells proposed in this study has acceptable accuracy.

To quantitatively assess the performance of IM-DBCM, the Root Mean Square Error (RMSE) of different cases was computed. The RMSEs of case12, case15 and case10 were 4.01E-04, 7.85E-03 and 3.25E-02, respectively. It is shown that the error





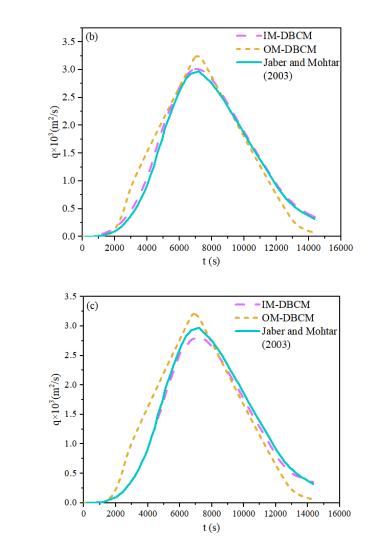
gradually increased with the increasing of the ratio of coarse to fine grids. The IM-359 360 DBCM may capture the shape of the hydrograph in case12 and case15, both in limbs and peak discharge, but the peak discharge is slightly underestimated in case10. A 361 362 possible explanation is that, compared to the coarse grids, the fine grids could better capture the geometry of the channel cross-sections. High-resolution grids can better 363 364 represent small-scale topographic features and flow passages (Hou et al., 2018); consequently, the simulation results on case12 and case15 are more satisfactory than 365 those on case10. Similarly, the simulation accuracy of the OM-DBCM also gradually 366 decreased with the increasing of the ratio of coarse to fine grids. Overall, the benefit of 367 using the IM-DBCM for the flood simulations is evident. 368

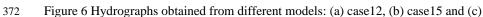


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case10

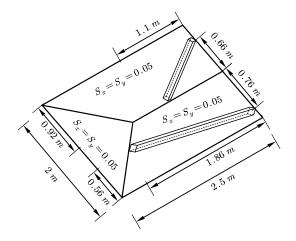
# 374 3.2 2D rainfall-runoff experiment

In this case, the IM-DBCM was used to compute the hydrograph generated by uniform rainfall conditions over a simple 2D geometry. The numerical results were compared with experimental data obtained in a laboratory model developed by Cea et al. (2008). The 2D geometry used in the experiment comprised a rectangular basin





- 379 composed of three stainless-steel planes, each with a slope of 0.05. The basin had two
- 380 walls that increased the residence time of the runoff in the basin and the length of the
- outlet hydrograph. The geometric dimensions of the basin are shown in Figure 7.



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Figure 7. Geometry and size of the 2D basin for the rainfall-runoff experiment Two rainfall intensities were simulated. In case01, the rainfall intensity was 317 mm/h for 45 s. In case02, the rainfall had an intensity of 320 mm/h for 25 s, then it stopped for 7 s and started again continuing for 25 s with an intensity of 328 mm/h.

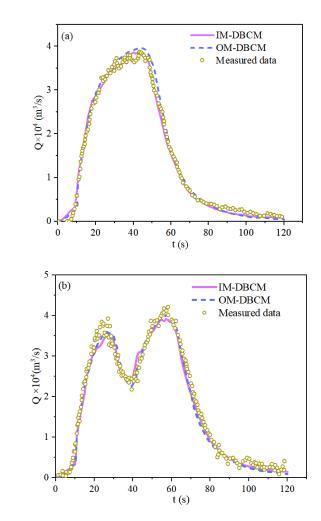
The computational basin was divided into coarse and fine grids based on the D $\infty$ algorithm. The size of the fine grids was  $0.01 \text{m} \times 0.01 \text{m}$ , whereas that of the coarse grids was  $0.02 \text{m} \times 0.02 \text{m}$ . The grid partition is presented in Figure S2 in Supplement. According to Cea et al. (2008), the Manning coefficient was  $0.009 \text{ s/m}^{1/3}$ .

Figure 8 shows a comparison between the numerical and experimental outlet hydrographs. The shape of hydrographs was well predicted in both cases, indicating that the IM-DBCM could capture the flow process and exhibited satisfactory accuracy. In case02, the first peak discharge rate occurred when the rainfall stopped for the first time. Subsequently, the discharge rate began to decrease. After 7 s, rainfall started again, and the discharge rate continued to decrease. The RMSEs of discharge simulated by





IM-DBCM in case01 and case02 were 0.107 and 0.023 respectively. The numerical
results were in good agreement with the experimental data. Compared to the results
obtained from OM-DBCM, the simulation results obtained from IM-DBCM were
closer to the experimental data. The results for case01 were slightly over-predicted by
the OM-DBCM.



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404 Figure 8. Simulated and measured discharge rate at different cases: (a) case01 and (b)

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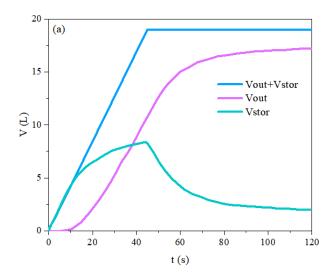
To verify the conservation of the IM-DBCM, the inflow and outflow of different

case02





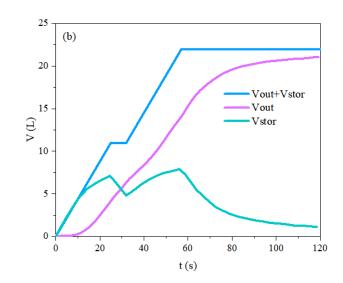
cases in this basin were determined to represent the water balance, as shown in Figure 407 408 9. In case01, the outflow increased with the increasing of simulation time, whereas the water storage increased first and then decreased. When the rainfall stopped at 45 s, 409 410 water was discharged from the basin; therefore, the water storage decreased. The sum 411 of the outflow and storage was equal to the accumulated rainfall, indicating that the IM-412 DBCM can ensure the conservation of water mass. In case02, the outflow continuously increased. Two peak flows were observed for the water storage, which was caused by 413 414 the intermittent rainfall. Overall, the sum of the outflow and water storage was equal to the accumulated rainfall, indicating that the IM-DBCM ensured mass conservation. 415



416







417

418 Figure 9. Inflow and outflow for different cases: (a) case01 and (b) case02, where

419 "Vout" refers to the outflow and "Vstor" refers to water storage in the computational

basin

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# 3.2 Flood simulation in a natural watershed

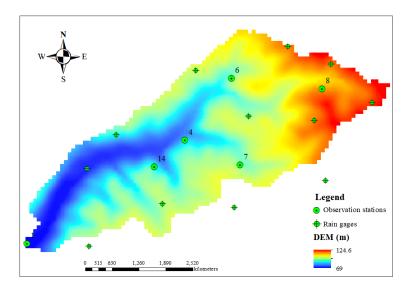
The Goodwin Creek watershed, located in Panola County, Mississippi, USA, is 422 423 often selected as a benchmark to assess the capability of flood models because of 424 sufficient available observed data. Drainage is westerly to Long Creek which flows into the Yocona River, one of the main rivers of the Yazoo River, a tributary of the 425 Mississippi River. The Goodwin Creek watershed covers an area of 21.3 km<sup>2</sup>. The 426 427 overall terrain gradually decreased from northeast to southwest, which is consistent with the trend of the main channel, and the elevation ranged from 71 to 128 m. The 428 computational basin and bed elevations are shown in Figure 10. 429

Land use in this watershed was divided into four classes including forest, water,
cultivated, and pasture, and their Manning coefficients were 0.05, 0.01, 0.03, and 0.04,
respectively (Sánchez, 2002). The infiltration coefficients of different soil types were
determined according to Blackmarr (1995). The rainfall event in sixteen rain gages (see





Figure 10) of October 17, 1981 was chosen for simulation (Sánchez, 2002), and the inverse distance interpolation method (Barbulescu, 2016) was used to calculate the precipitation over the entire watershed. The rainfall duration was 4.8 h. Rainfall was spatially distributed at different times, as shown in Figure S3 in Supplement. There were measured data in six observation stations (i.e., 1, 4, 6, 7, 8 and 14) Blackmarr (1995), whose locations were shown in Table S1 in Supplement, and the simulated results were compared with the measured data in these stations.



441 442

Figure 10. Overview of the Goodwin Creek watershed

The simulations were performed for 12 h. Different cases with various grid resolutions were developed to verify the computational efficiency and numerical accuracy of IM-DBCM, as listed in Table 2. In M-DBCM, the rivers were covered by fine-grid cells with dimensions of 10 m  $\times$  10 m, whereas the coarseness in the rest of the domain was increased to higher levels, as presented in Figure S4 in Supplement.

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Table 2. Different cases designed to simulate the Goodwin Creek watershed

Cases	The ratio of coarse to fine grids	Number of grids
case12	1:2	104,555





case15	1:5	65,240
case10	1:10	59,431

The OM-DBCM was also used to simulate the rainfall runoff with the same 449 resolutions. The Nash-Sutcliffe efficiency (NSE) was used to quantify errors in each 450 model. NSE ranges between  $-\infty$  and 1.0, with NSE=1 being the optimal value. The 451 NSEs of IM-DBCM and OM-DBCM were shown in Table 3. From this table, the NSEs 452 453 of IM-DBCM were higher than that of OM-DBCM at most stations, which was probably caused by the different interpolation method at the interface between coarse 454 and fine grids. It is verified that the IM-DBCM has relatively high accuracy in 455 simulating rainfall-runoff. In OM-DBCM, it is unreasonable to make the variables at 456 the interface between coarse and fine grids equal to that at the cell center, which can 457 bring errors. The induced error will increase as the ratio of coarse and fine grids increase. 458 459 Therefore, it is also observed that the NSEs of OM-DBCM decreased with the increased ratio of coarse and fine grids. It is indicated that the ghost cells and bilinear interpolation 460 461 used in the IM-DBCM to interpolate variables between coarse and fine grids can make 462 the simulation more reasonable.

463 Table 3 NSEs of different models ("IM" and "OM" refer to IM-DBCM and OM-

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DBCM, respectively)

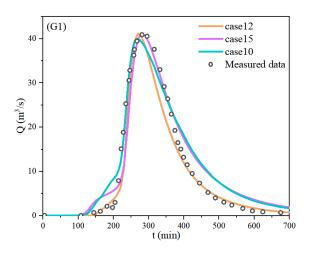
Station	(	G1 G4 G6 G7		G8		G14						
Model	IM	ОМ	IM	OM	IM	OM	IM	OM	IM	OM	IM	ОМ
case12	0.9496	0.9108	0.9611	0.9011	0.9904	0.8982	0.9658	0.9004	0.9435	0.9104	0.9311	0.8804
case15	0.9399	0.8766	0.9404	0.8800	0.9426	0.8819	0.9258	0.8931	0.9341	0.8942	0.9001	0.7942
case10	0.9207	0.8261	0.8907	0.8435	0.9513	0.7977	0.9358	0.8525	0.9358	0.8678	0.9135	0.8078





Figure 11 shows a comparison of the measured and simulated hydrographs by IM-465 DBCM at the monitoring gauges, whose locations are presented in Figure 10. At all 466 gauges, the hydrographs obtained from different cases were well aligned with the 467 468 measured data, which indicates that the IM-DBCM could reliably reproduce the flood wave propagation in the complex topography. The results of case12, in general, were 469 470 better than those of case15 and case10, especially at station G1. A possible explanation 471 is that a finer grid is needed to better capture the watershed geometry and obtain more satisfactory simulation accuracy. The cell size of case15 and case10 is larger than that 472 473 of case12.

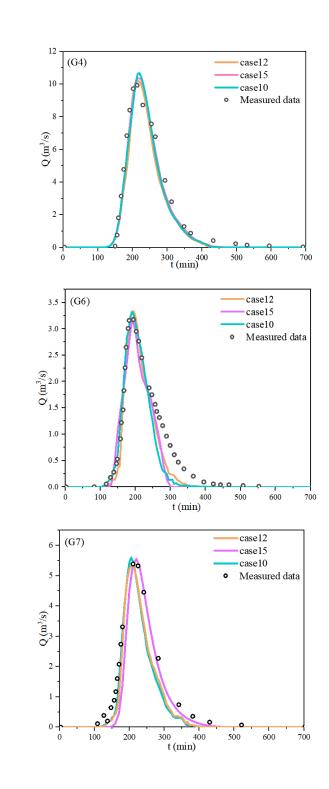
Compared with other stations, at station G1, the simulation results obtained from 474 case15 and case10 deviated substantially from the measured data, especially at receding 475 476 limb of the hydrographs. We deduced that the reason for this discrepancy is not the 477 mesh partitioning, but the location of the G1. G1 is located at the watershed outlet, where water flows out of the watershed from here. The errors generated upstream may 478 479 be accumulated at this station. Despite the deviation, the overall trend of the hydrographs indicated that the IM-DBCM is satisfactory and can reliably reproduce 480 481 flood wave propagation in complex topography.



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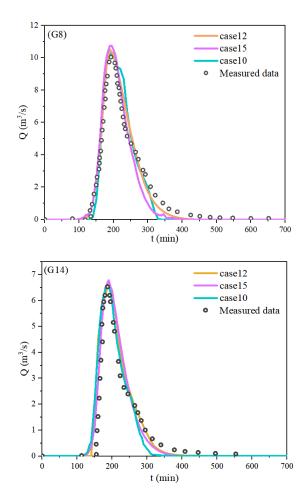
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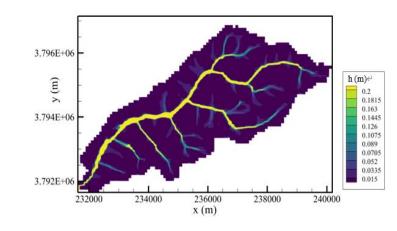
Figure 11. Hydrographs obtained from different cases

The water depth and location of the coupling interface at different times are shown 489 in Figure 12. The position of the coupling interface was time-dependent. From 0 to 5 h, 490 the water depth in the computational basin increased with the rainfall. Once the water 491 492 depth was higher than the predefined threshold, the regions were defined as inundation 493 regions and the hydrodynamic model was used to simulate the rainfall runoff. The water 494 depth peaked in the watershed at 5 h, as shown in Figure 12(a1), and most of the regions 495 were defined as inundation regions, as shown in Figure 12(a2). After 5 h, when rainfall stopped, the water depth in the computational basin decreased (Figure 12(b1)). When 496





the water depth was lower than the predefined threshold, the inundation regions defined last moment became non-inundation regions. Accordingly, as shown in Figure 12(b2), the non-inundation regions expanded, whereas the inundation regions decreased. The location of the coupling interface was shifted to the inundation regions defined at the last moment. The results indicated that the coupling interface shifted during the simulation, which was consistent with the flood migration process.

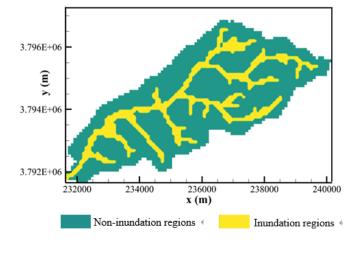


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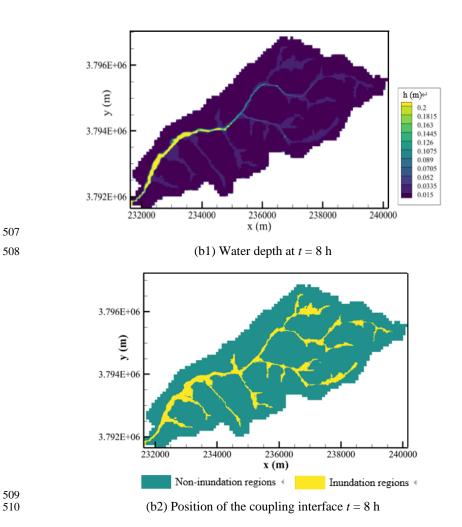
(a1) Water depth at t = 5 h

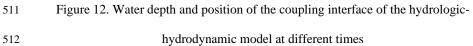










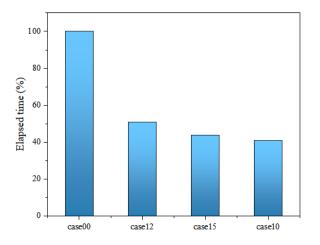


In terms of efficiency, the total execution time of IM-DBCM was compared with the uniform grid-based model (case00), as shown in Figure 13. The total execution time of the different cases ranked from highest to lowest is as follows: case00 > case12> case15> case10. Uniform fine grids were used to divide the computing zones in case00, and 207,198 computational grids were generated. Compared with case00, most of the areas were discretized with coarse grids, and only a small part of the regions was

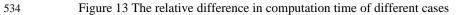




519	calculated based on fine grids in IM-DBCM; the computational grids of the multi-grid-
520	based model (Table 2) were considerably lower than that of case00. Furthermore,
521	case12 required less computational time than case15 and case10. The size of the fine
522	grid cell was the same (10 m $\times$ 10 m) in case12, case15 and case10. However, the size
523	of the coarse grid cell was twice that of the fine grids in case02, whereas the size of
524	coarse grid cell was five or ten times that of fine grids in case 15 or case10. Therefore,
525	fewer computational grid points were presented in case15 and case10, which required
526	less time for calculation, and the computational efficiency could be further improved.
527	This indicates that the computational time decreases when the size ratio of the coarse
528	grid to the fine grid increases. Thus, the advantages of using IM-DBCM based on multi-
529	grids for large-scale flood simulations are evident. The difference in total runtime
530	between the IM-DBCM and OM-DBCM is the time spent on grid partitioning. In the
531	OM-DBCM, the computational domain is divided manually, which is highly subjective,
532	and the computational time varied from person to person.



533



# 535 4 Conclusions

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An improved dynamic bidirectional coupled hydrologic-hydrodynamic model





based on multi-grid (IM-DBCM) was presented in this study. A multi-grid system was 537 generated based on the  $D\infty$  algorithm, dividing regions that required high-resolution 538 539 representation using fine grids and the rest using coarse grids to reduce computational 540 load. A two-dimensional non-linear reservoir was adopted in the hydrologic model, while two-dimensional shallow water equations were applied in the hydrodynamic 541 542 model. The hydrologic model was applied to the coarse-grid regions, whereas the 543 hydrologic and hydrodynamic models were coupled in a bidirectional manner for the fine-grid areas. Different time steps were adopted in coarse and fine grids. Ghost cells 544 545 and bilinear interpolation were used to interpolate variables between coarse and fine 546 grids. The hydrologic and hydrodynamic models were dynamically and bidirectionally coupled with a time-dependent and moving coupling interface. 547

548 The performance of IM-DBCM was verified using three cases. The IM-DBCM 549 was demonstrated to effectively simulate flow processes and ensure reliable simulation. Compared with the OM-DBCM, the results obtained from the IM-DBCM were well 550 551 aligned with the measured data, and it could reliably reproduce the flood wave propagation in complex topography. In addition to producing numerical results with 552 similar accuracy, the IM-DBCM saved computational time compared with the model 553 554 on fine grids. Furthermore, a moving coupling interface between the hydrologic and hydrodynamic models was observed in the IM-DBCM. The IM-DBCM has both high 555 computational efficiency and numerical accuracy, which was adapted adequately to the 556 real-life flooding process and provided practical and reliable solutions for rapid flood 557 prediction and management, especially in large watersheds. 558

559 The IM-DBCM accurately and efficiently reproduces the flooding process and has 560 the potential for a wide range of practical applications. Adding a one-way 561 hydrodynamic model to the model could further enhance its performance. A one-way





- 562 model can simulate flow in a narrow river, saving more time than using a two-way
- 563 hydrodynamic model.

# 564 Data availability

Model simulation and calibration data are available upon request from the corresponding author. Digital elevation model data are provided by the Geospatial Data Cloud at <u>http://www.gscloud.cn</u>. The data sets of Soil Properties and Land cover are provided by Sánchez (2002) and Blackmarr (1995). The rainfall and measured data were Blackmarr (1995).

# 570 Author contributions

- Yanxia Shen designed the methodology and carried out the investigation. Qi Zhou
  provided the original model input data. The study was supervised by Chunbo Jiang.
  Yanxia Shen prepared the first draft of the manuscript and Zhenduo Zhu revised and
  improved the original manuscript. **Competing interests**The authors declare that they have no conflict of interest.
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