An improved dynamic bidirectional coupled hydrologic-1 hydrodynamic model for efficient flood inundation prediction 2 Yanxia Shen, Zhenduo Zhu, Qi Zhou, Chunbo Jiang* 3 State Key Laboratory of Hydroscience and Engineering, Department of Hydraulic 4 5 Engineering, Tsinghua University, Beijing, 100084, China 6 Abstract: To improve computational efficiency while maintaining numerical accuracy, 7 coupled hydrologic-hydrodynamic models based on non-uniform grids are used for 8 flood inundation prediction. In those models, a hydrodynamic model using a fine grid 9 can be applied for flood-prone areas, and a hydrologic model using a coarse grid can 10 be used for the remaining areas. However, it is challenging to deal with the separation and interface between the two types of areas because the boundaries of the flood-prone 11 12 areas are time-dependent. We present an improved Multigrid Dynamical Bidirectional Coupled hydrologic-hydrodynamic Model (IM-DBCM) with two major improvements: 13 14 1) automated non-uniform mesh generation based on the $D\infty$ algorithm was implemented to identify the flood-prone areas where high-resolution inundation 15 conditions are needed; 2) ghost cells and bilinear interpolation were implemented to 16 17 improve numerical accuracy in interpolating variables between the coarse and fine grids. A hydrologic model, two-dimensional (2D) nonlinear reservoir model was 18 bidirectionally coupled with a 2D hydrodynamic model that solves the shallow water 19 20 equations. Three cases were considered to demonstrate the effectiveness of the 21 improvements. In all cases, the mesh generation algorithm was shown to efficiently and 22 successfully generate high-resolution grids in those flood-prone areas. Compared with 23 the original M-DBCM (OM-DBCM), the new model had lower RMSEs and higher

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NSEs, indicating that the proposed mesh generation and interpolation were reliable and stable. It can be adapted adequately to the real-life real flood evolution process in watersheds and provide practical and reliable solutions for rapid flood prediction.

Key words: Coupled hydrologic-hydrodynamic model; Multi-grid generation; Bilinear
interpolation; Computational efficiency and accuracy; Flood simulation

29 **1 Introduction**

Floods are the most frequent natural disasters that seriously harm human health and economic growth. Numerical models are critical for predicting flooding processes to help prevent or mitigate the damaging effects of floods on people and communities (Bates, 2022). Coupled hydrologic-hydrodynamic models are widely used to translate the amount of rainfall obtained from weather forecasting models or rain gauge observations into surface inundation (Xia et al., 2019).

Coupled hydrologic-hydrodynamic models can be generally divided into external 36 (one-way) and internal (two-way) coupling models (see Figure 1). The external 37 38 coupling models utilize hydrographs obtained from hydrologic models as an input for 39 hydrodynamic models in a fixed position, providing a one-way transition (Schumann et al., 2013; Feistl et al., 2014; Choi and Mantilla, 2015; Bhola, 2018; Wing et al., 2019). 40 41 It is powerful tools for watershed flood simulation, in particular large spatial and 42 temporal scale, due to its convenience in model construction. However, this one-way 43 flow information cannot capture the mutual interaction between runoff production and flood inundation, and the fixed interface is inconsistent with the actual flood process 44 where the inflow discharge positions, flow path, and discharge values change with 45 46 accumulating rainfall.



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Figure 1 Classifications of coupled hydrologic and hydrodynamic models 48 49 The two-way coupling models are further divided into: the coupled hydrologic-1D hydrodynamic model (HH1D), indirect coupled hydrologic-2D hydrodynamic models 50 51 (ICM2D), full 2D hydrodynamic models (HM2D), and dynamic bidirectional coupling 52 model (DBCM or M-DBCM) proposed by author's team. In the HH1D, the discharges obtained from the hydrologic model is treated as mass source of the 1D hydrodynamic 53 54 model, while the water depth calculated in 1D hydrodynamic model is fed back to 55 hydrologic model, such as the coupled Mike SHE and Mike 11 (Thompson et al., 2004). The application of 1D modeling of overland flow is limited when developing precise 56 57 and reliable flood maps in 2D inundation regions.

In order to overcome the lack of 2D hydrodynamic simulation in HH1D, the 58 59 ICM2D is proposed, where the runoff first flows into 1D rivers, and then discharge into the 2D inundation regions (Seyoum et al., 2012; Chen et al., 2017 and 2018). For 60 61 example, Mike SHE and Mike11 are coupled to form Mike Urban, and Mike11 and 62 Mike21 are dynamically coupled to form Mike Flood. The indirect coupling between 63 the hydrologic and the 2D hydrodynamic models can be developed by coupling Mike Urban and Mike Flood. The 1D hydrodynamic model is a connection channel between 64 65 the hydrologic and the 2D hydrodynamic models. Compared with the HH1D, this coupling type has satisfactory and acceptable accuracy and is widely used. As the 2D 66

hydrodynamic model is only calculated in local inundation regions, its computational 67 efficiency is greatly improved in comparison with the HM2D. However, the ICM2D 68 69 assumed that the water first discharges into the 1D rivers, and then flows from 1D rivers 70 to the 2D regions. The hydrologic model is not directly coupled with the 2D 71 hydrodynamic model, which is inconsistent with the actual flood processes. In reality, water may be discharged into both 1D channel and 2D waterbodies simultaneously, and 72 73 the hydrologic and 2D hydrodynamic models should be linked directly. Direct coupling 74 of hydrologic and 2D hydrodynamic models can physically reflect the flood processes, 75 which deserves more attention.

76 In HM2D, the 2D hydrodynamic model is used to simulate the overland flow (runoff routing and flood inundation), and the runoff generation serves as its mass 77 78 source term (Singh et al., 2011; Garcia-Navarro et al., 2019; Hou et al., 2020; Costabile 79 and Costanzo, 2021). It has satisfactory and acceptable numerical accuracy and has been widely used. But the development and simulation of HM2D require high-80 81 resolution topographic data at the catchment scale and extensive computational time, 82 which hinder their application in large-scale flood forecasting (Kim et al., 2012). In 83 HEC-RAS (US Army Corps of Engineers, 2023), for instance, the flooding process in 84 1D rivers was simulated by a 1D hydrodynamic model, whereas the flooding process 85 in 2D regions was simulated using 2D diffusion wave equations (DWEs) or shallow 86 water equations (SWEs). If the 2D regions were discretized into finer grids and the 2D SWEs was applied, the 1D hydrodynamic model was coupled with the 2D SWEs. It has 87 high numerical accuracy but is computationally prohibitive for large-scale applications. 88 89 Conversely, if the 2D regions were discretized into coarse grids and the 2D DWEs was 90 applied, the 1D hydrodynamic model was coupled with the 2D DWEs, which can 91 expand the application scale at the cost of reducing the accuracy.

92 Jiang et al. (2021) proposed a DBCM based on uniform structured grids, where the hydrologic and 2D hydrodynamic models were coupled in a two-way manner and 93 94 the coupling interface of these two models was time-dependent. The model can 95 automatically evolve the surface flow and fully consider the flow states with both mass and momentum transfer. However, because uniform grids were adopted in DBCM, it 96 inevitably increased the computational cost and time, especially in the large watershed. 97 98 An essential consideration to reduce computational time is mesh coarsening (Caviedes-Voullième et al., 2012). Adaptive mesh refinement (AMR) has been used to 99 100 optimize the grid resolution during flood simulations (Donat et al., 2014; Hu et al., 2018; 101 Ghazizadeh, 2020; Ding et al., 2021; Kesserwani and Sharifian, 2023). Aiming to 102 increase computational efficiency by reducing computing nodes, it adjusts grid size for 103 local grid refinement by domain features or flow conditions. Yu (2019) used quadtree 104 grids to divide the computational domain and applied the DBCM to simulate the flooding process. It needs to segment and merge the grid elements repeatedly during 105 106 the calculation, which can be time-consuming and offset the calculation time saved by the optimized grid. AMR is commonly employed in scenarios where flow 107 characteristics exhibit abrupt variations, such as aerodynamic shock waves, hydraulic 108 109 jumps, and seismic tsunami waves. Capturing discontinuous solutions necessitates local 110 grid refinement, with the location of refinement dynamically adapting to the position 111 of the discontinuities. AMR is indispensable for this purpose. Flow characteristic 112 variations arising from abrupt geometric changes in the computational domain can be captured using static local refinement grids, provided that the extent of these changes 113 114 is limited. This approach offers computational time savings.

Static non-uniform grids simplified grid generation procedure compared with
AMR (Caviedes-Voullième et al., 2012; Hou et al., 2018; Bomers et al., 2019; Ozgen-

Xian et al., 2020). Compared with uniform grids and AMR, it can not only reduce 117 computational nodes, but use different time steps in different grid sizes to further reduce 118 119 computation time. Shen et al. (2021) and Shen and Jiang (2023) divided the computational domain based on static multi-grids, where the different grid size ratios 120 of coarse to fine grids were designed. But there were two limitations to this scheme. 121 One limitation is that the grids need to be generated manually, which can be subjective 122 123 and uncertain. It also needs a heavy workload, especially for large watersheds. Besides the grid generation, the variable interpolation between the coarse and fine cells was also 124 125 not reasonable. There are shared and hanging nodes at the interpolation interface. Shen et al. (2021) assumed the variables at the shared nodes were equal to that at the cell 126 center, and the hanging nodes were calculated by the shared nodes. The results showed 127 128 that this scheme has unsatisfactory accuracy and frequently fails to converge. Although the multi-grid-based model can reduce computational time, there are remarkable 129 challenges such as the grid partition technique, determination of coarse and fine regions, 130 131 and variables interpolation between coarse and fine grids.

The objective of this study is to develop an integrated system that fully couples 132 the hydrologic and 2D hydrodynamic models, utilize an automated method for efficient 133 multi-grid mesh generation, and resolve variable interpolation between coarse and fine 134 grids more accurately. An improved dynamic bidirectional coupling model (IM-DBCM) 135 136 was presented, where the 2D nonlinear reservoir (NLR) model was coupled with the 2D hydrodynamic model through a CMI. The $D\infty$ algorithm was implemented to divide 137 the computational domain into non-uniform grids automatically. Ghost cells (i.e., the 138 139 virtual cells located on the boundaries of the computational domain) and bilinear 140 interpolation were used to interpolate variables between the coarse and fine grids. Three 141 case studies were conducted, and the simulation results were compared with the original

142 M-DBCM (OM-DBCM) to evaluate the effectiveness of the improvements.

143 2 Methodology

144 The Fortran programming language was adopted to apply the coupling model. The framework of IM-DBCM is illustrated in Figure 2. The model consists of two 145 components: a hydrologic model (i.e., 2D NLR) that simulates the runoff generation 146 and routing, and 2D hydrodynamic model simulating the flood inundation process. 147 148 Before the model setup, it is required to first design the grids. Static multi-grids were applied to the model. For the model execution, the variables interpolation between 149 150 coarse and fine grids and the coupling of hydrologic and hydrodynamic models are the two main issues that must be addressed. 151



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Figure 2 Framework of IM-DBCM

154 2.1 Automated multi-grid generation

Associated with flood models, the design of computational grids that are scalable and suitable for all applications is challenging. The grid generation can be considered as a model preprocess, which is the foundation of flood simulation and can influence both computational accuracy and efficiency. In this study, a multi-grid generation method was proposed based on the $D\infty$ algorithm, to generate refined grid cells at floodprone areas where high-resolution representation of topographic features is essential for flood simulation while discretizing the rest of the domain using coarse grids. The $D\infty$

algorithm is a method of representing flow directions based on triangular facets in grid 162 DEM proposed by Tarboton (1997). It allocates the flow fractionally to each lower 163 neighboring grid in proportion to the slope toward that grid. The flow direction is 164 determined as the direction of the steepest downward slope on the eight triangular facets 165 formed across a 3 × 3-pixel window centered on the pixel of interest, which was detailed 166 by Tarboton (1997). Compared with the D8 algorithm, where the flow is discretized 167 168 into only one of eight possible directions, separated by 45° , the D ∞ algorithm is more reasonable and accurate for delineating the actual river trend. 169

170 The process of discretizing computational domain based on the $D\infty$ algorithm is shown in Figure 3. First, a raw DEM was prepared, and sink filling was performed on 171 the DEM. Second, the $D\infty$ algorithm was applied to determine the flow direction on 172 173 grids. Subsequently, the upslope area, defined as the total catchment area that is 174 upstream of a grid center or short length of contour (Moore et al., 1991), was calculated based on the flow direction. Finally, an area threshold was defined to identify the slope 175 lands and derive the river drainage networks from accumulated drainage areas. In a grid 176 cell, if the upslope area was larger than the predefined threshold, it was considered as a 177 river drainage network; otherwise, it was defined as slope lands. The generated slope 178 179 lands and river network were verified through field surveys or satellite images-based 180 estimates. Generally, the river drainage networks present low slopes and hydraulic 181 conveyance, which is subject to flooding. Areas prone to waterlogging, characterized by persistent water saturation, frequently occur adjacent to rivers. The dynamics of 182 inundation in these low-lying zones constitute a central aspect of our investigation. 183 184 Therefore, these areas should be discretized using fine grids to represent the flooding process in high resolution. However, in the slope lands, fine grids were not required 185 186 and coarse grids were used to improve computational efficiency. Because the regions

of interest were of high resolution, the reliability of the prediction would not deteriorate, although the number of grid cells was considerably reduced, which can increase model efficiency and capability for flood simulations over large domains. Compared with manual work, the grid generation based on the $D\infty$ algorithm can both reduce workload and time.



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Figure 3 Grid generation based on the $D\infty$ algorithm

194 AMR dynamically adapts the grid resolution during the simulation, refining the grid locally based on domain characteristics or flow conditions. AMR is commonly 195 employed in scenarios where flow characteristics exhibit abrupt variations, such as 196 aerodynamic shock waves, hydraulic jumps, and tsunami waves. Capturing 197 198 discontinuous solutions necessitates local grid refinement, with the location of 199 refinement dynamically adapting to the position of the discontinuities. Consequently, AMR are indispensable. However, AMR needs to segment and merge the grid elements 200 repeatedly during the calculation, which can be time-consuming and offset the 201 202 calculation time saved by the optimized grid. Besides, the mesh generation and flood simulation were compiled in the same code base, which increased the computation cost 203 and time. 204

Flow characteristic variations arising from abrupt geometric changes in the computational domain can be captured using static local refinement grids, provided that

the extent of these changes is limited. This approach offers computational time savings. In flood simulations, inundation regions are typically situated in low-lying 2D regions. The outer boundary of the inundation regions can be determined using DEM or calculating by hydrologic models. The D ∞ algorithm was employed to preemptively estimate the extent of these areas, providing enhanced computational efficiency relative to AMR and obviating the uncertainty and complexity associated with manual subdivision of the computational domain.

A schematic of grid generation is shown in Figure 4. Two types of connecting 214 215 interfaces are presented, which divide the computing domain into three parts. The first type is the red line (Variable Interpolation Interface, VII) between the coarse and fine 216 grids. The grid cell size changes suddenly on both sides of this line. The second type 217 218 (Coupling Moving Interface, CMI) is marked in blue on fine grids, which is moving 219 and time-dependent. The first part represents the coarse-grid areas, where the hydrologic model is used to simulate rainfall-runoff. The other two parts are located in 220 221 the fine-grid areas. The regions between VII and CMI are defined as intermediate 222 transition zones, where the hydrologic model is used to simulate the flooding process. 223 These transition zones facilitate the application of different time steps in different grid cell sizes to improve computational efficiency. The hydrologic and hydrodynamic 224 225 models are dynamically coupled to represent the flooding process on fine grids, and the 226 CMI is a coupling boundary.



227

Figure 4. Schematic diagram of grid generation, where *i* and *j* are the coordinates of coarse grid; *x* and *y* are the coordinates of fine grid; VII is the Variable Interpolation Interface and CMI is the Coupling Moving Interface

231 **2.2 Variable interpolation between coarse and fine grids**

232 During a flow computation, if a cell has a neighbor of different size, interpolation 233 may be required to approximate variables in certain locations so that the governing equation can be solved smoothly. An example is presented in Figure 5(a), where the 234 235 coarse grid has two eastern neighbors that are half its size. In this case, the variable values of the smaller cells are obtained from those of larger cells. In the traditional 236 method, these variables are directly calculated using certain interpolation methods. 237 238 There are shared (P_1, P_2) and hanging (Q) nodes at the interface between the coarse and 239 fine grids. In Shen et al. (2021), the variable values on shared nodes can be transmitted 240 directly, while the values on hanging nodes were obtained by linear interpolation of the shared nodes. This method is simple, feasible and easy to use. However, the variable 241 values are stored at the cell center, and there are no values at the interface nodes. Shen 242 243 et al. (2021) assumed that the values at the interface nodes were equal to that at the cell center. It is inaccurate to make such an assumption, which can bring errors. And the 244 245 resulting error will increase as the cell size increases.



To overcome these drawbacks, ghost cells and bilinear interpolation method were

247 used to interpolate variables between coarse and fine grids. Figure 5(a) shows the variable interpolation between the coarse and fine grids. Two ghost fine cells were 248 249 created, which were overlaid with partial coarse grids. The variables on the ghost fine cells were interpolated through the coarse and fine grids between the interface, which 250 were then used as the boundary conditions for the calculation of the fine grids at the 251 next time step. The bilinear interpolation method was applied. The variable 252 interpolation may involve variables at locations c_1 , c_2 , c_3 , f_{v1} , f_{v2} , f_1 and f_2 . As the 253 254 variables are stored at the cell center, the variables at c_1 , c_2 , c_3 , f_1 and f_2 are available directly. The values at f_{v1} and f_{v2} are obtained via natural neighbor interpolation, as 255 follows: 256

257
$$U_{f_{v_1}} = U_{c_1} + \frac{U_{c_2} - U_{c_1}}{y_{c_2} - y_{c_1}} (y_{f_{v_1}} - y_{c_1})$$
(1)

258
$$U_{f_{v_2}} = U_{c_3} + \frac{U_{c_1} - U_{c_3}}{y_{c_1} - y_{c_3}} (y_{f_{v_2}} - y_{c_3})$$
(2)

where $U_{f_{v1}}, U_{f_{v2}}, U_{c_1}, U_{c_2}, U_{c_3}$ are the variables at locations $f_{v1}, f_{v2}, c_1, c_2, c_3$ respectively; $y_{f_{v1}}, y_{f_{v2}}, y_{c_1}, y_{c_2}, y_{c_3}$ are the coordinates in y directions at $f_{v1}, f_{v2}, c_1, c_2, c_3$ respectively. And then, the variables of ghost fine cells at f_{v1} and f_{v2} can be calculated based on that at f_{v1} and f_{v2} , as follows:

263
$$U_{f_{v1}} = U_{f_{v1}} + \frac{U_{f_1} - U_{f_{v1}}}{x_{f_1} - x_{f_{v1}}} (x_{f_{v1}} - x_{f_{v1}})$$
(3)

264
$$U_{f_{v2}} = U_{f_{v2}} + \frac{U_{f_2} - U_{f_{v2}}}{x_{f_2} - x_{f_{v2}}} (x_{f_{v2}} - x_{f_{v2}})$$
(4)

where $U_{f_{v1}}$, $U_{f_{v2}}$ are the variables of ghost fine cells; U_{f1} , U_{f_2} are the variables at f_1 , f_2 , respectively, which were calculated in the last time step; x_{f_1} , x_{f_2} , $x_{f_{v1}}$, $x_{f_{v2}}$, $x_{f_{v1}}$ and $x_{f_{v2}}$. are the coordinates in x directions at f_1 , f_2 , f_{y_1} , f_{y_2} , f_{y_1} , f_{y_2} respectively.

268 The values at f_{v1} , f_{v2} were used as the boundary conditions for the calculation of 269 fine grids.

The variable interpolation from fine to coarse grids is presented in Figure 5(b), where one ghost coarse cell was established. The variables of ghost coarse cells were determined according to the fine and coarse grids between the interface. The variable interpolation may involve variables at locations c_v , c_1 , f_1 , f_2 . As the variables are stored at the cell center, the variables at c_1 , f_1 , f_2 are available directly. The values at c_v are obtained via natural neighbor interpolation, as follows:

276
$$U_{c_v} = U_{f_2} + \frac{U_{f_1} - U_{f_2}}{y_{f_1} - y_{f_2}} (y_{c_v} - y_{f_2})$$
(5)

where U_{c_v} , U_{f_1} , U_{f_2} are the variables at c_v , f_1 , f_2 respectively; y_{c_v} , y_{f_1} , y_{f_2} are the coordinates in y direction at c_v , f_1 , f_2 respectively.

And then, the variables of ghost coarse cells at c_v can be calculated based on that at c_v , c_1 , as follows:

281
$$U_{c_{\nu}} = U_{c_{\nu}} + \frac{U_{c_{1}} - U_{c_{\nu}}}{x_{c_{1}} - x_{c_{\nu}}} (x_{c_{\nu}} - x_{c_{\nu}})$$
(6)

where U_{c_v} are the variables of ghost fine cells; U_{c_1} are the variables at c_1 , which were calculated in the last time step; $x_{c_1}, x_{c_v}, x_{c_v}$ are the coordinates in *x* direction at c_1, c_v, c_v respectively.

The values at c_v were used as boundary conditions for the calculation of coarse grids at the next time step.





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fine grids and (b) from fine to coarse grids

On both sides of the interface between coarse and fine grids, the hydrologic model 291 was used to simulate the flood process. In the hydrologic model applied to the IM-292 DBCM, the Manning equation is employed to simulate surface runoff processes. As a 293 linear partial differential equation, the Manning equation lacks a nonlinear convection 294 295 term. Consequently, the flow state undergoes relatively smooth changes without exhibiting discontinuous solutions. Linear interpolation is applied to interpolate 296 297 variables between coarse and fine grids, with the interpolated values falling within the 298 range defined by the maximum and minimum values of the interval. This interpolation ensures that the result lies between these bounds, precluding the occurrence of increasedflow at the interface of coarse and fine grid transitions.

301 2.3 Numerical models

302 2.3.1 Hydrologic model

303 In this study, referring to the runoff calculation in the Storm Water Management Model (SWMM), a 2D NLR model, including water balance and Manning equations, 304 305 was used to simulate rainfall-runoff. In SWMM, the watershed is divided into many water tanks or reservoirs, where 1D NLR model including water balance and 1D 306 307 Manning equations is used to simulate the runoff (Rossman, 2015). It is a simple and efficient method to calculate the runoff routing. In reality, however, the runoff routing 308 is a 2D way, so it is not accurate to calculate the 2D runoff routing using 1D NLR model. 309 310 Also, it is difficult to directly couple the 1D NLR model with 2D hydrodynamic model. 311 Therefore, the 2D NLR model was used to simulate the 2D surface runoff routing in this study, as shown in Eqs. (7-11). The effects of subsurface runoff are assumed to be 312 negligible, which is reasonable for the intense rainfall-induced flood events considered 313 in this study (Hou et al., 2018; Li et al. 2021). 314

315
$$\frac{V_i^{n+1} - V_i^n}{\Delta t} = (Q_x)_{in i} - (Q_x)_{out i} + (Q_y)_{in i} - (Q_y)_{out i} + A_i q_{r i}^n$$
(7)

316
$$\left(Q_x\right)_{in\,i} - \left(Q_x\right)_{out\,i} = -\sum_{l=1}^L \left(q_x^n \cdot n_x\right)_l \Delta L_l$$
(8)

317
$$\left(Q_{y} \right)_{in i} - \left(Q_{y} \right)_{out i} = -\sum_{l=1}^{L} \left(q_{y \Gamma}^{n} \cdot n_{y} \right)_{l} \Delta L_{l}$$
(9)

318
$$q_x = \frac{h^{5/3} S_x^{1/2}}{n_r}$$
(10)

319
$$q_{y} = \frac{h^{5/3} S_{y}^{1/2}}{n_{r}}$$
(11)

320 where the superscript n and n+1 is the time step; V is the water volume of grid (m³); $(Q_x)_{in i}, (Q_x)_{out i}$ is the inflow and outflow of grid *i* in *x* direction (m³/s); 321 $(Q_y)_{ini}, (Q_y)_{out i}$ is the inflow and outflow of grid *i* in *y* direction (m³/s); q_{ri} indicates 322 runoff rate of grid *i* (mm/h), which is rainfall intensity minus infiltration rate; A_i is the 323 area of grid i (m²); q_x, q_y are the unit discharge stored at cell-center along x and y 324 direction (m²/s), with h, u and v being water depth (m), flow velocity (m/s) in x and y 325 directions, respectively; $q_{x\Gamma}, q_{y\Gamma}$ are the unit discharge at grid boundary in x and y 326 direction, respectively (m²/s), which are calculated based on q_x, q_y ; ΔL_t is the side 327 length of grid (m); l = 1, 2, 3, ..., L is the number of edges of cell; n_r is the Manning 328 roughness coefficient; S_x and S_y are water level gradients along x and y direction, 329 respectively; $S_x = -\partial (z_b + h)/\partial x$, $S_y = -\partial (z_b + h)/\partial y$, where z_b is the surface 330 elevation. 331

332 2.3.2 Hydrodynamic model

The 2D SWEs, consisting of mass and momentum conservation equations (Toro 2001), were used to represent the hydrodynamic model.

335
$$\frac{\partial U}{\partial t} + \frac{\partial F}{\partial x} + \frac{\partial G}{\partial y} = S$$
(12)

336
$$U = \begin{pmatrix} h \\ hu \\ hv \end{pmatrix}, F = \begin{pmatrix} hu \\ huu + gh^2 / 2 \\ huv \end{pmatrix}, G = \begin{pmatrix} hv \\ huv \\ hvv + gh^2 / 2 \end{pmatrix}, S = \begin{pmatrix} q_r \\ -gh\frac{\partial z}{\partial x} - \frac{g}{C^2}u\sqrt{u^2 + v^2} \\ -gh\frac{\partial z}{\partial y} - \frac{g}{C^2}v\sqrt{u^2 + v^2} \end{pmatrix}$$

337 where *U* is the conserved variables; *F*, *G* are the convection term in the *x* and *y* 338 directions; *S* is the source term; *C* is Chezy's coefficient, $C = \frac{1}{n_r} R^{1/6}$, where n_r is the 339 Manning roughness coefficient and *R* is the hydraulic radius.

The Finite Volume Method for Conservative Scheme was used to solve the SWEs, which can ensure local mass and momentum conservation in each control volume cell. The Eq. (12) can be discretized based on structured grids, as follows:

343
$$U_{i,j}^{n+1} = U_{i,j}^n - \frac{\Delta t}{A_{i,j}} \sum_{l=1}^{L} \left[F^l \left(U_{i,j}^n \right) dy - G^l \left(U_{i,j}^n \right) dx \right] + \frac{\Delta t}{A_{i,j}} S \left(U_{i,j}^n \right)$$
(13)

where the superscript *n* and *n*+1 is the time step; the subscript *i*, *j* refers to the grid *i*, *j*; *dx* and *dy* are the grid edge length. The meaning of other symbols is the same as before.
The Harten-Lax-van Leer contact (HLLC) approximate Riemann solver was used
to solve the convection term. The second-order accuracy in temporal and spatial
discretization was obtained based on the Runge-Kutta method and Monotone
Upstream-centered Schemes for Conservation Laws (MUSCL) (Van Leer, 1979). The
solution of SWEs was detailed in many references (Toro 2001).

351 **2.4 Dynamic bidirectional coupling of hydrologic and hydrodynamic models**

The hydrologic and hydrodynamic models were coupled dynamically and bi-352 directionally. A water depth threshold was defined in advance and used to determine 353 the state of the cell. In a grid cell, if the water depth was lower than the predefined 354 threshold, it was defined as a non-inundation region where the hydrologic model was 355 applied. Conversely, if the water depth was higher than the threshold, it was considered 356 an inundation region where the 2D hydrodynamic model was applied. When the rainfall 357 358 intensity increased, the water depth increased because of the gradual accumulation of surface water volume. Once the water depth exceeds the predefined threshold, the non-359 inundation regions defined last time step may change to the inundation regions. The 360 inflow discharge positions, flow path, and discharge values subsequently changed. 361 Therefore, a CMI was formed between the inundation and non-inundation regions and 362

the hydrologic and 2D hydrodynamic models were coupled bi-directionally through thisCMI.

The hydrologic model is rational for the continuous non-inundation regions, and 365 the hydrodynamic model is rational for the continuous inundation regions. However, 366 since discontinuity existed at the CMI, the single hydrologic or hydrodynamic models 367 were not acceptable, which was a challenge for the model calculation, as shown in 368 369 Figure 6. The key issue with the coupled model was to establish a reasonable approach for determining the fluxes passing through the coupling interface, which should 370 371 integrate the effect of the current flow state obtained from these two models on both sides of the coupling interface. 372



Non-inundation regions CMI Inundation regions

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Figure 6. Model calculation at inundation regions, non-inundation regions and CMI A pair of characteristic waves was used to determine the fluxes calculation methods through the CMI. The characteristic waves were calculated as follows:

$$S_L = u_{i,j} - \sqrt{gh_{i,j}} \tag{1}$$

378
$$S_R = u_{i+1,j} - \sqrt{gh_{i+1,j}}$$
(2)

where S_L and S_R are the characteristic waves; *u* is the flow velocity (m/s); *h* is the water depth (m); subscript (*i*, *j*) and (*i*+1, *j*) refer to the cells in non-inundation and inundation regions, respectively. If $S_R > 0$ and $S_L > 0$, the fluxes through the CMI were calculated by the hydrologic model, and the CMI may move toward the non-inundation regions. Therefore, the non-inundation regions shrunk, whereas the inundation regions expanded. Only mass conservation through the CMI can be considered in this situation. If $S_L < 0 < S_R$, the fluxes were calculated by both hydrologic and hydrodynamic models, and the CMI remained unchanged.

If $S_L < 0$ and $S_R < 0$, the fluxes are calculated by the hydrodynamic model, and the CMI may move toward inundation regions. Therefore, the inundation regions shrunk, whereas the non-inundation regions expanded. Both the mass and momentum conservation through the coupling boundary were obtained in the latter two situations. The couplings were detailed in Jiang et al. (2021) and Shen et al. (2021).

393 2.5 Time step

An explicit scheme was used to solve the hydrologic and hydrodynamic models over time. The time step was constrained by the Courant-Friedrichs-Lewy condition (Delis and Nikolos, 2013), where the time step was a dynamic adjustment based on the velocity and water depth in the computational domain. Different time steps were adopted for the coarse and fine grids, and the time step of the fine grids was determined as follows:

400
$$\Delta t_f = C \cdot min\left(\frac{min(\Delta x_f)}{max(|u_f| + \sqrt{gh_f})}, \frac{min(\Delta y_f)}{max(|v_f| + \sqrt{gh_f})}\right)$$
(14)

401 where Δt_f is the time step of fine grids; *C* is a constant used to maintain format stability; 402 Δx_f and Δy_f are the side lengths of fine grid in *x* and *y* directions; u_f and v_f are the 403 flow velocities on fine grids along *x* and *y* directions, respectively; h_f is the water depth 404 on fine grids. 405 The time step of the coarse grids (Δt_c) was determined based on that of the fine 406 grids. If the size of the coarse grid was *k* times that of the fine grid, the time step of the 407 coarse grid was determined to be $\Delta t_c = k\Delta t_f$.

408 **3 Results**

The performance of the IM-DBCM was analyzed by applying it to two 2D rainfallrunoff experiments and one real-world flooding process. And the OM-DBCM developed by Shen et al. (2021) was applied to the same cases for comparison with the IM-DBCM.

413 **3.1 Rainfall over a plane with varying slope and roughness**

In this case, a sloping plan measuring $500m \times 400m$ was designed, with slopes $S_{ox} = 0.02 + 0.0000149x$ and $S_{oy} = 0.05 + 0.0000116y$ along the x and y directions, respectively (Jaber and Mohtar, 2003). The Manning coefficient is equal to $n = \sqrt{n_x^2 + n_y^2}$, where $n_x = 0.1 - 0.0000168x$ and $n_y = 0.1 - 0.0000168y$. The rainfall intensity is given by a symmetric triangular hyetograph r = r(t), with r(0) = r(200 min) = 0 and $r(100 \text{ min}) = 0.8 \times 10^{-5} \text{ m/s}$. The total simulation time was 14,400 s.

Different cases with various grid resolutions were developed to divide the computational domain based on the D ∞ algorithm, as listed in Table 1. In these cases, the size of all the fine grids was $1m \times 1m$. The grid discretization of different cases is shown in Figure S1 in Supplement.

Table 1 Different cases designed to simulate

Cases	The ratio of coarse to fine grids	Number of grids
case12	1:2	112,100
case15	1:5	86,840
case10	1:10	83,220

The hydrographs at the outlet node of coordinates of (500m, 400m) obtained from different models are shown in Figure 7. A model proposed by Jaber and Mohtar (2003) was also used to simulate the overland runoff. Because finer grids and small time step were used to divide the computational domain to obtain more accurate results in the model developed by Jaber and Mohtar (2003), the results calculated by Jaber and Mohtar (2003) can be used as a reference solution.

432 From Figure 7, the IM-DBCM held a shape close to the results simulated by Jaber 433 and Mohtar (2003) in all cases, as well as the peak discharge. But the peak discharge 434 of the hydrograph is slightly overestimated by the OM-DBCM, which may be attributed to the difference in the variable interpolation between the coarse and fine grids. In the 435 OM-DBCM, variables at the interpolation interface were equal to that at the cell center, 436 437 which was then used to interpolate variables between the coarse and fine grids through shared and hanging nodes. This interpolation method had two drawbacks. Firstly, it is 438 not reasonable to assume the variables at the interpolation interface are equal to that at 439 440 the cell center, and the resulting error could increase as the grid size increases. Besides, compared with bilinear interpolation, the values at the hanging nodes are calculated by 441 linear interpolation through shared nodes, which may result in relatively large errors. 442 443 The results show that the methods to interpolate variable between the coarse and fine 444 grids by developing ghost cells proposed in this study has acceptable accuracy.

To quantitatively assess the performance of IM-DBCM, the Root Mean Square Error (RMSE) of different cases was computed. The RMSEs of case12, case15 and case10 were 4.01E-04, 7.85E-03 and 3.25E-02, respectively. It is showed that the error gradually increased with the increasing of the ratio of coarse to fine grids. The IM-DBCM may capture the shape of the hydrograph in case12 and case15, both in limbs and peak discharge, but the peak discharge is slightly underestimated in case10. A

451 possible explanation is that, compared to the coarse grids, the fine grids could better 452 capture the geometry of the channel cross-sections. High-resolution grids can better 453 represent small-scale topographic features and flow passages (Hou et al., 2018); 454 consequently, the simulation results on case12 and case15 are more satisfactory than 455 those on case10. Similarly, the simulation accuracy of the OM-DBCM also gradually 456 decreased with the increasing of the ratio of coarse to fine grids. Overall, the benefit of 457 using the IM-DBCM for the flood simulations is evident.



458



461 Figure 7. Hydrographs obtained from different models: (a) case12, (b) case15 and (c)

case10

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463 **3.2 V-shaped catchment**

A 2D surface flow simulation was conducted over a V-shaped catchment to 464 evaluate the performance of the IM-DBCM. The computational domain is 465 symmetrically V-shaped, with two symmetrical hillslopes converging to form a channel 466 in the central region. The river bed slopes -0.05 on the left side and 0.05 on the right 467 side. The channel bed has zero slope in the x direction and a slope of 0.02 in the y 468 direction. The Manning coefficient is 0.015 on the hillslope and 0.15 on the main 469 470 channel. The detailed dimensions and associated information pertaining to the Vshaped catchment are presented in Figure 8. The total simulation time was 10,800 s, 471 472 with a constant rainfall intensity of 10.8 mm/h applied for 5,400 s.



473

474

Figure 8. Geometry and size of the V-shaped catchment

The IM-DBCM was used to simulate the 2D surface flow over the V-shaped domain. The computational basin was divided into coarse and fine grids based on the D ∞ algorithm. The size of the fine grids was 10m × 10m, whereas that of the coarse grids was 20m × 20m. The grid partition is presented in Figure S2 in Supplement, where a V-shaped zones near the watershed outlet was discretized using fine grids, while the remaining areas were discretized using coarse grids.

Besides, the HM2D and the coupled Mike SHE and Mike 11 was also developed to simulate the surface flow under the same conditions. In the HM2D, the grid size was set as $10m \times 10m$. In the coupled Mike SHE and Mike 11, the Mike SHE was used to simulate the rainfall-runoff on the hillslopes and the grid sizes was also $10m \times 10m$, while the Mike 11 was used to simulate the runoff in the channel. Results were all compared with measured data.

The discharge hydrographs obtained from different models are shown in Figure 9. This figure showed a close match between the measured data and the computed results obtained using the IM-DBCM. This indicated that the results were encouraging and the overall trend was well captured. The hydrographs obtained from the IM-DBCM was closer to the analytical solution compared with the coupled Mike SHE and Mike 11. The weir flow equation was utilized to couple the Mike SHE and Mike 11. Notably, only mass was transferred between the models, excluding momentum. However, mass and momentum were exchanged between the hillslopes and river channels. The IM-DBCM model ensured the conservation of both mass and momentum, resulting in simulated hydrographs that closely match analytical solutions.

Comparing the hydrographs generated by the 2D hydrodynamic model and IM-497 498 DBCM, the discharge hydrographs exhibited congruence for the discharge receding limb and peak discharge. However, the consistency of the hydrographs simulated by 499 500 these two models was less pronounced for the rising limb. In the rising limb, the flow calculated using IM-DBCM was lower than that simulated using HM2D. The disparity 501 in hydraulic behavior between the hydrodynamic and hydrologic models explains the 502 503 observed phenomenon. The HM2D consistently simulate the surface flow using the 2D hydrodynamic model; conversely, the hydrologic model was employed solely to 504 simulate the flood processes when the upstream water level recedes below the threshold 505 established in IM-DBCM. In the hydrologic models that lack time-partial derivative 506 terms, the current velocity was solely determined by the instantaneous water level 507 gradient. This differs from the previous calculation method, which added the flux term 508 to the velocity at the previous time step. Consequently, the velocity calculation in 2D 509 510 hydrodynamic models deviated from the IM-DBCM.





512

Figure 9. Measured and simulated results obtained from different models

513 **3.3 Flood simulation in a natural watershed**

514 The Goodwin Creek watershed, located in Panola County, Mississippi, USA, is often selected as a benchmark to assess the capability of flood models because of 515 516 sufficient available observed data. Drainage is westerly to Long Creek which flows into the Yocona River, one of the main rivers of the Yazoo River, a tributary of the 517 518 Mississippi River. The Goodwin Creek watershed covers an area of 21.3 km². The 519 overall terrain gradually decreased from northeast to southwest, which is consistent with the trend of the main channel, and the elevation ranged from 71 to 128 m. The 520 computational basin and bed elevations are shown in Figure 10. 521

Land use in this watershed was divided into four classes including forest, water, cultivated, and pasture, and their Manning coefficients were 0.05, 0.01, 0.03, and 0.04, respectively (Sánchez, 2002). The infiltration coefficients of different soil types were determined according to Blackmarr (1995). The rainfall event in sixteen rain gages (see Figure 10) of October 17, 1981 was chosen for simulation (Sánchez, 2002), and the inverse distance interpolation method (Barbulescu, 2016) was used to calculate the precipitation over the entire watershed. The rainfall duration was 4.8 h. Rainfall was spatially distributed at different times, as shown in Figure S3 in Supplement. There
were measured data in six observation stations (i.e., 1, 4, 6, 7, 8 and 14) (Blackmarr,
1995), whose locations were shown in Table S1 in Supplement, and the simulated
results were compared with the measured data in these stations.



533

534

Figure 10. Overview of the Goodwin Creek watershed

The simulations were performed for 12 h. Different cases with various grid resolutions were developed to verify the computational efficiency and numerical accuracy of IM-DBCM, as listed in Table 2. In M-DBCM, the rivers were covered by fine-grid cells with dimensions of 10 m \times 10 m, whereas the coarseness in the rest of the domain was increased to higher levels, as presented in Figure S4 in Supplement.

540

Table 2. Different cases designed to simulate the Goodwin Creek watershed

Cases	The ratio of coarse to fine grids	Number of grids
case12	1:2	104,555
case15	1:5	65,240
case10	1:10	59,431

541 The OM-DBCM was also used to simulate the rainfall runoff with the same 542 resolutions. The Nash-Sutcliffe efficiency (NSE) was used to quantify errors in each

543 model. The NSEs of IM-DBCM and OM-DBCM are shown in Table 3. From this table, the NSEs of IM-DBCM were higher than that of OM-DBCM at most stations, which 544 was probably caused by the different interpolation method at the interface between 545 coarse and fine grids. It is verified that the IM-DBCM has relatively high accuracy in 546 simulating rainfall-runoff. In OM-DBCM, it is unreasonable to make the variables at 547 the interface between coarse and fine grids equal to that at the cell center, which can 548 549 bring errors. The induced error will increase as the ratio of coarse and fine grids increase. Therefore, it is also observed that the NSEs of OM-DBCM decreased with the increased 550 551 ratio of coarse and fine grids. It is indicated that the ghost cells and bilinear interpolation used in the IM-DBCM to interpolate variables between coarse and fine grids can make 552 the simulation more reasonable. 553

555

554

DBCM, respectively)

Table 3 NSEs of different models ("IM" and "OM" refer to IM-DBCM and OM-

Station	G1		G	1 4	G6		G7		G8		G14	
Model	IM	ОМ	IM	ОМ	IM	ОМ	IM	ОМ	IM	ОМ	IM	ОМ
case12	0.9496	0.9108	0.9611	0.9011	0.9904	0.8982	0.9658	0.9004	0.9435	0.9104	0.9311	0.8804
case15	0.9399	0.8766	0.9404	0.8800	0.9426	0.8819	0.9258	0.8931	0.9341	0.8942	0.9001	0.7942
case10	0.9207	0.8261	0.8907	0.8435	0.9513	0.7977	0.9358	0.8525	0.9358	0.8678	0.9135	0.8078

Figure 11 shows a comparison of the measured and simulated hydrographs by IM-DBCM at the monitoring gauges, whose locations are presented in Figure 10. At all gauges, the hydrographs obtained from different cases were well aligned with the measured data, which indicates that the IM-DBCM could reliably reproduce the flood wave propagation in the complex topography. The results of case12, in general, were

better than those of case15 and case10, especially at station G1. A possible explanation
is that a finer grid is needed to better capture the watershed geometry and obtain more
satisfactory simulation accuracy. The cell size of case15 and case10 is larger than that
of case12.

Compared with other stations, at station G1, the simulation results obtained from 565 case15 and case10 deviated substantially from the measured data, especially at receding 566 limb of the hydrographs. We deduced that the reason for this discrepancy is not the 567 mesh partitioning, but the location of the G1. G1 is located at the watershed outlet, 568 569 where water flows out of the watershed from here. The errors generated upstream may be accumulated at this station. Despite the deviation, the overall trend of the 570 hydrographs indicated that the IM-DBCM is satisfactory and can reliably reproduce 571 572 flood wave propagation in complex topography.















Figure 11. Hydrographs obtained from different cases

The water depth distribution at different times is shown in Figure 12. From 0 to 100 min, the water depth in the computational domain increased with the rainfall. The water depth across the computational domain is predominantly shallow, as shown in Figure 12(a). The discharge hydrographs within the watershed reached their peak at 200 minutes. Concurrently, the water depth in the watershed attained its maximum level, as shown in Figure 12(b). After 200 min, when rainfall stopped, the water depth in the computational watershed decreased (Figure 12(c)).









591 592

Figure 12. Water depth at different times

594 In terms of efficiency, the total execution time of IM-DBCM was compared with the uniform grid-based model (HM2D), as shown in Figure 13. The total execution time 595 596 of the different cases ranked from highest to lowest is as follows: HM2D> case12> 597 case15> case10. Compared to HM2D, the multi-grid discrete computing domain improves computational efficiency by 60%. Uniform fine grids were used to divide the 598 599 computing zones in HM2D, and 207,198 computational grids were generated. Compared with HM2D, most of the areas were discretized with coarse grids, and only 600 a small part of the regions was calculated based on fine grids in IM-DBCM; the 601 602 computational grids of the multi-grid-based model (Table 2) were considerably lower 603 than that of HM2D. Furthermore, case12 required more computational time than case15 and case10. Fewer computational grid nodes were presented in case15 and case10, 604 605 which required less time for calculation, and the computational efficiency could be further improved. The advantages of using IM-DBCM based on multi-grids for flood 606 simulations are evident. The difference in total runtime between the IM-DBCM and 607 OM-DBCM is the time spent on mesh generation. In the OM-DBCM, the 608

609 computational domain is divided manually, which is highly subjective, and the610 computational time varied from person to person.

611 However, there was not a significant difference in the computation time among case12, 15 and 10. The calculation time for coarse grids is shown in Figure 13(b). It is 612 observed that the runtime for coarse grids decreases rapidly in different cases. In case12, 613 case15 and case10, the number of coarse grids is 42517, 7425, and 2153, respectively. 614 615 As the number of coarse grids decreased significantly, the runtime for these grids also decreased rapidly. The number of fine grids is consistent in case12, case15, and case10, 616 617 with a calculation time of 4800s. The fine grids number is much greater than that of the coarse grids, especially in case15 and case10. The 2D hydrodynamic model was solved 618 in the fine-grid regions, which cost more computation time compared with the coarse 619 grids where the hydrologic model was applied. The calculation time for fine grids is 620 significantly longer than that for coarse grids, comprising a substantial portion of the 621 overall execution time. 622

In many watersheds, the 2D inundation regions account for a minor proportion of 623 the total watershed area. The fine grids were employed to partition the small inundation 624 regions, while the coarse grids were utilized to discretize the majority of the non-625 inundation regions. The computational efficiency can be significantly enhanced due to 626 the smaller proportion of fine grids and larger proportion of coarse grids. In the IM-627 DBCM, the 1D rivers and 2D inundation regions were not distinguished, resulting in 628 their division using fine grids. Consequently, the 2D hydrodynamic model was applied 629 to both regions, leading to an increased computational time. In future studies, the 1D 630 631 hydrodynamic model will be used to compute the flood evolution specifically in the 1D rivers, leading to a reduction in computational time. Hence, the computational 632 efficiency advantages of the proposed IM-DBCM are more pronounced. 633





635

Figure 13. Computation time of different cases: (a) the relative difference of HM2D
and IM-DBCM; (b) the runtime for coarse grids

638 4 Conclusions

An improved dynamic bidirectional coupled hydrologic-hydrodynamic model 639 based on multi-grid (IM-DBCM) was presented in this study. A multi-grid system was 640 641 generated based on the $D\infty$ algorithm, dividing regions that required high-resolution 642 representation using fine grids and the rest using coarse grids to reduce computational load. A two-dimensional non-linear reservoir was adopted in the hydrologic model, 643 644 while two-dimensional shallow water equations were applied in the hydrodynamic model. The hydrologic model was applied to the coarse-grid regions, whereas the 645 hydrologic and hydrodynamic models were coupled in a bidirectional manner for the 646

fine-grid areas. Different time steps were adopted in coarse and fine grids. Ghost cells
and bilinear interpolation were used to interpolate variables between coarse and fine
grids. The hydrologic and hydrodynamic models were dynamically and bidirectionally
coupled with a time-dependent and moving coupling interface.

The performance of IM-DBCM was verified using three cases. The IM-DBCM 651 was demonstrated to effectively simulate flow processes and ensure reliable simulation. 652 653 Compared with the OM-DBCM, the results obtained from the IM-DBCM were well aligned with the measured data, and it could reliably reproduce the flood wave 654 655 propagation in complex topography. In addition to producing numerical results with similar accuracy, the IM-DBCM saved computational time compared with the model 656 on fine grids. Furthermore, a moving coupling interface between the hydrologic and 657 hydrodynamic models was observed in the IM-DBCM. The IM-DBCM has both high 658 computational efficiency and numerical accuracy, which was adapted adequately to the 659 real-life flooding process and provided practical and reliable solutions for rapid flood 660 prediction and management, especially in large watersheds. 661

The IM-DBCM accurately and efficiently reproduces the flooding process and has the potential for a wide range of practical applications. The hydrologic model considers only surface runoff, which is appropriate for the intense rainfall-induced flood events examined in this study. However, a complete hydrologic model should include surface flow, interflow, and underground runoff. In future works, the interflow and underground runoff could be calculated in the hydrologic model.

668 Data availability

Model simulation and calibration data are available upon request from the corresponding author. Digital elevation model data are provided by the Geospatial Data Cloud at <u>http://www.gscloud.cn</u>. The data sets of Soil Properties and Land cover are

provided by Sánchez (2002) and Blackmarr (1995). The rainfall and measured data
were Blackmarr (1995).

674 Author contributions

Yanxia Shen designed the methodology and carried out the investigation. Qi Zhou
provided the original model input data. The study was supervised by Chunbo Jiang.
Yanxia Shen prepared the first draft of the manuscript and Zhenduo Zhu revised and
improved the original manuscript.

679 **Competing interests**

680 The authors declare that they have no conflict of interest.

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