

# What is the cause(s) of ~~positive~~ large ozone ~~trends~~ variabilities in three megacity clusters in eastern China during 2015–2020?

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**Abstract.** Due to a robust emission control policy, significant reductions in major air pollutants, such as PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO, were observed in China between 2015 to 2020. On the other hand, during the same period, there was a notable increase in ozone (O<sub>3</sub>) concentrations, making it a prominent air pollutant in eastern China. The annual mean concentration of maximum daily 8-hour average (MDA8) O<sub>3</sub> exhibited alarming linear ~~trends~~ increases of 2.4, 1.1, and 2.0 ppb yr<sup>-1</sup> in three megacity clusters: Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD) and Pearl River Delta (PRD), respectively. ~~Additionally~~ Meanwhile, there was a significant three-fold increase in the number of O<sub>3</sub>-exceeding days, defined as MDA8 O<sub>3</sub> > 75 ppb ~~during the same period~~. Our analysis indicated that the upward ~~trends~~ increases in the annual mean concentration of MDA8 were primarily driven by the rise in consecutive O<sub>3</sub>-exceeding days. ~~Furthermore, from 2015 to 2017, there was a widespread expansion~~ There were expansions of high O<sub>3</sub> ~~concentrations from in~~ urban centers to ~~surrounding~~ rural regions, ~~resulting in a more uniform spatial distribution~~ areas accompanied by a saturation effect that MDA8 O<sub>3</sub> concentrations at the high O<sub>3</sub> stations in 2015 remained nearly constant of O<sub>3</sub> ~~after 2017~~ 100 ppb. Lastly, we found a close association between O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days and the position and strength of tropical cyclones (TCs) in the northwest Pacific and the West Pacific subtropical high (WPSH). The TC and WPSH contributed to meteorological conditions characterized by clear skies, subsiding air motion, high vertical stability in the lower troposphere, increased solar radiation, and positive temperature anomaly at the surface. These favorable meteorological conditions greatly facilitated the formation of O<sub>3</sub>. Thus, we propose that the worsening O<sub>3</sub> ~~trends~~ increases observed in BTH, YRD and PRD from 2015 to 2020 can be mostly attributed to enhanced photochemical O<sub>3</sub> production resulting from an increased occurrence of meteorological conditions with high solar radiation and positive temperature anomalies under the influence of WPSH and TCs.

## 30 1 Introduction

Ozone (O<sub>3</sub>) is an important greenhouse gas, which can also have adverse effects on human health, vegetation, and materials (Bell et al., 2006; Cohen et al., 2017; Kalabokas et al., 2020; Nuvolone et al., 2018). Surface O<sub>3</sub> is a secondary pollutant produced by photochemical reactions involving O<sub>3</sub> precursors such as volatile organic compounds (VOCs), carbon monoxide (CO) and nitrogen oxides (NO<sub>x</sub>) (Ma et al., 2012; Monks et al., 2015; Wang et al., 2017). In addition to O<sub>3</sub> precursors, meteorological conditions are also crucial factors driving the O<sub>3</sub> formation. Solar radiation, temperature, relative humidity, wind speed, and cloud cover have been found to be closely related to O<sub>3</sub> formation (Dong et al., 2020; Han et al., 2020; Yin et al., 2019). Furthermore, large-scale circulations, such as the East Asian monsoon, West Pacific subtropical high (WPSH) and tropical cyclones (TCs) can influence O<sub>3</sub> concentration as well (Lu et al., 2019; Rowlinso et al., 2019; Yang et al., 2014; Zhao and Wang, 2017).

40 The concentrations of air pollutants SO<sub>2</sub>, NO<sub>x</sub>, CO, PM<sub>10</sub> and PM<sub>2.5</sub> in China have been significantly reduced since 2013 (Li M. et al., 2021; Li et al., 2022; Zhai et al., 2019), thanks to the implementation of “Air Pollution Prevention and Control Action Plan”. However, the O<sub>3</sub> concentration has dramatically increased and emerged as a major air pollutant in eastern China (Bian et al., 2019; Fu et al., 2019; Wang et al., 2020; Zheng et al., 2018). O<sub>3</sub> concentrations are particularly high in the three megacity clusters in eastern China, namely Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD) and Pearl River Delta (PRD) (Gao et al., 2020; Guo et al., 2019; Li K. et al., 2021; Liu et al., 2018; Yang et al., 2019).

Annual mean concentrations of maximum daily 8-hour average (MDA8) O<sub>3</sub> in the three megacity clusters are shown in Fig. 1. The linear [increasing trends/increases](#) of MDA8 O<sub>3</sub> for BTH, YRD and PRD are 2.4, 1.1 and 2.0 ppb yr<sup>-1</sup>, respectively during the period 2015–2020. These [trends/increases](#) are unusually large compared to the [trends/increases](#) in other parts of China as well as the [positive](#) trends worldwide (Chen et al., 2020; Lu et al., 2018; Professional Committee of Ozone Pollution Control of Chinese Society for Environmental Sciences, 2022; Zhang et al., 2020). Thus, a crucial scientific question is: What is the cause(s) of these large [positive trends/increases](#) in O<sub>3</sub> concentration? Some recent studies suggested that changing photochemical processes induced by anthropogenic emissions are responsible for these [trends/increases](#) (Li et al., 2019; Li et al., 2022; Shao et al., 2021; Wang et al., 2020). However, in our analysis of the O<sub>3</sub> [trends/increases](#) at individual stations in eastern China during the period 2015–2020, we noticed that the interannual variations of O<sub>3</sub> concentration were strongly affected by the position and intensity of WPSH and the presence of TCs in the western Pacific and South China Sea, consistent with the results of a number of recent studies (Chang et al., 2019; Mao et al., 2020; Ouyang et al., 2022; Zhao and Wang, 2017). These results suggest that transport/meteorological parameters associated with WPSH and TCs may also play an important role in the large [trends/increases](#) of MDA8 O<sub>3</sub>.

The significant impact of WPSH on weather patterns and O<sub>3</sub> concentrations over East China is widely recognized (Bachmann, 2015; Chang et al., 2019; Yin et al., 2019; Zhao and Wang, 2017). It is well established that the WPSH plays a critical role in controlling weather conditions, which in turn affects O<sub>3</sub> concentrations. For example, the WPSH is known to contribute to the formation of the East Asian monsoon and influence precipitation patterns in the YRD. It also influences air temperature and

precipitation across North and South China (Zhang, 2001; Zhao and Wang, 2017). These changes in meteorological conditions have a profound impact on the photochemical production, dispersion, and accumulation of O<sub>3</sub>.

65 Previous studies have indicated that in the peripheries of TCs, PRD experiences specific atmospheric conditions, e.g., high pressure, low humidity, and intense solar radiation, which are highly conducive to O<sub>3</sub> formation. These conditions often result in consecutive days with elevated levels of O<sub>3</sub>, as observed in various case studies (Ouyang et al., 2022; Wei et al., 2016). Furthermore, statistical investigations have established several noteworthy connections between TCs and O<sub>3</sub> concentrations in the PRD area. For example, the meteorological conditions associated with the TC periphery frequently contributed to the  
70 formation of elevated surface O<sub>3</sub> levels and aerosols (Deng et al., 2019). In addition, TCs in the East China Sea had a higher likelihood of causing increased O<sub>3</sub> concentrations in the PRD region (Zhao et al., 2022). Lastly, TCs in the vicinity of Taiwan, China have the greatest influence on air quality in Hong Kong when compared to TCs in other areas, which is primarily because these TCs facilitate the transportation of air pollutants from the PRD region (Lam et al., 2018).

In this study, we focus on exploring possible contributions to the large [positive O<sub>3</sub> trends/increases](#) in the three megacity clusters  
75 in eastern China by changes in meteorological parameters associated with WPSH and TCs during the period 2015–2020. The rest of this paper is organized as follows. In Section 2, the data and methodology used in this study are described. Major characteristics of the O<sub>3</sub> interannual variability and [trends/increases](#) in the three megacity clusters are discussed in Section 3.1. In Section 3.2, we examine the spatial expansion and saturation of high O<sub>3</sub>. The annual change of O<sub>3</sub>-exceeding days with different durations are also examined. A hypothesis of the cause of O<sub>3</sub> [trends/increases](#) in three megacity clusters in eastern  
80 China during 2015–2020 is presented in Section 3.3. Section 4 presents a summary and conclusions.

## 2 Data and methodology

### 2.1 Pollutant Data

The observed hourly concentrations of air pollutants, including O<sub>3</sub>, NO<sub>2</sub>, CO, PM<sub>2.5</sub>, and SO<sub>2</sub> from 2015 to 2020 are obtained from the Chinese National Environmental Ministry of Environmental Protection (<http://www.cnemc.cn/en/>). Gridded MDA8  
85 O<sub>3</sub> data from Tracking Air Pollution in China dataset (<http://tapdata.org.cn>) with a resolution of 10 km are also used (Xue et al., 2020).

### 2.2 Meteorological Data

The European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis v5 (ERA5) dataset (available at <https://cds.climate.copernicus.eu/>), with a horizontal resolution of 0.25° × 0.25° and a temporal resolution of 1 h, was used to  
90 analyze the influence of meteorological parameters on O<sub>3</sub> pollution. The variables used in this study include 2 m temperature (T2m), surface net solar radiation (SSR). In addition, daily mean relative humidity, geopotential height, zonal and meridional wind at 500 hPa, and vertical velocity at 850hPa from the National Center for Environmental Prediction (NCEP) and National

Center for Atmospheric Research (NCAR) reanalysis (<https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.html>) at a resolution of 2.5°×2.5° are used.

## 95 2.3 Methods

The Chinese National Ambient Air Quality Standard for MDA8 O<sub>3</sub> is 160 µg m<sup>-3</sup>, which corresponds to 75 ppb at 273.15 K and 1 atm. The O<sub>3</sub>-exceeding days are defined as MDA8 O<sub>3</sub> concentration >75 ppb, while non-O<sub>3</sub>-exceeding days are defined as MDA8 O<sub>3</sub> concentration <75 ppb. According to the duration of O<sub>3</sub> pollution episode, it can be divided into consecutive O<sub>3</sub>-exceeding days with four or more days (O<sub>3</sub> days≥4) and consecutive O<sub>3</sub>-exceeding days less than four days (O<sub>3</sub> days<4). In addition, some ~~common statistical~~ commonly-used methods are ~~used~~ applied in this study, including linear fitting, meteorological synthesis method, and two-tailed Student's t test.

The normalized annual mean O<sub>3</sub> concentration of the O<sub>3</sub>-exceeding days is calculated by adding the O<sub>3</sub> concentration of the O<sub>3</sub>-exceeding day each year and dividing it by the total number of days in the year. The normalized annual mean O<sub>3</sub> of the non-O<sub>3</sub>-exceeding days is calculated by the same method except for the non-O<sub>3</sub>-exceeding days.

105 Table 1 lists the criteria and corresponding numbers of low O<sub>3</sub> and high O<sub>3</sub> stations in the three megacity clusters. This classification is undertaken with the purpose of distinguishing stations with various O<sub>3</sub> levels within the three megacity clusters, and it is based on the number of O<sub>3</sub> exceeding days in 2015. Stations with the number of O<sub>3</sub>-exceeding days fewer than or equal to the low O<sub>3</sub> criterion (second column) are considered as low O<sub>3</sub> stations. When more than or equal to the high O<sub>3</sub> criterion (4<sup>th</sup> column), they are considered as high O<sub>3</sub> stations. We have tested a few reasonably different criteria and found only some insignificant differences in the results. i.e., the results associated with low O<sub>3</sub> and high O<sub>3</sub> stations are robust against reasonable changes in their selection criteria. For example, the relatively large criterion (37 days) of low O<sub>3</sub> stations in YRD is intended to include a large enough number of stations (about one third of the total of 152 stations) to be fully representative of low O<sub>3</sub> and moderate O<sub>3</sub> stations. These results have been compared to those of a more stringent criterion of nineteen days and found no notable change in the major characteristics (Figs. S1 and S2).

## 115 3 Results and discussion

### 3.1 Major characteristics of O<sub>3</sub> ~~trends~~ increases

Major characteristics of the large ~~positive trends~~ increases in the annual mean O<sub>3</sub> concentration are shown in Figs. 2a, 2b and 2c for BTH, YRD and PRD, respectively, in which the normalized annual mean concentrations of MDA8 O<sub>3</sub> in the three megacity clusters are compared to contributions from two groups: The O<sub>3</sub>-exceeding days and non-O<sub>3</sub>-exceeding days. The increase in O<sub>3</sub>-exceeding days is the primary contributor to the substantial increase in the annual mean O<sub>3</sub> in all three megacity clusters from 2015 to 2020. The contribution of O<sub>3</sub>-exceeding days is affected mostly by the changing number of exceeding days (more than 80%), and secondly but nevertheless significantly by their changes in concentrations (less than 20%) (Tables 2–4). e.g., in BTH the exceeding days were 31, 43, 62, 74, 96 and 78 days in the individual years of 2015–2020, respectively,

while their concentrations of those years were 66.42, 64.13, 69.44, 68.21, 70.19 and 69.69, respectively (Table 2 second column). Contributions from non-O<sub>3</sub>-exceeding days are insignificant (p-value > 0.1), except that in BTH (Fig. 2a) which shows a significant declining contribution (p-value = 0.02) due to the reduced number of non-O<sub>3</sub>-exceeding days. Therefore, the following discussions on the O<sub>3</sub> trends will be focused on the O<sub>3</sub>-exceeding days.

Annual numbers of single and consecutive O<sub>3</sub>-exceeding days are shown in Figs. 3a, 3b and 3c for BTH, YRD and PRD, respectively. A drastic two to three-fold increase in the annual numbers of consecutive O<sub>3</sub>-exceeding days can be seen in all three regions. In contrast, the numbers of single O<sub>3</sub>-exceeding days show only a slight increase in PRD. These drastic increases in the annual numbers of consecutive O<sub>3</sub>-exceeding days are clearly the primary contributors to the trends in O<sub>3</sub> shown in Figs. 2a, 2b and 2c. This brings up two key scientific questions: What is the cause(s) of the drastic increases in the numbers of consecutive O<sub>3</sub>-exceeding days? Is it due to changing emissions of air pollutants or changing meteorological parameters?

### 3.2 Spatial expansion and saturation of high O<sub>3</sub>

Another important changing characteristics of O<sub>3</sub> concentrations is illustrated in Fig. 4a, which depicts the annual mean concentrations of MDA8 O<sub>3</sub> in BTH during O<sub>3</sub>-exceeding days for all 78 stations (black line), 14 stations in the highest category of O<sub>3</sub> concentration (average 103 ppb) observed in 2015 (red line, denoted as high O<sub>3</sub> stations hereafter, Table 1) and 13 stations in the lowest category of O<sub>3</sub> (average 57 ppb) observed in 2015 (green line, denoted as low O<sub>3</sub> stations hereafter, Table 1). It is remarkable that O<sub>3</sub> concentrations at the low O<sub>3</sub> stations caught up within 12 ppb with other stations in merely two years (an increase of about 30 ppb from 2015 to 2017), and actually equaled the average of other stations in 2019. Meanwhile, the high O<sub>3</sub> stations experienced a slight decrease in O<sub>3</sub> concentration, albeit not statistically significant. This phenomenon suggests strongly that the annual mean concentrations of MDA8 O<sub>3</sub> in BTH experienced a fast (within two years) and widespread spatial expansion of high O<sub>3</sub> from urban centers to surrounding regions where O<sub>3</sub> concentrations were low in 2015. Temporally most of the expansion was accomplished during 2015–2017. This phenomenon of a fast and widespread expansion of high O<sub>3</sub> concentrations from urban centers to surrounding regions were also observed at a slightly less degree in YRD (Fig. 4b) and PRD (Fig. 4c).

The spatial expansion of high O<sub>3</sub> from urban centers to surrounding regions in BTH and YRD during 2015–2017 can be clearly visualized in Figs. 5 and 6, respectively. Figs 5a, 5b and 5c show the spatial distribution of daily mean concentrations of MDA8 O<sub>3</sub> for O<sub>3</sub>-exceeding days in BTH in 2015, 2017 and their difference (2017 minus 2015), respectively. Comparing Fig. 5a to 5b, one can see that the area greater than 80-ppb (75 ppb is the O<sub>3</sub> exceeding standard) expanded by about a factor of five from 2015 to 2017. The daily average concentration of MDA8 O<sub>3</sub> within the BTH box increased from 66.42 ppb in 2015 (31 days, Fig. 5a) to 69.44 ppb in 2017 (62 days, Fig. 5b), which was a difference of 3.02 ppb or a merely 4.5% increase between the two years (Fig. 5c). After accounting for the number of O<sub>3</sub>-exceeding days, the ratio of normalized MDA8 O<sub>3</sub> in all O<sub>3</sub>-exceeding days between 2017 and 2015 became 2.09. This comparison suggests that the increase in O<sub>3</sub> in BTH between 2015 and 2017 was driven primarily by the increase in the number of consecutive O<sub>3</sub>-exceeding days. Spatially Fig. 5c shows the expansion is mostly to the south and southwest outside of BTH, with YRD getting a predominant portion of O<sub>3</sub> enhancements.

160 Within the BTH box, the nearly constant concentrations of O<sub>3</sub> inside Beijing City (40°N, 116.5°E) coupled with the southwestward expansion of high O<sub>3</sub> in 2017 (Fig. 5c) suggested that there was a saturation of O<sub>3</sub> inside Beijing City. There were also significant increases in O<sub>3</sub> in YRD and even in southern China as far as the western PRD (Fig. 5c). Nevertheless, the O<sub>3</sub> concentrations in YRD and PRD stayed below 70 ppb during the O<sub>3</sub>-exceeding days of BTH in both 2015 (Fig. 5a) and 2017 (Fig. 5b). In other words, the O<sub>3</sub>-exceeding days of YRD and PRD are mostly decoupled temporally (i.e., not occurring at the same time) from those of BTH. A logical explanation for this phenomenon is that the atmospheric conditions conducive to high O<sub>3</sub> formation in BTH do not overlap significantly with those conditions of YRD and PRD.

165 Figs. 6a, 6b and 6c are the same as Figs. 5a, 5b and 5c, respectively, but for YRD. Similar to BTH, one can clearly see the expansion of high O<sub>3</sub> from the vicinity of Shanghai City (31°N, 121.3°E) in the northwestern direction reaching as far as the central BTH box during the period 2015–2017 (Figs. 6b and 6c). Comparing Fig. 6a to 6b, one can see that the area greater than 70-ppb expanded from Shanghai and vicinity northwestward by more than a factor of five from 2015 to 2017. This expansion was in different direction from the southwestward expansion occurred in BTH (Fig. 5c). We note, however, this expansion does not necessarily mean the direct transport of high O<sub>3</sub> or its precursors from the vicinity of Shanghai to central 170 BTH. In fact, the presence of separate rather than contiguous red patches of high O<sub>3</sub> (>70 ppb) in southern BTH and northern YRD in Fig. 6b is a clear indication that the high O<sub>3</sub> are primarily controlled by local photochemical production from local O<sub>3</sub> precursors, rather than the direct upwind-downwind transport of high O<sub>3</sub> and/or its precursors. The daily average concentration of MDA8 O<sub>3</sub> within the YRD box increased from 53.79 ppb in 2015 (31 days, Fig. 6a) to 64.35 ppb in 2017 (40 days, Fig. 6b), which was a difference of 10.56 ppb or a 20% increase between the two years (Fig. 6c). After accounting for the number of 175 O<sub>3</sub>-exceeding days, the ratio of normalized MDA8 O<sub>3</sub> in all O<sub>3</sub>-exceeding days between 2017 and 2015 became 1.54. This comparison suggests that the increase in O<sub>3</sub> in YRD between 2015 and 2017 was due to both the increases in O<sub>3</sub> concentrations (+20%) and the number of O<sub>3</sub>-exceeding days (+34%).

180 Figs. 7a, 7b and 7c are the same as Figs. 5a, 5b and 5c, respectively, but for PRD. Unlike BTH and YRD, there was only a slight expansion of high O<sub>3</sub> within the PRD box toward the southwest in 2017 compared to 2015 (Fig. 7c). Nevertheless, outside the PRD box there was an extensive expansion of high O<sub>3</sub> in eastern China, substantially greater than the expansion within the PRD box (Fig. 7c). The daily average concentration of MDA8 O<sub>3</sub> within the PRD box increased from 61.16 ppb in 2015 (14 days, Fig. 7a) to 65.18 ppb in 2017 (36 days, Fig. 7b), which was a difference of 4.02 ppb or a merely 6.6% increase between the two years (Fig. 7c). After accounting for the number of O<sub>3</sub>-exceeding days, the ratio of normalized MDA8 O<sub>3</sub> in all O<sub>3</sub>-exceeding days between 2017 and 2015 became 2.74. This comparison suggests that the increase in O<sub>3</sub> in PRD between 185 2015 and 2017 was almost entirely (93.4%) due to the increase in the number of O<sub>3</sub>-exceeding days.

190 Figs 7a and 7b reconfirm that O<sub>3</sub>-exceeding days in PRD were mostly decoupled from those in BTH (Figs. 5a and 5b) and YRD (Figs. 6a and 6b), as their spatial distributions were characterized by highly distinctive regional features in both 2015 and 2017. These differences suggest that the O<sub>3</sub>-exceeding days mostly occur in different days in the three individual regions. On the other hand, a comparison of Figs. 7c, 6c and 5c reveals a striking common feature of high values in southwestern BTH and northwestern YRD, and low values in eastern parts of all three BTH, YRD and PRD boxes. These common features suggest

that the difference between 2015 and 2017 in all three individual regions is likely caused by a common mechanism/process that changed from 2015 to 2017. Moreover, as suggested in Fig. 3, this common mechanism/process must be closely related to higher number of consecutive O<sub>3</sub>-exceeding days in 2017 over those of 2015.

Comparison of Fig. 8a to Fig. 1 reveals an interesting point: While the yearly average MDA8 O<sub>3</sub> concentrations at all stations in BTH (green line in Fig 1) shows a significant [positive O<sub>3</sub> trendincrease](#) of 2.38 ppb yr<sup>-1</sup> with p=0.01, the black line in Fig. 8a (MDA8 O<sub>3</sub> of all stations during O<sub>3</sub> exceeding days) shows an insignificant [increasing trendincrease](#) of 1.22 ppb yr<sup>-1</sup> with p=0.2. This is because the values in Fig. 8 are those of O<sub>3</sub> exceeding days, of which O<sub>3</sub> concentrations at high O<sub>3</sub> stations (red line in Fig. 8a) have a small [decreasing trenddecrease](#) (albeit insignificant) due to the saturation effect discussed above. This [decreasing trenddecrease](#) is the main contributor to the high p value of 0.2 of the black line in Fig. 8a (all stations).

### 3.3 Cause(s) of the expansion and saturation

[We believe this spatial expansion and saturation of high O<sub>3</sub> is a significant new finding which may provide critical clue to the cause\(s\) of the large O<sub>3</sub> increases. Its robustness against new data in 2021 and 2022 has been evaluated and reconfirmed in the Supplementary \(Figure S14\).](#)

#### 3.3 Causes of ozone enhancement at low-concentration sites and saturation at high-concentration sites

Major findings of subsections 3.1 and 3.2 can be summarized as follows: (1) [TrendsIncreases](#) in O<sub>3</sub> observed in the three megacity clusters in eastern China during 2015–2020 (Fig. 1) were mainly caused by the large [trendsincreases](#) of approximately two to three-fold increase in the number of consecutive O<sub>3</sub>-exceeding days (Figs. 2 and 3). (2) A fast and widespread expansion of high O<sub>3</sub> from urban centers to surrounding regions was observed in the three megacity clusters during 2015–2019 (Fig. 4); and the majority of the expansions were accomplished during the 2015–2017 period (green lines in Fig. 4). And (3), the expansions of high O<sub>3</sub> in the three megacity clusters were accompanied by a saturation effect that O<sub>3</sub> concentrations at the high O<sub>3</sub> stations of approximate 100 ppb in 2015 remained nearly constant or slightly declined throughout the entire period of 2015–2020 (Fig. 4).

##### 3.3.1 Changing emissions as a possible cause of O<sub>3</sub> [trendsincreases](#) in 2015–2020

As mentioned earlier, two emission oriented hypotheses have been proposed as a possible cause of the O<sub>3</sub> [trendsincreases](#) in 2015–2020. One is changing emissions of O<sub>3</sub> precursors NO<sub>x</sub> and VOC (Li et al., 2022). The other is the reduced removal of HO<sub>2</sub> radicals due to diminishing PM<sub>2.5</sub> [suggested by \(Li K. et al., 2021\) and; Shao et al., \(2021\)](#). Li et al. (2022) [demonstrated convincinglyshowed](#) that the NO titration effect was the cause of the linear trend in O<sub>3</sub> in PRD (0.5 ppb yr<sup>-1</sup>) during the relatively long period 2006–2019. But for the period 2015–2020, the NO titration effect could account for only about 10% of the linear [trendincrease](#) in O<sub>3</sub> of the low O<sub>3</sub> stations in PRD (5.0 ppb yr<sup>-1</sup>, green line, Fig.S3a).

The increase of 30 ppb in O<sub>3</sub> at the low O<sub>3</sub> stations in BTH from 2015 to 2017 (green line, Fig. 4a and Fig. 8a) represents about 50% increase in O<sub>3</sub>. The titration effect can account for only about 5% (Fig. 8f). If this increase of 30 ppb in O<sub>3</sub> were due to

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an enhancement in O<sub>3</sub> precursors, the enhancement would have to be substantially greater than 50% because of the well-known less-than-linear relationship between changes in O<sub>3</sub> and its precursors, i.e., substantially more percentage changes in precursors are needed for each percentage change in O<sub>3</sub> (Dodge, 1977; Shafer and Seinfeld, 1985). Figs. 8d and 8f show that CO (a proxy for VOC) and NO<sub>x</sub> changed only by a few percent from 2015 to 2017, more than one order of magnitude less than the changes needed. Hence it appears that changes in meteorological conditions conducive to O<sub>3</sub> formation are more likely the major contributing factor to the 50% increase in O<sub>3</sub> at the low O<sub>3</sub> stations in BTH. Similar argument can be extended to YRD and PRD (Figs. S1 and S3).

The theory of reduced removal of HO<sub>2</sub> radicals by diminishing PM<sub>2.5</sub> (25%, green line of Fig. 8c) appeared to be valid qualitatively for the 50% increase in O<sub>3</sub> at the low O<sub>3</sub> stations in BTH from 2015 to 2017 (green line of Fig. 8a). But this theory was contradicted directly by the phenomenon at the high O<sub>3</sub> stations where a 30% reduction in PM<sub>2.5</sub> (red line of Fig. 8c) corresponded to a decrease rather than an increase in O<sub>3</sub> (red line of Fig. 8a).

### 3.3.2 Changes in meteorological parameters as a possible cause of O<sub>3</sub> trends/increases in 2015–2020

While a specific process/mechanism has yet to be found as the primary contributor to the trends in O<sub>3</sub> observed in the three megacity clusters at this moment, the discussions above suggest that an examination into transport/meteorological processes involved in O<sub>3</sub> episodes with consecutive O<sub>3</sub>-exceeding days could provide useful information on the identity of the primary contributor. Using BTH as an example, we address this issue in the following by dividing O<sub>3</sub> episodes of a given year into two groups: the first group has four or more consecutive O<sub>3</sub>-exceeding days (labeled O<sub>3</sub> days<sub>≥4</sub>), the second group has less than four consecutive O<sub>3</sub>-exceeding days (labeled O<sub>3</sub> days<sub><4</sub>). Fig. 9a shows the mean daily O<sub>3</sub> concentrations of the first group with four or more consecutive O<sub>3</sub>-exceeding days (labeled O<sub>3</sub> days<sub>≥4</sub>) in 2015 (mean concentration of 71.14 ppb inside the BTH box, 7 days), Fig. 9b shows the mean daily O<sub>3</sub> concentrations of the second group (65.04 ppb, 24 days with less than four consecutive O<sub>3</sub>-exceeding days (labeled O<sub>3</sub> days<sub><4</sub>), and Fig. 9c is the difference between the two groups (6.10 ppb, Table 2). Figs. 9d–9f are the same as Figs. 9a–9c, respectively, but for 2017. The first group in 2017 had 28 days and mean O<sub>3</sub> of 74.43 ppb inside the BTH box, while the second group had 34 days and 65.32 ppb (Table 2). One of the most remarkable differences between 2017 and 2015 in Figs. 9a–9f was the large number of days with four or more consecutive O<sub>3</sub>-exceeding days (first group) in 2017 (28 days, Fig. 9d) over that of 2015 (7 days, Fig. 9a), which alone contributed to about 62% of the difference in O<sub>3</sub> between 2017 and 2015 as shown in Fig. 2a (red line). Approximately 30% was contributed by the 10 days' difference (2017 vs. 2015) in the number of days with less than four consecutive O<sub>3</sub>-exceeding days (second group). The contribution by the higher average concentration of MDA8 O<sub>3</sub> of the first group in 2017 is only about 8% (Table 2). These values of contributions reconfirm what is shown in Fig. 3a, i.e., the greater frequency of episodes with four or more consecutive O<sub>3</sub>-exceeding days contributes the majority (62%) to the higher O<sub>3</sub> in BTH in 2017 vs. 2015, the greater intensity/concentration of O<sub>3</sub> during the episodes contributes only about 8%, consistent with the expansion and saturation effect discussed earlier. The phenomenon of frequency-over-intensity is even more pronounced when the data of 2015 (4<sup>th</sup> row and 4<sup>th</sup> column in Table 2)



are compared to those of 2019 (8<sup>th</sup> row and 4<sup>th</sup> column in Table 2), in which the higher frequency of the first group of 2019 contributes as much as 83% to the higher O<sub>3</sub> in BTH in 2019 vs. 2015.

The phenomena illustrated in Figs. 9a–9f also exist in YRD and PRD as well as in most other years. Figures equivalent to Figs. 9a–9c for all years in the three city clusters (except PRD during 2015–2016, in which no episode with four or more consecutive O<sub>3</sub>-exceeding days occurred) are provided in the Supplementary Material (Figs. S4–S6). Essential information derived from those figures is summarized in Tables 2–4. The 4<sup>th</sup> column of Table 2 shows that the number of days with four or more consecutive O<sub>3</sub>-exceeding days in BTH increased consistently from 7 days in 2015 to 66 days 2019 but dropped back to 38 days in 2020; this pattern of changes matched very well with those in Fig. 2a (red line). The same can be said for YRD (Table 3) and PRD (Table 4), except there are some minor contributions from the third column in Tables 3 and 4, i.e., days with less than four consecutive O<sub>3</sub>-exceeding days. Another remarkable point is that the difference between ( $\geq 4$  days) and ( $< 4$  days) (5th column) in Tables 2–4 is slightly positive (mostly by a few percent) for all three city clusters in all years, which again implies expansion and saturation of high O<sub>3</sub> in episodes with four or more consecutive O<sub>3</sub>-exceeding days. In summary, Tables 2–4 show quantitatively that the temporal and spatial changes in O<sub>3</sub> concentrations in three megacity clusters of eastern China during 2015–2020 can be mostly attributed to the changes in the number of days with four or more consecutive O<sub>3</sub>-exceeding days. It follows then that the critical question of our quest for the cause(s) of the remarkable large upward linear trend in O<sub>3</sub> of the three megacity clusters becomes: what process/mechanism is conducive to the formation of O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days?

In Figs. 10a and 10b the values of SSR and T2m of the episodes with four or more consecutive O<sub>3</sub>-exceeding days are compared to those of O<sub>3</sub> episodes with less than four consecutive O<sub>3</sub>-exceeding days, and to those of clean days (non-O<sub>3</sub>-exceeding days). As expected, the O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days consistently have the highest values of SSR and T2m, while the clean days have the lowest values. This is the case in nearly all years studied as shown in the Supplementary Material (Fig. S7) and is also generally true in YRD and PRD (Figs. S8 and S9). Coupling the higher values of SSR and T2m in the O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days depicted in Fig. 10 and greater number of days in the O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days shown in Fig. 3, we therefore propose a hypothesis as follows: the cause of worsening O<sub>3</sub> trends/increases in BTH, YRD and PRD from 2015 to 2020 could be attributed to enhanced photochemical O<sub>3</sub> production due to increased occurrence of meteorological conditions of high solar radiation and positive temperature anomaly at the surface.

Quantitatively the coupling of Fig. 10 with Fig. 3 can be performed by multiplying the difference between the red (four or more consecutive O<sub>3</sub>-exceeding days) and green (clean days) values of SSR/T2m in Fig. 10 with the frequency of occurrence (in percentage of total days) of O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days from Fig. 3. The results are compared to the yearly O<sub>3</sub>-exceeding days in Fig. 11. Correlation between the yearly O<sub>3</sub>-exceeding days and weighed SSR is very good with R values 0.88 or greater in all three regions, lending strong support for our hypothesis. Correlation between the yearly O<sub>3</sub>-exceeding days and weighed T2m is high correlated in BTH but not correlated in YRD and PRD, which probably suggests that T2m is not as strongly coupled to O<sub>3</sub> formation as SSR. Inclusion of O<sub>3</sub> episodes with less than four consecutive

O<sub>3</sub>-exceeding days in Fig. 11 did not change the correction coefficients significantly, supporting the robustness of results shown in Fig.11.

290 Hu W. et al. (2023), in collaboration with this study, conducted a statistical analysis to assess processes that contribute to high O<sub>3</sub> formation in PRD when TCs were present in the northwest Pacific. They investigated the impact of the distance between TCs in the northwest Pacific and PRD on the O<sub>3</sub> concentration in the PRD from 2006 to 2020. They found that the large numbers of consecutive O<sub>3</sub>-exceeding days in 2017 and 2019 relative to 2015 were primarily attributable to the greater occurrence of downdrafts and stable atmospheric conditions brought about by mid-distance category TCs. This finding clearly  
295 establishes that changing frequency of mid-distance category TCs (i.e., changing meteorological conditions) is the cause of the increases in the numbers of consecutive O<sub>3</sub>-exceeding days as well as the higher O<sub>3</sub> concentrations in PRD. Ongoing study by our research group further shows that the mid-distance category TCs are predominately those TCs with tracks starting around the southern Philippines and ending near Korea and/or Japan. Since TC tracks in northwestern Pacific are strongly controlled by WPSH, we conclude that both Philippines-to-Korea/Japan track TCs and corresponding distribution and intensity  
300 of WPSH contributed to the [favorable meteorological conditions leading to](#) higher consecutive O<sub>3</sub>-exceeding days in PRD from 2015 to 2020.

~~Mechanically we propose that the O<sub>3</sub> concentrations at the high O<sub>3</sub> stations stayed close to a saturation level of about 100 ppb throughout 2015 to 2020, even under increased downdrafts and stable atmospheric conditions brought about by mid-distance category TCs. This saturation effect was the result of enhanced rates of atmospheric dispersion, dry deposition and  
305 photochemical loss at high O<sub>3</sub> concentrations, which were supported by modeling results (Li et al., 2012; Ouyang et al., 2022; Zhang et al., 2023). It is also consistent with theoretical consideration. While the low O<sub>3</sub> stations, where O<sub>3</sub> production were relatively small in 2015, experienced significant enhancements in the O<sub>3</sub> production (32 ppb in BTH, 12 ppb in PRD) from 2015 to 2017 because in the latter year the increased downdrafts and stable atmospheric conditions brought about by mid-distance category TCs were highly conducive to O<sub>3</sub> formation (Hu W. et al., 2023).~~

310 Following the analysis by Hu W. et al. (2023), the mean vertical velocity at 850 hPa during all O<sub>3</sub>-exceeding days in PRD in 2015 (Fig. 12a) is compared to that of episodes with four or more consecutive O<sub>3</sub>-exceeding days in 2017 (Fig. 12b). Major features in Fig. 12 compare very well with those of Fig. 7. E.g., area with positive vertical velocity (downdrafts) in 2017 (red area in Fig. 12b), which was highly conducive to O<sub>3</sub> formation, was by far more widespread and greater in value than that of 2015 (red area in Fig. 12a), agreeing well with the greater high O<sub>3</sub> area of Fig. 7b (2017) than that of Fig. 7a (2015). This  
315 agreement confirms that the increase in O<sub>3</sub> in PRD from 2015 to 2017 was caused by increased downdrafts and stable atmospheric conditions (meteorological conditions) brought about by TCs as suggested by Hu W. et al. (2023). The same plots for BTH are shown in Fig. 13. Features of Fig. 13 are highly consistent with those of Fig. 5. The same plot for YRD (Fig. S10) also showed more extensive and greater downdrafts in 2017 than 2015. However, the area of positive vertical velocity in YRD appeared to shift about 500 km to the east compared to the area of high of O<sub>3</sub> in Fig. 6b. Considering the uncertainty in  
320 evaluating the vertical velocity and that O<sub>3</sub> formation is also dependent on parameters other than the vertical velocity, the discrepancy is acceptable.

In summary of this section, the [trends/increases](#) in O<sub>3</sub> in the three megacity clusters are critically dependent on the number of four or more consecutive O<sub>3</sub>-exceeding days. In addition, Hu W. et al. (2023) found that the changing frequency of mid-distance category TCs (i.e., changing meteorological conditions) is the cause of the increases in the numbers of consecutive O<sub>3</sub>-exceeding days as well as the O<sub>3</sub> concentrations in PRD. More importantly, our additional analyses of the mean vertical velocity at 850 hPa over the three megacity clusters (Figs. 12, 13 and S10) show that the increases in O<sub>3</sub> in all three megacity clusters from 2015 to 2017 were caused by enhanced downdrafts and stable atmospheric conditions (meteorological conditions) which were highly conducive to O<sub>3</sub> formation. The enhanced downdrafts and stable atmospheric conditions were brought about by TCs and associated WPSH. Here we bring up WPSH because it is well known that the tracks of TCs are influenced strongly by WPSH, and that WPSH affects strongly regional atmospheric dynamics and therefore O<sub>3</sub> formation (Chang et al., 2019; Mao et al., 2020; Ouyang et al., 2022; Zhao and Wang, 2017).

### 3.3.3 Contribution of western pacific subtropical high

Mao et al. (2020) made a comprehensive study of an 11-day O<sub>3</sub> episode in BTH in 2017 and found it was dominated by the presence of the WPSH and mid-high latitude wave activities. Depending on the position and intensity, WPSH is well known to be a crucial factor affecting O<sub>3</sub> concentrations in various parts of eastern China (Chang et al., 2019; Yin et al., 2019; Zhao and Wang, 2017). During this 11-day O<sub>3</sub> episode, the ridge line of WPSH maintained at approximately 22°N from June 24 to June 29, which in combination with mid-high latitude wave activities induced meteorological conditions highly conducive to the O<sub>3</sub> production in BTH and northern YRD (Mao et al., 2020).

Following the analysis of Mao et al. (2020), the impact of WPSH on O<sub>3</sub> in BTH in April–September has been analyzed in Fig. 14 which depicts the composite 500 hPa geopotential height contours, humidity and winds in BTH in April–September for O<sub>3</sub>-exceeding days in 2015 (a), clean days in 2015 (b), O<sub>3</sub>-exceeding days in 2017 (c), clean days in 2017 (d), O<sub>3</sub>-exceeding days in 2019 (e) and clean days in 2019 (f). The three years 2015, 2017 and 2019 are chosen because their differences in O<sub>3</sub> contribute predominately to the overall O<sub>3</sub> [trends/increases](#) (Figs. 1–2). The importance of WPSH is clearly visible in all Figs. 14a–14f when the 5880 and 5900 gpm isolines (green lines) of O<sub>3</sub>-exceeding days are compared to those of clean days. In all three years, the WPSH of the former (O<sub>3</sub>-exceeding days) were significantly stronger than the latter (clean days) as evident by the strong anticyclonic winds and/or the larger areas inside the 5880 gpm isolines. Even in the case of 2017 when the area inside 5880 gpm isolines of the former looked to be similar to that of the latter, the appearance of 5900 line in the former indicated a stronger WPSH. The strong anticyclonic winds in the O<sub>3</sub> exceeding days (Figs. 14a, 14c and 14e) force moist air of South China Sea northward into southern China and contributed to extensive clouds and precipitation and thus low O<sub>3</sub> formation over southern China and southern YRD. This difference in the O<sub>3</sub> formation between BTH and southern China provides a good explanation to why the O<sub>3</sub>-exceeding days mostly occur in different time periods in the three megacity clusters as discussed in Section 3.2. Furthermore, over East China Sea the prevailing westerlies were forced northward, slowed down and lead to meteorological conditions in BTH and northern YRD characterized by cloudless sky, sinking motion and high vertical stability in the lower troposphere, as well as high SSR and positive T2m anomaly at the surface. These meteorological

355 conditions were highly conducive to the formation and accumulation of O<sub>3</sub>. In contrast, the weaker WPSH of the clean days  
allowed relatively strong westerlies to prevail over BTH during clean days in the three years, which tended to disperse O<sub>3</sub>  
(Figs. 14b, 14d and 14f). e.g., the average wind speed over BTH was about 10 m s<sup>-1</sup> in Fig. 14b, while only about 5 m s<sup>-1</sup> in  
Fig. 12a. Quantitatively Fig. 12c had 31 more O<sub>3</sub>-exceeding days (93 ppb) than Fig. 14a, the 31 days came at the expense of  
clean days (52 ppb) (Figs. 14b and 14d). The contribution of these 31 days to the difference in MDA8 O<sub>3</sub> between 2017 and  
360 2015 (6.5 ppb, Fig. 1) can be calculated as follows:  $((93 \times 62) + (56 \times 121)) / (62 + 121) - ((86 \times 31) + (52 \times 152)) / (31 + 152) = 10.8$  ppb.  
This difference of 10.8 ppb in MDA8 O<sub>3</sub> between 2017 and 2015 was for the period of April to September. It should be divided  
by 2 and became 5.4 ppb for the yearly difference in MDA8 O<sub>3</sub> between 2017 and 2015. This value of 5.4 ppb accounted for  
83% of the observed difference in MDA8 O<sub>3</sub> between 2017 and 2015 (6.5 ppb, Fig. 1). Similar statement can be made for the  
difference in MDA8 O<sub>3</sub> between 2019 and 2017 (Figs. 14e and 14c, Fig. 1).

365 We have made the same analysis for other years as well as for YRD and PRD. The results are mostly similar, and thus presented  
in the Supplementary material (Figs. S11, S12 and S13). Figs. 15a–15d for PRD in 2017 and 2019 are shown because there  
were interesting anticyclonic circulations over PRD during O<sub>3</sub>-exceeding days in both years (Figs. 15a and 15c). The 2017  
anticyclone was a direct product of the WPSH as it resided within the western tip of the 5880 gpm isoline. The 2019 anticyclone  
was also likely associated with the WPSH as the center of anticyclone resided just beneath the 5860 gpm isoline to the west of  
370 PRD. The anticyclonic circulations were accompanied by stable downdrafts, low winds, and cloudless sky conditions (short  
arrows and blue shades in Figs. 15a and 15c), which were highly conducive to the O<sub>3</sub> formation. Cloudless sky conditions also  
occurred in YRD and BTH in Figs. 15a and 15c, but the high wind speed prevented the accumulation of O<sub>3</sub>. This difference in  
O<sub>3</sub> accumulation between PRD and other two regions provide another good explanation to why the O<sub>3</sub>-exceeding days mostly  
occur in different days in the three megacity clusters as discussed in Section 3.2. Quantitatively Fig. 15c had 27 more O<sub>3</sub>-  
375 exceeding days (90 ppb) than Fig. 13a, the 27 days came at the expense of clean days (39 ppb) (Figs. 15b and 15d). The  
contribution of these 27 days to the difference in MDA8 O<sub>3</sub> in PRD between 2019 and 2017 (6.0 ppb, Fig. 1) can be calculated  
as follows:  $((90 \times 62) + (46 \times 182)) / (62 + 182) - ((85 \times 35) + (39 \times 209)) / (35 + 209) = 11.6$  ppb. This difference of 11.6 ppb in MDA8 O<sub>3</sub>  
between 2019 and 2017 was for the period of April to November. It should be divided by 365/244 and became 7.75 ppb for  
the yearly difference in MDA8 O<sub>3</sub> between 2019 and 2017. This value of 7.75 ppb was 1.75 ppb more than the observed  
380 difference in MDA8 O<sub>3</sub> between 2019 and 2017 (6.3 ppb, Fig. 1), suggesting a reduction of about 3.5 ppb in MDA8 O<sub>3</sub> in the  
cold months of January–March and December between 2017 and 2019, which was approximately confirmed by the observed  
reduction of 3.88 ppb.

The presence of anticyclonic circulations over PRD is in good agreement with the results of Ouyang et al. (2022) and Hu W.  
et al. (2023). The latter authors suggested that the anticyclonic circulations over PRD were primarily caused by TCs in  
385 northwestern Pacific. Nevertheless, it is widely acknowledged that the tracks of TCs in the northwestern Pacific are influenced,  
at least to some extent, by WPSH (Sun et al., 2015; Wang et al., 2017), making it difficult to separate the roles played by the  
TCs on the anticyclonic circulations and O<sub>3</sub> formation from those of WPSH. Clearly, further investigations is needed to fully  
understand the complex relationship among WPSH, TCs and O<sub>3</sub>. Based on these results, we hypothesize that the increased

frequency of these meteorological conditions enabled by the changing intensity and position of WPSH could contribute as a major cause of the [positive-O<sub>3</sub> trends/increases](#) in the three megacity clusters in eastern China during 2015–2020.

### 3.3.4 Saturation at high-concentration sites

Why the favorable meteorological conditions only cause O<sub>3</sub> increase at low O<sub>3</sub> stations, but not at high O<sub>3</sub> stations? And why the saturation O<sub>3</sub> level is around 100 ppb as shown in Fig. 4? These questions can be best addressed by examining Fig. 16 which depicts the time series of individual processes (where DDEP denotes dry deposition, CHEM the net photochemical production of O<sub>3</sub>, HTRA the horizontal transport and VTRA the vertical transport) contributing to O<sub>3</sub> budget in PRD (averaged over 56 stations in PRD) calculated by the WRF-CMAQ model for the O<sub>3</sub> episode of September 24–October 1, 2019 (Ouyang et al., 2022). This episode was one of the most severe O<sub>3</sub> episodes since the official O<sub>3</sub> observation started in PRD in 2006. MDA8 O<sub>3</sub> exceeded the 75 ppb standard on all eight days of the episode. Hourly O<sub>3</sub> reached as high as 110 ppb, yet all MDA8 O<sub>3</sub> stayed approximately between 75 and 100 ppb. This suggests a ceiling/saturation level of approximately 100 ppb for MDA8 O<sub>3</sub>, consistent with what was observed in Fig. 4 for PRD as well as BTH and YRD. Since this episode was one of the most severe episodes, we can assume that the 100 ppb saturation level would also be applicable to other O<sub>3</sub> episodes in Guangdong. More importantly, the saturation effect was also a common feature in the results of other three-dimensional models for other megacity clusters, in which MDA8 O<sub>3</sub> usually saturated at 100 ppb, e.g., in YRD (Li et al., 2012) and in Beijing (Zhang et al., 2023). This explains why the saturation O<sub>3</sub> level is around 100 ppb as shown in Fig. 4.

In regard to the first question: Why the favorable meteorological conditions only cause O<sub>3</sub> increase at low O<sub>3</sub> stations, but not at high O<sub>3</sub> stations? It can be understood as follows: At a low O<sub>3</sub> station of 65 ppb MDA8 O<sub>3</sub> in PRD in 2015 (Fig. 4c), Fig. 16 shows that MDA8 O<sub>3</sub> can readily increase to 75–100 ppb in a few hours from an early morning low ozone of about 50 ppb under favorable meteorological conditions. However, at a high O<sub>3</sub> station of 100 ppb MDA8 O<sub>3</sub> in 2015 (Fig. 4c) under the same favorable meteorological conditions, MDA8 O<sub>3</sub> would also reach 75–100 ppb in a few hours from an early morning low ozone of about 50 ppb (Note here we assume all stations start the day with an early morning low ozone of 50 ppb, consistent with the value in Fig. 16). In other words, the saturation levels at all stations are the same at 75–100 ppb, independent of the ozone concentration in 2015.

In terms of contributing processes, the saturation level of 75–100 ppb is controlled primarily by photochemical loss, dry deposition and dispersion to the free troposphere. This can be clearly seen in Fig. 16, on all eight days in the mid-morning when O<sub>3</sub> is approaching toward its peak value, CHEM declines sharply due to photochemical loss, and HTRA, VTRA and DDEP all become greater. Near noontime O<sub>3</sub> starts to drop sharply.

### **3.4 Uncertainty and cautionary statements**

It is worth noting that the analyses conducted in Sections 3.1–3.3 have predominantly relied on correlation or regression analysis techniques, which do not imply a cause-and-effect relationship. To establish a cause-and-effect link between the proposed changes in meteorological parameters and O<sub>3</sub> [trends/increases](#), it is necessary to employ a mechanistic model that is based on the proposed causes and can accurately reproduce the observed O<sub>3</sub> [trend/increase](#). Until such model reproduction is

425 achieved, all correlation or regression findings should be considered as a potential maximum cause-and-effect relationship (Wu et al., 2022). However, current mechanistic models suffer from significant uncertainties, making it difficult to credibly simulate critical atmospheric processes that regulate O<sub>3</sub> formation. These processes include atmospheric transport parameterizations, the sources and sinks of OH, HO<sub>2</sub> and RO<sub>2</sub> radicals, and the photochemistry of VOCs and OVOCs.

#### 4 Summary and Conclusions

430 Thanks to a strong emission control policy, major air pollutants in China, including PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub> and CO had shown remarkable reductions during 2015–2020. However, O<sub>3</sub> concentration had increased significantly and emerged as a major air pollutant in eastern China during the same time period. The annual mean concentration of MDA8 in three megacity clusters in eastern China, namely BTH, YRD and PRD, showed alarming large upward linear [trends/increases](#) of 25%, 10% and 19%, respectively during 2015–2019. Identifying the causes of these worsening O<sub>3</sub> [trends/increases](#) is urgently required for air pollution prevention and management.

435 Some recent studies suggested that enhanced photochemical processes induced by changing anthropogenic emissions were responsible for these [trends/increases](#) (Li et al., 2019; Li et al., 2022; Shao et al., 2021; Wang et al., 2020). However, we noticed that there was independent evidence, including the spatial distribution of the expansion of high O<sub>3</sub> (Figs. 5 and 6) and inter-annual variations in O<sub>3</sub>, Ox, NO<sub>2</sub>, CO and PM<sub>2.5</sub> (Fig. 8), suggesting that transport/meteorological conditions rather than emissions of O<sub>3</sub> precursors were more likely to be the major contributor to the O<sub>3</sub> [trends/increases](#). Moreover, we found that the [trends/increases](#) in O<sub>3</sub> observed in the three megacity clusters during 2015–2020 (Fig. 1) were mainly caused by the large [trend/increase](#) of approximately two to three-fold increase in the number of consecutive O<sub>3</sub>-exceeding days (Fig. 3), during that time a fast and widespread expansion of high O<sub>3</sub> from urban centers to surrounding regions was observed (Fig. 4), and the majority of the expansions was accomplished during the two-year 2015–2017 period (green lines in Fig. 4). Furthermore, the expansions of high O<sub>3</sub> in the three megacity clusters were accompanied by a saturation effect that O<sub>3</sub> concentrations at the high O<sub>3</sub> stations (high O<sub>3</sub> in 2015) of approximate 100 ppb remained nearly constant throughout the entire period of 2015–2020, [even to 2022, Fig. S14](#)), while the low O<sub>3</sub> stations (low O<sub>3</sub> in 2015) with O<sub>3</sub> less than 75 ppb in all three megacity clusters experienced a significant enhancement in O<sub>3</sub> (>5 ppb yr<sup>-1</sup>) during 2015–2017 (Figs. 4a, 4b and 4c). Finally, greater frequency of episodes with four or more consecutive O<sub>3</sub>-exceeding days contributed the majority to the higher O<sub>3</sub> in all three megacity clusters in 2017 vs. 2015, the greater intensity/concentration of O<sub>3</sub> during the episodes contributes only about 10% (Fig. 9), consistent with the expansion and saturation effect discussed earlier.

440 Coupling the higher values of SSR and T2m in the O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days depicted in Fig. 10 and greater occurrence (number of days) in the O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days shown in Fig. 3, we hypothesize that the cause of the worsening O<sub>3</sub> [trends/increases](#) in BTH, YRD and PRD from 2015 to 2020 could be attributed to enhanced photochemical O<sub>3</sub> production due to the increased occurrence of meteorological conditions of high solar radiation and positive temperature anomaly under the influence of WPSH and TCs. The hypothesis is substantiated in

Fig. 11, which shows excellent correlation between the yearly O<sub>3</sub>-exceeding days and SSR with R values 0.88 or greater in all three regions. Correlation between the yearly O<sub>3</sub>-exceeding days and T2m is good in BTH but poor in YRD and PRD, which probably suggests that T2m is not as strongly coupled to O<sub>3</sub> formation as SSR.

The [trends/increases](#) in O<sub>3</sub> in the three megacity clusters are found to be critically dependent on the number of four or more consecutive O<sub>3</sub>-exceeding days. In collaboration with this study, Hu W. et al. (2023) found that the changing frequency of mid-distance category TCs (i.e., changing meteorological conditions) is the cause of the increases in the numbers of consecutive O<sub>3</sub>-exceeding days as well as the O<sub>3</sub> concentrations in PRD. Our additional analyses of the mean vertical velocity at 850 hPa in the three megacity clusters (Figs. 12, 13 and S10) show that the increases in O<sub>3</sub> in all three megacity clusters from 2015 to 2017 were associated with enhanced downdrafts and stable atmospheric conditions (meteorological conditions) which were highly conducive to O<sub>3</sub> formation. Finally, the enhanced downdrafts and stable atmospheric conditions were most likely brought about by TCs and associated WPSH.

Therefore, we propose that the O<sub>3</sub> concentrations at the high O<sub>3</sub> stations stayed close to a saturation level of about 100 ppb throughout 2015 to 2020, even under [enhanced more frequent](#) conditions conducive to O<sub>3</sub> formation, was the result of a relatively high rates of atmospheric dispersion, dry deposition and photochemical loss [at due to](#) the high O<sub>3</sub> concentration- (Fig. 16). While the low O<sub>3</sub> stations, where O<sub>3</sub> production were relatively small in 2015, experienced significant enhancements in the O<sub>3</sub> production in 2017 and 2019 because of [the enhanced more frequent](#) downdrafts and stable atmospheric conditions associated with TCs and WPSH in the northwestern Pacific, which were highly conducive to O<sub>3</sub> [formation photochemical production and accumulation](#) (Hu W. et al., 2023).

Following the analysis of Mao et al. (2020), the impact of WPSH on O<sub>3</sub> in BTH in April–September has been analyzed in Fig. 14. We found that the increased frequency of these meteorological conditions enabled by the changing intensity and position of WPSH could contribute as a major cause of the [positive-O<sub>3</sub> trends/increases](#) in the three megacity clusters in eastern China during 2015–2020.

Nevertheless, it is crucial to recognize that the examinations carried out in Sections 3.1–3.3 primarily utilized correlation or regression analysis techniques, which do not inherently establish causal relationships. To attribute cause and effect between the suggested alterations in meteorological parameters and O<sub>3</sub> [trends/increases](#), it is necessary to employ a mechanistic model that accurately replicates the observed O<sub>3</sub> [trend/increase](#) based on the proposed cause(s). Until the model successfully reproduces the phenomenon, all correlation or regression findings should be treated as merely indicating the highest potential cause-and-effect relationship (Wu et al., 2022).

In conclusion, we hypothesize that the cause of the worsening O<sub>3</sub> [trends/increases](#) in BTH, YRD and PRD from 2015 to 2020 is attributable to enhanced photochemical O<sub>3</sub> production due to the increased occurrence of meteorological conditions of high solar radiation and positive temperature anomaly under the influence of WPSH and TCs. Therefore, we suggest that future O<sub>3</sub> pollution prevention and control policies should pay more attention to changes in the meteorological/climate conditions, particularly changes in the large-scale circulations, including WPSH and TCs.

*Data availability.* Hourly surface O<sub>3</sub>, NO<sub>2</sub>, CO, PM<sub>2.5</sub>, and SO<sub>2</sub> data were obtained from China National Environmental Centre (<http://www.cnemc.cn/en/>). Hourly meteorological data are obtained from European Centre for Medium-Range Weather  
490 Forecasts ERA5 reanalysis (<https://cds.climate.copernicus.eu/>). Daily meteorological data are obtained from National Center for Environmental Prediction (NCEP) and National Center for Atmospheric Research (NCAR) (<https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.html>). The data of this study are available upon request to Shaw Chen Liu ([shawliu@jnu.edu.cn](mailto:shawliu@jnu.edu.cn)).

495 *Author Contributions.* SL and RL proposed the essential research idea. TH, and YL performed the analysis. TH, YL, RL, and SL drafted the manuscript. YX, [SO](#), BW, and YZ helped analysis and offered valuable comments. All authors have read and agreed to the published version of the manuscript.

*Competing interests.* The authors declare that they have no conflict of interest.

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650 **Table 1.** Criteria and corresponding numbers of low O<sub>3</sub> and high O<sub>3</sub> stations in the three megacity clusters in 2015. The criterion listed for each megacity cluster was based on the number of MDA8 O<sub>3</sub> exceeding days in 2015. For instance, the criterion for a low O<sub>3</sub> site in BTH was the number of MDA8 O<sub>3</sub> exceeding days in 2015 being less than or equal to 19 days, while for high O<sub>3</sub> site was the number of MDA8 O<sub>3</sub> exceeding days in 2015 being greater than or equal to 71 days.

	Criterion of low O <sub>3</sub> stations	Number of low O <sub>3</sub> stations	Criterion of high O <sub>3</sub> stations	Number of high O <sub>3</sub> stations	Total number of stations
BTH	≤ 19 days	13	≥ 71 days	14	78
YRD	≤ 37 days	54	≥ 67 days	13	152
PRD	≤ 12days	10	≥ 46 days	10	48

655 **Table 2.** Mean O<sub>3</sub> concentrations (ppb) and number of days of all O<sub>3</sub>-exceeding days (2nd column), consecutive O<sub>3</sub>-exceeding days with less than four days (3rd column), consecutive O<sub>3</sub>-exceeding days with four or more days (4th column) and the difference between (≥4days) and (<4days) (5th column) within the BTH box in 2015–2020.

	All days	<4 days	≥4 days	Difference
	Concentration (days)	Concentration (days)	Concentration (days)	(≥4 days) – (<4 days)
	ppb	ppb	ppb	ppb
2015	66.42(31)	65.04(24)	71.14(07)	6.10
2016	64.13(43)	62.65(26)	66.39(17)	3.74
2017	69.44(62)	65.32(34)	74.43(28)	9.11
2018	68.21(74)	65.43(27)	69.80(47)	4.37
2019	70.19(96)	65.28(30)	72.42(66)	7.14
2020	69.69(78)	65.52(40)	74.08(38)	8.56

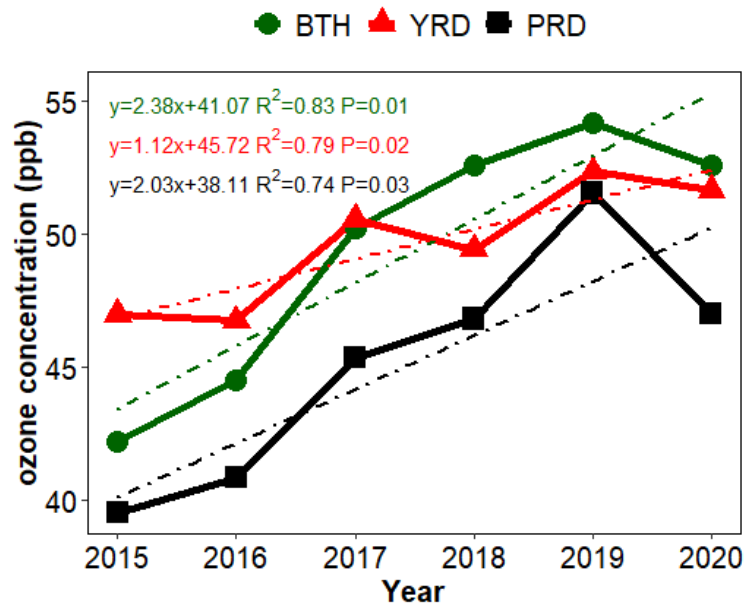
**Table 3.** Mean O<sub>3</sub> concentrations (ppb) and number of days of all O<sub>3</sub>-exceeding days (2nd column), consecutive O<sub>3</sub>-exceeding days with less than four days (3rd column), consecutive O<sub>3</sub>-exceeding days with four or more days (4th column) and the difference between (≥4days) and (<4days) (5th column) within the YRD box in 2015–2020.

	All days Concentration (days) ppb	<4 days Concentration (days) ppb	≥4 days Concentration (days) ppb	Difference (≥4 days) – (<4 days) ppb
2015	53.79(31)	53.59(19)	54.11(12)	0.52
2016	58.87(27)	58.03(23)	63.73(04)	5.70
2017	64.35(40)	62.62(25)	67.22(15)	4.60
2018	63.33(43)	62.49(32)	65.75(11)	3.26
2019	67.18(49)	66.09(27)	68.51(22)	2.42
2020	65.84(38)	64.12(27)	70.06(11)	5.94



665 **Table 4.** Mean O<sub>3</sub> concentrations (ppb) and number of days of all O<sub>3</sub>-exceeding days (2nd column), consecutive O<sub>3</sub>-exceeding days with less than four days (3rd column), consecutive O<sub>3</sub>-exceeding days with four or more days (4th column) and the difference between (≥4days) and (<4days) (5th column) within the PRD box in 2015–2020.

	All days	<4 days	≥4 days	Difference
	Concentration (days) ppb	Concentration (days) ppb	Concentration (days) ppb	(≥4 days) – (<4 days) ppb
2015	61.16(14)	61.16(14)	---(0)	---
2016	58.44(19)	58.44(19)	---(0)	---
2017	65.18(36)	64.60(23)	66.20(13)	1.60
2018	65.82(31)	63.27(16)	68.55(15)	5.28
2019	69.80(62)	65.96(29)	73.16(33)	7.20
2020	65.08(37)	63.87(22)	66.84(15)	2.97



670 Figure 1: Annual mean concentrations of maximum daily 8-hour average O<sub>3</sub> in BTH (green), YRD (red) and PRD (black).

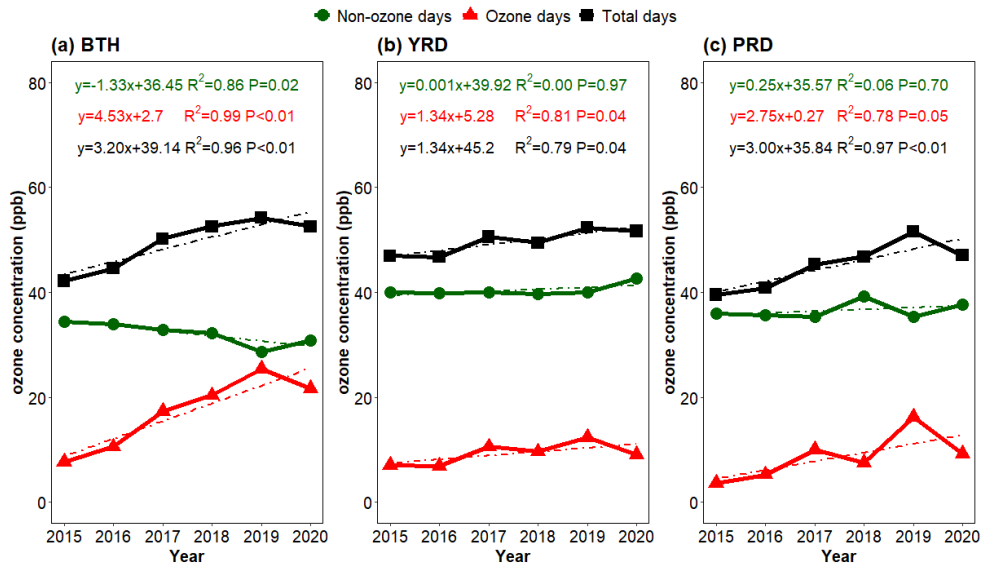


Figure 2: Contributions from the O<sub>3</sub>-exceeding days (red) and non-O<sub>3</sub>-exceeding days (green) to the annual mean concentration of maximum daily 8-hour average O<sub>3</sub> (black) in BTH (a), YRD (b) and PRD (c).

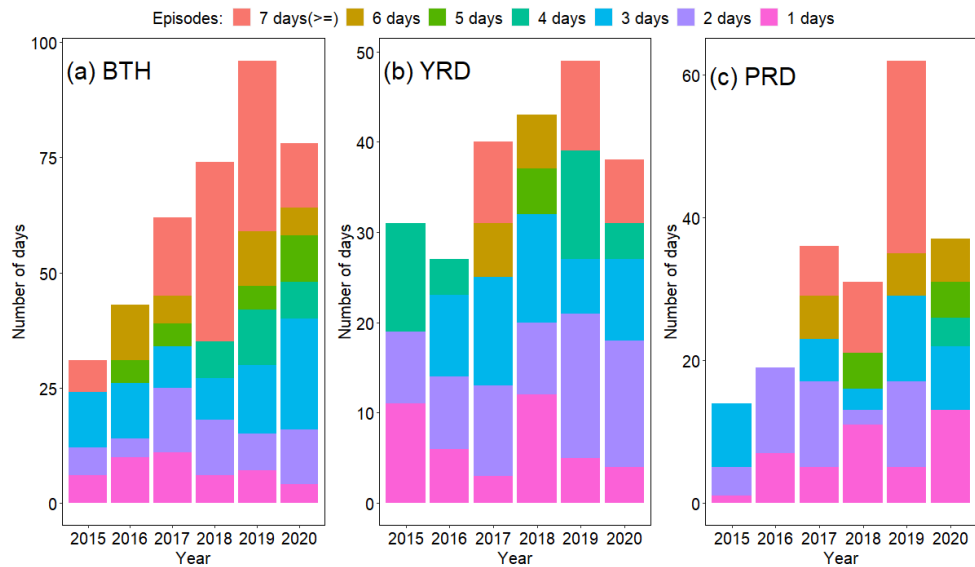
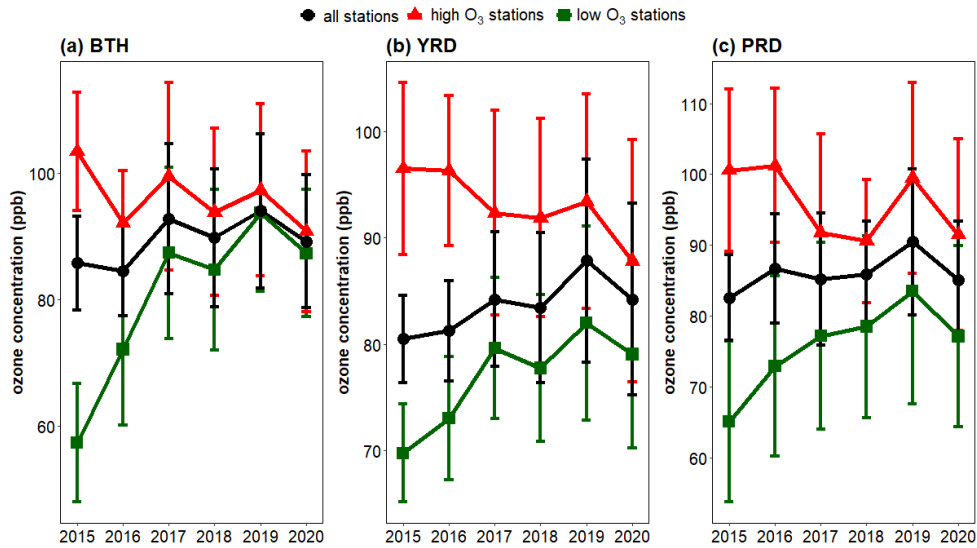
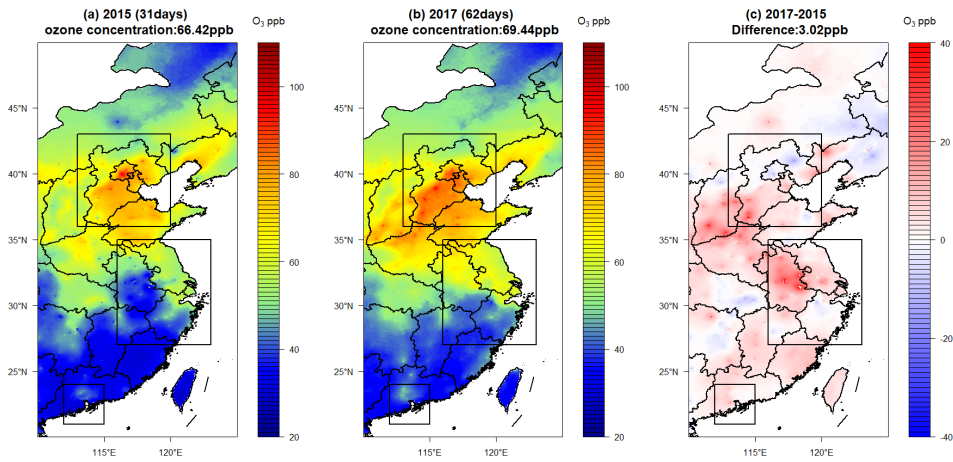


Figure 3: Annual numbers of various consecutive O<sub>3</sub>-exceeding days in BTH (a), YRD (b) and PRD (c). Individual colors denote different numbers of consecutive O<sub>3</sub>-exceeding days.



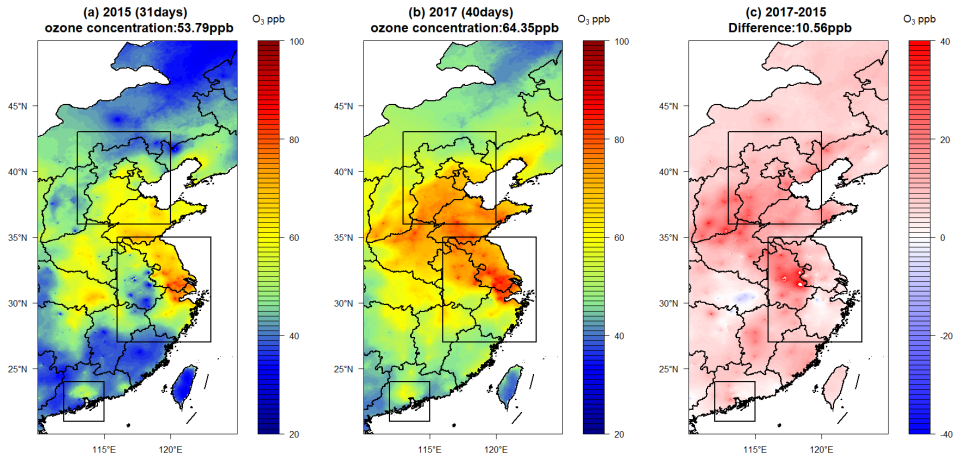
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Figure 4: MeanAnnual mean concentrations (2015-2020) of maximum daily 8-hour average O<sub>3</sub> during O<sub>3</sub>-exceeding days for all stations (black), high O<sub>3</sub> stations (red) and low O<sub>3</sub> stations (green) in BTH (a), YRD (b) and PRD (c).



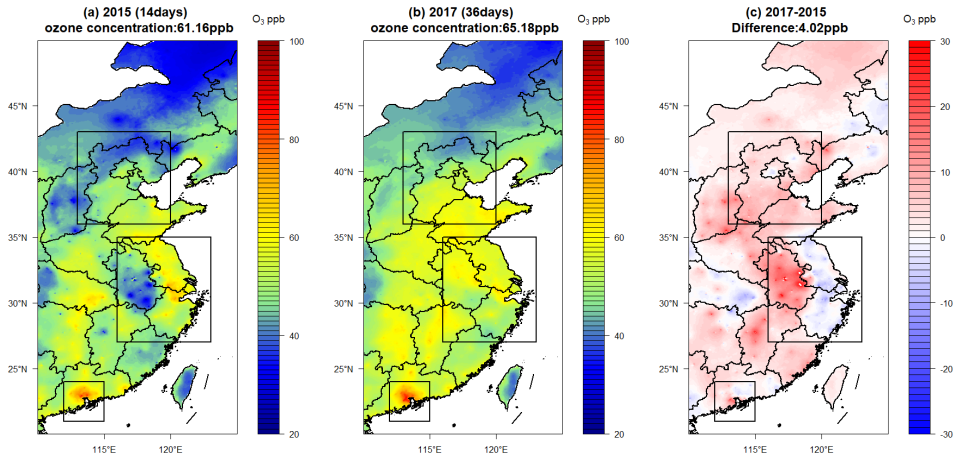
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Figure 5: Spatial distribution of annual mean concentrations of maximum daily 8-hour average  $O_3$  for  $O_3$ -exceeding days in BTH in 2015 (a), 2017 (b) and their difference (2017 - 2015) (c). The top, middle and bottom rectangle boxes denote BTH, YRD and PRD districts, respectively. The number inside the parenthesis behind 2015 or 2017 denotes the number of  $O_3$ -exceeding days.



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Figure 6: Spatial distribution of annual mean concentrations of maximum daily 8-hour average  $O_3$  for  $O_3$ -exceeding days in YRD in 2015 (a), 2017 (b) and their difference (2017 - 2015) (c). The top, middle and bottom rectangle boxes denote BTH, YRD and PRD districts, respectively. The number inside the parenthesis behind 2015 or 2017 denotes the number of  $O_3$ -exceeding days.



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Figure 7: Spatial distribution of annual mean concentrations of maximum daily 8-hour average  $O_3$  for  $O_3$ -exceeding days in PRD in 2015 (a), 2017 (b) and their difference (2017 - 2015) (c). The top, middle and bottom rectangle boxes denote BTH, YRD and PRD districts, respectively. The number inside the parenthesis behind 2015 or 2017 denotes the number of  $O_3$ -exceeding days.



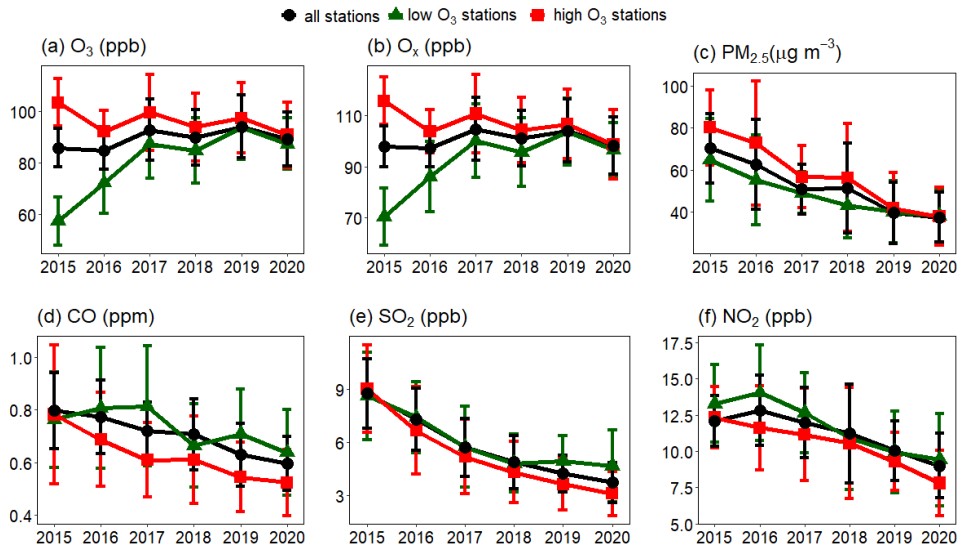
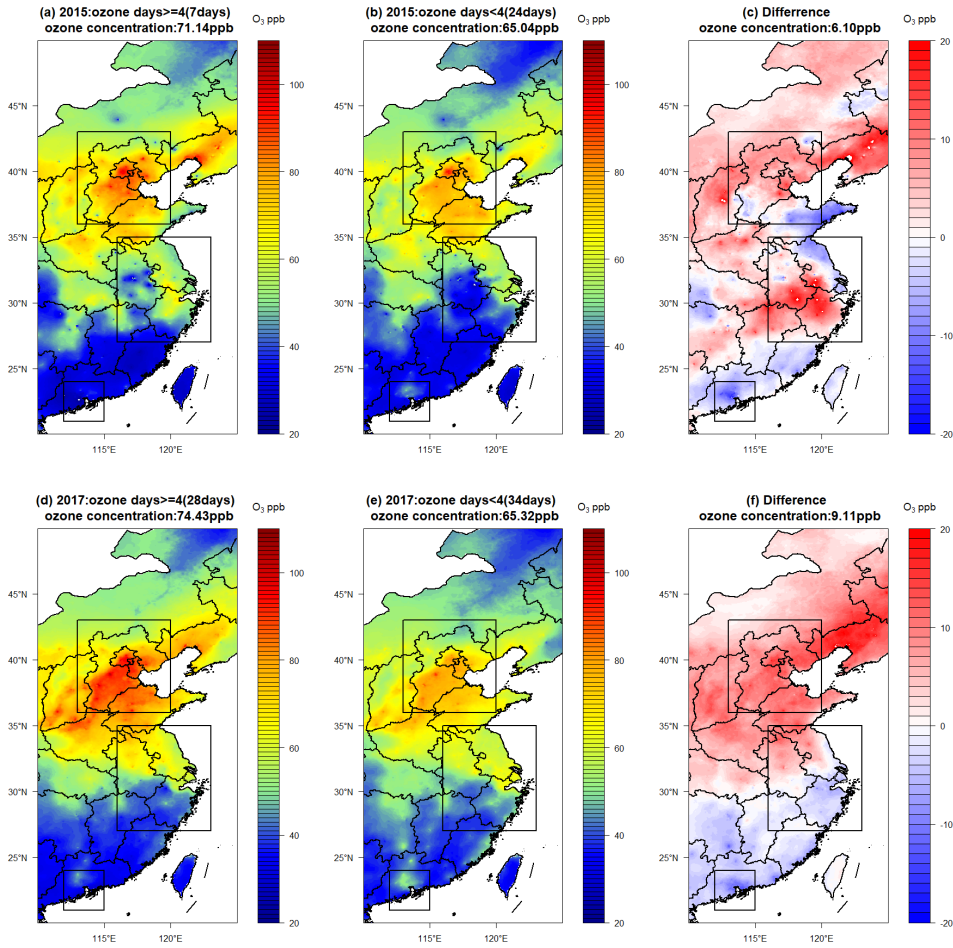
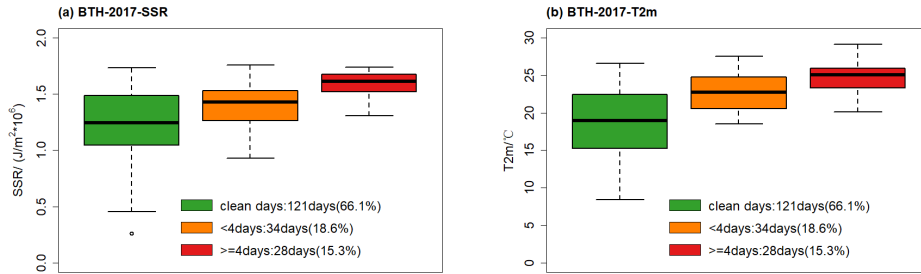


Figure 8: Annual mean concentrations of maximum daily 8-hour average O<sub>3</sub> in BTH during O<sub>3</sub>-exceeding days for all stations (black), high O<sub>3</sub> stations (red) and low O<sub>3</sub> stations (green) (a), same as (a) but for O<sub>x</sub> (b), PM<sub>2.5</sub> (c), CO (d), SO<sub>2</sub> (e), NO<sub>2</sub> (f).

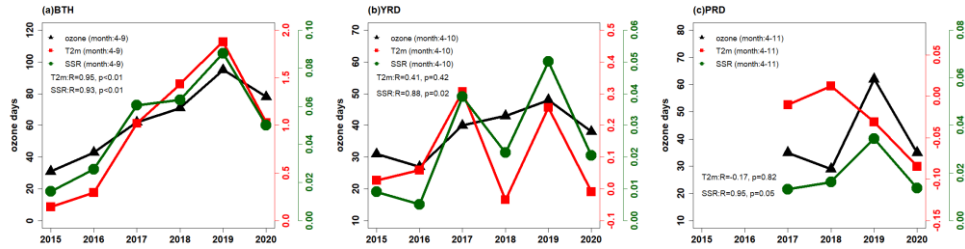


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**Figure 9:** Spatial distribution of daily mean MDA8 O<sub>3</sub> of O<sub>3</sub>-exceeding days in BTH for O<sub>3</sub> episodes with four or more consecutive O<sub>3</sub>-exceeding days in 2015 (a), O<sub>3</sub> episodes with less than four consecutive O<sub>3</sub>-exceeding days in 2015 (b), and (a minus b) (c); (d, e and f) are the same as (a, b and c), respectively, but for 2017.



710 **Figure 10: Surface solar radiation (SSR) (a) and temperature (T2m) (b) in BTH in April–September 2017 for four episodes with four or more consecutive O<sub>3</sub>-exceeding days (red), clean days (non-O<sub>3</sub>-exceeding days) (green) and O<sub>3</sub> episodes with less than four consecutive O<sub>3</sub>-exceeding days (orange).**



715 **Figure 11: Correlations among annual O<sub>3</sub>-exceeding days, surface solar radiation (SSR) and temperature (T2m) in BTH (a), YRD (b) and PRD (c).**

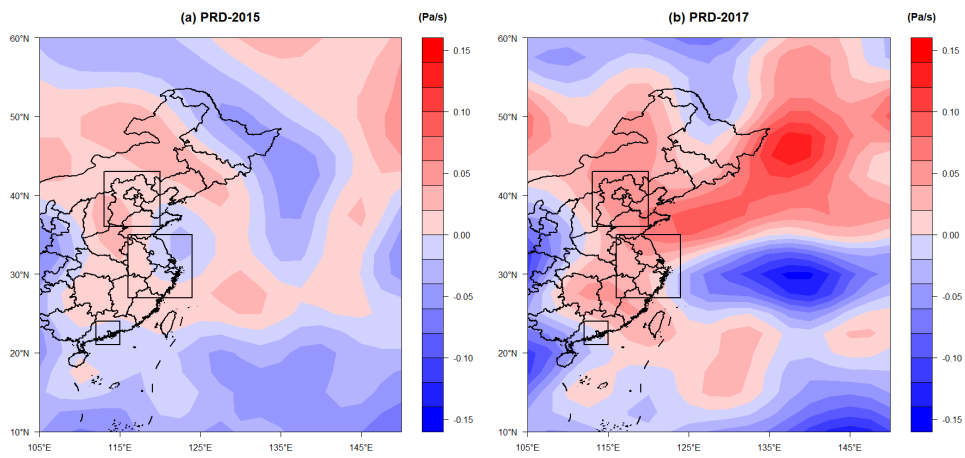
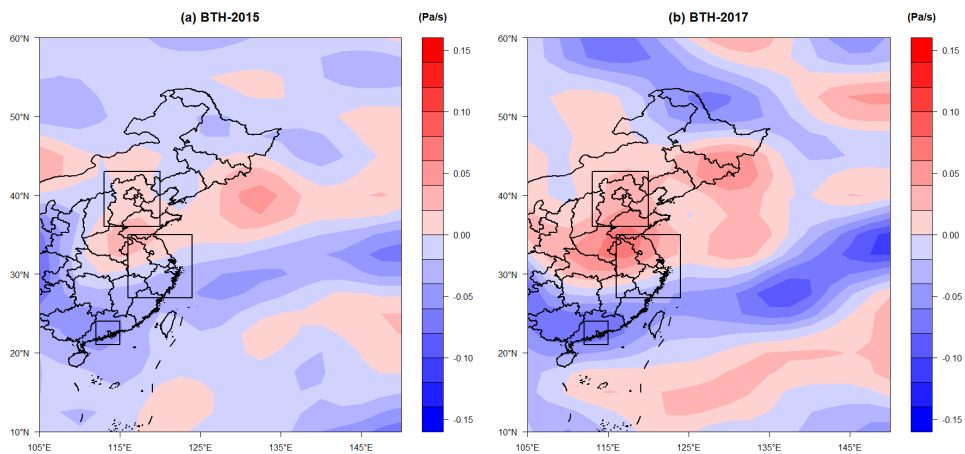
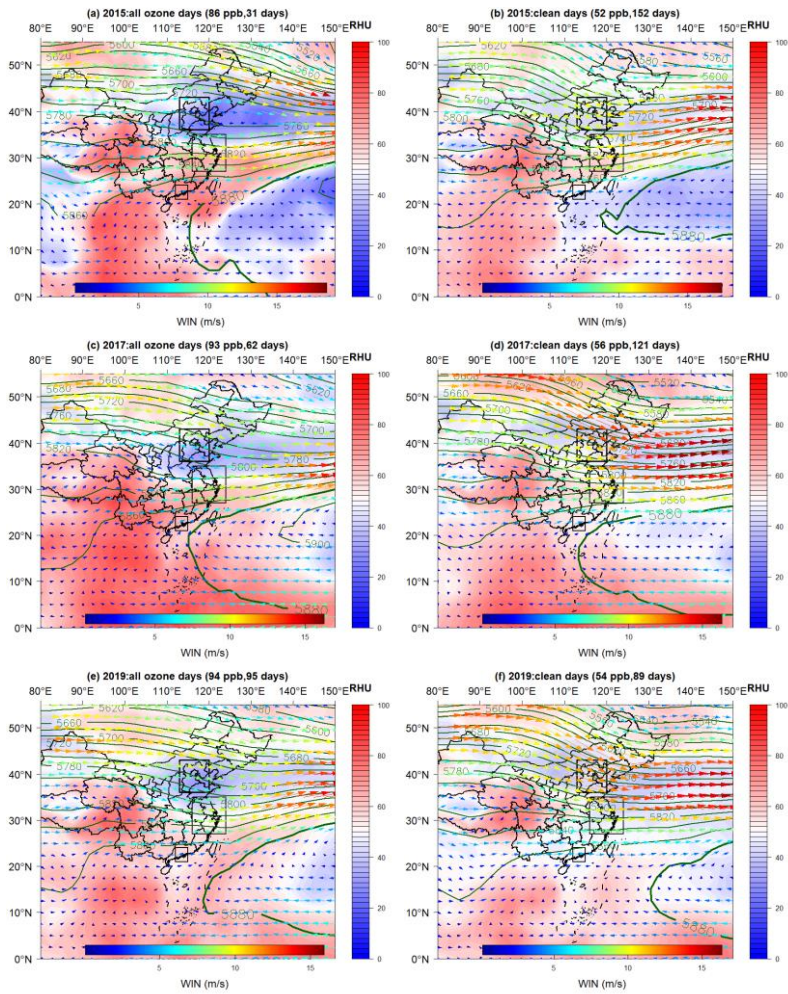


Figure 12: Mean vertical velocity at 850hPa during O<sub>3</sub>-exceeding days in PRD in 2015 (a) and during episodes with four or more consecutive O<sub>3</sub>-exceeding days in 2017 (b).



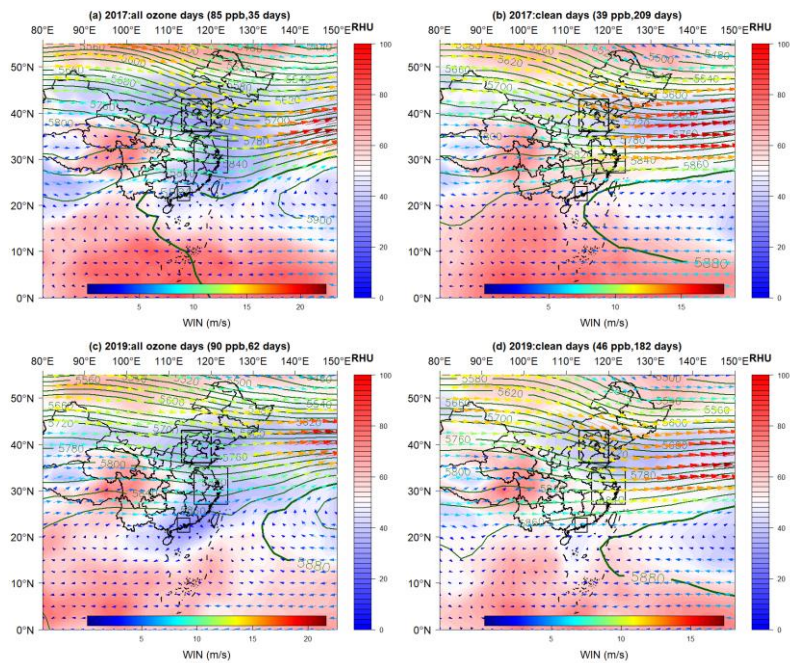
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Figure 13: Mean vertical velocity at 850 hPa during O<sub>3</sub>-exceeding days in BTH in 2015 (a) and during episodes with four or more consecutive O<sub>3</sub>-exceeding days in 2017 (b).



**Figure 14: Composite 500 hPa geopotential height contours, humidity and winds in BTH in April-September for O<sub>3</sub>-exceeding days in 2015 (a), clean days in 2015 (b), O<sub>3</sub>-exceeding days in 2017 (c), clean days in 2017 (d), O<sub>3</sub>-exceeding days in 2019 (e) and clean days in 2019 (f).**





730 Figure 15: Composite 500 hPa geopotential height contours, humidity and winds in PRD in April-November for O<sub>3</sub>-exceeding days in 2017 (a), clean days in 2017 (b), O<sub>3</sub>-exceeding days in 2019 (c), clean days in 2019 (d).

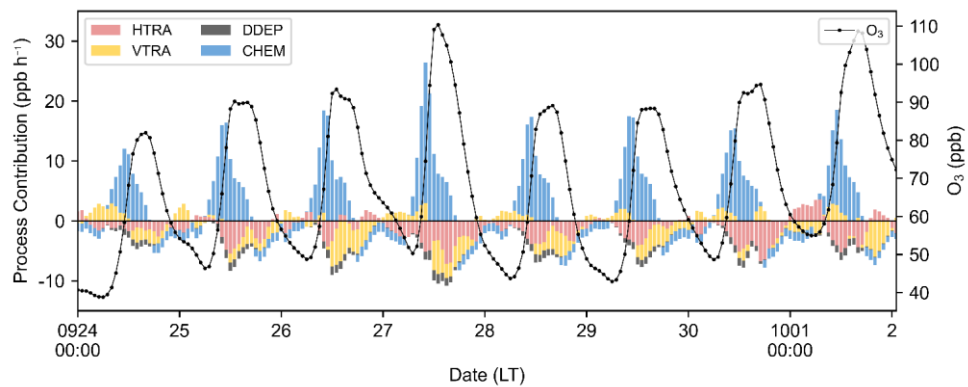


Figure 16: Time series of individual processes contributing to O<sub>3</sub> budget in PRD calculated by the WRF-CMAO model for the O<sub>3</sub> episode of September 24–October 1, 2019. The black line (O<sub>3</sub>) represents the averaged O<sub>3</sub> concentrations in the layers below 1260m. Where DDEP denotes dry deposition, CHEM denotes chemical processes, HTRA denotes the horizontal transport and VTRA denotes the vertical transport.

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