



1 **1. Title page**

2 **Sequestering carbon in the subsoil benefits crop transpiration at the**
3 **onset of drought**

4
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20 **2. Abstract**

21 Increasing soil organic carbon is promoted as a negative emission technology for the
22 agricultural sector with a potential co-benefit for climate adaptation due to increased soil
23 water retention. Field-scale hydrological models are powerful tools to evaluate how the
24 agricultural systems would respond to the changing climate in upcoming years and
25 decades, to predict impacts, and look for measures that help decrease drought-driven crop
26 stress under current and future climatic conditions. We quantified how different levels of
27 soil organic carbon (SOC) additions at varied soil depths are expected to influence
28 drought-induced transpiration reduction ($T_{red,dry}$) in maize cultivated in Switzerland.
29 Parameterization of the model based on a pedotransfer function (PTF) was validated
30 against soil moisture data from a long-term lysimeter experiment with a typical Swiss soil
31 and the model was subsequently applied under climate forcing between 1981 until 2099
32 representative of three distinct climatic sites of Switzerland. We used the same PTF to
33 indirectly assess the effects of SOC additions in different depths on soil hydraulic
34 properties. We found a threshold in both added amount of SOC (2% added) and in the
35 depth of sequestering that SOC (top 65cm) beyond which any additional impact appears
36 to be substantially reduced. However, adding at least 2% SOC down to at least 65 cm
37 depth can reduce $T_{red,dry}$ in maize, i.e. increase transpiration annually, but mostly at the
38 onset of summer drought by almost 40 mm. We argue that SOC increases in subsoils can
39 play a supporting role in mitigating drought impacts in rain-fed cropping in Switzerland.
40

41 Keywords: climate change adaptation; water use efficiency; soil management;
42 pedotransfer functions, simulation modeling; SWAP



43 **3. Introduction**

44 Over the last few decades, scientific studies have increasingly emphasized the
45 need and explored potentials for soil carbon sequestration in agricultural soils to mitigate
46 climate change (e.g. Lal (2001, 2004); Minasny et al. (2017); Smith et al. (2008)). In this
47 context, other possible impacts of increasing soil organic carbon (SOC) on important soil
48 functions and services have also been highlighted (e.g. on soil biodiversity, soil structure,
49 soil water retention and infiltration capacity; see Lal (2004); Murphy (2015)).
50 Management practices such as application of organic amendments (i.e. compost, manure,
51 biochar), cover cropping, crop diversification and the adoption of conservation tillage
52 systems are commonly considered beneficial for increasing SOC (Crystal-Ornelas et al.,
53 2021). With an increase in soil organic carbon in quantity, quality and chemical diversity,
54 soil communities are promoted and biotic-abiotic interactions are enhanced, with positive
55 impacts on the formation and storage of soil organic matter (Zhang et al., 2021). Physical
56 properties of the soil are altered directly by soil organic carbon increase and indirectly
57 through the activity of soil fauna (e.g. Arthur et al. (2015); Rivier et al. (2022); Nemes et
58 al. (2005); Rawls et al. (2004)). Soil structure has major influence on the natural soil water
59 retention capacity, an essential regulating ecosystem service provided by soils that may
60 play an increasingly crucial role in mitigating drought-induced limitations as climate
61 change progresses (Liu et al., 2021). A recent meta-analysis performed for Europe has
62 also shown that the adoption of organic amendments and “continuous living cover”
63 benefit the soil water regulation functions (Blanchy et al., 2023).

64 With that in mind, the potential for achieving synergies between climate
65 mitigation and adaptation seem promising. However, empirical evidence on benefits from
66 increasing soil organic carbon for reducing drought limitations in crops is inconclusive.
67 For example, Minasny and Mcbratney (2017) performed a meta-analysis with globally

68 distributed soil data combined with the development of pedotransfer functions (PTFs) and
69 found that 1% increase in SOC has a minor effect on available water capacity (AWC),
70 with more pronounced differences in sandy soils than fine textured soils. Libohova et al.
71 (2018), however, evaluated the effect of SOC on AWC using the National Cooperative
72 Soil Survey (NCSS) Soil Characterization Database and found that a 1% increase in soil
73 organic matter content increased AWC up to 1.5% times its weight, depending on soil
74 texture and clay mineralogy. Also, a global meta-analysis of 17 long-term field experiments
75 conducted by Eden et al. (2017) found that plant available water increased significantly
76 with the addition of organic material to the topsoil.

77 So far, only few model-based analyses have explored benefits of SOC increases
78 on soil water availability systematically. Thereby, assumption on SOC influences on soil
79 hydraulic properties were based on evidence from pedotransfer functions (PTFs). Feng et
80 al. (2022) applied the crop model APSIM at a regional scale in China to model yield
81 variability of maize and identified a statistically significant relationship between SOC and
82 temperature-sensitivity of maize yields, suggesting that SOC contributes to climate
83 resilience. A different model-based study design was implemented by Bonfante et al.
84 (2020), who applied the SWAP model (Kroes et al., 2017) to 6 different Italian soils with
85 assumed increased soil organic matter up to 2-4% in the topsoil. They found only minor
86 increases in moisture supply capacity to be achieved with additional organic matter in the
87 soil. In contrast to this, Ankenbauer and Loheide (2017), who applied a 1-D variably
88 saturated groundwater flow model, found that increases in soil organic matter can
89 contribute as much as 88 mm to transpiration, or 35 additional water-stress free days,
90 during a dry summer. Discrepancies in these studies' findings may be attributable to
91 differences in pedo-climatic conditions as well as to model setups and the chosen levels
92 and depths of SOC increase.



93 A systematic analysis of the impacts of SOC increase on drought stress reduction
94 depending on depth of SOC increase is lacking so far. It is thus the aim of this study to
95 systematically evaluate and quantify the potential benefits of increasing SOC for drought
96 limitations in a regional context not only under current, but also under projected future
97 climatic conditions. As a study case, we chose to evaluate how changes on SOC to
98 different depths affect the drought stress experienced by maize at the Swiss Central
99 Plateau region, where agricultural land use dominates and for which region climate
100 projections suggest a decrease in summer precipitation and an increase in winter
101 precipitation as climate change progresses (CH2018, Kotlarski and Rajczak (2018)).
102 Annual precipitation sums are expected to remain largely the same over the projection
103 period until the end of the century, ranging from 997 mm in the southwest to 1013 mm in
104 the northeast. As previous studies have shown, drought stress is already limiting grain
105 maize productivity under current conditions (Holzkämper et al., 2013; Holzkämper et al.,
106 2015) and this limitation is expected to become more significant as climate change
107 progresses. According to Holzkämper (2020), irrigation demands for grain maize might
108 increase by up to 20% by the end of this century, in comparison with the reference period
109 of 1981-2000, assuming that the duration of the growth season remains constant. If late-
110 maturing varieties would be grown, given the possibility of an extended growth season
111 with increasing temperatures, irrigation water demand may even increase by 40%
112 (Holzkämper, 2020). This raises concerns about the availability of irrigation water in the
113 Swiss Central Plateau, where reoccurring irrigation bans have challenged farmers more
114 and more frequently in recent drought years (Bafu, 2019, 2016). Solutions to make Swiss
115 production systems less reliant on supplementary irrigation are urgently needed.

116



117 **4. Data and methods**

118 To systematically evaluate the benefits of increasing soil organic carbon (SOC)
119 for reducing drought limitations on a typical agricultural soil in the Swiss Central Plateau,
120 we apply a field-scale agro-hydrological model that is deemed a suitable tool to interpret
121 interactions between crops and the environment (Maharjan et al., 2018). The soil
122 component of the model was parameterized using a recently developed pedo-transfer
123 function and the model setup is validated against measurements of soil moisture dynamics
124 in two lysimeters of a lysimeter station. Subsequently, the model is applied based on
125 downscaled climate projection data in combination with scenarios of soil carbon
126 increases.

127

128 **4.1 Agro-hydrological modelling with SWAP**

129 The Soil Water Atmosphere Plant model (SWAP, version 4.0.1) (Kroes et al.,
130 2017) is a physically based agro-hydrological model that simulates the transport of water,
131 solutes, and heat in the unsaturated (vadose) zone and optionally the upper part of the
132 saturated (groundwater) zone with the upper boundary condition defined by atmospheric
133 conditions. Major arable crops and grasslands can be explicitly simulated in SWAP via
134 incorporation of the WOFOST (WOrld FOod STudies, De Wit et al. (2019)) model or by
135 using a simple crop module.

136 In interaction with the crop development, the model simulates the heat and solute
137 transport dynamics of variably saturated soils by employing the Richards equation in the
138 vertical direction, including a sink term for root water uptake:



$$C(h) \frac{\partial h}{\partial t} = \frac{\partial \left[K(h) \frac{\partial (h+z)}{\partial z} \right]}{\partial z} - S_a(h) \quad [1]$$

139 where $C(h)$ (cm^{-1}) is the specific water capacity, the derivative of the soil water retention
140 function $\theta(h)$, which describes the relation between water content θ ($\text{cm}^3 \text{cm}^{-3}$) and soil
141 water suction h (cm, defined as positive at unsaturated conditions), t (d) is time, $K(h)$
142 (cm d^{-1}) is the hydraulic conductivity as a function of h , z (cm) is the vertical spatial
143 coordinate (negative downwards), and $S_a(h)$ (d^{-1}) is a sink term representing the rate of
144 soil water extraction by plant roots.

145 The relationship $\theta(h)$ and $K(h)$ are defined by the van
146 Genuchten (1980) - Mualem (1976) (VGM) equations:

$$\theta(h) = \theta_r + \frac{(\theta_s - \theta_r)}{[1 - |\alpha h|^n]^m} \quad [2]$$
$$K(h) = K_s \theta^l \left[1 - \left(1 - \theta^{\frac{1}{m}} \right)^m \right]^2$$

147 where θ_s and θ_r are the saturated and residual soil water content ($\text{cm}^3 \text{cm}^{-3}$), α (cm^{-1}), n , m
148 ($m = 1 - 1/n$), and l are empirical shape parameters, K_s is the saturated hydraulic
149 conductivity (cm d^{-1}) and the relative degree of saturation, θ , is expressed as
150 $\theta = (\theta - \theta_r) / (\theta_s - \theta_r)$.

151 In our study, the model used crop properties and atmospheric conditions on a
152 daily basis to calculate the potential evapotranspiration based on the Penman-Monteith
153 equation. Water stress was evaluated according to Feddes (1978), with the optimal root
154 water uptake in the h ranges of -325.0 cm (h_{3H}) or -600 cm (h_{3L}) to -30 cm (h_2), oxygen
155 stress linearly increasing for h higher than -15 cm (h_1) and drought stress linearly
156 increasing for h smaller than -8000 cm (h_4). The crop growth module considers that
157 transpiration reduction can be caused by drought (too dry), lack of oxygen (too wet) or

158 too saline conditions (physiological drought), which factors are known to reduce crop
159 growth.

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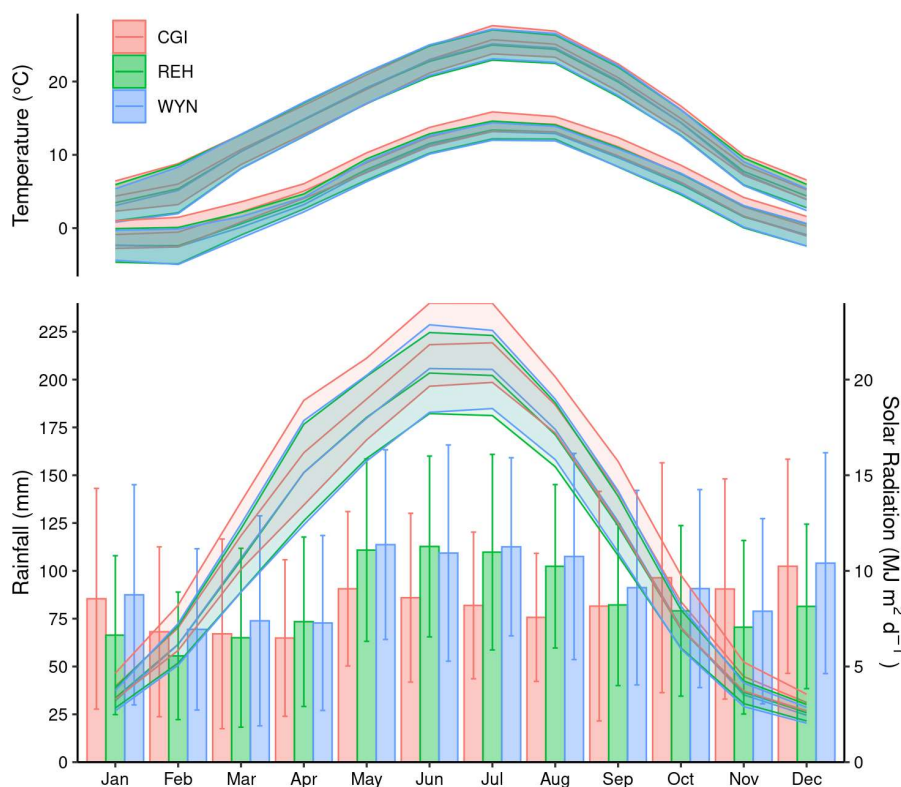
161 **4.2 Climate data of three distinct study sites from measured and projected**
162 **scenarios**

163 Typical Swiss agricultural conditions were evaluated at three distinct sites
164 distributed along the Swiss Central Plateau (the main agricultural production zone in
165 Switzerland): Nyon-Changins (CGI), Zürich-Reckenholz (REH), and Wynau (WYN).
166 Measured climatic variables from meteorological stations were obtained from
167 MeteoSwiss. Table 1 contains annual mean values of the meteorological variables
168 required by SWAP, while Figure 1 presents their seasonal variation. While the three sites
169 have similar altitude, on average, the CGI site has the driest and warmest climate, with
170 higher solar radiation and wind speed. WYN is on average the wettest and coldest. In all
171 sites the rainfall is relatively well distributed during the year, with higher precipitation,
172 temperature and solar radiation in the summer season.

173 Table 1 Site description and climatic variables based on mean \pm standard deviation values
174 observed between 1981 and 2022 from MeteoSwiss.

	Meteorological station		
	CGI (Changins)	REH (Reckenholz)	WYN (Wynau)
Altitude (m)	455	443	422
Latitude	46.4 N	47.4 N	47.3 N
Longitude	6.2 E	8.5 E	7.8 E
Rainfall (mm y ⁻¹)	997 \pm 147	1013 \pm 146	1117 \pm 188
T _{min} (°C)	6.5 \pm 5.7	5.1 \pm 5.9	5.0 \pm 5.9
T _{max} (°C)	14.8 \pm 7.8	14.3 \pm 8.0	14.3 \pm 8.2
Solar radiation (MJ m ² d ⁻¹)	12541.5 \pm 7035.4	11372.0 \pm 6738.6	11437.9 \pm 6865.4
Vapour pressure (kPa)	0.98 \pm 0.36	0.98 \pm 0.38	0.99 \pm 0.38
Wind speed (m s ⁻¹)	2.4 \pm 0.2	1.8 \pm 0.3	1.7 \pm 0.3

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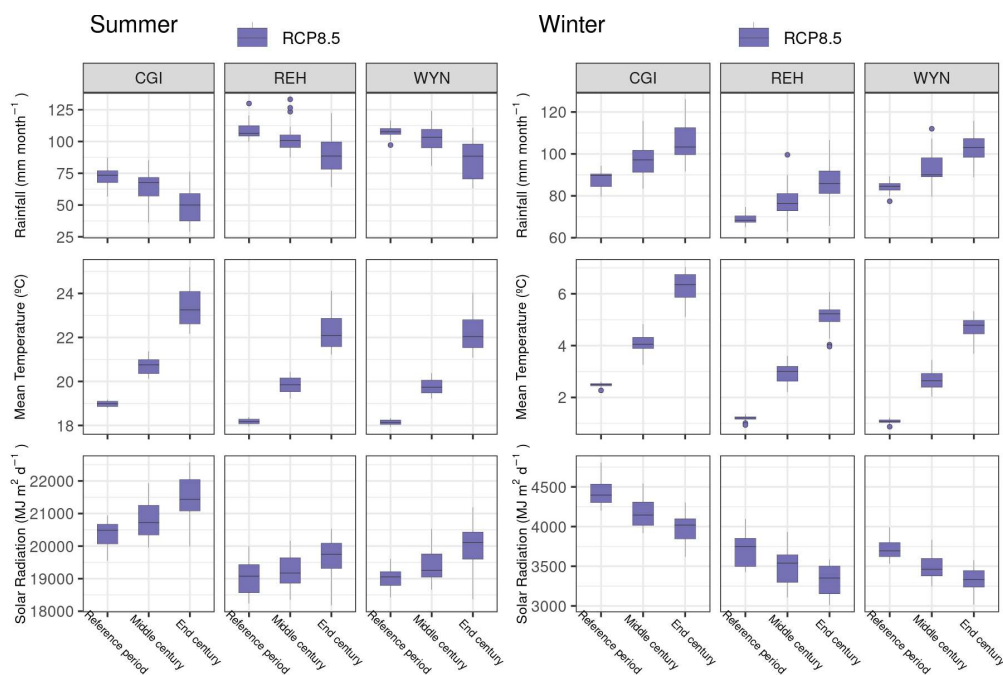
177 Figure 1 Seasonal variability of climatic variables considering monthly mean \pm standard
178 deviation (shades and bars) values observed at the meteorological stations between 1981
179 and 2022. Rainfall corresponds to monthly sums, while other variables represent daily
180 values averaged by month.

181

182 Future scenarios were evaluated using climate projections developed by the
183 National Centre for Climate Services (NCCS) in Switzerland (Kotlarski and Rajczak,
184 2018). The dataset contains transient daily time series for the period 1981-2099 for several
185 variables at individual Swiss stations (DAILY-LOCAL), produced by applying a
186 statistical downscaling and bias-correction method (Quantile Mapping, QM) to the
187 original output of all EURO-CORDEX climate model simulations employed in CH2018
188 (Kotlarski and Rajczak, 2018). From all available projections with different
189 Representative Concentration Pathways (RCP), we selected the ones that presented the



190 dataset with all required input variables for SWAP, as listed in Table 1. In total, we used
191 22 projections for RCP8.5, 17 for RCP4.5, and 8 for RCP2.6. For more details about
192 selected model chains, see Supplementary Material (Section S1). Figure 2 presents an
193 overview of the projected climate variables for the summer (JJA) and winter (DJF)
194 months during the baseline (1981-2020), mid-century (2031-2060) and end-of-century
195 (2081-2099) periods for each of the RCP8.5. More details about the other RCPs as
196 Supplementary Material (Section S2). With the most pessimistic assumption about the
197 evolution of greenhouse gas emissions (RCP8.5), climate projections estimate lower
198 precipitation, higher temperature and higher solar radiation for future summers, while
199 they predict higher precipitation, higher temperature and lower solar radiation for winters
200 at the end of the century.



201

202 Figure 2 Summary of climatic variables considering monthly mean values at the stations
 203 Changins (CGI), Reckenholz (REH), and Wynau (WYN) for the projections RCP8.5.
 204 Summer was considered as the months June, July and August, winter corresponds to
 205 December, January and February. Reference period: 1981-2020, mid-century: 2031-
 206 2060, end-of-century: 2071-2099. Rainfall corresponds to monthly sums, mean
 207 temperature is the mean between maximum and minimum temperature per day, averaged
 208 by month, solar radiation corresponds to daily values averaged by month.



209 **4.3 Model reference data and setup**

210 Reference information on soil water dynamics at four different depths (10, 30,
211 60, and 90 cm) were available from lysimeters of 135cm depth and 1m² surface area at
212 the lysimeter facility of Agroscope Zürich-Reckenholz (Prasuhn et al., 2016). Soil
213 moisture was monitored from 2009 to 2022 using frequency domain reflectometry sensors
214 (FDR; ThetaProbe ML2x, Delta-T Devices) at the depths of 10, 30, 60, and 90 cm. In
215 each of the lysimeters two identical sensors were installed at each depth with a time
216 resolution of one hour. We utilize the data of two lysimeters that contain similar soil
217 monoliths from a typical agricultural soil nearby (Loamy-silty Cambisol above ground
218 moraine (Fao, 2015), see Table 2 for the soil profile description). The monoliths have a
219 15 cm layer of purified quartz sand and gravel at the bottom that help facilitate free
220 drainage.

221 For the model setup, the measured physical and chemical soil parameters (Table
222 2) were used in combination with the pedotransfer function (PTF) developed by Szabó et
223 al. (2021), using the R package in which the euptfv2 is implemented (Weber et al., 2020).
224 The euptfv2 is a Random Forest-based PTF with various options for inputs and output
225 parameters, and has proven to be one of the most accurate PTFs to estimate soil hydraulic
226 properties for Europe when tested on diverse datasets (Nasta et al., 2021). As the standard
227 setup for all simulations, we used option ‘PTF02’, which requires the depth of the soil
228 layer, soil texture, and soil organic carbon content (SOC) as input, and estimates the VGM
229 parameters for the soil water retention [$\theta(h)$] and hydraulic conductivity [$K(h)$] functions
230 (Eq. [2]).

231

232

233 Table 2 Soil physical and chemical properties of the evaluated typical Swiss agricultural
 234 profile at the Lysimeter facility at Agroscope Reckenholz. SOC: soil organic carbon, BD:
 235 bulk density, PD: particle density, CEC: cation exchange capacity. Soil class and horizon
 236 description according to Prasuhn et al. (2016).

Horizon	Depth cm	Clay %	Silt %	Sand %	SOC %	BD g cm ⁻³	pH _{H2O} -	pH _{CaCl2} -	PD g cm ⁻³	CaCO ₃ %	CEC cmol+ kg ⁻¹
Ahp	0-25	25	50	25	1.48	1.36	6.8	6.4	2.63	0.1	16.2
Abcn	25-32	24	54	22	1.09	1.44	7.1	6.6	2.68	0.2	15.67
Bcn(g)(x)	32-65	31	50	19	0.43	1.44	7.2	6.5	2.7	0.1	17.61
Bg	65-85	33	46	21	0.32	1.44	7.5	6.6	2.7	0.1	18.77
BCg	85-105	19	61	20	0.10	1.39	8.6	7.7	2.7	40.2	10.93
Cg	105-135	18	65	17	0.02	1.61	8.6	7.8	2.71	54.4	7.49

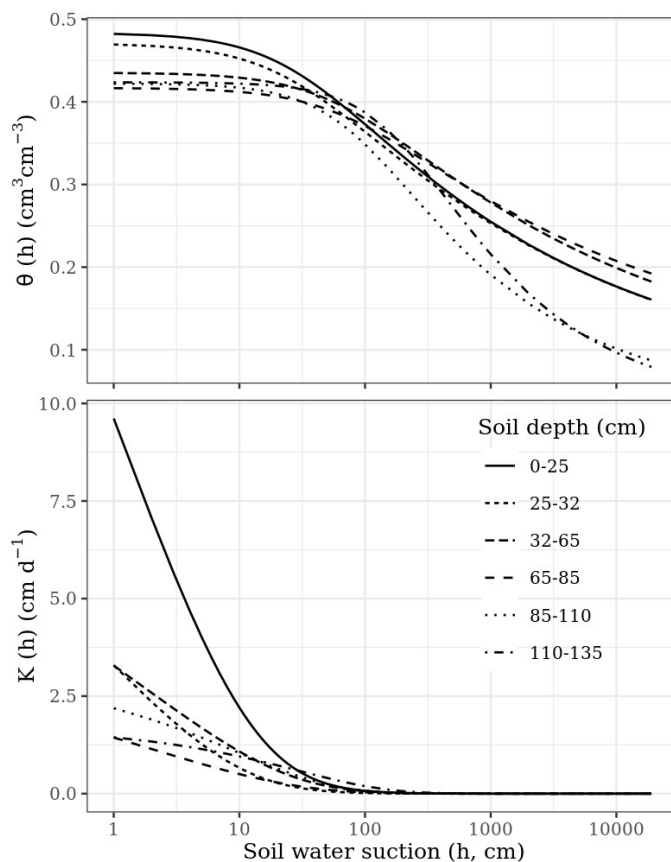
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238 Table 3 presents the parameters of Eq. [2] at the evaluated soil profile from the
 239 Lysimeter station, calculated using the chosen PTF. The soil water retention and hydraulic
 240 conductivity curves are visualized in Figure 3.

241 Table 3 Soil hydraulic parameters calculated using the euptfv2 at the original soil profile,
 242 considering option 'PTF02' that uses soil texture, soil carbon content and soil depth as
 243 input.

Soil	Layer	Depth cm	θ_r cm ³ cm ⁻³	θ_s cm ³ cm ⁻³	α cm ⁻¹	n -	K _s cm d ⁻¹	<i>l</i> -
Reckenholz	1	0-25	0.053	0.483	0.034	1.215	35.61	-1.59
	2	25-32	0.038	0.471	0.037	1.193	14.78	-0.70
	3	32-65	0.059	0.435	0.015	1.196	10.41	-0.62
	4	65-85	0.078	0.417	0.014	1.196	4.41	-1.23
	5	85-105	0.034	0.422	0.011	1.370	3.34	0.23
	6	105-135	0.026	0.424	0.005	1.441	1.77	0.09

244



245

246 Figure 3 Soil water retention (θ) and soil hydraulic conductivity (K) as function of the
247 soil water suction (h) at the evaluated soil profile estimated by the euptfv2 (option
248 'PTF02').

249 The validation of SWAP with the lysimeter information included three cropping
250 periods with grain or silage maize in 2009, 2015 and 2020, with annual precipitation of
251 1018.9, 831.5 and 855.2 cm, respectively. Daily time step was adopted and vertically, the
252 top soil layer up to 65 cm was discretized using 1.0 cm sub-compartments, while
253 subsequent layers were discretized with 5 and 10 cm sub-compartments. The boundary
254 condition was set to 'free outflow at soil-air interface', which is considered as a valid
255 option for lysimeters. The evapotranspiration was calculated using weather data and
256 application of the Penman-Monteith equation. No macropore flow, lateral drainage or

257 solute dispersion was simulated. For the validation, the daily averaged values of measured
258 soil water content at each replicate sensor and depth (eight time series per lysimeter) were
259 compared to the modeled values by SWAP. As validation metrics, we used the root mean
260 square error (RMSE) and the Pearson correlation (r). See Supplementary Material S3 for
261 details on model setup.

262 4.4 Design of simulation experiments

263 In the absence of consistent and comparable data from long term and holistic
264 studies that account for the impacts of management on soil hydraulic properties,
265 pedotransfer functions (PTFs) are seen as a suitable choice to systematically account for
266 linkages between SOC and soil hydraulic properties. We thus used the chosen PTF to
267 systematically capture secondary effects of SOC instead of directly inferring the effects
268 of specific drivers of change on the soil hydraulic properties due to the uncertain
269 interaction effects between SOC, soil type, climate and management.

270 We assume that current SOC contents in the soil could be increased and test
271 different scenarios of successful carbon sequestration. The model parametrization
272 included three distinct depth scenarios: i) changes in SOC occur only within the top
273 0-25 cm, ii) changes in SOC occur to 0-65 cm depth and, iii) changes in SOC are achieved
274 for the entire soil profile. In terms of SOC change, we simulated the addition of up to 4%
275 SOC to current SOC levels by 1% increments in the (i) and (ii) depth scenarios, but
276 applied reduction factors of 0.8 and 0.6 for the 65-105 cm and 105-135 cm depths
277 respectively in depth scenario (iii). This approach considers that obtaining greater SOC
278 via management likely affects the topsoil more than the deeper soil layers. The outlined
279 depth and SOC level scenarios are listed in Table 4 for easier comprehension.

280 It should be emphasized that the levels of SOC in the soil are dependent on
 281 several factors including land use and management, climate, geomorphology, which were
 282 considered as empirical relationships in this work.

283 Table 4 Description of %SOC levels added per depth and final values of SOC considering
 284 the described scenarios i), ii), and iii). Shaded values represent the layers where changes
 285 on SOC were applied.

Effective depth of changes (cm)			i) 0-25	ii) 0-65	iii) 0 -135
Scenario	Soil depth (cm)	SOC added (%)	SOC final (%)		
0%	0-25	0	1.48	1.48	1.48
	25-32	0	1.09	1.09	1.09
	32-65	0	0.43	0.43	0.43
	65-85	0	0.32	0.32	0.32
	85-105	0	0.10	0.10	0.10
	105-135	0	0.02	0.02	0.02
1%	0-25	1	2.48	2.48	2.48
	25-32	1	1.09	2.09	2.09
	32-65	1	0.43	1.43	1.43
	65-85	0.8	0.32	0.32	1.12
	85-105	0.8	0.10	0.10	0.90
	105-135	0.6	0.02	0.02	0.62
2%	0-25	2	3.48	3.48	3.48
	25-32	2	1.09	3.09	3.09
	32-65	2	0.43	2.43	2.43
	65-85	1.6	0.32	0.32	1.92
	85-105	1.6	0.10	0.10	1.7
	105-135	1.2	0.02	0.02	1.22
3%	0-25	3	4.48	4.48	4.48
	25-32	3	1.09	4.09	4.09
	32-65	3	0.43	3.43	3.43
	65-85	2.4	0.32	0.32	2.72
	85-105	2.4	0.10	0.10	2.5
	105-135	1.8	0.02	0.02	1.82
4%	0-25	4	5.48	5.48	5.48
	25-32	4	1.09	5.09	5.09
	32-65	4	0.43	4.43	4.43
	65-85	3.2	0.32	0.32	3.52
	85-105	3.2	0.10	0.10	3.3
	105-135	2.4	0.02	0.02	2.42

287 To quantify the impacts of increasing SOC on drought stress in maize under
288 climate change, SWAP was applied to the 22 climate projections at the three sites
289 Changins (CGI), Reckenholz (REH), and Wynau (WYN) in combination with the
290 scenarios of SOC increase listed in Table 4. We assumed grain maize to be sown on 6th
291 May (DOY 126) and harvested on 17th Oct (DOY 290) as registered in the Swiss variety
292 trial data for a medium-late variety type (Agroscope, 2023). For details of general SWAP
293 parameterization see Supplementary Material S3.

294 All simulations considered rain fed conditions and were performed using the
295 simple crop growth module for a static crop, which simulates a fixed development of leaf
296 area index and rooting depth, independent of climatic conditions, in order to keep the
297 cropping period fixed for all scenarios. Crop parameterization is described in
298 Supplementary Material S4.

299 Overall, we conducted a total of 990 simulation runs (5 levels of SOC × 3 soil
300 depths × 3 sites × 22 climate projections) for the period 1981-2099, and used cumulative
301 amounts of drought-induced transpiration reduction ($Tred_{dry}$) as an indicator of drought
302 stress during the cropping period. The 10-year moving average of $Tred_{dry}$ was calculated
303 to represent decadal changes and exclude interannual variability. The range of $Tred_{dry}$
304 values among the available climate projections were represented by the 0.05 quantile
305 ($q_{0.05}$) and the 0.95 quantile ($q_{0.95}$) as upper and bottom boundaries, respectively. The
306 offset between the management scenarios was calculated as the difference between the
307 scenario with no addition of SOC (0%) and the one with the maximum addition of SOC
308 (4%).



309 **5. Results**

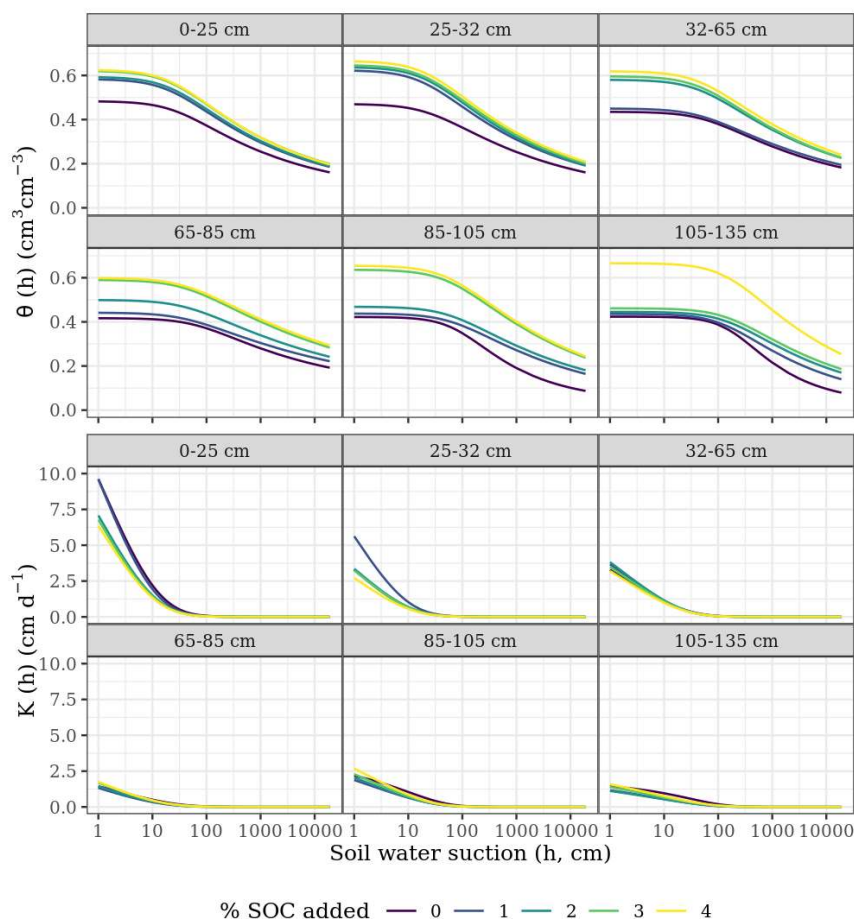
310 **5.1 Model validation**

311 Using the soil hydraulic parameters from Table 3, we simulated soil water
312 content in the lysimeter soil profiles and compared them with moisture data measured by
313 FDR sensors. The lumped values of the two lysimeters, considering all maize cropping
314 periods (2009, 2015, and 2020), all depths (10, 30, 60, and 90 cm) with duplicated
315 sensors, resulted in a median ($q_{0.5}$) RMSE of $0.066 \text{ cm}^3 \text{ cm}^{-3}$ ($q_{0.05}=0.050 \text{ cm}^3 \text{ cm}^{-3}$,
316 $q_{0.75}=0.098$) and correlation median correlation r of 0.79 ($q_{0.05}=0.68$, $q_{0.75}=0.84$). In
317 general, the simulations were more accurate for the deeper layers as compared to the
318 topsoil. At 10 cm, the RMSE was on average $0.11 \text{ cm}^3 \text{ cm}^{-3}$, whereas it was $0.04 \text{ cm}^3 \text{ cm}^{-3}$
319 at the bottom.

320

321 **5.2 Effect of increasing SOC on the soil hydraulic properties and soil water**
322 **balance**

323 The effects of adding different amounts of SOC at different soil layers (Figure
324 4) are reflected in PTF estimates of soil hydraulic properties with updated SOC contents.
325 The “0%” line, corresponding to the VGM parameters in Table 3, represent the properties
326 of the different soil layers with current SOC. For the soil water retention curve, the effects
327 of the increase in SOC reflected an estimated increase in pore space, whose expression
328 varied with soil depth and added SOC. In the topsoil, the differences between the addition
329 of 1% and 4% SOC were not as remarkable as in the subsoil layers, where an addition of
330 1% SOC lead to a substantial increase in estimated pore space. For saturated hydraulic
331 conductivity, the overall trend was a reduction in conductivity with the increase in SOC,
332 with the biggest contrasts found in the topsoil.

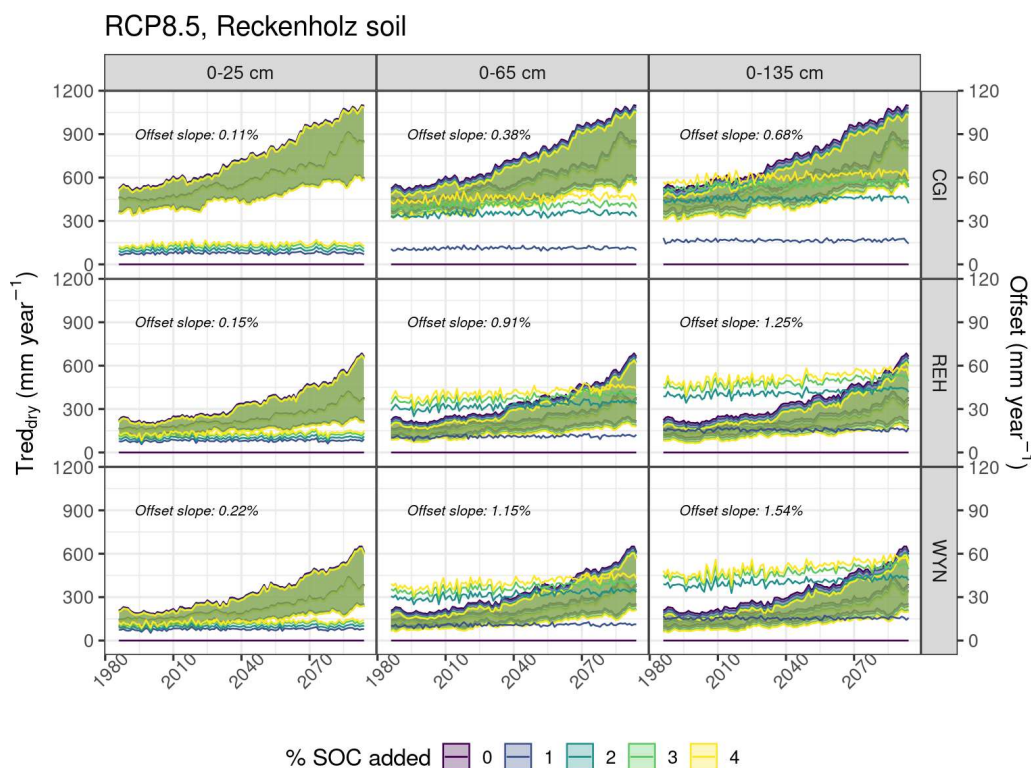


333

334 Figure 4 Effects of SOC increase on the soil water retention (θ) and soil hydraulic
 335 conductivity (K) as function of the soil water suction (h) as predicted by euptfv2, option
 336 'PTF02'.

337 Considering effects of adding SOC at different soil depths, Figure 5 presents an
 338 overview of the transient simulations between 1980 and 2099 with the most unfavorable
 339 climate scenario projections (RCP8.5). For each year of simulation, a range of values of
 340 $Tred_{dry}$ was generated by the 22 climate projections, which are being represented by a
 341 band defined by the lines $q_{0.05}$ and $q_{0.95}$ quantiles, and the $q_{0.5}$ quantile (median) is
 342 represented by a line within that band.. The offset line is the difference between the
 343 median ($q_{0.5}$) values of the original Reckenholz soil profile (i.e. 0% SOC addition) and

344 the one that had 4% SOC added. The offset can be interpreted as the amount of seasonal
 345 transpiration gained in response to increased SOC. The absolute increase in $Tred_{dry}$
 346 comparing the reference period with the end of the century was on average 269, 207, and
 347 269 mm at CGI, REH and WYN, respectively. Additional results considering other
 348 Representative Climate Projections (RCP 2.6 and 4.5) are presented in the Supplementary
 349 Material (Section S5).



350

351 Figure 5 Transpiration reduction due to drought stress ($Tred_{dry}$) (left axis) for actual and
 352 future climate conditions considering different levels of SOC increase in the soil at
 353 different effective soil depths. Climate projections considering RCP8.5 and averaged for
 354 every 10 years. Shaded area refers to the values between quantiles $q_{0.05}$ and $q_{0.95}$ of the
 355 climate projections. The slope refers to the offset (right axis; interpretable as average
 356 seasonal gain in transpiration with SOC increase) between 0 and 4% addition of SOC.
 357 *Offset slope* refers to the slope of the offset line between 0 and 4% SOC addition.

358

359 According to the simulated scenarios, the main driver of the absolute values of
360 $Tred_{dry}$ is the climate, with more drought stress under the climate of the drier site (CGI)
361 and very similar stress levels under the climate of the other two sites, REH and WYN,
362 that are wetter and appear to be somewhat resembling. There was a clear tendency of
363 increased stress towards the end of the century, driven by more unfavorable climatic
364 conditions during the cropping period (Figure 2). The offsets were very similar amongst
365 the three considered climates, with maximum values around 60 mm year^{-1} , and slightly
366 higher in the CGI climate. The *offset slopes* calculated between the beginning and the end
367 of the century were higher at REH and WYN, which are the sites with less water stress
368 under current conditions. This is an implication of not considering a gradual build-up
369 period for increased SOC, but considering the same levels of SOC addition for the entire
370 simulation period.

371 The simulations were performed considering the addition of SOC down to three
372 different depths (25, 65, and 135 cm). The addition of SOC to the top 25 cm seems to
373 have a modest effect on $Tred_{dry}$. The effects of increasing SOC all the way to 135 cm are
374 the greatest, but are comparable to the intermediate option of adding SOC till 65 cm
375 depth. In general, adding 2% SOC already lead to considerable reduction in $Tred_{dry}$, and
376 is a more realistic, easier-to-implement alternative to adding 4% SOC.

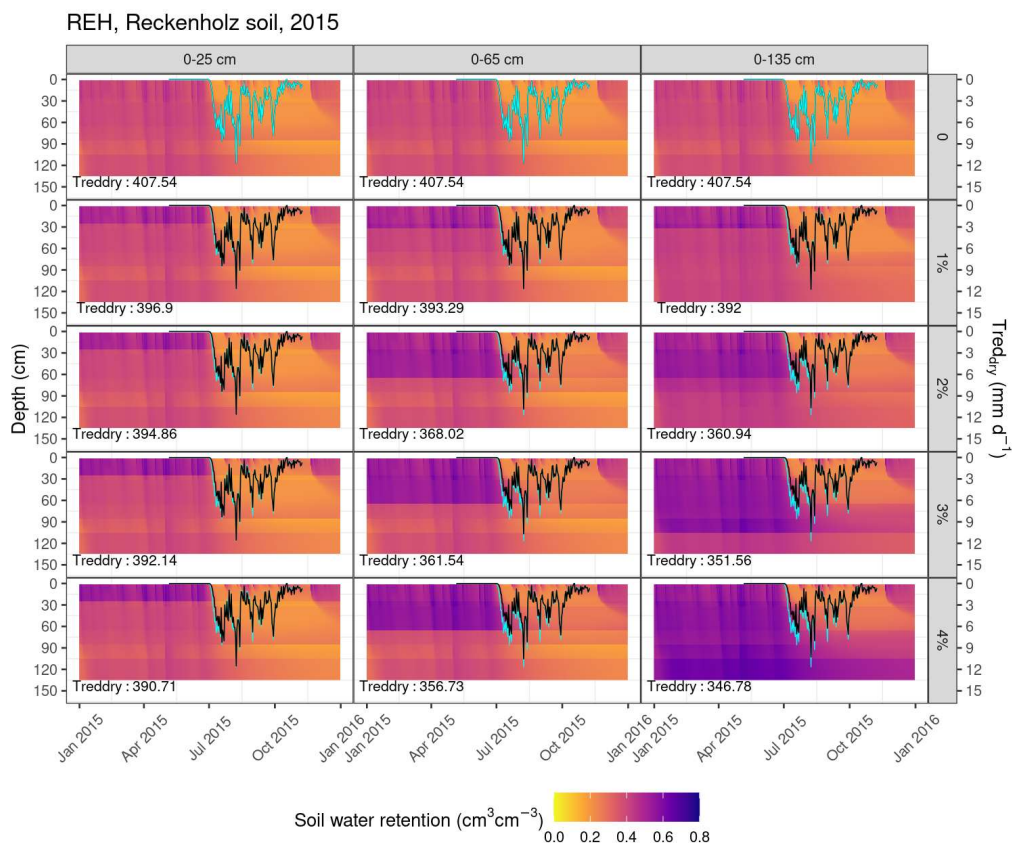
377

378 **5.3 Detailed soil water dynamics and drought stress over the cropping** 379 **period**

380 Figure 6 depicts, as an example, how the soil moisture profile develops and how
381 the offset in moisture deficit builds up during the simulated 2015 cropping year at the
382 REH site, with the different increased SOC levels in the entire soil profile (depth scenario



383 iii). The addition of SOC leads to a clear pattern of increasing soil water retention.. The
384 blue line depicts the daily simulated crop transpiration deficit ($T_{red, dry}$) of the 0% added
385 SOC scenario, while the black lines depict the same obtained for the relevant depth and
386 SOC addition scenario in each plot. Their difference, when cumulated for the year, yields
387 the transpiration deficit offset for the given year and scenario. The most remarkable
388 seasonal offsets were observed in the beginning of the cropping season, and could be
389 linked to increased soil water retention capacity combined with the availability of water
390 in that season. According to Figure 4, increased SOC content generally yielded increased
391 soil water retention capacity relative to the base scenario of no SOC addition. In the early
392 cropping season this increased capacity is capitalized on in the form of retaining more
393 water in the system by the end of the recharge-period in the wet and cold winter and spring
394 season. The simulated extra amount of water is clearly demonstrated in Figure 6. During
395 the early part of the growing season, this excess water then becomes available to the crop,
396 dampening the effects of any drought-stress, or at least delaying its onset. The soil will
397 also not dry out to the same degree during the later half of the season, or at least not to
398 the same depth. Similar results for the other evaluated sites are presented in the
399 Supplementary Material (Section S6).



400

401 Figure 6 Detailed profile of soil water content (left axis) and $Tred_{dry}$ (right axis, black
 402 lines) according to the different added SOC levels at the Reckenholz site (REH) in the
 403 year of 2015. The blue line represents $Tred_{dry}$ for the original soil profile (0% SOC).
 404 When cumulated for the year, their difference yields the annual offset in crop transpiration
 405 deficit that is due to the addition of carbon to the soil.



406 **6. Discussion**

407 **6.1 Increasing soil organic carbon reduces drought stress in maize**

408 We observed that according to the predictions of the used PTF, an increase of
409 SOC has a small effect on, but generally decreases soil hydraulic conductivity (Figure 4).
410 This may be counter-intuitive in that textbook knowledge connects greater SOC content
411 with better soil structure formation, greater porosity, and in turn to enhanced water
412 transport properties (hydraulic conductivity) (Nemes et al., 2005). However, several
413 studies have now emerged that correlated greater SOC content with lower hydraulic
414 conductivity. These studies include both experimental data and the mining of several
415 extended databases using machine-learning (Nemes et al., 2005; Wang et al., 2009; Jarvis
416 et al., 2013; Larsbo et al., 2016). The rationale behind this notion is that when SOC
417 content increases, there is enhanced porosity, but the tortuosity of conductive pathways
418 may increase due to enhanced microbial activity and the formation of more complex
419 aggregates, resulting in better water retention but reduced hydraulic conductivity. Some
420 of these authors noted increased predicted water retention in the effective porosity (i.e.
421 the range between field capacity and saturation), which supports the proposed notion.

422 Results from this simulation study suggest that increases in SOC would generally
423 decrease drought stress in maize cultivated on a typical agricultural soil in Switzerland.
424 The summer season precipitation amount at the evaluated sites is expected to be decreased
425 by around 60-65 mm till the end of the century (Figure 2). In this scenario, a 2% addition
426 of SOC can reduce drought stress of maize by 10.5 to 40 mm during the cropping season
427 and potentially compensate part of the rainfall reduction with climate change. Bonfante
428 et al. (2020) suggests that the effect of SOC on moisture supply capacity should be
429 evaluated in more climatic zones in order to obtain a broader picture of its potential

430 impact. What we observed in this work was that the degree of decrease in $Tred_{dry}$ was
431 only minimally dependent on regional climatic conditions, with the wettest site (WYN)
432 benefitting least from the SOC increases under current climate conditions. As conditions
433 get drier, as projected with climate change for the Swiss Central Plateau, the transpiration
434 gain increases, but reaches a maximum at 60 mm with SOC increase down to 135 cm.

435 Our study suggests minor benefits of increasing SOC in the topsoil (maximum
436 offset reached is 15 mm, Figure 5). However, if SOC was increased down to at least
437 65 cm, this beneficial effect can be considerably higher (maximum offset reached is
438 45 mm, Figure 5). Overall, the maximum offset of $Tred_{dry}$ quantified in this study was
439 60 mm (at the end of the century, with SOC increase down to 135 cm), suggesting that
440 without supplementary irrigation, seasonal crop transpiration can be up to 60 mm greater
441 with increased SOC, compared to the reference situation. This amount is comparable to
442 1-2 irrigation dosages and makes up for roughly 30% of the average theoretical irrigation
443 water demand estimated by Holzkämper (2020) for the region between Wynau (WYN)
444 and Changins (CGI). The productivity gain to be achieved will strongly depend on the
445 period in the cropping cycle when this extra water will be available. Considering that
446 transpiration benefits are greatest at the onset of drought during early summer (Figure 6),
447 the productivity gains may be particularly high if the effect coincides with the critical
448 reproductive phase of the crop. This might imply that transpiration gains achieved with
449 increases in SOC have a significant potential to increase yield stability, particularly in
450 situations where and when irrigation is not an option.

451 The positive slopes of calculated offsets of $Tred_{dry}$ (i.e. transpiration gained with
452 SOC increase) in Figure 5 suggest that the benefits of SOC additions could slightly
453 increase with projected future climate change – especially at WYN, the least water-
454 limited site under current conditions. At the driest site, CGI, the offset (i.e. benefit of SOC

455 increase) reached under current climatic conditions is roughly at the same level as it is at
456 WYN at the end of the century. These findings imply that the benefits of SOC
457 accumulation may increase as water input (precipitation) during the cropping period
458 decreases over time. However, there appears to be a threshold beyond which benefits are
459 not seen as $Tred_{dry}$ further increases (the *offset slope* in Figure 5 decreases from the wettest
460 to the driest site). The benefit of “extra water availability” comes from the balance of two
461 elements: available water and available storage capacity. It appears that the available
462 storage capacity component is enhanced by the addition of some SOC (i.e. 2% addition
463 in our simulations), but the system becomes water-limited by the end of the century. The
464 extra storage capacity that additional SOC may yield will not be filled up by the actual
465 water input, and the potential extra benefit cannot be realized. The within-year occurrence
466 of the same phenomenon is observable in Figure 6. The biggest reduction in $Tred_{dry}$ occurs
467 in the beginning of the cropping season, when the increased retention capacity was present
468 at the same time when ample amount of water was recharging the system during and after
469 the cold, rainy season, with little or no plant water uptake.

470 A similar balance is likely to apply when the outcome of a 135 cm deep
471 application of added SOC is interpreted. When simulating SOC addition to 135 cm depth
472 vs. only 65 cm, the added benefit in terms of reduced crop $Tred_{dry}$ appears to be limited.
473 We argue that while some excess water storage capacity is simulated, there is little actual
474 benefit realized from that, given the reduced amount of predicted precipitation by the end
475 of the century. In addition, few, if any, crop roots reach that depth, which means that the
476 only way the crop has direct benefit from water stored in the deeper soil layers in the
477 growing season is if water redistributed upwards via capillary and vapor transport.

478

479 **6.2 Possibilities of increasing soil organic carbon**

480 Results from our study suggest that the beneficial effects of increasing SOC are
481 small if SOC is only increased in the top-soil (0-25 cm), but become more significant if
482 SOC is increased to only 65 cm depth by at least 2%. Different management adaptations
483 and combinations thereof may be suitable to reach this target. Commonly considered
484 strategies to increase SOC include additions of organic amendments, planting of deep-
485 rooting crops, cover-cropping, intercropping, mulching with organic material, retaining
486 crop residues in the field and reduced tillage or no-till (Topa et al., 2021; Ipcc, 2019). No-
487 till or reduced tillage decreases the carbon oxidation process and soil disturbance with the
488 loss of soil organic carbon and nutrient availability (Modak et al., 2019; Kan et al., 2020).
489 Also, Angers and Eriksen-Hamel (2008) found that tillage affects the distribution of SOC
490 over the depth of the soil profile with important implications in crop water availability.

491 According to Bai et al. (2019), reduced tillage or no-till increases SOC mostly
492 in the top 10 cm and also in the sub-soil below 50 cm. The same study found that cover-
493 cropping could increase SOC down to 70 cm depth. Incorporation of perennial grasses
494 into crop rotations could help increase SOC to 60 cm depth, beyond the plough layer
495 (Carter and Gregorich, 2010). Evidence of this under Swiss conditions was provided by
496 Guillaume et al. (2021); Guillaume et al. (2022). Overall, such strategies were found to
497 be most beneficial to SOC accumulation near the soil surface (Bai et al., 2019). One
498 management operation that could effectively contribute to an accumulation of SOC in
499 deeper soil layers is deep ploughing (Alcántara et al., 2016). However, when the soil is
500 loosened the SOC oxidation process is enhanced, as well as erosion may be triggered,
501 which has to be accounted for when planning such interventions.

502 We have tested the scenario of incorporating extra amount of SOC in the soil
503 down to a depth of 135 cm. This is a scenario that would require similar strategies as the
504 previously discussed scenario, but it is likely rather difficult to implement, especially with
505 greater amounts of SOC stored. Our study showed that in terms of water-availability to
506 the (maize) crop, this scenario has little extra benefit to offer over the scenario of having
507 extra SOC sequestered to 65 cm depth. Hence, any investment in sequestering SOC into
508 such depths should not be driven by high expectation of hydrological benefits.

509

510 **6.3 Limitations and further work**

511 Our study, as well as previous modelling studies exploring impacts of SOC
512 additions on soil water availability (e.g. Ankenbauer and Loheide (2017), Bonfante et al.
513 (2020), Feng et al. (2022)), build on pedotransfer functions that are believed to be best in
514 estimating soil hydraulic parameters for the study area based on levels of SOC and other
515 soil properties. The selection of PTFs, however, may play a crucial role in the outcome
516 of simulated scenarios. While recent studies confirm the validity of the equations used
517 (e.g. Nasta et al. (2021); Wagner et al. (2004)), uncertainties in derived estimates may
518 still be large (Fatichi et al., 2020). PTF structure may also have an influence in that more
519 advanced (aka. “better”) PTFs are usually products of refined machine learning
520 algorithms that may produce strong results in general but may have different estimation
521 qualities in different parts of the data domain. Since such local performance is rarely
522 evaluated, future work should thus explore the sensitivity of SOC benefits via using an
523 ensemble of PTFs. Moreover, measurements of soil hydraulic properties in combination
524 with SOC, texture and bulk density in long term field trials investigating management
525 alternatives affecting SOC would provide very useful evidence to help disentangle the
526 effects of land use and management on the relationships between soil texture and



527 hydraulic properties. By integrating management and also local climate information in
528 PTFs, their uncertainties in predicting soil hydraulic properties in specific context could
529 be reduced (Van Looy et al., 2017). Many historic records do not provide sufficient
530 information on how certain measurements were performed, or when the samples were
531 taken. Also, the timing of field sampling is likely to play a role here, as it is known that
532 soil hydraulic properties vary in time and are influenced e.g. by precipitation regime or
533 land use and management (Caplan et al., 2019; Lu et al., 2020).

534 In future work, it will also be interesting to explore the possibilities to further
535 increase the benefits of SOC additions by combining this strategy with other adaptations
536 of crop and soil management (e.g. earlier maturing varieties, cover cropping, mulching of
537 soil to reduce evaporation).

538 While our study focused solely on the impacts of SOC additions on soil water
539 dynamics, SOC increases could have additional benefits for crop productivity and yield
540 stability by feeding and supporting beneficial microbial communities in the soil (e.g.
541 rhizobacteria, nitrogen-fixing bacteria, and mycorrhizal fungi), which increase the crops'
542 ability to take up water and nutrients (Coban et al., 2022; Renwick et al., 2021;
543 Kallenbach and Grandy, 2011). Such aspects could be addressed in future field
544 experimental studies. Beyond that, future field- and model-based studies may also
545 evaluate trade-offs or synergies of SOC promoting management strategies with regard to
546 other soil-related ecosystem service indicators such as nitrate leaching, soil loss or runoff
547 generation to provide insights regarding the possibilities to increase the sustainability of
548 agricultural production overall (Bonfante et al., 2019). Alternative modelling approaches
549 considering dynamic changes in soil hydraulic properties could also be applied in the
550 future to investigate the influence of soil structural dynamics on the adaptation benefits
551 of SOC accumulation (e.g. based on Meurer et al. (2020b), Meurer et al. (2020a)), as to



552 our understanding, current models do not facilitate the representation of soil as a
553 temporally variable medium.

554

555 **7. Conclusions**

556 Our study is the first to investigate the possibilities to reduce $Tred_{dry}$, an indicator
557 of drought stress, in maize cultivated in the Swiss Central Plateau through increasing SOC
558 in the top- and subsoil. Our simulations showed that $Tred_{dry}$ in maize is expected to
559 increase with climate change in the Swiss Central Plateau region, by around 60-65 mm
560 irrespective of SOC increase. Increasing SOC in a typical agricultural soil in Switzerland,
561 however, is beneficial to reduce drought limitations in maize, showed by consistently
562 positive offsets. These benefits are minimal if SOC is only increased in the top 25 cm, but
563 become considerable if SOC is increased down to 65- or 135 cm depth. With a 2%
564 addition of SOC down to 65cm depth, a considerable transpiration gain of 40mm can be
565 reached. This scenario can be achievable considering management adaptations such as
566 cover cropping or compost applications. It appears that a greater or deeper SOC addition
567 would not return substantial extra benefits in terms of offsetting more crop drought stress
568 rooting in the changing climate.

569

570 **8. Author contribution**

571 Conceptualization^{1,3}, Data curation¹, Formal analysis^{1,3}, Funding acquisition³,
572 Investigation^{1,2,3}, Methodology^{1,3}, Resources³, Software¹, Visualization¹, Writing –
573 original draft preparation^{1,3}, Writing – review & editing^{1,2,3}.

574 ¹ MET; ² AN; ³ AH

575 **9. Competing interests**

576 The authors declare that they have no conflict of interest.

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