2	Sequestering carbon in the subsoil benefits crop transpiration at the
3	onset of drought
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20 **2.** Abstract

21 Increasing soil organic carbon is promoted as a negative emission technology for the 22 agricultural sector with a potential co-benefit for climate adaptation due to increased soil 23 water retention. Field-scale hydrological models are powerful tools to evaluate how the 24 agricultural systems would respond to the changing climate in upcoming years and 25 decades, to predict impacts, and look for measures that help decrease drought-driven crop 26 stress under current and future climatic conditions. We quantified how different levels of 27 soil organic carbon (SOC) additions at varied soil depths are expected to influence 28 drought-induced transpiration reduction (Tred_{drv}) in maize cultivated in Switzerland. 29 Parameterization of the model based on a pedotransfer function (PTF) was validated 30 against soil moisture data from a long-term lysimeter experiment with a typical Swiss soil 31 and the model was subsequently applied under climate forcing between 1981 until 2099 32 representative of three distinct climatic sites of Switzerland. We used the same PTF to 33 indirectly assess the effects of SOC additions in different depths on soil hydraulic 34 properties. We found a threshold in both added amount of SOC (2% added) and in the 35 depth of sequestering that SOC (top 65cm) beyond which any additional benefit appears 36 to be substantially reduced. However, adding at least 2% SOC down to at least 65 cm 37 depth can reduce Tred_{dry} in maize, i.e. increase transpiration annually, but mostly at the 38 onset of summer drought by almost 40 mm. We argue that SOC increases in subsoils can 39 play a supporting role in mitigating drought impacts in rain-fed cropping in Switzerland. 40

41 Keywords: climate change adaptation; water use efficiency; soil management;

42 pedotransfer functions, simulation modeling; SWAP

2023).

44 Over the last few decades, scientific studies have increasingly emphasized the 45 need and explored potentials for soil carbon sequestration in agricultural soils to mitigate 46 climate change (e.g. Lal (2001, 2004); Minasny et al. (2017); Smith et al. (2008)). In this 47 context, other possible impacts of increasing soil organic carbon (SOC) on important soil 48 functions and services have also been highlighted (e.g. on soil biodiversity, soil structure, 49 soil water retention and infiltration capacity; see Lal (2004); Murphy (2015)). 50 Management practices such as application of organic amendments (i.e. compost, manure, 51 biochar), cover cropping, crop diversification and the adoption of conservation tillage 52 systems are commonly considered beneficial for increasing SOC (Crystal-Ornelas et al., 53 2021). With an increase in soil organic carbon in quantity, quality and chemical diversity, 54 soil communities are promoted and biotic-abiotic interactions are enhanced, with positive 55 impacts on the formation and storage of soil organic matter (Zhang et al., 2021). Physical 56 properties of the soil are altered directly by soil organic carbon increase and indirectly 57 through the activity of soil fauna (e.g. Arthur et al. (2015); Rivier et al. (2022); Nemes et 58 al. (2005); Rawls et al. (2004)). Soil structure has major influence on the natural soil water 59 retention capacity, an essential regulating ecosystem service provided by soils that may play an increasingly crucial role in mitigating drought-induced limitations as climate 60 61 change progresses (Liu et al., 2021). Soil texture also strongly affects how soil hydraulic 62 properties respond to organic amendments, as shown by a meta-analysis from Edeh et al. 63 (2020), who reported decreased hydraulic conductivity of sandy soils and increased the 64 hydraulic conductivity of clayey soils after biochar additions. A recent meta-analysis 65 performed for Europe has also shown that the adoption of organic amendments and 66 "continuous living cover" benefit the soil water regulation functions (Blanchy et al., 68 With that in mind, the potential for achieving synergies between climate 69 mitigation and adaptation seem promising. However, empirical evidence on benefits from 70 increasing soil organic carbon for reducing drought limitations in crops is inconclusive. 71 For example, Minasny and Mcbratney (2017) performed a meta-analysis with globally 72 distributed soil data combined with the development of pedotransfer functions (PTFs) and 73 found that 1% increase in SOC has a minor effect on available water capacity (AWC), 74 with more pronounced differences in sandy soils than fine textured soils. Libohova et al. 75 (2018), however, evaluated the effect of SOC on AWC using the National Cooperative 76 Soil Survey (NCSS) Soil Characterization Database and found that a 1% increase in soil 77 organic matter content increased AWC up to 1.5% times its weight, depending on soil 78 texture and clay mineralogy. Also, a global metanalysis of 17 long-term field experiments 79 conducted by Eden et al. (2017) found that plant available water increased significantly 80 with the addition of organic material to the topsoil.

81 So far, only few model-based analyses have explored benefits of SOC increases 82 on soil water availability systematically. Thereby, assumption on SOC influences on soil 83 hydraulic properties were based on evidence from pedotransfer functions (PTFs). Feng et 84 al. (2022) applied the crop model APSIM at a regional scale in China to model yield 85 variability of maize and identified a statistically significant relationship between SOC and 86 temperature-sensitivity of maize yields, suggesting that SOC contributes to climate 87 resilience. A different model-based study design was implemented by Bonfante et al. 88 (2020), who applied the SWAP model (Kroes et al., 2017) to 6 different Italian soils with 89 assumed increased soil organic matter up to 2-4% in the topsoil. They found only minor 90 increases in moisture supply capacity to be achieved with additional organic matter in the 91 soil. In contrast to this, Ankenbauer and Loheide (2017), who applied a 1-D variably 92 saturated groundwater flow model, found that increases in soil organic matter can

97 A systematic analysis of the impacts of SOC increase on drought stress reduction 98 depending on depth of SOC increase is lacking so far. It is thus the aim of this study to 99 systematically evaluate and quantify the potential benefits of increasing SOC for drought 100 limitations in a regional context not only under current, but also under projected future 101 climatic conditions. As a study case, we chose to evaluate how changes on SOC to 102 different depths affect the drought stress experienced by maize at the Swiss Central 103 Plateau region, where agricultural land use dominates and for which region climate 104 projections suggest a decrease in summer precipitation and an increase in winter 105 precipitation as climate change progresses (CH2018, Kotlarski and Rajczak (2018)). 106 Annual precipitation sums are expected to remain largely the same over the projection 107 period until the end of the century, ranging from 997 mm in the southwest to 1013 mm in 108 the northeast. As previous studies have shown, drought stress is already limiting grain 109 maize productivity under current conditions (Holzkämper et al., 2013; Holzkämper et al., 110 2015b) and this limitation is expected to become more significant as climate change 111 progresses. According to Holzkämper (2020), irrigation demands for grain maize might 112 increase by up to 20% by the end of this century, in comparison with the reference period 113 of 1981-2000, assuming that the duration of the growth season remains constant. If late-114 maturing varieties would be grown, given the possibility of an extended growth season 115 with increasing temperatures, irrigation water demand may even increase by 40% 116 (Holzkämper, 2020). This raises concerns about the availability of irrigation water in the 117 Swiss Central Plateau, where reoccurring irrigation bans have challenged farmers more

118	and more frequently in recent drought years (Bafu, 2019, 2016). Solutions to make Swiss
119	production systems less reliant on supplementary irrigation are urgently needed.

121 **4. Data and methods**

122 To systematically evaluate the benefits of increasing soil organic carbon (SOC) 123 for reducing drought limitations on a typical agricultural soil in the Swiss Central Plateau, 124 we apply a field-scale agro-hydrological model that is deemed a suitable tool to interpret 125 interactions between crops and the environment (Maharjan et al., 2018). The soil 126 component of the model was parameterized using a recently developed pedo-transfer 127 function and the model setup is validated against measurements of soil moisture dynamics 128 in two lysimeters of a lysimeter station. Subsequently, the model is applied based on 129 downscaled climate projection data in combination with scenarios of soil carbon 130 increases.

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132 4.1 Agro-hydrological modelling with SWAP

The Soil Water Atmosphere Plant model (SWAP, version 4.0.1) (Kroes et al., 2017) is a physically based agro-hydrological model that simulates the transport of water, solutes, and heat in the unsaturated (vadose) zone and optionally the upper part of the saturated (groundwater) zone with the upper boundary condition defined by atmospheric conditions. Major arable crops and grasslands can be explicitly simulated in SWAP via incorporation of the WOFOST (WOrld FOod STudies, De Wit et al. (2019)) model or by using a simple crop module. 140 In interaction with the crop development, the model simulates the heat and solute 141 transport dynamics of variably saturated soils by employing the Richards equation in the 142 vertical direction, including a sink term for root water uptake:

$$C(\mathbf{h})\frac{\partial \mathbf{h}}{\partial t} = \frac{\partial \left[\mathbf{K}(\mathbf{h})\frac{\partial (\mathbf{h}+z)}{\partial z} \right]}{\partial z} - S_a(\mathbf{h})$$
[1]

143 where C(h) (cm⁻¹) is the specific water capacity, the derivative of the soil water retention function $\theta(h)$, which describes the relation between water content θ (cm³ cm⁻³) and soil 144 145 water suction h (cm, defined as positive at unsaturated conditions), t (d) is time, K(h) 146 (cm d^{-1}) is the hydraulic conductivity as a function of h, z (cm) is the vertical spatial coordinate (negative downwards), and $S_a(h)$ (d⁻¹) is a sink term representing the rate of 147 148 soil water extraction by plant roots.

149 The relationship $\theta(h)$ K(h) defined and are by the van 150 Genuchten (1980) - Mualem (1976) (VGM) equations:

$$\theta(\mathbf{h}) = \theta_r + \frac{(\theta_s - \theta_r)}{[1 - |\alpha \mathbf{h}|^n]^m}$$

$$\mathbf{K}(\mathbf{h}) = \mathbf{K}_s \Theta^l \left[1 - \left(1 - \Theta^{\frac{1}{m}} \right)^m \right]^2$$
[2]

where θ_s and θ_r are the saturated and residual soil water content (cm³ cm⁻³), α (cm⁻¹), *n*, *m* 151 152 (m = 1-1/n), and l are empirical shape parameters, K_s is the saturated hydraulic conductivity (cm d⁻¹) and the relative degree of saturation, Θ , is expressed as 153 $\Theta = (\theta - \theta_r)/(\theta_s - \theta_r).$ 154

155 In our study, the model used crop properties and atmospheric conditions on a 156 daily basis to calculate the potential evapotranspiration based on the Penman-Monteith 157 equation. Water stress was evaluated according to the reduction function by Feddes 158 (1978), with the optimal root water uptake in the h ranges of -325.0 cm (h_{3H}) or -600 cm

159 (h_{3L}) to -30 cm (h₂), oxygen stress linearly increasing for h higher than -15 cm (h₁) and 160 drought stress linearly increasing for h smaller than -8000 cm (h₄). The crop growth 161 module considers that <u>the actual transpiration can be reduced</u> by drought (too dry), $\alpha_d(z)$, 162 lack of oxygen (too wet), $\alpha_0(z)$, or too saline conditions (physiological drought), $\alpha_s(z)$, 163 which factors are known to reduce crop growth. <u>The actual root water flux, $S_a(z)$ (d⁻¹), is 164 <u>then a function of all considered stresses:</u></u>

$$S_a(z) = \alpha_d(z)\alpha_o(z)\alpha_s(z)S_p(z)$$
[3]

165 where $S_p(z)$ is the potential root water extraction rate at a certain depth. The actual 166 transpiration, T_a (cm d⁻¹), is calculated by integrating the root water flux over the root 167 zone:

$$T_a = \int_{-D_{root}}^{0} S_a(z) \partial z$$
 [4]

168 where *D_{root}* is the root layer thickness (cm).

In our simulations, we did not consider stresses caused by saline conditions and
 focused on the drought-induced transpiration reduction (Tred_{dry}) as an indicator of
 drought stress during the cropping period.

172 4.2 Climate data of three distinct study sites from measured and projected 173 scenarios

Typical Swiss agricultural conditions were evaluated at three distinct sites distributed along the Swiss Central Plateau (the main agricultural production zone in Switzerland): Nyon-Changins (CGI), Zürich-Reckenholz (REH), and Wynau (WYN). Measured climatic variables from meteorological stations were obtained from MeteoSwiss. <u>Table 1</u> contains annual mean values of the meteorological variables required by SWAP, while <u>Figure 1</u> presents their seasonal variation. While the three sites have similar altitude, on average, the CGI site has the driest and warmest climate, with higher solar radiation and wind speed. WYN is on average the wettest and coldest. In all sites the rainfall is relatively well distributed during the year, with higher precipitation, temperature and solar radiation in the summer season.

Table 1 Site description and climatic variables based on mean ± standard deviation values
 observed between 1981 and 2022 from MeteoSwiss.

	Meteorological station		
	CGI	REH	WYN
	(Changins)	(Reckenholz)	(Wynau)
Altitude (m)	455	443	422
Latitude	46.4 N	47.4 N	47.3 N
Longitude	6.2 E	8.5 E	7.8 E
Rainfall (mm y ⁻¹)	997 ± 147	1013 ± 146	1117 ± 188
T _{min} (°C)	6.5 ± 5.7	5.1 ± 5.9	5.0 ± 5.9
T_{max} (°C)	14.8 ± 7.8	14.3 ± 8.0	14.3 ± 8.2
Solar radiation (MJ m ² d ⁻¹)	12541.5 ± 7035.4	11372.0 ± 6738.6	11437.9 ± 6865.4
Vapour pressure (kPa)	0.98 ± 0.36	0.98 ± 0.38	0.99 ± 0.38
Wind speed (m s ⁻¹)	2.4 ± 0.2	1.8 ± 0.3	1.7 ± 0.3



Figure 1 Seasonal variability of climatic variables considering monthly mean ± standard deviation (shades and bars) values observed at the meteorological stations between 1981 and 2022. Rainfall corresponds to monthly sums, while other variables represent daily values averaged by month. <u>Minimum (bottom lines) and maximum (upper lines)</u> temperatures are presented.

Future scenarios were evaluated using climate projections developed by the National Centre for Climate Services (NCCS) in Switzerland (Kotlarski and Rajczak, 2018). The dataset contains transient daily time series for the period 1981-2099 for several variables at individual Swiss stations (DAILY-LOCAL), produced by applying a statistical downscaling and bias-correction method (Quantile Mapping, QM) to the original output of all EURO-CORDEX climate model simulations employed in CH2018 (Kotlarski and Rajczak, 2018). From all available projections with different 201 Representative Concentration Pathways (RCP), we selected the ones that presented the 202 dataset with all required input variables for SWAP, as listed in Table 1. In total, we used 203 22 projections for RCP8.5, 17 for RCP4.5, and 8 for RCP2.6. For more details about 204 selected model chains, see Supplementary Material (Section S1). Figure 2 presents an 205 overview of the projected climate variables for the summer (JJA) and winter (DJF) 206 months during the baseline (1981-2020), mid-century (2031-2060) and end-of-century 207 (2081-2099) periods for each of the RCP8.5. More details about the other RCPs as 208 Supplementary Material (Section S2). With the most pessimistic assumption about the 209 evolution of greenhouse gas emissions (RCP8.5), climate projections estimate lower 210 precipitation, higher temperature and higher solar radiation for future summers, while 211 they predict higher precipitation, higher temperature and lower solar radiation for winters 212 at the end of the century.



Figure 2 Summary of climatic variables considering monthly mean values at the stations Changins (CGI), Reckenholz (REH), and Wynau (WYN) for the projections RCP8.5. Summer was considered as the months June, July and August, winter corresponds to December, January and February. Reference period: 1981-2020, mid-century: 2031-2060, end-of-century: 2071-2099. Rainfall corresponds to monthly sums, mean temperature is the mean between maximum and minimum temperature per day, averaged by month, solar radiation corresponds to daily values averaged by month.

4.3 Model reference data and setup

222 Reference information on soil water dynamics at four different depths (10, 30, 60, and 90 cm) were available from lysimeters of 135cm depth and $1m^2$ surface area at 223 224 the lysimeter facility of Agroscope Zürich-Reckenholz (Prasuhn et al., 2016). Soil 225 moisture was monitored from 2009 to 2022 using frequency domain reflectometry sensors 226 (FDR; ThetaProbe ML2x, Delta-T Devices) at the depths of 10, 30, 60, and 90 cm. In 227 each of the lysimeters two identical sensors were installed at each depth with a time 228 resolution of one hour. We utilize the data of two lysimeters that contain similar soil 229 monoliths from a typical agricultural soil nearby (Loamy-silty Cambisol above ground 230 moraine (Fao, 2015), see Table 2 for the soil profile description). The monoliths have a 231 15 cm layer of purified quartz sand and gravel at the bottom that help facilitate free 232 drainage.

233 For the model setup, the measured physical and chemical soil parameters (Table 234 2) were used in combination with the pedotransfer function (PTF) developed by Szabó et 235 al. (2021), using the R package in which the euptfv2 is implemented (Weber et al., 2020). 236 The euptfv2 is a Random Forest-based PTF with various options for inputs and output 237 parameters, and has proven to be one of the most accurate PTFs to estimate soil hydraulic 238 properties for Europe when tested on diverse datasets (Nasta et al., 2021). As the standard 239 setup for all simulations, we used option 'PTF02', which requires the depth of the soil 240 layer, soil texture, and soil organic carbon content (SOC) as input, and estimates the VGM 241 parameters for the soil water retention $[\theta(h)]$ and hydraulic conductivity [K(h)] functions 242 (Eq. [2]).

243

245 Table 2 Soil physical and chemical properties of the evaluated typical Swiss agricultural

profile at the Lysimeter facility at Agroscope Reckenholz. SOC: soil organic carbon, BD:
bulk density, PD: particle density, CEC: cation exchange capacity. Soil class and horizon

248 description according to Prasuhn et al. (2016).

Horizon	Depth	Clay	Silt	Sand	SOC	BD	pH _{H2O}	pH _{CaCl2}	PD	CaCO ₃	CEC
	cm	%	%	%	%	g cm ⁻³	-	-	g cm ⁻³	%	cmol+ kg ⁻¹
Ahp	0-25	25	50	25	1.48	1.36	6.8	6.4	2.63	0.1	16.2
Abcn	25-32	24	54	22	1.09	1.44	7.1	6.6	2.68	0.2	15.67
Bcn(g)(x)	32-65	31	50	19	0.43	1.44	7.2	6.5	2.7	0.1	17.61
Bg	65-85	33	46	21	0.32	1.44	7.5	6.6	2.7	0.1	18.77
BCg	85-105	19	61	20	0.10	1.39	8.6	7.7	2.7	40.2	10.93
Cg	105-135	18	65	17	0.02	1.61	8.6	7.8	2.71	54.4	7.49

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250 <u>Table 3</u> presents the parameters of Eq. [2] at the evaluated soil profile from the

251 Lysimeter station, calculated using the chosen PTF. The soil water retention and hydraulic

252 conductivity curves are visualized in <u>Figure 3</u>.

Table 3 Soil hydraulic parameters calculated using the euptfv2 at the original soil profile, considering option 'PTF02' that uses soil texture, soil carbon content and soil depth as input.

Soil	Layer	Depth	θ_r	θ_{s}	α	n	Ks	l
		cm	$\mathrm{cm}^3\mathrm{cm}^{-3}$	$\mathrm{cm}^3\mathrm{cm}^{-3}$	cm ⁻¹	-	cm d ⁻¹	-
Reckenholz	1	0-25	0.053	0.483	0.034	1.215	35.61	-1.59
	2	25-32	0.038	0.471	0.037	1.193	14.78	-0.70
	3	32-65	0.059	0.435	0.015	1.196	10.41	-0.62
	4	65-85	0.078	0.417	0.014	1.196	4.41	-1.23
	5	85-105	0.034	0.422	0.011	1.370	3.34	0.23
	6	105-135	0.026	0.424	0.005	1.441	1.77	0.09



Figure 3 Soil water retention (θ) and soil hydraulic conductivity (K) as function of the soil water suction (h) at the evaluated soil profile estimated by the euptfv2 (option 'PTF02').

261 The validation of SWAP with the lysimeter information included three cropping 262 periods with grain or silage maize in 2009, 2015 and 2020, with annual precipitation of 263 1018.9, 831.5 and 855.2 cm, respectively. Daily time step was adopted and vertically, the 264 top soil layer up to 65 cm was discretized using 1.0 cm sub-compartments, while 265 subsequent layers were discretized with 5 and 10 cm sub-compartments. The boundary 266 condition was set to 'free outflow at soil-air interface', which is considered as a valid 267 option for lysimeters. The evapotranspiration was calculated using weather data and 268 application of the Penman-Monteith equation. No macropore flow, lateral drainage or

solute dispersion was simulated. For the validation, the daily averaged values of measured
soil water content at each replicate sensor and depth (eight time series per lysimeter) were
compared to the modeled values by SWAP. As validation metrics, we used the root mean
square error (RMSE) and the Pearson correlation (*r*). See Supplementary Material S3 for
details on model setup.

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4.4 Design of simulation experiments

In the absence of consistent and comparable data from long term and holistic studies that account for the impacts of management on soil hydraulic properties, pedotransfer functions (PTFs) are seen as a suitable choice to systematically account for linkages between SOC and soil hydraulic properties. We thus used the chosen PTF to systematically capture secondary effects of SOC instead of directly inferring the effects of specific drivers of change on the soil hydraulic properties due to the uncertain interaction effects between SOC, soil type, climate and management.

282 We assumed that management improvements have led to increased SOC from 283 the beginning of the simulation period and that SOC remained stable over the simulation 284 period, thereby testing different scenarios of successful carbon sequestration. The model 285 parametrization included three distinct depth scenarios: i) changes in SOC occur only 286 within the top 0-25 cm, ii) changes in SOC occur to 0-65 cm depth and, iii) changes in 287 SOC are achieved for the entire soil profile. In terms of SOC change, we simulated the 288 addition of up to 4% SOC to current SOC levels by 1% increments in the (i) and (ii) depth 289 scenarios, but applied reduction factors of 0.8 and 0.6 for the 65-105 cm and 105-135 cm 290 depths respectively in depth scenario (iii). This approach considers that obtaining greater SOC via management likely affects the topsoil more than the deeper soil layers. The 291 292 outlined depth and SOC level scenarios are listed in Table 4 for easier comprehension.

It should be emphasized that the levels of SOC in the soil are dependent on several factors including land use and management, climate, geomorphology, which were

295 considered as empirical relationships in this work.

Table 4 Description of %SOC levels added per depth and final values of SOC considering

the described scenarios i), ii), and iii). Shaded values represent the layers where changes on SOC were applied.

	Effective depth	i) 0-25	ii) 0-65	iii) 0 -135		
Scenario	Soil depth	SOC added	SOC final	SOC final		
	(cm)	(%)	(%)			
0%	0-25	0	1.48	1.48	1.48	
	25-32	0	1.09	1.09	1.09	
	32-65	0	0.43	0.43	0.43	
	65-85	0	0.32	0.32	0.32	
	85-105	0	0.10	0.10	0.10	
	105-135	0	0.02	0.02	0.02	
1%	0-25	1	2.48	2.48	2.48	
	25-32	1	1.09	2.09	2.09	
	32-65	1	0.43	1.43	1.43	
	65-85	0.8	0.32	0.32	1.12	
	85-105	0.8	0.10	0.10	0.90	
	105-135	0.6	0.02	0.02	0.62	
2%	0-25	2	3.48	3.48	3.48	
	25-32	2	1.09	3.09	3.09	
	32-65	2	0.43	2.43	2.43	
	65-85	1.6	0.32	0.32	1.92	
	85-105	1.6	0.10	0.10	1.7	
	105-135	1.2	0.02	0.02	1.22	
3%	0-25	3	4.48	4.48	4.48	
	25-32	3	1.09	4.09	4.09	
	32-65	3	0.43	3.43	3.43	
	65-85	2.4	0.32	0.32	2.72	
	85-105	2.4	0.10	0.10	2.5	
	105-135	1.8	0.02	0.02	1.82	
4%	0-25	4	5.48	5.48	5.48	
	25-32	4	1.09	5.09	5.09	
	32-65	4	0.43	4.43	4.43	
	65-85	3.2	0.32	0.32	3.52	
	85-105	3.2	0.10	0.10	3.3	
	105-135	2.4	0.02	0.02	2.42	

300 To quantify the impacts of increasing SOC on drought stress in maize under 301 climate change, SWAP was applied to the 22 climate projections at the three sites 302 Changins (CGI), Reckenholz (REH), and Wynau (WYN) in combination with the 303 scenarios of SOC increase listed in Table 4. We assumed grain maize to be sown on 6th May (DOY 126) and harvested on 17th Oct (DOY 290) as registered in the Swiss variety 304 305 trial data for a medium-late variety type (Agroscope, 2023). The bottom boundary 306 condition was set as free drainage, representing a soil profile with deep groundwater 307 levels. For details of general SWAP parameterization see Supplementary Material S3.

All simulations considered rain fed conditions and were performed using the simple crop growth module for a static crop, which simulates a fixed development of leaf area index and rooting depth, independent of climatic conditions, in order to keep the cropping period fixed for all scenarios. In this study we worked with 165 days of crop growing period; the crop component's parameterization is described in Supplementary Material S4.

314 Overall, we conducted a total of 990 simulation runs (5 levels of SOC \times 3 soil 315 depths \times 3 sites \times 22 climate projections) for the period 1981-2099, and used cumulative 316 amounts of drought-induced transpiration reduction (Tred_{drv}) as an indicator of drought 317 stress during the cropping period. The 10-year moving average of Tred_{dry} was calculated 318 to represent decadal changes and exclude interannual variability. The range of Tred_{dry} 319 values among the available climate projections were represented by the 0.05 quantile 320 $(q_{0.05})$ and the 0.95 quantile $(q_{0.95})$ as upper and bottom boundaries, respectively. The 321 difference between management scenarios in terms of crop transpiration, defined as the 322 average transpiration gain (ATG) with SOC increase, was calculated as the difference 323 between the scenario with no addition of SOC (0%) and the one with the maximum 324 addition of SOC (4%).

5. Results

5.1 Model validation

327 Using the soil hydraulic parameters from Table 3, we simulated soil water 328 content in the lysimeter soil profiles and compared them with moisture data measured by 329 FDR sensors. The lumped values of the two lysimeters, considering all maize cropping 330 periods (2009, 2015, and 2020), all depths (10, 30, 60, and 90 cm) with duplicated sensors, resulted in a median $(q_{0.5})$ RMSE of 0.066 cm³ cm⁻³ $(q_{0.05}=0.050 \text{ cm}^3 \text{ cm}^{-3})$, 331 $q_{0.75}=0.098$) and correlation median correlation r of 0.79 ($q_{0.05}=0.68$, $q_{0.75}=0.84$). In 332 333 general, the simulations were more accurate for the deeper layers as compared to the topsoil. At 10 cm, the RMSE was on average 0.11 cm³ cm⁻³, whereas it was 0.04 cm³ cm⁻³ 334 335 at the bottom.

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337 5.2 Effect of increasing SOC on the soil hydraulic properties and soil water 338 balance

339 The effects of adding different amounts of SOC at different soil layers (Figure 340 4) are reflected in PTF estimates of soil hydraulic properties with updated SOC contents. 341 The "0%" line, corresponding to the VGM parameters in Table 3, represent the properties 342 of the different soil layers with current SOC. For the soil water retention curve, the effects 343 of the increase in SOC reflected an estimated increase in pore space, whose expression 344 varied with soil depth and added SOC. In the topsoil, the differences between the addition 345 of 1% and 4% SOC were not as remarkable as in the subsoil layers, where an addition of 346 1% SOC lead to a substantial increase in estimated pore space. For saturated hydraulic 347 conductivity, the overall trend was a reduction in conductivity with the increase in SOC, 348 with the biggest contrasts found in the topsoil.



Figure 4 Effects of SOC increase on the soil water retention (θ) and soil hydraulic conductivity (K) as function of the soil water suction (h) as predicted by euptfv2, option 'PTF02'.

Considering effects of adding SOC at different soil depths, Figure 5 presents an overview of the transient simulations between 1980 and 2099 with the most unfavorable climate scenario projections (RCP8.5). For each year of simulation, a range of values of Tred_{dry} was generated by the 22 climate projections, which are being represented by a band defined by the lines $q_{0.05}$ and $q_{0.95}$ quantiles, and the $q_{0.5}$ quantile (median) is represented by a line within that band. The <u>average transpiration gain (ATG)</u> line is the difference between the median ($q_{0.5}$) values of the original Reckenholz soil profile (i.e. 360 0% SOC addition) and the one that had 4% SOC added. The <u>ATG</u> can be interpreted as 361 the amount of seasonal transpiration gained in response to increased SOC. The absolute 362 increase in Tred_{dry} comparing the reference period with the end of the century was on 363 average 269, 207, and 269 mm at CGI, REH and WYN, respectively. Additional results 364 considering other Representative Climate Projections (RCP 2.6 and 4.5) are presented in 365 the Supplementary Material (Section S5).



RCP8.5, Reckenholz soil

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Figure 5 Transpiration reduction due to drought stress (Tred_{dry}) (left axis, green band) for 367 368 actual and future climate conditions considering different levels of SOC increase in the 369 soil at different effective soil depths; and average transpiration gain, ATG, (right axis, colored lines) between 0 and 4% addition of SOC. Climate projections considered the 370 371 RCP8.5 pathway and were averaged for every 10 years. The green shaded area of Tred_{dry} 372 refers to the values between (dotted) quantiles $q_{0.05}$ and $q_{0.95}$ of the climate projections. 373 ATG is interpretable as average seasonal gain in transpiration due to SOC increase, and 374 ATG slope refers to the slope of the ATG line between 0 and 4% SOC addition.

376 According to the simulated scenarios, the main driver of the absolute values of 377 Tred_{drv} is the climate, with more drought stress under the climate of the drier site (CGI) 378 and very similar stress levels under the climate of the other two sites, REH and WYN, 379 that are wetter and appear to be somewhat resembling. There was a clear tendency of 380 increased stress towards the end of the century, driven by more unfavorable climatic 381 conditions during the cropping period (Figure 2). The ATGs were very similar amongst the three considered climates, with maximum values around 60 mm year⁻¹, and slightly 382 383 higher in the CGI climate. The ATG slopes calculated between the beginning and the end 384 of the century were higher at REH and WYN, which are the sites with less water stress 385 under current conditions. This is an implication of not considering a gradual build-up 386 period for increased SOC, but considering the same levels of SOC addition for the entire 387 simulation period.

The simulations were performed considering the addition of SOC down to three different depths (25, 65, and 135 cm). The addition of SOC to the top 25 cm seems to have a modest effect on $Tred_{dry}$. The effects of increasing SOC all the way to 135 cm are the greatest, but are comparable to the intermediate option of adding SOC till 65 cm depth. In general, adding 2% SOC already lead to considerable reduction in $Tred_{dry}$, and is a more realistic, easier-to-implement alternative to adding 4% SOC.

- 394
- 395 5.3 Detailed soil water dynamics and drought stress over the cropping
 396 period

Figure 6 depicts, as an example, how the soil moisture profile develops and how
 the ATG in moisture deficit builds up during the simulated 2015 cropping year at the REH

399 site, with the different increased SOC levels in the entire soil profile (depth scenario iii). 400 The addition of SOC leads to a clear pattern of increasing soil water retention.. The blue 401 line depicts the daily simulated crop transpiration deficit (Tred_{drv}) of the 0% added SOC 402 scenario, while the black lines depict the same obtained for the relevant depth and SOC 403 addition scenario in each plot. Their difference, when cumulated for the year, yields the 404 transpiration deficit ATG for the given year and scenario. The most remarkarble seasonal 405 ATGs were observed in the beginning of the cropping season, and could be linked to 406 increased soil water retention capacity combined with the availability of water in that 407 season. According to Figure 4, increased SOC content generally yielded increased soil 408 water retention capacity relative to the base scenario of no SOC addition. In the early 409 cropping season this increased capacity is capitalized on in the form of retaining more 410 water in the system by the end of the recharge-period in the wet and cold winter and spring 411 season. The simulated extra amount of water is clearly demonstrated in Figure 6. During 412 the early part of the growing season, this excess water then becomes available to the crop, 413 dampening the effects of any drought-stress, or at least delaying its onset. The soil will 414 also not dry out to the same degree during the later half of the season, or at least not to 415 the same depth. Similar results for the other evaluated sites are presented in the 416 Supplementary Material (Section S6).



418 Figure 6 Detailed profile of soil water content (left axis) and Tred_{dry} (right axis, black 419 lines) according to the different added SOC levels at the Reckenholz site (REH) in the

420 year of 2015. The blue line represents Tred_{dry} for the original soil profile (0% SOC).

421 When cumulated for the year, their difference yields the annual <u>ATG</u> in crop transpiration 422 deficit that is due to the addition of earbon to the soil

422 deficit that is due to the addition of carbon to the soil.

423 **6.** Discussion

424

6.1 Increasing soil organic carbon reduces drought stress in maize

425 We observed that according to the predictions of the used PTF, an increase of 426 SOC has a small effect on, but generally decreases soil hydraulic conductivity (Figure 4). 427 This may be counter-intuitive in that textbook knowledge connects greater SOC content 428 with better soil structure formation, greater porosity, and in turn to enhanced water 429 transport properties (hydraulic conductivity) (Nemes et al., 2005). However, several 430 studies have now emerged that correlated greater SOC content with lower hydraulic 431 conductivity. These studies include both experimental data and the mining of several 432 extended databases using machine-learning (Nemes et al., 2005; Wang et al., 2009; Jarvis 433 et al., 2013; Larsbo et al., 2016). The rationale behind this notion is that when SOC 434 content increases, there is enhanced porosity, but the tortuosity of conductive pathways 435 may increase due to enhanced microbial activity and the formation of more complex 436 aggregates, resulting in better water retention but reduced hydraulic conductivity. Some 437 of these authors noted increased predicted water retention in the effective porosity (i.e. 438 the range between field capacity and saturation), which supports the proposed notion.

Results from this simulation study suggest that increases in SOC would generally 439 440 decrease drought stress in maize cultivated on a typical agricultural soil in Switzerland. 441 The summer season precipitation amount at the evaluated sites is expected to be decreased 442 by around 60-65 mm till the end of the century (Figure 2). In this scenario, a 2% addition 443 of SOC can reduce drought stress of maize by 10.5 to 40 mm during the cropping season 444 and potentially compensate part of the rainfall reduction with climate change. Bonfante 445 et al. (2020) suggests that the effect of SOC on moisture supply capacity should be 446 evaluated in more climatic zones in order to obtain a broader picture of its potential

impact. What we observed in this work was that the degree of decrease in Tred_{dry} was only minimally dependent on regional climatic conditions, with the wettest site (WYN) benefitting least from the SOC increases under current climate conditions. As conditions get drier, as projected with climate change for the Swiss Central Plateau, the transpiration gain increases, but reaches a maximum at 60 mm with SOC increase down to 135 cm.

452 Our study suggests minor benefits of increasing SOC in the topsoil (maximum 453 ATG reached is 15 mm, Figure 5). However, if SOC was increased down to at least 454 65 cm, this beneficial effect can be considerably higher (maximum ATG reached is 455 45 mm, Figure 5). Overall, the maximum ATG of Tred_{dry} quantified in this study was 456 60 mm (at the end of the century, with SOC increase down to 135 cm), suggesting that 457 without supplementary irrigation, seasonal crop transpiration can be up to 60 mm greater 458 with increased SOC, compared to the reference situation. This amount is comparable to 459 1-2 irrigation dosages and makes up for roughly 30% of the average theoretical irrigation 460 water demand estimated by Holzkämper (2020) for the region between Wynau (WYN) 461 and Changins (CGI). The productivity gain to be achieved will strongly depend on the 462 period in the cropping cycle when this extra water will be available. Considering that 463 transpiration benefits are greatest at the onset of drought during early summer (Figure 6), 464 the productivity gains may be particularly high if the effect coincides with the critical 465 reproductive phase of the crop. This might imply that transpiration gains achieved with 466 increases in SOC have a significant potential to increase yield stability, particularly in 467 situations where and when irrigation is not an option.

The positive slopes of calculated <u>ATG</u>s of Tred_{dry} (i.e. transpiration gained with SOC increase) in Figure 5 suggest that the benefits of SOC additions could slightly increase with projected future climate change – especially at WYN, the least waterlimited site under current conditions. At the driest site, CGI, the <u>ATG</u> (i.e. benefit of SOC 472 increase) reached under current climatic conditions is roughly at the same level as it is at 473 WYN at the end of the century. These findings imply that the benefits of SOC 474 accumulation may increase as water input (precipitation) during the cropping period 475 decreases over time. However, there appears to be a threshold beyond which benefits are 476 not seen as Tred_{drv} further increases (the ATG slope in Figure 5 decreases from the wettest 477 to the driest site). The benefit of "extra water availability" comes from the balance of two 478 elements: available water and available storage capacity. It appears that the available 479 storage capacity component is enhanced by the addition of some SOC (i.e. 2% addition 480 in our simulations), but the system becomes water-limited by the end of the century. The 481 extra storage capacity that additional SOC may yield will not be filled up by the actual 482 water input, and the potential extra benefit cannot be realized. The within-year occurrence 483 of the same phenomenon is observable in Figure 6. The biggest reduction in Tred_{dry} occurs 484 in the beginning of the cropping season, when the increased retention capacity was present 485 at the same time when ample amount of water was recharging the system during and after 486 the cold, rainy season, with little or no plant water uptake.

487 A similar balance is likely to apply when the outcome of a 135 cm deep 488 application of added SOC is interpreted. When simulating SOC addition to 135 cm depth 489 vs. only 65 cm, the added benefit in terms of reduced crop Tred_{drv} appears to be limited. 490 We argue that while some excess water storage capacity is simulated, there is little actual 491 benefit realized from that, given the reduced amount of predicted precipitation by the end 492 of the century. In addition, few, if any, crop roots reach that depth, which means that the 493 only way the crop has direct benefit from water stored in the deeper soil layers in the 494 growing season is if water redistributed upwards via capillary and vapor transport.

6.2 Possibilities to increase soil organic carbon

497 Results from our study suggest that the beneficial effects of increasing SOC are 498 small if SOC is only increased in the top-soil (0-25 cm), but become more significant if 499 SOC is increased to only 65 cm depth by at least 2%. We assumed that such SOC 500 increases can be achieved, while different management adaptations and combinations 501 thereof may be suitable to reach this target. Commonly considered strategies to increase 502 SOC include additions of organic amendments, planting of deep-rooting crops, cover-503 cropping, intercropping, mulching with organic material, retaining crop residues in the 504 field and reduced tillage or no-till (Topa et al., 2021; Ipcc, 2019). No-till or reduced tillage 505 decreases the carbon oxidation process and soil disturbance with the loss of soil organic 506 carbon and nutrient availability (Modak et al., 2019; Kan et al., 2020). Also, Angers and 507 Eriksen-Hamel (2008) found that tillage affects the distribution of SOC over the depth of 508 the soil profile with important implications in crop water availability. A meta-analysis on 509 effects of tillage on SOC (Krauss et al., 2022) has shown that it is not uncommon that 510 depletion in SOC of a subsoil layer co-occurs with increased SOC levels in the topsoil. 511 We tested this with the particular soil and PTF used in our study, and found that the 512 hydrological effects of reducing SOC at the depth of 25-32 cm were almost identical to 513 the scenario in which the same amount of SOC increase in the depth of 0-25 cm was 514 simulated but without subsoil SOC depletion (Figure 5). We emphasize that, from the 515 point of view of water availability to plants with deep roots, management strategies should 516 aim at increasing SOC content deeper than only in the topsoil.

According to Bai et al. (2019), reduced tillage or no-till increases SOC mostly in the top 10 cm and also in the sub-soil below 50 cm. The same study found that covercropping could increase SOC down to 70 cm depth. Incorporation of perennial grasses into crop rotations could help increase SOC to 60 cm depth, beyond the plough layer (Carter and Gregorich, 2010). Evidence of this under Swiss conditions was provided by Guillaume et al. (2021); Guillaume et al. (2022). Overall, such strategies were found to be most beneficial to SOC accumulation near the soil surface (Bai et al., 2019). One management operation that could effectively contribute to an accumulation of SOC in deeper soil layers is deep ploughing (Alcántara et al., 2016). However, when the soil is loosened the SOC oxidation process is enhanced, as well as erosion may be triggered, which has to be accounted for when planning such interventions.

We have tested the scenario of incorporating extra amount of SOC in the soil down to a depth of 135 cm. This is a scenario that would require similar strategies as the previously discussed scenario, but it is likely rather difficult to implement, especially with greater amounts of SOC stored. Our study showed that in terms of water-availability to the (maize) crop, this scenario has little extra benefit to offer over the scenario of having extra SOC sequestered to 65 cm depth. Hence, any investment in sequestering SOC into such depths should not be driven by high expectation of hydrological benefits.

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6.3 Limitations and further work

537 Our study, as well as previous modelling studies exploring impacts of SOC 538 additions on soil water availability (e.g. Ankenbauer and Loheide (2017), Bonfante et al. 539 (2020), Feng et al. (2022)), build on pedotransfer functions that are believed to be best in 540 estimating soil hydraulic parameters for the study area based on levels of SOC and other 541 soil properties. The selection of PTFs, however, may play a crucial role in the outcome 542 of simulated scenarios. While recent studies confirm the validity of the equations used 543 (e.g. Nasta et al. (2021); Wagner et al. (2004)), uncertainties in derived estimates may 544 still be large (Fatichi et al., 2020). PTF structure may also have an influence in that more 545 advanced (aka. "better") PTFs are usually products of refined machine learning 546 algorithms that may produce strong results in general but may have different estimation 547 qualities in different parts of the data domain. Since such local performance is rarely 548 evaluated, future work should thus explore the sensitivity of SOC benefits via using an 549 ensemble of PTFs. Moreover, measurements of soil hydraulic properties in combination 550 with SOC, texture and bulk density in long term field trials investigating management 551 alternatives affecting SOC would provide very useful evidence to help disentangle the 552 effects of land use and management on the relationships between soil texture and 553 hydraulic properties. By integrating management and also local climate information in 554 PTFs, their uncertainties in predicting soil hydraulic properties in specific context could 555 be reduced (Van Looy et al., 2017). Many historic records do not provide sufficient 556 information on how certain measurements were performed, or when the samples were 557 taken. Also, the timing of field sampling is likely to play a role here, as it is known that 558 soil hydraulic properties vary in time and are influenced e.g. by precipitation regime or 559 land use and management (Caplan et al., 2019; Lu et al., 2020).

560 In this study, we focused on transpiration reduction, which is likely to imply 561 biomass reduction, but may not necessarily imply yield reduction – depending on the 562 timing of water stress. Other studies have investigated impacts of CC on yields for grain 563 maize in Switzerland (Holzkämper, 2020; Holzkämper et al., 2015a) and it was found that 564 yield trends differ depending on the choice of varieties assumed to be planted. In our 565 study here, we focus on drought impacts on crop transpiration alone. Subsequent yield 566 formation will be affected by crop transpiration, but also by various other drivers (e.g. 567 temperature & radiation limitations, timing of stresses, heat stress). In order to obtain a 568 clearer view on the impacts of SOC increases on crop transpiration, we elected not to 569 consider the multitude of such interactive effects in the presented study. In future work,

it will be interesting to explore possibilities to further increase the benefits of SOC
additions by combining that strategy with other adaptations of crop and soil management
(e.g. earlier maturing varieties, cover cropping, mulching of soil to reduce evaporation).
In this context, it will be advisable to also account for a dynamic development of
phenology and thus leaf area index to account for possible interactions between crop
growth and soil moisture conditions.

576 While our study focused solely on the impacts of SOC additions on soil water 577 dynamics, SOC increases could have additional benefits for crop productivity and yield 578 stability by feeding and supporting beneficial microbial communities in the soil (e.g. 579 rhizobacteria, nitrogen-fixing bacteria, and mycorrhizal fungi), which increase the crops' 580 ability to take up water and nutrients (Coban et al., 2022; Renwick et al., 2021; 581 Kallenbach and Grandy, 2011). Such aspects could be addressed in future field 582 experimental studies. Beyond that, future field- and model-based studies may also 583 evaluate trade-offs or synergies of SOC promoting management strategies with regard to 584 other soil-related ecosystem service indicators such as nitrate leaching, soil loss or runoff 585 generation to provide insights regarding the possibilities to increase the sustainability of 586 agricultural production overall (Bonfante et al., 2019). Alternative modelling approaches 587 considering dynamic changes in soil hydraulic properties could also be applied in the 588 future to investigate the influence of soil structural dynamics on the adaptation benefits 589 of SOC accumulation (e.g. based on Meurer et al. (2020b), Meurer et al. (2020a)), as to 590 our understanding, current models do not facilitate the representation of soil as a 591 temporally variable medium.

593 **7.** Conclusions

594 Our study is the first to investigate the possibilities to reduce Tred_{dry}, an indicator 595 of drought stress, in maize cultivated in the Swiss Central Plateau through increasing SOC 596 in the top- and subsoil. Our simulations showed that Tred_{drv} in maize is expected to 597 increase with climate change in the Swiss Central Plateau region, by around 60-65 mm 598 irrespective of SOC increase. Increasing SOC in a typical agricultural soil in Switzerland, 599 however, is beneficial to reduce drought limitations in maize, showed by consistently 600 positive average transpiration gains. These benefits are minimal if SOC is only increased 601 in the top 25 cm, but become considerable if SOC is increased down to 65- or 135 cm 602 depth. With a 2% addition of SOC down to 65cm depth, a considerable average 603 transpiration gain of 40 mm can be reached. This scenario can be achievable considering 604 management adaptations such as cover cropping or compost applications. It appears that 605 a greater or deeper SOC addition would not return substantial extra benefits in terms of 606 offsetting more crop drought stress rooting in the changing climate.

607

608 8. Author contribution

Conceptualization^{1,3}, Data curation¹, Formal analysis^{1,3}, Funding acquisition³,
Investigation^{1,2,3}, Methodology^{1,3}, Resources³, Software¹, Visualization¹, Writing –
original draft preparation^{1,3}, Writing – review & editing^{1,2,3}.

612 1 MET; 2 AN; 3 AH

613 9. Competing interests

614 The authors declare that they have no conflict of interest.

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