

# A model of the within-population variability of budburst in forest trees

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**Abstract.** Spring phenology is a key indicator of temperate and boreal ecosystems' response to climate change. To date, most phenological studies have analyzed the mean date of budburst in tree populations while overlooking the large variability of budburst among individual trees. The consequences of neglecting the within-population variability (WPV) of budburst when projecting the dynamics of tree communities are unknown. Here, we develop the first model designed to simulate the WPV of budburst in tree populations. We calibrated and evaluated the model on 48,442 budburst observations collected between 2000 and 2022 in three major temperate deciduous trees, namely, hornbeam (*Carpinus betulus*), oak (*Quercus petraea*) and chestnut (*Castanea sativa*). **The WPV model received support for all three species, with a root mean square error of  $5.7 \pm 0.5$  days for the prediction of unknown data.** Retrospective simulations over 1961-2022 indicated earlier budburst as a consequence of ongoing climate warming. However, simulations revealed no significant change for the duration of budburst (DurBB, i.e., the time interval from BP20 to BP80, which respectively represent the date when 20% and 80% of trees in a population have reached budburst), due to a lack of significant temperature increase during DurBB in the past. This work can serve as a basis for the development of models targeting intra-population variability of other functional traits, which is of increasing interest in the context of climate change.

Keywords: budburst variability; model; temperate trees; climate warming; budburst duration; population.

## 1. Introduction

Phenology, as the study of recurrent biological events such as budburst in spring, has attracted increasing attention due to climate warming (Piao et al., 2019). The timing of leaf phenology in spring is a major indicator of climate warming (Parmesan and Yohe, 2003) and is mainly modulated by temperature (Menzel et al., 2006; Zhang et al., 2022; Zhang et al., 2021; Chen et al., 2018; Vitasse et al., 2009a), photoperiod (Delpierre et al., 2016; Fu et al., 2019; Vitasse and Basler, 2013; Meng et al., 2021) and soil moisture (Liu et al., 2022; Luo et al., 2021). In the northern hemisphere, it is well established that spring phenological events have been advanced by climate warming (Walther et al., 2002; Menzel et al., 2006), although this advancement is currently slowing down (Fu et al., 2015; Chen et al.,

36 2019). To date, massive efforts have been made to study the spatiotemporal variability of leaf phenology among tree  
37 populations and across years (Delpierre et al., 2016; Fu et al., 2015; Meng et al., 2021; Chen et al., 2018). However,  
38 the variability of leaf phenology within populations has received little attention to date (Scotti et al., 2016; Delpierre  
39 et al., 2017), which is in line with the general focus of ecological studies on average traits (Violle et al., 2012). This  
40 is intriguing, since the within-population (i.e., tree-to-tree) variability of phenological events is vast and can even be  
41 equivalent to that observed among populations (Delpierre et al., 2017; Vitasse et al., 2009a; Rathgeber et al., 2011).  
42 It typically takes 1 to 4 weeks from the first to the last tree to burst buds in a population (Denechere et al., 2021),  
43 with an average of 19 days (Delpierre et al., 2017). Furthermore, the duration from the first to last tree to burst buds  
44 in a given population varies annually (Denechere et al., 2021).

45 The large within-population variability (WPV) of budburst observed in natural tree populations is considered to  
46 result from their exposure to a large range of fluctuating environmental (e.g., frost) and biotic (e.g., herbivores and  
47 pathogens) selection pressures, which alternatively favor trees that burst buds early or late (Alberto et al., 2011).  
48 From an evolutionary point of view, this phenotypic diversity has an adaptive value at the population scale, because  
49 the environment is likely to change across the lifetime of trees (Petit and Hampe, 2006; Morente-Lopez et al., 2022;  
50 Blanquart et al., 2013). For instance, if a local climate becomes suitable in early spring under climate warming, trees  
51 that burst buds early will benefit from an extended growing season, thus maximizing their carbon assimilation and  
52 possibly their biomass production (Zohner et al., 2020; Delpierre et al., 2009; Richardson et al., 2010), which will  
53 allow them to gradually occupy a dominant position in the population. Moreover, early budburst enables trees to  
54 escape pathogens (e.g., for oak, see Dantec et al., 2015). On the contrary, if freezing events occur frequently in early  
55 spring with the advance of budburst, late trees can grow better by avoiding freezing injury (Delpierre et al., 2017;  
56 Zohner et al., 2020; Puchalka et al., 2016). Moreover, the WPV also affects interactions with competing plants and  
57 herbivores (Hart et al., 2016; Renner and Zohner, 2018).

58 The internal mechanism of the WPV of budburst is probably underpinned by genetic diversity, as evidenced by the  
59 variability of phenological traits among individual trees that experience similar environmental conditions (Bontemps  
60 et al., 2016; Delpierre et al., 2017). This genetic determinism is further reflected in the year-to-year repeatability of  
61 the phenological ranking of individuals within tree populations (Delpierre et al., 2017). In addition to this genetic  
62 determinism, the WPV is also likely influenced by micro-environmental variations such as the unbalanced  
63 distribution of soil-water content within populations, edaphic conditions, or microtopography (Delpierre et al., 2017;  
64 Denechere et al., 2021; Scotti et al., 2016). To the best of our knowledge, the question of whether and to what extent  
65 would the WPV of budburst be modified in the current context of climate change has not been addressed so far.

66 Phenological research has made extensive use of modeling to study the response of the spatiotemporal variability of  
67 budburst to climate warming (Zhang et al., 2022; Meng et al., 2021; Delpierre et al., 2009; Chuine and Regniere,  
68 2017). The models postulate that temperature and photoperiod are the main environmental cues that trigger budburst  
69 in boreal and temperate (Delpierre et al., 2009; Kramer, 1994; Hänninen and Kramer, 2007), subtropical (Zhang et  
70 al., 2022; Du et al., 2019), and tropical trees (Chen et al., 2017). In process-based models for spring phenology, the  
71 effects of environmental factors (mainly air temperature) on budburst are quantified (Zhang et al., 2022; Hänninen,

72 2016; Jewaria et al., 2021). Firstly, dormancy state of buds reached in the previous autumn is released due to  
73 exposure to low temperature, that is, removing the growth-arresting physiological factors in the bud (the chilling  
74 requirement of dormancy release). Secondly, when dormancy is relieved to a certain extent, high temperatures drive  
75 the process of ontogenetic development, that is, visible bud elongation and swelling that results in budburst (forcing  
76 requirement of ontogenetic growth). Meanwhile, there is an interaction between these two stages in the models,  
77 namely, ontogenetic growth is influenced by dormancy release (Hänninen, 2016; Hänninen and Kramer, 2007; Vegis,  
78 1964). Lundell et al. (2020) further proved that this interaction can be affected by prevailing temperatures. One  
79 important point is that these models do not pay attention to the WPV of phenological traits. They have been  
80 parameterized and applied to predict the mean or median date of budburst in a given tree population (Lundell et al.,  
81 2020; Kramer, 1994; Zhang et al., 2022). In other words, these models simulate the timing of budburst as a discrete  
82 event in the population without considering the WPV of leaf phenology. To the best of our knowledge, only two  
83 studies to date, notably (Rousi and Heinonen, 2007) in Birch (*Betula pendula*) and (Langvall et al., 2001) in Norway  
84 spruce (*Picea abies* (L.) Karst.), have attempted to establish a link between WPV and environmental conditions  
85 through the temperature sum required for the opening of buds at the scale of individual trees. At the scale of tree  
86 populations, a distribution of temperature sums to budburst was also used in the so-called physio-demo-genetic  
87 (PDG) models (Kramer et al., 2008; Oddou-Muratorio and Davi, 2014) to simulate the adaptive potential of tree  
88 populations. However, a systematic model for the WPV of budburst is still lacking.

89 Here we developed a model that simulates the WPV of budburst in temperate deciduous trees. We calibrated and  
90 validated the model over an extensive budburst dataset acquired from five tree populations at the individual tree scale  
91 over 23 years (representing 48,442 observations). Specially, we aim to 1) develop the WPV model and validate its  
92 ability for predicting the progress of budburst in tree populations, 2) use the model to in a retrospective simulation  
93 exercise testing whether the duration of budburst period in the population changed with climate warming in the  
94 recent decades.

## 95 **2. Materials and Methods**

### 96 **2.1 Study sites**

97 We used budburst data collected from two forests located near Paris (France): Barbeau (48.476° N, 2.780° E, 95 m  
98 asl) and Orsay (48.705° N, 2.167° E, 105 m asl). At these sites, the progress of budburst was observed at the  
99 individual scale in populations of three major temperate deciduous tree species, namely, hornbeam (*Carpinus betulus*  
100 L.), oak (*Quercus petraea* (Matt.) Liebl) and chestnut (*Castanea sativa* Mill.). Hornbeam is an early leafing tree  
101 species, chestnut is a late species while oak is intermediate. Hornbeam and oak are present in both forests, while  
102 chestnut is present in Orsay only (Table 1). For each species, we focused on healthy and dominant trees, except for  
103 hornbeam (an understory species). We collected budburst observations from 2000 to 2022, which yielded a dataset  
104 comprising 5 populations and 103 population-years. In each population, we observed between 28 and 309 individuals  
105 (mean 90) (Table 1).

106

107

108 **Table 1. Description of the phenological and meteorological datasets.**

Phenology Site	Coordinate	Meteorological station	Coordinate	Species	Number of year	Number of data	Number of trees (min / max / average)	Observation years
Orsay	48.705° N, 2.165° E	Gometz-le- Châtel	48.677° N, 2.136° E	<i>Quercus</i>	23	153	29/190/85	2000-2022
				<i>Carpinus</i>	20	124	29/146/50	2002-2006, 2008- 2022
				<i>Castanea</i>	21	112	29/192/80	2000-2007, 2010- 2022
Barbeau	48.476° N, 2.780° E	Châtelet-en- Brie	48.491° N, 2.802° E	<i>Quercus</i>	20	87	29/309/154	2003-2022
				<i>Carpinus</i>	19	64	28/241/114	2004-2022

109

## 110 2.2 Phenology dataset

111 A team of eight local observers (including most of the authors of this paper) conducted the observations of  
 112 developing buds in the tree crowns throughout spring. The observers used binoculars and occasionally received  
 113 training in order to reduce observer bias (Liu et al., 2021). The interval between phenological observations was of 4  
 114 days on average (from 2 to 7 days). A tree was considered to have burst its buds when at least 50% of the buds in the  
 115 upper third of the crown presented leaves that extended beyond the tip of the scales, which corresponded to stage  
 116 BBCH 9 (Meier, 1997). At each observation date, we calculated the percentage of trees that had reached budburst in  
 117 the tree population, dividing the number of trees at BBCH 9 by the total number of trees observed on that date and  
 118 multiplying the result by 100.

## 119 2.3 Temperature data

120 We obtained the mean daily temperature data from the meteorological station nearest to the study sites (Table 1).  
 121 However, there were missing values in the temperature data collected from the stations, especially before 1970. To  
 122 fill these gaps and predict the missing data in order simulate budburst in previous years, we used the SAFRAN  
 123 reanalysis data (grid-resolution of 8\*8 km<sup>2</sup>) (Vidal et al., 2010), which we de-biased by establishing a linear  
 124 regression between the local and corresponding SAFRAN temperature data from September of previous year to June.

## 125 2.4 Model description

126 We introduce a novel model, named the within-population variability (WPV) model, which was constructed to  
 127 predict the progress of budburst in tree populations (i.e., percentage of trees having burst buds at a given date in a  
 128 tree population). We hypothesized that the difference between individuals in the population was reflected in the  
 129 difference of the forcing accumulation requirement ( $F^*$ ).

130 We built the WPV model by modifying a state-of-the-art process-based model that simulated a discrete budburst  
 131 event (i.e., budburst of an individual plant or mean budburst date in a tree population) (Lundell et al., 2020). In short,  
 132 the model represents the release of endodormancy through the accumulation of “chilling” temperatures and simulates  
 133 the ontogenetic growth of buds through the accumulation of “forcing” temperatures. One particularity of the model is  
 134 that ontogenetic growth is regulated by the state of rest break and the prevailing temperature (Lundell et al., 2020;  
 135 Hänninen, 1990; Hänninen and Kramer, 2007; Vegis, 1964). The ontogenetic competence,  $Co$  (a dimensionless [0, 1]

136 multiplier), is applied to represent this regulation (Lundell et al., 2020; Hänninen and Kramer, 2007; Hänninen,  
 137 2016). In the model, budburst is considered to occur at date  $t$  when a given sum of the forcing temperature is reached  
 138 such that  $F(t) \geq F^*$ . In the WPV model, we assumed that  $F^*$  followed a normal distribution at the level of the tree  
 139 population (see Fig. S1 for a flow chart of the model). At each date  $t$ , the model simulates the proportion of the  
 140 population (BP, for budburst percent) that has fulfilled the forcing accumulation requirement:

$$141 \quad F^* = (\mu, \sigma^2) \quad \text{eq.1}$$

$$142 \quad BP(t) = 0.5 \times (1 + \text{erf}(\frac{F(t)-\mu}{\sigma \times \text{sqrt}(2)})) \times 100 \quad \text{eq.2}$$

143  
 144 Where  $F(t)$  is the forcing degree-day accumulation reached on day  $t$ ,  $\mu$  is the mean of normal distribution,  $\sigma$  is the  
 145 standard deviation of normal distribution, and  $\text{erf}$  is the Gaussian error function.  
 146

147 The forcing accumulation  $F(t)$  is calculated as the integral of a “forcing rate” as follows:

$$148 \quad F(t) = \sum_{d=270}^t Rf_{act} \quad \text{eq.3}$$

149 Where  $d$  is the start date of forcing accumulation ( $d = \text{DoY } 270$  in the previous year). In this model, the stage of  
 150 dormancy release and the stage of ontogenetic growth can occur simultaneously (i.e., the model belongs to the  
 151 “parallel” model category) (Hänninen, 2016; Chuine and Regnier, 2017). However, the forcing rate  $Rf_{act}$ , which is  
 152 the actual rate of ontogenetic growth, is affected by both temperatures and ontogenetic competence ( $Co$ ). It is  
 153 calculated as follows:

$$154 \quad Rf_{act}(t) = Rf(t) * Co(t) \quad \text{eq. 4}$$

155 Where  $Rf(t)$  is the potential rate of ontogenetic growth on day  $t$ , and  $Co$  is the ontogenetic competence on day  $t$ ;  
 156 these two variables are calculated as follows:

$$157 \quad Rf(t) = \begin{cases} 0, & T(t) < T_b \\ T(t) - T_b, & T(t) \geq T_b \end{cases} \quad \text{eq. 5}$$

158 Where  $T(t)$  is the daily mean air temperature on day  $t$  and  $T_b$  is the temperature threshold ( $^{\circ}\text{C}$ ) above which forcing  
 159 accumulation occurs.

160 The ontogenetic competence  $Co$  varies over time and is simulated as:

$$161 \quad Co(t) = \max(0; \min(1; g \times T(t) + h + \frac{Sr(t)}{100} * (1 - h))) \quad \text{eq.6}$$

162 Where  $Co(t)$  is the ontogenetic competence on day  $t$ , in the range  $[0, 1]$ , which modulates the effect of the state of  
 163 rest break on the rate of ontogenetic growth (see Fig. S2). When  $Co=0$ , ontogenetic growth is stopped. The ability of  
 164 ontogenetic growth is restored between  $Co=0$  and  $Co=1$  with rest breaking. Finally,  $g$  and  $h$  are parameters (Lundell  
 165 et al., 2020), and  $Sr(t)$  is the state of rest break on day  $t$ , which is calculated as follows:

166 
$$Sr(t) = C_{tot}/C_{cri} \quad \text{eq.7}$$

167 Where  $C_{cri}$  is the chilling requirement for rest completion, and  $C_{tot}$  is the actual accumulation of chilling temperature,  
 168 quantified as the number of chilling units (in chill units C.U.) and calculated from DoY=270 of the previous year up  
 169 to day  $t$  as follows:

170 
$$C_{tot} = \sum_{d=270}^t Rc \quad \text{eq.8}$$

171 Where the daily rate of chilling accumulation ( $Rc$ ) is calculated as follows:

172 
$$Rc = \begin{cases} 1, & T(t) < T_c \\ 0, & T(t) \geq T_c \end{cases} \quad \text{eq.9}$$

173 Where  $T_c$  is the temperature threshold ( $^{\circ}\text{C}$ ) below which chilling accumulation occurs.

## 174 2.5 Parameter estimation

175 We calibrated the model using budburst data obtained during the period 2000-2016 in Orsay (all three species:  
 176 hornbeam, oak, chestnut) and then validated it using data from 2017-2022 in Orsay (three species) and from 2000-  
 177 2022 in Barbeau (two species: hornbeam and oak). The model was therefore calibrated over 17 years for the three  
 178 species (Orsay populations, representing 52, 71 and 50 observation dates for hornbeam, oak and chestnut,  
 179 respectively) and validated over 29 site-years for hornbeam and oak (representing 89 and 114 observation dates,  
 180 resp.), and 6 years (29 observation dates) for chestnut. A previous study (Vitasse et al., 2009b) provided evidence of  
 181 similar apparent phenological responses to temperature among populations of the same species located as far as 650  
 182 km apart, which also suggests the low differentiation of phenological traits across populations. Orsay and Barbeau  
 183 populations are separated by a distance of 50 km and experience a similar climate. This is why we used the Barbeau  
 184 data as a validation counterpart to the Orsay data used for calibration. The model predicts the percentage of budburst  
 185 in the population (from 0% to 100% budburst) along with the corresponding date. Thus, we calculated the root mean  
 186 square error (RMSE) over two dimensions (Fig. S3). First, we calculated RMSE over the percentage of budburst in  
 187 the tree population (i.e., comparing the difference between the observed and predicted budburst percent occurring on  
 188 the same day of the year, DoY).

189 
$$RMSE_{BP} = \sqrt{\frac{\sum_{i=1}^n (\sqrt{num} \times (BP_{obs,i} - BP_{pred,i})^2)}{\sum_{i=1}^n \sqrt{num}}} \quad \text{eq.10}$$

190 Where  $RMSE_{BP}$  is the root mean square error for budburst percent (expressed in percent),  $num$  is the number of trees  
 191 observed on a given day of the year,  $BP_{obs,i}$  is the observed percentage of budburst of datum  $i$ ,  $BP_{pred,i}$  is the predicted  
 192 percentage of budburst of same datum, and  $n$  is the total number of data (e.g.,  $n=50$  in a hypothetic case where the  
 193 percentage of budburst has been observed five times per year on average over 10 years in a given population). We  
 194 used  $\sqrt{num}$  as a weight in the calculation of squared errors to compensate for the fact that a very large number of  
 195 trees (i.e.,  $>300$  trees) were observed at some dates: these observations are more representative of the actual  
 196 percentage of budburst in the population (as compared to observations established for a smaller number of trees),  
 197 although they also tend to overrepresent them in the calculation of errors.

198 We then calculated the RMSE of dates (i.e., comparing the difference, in number of days, between the observations  
 199 and predictions for the same percentage of budburst; Fig. S3).

$$200 \quad RMSE_{DoY} = \sqrt{\frac{\sum_{i=1}^n (\sqrt{num} \times (DoY_{obs,i} - DoY_{pred,i}))^2}{\sum_{i=1}^n \sqrt{num}}} \quad \text{eq.11}$$

201 Where  $RMSE_{DoY}$  is the root mean square error for the budburst date (in days),  $num$  is the number of trees observed,  
 202  $DoY_{obs,i}$  is the observed date of budburst of datum  $i$  (e.g., the date when we observed 24% budburst for the  
 203 population of interest in a given year),  $DoY_{pred,i}$  is the predicted date of budburst of the same datum (e.g., the date  
 204 when the model predicted 24% budburst in the same tree population and year), and  $n$  is the total number of data.

205 Finally, we calculated the total RMSE as follows:

$$206 \quad RMSE_{tot} = \frac{RMSE_{BP}}{INT_{BP}} + \frac{RMSE_{DoY}}{INT_{DoY}} \quad \text{eq.12}$$

207 Where  $INT_{BP}$  and  $INT_{DoY}$  are the average intervals between consecutive observations of budburst percent and days,  
 208 respectively, which are calculated based on observation data (Table S1).

209 We used  $RMSE_{tot}$  (unitless) as an aggregate, multi-objective cost function (similar to, e.g., Keenan et al., 2011)  
 210 during the calibration procedure. In the definition of  $RMSE_{tot}$  (eq. 12), we divided the individual objectives of the  
 211 cost function (i.e.,  $RMSE_{BP}$  and  $RMSE_{DoY}$ ) by the average intervals between consecutive observations ( $INT_{BP}$  and  
 212  $INT_{DoY}$ ) in order to scale them and make them contribute similarly to the optimization problem. The values of  $INT_{BP}$   
 213 and  $INT_{DoY}$  measure the actual resolution of the observation data, and are thus the best achievable values in the  
 214 optimization procedure. We used the function *optim* to calibrate the model parameters with R statistical software  
 215 v.4.0.3 (R Development Core Team, 2020). In order to ensure that the *optim* algorithm reached the global minimum  
 216 of the cost function, we ran it 768 times for each calibration, starting from different, random combinations of initial  
 217 parameters, which appear in Table S2, and retained the parameter set yielding the overall lowest  $RMSE_{tot}$ . One  
 218 possible issue with aggregate multi-objective cost functions such as eq. 12 is that the same minimum  $RMSE_{tot}$  can be  
 219 achieved with multiple combinations of  $RMSE_{BP}/INT_{BP}$  and  $RMSE_{DoY}/INT_{DoY}$ . In order to evaluate this, we produced  
 220 a figure to show the relation between  $RMSE_{tot}$  and  $RMSE_{BP}/INT_{BP}$  or  $RMSE_{DoY}/INT_{DoY}$  (Fig. S4). One can see that  
 221  $RMSE_{tot}$  was mostly influenced by  $RMSE_{DoY}/INT_{DoY}$ , with  $RMSE_{BP}/INT_{BP}$  playing a secondary role. In addition to  
 222 RMSE, we used mean bias error and the correlation coefficient ( $r$ ) and  $p$  value to evaluate the model forecast  
 223 accuracy (in terms of budburst percentage or days), which are calculated as follows:

$$224 \quad \text{mean bias} = \frac{1}{N} \sum_{i=1}^N (obs_i - pred_i) \quad \text{eq.13}$$

225 Where  $obs_i$  and  $pred_i$  are the  $i^{\text{th}}$  observation and prediction, respectively,  $N$  is the number of observations.

$$226 \quad r = \frac{\sum_{i=1}^N (obs_i - obs_{mean})(pred_i - pred_{mean})}{\sqrt{\sum_{i=1}^N (obs_i - obs_{mean})^2} \sqrt{\sum_{i=1}^N (pred_i - pred_{mean})^2}}$$

227 Where  $obs_{mean}$  and  $pred_{mean}$  are the mean of observation and prediction, respectively.

228 **2.6 Evaluating the modelled  $F^*$  distributions**

229 To validate the modelled  $F^*$  distribution, we simulated the distribution of the forcing accumulation at the date of  
230 each BP observation. Because there are different observed BP in each year. We binned the observed BP data into 11  
231 groups (i.e., BP0, BP10, BP20...BP100, e.g., we regard the data between BP5 (date at which 5% trees burst buds) to  
232 BP15 (date at which 15% trees burst buds) as group “BP10”; note that BP0 refers to dates at which 5% or less trees  
233 have burst buds, and BP100 refers to dates at which 95% or more trees have burst buds). Then we used a sigmoid  
234 function to simulate the relation between BP and averaged corresponding forcing accumulation across all the years.  
235 We also calculated their first derivatives (i.e., the increasing of BP per unit of forcing accumulation). Moreover, we  
236 calculated the distribution of observed BP across all the years.

237 **2.7 Evaluating the response of the within-population variability of budburst to climate warming**

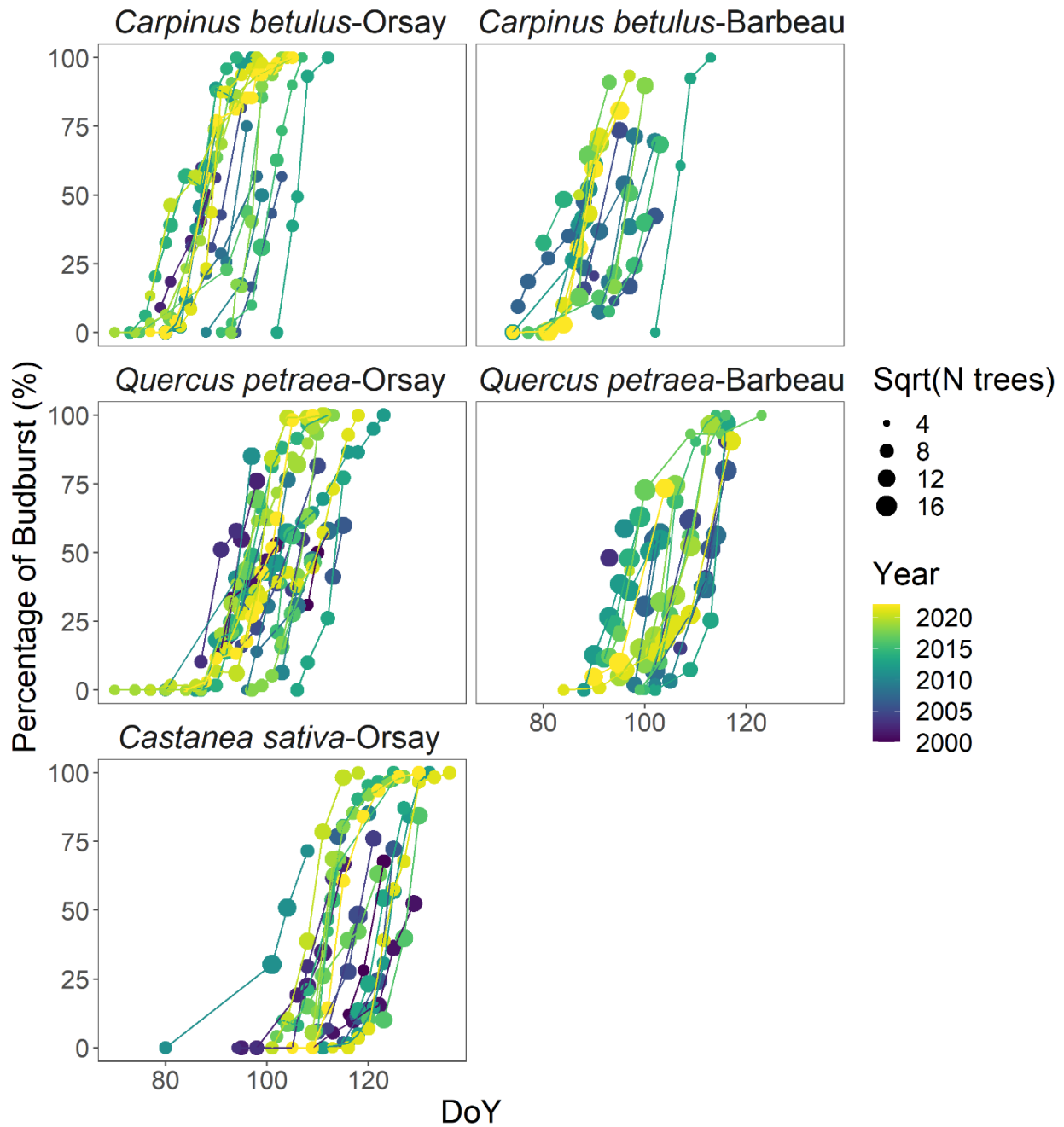
238 We used our model to predict budburst in the past (1961-2022) using historical daily mean temperature data and gap-  
239 filled data using debiased SAFRAN reanalysis of temperatures (see above).

240 As explained earlier, our model simulates the percentage of budburst in a tree population at a given date. To evaluate  
241 the response of the WPV of budburst to climate warming, we focused on the particular dates at which 20% and 80%  
242 of trees in a given population had reached budburst (termed BP20 and BP80, respectively) and the duration between  
243 these two dates (DurBB = BP80-BP20), which we consider to represent the variability of budburst within the  
244 population for a given year. BP20 represents the “beginning” of budburst in the tree population, whereas BP80  
245 represents its “end.” We chose these quantiles instead of more extreme quantiles of distribution (e.g., 5% and 95%),  
246 because they are well represented in our dataset (Fig. 1), thus implying higher model accuracy. For sake of model  
247 evaluation, we calculated the DurBB in observed phenology data. Specifically, we selected years which had records  
248 before BP20 and after BP80. Then the date of BP20 or BP80 were calculated by using the nearest two data (one is  
249 below BP20 or BP80, another is above BP20 or BP80) through interpolation (e.g., 15 % budburst percent is on DoY  
250 80 and 25 % budburst percent is on DoY 84. We can obtain the date of BP20 by interpolation, that is DoY 82).

251 **2.8 Statistical analyses**

252 For each population, we quantified by linear regression the sensitivity of budburst date (BP20 and BP80) and the  
253 DurBB to time (days year<sup>-1</sup>) and to Jan-May temperature (days °C<sup>-1</sup>). Analysis of Variance (ANOVA) was used to  
254 analysis whether the significance of the regression slopes ( $\alpha=0.05$ ). All simulations and statistical analyses were  
255 carried out with R statistical software v.4.0.3 (R Development Core Team, 2020).





256

257 **Fig. 1. Observed percentage of budburst in five tree populations during the period 2000-2022. The size of the points is**  
 258 **scaled with the square root of the number of trees observed. The lines connect the dates of the same year.**

259 **3. Results**

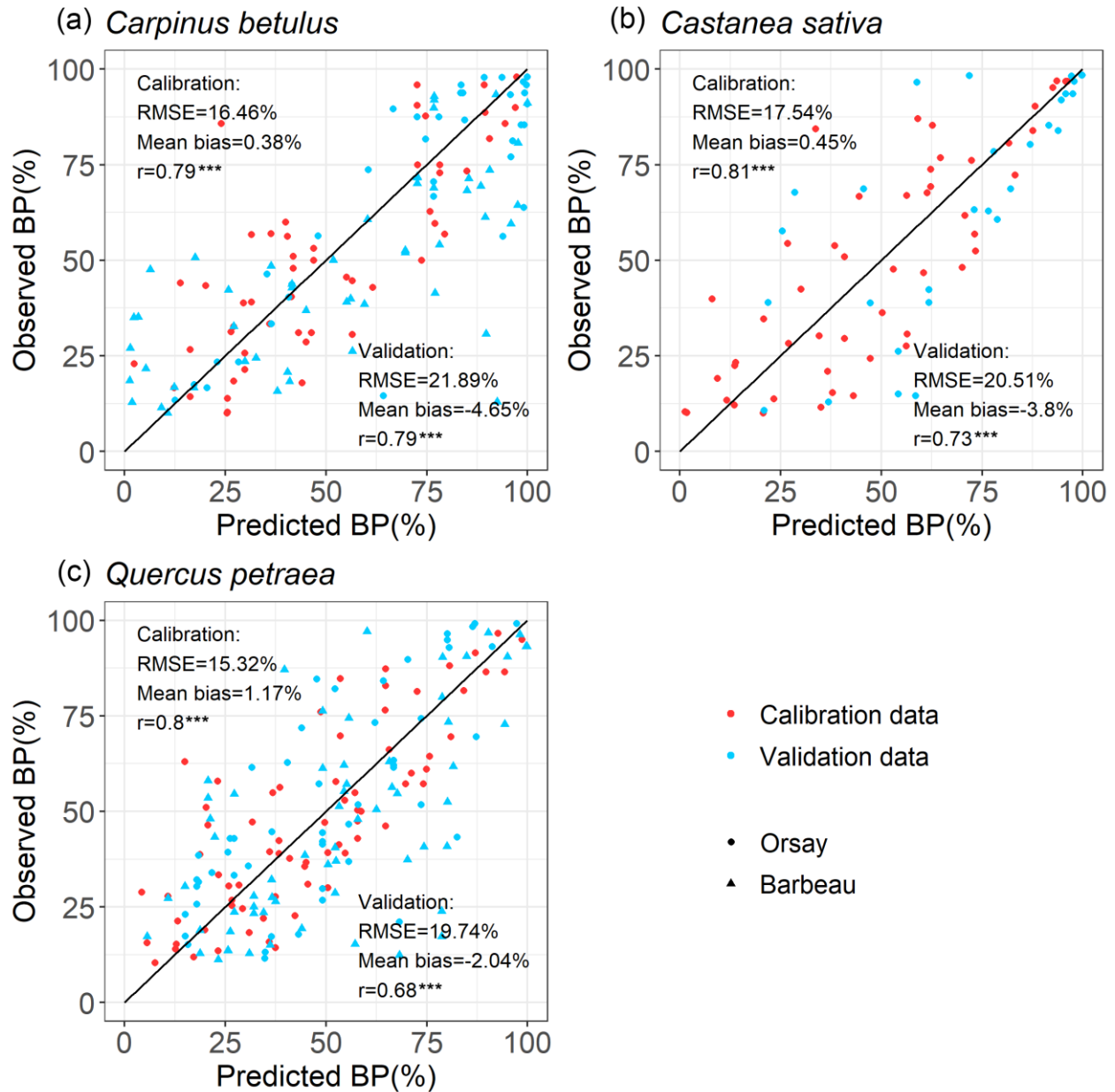
260 **3.1 Phenological observations**

261 Figure 1 shows the observed percentages of budburst in the five tree populations monitored from 2000 to 2022.  
 262 These percentage data were established based on 48,442 observations of budburst collected from individual trees.

263 Among the species, hornbeam was the earliest to reach budburst, typically over DoY 70-100, followed by oak over  
264 DoY 90-110, and finally, chestnut over DoY 100-130. The budburst dates of the oak and hornbeam populations at  
265 Barbeau and Orsay were very close, with average differences of 2 and 1 days (Table S3). The duration of budburst in  
266 the population (DurBB) (i.e., time interval, in days, during which the proportion of trees having reached budburst  
267 increases from 20% to 80%) differs for each species depending on the site and year, with a mean of 8 days over the  
268 whole dataset and ranging from 3 days for hornbeam at Orsay in 2018 and 2021 to 21 days for oak at Orsay in 2012  
269 (Fig.1).

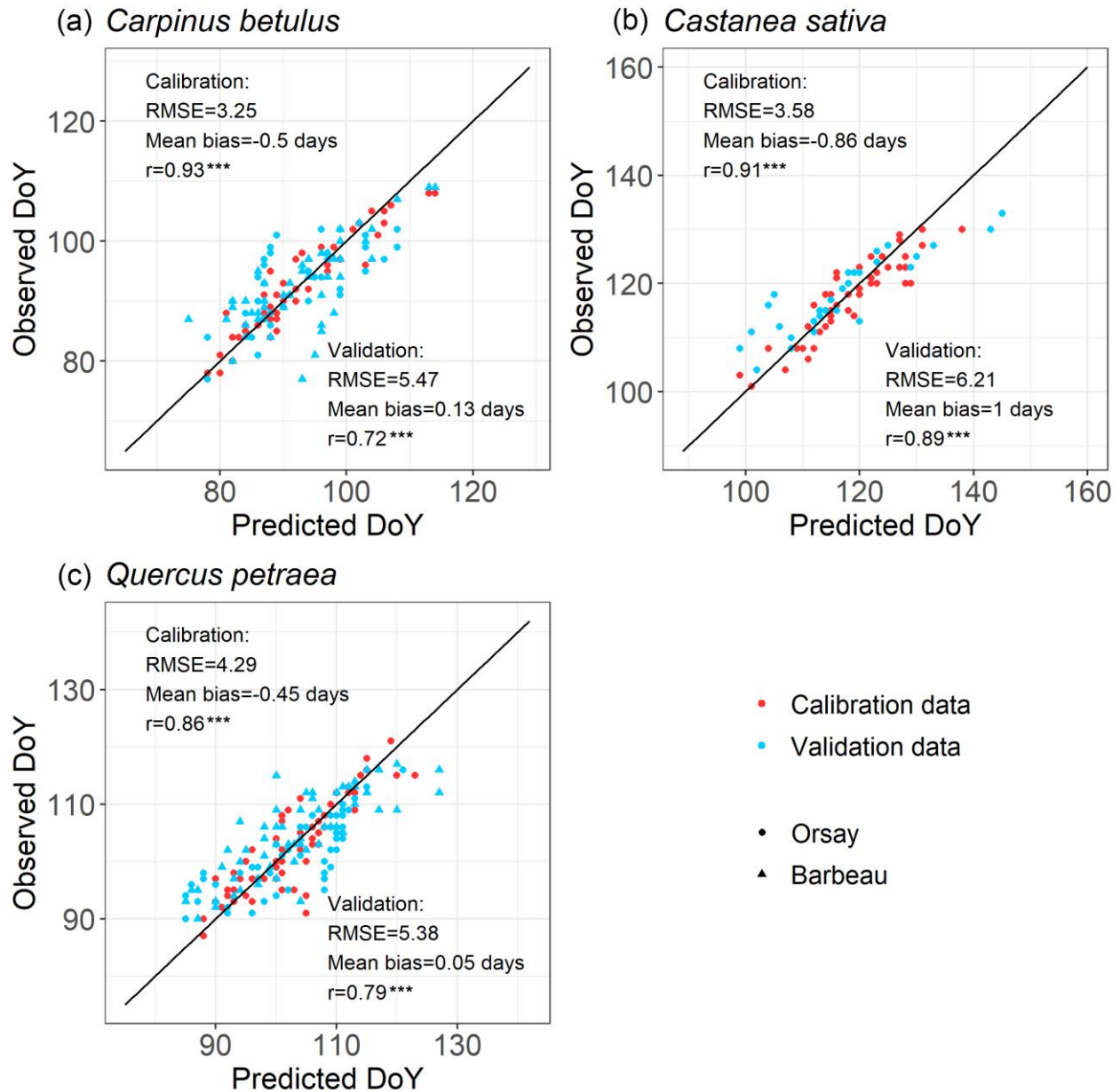
### 270 **3.2 Model performance**

271 For all the populations considered here, the WPV model predicted with good accuracy the progress of budburst in  
272 tree populations during spring as well as the interannual variability of budburst (Fig. 2, Fig. 3; see Fig. S5 for a  
273 comparison of observed and simulated time series). **The model predicted the percentage of budburst in tree**  
274 **populations with an error ( $RMSE_{BP}$ ) of  $16.4\% \pm 1.1\%$  for the calibration dataset (correlation coefficient of**  
275 **predictions vs. observations:  $0.80 \pm 0.01$ ,  $P < 0.001$ ) and  $20.7\% \pm 1.1\%$  for the validation dataset (correlation:**  
276  **$0.73 \pm 0.06$ ,  $P < 0.001$ ). This corresponded to prediction errors for the date of budburst ( $RMSE_{DOY}$ ) of  $3.7 \pm 0.5$  days**  
277 **for the calibration dataset (correlation:  $0.90 \pm 0.04$ ,  $P < 0.001$ ) and  $5.7 \pm 0.5$  days for the validation dataset**  
278 **(correlation:  $0.80 \pm 0.09$ ,  $P < 0.001$ ). This compared well to the time resolution of the phenological observations (2-7**  
279 **days). The mean bias was less than 1 day (Fig. 3).**



280

281 **Fig. 2. Evaluation of the within-population variability (WPV) model predicting the budburst percentage over calibration**  
 282 **(red points) and validation (blue points) data. The points of circle are observed in Orsay and of triangle are observed in**  
 283 **Barbeau. The points establish the correspondence between the observed and predicted percentage of budburst on an**  
 284 **observation day in the population of interest. The one-to-one relation is shown as the black line. RMSE which is the root**  
 285 **mean square error for the budburst percentage, mean bias and correlation coefficient (r) are shown. There are 52, 71 and**  
 286 **50 points (i.e., observation dates) for calibration and 89, 114, 29 points for validation for hornbeam, oak and chestnut,**  
 287 **respectively. P-values of the correlation coefficients appear as (\*: P<0.05, \*\*: P<0.01, \*\*\*: P<0.001).**



288

289 **Fig. 3. Evaluation of the within-population variability (WPV) model predicting budburst dates over calibration (red points)**  
 290 **and validation (blue points) data. The points of circle are observed in Orsay and of triangle are observed in Barbeau. The**  
 291 **points establish the correspondence between the observed and predicted budburst date on one observation day in the**  
 292 **population of interest. The one-to-one relation is shown as the black line. RMSE which is root mean square error for the**  
 293 **budburst date, mean bias and correlation coefficient (r) are shown. There are 52, 71 and 50 points (i.e., observation dates)**  
 294 **for calibration and 89, 114, 29 points for validation for hornbeam, oak and chestnut, respectively. P-values of the**  
 295 **correlation coefficients appear as (\*: P<0.05, \*\*: P<0.01, \*\*\*: P<0.001).**

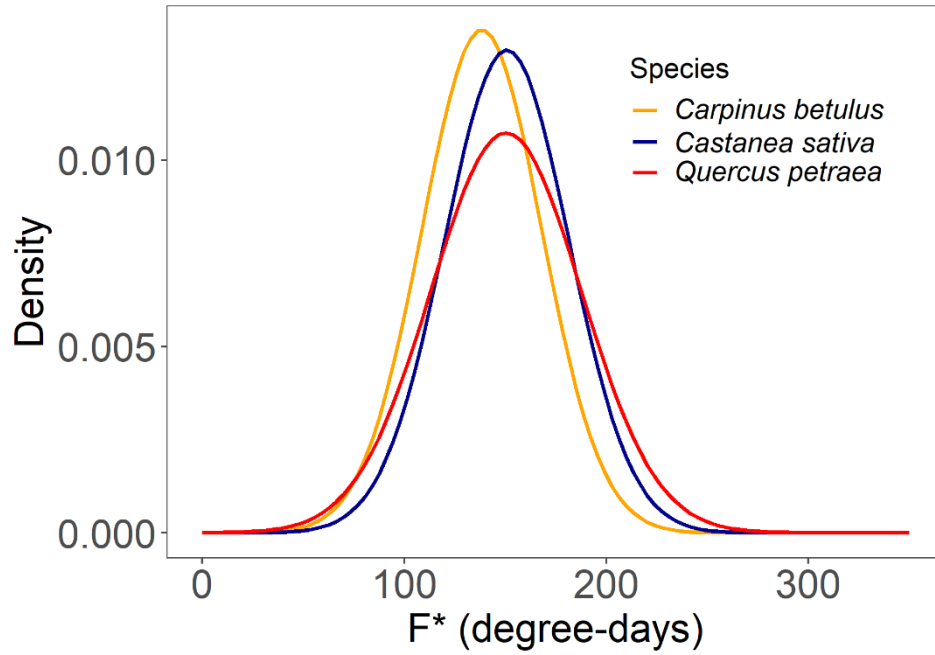
296 **3.3 Parameter variations across species**

297 As mentioned earlier, we assumed that the forcing requirement ( $F^*$ ) followed a normal distribution. The calibration  
 298 procedure yielded a set of distribution curves that differed across species (Fig. 4). **We observed that the distribution**  
 299 **of  $F^*$  looked similar for the three species, with a mean of  $146.5 \pm 7.0$  degree-days (mean  $\pm$  SD across the three**  
 300 **species) and a standard deviation of  $32.5 \pm 4.1$  degree-days, yielding a coefficient of variation of  $0.22 \pm 0.02$ .** (Fig. 4,  
 301 Table 2). The distributions of  $F^*$  compared well to the actual distribution of forcing accumulation established from  
 302 observations (Fig. 5b, e, h), validating the choice of the normal distribution. However, the modelled distribution did  
 303 not overlap exactly the distribution established from observed data, because the distribution of observations along the  
 304 BP scale was uneven (Fig. 5c, f, i). **The temperature threshold for chilling accumulation ( $T_c$ ) ranged from 10.1°C for**  
 305 **chestnut to 10.5°C for hornbeam and oak (Table 2). The temperature threshold for forcing accumulation ( $T_f$ ) ranged**  
 306 **from 3.9°C for hornbeam to 7.0°C for chestnut (Table 2, Fig. S2).** In all species, buds could not begin ontogenetic  
 307 growth until the accumulation of chilling to a certain extent (i.e., parameter h was negative for all populations, Table  
 308 2). **Prevailing temperatures could compensate for the lack of chilling accumulation (positive parameter g; Table 2)**  
 309 **for three species.**

310

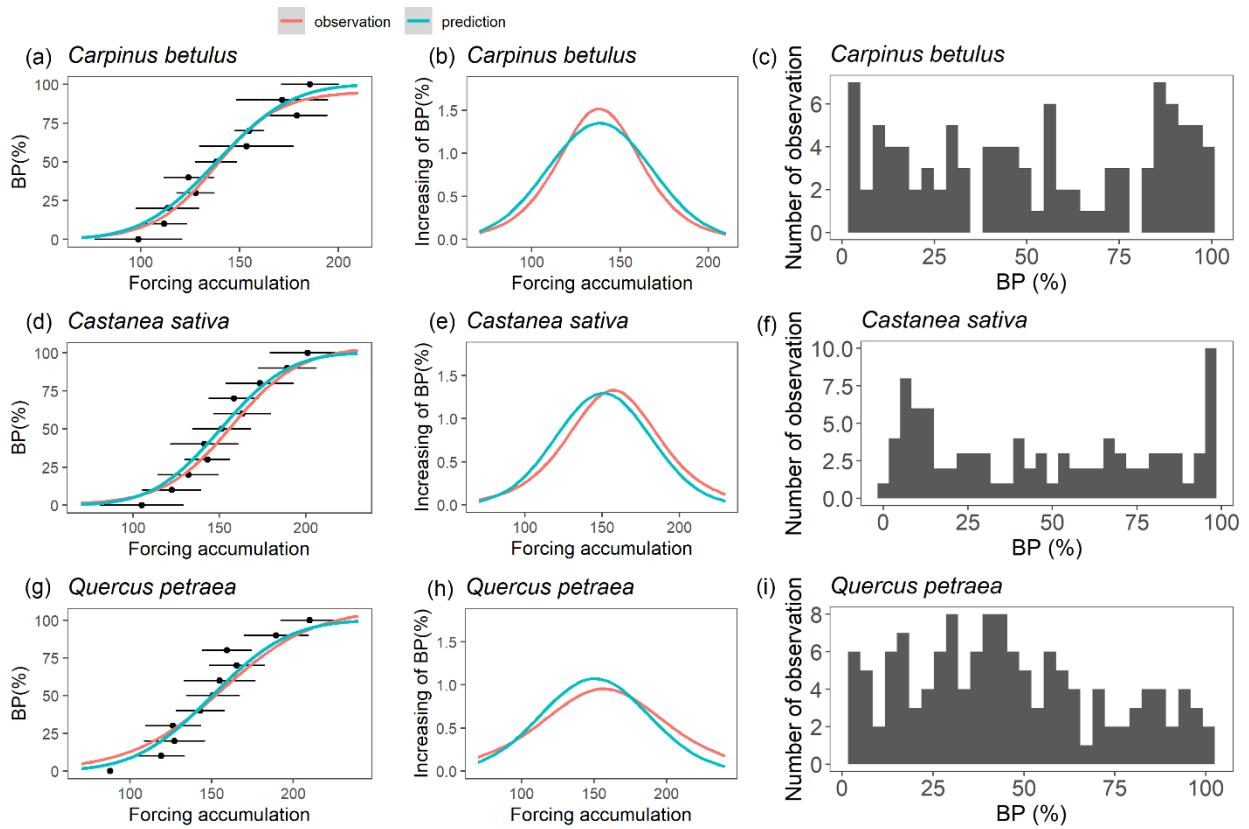
311 **Table 2. Parameter values of the WPV model for three populations.  $\mu$  (°C-days) and  $\sigma$  (°C-days) are the mean and**  
 312 **standard deviation of the distribution of  $F^*$ , respectively (Eqn. 1).  $T_b$  and  $T_c$  (°C) are the threshold temperatures for the**  
 313 **accumulation of forcing and chilling temperatures, respectively (Eqns. 5 and 9).  $g$  (°C<sup>-1</sup>) and  $h$  (dimensionless) are the**  
 314 **parameters determining the interactive effect of the state of rest break and the prevailing air temperature on the**  
 315 **ontogenetic competence (Eqn. 6).  $C_{cri}$  (number of days) is the chilling requirement of rest completion.**

Species	Site	$\mu$	$\sigma$	$T_b$	$T_c$	$g$	$h$	$C_{cri}$
<i>Carpinus</i>	Orsay	138.4	29.6	3.9	10.5	0.0080	-0.98	155.5
<i>Quercus</i>	Orsay	150.4	37.2	5.3	10.5	0.0032	-0.89	153.0
<i>Castanea</i>	Orsay	150.7	30.8	7.0	10.1	0.0108	-1.00	152.4



316

317 **Fig. 4.** Normal distribution of the forcing requirement ( $F^*$ ) for three tree species.

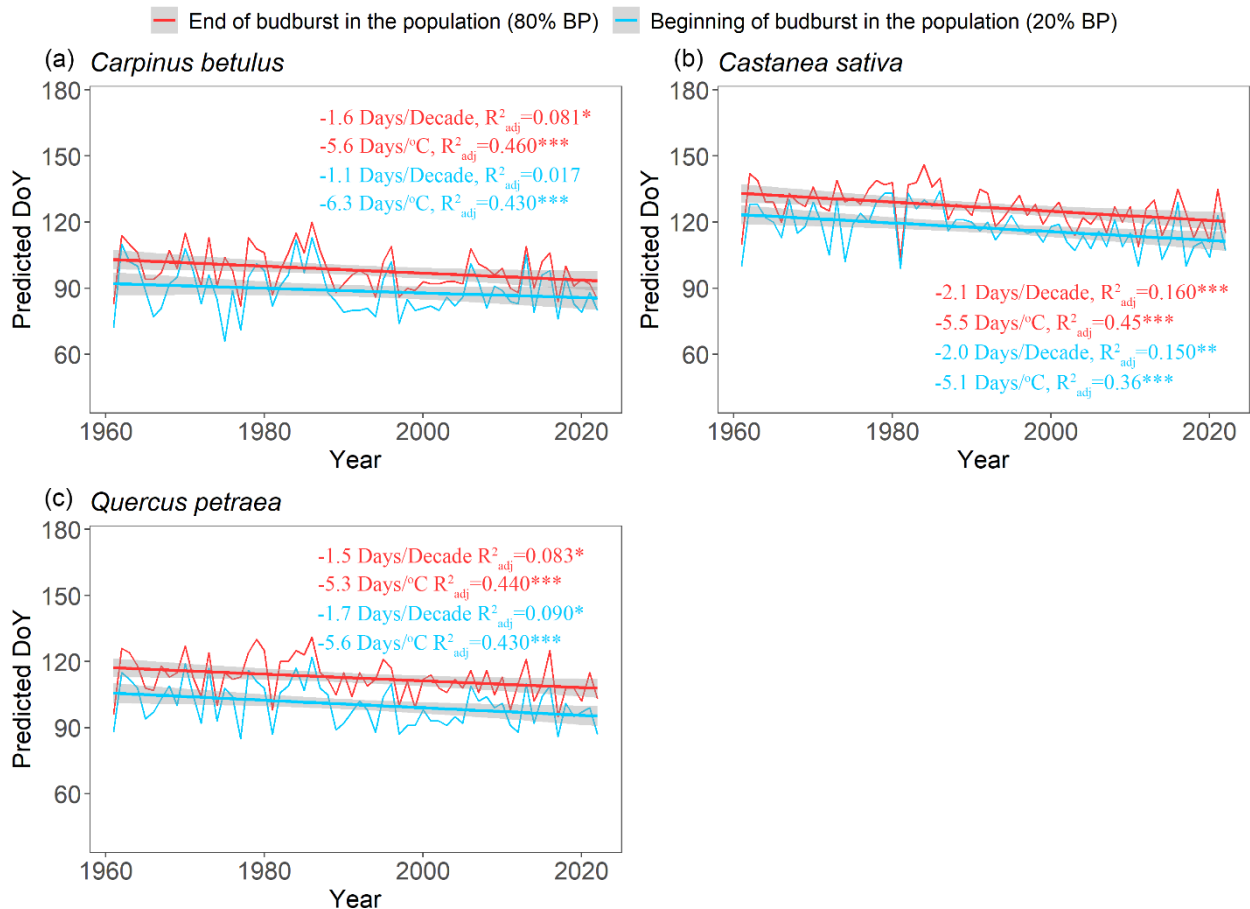


318

319 Fig. 5. Evaluating the modelled F\* distributions. Subplots (a, d and g) represent the relation between budburst percentage  
 320 (BP) and forcing accumulation. The black points and error bars represent the forcing accumulation required to reach a  
 321 given budburst percentage in observed data (average across years  $\pm$  one standard deviation). The red curves represent a  
 322 sigmoid function fitted to the black dots (a, d, g), and its first derivative (b, e, h). The blue curve represents predictions  
 323 based on the parameters in Table 2. Subplots (b, e and h) represent the increasing of BP per unit of forcing accumulation.  
 324 Subplots (c, f and i) show the distribution of observed data points in the budburst dataset.

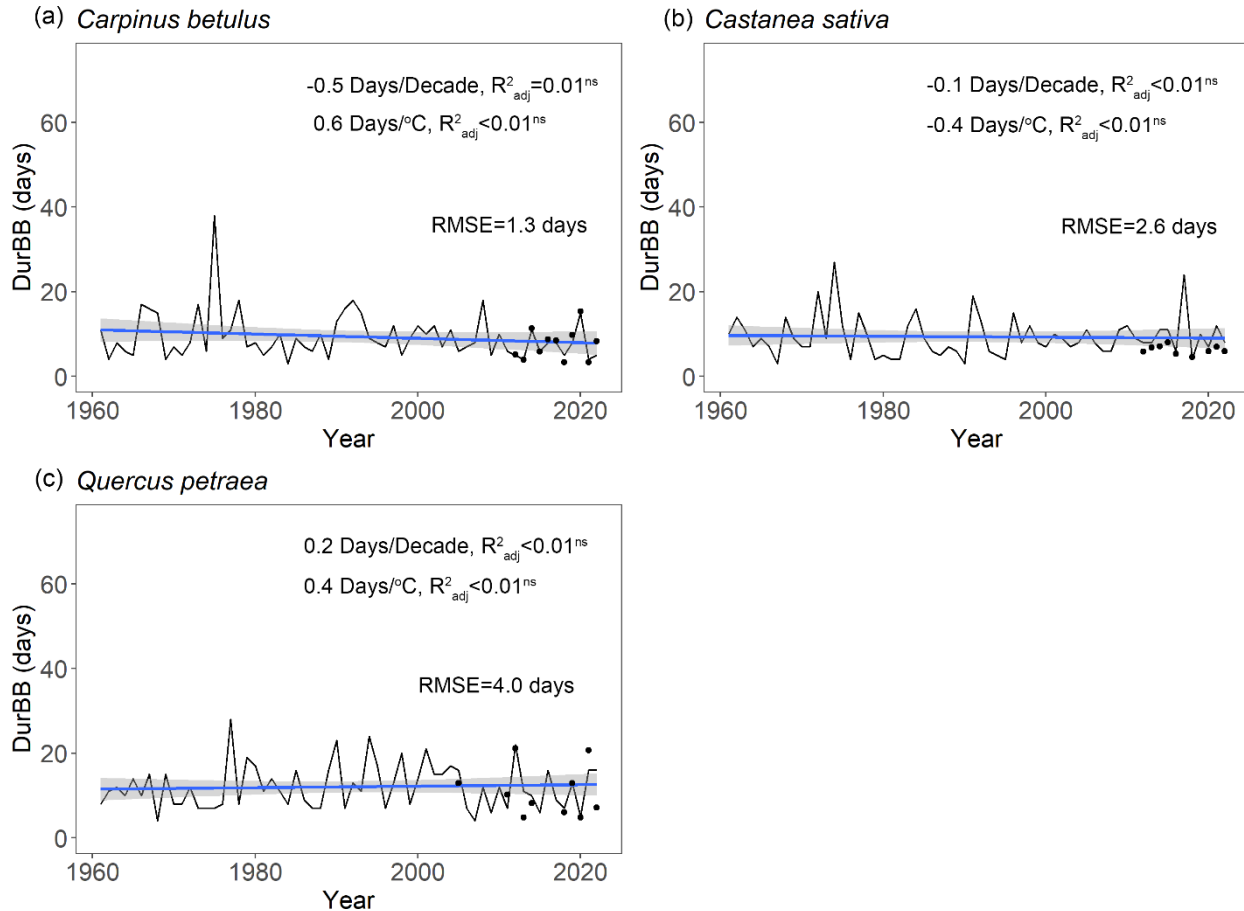
### 325 3.4 Retrospective analysis for within-population variability of budburst

326 Over the past six decades (1961-2022), spring average temperature increased by +1.9°C in Orsay and +1.4°C in  
 327 Barbeau (Fig. S6). Over this time period, our retrospective simulations suggest that the beginning (20%, BP20) and  
 328 end (80%, BP80) of budburst in tree populations has advanced significantly for all the species (Fig. 6), with  
 329 respectively  $1.6 \pm 0.5$  days decade<sup>-1</sup> (mean  $\pm$  SD across species) and  $1.7 \pm 0.3$  days decade<sup>-1</sup> and apparent  
 330 temperature sensitivities of  $5.7 \pm 0.6$  days °C<sup>-1</sup> and  $5.5 \pm 0.2$  days °C<sup>-1</sup>. These similar trends regarding the beginning  
 331 and end of budburst result in an unchanged duration of the budburst period (DurBB in the considered populations  
 332 over the past 62 years (no trend in DurBB is significantly different from zero in Fig. 7, P>0.05). Meanwhile, the  
 333 results about temperature sensitivity were similar which were negative for BP20 and BP80 for all three species based  
 334 on pre-season temperature preceding budburst (Table S4). Notably, the interannual variability of DurBB was large  
 335 (Fig.6), and fairly simulated by our model (RMSE of  $2.6 \pm 1.4$  days).



336

337 Fig. 6. Simulated occurrence of the beginning (20%, BP20 in blue) and end (80%, BP80 in red) of budburst using the  
 338 WPV model for three tree species during the period 1961-2022. The fitted lines highlight the trends over the past 62 years.  
 339 Text in blue (red) shows the sensitivity of BP20 (BP80) to time and mean spring temperature (from January to May),  
 340 respectively. The trends in days/decade and days/°C are displayed on the figure. The sensitivity values are tested by linear  
 341 regression analyses (\*:  $P < 0.05$ , \*\*:  $P < 0.01$ , \*\*\*:  $P < 0.001$ ) and adjusted coefficient of determination ( $R^2_{adj}$ ) is shown.



342  
 343 Fig. 7. Simulated duration of budburst in the population (DurBB) using the WPV model for three tree species during the  
 344 period 1961-2022. The fitted line depicts the change in DurBB over the past 62 years. The sensitivity of DurBB to time and  
 345 mean spring temperature (from January to May) are tested by linear regression analyses (ns:  $P > 0.05$ ) and adjusted  
 346 coefficient of determination ( $R^2_{adj}$ ) is shown. The trends in days/decade and days/°C are displayed on the figure. The black  
 347 points are the actual durations of budburst observed in the data (i.e., restricted to years when both BP20 and BP80 are  
 348 available in a population).

#### 349 4. Discussion

350 To the best of our knowledge, this paper presents the first model simulating the within-population variability of  
 351 budburst in tree populations. An added value of this model is that it can simulate the duration of budburst in tree  
 352 populations. The central hypothesis of the model is that  $F^*$ , the amount of accumulated forcing temperature required  
 353 for trees to budburst, follows a normal distribution in tree populations. The ability of the model to simulate the



354 dynamics of budburst over the calibration and validation data, as well as the good agreement between the observed  
355 and the simulated  $F^*$  distributions (Fig. 5), lend support to this hypothesis for all the species and populations  
356 considered. **Our model yielded RMSE for the validation data (5.4 to 6.2 days)**, which are close to the temporal  
357 resolution of the spring phenology observation (from 2-7 days) and similar to the typical prediction accuracy of  
358 models simulating discrete (i.e., population average) budburst dates (e.g., Basler, 2016).

359 The variability in the timing of budburst among individuals in tree populations is considered to be mainly determined  
360 by genetic diversity (Bontemps et al., 2016; Delpierre et al., 2017; Jarvinen et al., 2003; Rousi and Heinonen, 2007;  
361 Rusanen et al., 2003) followed by the influence of the microenvironment (Delpierre et al., 2017; Rousi and Heinonen,  
362 2007). The phenological ranking of individuals is largely conserved in tree populations (Delpierre et al., 2017),  
363 leading to the identification of “early”, “intermediate” and “late” trees (Malyshev et al., 2022). Further, the  
364 distribution of budburst categories is not uniform in natural tree populations, with numerous “intermediate”  
365 individuals and comparatively fewer “early” and “late” trees (Malyshev et al., 2022; Chesnoiu et al., 2009; Zohner et  
366 al., 2018; Caradonna et al., 2014), which lends further support to a unimodal distribution such as the normal law. Our  
367 data further show the same trees are always early/late within the population with corresponding low/high forcing  
368 accumulation requirements (Fig. S7). Our model reproduces this phenomenon, with categories of “early,”  
369 “intermediate,” and “late” trees corresponding to increasing values of  $F^*$ . This core assumption of the model is  
370 supported by previous empirical studies, which observe that the variability of  $F^*$  could represent the variability of  
371 budburst among trees (Langvall et al., 2001; Rousi and Heinonen, 2007). Nevertheless, we could have chosen to  
372 assign the variance among individuals to one or several other parameters of the model, related to the fact that genetic  
373 variations may affect any of the plant traits determining the modelled parameters. For instance, Gauzere et al. (2019)  
374 found that the temperature yielding mid-forcing during ecodormancy ( $T_{50}$ ) was more sensitive than  $F^*$  in the  
375 UniChill model, which suggests that this parameter is another good candidate for identifying the phenological  
376 behavior of individual trees in a population. Thus, we constructed a model assuming that the threshold for forcing  
377 temperature ( $T_b$ , i.e., parameter of our model analogous to  $T_{50}$ ) followed a normal distribution, whereas  $F^*$  was fitted  
378 as a constant parameter for the population. This model fitted the data less effectively in both the calibration and  
379 validation steps (see Fig. S8 and S9 compared with Fig. 2 and 3), which further supports our decision to assign the  
380 among-individual variance to  $F^*$ . We further tested to assign the among-individual variance to the parameters for  
381 phase of dormancy release (e.g., chilling requirement of rest completion ( $C_{crit}$ ) and the threshold temperatures for the  
382 accumulation of chilling temperatures ( $T_c$ )), also using a normal distribution. However, the model fitted the data even  
383 worse than in our attempt of fitting a normal distribution of  $T_b$ . Questions remain regarding the actual shape of the  
384  $F^*$  distribution. Indeed, natural selection can lead to traits that are not normally distributed (Caradonna et al., 2014),  
385 and uneven distribution of observations may contribute to the non-perfect overlapping of observed and simulated  $F^*$   
386 distributions (Fig. 5). However, earlier results (Vallet, 2020) showed that the form of the distribution had little  
387 influence on the prediction accuracy.

388 We built the WPV model based on a two-phase parallel model framework, which describes the cumulative effect of  
389 chilling and forcing temperatures on the endodormancy and ecodormancy phases, respectively (Hänninen, 2016;  
390 Hänninen and Kramer, 2007; Lundell et al., 2020; Chuine and Regniere, 2017). This model structure is in line with

391 our current understanding of the physiological and molecular basis of dormancy in which the dynamics of the  
392 dormancy mechanism are emphasized as opposed to a strict classification between the dormancy stages (Lundell et  
393 al., 2020; Cooke et al., 2012). In this study, the threshold of chilling accumulation is up to 10.5°C for oak and  
394 hornbeam. It is consistent with the experimental results in Baumgarten et al. (2021) which challenge the common  
395 assumption that optimal chilling temperatures range ca. 4–6°C, showing 10°C is also effective for chilling  
396 accumulation in six dominant temperate European tree species including oak. Furthermore, the model uses the  
397 concept of ontogenetic competence ( $Co$ ) to simulate the process of regulation for the rate of ontogenetic growth by  
398 the state of rest break, a phenomenon that has found support in phenological experiments (Lundell et al., 2020;  
399 Zhang et al., 2022). Our results demonstrate that in the investigated species,  $Co$  is 0 until dormancy is released to a  
400 certain extent (Fig. S2), that is, ontogenetic growth cannot start before a certain amount of chilling accumulation has  
401 been reached, which is consistent with previous findings (Lundell et al., 2020; Zhang et al., 2022). According to the  
402 calibrated parameter values, ontogenetic competence is also influenced by the prevailing temperature, although the  
403 effect is minimal. **Indeed, parameter  $g$ , which is related to the effect of the prevailing temperature, ranges from**  
404 **0.0032 to 0.0108 (Table 2),** which is comparable to values found in a previous study (Lundell et al., 2020). To some  
405 extent in this model, one consequence is that the effect of the prevailing temperature can compensate for the  
406 deficiency of chilling accumulation.

407 Beyond introducing a model to describe the WPV of budburst in tree populations, our study aimed to quantify the  
408 response of the duration of budburst (DurBB) to climate warming. We used temperature data to simulate the  
409 occurrence of 20% (BP20) and 80% (BP80) budburst, and DurBB over the past decades. Our results suggest that the  
410 start and end of budburst in tree populations have advanced over the past 62 years with climate warming (Fig. 6),  
411 which is consistent with previous results showing advances in the population average dates of budburst (Wenden et  
412 al., 2020; Menzel et al., 2006; Fu et al., 2015). In addition, our model simulates sensitivities of budburst to time and  
413 temperature that are comparable to values reported earlier (Vitasse et al., 2009b, see Table S5). Our results point to  
414 significant sensitivities to both time and temperature for oak as well as significant sensitivity to temperature for  
415 hornbeam, which is consistent with the results of Vitasse et al. (2009b). The advancement of budburst would  
416 increase the possibility of spring frost damage (Liu et al., 2018; Vitasse et al., 2018), influencing tree physiology and  
417 growth with possible impacts on the productivity of forests (Vitasse et al., 2019) or even the distribution of tree  
418 species (Chuine, 2010).

419 Our retrospective simulations suggest that there was not trend in the duration of budburst in tree populations, DurBB,  
420 over the past 62 years (Fig. 7), in spite of climate warming (Fig. S6). Since both BP20 and BP80 advanced at a  
421 similar rate, DurBB did not evolve over time over the 1961-2022 period. Interestingly, the analysis of temperature  
422 data revealed no significant warming in the period of time from BP20 to BP80 over the past decades ( $P>0.05$ , Fig.  
423 S10). This could explain why DurBB (time interval between BP20 and BP80) did not change over time, in spite of  
424 the strong trends in both BP20 and BP80, caused by climate warming. However, interannual variability of DurBB  
425 was large, which was reproduced by the WPV model (Fig. 7). Moreover, our study sites are located in the temperate  
426 zone, at the heart (for oak and hornbeam) and at the north (chestnut) of our study species distribution areas (Caudullo  
427 et al., 2017). At those sites, trees can accumulate enough chilling, or at least, chilling accumulation is not a limitation

428 for ontogenetic growth in nature so far, meaning that budburst is still advancing (Wenden et al., 2020; Piao et al.,  
429 2019). Thus, the phenomenon by which DurBB increased with insufficient chilling accumulation in a given  
430 population (see Zhang et al., 2021, their Fig. 2, 3, 4 for evidence in subtropical trees) did not appear in our  
431 retrospective simulations. However, we can infer that if chilling accumulation can't be fulfilled under future,  
432 continuous climate warming, it will take more time to fulfill the forcing requirement for late trees with a high forcing  
433 requirement, leading to the prolonging of DurBB. A longer duration of budburst would increase the possibility of  
434 damage (i.e., freezing, insect damage).

435 We acknowledge that the projections of the WPV of budburst produced by the model are uncertain, first and  
436 foremost because the parameter values were inferred from observation data collected in natural conditions as  
437 opposed to controlled experiments (Hanninen et al., 2019). Another cause of uncertainty is the ability of the  
438 phenological response of plants to acclimatize to the changing climate (Bennie et al., 2010). Under the hypothesis of  
439 plant acclimatization, the parameters of the WPV model could have changed over the past decades, and would  
440 further change with ongoing climate warming. Consequently, related experiments are urgently needed to improve  
441 our understanding of the WPV of budburst to infer more reliable parameters and analyze the behavior of phenology  
442 models in different climates (Hanninen et al., 2019). However, because our model addresses for the first time  
443 explicitly the within-population variation of the physiological traits affecting phenology, it can contribute as a  
444 framework for future experimental studies. In our study, we only considered the effect of temperature on budburst.  
445 However, other environmental factors may also affect budburst (e.g., photoperiod, soil moisture and the interaction  
446 between factors). Previous studies showed that photoperiod is expected to modulate the timing of budburst in late-  
447 successional species such as oak and chestnut, but not in early-successional species such as hornbeam (Basler and  
448 Korner, 2012), but see a counter-example on oak in Malyshev et al. (2018). Moreover, photoperiod may have a more  
449 complex interaction mechanism with temperature in terms of regulating the time of budburst (Meng et al., 2021).  
450 And negative correlations between spring soil moisture and the start of the growing season were found in the  
451 Mongolian Plateau (Luo et al., 2021). We envision that improved versions of the WPV of budburst could be  
452 proposed based on a more comprehensive understanding of the potential mechanism between phenology and  
453 environmental factors in the future.

## 454 **5. Conclusion**

455 In conclusion, our work presents a novel model, simulating the continuity of budburst in tree populations in spring.  
456 This phenological model can be adapted to the study of other stages of the tree phenological cycle, which are all of  
457 continuous nature in tree populations (e.g., leaf senescence, wood formation etc.). We found budburst was advanced  
458 in the past 62 years due to climate warming. However, the duration of budburst period of population was not affected  
459 by increasing temperature. This is the first model simulating the within population variability of budburst in the  
460 population. It provides a basis for implementation of a module in models directly interested in the within-population  
461 variability of phenological and other functional traits (e.g., physio-demo-genetic models). It can also be used as a  
462 stand-alone, to study the dynamics of phenological traits from the scale of individuals to the population and  
463 community in the context of climate change.

464 **Code and data availability**

465 The related phenology data and R code for the phenological model are openly accessible under  
466 <https://doi.org/10.5281/zenodo.7962840> and <https://doi.org/10.5281/zenodo.10020474>, respectively.

467 **Authors' contributions**

468 ND and JL designed the research. ND, JL, AM, GV, DB collected phenological data. JL and ND performed the  
469 research. JL wrote the manuscript with substantial inputs from all co-authors.

470 **Competing interests**

471 The authors declare that they have no conflict of interest.

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