

Multidecadal ozone trends in China and implications for human health and crop yields: A hybrid approach combining chemical transport model and machine learning

Jia Mao¹, Amos P. K. Tai^{1,2,3}, David H. Y. Yung¹, Tiangang Yuan¹, Kong T. Chau¹, and Zhaozhong Feng^{2,4}

¹ Earth and Environmental Sciences Programme and Graduate Division of Earth and Atmospheric Sciences, Faculty of Science, The Chinese University of Hong Kong, Sha Tin, Hong Kong SAR, China

² Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters (CIC-FEMD), Nanjing University of Information Science and Technology, Nanjing 210044, Jiangsu, China

³ State Key Laboratory of Agrobiotechnology, and Institute of Environment, Energy and Sustainability, The Chinese University of Hong Kong, Hong Kong SAR, China

⁴ Key Laboratory of Ecosystem Carbon Source and Sink, China Meteorological Administration (ECSS-CMA), Nanjing University of Information Science & Technology, Nanjing, 210044, China

Correspondence to: Amos P. K. Tai (amostai@cuhk.edu.hk)

Abstract. Surface ozone (O₃) is well known to pose significant threats to both human health and crop production worldwide. However, a multi-decadal assessment of O₃ impacts on public health and crop yields in China is lacking due to insufficient long-term continuous O₃ observations. In this study, we used a machine learning (ML) algorithm to correct the biases of O₃ concentrations simulated by the chemical transport model from 1981–2019 by integrating multi-source datasets. The ML-enabled bias correction offers improved performance in reproducing observed O₃ concentrations, and thus further improves our estimates of O₃ impacts on human health and crop yields. The warm-season increasing trend of O₃ in Beijing-Tianjin-Hebei and its surroundings (BTHs), Yangtze River Delta (YRD), Sichuan Basin (SCB) and Pearl River Delta (PRD) regions are 0.32 μg m⁻³ yr⁻¹, 0.63 μg m⁻³ yr⁻¹, 0.84 μg m⁻³ yr⁻¹, and 0.81 μg m⁻³ yr⁻¹ from 1981 to 2019, respectively. In more recent years, O₃ concentrations experience more fluctuations in the four major regions. Our results show that only BTHs have a perceptible increasing trend of 0.81 μg m⁻³ yr⁻¹ during 2013–2019. Using AOT40-China exposure-yield response relationships, the estimated relative yield losses (RYLs) for wheat, rice, soybean and maize are 17.6%, 13.8%, 11.3% and 7.3% in 1981, and increases to 24.2%, 17.5%, 16.3% and 9.8% in 2019, with an increasing rate of +0.03% yr⁻¹, +0.04% yr⁻¹, +0.27% yr⁻¹ and +0.13% yr⁻¹, respectively. The estimated annual all-cause premature deaths induced by O₃ increase from ~55,900 in 1981 to ~162,000 in 2019 with an increasing trend of ~2,980 deaths yr⁻¹. The annual premature deaths related to respiratory and cardiovascular disease are ~34,200 and ~40,300 in 1998, and ~26,500 and ~79,000 in 2019, having a rate of change of -546 and +1,770 deaths yr⁻¹ during 1998–2019, respectively. Our study, for the first time, used ML to provide a robust dataset of O₃ concentrations over the past four decades in China, enabling a long-term evaluation of O₃-induced crop losses and health impacts. These findings are expected to fill the gap of the long-term O₃ trend and impact assessment in China.

1 Introduction

Surface ozone (O₃), an important secondary air pollutant, is mainly generated through photochemical reaction of volatile organic compounds (VOCs), carbon monoxide (CO), and nitrogen oxides (NO_x) in the presence of sunlight. As a strong oxidant, O₃ at the ground level is detrimental to human health and vegetation. More recently, due to the rapid

38 urbanization and industrialization, the summertime O₃ pollution has become an emerging concern in China. Li et al. (2020)
39 reported that the mean summer 2013–2019 trend in maximum daily 8-h average surface O₃ (MDA8-O₃) was +1.9 ppb yr⁻¹
40 in China, with high values widely observed in the North China Plain (NCP), Yangtze River Delta (YRD), and Pearl River
41 Delta (PRD) regions. On the regional scale, the exposure of humans and vegetation to O₃ is greater in China than in other
42 developed regions of the world (Lu et al., 2018). Several studies have suggested the important roles of climate and land
43 cover changes on O₃ pollution in addition to anthropogenic emissions (Fu and Tai, 2015; Wang et al., 2020). It has been
44 suggested that global warming and the changing land use may further increase surface O₃ by the late 21st century (Kawase
45 et al., 2011; Wang et al., 2020), which can pose greater threats to human health and food security.

46 Meteorological factors can modulate the temporal and spatial patterns of O₃ via affecting the physical and chemical
47 processes within the atmosphere (Liu et al., 2019; Mao et al., 2020; Yin and Ma, 2020). High temperature, low relative
48 humidity and low planetary boundary height are conducive to the photochemical production and O₃ accumulation. Jacob
49 and Winner (2009) summarized that the enhanced O₃ levels at higher temperatures are primarily driven by increased
50 biogenic VOC emissions from vegetation and reduced lifetimes of peroxyacetyl nitrate (PAN) due to accelerated
51 decomposition of PAN into NO_x. Besides, the changes in wind speed and direction can affect O₃ concentrations through
52 transport. Land cover and land use change affects O₃ air quality by perturbing surface fluxes, hydrometeorology, and
53 concentrations of atmospheric chemical components (Tai et al., 2013; Fu and Tai, 2015; Liu et al., 2020; Ma et al., 2021).
54 For instance, the terrestrial biosphere is a major source of isoprene, which plays a significant role in modulating O₃
55 concentrations. In the Intergovernmental Panel on Climate Change (IPCC) A1B scenario, Tai et al. (2013) found that
56 widespread crop expansion could reduce isoprene emission by ~10 % globally compared with the present land use. Such
57 a reduction could decrease O₃ by up to 4 ppb in the eastern US and increase O₃ by up to 6 ppb in South and Southeast Asia,
58 whereby the difference in the sign of responses is primarily determined by the different O₃ production regimes.

59 The increasing health burden due to air pollution has become an important contributor to global disease burden. Some
60 recent studies have demonstrated that short-term O₃ exposure negatively impacts human health, especially via respiratory,
61 and cardiovascular mortality (Shang et al., 2013; Yin et al., 2017b; Feng et al., 2019; Zhang et al., 2022a). In 2015–2018,
62 the estimated annual total premature mortality related to O₃ pollution in 334 Chinese cities was 0.27 million for 2015, 0.28
63 million for 2016, 0.39 million for 2017, and 0.32 million for 2018 (Zhang et al., 2021). Maji and Namdeo (2021) reported
64 that short-term all-cause, cardiovascular and respiratory premature mortalities attributed to the ambient 4th highest MDA8-
65 O₃ exposure were 156,000, 73,500 and 28,600 in 2019, showing increases of 19.6%, 19.8% and 21.2%, respectively,
66 compared to 2015. Zhang et al. (2022b) reported that each 10 µg m⁻³ increase in the MDA8-O₃ can lead to a rise of 0.41 %
67 (95 % CI: 0.35 %–0.48 %) in all-cause, 0.60 % (95 % CI: 0.51 %–0.68 %) in cardiovascular and 0.45 % (95 % CI: 0.28 %–
68 0.62 %) in respiratory mortality.

69 The damage to plants induced by O₃ is mainly caused by the stomatal uptake of O₃ into the leaf interior instead of
70 direct plant surface deposition (e.g., Clifton et al., 2020). In previous studies, a variety of concentration-based metrics have
71 been widely used to assess the O₃ risks to crop yield and ecosystem functions. Initially, a 7-hour (09:00–15:59) mean metric
72 (M7) was proposed, which was later extended to a 12-hour (08:00–19:59; referred to M12) to include late-day O₃
73 concentrations. Cumulative metrics have also been developed to evaluate the impacts of O₃ on crops. The accumulated O₃
74 over a threshold of 40 ppb (AOT40) is a widely used metric to evaluate the phytotoxic effects of O₃. Compared to AOT40
75 using a linear function, another metrics, W126, considers the nonlinear response of yield loss to O₃ exposure whereby
76 higher O₃ concentrations will progressively induce more severe yield losses. However, many studies have suggested that
77 the stomatal uptake of O₃ is more related to vegetation damage than O₃ exposure per se (Feng et al., 2012; Feng et al., 2018;
78 Pleijel et al., 2022). In the recent two decades, the flux-based approach therefore has been developed and increasingly used
79 to assess the relationships between the stomatal O₃ uptake and crop yields. Tai et al. (2021) compared the results of the
80 estimated global crop yield losses using three concentration-based and two flux-based O₃ exposure metrics, and showed
81 that the concentration-based metrics differ greatly among themselves, while the two flux-based metrics are generally close

82 to each other, which lie close to the middle of the range covered by all metrics.

83 At present, a comprehensive long-term assessment of O₃ impacts is hindered by a lack of continuous O₃ observations
84 in China (Lu et al., 2018; Gong et al., 2021). From both health and food perspectives, reliable long-term estimates of O₃
85 are critically needed to better understand the O₃ damage over the past few decades since the beginning of rapid industrial
86 transformation in the 1980s. In previous studies, various alternative approaches have been used to address the problem of
87 insufficient observations. The multiple linear regression (MLR) model is often used for extrapolation to construct
88 spatiotemporal distributions of air pollutants (Moustris et al., 2012; Abdullah et al., 2017). However, the linear statistical
89 methods are generally limited by their incapability to capture the nonlinear relationships between air pollutants and
90 precursors as well as meteorological fields. Chemical transport models (CTMs), based on mathematical representation of
91 atmospheric physical and chemical processes, are also the common tool to simulate air pollutant concentrations
92 spatiotemporally (Fusco and Logan, 2003; Liu and Wang, 2020a; Wang et al., 2022a). Taking the advantages of the CTM,
93 Fu and Tai (2015) investigated the impacts of historical climate and land cover changes on tropospheric O₃ in East Asia
94 between 1980 and 2010. However, the utility of CTMs is often limited by their high computational cost when conducting
95 long-term simulations at high spatiotemporal resolutions. Large biases also exist due to uncertainties in historical emission
96 inventories, parameterization of physical and chemical processes, and initial and/or boundary conditions, and these errors
97 tend to increase at finer spatiotemporal scales.

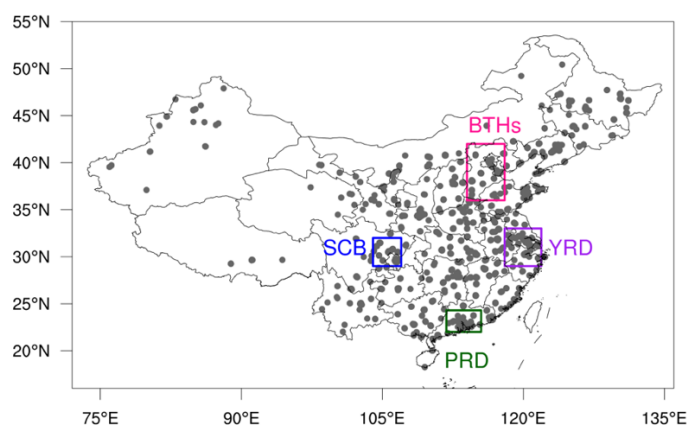
98 In recent years, machine learning (ML) methods have gained increasing popularity in air pollution studies (Liu et al.,
99 2020; Ma et al., 2021). In the early stage of applying ML to atmospheric chemistry, ML methods were usually used as an
100 independent method from CTMs (Hu et al., 2017; Zhan et al., 2017), for instance, to predict O₃ concentrations by mapping
101 the nonlinear relationships between observed O₃ concentrations and their possible shaping factors. These applications are
102 usually purely data-driven, whereby the ML algorithms do not involve any representation of the physical mechanisms
103 behind the relevant processes. With powerful algorithms and user-friendly hyperparameter tuning processes, some well-
104 trained ML models, driven by data from multiple sources including reanalysis and satellite data, have shown even higher
105 predictive capacity than process-based models. The advantages of ML methods over CTMs include more flexible choices
106 for input data and spatiotemporal resolution, and substantially lower computational costs (Bi et al., 2022). However, purely
107 data-driven ML methods are known to suffer a lack of transparency and interpretability, which renders it more difficult to
108 offer adequate scientific interpretation for the physical mechanisms behind. Thus, a hybrid approach combining ML
109 algorithms and CTM-simulated results have been increasingly used to predict air pollutants and understand their trends in
110 recent years. Integrating data from various sources, ML methods have been used as a tool to correct the biases in the lower-
111 resolution simulated results from CTMs (Di et al., 2017; Ivatt and Evans, 2020; Ma et al., 2021). Based on process-based
112 CTMs integrating decades of accumulated knowledge in Earth system science, while taking advantage of ML to address
113 still-existing model errors, the hybrid approach has great potential in tackling air quality problems (Irrgang et al., 2021).

114 In this work, we incorporated the O₃ concentrations directly simulated by the Goddard Earth Observing System
115 coupled with Chemistry (GEOS-Chem) model at a lower resolution into a bias-corrected, finer-resolution dataset by
116 integrating them with O₃ observations from 2016 to 2018 (for validation purpose), high-resolution meteorological fields,
117 land use data and other geographical information from multiple sources using a tree-based ML algorithm, LightGBM. The
118 final high-resolution hourly O₃ dataset with a resolution of 0.25°×0.25° from 1981 to 2019 was further used to assess the
119 impacts of O₃ on human health and crop yields over the past four decades. The simultaneous analysis of the combined
120 impacts of O₃ on agriculture and human health can offer more comprehensive policy implications for the mitigation of O₃-
121 related impacts across China.

122 2 Data and methods

123 2.1 Air quality, meteorological, land and crop data

124 Hourly surface O₃ observations ($\mu\text{g m}^{-3}$) from 2016 to 2018 were obtained from the China National Environment
125 Monitoring Center Network (<http://106.37.208.233:20035/>) established by the Ministry of Ecology and Environment of
126 China. The MDA8-O₃ of each site was calculated with at least 14 valid hourly values from 08:00 to 24:00 local time. A
127 total of 1016 sites were selected after deleting the missing and abnormal data (**Fig. 1**).



128
129 **Figure 1. Study domain and locations of the selected monitoring sites. The pink, blue, purple and green rectangles**
130 **indicate the Beijing-Tianjin-Hebei and its surroundings (BTHs), Sichuan Basin (SCB), Yangtze River Delta (YRD),**
131 **and Pearl River Delta (PRD) regions, respectively, for more detailed analysis.**

132 The surface meteorological fields used in this study include sea surface pressure, horizontal wind at 10 m, air
133 temperature at 2 m, downward solar radiation, surface albedo, and total precipitation. The variables selected at 850 hPa
134 and 100 hPa include relative humidity, horizontal and vertical velocity. These meteorological variables have been shown
135 by many previous studies to correlate strongly with surface O₃ concentrations as discussed above. Hourly reanalysis data
136 for meteorological variables were obtained from the fifth generation European Center for Medium-Range Weather
137 Forecasts (ECMWF) reanalysis dataset (ERA5) with a spatial resolution of $0.25^\circ \times 0.25^\circ$ from 1981 to 2019
138 (<https://cds.climate.copernicus.eu/>). This spatial resolution sets the highest limit of resolution for our hybrid O₃ product.

139 The national land use data with a spatial resolution of 1 km \times 1 km for 2013 were obtained from the Resource and
140 Environment Science Data Center of the Chinese Academy of Sciences (RESDC) (<http://www.resdc.cn>). Six primary types
141 of land use are considered: cultivated land, forestland, grassland, water bodies, construction land, and unused land.
142 Nationwide elevation data were also provided by the RESDC (<https://www.resdc.cn/data.aspx?DATAID=123>), which is
143 resampled based on the latest Shuttle Radar Topography Mission (SRTM) V4.1 data developed in 2000.

144 The spatial distribution of the harvested areas for four staple crops (wheat, rice, maize, soybean) for China was
145 obtained from the Global Agro-Ecological Zones 2015 dataset (<https://doi.org/10.7910/DVN/KJFUO1>). Crop harvesting
146 dates with a resolution of $0.5^\circ \times 0.5^\circ$ were provided by the Center for Sustainability and the Global Environment (Sacks et
147 al., 2010). For crops having more than one growing season in a year, only the primary growing period was considered.

148 2.2 GEOS-Chem model

149 We used the GEOS-Chem global 3-D chemical transport model version 12.2.0 (<http://acmg.seas.harvard.edu/geos/>),
150 driven by assimilated meteorological data from Modern Era Retrospective-analysis for Research and Applications, Version
151 2 (MERRA2) (<https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/>) with a horizontal resolution of 2.0° latitude by 2.5°

152 longitude and reduced vertical resolution of 47 levels. GEOS-Chem incorporates meteorological conditions, emissions,
153 chemical information, and surface conditions to simulate the formation, transport, mixing and deposition of ambient O₃. It
154 performs fully coupled simulations of O₃-NO_x-VOC-aerosol chemistry (Bey et al., 2001). Previous studies have
155 demonstrated the ability of GEOS-Chem to reasonably reproduce the magnitudes and seasonal variations of surface O₃
156 East Asia (Wang et al., 2011; He et al., 2012). To provide long-term simulated O₃ fields for incorporation into the ML
157 model (see below), we conducted GEOS-Chem simulations at a resolution of 2.0°×2.5°; higher resolutions of GEOS-Chem
158 in nested grids are available but computationally prohibitive for multi-decadal simulations. The original unit of GEOS-
159 Chem-simulated O₃ is ppb, which was converted to μg m⁻³ assuming a constant temperature of 25°C and pressure of
160 1013.25 hPa (1 μg m⁻³ is approximately 0.5 ppb) when compared with observations (Yin et al., 2017b; Gong and Liao,
161 2019).

162 Global anthropogenic emissions of CO, NO_x, SO₂ and VOCs are from Community Emissions Data System (CEDS),
163 which has coverage over the simulation years of 1950–2014 (Hoesly et al., 2018). Biomass burning emissions are from the
164 GFED-4 inventory (van der Werf et al., 2017). Biogenic VOC emissions are computed by the Model of Emissions of Gases
165 and Aerosols from Nature (MEGAN) v2.1 (Guenther et al., 2012), which is embedded in GEOS-Chem. Emissions of
166 biogenic VOC species in each grid cell, including isoprene, monoterpenes, methyl butenol, sesquiterpenes, acetone and
167 various alkenes, are simulated as a function of canopy-scale emission factors modulated by environmental activity factors
168 to account for changing temperature, light, leaf age, leaf area index (LAI), soil moisture and CO₂ concentrations
169 (Sindelarova et al., 2014).

170 Dry deposition follows the resistance-in-series scheme of Wesely (1989), which depends on species properties, land
171 cover types and meteorological conditions, and uses the Olson land cover classes with 76 land types reclassified into 11
172 land types. Although transpiration is a potential mechanism via which the land cover affects ozone, we do not address it in
173 this study because water vapor concentration in GEOS-Chem is prescribed from assimilated relative humidity (i.e., not
174 computed online from evapotranspiration).

175 **2.3 LightGBM machine-learning model**

176 In this study, we used the LightGBM algorithm to integrate GEOS-Chem simulated O₃ at a lower resolution with
177 higher-resolution multi-source data to produce higher-resolution hourly O₃ and MDA8-O₃ fields. Because the
178 representation of input data for LightGBM should be regular, datasets at different spatial resolutions were all regridded to
179 a unified resolution of 0.25°×0.25° with the operationally used bilinear interpolation approach (e.g., Accadia et al., 2003),
180 consistent with the meteorological fields. By taking the advantage of these high-resolution datasets, the hybrid approach
181 can not only correct the biases of the GEOS-Chem-simulated O₃, but also refine it into a finer resolution. LightGBM is a
182 ML algorithm based on the gradient boosting decision tree (Chen and Guestrin, 2016), which has a high training efficiency
183 and lower memory footprint, and thus is suitable for processing massive high-dimensional data (Zhang et al., 2019). The
184 general steps to build a ML model can be summarized as follows: (1) choose an algorithm appropriate for the problem (e.g.,
185 regression or classification); (2) clean the data and split them into training and test data; (3) train and tune the model with
186 training data to well capture prediction patterns; (4) evaluate model performance on test data; and (5) return to step (3) and
187 (4) until an optimal predictive ability is reached. The whole dataset is divided into training and test data to evaluate the
188 model generalization ability. The model performance on test data can indicate whether the model can perform well on new
189 data independent of the training process.

190 During the model training process, the model was evaluated with 10-fold cross-validation to ensure the robustness
191 and reliability of the model, whereby the training data were randomly partitioned into 10 subsets of approximately the same
192 size, with 90% of data used to train individual models and the ensemble model, and the remaining 10% of data used to
193 examine model performance (Xiao et al., 2018). This process was repeated 10 times so that each data record was left for
194 testing once. The tuning of the hyperparameters was optimized using grid search optimization to improve detection

195 performance and diagnostic accuracy (Wang et al., 2019). Statistical indicators, including the coefficient of determination
196 (R^2) and root-mean-square error (RMSE), were used in subsequent assessment of model performance for GEOS-Chem
197 alone and for the hybrid approach. During evaluation, the model results in the grid cell covering or closest to each site were
198 utilized to compare with observations. This approach of comparing model simulated gridded air pollutant concentrations
199 (either from a CTM or ML model) against site-level observations has been commonly used to evaluate model performance
200 (Ma et al., 2021; Meng et al., 2022; Thongthammachart et al., 2021). Additionally, when comparing the GEOS-Chem-
201 simulated O_3 with observations, the simulated O_3 was first regridded to $0.25^\circ \times 0.25^\circ$ using the operationally used bilinear
202 interpolation approach to maintain consistency with the ERA5 dataset.

203 Our analysis revealed that training the model with one year or more of data results in only marginal reductions in
204 RMSE and enhancements in R^2 (**Fig. S1**); thus a timescale of two years appears to strike a good balance between
205 computational burden and model accuracy. These results align with the findings of Ivatt and Evans (2020), who suggested
206 that much of the variability in the power spectrum of surface O_3 can be captured by timescales of a year or less. Therefore,
207 here we utilized observations from the period 2016-2017 as the training data, which offered a more economical computing
208 cost and improved training time efficiency, and observations in 2018 as the independent test data to evaluate model
209 performance.

210 **2.4 Ozone exposure metric and exposure–yield response functions**

211 Among O_3 exposure indices, AOT40 has been used widely during the last two decades as it has been found to have a
212 strong relationship with relative yield of many crop species (Mills et al., 2007), and thus was used to quantify the impacts
213 of surface O_3 on crop yields in this study. The flux-based metrics, which require long-term simulations using a process-
214 based stomatal uptake model, were beyond the scope of this study. The AOT40 (ppm-h) is defined as follows:

$$215 \quad \text{AOT40} = \sum_{i=1}^n ([O_3]_i - 0.04) \quad (1)$$

216 where the $[O_3]_i$ is the hourly mean O_3 concentration (ppm) during the 12 hours of local daytime (08:00–19:59); n is the
217 number of hours in the growing season defined as the 90 days prior to the start of the harvesting period according to the
218 crop calendar.

219 The exposure–yield response functions based on extensive field experimental studies have been established to relate
220 a quantifiable O_3 -exposure metrics to crop yields. It has been suggested that responses of crop yields were found greater
221 in Asian experiments than the American and European counterparts, indicating possibly higher O_3 sensitivity of Asian crop
222 varieties (Emberson et al., 2009; Feng et al., 2022). To better understand O_3 -induced risks to crops in China, the AOT40
223 exposure–yield functions developed based on field experiments in China are used in this study, which are named as AOT40-
224 China. The exposure–yield response functions for soybean is from Zhang et al. (2017), and for other three crops are from
225 Feng et al. (2022). The statistical exposure–yield relationships used in this study are summarized in **Table S1**.

226 **2.5 Analysis of health impacts**

227 All-cause mortality, cardiovascular disease mortality and respiratory disease mortality are selected as the health
228 outcomes of our study due to the high correlation between these endpoints and short-term O_3 exposure in previous studies.
229 A log-linear exposure–response function is widely adopted and recommended by the World Health Organization (WHO)
230 for health impact assessment in areas with severe air pollution. In particular, the log-linear model is the most widely applied
231 exposure–response model at present in China (Lelieveld et al., 2015; Yin et al., 2017a; Zhang et al., 2022b). The premature
232 mortality is calculated following:

233

$$\Delta M = \delta c * \left[\frac{(RR - 1)}{RR} \right] * P \quad (2)$$

234 where ΔM is the excess mortality attributable to O_3 exposure; δc is the baseline mortality rate for a particular health endpoint
 235 (Yin et al., 2017b; Madaniyazi et al., 2016); P is the exposed population; and RR is the relative risk defined as:

236

$$RR = \exp((X - X_0) * \beta) \quad (3)$$

237 where β is the exposure-response coefficient derived from epidemiological cohort studies (Shang et al., 2013); X represents
 238 the model-calculated O_3 concentration; the value of X_0 is the threshold concentration below which no additional risk is
 239 assumed. Consistent with previous studies (Lelieveld et al., 2015; Liu et al., 2018), we used $X_0 = 75.2 \mu g m^{-3}$.

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In this study, the mean MDA8- O_3 concentrations in warm season (May-September) were used to estimate the disease-specific health impacts of short-term exposure to O_3 . The province-level population and national baseline mortality rate for particular diseases were provided by the National Bureau of Statistics (<http://www.stats.gov.cn/>). The spatial differences of baseline mortality in China were not considered without provincial-level data, which means that we assume the baseline mortality is evenly distributed across China (Dedoussi et al., 2020). The exposure-response coefficients were obtained from existing epidemiological studies in China (**Table S2**). If the corresponding coefficient of a province could not be found in published epidemiological studies, the datum closest to that province would be selected as a substitute. If there were no neighboring provinces, the results of national meta-analysis would be used (Zhang et al., 2021).

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3 Results

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3.1 Model development and validation

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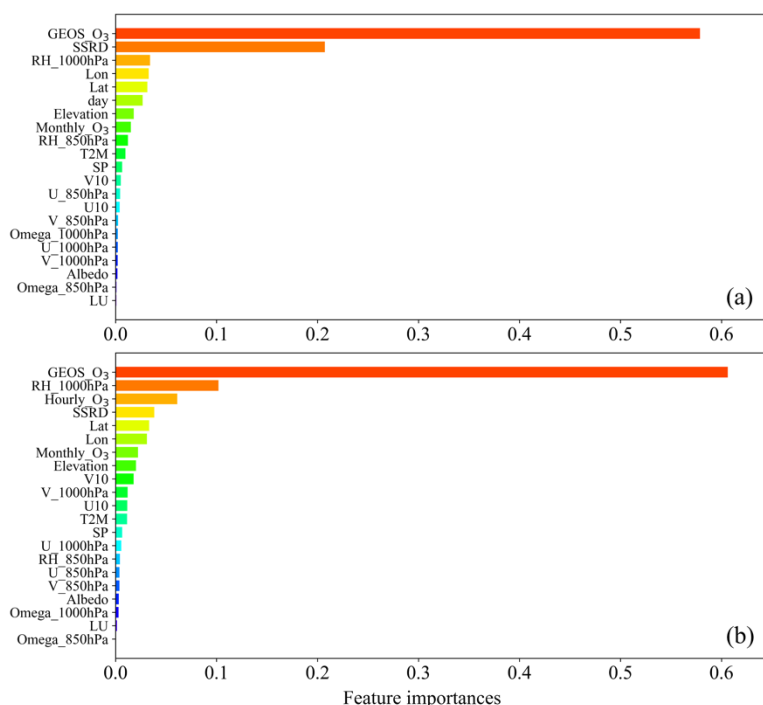
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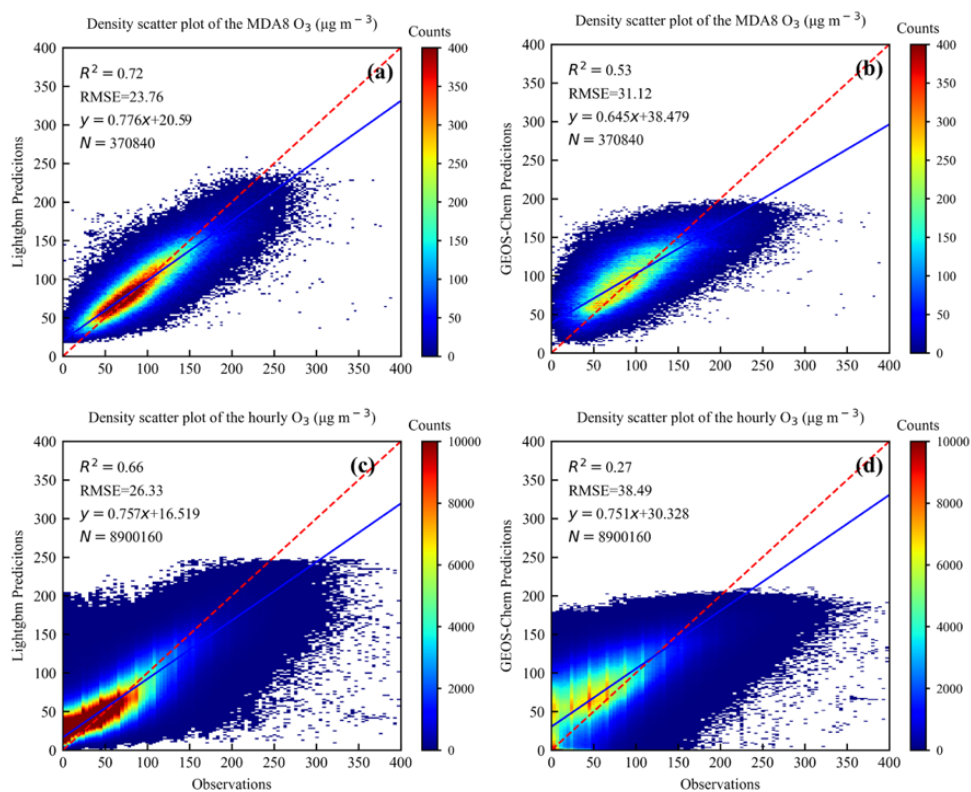
The finally selected features and their importance estimated by the LightGBM algorithm based on 10-fold cross validation are shown in **Fig. 2**. GEOS-Chem-simulated O_3 is the top predictor for predicting surface O_3 concentrations, accounting for 61% and 58% of all relative importance in the ML algorithm predicting hourly O_3 and daily MD8A- O_3 , respectively. The result indicates that process-based GEOS-Chem simulations have high utility for O_3 predictions under the hybrid approach (Ma et al., 2021). The meteorological variables with high contribution to both the daily and hourly models are downward surface solar radiation (SSRD), relative humidity at 1000 hpa (RH_1000hpa) and 10-m horizontal wind (U10 and V10). Other special features, including location (latitude and longitude), elevation and diurnal and monthly pattern of O_3 , also contribute to ambient O_3 estimations. The spatial distributions of bias-corrected O_3 are consistent with observations for both training and test datasets (**Fig. S2**), indicating that there is no obvious overfitting, i.e., the model is able to generalize from the training set to the test set. The good generalization ability of the model gives us confidence in its ability to make accurate predictions based on new data. In general, the hybrid approach can yield good O_3 estimates in the data-intensive regions, including eastern and central China that are the hotspot areas of O_3 pollution.



262
 263 **Figure 2. The feature importance plot for (a) MDA8-O₃ and (b) hourly O₃, respectively. The full list of candidate**
 264 **variables with their symbols, units, descriptions, and data sources are shown in Table S3.**

265 **Fig. 3** shows the density scatter plots between O₃ measurements and GEOS-Chem simulations, as well as the hybrid-
 266 approach predictions for 2018. The R^2 value of the hybrid approach and GEOS-Chem model are 0.66 and 0.27 at hourly
 267 level, and 0.72 and 0.53 at MDA8-O₃ level, respectively. Bias-corrected O₃ concentrations have lower RMSE in
 268 comparison with GEOS-Chem simulated O₃ concentrations, reduced from 31.1 to 23.8 $\mu\text{g m}^{-3}$ for MDA8-O₃ predictions,
 269 and from 38.5 to 26.3 $\mu\text{g m}^{-3}$ for hourly predictions. The MDA8-O₃ model performance is better than that of the hourly
 270 model, indicating reduced errors upon temporal averaging. The MERRA2 dataset driving GEOS-Chem was also used to
 271 train the model; however, we found that the higher-resolution ERA5 dataset performed better in reproducing observed O₃
 272 concentrations with smaller RMSE and larger R^2 (**Fig. S3**). This analysis demonstrates the level to which a higher-
 273 resolution meteorological dataset, despite not being strictly consistent with the input meteorology for the CTM, can help
 274 enhance the performance of the hybrid model. In summary, the result suggests that the CTM-simulated results can be
 275 substantially improved by applying ML with multi-source datasets, and the bias-corrected data can improve our
 276 understanding of long-term O₃ trends and its further implications on crop and human health over China, as discussed in the
 277 following sections.

278 In comparison with previous studies, Liu et al. (2020) used XGBoost to predict O₃ in major urban areas of China at a
 279 resolution of $0.1^\circ \times 0.1^\circ$, and the R^2 value and RMSE for MDA8-O₃ were 0.74 and 23.8 $\mu\text{g m}^{-3}$, respectively. Their result
 280 indicates that higher-resolution predictions may help enhance model accuracy, but represent a trade-off between model
 281 accuracy and time efficiency depending on the purpose. Instead of directly predicting O₃ concentrations, Ivatt and Evans
 282 (2020) predicted biases in GEOS-Chem-simulated O₃ concentrations and then corrected them with XGBoost. They also
 283 suggested that the corrected model performs considerably better than the uncorrected model, with RMSE reduced from
 284 32.4 to 15.0 $\mu\text{g m}^{-3}$ and Pearson's R raised from 0.48 to 0.84. Their greater improvement with larger reduced RMSE than
 285 our result is mainly because they selected fewer sites for training, with all the urban and mountain sites (observations made
 286 at a pressure < 850 hPa) removed. The removal of these sites can improve the overall apparent performance of the model
 287 because O₃ formation could have different characteristics in these areas. In general, ML methods have been proven to be a
 288 promising tool to improve air pollutant forecasts when a process-level understanding is still incomplete.



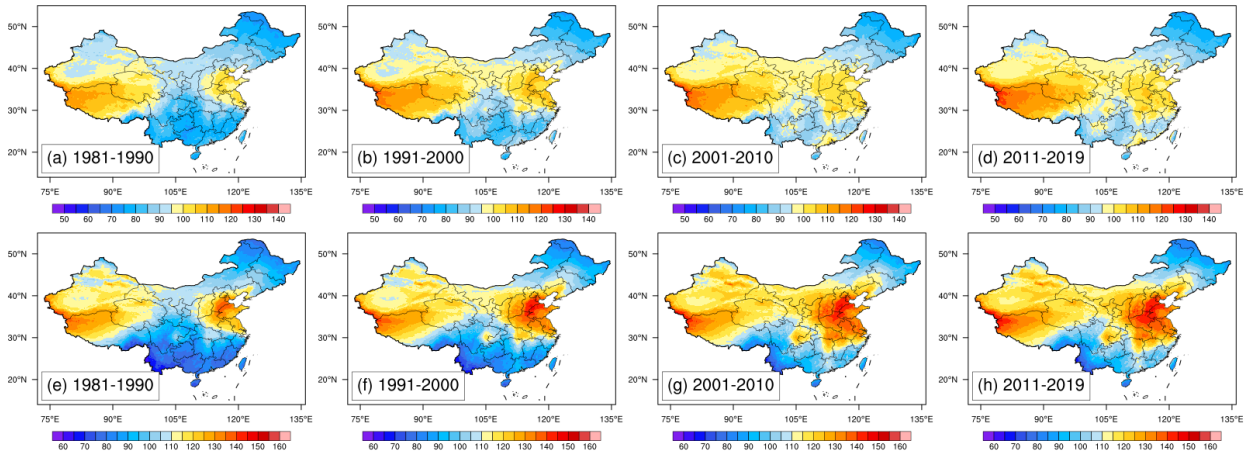
289

290 **Figure 3. Density scatter plots and linear regression statistics of O₃ predictions vs. observation for 2018: (a) bias-**
 291 **corrected MDA8-O₃ vs. observations; (b) GEOS-Chem MDA8-O₃ vs. observations; (c) bias-corrected hourly O₃ vs.**
 292 **observations; and (d) GEOS-Chem hourly O₃ vs. observations. The dashed red line indicates the 1:1 line, and the**
 293 **solid blue line indicates the line of best fit using orthogonal regression. The R^2 is the coefficient of determination,**
 294 **RMSE is the root-mean-square error, and N is the number of data points. The X and Y axis represents the O₃**
 295 **observations and predictions, respectively.**

296 3.2 Spatiotemporal distribution and trends of O₃ predictions

297 **Fig. 4** demonstrates the spatial patterns of averaged annual and warm-season (May-September) MDA8-O₃ from 1981
 298 to 2019. When compared to the high concentrations in the warm season, MDA8-O₃ concentrations are relatively lower at
 299 annual level. The annual and warm-season MDA8-O₃ concentrations have similar spatial distribution, and both present an
 300 increasing trend over the past decades, with more substantial increase observed between 1981 and 2010. The O₃ levels in
 301 southern China are lower than those in northern China, but they are still relatively high in the PRD region, which is
 302 consistent to findings in previous studies (e.g. Liu and Wang, 2020a). During the first decade of 1981–1990, high O₃
 303 concentration areas are mainly concentrated in the BTHs and northern Shandong. In the next two decades, O₃ pollution
 304 extensively expands to most of East and North China, spreading northward to Jilin and Liaoning, westward to Shanxi and
 305 Ningxia, and southward to northern Hunan, Shanxi and Zhejiang. Moreover, the SCB and PRD regions also experience
 306 aggravated O₃ pollution during this period. In the last decade of the study period, O₃ concentrations remain at high levels
 307 in BTHs and SCB without obvious changes. To understand the detailed changes and trends of O₃, next we analyze the
 308 interannual variability.

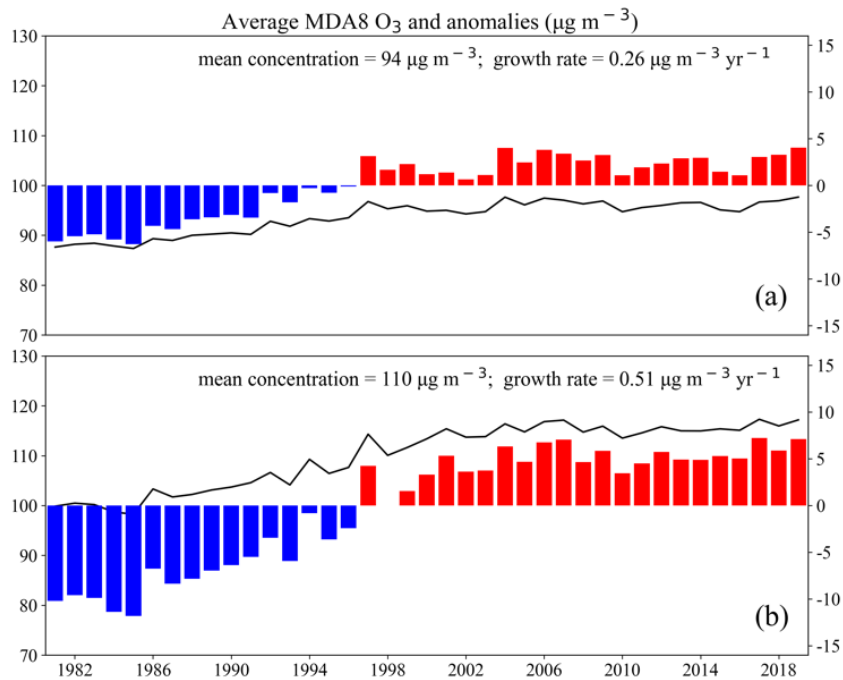
MDA8 O₃ (μg m⁻³)



309

310 **Figure 4. Spatial distribution of the annual mean MDA8-O₃ concentrations (μg m⁻³) during: (a) 1981–1990; (b)**
 311 **1991–2000; (c) 2001–2010; and (d) 2011–2019. Spatial distribution of the warm-season (May–September) mean**
 312 **MDA8-O₃ concentrations of (e)1981–1990, (f) 1991–2000, (g) 2001–2010; and (h) 2011–2019.**

313 **Fig. 5** shows that the annual averaged MDA8-O₃ concentrations increase from 87 μg m⁻³ in 1981 to 98 μg m⁻³ in
 314 2019, with a growth rate of +0.26 μg m⁻³ yr⁻¹, while the warm-season averaged MDA8-O₃ concentrations increase from
 315 100 μg m⁻³ in 1981 to 117 μg m⁻³ in 2019, having a growth rate of +0.51 μg m⁻³ yr⁻¹. Moreover, the average annual and
 316 warm-season O₃ concentrations have a more obvious upward trend before 2000s, with a growth rate of 0.38 μg m⁻³ yr⁻¹
 317 and 0.71 μg m⁻³ yr⁻¹, compared to that after 2000s, when O₃ concentrations appear to fluctuate within a certain range.
 318 GEOS-Chem-simulated O₃ has a similar trend as the bias-corrected O₃, but it generally overestimates O₃ concentrations on
 319 national scale (**Fig. S4**). The annual and warm-season averaged MDA8-O₃ concentrations in BTHs, YRD, SCB and PRD
 320 regions are shown in **Fig. S5–S6**. The warm-season increasing trend for BTHs, YRD, SCB and PRD regions are 0.32 μg
 321 m⁻³ yr⁻¹, 0.63 μg m⁻³ yr⁻¹, 0.84 μg m⁻³ yr⁻¹, and 0.81 μg m⁻³ yr⁻¹ from the year 1981 to 2019.



322

323 **Figure 5. The bias-corrected MDA8-O₃ predictions (black line; left y axis) and corresponding anomalies (colored**

324 **bar; right y axis) from 1981 to 2019: (a) annual mean; and (b) warm-season mean (May–September). The trends**
 325 **(growth rates) are obtained by ordinary linear regression on mean values of MDA8-O₃. The anomalies are defined**
 326 **as annual mean minus the multidecadal average over 1981–2019.**

327 In recent years, the worsening O₃ pollution has fueled numerous studies on ground-level O₃ spatial distribution and
 328 changes in China, which were conducted on local, regional and national scale using different O₃ fields from observations,
 329 CTMs and ML estimates. In this study, we mainly focus on the regional and national O₃ characteristics, and the reported
 330 O₃ trends in recent studies are listed in **Table 1**. By comparing the results of existing works, we find that source-varied O₃
 331 fields can induce great uncertainty of the O₃ trends. Moreover, the O₃ trends are found to be very sensitive to the study
 332 period even with the same O₃ fields (Wei et al., 2022), which indicates large interannual variability, mostly reflecting the
 333 changing anthropogenic emissions and meteorology (Lu et al., 2019; Li et al., 2020). In contrast to the perceptible O₃ trends,
 334 Liu et al. (2020) suggested that O₃ pollution in most parts of China has only modest changes between 2005 and 2017, and
 335 their trends were not spatially continuous. Wang et al. (2022b) also reported that O₃ has small positive increase rates for
 336 2013–2021 in many cities, and the O₃ increase rates greatly differ from site to site even within the same region.

337 In comparison, our results indicate no obvious increasing trends of national MDA8-O₃ within the same study period.
 338 On a regional scale, only BTHs have a perceptible increasing trend in more recent years, while no such trends are found
 339 over the YRD, SCB and PRD regions during the same period. The summertime MDA8-O₃ in BTHs has a change rate of
 340 +0.81 $\mu\text{g m}^{-3}\text{ yr}^{-1}$, which is much lower than the results using O₃ observations (Li et al., 2020). One possible reason is that
 341 most observational sites are in urban regions, which usually suffer more serious O₃ pollution, while the O₃ concentrations
 342 from model simulations and ML methods are calculated on the scale of a grid cell with lower domain-averaged values.
 343 Moreover, gridded data at a relatively coarse resolution may fail to capture larger site differences, leading to the larger
 344 discrepancy of between O₃ observations and gridded O₃ estimates.

345 **Table 1 Summary of reported regional and national MDA8-O₃ trends ($\mu\text{g m}^{-3}\text{ yr}^{-1}$).**

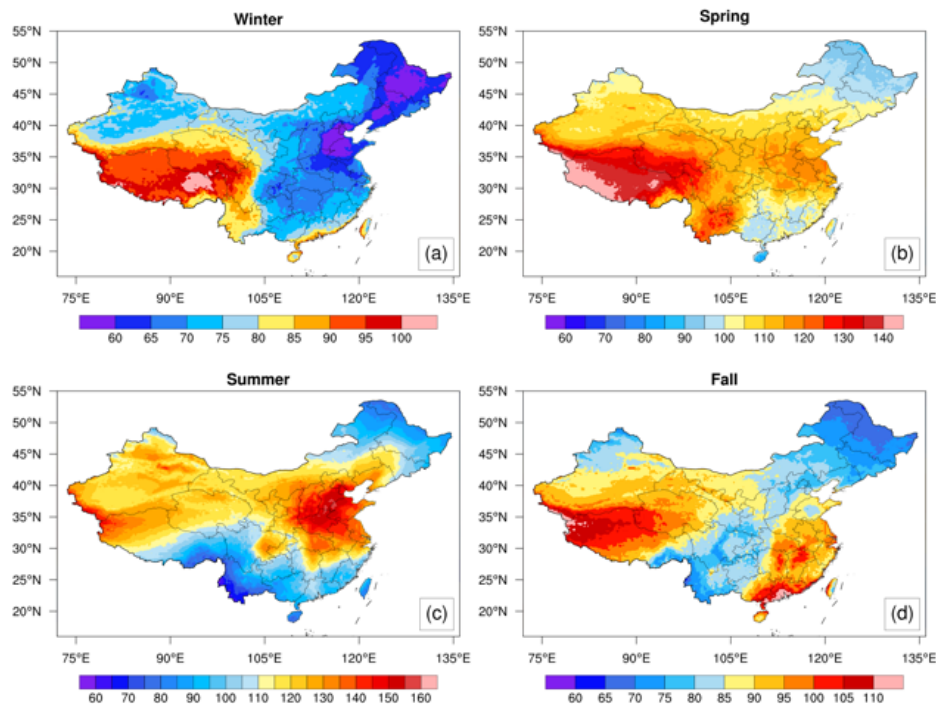
Region	Period	Increase rate	Data source/Method	References
Nation	2013–2017 (annual)	0.35	ML (XGBoost)	(Liu et al., 2020)
	2013–2017 (annual)	0.92	WRF-CMAQ	(Liu and Wang, 2020b)
	2013–2017 (annual)	1.33	ML (ERT)	(Wei et al., 2022)
	2015–2019 (annual)	4.40	ML (ERT)	(Wei et al., 2022)
	2015–2019 (annual)	1.90	Observations	(Maji and Namdeo, 2021)
	2013–2019 (summer)	3.80	Observations	(Li et al., 2020)
	1981–2019 (annual)	0.26	ML (LightGBM)	This study
	1981–2000 (annual)	0.38	ML (LightGBM)	This study
	1981–2019 (warm-season)	0.51	ML (LightGBM)	This study
BTH	1981–2000 (warm-season)	0.71	ML (LightGBM)	This study
	2010–2017 (annual)	0.60	ML (Random Forest)	(Ma et al., 2021)
	2013–2017 (annual)	1.33	ML (XGBoost)	(Liu et al., 2020)
	2013–2017 (annual)	4.78	ML (ERT)	(Wei et al., 2022)
	2012–2017 (summer)	1.16	GEOS-Chem	(Dang et al., 2021)
	2013–2019 (summer)	6.60	Observations	(Li et al., 2020)
	1981–2019 (summer)	0.46	ML (LightGBM)	This study
YRD	2013–2019 (summer)	0.81	ML (LightGBM)	This study
	2013–2017 (annual)	2.94	ML (ERT)	(Wei et al., 2022)
	2015–2019 (annual)	5.60	ML (ERT)	(Wei et al., 2022)
	2012–2017 (summer)	3.48	GEOS-Chem	(Dang et al., 2021)
	2013–2019 (summer)	3.20	Observations	(Li et al., 2020)
	1981–2019 (annual)	0.24	ML (LightGBM)	This study
	1981–2019 (summer)	0.73	ML (LightGBM)	This study
SCB	2013–2017 (annual)	2.37	ML (ERT)	(Wei et al., 2022)

	2013–2019 (summer)	1.40	Observations	(Li et al., 2020)
	1981–2019 (annual)	0.48	ML (LightGBM)	This study
	1981–2019 (summer)	0.98	ML (LightGBM)	This study
PRD	2007–2017 (annual)	1.20	Observations	(Yang et al., 2019)
	2013–2017 (annual)	−0.72	ML (ERT)	(Wei et al., 2022)
	2015–2019 (annual)	4.38	ML (ERT)	(Wei et al., 2022)
	2013–2019 (summer)	2.20	Observations	(Li et al., 2020)
	1981–2019 (annual)	0.56	ML (LightGBM)	This study
	1981–2019 (fall)	0.69	ML (LightGBM)	This study

346

347 3.3 Seasonal characteristics of O₃ predictions

348 Differences in averaged annual and warm-season O₃ concentrations indicate that O₃ has distinctive seasonal
349 characteristics. **Fig. 6** shows the seasonal variations in O₃ concentrations from 2011–2019, and results for other past three
350 decades are shown in **Fig. S7-S9**. In winter, pollution is mainly concentrated in the coastal areas of southern China. In
351 spring, O₃ pollution primarily occurs in eastern China and the southern part of Yunnan Province. O₃ pollution continues to
352 aggravate over eastern China in summer, particularly in BTHs, and further extends to SCB. The air quality in eastern and
353 central China is greatly improved in fall, while southern China experiences the most pollution in this period. In general,
354 the peak and trough values of O₃ concentrations appear in summer and winter, respectively. However, O₃ concentrations
355 are found to be minimum in summer and maximum in fall over PRD, which is largely determined by the summer monsoon
356 (Zhou et al., 2013; Wang et al., 2018a). **Fig. S10** shows the seasonal averaged MDA8-O₃ concentrations in different regions
357 from 1981 to 2019. In winter, O₃ concentrations do not have much change across the four regions over the past decades,
358 staying mostly between 70–80 µg m⁻³. Moreover, wintertime O₃ concentrations after the 2000s are generally lower than
359 that before the 2000s in BTHs, YRD and SCB. In contrast, summertime O₃ concentrations have a dramatic increase over
360 the four regions. In spring and fall, O₃ concentrations have an increasing trend in PRD, while it mostly fluctuates within a
361 certain range in the other three regions. The results show that O₃ in non-winter seasons has a more pronounced increase
362 during 1981–2019 albeit with regional differences. The regional characteristics of O₃ and its influencing factors will be
363 further discussed in Section 3.4. The BTH, SCB, YRD, and PRD regions have been identified as hotspots of O₃ pollution
364 in China. These regions are characterized by high population density (Wang et al., 2018b) and are also major agricultural
365 areas (Monfreda et al., 2008), which may face greater burdens of crop yield and human health losses with high O₃
366 concentrations. Therefore, here we provide more detailed analysis and investigation of these regions.



367

368 **Figure 6. Spatial distribution of the bias-corrected MDA8-O₃ predictions ($\mu\text{g m}^{-3}$) from 2011–2019: (a) winter; (b)**
 369 **spring; (c) summer; and (d) fall.**

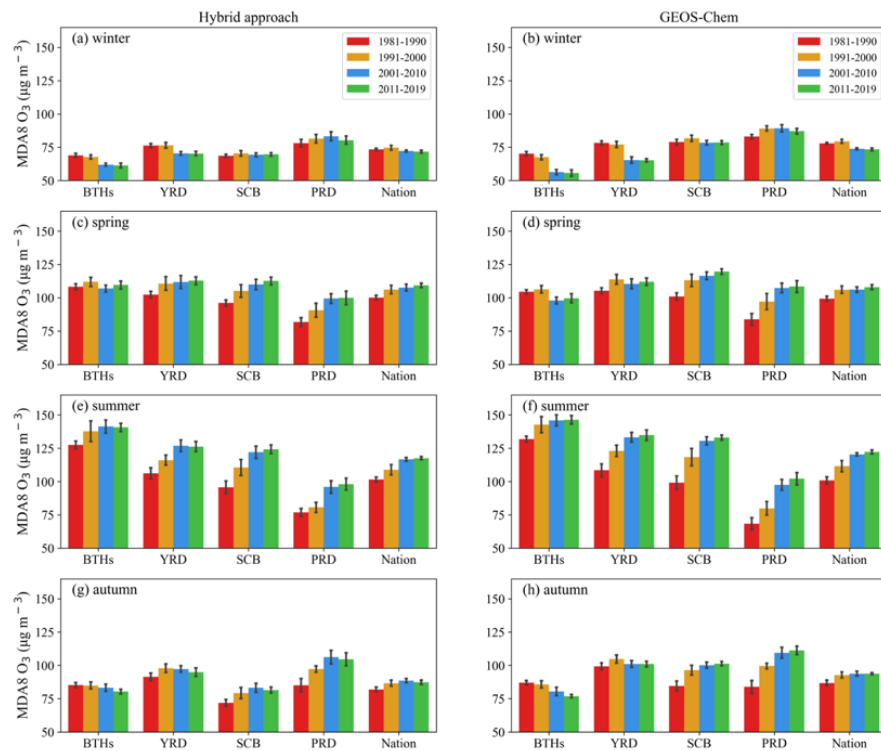
370 3.4 Regional characteristics of O₃ predictions

371 **Fig. 7** shows the bar plots of the seasonal MDA8-O₃ concentrations in each region from 1981–2019 for bias-corrected
 372 and GEOS-Chem-simulated O₃. For the bias-corrected O₃, the averaged summertime MDA8-O₃ concentrations in BTHs,
 373 YRD, SCB and fall-time MDA8-O₃ concentrations in PRD are $137 \pm 8 \mu\text{g m}^{-3}$, $119 \pm 10 \mu\text{g m}^{-3}$, $113 \pm 12 \mu\text{g m}^{-3}$ and $98 \pm$
 374 $10 \mu\text{g m}^{-3}$, with the increasing rate being $0.46 \mu\text{g m}^{-3} \text{yr}^{-1}$, $0.73 \mu\text{g m}^{-3} \text{yr}^{-1}$, $0.98 \mu\text{g m}^{-3} \text{yr}^{-1}$ and $0.69 \mu\text{g m}^{-3} \text{yr}^{-1}$ from 1981
 375 to 2019, respectively (**Fig. S11**). For GEOS-Chem-simulated O₃, the averaged summertime MDA8-O₃ concentrations in
 376 BTHs, YRD, SCB and fall-time MDA8-O₃ concentrations in PRD are $141 \pm 7 \mu\text{g m}^{-3}$, $125 \pm 11 \mu\text{g m}^{-3}$, $120 \pm 14 \mu\text{g m}^{-3}$
 377 and $100 \pm 12 \mu\text{g m}^{-3}$, respectively. It shows that O₃ concentrations of the four regions have a consistent upward trend in
 378 the summer over the past decades, but there are regional differences in other seasons. Compared to BTHs and YRD, PRD
 379 and SCB have more distinctive O₃ increases in spring and fall. Among these four regions, the O₃ concentrations in BTHs
 380 have the biggest seasonal differences, but have the smallest seasonal differences in PRD.

381 The spatiotemporal patterns of O₃ in China have been proven to largely depend on both emissions and meteorology.
 382 The regional O₃ pollution is usually found to be triggered by specific circulation patterns as local meteorological factors
 383 are modulated by synoptic-scale circulation patterns. China has a large territory and is affected by different weather systems.
 384 The continental high-pressure systems, components of East Asian summer monsoon (EASM) and tropical cyclones, among
 385 others, are critical synoptic conditions leading to O₃ formation and transport in China (Wang et al., 2022b; Han et al., 2020).
 386 For instance, regional O₃ pollution in North China usually occurs under a typical weather pattern of an anomalous high-
 387 pressure system at 500 hPa (Gong and Liao, 2019), which creates favorable meteorological conditions for high O₃ levels
 388 with high temperature, low relative humidity, anomalous southerlies and divergence in the lower troposphere. As one of
 389 the most important components of EASM, the Western Pacific subtropical high (WPSH) strongly influences summertime
 390 precipitation and atmospheric conditions in East China. A strong WPSH can decrease O₃ levels over YRD as enhanced
 391 moisture is transported into YRD under prevailing southwesterly winds (Zhao and Wang, 2017). Located on the southern
 392 coast of China, PRD features a typical subtropical monsoon climate. There O₃ concentrations are usually the lowest in

393 summer due to the prevailing southerlies with clean air from the ocean and the associated large rainfall, while the worst O₃
 394 pollution usually happens in fall mainly due to the occasional northerly winds during the monsoonal transition, thereby
 395 importing precursors from the north, and stable and still relatively warm and sunny weather conditions before the winter
 396 starts. Downdrafts in the periphery circulation of a typhoon system can also strongly enhance surface O₃ before typhoon
 397 landing (Jiang et al., 2015; Lu et al., 2021; Li et al., 2022). On one the hand, the poor ventilation in the peripheral subsidence
 398 region of typhoons favors the accumulation of O₃ and its precursors. On the other hand, the deep subsidence can transport
 399 the O₃ in the upper troposphere and lower stratosphere to surface, causing aggravated O₃ pollution. Moreover, smaller-
 400 scale circulation patterns, such as land-sea and mountain-valley breezes, also influence O₃ in coastal regions (Ding et al.,
 401 2004; Zhou et al., 2013; Wang et al., 2018a).

402 When compared to the hybrid approach, GEOS-Chem generally has similar O₃ distribution and trends over each
 403 region, while overestimating O₃ concentrations (**Table S4**). GEOS-Chem particularly overestimates wintertime and fall-
 404 time O₃ concentrations in SCB, which are $10 \pm 1 \mu\text{g m}^{-3}$ and $17 \pm 3 \mu\text{g m}^{-3}$ higher than those of the hybrid approach,
 405 respectively. Previous studies reported such model overestimates and proposed a number of explanations involving
 406 precursor emissions, dry deposition, and vertical mixing in the planetary boundary layer (PBL) (Lin et al., 2008; Travis et
 407 al., 2016; Fiore et al., 2005). Both observational analyses and inter-model comparisons suggested that the summertime dry
 408 deposition of O₃ calculated by the Wesely scheme in GEOS-Chem could be underestimated, which has been invoked as a
 409 cause for model overestimates of O₃. The biased emissions in the model, as consistent with the biased-high tropospheric
 410 NO_x columns, result in overestimated O₃. Travis et al. (2016) showed that GEOS-Chem with reduced NO_x emissions
 411 provides an unbiased simulation of O₃ observations from the aircraft and reproduces the observed O₃ production efficiency
 412 in the boundary layer. Lin et al. (2008) suggested that the excessive PBL mixing can lead to the biased-high O₃
 413 concentrations. The fully mixed O₃ throughout the PBL means that the higher O₃ concentrations in the upper PBL are
 414 brought down to the surface much more efficiently. Moreover, the excessive spatial averaging of emissions at coarser
 415 resolutions could also lead to systematic overestimation of regional O₃ production (Wild and Prather, 2006). In summary,
 416 with a higher prediction accuracy, the hybrid approach lends greater credence to using model simulations to extrapolate
 417 historical O₃ further back in time, which can furthermore provide us with more accurate estimates of O₃ impacts on crop
 418 production and human health.

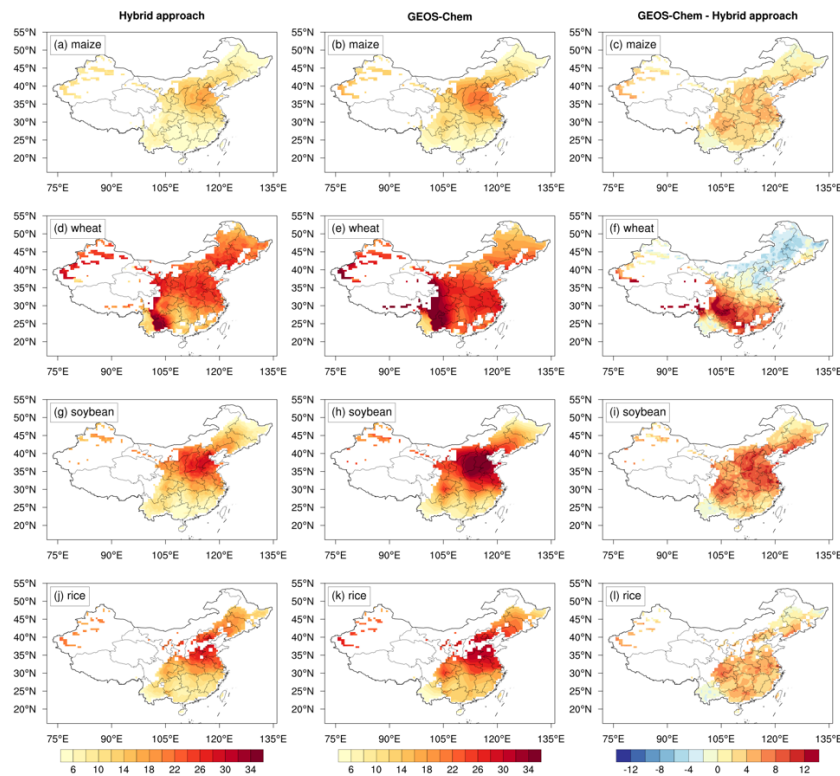


419

420 **Figure 7.** The seasonal mean MDA8-O₃ concentrations ($\mu\text{g m}^{-3}$) in different regions during 1981-2019. Bias-
 421 corrected MDA8-O₃ in: (a) winter; (c) spring; (e) summer; and (g) fall. GEOS-Chem MDA8-O₃ in: (b) winter; (d)
 422 spring; (f) summer; and (h) fall. The error bar represents the standard deviation.

423 3.5 Crop production losses attributable to O₃ pollution

424 **Fig. 8** shows the relative yield losses (RYLs; $\text{RYL} = 1 - \text{RY}$, where RY is the relative yield defined as the ratio of the
 425 O₃-affected yield to the yield without O₃ exposure) calculated with GEOS-Chem and bias-corrected O₃ using AOT40-
 426 China metric. For a given crop, the RYLs show generally consistent spatial distribution across the metrics, with BTHs
 427 having the most serious crop yield losses due to high O₃ concentrations. Compared to the bias-corrected O₃, using GEOS-
 428 Chem-simulated O₃ generally leads to larger yield losses, especially over BTHs and SCB, reflecting overestimated O₃
 429 concentrations by GEOS-Chem in cropland areas during the growing seasons (**Fig. S12**), primarily in spring and summer,
 430 which is consistent to the above analysis. GEOS-Chem-simulated O₃ leads to slightly underestimated wheat yield loss only
 431 over some parts of BTHs, mostly because the primary growing period of wheat there is in winter and spring, and GEOS-
 432 Chem has lower O₃ estimates than the hybrid approach during this period there (**Table S4**).



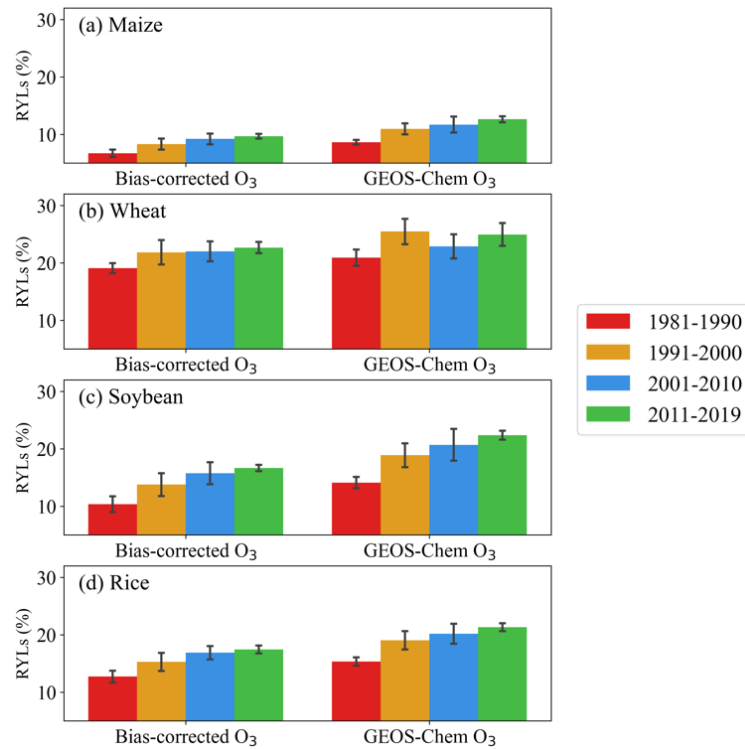
433 **Figure 8.** Estimated annual mean relative yield losses (RYLs, in %) of four staple crops from 1981–2019 using the
 434 AOT40-China metric. The estimated RYLs using bias-corrected O₃: (a) maize; (d) wheat; (g) soybean; and (j) rice.
 435 The estimated RYLs using GEOS-Chem-simulated O₃: (b) maize; (e) wheat; (h) soybean; and (k) rice. The
 436 differences in estimated RYLs between GEOS-Chem-simulated and bias-corrected O₃: (c) maize; (f) wheat; (i)
 437 soybean; and (l) rice. The GEOS-Chem-simulated O₃ were regridded to $0.5^\circ \times 0.5^\circ$ for comparison with bias-
 438 corrected O₃.
 439

440 **Fig. 9** shows the bar plots of the relative yield for each crop using AOT40-China exposure-yield response relationship.
 441 Crop yield losses are generally consistent with the O₃ trends as the exposure-yield relationships used here are essentially a
 442 set of linear functions. Most crops experience aggravated yield losses over the past four decades due to enhanced O₃
 443 concentrations, except for wheat, which has the largest yield loss during the period 1991 to 2000. The reason could be that

444 BTHs have the highest O₃ concentrations in spring during the 1990s, which is the primary growing season for wheat (**Fig.**
445 **S13**). Noticeable uncertainties of crop yield losses are found across metrics.

446 The average annual crop RYLs from 1981 to 2019 for wheat, rice, soybean and maize range from 1.1 to 13.4%, 2.7 to
447 13.4%, 6.3 to 24.8% and 0.8 to 7.4%, respectively. The differences in yield losses across crops reflect the dependence on
448 crop-specific phenology and ecophysiology. The estimated annual RYLs using bias-corrected O₃ for wheat, rice, soybean
449 and maize from 1981 to 2019 range from 17.5–25.5%, 10.7–19.1%, 7.3–17.9% and 7.1–12.7%, with a growth rate of 0.03%
450 yr⁻¹, 0.04% yr⁻¹, 0.27% yr⁻¹ and 0.13% yr⁻¹. Wheat is the most sensitive crop to the O₃ concentrations, whereas maize is
451 the least sensitive. Using GEOS-Chem-simulated O₃, the estimated annual RYLs for wheat, rice, soybean and maize from
452 1981 to 2019 are 18.7–28.7%, 14.0–22.0%, 12.4–23.1%, and 7.9–13.2%, having a growth rate of 0.08% yr⁻¹, 0.14% yr⁻¹,
453 0.23% yr⁻¹ and 0.11% yr⁻¹. There are noticeable differences in crop yield estimates using the bias-corrected and GEOS-
454 Chem O₃, indicating again the importance of the bias-corrected high-resolution O₃ data in related crop issues.

455 In existing studies evaluating the O₃-induced crop losses in China, which also use exposure-yield relationship derived
456 from the experiments conducted in Asia, Zhang et al. (2017) reported that the ambient O₃ concentrations in Northeast China
457 cause substantial annual yield loss of soybean ranging from 23.4% to 30.2% during 2013 and 2014, depending on the O₃
458 metric used (including AOT40, W126, SUM06 and a flux-based metric). Feng et al. (2022), using AOT40, indicated that
459 the annual average RYLs of wheat (33%), rice (23%) and maize (9%) from 2017 to 2019. Our correspondingly estimated
460 RYLs for rice (18.0%) and maize (10.0%) are generally consistent to their results, while the RYLs for soybean (16.4%)
461 and wheat (23.4%) are much lower than the estimates. Since we used the same exposure-yield response relationships in
462 their studies, the discrepancies are primarily attributed to the differences in used metrics (only for soybean), O₃ fields and
463 sensitivity of crop to the changes of O₃ concentrations (Mukherjee et al., 2021; Feng et al., 2022; Mills et al., 2018). In
464 Zhang et al. (2017), the O₃ measurements are obtained from the experimental field (45°73'N, 126°61'E), and in Feng et al.
465 (2022), the measured O₃ concentrations are from over 3,000 monitoring sites across East Asia. The results of comparison
466 are consistent to the previous analysis of O₃ trends and variability from different sources, where the domain-average values
467 of O₃ observations are larger than gridded O₃ from model simulations (**Section 3.2**) and thus lead to larger estimates of
468 RYLs. On one hand, it indicates that O₃ fields should be considered as a great source of uncertainty when comparing the
469 results of previous studies using source-varied O₃ fields. Moreover, different degrees of importance should be given for
470 specific crops, for example, the changes in O₃ concentrations have a larger impact on wheat crop. On the other hand, it
471 highlights again the necessity and importance of bias correction for model-simulated O₃ when O₃-inudec crop reduction.



472

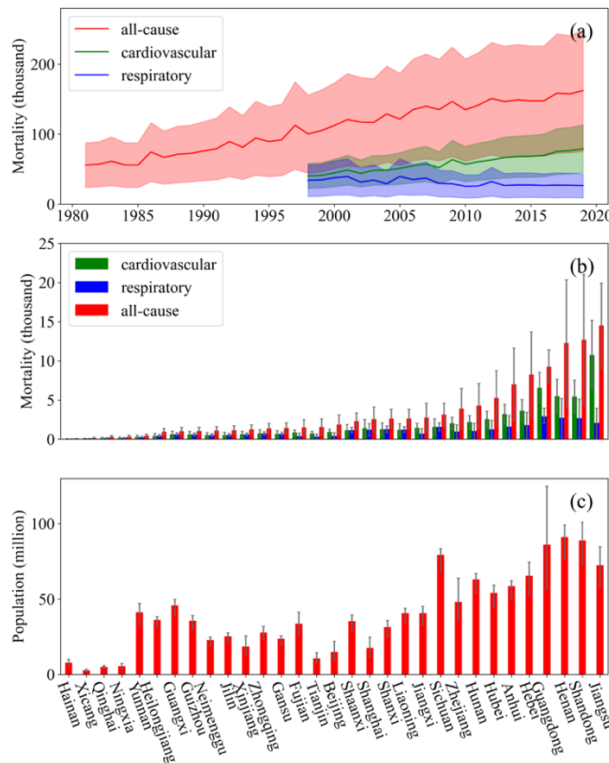
473 **Figure 9. The estimated decadal mean relative yield losses (RYLs) of four staple crops using different metrics from**
 474 **1981–2019. The estimated RYLs using bias-corrected O₃: (a) maize; (c) wheat; (e) soybean; and (g) rice. The**
 475 **estimated RYLs using GEOS-Chem-simulated O₃: (b) maize; (d) wheat; (f) soybean; and (h) rice. The error bar**
 476 **represents the standard deviation.**

477 **3.6 Health impacts attributable to O₃ pollution**

478 The estimated annual all-cause premature deaths induced by O₃ increase from 55,876 in 1981 to 162,370 in 2019 with
 479 an increasing trend of +2,979 deaths yr⁻¹. The annual premature deaths related to respiratory and cardiovascular diseases
 480 are 34,155 and 40,323 in 1998, and 26,471 and 79,021 in 2019, having a rate of change of -546 and +1,773 deaths yr⁻¹
 481 during 1998–2019, respectively (**Fig. 10a**). Among three types of health outcomes, only respiratory diseases experienced
 482 a decreasing trend in premature mortality, and the premature mortality is constantly below 40,000. The decreasing trend of
 483 the respiration-related mortality primarily results from the decreased annual baseline mortality rate over the past decades
 484 (**Fig. S14**). As the total respiratory-related deaths decreased over the past decades, respiratory O₃ deaths are decreasing
 485 even under aggravated O₃ pollution. Based on GEOS-Chem-simulated O₃, the corresponding estimated change rate for all-
 486 cause disease is +3,516 deaths yr⁻¹ from 50,384 in 1981 to 176,741 in 2019. Premature mortality induced by respiratory
 487 disease decreases from 37,822 in 1998 to 29,079 in 2019 with a change rate of -584 deaths yr⁻¹, while cardiovascular
 488 disease increases from 44,516 in 1998 to 85,980 in 2019 with a change rate of +1,977 deaths yr⁻¹ (**Fig. S15**). The result
 489 shows that using GEOS-Chem-simulated O₃ generally gives higher estimates of mortality than using the bias-corrected
 490 data. **Fig. 10b** shows the provincial annual average premature mortality of different health endpoints. The five provinces
 491 with the highest all-cause mortality are Jiangsu [14,510 (95% CI: 9,022–19,935)], Shandong [12,684 (95% CI: 4,258–
 492 20,990)], Henan [12,290 (95% CI: 4,125–20,343)], Guangdong [9,268 (95% CI: 7,224–11,416)] and Hebei [8,276 (95%
 493 CI: 2,776–13,706)], which are generally consistent with previous studies for China (Zhang et al., 2021; Zhang et al., 2022a).
 494 Similar distribution can be found for respiratory and cardiovascular diseases but with a different ranking order. Generally,
 495 those provinces in densely populated areas (**Fig. 10c**) with higher O₃ concentrations, such as BTHs, YRD and PRD, have
 496 higher health burdens. In contrast, the northeastern and southern China (excluding Guangdong) suffer the least life losses

497 induced by O₃ exposure (**Fig. S16**).

498 When compared with estimates from previous studies, our estimates of are generally quite consistent with that given
499 by Maji and Namdeo (2021), which reported that the short-term all-cause, cardiovascular and respiratory premature
500 mortalities attributed to ambient O₃ exposure were 156,000, 73,500 and 28,600 in 2019. Based on O₃ observations in 334
501 Chinese cities, Zhang et al. (2021) suggested that the national all-cause, respiratory, cardiovascular mortalities attributable
502 to O₃ are 270,000 to 390,000, 49,000 to 63,000, and 150,000 to 220,000 across 2015–2018, which are much higher than
503 most existing results. Since the methodological approaches are largely similar and we use the log-linear exposure-response
504 function, we ascribe that the very high estimated mortalities are mainly due to concentration–response threshold X_0 assumed
505 to be zero in their study. A lower X_0 means that O₃ can cause more adverse impacts on human health even at low
506 concentrations, thus leading to higher mortalities.



507 **Figure 10. (a) Annual premature mortality (thousand) for different diseases over the past decades; (b) annual mean**
508 **province-based mortality (thousand) attributed to different health endpoints; and (c) annual mean province-based**
509 **population (million). The mortality is calculated using the bias-corrected O₃.**
510

511 4. Conclusions and discussion

512 In this study, to have a more accurate characterization of O₃ spatiotemporal distribution and trends as well as their
513 impacts on agriculture and human health, we used a hybrid approach to generate bias-corrected O₃ data across China from
514 1981 to 2019. The hybrid approach helps improve O₃ predictions by taking advantage of a chemical transport model, a ML
515 algorithm and increasing availability of high-resolution environmental and meteorological data. In the model training
516 process, we found that utilizing a higher-resolution meteorological dataset, albeit one that is not the same as the default
517 CTM input meteorology, has high potential to enhance the performance of the hybrid model in reproducing observed O₃
518 concentrations. The validation shows that the bias-corrected O₃ can achieve a higher prediction accuracy than GEOS-
519 Chem-simulated O₃ alone when compared with historical in-situ measurements. Before being corrected, the GEOS-
520 Chem-simulated O₃ concentrations tend to be overestimated and lead to higher crop yield losses and larger O₃-induced mortalities.

521 Noticeable differences in crop RYLs and mortality estimates highlight the advantages of using high-resolution O₃ data to
522 improve our understanding of long-term O₃ impacts.

523 When examining the regional and national O₃ trends, we found that MDA8-O₃ concentrations have a perceptible
524 increasing trend before 2000s, but fluctuate within a certain range with large interannual variabilities in more recent years.
525 The large discrepancies in previous studies indicate that the regional and national O₃ trends in China still suffer with great
526 uncertainties, particularly when different approaches are used to produce the O₃ estimates. However, these studies using
527 source-varied O₃ fields consistently show the great interannual variabilities of O₃ concentrations. Some insights can be
528 obtained from existing findings, which need to be carefully considered when examining O₃ trends and comparing them
529 with existing results. First, given the large site differences, the calculation of observational O₃ trends is very sensitive to
530 the subsets of data from networks. Thus, great uncertainty could still exist even using O₃ observations from the same source
531 depending on the chosen subsets of data. Second, different formats of O₃ fields (e.g., site-based and gridded) could lead to
532 large uncertainties of the O₃ trend estimates. A higher resolution of gridded O₃ estimates from CTMs and ML may reduce
533 the differences between O₃ observational results. Third, the calculated O₃ trends are very sensitive to the chosen study
534 period due to large interannual variability and seasonal differences. The changing meteorological conditions are the major
535 factor causing the large interannual O₃ variations, and reductions in the emissions of NO_x, SO₂ and PM also have complex
536 effects on ground-level O₃ concentrations (Wang et al., 2022b). Liu and Wang (2020a) suggested that the meteorological
537 impacts on O₃ trends vary region by region and year by year and could be comparable with or even larger than the impacts
538 of changes in anthropogenic emissions.

539 Our estimated RYLs for maize and rice and soybean in China are generally consistent to existing studies, while the
540 RYLs for soybean and wheat are lower than their estimates mainly due to the differences in used metrics, O₃ fields and
541 crop sensitivity to ambient O₃ concentrations. It suggests that plating O₃-resistant cultivars could be an effective approach
542 to increase total crop production to meet the increasing food demands. In addition to the metrics and O₃ fields, uncertainties
543 of estimated O₃-induced crop losses could be also from other sources (e.g., exposure-yield relationships). Though some
544 other metrics (e.g., M7/M12 and W126) have also been used in some studies (Van Dingenen et al., 2009; Avnery et al.,
545 2013; Wang et al., 2022c), there are not available exposure-yield relationships for all four major crops specific for China.
546 The estimated RYLs for crops could be largely biased using metrics with exposure-yield relationships developed for U.S.
547 or Europe (**Fig. S17**), as they are inadequate to represent Asian crop genotypes and environmental conditions. So, the
548 region-specific exposure-yield relationships are highly recommended to be used in future study estimating the O₃-induced
549 crop reduction, especially for the regional study.

550 In recent years, although existing studies have made efforts to quantify the O₃-related health impacts in China, only a
551 few focused on the nationwide acute O₃ health burden assessment, particularly for assessment over multiple decades (Maji
552 and Namdeo, 2021; Sahu et al., 2021; Zhang et al., 2021; Zhang et al., 2022a). There are some remaining issues to be
553 addressed regarding O₃ health impacts. For instance, the existence of a “safe” threshold of O₃ levels still remains debated.
554 A recent study reported that no consistent evidence was found for a threshold in the O₃-mortality concentration-response
555 relationship in seven cities of Jiangsu Province, China during 2013–2014 (Chen et al., 2017; Maji and Namdeo, 2021).
556 Given the importance of the threshold assumption in assessing health effects of air pollution, more studies are needed to
557 determine a most likely threshold for O₃-mortality association in the future. Moreover, the multiple temporal O₃ metrics
558 (e.g., 1-h maximum and daytime average O₃ concentrations) have also been proved to play an important role in the
559 variability of estimated health effects, even though standard ratios are used to convert among multiple metrics (Anderson
560 and Bell, 2010). In addition to the uncertainties from varying methodologies, interpretation of the O₃ epidemiological
561 impact is also constrained by the variability in geographical, seasonal, and demographic characteristics (Yin et al., 2017b).
562 Liu et al. (2013) suggested that associations between O₃ and mortality appeared to be more evident during the cool season
563 than in the warm season, and stronger in the oldest age group and among those with less education. The effect modification

564 by population susceptibility and the confounding effects of concomitant exposures (temperature, particulate matter, etc.)
565 should be further considered in future works.

566 A major limitation of our study lies in the uncertain predictions in regions where monitoring data are scarce (e.g., the
567 western half of China). The monitoring sites are sparsely distributed in those areas, which may fail to capture the accurate
568 association between O₃ concentrations and various predictors there, especially considering that the ML algorithm has likely
569 over-emphasized such relationships in the data-intensive eastern regions. Second, the land use data were prescribed in 2013
570 due to the limited availability of data, and this may neglect some major land use changes in China over the past decades.
571 Though the land use data were found by the ML algorithm to contribute little to the overall model, more detailed land use
572 data are expected to further increase model accuracy. In addition, though concentration-based metrics are easy to calculate
573 and ensured to be scientifically sound in some experiments (Fuhrer et al., 1997; Mills et al., 2007), they do not consider
574 the active responses of plant ecophysiology to ambient climatic and environmental changes and thus likely inadequate for
575 examining yield losses in a future climate and atmospheric environment (Tai et al., 2021). So, flux-based metrics are
576 recommended in future studies to better understand the long-term evolution of crop losses over China (Feng et al., 2012;
577 Zhang et al., 2017; Tai et al., 2021; Pleijel et al., 2022), wherein more crop- and region-specific experiments and trials are
578 needed to acquire appropriate metrics and exposure-yield response functions and calibrate the process-based crop model.

579 Despite these limitations, our study represents important progress in evaluating the long-term, multidecadal health
580 burdens and agricultural losses resulting from O₃ pollution in China. Across the four major regions, BTHs experience the
581 highest RYLs for major crops due to elevated O₃. On the other hand, the YRD and PRD regions have greater human health
582 losses primarily due to their large population size. The results can provide important references for governments and
583 agencies when making related national or regional policies to meet the imperative environment, health, and food security
584 demands. To effectively address O₃ impacts, collaborative efforts can be made in multifaceted aspects: (1) to implement
585 stricter regulations and specific emission control measures for major ozone precursors from industrial, vehicular and
586 agricultural sources that account for region-specific chemical, meteorological and terrestrial conditions; (2) to encourage
587 the adoption of more sustainable and adaptive agricultural practices that minimize O₃ exposure and its damage on crops
588 (e.g., cultivating O₃-resistant crop varieties); (3) to improve short-range O₃ forecast capabilities of regional models,
589 especially with the enhancement of artificial intelligence technology, which may enable better early warning systems to
590 prepare the public and farmers for O₃ episodes; (4) to raise public awareness via promotional campaigns and educational
591 programs to inform individuals, communities, and farmers about the risks associated with O₃. It is important for
592 policymakers to consider these suggestions and act to effectively mitigate the negative O₃ impacts.

593 **Data availability.** Model output data used for analysis and plotting can be made available in nc format by contacting the
594 corresponding author (Amos P. K. Tai at amostai@cuhk.edu.hk).

595 **Competing interests.** The authors declare that neither they nor their co-authors have any competing interests.

596 **Author contributions.** APKT designed the study and supervised the writing of the paper. JM conducted model simulation,
597 analyzed results, and wrote the draft with the assistance of TGY and KTC. DHYY performed the GEOS-Chem simulations.
598 ZZF assisted in the interpretation of the results. All authors contributed to the discussion and improvement of the paper.

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