- 1 Boundary of nighttime ozone chemical equilibrium in the mesopause region: long-
- 2 term evolution determined using 20-year satellite observations
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9 Abstract. The assumption of nighttime ozone chemical equilibrium (NOCE) is widely employed for retrieving the  $O_x$ -HO<sub>x</sub> components in the mesopause from rocket and satellite measurements. In this 10 work, the recently developed analytical criterion of determining the NOCE boundary is used (i) to study 11 12 the connection of this boundary with O and H spatiotemporal variability based on 3D modeling of 13 chemical transport, and (ii) to retrieve and analyze the spatiotemporal evolution of the NOCE boundary in 2002-2021 from the SABER/TIMED data set. It was revealed, first, that the NOCE boundary reproduces 14 well the transition zone dividing deep and weak photochemical oscillations of O and H caused by the 15 diurnal variations of solar radiation. Second, the NOCE boundary is sensitive to sporadic abrupt changes 16 in the middle atmosphere dynamics, in particular, due to powerful sudden stratospheric warmings leading 17 to the events of an elevated (up to ~80 km) stratopause, which took place in January-March 2004, 2006, 18 19 2009, 2010, 2012, 2013, 2018, and 2019. Third, the space-time evolution of this characteristic expressed via pressure altitude contains a clear signal of 11-year solar cycle in the 55°S-55°N range. In particular, 20 21 the mean annual NOCE boundary averaged in this range of latitudes anticorrelates well with  $F_{10.7}$  index 22 with the coefficient -0.95. Moreover, it shows a weak linear trend of the 56.2±42.2 m/decade.

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#### 24 **1 Introduction**

The mesopause (80-100 km) is an interesting region of the Earth's atmosphere possessing quite a 25 number of unique phenomena and processes which can be considered as sensitive indicators/predictors of 26 27 global climate change and anthropogenic influences on atmospheric composition (e.g., Thomas et al., 1989). Here, the summer temperature at middle and high latitudes reaches its lowest values (down to 28 29 100K (Schmidlin, 1992)). The temperatures below 150K lead to water vapour condensation and 30 formation of the highest altitude clouds in the Earth's atmosphere, the so-called Polar Mesospheric Clouds or Noctilucent Clouds consisting primarily of water ice (Thomas, 1991). In turn, the temperature 31 32 of the winter mesopause is essentially higher, so there is a strong negative temperature gradient between the summer and winter hemispheres. At these altitudes, atmospheric waves of various spatiotemporal 33 34 scales are observed, in particular, internal gravity waves coming from the lower atmosphere. Destruction of gravity waves leads to strong turbulence that affects the atmospheric circulation and ultimately 35 36 manifests itself in the mentioned temperature structure of this region.

Many layer phenomena in the mesopause are related to the photochemistry of the  $O_x$ -HO<sub>x</sub> components (O, O<sub>3</sub>, H, OH, and HO<sub>2</sub>). There is a narrow (in height) transition region where photochemistry behaviour transforms rapidly from "deep" diurnal oscillations, when the difference between daytime and nighttime values of the O<sub>x</sub>-HO<sub>x</sub> components can reach several orders of magnitude, to weak photochemical oscillations. As a result, above this region, O and H accumulate to form the

corresponding layers. This layer formation manifests itself in the appearance of a secondary ozone 42 maximum and airglow layers of OH and O excited states. Thus, O<sub>x</sub>-HO<sub>x</sub> photochemistry in the 43 mesopause is responsible for the presence of important (first of all, from a practical point of view) 44 indicators observed in the visible and infrared ranges, which are widely used for ground-based and 45 46 satellite monitoring of climate changes and wave activity. Moreover, O<sub>x</sub>-HO<sub>x</sub> photochemistry provides 47 the total chemical heating rate of this region, influences the radiative cooling and other useful airglows 48 (for example, by O<sub>2</sub> excited states), is involved in the plasma-chemical reactions and formation of layers 49 of the ionosphere. The mentioned transformation of O<sub>x</sub>-HO<sub>x</sub> behaviour with height may occur via the nonlinear response of O<sub>x</sub>-HO<sub>x</sub> photochemistry to the diurnal variations of solar radiation in the form of 50 subharmonic (with periods of 2, 3, 4, and more days) or chaotic oscillations (e.g., Sonnemann and 51 52 Fichtelmann, 1997; Feigin et al., 1998). This unique phenomenon was predicted many years ago 53 (Sonnemann and Fichtelmann, 1987) and investigated theoretically by models taking into account 54 different transport processes (Sonnemann and Feigin, 1999; Sonnemann et al., 1999; Sonnemann and 55 Grygalashvyly, 2005; Kulikov and Feigin, 2005; Kulikov, 2007; Kulikov et al., 2020). It was revealed, in particular, that the nonlinear response is controlled by vertical eddy diffusion (Sonnemann and Feigin, 56 1999; Sonnemann et al., 1999), so that 2-day oscillations can only survive at real diffusion coefficients, 57 but the eddy diffusion in zonal direction leads to the appearance of the so-called reaction-diffusion waves 58 in the form of propagating phase fronts of 2-day oscillations (Kulikov and Feigin, 2005; Kulikov et al., 59 2020). Recently, the satellite data processing revealed the first evidence of the existence of 2-day 60 photochemical oscillations in the real mesopause (Kulikov et al., 2021). 61

62 While regular remote sensing measurements of most  $O_x$ -HO<sub>x</sub> components are still limited, the 63 indirect methods based on the physicochemical assumptions are useful tools for monitoring these trace gases. In many papers, O and H distributions were retrieved from the daytime and nighttime rocket and 64 satellite measurements of the ozone and the volume emission rates of OH(v), O(<sup>1</sup>S), and O<sub>2</sub>( $a^{1}\Delta_{g}$ ) (Good, 65 1976; Pendleton et al., 1983; McDade et al., 1985; McDade and Llewellyn, 1988; Evans et al., 1988; 66 Thomas, 1990; Llewellyn et al., 1993; Llewellyn and McDade, 1996; Mlynczak et al., 2007, 2013a, 67 2013b, 2014, 2018; Smith et al., 2010; Xu et al., 2012; Siskind et al., 2008, 2015). The retrieval technique 68 is based on the assumption of ozone photochemical/chemical equilibrium and physicochemical model of 69 70 the corresponding airglow, which describe the relationship between local O and H values and 71 measurement data.

The daytime photochemical ozone equilibrium is a good approximation everywhere in the mesosphere – lower thermosphere (MLT) region (Kulikov et al., 2017) due to ozone photodissociation, whereas the applicability of the assumption of nighttime ozone chemical equilibrium (NOCE) is limited: there is an altitude boundary above which NOCE is satisfied to an accuracy better than 10%. Below this 76 boundary, the ozone equilibrium is disturbed essentially and cannot be used. Good (1976) supposed that 77 NOCE is fulfilled above 60 km, whereas other papers apply the NOCE starting from 80 km, independent of latitude and season. However, studies of NOCE within the framework of the 3D chemical-transport 78 79 models (Belikovich et al., 2018; Kulikov et al., 2018a) revealed that the NOCE boundary varies within 80 the range of 81–87 km, depending on latitude and season. In view of the practical need to determine the 81 local altitude position of this boundary, Kulikov et al. (2018a) presented a simple criterion determining 82 the equilibrium boundary using only the data provided by the SABER (Sounding of the Atmosphere 83 using Broadband Emission Radiometry) instrument onboard the TIMED (Thermosphere Ionosphere 84 Mesosphere Energetics and Dynamics). Making use of this criterion, Kulikov et al. (2019) retrieved the annual evolution of the NOCE boundary from the SABER data. It was revealed that a two-month 85 86 averaged NOCE boundary essentially depends on season and latitude and can rise up to ~ 86 km. 87 Moreover, the analysis of the NOCE boundary in 2003-2005 showed that this characteristic was sensitive 88 to unusual dynamics of stratospheric polar vortex during the 2004 Arctic winter, which was named a 89 remarkable winter in the 50-year record of meteorological analyses (Manney et al., 2005). Moreover, 90 Belikovich et al. (2018) found by 3D simulation that the excited OH layer repeats well spatiotemporal 91 variability of the NOCE boundary. These results allowed us to speculate that the NOCE boundary can be 92 considered as an important indicator of mesopause processes.

93 The main goals of this paper are (1) to investigate the relationship between the NOCE boundary 94 according to the mentioned criterion and O and H variability with the use of the 3D chemical transport model, and (2) to retrieve and analyze the spatiotemporal evolution of the NOCE boundary in 2002-2021 95 96 from the SABER/TIMED data set. In the next section, we present the used model. In Section 3, we briefly describe the criterion of determining the NOCE boundary local height and study how this height is related 97 98 to the features of O and H distributions from the 3D model. Section 4 explains the methodology of 99 determining the NOCE boundary from satellite data. Section 5 presents the main results obtained from SABER/TIMED data discussed in Section 6. 100

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## 102 **2 3D model**

We use the 3D chemical transport model of the middle atmosphere developed by the Leibniz Institute of Atmospheric Physics (Sonnemann et al., 1998; Körner and Sonnemann, 2001; Grygalashvyly et al., 2009; Hartogh et al., 2004, 2011). The three-dimensional fields of temperature and winds were adopted by Kulikov et al. (2018b) from the Canadian Middle Atmosphere Model (Scinocca et al., 2008) for the year 2000 with an updated frequency of 6 hours. To exclude unrealistic jumps in the evolution of calculated chemical characteristics, linear smoothing between two subsequent updates of these parameters

is applied. The model takes into account 3D advective transport and vertical diffusive transport (both, 109 turbulent and molecular). The Walcek-scheme (Walcek, 2000) and the implicit Thomas algorithm 110 (Morton and Mayers, 1994) are used for advective and diffusive transport, respectively. The model grid 111 includes 118 pressure-height levels (from the ground to ~135 km), 32 and 64 levels in latitude and 112 longitude, respectively. The chemical part considers 22 constituents (O, O(<sup>1</sup>D), O<sub>3</sub>, H, OH, HO<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, 113 H<sub>2</sub>O, N, NO, NO<sub>2</sub>, NO<sub>3</sub>, N<sub>2</sub>O, CH<sub>4</sub>, CH<sub>2</sub>, CH<sub>3</sub>, CH<sub>3</sub>O<sub>2</sub>, CH<sub>3</sub>O, CH<sub>2</sub>O, CHO, CO, CO<sub>2</sub>), 54 two- and 114 three-body reactions, and 15 photo-dissociation reactions. The model uses pre-calculated dependences of 115 dissociation rates on altitude and solar zenith angle (Kremp et al., 1999). The chemistry is calculated by 116 the Shimazaki scheme (Shimazaki, 1985) for the integration time of 9 sec. 117

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## 119 **3 The NOCE criterion**

The nighttime ozone chemistry at the mesopause heights is determined mainly by two reactions R1-R2 (e.g., Allen et al., 1984), see Table 1. The secondary ozone loss via the  $O + O_3 \rightarrow 2O_2$  reaction becomes important above ~ 95 km (Smith et al., 2009). Kulikov et al. (2023) verified with simulated and measured data that this reaction does not influence the NOCE boundary determination and may be skipped. Thus, the ozone equilibrium concentration ( $O_3^{eq}$ ) corresponding to the instantaneous balance between the production and loss terms is as follows:

126 
$$O_3^{eq} = \frac{k_1 \cdot O \cdot O_2 \cdot M}{k_2 \cdot H},\tag{1}$$

127 where *M* is air concentration, and  $k_{1-2}$  are the corresponding rate constants of the reactions (see Table 1).

As mentioned above, the NOCE criterion was developed in Kulikov et al. (2018a). The main idea is that the local values of  $O_3$  and  $O_3^{eq}$  are close ( $O_3(t) \approx O_3^{eq}(t)$ ), when  $\tau_{O_3} \ll \tau_{O_3^{eq}}$ , where  $\tau_{O_3}$  is the ozone lifetime and  $\tau_{O_3^{eq}}$  is the local time scale of  $O_3^{eq}$ :

131 
$$au_{0_3} = \frac{1}{k_2 \cdot H},$$
 (2)

132 
$$au_{O_3}^{eq} \equiv \frac{O_3^{eq}}{|dO_3^{eq}/dt|} = \frac{O}{H \cdot \left|\frac{d}{dt}\left(\frac{O}{H}\right)\right|}.$$
 (3)

As shown in Kulikov et al. (2018a),  $\tau_{O_3}{}^{eq}$  can be determined from a simplified photochemical model describing the O<sub>x</sub>-HO<sub>x</sub> evolution in the mesopause region (Feigin et al., 1998), so the criterion of the NOCE validity can be written in the form:

136 
$$Cr = \frac{\tau_{O_3}}{\tau_{O_3} eq} = 2 \frac{k_1 \cdot k_4 \cdot O_2^{-2} \cdot M^2}{k_2} \left( 1 - \frac{k_5 + k_6}{k_3} \right) \cdot \frac{1}{k_2 \cdot H \cdot O_3} \ll 1,$$
(4)

where  $k_i$  are the corresponding reaction constants from Table 1. Calculations with the global 3D chemistry-transport model of the middle atmosphere showed (Kulikov et al. 2018a) that the criterion  $\tau_{O_3}/\tau_{O_3}{}^{eq} \le 0.1$  defines well the boundary of the area where  $|O_3/O_3{}^{eq} - 1| \le 0.1$ .

Kulikov et al. (2023) presented the theory of chemical equilibrium of a certain trace gas n. Strictly mathematically, the cascade of sufficient conditions for  $n_i(t) \cong n_i^{eq}(t)$  was derived considering its lifetime, equilibrium concentration, and time dependences of these characteristics. In case of the nighttime ozone, it was proved that  $\tau_{O_3}/\tau_{O_3}^{eq} \ll 1$  is the main condition for NOCE validity and the criterion  $\tau_{O_3}/\tau_{O_3}^{eq} \le 0.1$  limits a possible difference between  $O_3$  and  $O_3^{eq}$  to not more than ~10%. Moreover, Kulikov et al. (2023) slightly corrected the expression for the criterion (4):

146 
$$\operatorname{Cr} = 2 \frac{k_1 \cdot O_2 \cdot M}{k_2} (k_4 \cdot M \cdot O_2 \cdot \left(1 - \frac{k_5 + k_6}{k_3}\right) + k_2 \cdot O_3) \cdot \frac{1}{k_2 \cdot H \cdot O_3} \le 0.1.$$
 (5)

147 One more important condition for  $O_3 \approx O_3^{eq}$  at the time moment *t* is:

148 
$$e^{\int_{t_{bn}}^{t} \tau_{O_3}^{-1} dt} \gg 1,$$
 (6)

where  $t_{bn}$  is the time of the beginning of the night. The ozone equilibrium concentration jumps at sunset due to the shutdown of photodissociation. Thus, the condition (6) shows that it takes time for the ozone concentration to reach a new equilibrium. Kulikov et al. (2023) revealed that, at the solar zenith angle  $\chi >$ 95°, the condition (6) is fulfilled almost in all cases and the condition (5) becomes the main criterion for NOCE validity. In addition, Kulikov et al. (2023) demonstrated with the use of a 3-D model that the criterion (5) almost ideally reproduces the NOCE boundary found by direct comparison of  $O_3$  and  $O_3^{eq}$ concentrations, see Figure 1 in Kulikov et al. (2023).

156 Figures 1-3 demonstrate model examples of O and H time-height variations above different points over three months. In order to focus attention on diurnal oscillations, the concentrations are normalized by 157 mean daily values, which were calculated as a function of altitude. These daily average O and H values 158 were different for each altitude. One can see in all panels of these figures "deep" diurnal oscillations that 159 occur below 81-87 km. Due to the shutdown of sources at night and high rates of the main HO<sub>x</sub> and O 160 sinks nonlinearly dependent on air concentration (Konovalov and Feigin, 2000), the variables change 161 during each night within the range of several orders of magnitude with low values of time evolution. 162 163 Above 83-88 km, the situation differs essentially from the previous case. One can see relatively weak diurnal oscillations. These regimes of O and H behaviour are consistent, i.e. deep H diurnal oscillations 164 165 correspond to the same dynamics in O, and so on. There exists a few-km thick layer (transition zone) dividing deep and weak oscillations whose height position depends on latitude and season. In particular, 166 in summer the middle latitude transition is higher than in winter. Figures 1-3 show also the magenta lines 167 pointing the NOCE boundary in accordance with the criterion (5) (Cr = 0.1). One can see that the NOCE 168

169 criterion almost perfectly reproduces the features of the transition zone. Thus, our criterion is not only a 170 useful technical characteristic to retrieve O from satellite data, but it also points to an important 171 dynamical process in the  $O_x$ -HO<sub>x</sub> photochemistry.

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# 173 **4 NOCE boundary from satellite data**

We use version 2.0 of the SABER data product (Level2A) for the simultaneously measured profiles of pressure (*p*), altitude (*z*), temperature (T), O<sub>3</sub> (at 9.6 µm), and total volume emission rates of OH\* transitions at 2.0 (*VER*) within the 0.0001–0.02 mbar pressure interval (altitudes approximately 75–105 km) in 2002-2021. We consider only nighttime data when the solar zenith angle  $\chi > 95^{\circ}$ .

178 Kulikov et al. (2018a) noted that the term  $k_2 \cdot H \cdot O_3$  in the expression for the NOCE criterion can 179 be rewritten in the form depending on measurable characteristics only with the use of the corresponding 180 OH(v) model by Mlynczak et al. (2013a):

181 
$$k_2 \cdot H \cdot O_3 = VER/A(T, M, O),$$
 (7)

182 where A(T, M, O) is the function in square brackets in Eq. (3) in the paper by Mlynczak et al. (2013a) 183 with the parameters corrected by Mlynczak et al. (2018):

184 
$$A(T,M,O) =$$

$$185 \quad \frac{0.47 \cdot 118.35}{215.05 + 2.5 \cdot 10^{-11} \cdot O_2 + 3.36 \cdot 10^{-13} \cdot e^{\frac{220}{T}} \cdot N_2 + 3 \cdot 10^{-10} \cdot O} + \frac{0.34 \cdot 117.21}{178.06 + 4.8 \cdot 10^{-13} \cdot O_2 + 7 \cdot 10^{-13} \cdot N_2 + 1.5 \cdot 10^{-10} \cdot O} + 186 \quad \frac{0.47 \cdot 117.21 \cdot (20.05 + 4.2 \cdot 10^{-12} \cdot O_2 + 4 \cdot 10^{-13} \cdot N_2)}{(215.05 + 2.5 \cdot 10^{-11} \cdot O_2 + 3.36 \cdot 10^{-13} \cdot e^{\frac{220}{T}} \cdot N_2 + 3 \cdot 10^{-10} \cdot O) \cdot (178.06 + 4.8 \cdot 10^{-13} \cdot O_2 + 7 \cdot 10^{-13} \cdot N_2 + 1.5 \cdot 10^{-10} \cdot O)}.$$
(8)

This function is the result of the combination of the equations of physicochemical OH\* balance in the v =8 and v = 9 states. It depends on the constants of the processes describing sources and sinks at the corresponding levels, in particular, the OH(v) removal on collisions with O<sub>2</sub>, N<sub>2</sub>, and O. Below 86-87 km,  $A(T, M, O) \cong A(T, M, O = 0) \equiv A(T, M)$  due to relativity small O concentrations. Thus, by combining Eqs. (5) and (7), the NOCE criterion for SABER data can be recast in the following form:

192 
$$VER \ge VER_{min}(T, M) = 20 \cdot \frac{k_1 \cdot O_2 \cdot M}{k_2} (k_4 \cdot O_2 \cdot M \cdot \left(1 - \frac{k_5 + k_6}{k_3}\right) + k_2 \cdot O_3) \cdot A(T, M).$$
 (9)

Due to the strong air-concentration dependence  $VER_{min}$  decreases rapidly with height. In particular, at 105 km,  $VER \gg VER_{min}$ . At 75 km, the relationship is inverse. We determine the local position of the NOCE boundary (pressure level  $p_{eq}{}^l$  and altitude level  $z_{eq}{}^l$ ) according to the criterion (9), where  $VER = VER_{min}(T, M)$ . We verified that the approximation  $A(T, M, O) \cong A(T, M)$  is valid near the NOCE boundary. With the use of annual SABER data, we calculated simultaneous datasets of A(T, M) and A(T, M, O). In the second case, we used O retrieved from the same SABER data. The maximum and mean differences between A(T, M) and A(T, M, O) were found to be ~ 2% and ~ 0.1%, respectively.

The total range of latitudes according to the satellite trajectory over a month was  $\sim (83.5^{\circ}\text{S} - 83.5^{\circ}\text{N})$ . 200 This range was divided into 20 bins and all local values of  $p_{eq}^{l}$  and  $z_{eq}^{l}$  falling into one bin during a 201 month or a year were averaged, respectively. In particular, several thousand values of  $p_{eq}^{l}$  and  $z_{eq}^{l}$  fall 202 into one bin during a month. Following Mlynczak et al. (2013a), averages were determined by binning 203 204 the data of a certain day by local hour and then averaging over the hour bins that contain data to obtain the daily average value. Then we calculated monthly mean values of  $p_{eq}^{m}$  and  $z_{eq}^{m}$  and annually mean 205 values of  $p_{eq}^{y}$  and  $z_{eq}^{y}$  (hereafter, the indexes «m» and «y» indicate the monthly and annually average, 206 respectively). Then, for convenience, the values of  $p_{eq}^{m}$  and  $p_{eq}^{y}$  were recalculated into the pressure 207 altitudes  $h_{eq}^{m}$  and  $h_{eq}^{y}$ . The dependence of  $h_{eq}^{m,y}$  on  $p_{eq}^{m,y}$  was adopted from Mlynczak et al. (2013a, 208 209 2014):

210 
$$h_{eq}^{m,y} = -H_a \cdot \log(p_{eq}^{m,y}/p_0), H_a = 5.753474, p_0 = 11430.49428 \text{ hPa.}$$
 (10)

Note that the use of both, geometrical and pressure coordinates is a rather common approach when analyzing long-term evolution of the obtained data, especially, when the data is the result of averaging over time and space. In particular, Lübken et al. (2013) demonstrated the importance of distinguishing between trends on pressure and geometrical altitudes in the mesosphere, since the second includes the atmospheric shrinking effect and is more pronounced. Grygalashvyly et al. (2014) analyzed the linear trends in OH\* peak height and revealed a remarkable decrease at geometrical altitudes, which is almost absent at pressure altitudes.

Kulikov et al. (2023) studied the systematic uncertainty of the retrieved NOCE boundary height. Following the typical analysis presented, for example, in Mlynczak et al. (2013a, 2014), the uncertainty was obtained by calculating the root-sum-square of the individual sensitivity of the retrieved characteristic to the perturbation of O<sub>3</sub>, T, rates of reactions, and parameters of the *A* function. The systematic error of NOCE pressure altitude  $h_{eq}^{m}$  and geometrical altitude  $z_{eq}^{m}$  varied in the range of 0.1-0.3 km, whereas the random error was negligible due to averaging over time and space.

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# 225 5 NOCE boundary in 2002-2021 from SABER/TIMED data: main results

Figure 4 demonstrates the time evolution of the pressure altitude  $h_{eq}^{m}$  in 2002-2021 in all latitude bins. Figures 5 (left column) show the mean (for 2002-2021) annual cycle of  $h_{eq}^{m}$  at four specific latitudes and Figures 6 (left column) present the Fourier spectra at these latitudes obtained from the data

in Figure 4. Note, first, that above ~58°S,N, there are data gaps specified by the satellite sensing 229 geometry. For example, in 2002-2014, at 66.8-75.15°S,N measurements covered 6 months per year only. 230 231 In 2015, because of slight changes in the satellite geometry, there appeared additional months. This is especially noticeable above ~66°S,N and manifests itself by extension of the variation range of  $h_{ea}^{m}$  at 232 these latitudes in 2015-2021. Second, the variation range of  $h_{eq}^{m}$ , annual cycle and spectrum of harmonic 233 oscillations depends essentially on the latitude. Near the equator,  $h_{eq}^{m}$  varies in the 81-83 km range 234 mainly and there are two main harmonics with periods of 1/2 and 1 year in the spectrum. At low latitudes, 235 the variation range of  $h_{eq}^{m}$  narrows down to a minimum (~82-83 km at 16.7-20.05°S,N), which is 236 accompanied with the appearance of a wide spectrum of harmonics with periods of 1/5, 1/4, 1/3, 1/2, and 237 1 year. At middle latitudes, the range of  $h_{ea}^{m}$  variation monotonically increases up to ~81.5-85.5 km with 238 latitude and the harmonic with a period of 1 year becomes the main mode in the spectrum of oscillations. 239 240 At both, low and middle latitudes, there is no signal from quasi-biennial oscillations but one can see a remarkable amplitude of a harmonic with a period of ~10 years, which can be associated with a 241 manifestation of 11-year solar cycle. It is interesting that the mentioned features are typical for both 242 hemispheres. At high latitudes,  $h_{eq}^{m}$  varies in the range of 79-86.5 km. At these latitudes, one can see the 243 main difference between the northern and southern hemispheres: the sharp falls and rises of the northern 244 boundary of NOCE by several km (up to 3-4 km) appearing in January-March 2004, 2006, 2009, 2010, 245 2012, 2013, 2018 and 2019 that are absent at southern latitudes. 246

The analysis of Figures 5-6 demonstrates the following redistribution in the annual cycle with increasing latitude from equator to polar latitudes. Near the equator, the annual cycle has two maxima in June – July and in December – January. The first one is more pronounced. That is why there are two main harmonics with periods of 1/2 and 1 year in the spectrum. At low latitudes, one maximum (summer) does not change, while the other approaches the first one. As a result, the spectrum of harmonics is wide. At middle latitudes, the maxima gradually merge so that the 1 year-harmonic becomes the main one.

253 Figure 7 (left) demonstrates a contour map of the space-time evolution of the average annual pressure altitude  $h_{ea}^{y}$  in 2002-2021. Figure 8 presents the time evolution of this characteristic at different 254 latitudes. Based on the Fourier spectra presented in Figures 6 (left column), we can suppose that, at low 255 and middle latitudes, the interannual variation of  $h_{eq}^{y}$  is caused by the 11-year solar cycle mainly. Figure 256 9 (left) presents the correlation coefficient of  $h_{eq}^{y}$  with  $F_{10.7}$  index (solar radio flux at 10.7 cm, see the red 257 curve in Figure 10) as a function of latitude. One can see good anticorrelation (with a coefficient from -258 0.72 to -0.92) between ~55°S and ~55°N. At high latitudes, the absolute value of the correlation 259 260 coefficient decreases sharply down to ~0.58 in the south and to ~0.1 in the north. The blue curve in Figure 10 shows latitude-averaged  $h_{eq}^{y}$  in the range of 55°S-55°N. In this case, the anticorrelation with  $F_{10.7}$ index is close to ideal (coefficient ~ -0.95).

263 With the use of multiple linear regression in the 55°S-55°N range:

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$$h_{eq}^{y}(year) = const + \alpha \cdot year + \beta \cdot F_{10.7}(year),$$
 (11)

we determined a slow (up to 10 m/year) linear trend of  $h_{eq}^{y}$  as a function of latitude, but with the uncertainties essentially larger than the trend values. Applying the regression analysis to latitude-averaged  $h_{eq}^{y}$  (blue curve in Figure 10) gave us a more statistically significant value of the trend: 5.62±4.22 m/year.

Figure 11 demonstrates the time evolution of the geometrical altitude  $z_{eq}^{m}$  in 2002-2021 in all 269 latitude bins. Figures 5 (right column) show the mean (for 2002-2021) annual cycle of  $z_{eq}^{m}$  at four 270 specific latitudes and Figures 6 (right column) present the Fourier spectra at these latitudes obtained from 271 the data in Figure 11. Comparison with Figures 4 and 5-6 (left columns) shows that  $z_{eq}^{m}$  repeats many 272 qualitative features of the space-time evolution of pressure altitude  $h_{eq}^{m}$ . In particular, in the direction 273 from the equator to the poles, the variation range of  $z_{eq}^{m}$  first decreases down to 1 km at 16°-25°S,N and 274 then expands to several km at middle and high latitudes. One can see the same redistribution of the annual 275 cycle with latitude, similarly to the pressure altitude case. Near the equator, the annual cycle possesses 276 two maxima occurring in June - July and in December - January. At low latitudes, one maximum 277 278 continues in summer, whereas the other shifts to spring. At middle latitudes, the maxima gradually coalesce forming a single summer maximum. At high northern latitudes, there are the same local sharp 279 280 variations of the NOCE boundary in January-February 2004, 2006, 2009, 2010, 2012, 2013, 2018 and 2019, which are absent at southern latitudes. One can see from Figure 5 that, on the average,  $z_{eq}^{m}$  is 281 lower than  $h_{eq}^{m}$  by 0.5-1 km, depending on latitude. One can see from Figure 6 that the  $z_{eq}^{m}$  spectra of 282 harmonic oscillations are similar to the  $h_{eq}^{m}$  spectra except for the absence of a signal of the 11-year 283 284 solar cycle.

Figure 7(right) demonstrates a contour map of space-time evolution of the annually average geometrical altitude  $z_{eq}^{y}$  in 2002-2021. Figure 12 presents the time evolution of this characteristic at different latitudes. One can see that there is no clear evidence of 11-year solar cycle manifestation at all latitudes. This is confirmed by the calculation of the correlation coefficient of  $z_{eq}^{y}$  with  $F_{10.7}$  index as a function of latitude (see Figure 9 (right)). Moreover, the latitude-averaged (in the range of 55°S-55°N)  $z_{eq}^{y}$  has a correlation coefficient equal to ~-0.55. As in the case of  $h_{eq}^{y}$ , we found with the use of multiple linear regression the slow (up to ~-10 m/year) and statistically insignificant linear trend of  $z_{eq}^{y}$  as a function of latitude. Moreover, the regression analysis of latitude-averaged  $z_{eq}^{y}$  also revealed a statistically insignificant trend.

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## 295 6 Discussion

The NOCE boundary is an important technical characteristic for correct application of the NOCE 296 approximation to retrieve the nighttime distributions of minor chemical species of MLT. Kulikov et al. 297 (2019) repeated the O and H retrieval by Mlynczak et al. (2018) from the SABER data for the year 2004. 298 It was revealed that the application of the NOCE condition below the boundary obtained according to the 299 300 criterion could lead to a great (up to 5–8 times) systematic underestimation of O concentration below 86 km, whereas it was insignificant for H retrieval. The results presented in Figures 4, 5 and 11 demonstrate 301 302 that, except for high northern latitudes, there is a stable annual cycle of the NOCE boundary. The monthly mean boundary can rise up to geometrical altitudes of 82-83 km ( $\sim$ (5.2-6.2) $\cdot$ 10<sup>-3</sup> hPa) at low latitudes and 303 up to 84-85 km (~(3.7-4.4) $\cdot 10^{-3}$  hPa) at middle and high latitudes. Thus, the SABER O data below these 304 altitudes/pressures may be essentially incorrect and the retrieval approaches without using the NOCE 305 306 condition (e.g., Panka et al., 2018) should be more appropriate.

307 Note that the NOCE condition was used not only for O and H derivation from satellite data. This assumption is a useful approach helping (i) to study hydroxyl emission in the MLT region with simulated 308 and measured data, in particular, OH\* mechanisms, morphology and variability caused, for example, by 309 atmospheric tides and gravity wave activity (e.g., Marsh et al., 2006; Nikoukar et al., 2007; Xu et al., 310 2010, 2012; Kowalewski et al., 2014; Sonnemann et al., 2015); (ii) to analyze the MLT response to 311 sudden stratospheric warmings (SSWs) (e.g., Smith et al., 2009); (iii) to derive exothermic heating rates 312 of MLT (e.g., Mlynczak et al., 2013b); (iv) to analytically simulate the mesospheric OH\* layer response 313 to gravity waves (e.g., Swenson and Gardner, 1998); and (v) to derive the analytical dependence of 314 excited hydroxyl layer number density and peak altitude on atomic oxygen and temperature (e.g., 315 Grygalashvyly et al., 2014; Grygalashvyly, 2015). Perhaps some results require revision or reanalysis 316 taking the NOCE boundary into account. For example, Smith et al. (2009) used the NOCE condition to 317 analyze the ozone perturbation in the MLT, in particular, during the SSW at the beginning of 2009 (the 318 central day was January 24). Our preliminary results of processing the SABER and simulated data in 319 320 January 2009 show that the NOCE boundary above 70°N may jump from ~80 km to ~90-95 km due to a 321 short-time abrupt temperature fall above 80 km during this SSW. Thus, one can assume that the NOCE 322 condition is not a good approximation for the description of ozone variations directly in the process of

SSWs. This case will be studied in a separate work. Note also that after the SSW of January 2009 there 323 began a long-time (several tens of days) event of elevated (up to ~80-85 km) stratopause (see, e.g., Figure 324 1 in Smith et al. (2009)), which led to the corresponding increase of temperature above 80 km. The 325 326 occurrence of this event and its duration are in a good correlation with sharp lowering of the NOCE 327 boundary at high northern latitudes (see Figures 4 and 11). Moreover, all abrupt changes of the NOCE boundary at these latitudes in January-March of other years (2004, 2006, 2010, 2012, 2013, 2018, and 328 2019) can be also associated with the elevated stratopause events in these years (see García-Comas et al. 329 (2020) and references there). 330

According to the used chemical-transport model, the NOCE boundary reproduces well the transition zone dividing deep and weak diurnal oscillations of O and H (see Figures 1-3). We verified this feature with the annual run of SD-WACCM-X model for the year 2017 provided by the NCAR High Altitude Observatory (https://doi.org/10.26024/5b58-nc53). Despite the low time resolution of the downloaded data (3-hour averaging), we obtained the results (see Figure 13) similar to Figures 1-3. Note also that both models give the same consistence between the altitudes of the NOCE boundary and the mentioned transition zone at high latitudes in spring and autumn.

The space-time evolution of the NOCE boundary expressed in terms of pressure altitudes contains a clear signal of the 11-year solar cycle in the 55°S-55°N range, which is suppressed mainly at high latitudes. The weak correlation of  $h_{eq}^{y}$  with  $F_{10.7}$  index at high southern latitudes may be caused by the mentioned data gaps specified by the satellite sensing geometry. The same reason and distortions by SSWs evidently determine no correlation at high northern latitudes. Thus, at low and middle latitudes  $h_{eq}^{y}$  can be considered as a sensitive indicator of solar activity. Below, we present a simple and short explanation for this. Let us consider the NOCE criterion (9) at the pressure level  $p_{eq}$ :

$$VER(p_{eq}) = VER_{min}(T, M(p_{eq})).$$

345 In a zero approximation

$$346 \quad VER_{min} = 20 \cdot \frac{k_1 \cdot O_2(p_{eq}) \cdot M(p_{eq}) \cdot \left(k_4 \cdot O_2(p_{eq}) \cdot M(p_{eq}) \cdot \left(1 - \frac{k_5 + k_6}{k_3}\right) + k_2 \cdot O_3(p_{eq})\right) \cdot A\left(T, M(p_{eq})\right)}{k_2} \cong \\ 347 \quad 20 \cdot \frac{k_1 \cdot k_4 \cdot (O_2(p_{eq}) \cdot M(p_{eq}))^2 \cdot A\left(T, M(p_{eq})\right)}{k_2} \sim \frac{k_1 \cdot k_4 \cdot (p_{eq}/T)^4 \cdot A(T, p_{eq})}{k_2} \sim \frac{exp(470/T) \cdot p_{eq}^4 \cdot A(T, p_{eq})}{T^{8.2}},$$

$$\begin{array}{ll} 348 & \text{where } A\big(T,p_{eq}\big) = \\ 349 & \frac{0.47\cdot118.35}{215.05+2.5\cdot10^{-11}\cdot O_2/M} \cdot \frac{peq}{k_BT} + 3.36\cdot10^{-13}\cdot e^{\frac{220}{T}}\cdot N_2/M} \cdot \frac{peq}{k_BT} + \frac{0.34\cdot117.21}{178.06+4.8\cdot10^{-13}\cdot O_2/M} \cdot \frac{peq}{k_BT} + 7\cdot10^{-13}\cdot N_2/M} \cdot \frac{peq}{k_BT} + 3.36\cdot10^{-12}\cdot O_2/M} \cdot \frac{10.47\cdot117.21\cdot(20.05+4.2\cdot10^{-12}\cdot O_2/M)}{(215.05+2.5\cdot10^{-11}\cdot O_2/M} \cdot \frac{peq}{k_BT} + 3.36\cdot10^{-13}\cdot e^{\frac{220}{T}}\cdot N_2/M} \cdot \frac{peq}{k_BT} + 4\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} + 3\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} - 5\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} + 3\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} + 3\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} + 5\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} - 5\cdot10^{-11}\cdot N_2/M \cdot \frac{peq}{k_BT} + 3\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} + 5\cdot10^{-13}\cdot N_2/M \cdot \frac{peq}{k_BT} + 5\cdot10^{-13}$$

Our analysis of  $A(T, p_{eq})$  shows that this function can be approximately rewritten as  $A(T, p_{eq}) \approx$ 351  $const + \frac{const}{const + \frac{p_{eq}}{m}}$ . So, one can see that  $VER_{min}$  is strongly dependent on T. Moreover, it anticorrelates 352 with T. Gan et al. (2017) and Zhao et al. (2020) analyzed the simulated and measured data and revealed a 353 354 clear correlation between the MLT temperature above 80 km and the 10.7-cm solar radio flux. Moreover, the dependence of the correlation coefficient of T with  $F_{10.7}$  index on latitude in the 55°S-55°N range 355 given in Figure 9 in the paper by of Zhao et al. (2020) is consistent with our Figure 9 (left panel), taking 356 into account the sign of the correlation. Thus, we can conclude that the found anticorrelation of the NOCE 357 boundary  $h_{eq}^{y}$  with solar activity is caused by the strong connection with temperature, which, in turn, is 358 in a good correlation with the  $F_{10,7}$  index. A detailed analysis of the reasons why the solar cycle weakly 359 manifests itself in the spatio-temporal variability of  $z_{eq}^{y}$  is not so simple and is beyond the scope of this 360 work. 361

Figure 5 illustrates an interesting peculiarity. At middle latitudes, the summer  $h_{eq}^{m}$  and  $z_{eq}^{m}$  are 362 remarkably (by several kilometers) higher than the winter ones, while the opposite relationship could be 363 expected. Due to more effective daytime HO<sub>x</sub> photoproduction at these altitudes, the summer H values at 364 the beginning of the night are higher than the ones in winter. So, the summer ozone lifetimes should be 365 shorter and the NOCE condition is more favourable than in winter. Nevertheless, the same ratio between 366 the summer and winter NOCE boundaries at middle latitudes was revealed in Belikovich et al. (2018) and 367 Kulikov et al. (2018a), where the boundary of this equilibrium was determined by direct comparison of 368  $O_3$  and  $O_3^{eq}$  concentrations from results of 3D chemical-transport models. Based on the results of Section 369 3, we can assume that the discussed effect is connected with the height position of the transition zone, 370 which demonstrates the same variation (see Figures 1-3). Kulikov et al. (2023) derived the equations 371 372 describing pure chemical O and H nighttime evolution:

373 
$$\begin{cases} \frac{dO}{dt} = -2 \cdot k_4 \cdot M \cdot O_2 \cdot H - 2 \cdot k_2 \cdot H \cdot O_3 \\ \frac{dH}{dt} = -2 \cdot k_4 \cdot M \cdot O_2 \cdot \frac{k_5 + k_6}{k_3} \cdot \frac{H^2}{O} \end{cases}$$
(12)

Neglecting the second term in the first equation as a secondary one, this system can be solved analytically, so that the nighttime evolution times of O and H are:

376 
$$\tau_0 \equiv \frac{0}{|d0/dt|} = \frac{1}{2 \cdot k_4 \cdot M \cdot 0_2} \cdot \left(\frac{0}{H}\right)_{t=t_{bn}} - \left(1 - \frac{k_5 + k_6}{k_3}\right) \cdot (t - t_{bn}), \tag{13}$$

377 
$$\tau_{H} \equiv \frac{H}{|dH/dt|} = \frac{1}{2 \cdot k_{4} \cdot M \cdot O_{2}} \cdot \frac{k_{3}}{k_{5} + k_{6}} \cdot \left(\frac{O}{H}\right)_{t=t_{bn}} - \left(\frac{k_{3}}{k_{5} + k_{6}} - 1\right) \cdot (t - t_{bn}), \tag{14}$$

where  $t_{bn}$  is the time of the beginning of the night,  $\left(\frac{O}{H}\right)_{t=t_{bn}}$  is the O/H ratio at the beginning of the 378 night. Note that  $k_3$  is much larger than  $k_5 + k_6$  (see Table 1). Based on the daytime O and H distributions 379 380 in the mesopause region obtained in Kulikov et al. (2022), we calculated the ratio of the summer O/H to the winter O/H (see Figure 14). During the summer, O/H at middle latitudes is remarkably less than in 381 winter in both, northern and southern hemispheres, whereas the air concentration and the rate of reaction 382 R4 (see Table 1) increase due to a decrease in temperature. As a result, the summer  $\tau_0$  and  $\tau_H$  are 383 essentially shorter than their winter values, which explains the summer rise of the transition zone and the 384 385 NOCE boundary.

Finally, let us briefly discuss other qualitative indicators of the NOCE boundary, which could be found in the SABER database. As mentioned above, Kulikov et al. (2019) showed that the nighttime O SABER profiles are correct above the NOCE boundary, whereas the H profiles hold within the whole pressure interval. Kulikov et al. (2021) demonstrated that, in the altitude range of 80-85 km, many H profiles have a sharp jump in concentration when it increases from ~  $10^7$  cm<sup>-3</sup> to ~  $10^8$  cm<sup>-3</sup>. Our analysis with the criterion (9) shows that the altitude of these jumps can be used as a rough indicator of the NOCE boundary.

## 393

#### **394 7** Conclusions

The NOCE criterion is not only a useful technical characteristic for the retrieval of O from satellite data, but it also reproduces the transition zone position which separates deep and weak diurnal oscillations of O and H at low and middle latitudes. At middle latitudes, the summer boundary of NOCE is remarkably (by several kilometers) higher than the winter one, which is accompanied with the same variation of the transition zone. This effect is explained by the markedly lower values of the O and H nighttime evolution times in summer than in winter by virtue of the lower values of the *O/H* ratio at the beginning of the night and air concentration increase.

The NOCE boundary according to the criterion is sensitive to sporadic abrupt changes in the dynamics of the middle atmosphere.

The NOCE boundary at low and middle latitudes expressed in pressure altitudes contains a clear signal of 11-year solar cycle and can be considered as a sensitive indicator of solar activity.

407	Data availability. The SABER data are obtained from the website (https://saber.gats-inc.com). The dat				
408	of solar radio flux at 10.7 cm in 2002-2021 were downloaded from				
409	http://www.wdcb.ru/stp/solar/solar_flux.ru.html and https://www.spaceweather.gc.ca/forecast				
410	prevision/solar-solaire/solarflux/sx-5-en.php.				
411					
412	Code availability. Code is available upon request.				
413					
414	Author contributions. MK and MB performed data processing and analysis and wrote the manuscript.				
415	AC, SD, and AM contributed to reviewing the article.				
416					
417	Competing interests. The authors declare that they have no conflict of interest.				
418					
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420					

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	Reaction	Rate constant
<b>R1</b>	$O+O_2+M \rightarrow O_3+M$	$k_1 = 6.1 \cdot 10^{-34} (298/T)^{2.4}$
R2	$H+O_3 \rightarrow O_2+OH$	$k_2 = 1.4 \cdot 10^{-10} exp(-470/T)$
R3	$O+HO_2 \rightarrow O_2+OH$	$k_3 = 3 \cdot 10^{-11} exp(200/T)$
R4	$H+O_2+M \rightarrow HO_2+M$	$k_4 = 5.3 \cdot 10^{-32} (298/T)^{1.8}$
R5	$H+HO_2 \rightarrow O_2+H_2$	$k_5 = 6.9 \cdot 10^{-12}$
R6	$H+HO_2 \rightarrow O+H_2O$	$k_6 = 1.6 \cdot 10^{-12}$

Table 1. List of reactions with corresponding reaction rates (for three-body reactions  $[cm^6 molecule^{-2} s^{-1}]$ , for two-body reactions  $[cm^3 molecule^{-1} s^{-1}]$ ) taken from Burkholder et al. (2020).



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Figure 1. O and H time-height variations above different points in January 2000 calculated by 3D
chemical transport model of middle atmosphere. Concentrations are normalized by mean daily values,

630 correspondingly, calculated as a function of altitude. Dark bars mark daytime, light bars mark nighttime.

Black lines point the NOCE boundary altitude in accordance to criterion (5) (Cr = 0.1).



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Figure 2. The same as in Fig. 1, but in April 2000. Black lines point NOCE boundary altitude according to criterion (5) (Cr = 0.1).



Figure 3. The same as in Fig. 1, but in July 2000. Black lines point the NOCE boundary altitude according to criterion (5) (Cr = 0.1).





Figure 5. Average (for 2002-2021) annual cycle of monthly mean pressure altitude  $h_{eq}^{m}$  and geometrical altitude  $z_{eq}^{m}$  at four specific latitudes.



Figure 6. Fourier spectra of monthly mean pressure altitude  $h_{eq}^{m}$  and geometrical altitude  $z_{eq}^{m}$  at four specific latitudes. In each spectrum, the amplitudes of harmonics were normalized to the corresponding zero harmonic.





Figure 7. Latitude-time evolution of annually mean pressure altitude  $h_{eq}^{y}$  (left) and geometrical altitude  $z_{eq}^{y}$  (right).





Figure 9. Correlation coefficient of  $F_{10.7}$  index with pressure altitude  $h_{eq}^{y}$  (left) and geometrical altitude  $z_{eq}^{y}$  (right) as a function of latitude.



Figure 10. Red curve:  $F_{10.7}$  index (solar radio flux at 10.7 cm). Blue curve: latitude-averaged pressure altitude  $h_{eq}^{y}$  in the range between ~55°S and ~55°N.









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Figure 13. O and H time-height variations above different points in January 2017 calculated by 3D chemical transport model CMAM. Concentrations are normalized by mean daily values, correspondingly. Dark bars mark daytime, light bars mark nighttime. Black lines point the NOCE boundary altitude according to criterion (5) (Cr = 0.1).



Figure 14. Logarithm of the ratio of  $(O/H)_w$  and  $(O/H)_s$  distributions obtained with the use of daytime seasonally mean distributions of O and H averaged in 2003-2015.  $(O/H)_w$  was determined from the SABER data measured in December, January, and February.  $(O/H)_s$  was determined from the SABER data measured in June, July, and August.