

# Measurement Report: The Palau Atmospheric Observatory and its Ozone Record - Continuous Monitoring of Tropospheric Composition and Dynamics in the Tropical West Pacific

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**Abstract.** The Tropical West Pacific is recognized as an important region for stratosphere-troposphere exchange, but lies in a data sparse location which had a measurement gap in the global ozone sounding network. The Palau Atmospheric Observatory (PAO, approx. 7.3° N, 134.5° E) was established to study the atmospheric composition above the remote Tropical West Pacific with a comprehensive instrumental setup. Since 2016, two laboratory containers in Palau host an Fourier-transform infrared spectrometer, a lidar (micro lidar until 2016, cloud and aerosol lidar from 2018), a Pandora 2S photometer and laboratory space for weather balloon soundings with ozone-, water-vapor-, aerosol- and radiosondes. In this analysis, we focus on the continuous, fortnightly ozone sounding program with Electrochemical Concentration Cell (ECC) ozonesondes.

The aim of this study is to introduce the PAO and its research potential, present the first observation of the typical seasonal cycle of tropospheric ozone in the Tropical West Pacific based on a multiannual record of in situ observations, and investigate major drivers of variability and seasonal variation from 01/2016 until 12/2021 related to the large scale atmospheric circulation. We present the PAO ozone (O<sub>3</sub>) volume mixing ratios (VMR) and relative humidity (RH) time series complemented by other observations.

The site is exposed to year-round high convective activity reflected in dominating low O<sub>3</sub> VMR and high RH. In 2016, the impact of the strong El Niño is evident as a particularly dry, ozone-rich episode. The main modulator of annual tropospheric O<sub>3</sub> variability is identified as the movement of the Intertropical Convergence Zone (ITCZ), with lowest O<sub>3</sub> VMR in the free troposphere during the ITCZ position north of Palau. An analysis of the relation of O<sub>3</sub> and RH for the PAO and selected sites from the Southern Hemispheric Additional OZonesondes (SHADOZ) network reveals three different regimes. Palau's O<sub>3</sub>/RH distribution resembles the one in Fiji, Java and American Samoa, but is unique in its seasonality and its comparably narrow Gaussian distribution around low O<sub>3</sub> VMR and the evenly distributed RH. A previously found bimodal distribution of O<sub>3</sub> VMR and RH could not be seen for the full Palau record, but only during specific seasons and years.

Due to its unique remote location, Palau is an ideal atmospheric background site to detect changes in air dynamics imprinted on the chemical composition of the tropospheric column. The efforts to establish, run and maintain the PAO have succeeded to

fill an observational gap in the remote Tropical West Pacific and give good prospects for ongoing operations. The ECC sonde record will be integrated into the SHADOZ database in the near future.

## 25 1 Introduction

The Tropical West Pacific (TWP) is the source region of stratospheric air in boreal winter (Newell and Gould-Stewart, 1981; Fueglistaler et al., 2004; Krüger et al., 2008). Rex et al. (2014) showed that air masses entering the stratosphere in the TWP, also originate in and transit through the local troposphere. Thus, the tropospheric chemical composition above the TWP influences the stratospheric composition on a global scale. The tropospheric composition above the Pacific island state Republic of Palau (also Belau), located in the remote TWP, is determined by the unique local air chemistry within the global tropospheric O<sub>3</sub> minimum and the complex interplay of general circulation patterns characterizing the region, such as the Hadley, Walker and Monsoon Circulations (Fig. 1). The remote TWP is far from industrial human activities and essentially devoid of major air pollution, particularly from NO<sub>x</sub>, leading to the globally lowest tropospheric O<sub>3</sub> columns year-round (e.g. Thompson et al., 2003b; Rex et al., 2014; Müller et al., 2023). A corresponding OH minimum prolongs lifetimes of various chemical species and thus enables their enhanced transport into the stratosphere (Rex et al., 2014). Locally dominant marine convection and vertical mixing as well as transport of ozone-precursor-free air masses with the trade winds from the East, i.e. from the vast Pacific Ocean, foster the clean background state of the troposphere throughout the year. However, air mass transport from remote, polluted locations to the TWP tied to dynamical variations introduces disturbances in the otherwise humid, ozone-poor tropospheric column (Anderson et al., 2016; Müller et al., 2023). Hence, long-term monitoring of various chemical components above Palau can provide insights into dynamical changes in this region with O<sub>3</sub> and water vapor (H<sub>2</sub>O) being particularly valuable tracers.

The Palau Atmospheric Observatory (PAO, 7.3420° N, 134.4722° E, 23 m a.s.l.) was established on the grounds of the Palau Community College (PCC) in downtown Koror in 2015 to observe and study the atmosphere above the TWP. It is equipped with a comprehensive instrumental setup which was originally supplied through the EU-funded StratoClim (Stratospheric and upper tropospheric processes for better Climate predictions, 2015-2019) project. Since 2019 the PAO continues operations as a measurement site run by the Alfred-Wegener-Institut (AWI) with further contributions from the Institute of Environmental Physics (IUP), University of Bremen. Two laboratory containers host a Fourier-transform infrared (FTIR) spectrometer, a lidar (micro lidar until 2016, cloud and aerosol lidar from 2018 onwards), a Pandora 2S photometer and laboratory space for weather balloon soundings with ozone- (O<sub>3</sub>), water vapor- (H<sub>2</sub>O), aerosol- and radiosondes (Fig. 2). In particular, the ozone sounding program with Electrochemical Concentration Cell (ECC) ozonesondes has been successfully running fortnightly soundings with only minor interruptions since the beginning of 2016. The timelines of all measurements are summarized in Fig. 3. Convective mixing and wash-out are dominant modulators of the local air composition due to Palau's location in the global warm pool characterized by the highest sea surface temperatures (SST) globally (see Fig. 1). High-energy convection accompanies the upwelling branches of global atmospheric circulation patterns, which are following the seasonal movement of the Intertropical Convergence Zone (ITCZ). Rainfall rates are highest during the Western Pacific Monsoon between July

and October and lowest during boreal spring, but compared to other tropical locations the dry season is less pronounced (Australian Bureau of Meteorology and CSIRO, 2014; Miles et al., 2020). Palau's climate is, thus, specifically affected by the position of the ITCZ, the South Pacific Convergence Zone (SPCZ), the Western Pacific Monsoon and the trade winds. Relevant subseasonal and interannual variations are the Madden-Julian Oscillation (MJO), the El Niño Southern Oscillation (ENSO) and the Quasi-Biennial Oscillation (QBO). The importance of the TWP for global ozone chemistry lies within the interplay of circulation patterns in the upper troposphere/ lower stratosphere (UTLS), i.e. the tropospheric circulation causing strong upward motion of air and the stratospheric Brewer-Dobson circulation which lifts the air further into the stratosphere where it is then transported polewards (Holton et al., 1995). Around 16 km altitude, in the Tropical Tropopause Layer (TTL), mass fluxes in the Brewer Dobson circulation become comparable to those in the Hadley circulation (e.g. Folkins, 2002; Pan et al., 2014). The Brewer Dobson circulation lifts and cools the thermal tropopause when it is strongest during boreal winter (Fueglistaler et al., 2009). O<sub>3</sub> VMR in the TTL are controlled by several overlapping processes, i.e. the dominating, slow radiatively driven ascent, the onset of stratospheric photo-chemical in situ production and the meridional mixing of air from the extra-tropical lower stratosphere. The TTL, as defined by Fueglistaler et al. (2009) has its globally lowest temperatures in this geographic region. It controls final dehydration of air masses and thus stratospheric H<sub>2</sub>O, a driver of important O<sub>3</sub> loss cycles in the stratosphere (e.g., Randel and Jensen, 2013). A dynamically driven seasonal cycle of O<sub>3</sub> in the TTL, in turn, influences TTL temperatures (Randel et al., 2007). The coupling between ENSO and the Quasi-Biennial Oscillation (QBO) is a main driver of interannual variability of both O<sub>3</sub> and H<sub>2</sub>O in the TTL (e.g. Garfinkel and Hartmann, 2007; Taguchi, 2010; Diallo et al., 2018).

Balloon-borne measurements with Electrochemical Concentration Cell (ECC) ozonesondes are the most practical way to observe O<sub>3</sub> in situ, especially at more remote sites (e.g. Thompson et al., 2019). The highly vertically resolved ozonesonde measurements provide a-priori profiles for many remote sensing techniques including microwave and FTIR instruments which are either ground-based or on satellites (e.g., Vigouroux et al., 2008; Hubert et al., 2016). The ECC sonde measurement technique itself is being continuously validated to yield an overall accuracy of 5 %-15 % in the troposphere, if standard operating procedures (SOP) (Smit et al., 2007; Thompson et al., 2019; Smit and Thompson, 2021) are followed carefully. The latter is especially important in the tropics, where the instrumental uncertainty is highest in the TTL and critically dependent on the so-called background current (see also Smit, 2014; Rex et al., 2014; Vömel et al., 2020). Reports of near-zero O<sub>3</sub> concentrations in the upper troposphere (UT) of the TWP (Kley et al., 1996; Rex et al., 2014) depend on the details of the background current correction in the data set which limits the detection limit of the sondes under these conditions (Voemel and Diaz, 2010; Rex et al., 2014; Newton et al., 2016).

The latest large campaign conducted in the TWP assessing the tropospheric O<sub>3</sub> column took place during the CONTRAST/CAST (Convective Transport of Active Species in the Tropics/ Coordinated Airborne Studies in the Tropics) project in January/February 2014 (Pan et al., 2017). Besides ground-based operations, CONTRAST research aircraft were deployed from an airbase in Guam (see Fig. 1), providing a variety of in situ measurements. Apart from various major research campaigns on air chemistry in the TWP since the 1980s (e.g. overview in Müller, 2020), mainly the SHADOZ (Southern Hemispheric ADdi-

90 tional OZonesondes) network has been providing regular high-quality monitoring of O<sub>3</sub> in the tropics since 1998 (Thompson et al., 2003a, b, 2007, 2011, 2012, 2017; Witte et al., 2017, 2018).

As there has been no long term site located in the vicinity of Palau, prior to the establishment of the PAO, the TWP constituted a gap in the international O<sub>3</sub> sounding network (see also <https://woudc.org/data/stations/>). However, a long-term data record of tropospheric composition in the TWP is required to assess annual and inter-annual variability. To fill this gap, we present the  
95 growing record of O<sub>3</sub> profiles, and additional atmospheric variables, measured at PAO.

Our overall objective is to survey the potential of measurements obtained at the PAO to explain the air composition and driving meteorological and chemical processes in the TWP. We introduce the PAO and its measurement record from 01/2016 until 12/2021. After an overview of the instrumental setup we focus on the tropospheric O<sub>3</sub> profiles measured by ECC ozonesondes in order to assess dynamical influences on the TWP air composition and their seasonality. We investigate the wind patterns  
100 during the timeframe of presented observations from PAO by including additional ground station data. By comparing the Palau tropospheric O<sub>3</sub> time series with observations from selected SHADOZ stations and from the CONTRAST campaign, we examine the regional importance of the PAO to monitor the variability of the tropospheric circulation in the TWP.

## 2 Overview of the measurements

### 2.1 Balloon-borne observations: ECC ozonesondes and radiosondes

105 We present the PAO data record of ECC ozonesondes from 21.01.2016 until 31.12.2021 with 198 fortnightly balloon soundings (<https://doi.org/10.5281/zenodo.6920648>). At least one intensive campaign with several launches a week took place every year except 2020 and 2021, when this was not feasible due to the Corona pandemic. Most soundings were conducted during daytime, typically around mid-day, however, not at a fixed hour. 17 night-time launches during campaigns were performed with additional use of Cryogenic Frostpoint Hygrometers (CFHs) and Compact Optical Backscatter and Aerosol Lidar Detector  
110 (COBALD) sondes and/ or coinciding measurements with the Compact Cloud and Aerosol Lidar (ComCAL) (Fig. 2, 3). In this study, no differentiation between day- and night-time profiles was made, as we assume no significant diurnal cycle for O<sub>3</sub> and RH within the tropospheric column.

The quality of observations has been monitored closely throughout the full measurement period. Personnel from the local Coral Reef Research Foundation (CRRF) has been trained to perform the fortnightly soundings, ensuring a high standard.  
115 In anticipation of the seasonality of the tropospheric O<sub>3</sub> minimum, intensive campaigns were planned and conducted in fall and spring. The interannual persistence and year-round existence of the tropospheric O<sub>3</sub> minimum in the TWP was already suggested by satellite observations (see Rex et al., 2014) and is now confirmed using in situ measurements collected at the PAO.

Developed in the 1960s (Komhyr, 1969), the basic electrochemical measurement principle of ECC ozonesondes and the  
120 related equations are still in use. Ambient air is pumped through an aqueous potassium iodide (KI) sensing solution (cathode) in a Teflon cell by a small gas sampling pump. In a redox reaction all sampled O<sub>3</sub> is converted to iodine, which itself is reduced back to iodide in contact with a platinum electrode. The cathode cell is attached, via an ion bridge, to an anode cell filled with

a saturated KI solution. The generated electrical current  $I_m$  [ $\mu A$ ] in the external circuit connecting the cells, corrected for a residual background current  $I_b$ , is directly proportional to the partial pressure of  $O_3$  ( $P_{O_3}$ ), calculated by

$$125 \quad P_{O_3} = \frac{R}{2F\eta_C} \cdot (I_m - I_b) \cdot T_{pump} \cdot \frac{c_{pumpcorr}}{f_{pump}}, \quad (1)$$

with  $R$  as the universal gas constant,  $F$  as the Faraday constant (factor 2 accounts for the stoichiometry, i.e.  $2 e^-$  per  $O_3$  molecule),  $\eta_C \approx 1$  as a factor for the conversion efficiency,  $T_{pump}$  [K] as the pump temperature,  $f_{pump}$  [ $cm^3 s^{-1}$ ] as the gas volume flow rate and finally,  $c_{pumpcorr}$  as an empirical correction factor for the pump efficiency depending on pressure (see Tab. A1 for details on corrections).

130 Experiments conducted at the PAO led to the decision to use the pressure dependent background current correction for the calculation of  $O_3$  VMR in the dataset used within this study and the companion study by Müller et al. (2023). Thus, the measured background current  $I_{b,m}$  is adjusted as follows:

$$I_b = \frac{a_0 + a_1 \cdot p + a_2 \cdot p^2}{a_0 + a_1 \cdot p_0 + a_2 \cdot p_0^2} \cdot I_{b,m}. \quad (2)$$

with  $p$  as ambient pressure in hPa,  $p_0$  as ground pressure in hPa, and constants  $a_0=0.001225$ ,  $a_1=0.00012411$  and  $a_2=-$   
 135  $2.687066 \cdot 10^{-8}$  in accordance with recommendations by the manufacturer.

Müller (2020) presents the development, status and preliminary results of a device attachable to regular ECC sondes which measures the background current in-flight. The device enables time-controlled intermissions by switching from a measurement of ozone in the ambient air to measurements using ozone-free air. The ozone-free measurement periods in modified ECC sondes launches in Palau and Ny-Ålesund support the application of a pressure-dependent background current correction to  
 140 the raw tropospheric signal, despite no physical dependency on pressure or  $O_2$ .

However, the background current in general is a topic of current research (Smit and Thompson, 2021). Recent publications by Vömel et al. (2020), Tarasick et al. (2021) and Smit et al. (2023) support the theory of an origin of the background current in the secondary, slow time response of the sensor. They suggest new methods of correction, which will be investigated for the PAO time series in future studies. For now, the pressure-dependent correction as a compromise results in  $O_3$  VMR closer to no  
 145 correction applied, whereas a constant correction would yield physically unrealistic low values, which is particularly important at minimum  $O_3$  levels between 10 and 13 km altitude (see Fig. A1 in the Appendix).

The assessment of potential near-zero  $O_3$  measurements in the TWP and the scientific discussion on that topic (e.g. Smit, 2014; Rex et al., 2014; Voemel and Diaz, 2010; Newton et al., 2016) demanded a particular focus on ensuring the high-quality of the equipment and attention to detail during all steps required for generating the PAO data record, including the pre-flight  
 150 preparations of the sonde and the post-processing of the raw signal. The Assessment of Standard Operating Procedures for OzoneSondes (ASOPOS), an international consortium of ozonesonde experts established under the umbrella of the WMO's Global Atmosphere Watch (GAW) program has put together a reference for standard operating procedures (SOP) based on extensive assessments (GAW Report 201, Smit (2014), updated in Smit and Thompson (2021)). The GAW recommendations for our sonde model, type 6A manufactured by Science Pump Corporation (SPC), have been carefully followed with the

155 exception of the background current correction method and are summarized in Table A1. With regard to the background  
current correction, Smit and Thompson (2021) advise stations to stay with their established method until further notice.

Preparation of the ECC sondes according to SOP is done in two steps, the first step three to thirty days before launch, and  
a second preparation within 24 hours before the launch, usually on the launch day. In Palau, the preparation and storage in  
between take place inside an air-conditioned and dehumidified lab container, providing a stable environment with temperatures  
160 around 25 °C and RH around 40 %. All parameters measured during preparations are documented and available as metadata.  
Raw pump current measurements during flight are available to readers upon request in addition to the Vaisala software output  
files and sounding data in NASA Ames format. Data presented in here have been calculated from raw data with specifically  
developed software (using Eq.1 and corrections summarized in Tab. A1).

Vaisala radiosondes were used for data transmission as well as to measure pressure, temperature and tropospheric humidity  
165 and to provide the GPS coordinates. The RS92-SGP model and its interface to the ECC sonde was used until October 2017  
(see Dirksen et al. (2014) for technical details and quality assessment). Thereafter, the ground receiving unit and software  
were switched to facilitate use of Vaisala's new standard radiosonde RS41-SGP (Vaisala, 2017; Sun et al., 2019). In support of  
international efforts to assess differences between the old and new radiosonde (for example by the Global Climate Observing  
System Reference Upper-Air Network, GRUAN) four dual soundings with both radiosonde types, RS92 and RS41, were  
170 conducted in spring 2018 (Dirksen et al., 2020). We expect no major impact due to this change regarding the tropospheric O<sub>3</sub>  
monitoring. However, the RH measurements show significant differences, particularly in the upper troposphere, which will be  
investigated in the future.

The new RS41 radiosondes facilitate monitoring of the ECC sonde voltage and motor current. First measurements with  
the new RS41 revealed suspected performance issues of ECC sonde wet cell batteries, which were then replaced with dry  
175 batteries. Comparison with satellite observations from Aura MLS (Microwave Limb Sounder, Froidevaux et al. (2008), not  
shown here) reveal discrepancies in stratospheric O<sub>3</sub> observations for around 20 % of soundings in the data set. At pressure  
levels below 20 hPa (altitudes above the 20 hPa level), the affected ozonesondes measured 1-2 ppm less O<sub>3</sub> (10-20 %) than the  
average of 5 satellite measurements of the nearest overpass. Monitoring of the pump temperatures yields no explanation for  
these differences. An early drop of the wet cell battery voltage would be a possible cause, but as the battery voltage was not  
180 monitored with RS92 radiosondes, clarification of this effect is not possible. We assume no impact on the tropospheric profiles  
below 20 km and advise to be cautious of this effect when using the time series for either total column or stratospheric O<sub>3</sub>  
assessment.

## 2.2 Balloon-borne observations: water vapor and aerosol sondes

As stratospheric water vapor controls the radiative budget of the stratosphere and has great impact on global warming (e.g. Riese  
185 et al., 2012; Solomon et al., 2010), the growing PAO record of CFH soundings can be relevant in monitoring and assessing the  
variability of this important quantity (upload to a repository providing a doi for the data in preparation). 17 measurements with  
CFH sondes by Environmental Science (EN-SCI) were performed from 2016-2021 (see Sect. 2.1 and Fig. 3) in combination  
with ECC and COBALD sondes. The CFH instruments use a chilled-mirror principle to detect water vapor up to 28 km

altitude with an uncertainty below 10 % (Vömel et al., 2007, 2016). The COBALD technique by MyLab, Switzerland, enables  
190 measurement of aerosol backscatter at two optical wavelengths, 455 nm (visible blue) and 940 nm (infrared), and thus detection  
of cirrus clouds and aerosol layers in the TTL (e.g. Brunamonti et al., 2018). COBALD requires launches after dark, which  
determined the combined ECC-CFH-COBALD launches to be at night-time. COBALD deployment has been in cooperation  
with the ETH Zurich, where the sonde was developed based on an original setup by Rosen and Kjöme (1991). The combined  
soundings of CFH and COBALD are an excellent in situ validation for the ComCAL lidar measurements, which will be subject  
195 of upcoming studies. Note, RH measurements used in this study are solely from radio soundings and not from CFH sondes.

### 2.3 Fourier-transform infrared (FTIR) spectrometer

The solar absorption spectrometry has been established as a powerful tool to study the composition of the atmosphere. Using  
the sun as an external light source allows to measure the concentrations of about 30 trace gases in the atmosphere. Besides the  
total column data, the analysis of the spectral line shape enables retrieval of the concentration profiles in about 2-4 atmospheric  
200 layers up to 30 km. The PAO is equipped with the Bruker 120 M mobile high resolution Fourier-transform infrared (FTIR)  
spectrometer (Notholt et al., 2000). The solar tracker is mounted on the top of the hosting container. If weather conditions permit  
(no clouds, sunny), the dome opens, the solar tracker follows the sun, and spectra are recorded. O<sub>3</sub> is measured around 2000  
cm<sup>-1</sup> at a resolution of 0.005 cm<sup>-1</sup>. Measurement times for individual scans are about 2-5 min. The retrieval is performed using  
SFIT4, a code based on the optimal estimation method (Hannigan et al., 2022). O<sub>3</sub> can be retrieved at about four independent  
205 layers between the surface and about 30 km.

In addition, many other trace gases show absorption features in the measured spectra, like CH<sub>4</sub>, CO, OCS, CH<sub>2</sub>O, HCN,  
C<sub>2</sub>H<sub>6</sub> and N<sub>2</sub>O. Currently the instrument is modified to cover also the long-wave infrared region, allowing a better retrieval of  
O<sub>3</sub> and studying other trace gases, like HNO<sub>3</sub>.

### 2.4 Compact cloud aerosol lidar (ComCAL)

210 The “Compact Cloud Aerosol Lidar” (ComCAL) deployed at the PAO since 2018 has originally been designed in 2006 (Immler  
et al., 2006) and is hosted in its own laboratory container. As a multi-wavelength lidar system capable of observing aerosol and  
cloud particle backscatter, extinction and depolarisation, it superseded the previously installed micro Lidar installed in the first  
laboratory container, measuring only in spring 2016 (see Cairo et al. (2021) and Fig. 3). The ComCAL consists in its current  
configuration of flash lamp pumped Nd:YAG laser from Quantel Brilliant with 20 Hz and an energy of 120 mJ, 180 mJ and  
215 65 mJ for the three colors of 355 nm, 532 nm and 1064 nm, respectively. The recording telescope is a 40 cm Newton with  
1200 mm focal length and 1mm field stop (0.83 mrad field of view). The signals are detected by Hamamatsu photomultipliers  
for all colors, except for 1064 nm, for which a Licel APD is employed. The Transient Recorders are also from Licel (12bit,  
20 MHz) and collect the signals in both photo counting and analog mode. The depolarization is measured at 355 nm and 532  
nm by using a Glen-Taylor prism in the detection branch that is coupled to the laser. Hence, the lidar profiles parallel (“p”)  
220 and perpendicular (“s”) to the laser are recorded alternately by the same photomultipliers. This configuration facilitates the

calibration of the depolarization, however, as the “s” signals perpendicular to the laser polarization are generally weak, the signal to noise ratio is lower for the “s” polarization, compared to the “p” signals.

Using a monostatic design (same optical axis for laser and recording telescope) valid data is obtained above 500 m altitude. Lidar data are stored with a resolution of around 2 minutes (1200 laser shots) and 7.5 m. The evaluation is done according to  
225 Klett (1985) and Ansmann et al. (1992), typically with 10 min and 60 m resolution. The particle extinction is measured by the inelastic Raman scattering of  $N_2$  at 387 nm. With a resolution of 2 h/ 60 m during night-time typically a signal to noise ratio of about 10 is found for this Raman channel in approximately 6 km altitude. In summary, the ComCAL lidar is capable to track aerosol layers even in the UTLS region, as well as subvisible or optically thin cirrus, at least concerning the backscatter coefficient and the depolarization. Hence, analyses of cloud properties, as outlined by Cairo et al. (2021) are feasible. Moreover,  
230 the system shall be used in conjunction with the balloon-borne soundings at the PAO to gain more information about the TTL, e.g. to what extent clouds and aerosol layers may be related to features in temperature, RH or  $O_3$  concentration.

## 2.5 Auxiliary Data (Palau weather station, SHADOZ)

Located 800 m South-West of the PAO on a slope on the same island, the US National weather service and NOAA operate a general weather station (PTRO 91408) with twice daily radio soundings. In August 2018, the launch site was switched to a  
235 location further away ( $\sim 8$  km) in Airai, next to the Palau International Airport. With relocation, the used radiosonde model was changed from Lockheed Martin LMS-06 to Vaisala RS41-NG.

As a tropical station the PAO shares technical challenges as well as certain atmospheric characteristics with  $O_3$  measurement stations assembled in the SHADOZ network (e.g. Thompson et al., 2003a, b, 2007, 2011, 2019). Since 1998, SHADOZ fills observational gaps in the ozonesonde record of the Southern Hemisphere and the tropics by providing consistent data from  
240 remote tropical and subtropical sites. To embed our  $O_3$  profile observations in a larger geographical context, we include data from selected SHADOZ stations in our analysis (see Fig. 1 and Tab. 1). The SHADOZ data version 6 is used in this study and incorporates a reprocessing of all data according to state-of-the-art procedures (see Smit et al., 2012; Deshler et al., 2017; Witte et al., 2017; Thompson et al., 2017; Witte et al., 2018).

The latest JOSIE campaign (Juelich Ozone Sonde Intercomparison Experiment) was dedicated to tropical stations and their  
245 particular challenges and confirmed the high quality of methods and operating procedures used within SHADOZ (Thompson et al., 2019). In terms of common characteristics in free-tropospheric and TTL ozone, the SHADOZ stations can be divided into several groups: the Western Pacific and eastern Indian Ocean (Kuala Lumpur, Java, Fiji, American Samoa) the equatorial Americas (San Cristobal, Costa Rica, Paramaribo), the Subtropics (Hanoi, Hilo, Irene, Réunion) and the Atlantic and Africa (Natal, Ascension, Cotonou, Nairobi) (Thompson et al., 2012). More recently, Thompson et al. (2021) also used these distinct  
250 characteristics to calculate regional ozone trends from SHADOZ data. We focus our comparison on the four Western Pacific stations. In addition, Hanoi, being the second closest SHADOZ station, Costa Rica, located at a similar latitude, and Hilo, as another Pacific station North of the equator, are considered (compare Table 1). Differences in radiosonde or ECC sensor model are not assessed in this study and might influence the results (see Table 2 in Thompson et al., 2019).



### 3 Results and Discussion

255 We present the PAO time series of ECC ozone and radiosoundings from 2016 until 2021 as well as accompanying measurements of other parameters. First, the local tropospheric variability is discussed in the context of global circulation patterns. Then, the PAO data are compared to measurements from selected SHADOZ sites and from the CONTRAST campaign to highlight the regional relevance.

#### 3.1 Local tropospheric O<sub>3</sub> variability

260 Figure 4 shows time series of ozone and humidity measured above Palau from 2016 until 2021 as time-height cross-sections in the geopotential height range from 0-20 km. To provide context, the Multivariate ENSO Index (MEI, Wolter and Timlin, 2011) is shown in panel c), with positive MEI indicating El Niño events and negative MEI indicating La Niña conditions. Arrows at the top of panels a) and b) indicate the launch times of ozonesondes and radiosondes at PAO. For the plot the data was time interpolated between the next measurements. If no sonde launch was conducted for more than 20 days, a gap is visible in the  
265 time series plot. The tropospheric ozone VMRs are lowest from July to October (< 30 ppb) and peak in northern hemispheric spring (20-100 ppb). The boundary layer (0-2 km) is generally very humid and ozone-poor, mostly > 80 % RH and < 20 ppb O<sub>3</sub> VMR. In the mid-troposphere (2-14 km) greater differences throughout the year occur for both O<sub>3</sub> and RH (from < 10 % to > 90 %). The pronounced anti-correlation of O<sub>3</sub> and RH in at these altitudes can be used to determine the origin of air masses (Müller et al., 2023).

270 Figure 5 shows in more detail the O<sub>3</sub> VMR, relative humidity (with respect to liquid water) and additionally the temperature in the geopotential height range from 14-20 km in the UTLS. The evolution of the humidity profile at these altitudes clearly shows the change of radiosonde instrumentation which took place in 2017 when the Vaisala RS92 was replaced with its successor, the RS41, which tends to report higher relative humidity values in the UTLS. Generally, the TTL composition is modulated by the seasonality of the Brewer Dobson circulation and the changing position of the ITCZ, which is shifting the  
275 Hadley circulation north- and southwards over the course of the year. O<sub>3</sub> VMR range from roughly 20 - 150 ppb at approx. 16 km, which can be related to both variability in tropopause height and uplift of ozone-poor air masses in active convection. RH varies from 0 to > 50 %. Day-to-day-variability seems to play a strong role in TTL RH variability, particularly below around 16 km.

The overall variability of O<sub>3</sub> VMR in the tropospheric column above Palau seen in Fig. 4 can be largely explained by annual  
280 variations of the large-scale circulation (compare Müller et al., 2023). Besides the overall high convective activity in the TWP warm pool, Palau's climate is governed by trade winds, the position of the ITCZ and the Western Pacific Monsoon.

Interannual variations of the chemical air composition above the TWP are tied to ENSO (e.g. Shiotani, 1992; Gettelman and Forster, 2002; Smith et al., 2012). The PAO data record starts during the strong El Niño event in 2016 (e.g. Huang et al., 2016; Diallo et al., 2018), which can be seen from the Multivariate ENSO index in Fig. 4. The index shows a return  
285 to neutral conditions in May/June of 2016. The years 2017 and 2018 were governed by moderate La Niña events. A weak El Niño in 2018/2019 was followed by a longer and stronger La Niña phase that lasted into 2023. Since mid-2023 El Niño

conditions occur. In the following, we focus on the prominent El Niño event of 2016. A longer time series will eventually allow investigation of the full ENSO variability.

In analogy to the impact of the strong 1997/8 El Niño, Palau experienced a drought during spring 2016 with critically low water reserves on the island (Di Liberto, 2016; Polhemus, 2017). During El Niño, the warm pool shifts towards the central Pacific and the TWP experiences negative SST anomalies and positive anomalies of outgoing longwave radiation along with a weakening of the trade winds, leading to suppressed convection and decreased cloud coverage and precipitation. Our measured time series reveals clear corresponding characteristics during this time period, i.e. an unusual dry and ozone-rich mid-troposphere (Fig. 4) and a weaker vertical gradient in O<sub>3</sub> VMR over the whole TTL, with enhanced O<sub>3</sub> levels in the upper troposphere (UT) below the cold point tropopause and decreased O<sub>3</sub> in the lower stratosphere (LS) above 18 km (Fig. 4).

Less up-lift of ozone-poor boundary layer air and less wash-out of O<sub>3</sub> precursors due to the strong El Niño event can explain the high mid-tropospheric and UT O<sub>3</sub> VMR between March and July 2016 above Palau. Individual observations of higher than average O<sub>3</sub> in the UT are made every year during this season and are visible as tongue-like features in Fig. 4 (a). Decreased LS O<sub>3</sub> VMR, as can be seen in Fig. 5 (a) at the start of the time series, have been documented as a zonal El Niño response by different studies and are attributed to enhanced tropical upwelling (e.g. Randel et al., 2009; Konopka et al., 2016; Diallo et al., 2018).

Figures 5 (b-c) show the ozonopause, defined as the level of 90 ppb O<sub>3</sub> VMR following Prather et al. (2011), as a black solid line relating the TTL O<sub>3</sub> seasonality to photo-chemical processes. The ozonopause generally follows the temperature cycle with a maximum in winter, when the thermal tropopause is coldest and reaches its maximum altitude. In spring/ early summer 2016, it differs clearly from the unusual cold thermal tropopause (Fig. 5 (c)). This TTL temperature anomaly has been associated with El Niño events by various studies (e.g. Kiladis et al., 2001; Randel and Thompson, 2011; Paulik and Birner, 2012). LS water vapor levels are higher during this period compared to the following years. This will be assessed more thoroughly in a future study deploying the PAO data record from CFH soundings, which do not include the inhomogeneity in the RH data record shown here caused by the change in radiosonde models. In general, global lower stratospheric water vapor is tied to the variability of TTL temperatures, since the final dehydration of air masses takes place in the TTL (e.g. (Gettelman and Forster, 2002; Schoeberl and Dessler, 2011; Fueglistaler, 2012; Randel and Jensen, 2013)).

Figure 6 shows monthly mean O<sub>3</sub> VMRs (c) and altitude cross sections with individual O<sub>3</sub> VMR measurements averaged in 300 m bins around 8 km (d) and 18 km (e). O<sub>3</sub> measurements are complemented in the figure with monthly means of meridional (a) and zonal (b) wind for the time series alongside the monthly latitudinal position of the ITCZ derived from TRMM data averaged from 1998 to 2009 for a region between 125-175° E and 0-20° N (after Shonk et al., 2018) (f). The O<sub>3</sub> column has two dominant annual features, i.e. a mid-tropospheric cycle with a clear minimum from July to October (10-30 ppb, Fig. 6(d)), and a reverse cycle of greater amplitude in the TTL with a maximum from June to September (Fig. 6(e)). In the mid-troposphere, individual measurements outside the minimum show a greater spread compared to the persistent signal in the TTL, inter- as well as intra-annually, as can be inferred from Fig. 6 (d) and (e). Around 8 km altitude, VMR as low as during the mid-tropospheric minimum period are occasionally also measured between January and June in years other than the El Niño year 2016. It should be noted that during the timeframe in which O<sub>3</sub> in the mid-troposphere is expected to reach its minimum,

the sounding frequency was increased in most years, to increase the robustness of particularly low  $O_3$  observations. The TTL seasonal cycle is a known zonal phenomenon, which is mostly controlled by the Brewer-Dobson circulation (Thompson et al., 2003a, b; Randel et al., 2007).

325 The annual movement of the ITCZ can explain the periodicity of the annual  $O_3$  cycle in the mid-troposphere. The ITCZ crosses Palau's latitude in June and October (for the definition of ITCZ latitude see caption of Fig. 6 and Shonk et al. (2018)). On average, the ITCZ is located  $6^\circ$  N of the equator, but moves towards the warming hemisphere throughout the year. Therefore, Palau is generally close to the ITCZ, but closest during its northernmost position from July until October. The dominant influence of the Northern Hadley cell and thus transport of air masses to Palau with northeasterly trade winds is only interrupted during these few months, when the Western Pacific Monsoon reaches Palau from the South-West below 5 km altitude. Above 5 km though, the influence of the monsoon circulation vanishes and easterly winds dominate throughout the remaining tropospheric column. Additional moisture transported to Palau in this wettest season of the year corresponds well to the lowest  $O_3$  measurements. But measurements of low  $O_3$  (see Fig. 6 (d)) paired with high RH occur year-round and are interpreted as the result of convective activity, which transports humid and ozone poor boundary layer air upwards. To some extent this convection persists year-round due to the overall close proximity of the ITCZ. The wind components measured above Palau (Fig. 6 (a,b)) show a seasonality corresponding to the ITCZ movement with particularly opposite regimes in the meridional wind for June to October compared to November until May. The shift in regimes corresponds well with the annual movement of the ITCZ shown in Fig. 6 (f). The tropospheric  $O_3$  seasonality and in particular the transport processes driving this pattern are discussed in more detail by Müller et al. (2023). The average zonal wind in the UTLS has to be treated with caution due to the irregular shifting between westerlies and easterlies with the QBO.

## 3.2 Regional tropospheric $O_3$ variability

### 3.2.1 Comparison with SHADOZ

As  $O_3$  and  $H_2O$  are valuable tracers of dynamical transport in the TWP, we compared the  $O_3$ -RH relation measured at the PAO to other selected SHADOZ stations to assess their interdependency and variability in the region. Given its position far away from major anthropogenic emissions sources the PAO provides an atmospheric background site well-suited to study the impact of global climate change on seasonal air composition and dynamics. In Fig. 7, 2D histograms for  $O_3$  VMR ( $\leq 100$  ppb) versus RH (with respect to liquid water) at selected SHADOZ stations and at the PAO are shown for the free troposphere (3-14 km). Data is essentially unsmoothed, i.e. no spatial or temporal averaging beyond raw data processing has been applied, in order to preserve the simultaneously measured combination of the two tracers. This is an important advantage over averaging of data into mean seasonal or annual profiles with altitude, where the effects of layered structures in certain altitudes might be canceled out by an equally dominant background (compare Pan et al., 2015; Müller et al., 2023). The sampling frequencies are not regular and for different stations data has been accumulated over different time periods (compare Tab. 1). The resulting distributions, therefore, cannot be compared in a quantitative climatological manner, but we can assume a good representation of interannual variability for SHADOZ data (time series of 13 to 21 years). Histogram values in Fig. 7 are normalized to the

355 total number of observations in the free troposphere for each station. Thus, they can be interpreted as a statistical probability distribution for a certain  $O_3$ /RH combination to occur.

In this sense, the given stations can be classified into three groups based on the distribution of  $O_3$  versus RH:

1. a fairly narrow, almost Gaussian distribution of  $O_3$  VMR with evenly distributed RH (Costa Rica, Kuala Lumpur),
2. predominantly dry air spread over a wider range of  $O_3$  VMR (Hanoi, Hilo), and
- 360 3. a mixture of the two previous categories: an “L”-shaped distribution with a dominant mode of low  $O_3$  over the whole RH range and a tail towards higher  $O_3$  VMR corresponding to low RH values (Palau, Fiji, Java, American Samoa).

With a reference to these categories, the statistical  $O_3$  box plots for the eight stations are arranged as a longitudinal cross-section over the Pacific Ocean in Fig. 8 (compare Thompson et al., 2012, 2017). The most frequently observed  $O_3$  VMR (maxima in the marginal 1D histograms of Fig. 7) are superimposed as orange circles on the boxplots. In Fig. 8, we see the  
365 separation of the Pacific island stations (turquoise boxes) from the other groups in terms of  $O_3$  VMR (lowest median, mean values and maximum frequency). The similarity between the Western Pacific stations can be explained by their tropical marine climate and location far from industrial centers. Without  $NO_x$  emissions,  $O_3$  loss in a marine, humid environment will dominate over  $O_3$  production.

With  $O_3$  as an indicator for its precursor  $NO_x$  (Crawford et al., 1997; Gao et al., 2014; Rex et al., 2014) we would expect a  
370 decrease from East to West, while crossing the remote Pacific and following the trade winds within the ITCZ (Thompson et al., 2012), as more and more  $NO_x$  is lost by conversion to  $HNO_3$  and subsequent washout in convection is outweighing  $NO_x$  production (Graedel et al., 1994). But this lateral ozone gradient is not apparent in any of our statistical measures. Instead, according to the statistics for the free troposphere, Palau sets the lowest boundaries for all selected stations, except for the minimum range value (excluding outliers).

375 The Palau data further stand out due to the exceptionally narrow  $O_3$  distribution around  $\sim 22$  ppb (compare marginal 1-D histogram in Fig. 7). The fairly even distribution of RH rather resembles histograms classified into group 1. This consistency within the near-equatorial Northern hemispheric stations, all located within  $\pm 5^\circ$  latitude of Palau, is caused by their close vicinity to the average position of the ITCZ around  $6^\circ$  North and thus overall high rainfall rates (e.g. Schneider et al., 2014)). The most unique attribute of the distribution based on PAO data is the essential absence of a dry season in terms of tropospheric  
380 humidity values. The driest air masses paired with enhanced  $O_3$  VMR (horizontal part of the “L”-shape) are mostly limited to the time period of November until April (for more details on seasonal distributions, see Müller (2020)). But while moist air masses above 40 % are rare during the dry seasons for other stations in group 3, these are frequently observed year-round in Palau, also during the drier period, with the exception of the strong El Niño in 2016. The RH distributions of Fiji, America Samoa and Java are skewed towards lower values during their pronounced dry seasons. Weak overall anti-correlation of  $O_3$  and  
385 RH occurs for Fiji and American Samoa data (Fiji:  $R=-0.46$ , American Samoa:  $R=-0.42$ ). Kuala Lumpur and Costa Rica (group 1) constitute the boundaries of the longitudinal Pacific cross-section (brown boxes in Fig. 8) and are continuously influenced by continental pollution and deep convection, with most frequent  $O_3$  VMR observations at around 30 and 40 ppb, respectively,

and evenly distributed RH. That means O<sub>3</sub> VMR are indeed enhanced compared to the most frequent O<sub>3</sub> measurements in group 3, but there are hardly any observations greater than 60 ppb, possibly due to local mixing processes and O<sub>3</sub> loss in the humid free troposphere. Kuala Lumpur is almost completely lacking observations below 10 % RH (see Fig. 7 (h)).

Both subtropical stations, Hanoi and Hilo, in group 2 (purple boxes in Fig. 8) are similarly affected by pollution with a significantly wider spread in their O<sub>3</sub> distributions compared to all other stations, especially towards higher values (Fig. 7 (e, f)). Their free-tropospheric O<sub>3</sub> to RH ratio is therefore especially different from the one at Palau. The tendency towards lower RH values is tied to their location close to the subsiding branch of the Hadley circulation. The less humid troposphere chemically acts in favor of higher O<sub>3</sub> VMR. The semi-permanent North Pacific High above Hawaii, together with the cold SST in the East Pacific, is responsible for a stable trade wind inversion which suppresses deep convective activity above Hilo and enables long-range transport of potentially polluted air masses from both Asia and North America (e.g., Oltmans et al., 2004). Hanoi, in turn, is affected by Asian outflow within shorter distances to the sources (Ogino et al., 2013).

For this study, we have neglected variations in altitude despite the inhomogeneous distribution of O<sub>3</sub> values in the free troposphere. We particularly excluded the boundary layer and TTL, but included the level of deep convective outflow (10-12 km altitude). O<sub>3</sub> VMR are typically very low at this level in the TWP (e.g., Folkins, 2002; Gettelman and Forster, 2002; Pan et al., 2014). At 200 hPa, approx. 25 % of the O<sub>3</sub> observations in Palau are below 20 ppb (not shown here, c.f. Müller, 2020). For American Samoa, Fiji and Java, Solomon et al. (2005) found even higher percentages between 30 % and 45 %. However, at lower altitudes, Palau experiences higher fractions of low O<sub>3</sub> VMR compared to their study and the overall statistics for the free troposphere (Fig. 8) attest to the exceptional dominance of the ozone-poor tropospheric column compared to other SHADOZ sites. Despite the large altitude interval, we use RH with respect to liquid water in this tropical intercomparison as a simple and unique measure of humidity, which may not necessarily give a realistic representation of the physically correct RH (e.g. Fujiwara et al., 2003). That means, we do not account for the occurrence of mixed-phase or ice clouds, which becomes more problematic below temperatures of -35 °C, where our measure underestimates humidity. The characteristic signatures of our distribution data sets, however, do not change when reducing the altitude range to 3-12 km, i.e. data with temperatures approx. > -40 °C.

### 3.2.2 Comparison with CONTRAST

A detailed analysis of the relation between tropospheric O<sub>3</sub> VMR and RH in the TWP has been performed by Pan et al. (2015) for data from the CONTRAST campaign in 2014 (see Pan et al. (2017) and Fig. 1). They found a bi-modal distribution of free-tropospheric O<sub>3</sub> VMR within the altitude profile. The humid, ozone-poor background or “primary mode” was isolated by simply removing all “dry” data with RH less than 45 %, which indicated an entirely convective control of the primary mode. The study thus still refrains from resolving the individual vertical structure of layers, but proposes the RH threshold as an overall free-tropospheric criterion for the primary mode.

For Palau a similar analysis does not reveal a bi-modal distribution for the full data set (Fig. 9 (c)), and a threshold of 45 % does not separate all higher O<sub>3</sub> observations from the primary low O<sub>3</sub> mode (Fig. 9 (d)). Figure 9 (a) depicts the layer-normalized density distribution for all O<sub>3</sub> observations at the PAO from the ground to 15 km, with data binning according

to Pan et al. (2015). Using the same method of analysis, the panel on the right, Fig. 9 (b), shows only “wet” data, with RH greater than 45 %. Here, in analogy with Pan et al. (2015), we calculated RH with respect to liquid water below 5 km altitude and RH with respect to ice above. For both, the CONTRAST and PAO data, 5 km is the average freezing level. The primary mode, i.e. the layer maximum, is highlighted in dark colors and obvious within both distributions. The “wet” data feature less frequent occurrences of O<sub>3</sub> measurements with more than 45 ppb. However, the highest frequency of O<sub>3</sub> observations in the mid-tropospheric layer of expected highest occurrence of enhanced O<sub>3</sub> air masses (from 320 to 340 K potential temperature) is approximately the same for both the full and the “wet” data set at around 20 ppb (Fig. 9 (c,d)). The tail in the “wet” distribution is reduced, but not completely absent, as it was shown for the CONTRAST data set (see Pan et al., 2015, Fig. 3f). The full Palau data set does not show a clear separation into two modes in the first place (compare Fig. 9 (c) with Fig. 3c in Pan et al. (2015)). However, a limited number of seasonal and annual averages of the PAO time series show bimodal characteristics, possibly also related to the ENSO cycle (see discussion and Figs. A2, A3, A4 in the Appendix). In some, but not all of these cases, the secondary mode indeed disappears within the “wet” distribution.

The differences with the aircraft measurements performed in the TWP from the Guam air base can be understood by looking at the uniqueness and seasonality of the free-tropospheric O<sub>3</sub> to RH relation at Palau (Fig. 7). In the PAO time series, air masses with higher O<sub>3</sub> content (> 40 ppb) also occur under wet conditions (> 45 %). Likewise, dry and ozone-poor air is frequently observed, presumably measured in higher altitudes and explained by the temperature-dependent vertical RH-gradient (e.g., Mapes, 2001). The central role of local convection in homogenizing air masses, lifting ozone-poor air from the ground and further depleting O<sub>3</sub> in the tropospheric column, is inevitable. But RH is not sufficient as a stand-alone indicator for a convective and thus local profile in the TWP.

Müller et al. (2023) show a different approach to separate humid, ozone-poor atmospheric background air masses from the Palau time series and, with this, contribute to the debate on the origin of frequent filaments of high O<sub>3</sub> and low RH interrupting the tropospheric background in the wider TWP (e.g. Anderson et al., 2016).

#### 4 Conclusions

The PAO with its comprehensive instrumental setup monitors different atmospheric constituents since 2016. In particular, the O<sub>3</sub> time series from regular ECC ozonesonde measurements fills an observational gap in the international ozone sounding network. The PAO, being a highly valuable candidate for SHADOZ, will be included in the network in the near future (private conversation with SHADOZ’s principal investigator Ryan Stauffer and Anne Thompson).

First analysis of the PAO O<sub>3</sub> and RH records (2016-2021) highlights the suitability of Palau as a site for studies of the atmospheric background enabling a comprehensive analysis of the influence of climate change on dynamics and air chemistry in the TWP. The regional tropospheric O<sub>3</sub> minimum is confirmed by the in situ measurements showing that lowest O<sub>3</sub> values accompanied by mostly high RH are observed in the mid-troposphere from July until October. The regional TTL seasonality of O<sub>3</sub> is confirmed and first signals of interannual variability due the ENSO are evident. Overall, the seasonal tropospheric O<sub>3</sub> variability is governed by the movement of the ITCZ, which is close to Palau year-round. In the UTLS, the Brewer-

455 Dobson circulation and its interplay with the uprising branch of the Hadley circulation take over control. As the ITCZ acts as a boundary for interhemispheric transport (Sun et al., 2023), its position North of Palau from July until October inhibits transport of potentially polluted northern hemispheric air masses to the region, which further promotes the seasonal mid-tropospheric O<sub>3</sub> minimum and is further explored in back trajectory analysis by Müller et al. (2023).

The comparison between data from the PAO and selected SHADOZ stations for the free troposphere in the O<sub>3</sub>/RH space  
460 emphasizes the uniqueness of the atmospheric composition above Palau. Low O<sub>3</sub> values attributed to a background atmosphere occur year-round in dry or humid conditions within the free troposphere, but show a seasonality. Dry air masses of enhanced O<sub>3</sub> VMR, the horizontal part of an “L”-shape in the O<sub>3</sub>/RH distribution, are mostly observed from November until April.

Comparison of the PAO data set with data from the CONTRAST aircraft campaign caution against the generalization of conclusions from a regionally and timely limited snapshot in the TWP. The aircraft campaign took place during the time  
465 of the year with greatest occurrence of enhanced O<sub>3</sub> VMR. Pan et al. (2015) proposed a “fundamental bimodal distribution of tropical tropospheric ozone” for the whole TWP based on the analysis of CONTRAST data, which separates ozone-poor humid air masses from ozone-rich dry air. The application of their method on the full PAO time series, however, did not confirm the general existence of such a separation, which occurs only during individual years or seasons. Müller et al. (2023) successfully used a different, statistical approach on the PAO time series to separate humid ozone-poor background air masses  
470 from anomalously dry and ozone-rich air.

While this paper provides a first overview of the O<sub>3</sub> data record and associated meteorological variables, we encourage the scientific community to use the PAO datasets to study relevant phenomena in this undermonitored region of the Pacific Ocean. Analysis of the water vapor measurements by CFH sondes as well as aerosol and cloud observations by ComCAL will give further insights on UTLS processes in this key region of global stratosphere-troposphere exchange. In the stratosphere, water  
475 vapor drives important gas-phase O<sub>3</sub> loss cycles as the primary source of HO<sub>x</sub> and is involved in the heterogeneous chemical processes leading to the formation of polar stratospheric clouds, thus eventually promoting chlorine activation and resulting in polar ozone loss (e.g. Solomon et al., 1986; Manney et al., 1994; Crutzen et al., 1995, see Diallo et al., 2018 and references therein).

*Code and data availability.* All code used to produce the data and results is available upon request. The ozonesonde dataset is available  
480 under <https://doi.org/10.5281/zenodo.6920648> and will be included in the SHADOZ database in the future. SHADOZ data can be accessed via the archive at <https://tropo.gsfc.nasa.gov/shadoz/Archive.html>. The Palau weather station data (station code: PTRO 91408) was accessed via the upper-air sounding database provided by the University of Wyoming (<https://weather.uwyo.edu/upperair/sounding.html>). ECMWF ERA5 data used for SST in Fig. 1 was accessed via the Copernicus Climate Change Service Climate Data Store (CDS): <https://cds.climate.copernicus.eu/>. The ENSO Index data is provided by NOAA (<https://psl.noaa.gov/enso/mei/>).

Figure A1 gives insight on the effect of the background current correction on the O<sub>3</sub> VMR signal. All O<sub>3</sub> VMR profiles measured at the PAO from 2016 to 2021 are shown in Fig. A5 sorted by month and alongside some statistical measures. Details on the statistical evaluation are given in Müller (2020).

With regard to the analysis performed in analogy to Pan et al. (2015) presented for the full PAO time series in Fig. 9, the  
490 Figs. A2, A3 and A4 show results for selected averaged seasons or years. Some reduced data sets show bimodal distributions (Fig. A3 a, b, c, d, Fig. A4 d, e), mostly during the February-March-April (FMA) season, and in many but not all cases the secondary mode of higher O<sub>3</sub> VMR disappears for "wet" data at the 320-340 K altitude level. The ENSO cycle might play a role as well. In 2019, a weak El Niño year, we observed more air masses with O<sub>3</sub> VMR > 60 ppb than in other years, which could be identified as a secondary mode in the distribution and which correspond to air masses drier than 45 % RH (see Fig.  
495 A4 d). In 2021, a La Niña year, we see little O<sub>3</sub> VMR above 60 ppb and no bimodal distribution, even during the FMA season (see Fig. A4 f and Fig. A3 f). We plan to investigate the impact of ENSO on O<sub>3</sub> and RH in more detail including the current El Niño cycle in a future study.

Table A1 provides specifications on the operating procedures of ECC soundings established at the PAO, please note the deviation from international SOP regarding the background current correction.



500 *Author contributions.* KM prepared the first draft and worked closely with JT on the text and figures. PG and SP were involved in Sects. 2.1 and 2.5. JN provided input for Sect. 2.3, CR for Sect. 2.4. PV and MR supported the analysis and provided effective and constructive comments to improve the manuscript. KM, SP, JT and others performed the measurements. All authors contributed to writing the paper.

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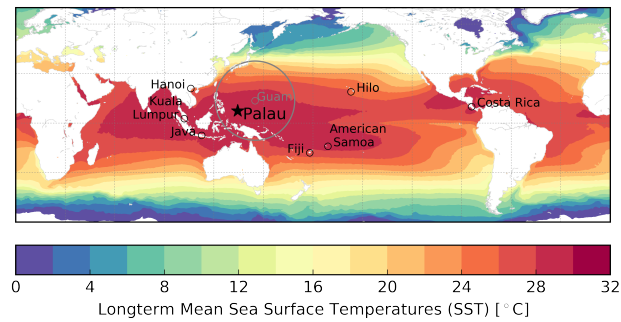
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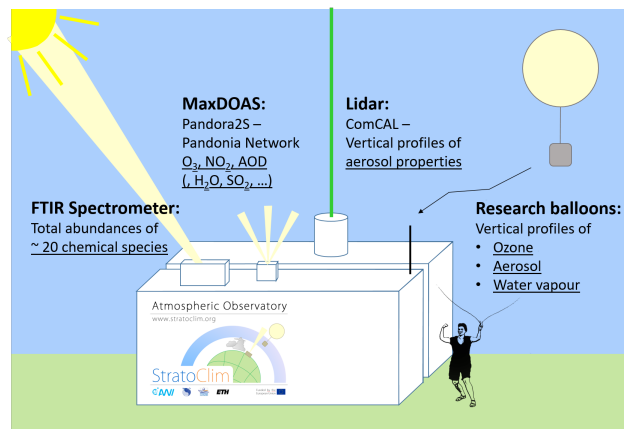
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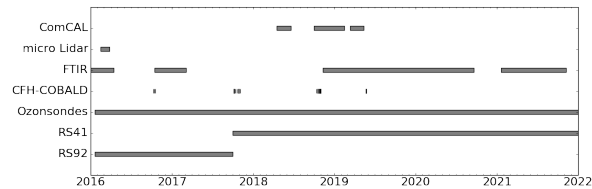




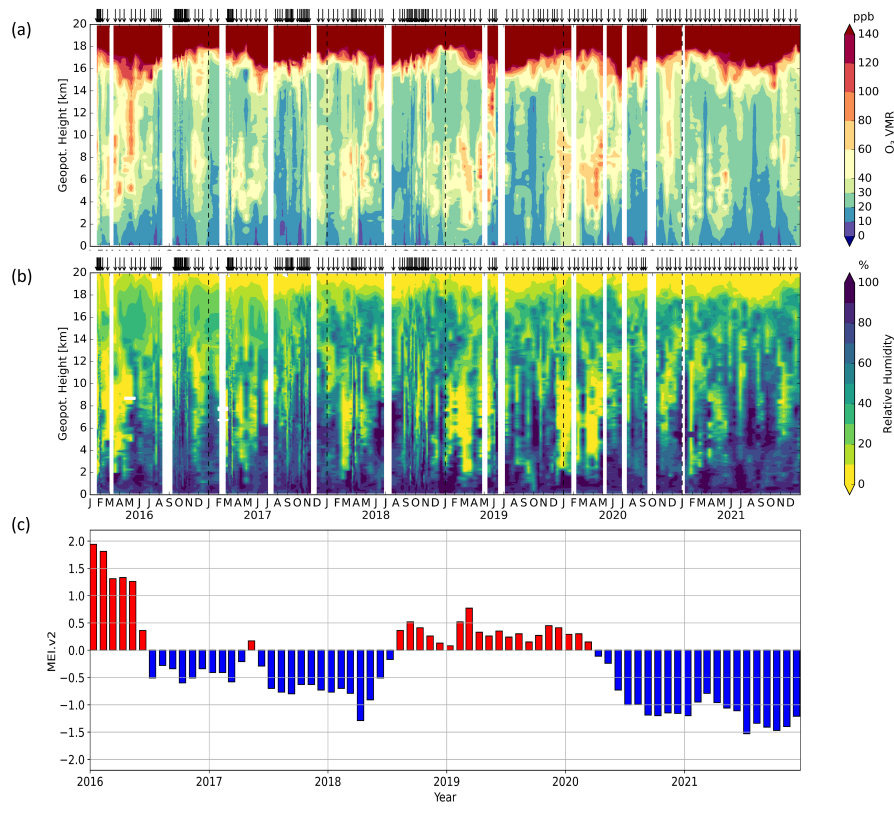
**Figure 1.** Location of Palau (black star), selected SHADOZ sites (black circle, see Tab. 1) and CONTRAST campaign domain around the airbase in Guam (grey circle). Longterm Mean Sea Surface Temperatures (SST) in °C derived from monthly ERA5 data from 1959-2021 show the location of the global warm pool area.



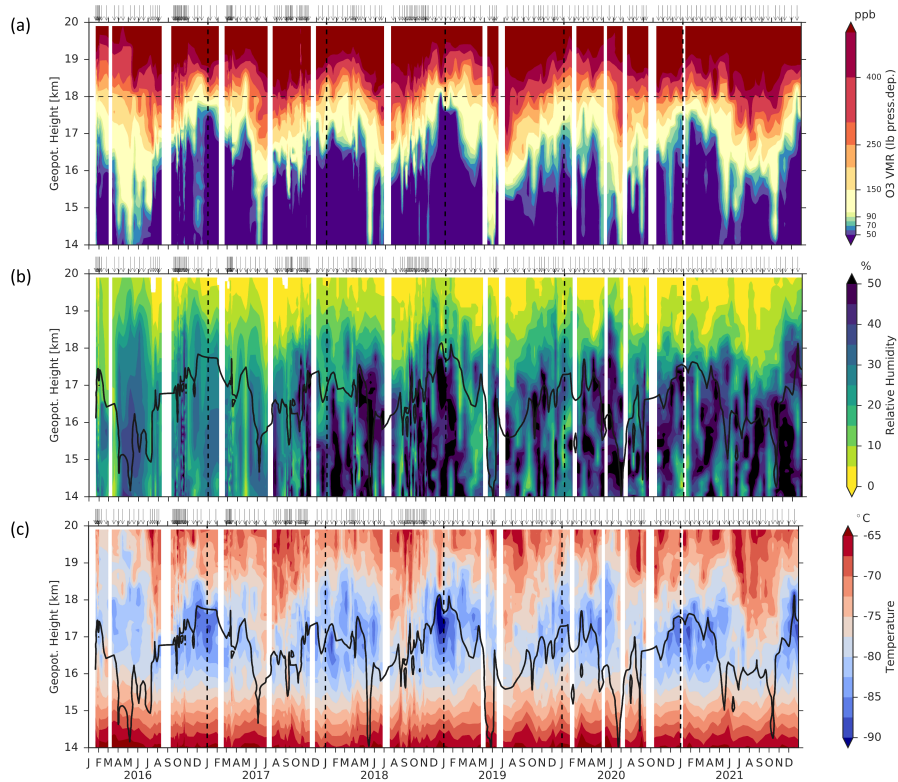
**Figure 2.** The current instrumental setup at the Palau Atmospheric Observatory in two adjacent laboratory containers. The MaxDOAS instrument Pandora2S is not discussed here. The micro-Lidar deployed in 2016 is not shown and was mounted on top of the front container.



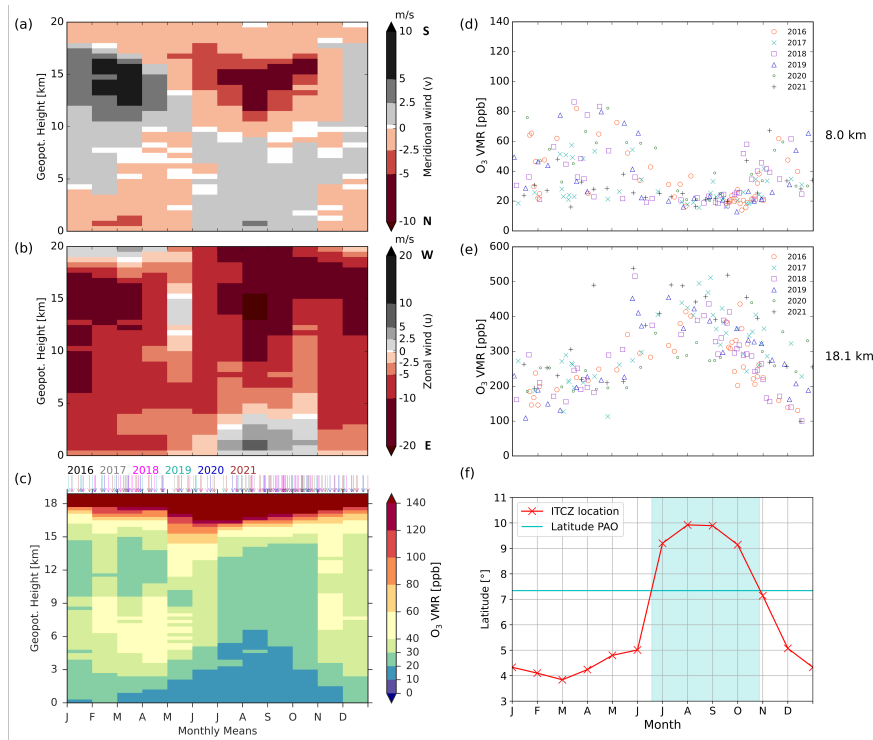
**Figure 3.** Timeline of the measurements performed in Palau using different instruments. Electrochemical Concentration Cell (ECC) ozonesondes have been launched bi-weekly or more frequently during campaigns. The accompanying radiosonde model was switched from Vaisala RS92 to RS41 in October 2017. Cryogenic Frostpoint Hygrometers (CFHs) and Compact Optical Backscatter and Aerosol Lidar Detector (COBALD) sondes were launched together with ECC sondes 13 times during campaigns. The Fourier-transform infrared (FTIR) spectrometer has been deployed with some interruptions. The Italian micro Lidar ran only in spring 2016, while the Compact Cloud Aerosol Lidar (ComCAL) system was installed in 2018.



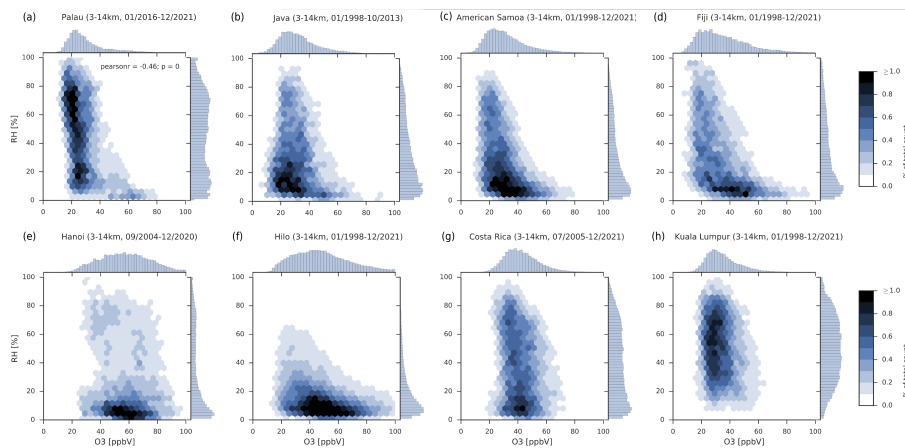
**Figure 4.** Time height cross sections of (a) ozone ( $O_3$ ) volume mixing ratio and (b) relative humidity (RH) (with respect to liquid water), (c) Multivariate ENSO index (MEI) from 2016 to 2021. The  $O_3$  and RH measurements have been performed at the PAO. The ENSO Index data is provided by NOAA (<https://psl.noaa.gov/enso/mei/>), (Wolter and Timlin, 2011).



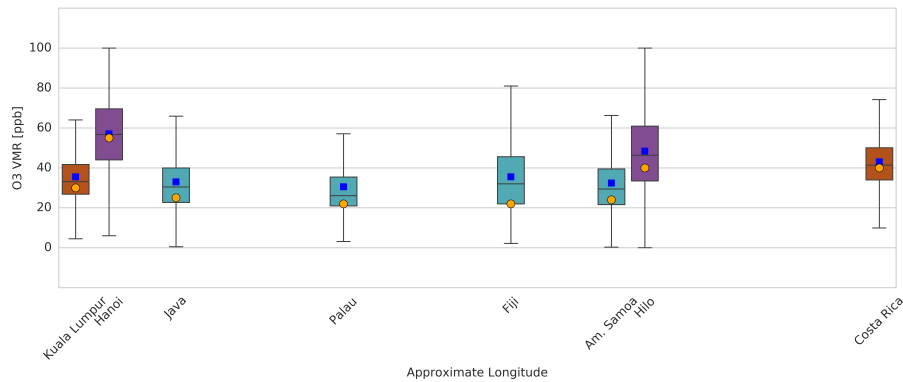
**Figure 5.** As panels (a), (b) and (c) of Figure 4, but only for the geopotential height range from 14-20 km. The black solid line in (b) and (c) denotes the ozonepause defined as O<sub>3</sub> VMR observations of 90 ppb (linearly interpolated) after Prather et al. (2011). The black horizontal dashed line in (a) at 18 km highlights a prominent layer of the annual cycle as visualized in Figure 6 (e).



**Figure 6.** Monthly mean zonal (a) and meridional (b) wind from daily radio soundings from the operational NOAA-associated observing site at the Palau airport (WMO station ID PTRO 91408) averaged from 2016-2019 as well as O<sub>3</sub> VMR (c) (compare Müller et al., 2023), averaged from 08/2016-2021 (i.e. excluding El Niño 2016) as time-height cross-sections, alongside mean O<sub>3</sub> VMR of individual soundings of all years (different markers and colors) in 300 m altitude layers centered around 8 km (d) and 18 km (e). Panel (f) shows the monthly mean latitudinal movement of the ITCZ from TRMM averaged from 1998-2009 for 125-175° E, 0-20° N after (Shonk et al., 2018), whereby the ITCZ location is defined in terms of the zonal mean rainfall rate across this region using a threshold of 50 % of the peak zonal-mean rainfall intensity. Palau’s latitude is marked by the horizontal turquoise line.

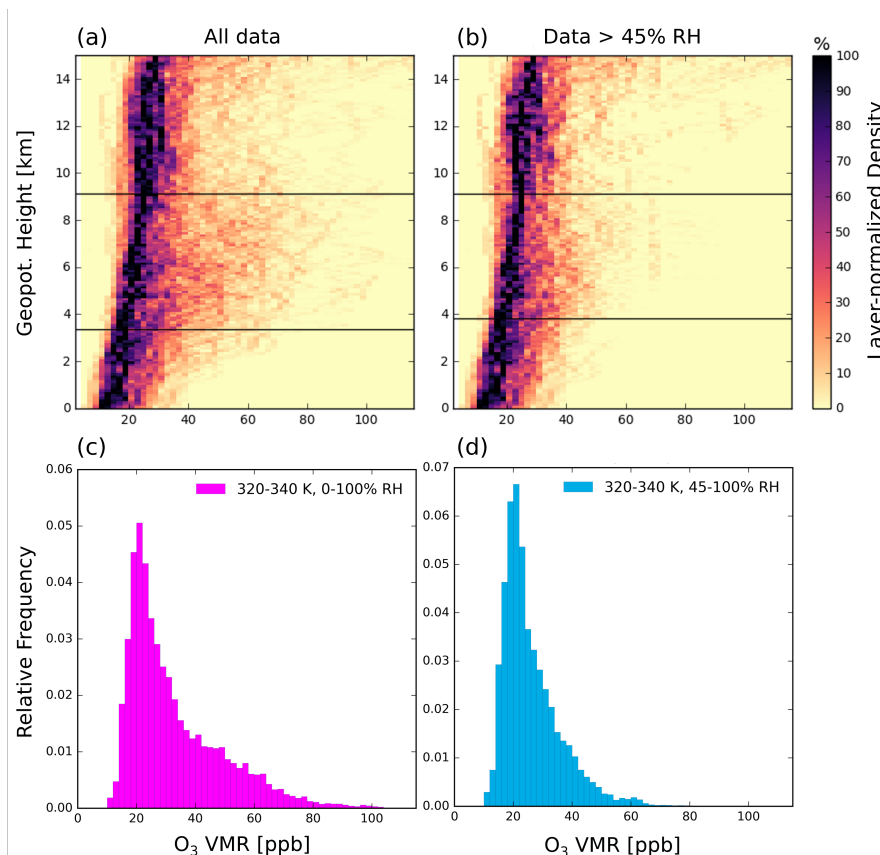


**Figure 7.** Free-tropospheric (3-14 km) relation between O<sub>3</sub> and RH (with respect to liquid water) in a 2D (hexagonally binned) histogram, normalized to the total count of data points per station, using all measured data pairs with  $\leq 100$  ppb O<sub>3</sub> VMR for Palau (a) and selected SHADOZ stations (b-h); color shading indicates percentage of total count per gridpoint and marginal plots give individual 1D histograms, normalized to unity.



**Figure 8.** Boxplots for free-tropospheric (3-14 km)  $O_3$  VMR  $\leq 100$  ppb for Palau and selected SHADOZ stations, colored by the group categories derived from  $O_3$ /RH distributions (compare Fig. 7): brown for group 1, purple for group 2, turquoise for group 3; outliers are not shown and whiskers are a function of the interquartile range ( $IQR = Q3 - Q1$ , i.e.  $Q3$  or  $Q1 +$  or  $- 1.5 \cdot IQR$  respectively); blue squares are mean values; orange circles refer to the most frequent  $O_3$  VMR as illustrated in the marginal 1-D histograms in Fig. 7; arrangement of boxplots on the horizontal axis is an approximation to longitudinal positions.

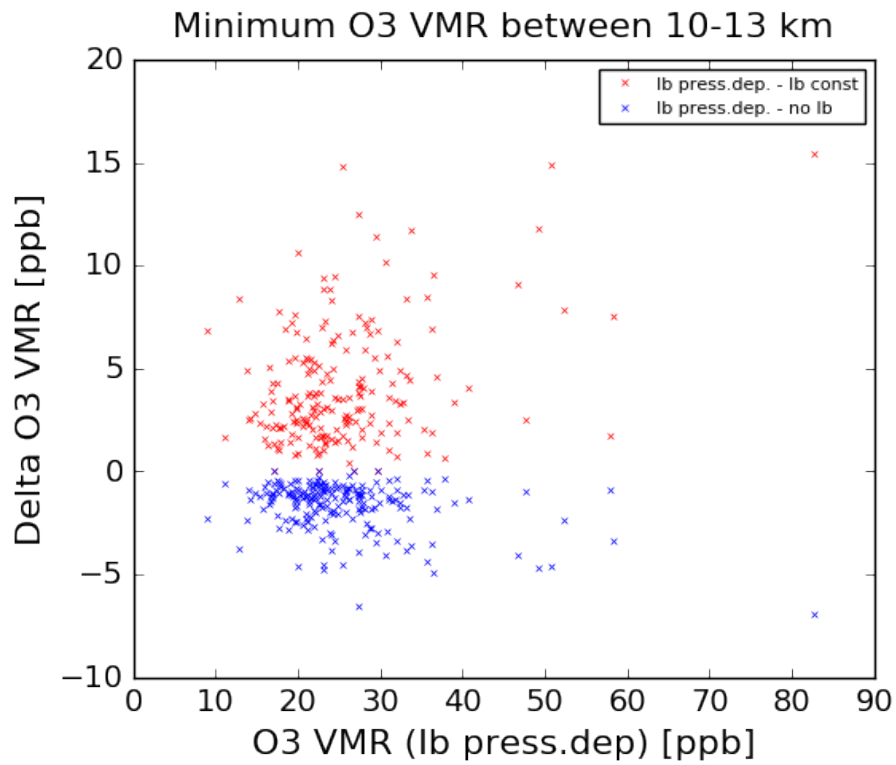




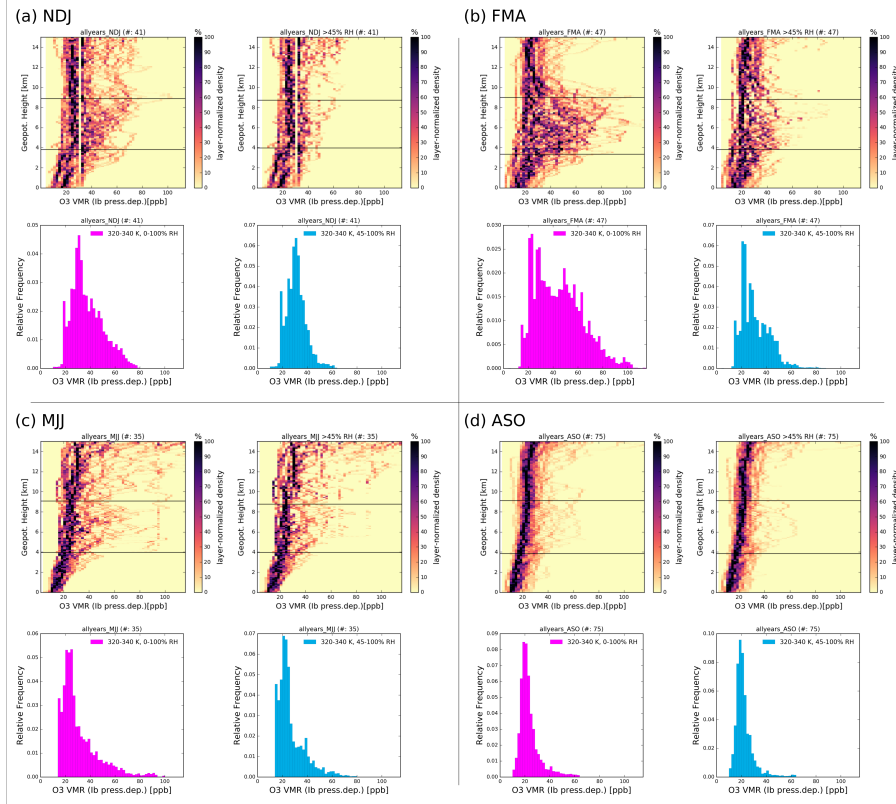
**Figure 9.** Relative frequency distribution (normalized to the layer maximum) of tropospheric (0-15 km) O<sub>3</sub> VMR with altitude (100 m bins) for all observations (a) and “humid” observations with RH greater 45 % (b) for Palau from 2016-2021, (c) and (d) histograms show relative frequency distributions of O<sub>3</sub> VMR for a layer between 320 and 340 K potential temperature for all (c) and only “wet” (d) observations, respectively; RH is calculated with respect to liquid water below 5 km and with respect to ice above; black horizontal lines in (a, b) indicate the approximate location of the boundaries for the potential temperature based selection of the data in (c, d) (compare Fig. 3 in Pan et al., 2015).

Site	Location [°lat, lon]	Time Record mm/yyyy	Distance to Palau [km]
Java	7.5S, 112.6E	01/1998-10/2013	3100
Hanoi	21N, 106E	09/2004-12/2020	3400
Kuala Lumpur	2.7N, 102E	01/1998-12/2021	3700
Fiji	18S, 178 E	01/1998-12/2021	5600
American Samoa	14S, 171W	01/1998-12/2021	6500
Hilo	19N, 157 W	01/1998-12/2021	7400
Costa Rica	10N, 84W	07/2005-12/2021	15400

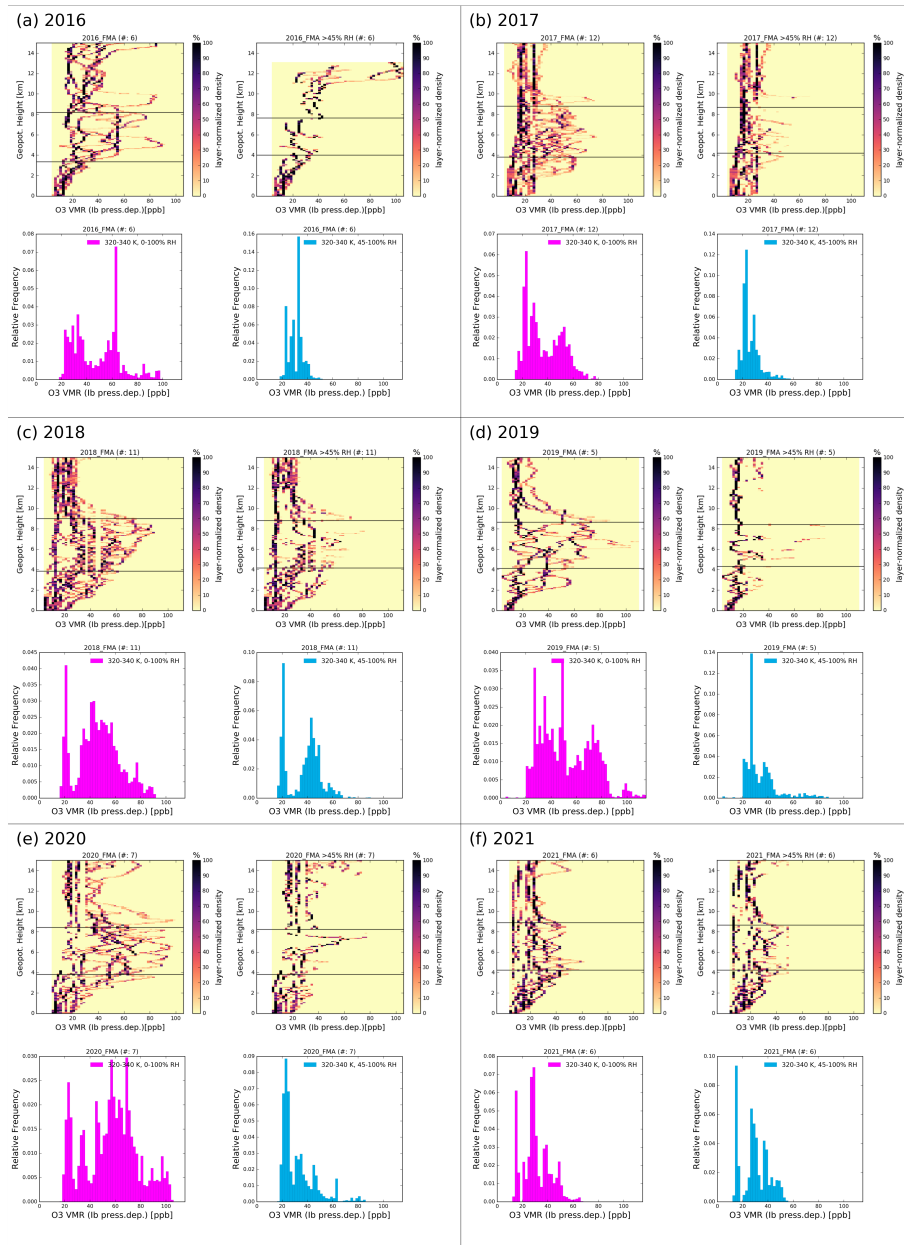
**Table 1.** SHADOZ stations and respective time record used in this paper, with distance as the crow flies to Palau; see Fig. 1, compare e.g. Thompson et al., 2012.



**Figure A1.** Difference between  $O_3$  VMR calculated using the pressure dependent correction and either the constant correction (red) or no correction applied (blue) for daily minimum  $O_3$  VMR (press.dep.  $I_b$ ) between 10 and 13 km altitude.



**Figure A2.** Relative frequency distributions (normalized to the layer maximum) of tropospheric (0-15 km) O<sub>3</sub> VMR with altitude (100 m bins) and histograms for 6-year averages of all seasons a) November-December-January (NDJ), b) February-March-April (FMA), c) May-June-July (MJJ), d) August-September-October (ASO), for further details on the plots, see Fig. 9.



**Figure A3.** As Fig. A2, but for the February-March-April (FMA) season for different years (a-f), for further details on the plots, see Fig. 9.

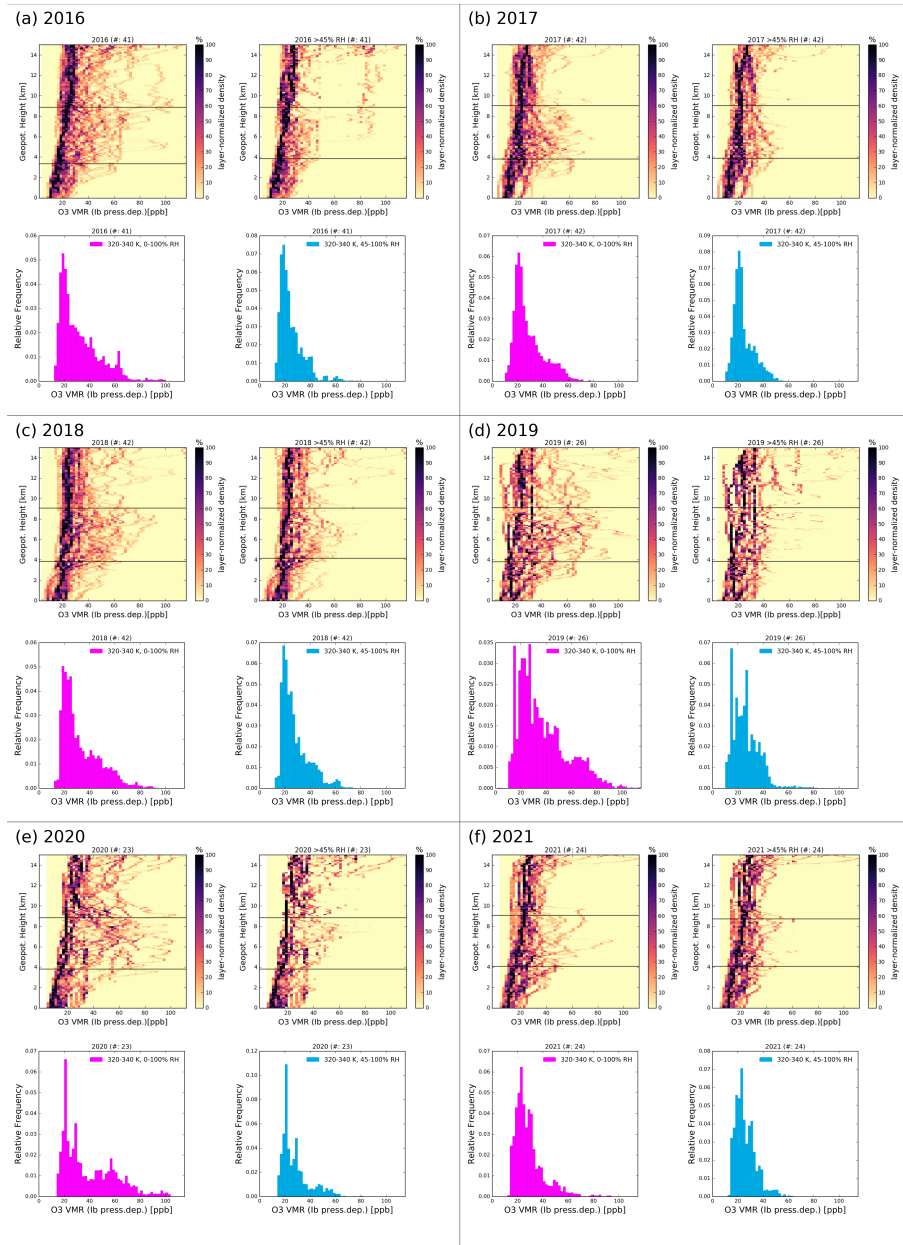
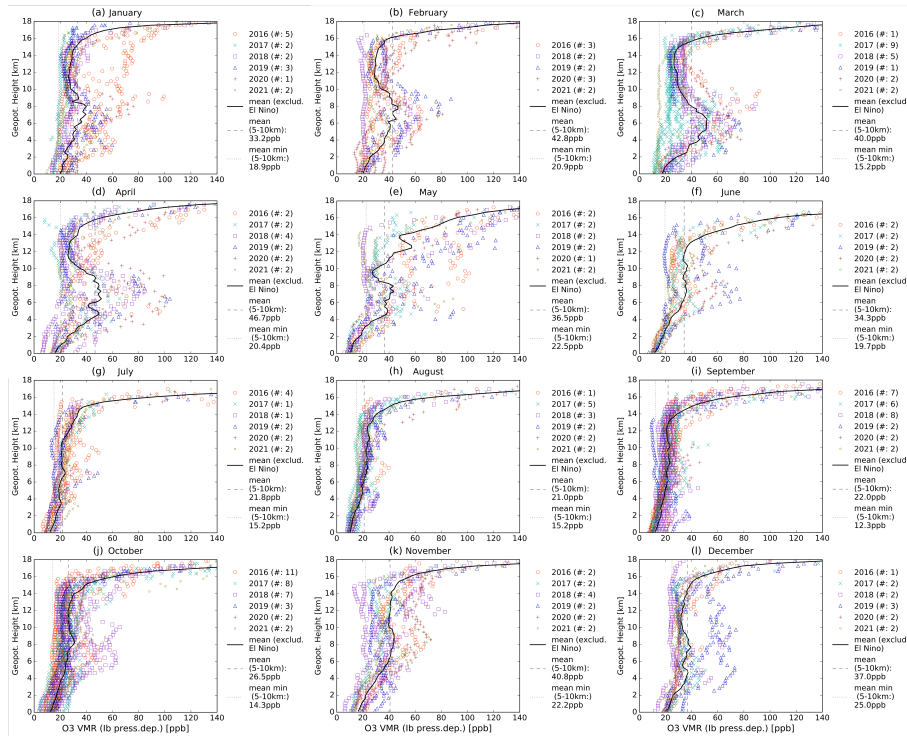


Figure A4. As Fig. A2 and A3, but for annual averages of all years (a-f), for further details on the plots, see Fig. 9.



**Figure A5.** All measured individual  $O_3$  VMR profiles sorted by month and year (colored markers), the monthly mean (solid black line) excluding El Niño 2016, i.e. starting 08/2016, and monthly statistics (dashed line for mean between 5 and 10 km, dotted line for mean of minimum values per sounding between 5 and 10 km); number (#) of profiles per year given in brackets.

Specification	PAO	Comments
Ozonsonde Type	SPC, model 6A	
Radiosonde Type	Vaisala RS92-SGP and RS41-SGP	change in October 2017
Sensing Solution Type	1.0 % KI, full buffer	
Cathode Solution Volume	3ml	
Background Current	all recorded	$I_{b2}$ used for correction
Temperature Pump Location	internal	in Teflon block of pump
Pumpflow Measurement	bubble flow meter	
Source of Zero Ozone	Vaisala chemical destruction filter or self-built charcoal/ desiccant filter	dry storage ensured
Laboratory Conditions	pressure, temperature and relative humidity recorded	air-conditioned and de-humidified laboratory space
Software Data Reduction	retrieval with commercial Vaisala software package	own calculations from raw cell current used in post-processing and data analysis
Corrections applied for		
Background Current	yes	pressure-dependent, see Sect. 2.1
Pump Efficiency	yes	according to Komhyr (1986)
Humidity Effect in Flow Rate	no	contribution was found to be -1 to -2 % or -1 ppb in the free troposphere
Total Column Ozone	no	

**Table A1.** Major specifications and Standard Operating Procedures (SOP) of O<sub>3</sub> soundings at the Palau Atmospheric Observatory (PAO), in accordance with recommendations from Smit et al. (2012) and Smit (2014), except for the applied pressure-dependent background current correction.