



- 1 Horizontal ridging with mulching as the optimal tillage practice to reduce surface
- 2 runoff and erosion in a Mollisol hillslope
- 3 Running title: Horizontal ridge with mulching reduces surface runoff and erosion
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ABSTRACT

Soil erosion features and ideal tillage practices are not very clear at the crop seedling stage in Chinese Mollisols. Simulated rainfall experiments were conducted at the rainfall intensities of 50 and 100 mm h-1 to investigate the differences in soil erosion of a 5° hillslope during the maize seedling stage between conservation and conventional tillage measures, including cornstalk mulching (Cm), horizontal ridging (Hr), horizontal ridging + mulching (Hr+Cm), vertical ridging + mulching (Vr+Cm), flat-tillage (CK), and vertical ridging (Vr). The results demonstrated that crops could remit soil erosion at the seedling stage by reducing the kinetic energy and changing the distribution of raindrops. The conservation tillage measures significantly alleviated total runoff (11.7%-100%) and sediment yield (71.1%-100%), postponed runoff-yielding time (85 s-26.1 min), decreased runoff velocity (71.5%–96.7%), and reduced runoff and soil loss rate, compared to the conventional tillage measures. Practices with mulching showed better performance than Hr. Mulching reduced sediment concentration (~70.6%-100%) by decreasing runoff velocity and soil particle filtration in a manner similar to buffer strips. The contour ridge ruptured earlier at 100 mm h⁻¹ than at 50 mm h⁻¹ and changed the characteristics of the soil erosion by providing a larger sediment source to the surface flow. Runoff strength, rather than soil erodibility, was the key factor affecting soil erosion. Decreasing runoff velocity was more important than controlling runoff amount. The Hr + Cm treatment exhibited the lowest soil erosion and is, thus, is recommended for adoption at the corn seedling stage in sloping farmlands.

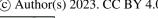
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KEYWORDS

- 37 soil erosion, conservation tillage, Mollisols, maize seedling stage, rainfall simulation, rainfall
- 38 intensity







Introduction

40 Soil erosion has been accelerated by unsustainable agricultural practices (FAO, 2019), with an 41 associated annual loss of \$8 billion to the global GDP, global agri-food production by 33.7 million 42 tons, and 48 billion m³ water (Sartori et al., 2019). Sloping farmlands are considered as the main sites 43 of soil erosion worldwide (Ge et al., 2021; Haddadchi et al., 2019). With the removal of fertile soil 44 surface layers following intensive tillage, soil erosion leads to soil layer thinning, soil quality 45 degradation, and crop yield decline (DeLonge and Stillerman, 2020; Liu et al., 2013). 46 Mollisols regions, which are found in flat to undulating land (Chesworth, 2008), are the major 47 crop production areas globally while experiencing severe soil erosion from the 1930s to date due to 48 overexploitation (Zheng, 2020). Expansive acres of maize (Zea mays L.) are grown on slopes (You et 49 al., 2021) due to the naturally fertile mollic epipedon and high productivity in the Mollisols of 50 Northeast China (Zhao et al., 2015), which account for 46.39% of the total soil loss area in the region 51 (MWR, 2020). Hence, addressing soil erosion is important for soil loss reduction, aquatic ecosystem 52 conservation, and agricultural sustainable development in the region. 53 Conservation tillage is one of the widely used agronomic measures worldwide to control soil 54 erosion (Bombino et al., 2021; Busari et al., 2015; Kader et al., 2017; Lal, 2018). Compared with 55 conventional tillage approaches, conservation tillage improves soil physical characteristics (Blanco-56 Moure et al., 2012), soil fertility (Van den Putte et al., 2012), and agricultural productivity (Hansen et 57 al., 2012). 58 Few studies have explored the active influences of crops on soil erosion, especially at the seedling 59 stage (Cerdà et al., 2017; Prosdocimi et al., 2016b; Wang et al., 2018), although some previous studies have demonstrated the significant and positive effects of vegetation on soil erosion (Huang et al., 2014; 60 Wang et al., 2021b). In addition, although some reports have explored the effects of conservation 61 62 tillage on soil erosion by simulating rainfall in the region, they have focused on bare slopes or have 63 been limited to the rainy season from July to September (Li et al., 2016; Liu et al., 2011; Lu et al.,



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64 2016; Xu et al., 2018). The status of soil erosion at the crop seedling stage under different tillage 65 practices has rarely been explored (Ma et al., 2013). Sloping farmland is susceptible to soil erosion at the seedling stage (Zhang et al., 2010) for various reasons, including low vegetation cover and poor 66 67 soil holding capacity (Figure 1) (Wang et al., 2018; Zhang et al., 2009) with the advance of 68 precipitation concentration period (Liu et al., 2018; Sun et al., 2000;). 69 The objectives of the present study were to 1) identify influence of maize seedling canopy on soil 70 loss and 2) evaluate the effects of four conservation tillage and two conventional tillage practices on

soil erosion under simulated rainfall conditions on a black soil sloping farmland. The results of the present study could provide insights on the optimal tillage approaches at corn seedling stages in

73 Mollisol regions, which could facilitate soil erosion control measures in such regions.

Materials and Methods

Study area and rainfall simulation

76 The experiments were conducted at artificial rainfall simulation plots at the Science and 77 Technology Park of Soil and Water Conservation (127°25'35.8788"E, 45°45'22.3308"N), Institute of 78 Soil and Water Conservation of Heilongjiang Province, Binxian County, which belongs to the typical 79 Mollisol region, gentle (1-8°) and long slopes (~400-1000 m) are the key topographical features, in 80 Northeast China, the annual average precipitation is 548.5 mm and 64% of the precipitation 81 concentrated in summer (MWR, CAS, and CAE, 2010). 82 The rainfall simulation device adopted is composed of a water storage system, a control system, 83 and a sprinkler system (Wen et al., 2012). The sprinkler system is erected 6-m from the ground. A full-84 jet down-sprinkler rainfall simulator (Spraying Systems Co., Wheaton, IL USA) with three nozzle 85 sizes (Fulljet 1/8, 2/8, and 3/8) was used to apply rainfall. Rainfall intensity can be adjusted from 20 86 to 150 mm h⁻¹. Wen et al. (2012) reported that the uniformity coefficient of rainfall intensities from 30 87 mm/h to 90 mm/h was ~0.90. The control system is a HLJSB-J artificial rainfall simulation system 88 (Institute of Soil and Water Conservation of Heilongjiang). A removable waterproof canvas ceiling 4 / 39





was used protect all experimental plots from natural rainfall, and a set of droppable canvases were used to surround the testing plots to eliminate the impacts of wind (Figure 2 and 3).

Preparation of experimental plots

92 The plots used in the present study were 10 m long and 1 m wide. The slope of the plots was set 93 to 5° to simulate the typical natural geomorphological conditions in farmlands in the region (Zhao, 94 1986). The depth of the tested black soil was 0.3 m, similar to the average thickness of the A-horizon 95 of black soil in Binxian county (Xu et al., 2010). The black soil layer was followed by a 0.3-m sand 96 layer. 97 The used soil was Phaeozems (IUSS Working Group WRB. 2015), same as typical black soil (CRGCST, 2001) or mollisol (Soil Survey Staff, 1999), with 22.01 g kg-1 of organic matter and 98 99 approximately 7.9% sand, 54.4% silt, and 37.7% clay, determined using the potassium dichromate 100 oxidation-external heating method and density method with variable depth, respectively (Pansu and 101 Gautheyrou, 2005). The soil was collected from the top-30-cm soil layer in a local sloping farmland. 102 The agglomerate impurities were removed manually, but without passing the soil through a sieve, to 103 maintain its natural status. The soil was packed into plots on the sand layer for 1.5 years to ensure that the bulk density (1.20 g cm⁻³), determined by the core method (Lampurlanés and Cantero-Martínez, 104 105 2003; Liu, 1996; Soil Survey Staff, 2009), reached the field level by natural deposition, and the soil 106 structure recovered to the natural cropland state before the experiment. 107 We used Xianyu 335 maize variety (DuPont Pioneer Ltd., USA), a widely cultivated variety in 108 Northeast China (Liu et al., 2021). Seeds were sown with 0.4-m spacing between rows and 0.2-m 109 spacing between plants, and fertilized with urea (CO₂(NH₂)₂) at 150 kg ha⁻¹ on June 9, 2013. All plots, 110 excluding the flat-planting plots, were plowed simultaneously at ~0.2 m depth. Ridges, 15 cm high 111 and 15 cm wide, were stacked in all ridging plots one month after sowing based on the local methods 112 (Wang, 2015). Air seasoning maize stalks were chopped into approximately 5-cm fragments and 113 mulched onto mulching plots at a rate of 20 000 kg ha⁻¹.





Experimental design and procedures

115 In the present study, two tillage systems, conventional and conservation, were selected based on 116 the widespread tillage practices in the study region (Jia et al., 2019; Wang, 2015; Zhang et al., 2015), 117 and which also are applied globally (Liniger et al., 2017; Montgomery, 2017). The two conventional 118 tillage practices included flat-planting without ridges and mulching (control, CK) and vertical ridging 119 without mulching (Vr). The four conservation tillage measures included flat-planting and mulching 120 without ridges (Cm, similar to no-till to some extent, Goddard et al., 2008), horizontal (contour) 121 ridging without mulching (Hr), horizontal ridging with mulching (Hr+Cm), and vertical ridging with 122 mulching (Vr+Cm). All plots were randomly arranged (Figure 2). 123 In terms of rainstorm status, generally momentary rainfall intensities larger than 23.4 mm h⁻¹ cause 124 soil erosion with an approximate duration of 1 h in Northeast China (Zhang et al., 1992). In the present 125 study, two rainfall intensities, 50- and 100-mm h⁻¹, lasting 1 h, were used as representative rainfall 126 intensities (Xu et al., 2018; Wang et al., 2021a). 127 All plots were subjected to a pre-rain at 30 mm h⁻¹ for 5 min to ensure consistent soil moisture during experiments, consolidate loose soil particles, and flatten the soil surface, 24 h before 128 129 experiments; rainfall intensity was calibrated to ensure the achievement of target intensity and 130 fulfillment of experimental requirements (uniformity ≥90%, Figure 3a) before the experiment (Zhang 131 et al., 2009b). After each rainfall event, the plots were restored via a process including drying, 132 replacement and recovery of the topsoil layer and lost cornstalk, smashing of soil clods, restoring 133 broken ridges, and smoothing of irregularities on the surface (Polyakov and Nearing, 2003).

Experimental measurements

Runoff process

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Runoff-yielding time was measured using a stopwatch. Runoff velocity was measured thrice for each rainfall intensity in three soil sections (2, 5, and 7 m from the topsoil) after the runoff became steady, using the KMnO₄ dye tracer method (Zhang et al., 2009b).





Runoff and soil loss

Runoff and sediment samples were collected in 15-L buckets every 5 min once runoff occurred during each rainfall event. After allowing sediment settling for 1 h, the volume of supernatant was measured to calculate runoff loss. The sediment samples were oven-dried at 45 °C and weighed to calculate sediment yield and runoff rate.

Soil splash-erosion

Standard Morgan field splash cups (Morgan, 1978) were used to measure soil splash transport extent. Soil splash detachment was measured using specially designed aluminum cylindrical splash cups with 3-cm depth, 6-cm diameter, and a multihole bottom. The undisturbed soil was cut and packed into the cups and weighed immediately after drying at 45 °C. The soil cups were allowed to absorb moisture at 20-25 °C for 24 h. Three Morgan cups were arranged into each plot on the top-, mid-, and lower-slopes (at distances of 2, 5, and 7 m from the top), together with the small cups, as in Figure 3 (b, c). Rainfall was applied for 15 min to allow splash-erosion to occur. The soil was again weighed immediately after drying, and the splash transport and detachment amounts measured.

Data analysis

All data were analyzed for statistical significance of treatment effects by one-way analysis of variance (ANOVA) using SPSS 16.0 (SPSS Inc., Chicago, IL, USA). The least significant difference (LSD) at p<0.05 was used to compare the treatment means. Plots were drawn using Origin 9.0 (Origin Lab Corporation, Northampton, MA, USA).

Results

Raindrop energy and distribution above/below corn seedling canopy

As shown in Tables 1 and 2, the energy and size distribution of raindrops were significantly different between above and below the canopy of seedling corn. Under the two rainfall intensities, the canopy mitigation of raindrop energy was observed more in conservation than conventional tillage



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measures. The percentage of raindrops with less than 2.5 mm diameter decreased when the raindrops larger than 2.5 mm diameter decreased at the rainfall intensity of 50 mm h⁻¹, whereas the percentage of raindrops with less than 2.0 mm diameter decreased when that of raindrops larger than 2.0 mm diameter increased at the rainfall intensity of 100 mm/h.

Runoff-vielding time and runoff velocity

168 Table 3 shows that conservation tillage measures could significantly delay the runoff-yielding time 169 and decrease surface flow velocity, compared to CK and Vr, at the maize seedling stage. Compared 170 with CK and Vr, the runoff-yielding times of the Cm, Hr, Hr+Cm, and Vr+Cm treatments were significantly postponed; the runoff-yielding time advanced at 100 mm h⁻¹ than at 50-mm h⁻¹. The 172 Hr+Cm treatment successfully prevented runoff yielding throughout the rainfall event under 50 mm h 173 1, and the average prolonged runoff-yielding time was approximately 26.1 min, which was 23.8-fold that of the CK treatment under 100 mm h⁻¹. The average delay time durations for other treatments were 174 175 23.6 min for Hr, 5.6 min for Cm, and 2.8 min for Vr+Cm. 176 Table 3 also shows that the declining effects on surface flow velocity were more obvious under 177 light than under heavy rainfall intensity. Compared to the CK, the Hr+Cm, Cm, Vr+Cm, and Hr 178 treatments reduced the surface flow velocity significantly, with a decline of 100% (no runoff 179 generation), 75.8%, 71.9%, and 83.5%, respectively, at a rainfall intensity of 50 mm h⁻¹, and 96.4%, 180 82.9%, 77.7%, and 71.5%, respectively, at the rainfall intensity of 100 mm h⁻¹. However, Vr significantly increased the runoff velocity by 50.3% and 10.1% at the rainfall intensities of 50 and 100 182 mm h⁻¹, respectively.

Total runoff and soil loss

Surface runoff

The conservation tillage measures of Cm, Hr, and Hr+Cm significantly reduced the runoff amount compared to CK under the two rainfall intensities at the maize seedling stage (Figure 4). Compared to CK, the Cm and Hr treatments reduced the runoff amount by 70.5% and 87.8%, respectively, at 50





mm h⁻¹ and by 44.8% and 58.9%, respectively, at 100 mm h⁻¹, respectively. The Hr+Cm treatment entirely prevented runoff generation at 50 mm h⁻¹ and was still effective at 100 mm h⁻¹, restricting the total runoff amount to a very low level of 20.79 L, accounting for only 16.6% of CK, and even causing ridge rupture. The Vr+Cm treatment significantly decreased the runoff amount by 54.6% compared to CK at 50 mm h⁻¹, but there was no difference at 100 mm h⁻¹. Conversely, Vr significantly enhanced the runoff amount by 25.0% compared to CK at 50 mm h⁻¹, but there was no difference at 100 mm h⁻¹.

Soil loss

The total soil loss in Cm, Hr, Vr+Cm, and Hr+Cm was significantly lower than CK at the maize seedling stage (Figure 5). Vr significantly augmented the soil loss amount by 7.03- and 2.29-fold at the rainfall intensities of 50 and 100 mm h⁻¹, respectively. However, the total soil loss of CK was greater than that of Cm, Hr, and Vr+Cm, exceeding by 11.9-, 6.0-, and 7.8-fold at 50 mm h⁻¹ and by 11.1-, 4.4-, 16.2-, and 20.5-fold at 100 mm h⁻¹, respectively. Like the effect on runoff amount, Hr+Cm also showed the best performance for preventing runoff and soil loss at 50 mm h⁻¹ (Table 3 and Figure 4). The total soil loss was not different among the other three conservation measures of Cm, Hr, and Vr+Cm at 50 mm h⁻¹, although the ridges of Hr were breached; meanwhile, Cm, Vr, and Hr+Cm showed no significant difference, but Hr showed a significantly different soil loss from the three treatments because of ridge rupturing at 100 mm h⁻¹. The results indicated that the conservation measures were useful in reducing soil loss; in particular, mulching was more effective than contour ridging, as seen in the case where the soil loss caused by Hr increased more than that caused by other conservation measures, especially under high rainfall intensity conditions, when contour ridges were destroyed.

Horizontal ridge rupture

As shown in Figures 6 and 7, mulching could not totally prevent contour ridge rupture, especially under heavy rainfall conditions; for example, the ridge of Hr was destroyed at both rainfall intensities,



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while that of Hr+Cm occurred only at 100 mm h⁻¹. The ridge rupture occurred earlier at 100 mm h⁻¹ than at 50 mm h⁻¹. The averaged runoff rate of Hr was 3.8-fold greater after ridge rupture than before at 50 mm h⁻¹, being 22.6- and 1.6-fold greater under Hr and Hr+Cm at 100 mm h⁻¹, respectively. Meanwhile, the average soil loss rate of Hr was 13.8-fold greater after ridge rupture than before at 50 mm h⁻¹, being 94.7- and 1.1-fold greater under Hr and Hr+Cm at 100 mm h⁻¹, respectively.

Erosion process

Surface runoff process

The runoff trends in most treatments were similar at both 50 and 100 mm h⁻¹ (Figure 6), including 220 two stages: 1) a low starting rate followed by a dramatic increase during the initial runoff-yielding 221 222 period, and 2) a relatively stable rate that persisted until the end of rainfall experiment. However, the 223 regular trends could be interfered with by a ridge rupture in the Hr and Hr+Cm treatments, with runoff 224 rates suddenly rising in the Hr-treated plot at 40 and 25 min under the rainfall intensities of 50 and 100 mm h⁻¹, respectively, and in the Hr+Cm treatment at 60 min under 100 mm h⁻¹ rainfall. In comparison, 225 the average runoff rate of CK was greater than that of Cm, Vr, Hr, and Vr+Cm by 2.9-, 0.8-, 5.0-, and 226 227 1.9-fold at 50 mm h⁻¹, respectively, and by 1.8-, 1.0-, 1.7-, and 1.2-fold, respectively, at 100 mm h⁻¹. In addition, the average runoff rate of CK was 3.7-fold greater than that of Hr+Cm at 100 mm h⁻¹. 228 229 Compared to CK, the Cm, Hr, and Hr+Cm treatments reduced the runoff loss rates significantly on all points within the entire rainfall experiment (Figure 6). At 50 mm h⁻¹, Hr showed a better capacity 230 231 for controlling runoff loss rates than Cm. Vr had no notable effects on runoff loss rates at most of the points at 100 mm h⁻¹ but could promote the loss rate significantly at 50 mm h⁻¹, including the whole 232 233 process except for the runoff-yielding point. The runoff loss rates of Vr+Cm were significantly lower 234 than those of CK at 50 mm h⁻¹, with an average runoff rate of 53.6%, while the reduction was very limited at 100 mm h⁻¹. 235 Figure 6 also illustrates that the stable runoff rates were lower at 50 mm h⁻¹ than at 100 mm h⁻¹ in 236 237 all treatments. The runoff rates of CK, Cm, Vr, Hr, Vr+Cm, and Hr+Cm stabilized at approximately





238 91.8, 30.1, 118.7, 20.3, 48.2, and 0 mL s⁻¹ at 50 mm h⁻¹, respectively, and at 198.6, 117.4, 192.5, 122.9, 239 176.1, and 49.9 mL s⁻¹ at 100 mm h⁻¹, respectively. 240 The results suggested that the mulching treatments, including Cm, Hr+Cm, and Vr+Cm, could 241 mitigate rate-changing magnitudes compared to the corresponding tillage measures without mulching, 242 that is CK, Hr, and Vr, indicating that more rainfall was infiltrated or stored under the treatments with 243 mulching compared to those without mulching. 244 Sediment yielding process 245 As shown in Figure 7, the sediment loss rates in most treatments varied based on the changing 246 trends of the runoff loss rate (Figure 6), with a relatively low starting level and then varied within a 247 certain range based on rainfall intensity. The four conservation practices could effectively reduce soil 248 loss rate compared to the conventional tillage of CK and Vr. except that the ridges ruptured, and the 249 Vr treatment obviously enhanced the soil loss rate compared to CK. In comparison, the average soil 250 loss rates of CK were 10.0-, 3.7-, and 6.6-fold greater than those of Cm, Hr, and Vr+Cm at 50 mm h 251 1, respectively, and 13.0-, 3.0-, 16.2-, and 12.6-fold greater than those of Cm, Hr, Vr+Cm, and Hr+Cm 252 at 100 mm h⁻¹, respectively. However, the averaged soil loss rates of Vr were 7.0- and 2.3-fold greater than those of CK at 50- and 100-mm h⁻¹, respectively. 253 The impact of ridge rupture was greater at 100 mm h⁻¹ than at 50 mm h⁻¹, and the subsequent soil 254 loss rates would stay higher thereafter, rather than being at the former level at 100 mm h⁻¹, which 255 256 dropped to former rates under 50 mm h⁻¹ (Figure 5). Hr could reduce the sediment loss rate throughout 257 the entire rainfall process, averaging 82.0% and 68.40% of CK under the two rainfall intensities, but 258 two of the three ridge rupture time points made the instantaneous rates higher than the earlier rates. 259 During rainfall events, the mean soil loss rates in the three mulching treatments of Cm, Vr+Cm, and Hr+Cm were approximately 0.01, 0.02, and 0 g s⁻¹ at 50 mm h⁻¹, and 0.09, 0.07, and 0.09 g s⁻¹ at 260 261 100 mm h⁻¹, respectively, being significantly lower than those of CK, which were approximately 0.15

and 1.18 g s⁻¹ at 50 and 100 mm h⁻¹, respectively. The soil loss rates of these mulching treatments were





also lower than those of the non-mulching treatments, such as Vr and Hr, which were approximately 1.02 and 0.04 g s⁻¹ at 50 mm h⁻¹ and 2.70 and 0.39 g s⁻¹ at 100 mm h⁻¹, respectively (Figure 7). Mulching also mitigated the changing trends of sediment loss rate, i.e., restricting the rate variation magnitude to a lower scale. Therefore, the mulching treatments were more effective in controlling the sediment yield compared to no mulch treatments.

The relationship between sediment yield and splash-detachment, splash-transport, total runoff, and

Factors influencing soil loss

surface flow rate was analyzed, and are illustrated in Figure 8 and Table 4. The mulching treatments could restrict splash-erosion to very low levels, reducing the average splash-detachment and splash-transport amounts from 143.16 to 1.13 g m⁻² h⁻¹ and from 1063.90 to 8.93 g m⁻² h⁻¹, respectively. The ridge treatments had no significant impacts on splash-erosion. Thus, for uncovered plots, splash erosion was mainly influenced by rainfall intensity. The linear correlation coefficients (R²) of the splash-detachment and splash-transport rates to rainfall intensity were 0.93 and 0.98, respectively. The splash rates of Cm were also partly related to the rainfall intensity, but the correlation was more complicated, and thus further study is needed.

In general, the total soil loss increased with an increase in splash-erosion rate, escalating in non-mulching treatments under light rainfall conditions. However, when the plots suffered ridge rupture, the impact of splash-erosion on soil loss appeared to be insignificant. With an increase in runoff volume and velocity, soil loss would also ascend, and thus treatments with high runoff volume and velocity would also lead to serious soil loss. However, this regulation was not applicable to mulching

Discussion

treatments.

Effects of tillage measures on runoff

We verified that crops could act as a type of vegetation cover (Table 1 and 2) and play an important role in mitigating runoff and soil loss on sloping farmlands, in agreement with previous studies (Cerdà





288 et al., 2017; Prosdocimi et al., 2016a, b; Wang et al., 2018). Different tillage systems have different 289 impacts on soil erosion associated with processes occurring in slope farmlands (Liu et al., 2011; Xu et 290 al., 2018). The Vr treatment has already been verified to increase soil erosion because of 291 microtopography changes (Liu et al., 2011; Zhang et al., 2009a). 292 In the present study, conservation tillage could significantly postpone runoff yield and decrease 293 runoff velocity compared to conventional tillage. Our results indicated that horizontal ridges, 294 mulching, or seedling corn canopy were effective in controlling runoff generation, especially at 50 295 mm/h, at the maize seedling stage. The conservation measures could have enhanced the infiltration 296 capacity of water or increased soil surface roughness (Rodríguez-Caballero et al., 2012; Vermang et 297 al., 2015; Wang et al., 2018), and crop leaves could intercept rainfall and alter raindrop diameter and 298 energy (Ma et al., 2013; Zhang et al., 2015). As there are only limited chances for extreme precipitation 299 in the region (Zhang et al., 2010), adopting Hr and Cm would limit runoff generation. In addition, the 300 two tillage measures also reduced the runoff-flow velocity, which is a key factor influencing runoff 301 energy and erosiveness (Vermang et al., 2015); both Hr and Cm performed better at 50 mm h⁻¹ than at 302 100 mm h⁻¹. Our results are consistent with previous studies on other soil types (Prosdocimi et al., 303 2016a, b; Xu et al., 2017). The runoff generation was postponed and the surface-flow velocity 304 decreased mainly because both Hr and Cm treatments changed the microtopography of the soil with 305 increasing surface roughness (Vermang et al., 2015; Wang et al., 2018) and the infiltration of 306 conservation tillage was higher than that of conventional measures. The outcome offered more water 307 storage microstructure for the surficial soil, causing the rainwater to infiltrate rather than flowing 308 downhill (Liu et al., 2015; USDA-ARS, 2008, 2013). The outcome also increased the friction between 309 rainwater and land, thereby reducing runoff velocity. Comparing the effects of Hr and Cm, Hr set a 310 higher threshold for runoff yield, as it could lead to more water storage between ridges. However, once 311 the runoff had occurred, Cm performed better, since the presence of cornstalk could reduce the flow 312 velocity to a very low level. Thus, Hr+Cm is the optimal treatment from the perspective of postponing





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runoff-yield and restricting the destruction of runoff, once generated.

The runoff loss rate significantly increased following a low start during the runoff generation period and then remained stable at a certain level, based on the rainfall intensity. The results correspond with the findings of a study in purple soil (Xu et al., 2008). Hr and Cm could effectively constrain the runoff loss rates and decrease the runoff amount, especially at 50 mm h⁻¹. The Hr+Cm treatment, which combined horizontal ridging and mulching, influenced runoff under all rainfall types, especially under a rainfall intensity of 50 mm h⁻¹. As runoff is the main vector affecting both soil loss and agricultural non-point source pollution (Hudson, 2015; Zhang et al., 2007), Hr+Cm should be recommended as an effective tillage practice in the region. However, this recommendation would engender extremely higher outliers for runoff rate as a realtime response to ridge rupture when the plots were treated with Hr, especially under heavy rainfall conditions (Li et al., 2016; Lu et al., 2016). In this case, the water held by the two adjacent ridges drained immediately after ridge rupture and rushed out into the next inter-ridge area, causing either successive ridge ruptures or runoff overflow, both of which could prompt a sudden upsurge in runoff rate (Xu et al., 2018). Consequently, the total runoff loss amount also increased. The rising magnitude caused by ridge rupture depended on the rupture time and location of the initially ruptured ridge. In the present study, in the Hr-treated plot, ridge rupture occurred relatively earlier and closer to the top of the plot under a rainfall intensity of 100 mm h⁻¹ than under 50 mm h⁻¹ resulting in greater runoff loss. Thus, enhancing the quality of ridges to improve their water pressure tolerance capability is vital when applying horizontal ridges (Liu et al., 2014a). Mulching could directly lead to water absorption and protection of a ridge from saturation and erosion by raindrops and runoff (Cerdà et al., 2016; Jordán et al., 2010), thereby reducing the risk of ridge rupture. In the present study, Hr-treated plots suffered three times as many ridge ruptures, while the Hr+Cm plots suffered only one ridge rupture. Moreover, no successive ridge ruptures were observed in the Hr+Cm plots, because mulching and soil blocks would likely be obstructed by the next





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338 ridge with the presence of cornstalk, rather than triggering successive ridge ruptures, even if one of the 339 ridges happened to rupture. Moreover, ridge-furrow planting under mulching conditions played an 340 effective role in reducing surface runoff with an increase in soil-water infiltration (Gholami et al., 2013; Kader et al., 2017). 342 Vr could increase the runoff loss rate and amount under light rainfall conditions, as shown by Shen 343 et al. (2005) and Zhang et al. (2009a) on black soil, and by Xu et al. (2008) on purple soil farmlands 344 compared to the runoff between contours and downslope ridges. Therefore, vertical ridges should be 345 avoided on slope croplands in the region. 346 Effects of tillage measures on soil loss 347 Both Hr and Cm could alleviate soil erosion, mainly by improving the microtopography to increase 348 soil surface roughness (Rodríguez-Caballero et al., 2012; Vermang et al., 2015), and improve soil 349 physicochemical properties. Moreover, Vr should be circumvented as it augments both soil loss rate 350 and amount (Kader et al., 2017; Mulumba and Lal, 2008). 351 When there was no ridge rupture during the rainfall, Hr effectively reduced sediment yield and 352 soil loss rate, as shown in previous studies (García-Orenes et al., 2012). However, after ridge rupture, 353 the impacts on sediment loss were much more severe than on runoff, e.g., the runoff rate was amplified 354 22.6 times compared to its neighboring point, while the sediment loss rate was amplified 94.7 times after ridge rupture occurred in Hr under a rainfall intensity of 100 mm h⁻¹. This outcome may have 355 356 occurred because the broken ridges, which were normally big soil blocks, were prone to being directly 357 swept and, thus, lost via runoff (Xu et al., 2018). The residual ridge remaining to be washed 358 continuously by runoff would also increase the sediment concentration in runoff after the ridge rupture, 359 leading to a higher soil loss rate. Soil loss would be further amplified if ridge rupture occurred in the 360 top section of the plot and thus likely triggered successive ruptures. Our study revealed that Cm was more reliable than Hr in controlling soil loss (Kader et al., 2017;

Prosdocimi et al., 2016b), as it could restrict both the sediment yield and soil loss rate to very low





363 levels (García-Orenes et al., 2012). The reason might be that the flow could accumulate sufficient 364 power to detach and transport particles with mulching (Mannering and Meyer, 1963; Poesen and 365 Lavee, 1991). In addition, Cm could postpone the soil loss rate that increasingly responded to rainfall 366 intensity enhancement, which is an important effect on soil erosion because rainfall has a short duration 367 but high intensity during the maize seeding stage in Northeastern China (Sun et al., 2000; Zhang et al., 368 2010). This postponing effect would counteract or even eliminate the instantaneous serious destruction 369 due to torrential rain. Hence, Hr+Cm significantly prevented soil loss, especially under light rainfall 370 intensity conditions, and thus, in practice, should be suggested to reduce soil erosion. 371 **Influencing factors** 372 Soil erosion is related to both runoff strength and soil erodibility (Tang, 2004; Wang et al., 2012; 373 Wang, 1993). Runoff serves as a vector for sediment (Hudson, 2015), and the final sediment yield is 374 based on both runoff strength and soil erodibility (Wang, 1993). Runoff strength can be illustrated by 375 volume and velocity, representing its amount and energy, respectively (Prosdocimi et al., 2016a). 376 Generally, in our study, the treatments with higher runoff strength experienced worse soil erosion. 377 However, grievous splash-erosion, i.e., worse erodibility, did not always correspond to high soil loss. 378 Therefore, runoff strength should be a direct predictor of soil erosion. 379 According to our results, higher-strength runoff and more soil loss was observed with heavier 380 rainfall, which indicated that the hydrological response of the soil is based on Hortonian flow type 381 (Bombino et al., 2021). 382 At the seedling stage, maize plants could protect the surface soil from splash-erosion by preventing 383 direct raindrop action, reducing their kinetic energy, and by changing the distribution of raindrops 384 because of canopy gaps (Ghahramani et al., 2011; Miyata et al., 2009). Nevertheless, as discussed 385 earlier, splash-erosion has a limited influence on total soil loss amount. Therefore, the excellent effects 386 of mulching on erosion control shown in this experiment should mainly result in two other functions, 387 reducing runoff strength and filtering out runoff soil particles (Prosdocimi et al., 2016a, b). Both



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functions caused a reduction in sediment concentration because of the effects of mulching as buffer strips (Fang, 2017).

Horizontal ridge rupture or breaching is a common concern in Northeast China, as erosive storms

Horizontal ridge rupture

can occur in summer with short duration but high intensity (Shen et al., 2005); such storms often coincide with snowmelt runoff in spring (Li et al., 2016; Lu et al., 2016; Xu et al., 2018). Contour ridge stability is mainly related to ridge geometry, sloping land microtopography, soil physical properties of the ridge body, and rainfall characteristics (Liu et al., 2014a; Shen et al., 2005). In addition, the sediment concentration stayed higher theafter rather than being at the former level at 100 mm h⁻¹, while dropping to former rates under 50 mm h⁻¹(Fig. 7), which might be due to the significant differences in runoff, sediment, and infiltration amount under the two rainfall intensities (Liu et al., 2014a; Liu et al., 2019; Shen et al., 2005). Generally, Hr can increase water infiltration before breaching (Liu et al., 2015; USDA-ARS, 2008, 2013) and lead to abundant sediment storage (Xu et al., 2018). Time of ridge rupture shortens with higher rainfall intensity (Liu et al., 2015; Liu et al., 2014a; Liu et al., 2014b; Xu et al., 2018). Extremely high runoff and soil loss rates after rupture are analogous to the relationships among the peaks of runoff and sediment yield and ridge failure (Liu et al., 2015; Liu et al., 2014b; Xu et al., 2018). Averaged peak runoff and soil loss rates after ridge failure were 9.3- and 36.7-fold those prior neighboring points, respectively. The ratio of peak sediment rate to base sediment rate under Hr in this study ranged from 13.8 to 94.7 g L⁻¹. The varied range differed but included previous results reported by Liu et al. (2014b) and Xu et al. (2018). Our study showed that contour ridges rupturing at 50 mm h⁻¹ were not in agreement with the results of Xu et al. (2018), possibly because of the differences in ridge geometry characteristics, such as ridge height. Liu et al. (2014b) suggested that increasing ridge height might prevent horizontal ridge failure and decrease soil loss hazard risk, considering enhanced water storage capacity.



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Our study illustrated that mulching could not always avert ridge rupture but could significantly postpone the collapse time of ridge failure (Figure 6 and 7), possibly because mulching improves soil properties (Kader et al., 2017; Kurothe et al., 2014; Prosdocimi et al., 2016a, b) and, therefore, alters runoff and soil erosion characteristics (Gholami et al., 2013).

Conclusions

Rainfall simulation experiments were conducted to study the effects of six measures of two tillage systems on water-based soil erosion of a black soil hillslope during the maize seedling stage under two rainfall intensities (50 and 100 mm h⁻¹) in Northeast China. The results showed that corn seedlings could protect the surface soil from splash-erosion by reducing the kinetic energy and changing the distribution of raindrops. Conservation measures with mulching significantly reduced water and soil loss compared to conventional tillage. Mulching had an ideal erosion-controlling capacity. In addition, mulching could mitigate soil loss increase caused by heavy rainfall. The positive effects of mulching were based on its strong ability to reduce splash-erosion and runoff volume and, more importantly, on its function to decrease runoff velocity and filter runoff sediment in. Vr further exacerbates soil erosion and should normally be avoided. The horizontal ridging plus mulching treatment had the optimal performance and should be adopted as an optimized tillage measure in black soil hillslope to restrict soil erosion in corn seedling stage.

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435 Code/Data availability

- 436 The original contributions presented in the study are included in the article/Supplementary Material;
- further inquiries can be directed to the corresponding author.





Author contribution

- 439 NC and YBZ designed the research and supervised the project. YCW, ZL, LSW, BL, and LYH were
- 440 key players for the field trials and collected data. YCW, ZL, and YZ analyzed the data and verified the
- analytical methods. DYG, YBZ, NC, and JHC wrote the manuscript.

442 Competing interests

- 443 The authors declare that the research was conducted in the absence of any commercial or financial
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Figure Legends





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706 Figure 1. Field scenario at the maize seedling stage in the Mollisols of Northeast China. 707 708 Figure 2. Experimental plots, status, and rainfall setup. 709 710 Figure 3. Rainfall intensity calibration and small splash-cup positions. (a) Rainfall intensity calibration 711 performed every time before rainfall experiment. (b) Positions for small splash-cups in plots with 712 vertical ridges. (c) Positions for small splash-cups in plots with horizontal ridges. 713 714 Figure 4. Runoff amount under different tillage measures. Control (CK), flat-planting without ridges 715 and mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, 716 flat-planting and mulching without ridges; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical 717 ridging with mulching. The vertical error bars indicate LSD at P<0.05. Note: The asterisk (*) indicates 718 ridge rupture. 719 720 Figure 5. Soil loss amount under different tillage measures. Control (CK), flat-planting without ridges 721 and mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, 722 cornstalk mulching; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical ridging with 723 mulching. The vertical error bars indicate LSD at P<0.05. Note: The asterisk (*) indicates ridge 724 rupture. 725 726 Figure 6. Runoff rate under different tillage measures. Control (CK), flat-planting without ridges and 727 mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, 728 cornstalk mulching; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical ridging with 729 mulching.





730 731 Figure 7. Soil loss rate under different tillage measures. Control (CK), flat-planting without ridges and 732 mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, 733 cornstalk mulching; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical ridging with 734 mulching. 735 736 Figure 8. Correlation between soil loss and influencing factors (a), correlation of soil loss amount and 737 soil splash-detachment; (b), correlation of soil loss amount and splash-transport amount; (c), 738 correlation of soil loss amount and runoff loss amount; d. correlation of soil loss amount and runoff 739 velocity. Note: Correlations between total soil loss amount and four inferred influencing factors; The 740 symbol **\(\Lambda \)** indicates ridge rupture during the rainfall experiment.

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Table 1. Effect of canopy on kinetic energy

	50 m	m h ⁻¹	100 mm h ⁻¹		
	CM	CT	CM	CT	
		kinetic energy	y, J/(m²·mm)		
above	16.4	13 с	18.1	9 a	
below	15.78 d	15.84 d	17.25 b	17.38 b	
		total kinetic e	energy, J/m ²		
above	196	.5 d	407.0	64 a	
below	174.05 e	178.2 e	357.97 с	367.1 b	

743 CM, conservation tillage measures, including Cm, cornstalk mulching without ridges; Hr, horizontal

ridging without mulching; Vr+Cm, vertical ridging with mulching; Hr+Cm, horizontal ridging with

mulching. CT, conventional tillage practices, including control (CK), flat-planting without ridges and

746 mulching, and Vr, vertical ridging without mulching.

747 Values followed by different letters are significantly different at *P*<0.05 according to the LSD test.





748 Table 2

749 Effect of canopy on raindrop diameter

Raindrop diameter, mm	50 mr	n h ⁻¹ , %	100 mm h ⁻¹ , %		
	above	below	above	Below	
0.5–1	3.16	2.08	5.02	3.01	
1–1.5	32.81	29.87	35.97	34.99	
1.5–2.0	19.96	17.96	22.99	21.00	
2.0–2.5	20.95	19.95	17.00	21.99	
2.5–3	12.06	13.99	10.01	13.00	
3–3.5	11.07	13.00	9.01	5.01	
3.5–4	0	2.08	0	1.01	
4-4.5	0	1.08	0	0	





751 Table 3
 752 Runoff-yielding time and runoff velocity under different tillage practices.

	Runoff-yie	lding time (s)	Runoff velocity (10 ⁻² m s ⁻¹)		
Treatment _	50 mm h ⁻¹	100 mm h ⁻¹	50 mm h ⁻¹	100 mm h ⁻¹	
CK	129 d	69 e	5.83 b	17.95 a	
Cm	611 b	260 с	1.41 c	3.07 с	
Vr	132 d	71 e	8.76 a	19.77 a	
Hr	1700 a	1332 b	0.96 d	5.12 b	
Vr+Cm	374 с	154 d	1.64 c	4.01 b	
Hr+Cm	NA	1634 a	NA	0.65 d	

753 CK, control, flat-planting without ridges and mulching; Cm, cornstalk mulching without ridges; Vr,

754 ridging without mulching; Hr, horizontal ridging without mulching; Vr+Cm, vertical ridging with

mulching; Hr+Cm, horizontal ridging with mulching; NA, Hr+Cm-treated plots prevented runoff

756 throughout the rainfall experiment.

757 Values in the same column followed by different letters are significantly different at *P*<0.05 according

758 to the LSD test.





759 Table 4
 760 Splash-detachment and splash-transport under different tillage practices.

Treatment			50 mm h ⁻¹		100 mm h ⁻¹			
		Splash-	Splash-	Ratio of	Splash-	Splash-	Ratio of	
			transport,	tuonamant 0/	detachment,	transport,	transport 0/	
		g/m^2	g/m^2	transport, %/m ²	g/m^2	g/m ²	transport, %	
Conventional	CK	377.55	40.39	10.70	1750.25	245.94	14.05	
tillage	Vr	386.13	36.69	9.50	1695.67	212.93	12.56	
Conservation	Cm	7.97	0.67	8.35	9.90	1.60	16.11	
illage Hr	Hr	369.24	43.18	11.69	1723.74	226.26	13.13	
	Vr+Cm	6.16	0.76	12.31	11.63	1.97	16.93	
	Hr+Cm	7.92	0.81	10.23	13.65	1.86	13.63	

761 CK, control, flat-planting without ridges and mulching; Cm, cornstalk mulching without ridges; Vr,

ridging without mulching; Hr, horizontal ridging without mulching; Vr+Cm, vertical ridging with

763 mulching; Hr+Cm, horizontal ridging with mulching.





764 Table 5 Change in soil water content on soil profile pre- and post-rainfall and infiltration under different 765 766 tillage practices

Treatments			50 mm h ⁻¹				100 mm h ⁻¹			
		Depth,	Soil water content, %			Soil water content, %				
		cm	Pre- rainfall	Post- rainfall	Rising	Infiltrati on, mm	Pre- rainfall	Post- rainfall	Rising rate, %	Infiltrati on, mm
					rate, %					
Conventional	CK	0–5	21.22	25.04	17.99	26.4	25.17	30.19	19.90	36.69
tillage		5-10	26.59	28.19	5.99		27.48	28.51	3.78	
		10–20	22.15	22.33	0.81		25.64	25.93	1.15	
	Vr	0–5	24.25	27.69	14.18	24.42	25.50	29.71	16.52	35.34
		5-10	24.10	25.63	6.37		29.54	33.24	12.53	
		10-20	22.88	23.18	1.32		27.67	28.31	2.32	
Conservation	Cm	0–5	27.19	29.31	7.80	31.98	27.79	33.19	19.44	45.81
tillage		5-10	31.00	33.33	7.50		27.89	30.29	8.59	
		10-20	27.19	29.07	6.90		25.55	27.04	5.81	
	Hr	0–5	27.56	35.67	29.42	44.16	23.64	32.69	38.30	65.58
C		5-10	27.62	32.12	16.30		28.17	30.62	8.69	
		10-20	25.22	27.65	9.64		24.52	27.48	12.07	
	Vr+	0-5	28.54	32.65	14.39	33.18	29.20	34.74	18.96	44.28
	Cm	5-10	31.39	34.69	10.51		29.22	33.12	13.33	
		10-20	23.45	25.94	10.62		29.78	32.68	9.74	
	Hr+	0–5	27.70	35.28	27.38	44.76	28.13	36.54	29.90	71.64
	Cm	5-10	30.11	34.18	13.52		30.98	34.65	11.85	
		10-20	25.34	29.81	17.64		27.96	30.49	9.02	

767 CK, control, planted flat without ridges and mulching; Cm, cornstalk mulching; Vr, vertical ridges without mulching; Hr, horizontal ridges without mulching; Vr+Cm, vertical ridges with mulching; 768 769 Hr+Cm, horizontal ridges with mulching.

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773 **Figure 1.** Field scenario at the maize seedling stage in the Mollisols of Northeast China.





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Figure 2. Experimental plots, status, and rainfall setup.

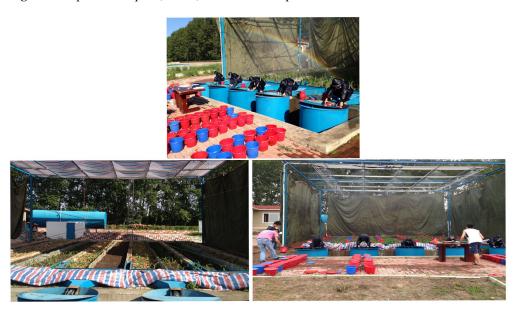






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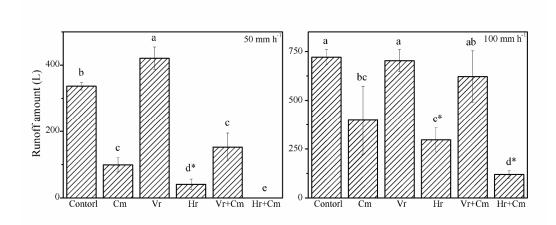






Figure 5. Soil loss amount under different tillage measures. Control (CK), flat-planting without ridges and mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, cornstalk mulching; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical ridging with mulching. The vertical error bars indicate LSD at P<0.05. Note: The asterisk (*) indicates ridge rupture.

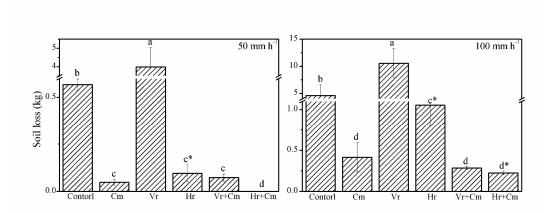
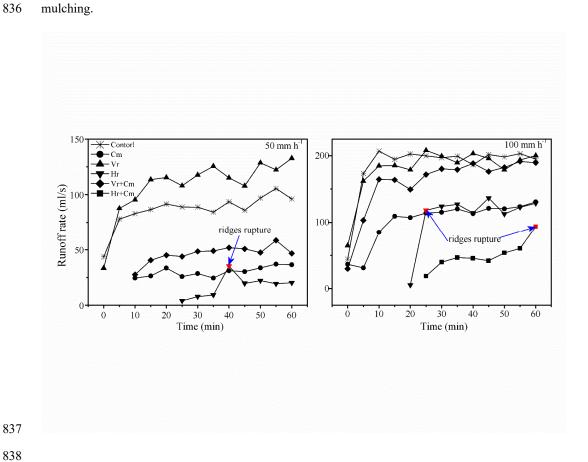






Figure 6. Runoff rate under different tillage measures. Control (CK), flat-planting without ridges and mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, cornstalk mulching; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical ridging with mulching.

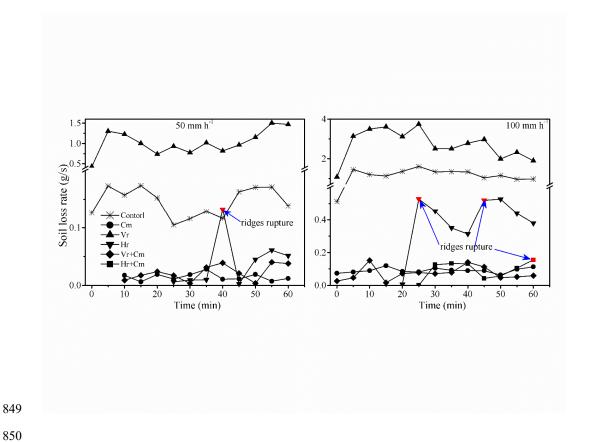


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Figure 7. Soil loss rate under different tillage measures. Control (CK), flat-planting without ridges and mulching; Hr, horizontal ridging without mulching; Vr, vertical ridging without mulching; Cm, cornstalk mulching; Hr+Cm, horizontal ridging with mulching; Vr+Cm, vertical ridging with mulching.



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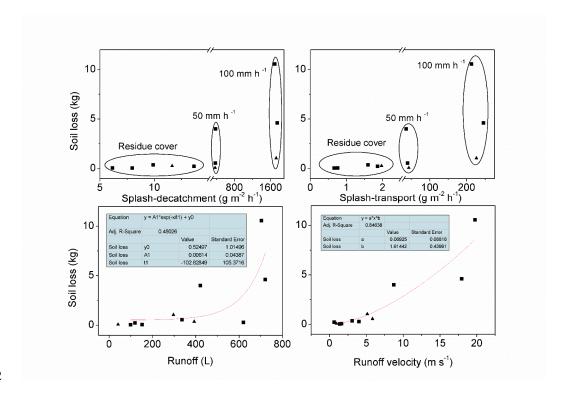
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Figure 8. Correlation between soil loss and influencing factors (a), correlation of soil loss amount and soil splash-detachment; (b), correlation of soil loss amount and splash-transport amount; (c), correlation of soil loss amount and runoff loss amount; d. correlation of soil loss amount and runoff velocity. Note: Correlations between total soil loss amount and four inferred influencing factors; The symbol ▲ indicates ridge rupture during the rainfall experiment.



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