

۱ **Mapping land degradation risk due to land susceptibility to dust emission and**
۲ **water erosion**

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۱۳ **Abstract**

۱۴ Land degradation is a cause of many social, economic, and environmental problems.
۱۵ Therefore identification and monitoring of high-risk areas for land degradation are
۱۶ necessary. Despite the importance of land degradation due to wind and water erosion,
۱۷ the topic receives often relatively little attention. The present study aims to create a land
۱۸ degradation map in terms of soil erosion caused by wind and water erosion of semi-dry
۱۹ land. We focus on the Lut watershed in Iran encompassing the Lut Desert that is
۲۰ influenced by both monsoon rainfalls and dust storms. Dust sources are identified using
۲۱ MODIS satellite images with the help of four different indices to quantify uncertainty.
۲۲ The dust source maps are assessed with three machine learning algorithms
۲۳ encompassing artificial neural network (ANN), random forest (RF), and flexible
۲۴ discriminant analysis (FDA) to map dust sources paired with soil erosion susceptibility
۲۵ due to water. We assess the accuracy of the maps from the machine learning results
۲۶ with the metric Area Under the Curve (AUC) of the Receiver Operating Characteristic
۲۷ (ROC). The water and aeolian soil erosion maps are used to identify different classes
۲۸ of land degradation risks. The results show that 43% of the watershed is prone to land
۲۹ degradation in terms of both aeolian and water erosion. Most regions (45%) have a risk
۳۰ of water erosion and some regions (7%) a risk of aeolian erosion. Only a small fraction
۳۱ (4%) of the total area of the region had a low to very low susceptibility for land
۳۲ degradation. The results of this study underline the risk of land degradation for in an
۳۳ inhabited region in Iran. Future work should focus on land degradation associated with
۳۴ soil erosion from water and storms in larger regions to evaluate the risks also elsewhere.

۳۶ Key words: Desertification, Desert-dust sources, Risk susceptibility, Water-induced
۳۷ soil erosion,

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۳۹ **Introduction**

۴۰ Land degradation is one of the most pressing environmental issues around the globe.
۴۱ Several aspects of this issue have been recognized by the United Nations Convention
۴۲ (Gholami et al. 2019a). Land degradation can be driven by both water and wind, of
۴۳ which the former can have a stronger impact on soil erosion in a short time (Gia et al.
۴۴ 2018). A total of 30% of global land area and three billion people are affected by land
۴۵ degradation (Wieland et al., 2019). In Iran, it is estimated that land and water
۴۶ degradation cost about US \$12.8 billion per year which is four percent of the total Gross
۴۷ Domestic Product (GDP) (Emadodin et al. 2012). Therefore, spatial mapping of risks
۴۸ of land degradation is necessary which can provide a basis to support managers and
۴۹ policymakers in risk mitigation and adaptation to aeolian and water erosion.

۵۰ Land degradation driven by aeolian erosion is a known problem (Shi et al. 2004). Dust
۵۱ storms, which are a natural hazard, are associated with soil erosion. This phenomenon
۵۲ has detrimental impacts on the Earth system, e.g., for food security (Boroughani et al.
۵۳ 2022), water supply (Duniway et al., 2019), human health (Moridnejad et al., 2015),
۵۴ geochemical conditions (Gholami et al., 2020b), and the Earth's carbon cycle
۵۵ (Gherboudj et al., 2017). Identifying dust sources as potential areas of dust emission is
۵۶ therefore necessary for developing a better understanding of land degradation. Spatial
۵۷ mapping of dust source susceptibility areas (DSSAs) is a crucial step for erosion
۵۸ mitigation and watershed management.

۵۹ In addition to soil erosion by wind, water-driven soil erosion is a known mechanism for
۶۰ soil degradation. This kind of soil erosion is a known environmental threat and can
۶۱ influence both terrestrial and aquatic systems (Halecki et al. 2018, Sun et al. 2014).
۶۲ Therefore, knowing the spatial distribution of water-induced soil erosion susceptibility
۶۳ areas (SESA) is also necessary.

۶۴ Different approaches for identifying DSSAs exist, e.g., using meteorological data
۶۵ (Yang et al. 2019), numerical modeling (Péré et al. 2018), and remote sensing (Jafari et
۶۶ al. 2021). Remote sensing can provide worldwide information on aerosol properties
۶۷ (Park et al. 2014). The present study uses Moderate Resolution Imaging
۶۸ Spectroradiometer MODIS satellite images in combination with machine learning to

٦٩ detect dust aerosols and map its susceptibility over the Lut Desert. Moreover, several
٧٠ numerical models exist for predictions and risk evaluations of water-induced soil
٧١ erosion (Chicas et al., 2016, Gao et al., 2017, Anache et al., 2018, Gia et al., 2018,
٧٢ Halecki et al., 2018), but none used machine learning to combine different
٧٣ observational data sets for assessing soil erosion. Machine learning has emerged as a
٧٤ subfield of data science and helps to better understand environmental problems
٧٥ (Gholami et al. 2019b). It can integrate data from different sources to create forecasts
٧٦ and discover patterns (Gholami et al. 2020a). In environmental sciences, algorithms
٧٧ such as support vector machine, random forest (RF), artificial neural networks (ANN),
٧٨ and multivariate adaptive regression spline have been applied, e.g., for groundwater
٧٩ (Lee et al. 2017), gully erosion (Zabihi et al. 2018), sediment contamination (Mirchooli
٨٠ et al. 2019), dust sources (Boroughani et al. 2020), landslides (Youssef and
٨١ Pourghasemi 2021), floods (Tehrany et al. 2014), and trace elements (Derakhshan-
٨٢ Babaei et al. 2022).

٨٣ However land susceptibility to soil erosion and dust emission has been assessed in
٨٤ different and separate studies, it has attracted less attention to investigate both of them
٨٥ in the same study. So, the novelty of this study lies in constructing an integrated
٨٦ framework based on field survey, different environmental factors, and machine learning
٨٧ algorithms to assess both of water erosion and dust emission.

٨٨ This research is conducted to test some hypotheses including (1) the central and western
٨٩ parts of the watershed are the highest susceptible areas to water erosion and aerosol
٩٠ emission, respectively (2) NADI and land use are the most important factors for water
٩١ erosion and aolian emission and (3) Central areas are the most prone parts of the
٩٢ watershed to these phenomena. Correspondingly, the aims of the current study are (1) to
٩٣ assess the spatially resolved contribution of soil erosion by water and wind using three
٩٤ machine learning algorithms, (2) determine the most important factor influencing water
٩٥ and dust emission susceptibility and (3) to combine the findings into spatially resolved
٩٦ information on risks for land degradation and recognize the hotspot area in terms of
٩٧ water erosion and dust emission.

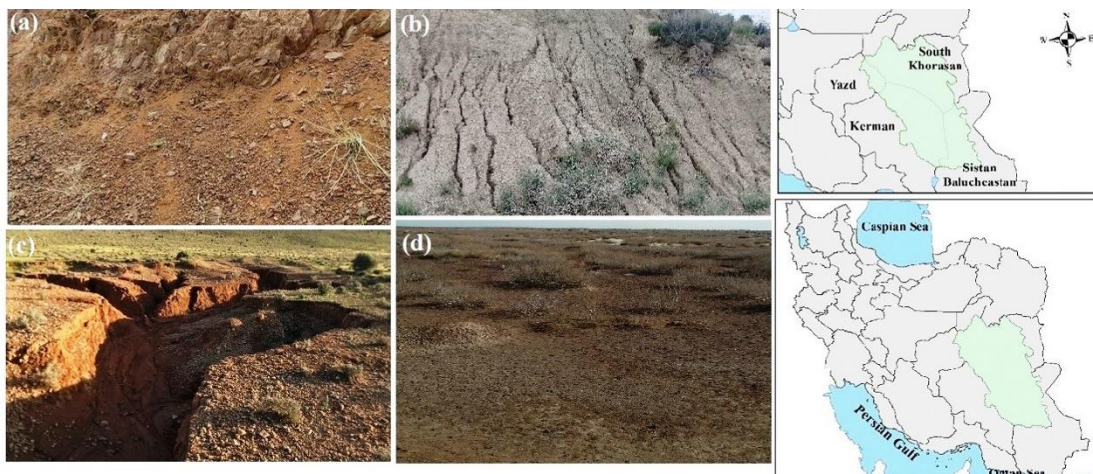
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٩٩ **2. Data and methods**

١٠٠ The focus of this study is on the Lut watershed situated in the east and southeast of Iran
١٠١ covering an area of 206242 km² (28° 10' to 32° 30' N latitude and 55° 45' to 61° 15' E
١٠٢ longitude) and is marked in Fig. 1. This watershed include a great diversity of

۱۰۳ topographic characteristics, with an elevation ranging from 124 to 4269m, and slope
۱۰۴ ranging from 0 to 28.04 degree. In this region, southwest and northeast aspects have
۱۰۵ the most frequencies (34% of the area). This watershed covers some parts of the South
۱۰۶ Khorasan, Yazd, Kerman, and Sistan-Baluchestan Provinces of Iran. In addition,
۱۰۷ several important cities and towns such as Birjand, Tabas, Bam located in the
۱۰۸ watershed. Aridisols is the dominant soil order of the watershed in which it constitutes
۱۰۹ 40.1% of this region. The study watershed includes the largest desert of the country,
۱۱۰ the Lut Desert. The region contributes to the increasing dust concentration in southwest
۱۱۱ Asia (Ebrahimi-khusfi et al. 2021). This area is chosen to develop and test the methods
۱۱۲ based on regional data on erosion observations with examples shown in Fig. 1a-d. It
۱۱۳ underlines the impacts of land degradation that goes well beyond impacts on the natural
۱۱۴ environment.

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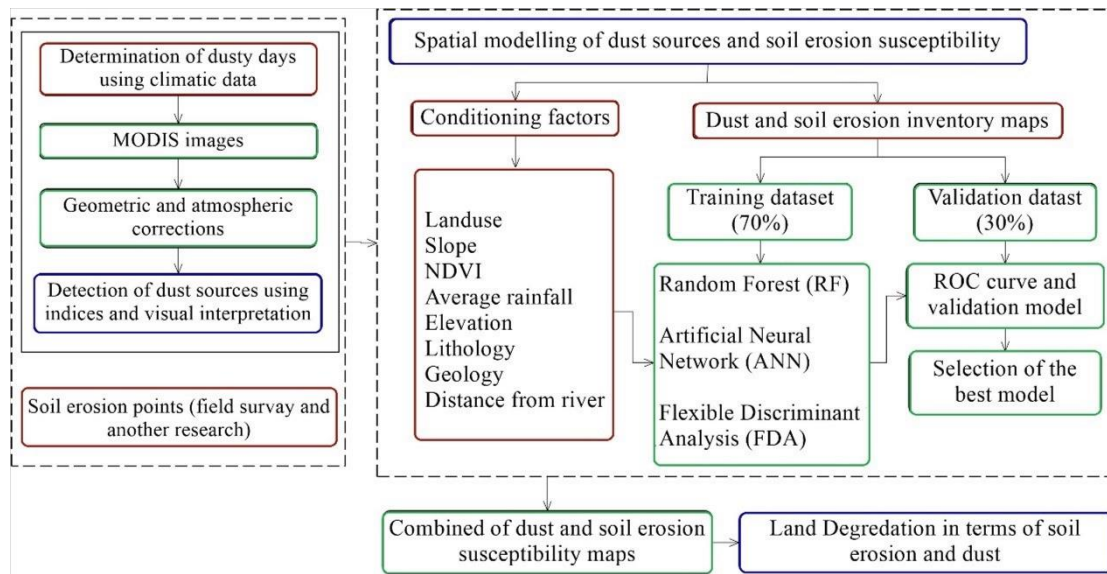
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۱۱۷ Fig.1 Geographical location of the study watershed. Green shading marks the Lut watershed. The Lut
۱۱۸ Desert is located in the centre of the watershed. Settlements are primarily situated in the northern and
۱۱۹ south-western parts. Example of soil erosion in the watershed are sheet erosion (a), rill erosion (b),
۱۲۰ gully erosion (c), and wind erosion (d).

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۱۲۲ 2. 1. Land degradation mapping

۱۲۳ Our land degradation zonation consists of three main processing steps, graphically
۱۲۴ depicted in Fig. 2. At first, spatial mapping of water erosion is conducted (section
۱۲۵ 2.1.1). In the second step, spatial mapping of dust source susceptibility is carried out
۱۲۶ with machine learning methods (section 2.1.2). In the last step, the patterns of water
۱۲۷ erosion and dust source susceptibility are combined to identify risk areas of land
۱۲۸ degradation (section 2.2.3).



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Fig.2 Flowchart of inputs (red boxes), data processing (green boxes), and outputs

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(blue boxes) in the present study

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۱۳۵ 2.1.1 water erosion map

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region is shown in Fig. 1.

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We translated the observations of the field survey into maps of non-degraded and degraded areas. These areas were plotted in an inventory map and prepared for further analysis, although not all desert areas are fully covered by the survey.

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۱۵۴ **2.1.2 Dust aerosol map**

۱۵۵ The large desert area to be covered is a motivation for the use of satellite data for
۱۵۶ estimating dust sources. We used MODIS images from the Terra (morning) and Aqua
۱۵۷ (afternoon) satellites (Vickery and Eckardt, 2013) to identify dust aerosols. We define
۱۵۸ dusty days, when the horizontal visibility is less than 2000 m for at least one hour during
۱۵۹ the day based on available weather stations in Iran (Vickery and Eckardt, 2013;
۱۶۰ Boroughani et al., 2021). According to the mentioned condition, more than 500 dusty
۱۶۱ days were identified during 2010–2021 distributed over the stations in Birjand,
۱۶۲ Zahedan, Kerman, Bam, Doostabad, Bisheh, Rafsanjan and Mighan. We pair the station
۱۶۳ observations with satellite data to estimate the spatial extent of the dust aerosol plumes.
۱۶۴ Due to the overpass of the Terra and Aqua satellites once per day, we acquired 28
۱۶۵ satellite images from the MODIS sensor that during times when the weather stations
۱۶۶ had documented dusty conditions in the **ten-year** period. For identifying pixels with
۱۶۷ dust aerosols in these images, we calculate four different dust indices (BTD2931,
۱۶۸ BTD3132, NDDI and D) for dust aerosol identification (Borroughani et al., 2020, 2021
۱۶۹ Hahnenberger and Nicoll, 2014).

$$۱۷۰ \quad B(T, \lambda) = \frac{2hc^2}{\lambda^5 \frac{hc}{(e\lambda kt - 1)}} \quad (1)$$

۱۷۱ where $B(T, \lambda)$ represents the Planck equation at λ (μm), T is the BT (K), h is the
۱۷۲ Planck's constant ($6.626 \times 10^{-34} \text{ m}^2\text{kg}^2\text{s}^{-1}$), k is the Boltzmann's constant (1.38×10^{-23})⁵, c
۱۷۳ is the speed of light ($2.99 \times 10^8 \text{ ms}^{-1}$), and T is the temperature (Hao et al., 2007)
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$$۱۷۵ \quad T = \frac{hc}{\lambda k \ln(1 + \frac{2hc^2}{L\lambda^5})} \quad (2)$$

۱۷۶ Using Planck's equation, the value of the temperature can be derived, where L is the
۱۷۷ amount of radiance in the images (in $\text{Wm}^{-2}\text{sr}^{-1}\mu\text{m}^{-1}$).
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$$۱۷۹ \quad NDDI = (p_{2.13} - p_{0.469}) / (p_{2.13} + p_{0.469})$$

۱۸۰ (3)

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۱۸۲ where $\square_{2.13}$ and $\square_{0.469}$ depict the reflectance value at the top-of-atmosphere at 2.13
۱۸۳ and 0.469 μm , respectively (Qu et al., 2006)
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$$۱۸۵ \quad D = \exp\{-[rr \times a + (BTD - b)]\} \quad (4)$$

186 where rr shows the reflectance proportion among wavelengths of 0.54 μm and 0.86 μm
187 and BTD is the difference among the bands 11 and 12 μm ; a and b are constants taken
188 during the initial calibration (Eq. 1). (Qu et al., 2006; Miller, 2003; Hao et al., 2007;
189 Boroughani et al., 2020, 2021).

190 We compute false color maps using four combinations of channels (1: NDDI, B4, B3;
191 2: D, BTD_{2931} , NDDI; 3: D, BTD_{3132} , NDDI; and 4: BTD_{2931} , B4, B3) in ENVI
192 software. We choose these four different indices for cross-validating the presence of
193 dust aerosols. With each of these methods we see dust aerosol in different color and
194 qualities in the MODIS images over 28 days. After combining the four methods in the
195 software ENVI, we choose the method that shows the dust plume in the MODIS image
196 more clearly as the best method (Borroughani et al., 2020, 2022). This method is based
197 on a cone of dust diffusion seen in the processed MODIS images, where the apex
198 denotes the dust's source (Lee et al., 2009; Walker et al., 2009). Ultimately, the
199 inventory map of the dust aerosols in the Lut watershed was created.

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201 **2.2. Identification of key factors controlling for aeolian and water erosion**

202 To develop DSSA and SESA, the identification and selection of appropriate dust
203 sources and soil erosion effective factors are necessary. The main factors affecting
204 DSSA and SESA were selected and constructed based on literature, available data and
205 geographical maps (Torabi et al., 2021; Zabihi et al., 2018; Borroughani et al., 2020;
206 Gholami et al., 2020a). The considered factors in this study included: elevation, land
207 use, slope of terrain, lithology, annual rainfall, distance from rivers, and distance from
208 roads, the Topographic Wetness Index (TWI), and Normalized Difference Vegetation
209 Index (NDVI). Various sources were used to gather data for these factors, introduced
210 in the following in more detail. All collected data were mapped to a horizontal grid of
211 1km resolution.

212 The shuttle radar topography mission (SRTM) images were used to create the digital
213 elevation model (DEM, , Fig 3c) (Ghorbanzadeh et al., 2018). The lowest and highest
214 elevation of the study area is 124 m in the centre of the desert and 3966 m at the western
215 and eastern margins of the study watershed, respectively (Fig. 3c). Vegetation cover
216 considerably supports soil conservation. Areas with low vegetation cover would be
217 more sensitive to both erosion by water and wind (Arabameri et al., 2019a; Gholami et
218 al. 2019b). Therefore, we use the Normalized Difference Vegetation Index (NDVI) to

۲۱۹ assess the vegetation cover in the study area from MODIS images following
۲۲۰ (Arabameri et al., 2019a; Boroughani et al., 2020):

$$۲۲۱ \quad NDVI = \frac{NIR+R}{NIR-R}$$

۲۲۲ Where R is the red (0.620-0.670 μm) and NIR is near-infrared bands (0.841-0.876 μm)
۲۲۳ (Fig. 3d).

۲۲۴ Annual rainfall (Fig. 3e) was obtained from Iran Meteorological Organization for the
۲۲۵ period of 2000-2021. Mean annual rainfall was calculated using 40 different
۲۲۶ meteorological stations located within or close to the watershed (Fig.3e). The inverse
۲۲۷ distance weighting (IDW) interpolation method was applied to integrate rainfall over
۲۲۸ the study area in the ArcGIS environment (Gholami et al., 2020a). Topographic
۲۲۹ Wetness Index (TWI), which indicates the spatial distribution of areas of potential soil
۲۳۰ saturation, is an effective factor to indicate water erosion including landslides and also
۲۳۱ flooding (Arabameri et al., 2019b). TWI which determines the dry and wet zones
۲۳۲ calculated as (Beven and Kirkby 1979):

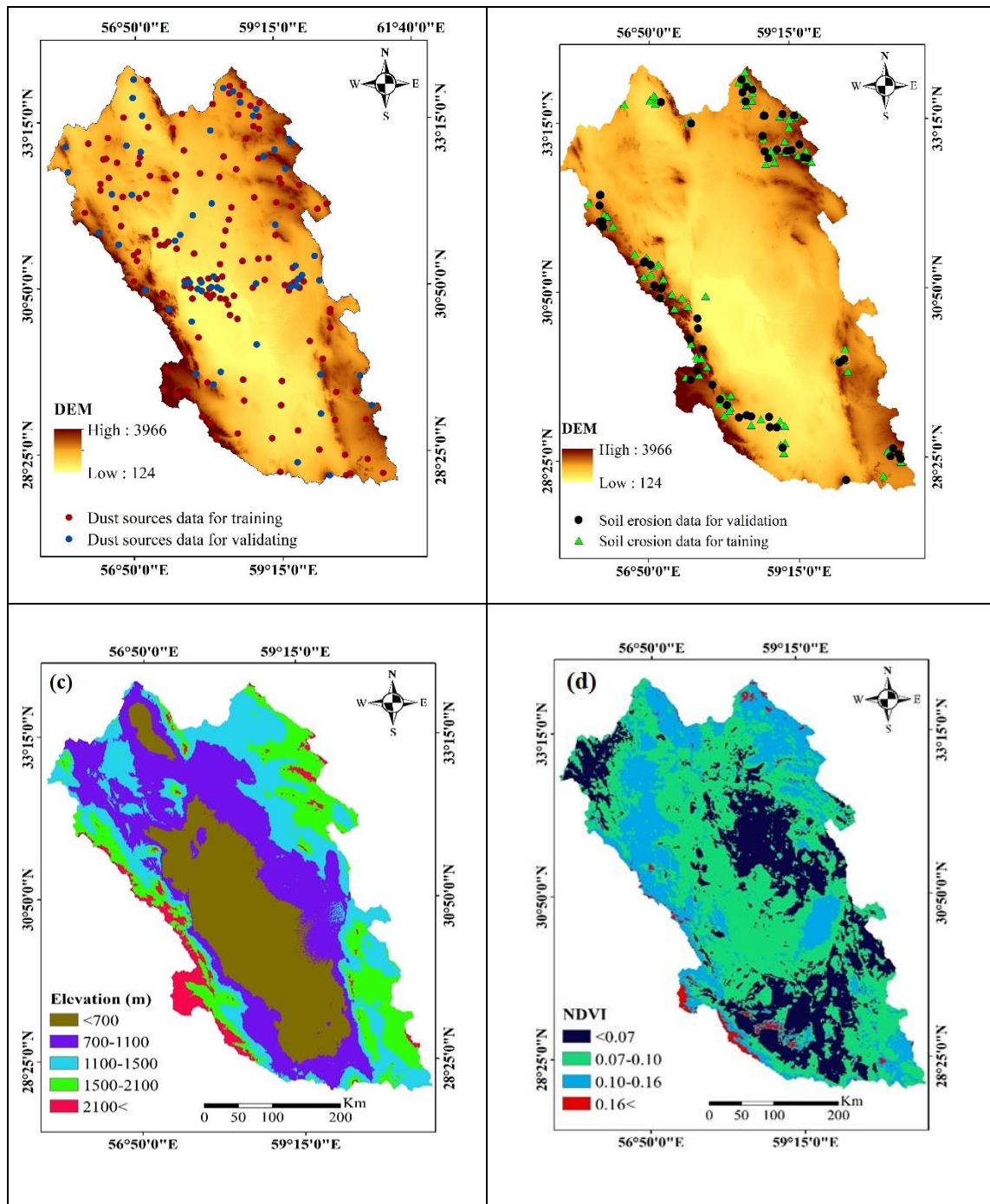
$$۲۳۳ \quad TWI = \ln\left(\frac{\alpha}{\tan\beta}\right)$$

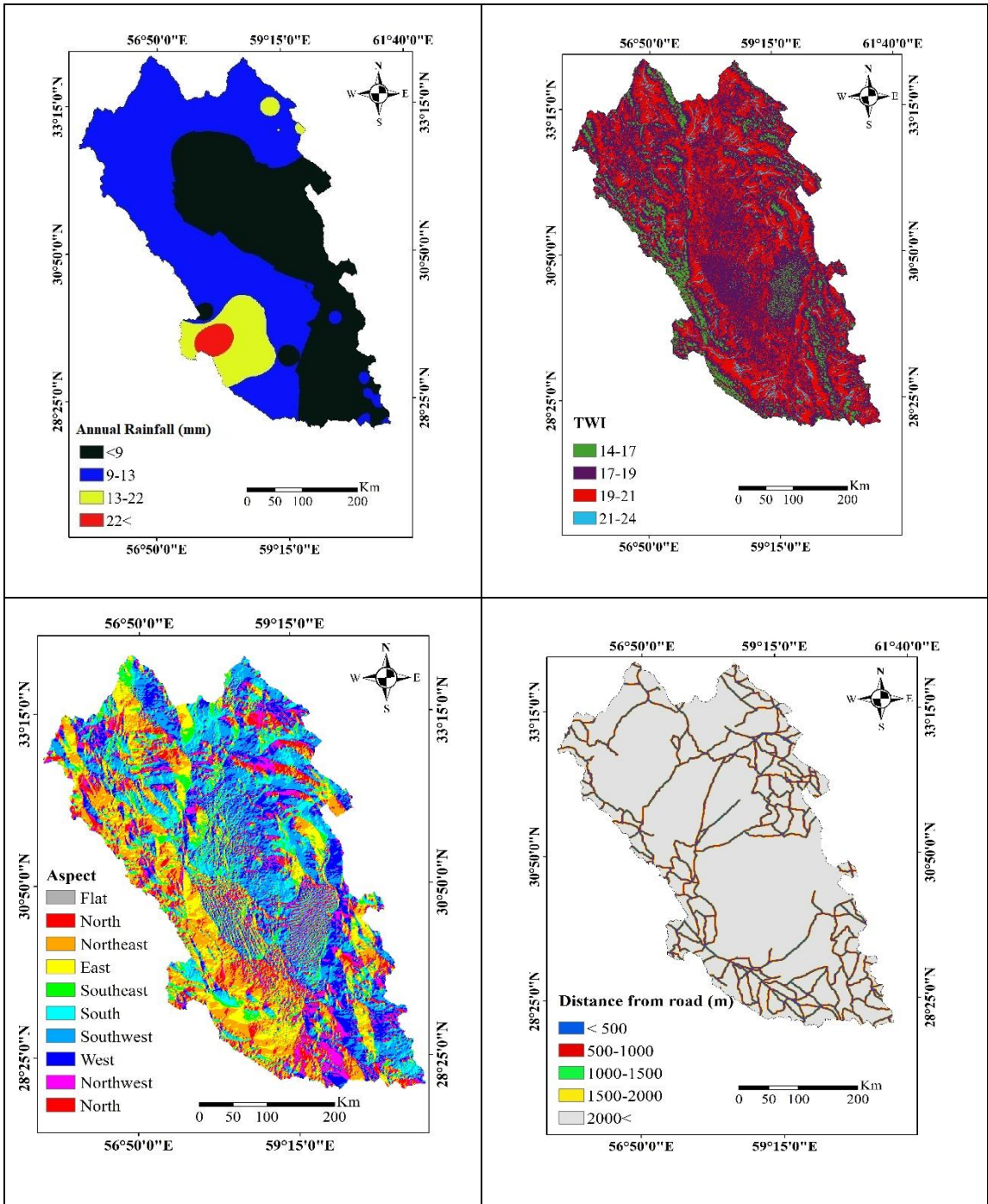
۲۳۴ where α is the cumulative up-slope area from a point (per unit contour length) and β is
۲۳۵ the slope angle at that point. This index was calculated in the SAGA-GIS environment
۲۳۶ and classified into four groups viz. 14-17, 17-19, 17-21, 21-24 (Fig. 3f). The aspect
۲۳۷ map was also generated using DEM and grouped into ten classes (Fig. 3 g). Distance
۲۳۸ from road is an indicator of infrastructure development which influences soil erosion
۲۳۹ and land degradation (Torabi et al., 2021). This factor is shown in five classes in Fig. 3
۲۴۰ h. Distance from river is one of the most effective factors on water-caused erosion
۲۴۱ (Amiri et al., 2019) which is classified into six groups (Fig. 3i).

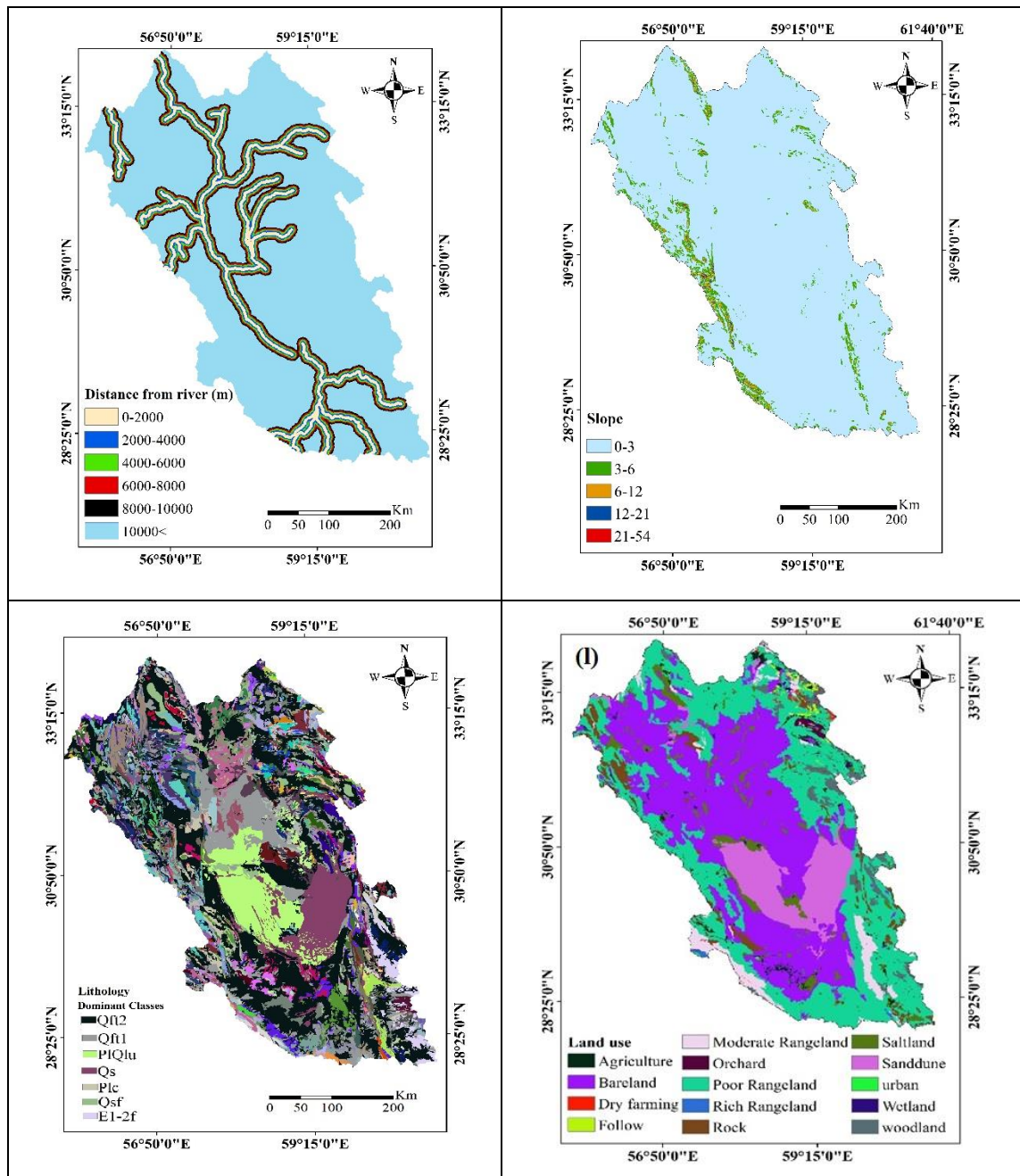
۲۴۲ The slope map (%) was created using a Digital Elevation Map (DEM, Fig. j) and
۲۴۳ classified into five groups including 0-3%, 3-6%, 6-12%, 12-21%, and 21-54%. The
۲۴۴ lithology map indicates eleven different soil classes in the study area (Fig. 3k).

۲۴۵ Land use and soil maps were obtained from base maps developed by the Iranian Forest,
۲۴۶ Rangeland, and Watershed Management Organization (<https://frw.ir/>). In the study
۲۴۷ region, there are fourteen land-use classes including wetlands, rangelands of three states
۲۴۸ (poor, medium, and rich), dry farming, agricultural lands, urban area, fallow land, rock-
۲۴۹ covered land, wetland, saltland, woodland, bare surfaces, and sand dunes (Fig. 3m). A
۲۵۰ large percentage (83%) of the watershed area is covered by bare land, poor rangeland,

۲۰۱ and sand dunes. All three land use classes are prone to wind erosion due to sparse or no
۲۰۲ vegetation.







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Fig.3 Location of dust observation points for training and validation (a), water-induced soil erosion points for training and validation (b), and the conditional factors (Elevation (c), NDVI (d), Rainfall (e), TWI (f), Aspect (g), Distance from road (h), Distance from river (i), Slope (j), Lithology (k), Land use (l)) in the watershed.

۲۵۹ 2.4. Spatial mapping of DSSA and SESA using machine learning algorithms

۲۶۰ We combine the two susceptibility maps for DSSA and SESA to create the land
 ۲۶۱ degradation hazard map with regards to water- and wind-induced soil erosion. For both
 ۲۶۲ types of soil erosion, three machine learning models were constructed and applied. The
 ۲۶۳ land degradation susceptibility map was then created by synthesizing the results for

264 both soil erosion types in an ArcGIS 10.5 environment, and the land degradation
265 susceptibility was ultimately evaluated with four classes.

266 A wide range of machine learning algorithms has been applied for spatial mapping of
267 environmental phenomena in the past. The effective factors described in Section 2.2
268 and the inventory maps of water and wind erosion were used as the input of the machine
269 learning algorithms. In the present study, the algorithms of random forest (RF), artificial
270 neural network (ANN), and flexible discriminate analyses (FDA) were used to produce
271 DSSA and SESA maps. We choose three different algorithms to test the dependency of
272 the results on the method as a measure of uncertainty. The three algorithms are
273 described in more detail in the following.

274

275 **2.4.1 Random forest (RF)**

276 Random forest developed by Breiman (2001) is a machine learning algorithm for non-
277 parametric multivariate classification. RF builds multiple trees using a random
278 selection of the training dataset. The data not included are called out-of- bag (OOB)
279 determines the model accuracy using generalization error estimation (Breiman 2001).
280 Diversity among the classification trees increases using resampling the data with
281 replacement and also randomly change of predictors set during tree induction processes
282 (Youssef et al., 2016). Information from numerous decision trees has been combined in
283 the RF algorithm.

284 Generally, it is essential to define two parameters to run the RF model including the
285 number of trees (ntree) and the number of factors prepared from the data shown in Fig.
286 3 (mtry). The former is built while the RF model is running, while the latter is used in
287 the tree-building process. Both the number of trees and factors need to be optimized to
288 minimize the generalization error (Rahmati et al. 2016). The optimisation was done
289 through sensitivity tests.

290

291 **2.4.2 Artificial neural network (ANN)**

292 The artificial neural network (ANN) is a machine learning tool developed by imitating
293 human brain performances and making connections between inputs and outputs
294 (Sakizadeh et al. 2017). The human brain is mimicked in two ways: Firstly, obtaining
295 information and knowledge using a learning process, and secondly, storing knowledge
296 using synaptic weights. Therefore, ANN has been identified as the model that finds the
297 optimal solution for non-linear problems, such as dust source and soil erosion

298 susceptibility, by identifying patterns with conditioning factors (Ghorbanzadeh et al.
299 2019). In an ANN, a neuron is the smallest data processing unit which could make many
300 neural network structures and be used in research for different purposes. The standard
301 structure of ANN consists of three layers, namely, the input layer, the hidden layers,
302 and the output layer. The input layer consists of training data and conditioning factors
303 of dust source, the neurons in the hidden layer analyze the complex information
304 contained in the data, and the output layer is the maps of dust source susceptibility. In
305 this structure, the neurons across the same layer are not connected, but they are linked
306 with neurons in the previous and subsequent layers. In ANN, the algorithm determines
307 a weight for each input factor and a transfer function to build results (Kalantar et al.
308 2017).

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310 **2.4.3 Flexible discriminate analyses (FDA)**

311 The modification of the linear regression model for the application to non-linear
312 problems is the purpose of FDA (Avand et al. 2021). Nonparametric regression models,
313 nonlinear discriminant analysis, and classification methods are combined into one
314 framework. This algorithm is flexible for non-linear classifications because non-linear
315 transformation is used and clusters are soft (Kalantar et al. 2020), here clusters for the
316 relationship between soil erosion and the predictor factors from Fig. 3. In this way,
317 variables in FDA are firstly aligned with the multivariate adaptive regression splines
318 (MARS) and then dimension reduction is performed (Kim and Kim 2021). FDA can
319 overcome the problem of linear discriminant analysis (LDA) and it is minimizing the
320 square average of the residuals (Mosavi et al. 2020), while linear regression is replaced
321 by nonparametric regression in FDA. Therefore, FDA has the potential to apply for
322 non-linear natural problems such as soil erosion, dust, flood, and landslide.

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324 **2.5. Evaluation of machine learning algorithms**

325 In our DSSA and SESA assessment, 70% of point data are randomly selected for the
326 training dataset and 30% for model validation. The prediction accuracy of the machine
327 learning algorithms is assessed by comparing the DSSA map with the validation dataset
328 of dust sources. These data were extracted from MODIS images and some indicators
329 which were explained in section 2.1.2. The Receiver Operating Characteristic (ROC)
330 curve and the Area Under the Curve (AUC) are applied following past studies that used
331 these to test the prediction skill of a model for the occurrence or non-occurrence of the

۳۳۲ studied phenomena (Naghibi et al. 2017). The AUC ranges from 0 to 1 in which the
۳۳۳ models that better perform represent the AUC close to one.

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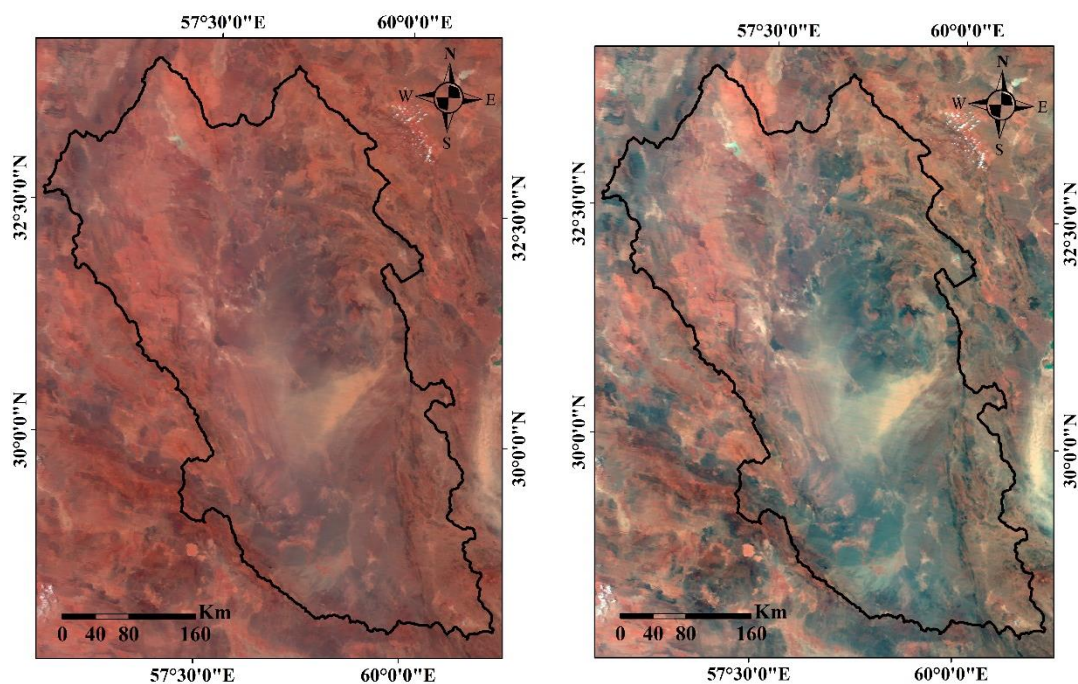
۳۳۵ 3. Results and Discussion

۳۳۶ 3.1. Spatial distribution of DSSA

۳۳۷ 3.1.1. Dust aerosol detection

۳۳۸ An illustration of a dust storm seen in MODIS FCC satellite imagery over the Lut
۳۳۹ watershed on August 7, 2019, is shown in Fig. 4. Following a visual analysis of the
۳۴۰ images, we determined that the false colour combination (R: BTD2931, G: Band 4, B:
۳۴۱ Band 3) is the best and applied it to 26 MODIS images of dusty days. As a result, the
۳۴۲ Lut watershed's dust source locations were identified (Fig. 4).

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۳۴۴ Fig.4 The dust storm on 07 August 2019, as seen above is an example of the visual
۳۴۵ inspection of a dust storm (a) MODIS true colour (Red: Band 5, Green: Band 4, Blue:
۳۴۶ Band 3), and (b) enhanced MODIS satellite photos, (Red: BTD2931, Green: Band 4,
۳۴۷ Blue: Band 3).

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۳۴۹ 3.1.2 The importance of conditioning factors for DSSA

350. Since multicollinearity among factors has been identified as an obstacle to explaining
351 the results (Roy and Saha 2019), the Variance Inflation Factor (VIF) was calculated to
352 assess the relationships among conditioning factors. This was conducted because
353 multicollinearity among factors will decline the accuracy of the models (Arabameri et
354 al. 2019b). In the present study, VIF values for DSSA mapping range from 1.05 to 1.57
355 which illustrated no collinearity among the eight factors. Therefore, no exclusion was
356 applied and all factors were considered in successor calculations and modeling.

357 The importance and impact of each factor depend on the machine learning algorithms.
358 The result of DSSA mapping using RF showed that NDVI, elevation, land use, and
359 lithology had the greatest degree of effect among conditioning factors. **Land use and
360 NDVI as an index of vegetation cover proved to have a controlling impact on wind
361 erosion and dust emission (Gholami et al., 2020). Elevation is an effective factor for
362 DSSA in which lowlands have higher impacts than highlands. This was confirmed by
363 other studies such as Darvand et al., 2021. Lithology is another important factor in this
364 watershed since dust emission is mostly occur in the sensitive lithology rather than
365 resistant ones (Sissakian et al., 2013).** Overall, the impacts of these factors on DSSA
366 have been proved by previous investigations (Gholami et al. 2020a, 2020b). Other
367 factors such as the distance from rivers, rainfall, and slope were identified as rather
368 weak predictors, respectively. These findings agree with other research (Boroughani
369 and Pourhashemi 2020, Darvand et al. 2021).

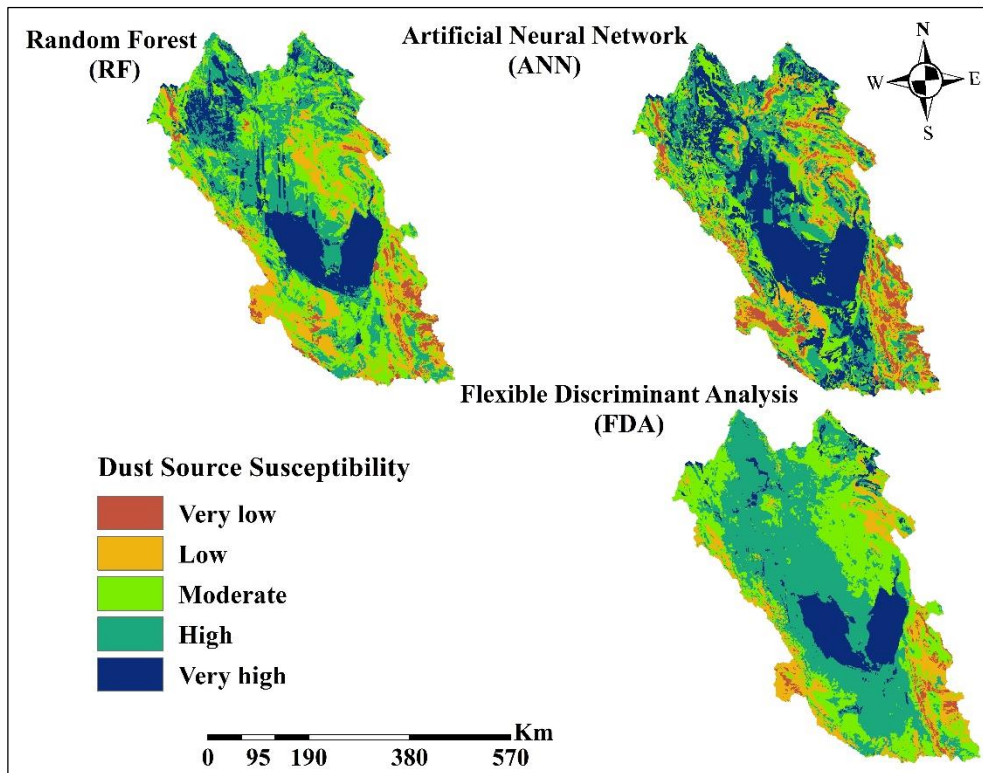
370. The FDA approach showed that however elevation, NDVI, and land use had the highest
371 effects on dust sources susceptibility, other factors had no impact on DSSA. Similarly,
372 with ANN, elevation, NDVI, and land use were identified as the three most effective
373 factors, and other factors were weaker predictors rather than formers. However these
374 two models of FDA and ANN provide similar results in term of the importance of
375 conditioning factors, FDA could be used rather than ANN because of its higher
376 accuracy which is shown in the next section.

377

378 **3. 1. 3 Spatial distribution of dust source susceptibility**

379 The dust source susceptibility (DSS) maps created by RF, FDA, and ANN are classified
380 into five risk classes (very high, high, moderate, low, and very low) shown in Fig. 5.
381 These classes are set as in earlier studies (Mosavi et al., 2020; Boroughani,
382 Mohammadi, Mirchooli, & Fiedler, 2022). The results of the model evaluation using
383 ROC indicates that the RF model with an accuracy of 75.0% provides the most accurate

۳۸۴ outputs. FDA and ANN had similar performances with the accuracy of 71.7% and
۳۸۵ 70.7%. In terms of True Skill Statistic (TSS), similar results have been obtained in
۳۸۶ which RF with an accuracy of 45.8% had again the best performance in comparison to
۳۸۷ FDA (32.4%) and ANN (35.8%). In this way, RF introduces different priorities for the
۳۸۸ effective factors in comparison with FDA and ANN. RF proposes NDVI, elevation,
۳۸۹ land use, and lithology as the most important factors, while FDA and ANN suggest
۳۹۰ elevation, NDVI, and land use as the most influencing factors. The dominance of
۳۹۱ NDVI, elevation and land use as the most effective factors for DSS is consistent with
۳۹۲ the understanding of dust source locations that are typically found in topographic
۳۹۳ depressions with sparse or no vegetation. The DSSA map from RF was selected for
۳۹۴ further analysis due to the highest accuracy, although the differences between FDA and
۳۹۵ ANN are in the statistical sense relatively small. According to the DSSA maps, 29%
۳۹۶ and 17% of the watershed were classified as areas of high and very high DSSA, i.e.,
۳۹۷ almost half of the study area. Only 4% and 16% of the watershed have a very low and
۳۹۸ low susceptibility to soil erosion through winds, respectively. The spatial extent of high
۳۹۹ and very high risk areas from RF is smaller than the ones obtained by ANN and FDA.
۴۰۰ In all three maps, it can be seen that the biggest potential for dust emission is located in
۴۰۱ the central parts (Lut Desert) of the watershed. These results are consistent with other
۴۰۲ research, indicating that RF allows more detailed spatial mapping of dust source
۴۰۳ susceptibility compared to other machine learning algorithms (Rahmati et al. 2020,
۴۰۴ Gholami et al. 2019b, Darvand et al. 2021).
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Fig. 5 Dust sources susceptibility area (DSSA) based on random forest (RF), artificial neural network (ANN), and flexible discriminate analyses (FDA)

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As mentioned before, the watershed is one of the key regions with dust concentration in southwest Asia. Spatial distribution of dust sources in this region is a key roadmap for preventive and adaptive measurement. This would reduce dust emission across the watershed, region, and even other near countries.

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٤١٥ 3.2. Soil erosion susceptibility map

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3.2.1 Relative influential conditioning factors for SESA

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There are some differences in the contributions of influential factors among models. So that, RF indicates that rainfall, TWI, slope, elevation, land use, and geology are the most important conditioning factors. Considering this watershed located in arid region of Iran, rainfall and TWI play decisive and crucial role in soil erosion among them. TWI which indicate soil moisture and water-saturated area (Silva et al., 2023) has been also identified an effective factor for different kinds of soil erosion such as rill-interrill, gully, and piping erosions (Sholagberu et al., 2017; Hosseinalizadeh et al., 2019). Slope influences also soil erosion rate through effecting on runoff velocity, vegetation cover, and soil type (Avand et al., 2022). This conditioning factor has been also reported as

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one of the most influential factor in most studies (Sholagberu et al., 2017; Pournader et al., 2018; Lei et al., 2020). Moreover, distance from roads and rivers were recognized as the least important factors. These findings of the impact of conditioning factors for SESA are similar in other regions (Arabameri et al. 2019a, Hosseinalizadeh et al. 2019). For ANN, TWI, slope, and land use were the most effective factors for prediction which is followed by NDVI, land use, and distance from the river. The results from FDA indicated that the most important conditioning factors are TWI, slope, and elevation, geology, and NDVI. TWI has an important impact on SESA in all three models. This is because the study watershed predominates with low slopes and elevations. The opposite result of this finding was obtained by Silva et al., 2023.

A large area of the watershed is land with typically little rain and vegetation cover such that bare soil is the main physical attribute in the watershed. This kind of surface is known to be prone to water-induced soil erosion, when rain events occur. The erosion can be particularly pronounced over slopes. This understanding is consistent with all algorithms pointing to a major role of TWI and slope for SESA.

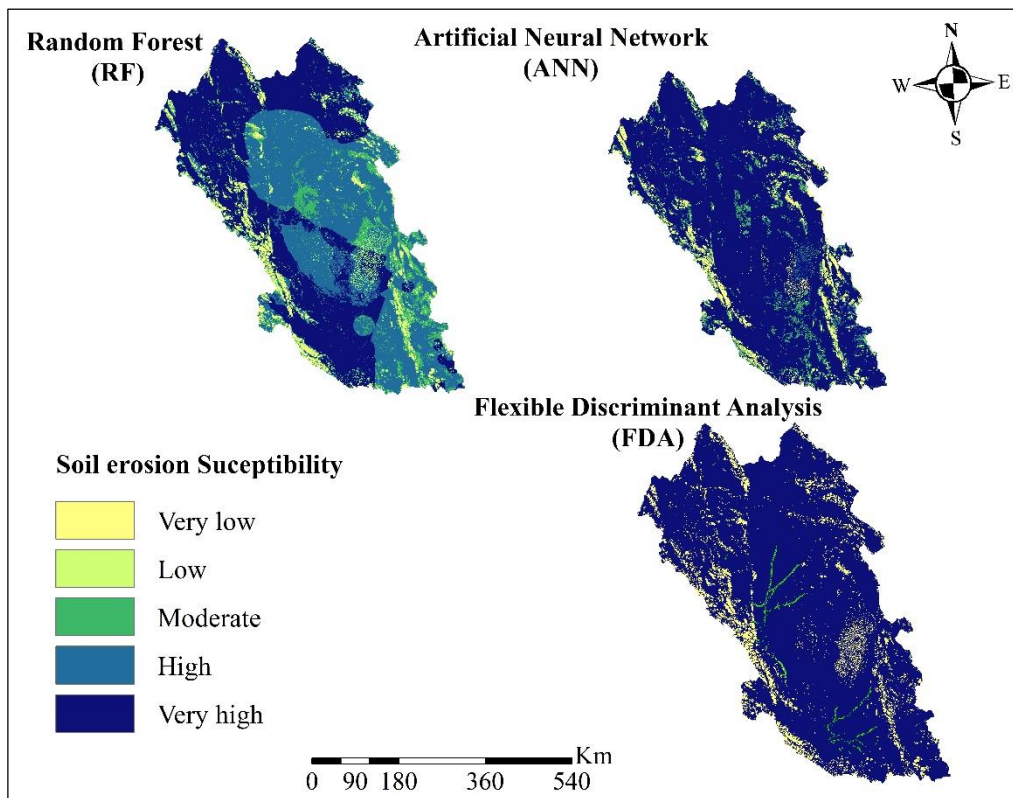
Some environmental factors (rainfall, TWI, slope, elevation, and geology) influence SESA more than DSSA. Land use as a human-induced conditioning factor, however, affects both SESA and DSSA, which underlines the importance of land-use planning and management.

3.2.2. Spatial modeling of SESA

Fig. 6 shows the SESA predictions from the three machine learning algorithms, classified by the soil erosion risk in the ArcGIS environment. Validation of the three machine learning algorithms highlights that RF was again the most reliable algorithm amongst the three, indicated by the best prediction rate. Based on ROC, RF yields a 94% accuracy for SESA (Fig. 6c). The ROC coefficient of ANN and FDA were slightly lower, but still high with an accuracy of 91% and 89%, respectively. In the case of the TSS index, better performance was obtained again for RF (89%) rather than ANN (78%) and FDA (78%). High performance of RF model in classification issues is related to its potential to handle big datasets and apply large number of conditioning factors (Naghibi et al., 2018). In addition, Rahmati et al., 2020 states that high accuracy of RF is the results of several advantage of this model such as iterative nature and preventing problems by overfitting (Rahmati et al., 2020).

459 The majority of the land in the watershed (81%) has a high and very high risk for water-
 460 induced soil erosion by RF. This is slightly lower than for ANN and FDA which
 461 classified 85% and 89% of the watershed as high and very high susceptible areas. The
 462 high and very high susceptible areas for water-driven soil erosion are mostly located in
 463 the north and south-west parts of the watershed. The high and very high susceptible
 464 areas have socio-economic implications, particularly because most settlements and
 465 cities of the watershed are located in the same regions. This can mean that human
 466 activity is a contributing factor to the water-induced soil erosion. **Mutually, intensified**
 467 **soil erosion might lead to migration of resident people to other places and even other**
 468 **countries.**

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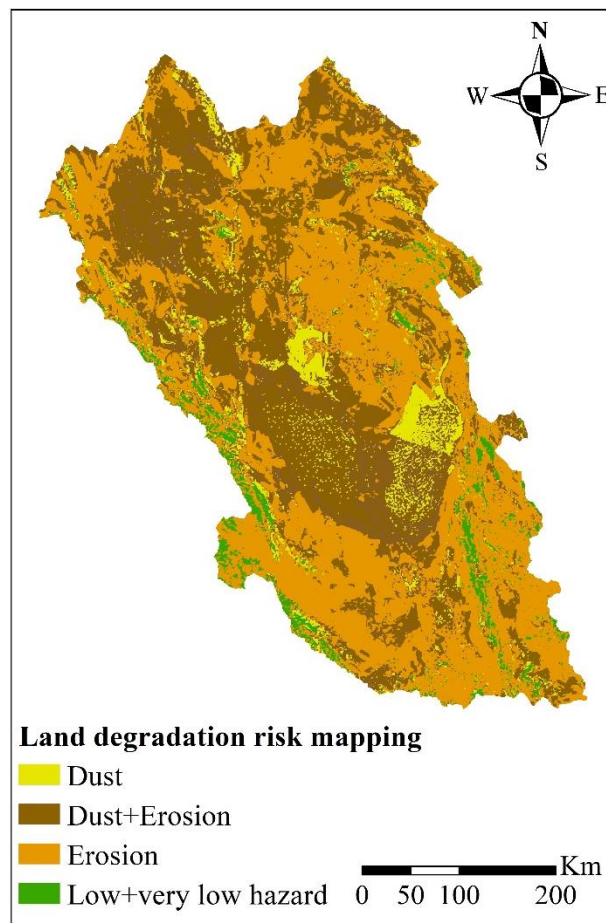
471 Fig. 6 soil erosion susceptibility areas map (GESM) using random forest (RF), artificial neural network
 472 (ANN), and flexible discriminate analyses (FDA)

473

474 3.3. Land degradation susceptibility

475 The majority of the study watershed is susceptible to a substantial risk for land
 476 degradation. The spatial distribution of land degradation susceptibility, shown in Fig.
 477 7, indicates that only 4% of the land area has low to very low risks of land degradation.
 478 Areas susceptible to both soil erosion by water and winds together constitute 43% of

٤٧٩ the total area. Approximately 45% and 8% of the study area are at risk of soil erosion
 ٤٨٠ by water and wind, respectively. Taken together, it means that the majority of the Lut
 ٤٨١ watershed falls under the category of land degradation risks. The watershed accounts
 ٤٨٢ for 12.5% of the total land of Iran. The findings of the present study are therefore
 ٤٨٣ consistent with a report that indicated water erosion as an environmental hazard in Iran
 ٤٨٤ (Bui et al. 2019). **The results of the study will be helpful and applicable for identifying**
 ٤٨٥ **water-induced and dust sources hotspots across the watershed and prioritizing**
 ٤٨٦ **appropriate conservation measurements and rehabilitative policies.**
 ٤٨٧ The areas that fall under the category of both kind of land degradation might be most
 ٤٨٨ vulnerable concerning local self-sufficiency for food security and sustainability of
 ٤٨٩ human activities. For instance, dust storms drive water loss through failure of
 ٤٩٠ agricultural crops in Iran (Boroughani et al. 2022). Moreover, the adverse impacts of
 ٤٩١ water-induced soil erosion are known from numerous other regions (Lal and
 ٤٩٢ Moldenhauer 2008, Gao et al. 2015, Standardi et al. 2018; Roy et al., 2022).



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 ٤٩٤ Fig. 7 Land degradation susceptibility map in terms of soil erosion and dust sources areas
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٤٩٦ **Conclusion**

٤٩٧ Investigation of soil erosion through water along with wind-driven soil erosion from
٤٩٨ dust sources have received little attention in past studies, despite their importance for
٤٩٩ land degradation with associated social, economic, and environmental impacts. The
٥٠٠ present study used several different data sets, conducted a field survey and paired the
٥٠١ data with three different machine learning algorithms to construct spatial maps for areas
٥٠٢ of risk for land degradation for the Lut watershed in Iran. Three machine learning
٥٠٣ algorithms were successfully applied to create land susceptibility maps describing dust
٥٠٤ aerosol occurrence considering methodological uncertainty. In addition, these models
٥٠٥ were used to identify the areas prone to soil erosion by surface water runoff. These
٥٠٦ obtained maps were synthesized to generate a single map for risks of land degradation.
٥٠٧ The results of the present study show that the random forest algorithm outperformed
٥٠٨ the other two machine learning approaches for both dust sources and soil erosion
٥٠٩ susceptibility mapping with an accuracy of 75% and 94%, respectively.
٥١٠ As expected, the vegetation cover, elevation, land use, and geology were important
٥١١ prerequisites for dust-emission occurrence in the watershed, while rainfall,
٥١٢ Topographical Wetness Index (TWI), terrain slope, terrain elevation, land use, and
٥١٣ geology were identified as the most influential factors for water-induced soil erosion.
٥١٤ Based on the land degradation map, almost the entire study region is at risk. A large
٥١٥ fraction of 43% of the area is prone to both high wind-driven plus water-driven soil
٥١٦ erosion. In addition to these areas, another 45% and 8% of the area have a risk for water-
٥١٧ driven and wind-driven soil erosion, respectively. **The methods tested in this study**
٥١٨ **could be later transferred to similar assessments in other regions around the world.**
٥١٩ **Choosing this region in Iran is further motivated by the impact of land degradation on**
٥٢٠ **the country's economy. The current study has some limitation including the small**
٥٢١ **sample size and non-uniform distribution of water-induced soil erosion points because**
٥٢٢ **of lack of accessibility to a road network in some parts of the watershed. Despite these**
٥٢٣ **limitations,** these results can potentially be useful for managers and policy makers to
٥٢٤ identify local hotspots for land degradation to implement mitigation and adaptation
٥٢٥ measures in this watershed. Future studies could work on improving the spatial
٥٢٦ resolution and coverage of the risk assessment for providing more information on risks
٥٢٧ for land degradation. **In addition, it is suggested that future research should estimate the**
٥٢٨ **role of other climatic factors such as humidity, and air temperature on soil erosion and**
٥٢٩ **dust source susceptibility. Prediction of NDVI and rainfall as the most effective factors**

030. on soil erosion and dust sources and estimated of their impacts on future water induced-
031 soil erosion and dust sources susceptibility is also suggested for the other studies. It
032 requires more measurements for soil erosion by water and winds to train the machine
033 learning models.

034

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038

039 **Conflict of Interest**

040 The authors declare that there is no conflict of interests regarding the publication of
041 this article.

042

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