



"Human displacements from tropical cyclone Idai attributable 1 to climate change" 2 3 4 5 Benedikt Mester ¹², Thomas Vogt ¹, Seth Bryant ²³, Christian Otto ¹, Katja Frieler ¹, and 6 Jacob Schewe 1 7 8 ¹ Potsdam Institute for Climate Impact Research, Potsdam, Germany 9 ² Institute of Environmental Science and Geography, University of Potsdam, Potsdam, 10 Germany 11 ³ GFZ German Research Centre for Geosciences, Potsdam, Germany 12 13 Correspondence: Benedikt Mester (benedikt.mester@pik-potsdam.de) 14

15 Abstract

16 Extreme weather events often trigger massive population displacement. A compounding factor 17 is that the frequency and intensity of such events is affected by anthropogenic climate change. 18 However, the effect of historical climate change on displacement risk has so far not been 19 quantified. Here, we show how displacement can be partially attributed to climate change, 20 using the example of the 2019 tropical cyclone Idai in Mozambique. We estimate the 21 population exposed to flooding following Idai's landfall, using a combination of storm surge 22 modeling and flood depth estimation from remote sensing images, for factual (climate change) 23 and counterfactual (no climate change) mean sea level and maximum wind speed conditions. 24 We find that climate change has increased displacement risk from this event by approximately 25 3.1 to 3.5%, corresponding to 16,000 - 17,000 additional displaced persons. Besides 26 highlighting the significant effects on humanitarian conditions already imparted by climate 27 change, our study provides a blueprint for event-based displacement attribution.

28 1 Introduction

29 Tropical cyclones (TCs) pose immense risks to coastal communities around the world. 30 Between 1980 and 2021, an average of 45 TCs globally have been recorded per year, with 31 the Philippines, China, Vietnam, USA and Mexico as the top-five most frequently exposed 32 countries (Guha-Sapir et al., 2022). While related monetary losses are high due to the massive 33 damages to housing and infrastructure, TCs also displace an average of 9.3 million people 34 every year, with this hazard being responsible for 43% of all weather-related displacements 35 (IDMC, 2022). Such forced displacements are associated with extensive human suffering, as 36 well as substantial costs (e.g., for providing shelter or from loss of economic production) and 37 often require international assistance for disaster relief funds and humanitarian response 38 (Desai et al., 2021).

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40 At the same time, global climate change is expected to alter TC characteristics, resulting in an 41 increase in overall TC intensity (maximum wind speed and precipitation) and hence in the





42 frequency of very intense TCs (category 4-5 on the Saffir-Simpson scale), fundamentally 43 because of an increase in potential intensity due to warmer sea surface temperatures (SST) 44 (Emanuel, 1987; Knutson et al., 2020). Rising sea levels, also driven by global warming, 45 additionally compound coastal flood risk associated with TCs (e.g., Garner Andra J. et al., 46 2017; Lin et al., 2012; Resio and Irish, 2016). Given that global mean surface air temperature 47 and sea level have already risen substantially above pre-industrial conditions (by about 1.1°C 48 and 0.20 m, respectively (Gulev et al., 2021)), it is likely that recent TC landfalls have caused 49 more severe impacts than would be expected without climate change. However, the portion 50 of TC-induced human displacements attributable to climate change has so far not been 51 quantified.

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53 In this study, we address this research gap for the particular case of displacement triggered 54 by TC Idai in 2019. We examine the floods in central Mozambigue associated with TC Idai, 55 considered to be "one of the Southern Hemisphere's most devastating storms on record" 56 (Warren, 2019). On the 14th of March, Idai made landfall near the densely populated port city 57 of Beira, inhabited by more than 530,000 people (Figure 1). Alongside strong winds and 58 extensive inland flooding caused by heavy rainfall, the cyclone also created an intense storm 59 surge, leading to severe coastal flooding. In Mozambique alone, TC Idai claimed the lives of 60 more than 600 people, and caused 478,000 internal displacements, as well as widespread 61 structural damage totaling more than US\$ 2.1 billion (Guha-Sapir et al., 2022; IDMC, 2022).

62

63 Here, we investigate how the coastal flooding would have manifested in a counterfactual world 64 without climate change, and consequently, how many of the observed human displacements 65 from TC Idai can be linked to climate change. For the attribution of the impacts we follow the 66 storyline approach introduced by Shepherd (Shepherd, 2016). To this end, we account for two 67 known mechanisms through which global climate change could have affected coastal flood 68 hazard: sea-level rise and amplification of storm intensity. We first estimate the influence of 69 climate change on sea level and TC intensity in the South Indian Ocean. We employ a high-70 resolution hydrodynamic flood model to simulate TC Idai's peak coastal flood extent and 71 depth, both under historical conditions and under counterfactual conditions with lower sea 72 levels and lower maximum wind speed, corresponding to a world without climate change. We 73 additionally use satellite imagery to account for inland (freshwater) flooding, and estimate the 74 total number of people affected by flooding. We then model the number of displacements 75 based on flood depth-specific vulnerability factors, and estimate the fraction of displacements 76 that can be attributed to climate change by comparing results under factual vs. counterfactual 77 conditions.

78

79 We use an estimate of sea level rise (SLR) that attempts to separate natural variability in ice 80 sheet and glacier mass balance and retain only the long-term trend induced by global warming 81 (Strauss et al., 2021). Beyond this, however, our analysis is indifferent to whether the trends 82 in sea level and TC intensity are anthropogenic or not. This is in line with the definition of 83 impact attribution put forward by the Intergovernmental Panel on Climate Change (IPCC), 84 where "changes in natural, human, or managed systems are attributed to [a] change in [a] 85 climate-related system" (O'Neill et al., 2022). Such a question can be separated from the 86 climate attribution guestion of whether the change in the climate-related system - here, sea 87 level and TCs - is due to anthropogenic forcing. This separation allows us to focus on the link 88 between climate change and displacement despite remaining uncertainty about the exact 89 anthropogenic contribution. We will return to this issue below.





90



91 92

Figure 1: Trajectory of tropical cyclone Idai over the South Indian Ocean. Trajectory data
 is based on the IBTrACS database (Knapp et al., 2010). Mozambican administrative
 boundaries (GADM, 2018) in white; satellite image background by © Google Maps (Google
 Maps (a), 2022). Dates and tropical cyclone status adopted from Reliefweb (Reliefweb, 2019).

97 2 Methods

98 2.1 Coastal Flood Modeling

99 The storm surge flood simulations are generated using the open-source geophysical flow 100 solver GeoClaw (Mandli and Dawson, 2014). GeoClaw uses an efficient adaptive mesh 101 refinement to model wind- and pressure-induced wave dynamics in the 2-dimensional depth-102 averaged shallow water equations. The detailed model setup used here is described and 103 evaluated by Vogt and colleagues (Vogt et al., 2022).

104

As the factual input for GeoClaw, the TC track data from IBTrACS (Knapp et al., 2010) provided by the WMO Regional Specialised Meteorological Center at La Reunion (operated by MeteoFrance) is used. For the counterfactual scenarios with modified TC intensity, we multiplied all wind speed values along the track by a scalar factor of 0.9 (for a decrease of 10% in intensity). The central pressure at each track position is increased by 0.1 times the difference between central pressure and environmental pressure.

111

From the wind speed, pressure, and radius information provided along the TC track, GeoClaw
 derives surface wind speeds and air pressure at arbitrary locations in space and time using a





radially symmetric wind profile (Holland, 1980) combined with the influence from the storm'stranslational speed.

116

117 GeoClaw does not incorporate any tidal dynamics, nor meteorological forcings apart from the 118 TC wind and pressure fields mentioned above. To account for the influence of astronomical 119 tides, we configured GeoClaw to use an initial sea level according to gridded satellite altimetry 120 for 2019 (CMEMS, 2021), optionally enhanced by the minimum, mean, or maximum simulated 121 astronomical tides in the region of landfall according to the FES2014 global ocean tide atlas 122 (Lyard et al., 2021). For the counterfactual sea level scenarios, the amount of sea level rise 123 specified in the scenario description (between 6.5 and 17.0 cm) was subtracted from the initial 124 sea level.

125

The topographical input for GeoClaw is taken from digital elevation models. We used a combination of CoastalDEM 2.1 (Kulp and Strauss, 2021, 2018) in coastal areas, SRTM 15+ V2.3 (Tozer et al., 2019) over the open ocean and Multi-Error-Removed Improved-Terrain (MERIT) digital elevation model (DEM) (Yamazaki et al., 2019) everywhere else. All datasets are converted to the same geoidal vertical datum (EGM96) at a spatial resolution of 9 arcseconds (approximately 300 m).

132

Due to a lack of tide gauges in Mozambique, it was not possible to validate the performance of GeoClaw for TC Idai in the factual model runs. However, we compared the water levels at a virtual tide gauge station off the coast of Beira, where the highest impacts from TC Idai have been reported, with simulated water levels from the Global Tide and Surge Model (GTSM) (Dullaart et al., 2021; Muis et al., 2020), and found the best agreement of maximum surge heights for the GeoClaw run with the maximum astronomical tide assumption, closely followed by the run with no tidal adjustment (Supplementary Figure S1).

140 2.2 Inland Flood Depth Estimation

141 Gridded depth maximums for the flood event (Supplementary Figure S2) were calculated 142 using the Rolling HAND Inundation Corrected Depth Estimator (RICorDE) algorithm (Bryant 143 et al., 2022) supplied with terrain data from the MERIT DEM project, permanent surface water 144 data from the Joint Research Centre (JRC) Global Surface Water project (Pekel et al., 2016), 145 and flood extents from the FloodScan product (Atmospheric and Environmental Research & 146 African Risk Capacity, 2022). MERIT DEM provides a roughly 90 m resolution global layer 147 derived from multiple space-based sensors to minimize elevation errors. The maximum water 148 extent layer from JRC's Global Surface Water project provides a roughly 30 m resolution 149 global layer of locations detected as inundated on Landsat imagery (Wulder et al., 2016) from 150 1984-2019 (Pekel et al., 2016). Observed flood extents for TC Idai were obtained from 151 Atmospheric and Environmental Research & African Risk Capacity's accumulated 2-tier 152 standard flood extent depiction FloodScan product from 2019-03-01 to 2019-03-31, which has 153 the same resolution as the MERIT DEM. Originally developed for applications in Africa, this 154 FloodScan algorithm relies on satellite based low-resolution passive microwave data to 155 estimate inundation areas. The algorithm was designed to minimize false-positives at the 156 expense of small flood sensitivity (Galantowicz and Picton, 2021). All data layers were re-157 projected to 90 m resolution geodetic coordinates prior to the RICorDE computation.





159 RICorDE is an algorithm originally developed for post-event analysis of fluvial flood events in 160 Canada that produces gridded water depth estimates by incorporating Height Above Nearest 161 Drainage (HAND) and cost distancing sub-routines to extrapolate edge values into an 162 inundation region. By using the vertical distance above the permanent water surface computed 163 in the HAND routine, RICorDE pre-filters egregious flood extent predictions and assumes a 164 water surface slope matching the permanent water surface (rather than the flat surface 165 assumed by similar methods). The slower, more complex RICorDE algorithm has been shown 166 to produce more accurate depths maps when compared to faster, more disaster response-167 focused solutions like the Floodwater Depth Estimation Tool (FwDET) (Bryant et al., 2022; 168 Cohen et al., 2018).

169

While no data was available to validate the performance of the depths estimate, visual inspection suggests results are less accurate in areas with higher elevation (>20 m), especially where drainageways are of comparable width to the resolution of the JRC water extent layer. These false negatives in the JRC layer propagate as positive bias in the HAND routine, which leads to higher elevation water surface predictions and similar positive bias in the depth values (see white arrow in Figure S3a).

176 2.3 Combined Flood Depth Product

177 The inland flood depth estimates from RICorDE are resampled from 3 arcsec to 9 arcsec, 178 using the average resampling method (Rasterio library for Python), to match the resolution of 179 the GeoClaw output. All flood depths are rounded to the nearest decimeter, their outline is 180 cropped to the area of interest, and the final factual flood depth in each grid cell (shown in 181 Figure 3a) is determined as the maximum of both products. This accounts for both potentially 182 partly obscured satellite imagery by clouds and potential underestimation by the numerical 183 model.

185 186

$$d_0 = max (d_{c,0}, d_r)$$
 (1)

187 with d_0 referring to the factual flood depth, and indices *c* and *r* referring to the coastal flood 188 model (GeoClaw) and to the remote sensing data translated into flood depth using RICorDE, 189 respectively. To derive the counterfactual flood depth d_{cf} , we subtract the difference between 190 modeled factual and counterfactual coastal flood depths from the combined factual flood 191 depth:

192 193

 $d_{cf} = d_0 - (d_{c,0} - d_{c,cf})$ (2)

194

195 2.4 Displacement

We use displacement data from the openly accessible *Global Internal Displacement Database* (IDMC, 2022). No granular information is available on the type of displacement, e.g., longterm displacement or temporary evacuation, nor on the proportion of displacement by hazard type. We assume that people exposed to flood levels greater or equal than 100 cm are affected by the flooding and thus prone to displacement, following previous studies (Custer and





Nishijima, 2015; Kam et al., 2021). However, we also test the sensitivity of our results to this
 threshold choice by evaluating alternative water level thresholds of 10 cm and 50 cm.

203

We first determine the flood extent with depths greater than the selected water level threshold and overlay it with population data to estimate the number of people affected. We use gridded population data from GHS-POP (Schiavina et al., 2019) for the year 2015, on 9 arcsec resolution. Population growth in Mozambique was 1.12 % between 2015 and 2019 (The World Bank, 2022); we hence multiplied all population grid cells with this factor, assuming a spatially equal population growth.

210

211 We then calculate the ratio between the number of observed displacements, and the number 212 of affected people from the factual flood estimate. This ratio, which may be thought of as an 213 event-specific displacement vulnerability factor, is different for every tide assumption, 214 reflecting the uncertainty about the actual flood extent and depth. We compute for every 215 impact level threshold *i* and tide assumption *h* a displacement vulnerability factor $v_{i,h}$ by 216 dividing the number of observed displacements D_0 by the total number of affected people of 217 the factual scenario $A_{i,h,o}$:

$$v_{i,h} = \frac{D_0}{A_{i,h,0}}$$

219 220

221 Multiplying the specific displacement vulnerabilities with the counterfactual numbers of 222 affected people, we derive the number of people at risk of displacement in a world without 223 climate change. This means that the difference between factual and counterfactual 224 displacement estimates comes only from differences in the flood hazard, while exposure and 225 vulnerability factors are held fixed. We achieve this by multiplying $v_{i,t}$ with the number of 226 affected people of the counterfactuals $A_{i,h,cf}$, and estimate the expected number of 227 displacements for each counterfactual scenario $D_{i,h,cf}$.

(3)

229
$$D_{i,h,cf} = v_{i,h} * A_{i,h,cf}$$
 (4)

230

228

231 2.5 High Wind Speed-Induced Displacements

232 Even though disaster reports for TC Idai suggest flooding to be the main driver of 233 displacement, high wind speeds may have locally intensified the impact of TC Idai (Figure S4) 234 and be partially responsible for the observed displacements. We conduct an additional 235 analysis where we assume that people affected by either flooding or wind (or both) were at 236 risk of displacement with an equal vulnerability factor. We use a wind speed threshold of 96 237 kn (50 m s⁻¹) for population exposure (Geiger et al., 2018), corresponding to the Saffir-238 Simpson scale classification 3 (major hurricane). The resulting wind field is overlaid with 239 gridded population data to compute the number of affected people, excluding those who are 240 already affected by flooding.





241 3 Results

242 3.1 Counterfactuals

243 Constructing counterfactuals for sea level and TC intensity requires estimating the effect of 244 historical climate change on these quantities. Total global mean sea level has risen by 245 approximately 23 cm since the turn of the 20th century (Church and White, 2011); at a rate 246 that has increased over time (Dangendorf Sönke et al., 2017). According to the IPCC, it is very likely that the rate of global mean SLR was 1.5 (1.1 to 1.9) mm yr⁻¹ between 1902 and 2010, 247 and 3.6 (3.1 to 4.1) mm yr⁻¹ between 2006 and 2015 (Gulev et al., 2021). Nonetheless, 248 249 regional changes in sea level may differ substantially from the global average due to shifting 250 surface winds, the differential expansion of warming ocean water, and the addition of melting 251 ice, which can alter the ocean circulation (Fox-Kemper et al., 2021). Additionally, increases in 252 the amount of water stored on land (due to construction of dams and reservoirs), as well as 253 land subsidence, have also affected total sea level, with their relative effects varying 254 geographically (Church et al., 2004; Strauss et al., 2021).

255

Long-term in-situ observational records of SLR are scarce in the Indian Ocean (Han et al., 256 257 2010), hampering a precise detection of changes in sea level. For example, no active tide 258 gauge stations can be found on the coast of Beira (Beal et al., 2019), with the nearest station 259 located in Inhambane, Mozambique, 448 km south of Beira. However, regional historical SLR rates for Mozambique, derived from satellite imagery or models, are close to global mean 260 261 estimates. IPCC rates of change in sea surface height (geocentric sea level) derived from 262 satellite altimetry show regional SLR off the coast of Mozambique at around 4.0 mm yr⁻¹ for 263 the period 1993-2012 (Church et al., 2013). Climate-induced SLR at the South-Eastern 264 African coastline (1993 - 2015) is estimated at \sim 3.5 mm yr⁻¹ using a coastal-length weighted 265 approach (Nicholls et al., 2021). Reconstructed sea level fields using global tide gauge data 266 suggests global-averaged SLR at 1.8 ± 0.3 mm yr⁻¹ over the 1950-2000 period, with regional 267 SLR off the coast of Mozambigue at around 1.5 mm yr⁻¹ (Church et al., 2004). Han and 268 colleagues (Han et al., 2010) estimate regional Mozambican SLR at approximately 1.2 mm 269 yr⁻¹ between 1961-2008.

270

271 Given that these regional estimates are close to the global mean estimate by the IPCC, we 272 assume that total SLR near Beira is the same as the global mean, a comparable approach as 273 by Irish and colleagues (Irish et al., 2014). In order to exclude trends induced by natural 274 variability, particularly in sea level contributions from glaciers and ice sheets, we use estimates 275 of global mean sea level rise attributable to anthropogenic climate change for 1900-2012 from Strauss and colleagues (Strauss et al., 2021). Their ensemble estimate is 6.6 to 17.1 cm, which 276 277 we use to define counterfactual sea level parameters for the coastal flood model. This also 278 implies assuming no substantial local effects of land subsidence and human-induced changes 279 in land water storage through reservoir construction and groundwater extraction that would 280 confound comparison with the global estimates. This is hard to verify, but can be motivated by 281 findings that city subsidence occurs only in a small fraction of the world's coasts (Nicholls et 282 al., 2021).

283

Tropical cyclones are projected to become more intense with rising temperatures (Knutson et al., 2015), which is in line with the theoretical understanding of the potential intensity theory





286 by Emanuel (Emanuel, 1987). Observed TC wind speed data in the South Indian Ocean basin 287 shows that the maximum 10-minute sustained wind speed has been increasing by about 0.3 288 kn (0.15 m s⁻¹) per year on average, over the period 1973-2019 (Figure 2). Prior to 1973, the 289 rate of increase was likely smaller, though observational data is lacking. We make a 290 conservative assumption corresponding to 50 years of increase at a rate of 0.2 kn (0.1 m s⁻¹) 291 per year, resulting in a total difference in maximum wind speed of approximately 10 kn (5.1 m 292 s⁻¹). For the case of TC Idai with maximum observed 10-minute sustained wind speeds of 105 293 kn (54 m s⁻¹), this corresponds to a 10% reduction in maximum wind speed by removing 294 climate change, which we adopt as a plausible assumption about a counterfactual TC de-295 intensification. This is a larger change than when adopting an earlier model-based estimate of 296 3.7% increase in maximum surface wind speed per 1 °C of sea surface temperature (SST) 297 rise (Knutson and Tuleya, 2008). However, a trend analysis of global satellite data (1982-298 2009) finds an observed increase in maximum intensity by 1.7 m s⁻¹ per decade (p = 0.06) in 299 the south Indian Ocean (Kossin et al., 2013), yielding an increase by about 8.5% when 300 extrapolating this rate of change over the 50 years prior to 2019; which is in closer agreement 301 with our analysis.



302

Figure 2: Annual means of maximum TC wind speeds in the South Indian Ocean
 (maximum 10-minute sustained wind speeds). Linear trend over the period 1973-2020;
 data from IBTrACS database (Knapp et al., 2010).

306 3.2 Simulated flooding

307 We calculate storm surge flood extent and depth for the factual (driven with observed wind 308 speeds and sea levels) and counterfactual (reduced wind speeds and sea level) scenarios, 309 using an open-source geophysical flow solver (see Sect. Methods). The contribution of tides 310 to total sea water levels at the time of landfall is an important yet unknown model parameter. 311 We test four different assumptions about astronomical tide levels, and find that the maximum 312 astronomical tide shows the best agreement with simulated water levels from the Global Tide 313 and Surge Model (Dullaart et al., 2021; Muis et al., 2020), followed by the monthly mean sea 314 level from satellite altimetry without any tidal adjustment (Supplementary Figure S1).





315

316 Both factual and counterfactual coastal flooding are combined with inland flood depth 317 estimates derived from satellite imagery in combination with an inundation depth estimation 318 algorithm (Bryant et al., 2022), to obtain total inundation levels for Mozambigue (Figure 3a). 319 The difference between factual and counterfactual flooding is illustrated in the densely 320 populated area of Beira (Figure 3b), the city where TC Idai made landfall and destroyed 90% 321 of all houses according to some disaster reports (ReliefWeb, 2019). Differences in both flood 322 extent and depth are observable between the factual (Figure 3c) and counterfactual scenario 323 (Figure 3d). Notably, in a world without climate change, the area inundated by 100 cm or more 324 is dramatically reduced.

325



326 Figure 3: Simulated flood extent for Mozambique; population distribution and 327 inundation levels for the greater area of Beira. (a) Combined factual estimate of inland 328 and coastal flooding (binary; flood/no-flood). White dashed box shows the area of interest in 329 which flood exposure is computed. (b) Population distribution for the greater area of Beira. 330 Flood extent and levels for (c) the factual scenario, and (d) the "counterfactual TC intensity + 331 sea level rise (10.5 cm)" scenario. City neighborhoods of Beira (HDX, 2019) are indicated by 332 orange lines and shoreline (Wessel and Smith, 1996) is represented by dashed white lines in 333 (b), (c), and (d); satellite image background by © Google Maps (Google Maps (b), 2022).

334

3.3 Displacement 335

336 In the next step, we investigate how the factual and counterfactual flood estimates translate 337 into population at risk of displacement for the whole of Mozambique. Our analysis shows that 338 the intensification of TC wind speeds leads to an increase in flood affected people and, 339 consequently, in displacements by up to 3.6%, while counterfactuals regarding the sea level





340 lead to only small changes (Figure 4). A combination of both counterfactuals only slightly 341 exceeds the range as in contrast when considering the TC de-intensification alone. Despite 342 the large uncertainty regarding SLR since 1900, the difference in the number of people 343 affected (or displaced) is rather marginal; being less than 1% between the largest and the 344 smallest SLR estimate. Our results highlight that the tide assumption plays a major role. The 345 minimum and mean tide lead to marginal changes in affected/displaced people, in contrast to 346 the maximum astronomical tide and monthly mean sea level from satellite altimetry, which 347 show a median change in 3.1% and 3.5%, respectively. Given the high number of affected 348 people, already small changes in the counterfactual scenarios lead to high changes in 349 absolute numbers. The coupled effect of higher wind speeds and higher sea level increases 350 the number of affected people and displacements by up to 43,300 and 16,500 (maximum tide) 351 and 44,300 and 17,100 (monthly mean), respectively. Results regarding impact flood levels of 352 10 cm and 50 cm are displayed in the supplementary material (Figure S5 and S6), showing 353 even higher changes for the counterfactual scenarios of up to 69,800 displacements (17.1%). 354

355 We assume that high wind speed caused only a marginal fraction of displacements, following 356 disaster reports, media coverage and experience from other events; as an extreme example, wind by Hurricane Sandy caused less than 0.01% of the overall damage (Strauss et al., 2021). 357 358 Nonetheless, in an additional sensitivity analysis, we also account for the number of people 359 affected by high TC wind speeds of 50 m s⁻¹ or above (Sect. Methods). Our analysis reveals 360 that the number of people affected not by flooding (maximum tide assumption, 100 cm impact 361 threshold) but by high wind speeds ranges between 354,400 to 357,400 in the factual 362 simulation. In the counterfactual, even the maximum wind speed attained in any grid cell 363 outside the flooded area drops from 51.5 m s⁻¹ to 46.3 m s⁻¹, i.e. below the above-mentioned 364 threshold; thus, no people are counted as affected. If the displacement vulnerability factor to 365 high wind speed had been the same as to flooding, then the counterfactual would imply 366 109,200 to 111,500 displacements, or 22.8 to 23.3% of the total displacement, attributable to 367 climate change.









369

370 Figure 4: Simulated affected people (top), displacements (middle) and percentile 371 change (bottom) for the 100 cm impact threshold. Three counterfactual scenarios are 372 shown: lower sea level ("cf SLR")), de-intensification ("cf wind"), and a combination of both ("cf 373 SLR + wind"). Additionally, a variety of counterfactual sea levels as well as a set of 374 astronomical tides is presented, covering minimum ("min"), mean ("mean"), and maximum 375 ("max") as well as monthly mean sea level from satellite altimetry ("no"). Bold dashed line in 376 the middle panel shows the number of observed displacements. Percentile changes in 377 affected people and displacements are the same. The second quartile Q2 (median) of the box 378 plot is shown in orange, "whiskers" are placed at ±1.5 * interquartile range (Q3-Q1). 379

380 4 Discussion and conclusions

381 With more than one degree of global warming, most, if not all, extreme weather events now 382 can be assumed to bear some imprint of climate change. By extension, this is also true for the humanitarian crises induced by catastrophic storms, floods, or droughts. However, while 383 384 economic damages from climate change have been attributed both in case studies and global 385 studies (Frame et al., 2020b, 2020a; Sauer et al., 2021; Strauss et al., 2021), little is known 386 about the extent to which climate change has already exacerbated human displacement. Our 387 modeling study of TC Idai suggests that climate change may have induced about 17,000 388 additional displacements from this one event. This is primarily due to the intensification of TC 389 wind speed inducing a more powerful storm surge; and to a lesser extent due to sea level rise 390 providing a higher baseline for the storm surge. 391





392 Our results likely underestimate the full contribution of climate change to displacement 393 associated with TC Idai, because we solely addressed the effect of climate change on coastal 394 flooding, neglecting changes in inland flooding. Between March 3 and 17, heavy precipitation 395 between 200-400 mm was registered for Beira City and the region, with upstream sections of 396 the Pungwe river basin exposed to more than 600 mm (Probst and Annunziato, 2019). With 397 growing evidence that climate change not only affects precipitation intensity (Fowler et al., 398 2021; Guerreiro et al., 2018; Scherrer et al., 2016) but also continental-scale changes in fluvial 399 flood discharge (Blöschl et al., 2019; Gudmundsson et al., 2021), it is likely that in a world 400 without climate change, the river flood magnitude would have been smaller, and even less 401 people would have been exposed than in our coastal-only counterfactual. Quantifying this 402 additional effect would require a river flood model capable of reproducing the observed flood 403 extent and associated inundation depths, and ideally coupled with a coastal flood model to 404 capture the interaction between river flood and storm surge. Even though globally-applicable 405 frameworks for compound flood hazard modeling are under construction, and have recently 406 been tested for TC Idai (Eilander et al., 2022), evaluations of fluvial flood models reveal 407 important shortcomings in data-scarce regions such as Mozambique (Bernhofen et al., 2018; 408 Mester et al., 2021). Quantifying the role of river flooding in TC-induced displacement thus is 409 a timely challenge.

410

411 Our main analysis also assumed no direct effect of high wind speeds on displacement, lacking 412 clear evidence for substantial displacement due to high winds alone. Our additional sensitivity 413 analysis suggests that changing this assumption could increase the number of displacements 414 attributable to climate change considerably. Given this potentially large effect, and our limited 415 understanding of the relative roles of different drivers of displacement in general, the specific 416 vulnerability to displacement from different types of hazard should be the subject of future 417 studies. Moreover, assuming that displacement can occur already at inundation depths of less 418 than 100 cm also leads to higher estimates of climate change-attributable displacement, 419 according to our sensitivity analysis. Again, a better understanding of vulnerability beyond 420 hard thresholds will be critical to refine risk assessments.

421

422 We did not change storm track or size in our counterfactual simulations. While storm tracks 423 may be affected by climate change (Knutson et al., 2019), we assume that Beira has not 424 become more or less likely as a landfall site. Mean storm size is found to increase 425 systematically with the relative sea surface temperature (Chavas et al., 2016), although 426 numerical simulations suggest that projected median sizes remain nearly constant globally 427 (Knutson et al., 2015). Assuming increases in storm size due to climate change would again 428 result in higher estimates of attributable displacements in our analysis. Furthermore, 429 uncertainties regarding the population and observatory data, such as the satellite imagery, as 430 well as the underlying digital elevation model (DEM), used for both the inland flood depth 431 estimation and the coastal flood model, should not be neglected (Hawker et al., 2018).

432

By design, in our attribution study, we assumed a fixed population distribution in both factual and counterfactual simulations, as well as a fixed, empirically determined displacement vulnerability factor, and only investigated changes in displacement risk following from changes in the physical characteristics of TC Idai and its impacts. Assessments of future risks - or of past impacts - should not only take into account the intensification of physical hazards, but also increases in exposure (Kam et al., 2021); as well as potential changes in vulnerability due to social, economic, or technological developments. Changes in vulnerability have been





studied with respect to economic damages and fatalities (Jongman et al., 2015; Sauer et al.,
2021), but not for displacement.

442

443 Here, we have chosen a storyline approach for the impact attribution instead of a more 444 traditional probabilistic attribution approach (Philip et al., 2020; Titley et al., 2016), as for 445 instance previously employed to attribute heavy precipitation of Hurricane Harvey 446 (Oldenborgh et al., 2017) to climate change. One reason is that for Mozambique neither the 447 complete time series of rainfall nor the high station density required by a probabilistic approach 448 (van Oldenborgh et al., 2021) are available. Reanalysis products for precipitation could be 449 used as an alternative, however, their quality depends on geographic location, so the use of 450 multiple reanalysis and/or observation products is recommended (Angélil et al., 2016). Further, 451 in contrast to the probabilistic approach, the storyline approach allows us to investigate the 452 driving factors involved, as well as their plausibility (Shepherd et al., 2018). Finally, framing 453 the risk of tropical cyclones in the context of climate change in an extreme event-oriented 454 rather than a probabilistic manner allows us to assign absolute numbers of attributable 455 displacements, which raises risk awareness in a more tangible way.

456

457 Our study expands the scope of extreme event impact attribution to include displacement as 458 a societal impact dimension. In general, due to the lack of calibrated regional models and 459 gauge stations, only few attribution studies (Luu et al., 2021; Takayabu et al., 2015) focus on 460 storms - or any extreme weather events, for that matter - in low-income countries. This not 461 only limits our understanding of climate change effects on extreme events from a global 462 perspective, but also biases geographically the amount of knowledge and information 463 available to inform risk management and adaptation strategies (Otto et al., 2020). 464 Mozambique, like many countries, is exposed not only to TCs but also other climate-related 465 hazards, such as droughts, and at the same time facing socio-economic challenges, making 466 it all the more important to understand and anticipate risks in a changing climate.

467 Code availability

 468
 The source code for this study is available from

 469
 <u>https://github.com/BenediktMester/TC_Idai_attribution</u>.

 470

471 Data availability

Satellite imagery is used with the permission of Atmospheric and Environmental Research &
African Risk Capacity. Output of the flood depth algorithm, GeoClaw results, and TC Idai wind
speed files can be accessed at https://zenodo.org/record/6907855 (Mester et al., 2022). GHS
gridded population data is available at https://data.jrc.ec.europa.eu/dataset/jrc-ghsl-ghs_pop_gpw4_globe_r2015a#dataaccess.

477 National borders of Mozambique were obtained from https://gadm.org/data.html. For the
478 trendline analysis of annual means of maximum wind speeds we use IBTraCS Version 4
479 database, accessible at https://gadm.org/data.html. For the
478 trendline analysis of annual means of maximum wind speeds we use IBTraCS Version 4
479 database, accessible at https://www.ncei.noaa.gov/data/international-best-track-archive-for-





482 All data used for the figures are publicly available. Maps were generated with QGIS, which 483 can be downloaded at https://www.ggis.org/. Satellite imagery background by © Google Maps 484 can be accessed via <u>http://mt0.google.com/vt/lyrs=s&hl=en&x={x}&y={y}&z={z}. We used</u> 485 IBTrACS Version 4 to extract the trajectory data of tropical cyclone Idai, availabe at 486 https://www.ncei.noaa.gov/products/international-best-track-archive?name=ib-v4-access. 487 Mozambique admin level 4 shapefiles for Beira are available at

- 488 https://data.humdata.org/dataset/mozambique-admin-level-4-beira-and-dondo-
- 489 <u>neighbourhood-boundaries</u>. GSHHG shoreline data can be accessed via 490 https://www.ngdc.noaa.gov/mgg/shorelines/data/gshhg/latest/.

491 Author contributions

B.M. and J.S. designed the study, with contributions from T.V., C.O., and K.F. T.V. designed
and performed coastal flood model calculations. S.B. estimated flood depths from satellite
imagery. B.M. computed the number of affected people and displacements. B.M. and J.S.
analyzed the results, and C.O., and K.F. contributed to the interpretation. B.M., T.V., S.B.,
C.O. and J.S. jointly wrote the paper.

497 Competing interests

498 The authors declare no competing interests.

499 Acknowledgments

500 This research received funding from the European Union's Horizon 2020 research and 501 innovation programme under grant agreement No 820712 (RECEIPT).

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