

AdaHRBF v1.0: Gradient-Adaptive Hermite-Birkhoff Radial Basis Function Interpolants for Three-dimensional Stratigraphic Implicit Modeling

5 Baoyi Zhang¹, Linze Du¹, Umair Khan², Yongqiang Tong^{1,3}, Lifang Wang^{3,4}, Hao Deng^{1*}

¹Key laboratory of Metallogenic Prediction of Nonferrous Metals & Geological Environment Monitoring (Ministry of Education) / School of Geosciences & Info-Physics, Central South University, Changsha 410083, China

²Institute of Deep-Sea Science and Engineering, Chinese Academy of Sciences, Sanya 572000, China

³Wuhan ZGIS Science & Technology Co. Ltd., Wuhan 430074, China

10 ⁴School of Geomatics and Geography, Hunan Vocational College of Engineering, Changsha 410151, China

Correspondence to: Hao Deng (hoedenghaodeng@163.com or hoedenghaodeng@csu.edu.cn)

Abstract. Three-dimensional (3D) stratigraphic modelling is capable of modeling the shape, topology, and other properties of strata in a digitalized manner. The implicit modeling approach is becoming the mainstream approach for 3D stratigraphic modelling, which incorporates both the off-contact [strike and dip directions](#)~~attitudes~~ and the on-contact occurrence information of stratigraphic interface to estimate the stratigraphic potential field (SPF) to represent the 3D architectures of strata. However, the magnitudes of SPF gradient controlling variation trend of SPF values cannot be directly derived from the known stratigraphic attribute or [strike and dip attitude](#) data. In this paper, we propose an Hermite-Birkhoff radial basis function (HRBF) formulation, AdaHRBF, with an adaptive gradient magnitude for continuous 3D SPF modeling of multiple stratigraphic interfaces. In the linear system of HRBF interpolant constrained by the scattered on-contact attribute points and off-contact [strike and dip attitude](#) points of a set of strata in 3D space, we add a novel optimizing term to iteratively obtain the ~~true~~ [optimized](#) gradient magnitude. The case study shows that the HRBF interpolants can consistently establish accurate multiple stratigraphic interfaces and fully express the internal stratigraphic attribute and [orientation](#)~~attitude~~. To ensure harmony of the variation of stratigraphic thickness, we adopt the relative burial depth of stratigraphic interface to the Quaternary as the SPF attribute value ~~and propose a new stratigraphical thickness index (STI) to represent the variation trend of stratigraphic thickness in SPF~~. In addition, the proposed stratigraphic potential field modeling by HRBF interpolants can provide a suitable basic model for subsequent geosciences numerical simulation.

15
20
25

1 Introduction

The three-dimensional (3D) stratigraphic modeling and visualization technology is of great ~~significance~~importance for the intelligent management of subsurface space (e.g., mineral resource assessment, reservoir characterization, groundwater management, and urban subsurface space planning) ~~and has garnered extensive attention from geologists~~ (Houlding, 1994; Mallet, 2002). The two main ~~methodologies~~ways of ~~representing~~representing 3D stratigraphic ~~modeling surface~~modeling surface are so-called explicit and implicit modeling (Lajaunie et al., 1997). Traditional explicit modeling can be described as a ~~representing modeling method~~way of 3D geological boundaries that relies heavily on a complicated and time-consuming process of human-computer interaction for connecting the geological boundary lines to form a 3D model of geological surfaces, and it is difficult to update the model. Implicit modeling defines a continuous 3D stratigraphic potential field (SPF) that describes the stratigraphic distribution and represents geological boundaries using an implicit mathematical function. The increasing ~~significance~~importance of implicit method in stratigraphic modeling stems from not only the advantages of ~~speed~~efficiency, reproducibility and topological consistency over the traditional explicit modeling method but also the full representation of stratigraphic structure through SPF. Three-dimensional stratigraphic potential field modelling is to implicitly represent the nature, shape, topology, and internal property of a given set of strata. The stratigraphic interface is expressed by a specific equipotential surface of the SPF. ~~Meanwhile, an implicit field function is capable of combining with geophysical fields, geochemical fields and using uncertainty analysis, finite element methods, and forward or inversion methods for specific geological analysis, e.g., structural analysis, metallogenic analysis, groundwater management, and reservoir characterization.~~ Therefore, using SPF to express a set of conformable strata and their attribute distribution in 3D space is convenient for spatial analysis, statistics, and simulation.

The ~~strike and dip attitude~~strike and dip attitude information can be incorporated into implicit modeling ~~in HRBF method~~in HRBF method by setting up the gradients of implicit function. To control the ~~orientation attitude~~orientation attitude of the modeled strata, the ~~attitude information (i.e., dip and strike directions)~~is encoded as the gradient directions. However, existing ~~Hermite-Birkhoff radial basis function (HRBF)~~Hermite-Birkhoff radial basis function (HRBF) method constructs implicit field functions separately for each geological interface and extract the zero value equipotential surfaces to locate the geological interface. Therefore, it is difficult to maintain topological and semantic consistency between geological bodies. For modeling multiple strata in an integrated and unique framework, however, setting up the gradient magnitudes being adaptive to the ~~orientation attitude~~orientation attitude and thickness variations of strata is rather challenging. Assigning the adaptive gradient magnitudes to HRBF interpolant function is a “chicken-and-egg” problem: while the implicit function results from the gradients, the suitable gradient magnitudes are estimated from the reasonable implicit function.

In this study, we propose ~~an gradient-adaptive Hermite-Birkhoff radial basis function (HRBF)-HRBF~~an gradient-adaptive Hermite-Birkhoff radial basis function (HRBF)-HRBF framework for SPF modeling, AdaHRBF, which simulate multiple interfaces among a set of conformable strata by a unified one-step process. In this linear system of HRBF interpolant, we add a novel optimizing term to iteratively obtain the ~~true~~true-optimized gradient magnitudes. The particular case where the SPF was reconstructed from geological maps and cross-sections demonstrates the

60 advantages and general performance of stratigraphic potential field modeling using the AdaHRBF method, comparing with HRBF interpolant using constant unit normal gradients and RBF interpolant only using contact locations without orientations. The SPF attribute value is set to the relative burial depth of strata, i.e., mean distance from a given stratigraphic surface to the top surface of the Quaternary; ~~meanwhile, we propose a new stratigraphic thickness index (STI) to express the variation trend of stratigraphic thickness~~. The distributions of burial depth attribute, thickness, and strike and dip attitude of strata in 3D space can be fully expressed by the SPF and its gradient vector field.

65 **2 Related Works**

The key of implicit modeling methods is to interpolate a 3D scalar field function whose equipotential surfaces indicate the boundaries of geological bodies. These surfaces can represent ore grade boundaries or stratigraphic interfaces. This scalar field is interpolated from stratigraphic interface points and strike and dip attitude data with either discrete interpolation schemes or continuous interpolation schemes.

70 **2.1 Discrete Interpolants**

For discrete interpolation schemes of implicit modeling with a special mesh, ~~proposed a discrete smooth interpolation (DSI) method of producing values only at the mesh points on the stratigraphic interface instead of explicitly computing a function defined everywhere~~. The the GoCAD (www.pdgm.com/products/skua-gocad/) software was developed based on the discrete smooth interpolation (DSI) method to meet the needs of geological, geophysical, and petroleum reservoir engineering modeling (Mallet, 2004; Frank et al., 2007). Caumon et al. (2013) proposed a discretizing finite-element method (FEM) to generate 3D models of horizons on a tetrahedral mesh, using stratigraphic interface traces of unknown attribute values and strike and dip attitude measurements from 2D geological maps, remote sensing images, and digital elevation models. Hillier et al. (2013) presented a structural field interpolation (SFI) algorithm using an anisotropic inverse distance weighted (IDW) interpolation scheme derived from eigen analysis of strike/dip measurements. Gonçalves et al. (2017) proposed a vector potential-field solution from a machine learning perspective, recasting the problem as multivariate classification in a compositional data framework, which alleviates some of the assumptions of the cokriging method. Renaudeau et al. (2019) proposed an implicit structural modeling method using locally defined moving least squares shape functions and solved a sparse sampling problem without relying on a complex mesh. Irakarama et al. (2020) introduced a new method for implicit structural modeling by regularization operators on the Cartesian grid using finite differences. Grose et al. (2021a) presented LoopStructural, a new open-source 3D geological modelling Python package, in which discrete interpolators and polynomial trend interpolators can be mixed and matched within a geological model.

85

2.2 Continuous Interpolants

Since the continuous interpolation schemes does not depend on a mesh for its definition, the stratigraphic interfaces can be extracted at any desired resolution in the specific volume of interest. There is already a dual kriging or cokriging formulation for continuous potential field modeling of multiple stratigraphic interfaces. Lajaunie et al. (1997) proposed an implicit potential field modeling method using the dual formulation of kriging interpolation that considers known points on a geological interface and plane ~~strike and dip attitude~~ data such as stratification or foliation planes. Calcagno et al. (2008) cokriged the location of geological interfaces and ~~strike and dip attitude~~ data from a structural field to interpolate a continuous 3D potential-field scalar function describing the geometry of geological bodies. Geomodeller 3D (www.geomodeller.com), an implicit geological modeling application, utilizes the implicit potential field method by cokriging or the dual formulation of kriging (Lindsay et al., 2012; Hassen et al., 2016). De La Varga et al. (2019) presented GemPy (<https://github.com/cgre-aachen/gempy>), an open-source implementation, to generate 3D geological models based on an implicit potential-field cokriging interpolation approach and to enable stochastic geological modeling and inversions of gravity and topology in machine-learning and Bayesian inference frameworks. ~~proposed an implicit structural modeling method using locally defined moving least squares shape functions and solved a sparse sampling problem without relying on a complex mesh. introduced a new method for implicit structural modeling by regularization operators using finite differences.~~ To reduce the impact of regularly occurring modeling artifacts that result from data configuration and uncertainty, Von Harten et al. (2021) proposed an approach that is a combination of an implicit interpolation algorithm with a local smoothing method based on the concepts of nugget effect and filtered kriging known from conventional geostatistics.

For continuous radial basis function (RBF) or HRBF interpolation schemes of implicit modeling without a mesh, Cowan et al. (2003) constructed an implicit model of the orebody or stratigraphic interface using a volumetric RBF interpolation function with an equipotential surface that includes the interface points, and conventionally assigned an attribute value of zero and a "±" sign to indicate the inside and outside of the interface. Hillier et al. (2014); Hillier et al. (2016) presented a generalized interpolation framework using RBF [in Surfe, an open source library](#), to implicitly model 3D continuous geological interfaces from on-surface points with gradient constraints as defined by strike-dip data with assigned polarity. Leapfrog Geo (www.leapfrog3d.com) is an implicit geological modeling software package that models scattered data for interfaces using fast RBF interpolation methods (Vollgger et al., 2015; Basson et al., 2016; Basson et al., 2017; Creus et al., 2018; Stoch et al., 2020). Martin and Boisvert (2017) developed a RBF-based implicit modeling framework using domain decomposition to locally vary orientations and magnitudes of anisotropy for geological boundary models. Zhong et al. (2019); Zhong et al. (2021) introduced combination constraints for modeling ore bodies based on multiple implicit fields interpolation through RBF methods, in which a multiply labeled implicit function was defined that combines different implicit sub-fields by the combination operations to construct constraints honoring geological relationships more flexibly. Guo et al. (2016); Guo et al. (2018); Guo et al. (2020); Guo et al. (2021) proposed an explicit-implicit-integrated 3D geological modelling approach for the

120 geometric fusion of different types of complex geological structure models; therein, the HRBF-based implicit method was used to model general strata, faults, and folds, and the skinning method and the free-form surface were used to model local detailed structures. Wang et al. (2018) proposed an implicit modeling approach to automatically build a 3D model for orebodies by means of spatial HRBF interpolation directly based on geological borehole data.

125 However, the above RBF or HRBF interpolants, which use only the on-contact point datasets for each geological interface or assign an approximate gradient vector for each on-contact point according to its nearest [strike and dip attitude](#) measurements, cannot be accurately consistent with actual [strike and dip attitude](#) survey data. ~~Moreover, RBF/HRBF-based methods construct implicit field functions separately for each geological interface and extract the zero-value equipotential surfaces to locate the geological interface. Therefore, it is difficult to~~ To maintain topological consistency between geological bodies, ~~let alone to and~~ represent their internal [burial depth attributes](#) and structural [orientations attitudes](#). ~~Our our~~ AdaHRBF interpolation scheme yields an HRBF linear system that is analogous in form to the previously developed implicit potential field interpolation method based on cokriging of contact increments using parametric isotropic covariance functions.

3 Methodology

3.1 Modeling Constraints

135 The geological boundaries and structural [orientations attitudes](#) on [planar](#) geological maps and cross-sections are the most common data used for 3D geological modeling. Besides the geological boundaries extracted from boreholes, cross-sections, and geological maps, structural [attitude-orientation](#) (including strike direction, dip direction, and dip angle) data from geological maps play important roles in characterizing the shape and distribution of geological bodies. ~~As as~~ shown in Fig. 1a, ~~stratum S1 is between its bottom surface f_1 and top surface f_2 ; a fault interface F divides the 3D space into two sub-domains D_1 and D_2 . We can extract the on-contact boundary points and off-contact attitude points of the strata and fault from the cross-section AA' (Fig. 1b) and geological map (Fig. 1e).~~ The SPF modeling method can jointly reconstruct a 3D geological model using these data extracted from geological maps and cross-sections.

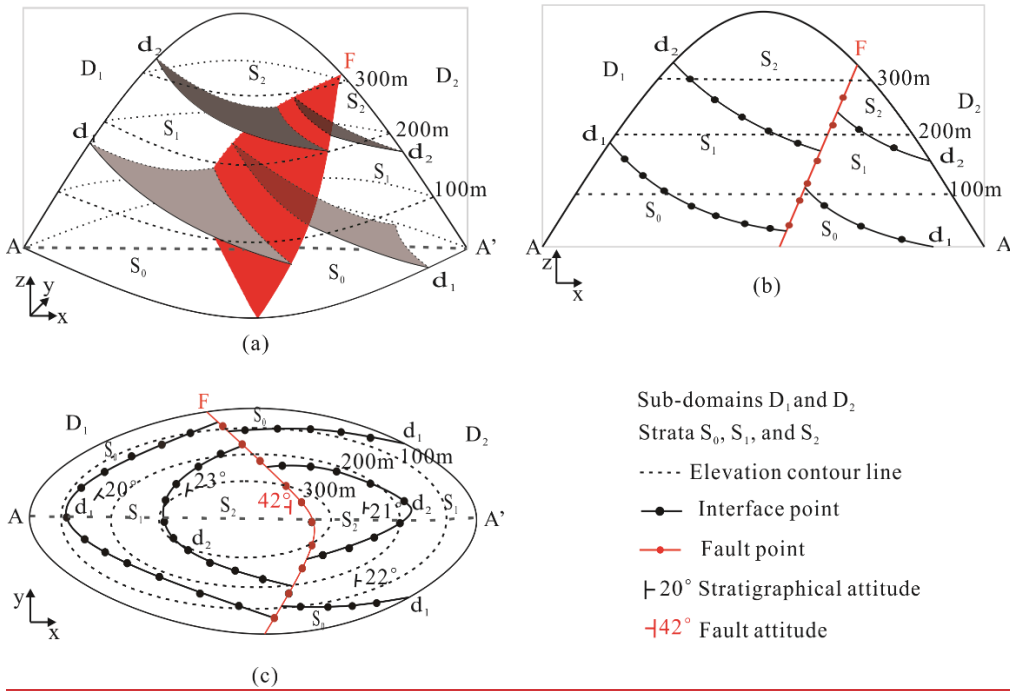


Figure 1. Data commonly used in (a) 3D geological modeling extracted from (b) cross-sections and (c) geological maps. A stratum S1 is between its bottom surface d_1 and top surface d_2 (Fig. 1a); a fault interface F divides the 3D space into two sub-domains D_1 and D_2 . We can extract the on-contact boundary points and off-contact strike and dip points of the strata and fault from the cross-section AA' (Fig. 1b) and geological map (Fig. 1c).

145

A field in a spatial domain \mathbf{R}^n defines the function $f=f(\mathbf{p})$ at a point $\mathbf{p} \in \mathbf{R}^n$ in domain \mathbf{R}^n , and $f(\mathbf{p})$ is also called field function. The SPF defines the 3D space as a scalar function $f(\mathbf{p})$ at any point \mathbf{p} , meanwhile, the stratigraphic interfaces are simulated and expressed as specific equipotential surfaces satisfying $f(\mathbf{p})=f_k (i = 1, \dots, K)$ in the SPF. In practice, this specific function value f_k may correspond to the age of the stratigraphic interface or a relative distance from a reference interface (Mallet, 2004). Therefore, a stratum occupies the space between its bottom surface f_k and top surface f_{k+1} , while there are countless disjoint equipotential surfaces in each stratum (Mallet, 2004). A well-known problem is how to interpolate unknown points by a function $f(\cdot)$ using known points of the space \mathbf{R}^n . The key problem of SPF modelling is to obtain surfaces that are consistent with known on-contact points on the stratigraphic interfaces and the off-contact strike and dip directions attitudes of the strata. The stratigraphic interface points define the distribution of reference equipotential surfaces, while the strike and dip attitude points define the gradient vectors of the scalar field.

150

155

The SPF modeling by the HRBF interpolant satisfies both the on-contact attribute constraint and off-contact strike and dip attitude constraint. To fit an implicitly defined SPF from known attribute values $\{(\mathbf{p}_i, f_i)\}_{i=1}^N \in \mathbf{R}^n \times \mathbf{R}$ and gradients

160 $\{(\mathbf{p}_j, \mathbf{g}_j)\}_{j=1}^M \in \mathbf{R}^n \times \mathbf{R}^n$ derived from [strike and dip attitude](#) data, we can search for a function $f: \mathbf{R}^n \rightarrow \mathbf{R}$ which satisfies both the on-contact constraints $f(\mathbf{p}_i) = f_i$ for each $i = 1, \dots, N$ and the off-contact gradient constraints $\nabla f(\mathbf{p}_j) = \mathbf{g}_j$ for each $j = 1, \dots, M$. In particular, $\mathbf{p}_i = [p_i^x \ p_i^y \ p_i^z]$ and $\mathbf{g}_j = [g_j^x \ g_j^y \ g_j^z]$ in space \mathbf{R}^3 .

3.2 HRBF Interpolant

165 [Generally, the basic RBF reconstructs an implicit function with constraint \$f\(\mathbf{p}_i\) = f_i\$, however, the HRBF reconstruct an implicit function which interpolates scattered multivariate Hermite-Birkhoff data \(i.e., unstructured points and orientations\)](#) (Macedo et al., 2011). With the joint constraints of $f(\mathbf{p}_i) = f_i$ and $\nabla f(\mathbf{p}_j) = \mathbf{g}_j$, the optional solution is to obtain equipotential surfaces that are as smooth as possible, that is, to ensure the energy function ~~of SPF~~, which represents the degree of equipotential surface smoothness and unevenness [of SPF](#), as small as possible. Therefore, the energy function (E) of the SPF is defined by [combining the well-known](#) Duchon (1977)'s ~~energy~~ [the second-order derivative of \$f\(\mathbf{p}\)\$](#) as:

$$170 \quad E = \sum_{i=1}^N (f(\mathbf{p}_i) - f_i)^2 + \sum_{j=1}^M \left(\left(\frac{\partial f(\mathbf{p}_j)}{\partial x} - g_j^x \right)^2 + \left(\frac{\partial f(\mathbf{p}_j)}{\partial y} - g_j^y \right)^2 + \left(\frac{\partial f(\mathbf{p}_j)}{\partial z} - g_j^z \right)^2 \right) + \int_{\mathbf{R}^3} \frac{\partial^2 f(\mathbf{p})}{\partial^2 x} + \frac{\partial^2 f(\mathbf{p})}{\partial^2 y} + \frac{\partial^2 f(\mathbf{p})}{\partial^2 z} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial x \partial y} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial y \partial z} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial z \partial x} dx dy dz \quad (1)$$

where $\frac{\partial f(\mathbf{p}_j)}{\partial x}$, $\frac{\partial f(\mathbf{p}_j)}{\partial y}$, and $\frac{\partial f(\mathbf{p}_j)}{\partial z}$ are the first-order partial derivatives of implicit function $f(\mathbf{p})$ at point \mathbf{p}_j ; $\frac{\partial^2 f(\mathbf{p})}{\partial^2 x}$, $\frac{\partial^2 f(\mathbf{p})}{\partial^2 y}$, $\frac{\partial^2 f(\mathbf{p})}{\partial^2 z}$, $\frac{\partial^2 f(\mathbf{p})}{\partial x \partial y}$, $\frac{\partial^2 f(\mathbf{p})}{\partial y \partial z}$, and $\frac{\partial^2 f(\mathbf{p})}{\partial z \partial x}$ are the second-order partial derivatives of implicit function $f(\mathbf{p})$.

175 When using the HRBF interpolation method, we usually add a first-order polynomial $C(\mathbf{p})$ to ensure the smoothness and continuity of equipotential surfaces. In particular, $C(\mathbf{p}) = c_1 + c_2 \mathbf{p}^x + c_3 \mathbf{p}^y + c_4 \mathbf{p}^z$. The HRBF interpolation function has a concrete [estimation](#) form $f^*(\mathbf{p})$:

$$f^*(\mathbf{p}) = \sum_{i=1}^N \alpha_i \varphi(\|\mathbf{p} - \mathbf{p}_i\|) + \sum_{j=1}^M \langle \beta_j, \nabla \varphi(\|\mathbf{p} - \mathbf{p}_j\|) \rangle + C(\mathbf{p}) \quad (2)$$

$$\nabla f^*(\mathbf{p}) = \sum_{i=1}^N \alpha_i \nabla \varphi(\|\mathbf{p} - \mathbf{p}_i\|) + \sum_{j=1}^M \nabla^2 \varphi(\|\mathbf{p} - \mathbf{p}_j\|) \beta_j + \nabla C(\mathbf{p}) \quad (3)$$

180 where, $\|\mathbf{p} - \mathbf{p}_i\|$ denotes the Euclidean distance between locations \mathbf{p} and \mathbf{p}_i ; $\varphi(r)$ is the radial basis function, herein, for which the cubic function $\varphi(r) = r^3$ was used in this study; ∇ is the Hamiltonian operator; ∇^2 is the Hessian operator, in

particular, $\nabla = \left[\frac{\partial}{\partial x} \quad \frac{\partial}{\partial y} \quad \frac{\partial}{\partial z} \right]^T$ and $\nabla^2 = \begin{bmatrix} \frac{\partial^2}{\partial x^2} & \frac{\partial^2}{\partial x \partial y} & \frac{\partial^2}{\partial x \partial z} \\ \frac{\partial^2}{\partial y \partial x} & \frac{\partial^2}{\partial y^2} & \frac{\partial^2}{\partial y \partial z} \\ \frac{\partial^2}{\partial z \partial x} & \frac{\partial^2}{\partial z \partial y} & \frac{\partial^2}{\partial z^2} \end{bmatrix}$; and $\langle \mathbf{a}, \mathbf{b} \rangle$ is the inner product of vectors \mathbf{a} and \mathbf{b} . The scalar

weight coefficients $\alpha_i \in \mathbf{R}$, vector weight coefficients $\beta_j \in \mathbf{R}^n$, and $\mathbf{c} \in \mathbf{R}^{n+1}$ (in particular, $\beta_j = [\beta_j^x \quad \beta_j^y \quad \beta_j^z]^T$ and $\mathbf{c} = [c_1 \quad c_2 \quad c_3 \quad c_4]^T$) are unknown and uniquely determined by the joint constraints $f^*(\mathbf{p}_i) = f_i$ for each $i = 1, \dots, N$ and $\nabla f^*(\mathbf{p}_j) = \mathbf{g}_j$ for each $j = 1, \dots, M$.

The HRBF interpolant defines the implicit function as a sum of chosen basic functions with their linear weights. Furthermore, the type of basic functions (e.g., Gaussian, multi-quadric, and thin plate spline, as shown in Table 1) affects the result of spatial interpolation (Wendland, 2005; Rasmussen and Williams, 2006), which is split into two categories, i.e., strictly positive definite (SPD) and conditionally positive definite (CPD) functions (Hillier et al., 2014). We adopt the cubic function as the basis function in this study, i.e., $\varphi(r) = r^3$, since it minimizes the curvature in three dimensions (Eq. 1).

Table 1. Common radial basis functions.

Name of RBF	Definition
Gaussian distribution function	$\varphi(r) = \exp(-r^2/\beta^2)$
Multi-quadric function (MQ)	$\varphi(r) = (r^2 + \beta^2)^{1/2}$
Inverse multi-quadric function (IMQ)	$\varphi(r) = (r^2 + \beta^2)^{-1/2}$
Thin plate spline (TPS)	$\varphi(r) = r^{2k-d} \log r$ or $\varphi(r) = r^{2k-d}$
Cubic function	$\varphi(r) = r^3$
Linear function	$\varphi(r) = r$

According to the joint constraints, the weight coefficients α , β , and \mathbf{c} of the interpolant are determined by the following linear system:

$$\begin{bmatrix} \Phi & \nabla \Phi & \mathbf{C} \\ (\nabla \Phi)^T & \nabla^2 \Phi & \nabla \mathbf{C} \\ \mathbf{C}^T & (\nabla \mathbf{C})^T & \mathbf{0} \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} \mathbf{f} \\ \mathbf{g} \\ \mathbf{0} \end{bmatrix} \quad (4)$$

where $\Phi = \begin{bmatrix} \varphi_{11} & \varphi_{12} & \cdots & \varphi_{1N} \\ \varphi_{21} & \varphi_{22} & \cdots & \varphi_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_{N1} & \varphi_{N2} & \cdots & \varphi_{NN} \end{bmatrix}_{N \times N}$, whose element $\varphi_{ij} = \varphi(\|\mathbf{p}_i - \mathbf{p}_j\|)$ representing RBF value between a pair of

contact points;

$\nabla\Phi = \begin{bmatrix} \nabla\varphi_{11} & \nabla\varphi_{12} & \cdots & \nabla\varphi_{1M} \\ \nabla\varphi_{21} & \nabla\varphi_{22} & \cdots & \nabla\varphi_{2M} \\ \vdots & \vdots & \ddots & \vdots \\ \nabla\varphi_{N1} & \nabla\varphi_{N2} & \cdots & \nabla\varphi_{NM} \end{bmatrix}_{N \times nM}$, whose element $\nabla\varphi_{ij} = \nabla\varphi(\|\mathbf{p}_i - \mathbf{p}_j\|)$ representing differential RBF value

200 between a contact point and an orientation point;

$\nabla^2\Phi = \begin{bmatrix} \nabla^2\varphi_{11} & \nabla^2\varphi_{12} & \cdots & \nabla^2\varphi_{1M} \\ \nabla^2\varphi_{21} & \nabla^2\varphi_{22} & \cdots & \nabla^2\varphi_{2M} \\ \vdots & \vdots & \ddots & \vdots \\ \nabla^2\varphi_{M1} & \nabla^2\varphi_{M2} & \cdots & \nabla^2\varphi_{MM} \end{bmatrix}_{nM \times nM}$, whose element $\nabla^2\varphi_{ij} = \nabla^2\varphi(\|\mathbf{p}_i - \mathbf{p}_j\|)$ representing second-order

differential RBF value between a pair of orientation points;

$\mathbf{C}=\mathbf{C}(\mathbf{p})$, in particular, $\mathbf{C} = \begin{bmatrix} 1 & p_1^x & p_1^y & p_1^z \\ 1 & p_2^x & p_2^y & p_2^z \\ \vdots & \vdots & \vdots & \vdots \\ 1 & p_N^x & p_N^y & p_N^z \end{bmatrix}_{N \times (n+1)}$;

$\nabla\mathbf{C} = \begin{bmatrix} \mathbf{0} & \nabla p_1^x & \nabla p_1^y & \nabla p_1^z \\ \mathbf{0} & \nabla p_2^x & \nabla p_2^y & \nabla p_2^z \\ \vdots & \vdots & \vdots & \vdots \\ \mathbf{0} & \nabla p_M^x & \nabla p_M^y & \nabla p_M^z \end{bmatrix}_{nM \times (n+1)}$, whose elements $\nabla p_i^x = [1 \ 0 \ 0]^T$, $\nabla p_i^y = [0 \ 1 \ 0]^T$, and $\nabla p_i^z = [0 \ 0 \ 1]^T$,

205 respectively.

$\boldsymbol{\alpha} = [\alpha_1 \ \alpha_2 \ \cdots \ \alpha_N]^T$; $\boldsymbol{\beta} = [\boldsymbol{\beta}_1 \ \boldsymbol{\beta}_2 \ \cdots \ \boldsymbol{\beta}_M]^T$;

$\mathbf{f} = [f_1 \ f_2 \ \cdots \ f_N]^T$; and $\mathbf{g} = [\mathbf{g}_1 \ \mathbf{g}_2 \ \cdots \ \mathbf{g}_M]^T$.

Once we have the weight coefficients α_i , $\boldsymbol{\beta}_j$, and the polynomial coefficients (c_1, c_2, c_3, c_4) by solving the above HRBF linear system, we can substitute the weight coefficients and polynomial coefficients into the HRBF equations, then the
210 interpolant function $f(\mathbf{p})$ and its gradient function $\nabla f(\mathbf{p})$ can be easily obtained.

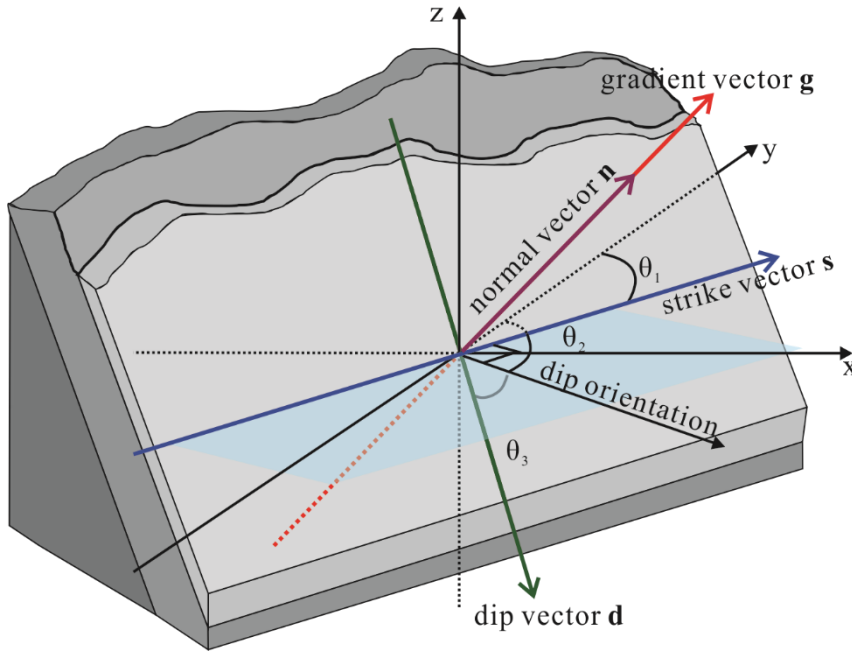
3.3 Adaptive Gradient Constraint

3.3.1 Determination of Gradient Direction

The gradient of the SPF is an important feature of stratum shape, because it indicates the strike and dip attitude of a stratum. For construction of a scalar field $f(\mathbf{p})$, the gradient constraints $\nabla f(\mathbf{p}_j) = \mathbf{g}_j$ can also be added into modeling process
215 (Caumon et al., 2013; Hillier et al., 2014). As shown in Fig. 2, the gradient vector \mathbf{g} of SPF and the normal vector \mathbf{n} of the

220

stratigraphic interface have the same direction. The gradient vector \mathbf{g} , the strike vector \mathbf{s} and dip vector \mathbf{d} of the SPF are orthogonal to each other. The strike θ_1 is the direction of the intersection of the stratigraphic interface and horizontal plane, which is represented by the angle between the strike vector \mathbf{s} and the north direction. The dip θ_2 , which is the projected direction of the dip vector \mathbf{d} onto the horizontal plane, is represented by the angle between the projected dip direction and the north direction. Strike direction and dip direction are perpendicular to each other. Dip angle θ_3 is the angle between the dip vector and projected dip direction. The three elements form the stratigraphic interface's attitude. The dip angle and strike direction which can be obtained through geological observation.



225

Figure 2. The gradient vector \mathbf{g} , the strike vector \mathbf{s} , and the dip vector \mathbf{d} . The gradient vector \mathbf{g} , the strike vector \mathbf{s} and dip vector \mathbf{d} of the SPF are orthogonal to each other. The strike θ_1 is the direction of the intersection of the stratigraphic interface and horizontal plane, which is represented by the angle between the strike vector \mathbf{s} and the north direction. The dip θ_2 , which is the projected direction of the dip vector \mathbf{d} onto the horizontal plane, is represented by the angle between the projected dip direction and the north direction. Strike direction and dip direction are perpendicular to each other, i.e., $\theta_2 = \theta_1 + 90^\circ$. Dip angle θ_3 is the angle between the dip vector and projected dip direction. The three elements form the stratigraphic interface's strike and dip.

230

The gradient \mathbf{g} is a vector with magnitude and direction (which is the same as the normal direction \mathbf{n} of the stratigraphic interface). The X-axis, Y-axis, and Z-axis components of the normal direction, \mathbf{n}^x , \mathbf{n}^y , and \mathbf{n}^z , in the 3D Cartesian coordinate system can be derived from the strike, dip and angle of dip of the stratigraphic interface as following:

$$\begin{cases} \mathbf{n}^x = \cos(\text{radians}(\theta_3)) * \sin(\text{radians}(\theta_2)) \\ \mathbf{n}^y = \cos(\text{radians}(\theta_3)) * \cos(\text{radians}(\theta_2)) \\ \mathbf{n}^z = -\sin(\text{radians}(\theta_3)) \end{cases} \quad (5)$$

235 3.3.2 Optimization of Gradient Magnitude

235 ~~However, it is difficult to obtain the gradient magnitude through any geological observation.~~ The exact definition of gradient magnitude ($\|\mathbf{g}\|$) is the change of an attribute value over unit distance along the gradient direction. The gradient magnitude reflects the rate of change of the scalar field values, which is caused by the difference of stratum thickness at different locations. ~~As shown in Fig. 3a, a larger gradient magnitude \mathbf{g}_+ indicates that the stratum becomes thinner, whereas a smaller gradient magnitude \mathbf{g}_- indicates that the stratum tends to become thicker.~~ Laurent (2016) iteratively adjusted the magnitude of scalar field gradient in the direction obtained after previous iteration on a discrete mesh to prevent the interpolated gradient magnitude from varying too much. Grose et al. (2021a) used constant gradient regularization in LoopStructural to minimize the change in gradient of the implicit function between tetrahedra with a shared face. We assume that the gradient magnitude changes gradually everywhere in the scalar field; therefore, every equipotential surface inside of the stratum changes uniformly. In the application, it is difficult to determine the exact gradient magnitude through any geological measurement. However, ~~as shown in Fig. 3b,~~ if we force all ~~the~~ gradient magnitudes to be equal, it may cause the inconsistent SPF changes with neighbors; ~~that is,~~ which results in artifacts that the trends of some equipotential surfaces inside of the stratum change suddenly compared to other equipotential surfaces. To estimate self-adaptive gradient magnitudes, we optimize the gradient magnitudes in the framework of the HRBF energy in Eq. 1, aiming at finding the smooth gradient magnitudes that minimizes the Duchon (1977)'s energy:

$$\begin{aligned} \min_{f, \mathbf{l}} \sum_{i=1}^N (f(\mathbf{p}_i) - f_i)^2 + \sum_{j=1}^M \left(\frac{\partial f(\mathbf{p}_j)}{\partial x} - l_j n_j^x \right)^2 + \left(\frac{\partial f(\mathbf{p}_j)}{\partial y} - l_j n_j^y \right)^2 + \left(\frac{\partial f(\mathbf{p}_j)}{\partial z} - l_j n_j^z \right)^2 \\ + \int_{\mathbf{R}^3} \frac{\partial^2 f(\mathbf{p})}{\partial^2 x} + \frac{\partial^2 f(\mathbf{p})}{\partial^2 y} + \frac{\partial^2 f(\mathbf{p})}{\partial^2 z} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial x \partial y} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial y \partial z} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial z \partial x} dx dy dz \end{aligned} \quad (6)$$

255 where l_j and $\mathbf{n}_j = [n_j^x \ n_j^y \ n_j^z]$ denote the gradient magnitude and a unit normal vector for j -th gradient constraints, respectively, and $\mathbf{l} = \{l_1, \dots, l_M\}$ is the vector of gradient magnitudes to be optimized. Given the optimization problem with respect to both f and \mathbf{l} in Eq. 6, it is intractable to directly optimize both f and \mathbf{l} using the common optimization techniques such as the variational approach. Inspired by the iterated conditional modes algorithm, we can use an iterative scheme to alternatively optimize f and \mathbf{l} which finally converges to the solution of Eq. 6. This leads to a two-pass optimization in the iteration: at the iteration step t , without loss of generality, we firstly optimize the f^t by fixing gradient magnitudes $\mathbf{l}^{t-1} = \{l_j^{t-1}\}_{j=0}^M$ at the iteration step $t - 1$.

260
$$f^t = \arg \min_f \sum_{i=1}^N (f(\mathbf{p}_i) - f_i)^2 + \sum_{j=1}^M \left(\frac{\partial f(\mathbf{p}_j)}{\partial x} - l_j^{t-1} n_j^x \right)^2 + \left(\frac{\partial f(\mathbf{p}_j)}{\partial y} - l_j^{t-1} n_j^y \right)^2 + \left(\frac{\partial f(\mathbf{p}_j)}{\partial z} - l_j^{t-1} n_j^z \right)^2$$

$$+ \int_{\mathbf{R}^3} \frac{\partial^2 f(\mathbf{p})}{\partial^2 x} + \frac{\partial^2 f(\mathbf{p})}{\partial^2 y} + \frac{\partial^2 f(\mathbf{p})}{\partial^2 z} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial x \partial y} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial y \partial z} + 2 \frac{\partial^2 f(\mathbf{p})}{\partial z \partial x} dx dy dz \quad (7)$$

And then, we optimize gradient magnitudes \mathbf{l}^t at the iteration step t by given f^t ,

$$\mathbf{l}^t = \arg \min_{\mathbf{l}} \sum_{j=1}^M \left(\frac{\partial f^t(\mathbf{p}_j)}{\partial x} - l_j^{t-1} n_j^x \right)^2 + \left(\frac{\partial f^t(\mathbf{p}_j)}{\partial y} - l_j^{t-1} n_j^y \right)^2 + \left(\frac{\partial f^t(\mathbf{p}_j)}{\partial z} - l_j^{t-1} n_j^z \right)^2, \quad (8)$$

The above procedure is iterated until f^t and \mathbf{l}^t converge.

265 Simply optimizing Eq. 7 would lead to a linear system as:

$$\begin{bmatrix} \Phi & \nabla \Phi & \mathbf{C} \\ \nabla \Phi^T & \nabla^2 \Phi & \nabla \mathbf{C} \\ \mathbf{C}^T & \nabla \mathbf{C}^T & \mathbf{0} \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} \mathbf{f} \\ \mathbf{l}^t \odot \mathbf{n} \\ \mathbf{0} \end{bmatrix} \quad (9)$$

where \odot denotes the Hadamard product between vectors. Eq. 9 demonstrates that the gradients of potential function rigorously fit to $\mathbf{l}^t \odot \mathbf{n}$. However, the gradient magnitude \mathbf{l}^t might not be reliable at the iteration step t . Instead, we relax the linear system in Eq. 9 by adding a diagonal matrix Λ to the associated rows of Eq. 4:

270
$$\begin{bmatrix} \Phi & \nabla \Phi & \mathbf{C} \\ (\nabla \Phi)^T & \nabla^2 \Phi + \Lambda & \nabla \mathbf{C} \\ \mathbf{C}^T & (\nabla \mathbf{C})^T & \mathbf{0} \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} \mathbf{f} \\ \mathbf{l}^t \odot \mathbf{n} \\ \mathbf{0} \end{bmatrix} \quad (10)$$

where the diagonal coefficient matrix is given by $\Lambda = \begin{pmatrix} \lambda_1 & 0 & 0 & 0 \\ 0 & \lambda_2 & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \lambda_M \end{pmatrix}$, in particular, $\lambda_j = \begin{bmatrix} \lambda_j^x & 0 & 0 \\ 0 & \lambda_j^y & 0 \\ 0 & 0 & \lambda_j^z \end{bmatrix}$. With $\Lambda \neq \mathbf{0}$,

the solution of the Eq. 10 becomes a problem of approximations by the gradient magnitude \mathbf{l}^t , where diagonal elements of Λ represents the degrees of approximations for each gradient constraint. When $\Lambda \rightarrow \mathbf{0}$, the solution is close to interpolation.

On the other hand, to optimize \mathbf{l} by given f^t , we can derive the update to each l_j^t using simple algebra as:

275
$$l_j^t = \|\nabla f^t\| = \sqrt{\left(\frac{\partial f^t}{\partial x} \right)^2 + \left(\frac{\partial f^t}{\partial y} \right)^2 + \left(\frac{\partial f^t}{\partial z} \right)^2} \quad (11)$$

Using the above iteration scheme, we can optimize l_j^t by tuning the coefficients λ_j^t according to the reliability of l_j^t .

Figure 3. Influence of gradient magnitude (indicated by the length of the arrow) on the stratum shape: (a) different gradient

magnitudes; (b) the same gradient magnitudes.

280 It is difficult to determine the exact gradient magnitude through any geological measurement. Because the observation of stratigraphic strike and dip attitude cannot be used to deduce the gradient magnitude (l_k), we added a diagonal matrix \mathbf{A} to the Eq. 4 and used an iterative method to converge on the true gradient magnitude (l_k). With $\mathbf{A}=\mathbf{0}$, the solution of the Eq. 6 becomes a problem of interpolation by the gradient magnitude l_k . With $\mathbf{A}\neq\mathbf{0}$, the solution of the Eq. 6 becomes a problem of approximations by the gradient magnitude l_k , where diagonal elements of \mathbf{A} represents the degrees of approximations for

285 each gradient constraint. When $\mathbf{A}\rightarrow\mathbf{0}$, the solution is close to interpolation.

$$\begin{bmatrix} \Phi & \nabla\Phi & \mathbf{C} \\ (\nabla\Phi)^T & \nabla^2\Phi + \mathbf{A} & \nabla\mathbf{C} \\ \mathbf{C}^T & (\nabla\mathbf{C})^T & \theta \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} \mathbf{f} \\ \mathbf{1} \times \mathbf{n} \\ \theta \end{bmatrix} \quad (6)$$

where the diagonal coefficient matrix is given by $\mathbf{A} = \begin{pmatrix} \lambda_x & 0 & 0 & 0 \\ 0 & \lambda_y & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \lambda_M \end{pmatrix}$, in particular, $\lambda_k = \begin{bmatrix} \lambda_k^x & 0 & 0 \\ 0 & \lambda_k^y & 0 \\ 0 & 0 & \lambda_k^z \end{bmatrix}$. Given the gradient magnitudes $\mathbf{l} = [l_x \ l_y \ \dots \ l_M]^T$, then the gradient $\mathbf{g}_k = l_k \times \mathbf{n}_k$ is the product of l_k and a unit normal vector \mathbf{n}_k .

290
$$\sum_{i=1}^N \alpha_i \nabla\varphi(\|\mathbf{p}_k - \mathbf{p}_i\|) + \sum_{j=1}^M [\nabla^2\varphi(\|\mathbf{p}_k - \mathbf{p}_j\|) + \lambda_{kj}] \beta_j + \nabla C(\mathbf{p}_k) = l_k \times \mathbf{n}_k \quad (7)$$

Initially we we initially set $\lambda_{kj}^{(t=0)}$ to a nonzero constant vector and $l_{kj}^{(t=0)} = 1$. After solving the HRBF system, we can get obtain the function of scalar field $f(\mathbf{p})$, then the gradient vector on the attitude-strike and dip observed point \mathbf{p}_{kj} is easily obtained according to $\mathbf{g}_{kj} = \nabla f(\mathbf{p}_{kj})$. We record the HRBF coefficients calculated at the t -th time as α_i^t and β_j^t , and record the gradient magnitude at the strike and dip attitude observed point \mathbf{p}_{kj} as l_{kj}^t . After solution of the linear system in Equation

295 (6), 10, we estimate the gradient magnitudes l_{kj}^t in terms of Eq. 11 and generate the gradient constraint at next iteration step as $\mathbf{g}_{kj}^t = l_{kj}^t \times \mathbf{n}_{kj}$. With the gradient magnitude becoming more reliable. Accordingly, we shrink the coefficient λ_{kj}^{t+1} to fit more closely to the update gradient constraint. Our idea is that when gradient magnitudes converge, the resulting implicit function interpolates the converged l_{kj}^t .

In this study, we calculate the increment of λ from:

300
$$\lambda_{kj}^{t+1} = \frac{a_0}{1+t} + a_1 (l_j^t - l_j^{t-1})^2 \lambda_{kj}^t \frac{l_k^t - l_k^{t-1}}{UQ_{j=1,\dots,M}(\left(\frac{l_j^t - l_j^{t-1}}{l_j^t}\right))} \quad (812)$$

where $UQ()$ is the upper quartile of differences of all gradient magnitudes a_0 and a_1 are constant coefficients. We apply the same λ_{kj}^{t+1} to three axes of X, Y, and Z. Given the updated λ_{kj}^{t+1} and l_{kj}^t , we substitute them into the $(t+1)$ -th HRBF system (Eq. 610) and solve for the updated coefficient of implicit function. This iterative process continues until the stopping criteria

is satisfied.

305 We use two stopping criteria to finish the iterations. Firstly, for all observed [strike and dip attitude](#) points, if the sum of differences of gradient magnitudes between two consecutive iterations is less than or equal to a small enough threshold ε , we stop the iterations ~~on-when~~ [convergency is reached](#). Secondly, the number of iterations reaches a given number N_{iterate} , we also obtain the final results of α_i^t , β_j^t , and l_{kj}^t .

$$\sum_{kj=1}^M |l_{kj}^t - l_{kj}^{t-1}| \leq \varepsilon \quad (913)$$

310 where $||$ represents the absolute value of a real number and M is the number of observed [strike and dip attitude](#) points. The basic steps of the iterative calculation of gradient magnitude are given in the pseudo code (Fig. 43).

Input:	Known attribute value points $\{(p_i, f_i)\}_{i=1}^N \in \mathbf{R}^n \times \mathbf{R}$; Known strike and dip attitude vector points $\{(p_j, n_j)\}_{j=1}^M \in \mathbf{R}^n \times \mathbf{R}^n$.
Output:	Coefficient $\alpha = [\alpha_1 \ \alpha_2 \ \dots \ \alpha_N]^T$; Coefficient $\beta = [\beta_1 \ \beta_2 \ \dots \ \beta_M]^T$; Coefficient $c = [c_1 \ c_2 \ c_3 \ c_4]^T$. Gradient magnitude $l = [l_1 \ l_2 \ \dots \ l_M]^T$.
Variables:	Maximum number of iterations: $N_{\text{iterate}}=1000$; No. of current iteration: $t=0$; Threshold of termination $\varepsilon = 1e - 5$; Initial optimization coefficient $\lambda_{kj}^{(t=0)}$; Initial gradient magnitude $l_{kj}^{(t=0)}$; Absolute error of the gradient magnitudes between two adjacent iterations r^t .
Steps:	1. while ($t < N_{\text{iterate}}$ and $r^t > \varepsilon$) do 2. Add disturbance λ_{kj}^t to calculate the coefficients α , β and c . 3. $t = t + 1$. 4. Calculate known points g_{kj} by α , β and c . 5. for (1 to M) do 6. Calculate l_{kj}^t and r^t at each known strike and dip attitude point. 7. end for 8. Get the upper quartile of r^t . 9. for (1 to M) do

10.	Calculate λ_{kj}^t at each known <u>strike and dip attitude</u> point.
11.	end for
12.	end while
13.	return α , β , c , and l .

Figure 43. Pseudo code of iterative algorithm for optimizing gradient magnitude.

4 Verification Experiments

315 Two experimental fields in 2D space, with gradient changing in direction or magnitude, were designed to verify the AdaHRBF method. The experimental results show that the different gradient magnitude settings apparently affect the modeled fields, moreover, the AdaHRBF method is effective to iteratively obtain the true-optimized gradient magnitude of the fields. We modeled an analytic field of $f_1(\mathbf{p}) = ((\mathbf{p}^x - 300)^2 + (\mathbf{p}^y)^2)^{\frac{3}{2}}$ with the changing gradient direction and magnitude as show in Fig. [5a4a](#). Then we sampled attribute and strike and dip attitude points from the analytic field with different locations as shown in Fig. [5b4b](#). Hence, we can retrieve the coefficients α_i and β_j of the HRBF formula and the polynomial coefficients, respectively. We compared two different experimental settings: (1) Assuming that gradient is a unit vector and each gradient magnitude is 1, we used the HRBF interpolant to reconstruct the field as shown in Fig. [5e4c](#). Although the field values at the sampling points are equal to the given attribute values, the retrieved field values change irregularly, thus we obtained a large number of exceptional values in the reconstructed field. (2) The true-optimized gradient magnitude was obtained via the iterative AdaHRBF method introduced above. In this condition, we more accurately restored the field (as shown in Fig. [5d4d](#)) and also got the optimized gradient magnitude after the iterations, which was close to the true value.

320

325

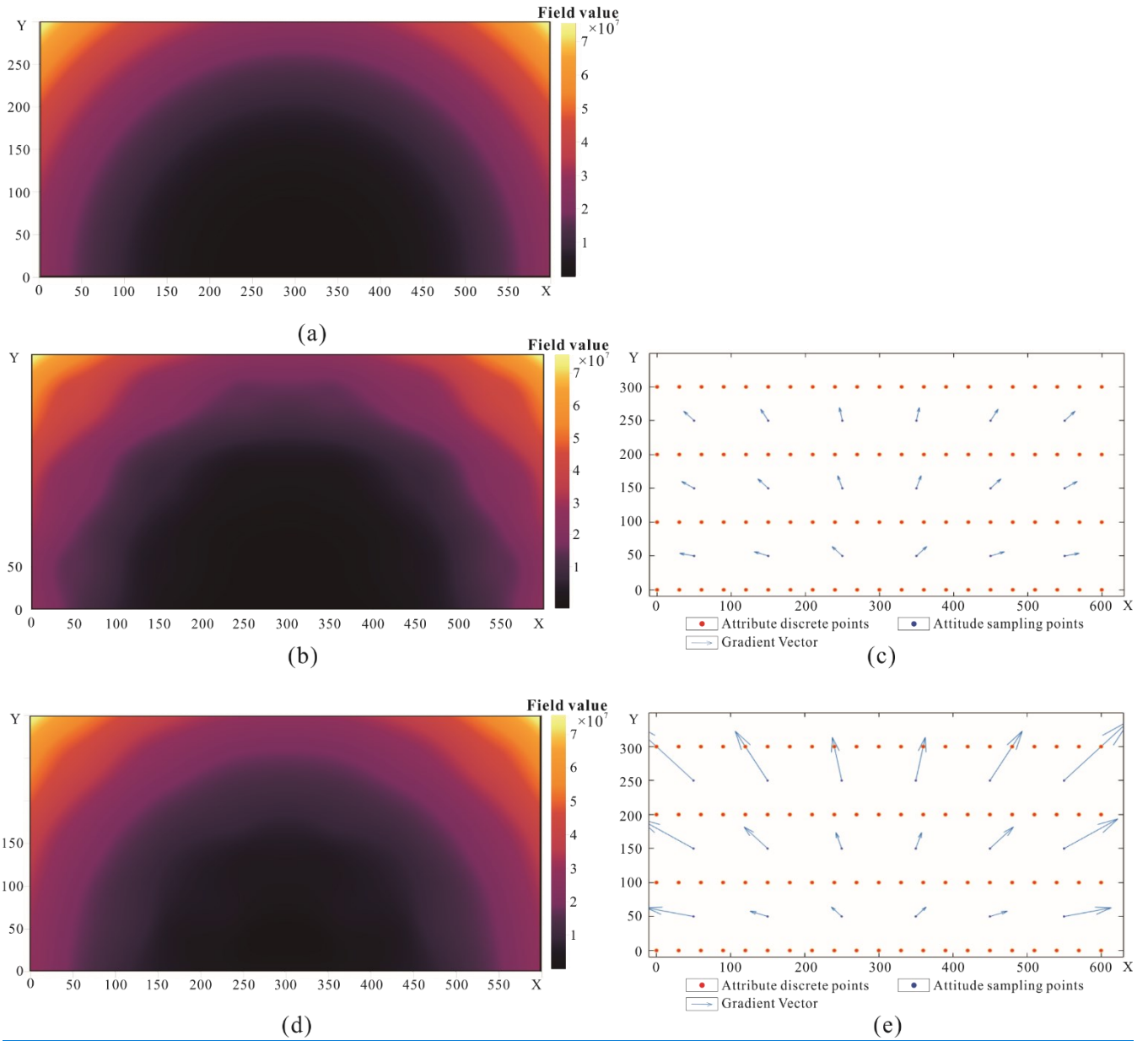
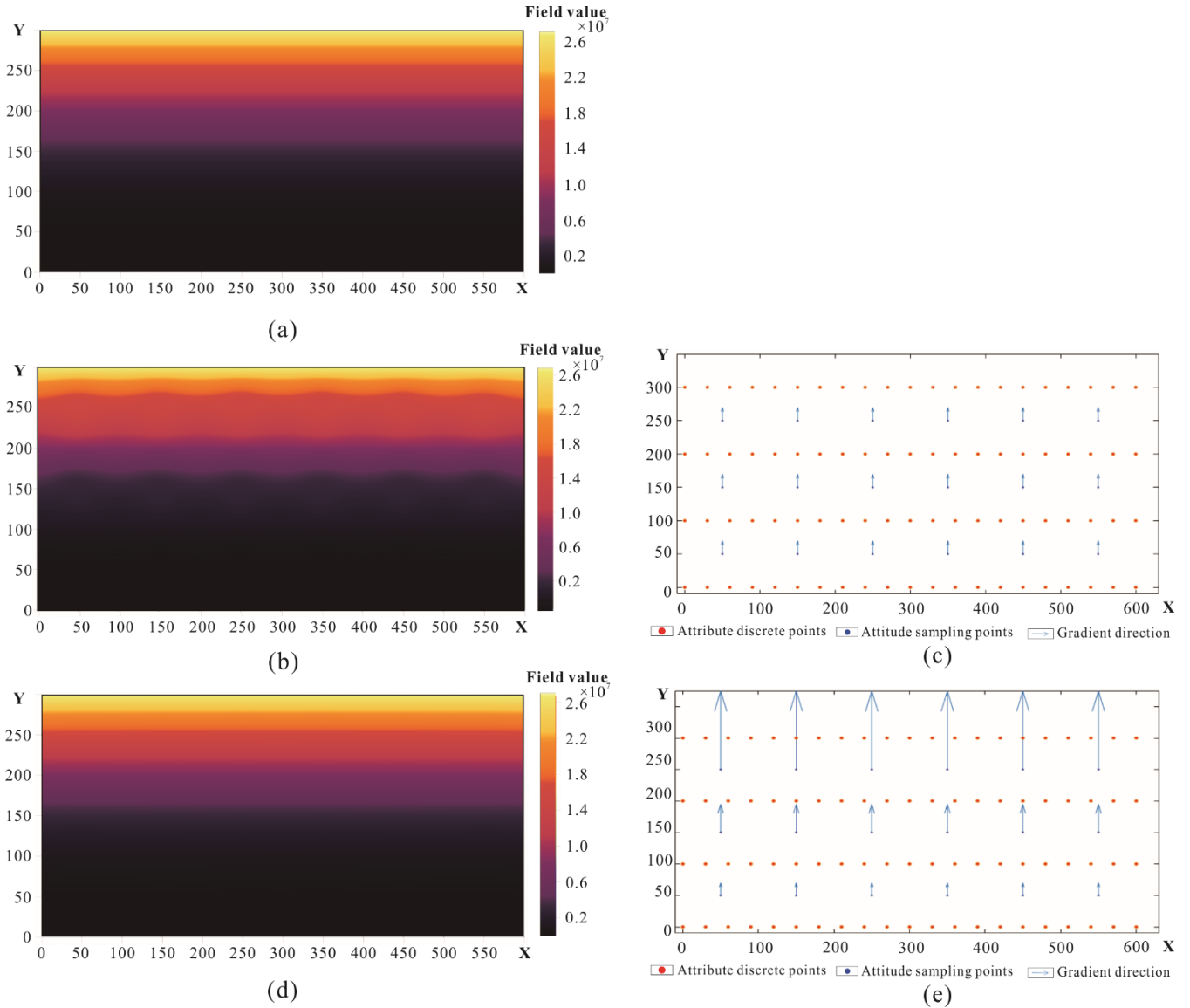


Figure 54. Experimental field 1: (a) Original potential field; (b) field reconstructed when each gradient magnitude was set to a constant value of 1; (c) distribution of attribute and attitude points; (d) field reconstructed when each gradient magnitude was set to a constant value of 1; (e) distribution of field attribute and unit gradient points; and (d) field reconstructed when the gradient magnitude was obtained iteratively; and (e) distribution of field attribute and iteratively obtained gradient points.

330

We also modeled a potential field of $f_2(\mathbf{p}) = (\mathbf{p}^y)^3$ with the changing gradient magnitude as show in Fig. 6a5a. It is known

335 that each direction of gradient points is the positive Y-axis direction. We sampled attribute points and [strike and dip attitude](#) points as shown in Fig. [6b5b](#). We also compared two different experimental conditions: (1) Assuming that each fixed gradient magnitude is 1, we used the HRBF interpolant to reconstruct the field as shown in Fig. [6e5c](#). (2) The [true-optimized](#) gradient magnitude was obtained via the iterative AdaHRBF method. In this condition, we more accurately restored the potential field (as shown in Fig. [6d5d](#)) and also got the [true-optimized](#) gradient magnitude after the iterations.



340 **Figure 65.** Experimental field 2: (a) Original potential field; (b) [field reconstructed when each gradient magnitude was set to a constant value of 1](#)-distribution of attribute and attitude points; (c) [distribution of field attribute and unit gradient points](#)~~field reconstructed when each gradient magnitude was set to a constant value of 1~~; and (d) field reconstructed when the gradient magnitude was obtained iteratively; [and](#)

(c) distribution of field attribute and iteratively obtained gradient points.

345

We overlaid above mentioned two fields to generate a new potential field of $f_3(\mathbf{p}) = f_1(\mathbf{p}) + f_2(\mathbf{p})$ as show in Fig. 7a, and the sampled attribute points and attitude points are shown in Fig. 7b. We also compared two different experimental conditions: (1) Assuming that each fixed gradient magnitude is 1, we used the HRBF interpolant to reconstruct the field as shown in Fig. 7c. (2) The true gradient magnitude was obtained via the iterative AdaHRBF method, and we more accurately restored the potential field (as shown in Fig. 7d).

350

Figure 7. Experimental field 3: (a) Original potential field; (b) distribution of attribute and attitude points; (c) field reconstructed when each gradient magnitude was set to a constant value of 1; and (d) field reconstructed when the gradient magnitude was obtained iteratively.

355 5 Case Study

5.1 Study Area and Dataset

The study area is located in the Lingnian-Ningping manganese ore zone, in Debao County, southwestern Guangxi Zhuang Autonomous Region, China (Fig. 86). The study area mainly consists of strata from the late Paleozoic to the late Triassic-Pliocene (T_3-N_2). The middle Permian (P_2) strata are in para-unconformity contact with early Triassic (T_1) strata; the middle Triassic (T_2) strata are in angular unconformity contact with Quaternary. There is a left strike-slip inverse fault, the Nacha Fault, in the middle of the study area. It dips to the southeast, with a NE strike direction of 45° , a dip angle of about 70° , and a total length of about 12 km, extending outside the study area. The footwall slid to the west relative to the hanging wall, and the slip distance is about 600 m. There are two synclines (I and III) and an anticline (II) in the study area. Syncline III is located in the middle of the study area with a high symmetry. The axis of syncline III strikes nearly northeast and its south limb is cut by the Nacha Fault. Anticline II is located in the northwest of the study area with a good symmetry, the fold axis striking about 30° northeast.

365

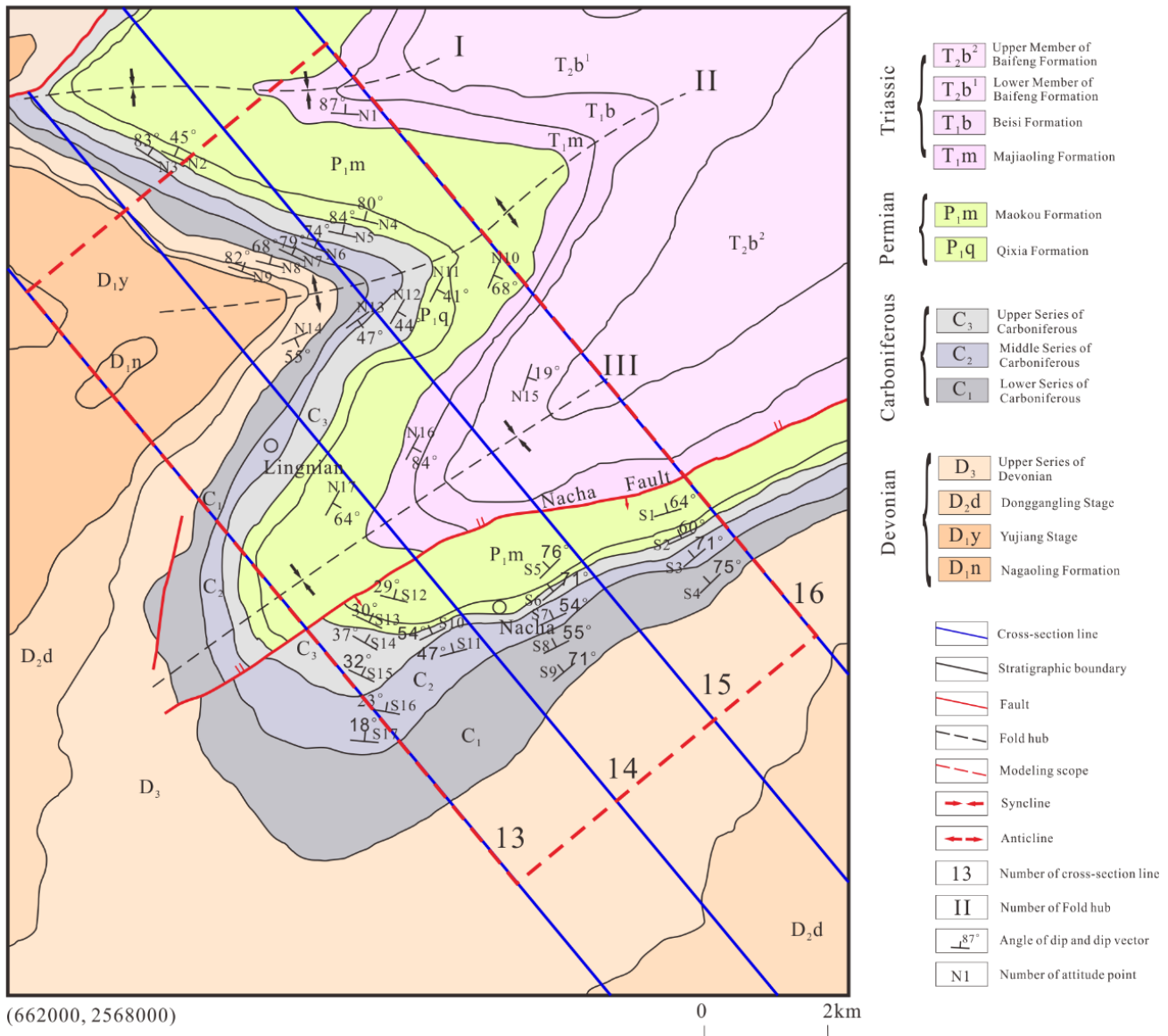
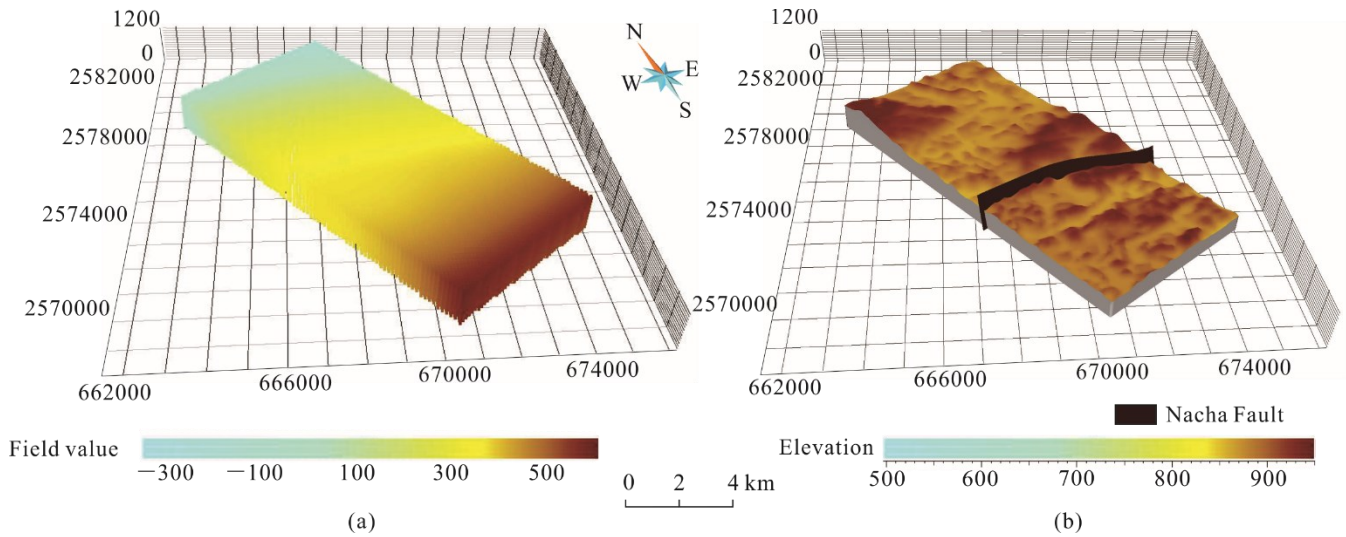


Figure 86. Geological map of the study area.

370

Faults, unconformable strata, and intrusive rocks all cause discontinuities in a SPF (Calcagno et al., 2008). We used the fault surface samplings to interpolate the potential field and extract the surface model of the Nacha Fault (Fig. 9a7). ~~We extracted the zero-equipotential surface of the fault potential field to reconstruct the surface model of the Nacha Fault which divides the study area into two sub-domains (Fig. 9b). In each sub-domain, the coefficients of the HRBF linear system were separately~~

375 solved according to the joint samplings of the SPF and its gradient.



380 **Figure 97.** Model of Nacha Fault: (a) potential field; and (b) surface model. We extracted the zero equipotential surface of the fault potential field (Fig. 7a) to reconstruct the surface model of the Nacha Fault which divides the study area into two sub-domains (Fig. 7b). In each sub-domain, the coefficients of the HRBF linear system were separately solved according to the joint samplings of the SPF and its gradient.

380

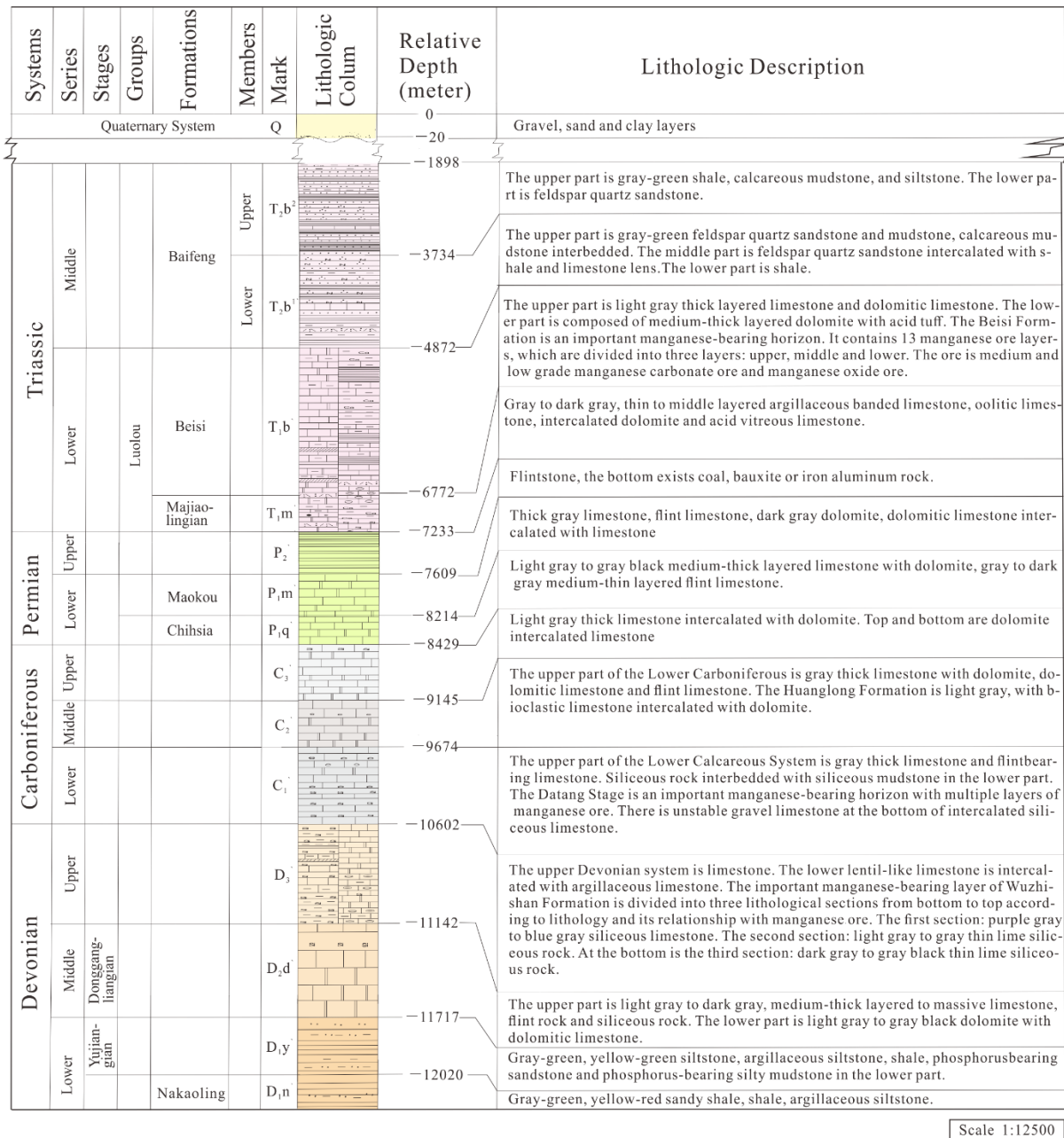
According to the comprehensive stratigraphic column, the burial depth of each stratigraphic interface relative to the top surface of the Quaternary was used as the attribute value of the SPF (Fig. 498) for implicit function interpolation. The SPF defines the 3D space as a scalar function $f(\mathbf{p})$ at any point \mathbf{p} , where f is defined as the relative burial depth in this study. Burial depth decreases as geological time progresses; therefore, earlier deposited strata are assigned a relatively larger burial depth, while

385

later deposited strata are assigned a relatively smaller burial depth. Each point inside of a stratum has its own burial depth relative to the top surface of the Quaternary, therefore, the depth values in the field decrease gradually from bottom to top in strata. In this context, the SPF is fitted by a scalar function of the relative burial depth. When the relative burial depth is used as the attribute value of the SPF, we can set the initial gradient magnitude $\|\mathbf{g}\| \cong 1$ if the strata underwent heterogenous deformation. However, if we use geological age as the attribute value of the SPF, $\|\mathbf{g}\|$ can no longer be initially assumed to

390

be 1 because the stratigraphic age and distance along the gradient direction are from different measured variables.



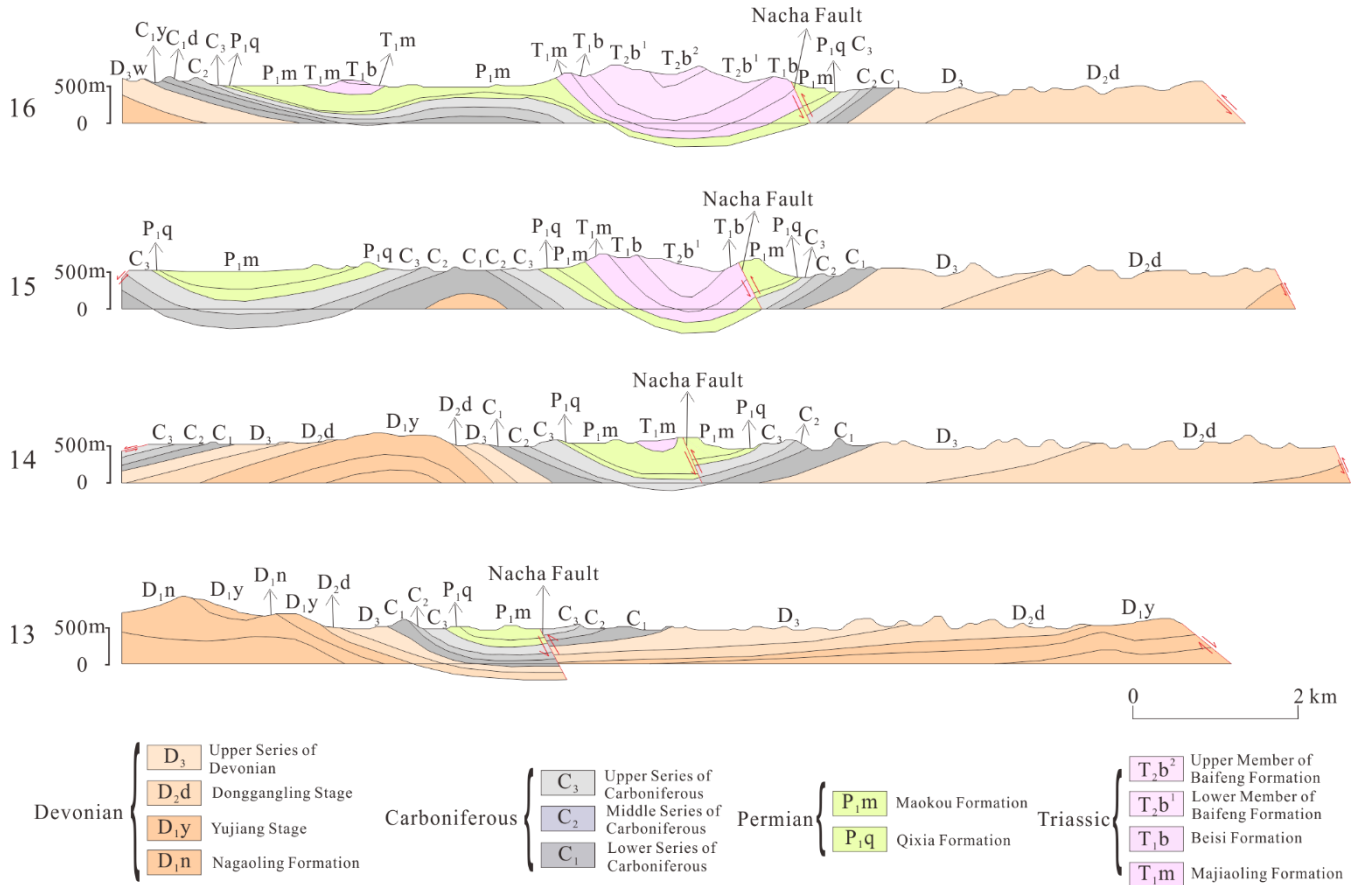
Scale 1:12500

Figure 408. Comprehensive stratigraphic column of the study area. In this context, the SPF is fitted by a scalar function of the relative burial depth. Burial depth decreases as geological time progresses; therefore, earlier deposited strata are assigned a relatively larger burial depth, while later deposited strata are assigned a relatively smaller burial depth.

395

Based on the geological map and DEM of the study area, we produced a series of cross-sections (Fig. 449). However, the cross-sections were presented in 2D form. According to the necessary geographic projection parameters and scale, therefore,

we derived the mapping relationship between 2D and 3D. Finally, we extracted the geological boundary points with 3D coordinates from 2D cross-sections.



400

Figure 449. Geological cross-sections cutting-mapped according to the planar geological map and DEM of study area. The cross-sections were mapped by vertical extension according to the boundaries and strike and dip points of strata along the layout lines of cross-sections.

405

The attribute points and strike and dip attitude-points of each stratigraphic interface and fault plane extracted from the geological map and cross-sections were used as the original dataset for 3D SPF modeling. The 3D points of stratigraphic interfaces extracted from the geological map and cross-sections were regarded as samplings of the SPF. The gradient vectors which are transformed from the off-contact stratigraphic strike and dip attitude-points were regarded as the samplings of the gradient of SPF.

5.2 Optimizing Gradient Magnitude

410

There are 1410 known on-contact attribute points and 34 off-contact strike and dip attitude-points scattered throughout the

study area (Fig. 42a10a). The known strike and dip attitude-sampling points are scattered on the south limb of fold I, the north and south limbs of fold II, and the north and south limbs of fold III. There are 17 strike and dip attitude-sampling points in the north side of the Nacha fault and 17 strike and dip attitude-sampling points on the south side. The distribution of the dip directions and dip angles is shown in Fig. 42b10b.

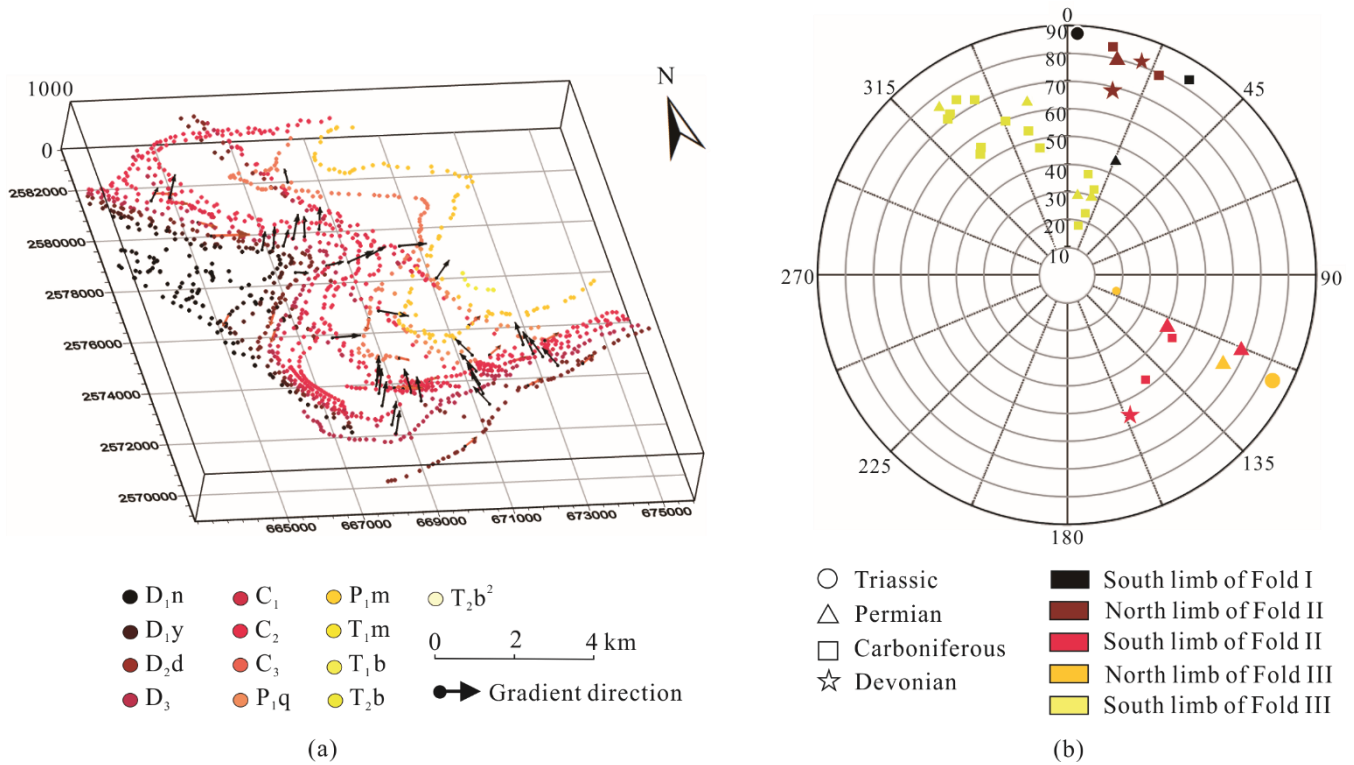
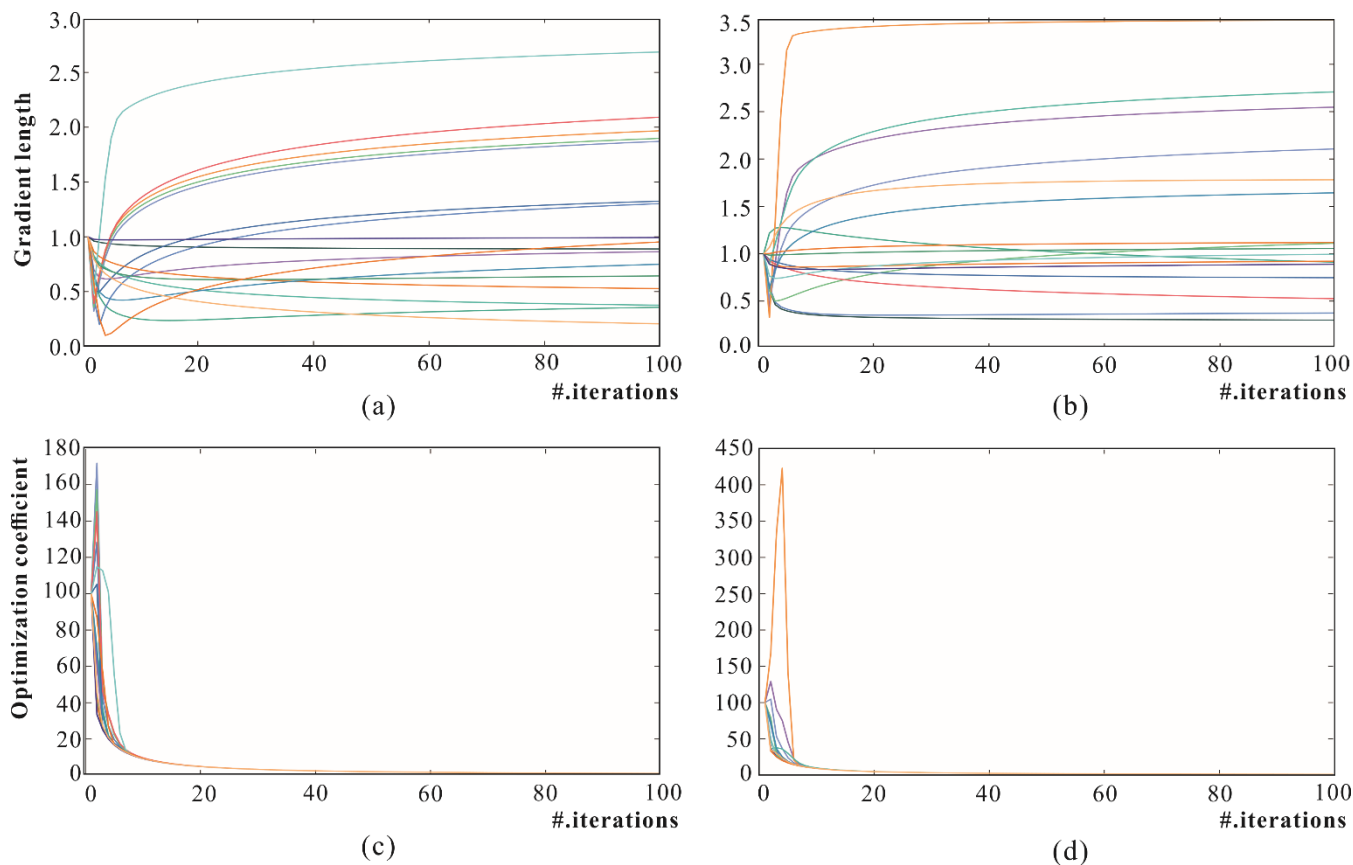


Figure 4210. Scattered attribute points and strike and dip attitude-points of strata: (a) known attribute points and strike and dip attitude-points of strata; and (b) distribution of the dip directions and dip angles of the strike and dip attitude-points, in which the symbols represent different strata, and the colors represent different limbs of folds.

420 First, we set the initial gradient magnitude to 1.0, and calculated the X, Y and Z axis components of the gradient vector field according to the dip direction and angle of the strike and dip attitude-points. We constructed HRBF solution matrices on the north and south side of the Nacha Fault, respectively. Then, we iterated to converge toward the true-optimized gradient magnitudes by adding an optimization term to the HRBF linear system. The termination conditions were met after 200 iterations in the north sub-domain and 300 iterations in the south sub-domain. The gradient magnitudes became stable, and

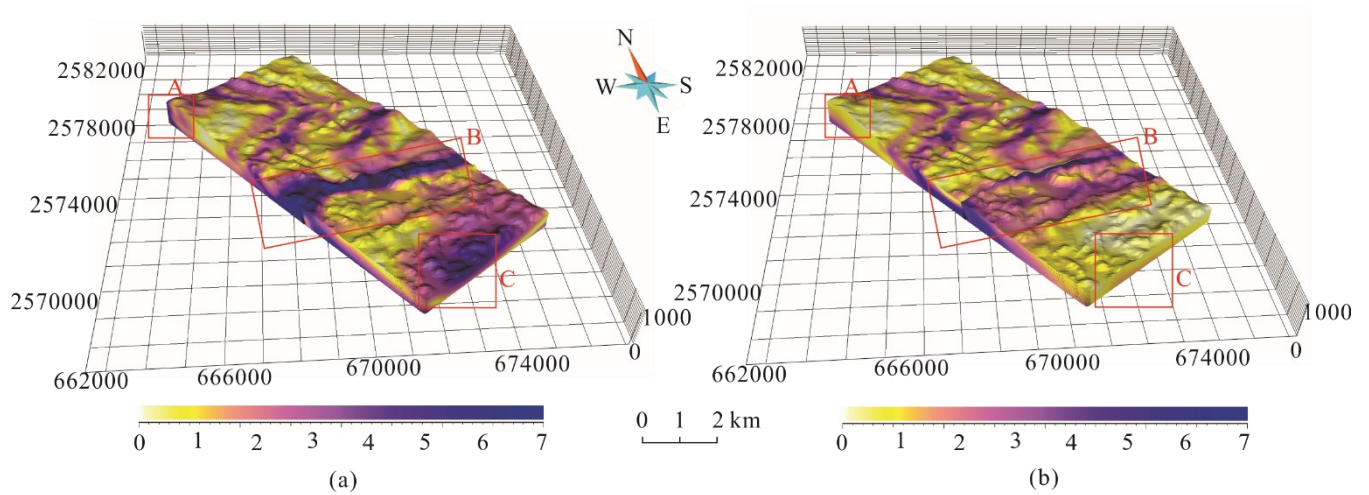
425 finally the true-optimized magnitudes of gradient were obtained. The changes of gradient magnitude is-are shown in Fig. 4311.



Number of attitude points																
N1	N2	N3	N4	N5	N6	N7	N8	N9	N10	N11	N12	N13	N14	N15	N16	N17
S1	S2	S3	S4	S5	S6	S7	S8	S9	S10	S11	S12	S13	S14	S15	S16	S17

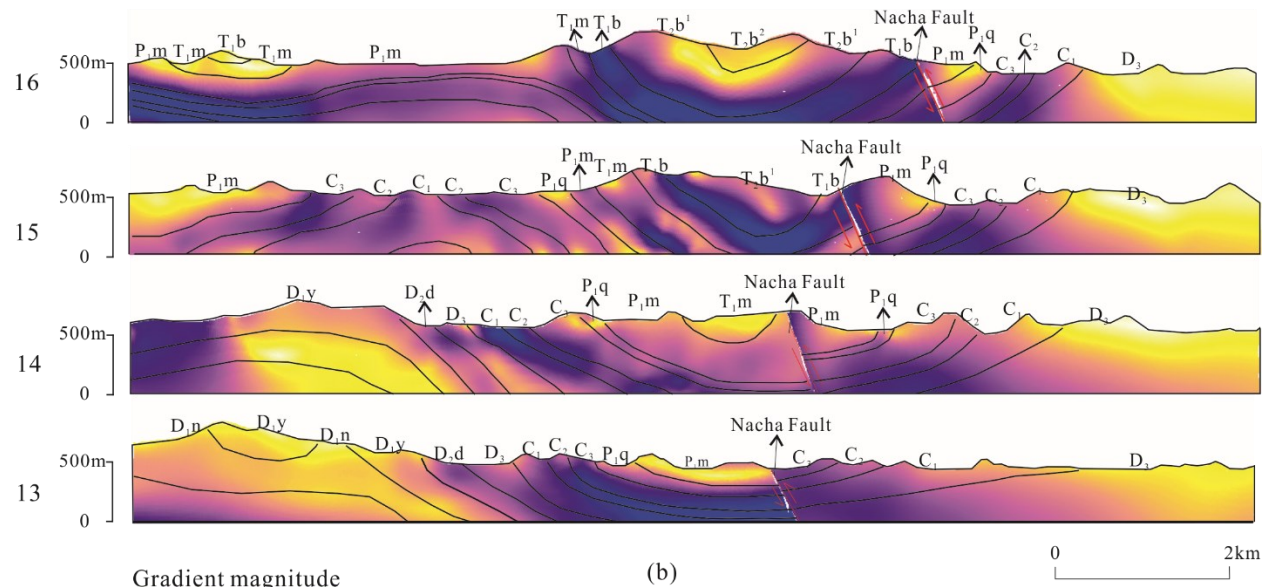
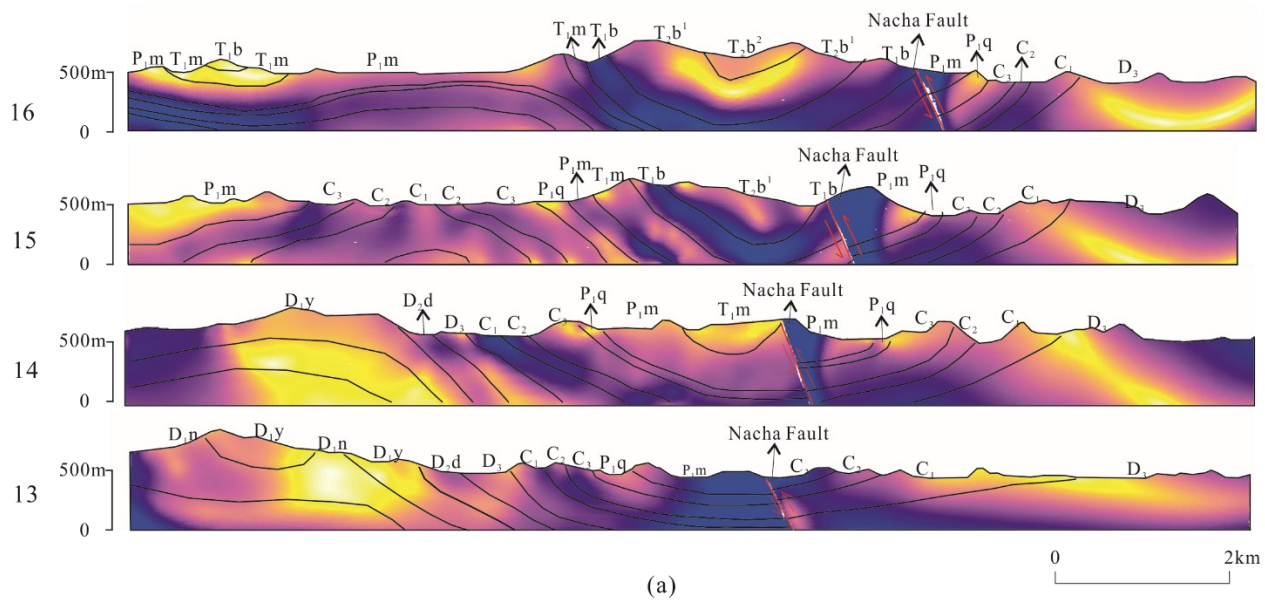
Figure 13.11. Changes of optimization coefficient λ and gradient magnitude: (a) gradient magnitudes for all [strike and dip attitude](#) points in the north sub-domain; (b) gradient magnitudes for all [strike and dip attitude](#) points in the south sub-domain; (c) [optimization coefficients for all strike and dip points in the north sub-domain](#); and (d) [optimization coefficients for all strike and dip points in the south sub-domain](#). The corresponding number of [strike and dip attitude](#) point can be found in Figure 76.

On a specific grid resolution, we modeled the scalar field of gradient magnitude before and after optimization for each [strike and dip attitude](#) point (Fig. 14.12). [Along the north side of the Nacha Fault in Fig. 14a, the gradient magnitudes obtained by interpolation in area B exceed the maximum values. Compared with the scalar field of gradient magnitude before optimization, the scalar field of gradient magnitude after optimization \(Fig. 14b\) more smoothly represents changes in the strata. The Carboniferous strata have the largest true gradient magnitude, while the true gradient magnitudes of the Devonian strata are smallest.](#) Furthermore, we cut four cross-sections of the gradient magnitude scalar field, as shown in Fig. 15.13.

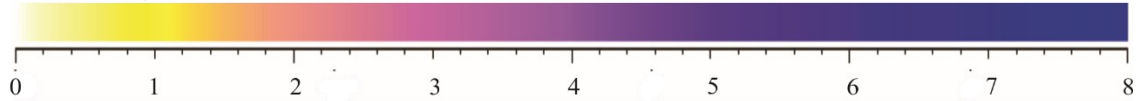


440

Figure 1412. Scalar field of (a) gradient magnitude assigning an initial fixed gradient magnitude of 1 for each strike and dip attitude point; and (b) gradient magnitude after optimization. Along the north side of the Nacha Fault in Fig. 12a, the gradient magnitudes obtained by interpolation in area B exceed the maximum values. Compared with the scalar field of gradient magnitude before optimization, the scalar field of gradient magnitude after optimization (Fig. 12b) more smoothly represents changes in the strata. The Carboniferous strata have the largest optimized gradient magnitude, while the optimized gradient magnitudes of the Devonian strata are smallest.



Gradient magnitude



Devonian	<ul style="list-style-type: none"> D₃ Upper Series of Devonian D_{2,d} Donggangling Stage D_{1,y} Yujiang Stage D_{1,n} Nagaoling Formation 	Carboniferous	<ul style="list-style-type: none"> C₃ Upper Series of Carboniferous C₂ Middle Series of Carboniferous C₁ Lower Series of Carboniferous 	Permian	<ul style="list-style-type: none"> P_{1,m} Maokou Formation P_{1,q} Qixia Formation 	Triassic	<ul style="list-style-type: none"> T_{2,b²} Upper Member of Baifeng Formation T_{2,b¹} Lower Member of Baifeng Formation T_{1,b} Beisi Formation T_{1,m} Majialing Formation
----------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------	-----------------------------------------------------------------------------------------------------------------------------	----------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

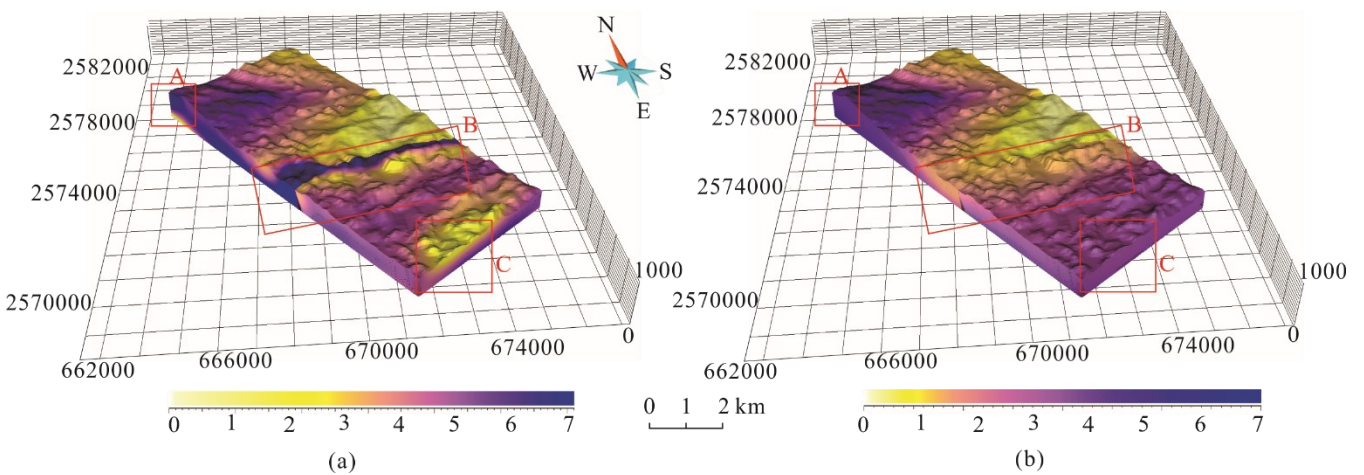
445

Figure 15.13. Cross-sections of the gradient magnitude field: (a) assigning an initial fixed gradient magnitude of 1 for each [strike and dip attitude](#) point; and (b) after optimization.

5.3 Stratigraphic Potential Field (SPF)

After the optimized gradient magnitude for each strike and dip attitude point was obtained, all scattered attribute points and strike and dip attitude points were finally substituted into HRBF linear system to respectively solve the HRBF coefficients (α_i , β_j) and the polynomial coefficients (c_1, c_2, c_3, c_4) for each side of the Nacha Fault. On a specific grid resolution, we generated the regular discrete grids as interpolated points in 3D space. Then the points above the digital elevation model (DEM) were removed from the interpolated points. Finally, we reconstruct the SPFs in 3D space before and after optimization of the gradient magnitude according to the respective HRBF interpolant of each sub-domain (Fig. 15). In this study, the SPF represents the relative burial depth in 3D space. The larger field value represents earlier deposited strata with larger relative burial depth, and vice versa. The same stratigraphic interfaces in different sub-domains share the same field value. The field values change abruptly at the Nacha Fault because the conformable strata were cut by the fault plane.

The SPFs are both constrained so that the interpolated SPFs values at the attribute points are equal to the initial relative burial depths, but the SPFs values may abruptly change or produce outliers at some locations. Obviously, the SPF values change nonuniformly with gradient magnitude before optimization (Fig. 14a), which caused the SPF values that originally belonged to the Carboniferous strata to be interpolated as those of other strata and sequentially resulted in incorrectly extraction of the stratigraphic interfaces. ~~The abnormal SPF values (areas A, B and C in Fig. 16a), are not continuously distributed along stratigraphic interfaces but appear at irregular intervals.~~ This ~~abnormal nonuniform gradient change of~~ stratigraphic potential field causes separated, discontinuous, and dispersed stratigraphic interfaces to be extracted through equipotential surface tracking. However, reconstructing the SPF through optimization of gradient magnitude for each strike and dip attitude point (Fig. 14b) avoids the generation of either abnormal field values or of the wrong equipotential surfaces. This geologically plausible SPF can be appropriately constrained by the known gradient direction and the optimized gradient magnitude at the strike and dip attitude sampling points.



470 **Figure 1614.** Stratigraphic potential field (a) before and (b) after optimization of gradient magnitude. The abnormal SPF values (areas A, B
475 and C in Fig. 14a), are not continuously distributed along stratigraphic interfaces but appear at irregular intervals.

We cut the SPF along four section lines, and the SPF value also changes more uniformly from older to younger strata after
475 gradient magnitude optimization than using a fixed gradient magnitude of 1, as shown in Fig. 1715.

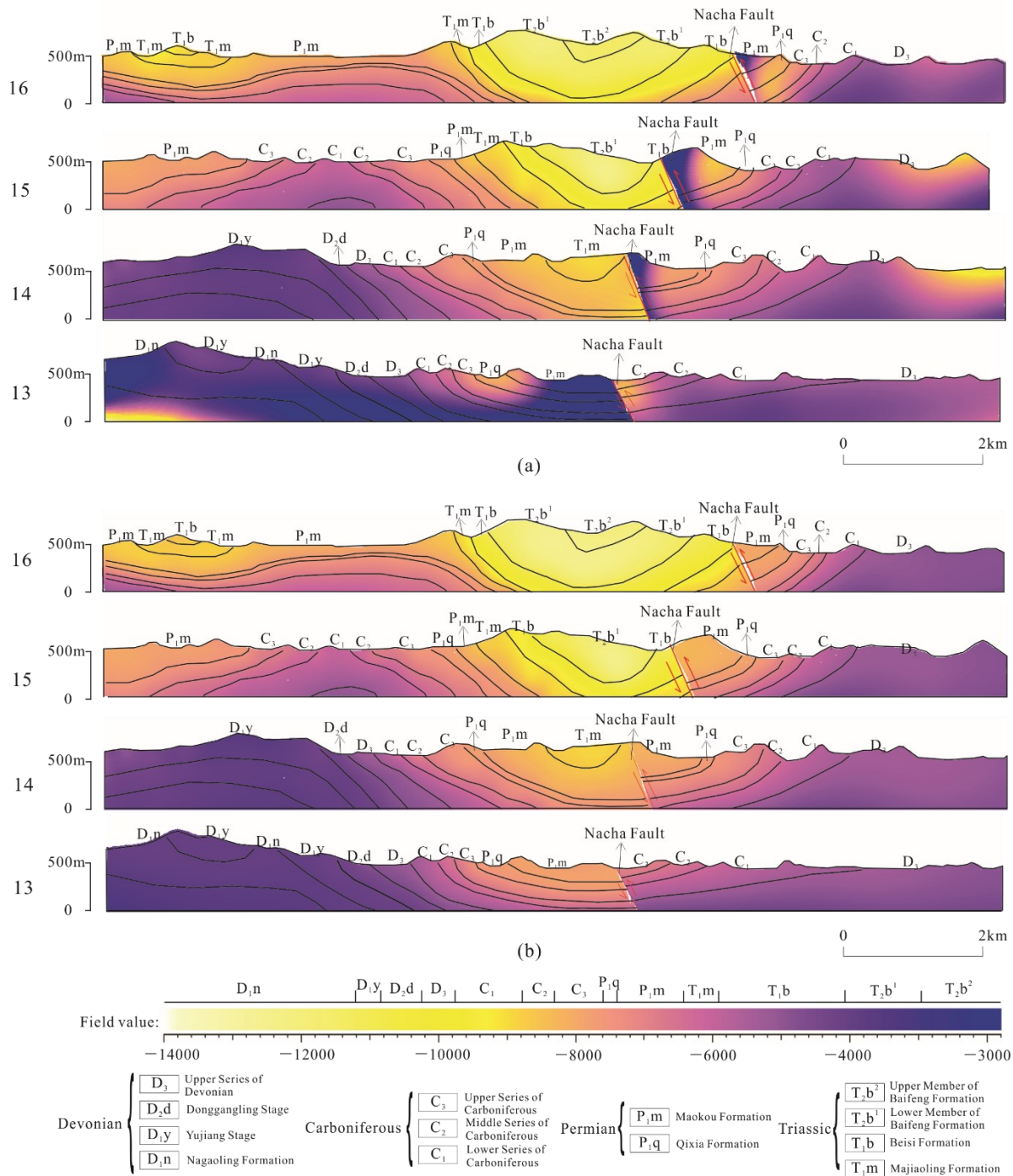


Figure 4715. Cross-sections of the stratigraphic potential field (a) before and (b) after optimization of gradient magnitude.

5.4 Three-Dimensional Models of Strata

Once the field was interpolated in 3D space, the specific equipotential surfaces were extracted from the implicit volumetric function as stratigraphic interfaces within each main structure bounded sub-domain. We used the marching cube method to extract the equipotential surfaces with a specific relative burial depth from the stratigraphic interfaces by connecting all the points with the same field value in the stratigraphic potential field (Fig. 1816). ~~The 3D surface model extracted from the potential field shows that the geometrical shape of each equipotential (iso depth) surface is smooth, and the topology is consistent.~~ The interface model on both sides of the Nacha Fault restores the location of the fault in the south limb of syncline

485 III.

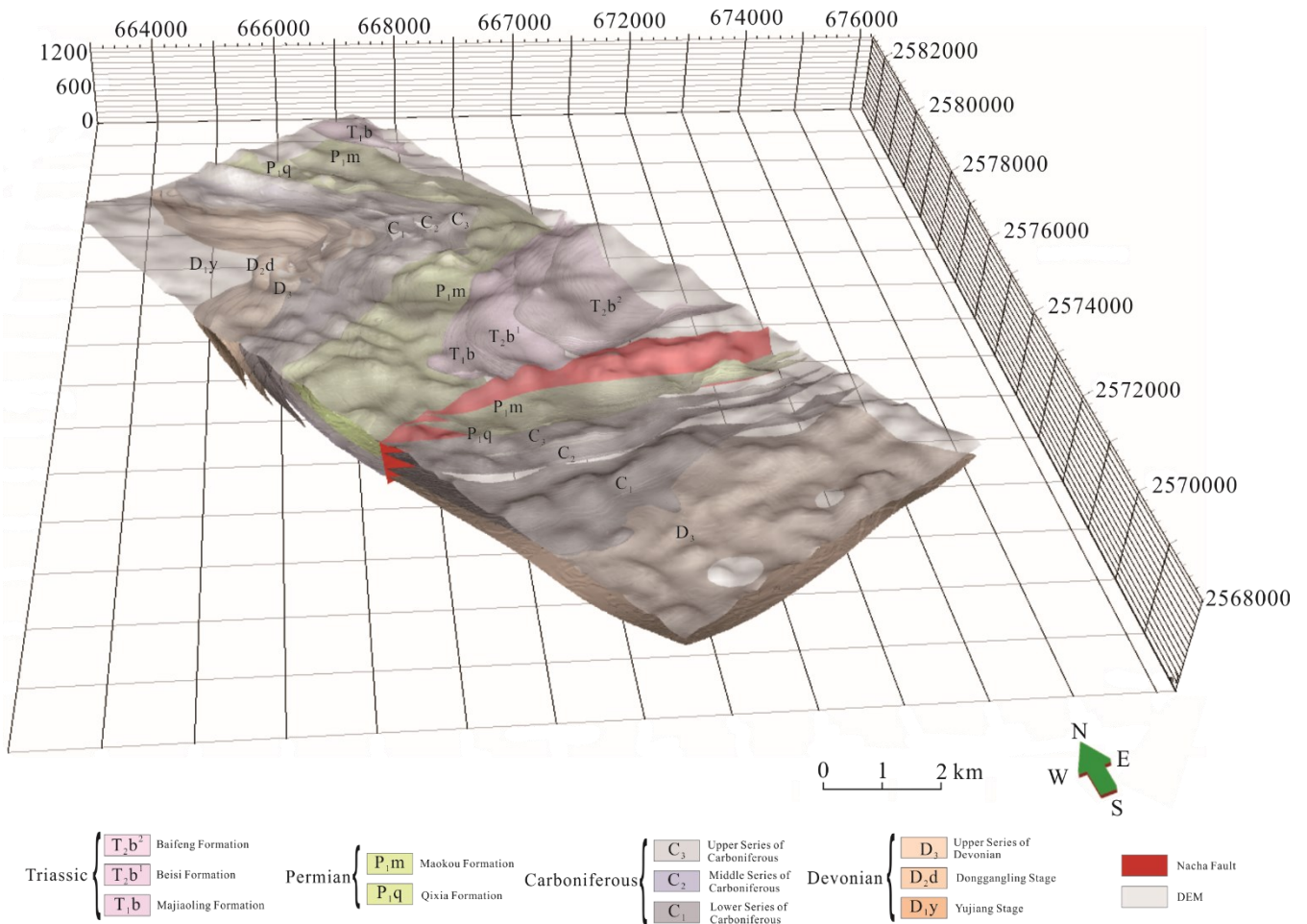
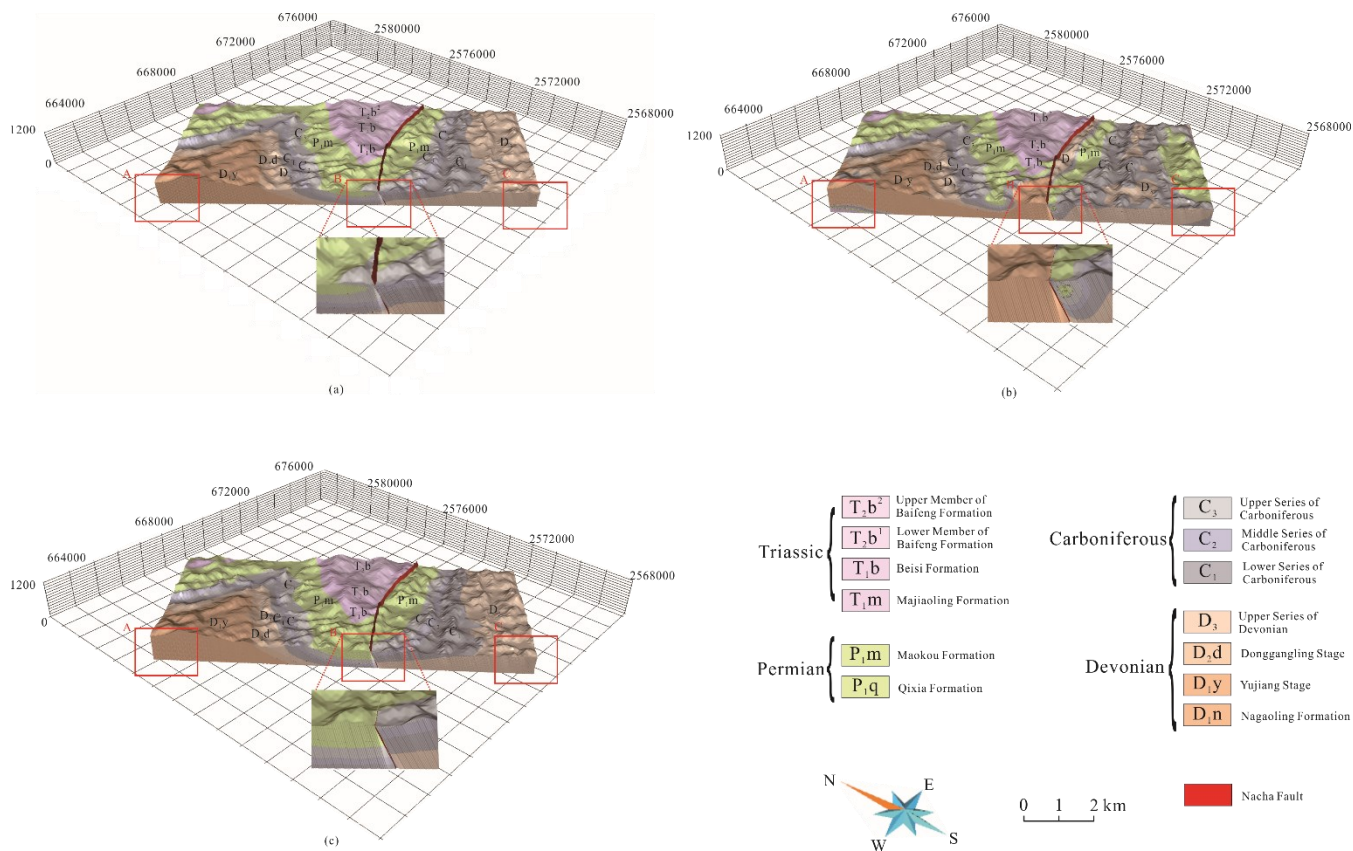


Figure 1816. Three-dimensional model of the bottom surfaces of strata. ~~The 3D surface model extracted from the potential field shows that the geometrical shape of each equipotential (iso depth) surface is smooth, and the topology is consistent.~~

490 Sequentially, according to the range of relative burial depth of stratigraphic top and bottom, two stratigraphic solid models were reconstructed from these equipotential surfaces before and after optimization of gradient magnitude for each [strike and dip attitude](#) point, respectively, combined with sub-domain boundaries and DEM (Fig. [1917](#)). ~~Many abnormal potential field values and additional unreasonable geological bodies were extracted from the model before optimization, especially in areas A and C as shown in Fig. 19a. These abnormal potential field values lead to the occurrences of additional strata fragments that~~

495 ~~do not conform to the rule of sediments.~~ Therefore, the HRBF interpolation with the initial fixed gradient magnitude of 1 roughly reflects stratigraphic on-contact information and captures the structure of syncline I in the north. However, several details are different from the stratigraphic structure on the geological map. Where the Nacha Fault passes through syncline III, the strata on the south side of the fault plane should correspond to the same strata on the north side. However, the Devonian strata corresponded to the Permian strata in area B as shown in Figs. ~~18a~~[17a and 17b](#), which is inconsistent with the geological structure. The geological model extracted using the optimized gradient magnitude for each [strike and dip attitude](#) point is

500 shown in Fig. ~~19b~~[17c](#). Overall, the obtained geometries follow more closely the shape of the folds and stratigraphic on-contact lines. From north to south in the study area, anticline II and syncline III were successfully modeled with the Nacha Fault correctly represented as an inverse fault that cuts syncline III. On both sides of Nacha Fault, the sequence of the strata is the same, and the model exhibits traces of the fault plane passing through the stratigraphic surfaces.



505

Figure 1917. Three-dimensional stratigraphic volume models using (a) RBF without gradient constraint, (b) HRBF before optimizing with unit gradient magnitude, and (c) after AdaHRBF with optimizing optimized gradient magnitude. Many abnormal potential field values and additional unreasonable geological bodies were extracted from the model before optimization, especially in areas A, B, and C as shown in Figs. 17a and 17b. These abnormal potential field values lead to the occurrences of additional strata fragments that do not conform to the rule of sediments.

510

Four cross-sections through the solid models (see the geological map for cross-section lines) were cut, and the cross-sections of the solid model are more consistent with the original structural relationships on the geological map after gradient magnitude optimization than using HRBF with a fixed gradient magnitude of 1 and RBF without gradient constraint, as shown in Fig.

515

2018.

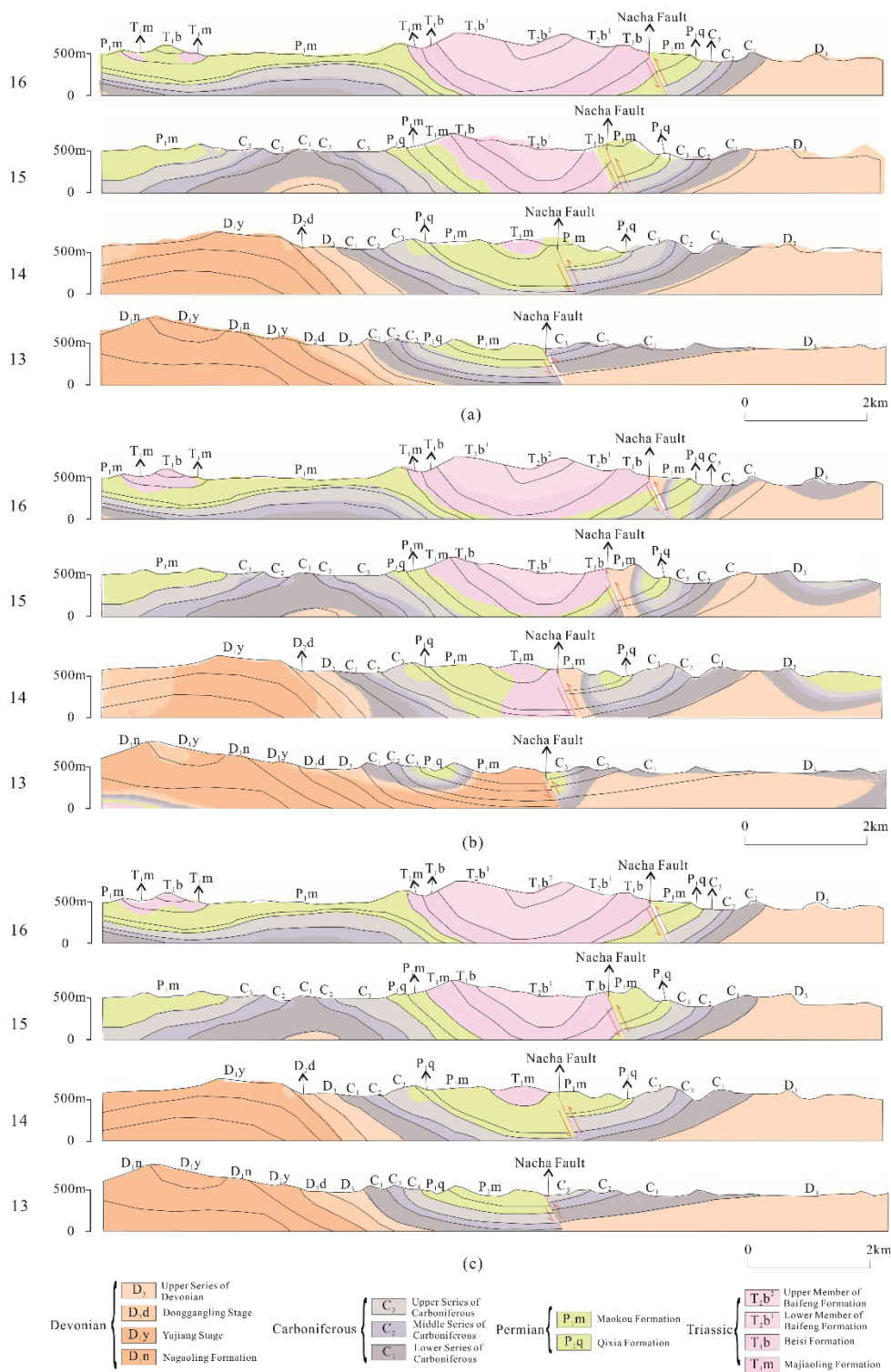


Figure 2018. Cross-sections of the solid models (a) RBF without gradient constraint, (b) before-HRBF with unit gradient, and (bc) after

520 The highest stratum and section coincidence percentages on cross-sections are 74.50% (T₂) and 78.03% (Section 16) before optimization, respectively, as shown in Table 21. However, the highest stratum and section coincidence percentages on cross-sections are 98.99% (D₁) and 98.01% (Sections 13 and 15) using the optimized gradient magnitude for each strike and dip attitude-point, respectively, as shown in Table 32. The total coincidence percentage on cross-sections increases from 67.03% to 98.27% after optimizing gradient magnitude.

525

Table 1. Coincidence percentages on cross-sections using RBF without gradient constraint.

<u>Stratum</u>	<u>Section 13</u>	<u>Section 14</u>	<u>Section 15</u>	<u>Section 16</u>	<u>Total</u>
<u>T₂</u>	\	\	<u>72.73%</u>	<u>97.05%</u>	<u>90.82%</u>
<u>T₁</u>	\	<u>56.75%</u>	<u>86.14%</u>	<u>88.09%</u>	<u>85.28%</u>
<u>P₁</u>	<u>92.43%</u>	<u>92.50%</u>	<u>81.92%</u>	<u>98.04%</u>	<u>96.85%</u>
<u>C₃</u>	<u>73.71%</u>	<u>77.04%</u>	<u>80.62%</u>	<u>87.68%</u>	<u>80.76%</u>
<u>C₂</u>	<u>71.46%</u>	<u>74.91%</u>	<u>70.81%</u>	<u>100.00%</u>	<u>79.22%</u>
<u>C₁</u>	<u>85.64%</u>	<u>84.96%</u>	<u>80.12%</u>	<u>98.49%</u>	<u>85.09%</u>
<u>D₃</u>	<u>99.65%</u>	<u>98.47%</u>	<u>98.35%</u>	<u>100.00%</u>	<u>99.16%</u>
<u>D_{2d}</u>	<u>72.02%</u>	<u>81.94%</u>	\	\	<u>76.70%</u>
<u>D₁</u>	<u>100.00%</u>	<u>95.79%</u>	\	\	<u>97.94%</u>
<u>Total</u>	<u>93.60%</u>	<u>90.57%</u>	<u>83.36%</u>	<u>95.29%</u>	<u>90.70%</u>

Table 2. Coincidence percentages on cross-sections using HRBF with an initial fixed gradient magnitude of 1 for each strike and dip attitude-point.

<u>Stratum</u>	<u>Section 13</u>	<u>Section 14</u>	<u>Section 15</u>	<u>Section 16</u>	<u>Total</u>
T ₂	\	\	78.14%	73.27%	74.50%
T ₁	\	78.35%	70.74%	77.54%	74.48%
P ₁	13.66%	47.32%	68.13%	77.84%	60.90%
C ₃	15.01%	57.26%	76.80%	78.74%	64.26%
C ₂	13.53%	53.57%	74.13%	91.83%	63.15%
C ₁	18.84%	80.65%	81.10%	76.12%	63.50%
D ₃	75.62%	53.27%	61.53%	77.99%	67.08%
D _{2d}	12.92%	66.21%	\	\	37.91%
D ₁	82.11%	66.58%	\	\	74.47%
Total	57.84%	60.58%	72.13%	78.03%	67.03%

Table 3. Coincidence percentages on cross-sections using AdaHRBF with after optimizing optimized gradient magnitude.

Stratum	Section 13	Section 14	Section 15	Section 16	Total
T ₂	\	\	99.40%	96.26%	97.06%
T ₁	\	98.07%	99.30%	97.04%	98.14%
P ₁	99.32%	98.20%	97.89%	95.18%	97.12%
C ₃	97.17%	90.66%	97.03%	92.47%	94.00%
C ₂	94.82%	95.28%	95.53%	94.55%	95.08%
C ₁	96.30%	98.47%	97.40%	96.20%	97.22%
D ₃	97.68%	98.58%	99.12%	98.77%	98.41%
D _{2d}	96.65%	91.17%	\	\	94.08%
D ₁	99.41%	98.55%	\	\	98.99%
Total	98.01%	97.22%	98.01%	95.90%	97.27%

5.5 Stratigraphic Thickness Index (STI)

In order to represent the variability of stratigraphic thickness, we introduce a definition of stratigraphic thickness index (STI) at any location p in a stratum:

$$STI(p) = \frac{|f_{top}(p) - f_{bottom}(p)|}{l(p)} \quad (10)$$

where $f_{top}(p)$ and $f_{bottom}(p)$ are the potential field values of the top and bottom surfaces, respectively, of a stratum where the point p is located, and $l(p)$ is the gradient magnitude obtained by the previously described iterations at the location p . The normalized STI represents the true thickness of the stratum passing through the location p ; therefore, we can analyze stratigraphic thinning and thickening effects by comparing STI at different locations in the stratum. For each stratum in the study area, the STI of each stratum may be different everywhere.

The STI, which is the ratio of the difference between the potential field values of a stratum's top and bottom surfaces to the gradient magnitude at each point, is a normalized indicator to represent the thickness variation of strata. We restored the STI scalar field using the optimized gradient magnitude and found that the STI values depend on the strata in the study area (Fig. 21). The STI values of the strata of Baifeng Formation, Maokou Formation and Yujiang Stage on the north side of Nacha Fault and the strata of Lower Series of Carboniferous and Upper Series of Devonian on the south side of Nacha Fault are larger than others and distributed in a patchy form. The gradient magnitude of each attitude point before optimization is a fixed value which may not be the true gradient magnitude. If the gradient magnitudes of all attitude points located in different strata were equal, the STI values of points in each stratum would tend to be the same value, which would cause the SPF to vary

nonuniformly.

550

~~Figure 21. Scalar field of stratigraphic thickness index (STI).~~

~~We overlaid STI on the geological map, as shown in Fig. 22. Although the difference between the SPF values of a stratum's top and bottom surfaces is a constant, the gradient magnitude varies at different points in a stratum, so the STI also changes laterally. On each side of the Nacha Fault, the STI values of Devonian strata are much greater than other strata; in contrast, the STI values of Carboniferous strata are smaller. This result is consistent with our measured stratigraphic thickness in the comprehensive stratigraphic column of the study area.~~

555

~~Figure 22. Values of stratigraphic thickness index overlaid on the geological map.~~

~~We cut the STI scalar field along four section lines, as shown in Fig. 23. The STI at the core of syncline III gradually decreases from the northeast to the southwest, which reflects the southwest plunge of this syncline. At the core of anticline II, the STI is lower in the northeast, but is higher in the southwest where the thicker Devonian strata occur, which reflects the northeast plunge of this anticline.~~

560

~~Figure 23. Cross-sections of the stratigraphic thickness index (STI) field.~~

565 6 Discussions

~~Due to their robustness and stability, RBF and HRBF interpolants are a good choice for modeling when geological sampling data is relatively sparse and uneven. However, existing RBF and HRBF interpolants implicitly reconstruct a single geological interface and extract it as the zero value equipotential surface. Moreover, existing RBF and HRBF interpolants need several independent scalar fields to simulate geological interfaces, which makes it difficult to ensure topological consistency among different geological interfaces. The AdaHRBF proposed in this study can create only one scalar field and extract multiple continuous stratigraphic interfaces for a set of conformable strata.~~

570

The AdaHRBF proposed in this study improves the use of ~~altitude-strike and dip~~ data in SPF modeling by optimization of gradient magnitudes. In addition to use of ~~strike and dip attitude~~ information as the gradient directions of SPFs, we use the gradient magnitude as a new constraint to control the rate of change of SPF values. The gradient of a SPF is a vector with certain direction and magnitude, in which the gradient magnitude provides constraints on the thickness of deformed strata. Therefore, it is extremely important to construct HRBF linear systems with accurate gradient magnitudes in 3D SPF modeling. As a “chicken-and-egg” problem, it is difficult to determine the exact gradient magnitude through the geological measurements or prior structural knowledge. We proposed an iterative optimization method which alternates between estimation of SPF and gradient magnitudes so that the gradient magnitudes progressively converge towards the values being adaptive to the stratigraphic architecture. The optimized gradient magnitudes more accurately simulate the variations of the SPF between the

575

580

top and bottom surfaces. [Besides constraints of scattered multivariate Hermite-Birkhoff data, the Generalized RBF](#) (Hillier et al., 2014) [reconstructs an implicit function with more constraints of lithologic markers \(inequality\) and lineations \(tangent\). How to integrate these constraints in our solution to utilize more kinds of modeling data shall be studied in future work.](#)

585 Jessell et al. (2014) highlighted two limitations of current implicit modeling schemes: (1) they are incapable of interpolating or extrapolating a fold series within a continuous structural style; (2) the shape of fold hinges they produce is not controlled and may yield inconsistent geometries. To overcome these two limitations, we adopted two strategies: (1) a 3D stratigraphic potential field modeling method based on HRBF interpolant was used to interpolate ~~or extrapolate~~ a fold series within a structurally continuous domain; (2) a number of structural [strike and dip attitude](#) points were sampled on both limbs of the folds to control the geometries of fold hinges. [A novel method for modeling fold uses a fold coordinate system based on fold axis direction, fold axial surface, and extension direction and incorporates a parametric description of fold geometry \(e.g., fold wavelength, amplitude, tightness, and rotation angle\) into the interpolation algorithm](#) (Laurent et al., 2016; Grose et al., 2017; Grose et al., 2019), [which would be our future research direction of fine fold modeling based on AdaHRBF.](#)

590 There are several choices for the value of the potential field, e.g., the sorted serial number, [burial depth](#), or depositional time for each stratigraphic interface (Mallet, 2004). However, the thickness of the stratum is not necessarily proportional to the sorted serial number and deposition time. ~~We chose the burial depth of each stratigraphic interface relative to the top surface of the Quaternary as the SPF value so that stratigraphic thickness is considered as a constraint in the modeling.~~ Compared with using the sorted serial number or depositional age of stratigraphic interfaces as the potential field value, [our solution choosing the burial depth](#) is more in line with 3D SPF modeling. We derived the gradient direction from the [strike and dip attitude](#) points; moreover, we used the gradient magnitude as a constraint to control the rate of change of the SPF.

600 7 Conclusions

The purpose of this study is to establish a framework for 3D SPF modeling by using the HRBF interpolant with adaptive gradient optimization constrained by on-contact attribute points and off-contact structural [strike and dip attitude](#) points. We applied this method to a study site in the Lingnian-Ningping area, and a geological map, 4 cross-sections, and a DEM were used as original data to model a SPF whose field value was taken from the relative burial depth of the stratigraphic interfaces.

605 The results show that the implicit modeling of the SPF by HRBF interpolant and optimization of gradient magnitude can be effectively adapted to 3D geological modeling using the sampling points from a geological map and cross-sections. A SPF can express the parameters of a stratum such as property, shape and topology in 3D space. ~~Because 3D stratigraphic potential fields can be coupled with various geoscience numerical simulation methods, they have a broad prospect for application in related fields such as metallogenic prediction.~~

610 However, the modeling process is complicated because the sub-domains are required to be divided manually. In actual geological surveys, the geological structure may be more complex and include a large number of faults, unconformable strata

and intrusive rocks. Therefore, it is necessary to separately identify the boundary of the sub-domains according to the fault interfaces, unconformable strata and intrusive rocks before the 3D geological modeling work. A goal for future work is to introduce a ~~drift function~~fault integrating way (Grose et al., 2021b) into the implicit model to accommodate discontinuity of fault planes. In addition, the uncertainty of the model should be considered in the modeling process, and additional geophysical exploration data and geological interpretation should be incorporated into the modeling constraints.

Code availability.

The source code for the AdaHRBF is available in MATLAB at Github (<https://github.com/csugeo3d/AdaHRBF>, DOI: <https://doi.org/10.5281/zenodo.7340093>, Zhang et al., 2022).

620 *Author contributions.*

Baoyi Zhang and Hao Deng initiated the conception of the study and advised the research on it. Linze Du and Yongqiang Tong programmed the AdaHRBF code and carried out the data analyses for real-world case studies. Umair Khan contributed significantly to analysis and manuscript preparation. Yongqiang Tong performed both verification and real-world experiments, created all plots, carried out the initial analysis and wrote the manuscript. Hao Deng and Lifang Wang helped perform the analysis with constructive discussions. All authors provided critical feedback and helped to shape the whole study.

Competing interests.

No competing interests are present.

Acknowledgements. This study was supported by grants from the National Natural Science Foundation of China (Grant Nos. 42072326 and 41972309), China Geological Survey Project (Grant No. DD20190156), and the National Key Research and Development Program of China (Grant No. 2019YFC1805905). [The authors express their sincere gratitude to Dr. Italo Goncalves, Dr. Lachlan Grose, and Dr. Michal Michalak \(Referees\) for their constructive reviews which benefited this manuscript.](#) The authors thank the MapGIS Laboratory Co-Constructed by the National Engineering Research Center for Geographic Information System of China and Central South University for providing MapGIS® software (Wuhan Zondy Cyber-Tech Co. Ltd., Wuhan, China). We also thank Research Professor ZHOU Shang-guo (Institute of Mineral Resources Research, China Metallurgical Geology Bureau) and Professor MAO Xian-cheng (Central South University) for their kind assistance with data collection and Professor Jeffrey Dick (Central South University) for revising scientific English writing of this manuscript.

References

- Basson, I. J., Creus, P. K., Anthonissen, C. J., Stoch, B., and Ekkerd, J.: Structural analysis and implicit 3D modelling of high-grade host rocks to the Venetia kimberlite diatremes, Central Zone, Limpopo Belt, South Africa, *Journal of Structural Geology*, 86, 47-61, 10.1016/j.jsg.2016.03.002, 2016.
- Basson, I. J., Anthonissen, C. J., McCall, M. J., Stoch, B., Britz, J., Deacon, J., Strydom, M., Cloete, E., Botha, J., Bester, M., and Nel, D.: Ore-structure relationships at Sishen Mine, Northern Cape, Republic of South Africa, based on fully-constrained implicit 3D modelling, *Ore Geology Reviews*, 86, 825-838, 10.1016/j.oregeorev.2017.04.007, 2017.
- Calcagno, P., Chilès, J. P., Courrioux, G., and Guillen, A.: Geological modelling from field data and geological knowledge: Part I. Modelling method coupling 3D potential-field interpolation and geological rules, *Physics of The Earth and Planetary Interiors*, 171, 147-157, <http://dx.doi.org/10.1016/j.pepi.2008.06.013>, 2008.
- Caumon, G., Gray, G., Antoine, C., and Titeux, M.-O.: Three-Dimensional Implicit Stratigraphic Model Building From Remote Sensing Data on Tetrahedral Meshes: Theory and Application to a Regional Model of La Popa Basin, NE Mexico, *IEEE Transactions on Geoscience and Remote Sensing*, 51, 1613-1621, 2013.
- Cowan, E. J., Beatson, R. K., Ross, H. J., Fright, W. R., McLennan, T. J., Evans, T. R., Carr, J. C., Lane, R. G., Bright, D. V., Gillman, A. J., Oshust, P. A., and Titley, M.: Practical implicit geological modelling, 5th International Mining Geology Conference, WOS:0002384817000122003.
- Creus, P. K., Basson, I. J., Stoch, B., Mogorosi, O., Gabanakgosi, K., Ramsden, F., and Gaegopolwe, P.: Structural analysis and implicit 3D modelling of Jwaneng Mine: Insights into deformation of the Transvaal Supergroup in SE Botswana, *Journal of African Earth Sciences*, 137, 9-21, 10.1016/j.jafrearsci.2017.09.010, 2018.
- de la Varga, M., Schaaf, A., and Wellmann, F.: GemPy 1.0: open-source stochastic geological modeling and inversion, *Geoscientific Model Development*, 12, 1-32, 10.5194/gmd-12-1-2019, 2019.
- Duchon, J.: Splines minimizing rotation-invariant semi-norms in Sobolev spaces, in: *Constructive Theory of Functions of*

- 660 Several Variables, edited by: Schempp, W., and Zeller, K., Lecture Notes in Mathematics, Springer-Verlag, Berlin, 85–100, 1977.
- Frank, T., Tertois, A.-L., and Mallet, J.-L.: 3D-reconstruction of complex geological interfaces from irregularly distributed and noisy point data, *Computers & Geosciences*, 33, 932-943, 10.1016/j.cageo.2006.11.014, 2007.
- Gonçalves, Í. G., Kumaira, S., and Guadagnin, F.: A machine learning approach to the potential-field method for implicit modeling of geological structures, *Computers & Geosciences*, 103, 173-182, 2017.
- 665 Grose, L., Ailleres, L., Laurent, G., and Jessell, M.: LoopStructural 1.0: time-aware geological modelling, *Geoscientific Model Development*, 14, 3915-3937, 10.5194/gmd-14-3915-2021, 2021a.
- Grose, L., Ailleres, L., Laurent, G., Armit, R., and Jessell, M.: Inversion of geological knowledge for fold geometry, *Journal of Structural Geology*, 119, 1-14, 10.1016/j.jsg.2018.11.010, 2019.
- 670 Grose, L., Ailleres, L., Laurent, G., Caumon, G., Jessell, M., and Armit, R.: Modelling of faults in LoopStructural 1.0, *Geoscientific Model Development*, 14, 6197-6213, 10.5194/gmd-14-6197-2021, 2021b.
- Grose, L., Laurent, G., Aillères, L., Armit, R., Jessell, M., and Caumon, G.: Structural data constraints for implicit modeling of folds, *Journal of Structural Geology*, 104, 80-92, 10.1016/j.jsg.2017.09.013, 2017.
- 675 Guo, J., Wu, L., Zhou, W., Jiang, J., and Li, C.: Towards automatic and topologically consistent 3D regional geological modeling from boundaries and attitudes, *ISPRS International Journal of Geo-Information*, 5, 17, 2016.
- Guo, J., Wu, L., Zhou, W., Li, C., and Li, F.: Section-constrained local geological interface dynamic updating method based on the HRBF surface, *Journal of Structural Geology*, 107, 64-72, 10.1016/j.jsg.2017.11.017, 2018.
- Guo, J., Wang, X., Wang, J., Dai, X., Wu, L., Li, C., Li, F., Liu, S., and Jessell, M. W.: Three-dimensional geological modeling and spatial analysis from geotechnical borehole data using an implicit surface and marching tetrahedra algorithm, *Engineering Geology*, 284, 10.1016/j.enggeo.2021.106047, 2021.
- 680 Guo, J., Wang, J., Wu, L., Liu, C., Li, C., Li, F., Lin, M., Jessell, M. W., Li, P., Dai, X., and Tang, J.: Explicit-implicit-integrated 3-D geological modelling approach: A case study of the Xianyan Demolition Volcano (Fujian, China), *Tectonophysics*, 795, 228648
10.1016/j.tecto.2020.228648, 2020.
- 685 Hassen, I., Gibson, H., Hamzaoui-Azaza, F., Negro, F., Rachid, K., and Bouhlila, R.: 3D geological modeling of the Kasserine Aquifer System, Central Tunisia: New insights into aquifer-geometry and interconnections for a better assessment of groundwater resources, *Journal of Hydrology*, 539, 223-236, 10.1016/j.jhydrol.2016.05.034, 2016.
- Hillier, M., de Kemp, E., and Schetselaar, E.: 3D form line construction by structural field interpolation (SFI) of geologic strike and dip observations, *Journal of Structural Geology*, 51, 167-179, 2013.
- 690 Hillier, M., Kemp, E. d., and Schetselaar, E.: Implicitly modelled stratigraphic surfaces using generalized interpolation, *AIP Conference Proceedings*, 1738, 050004, 10.1063/1.4951819, 2016.
- Hillier, M. J., Schetselaar, E. M., de Kemp, E. A., and Perron, G.: Three-Dimensional Modelling of Geological Surfaces Using Generalized Interpolation with Radial Basis Functions, *Mathematical Geosciences*, 46, 931-953, 10.1007/s11004-014-9540-3, 2014.
- 695 Houlding, S. W.: 3D Geoscience Modeling: Computer Techniques for Geological Characterization, Springer-Verlag, Berlin1994.

- Irakarama, M., Laurent, G., Renaudeau, J., and Caumon, G.: Finite Difference Implicit Structural Modeling of Geological Structures, *Mathematical Geosciences*, 53, 785-808, 10.1007/s11004-020-09887-w, 2020.
- Jessell, M., Aillères, L., De Kemp, E., Lindsay, M., Wellmann, J., Hillier, M., Laurent, G., Carmichael, T., and Martin, R.: Next generation three-dimensional geologic modeling and inversion, *Society of Economic Geologists Special Publication*, 18, 261-272, 2014.
- Lajaunie, C., Courrioux, G., and Manuel, L.: Foliation fields and 3D cartography in geology: Principles of a method based on potential interpolation, *Mathematical Geology*, 29, 571-584, <http://dx.doi.org/10.1007/BF02775087>, 1997.
- Laurent, G.: Iterative Thickness Regularization of Stratigraphic Layers in Discrete Implicit Modeling, *Mathematical Geosciences*, 48, 811-833, 10.1007/s11004-016-9637-y, 2016.
- Laurent, G., Aillères, L., Grose, L., Caumon, G., Jessell, M., and Armit, R.: Implicit modeling of folds and overprinting deformation, *Earth and Planetary Science Letters*, 456, 26-38, 10.1016/j.epsl.2016.09.040, 2016.
- Lindsay, M. D., Aillères, L., Jessell, M. W., de Kemp, E. A., and Betts, P. G.: Locating and quantifying geological uncertainty in three-dimensional models: Analysis of the Gippsland Basin, southeastern Australia, *Tectonophysics*, 546-547, 10-27, 10.1016/j.tecto.2012.04.007, 2012.
- Macedo, I., Gois, J. P., and Velho, L.: Hermite Radial Basis Functions Implicits, *Computer Graphics Forum*, 30, 27-42, 10.1111/j.1467-8659.2010.01785.x, 2011.
- Mallet, J.-L.: *Geomodeling*, Oxford University Press, New York 2002.
- Mallet, J.-L.: Space-time mathematical framework for sedimentary geology, *Mathematical Geology*, 36, 1-32, 2004.
- Martin, R. and Boisvert, J. B.: Iterative refinement of implicit boundary models for improved geological feature reproduction, *Computers & Geosciences*, 109, 1-15, 10.1016/j.cageo.2017.07.003, 2017.
- Rasmussen, C. E. and Williams, C. K. I.: *Gaussian Processes for Machine Learning*, The MIT Press, Cambridge, Massachusetts, USA., <https://doi.org/10.1142/S0129065704001899>, 2006.
- Renaudeau, J., Malvesin, E., Maerten, F., and Caumon, G.: Implicit Structural Modeling by Minimization of the Bending Energy with Moving Least Squares Functions, *Mathematical Geosciences*, 51, 693-724, 10.1007/s11004-019-09789-6, 2019.
- Stoch, B., Basson, I. J., and Miller, J. A.: Implicit Geomodelling of the Merensky and UG2 Reefs of the Bushveld Complex from Open-Source Data: Implications for the Complex's Structural History, *Minerals*, 10, 10.3390/min10110975, 2020.
- Vollgger, S. A., Cruden, A. R., Aillères, L., and Cowan, E. J.: Regional dome evolution and its control on ore-grade distribution: Insights from 3D implicit modelling of the Navachab gold deposit, Namibia, *Ore Geology Reviews*, 69, 268-284, 10.1016/j.oregeorev.2015.02.020, 2015.
- von Harten, J., de la Varga, M., Hillier, M., and Wellmann, F.: Informed Local Smoothing in 3D Implicit Geological Modeling, *Minerals*, 11, 10.3390/min11111281, 2021.
- Wang, J., Zhao, H., Bi, L., and Wang, L.: Implicit 3D Modeling of Ore Body from Geological Boreholes Data Using Hermite Radial Basis Functions, *Minerals*, 8, 443, 10.3390/min8100443, 2018.
- Wendland, H.: *Scattered Data Approximation*, Cambridge Monographs on Applied and Computational Mathematics, Cambridge University Press, Cambridge, UK. 2005.
- Zhong, D.-Y., Wang, L.-G., and Wang, J.-M.: Combination Constraints of Multiple Fields for Implicit Modeling of Ore Bodies, *Applied Sciences*, 11, 10.3390/app11031321, 2021.

Zhong, D., Zhang, J., and Wang, L.: Fast Implicit Surface Reconstruction for the Radial Basis Functions Interpolant, Applied Sciences, 9, 10.3390/app9245335, 2019.